Defence
Safety Authority

DMR Regulation Compliance Matrix

For DSA02 DMR 2027 edition



Version Record

Version number	Version date	Changes to previous version
1.0	2 Aug 2023	Document created
2.0	1 Nov 2025	Amendments as detailed in DMR RN 07/25
3.0	2 Sept 2026	Amendments as per DSA02 DMR Regulations 2027 Edition

Copyright

This document is protected by Crown copyright and the intellectual property rights of this publication belong exclusively to the Ministry of Defence.

Uncontrolled Copies

All hard copies of this document are to be regarded as uncontrolled copies. To check the latest amendment status, reference should be made to current documents which may be viewed on Gov.uk or on the Defence Intranet.

Welcome Introduction

Below is a compliance Matrix table for use to demonstrate compliance with the DSA02 DMR Regulations 2027 Edition. This Compliance Matrix is intended to be used by organisations who are required to comply with DMR regulations. Those wishing to use this Compliance Matrix are encouraged to use it by downloading it and adding your compliance statements and saving as evidence of your compliance.

There is no requirement to return this Compliance Matrix to DMR, it is purely supplied as an aide to facilitate ease for organisations to keep their compliance up to date with the latest Regulation.

It is intended that this Matrix be updated and released annually in line with the release of new Editions of the Regulations as they are released.

Number	Title	Summary	Compliance Statement with evidence, actions, or non-applicability
100 Series			
101	Applicability of Defence Maritime Regulations for HS&EP	These DMR regulations **shall** apply to all Crown servants and other persons carrying out Defence maritime activities.	
102	Waiver from Defence Maritime Regulation Regulation created Jan 2027	The AP **shall** apply for a waiver where there is a justifiable reason for non-compliance.	
200 Series			
201	Authority and Accountability	Each organisation engaged in (or contributing to) Defence maritime activities **shall** have identified the Accountable Person(s) (APs), Duty Holders (DHs) and supporting authorities, who set down and implement the HS&EP management arrangements for activities in their specified area of responsibility.	
202	Accountability for Management Arrangements Regulation amended Jan 2027	All Accountable Persons (AP), Duty Holders and Supporting Authorities **shall** demonstrate that their management arrangements are suitable and sufficient for their responsibilities, that they are operating in accordance with them and that they are made available for DMR scrutiny prior to issue of DMR Approval to Authorise MOD Shipping (ATAMS).	
203	Senior Accountable Person	The Senior Accountable Person in each organisation **shall** have ultimate accountability for safety and environmental protection of their organisation's Defence maritime activities, and compliance with these Defence maritime regulations.	

Number	Title	Summary	Compliance Statement with evidence, actions, or non-applicability
204	Accountable Person	The Accountable Person **shall** assure the Senior Accountable Person that the Safety and Environmental Management System for Defence maritime activities are fit for purpose.	
205	Removed	No longer in use	
206	Ships Commanding Officer	Ship's Commanding Officers **shall** discharge their responsibilities in accordance with the applicable legislation and Defence Regulations.	
207	Designated Persons Regulation amended Jan 2027	The Accountable Person **shall** have a Designated Person responsible for monitoring the safe and efficient operation of MOD shipping.	
208	Platform Authorities (PA)	Accountable Persons **shall** have Platform Authorities, or equivalents, responsible to them for ensuring all Ships within their Area of Responsibility are safe to operate by providing safety and environmental protection assurance of the design, system and equipment integration, and through-life support.	
209	Equipment Authorities (EA)	Equipment Authorities (EAs) **shall** provide Health, Safety & Environmental Protection assurance of the design and through-life support of the maritime equipment for which they are accountable is safe to operate.	

