The Capacity Market (Amendment) (No.3) Rules 2026

Presented to Parliament pursuant to section 41(9) of the Energy Act 2013

The Capacity Market (Amendment) (No.3) Rules 2026

1 Citation, Commencement and Interpretation

1.1. These Rules may be cited as the Capacity Market (Amendment) (No.3) Rules 2026.

1.2. These Rules come into force on the day after the day on which these Rules are made.

1.3. In these Rules:

1.3.1 "the Rules" means the Capacity Market Rules 2014 as amended by:

a) the Capacity Market (Amendment) Rules 2014;

b) the Capacity Market (Amendment) (No. 2) Rules 2014;

c) the Capacity Market (Amendment) Rules 2015;

d) the Capacity Market (Amendment) (No. 2) Rules 2015;

e) the Capacity Market (Amendment) Rules 2016;

f) the Capacity Market (Amendment) (No. 2) Rules 2016;

g) the Capacity Market (Amendment) (No. 3) Rules 2016;

h) the Capacity Market (Amendment) Rules 2017;

i) the Capacity Market (Amendment) (No. 2) Rules 2017;

j) the Capacity Market (Amendment) (No. 3) Rules 2017;

k) the Capacity Market (Amendment) (No. 4) Rules 2017;

l) the Capacity Market (Amendment) Rules 2018;

m) the Capacity Market (Amendment) Rules 2019;

n) the Capacity Market (Amendment) (No. 2) Rules 2019;

o) the Capacity Market (Amendment) (No. 3) Rules 2019;

p) the Capacity Market (Amendment) (No. 4) Rules 2019;

q) the Capacity Market (Amendment) (No. 5) Rules 2019;

r) the Capacity Market (Amendment) Rules 2020;

s) the Capacity Market (Amendment) (No. 2) Rules 2020;

t) the Capacity Market (Amendment) (No. 3) Rules 2020;

u) the Capacity Market (Amendment) Rules 2021;

v) the Capacity Market (Amendment) (No. 2) Rules 2021;

w) the Capacity Market (Amendment) Rules 2022;

x) the Capacity Market (Amendment) (No. 2) Rules 2022;

y) the Capacity Market (Amendment) Rules 2023;

z) the Capacity Market (Amendment) Rules 2024;

z1) the Capacity Market (Amendment) (No. 2) Rules 2024;

z2) the Capacity Market (Amendment) (No. 3) Rules 2024;

z3) the Capacity Market (Amendment) Rules 2025;

z4) the Capacity Market (Amendment) (No. 2) Rules 2025;

z5) the Capacity Market (Amendment) (No. 3) Rules 2025;

z6) the Capacity Market (Amendment) Rules 2026; and

z7) The Capacity Market (Amendment) (No.2) Rules 2026

1.3.2 a reference to a Chapter, Rule or numbered Schedule by number alone is a reference to the Chapter, Rule or Schedule so numbered in the Rules; and

1.3.3 expressions which are defined in the Rules have the same meaning as in the Rules.

2 Application

2.1 All amendments apply (unless otherwise stated or amended from time to time) in respect of any Capacity Agreement that has been awarded as a result of a Capacity Auction held before these Rules come into force and in respect of any Capacity Agreement awarded as a result of a Capacity Auction held after these Rules come into force.

3 Amendments

3.1 The Rules are amended as set out in the Schedule to these Rules.

SCHEDULE

Part 1

Independent Technical Expert Definition Updates & Report Templates

1 Amendments to Chapter 1 (General Provisions)

1.1 In Rule 1.2.1 (Definitions):

1.1.1 In the definition of “Independent Technical Expert”

(a) In paragraph (a) for “independent”, substitute:

“Independent”;

(b) in paragraph (c)(i), omit:

“international”;

(c) in paragraph (c)(ii), omit:

“international”.

1.1.2 In the definition of “Operational”:

(a) In paragraph (b), for “certificate”, substitute:

“report in the form set out in Report E of Exhibit KA”.

(c) In paragraph (c), for “certificate” substitute

“report in the form set out in Report E of Exhibit KA”

(c) In paragraph (d), for “certificate” substitute:

“report in the form set out in Report E of Exhibit KA”.

2 Amendments to Chapter 3 (Prequalification Information)

2.1 In Rule 3.8A.4:

(a) After “Independent Technical Expert”, insert:

“,in the form set out in Report FH of Exhibit KA,”.

3 Amendments to Chapter 6 (Capacity Agreements)

3.1 In Rule 6.6.1:

(a) in paragraph (a), after “Independent Technical Expert”, insert:

“in the form set out in Report C of Exhibit KA”.

3.2 In Rule 6.7 (Achieving the Substantial Completion Milestone):

(a) In Rule 6.7.7, in paragraph (b)(iii), after “Independent Technical Expert”, insert:

“in the form set out in Report D of Exhibit KA”.

(b) After Rule 6.7.7, insert:

“6.7.7A A report provided by the relevant Capacity Provider to the Delivery Body under Rule 6.7.7, the report must be in the form set out in Report D of Exhibit KA.”

(c) In Rule 6.7B.1, In paragraph (c), for “a report prepared by an Independent Technical Expert”, substitute:

“a report prepared by an Independent Technical Expert in the form set out in Report G of Exhibit KA”.

4 Amendments to Chapter 7 (Capacity Market Register and Capacity Market Metering Register)

4.1 In Rule 7.5.1:

(a) in paragraph (n), for “certificate”, substitute:

“report”.

5 Amendments to Chapter 8 (Evidence of Total Project Spend)

5.1 In Rule 8.3.6(a):

(a) for “certificate”, substitute:

“report”;

(b) after “Independent Technical Expert”, insert:

“in accordance with paragraph (e)”.

(c) in Rule 8.3.6(a)(iii), for “;”, substitute “.”

5.2 After Rule 8.3.6(d), insert:

" (e) A report provided by the relevant Capacity Provider to the Delivery Body under Rule 8.3.6(a), this report must be in the form set out in Report A of Exhibit KA for a Generating CMU or Report A1 of Exhibit KA for an Unproven DSR CMU."

5.3 In Rule 8.3.6A:

(a) in paragraph (b), for "certificate", substitute:

"report".

after paragraph (f), insert:

"(g) A report provided by the relevant Capacity Provider to the Delivery Body under Rule 8.3.6A (b) must be in the form set out in Report B of Exhibit KA".

5.4 In Rule 8.3.6B:

in paragraph (a)(iii), omit "and/".

6 Amendments to Chapter 12 (Monitoring)

6.1 In Rule 12.2.4:

(a) In paragraph (a), for "commentary from an Independent Technical Expert", substitute:

"report from an Independent Technical Expert in the form set out in Report F of Exhibit KA".

6.2 After Rule 12.5.1, insert:

"12.5.2 Any ITE report provided by the Capacity Provider must include:

(i)"a description of the work that has been conducted by the relevant Independent Technical Expert; and

(ii)a description of the experience and technical expertise of all individuals involved in preparing, contributing or approving the content of the Independent Technical Expert report, including any relevant qualifications obtained by those individuals and their membership of any relevant professional bodies."

After Exhibit J, insert:

"Exhibit KA: Form of ITE Report Templates

The updated Rules under "The Capacity Market (Amendment) (No.3) Rules 2026" will apply to ITE reports dated from the opening of the 2026 Prequalification Window, ensuring that reports prepared prior to this date can continue to be submitted to the Delivery Body. ITE Reports signed and dated prior to the commencement of the 2026 Prequalification Window may be used until the commencement of the

2029 Prequalification Window. After this time, all ITE reports submitted to the Delivery Body must use the mandatory templates.

Report A of Exhibit KA: Evidence of Total Project Spend – Independent Technical Expert Report

General Identification Information

Please complete the information below to allow the Delivery Body to identify the Capacity Market Unit (CMU) in respect of which the Independent Technical Expert's report provides evidence of Total Project Spend. Existing ITE Reports signed and dated prior to the commencement of the 2026 Prequalification Window may be used until the commencement of the 2029 Prequalification Window. After this time, all ITE reports submitted to the Delivery Body must use the mandatory templates.

Item	Guidance	Information from Independent Technical Expert
Unique CMU Identifier	This is the unique CMU identifier as per the latest Capacity Agreement Notice.	
Capacity Agreement Reference	This is the Capacity Agreement Reference as per the latest Capacity Agreement Notice.	

Identity of the Independent Technical Expert

Item	Guidance	Information from Independent Technical Expert
Full Name		
Business Entity Name		
Contact Telephone(s)		
Contact Email(s)		
Business Address	Provide if available	

Demonstration of the Costs incurred

Please complete the following information for the CMU identified above.

Item	Guidance	Information from Independent Technical Expert

1) CMU Type	Any of: • New Build CMU • Refurbishing CMU • Unproven DSR CMU	
2) Qualifying period – Start Date	Earliest date of Capital Expenditure incurred that can be included in the Total Project Spend figure based on CMU type.	
3) Qualifying period – End Date	Latest date of spending that can be included in the Total Project Spend figure based on CMU type.	
4) Total Project Spend (£) ~~[A]~~	When calculating Total Project Spend it is good practice to evidence Capital Expenditure with relevant invoices. Capital Expenditure must be determined in accordance with International Accounting Standard 16 (IAS16).	
5) Actual Spend Incurred – Start Date	Earliest date of Capital Expenditure incurred that is included in the calculation of the Total Project Spend figure	
6) Actual Spend Incurred – End Date	Latest date of Capital Expenditure incurred that is included in the calculation of the Total Project Spend figure	
7) De-Rated Capacity (kW)	This is the De-rated Capacity value at Auction	
8) Qualifying £/kW Capital Expenditure	This is the Qualifying £/kW Capital Expenditure as per Rule 8.3.6 If the spend per kW is less than the Fifteen Year Minimum £/kW Threshold, the Nine Year Minimum £/kW Threshold or the Three Year Minimum £/kW Threshold, Capacity Agreement lengths may be reduced in accordance with Rule 8.3.6D If the CMU is a Refurbishing CMU the Qualifying £/kW Capital Expenditure must be determined, so far as possible, without reference to any substantive routine or statutory maintenance works required at the Refurbishing CMU, other than those necessary to deliver Lifetime Extension Works and which are incurred, or are expected to be	

	incurred, between the Auction Results Day for the T-4 Auction to which the Application relates and the start of the first Delivery Year.	
9) Declared Long Stop CMU	Has the Capacity Provider declared a Long Stop Date? If so, was it for 12-months? (89-months before Long Stop Date) If so, was it for 24-months? (101-months before Long Stop Date)	
10) Long Stop Date extension	Has a Capacity Provider previously obtained a Long Stop Date extension? If so, what is the latest agreed Long Stop Date, such that the Total Project Spend qualifying period has been changed accordingly?	

Table 1 : Demonstration of incurred costs

Complete the following information for the CMU identified above:

Q1: Is the CMU a Refurbishing CMU?

Yes ☐ No ☐

If the answer to Q1 is no do not complete Q2, Q3 and Q4. If the answer to Q1 is yes complete all remaining questions in this report.

Q2: Does the Total Project Spend incurred include spend that was previously declared under Rule 3.7.2(c) in respect of any application for Prequalification by a CMU but which a certificate required by Rule 8.3.6 demonstrates was not incurred?

Yes ☐ No ☐

If the answer to Q2 is no do not complete items 11 and 12.

Item	**Guidance**	**Information from Independent Technical Expert**
11) CMU ID	This is the CMU ID associated with the Total Project Spend value in the row below	

12) Total Project Spend (£) that was not incurred that was declared on another Prequalification Application		

Table 2: Total Project Spend declared under other Application that was not incurred

Q3: Does the Total Project Spend incurred include spend that was previously declared under Rule 3.7.2 (c) in respect of a Capacity Agreement which has been terminated in accordance with Rule 6.10.2 (e)?

Yes ☐ No ☐

If the answer to Q3 is no do not complete items 13 and 14.

Item	**Guidance**	**Information from Independent Technical Expert**
13) CMU ID	This is the CMU ID associated with the Total Project Spend value in the row below	
14) Total Project Spend (£) that was associated with a terminated CMU		

Table 3: Total Project Spend declared that was terminated

Q4: Excluding any Total Project Spend identified in Q2 and Q3, does the Total Project Spend incurred include any spend declared under Rule 3.7.2(c) in respect of any application for Prequalification by a CMU which subsequently gained a Capacity Agreement in respect of a Refurbishing CMU?

Yes ☐ No ☐

Note: Capital Expenditure incurred outside the relevant qualifying period cannot be included as part of this Total Project Spend. If the answer to Q4 is yes this report will be rejected.

Q5: Is the CMU intended to be a Declared Low Carbon CMU?

Yes ☐ No ☐

Q6: Is the CMU intended to be a Three Year Zero Capex Threshold CMU?

