



HO4

Appeal against a financial penalty

The application fee is £200

Use this form to appeal against the financial penalties listed on pages 2 and 3 which a local authority has imposed upon you and the local authority has given you a 'final notice'.

Separate forms are available for appeals relating to other types of penalties, including those for regulatory non-compliance, breaches under the Tenant Fees Act 2019, the Electrical Safety Standards in the Private Rented Sector (England) Regulations 2020, and breach of a banning order offence.

You must complete all sections and provide all documentation requested otherwise the tribunal cannot progress your application.

If you are unable to do so you must explain why at the end of this form. The tribunal will decide whether or not the application can proceed.

Before you apply

You will need a copy of the final notice as issued by the local authority.

Important: Tribunal cases and criminal proceedings

Some financial penalties are issued by local authorities as an alternative to prosecution for an offence in the Magistrates Court.

Therefore, when completing this form, you have the right not to incriminate yourself.

If you have any doubts, you should take legal advice.

If you have any questions

If you have any questions about this application contact the relevant regional office in **Annex 1**.

The tribunal cannot give legal advice on your case.

Serving the application

You must send a copy of the application to the respondent and any interested party by email or post.

Other notes

Write clearly if you are completing this form by hand.

Use another sheet of paper if there is not enough space for you to say everything.

Add your name at the top of any additional pages.

What type of appeal are you making?

A. Housing Offence

Penalty for **failure to comply with an improvement notice**

Penalty for **licensing offences** for Houses in Multiple Occupation (HMOs)

Penalty for **licensing offences** under Part 3 selective licensing

Penalty for **failure to comply with an overcrowding notice**

Penalty for **breaches of HMO management regulations**

A. Section 249A of the Housing Act 2004

B. Housing standards

Penalty where a property contains a **Category 1 hazard** under the Housing Health and Safety Rating System

B. Section 6A of the Housing Act 2004

C. Illegal eviction, harassment and tenancy termination

Penalty for **illegal eviction or harassment**

C. Section 1A of the Protection from Eviction Act 1977

D. Failure to comply with tenancy requirements

Penalty for **failure to provide a written statement of terms and information**

Penalty for purporting to **let a dwelling-house under a fixed-term tenancy** in breach of the prohibition

Penalty for **bringing a tenancy to an end**

- by serving a **notice to quit**
- **orally**

Penalty for serving a **purported notice of possession**

Penalty for failure to provide existing tenants with information about changes introduced by the Renters' Rights Act 2025 (Paragraph 7(2) of Schedule 6)

D. Sections 16I and 16K of the Housing Act 1988

E. Misuse of possession grounds

E. Sections 16I and 16K of the Housing Act 1988

Penalty for **relying on a Schedule 2 ground** where the person does not reasonably believe the landlord could obtain a possession order on that ground (where the tenant surrendered the tenancy within 4 months without an order for possession)

Penalty for **using specified grounds (1B, 2ZA–2ZD, 4, 5–5H, 6A or 18)** without providing a required statement at the start of the tenancy

Penalty for **using a ground of possession knowing (or being reckless as to whether)** the landlord could not obtain a possession order (where the tenant surrendered the tenancy within 4 months without an order for possession)

F. Reletting or marketing restrictions

F. Sections 16K of the Housing Act 1988

Penalty where a person **relets or markets a property within the restricted period** after using ground 1 or 1A (the moving in or selling grounds), or authorises another person to do so.

G. Continuing or repeated prohibited conduct

G. Sections 16I and 16K of the Housing Act 1988

Penalty for a **continuing breach**, where prohibited conduct continues for more than 28 days beginning with the day after a previous financial penalty was imposed

Penalty for the offence of certain prohibited conduct, where an earlier financial penalty or conviction was received in the previous five years (repeat breach).