Number	Title	Summary	Compliance Statement with evidence, actions, or non-applicability
210	Diving Officer	A single Diving Officer **shall** be appointed to be accountable for each diving project, and for ensuring that, so far as is reasonably practicable, the diving project is planned, managed and conducted in a manner that protects the health and safety of all persons taking part in that project.	
211	Port Duty Holders	Port Duty Holders **shall** operate their ports in line with the PMSC and in line with Defence Rules for Warships in Harbour[1].	
300 Series			
301	Risk Control Systems	Accountable Persons **shall** provide evidence that, for their area of responsibility, appropriate Risk Control Systems have been implemented, are integrated and are effective.	
302	Safety and Environmental Management System(s)	Accountable Persons **shall** develop and maintain a proportionate Safety and Environmental Management System for their Area of Responsibility and operate in accordance with it.	
303	Safety and Environmental Management Plan	Accountable Persons **shall** develop, implement and maintain a MOD maritime Safety and Environmental Management Plan specifying: 1. How and when the objectives and requirements contained in the SEMS are to be implemented. 2. How each approval point will be verified and authorised, and by whom.	

Number	Title	Summary	Compliance Statement with evidence, actions, or non-applicability
304	Safety and/or Environmental Case(s)	Accountable Persons **shall** develop and maintain a SEC to demonstrate that platforms, products, and activities (including software safety and cyber security) meet and maintain HS&EP requirements.	
305	Safety and/or Environmental Case Report(s)	The Accountable Person **shall** demonstrate the Safety and/or Environmental Case for a ship or diving plant in a Safety and/or Environmental Case Report, proactively and effectively addressing the impact of significant milestones, decisions and/or events identified in the Safety and/or Environmental Case Reports strategy.	
306	Establishing the Operating Envelope	Accountable Persons **shall** establish the limits of the safe and environmentally sound operating envelope for the Defence maritime activities for which they are responsible.	
307	Operate Within the Envelope	The Accountable Person (AP) **shall** ensure that Defence maritime activities are conducted only within the established safe and environmentally sound operating envelope, unless exceptional circumstances require operation outside that envelope in order to deliver a Defence task.	
308	Certified Equipment and/or Systems	Accountable Persons **shall** be in possession of the appropriate safety and environmental protection certificates for equipment and/or systems.	
309	Legislation Compliance Registers	Accountable Persons **shall** maintain a legislation compliance register for the platforms, activities, equipment and/or facilities for which they are responsible.	

Number	Title	Summary	Compliance Statement with evidence, actions, or non-applicability
400 Series			
401	Documentation of Policies and Procedures	Accountable Persons **shall** develop and maintain policies and procedures to control all relevant safety and environmental information (documents and data), within their specified Area of Responsibility.	
402	Legislative Compliance Management	Accountable Persons **shall** each ensure that the applicable legal requirements for their Defence Maritime Activities are managed.	
403	Exemptions	Where the Secretary of State for Defence is enabled to grant "exemption" from statute, a request to invoke this power **shall** be made by the Defence sponsor through DSA, DMR or their Duly Authorised Persons, as laid down for that statute.	
404	Resources and Crewing	Accountable Persons in sea-going and shore-support organisations **shall** maintain a safety and environmental protection organisational baseline for their area of responsibility, which is suitably resourced.	
405	Training	The Accountable Person **shall** provide assurance that sea-going, shore-support, and acquisition personnel within their area of responsibility are appropriately trained to a recognised professional standard3 and developed to have the right competencies to discharge their role.	
406	Emergency Preparedness	The Accountable Person **shall** ensure that emergency Preparedness, response plans, procedures and systems are in place for Defence maritime activities.	