Yes ☐ No ☐

Independent Technical Expert Statement:

We confirm that for the above Capacity Market Unit (CMU):

(i) Where the CMU is a Declared Low Carbon CMU but not a Three Year Zero Capex CMU we are satisfied, on the basis of evidence reviewed, that the Total Project Spend incurred divided by the De-Rated Capacity of the CMU is:

- equal to or greater than the Fifteen Minimum £/kW Threshold ☐
- equal to or greater than the Nine Year Minimum £/kW Threshold and less than the Fifteen Year Minimum £/kW Threshold ☐
- equal to or greater than the Three Year Minimum Capex Threshold and less than the Nine Year Minimum £/kW Threshold ☐
- less than the Three Year Minimum £/kW Threshold ☐

(i) Where the CMU is Declared Low Carbon CMU and a Three Year Zero Capex Threshold CMU we are satisfied, on the basis of evidence reviewed, that the Total Project Spend incurred divided by the De-Rated Capacity of the CMU is

- equal to or greater than the Fifteen Year Minimum £/kW Threshold ☐
- equal to or greater than the Nine Year Minimum £/kW Threshold and less than the Fifteen Year Minimum £/kW Threshold ☐
- less than the Nine Year Minimum £/kW Threshold and equal to or greater than zero ☐

(i) Where declarations (a) and (b) above are not applicable we are satisfied, on the basis of evidence reviewed, that the Total Project Spend incurred divided by the De-Rated Capacity of the CMU is,

- less than the Three Year Minimum £/kW Threshold ☐
- equal to or greater than Three Year Minimum £/kW Threshold and less than the Fifteen Year Minimum £/kW Threshold ☐
- equal to or greater than the Fifteen Minimum £/kW Threshold ☐

(i) We are satisfied that the Capacity Provider's accounting policies comply with International Accounting Standard 16 and that International Accounting Standard 16 has been used when calculating the Total Project Spend;

(i) When determining the Total Project Spend incurred the amount of Capital Expenditure only relates to property, plant and equipment which has the primary purpose of delivering capacity, and complies with International Accounting Standard 16, including both new property, plant and equipment and qualifying work undertaken on existing property, plant and equipment where it forms part of refurbishment or Lifetime Extension Works,

- for a Generating CMU or an Unproven DSR CMU, on that CMU, or
- for an Interconnector CMU, on that CMU together with the Non-GB Part;

(i) We have sufficient expertise and experience to meet the requirements in the Capacity Market Rules to act as an Independent Technical Expert;

(i) We are Independent of the Capacity Provider;

(i) This report is true, correct and completed to the Required Technical Standard; and

(i) A description of work that has been conducted has been provided to the Capacity Provider

Signed	
Signed on behalf of (where applicable) Use this box when signing on behalf of a Company or Partnership or other business entity. Do not use this box if you are signing work in a personal capacity (i.e. sole trader).	
Print name	

Report A1 of Exhibit KA: Unproven DSR CMUs Total Project Spend – Independent Technical Expert Report

General Identification Information

Please complete the information below to allow the Delivery Body to identify the Capacity Market Unit (CMU) in respect of which the Independent Technical Expert's report provides evidence of Total Project Spend. Existing ITE Reports signed and dated prior to the commencement of the 2026 Prequalification Window may be used until the commencement of the 2029 Prequalification Window. After this time, all ITE reports submitted to the Delivery Body must use the mandatory templates.

Item	Guidance	Information from Independent Technical Expert
Unique CMU Identifier	This is the unique CMU identifier as per the latest Capacity Agreement Notice.	
Capacity Agreement Reference	This is the Capacity Agreement Reference as per the latest Capacity Agreement Notice.	

Identity of the Independent Technical Expert

Item	Guidance	Information from Independent Technical Expert
Full Name		
Business Entity Name		
Contact Telephone(s)		
Contact Email(s)		
Business Address	Provide if available	

Eligibility

Complete the following information for the CMU identified above:

Q1: Is the CMU an Unproven DSR CMU?

Yes ☐ No ☐

If the answer to Q1 is no do not complete this report. If the answer to Q1 is yes complete all questions and declarations in this report.

For the table below identify each DSR CMU Component comprising the CMU identified in this report.

DSR Component	Meter Manufacturer Serial Number component in respect of which the highest Capital Expenditure forming part of the Total Project Spend has been incurred

Table 1: Demonstration of incurred costs

In respect of each DSR CMU Component, specify the amount of Capital Expenditure for that component. Where all of the following circumstances apply specify an amount of zero for the Capital Expenditure in respect of a DSR CMU Component (noting this as the reason for specifying an amount of zero).

- the DSR CMU Component formed part of another CMU ("CMU B");
- CMU B held a Capacity Agreement of a duration exceeding one Delivery Year; and
- CMU B incurred Capital Expenditure in respect of the DSR CMU Component, which was certified under Rule 8.3.6 to be part of the Total Project Spend for the CMU identified in this report.

DSR Component	Capital Expenditure	If zero reason

Table 2: Capital Expenditure Table

Independent Technical Expert Statement:

We confirm that for the above Capacity Market Unit (CMU) on the basis of evidence reviewed that:

(c) We are Independent of the Capacity Provider;

(c) This report is true, correct and completed to the Required Technical Standard; and

(c) A description of work that has been conducted has been provided to the Capacity Provider.

Signed	
Signed on behalf of (where applicable) Use this box when signing on behalf of a Company or Partnership or other business entity. Do not use this box if you are signing work in a personal capacity (i.e. sole trader).	
Print name	
Date	

Report B of Exhibit KA: Meeting Extended Years Criteria – Independent Technical Expert Report

General Identification Information

Please complete the information below to allow the Delivery Body to identify the Capacity Market Unit (CMU) that is wishing to submit the Independent Technical Expert report to provide evidence of the CMU meeting the Extended Years Criteria (EYC) for this Capacity Agreement. Existing ITE Reports signed and dated prior to the commencement of the 2026 Prequalification Window may be used until the commencement of the 2029 Prequalification Window. After this time, all ITE reports submitted to the Delivery Body must use the mandatory templates.

Item	Guidance	Information from Capacity Provider or Independent Technical Expert
Unique CMU Identifier	This is the unique CMU identifier as per the latest Capacity Agreement Notice (CAN).	

Capacity Agreement Reference	This is the Capacity Agreement Reference as per the latest Capacity Agreement Notice (CAN).	

Identity of the Independent Technical Expert

Item	Guidance	Information from Independent Technical Expert
Full Name	N/A	
Business Entity Name	N/A	
Contact Telephone(s)	N/A	
Contact Email(s)	N/A	
Business Address	Provide if available	

New Apparatus as per 8.3.6B(a)(i)

Please complete the following information for the CMU identified above:

Q1: Do any Generating Units within the CMU contain a Core Generating Plant with new Apparatus?

Yes ☐ No ☐

If the answer to Q1 is yes complete item 1 below. If the answer to Q1 is no do not complete item 1 below.

Item	Guidance	Information from Independent Technical Expert
1) For each Generating Unit within the CMU that contains a Core Generating Plant with new Apparatus provide evidence that the Apparatus is new	Providing relevant invoices or contractual documents is good practice where possible.	

Table 3: ITE evidence if the CMU contains new Apparatus

New and Rebuilt Apparatus as per 8.3.6B(a)(ii)

Please complete the following information for the CMU identified above:

Q2: Do any Generating Units within the CMU contain a Core Generating Plant with both new and rebuilt Apparatus?

Yes ☐ No ☐

If the answer to Q2 is yes complete item 2 below. If the answer to Q2 is no do not complete item 2 below.

Item	Guidance	Information from Independent Technical Expert
2) For each Generating Unit that contains a Core Generating Plant that contains both new and rebuilt Apparatus provide evidence that at least one complete generator or turbine is new	Providing relevant invoices or contractual documents is good practice where possible.	

Table 4: Independent Technical Expert evidence if the CMU contains both new and rebuilt Apparatus

Rebuilt or Reused Apparatus as per 8.3.6B(a)(iii)

Please complete the following information for the CMU identified above:

Q3: Do any Generating Units within the CMU contain a Core Generating Plant with any rebuilt or previously used Apparatus?

Yes ☐ No ☐

If the answer to Q3 is yes complete Q4, item 3 and Q5. If the answer to Q3 is no do not complete Q4, item 3 or Q5 below.

Q4: Has any Generating Unit within the CMU been used, or been available for use, for the generation and Export of electricity in Great Britain at any time in the three years preceding this Application? (As per 8.3.6B(a)(iii)(aa))

Yes ☐ No ☐

Please note that if the answer to Q4 is yes, the CMU cannot meet the Extended Years Criteria.

Item	Guidance	Information from Independent Technical Expert
3) Please confirm the location/site of the previously used Generating Unit	Full address to be provided	

Table 5: Confirmation of location/site of previously used Generating Unit

Q5: Does any Generating Unit within the CMU:

(a) Form part of a CMU that is installed on a site that has not previously been used for that CMU; and

(b) Form part of a CMU which is installed on a site that has not previously been used for that CMU and benefits from a new Grid or Distribution Connection Agreement? (As per 8.3.6B(a)(iii)(bb))

Yes ☐ No ☐

Please note that if the answer to Q5 is no, the CMU cannot meet the Extended Years Criteria.

Routine Maintenance

Q6: Can each Generating Unit of the CMU, with routine maintenance, be expected to remain capable of operation for at least fifteen years beginning with the first Delivery Year for which the Capacity Agreement is awarded? (As per 8.3.6B(b))

Yes ☐ No ☐

Please note that if the answer to Q6 is no, then the CMU cannot meet the Extended Years Criteria.

Item	Guidance	Information from Independent Technical Expert
4) Provide evidence that each Generating Unit of the CMU, with routine maintenance, can be expected to remain capable of operation for at least fifteen years beginning with the first Delivery Year for which the Capacity Agreement is awarded	N/A	
5) Provide an estimate of the operational lifespan of each	The operational lifespan provided should not assume any significant	

Generating Unit within the CMU	refurbishment or rebuild that goes beyond routine maintenance	

Table 6: Operational lifespan information

Environmental Impacts and Energy Efficiency Standards

Q7: Is the CMU a combustion installation?

Yes ☐ No ☐

If Q7 is yes then complete Q8, if Q7 is no then complete Q9.

Q8: Is the CMU combustion installation covered by the BREF?

Yes ☐ No ☐

Note that "BREF" means the most recent version of the "Best Available Techniques Reference Document for Large Combustion Plants" issued by the European Commission pursuant to the Industrial Emissions Directive 2010 [Directive 2010/75/EU] prior to IP Completion Day (11pm on 31 December 2020), and as implemented by the Environment Agency, Natural Resources Wales, or Scottish Environment Protection Agency (as applicable) after IP Completion Day as per 8.3.6C(a).

If Q8 is yes then complete item 6 and no further questions or items in the Environmental Impacts and Energy Efficiency Standards section of this form, if Q8 is no then complete Q9

Item	Guidance	Information from ITE
6) If the CMU comprises a combustion installation covered by the BREF, provide the introductory note to the permit issued in respect of that CMU by the Environment Agency, Natural Resources Wales or the Scottish Environment Protection Agency (as applicable) (as per 8.3.6B(c))	N/A	

Table 5: Introductory note for combustion installations covered by the BREF

Q9: Does the Core Generating Plant of any Generating Unit of the CMU not comprise all new Apparatus?

Yes ☐ No ☐

If Q7 and Q9 are yes then complete Q10, if Q7 is no and Q9 is yes then complete Q11, if Q9 is no do not complete any further questions or items in the Environmental Impacts and Energy Efficiency Standards section of this form.

Q10: For a CMU comprising a combustion installation not covered by the BREF does the CMU meet the emissions and energy efficiency standards that could be expected of a new plant of the same type, size and energy source installed in Great Britain (as per 8.3.6B(d)(i))

Yes ☐ No ☐

If Q10 is yes do not complete any further questions or items in the Environmental Impacts and Energy Efficiency Standards section of this form. Please note that if the answer to Q10 is no, the CMU cannot meet the Extended Years Criteria.

Q11: For a CMU that is not a combustion installation, does the CMU meet the energy efficiency standards that could be expected of a new plant of the same type, size and energy source installed at that location? (As per 8.3.6B(d)(ii))

Yes ☐ No ☐

If Q11 is yes do not complete any further questions or items in the Environmental Impacts and Energy Efficiency Standards section of this form. Please note that if the answer to Q11 is no, the CMU cannot meet the Extended Years Criteria.

Independent Technical Expert Statement:

We confirm that for the above Capacity Market Unit (CMU):

(e) We are satisfied, on the basis of evidence reviewed, that the CMU meets the Extended Years Criteria;

(e) We have sufficient expertise and experience to meet the requirements in the Capacity Market Rules to act as an Independent Technical Expert;

(e) We are Independent of the Capacity Provider;

(e) This report is true, correct and completed to the Required Technical Standard; and

(e) A description of work that has been conducted has been provided to the Capacity Provider.

Signed	
Signed on behalf of (where applicable) Use this box when signing on behalf of a Company or Partnership or other business entity. Do not use this box if you are signing work in a personal capacity (i.e. sole trader).	
Print name	
Date	

Report C of Exhibit KA: Financial Commitment Milestone – Independent Technical Expert Report

General Identification Information

Please complete the information below to allow the Delivery Body to identify the Capacity Market Unit (CMU) in respect of which the Independent Technical Expert's report provides evidence that the Capacity Provider of the CMU has met its Financial Commitment Milestone. Existing ITE Reports signed and dated prior to the commencement of the 2026 Prequalification Window may be used until the commencement of the 2029 Prequalification Window. After this time, all ITE reports submitted to the Delivery Body must use the mandatory templates.

Item	Guidance	Information from Capacity Provider or Independent Technical Expert
Unique CMU Identifier	This is the unique CMU identifier as per the latest Capacity Agreement Notice (CAN).	
Capacity Agreement Reference	This is the Capacity Agreement Reference as per the latest Capacity Agreement Notice (CAN).	