H. Rental discrimination or rental bidding

H. Sections 40 and 57 Renters Rights Act 2025

Penalty where a prospective landlord (or representative) **discriminates against a prospective tenant** who has children and/or is in receipt of benefits

Penalty for **not stating the proposed rent in a written advertisement, inviting or encouraging offers above the stated rent, or accepting a written offer above the stated rent.**

1. Applicant's details

1.1 Name

1.2 Address

First line of address

Second line of address

Town or city

County (optional)

Postcode

1.3 Phone number

Day

Evening

Mobile

1.4 Email address

Details of applicant's representative (if any)

1.5 Name

1.6 Reference number (if any)

Where details of a representative have been given, all correspondence and communications will be with them until the tribunal is notified that they are no longer acting for you.

1.7 Address

First line of address

Second line of address

Town or city

County (optional)

Postcode

1.8 Phone number

Day

Mobile

1.9 Email address

2. Address of subject property

2.1 Is the address of the subject property the same as the applicant's address?

Yes

No, provide the address below

Address

First line of address

Second line of address

Town or city

County (optional)

Postcode

2.2 Reference number for correspondence (optional)

2.3 Brief description of the property

Note 2.2: Add a short description of the Property, for example:

- 2-bedroom flat in a converted house with 6 flats

3. Details of local housing authority

3.1 Name of local housing authority

3.2 Address

First line of address

Second line of address

Town or city

County (optional)

Postcode

3.3 Address for correspondence (if different from question 3.2)

First line of address

Second line of address

Town or city

County (optional)

Postcode

3.4 Reference number on Final notice

3.5 Contact person on Final notice

3.6 Phone number

Day

Evening

Mobile

3.7 Email address

Details of respondent's representative (if any)

3.8 Name

3.9 Reference number (if any)

3.10 Address

First line of address

Second line of address

Town or city

County (optional)

Postcode

3.11 Phone number

Day

Mobile

Where details of a representative have been given, all correspondence and communications will be with them until the tribunal is notified that they are no longer acting for you.

4. Other applications

- 4.1** Are you, or have you been involved in any other appeal in respect of a financial penalty or notice served on you by the local authority?

Yes. **Give details in the box below.**

No

Give details including the case reference number and the date of the decision (where relevant).

5. Can we deal with your application without a hearing?

It is possible for your application to be dealt with only on written representations and documents without anyone needing to attend in person. This is called a paper determination.

- 5.1** Do you think a paper determination is right for your case?

Yes

No

Note 5.1: Even if you have asked for a paper determination the tribunal may decide that a hearing is necessary.

You'll need to pay an additional fee of £300 if a hearing date is set.

Urgency of application

5.2 Is your case urgent?

Yes, **explain why it is urgent in the box below**

No

My case is urgent because

6. Would you be willing to engage in mediation to resolve your dispute?

The tribunal offers free mediation conducted by trained judicial mediators who are familiar with the relevant law.

Visit this website for an information video: Judicial Mediation in the First-tier Tribunal Property Chamber <https://www.youtube.com/watch?v=Mc9ngJs4Ux8>.

Agreements to mediate may be sent to the parties or may be requested from the case officer. Upon receipt of signed agreements from both parties, the tribunal will seek to arrange a date. Using mediation will not delay the application.

6.1 Would you be willing to engage in mediation to resolve this dispute, if the other side agrees and the tribunal thinks it appropriate?

Yes

No

7. Availability

Are there any dates or days we must avoid during the next six months (either for your convenience or the convenience of any witness or expert you may wish to call)?

Yes. **Please list them in the box below.**

No

Dates on which you will **not** be available:

8. Venue requirements

8.1 Do you or anyone coming with you have any special requirements, such as, the use of a wheelchair and/or the presence of a translator?

Yes. **Please list them in the box below.**

No

Applications handled by the London regional office are usually heard in Alfred Place, which is fully wheelchair accessible. Elsewhere, hearings are held in local venues which are not all so accessible and the case officers will find it useful to know if you or anyone you want to come to the hearing with you has any special requirements of this kind.

9. Specific questions relating to your appeal

To help the tribunal case manage your appeal, please provide answers to as many of the following questions as you can. You will be given an opportunity later to provide more information and evidence.

Penalty based on a breach of management regulations in respect of HMOs

- 9.1** Do you agree that you have breached one or more of the Management Regulations in respect of HMOs?