Number	Title	Summary	Compliance Statement with evidence, actions, or non-applicability
407	Accident and Incident Reporting, Investigation and Analysis Regulation amended Jan 2027	The Accountable Person (AP) **shall** ensure that accidents and incidents (including near misses and dangerous occurrences) shall be reported (using the approved MySafety reporting tool) and investigated so that hazards and impacts are identified in a timely manner, and lessons are learned from the experience.	
500 Series			
501	Environmental Damage Regulation amended Jan 2027	The Accountable Person **shall** take all practicable steps to ensure that environmental damage arising from Defence maritime activity is prevented and remediated.	
502	Pollution Prevention, Response and Cooperation Regulation amended Jan 2027	The Accountable Person **shall** take all practicable steps to ensure that the threat of serious damage posed to the marine environment from pollution incidents is minimised by ensuring preparedness, effective response capabilities and cooperation with appropriate agencies.	
503	Underwater Cultural Heritage	The AP shall take all practicable steps to ensure the protection and preservation of underwater cultural heritage sites.	
600 Series			

Number	Title	Summary	Compliance Statement with evidence, actions, or non-applicability
601	UK Defence Shipping Register	The Accountable Person **shall** ensure that all platforms, regardless of assumed status as MOD shipping, shall be submitted to DMR for consideration for Registration.	
602	Transfer of MOD Shipping	The Accountable Person **shall** ensure a formal documented handover of accountability and responsibility of all MOD shipping which is recorded in the register.	
603	Registration of MOD Shipping on the UK Defence Shipping Register	The Accountable Person **shall** ensure that records and details of MOD shipping for which they are accountable, including those that are on the UK Shipping Register (UKSR), are maintained on the UKDSR and are kept up to date.	
604	Platform Certification	MOD shipping **shall** not be operated without extant certification relevant to the operation to be undertaken, that is defined as applicable on the UKDSR and is required in accordance with the certification strategy.	
605	Naval Authority Certification Rules	The Accountable Person **shall** ensure that the Naval Authority Rules for certification are complied with as defined in the ship's certification strategy.	

Number	Title	Summary	Compliance Statement with evidence, actions, or non-applicability
606	Requirements, Design & Construction	The Accountable Person **shall** ensure that MOD shipping, systems, and equipment are specified, designed, constructed, integrated, operated, supported, and assured so that they achieve and maintain their intended purpose through life.	
607	Approval to Authorise MOD Shipping (AtAMS) Regulation amended Jan 2027	MOD shipping **shall** only be authorised to operate by an Accountable Person (AP) who holds a DMR AtAMS.	
608	Command Safety and Environmental Summary	The Accountable Person **shall** ensure that a Command Safety and Environmental Summary informs sea clearance as a prerequisite for a ship going to sea.	
609	Maintenance of Ship and Equipment	The Accountable Person **shall** ensure that evidence is provided that ships and equipment are maintained in accordance with procedures specified in the maintenance plan and conform to relevant rules and regulations.	
610	Ship Operations in Ports and Harbours	The Accountable Person **shall** ensure the management of their ships in ports and harbours avoids or minimises Health and Safety Risks and adverse environmental impacts.	
611	Minimising Water and Land Pollution from Defence Maritime Activities Regulation amended Jan 2027	The Accountable Person **shall** ensure that water and land pollution and adverse impacts on human health from Defence maritime activity are minimised and ultimately eliminated, so far as is reasonably practicable.	

Number	Title	Summary	Compliance Statement with evidence, actions, or non-applicability
612	Air Emissions from Ships Regulation amended Jan 2027	The Accountable Person **shall** take all practicable steps to reduce air emissions to ensure adverse impacts on air from Defence maritime activity are minimised.	
613	Energy Efficiency of MOD Shipping Regulation amended Jan 2027	The Accountable Person **shall** ensure that MOD Shipping is energy efficient, so far as is reasonably practicable.	
614	Removed	No longer in use	
615	Ballast Water Management Regulation amended Jan 2027	The Accountable Person **shall** take all practicable steps to ensure that adverse impacts to the environment, human health, property, and resources arising from the transportation of harmful aquatic organisms and pathogens from ballast water, are minimised and ultimately eliminated.	
616	Anti-fouling System Regulation amended Jan 2027	The Accountable Person **shall** take all practical steps to prohibit and reduce the use of harmful substances within anti-fouling systems to minimise and ultimately eliminate adverse impacts to the environment and human health.	
617	Dangerous Goods Reporting	The Accountable Person **shall** ensure that ships carrying dangerous or polluting stores, mission payloads and cargoes notify the bodies identified at registration and work with ships Commanding Officer/master to report carriage in accordance to relevant rules.	