Identity of the Independent Technical Expert

Item	Guidance	Information from Independent Technical Expert
Full Name	N/A	
Business Entity Name	N/A	
Contact Telephone(s)	N/A	
Contact Email(s)	N/A	
Business Address	Provide if available	

Financial Commitment Milestone

Item	Guidance	Information from Independent Technical Expert
1) CMU Type	Any of: • New Build Generating CMU • Refurbishing Generating CMU • New Build Interconnector CMU • Unproven DSR CMU	
2) Capital Expenditure (determined in accordance with International Accounting Standard 16) that has been incurred and paid (£) [A]	It is good practice to evidence Capital Expenditure with relevant invoices. Capital Expenditure must be determined in accordance with International Accounting Standard 16 (IAS16).	
3) Total Project Spend (£) [B]	N/A	
4) Financial Commitment Milestone Deadline		

Table 8: Capital Expenditure and Total Project Spend values

Q1: Is the Capital Expenditure determined in accordance with International Accounting Standard 16 that has been incurred and paid greater than or equal to 10% of the Total Project Spend? (As per 6.6.2(a))

Yes ☐ No ☐

Please note that if the Capital Expenditure incurred and paid in accordance with IAS16 is less than 10% of the Total Project Spend, the Capacity Agreement in respect of the CMU may be terminated in accordance with Rule 6.10.1(b) or for a Refurbishing CMU amended in accordance with Rule 6.8.4.

Q2: Have at least two Directors of the Capacity Provider (or officers, in the case of a Capacity Provider other than a company) certified that a Final Investment Decision has been taken for the full value of the Total Project Spend? (As per 6.6.2(b)(i))

Yes o No o

Please note if the answer to Q2 is no the CMU cannot meet the Financial Commitment Milestone and the Capacity Agreement in respect of the CMU may be terminated in accordance with Rule 6.10.1(b) or for a Refurbishing CMU amended in accordance with Rule 6.8.4.

Item	Guidance	Information from Independent Technical Expert
5) Final Investment Decision Evidence	Provide evidence that the Final Investment Decision has been made. This should be signed by two Directors or officers. The definition of Final Investment Decision can be found in Rule 6.6.3A.	

Table 9: Final Investment Decision evidence

Q3: Is the project financed from sources other than Own Group Resources (The definition of Own Group Resources can be found in 6.6.3A)?

Yes o No o

If the answer to Q3 is yes complete item 6. If the answer to Q3 is no do not complete item 6.

Item	Guidance	Information from Independent Technical Expert
6) Financial Close evidence	Provide evidence that Financial Close has occurred (as per 6.6.2(b)(ii)). This should be signed by two Directors or	

	officers. The definitions of Financial Close and Own Group Resources can be found in Rule 6.6.3A.	

Table 10: Financial Close evidence

Independent Technical Expert Statement:

We confirm that for the above Capacity Market Unit (CMU):

(g) We are satisfied that both of the matters set out in Rule 6.6.2 have been met;

(g) We are satisfied that the Capacity Provider's accounting policies comply with International Accounting Standard 16 and that International Accounting Standard 16 has been used when calculating the Total Project Spend;

(g) When determining the Total Project Spend incurred and paid the amount of Capital Expenditure only relates to property, plant and equipment which has the primary purpose of delivering capacity

- for a Generating CMU or an Unproven DSR CMU, on that CMU, or
- for an Interconnector CMU, on that CMU together with the Non-GB Part;

(g) We have sufficient expertise and experience to meet the requirements in the Capacity Market Rules to act as an Independent Technical Expert;

(g) We are Independent of the Capacity Provider;

(g) This report is true, correct and completed to the Required Technical Standard; and

(g) A description of work that has been conducted has been provided to the Capacity Provider.

Signed	
Signed on behalf of (where applicable)	

Use this box when signing on behalf of a Company or Partnership or other business entity. Do not use this box if you are signing work in a personal capacity (i.e. sole trader).	
Print name	
Date	

Report D of Exhibit KA: Long Stop Date Extension – Independent Technical Expert Report

General Identification Information

Please complete the information below to allow the Delivery Body to identify the Capacity Market Unit (CMU) in respect of which the Independent Technical Expert's report provides evidence of the need for a Long Stop Date extension. Existing ITE Reports signed and dated prior to the commencement of the 2026 Prequalification Window may be used until the commencement of the 2029 Prequalification Window. After this time, all ITE reports submitted to the Delivery Body must use the mandatory templates.

Item	Guidance	Information from Capacity Provider or Independent Technical Expert
Unique CMU Identifier	This is the unique CMU identifier as per the latest Capacity Agreement Notice (CAN).	
Capacity Agreement Reference	This is the Capacity Agreement Reference as per the latest Capacity Agreement Notice (CAN).	

Identity of the Independent Technical Expert

Item	Guidance	Information from Independent Technical Expert
Full Name	N/A	

Business Entity Name	N/A	
Contact Telephone(s)	N/A	
Contact Email(s)	N/A	
Business Address	Provide if available	

Long Stop Date Extension

Q1: Has the Capacity Provider agreed an extension to the date by which an active connection point must be provided?

Yes o No o

Please note that if the Capacity Provider has answered yes to Q1 then a Long Stop Date extension cannot be requested.

Q2: Has the Capacity Provider released the Transmission Licensee or the relevant Distribution Network Operator from its obligation to provide an active connection point under a Grid Connection Agreement or Distribution Connection Agreement?

Yes o No o

Please note that if the Capacity Provider has answered yes to Q2 then a Long Stop Date extension cannot be requested.

Q3: Is the CMU a Transmission CMU or a Distribution CMU

Transmission CMU o Distribution CMU o

If the CMU is a Transmission CMU complete Q4 and items 1 to 6 only. If the CMU is a Distribution CMU complete Q5 and items 7 to 12 only.

Q4: Is the delay in connection solely a result of a failure of a Transmission Licensee to provide an active connection point when required to do so in accordance with a valid Grid Connection Agreement?

Yes o No o

If the answer to Q4 above is no then a Long Stop Date extension cannot be requested.

Item	Guidance	Information from Independent Technical Expert
1) Current Long Stop Date	This is the Long Stop Date for the CMU on the date this report is submitted to EMR DB	
2) Specify the original connection date within the Grid Connection Agreement	This is the date specified at Application under Rule 3.7.3(a)(ii) or for an Interconnector CMU Rule 3.7.3(aa)(ii)	
3) Provide evidence of the delayed active connection point (Transmission CMU only)	N/A	
4) Expected Date of Connection	N/A	
5) Number of days delay in active connection point	N/A	
6) New Long Stop Date	This must only be extended day for day as per Rule 6.7.7	

Table 11: Transmission CMU evidence and information

Q5: Is the delay in connection solely a result of a failure of a Distribution Network Operator to provide an active connection point when required to do so in accordance with a valid Distribution Connection Agreement?

Yes o No o

If the answer to Q5 above is no then a Long Stop Date extension cannot be requested.

Item	Guidance	Information from Independent Technical Expert
7) Current Long Stop Date	This is the Long Stop Date for the CMU on the date this report is submitted to EMR DB	
8) Specify the original connection date within the	This is the date specified at Application under	

Distribution Connection Agreement	3.7.3(b)(ii) of the CM Rules If Rule 3.7.3(c)(i) applies provide the date which is 18 months prior to the commencement of the relevant Delivery Year	
9) Provide evidence of the delayed active connection point **(Distribution CMU only)**	N/A	
10) Expected Date of Connection	N/A	
11) Number of days delay in active connection point	N/A	
12) New Long Stop Date	This must only be extended day for day as per Rule 6.7.7	

Table 12: Distribution CMU evidence and information

Independent Technical Expert Statement:

We confirm that for the above Capacity Market Unit (CMU):

(e) We are satisfied, on the basis of evidence reviewed, that the CMU meets the requirements detailed in Rule 6.7.7;

(e) We have sufficient expertise and experience to meet the requirements in the Capacity Market Rules to act as an Independent Technical Expert;

(e) We are Independent of the Capacity Provider;

(e) This report is true, correct and completed to the Required Technical Standard; and

(e) A description of work that has been conducted has been provided to the Capacity Provider.

Signed	
Signed on behalf of (where applicable) Use this box when signing on behalf of a Company or Partnership or other business entity. Do not use this box if you are signing work in a personal capacity (i.e. sole trader).	
Print name	
Date	

Report E of Exhibit KA: Operational – Independent Technical Expert Report

General Identification Information

Please complete the information below to allow the Delivery Body to identify the Capacity Market Unit (CMU) in respect of which the Independent Technical Expert's report provides evidence that the Capacity Provider of the CMU is Operational. Existing ITE Reports signed and dated prior to the commencement of the 2026 Prequalification Window may be used until the commencement of the 2029 Prequalification Window. After this time, all ITE reports submitted to the Delivery Body must use the mandatory templates.

Item	Guidance	Information from Capacity Provider or Independent Technical Expert
Unique CMU Identifier	This is the unique CMU identifier as per the latest Capacity Agreement Notice (CAN).	
Capacity Agreement Reference	This is the Capacity Agreement Reference as per the latest Capacity Agreement Notice (CAN).	

Identity of the Independent Technical Expert

Item	Guidance	Information from Independent Technical Expert

Full Name	N/A	
Business Entity Name	N/A	
Contact Telephone(s)	N/A	
Contact Email(s)	N/A	
Business Address	Provide if available	

Operational

Q1: Is the CMU a New Build or Refurbishing Distribution CMU or a Refurbishing Transmission CMU?

Yes o No o

If the answer to Q1 is no do not complete this report. If the answer to Q1 is yes complete Q2.

Q2: Has the CMU been awarded any of the following types of agreement?

T-1 Agreement o T-4 Agreement o

If the answer to Q2 is T-1 Agreement complete items 1,2 and 3 below. If the answer to Q2 is T-4 Agreement complete items 4,5 and 6 below. If the CMU has been awarded both T-1 Agreements and T-4 Agreements complete all items below.

T-1 Agreements

Item	Guidance	Information from Independent Technical Expert
1) Physical capacity of CMU verified (MW) [A]	Where there are multiple Generating Units within a CMU list the output of each Generating Unit and state the total CMU output as the sum of the outputs of each Generating Unit.	
2) De-Rating Factor [B]	Where there are multiple Generating Technology Classes within a CMU, list each applicable Generating Technology Class and De-rating Factor.	

	This item is optional.	
3) De-rated Capacity [A] x [B]	If the CMU contains a single Generating Unit or multiple Generating Units all with the same Generating Technology Class, then this is [A] x [B] Where there are multiple Generating Units across different Generating Technology Classes then this is: $$\sum_{GU\ in\ CMU} [A] \times [B]$$ Which is the sum across all Generating Units (and associated Generating Technology Classes) in the CMU This item is optional.	

Table 13: T-1 Operational Information

T-4 Agreements

Item	Guidance	Information from Independent Technical Expert
4) Physical capacity of CMU verified (MW) [A]	Where there are multiple Generating Units within a CMU list the output of each Generating Unit and state the total CMU output as the sum of the outputs of each Generating Unit.	
5) De-Rating Factor [B]	Where there are multiple Generating Technology Classes within a CMU list each applicable De-Rating Factor. This item is optional.	
6) De-rated Capacity [A] x [B]	If the CMU contains a single Generating Unit or multiple Generating Units all with the	

	same Generating Technology Classes then this is [A] x [B] Where there are multiple Generating Units across different Generating Technology Classes then this is: $$\sum_{GUs\ in\ CMU} [A] \times [B]$$ Which is the sum across all Generating Units (and associated Generating Technology Classes) in the CMU. This item is optional.	

Table 14: T-4 Operational Information

Independent Technical Expert Statement:

We confirm that for the above Capacity Market Unit (CMU):

(g) The output of the CMU figure recorded above is an accurate representation of the physical capacity of the CMU;

(g) If the CMU is a Distribution Connected New Build or Refurbishing CMU that all Distribution Network Operator commissioning tests required to commence export have been completed such that the Generating CMU is permitted to despatch that physical capacity into the Distribution Network;

(g) If the CMU is a Transmission Connected Refurbishing CMU that an interim operational notification (ION) or Final Operational Notification (as applicable) has been issued for the CMU and for the specified physical capacity described above;

(g) We have sufficient expertise and experience to meet the requirements in the Capacity Market Rules to act as an Independent Technical Expert;

(g) We are Independent of the Capacity Provider;

(g) This report is true, correct and completed to the Required Technical Standard; and

(g) A description of work that has been conducted has been provided to the Capacity Provider.

Signed	
Signed on behalf of (where applicable) Use this box when signing on behalf of a Company or Partnership or other business entity. Do not use this box if you are signing work in a personal capacity (i.e. sole trader).	
Print name	
Date	

Report F of Exhibit KA: Construction Progress Remedial Plan – Independent Technical Expert Report

General Identification Information

Please complete the information below to allow the Delivery Body to identify the Capacity Market Unit (CMU) in respect of which the Independent Technical Expert's report provides evidence that the Capacity Provider meets the requirements under Rule 12.2.4 (a). Existing ITE Reports signed and dated prior to the commencement of the 2026 Prequalification Window may be used until the commencement of the 2029 Prequalification Window. After this time, all ITE reports submitted to the Delivery Body must use the mandatory templates.

Item	Guidance	Information from Capacity Provider or Independent Technical Expert

Unique CMU Identifier	This is the unique CMU identifier as per the latest Capacity Agreement Notice (CAN).	
Capacity Agreement Reference	This is the Capacity Agreement Reference as per the latest Capacity Agreement Notice (CAN).	

Identity of the Independent Technical Expert

Item	Guidance	Information from Independent Technical Expert
Full Name	N/A	
Business Entity Name	N/A	
Contact Telephone(s)	N/A	
Contact Email(s)	N/A	
Business Address	Provide if available	

Construction Progress Remedial Plan

Q1: Is the CMU a Prospective CMU

Yes ☐ No ☐

If the answer to Q1 is no then do not complete this form. If the answer is yes complete the items and questions below.