Yes. **Give details in the box below.**

No. **If no other reasons for imposing a penalty apply, go to question 9.9 below.**

I have breached

Penalty based on a breach of conditions attached to a licence

- 9.2** Do you agree that you have breached one or more of the conditions attached to a licence granted in respect of the property?

Yes. **Give details in the box below.**

No. **If no other reasons for imposing a penalty apply, go to question 9.9.**

I have breached

Penalty based on a failure to comply with a notice or order served by a local authority. Such as, an improvement notice or overcrowding notice.

- 9.3** Do you agree that such a notice or order was served on you?

Yes. **It was served on me on the**

Day	Month	Year

No

9.4 Do you agree that you have not complied with the notice or order?

Yes

No. **If no other reasons for imposing a penalty apply, go to question 9.9.**

Penalty based on the property not having a relevant licence

9.5 Do you agree that on the date specified in the local authority's final notice the property was in an area that required a mandatory, additional or selective licence?

Yes

No

9.6 Do you agree that the property did not have a mandatory, additional or selective licence on the date specified in the local authority's final notice?

Yes

No

9.7 Have you applied for a licence in respect of the property?

Yes. **I applied on**

Day	Month	Year

No

9.8 Have you applied for a temporary exemption?

Yes. I applied for a temporary exemption on

Day	Month	Year

No. **Go to question 9.9.**

In all cases

9.9 What is your interest in the property?

Freeholder

Leaseholder

Other, please explain

9.10 Did you receive a notice of intent before the final penalty notice?

Yes

No

9.11 Did you make any representations about the intention to impose a penalty?

Yes. **Give details below.**

No

Briefly summarise the representations you made

9.12 Did the local authority notify you that it had considered your representations and of the outcome?

Yes

No

9.13 Did the final notice state any of the following?

the amount of the penalty

Yes

No

the reasons for imposing the penalty

Yes

No

how to pay the penalty

Yes

No

the period for payment of the penalty

Yes

No

your right of appeal

Yes

No

consequences of not complying with the notice

Yes

No

9.14 Has the penalty been explained to you and how the amount was calculated, by reference to the local authority's policy or a matrix?

Yes

No

9.15 Do you disagree with the calculation?

Yes. **Explain why in the box below.**

No

9.16 Set out the reasons for your appeal:

Note: If you were given a penalty for failing to comply with an improvement or overcrowding notice, for licensing offences, or for breaches of the HMO Management Regulations, it is a defence if you had a reasonable excuse for failing to comply with the notice, for not licensing the property, or for failing to comply with the Management Regulations.

9.17 Without admitting liability or detracting in any way from your previous answers, do you wish to make any practical proposals now to settle your appeal? If so, please set them out here.

Yes. **Set out your proposal in the box below.**

No

I propose the case could be settled in the following way

10. Statement of truth

I believe that the facts stated in this form and any continuation pages are true.

Signature

Date

Day	Month	Year

Full name

11. Additional information

If you have not completed all sections of this form please tell us in the box below which sections have not be completed and why?

12. Application fee

What you need to pay

The application fee is **£200**

How to pay the fee

I have not included payment because

I have applied for help with fees online and my reference number is

H	W	F							
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I am applying for help with fees, see attached form EX160 'Apply for help with fees'

Other – please explain why

I want to pay by online banking

Email me details on how to pay, my email address is

I enclose a cheque or postal order, made payable to HMCTS

Fee account details – for use by legal professionals

Your account number

P	B	A							
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Your reference (if applicable)

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Note for application fee

You'll need to pay an additional fee of £300 when the hearing date is set.

You may be able to get help paying fees if you only have a small amount in savings, receive certain benefits or are on a low income.

See www.gov.uk/get-help-with-court-fees for more information.

Online banking: The tribunal will send you a reference number so you can make a payment online.

Cheques or postal order: Write your name and address on the back of the cheque or postal order.

13. Documents to be included with your application

The following **must** be included with your application:

A copy of the **Final Notice** given to you by the local housing authority.

