

DSM SELF ASSESMENT					
Operator Name:					
Vessel Name:					
Assessor Name:			Assessment Date		
CERTIFICATES & QUALIFICATIONS			FINDING	COMMENTS/ACTIONS	
Is the Document of Compliance (DOC) valid and properly endorsed by the MCA?	Yes ☐	No ☐	N/A ☐		
Is the Domestic Safety Management Certificate (DSMC) valid and properly endorsed by the MCA?	Yes ☐	No ☐	N/A ☐		
Are all crew qualifications verified and in date (including medical certificates)?	Yes ☐	No ☐	N/A ☐		
Is the Passenger Certificate valid, and are the requirements for maintaining it being complied with?	Yes ☐	No ☐	N/A ☐		
OPERATIONS & PROCEDURES					
Have there been any changes to operations (area, route, bunkering, etc.)? If yes, are they documented and risk-assessed?	Yes ☐	No ☐	N/A ☐		
Have any work instructions or procedures been revised or newly produced?	Yes ☐	No ☐	N/A ☐		
Have any near misses or accidents been reported this year?	Yes ☐	No ☐	N/A ☐		

If yes, were these reported to MCA/MAIB as required?	Yes ☐	No ☐	N/A ☐		
TRAINING & DRILLS					
Have all required training exercises and drills been conducted?	Yes ☐	No ☐	N/A ☐		
Are crew familiar with their safety roles and DSM procedures?	Yes ☐	No ☐	N/A ☐		
MAINTANANCE & EQUIPMENT					
Has all planned maintenance been completed on schedule?	Yes ☐	No ☐	N/A ☐		
Have all daily, weekly, and monthly maintenance checklists been satisfactorily completed?	Yes ☐	No ☐	N/A ☐		
Have there been any breakdowns of essential/critical equipment?	Yes ☐	No ☐	N/A ☐		
If yes, have these breakdowns been investigated and corrective actions implemented?	Yes ☐	No ☐	N/A ☐		
FEEDBACK & IMPROVEMENT			COMMENTS		
Has anything been raised by ship staff requiring shore-based action?	Yes ☐	No ☐	N/A ☐		
Have staff requested any improvements or changes to the DSM system?	Yes	No ☐	N/A ☐		
Has the Operator made any improvements or changes to the DSM system within the last year?	Yes ☐	No ☐	N/A ☐		

Has the Company completed a formal review of the DSM system within the last three years?	Yes ☐	No ☐	N/A ☐	
Does the Self-Assessment conclude that the DSM system remains effective?	Yes ☐	No ☐	N/A ☐	

Definition

- A **major non-conformity (MNC)** is an identifiable deviation that poses a serious threat to the safety of personnel or the ship, or a serious risk to the environment, that requires immediate corrective action or reflects the lack of effective and systematic implementation of a requirement of the Code.
- A **non-conformity (NC)** is a deviation(s) from a requirement of a requirement.
- An **observation (OBS)** is a reference to circumstances which may, in future, lead to a deviation from a requirement.
- A **Point for Improvement (PI)** is an advisory note recorded during an audit when a situation is identified that does not meet the threshold for a formal finding but indicates potential to enhance safety management practices.

Example

EXAMPLE				FINDING	COMMENTS/ACTIONS
Have there been any changes to operations (area, route, bunkering, etc.)? If yes, are they documented and risk-assessed?	Y ☒	N ☐	N/A ☐	NC	The vessel's operating route was changed during the assessment period. Whilst the change has been implemented, several documents within the Safety Management System still refer to the previous route and operating area. The relevant documentation will be reviewed and updated to ensure it accurately reflects the vessel's current route and operation.
Has the SMS review been completed in the last three years?	Y ☒	N ☐	N/A ☐	NC	Last SMS review conducted on 12 June 2023; no evidence of review since. Schedule an immediate review and update the management review record.