Q2: Has the latest progress report submitted under Rule 12.2.1 included the latest date for the SCM moving to later than the first day of the relevant Delivery Year and has the Delivery Body consequently requested a remedial plan?

Yes ☐ No ☐

If the answer to Q2 is no then do not complete this form. If the answer is yes complete the items and questions below.

For each of the Milestones in the table below please provide the following information:

- Earliest date at Application;

- Latest date at Application;
- Earliest and latest date in latest progress report;
- Explanation of delay (as per Rule 12.2.1(a));
- Proportion of delay attributable to each factor given in the explanation of delay, including how each proportion is derived from sequential or concurrent delay (as per Rule 12.2.1(a));
- Remedial action to take such that the latest date for the Substantial Completion Milestone is the first day of the relevant Delivery Year

Item	Details
9) Financial Commitment Milestone	
9) Commencement of construction works	
9) Achievement of the Substantial Completion Milestone	

Table 15: Milestone information

Q3: Is the remedial plan achievable?

Yes ☐ No ☐

Item	Guidance	Information from Independent Technical Expert
9) Independent Technical Expert commentary on whether the remedial plan is achievable (as per 12.2.2.4(a))		

Table 16: Independent Technical Expert Commentary

Independent Technical Expert Statement:

We confirm that for the above Capacity Market Unit (CMU):

(e) We are satisfied on the basis of evidence reviewed and our expert opinion, that the remedial plan;

Is achievable ☐ Is not achievable ☐

(e) We have sufficient expertise and experience to meet the requirements in the Capacity Market Rules to act as an Independent Technical Expert;

(e) We are Independent of the Capacity Provider;

(e) This report is true, correct and completed to the Required Technical Standard; and

(e) A description of work that has been conducted has been provided to the Capacity Provider.

Signed	
Signed on behalf of (where applicable) Use this box when signing on behalf of a Company or Partnership or other business entity. Do not use this box if you are signing work in a personal capacity (i.e. sole trader).	
Print name	
Date	

Report G of Exhibit KA: DSR Partial Credit Cover Release – Independent Technical Expert Report

General Identification Information

Please complete the information below to allow the Delivery Body to identify the Capacity Market Unit (CMU) in respect of which the Independent Technical Expert's report provides evidence that the Capacity Provider meets the requirements for DSR partial Credit Cover release. Existing ITE Reports signed and dated prior to the commencement of the 2026 Prequalification Window may be used until the commencement of the 2029 Prequalification

Window. After this time, all ITE reports submitted to the Delivery Body must use the mandatory templates.

Item	Guidance	Information from Capacity Provider or Independent Technical Expert
Unique CMU Identifier	This is the unique CMU identifier as per the latest Capacity Agreement Notice (CAN).	
Capacity Agreement Reference	This is the Capacity Agreement Reference as per the latest Capacity Agreement Notice (CAN).	

Identity of the Independent Technical Expert

Item	Guidance	Information from Independent Technical Expert
Full Name	N/A	
Business Entity Name	N/A	
Contact Telephone(s)	N/A	
Contact Email(s)	N/A	
Business Address	Provide if available	

DSR Partial Credit Cover Release

Q1: Is the Capacity Agreement of a duration exceeding one Delivery Year?

Yes ☐ No ☐

If the answer to Q1 is no then do not complete this form. If the answer is yes complete the items and questions below.

Item	Guidance	Information from Independent Technical Expert
9) Specify the Capacity Agreement duration		
9) Specify the value in £/kW of the applicable Three	The value of the applicable minimum threshold are detailed in the auction parameters determined	

Year Minimum Threshold or Fifteen Year Minimum Threshold	by the Secretary of State for the Department for Energy Security and Net Zero. The relevant threshold value relates to the Capacity Auction at which the Capacity Agreement was awarded.	
9) Specify the threshold declared on Application under Rule 3.10.1(aa)(i)	Rule 3.10.1(aa)(i) relates to the statement submitted on Application as to whether the Qualifying £/kW Capital Expenditure will be greater than or equal to the Fifteen Year Minimum £/kW Threshold or the Three Year Minimum £/kW Threshold	

Table 17: Agreement duration, threshold and Capital Expenditure information

Q2: Does the Applicant, Bidder or Capacity Provider (P) have reasonable grounds to believe that the Qualifying £/kW Capital Expenditure for the CMU will be equal to or greater than the expected Qualifying £/kW Capital Expenditure declared under Rule 3.10.1(aa)(i)?

Yes ☐ No ☐

If the response to Q2 is no DSR Partial Credit Cover Release cannot be granted.

Item	**Guidance**	**Information from Independent Technical Expert**
9) Specify the DSR CMU's De-rated Capacity		

Table 18:CMU De-rated Capacity

Q3: Do you expect the DSR CMU Components identified in the declaration under Rule 6.7B.1(ii), which have been acquired and/or in respect of which Contractual DSR Control has been acquired to form the CMU, to provide at least 50 per cent of the CMU's De-rated Capacity?

Yes ☐ No ☐

Note that "contractual DSR control" means (as per Regulation 5(4)), in respect of any Delivery Year, having the right (whether by ownership or pursuant to contract notwithstanding that terms and conditions may apply to its exercise) exclusively to control all or part of the metered volume of any Demand Side Response CMU component to provide Demand Side Response when required to do so in that Delivery Year.

If the response to Q3 is no, DSR Partial Credit Cover Release cannot be granted.

Item	Guidance	Information from Independent Technical Expert
9) Specify the De-rated Capacity of the components within the DSR CMU components that are expected to provide 50% of the CMU's De-rated Capacity	Where there are large numbers of components please provide these as a separate appendix to this report.	

Table 19: Component level De-rated Capacity

Independent Technical Expert Statement:

We confirm that for the above Capacity Market Unit (CMU):

(f) That the Applicant, Bidder or Capacity Provider has reasonable grounds to believe that the Qualifying £/kW Capital Expenditure for the CMU will be equal to or greater than the expected Qualifying £/kW Capital Expenditure declared under Rule 3.10.1(aa)(i);

(f) The DSR CMU Components identified in the declaration, which have been acquired and/or in respect of which Contractual DSR Control has been acquired to form the CMU, are expected to provide at least 50 per cent of the CMU's De-rated Capacity;

(f) We have sufficient expertise and experience to meet the requirements in the Capacity Market Rules to act as an Independent Technical Expert;

(f) We are Independent of the Capacity Provider;

(f) This report is true, correct and completed to the Required Technical Standard; and

(f) A description of work that has been conducted has been provided to the Capacity Provider.

Signed	
Signed on behalf of (where applicable) Use this box when signing on behalf of a Company or Partnership or other business entity. Do not use this box if you are signing work in a personal capacity (i.e. sole trader).	
Print name	
Date	

Report H of Exhibit KA - Long Stop Utilisation Declaration - Independent Technical Expert Report

General Identification Information

Please complete the information below to allow the Delivery Body to identify the Capacity Market Unit (CMU) that this Independent Technical Expert report relates to. This CMU must be the same as the CMU the Applicant has provided or will provide a Long Stop Date Utilisation Declaration for. Existing ITE Reports signed and dated prior to the commencement of the 2026 Prequalification Window may be used until the commencement of the 2029 Prequalification Window. After this time, all ITE reports submitted to the Delivery Body must use the mandatory templates.

Item	Guidance	Information from Independent Technical Expert
Relevant CMU	This is the unique CMU identifier used for this Application	
Application Year	As specified in the Auction Guidelines as the year of the Prequalification Window	

Identity of the Independent Technical Expert

Item	Information from Independent Technical Expert

Full Name	
Business Entity Name	
Contact Telephone(s)	
Contact Email(s)	
Business Address	

Q1: Is the Relevant CMU a Prospective Generating CMU?

Yes ☐ No ☐

If the answer to Q1 is no then this form will be rejected. If the answer to Q1 is yes complete Q2.

Q2: Does the Application for the Relevant CMU relate to a T-4 Auction?

Yes ☐ No ☐

If the answer to Q2 is no then this form will be rejected. If the answer to Q2 is yes complete Q3.

Q3: Is the Relevant CMU a Declared Low Carbon CMU?

Yes ☐ No ☐

If the answer to Q3 is no then this form will be rejected. If the answer to Q3 is yes complete all other questions and items.

Declared Long Stop Date Declaration Duration

Item	Guidance	Information from Independent Technical Expert
3) Selected Long Stop Date option	As per Exhibit AA Part 2 this is either: Option (a) - 12 months after the start of the Relevant CMU's first scheduled Delivery Year; or • Option (b) - 24 months after the start of the Relevant CMU's first scheduled Delivery Year.	

Table 1 : Long Stop Date Extension Duration

Low Carbon Declaration

Q4: Does the Low Emissions Statement in the Low Carbon Declaration confirm that the Relevant CMU will qualify as a Low Emissions CMU (in the case of a New Build CMU or Refurbishing CMU) — meaning that, during the Low Carbon Period, it will comprise Fossil Fuel Components with emissions that do not exceed the Low Carbon Limit and contain no Other Emitting Components?

Yes ☐ No ☐

If the answer to Q3 is yes complete item 2. If the answer to Q3 is no complete Q5 and item 3.

Please complete the following information for the Relevant CMU identified above.

Item	Guidance	Information from Independent Technical Expert
3) Evidence and Analysis	This evidence and analysis should assess whether it is reasonable for the Applicant to make the declaration as per Q4. The supporting analysis should include estimates of Fossil Fuel Component emissions and demonstrate that this is less than the Low Carbon Limit.	

Table 2 : Low Carbon Limit Evidence and Analysis

Q5: Does the Low Emissions Statement in the Low Carbon Declaration confirm that the Relevant CMU does not and will not comprise of an Emissions Component

Yes ☐ No ☐

Item	Guidance	Information from Independent Technical Expert
3) Evidence and Analysis	This should demonstrate that the CMU contains no Fossil Fuel Components or Other Emitting Components.	

Table 3: No Fossil Fuel or Other Emitting Components Evidence and Analysis

Qualifying £/kW Capital Expenditure

Please complete the following information for the Relevant CMU identified above.

Item	Guidance	Information from Independent Technical Expert
4) Total Project Spend (£)	This is the Total Project Spend on Application as per Rule 3.7.2 (c)	
5) De-Rated Capacity	This is the De-rated Capacity value at Application	
6) Nine Year Minimum £/kW Threshold	The relevant Nine Year Minimum £/kW Threshold value as determined by the Secretary of State for the relevant Auction	
7) Qualifying £/kW Capital Expenditure	This is the Qualifying £/kW Capital Expenditure as per Rule 8.3.6 If the spend per kW is less than the Nine Year Minimum £/kW Threshold the CMU cannot be a Declared Long Stop CMU	
8) Evidence and supporting Analysis	This is required as per Rule 3.8A.4.This should include a commentary and description of how the expected Total Project Spend has been calculated.	

Table 4 : Qualifying £/kW Capital Expenditure

Substantial Completion Milestone

Item	Guidance	Information from Independent Technical Expert
9) CMU Type	Any of: • New Build CMU • Refurbishing CMU	
10) Reason for Long Stop Date Utilisation Declaration	This is any combination of: • the extent or complexity of the engineering work involved in the CMU's construction; • the degree to which the achievement of the Substantial Completion Milestone relies on technology that has not previously been deployed in circumstances comparable to those of the CMU;	

	• the length of the lead times involved in procuring specific items of equipment that are to be comprised in the CMU; • the degree to which the achievement of the Substantial Completion Milestone in respect of the CMU depends on another infrastructure project being completed, where that other project may reasonably be expected not to be completed by the date referred to Rule 3.8A.2(b)(iii) • any other characteristic or circumstance that is particular to the CMU (or any class of generating units to which it belongs), and that it is reasonably foreseeable may result in the Substantial Completion Milestone not being achieved in respect of the CMU by the date falling 12 months after the start of the first Delivery Year (where this is the case please give precise details of the issue)	
11) Supporting Evidence and Analysis	This is required as per Rule 3.8A.4 and should include reference to the project timeline that is provided with this report.	

Table 5 : Qualifying £/kW Capital Expenditure

Independent Technical Expert Statement:

We confirm that for the above Relevant Capacity Market Unit (CMU):

(h) It is reasonable for the Applicant to make the declaration referred to in Rule 3.8A.2(b)(i)

(h) It is reasonable for the Applicant to have the expectation, and to hold the belief referred to in Rule 3.8A.2(b)(ii)

(h) It is reasonable for the Applicant to have the expectation, and to hold the belief referred to in Rule 3.8A.2(b)(iii)

(h) We have provided a project timeline in respect of the financing and carrying out of work for this CMU which is consistent with the evidence and analysis contained in the report and the Construction Plan provided with the Application as required by Rule 3.8A.5.

(h) We have sufficient expertise and experience to meet the requirements in the Capacity Market Rules to act as an Independent Technical Expert;

(h) We are Independent of the Applicant;

(h) This report is true, correct and completed to the Required Technical Standard; and

(h) A description of work that has been conducted has been provided to the Applicant.

Signed	
Signed on behalf of (where applicable) Use this box when signing on behalf of a Company or Partnership or other business entity. Do not use this box if you are signing work in a personal capacity (i.e. sole trader).	
Print name	
Date	

Part 2

Rule 6.10.1 Termination Reasons (g) & (ga)

7 Amendments to Chapter 6 (Capacity Agreements)

7.1 In Rule 6.10 (Termination Events):

(a) In Rule 6.10.1(g), for “CMUs to which the Grid Connection Agreement applies”, substitute:

“Capacity Committed CMUs to which the Grid Connection Agreement applies”.