Number	Title	Summary	Compliance Statement with evidence, actions, or non-applicability
618	Environmental Nuisance Management Regulation amended Jan 2027	The Accountable Person **shall** take all practicable steps to ensure that environmental nuisance caused by Defence maritime activity is minimised and ultimately eliminated.	
619	Ship Recycling Regulation amended Jan 2027	The Accountable Person **shall** take all practicable steps to ensure that adverse impacts to the environment and human health from ship recycling are minimised and ultimately eliminated.	
620	Wrecks Regulation amended Jan 2027	The Accountable Person **shall** ensure that where the loss of any MOD shipping or registered equipment leads to a MOD wreck, any safety hazards or environmental impacts are reported and managed.	
621	Operations in Polar Waters or Marine Protected Environments Regulation amended Jan 2027	The Accountable Persons **shall** take all practicable steps to ensure that MOD shipping meets the specific safety and/or environmental requirements for operations in Polar Waters or any Marine Protected Areas.	
700 Series			
701	Port Marine Safety Code Port and Marine Facilities Safety Code. Regulation amended Jan 2027	The Accountable Person (AP) for each MOD port, harbour or marine facility, both in the UK and overseas, **shall** ensure that management arrangements are developed, implemented and maintained in accordance with the principles of the Port and Marine Facilities Safety Code.	
702	Withdrawn	No longer in use	

Number	Title	Summary	Compliance Statement with evidence, actions, or non-applicability
703	Ports Safety and Environmental Compliance	The Accountable Person **shall** ensure that suitable and sufficient compliance statements and/or safety and environmental protection arguments are provided for all equipment and facilities within their Area of Responsibility.	
704	Explosives in MOD Ports and Harbours	The Accountable Person for the explosive safety of MOD shipping in harbour **shall** ensure compliance with the DSA03 DMR - Naval Authority Rules for the Control of the Explosives Risk from MOD Shipping at Berth.	
800 Series			
801	Defence Diving Code of Practice	The Accountable Person **shall** ensure compliance with the Defence Diving Code of Practice (JSP 286 Part 1 - Defence Diving Manual).	
802	Defence Diving Safety Certificate	Defence diving **shall** only be authorised by an Accountable Person and conducted by a diving team/unit that has been issued with a Defence Diving Safety Certificate by the Superintendent of Defence Diving.	
803	Diving Safety Verification – Commercial Diving Acquisition	The Accountable Person **shall** ensure that where commercial diving services are contracted by or on behalf of a Defence organisation that there is a process in place to ensure that the diving is compliant with DWR97.	

Number	Title	Summary	Compliance Statement with evidence, actions, or non-applicability
804	Assurance of Joint Service Adventurous Training Diving Expeditions (JSAT)	Single Service Adventurous Training Authorities and Accountable Persons authorising JSAT diving expeditions, and associated diving officers, **shall** be fully aware of their responsibilities under DWR 97 and the JSP 286.	

900 Series			
901	Assurance	Accountable Persons **shall** provide suitable and sufficient assurance that Defence maritime activities are compliant with these Defence Maritime Regulations.	
902	Audit Access	Accountable Persons **shall** provide assistance to DMR's regulatory processes for audit, investigation and inspection, and others as required.	
903	Duly Authorised Organisations	Duly Authorised Organisations authorised by DMR to conduct specific activities **shall** demonstrate compliance with their respective Charter.	
904	Enforcement Action Reporting Regulation created Jan 2027	The Accountable Person (AP) shall inform the Defence Maritime Regulator, as soon as practicable, if any of the vessels or maritime activities for which they are accountable is the subject of, or is affected by, any formal notice of non-compliance, non-conformance, enforcement action or formal recommendations by another regulator (defence or civilian) or other appropriate authority.	