Application fee - see page 20 for ways to pay the fee

After you have completed this form

- Send a copy of your application to the local authority.
- Email your application and the documents from the checklist to the relevant regional tribunal address shown in the Annex 1 to this form.
- If you cannot email the tribunal, you can send the application by post.

Annex 1

List of addresses of tribunal regional offices

Northern region

Address:

HM Courts & Tribunals Service
First-tier Tribunal (Property Chamber)
Residential Property
1st Floor, Piccadilly Exchange
Piccadilly Plaza
Manchester
M1 4AH

Telephone: 01612 379491

Email address: RPNorthern@justice.gov.uk

This office covers the following metropolitan

districts: Barnsley, Bolton, Bradford, Bury, Calderdale, Doncaster, Gateshead, Kirklees, Knowsley, Leeds, Liverpool, Manchester, Newcastle-upon-Tyne, Oldham, Rochdale, Rotherham, St. Helens, Salford, Sefton, Sheffield, Stockport, Sunderland, Tameside, Trafford, Tyneside (North and South), Wakefield, Wigan and Wirral.

It also covers the following unitary

authorities: Hartlepool, Middlesbrough, Redcar and Cleveland, Darlington, Halton, Blackburn with Darwen, Blackpool, Kingston-upon-Hull, East Riding of Yorkshire, Northeast Lincolnshire, North Lincolnshire, Stockton-on-Tees, Warrington and York.

It also covers the following Counties:

Cumbria, Durham, East Cheshire, Lancashire, Lincolnshire, Northumberland, North Yorkshire and West Cheshire.

Midland region

Address:

HM Courts & Tribunals Service
First-tier Tribunal (Property Chamber)
Residential Property
Centre City Tower
5-7 Hill Street
Birmingham
B5 4UU

Telephone: 0121 600 7888

Email address: RPMidland@justice.gov.uk

This office covers the following metropolitan

districts: Birmingham, Coventry, Dudley, Sandwell, Solihull, Walsall and Wolverhampton.

It also covers the following unitary

authorities:

Derby, Leicester, Rutland, Nottingham, Herefordshire, Telford and Wrekin and Stoke-on-Trent.

It also covers the following Counties:

Derbyshire, Leicestershire, Nottinghamshire, Shropshire, Staffordshire, Warwickshire and Worcestershire.

Eastern region

Address:

HM Courts & Tribunals Service
First-tier Tribunal (Property Chamber)
Residential Property
Cambridge County Court
197 East Road
Cambridge
CB1 1BA

Telephone: 01223 841 524

Email address: RPEastern@justice.gov.uk

This office covers the following

metropolitan districts: Bracknell Forest, West Berkshire, Reading, Slough, Windsor and Maidenhead, Wokingham, Luton, Peterborough, Milton Keynes, Southend-on-Sea and Thurrock.

It also covers the following Counties:

Bedfordshire, Berkshire, Buckinghamshire, Cambridgeshire, Essex, Hertfordshire, Norfolk, Northamptonshire, Oxfordshire and Suffolk.

Southern region

Address:

HM Courts & Tribunals Service
First-tier Tribunal (Property Chamber)
Residential Property
Havant Justice Centre
The Court House
Elmleigh Road
Havant
Hants
PO9 2AL

Telephone: 01243 779 394

Email address: RPSouthern@justice.gov.uk

This office covers the following

unitary authorities: Bath and Northeast Somerset, Bristol, North Somerset, South Gloucestershire, Bournemouth, Plymouth, Torbay, Poole, Swindon, Medway, Brighton and Hove, Portsmouth, Southampton and the Isle of Wight.

It also covers the following Counties:

Cornwall and the Isles of Scilly, Devon, Dorset, East Sussex, Gloucestershire, Hampshire, Kent, Somerset, Surrey, West Sussex and Wiltshire

London region

Address:

HM Courts & Tribunals Service
First-tier Tribunal (Property Chamber)
Residential Property
10 Alfred Place
London
WC1E 7LR

Telephone: 020 7446 7700

Email address: London.RAP@justice.gov.uk

This office covers all the London boroughs.

For information on how HM Courts and Tribunals Service process and store your data visit:
www.gov.uk/hmcts/privacy-policy