(b) In Rule 6.10.1(g)(ii), for “any other CMUs to which the Grid Connection Agreement applies”, substitute:

“any other Capacity Committed CMUs to which the Grid Connection Agreement applies”.

Part 3

Update to Load following Capacity Obligation calculation inputs

8 Amendments to Chapter 8 (Obligations of Capacity Providers and System Stress Events)

8.1 In Rule 8.5.3 (Load Following Capacity Obligation):

(a) Substitute the equation with:

$$LFCO_{ij} = \frac{AACO_{ij} + PTCO_{ij} - SCO_{ij}}{2} \times \min\left(\frac{\left[\left\{2 \times \sum_i E_{ij}\right\} + \left\{2 \times ILR_j\right\} + RaR\right]}{\sum_i AACO_{ij} - SCO_{ij}}, 1\right)$$

(b) For “RfR is the reserve response amount (in MW) which shall be published by the Delivery Body in the most recent Electricity Capacity Report”, substitute:

“RaR is the reserve and response amount for largest loss (in MW) which shall be published by the Delivery Body in the most recent Electricity Capacity Report that includes an RaR value for the relevant Delivery Year”.

Part 4

Alignment of Metering Test Processes, DSR Delivery Periods, and Terminology

9 Amendments to Chapter 8 (Obligations of Capacity Providers and System Stress Events)

9.1 In Rule 8.3 (Metering):

(a) In paragraph 8.3.3(e),for “be awarded” substitute:

“obtain”.

10 Amendments to Chapter 13 (Testing Regime)

10.1 In Rule 13.3 (Metering Test):

(a) omit paragraph 13.3.2(a).

(b) In Paragraph 13.3.2(b), omit:

“at the same time”.

Part 5

Metering Assessment Deadlines

11 Amendments to Chapter 8 (Obligations of Capacity Providers and System Stress Events)

11.1 In Rule 8.3 (Metering)

(d) After Rule 8.3.3(j), insert:

" (k) where a Prospective CMU has been issued with a Notice of Intention to Terminate under Rule 6.8.2 then the Capacity Provider may complete a Metering Assessment and if required a Metering Statement and Metering Test to meet the requirements of 6.8.3(b), and if applicable, 6.8.3 (c), within the 20 Working Day deadline specified under Rule 6.8.2F or the 120 Working Day deadline specified under Rule 6.8.2."

(b) In Rule 8.3.3(ba), after the words "Operational, and", insert:

"subject to Rule 8.3.3(k)".

(c) In Rule 8.3.3(e)(iv), after the words "Rule 8.3.3(d)(i), and", insert:

"subject to Rule 8.3.3(k)".

(d) In Rule 8.3.3(h)(iv)(bb), after the words "Rule 8.3.3(d)(i), and", insert:

"subject to Rule 8.3.3(k)".

12 Amendments to Chapter 13 (Testing Regime)

12.1 In Rule 13.3 (Metering Test)

(d) In Rule 13.3.2A(e), after the words "Rule 8.3.3(d)(i), and", insert:

"subject to Rule 8.3.3(k)".

Part 6

Connection Capacity Review

13 Amendments to Chapter 3 (Prequalification Information)

13.1 In Rule 3.4 (Information to be provided in all Applications)

(a) In Rule 3.4.5(c), omit:

"in the case where Rule 3.5.5 applies,".

13.2 In Rule 3.5 (Determining the Connection Capacity of a Generating CMU)

(a) For Rule 3.5.1A, substitute:

"The total Connection Capacity of one or more Generating Units that are subject to the same Grid Connection Agreement or Distribution Connection Agreement and are comprised in one or more Generating CMUs must not exceed in any given Delivery Year the minimum Transmission Entry Capacity as set out in that Grid Connection Agreement for that Delivery Year or the minimum Maximum Export Capacity as set out in that Distribution Connection Agreement for that Delivery Year (as applicable)."

(b) For Rule 3.5.2(c)(i), substitute:

"(i) the registered capacity (or inverter rating, if applicable) for that Generating Unit stated in the Distribution Connection Agreement for that Generating Unit or in the written confirmation from the Distribution Network Operator provided pursuant to Rule 3.7.3(b)(ii) (as applicable); or "

(c) For Rule 3.5.2(c)(ii), substitute:

"(ii) where the Generating Unit does not have a Distribution Connection Agreement, the registered capacity (or inverter rating, if applicable) for that Generating Unit stated in the connection offer for that Generating Unit or in the written confirmation from the Distribution Network Operator provided pursuant to Rule 3.7.3(b)(ii) (as applicable); or "

(d) for Rule 3.5.3, substitute:

"3.5.3 An Applicant for an Existing Generating CMU may, as an alternative to the determination of Connection Capacity set out in Rule 3.5.2, nominate a Connection Capacity for a Generating Unit in that CMU that is equal to the Average Output of that Generating Unit".

(e) In Rule 3.5.5, in the definition of Station-level Connection Entry Capacity (STEC):

(i) in paragraph (a)(ii), after "for each Generating Unit", insert:

"that is part of any CMU in a given Delivery Year"

(ii) In paragraph (b)(ii), after "for each of the generating sets", insert:

“that is part of any CMU in a given Delivery Year”

Part 7

Simplifying Reporting & Monitoring Provisions

14 Amendments to Chapter 3 (Prequalification Information)

14.1 In Rule 3.7.2 (Construction Plan)

(a) In Rule 3.7.2(b) for "the earliest and latest dates", substitute:

"the date".

(b) In Rule 3.7.2(b), for sub-paragraphs (i) to the end of the Rule, substitute:

"(i) Financial Commitment Milestone;

(ii) Commencement of construction works;

(iii) Completion of construction works;"

15 Amendments to Chapter 7 (Capacity Market Register and Capacity Market Metering Register)

15.1 In Rule 7.4 (Contents of the Capacity Market Register)

(a) In Rule 7.4.5(j), for "the earliest and latest dates", substitute:

"the date"

16 Amendments to Chapter 12 (Monitoring)

16.1 In Rule 12.2 (Monitoring of construction progress of Prospective CMUs)

(a) For Rule 12.2.1(a), substitute:

"a schedule identifying the date each Construction Milestone as stated under Rule 3.7.2(b) is expected to be achieved, with an explanation of any material change in such dates since the last report (where more than one reason is provided, must include a breakdown of the contributing factors and an estimate of the proportion of the delay attributable to each factor)."

(b) After Rule 12.2.1(aa), insert:

(ab) an overarching non-technical summary of progress in relation to each Construction Milestone under Rule 3.7.2(b) describing if a Milestone is:

(i) On track: the Construction Milestone dates remain on track and have not changed since the last progress report, or, if this is the first progress report, Application;

(ii) Minor delay: a Construction Milestone date is expected to be subject to a minor delay of less than two months; and

(iii) Delayed: a Construction Milestone is expected to be delayed by more than two months.

(c) In Rule 12.2.4, for the chapeau text, substitute:

"When a progress report details the date on which a Prospective CMU will meet its Substantial Completion Milestone which is later than the first day of the relevant Delivery Year the Delivery Body must request the Capacity Provider to provide it with a remedial plan. The remedial plan must demonstrate what steps can and will be taken to accelerate the programme to meet the Substantial Completion Milestone for the first day of the relevant Delivery Year, and such that this expected date for the Prospective CMU is no later than the Long Stop Date, or will meet the Minimum Completion Requirement within the Notice of Intention to Terminate period.

Where the Substantial Completion Milestone is delayed solely due to a failure by the System Operator, Transmission Licensee or the relevant Distribution Network Operator (or the subcontractors, excluding the Capacity Provider or any member of its Group) to provide an active connection point in accordance with a valid Grid Connection Agreement of Distribution Connection Agreement (as described in Rule 6.7.7) the remedial plan must reflect the impact of such delay on the Substantial Completion Milestone

The remedial plan must be accompanied by:"

(d) In Rule 12.2.5, for "120 days", substitute:

"20 days."

Part 8

Enabling Asset Metering and Ensuring Delivery Assurance

17 Amendments to Chapter 1 (General Provisions)

17.1 In Rule 1.2 (Definitions)

17.1.1 After the definition of “Approved Metering Solution”, insert

Asset Metering System	has the meaning given in the BSC

17.1.2 After the definition of “Boundary Point”, insert

BSC Settlement Metering Configuration Solution	means a supplier’s Metering System or Asset Metering System that can record UTC Period Level Consumption for use in Settlement, and for which UTC Period Level Consumption data is provided by a Half Hourly Data Aggregator, Half Hourly Data Collector or the Market-wide Data Service

17.1.3 In the definition of “Governing Documents”:

(a) Omit paragraph (a)(i);

(b) In paragraph (a)(ii), omit “or”

(c) In paragraph (c), for “Supplier” substitute “BSC”

17.1.4 In the definition of “Metering Configuration Solution”:

(a) In paragraph (c), for “Supplier” substitute “BSC”

17.1.5 Omit the definition of “Supplier Settlement Metering Configuration Solution”

18 Amendments to Chapter 3 (Prequalification Information)

18.1 In Rule 3.6 (Additional Information for an Existing Generating CMU)

(a) In Rule 3.6.1(b), for “Supplier” substitute “BSC”

Part 9

Full Review of Exhibits

19 Amendments to Chapter 1 (General Provisions)

19.1 In Rule 1.2 (Definitions)

(a) After the definition of “Exemptable Generating Plant”, insert

Exhibit	means any of the forms included in the “Exhibits” section of the Rules

19.2 In 1.6 (Notices)

(a) After Rule 1.6.1 insert:

“1.6.1A From the commencement of the 2026 Prequalification Window, an Exhibit submitted to the Delivery Body must:

(a) be in the form prescribed under the Rules;

(b) where options are provided, select the appropriate option to retain;

(c) not contain textual amendments to any wording prescribed in the form

(d) be signed and dated where required

1.6.1B The Delivery Body must reject an Exhibit which does not comply with Rule 1.6.1A.

1.6.1C As an exception to Rule 1.6.1A and for which rejection under 1.6.1B will not be applied, for the Prequalification Window during 2026 only, the continued use of Exhibit ZA submissions made previously, and which have already been verified by an Independent Emissions Verifier will be allowed as also set out in Rule 3.17.1.”

20 Amendments to Chapter 3 (Prequalification Information)

20.1 In Rule 3.12 (Declaration to be made when submitting an Application)

(a) For 3.12.1(b) substitute

“in all respects, each of the specific declarations referred to in Rules 3.4 to 3.11 (where relevant), is true, correct and complete (or, to the extent that the Additional Information is a copy document, that it is a true, correct and complete copy that remains valid) and that the Application and Additional Information has been authorised by the board of directors of the Applicant or the person submitting the Opt-out Notification (as applicable).”

21 Amendments to Exhibit A (Form of Prequalification Certificate)

21.1 For Exhibit A, substitute

"EXHIBIT A: FORM OF PREQUALIFICATION CERTIFICATE

1. General Identification Information

Item	Guidance	Information from Directors
Name of Applicant:	Entity name	
Address of registered office:		
Company registration number (or equivalent if Company Registration Number is not applicable):	For GB Companies and LLPs this can be found on Companies House. For overseas entities, please provide the unique identifier issued by your country's company registry or equivalent authority. Individuals should leave this blank	
Application year:	Calendar year of original application submission	

2. Applicable questions

2.1 Declarations (aa) and (ba) in Section 3 below only apply if the Applicant is attempting to Prequalify an Interconnector CMU where there are Joint Owners.

2.2 Declaration (f) in Section 3 below only applies if the Applicant is attempting to Prequalify a Refurbishing CMU where the Qualifying £/kW Capital Expenditure is equal to or greater than the Three Year Minimum £/kW Threshold.

3. Declarations

The Directors of the Applicant hereby certify as at the date of this certificate that, having made due and careful enquiry and to the best of our knowledge, information and belief:

(a) there is no ground on which the Applicant could be found to be Insolvent, taking into account all of the Applicant's liabilities (including any contingent or prospective liabilities);

(aa) there is no ground on which a Joint Owner could be found to be Insolvent, taking into account all of that Joint Owner's liabilities (including any contingent or prospective liabilities);

(b) there is no ground for concluding that the Applicant will become Insolvent as a result of entering into a Capacity Agreement;
(ba) there is no ground for concluding that a Joint Owner will become Insolvent as a result of the Applicant entering into a Capacity Agreement;
(c) the Applicant is seeking to enter into a Capacity Agreement in good faith, for the purposes of carrying on its business;
(d) there are reasonable grounds for believing that a Capacity Agreement would benefit the Applicant;
(e) the Applicant can correctly make those declarations in Rules 3.4 to 3.11 of the Capacity Market Rules as may be applicable;
(f) taking into account current economic conditions and the regulatory and legislative framework
  (i) there are reasonable grounds to believe that a Capacity Agreement greater than one year in duration is required to facilitate the improvements programme at the Refurbishing CMU;
  (ii) the Qualifying £/kW Capital Expenditure has been determined, so far as possible, without reference to any substantive routine or statutory maintenance works required at the Refurbishing CMU; and
  (iii) the Applicant's determination of the Capital Expenditure is in accordance with International Accounting Standard 16.
(g) that the Application has been completed in accordance with the requirements of the Capacity Market Rules 2014 and the Electricity Capacity Regulations 2014, as amended; and
(h) that where previous Exhibits, Declarations or Additional Information have been resubmitted under Rule 3.3.6A, confirm that they remain valid and in accordance with the Capacity Market Rules 2014 as amended.

Note: Capitalised terms in this certificate have the meaning given to them in the Capacity Market Rules 2014 (as amended) unless otherwise indicated.

Signature	
Date (dd/mm/yyyy)	
Print Name	

Note: No second signature is required for sole director companies, see Rule 1.3.A

Signature	
Date (dd/mm/yyyy)	
Print Name	

"

22 Amendments to Exhibit AA (Form of Long Stop Utilisation Declaration)

22.1 For Exhibit AA, substitute

"EXHIBIT AA: FORM OF LONG STOP UTILISATION DECLARATION

Item	**Guidance**	**Information from Directors**
Name of Relevant Party :	Applicant Entity	
Address of registered office:		

Company registration number (or equivalent if Company Registration Number is not applicable):	For GB Companies and LLPs this can be found on Companies House. For overseas entities, please provide the unique identifier issued by your country's company registry or equivalent authority. Individuals should leave this blank	
Application year:	Calendar year of original application submission	
CMU ID:	The Relevant CMU	
Relevant T-4 Auction:	Year of the Auction Prequalification process in which the Low Carbon Declaration is being provided relates	
Name of Independent Technical Expert(s):		

Note: Capitalised terms in this certificate have the meaning given to them in the Capacity Market Rules 2014 (as amended) unless otherwise indicated

The Relevant Party declare and confirm the matters set out below with reference to the Relevant CMU.

Part 1: Delivery after the first Delivery Year

1. The Relevant Application relates to the Relevant Auction.	
2. The Relevant CMU is a;	New Build CMU ☐ Refurbishing CMU ☐
3. A Low Carbon Declaration in relation to the Relevant CMU is being provided with the Relevant Application.	
4. The Company intends to commit to Qualifying £/kW Capital Expenditure, that exceeds the Three Year Minimum £/kW Threshold (the threshold is set out in notices specific to each Auction).	
5. We reasonably believe it is likely that, even if all the conditions specified in Rule 3.8A.3(a) are satisfied, the Substantial Completion Milestone will not be achieved in respect of the Relevant	

	CMU by the date falling 12 months after the start of the Relevant Delivery Year, for a reason relating to one or more of the matters specified in Rule 3.8A.3(b).	
	6. The particular reason(s) for the belief stated in paragraph 5 above are:	
- - - - -		

Part 2: Selection of Long Stop Date option

If the Relevant Application is successful and the Relevant CMU is awarded a Capacity Agreement, the Company wishes the Long Stop Date in respect of that Capacity Agreement to be the date (please select (a) or (b)):

(a) falling 12 months after the start of the Relevant CMU's Relevant Delivery Year. ☐

(b) falling 24 months after the start of the Relevant CMU's Relevant Delivery Year. ☐

Part 3: Confirmations

1. In this Part, the "relevant period" is the period of 12 or 24 months leading up to the date specified in Part 2.
2. We have discussed the basis for the beliefs declared in paragraphs 5 and 6 of Part 1 above with our Independent Technical Expert(s), whose report under Rule 3.8A.4(b) is provided, as required, with the Relevant Application.
3. We believe that the Relevant CMU will be able to achieve the Substantial Completion Milestone in the relevant period.
4. We understand that:

a. if, at the end of the relevant period, the Relevant CMU has not achieved the Minimum Completion Requirement, the relevant T-4 Capacity Agreement is liable to be terminated under Rules 6.8.2 and 6.10.1(c) and the Company would be liable to pay a TF5 termination fee;

b. the Relevant CMU will not be able to obtain a T-1 Capacity Agreement in respect of any Delivery Year that ends before the Long Stop Date of any T-4 Capacity Agreement that it is awarded in the Capacity Auction for which it is submitting the Relevant Application to prequalify;

c. any relevant T-4 Capacity Agreement will be issued for no more than the Maximum Obligation Period, and there will be no entitlement, as a result of our making this declaration or of the award of the relevant T-4 Capacity Agreement, for any person to receive Capacity Payments in respect of the relevant CMU before the Long Stop Date referred to in the Capacity Agreement Notice issued in relation to the relevant T-4 Capacity Agreement; and

d. if anything in this declaration is found to be untrue or inaccurate, or if anything in the Independent Technical Expert's report referred to above is in any material respect not true or accurate, the relevant T-4 Capacity Agreement may be terminated.

Signature of Director	
Date (dd/mm/yyyy)	
Print Name	

Note: No second signature is required for sole director companies, see Rule 1.3.A

Signature of Director	
Date (dd/mm/yyyy)	
Print Name	

"

23 Amendments to Exhibit AB (Form of Lifetime Extension Declaration)

23.1 For Exhibit AB, substitute

"EXHIBIT AB: FORM OF LIFETIME EXTENSION DECLARATION

Item	Guidance	Information from Directors
Name of Relevant Party:	Applicant Entity	
Address of registered office:		
Company registration number (or equivalent if Company Registration Number is not applicable):	For GB Companies and LLPs this can be found on Companies House. For overseas entities, please provide the unique identifier issued by your country's company registry or equivalent authority. Individuals should leave this blank	
Application year:	Calendar year of original application submission	
CMU ID:	The Relevant CMU	

Note: Capitalised terms in this certificate have the meaning given to them in the Capacity Market Rules 2014 (as amended) unless otherwise indicated

The Relevant Party, hereby certify as at the date of this certificate that, having made due and careful enquiry and to the best of our knowledge, information and belief:

(a) Rule 3.8.1A(ba) applies because the improvements programme at the Relevant CMU is intended by the Applicant to include Lifetime Extension Works;

(b) taking into account current economic conditions and the regulatory and legislative framework, there are reasonable grounds to believe that a Capacity Agreement greater than one year in duration is required to facilitate the improvements programme at the Relevant CMU;

(c) the Qualifying £/kW Capital Expenditure has been determined, without reference to any substantive routine or statutory maintenance works required at the Relevant CMU other than those necessary to deliver Lifetime Extension Works which are incurred or are expected to be incurred between the Auction Results Day for the T-4 Auction to which the Application relates and the start of the first Delivery Year.

Signature of Director	
Date (dd/mm/yyyy)	
Print Name	

Note: No second signature is required for sole director companies, see Rule 1.3.A

Signature of Director	
Date (dd/mm/yyyy)	
Print Name	

"

24 Amendments to Exhibit B (Form of Price-Maker Certificate)

24.1 For Exhibit B, substitute

"EXHIBIT B: FORM OF PRICE-MAKER CERTIFICATE

Item	Guidance	Information from Director
Name of Applicant:		
Address of registered office:		
Company registration number (or equivalent if Company Registration Number is not applicable):	For GB Companies and LLPs this can be found on Companies House. For overseas entities, please provide the unique identifier issued by	

	your country's company registry or equivalent authority. Individuals should leave this blank	
Application year:	Calendar year of original application submission	

The Relevant CMU:

Q1: Specify the CMU Type:

Existing Generating CMU ☐ Interconnector CMU ☐

If the relevant CMU is neither of the above then completion of this Exhibit is not applicable.

Item	Guidance	Information from Director
CMU ID:		
CMU Name:		

Declarations:

The Directors of the Applicant, hereby certify as at the date of this certificate that, having made due and careful enquiry and to the best of our knowledge, information and belief:

(a) the Applicant has applied for Prequalification in a Capacity Auction in accordance with the Capacity Market Rules with respect to the following Existing Generating CMU or Existing Interconnector CMU;

(b) the Applicant has received notice from the Delivery Body that the Relevant CMU has Prequalified for the purposes of the Capacity Market Rules;

(c) the Applicant's forecast economics are such that for the Relevant CMU to continue in economic operation into the Delivery Year will require the Company to secure a Capacity Agreement in the Capacity Auction with respect to the Relevant CMU at a Clearing Price which is above the Price-Taker Threshold; and

(d) the Applicant's estimated net going forward costs with respect to the Relevant CMU (being the Company's total revenue requirement with respect to the Relevant CMU less risk-adjusted market value from sales of energy and ancillary services with respect to the Relevant CMU) exceed the Price-Taker Threshold.

The Company accordingly wishes to be a Price-Maker with respect to the Relevant CMU and has prepared a Price-Maker Memorandum which supports the statements in this certificate and lodged such Price-Maker Memorandum with the Authority.

Signature	

Date (dd/mm/yyyy)	
Print Name	

Signature:	
Date (dd/mm/yyyy)	
Print Name	

“

25 Amendments to Exhibit C (Form of Certificate of Conduct)

25.1 For Exhibit C, substitute

“EXHIBIT C: FORM OF CERTIFICATE OF CONDUCT

Item	Guidance	Information from Directors
Name of Applicant:	Entity name	
Address of registered office:		
Company registration number (or equivalent if Company Registration Number is not applicable):	For GB Companies and LLPs this can be found on Companies House. For overseas entities, please provide the unique identifier issued by your country's company registry or equivalent authority. Individuals should leave this blank.	
Application year:	Calendar year of original application submission	

We the Directors of the Applicant hereby certify as at the date of this certificate that, having made due and careful enquiry and to the best of their knowledge, information and belief:

(a) the Applicant has complied with all laws intended to prohibit or restrict anti-competitive practices relevant to its Application or proposed participation in a Capacity Auction;

(b) neither the Applicant nor any other Applicant-related Party (if any) has engaged in any Market Manipulation;

(c) neither the Applicant nor any other Applicant-related Party (if any) or any member of the Applicant’s Group has done anything which would constitute a breach of the Bribery Act 2010 as amended from time to time with a view to influencing the outcome of a Capacity Auction;

(d) neither the Applicant nor any other Applicant-related Party (if any) or any member of the Applicant's Group has offered to pay or give any sum of money, inducement or valuable consideration directly or indirectly to any officer of an Administrative Party; and

(e) neither the Applicant nor any member of the Applicant's Group (nor any person to whom any such information has been shared) will disclose, whether directly or indirectly, information relating to any Continuing Bid or Exit Bid to be made by an Applicant with regard to a Bidding CMU, to any person, except where the disclosure was:

(i) in accordance with any requirement under:
  (aa) an enactment;
  (bb) a licence under section 6(1) of EA 1989 (where the Company is the holder of such a licence); or
  (cc) a document maintained under such a licence;
(ia) Administrative Parties; or
(ib) to the Competition and Markets Authority; or
(ii) to a member of that Applicant's Group; or
(iii) to its Agent provided that such Agent is not also the Agent of another Applicant (unless the other Applicant is a member of the Applicant's Group); or
(iv) where the Applicant is not the legal owner of the CMU to which the Application relates, to the legal owner of the CMU;
(v) where the Application is for an Interconnector CMU, to any person who is a Joint Owner in relation to that Interconnector CMU;
(va) to any potential purchaser of the CMU;
(vi) where the Applicant is the legal owner of the CMU, to any third party having, or potentially having, Despatch Control with respect to the Applicant's Group;
(vii) to any provider of finance with respect to the Applicant's Group;
(viii) to any shareholder in the Applicant or, where such a shareholder is a company and a member of a Group, to any other company which is a member of that shareholder's Group;
(ix) to the professional advisers of;
  (aa) the Applicant;
  (bb) any member of the Applicant's Group;
  (cc) any shareholder in the Applicant or, where such a shareholder is a company and a member of a Group, of any other company which is a member of that Group; or
  (dd) any potential purchaser of the CMU; or
(x) in respect of information that was already public.

(f) not obtained or attempted to obtain information relating to a Continuing Bid or Exit Bid made by any other Applicant save where such disclosure to the Applicant would be permitted under Rules 5.13.1(e)(ii) to 5.13.1(e)(x)

Please note: Capitalised terms in this certificate have the meaning given to them in the Capacity Market Rules 2014 (as amended) unless otherwise indicated.

Signature	
Date (dd/mm/yyyy)	
Print Name	

Please note: No second signature is required for sole director companies, see Rule 1.3.A

Signature	
Date (dd/mm/yyyy)	
Print Name	

"

26 Amendments to Exhibit D (Form of Applicant Declaration)

26.1 For Exhibit D, substitute

"EXHIBIT D: FORM OF APPLICANT DECLARATION

Item	Guidance	Response
CMU ID:		
Application year:	Calendar year of original application submission	
Company Name:	Despatch Controller	
Company Name:	Legal Owner	

Declarations:
The following confirmations and declarations are made jointly by the Legal Owner and the Despatch Controller and, together with the Legal Owner, the Relevant Parties with respect to the above Generating CMU and in relation to the Application to which this declaration relates the Relevant Application.

(a) Each of the Relevant Parties hereby confirms that:

(i) the Legal Owner is the legal owner of each Generating Unit comprised in the Relevant CMU; and

(ii) the Despatch Controller has Despatch Control with respect to each Generating Unit comprised in the Relevant CMU.

(b) Each of the Relevant Parties hereby declares that:

(i) the Despatch Controller is the Applicant for the Relevant CMU in relation to the Relevant Application;

(ii) in the event that the Relevant CMU becomes a Prequalified CMU for the Capacity Auction to which the Relevant Application relates, the Despatch Controller will be the Bidder for the Relevant CMU in that Capacity Auction; and

(iii) in the event that the Relevant CMU becomes a Capacity Committed CMU pursuant to the Capacity Auction to which the Relevant Application relates, the Despatch Controller will be the Capacity Provider for the Relevant CMU.

Note: Capitalised terms used herein have the meaning given to them in the Capacity Market Rules 2014 (as amended) unless otherwise indicated.

Please note: No second signature is required for sole director companies, see Rule 1.3A. Despatch Controller means, for a Generating CMU, the person

exercising Despatch Control with respect to each Generating Unit comprised in that Generating CMU.

Legal Owner Signature:	
Date: (dd/mm/yyyy)	
Print Name:	

Legal Owner Signature:	
Date: (dd/mm/yyyy)	
Print Name:	

Despatch Controller Signature:	
Date: (dd/mm/yyyy)	
Print Name:	

Despatch Controller Signature:	
Date: (dd/mm/yyyy)	
Print Name:	

“

27 Amendments to Exhibit DA (Form of Joint Owner Declaration for Existing Interconnector CMU)

27.1 For Exhibit DA, substitute

“EXHIBIT DA: FORM OF JOINT OWNER DECLARATION FOR EXISTING INTERCONNECTOR CMU

Item	Guidance	Response
Relevant CMU:	Description of Interconnector CMU and Non-GB Part	
CMU ID:	Existing Interconnector CMU and Non-GB Part	
Application year:	Calendar year of original application submission	
Relevant Parties:	The relevant parties are the parties who are together the Joint Owners in relation to Interconnector CMU and/or its associated Non-GB Part	
Applicant Party	Entity name	
Other Joint Owners		

Declarations:

The following confirmations and declarations are made by the “Relevant Parties” and are made in relation to the Application for the Relevant Existing Interconnector CMU (the “Relevant Application”).

(a) Each of the Relevant Parties hereby confirms that each is a Joint Owner in relation to the Relevant Existing Interconnector CMU; and

(b) Each of the Relevant Parties hereby declares that:

- **(i)** The Applicant Party is the Applicant for the Relevant Existing Interconnector CMU in relation to the Relevant Application
- **(ii)** in the event that the Relevant Existing Interconnector CMU becomes a Prequalified CMU for the Capacity Auction to which the Relevant Application relates, the Applicant Party will be the Bidder for the Relevant CMU in that Capacity Auction;
- **(iii)** in the event that the Relevant Existing Interconnector CMU becomes a Capacity Committed CMU pursuant to the Capacity Auction to which the Relevant Application relates, the Applicant Party will be the Capacity Provider for the Relevant Existing Interconnector CMU.

Please note: Capitalised terms used herein have the meaning given to them in the Capacity Market Rules 2014 (as amended) unless otherwise indicated.

To be executed by each Relevant Party by the signature of two directors, unless Rule 1.3A (inserted by the Capacity Market (Amendment) Rules 2014) applies, or execution is on behalf of a company which is not a UK-registered company (in which case it is to be duly executed under the law of the place in which the company is incorporated).

Item	Guidance	
Applicant entity:		
Company Address:		
Company Number:		
Registrar:	That holds company information where not UK Companies House	

Signature:	
Date: (dd/mm/yyyy)	
Print Name:	

Signature:	
Date: (dd/mm/yyyy)	
Print Name:	

Item	Guidance	
Joint Owner entity:		
Company Address:		
Company Number:		

Registrar:	That holds company information where not UK Companies House	

By signing below I confirm I have the authority to make the above declarations on behalf of the Joint Owner company

Signature:	
Date: (dd/mm/yyyy)	
Print Name:	

Signature:	
Date: (dd/mm/yyyy)	
Print Name:	

"

28 Omission of Exhibit DB (Form of Joint Owner Declaration for Existing Interconnector CMU)

28.1 Omit Exhibit DB

29 Amendments to Exhibit DC (Form of Joint Owner Declaration for Prospective Interconnector CMU)

29.1 For Exhibit DC, substitute

"EXHIBIT DC: FORM OF JOINT OWNER DECLARATION FOR PROSPECTIVE INTERCONNECTOR CMU

Item	Guidance	Response
Relevant CMU:	Description of Interconnector CMU and Non-GB Part	
Application year:	Calendar year of original application submission	
CMU ID:	This is the Relevant CMU for the purposes of the Declarations below	
Relevant Parties:	The relevant parties are the parties who are together the Joint Owners in relation to Interconnector CMU and/or its associated Non-GB Part	

Applicant Party	Entity Name	
Other Joint Owners		

The following confirmations and declarations are made by the Joint Owners in relation to the CMU ID (the "Relevant CMU") and/or its associated Non-GB Part and are made in relation to the Application for the Relevant CMU (the "Relevant Application"):

(a) Each of the Relevant Parties hereby confirms that each is a Joint Owner in relation to the Relevant CMU; and

(b) Each of the Relevant Parties hereby declares that:

(i) The Applicant Party acts for the Relevant CMU in relation to the Relevant Application;

(ii) in the event that the Relevant CMU becomes a Prequalified CMU for the Capacity Auction to which the Relevant Application relates, the Applicant will be the Bidder for the Relevant CMU in that Capacity Auction;

(iii) in the event that the Relevant CMU becomes a Capacity Committed CMU pursuant to the Capacity Auction to which the Relevant Application relates, the Applicant will be the Capacity Provider for the Relevant CMU;

(iv) any statement or declaration made or deemed to be made by the Applicant as Applicant, Bidder or Capacity Provider in accordance with the Capacity Market Rules (as amended) 2014 is made or deemed to be made by or in respect of all Relevant Parties;

(v) any certification required to be made by the Applicant, Bidder or Capacity Provider in accordance with Capacity Market Rules, including the Prequalification Certificate and the Certificate of Conduct, is made by or in respect of all Relevant Parties.

Please note: Capitalised terms used herein have the meaning given to them in the Capacity Market Rules 2014 (as amended) unless otherwise indicated.

To be executed by each Relevant Party by the signature of two directors, unless Rule 1.3A (inserted by the Capacity Market (Amendment) Rules 2014) applies, or execution is on behalf of a company which is not a UK-registered company (in which case it is to be duly executed under the law of the place in which the company is incorporated).

Item	Guidance	
Applicant entity:		
Company Address:		
Company Number:		
Registrar:	That holds company information where not UK Companies House	

Signature:	
Date: (dd/mm/yyyy)	
Print Name:	

Signature:	
Date: (dd/mm/yyyy)	
Print Name:	

Item	Guidance	
Joint Owner entity:		
Company Address:		
Company Number:		
Registrar:	That holds company information where not UK Companies House	

By signing below I confirm I have the authority to make the above declarations on behalf of the Joint Owner company

Signature:	
Date: (dd/mm/yyyy)	

Print Name:	

Signature:	
Date: (dd/mm/yyyy)	
Print Name:	

“

30 Amendments to Exhibit E (Form of Agent Nomination Form)

30.1 For Exhibit E, substitute

“EXHIBIT E: FORM OF AGENT NOMINATION FORM

1) Applicant Details

Item	Information
Applicant (Entity name):	
Address:	
Telephone:	
Email:	
CMU IDs to which Agent Nomination Form relates:	
Matters for which the Agent is appointed:	Applications ☐ Bidding ☐ Receiving / sending correspondence and notices to / from Administrative Parties ☐ Obligation Trading ☐ Volume Reallocation ☐

2) Agent Details

Item	Information
Agent:	
Address:	
Telephone number:	
Email:	
Agent’s Company Number	

Agent's Group, Ultimate Holding Company Name	
Agent's Group, Ultimate Holding Company Number	
Date from which appointment is to be effective:	

3) Appointment of Agent:

The Applicant hereby gives notice that:

a) it appoints the Agent listed above to act as its Agent in relation to all matters set out in the 'Matters for which the Agent is appointed' field above;

b) it acknowledges and agrees that the Administrative Parties can rely on representations made by the Agent;

c) it acknowledges and agrees that it is bound by the Agent's acts and omissions;

d) it is responsible for every act, breach, omission, neglect and failure of the Agent (in relation to the Applicant) and must itself comply and must procure compliance by the Agent, with the relevant provisions of the Rules;

e) it will take such actions and provide such information as is reasonably necessary to enable the Agent for which it is responsible to discharge its functions in accordance with the relevant provisions of the Rules;

f) only one Agent is appointed by an Applicant with respect to a CMU at any one time; and

g) such Agent (or any member within the Agent's Group) is not also the Agent for any other Applicant (unless the other Applicant is a member of the same Group as the Applicant).

4) Termination of appointment of Agent

Date of which termination is to be effective:	

Resignation of Agent:

Date from which resignation is to be effective:	

Authorised Signature of Applicant:	
Authorised Signature of Agent:	

"

31 Amendments to Exhibit F (Form of Aggregator Declaration)

31.1 For Exhibit F, substitute

"EXHIBIT F: FORM OF AGGREGATOR DECLARATION

1. General Identification Information

Item	Guidance	Information
Name of Aggregator:	The Despatch Controller entity	
CMU ID:	This is the Relevant CMU for the purposes of the Declarations below	
Application year:	Calendar year of original Application submission	

2. Legal Ownership of Generating CMU – add a row for each Generating Unit

Note: If different legal owners are involved, both the Component ID and the description of the related Generating Unit should be included in the Component ID column

Component ID (as listed on the portal)	Name of legal owner	Legal owner company number (if applicable)	Legal owner registered address

3. Declarations

The following confirmations and declarations are made by the Despatch Controller with respect to the above the Relevant Generating CMU and in relation to the Relevant Application:

(a) The Despatch Controller hereby confirms that:

(i) the Relevant Generating CMU comprises the above Generating Units and that legal ownership of each Generating Unit is vested in the Legal Owner(s) listed above; and

(ii) the Despatch Controller has or, in the case of a Prospective Generating CMU, will have Despatch Control with respect to each Generating Unit comprised in the Relevant Generating CMU.

(b) The Despatch Controller hereby confirms that:

(i) the Despatch Controller is the Applicant for the Relevant Generating CMU in relation to the Relevant Application;

(ii) in the event that the Relevant Generating CMU becomes a Prequalified CMU for the Capacity Auction to which the Relevant Application relates, the Despatch Controller will be the Bidder for the Relevant Generating CMU in that Capacity Auction;

(iii) in the event that the Relevant Generating CMU becomes a Capacity Committed CMU pursuant to the Capacity Auction to which the Relevant Application relates or following an agreement transfer, the Despatch Controller will be the Capacity Provider for the Relevant Generating CMU; and

(iv) the total Connection Capacity of the Generating Units that make up the CMU does not exceed 50MW.

Note: Capitalised terms in this certificate have the meaning given to them in the Capacity Market Rules 2014 (as amended) unless otherwise indicated.

To be signed by two directors (or officers, in the case of a body other than a company) of the Despatch Controller.

Despatch Controller Signature:	

Date: (dd/mm/yyyy)	
Print Name:	

Note: No second signature is required for sole director companies, see Rule 1.3.A

Despatch Controller Signature:	
Date: (dd/mm/yyyy)	
Print Name:	

"

32 Amendments to Exhibit G (Form of Legal Owner Declaration)

32.1 For Exhibit G, substitute

"EXHIBIT G: FORM OF LEGAL OWNER DECLARATION

Item	Guidance	Information
Legal Owner:	Name and address details of Legal Owner	
Legal Owner Company Number:		
Registered address of Legal Owner:		
Despatch Controller:	Name of the entity	

CMU ID:	The Relevant CMU	
Component ID:	The Relevant Generating Unit	
Application year:	Calendar year of original application submission	

The following confirmations and declarations are made by the Legal Owner as named above with respect to the above Generating Unit(s) and they confirm that:

(a) The Legal Owner hereby confirms that:

(i) the Legal Owner is the sole legal owner of the Relevant Generating Unit(s); and

(ii) the Despatch Controller has or, in the case of a Prospective CMU, will have Despatch Control with respect to the Relevant Generating Unit(s).

(b) the Legal Owner consents to the Despatch Controller submitting an Application in respect of a CMU, of which the Relevant Generating Unit(s) forms part.

Note: Capitalised terms in this certificate have the meaning given to them in the Capacity Market Rules 2014 (as amended) unless otherwise indicated.

To be signed by two directors (or officers, in the case of a body other than a company) of the person having legal ownership of the relevant Generating Unit(s).

Legal Owner Signature:	
Date (dd/mm/yyyy)	
Print Name	

Note: No second signature is required for sole director companies, see Rule 1.3.A

Legal Owner Signature:	
Date (dd/mm/yyyy)	

Print Name	

33 Amendments to Exhibit H (Form of Aggregator Transfer Declaration)

33.1 For Exhibit H, substitute

"EXHIBIT H: FORM OF AGGREGATOR TRANSFER DECLARATION

Item	Guidance	Information
Previous Legal Owner:	Name and address details of Legal Owner	
New Legal Owner	Name and address details of Legal Owner	
Effective Date	Date of transfer	
Despatch Controller:	Name of the entity	
CMU ID:		

Aggregator Transfer Declaration

The following confirmations and declarations are made by the Despatch Controller with respect to the Relevant Generating CMU outlined above. The Despatch Controller hereby confirms that:

(a) legal ownership of the Relevant Generating Unit(s) has been transferred from the previous Legal Owner to New Legal Owner as of the effective date;

(b) legal ownership of each Generating Unit comprised in the Relevant Generating CMU is vested in the parties listed below; and

(c) the Despatch Controller has or, in the case of a Prospective CMU, will have Despatch Control with respect to each Generating Unit comprised in the Relevant Generating CMU.

Note: if different legal owners are involved, both the Component ID and the description of the related Generating Unit should be included in the Component ID column

Description of the Generating Unit, including at least the Component ID (as listed on the portal)	Name of new legal owner	Name of Previous legal owner	New Legal owner company number (if applicable)	New Legal owner registered address

Note: Capitalised terms in this certificate have the meaning given to them in the Capacity Market Rules 2014 (as amended) unless otherwise indicated.

To be executed by each Relevant Party by the signature of two directors, unless Rule 1.3A (inserted by the Capacity Market (Amendment) Rules 2014) applies, or execution is on behalf of a company which is not a UK-registered company (in which case it is to be duly executed under the law of the place in which the company is incorporated).

Despatch Controller Signature:	
Date: (dd/mm/yyyy)	
Print Name:	

Note: No second signature is required for sole director companies, see Rule 1.3.A

Despatch Controller Signature:	
Date: (dd/mm/yyyy)	
Print Name:	

“

34 Amendments to Exhibit I (Form of Legal Owner Transfer Declaration)

34.1 For Exhibit I, substitute

“EXHIBIT I: FORM OF LEGAL OWNER TRANSFER DECLARATION

Item	Guidance	Information
New Legal Owner:	Name and address details of Legal Owner (including Company number if applicable)	
	Address details	
	Companies house ID	
Despatch Controller:	Name of the entity	
CMU ID:		
Relevant Generating Unit:	Description of the Generating Unit, including at least the Component ID (as listed on the portal), if multiple GUs please list all that apply.	

The following confirmations and declarations are made by the Legal Owner with respect to the Relevant Generating Unit(s). The Legal Owner hereby confirms that:

(a) the Legal Owner is the sole legal owner of the Relevant Generating Unit(s); and

(b) the Despatch Controller has or, in the case of a Prospective CMU, will have Despatch Control with respect to the Relevant Generating Unit(s).

Please note: Capitalised terms in this certificate have the meaning given to them in the Capacity Market Rules 2014 (as amended) unless otherwise indicated.

To be executed by each Relevant Party by the signature of two directors, unless Rule 1.3A (inserted by the Capacity Market (Amendment) Rules 2014) applies, or execution is on behalf of a company which is not a UK-registered company (in which case it is to be duly executed under the law of the place in which the company is incorporated).

Legal Owner Signature:	
Date: (dd/mm/yyyy)	
Print Name:	

Note: No second signature is required for sole director companies, see Rule 1.3.A

Legal Owner Signature:	
Date: (dd/mm/yyyy)	
Print Name:	

“

35 Amendments to Exhibit J (Form of Funding Declaration)

35.1 For Exhibit J, substitute

"EXHIBIT J: FORM OF FUNDING DECLARATION

Item	Guidance	Information
Capacity Provider	Name of the Capacity Provider	
CMU ID:	The Relevant CMU	

Please note: Capitalised terms in this certificate have the meaning given to them in the Capacity Market Rules 2014 (as amended) unless otherwise indicated.

The following declarations and confirmations are made by the Capacity Provider with respect to the Relevant CMU:

(a) in respect of the Relevant CMU

(i) Relevant Expenditure

No Relevant Expenditure has been, or is expected to be, incurred; or ☐

Relevant Expenditure has been incurred, or is expected to be incurred,; or ☐

This updated Funding Declaration is provided pursuant to Rule 8.3.8(a)(ii) ☐

This updated Funding Declaration is provided pursuant to Rule 8.3.10 ☐

(ii) Relevant Benefit

No Relevant Benefit has been received, or is expected to be, received; ☐

Relevant Benefit has been, or is expected to be, received; ☐

This updated Funding Declaration is provided pursuant to Rule 8.3.8(a)(ii) or (b); ☐

Where Relevant Expenditure has been, or is expected to be, incurred:

	Guidance	Information
(iii)	the total amount of Relevant Expenditure that has been or is expected to be, incurred is:	
(iv)	the date(s) that the Relevant Investment was, or is expected to be, received	
(v)	either	
	the Relevant Investment is under the Enterprise Investment Scheme, or the Seed Enterprise Investment Scheme, and the name of the company that received the Relevant Investment as recorded in HM Revenue & Customs records in respect of that Relevant Investment is: Note: If both apply, enter the aggregate value	
	or	
	the Relevant Investment is under the Venture Capital Trust and the name of the company that made the Relevant Investment is; and	
(vi)	the Capacity Provider agrees for the total Relevant Expenditure incurred with respect to the Relevant CMU to be set off against or recovered from any Capacity Payments payable to the Capacity Provider in respect of the Relevant CMU, and no payment shall be made to the Capacity Provider until such amount has been set off or recovered in its entirety.	☐

Where Relevant Benefit has been, or is expected to be, received:

	Guidance	Information
(vii)	the total amount in pound sterling (£),including if granted in any form or currency other than pound sterling (£), of Relevant Benefit that has been or is expected to be received,) including where notice of the Relevant Benefit has been given:	

	Guidance	Information
(viii)	the notice of Relevant Benefit was given on: - insert date(s) for all aid or subsidy granted where notice of the Relevant Benefit has been given but Relevant Benefit has not yet been granted	
(ix)	the Relevant Benefit was or is expected to be received: - insert date/s for all aid or subsidy granted/subsidy to be granted	
(x)	the Relevant Benefit is granted under the following scheme(s) or measure(s) - insert a numbered list containing a description of each scheme or measure under which the Relevant Benefit is granted	1. 2. 3.
(xi)	the name of the company/companies that received the Relevant Benefit, under the scheme(s) or measure(s) described at the provided number in the list in (a)(x) as recorded in HM Revenue & Customs records in respect of that Relevant Benefit and/or the Person(s) other than a company/companies who received the Relevant Benefit under the scheme(s) or measure(s) described in the list in (a)(x) is; and - Insert number of the relevant scheme or measure from the above list - Insert the name of the company and/or person who received the relevant benefit	
(xii)	the Capacity Provider agrees for the total Relevant Benefit received with respect to the Relevant CMU to be set off against or recovered from any Capacity Payments payable to the Capacity Provider in respect of the Relevant CMU, so that no payment shall be made to the Capacity Provider until such amount has been set off or recovered in its entirety.	☐

(b) The Capacity Provider hereby confirms that:

(i) where Rule 8.3.8(a)(i) applies, the Capacity Provider will provide the Delivery Body with an updated Funding Declaration in respect of Relevant Expenditure incurred or due to be incurred, as required in accordance with that Rule;

(ii) where Rule 8.3.8(a)(ii) or Rule 8.3.8(b) apply, the Capacity Provider will provide the Delivery Body with an updated Funding Declaration and additional updated Funding Declaration, as required in accordance with those Rules;

(iii) the Capacity Provider consents, and has obtained the written consent of all other relevant persons, to the Authority and HM Revenue & Customs exchanging relevant information in relation to any Relevant Expenditure, Relevant Investment or Relevant Benefit for the sole purpose of the Authority exercising its functions under the Rules and the Regulations in connection with the Relevant Expenditure, or Relevant Benefit; and

(iv) in all respects, this Funding Declaration and each of the specific declarations referred to in paragraph (a) are true and correct and that this Funding Declaration has been authorised by the board of directors of the Capacity Provider.

Signature of the Director	
Date (dd/mm/yyyy)	
Print Name	

Note: No second signature is required for sole director companies, see Rule 1.3.A

Signature of the Director	
Date (dd/mm/yyyy)	
Print Name	

"

36 Amendments to Exhibit ZA (Form of Fossil Fuel Emissions Declaration)

36.1 For Exhibit ZA, substitute

"EXHIBIT ZA: FORM OF FOSSIL FUEL EMISSIONS DECLARATIONS

Item	Guidance	Information from Directors

Name of Relevant Party Applicant:	Applicant or Capacity Provider entity	
Company registration number (or equivalent if Company Registration Number is not applicable):	For GB Companies and LLPs this can be found on Companies House. For overseas entities, please provide the unique identifier issued by your country's company registry or equivalent authority. Individuals should leave this blank	
Company Address:	Address of Registered Office	
CMU ID:	The **Relevant CMU**	
CMU Name:		

Contents of this declaration:

- **Part 1:** The Relevant CMU
- **Part 2**: Declaration in respect of relevant Fossil Fuel Components
- **Part 3:** Declarations of Fossil Fuel Emissions (and where relevant, Fossil Fuel Yearly Emissions) in respect of relevant Fossil Fuel Components with an Installed Capacity equal to or greater than 1MW
- **Part 4:** Declarations in respect of Formulae applied to determine Fossil Fuel Emissions
- **Part 5:** Declarations in respect of relevant Fossil Fuel Components with an Installed Capacity below 1MW
- **Part 6:** Omitted.
- **Part 7:** Declaration in respect of Emissions Related Material Changes
- **Part 8:** Director signatures
- **Part 9:** Independent Emissions Verifier certification
- **Annex A:** Assurance work conducted by an Independent Emissions Verifier

Note: Capitalised terms in this declaration have the meaning given to them in the Capacity Market Rules 2014 (as amended) unless otherwise indicated.

The following confirmations and declarations are made by Director(s) of the Relevant Party and

where required, this declaration is signed by an authorised signatory on behalf of the Independent Emissions Verifier, and

with respect to the Relevant CMU and

each Fossil Fuel Component, or Associated Fossil Fuel Component by which a Storage Facility comprising the Relevant CMU has part or all of its electricity requirements met, each a **relevant Fossil Fuel Component** comprising the Relevant CMU,

and in respect of the Delivery Year in respect of which a Capacity Obligation awarded to the Relevant CMU applies.

Part 1: The Relevant CMU

The Relevant Party hereby confirms that the Relevant CMU is. Select 1 only:

CMU Type:	Guidance:	Information:
A New Build CMU		☐
A Refurbishing CMU	Where this declaration is provided in respect of both the Pre-Refurbishment CMU and the Relevant CMU once improvement works have been completed	☐
An Existing Generating CMU	Including where this declaration is provided in respect of the Pre-Refurbishment CMU in relation to a Refurbishing CMU	☐
A DSR CMU		☐

Note: failure to complete part 1 will result in the Exhibit being rejected

Part 2: Declaration in respect of whether the relevant CMU includes any Fossil Fuel Components with an Installed Capacity equal to or greater than 1MW

The Relevant Party hereby declares that it meets criteria (a) or (b):

	Declaration	Instruction	Information
(a)	the Relevant CMU comprises of at least one relevant Fossil Fuel Component, but none that have an Installed Capacity of equal to or greater than 1MW	If (a) applies, you must complete Part 5, Part 7 and Part 8.	☐
(b)	the Relevant CMU comprises of at least one relevant Fossil Fuel Component which has an Installed Capacity of equal to or greater than 1MW	If (b) applies, you must complete Part 3, Part 4, Part 5, Part 6, Part 7 and Part 8, and arrange for an Independent Emissions Verifier to complete Part 9	☐

Part 3: Declarations of Fossil Fuel Emissions (and, where relevant, Fossil Fuel Yearly Emissions) in respect of relevant Fossil Fuel Components with an Installed Capacity equal to or greater than 1MW

Please note: an additional row must be added for each additional relevant Fossil Fuel Component. Each component should be entered only once to ensure consistency with the application data and must match those supplied to the Delivery Body.

Fossil Fuel Component descriptor	**Commercial Production Start Date**	**Generating Unit Fuel Type/s**	**Fossil Fuel Emissions (in gCO_2 per kWhe)**	**Fossil Fuel Yearly Emissions (in kg CO_2 per kWe)**
Brief descriptor or reference	*Select relevant date*	*List each Generating Unit Fuel Type. Generating Unit Fuel Type/s only specified in the first column of the table of Schedule 9 of CM Rules*	*Declare the Fossil Fuel Emissions of each relevant Fossil Fuel Component which has an Installed Capacity of equal to or greater than 1MW*	*Only where applicable*. i.e. in respect of a Delivery Year which commences in 2024 or a subsequent Delivery Year, in relation to a relevant Fossil Fuel Component with a Commercial Production Start Date before 4 July 2019, and where the Fossil Fuel Emissions of the Relevant Fossil Fuel Component exceed the Fossil Fuel Emissions Limit (see Rule 3.15.1(b))
	Before 4 July 2019 ☐			

	On or after 4 July 2019 ☐			

Part 4: Declarations in respect of formulae applied to determine Fossil Fuel Emissions

Please note: an additional row must be added for each additional relevant Fossil Fuel Component. Each component should be entered only once to ensure consistency with the application data.

Fossil Fuel Component descriptor	**Formula applied to determine Fossil Fuel Emissions**	**Formula applied to determine Design Efficiency**
Same descriptor or reference used in Part 3	*Select one option*	*Select one option*
	Fossil Fuel Emissions Formula ☐	Design Efficiency Formula ☐
	Fossil Fuel Emissions CCUS Formula ☐	Design Efficiency Steam Formula ☐
	Fossil Fuel Mixed Fuels Formula ☐	Design Efficiency CHPQA Formula ☐
	Fossil Fuel Composite Formula ☐	

Part 5: Declarations in respect of relevant Fossil Fuel Components with an Installed Capacity below 1MW

Note: complete the relevant section below based on which option you selected in Part 2:

- If (a) was selected, only relevant Fossil Fuel Components less than 1MW, then only option (c) in Part 5 applies;
- If (b) was selected, at least one relevant Fossil Fuel Component 1MW or more, then
    - (c) in Part 5 can apply if any relevant Fossil Fuel Components are less than 1MW, or
    - (d) in Part 5 can apply if no relevant Fossil Fuel Components are less than 1MW.

Therefore;

Part 5 (c) can apply to both Part 2 (a) or (b)

Part 5 (d) can only apply to Part 2 (b) where there are no relevant Fossil Fuel Components under 1MW.

The Relevant Party hereby confirms that:

(a) omitted:

(b) omitted:

(c) The Relevant Delivery Year is the Delivery Year that commences in 2024 or a subsequent Delivery Year	(d) The Relevant Delivery Year is the Delivery Year that commences in 2024 or a subsequent Delivery Year
(i) the Relevant CMU comprises of at least one relevant Fossil Fuel Component which has an Installed Capacity of less than 1MW and each of those relevant Fossil Fuel Components does not exceed the Fossil Fuel Emissions Limit (other than a relevant Fossil Fuel Component which has a Commercial Production Start Date before 4 July 2019 which exceeds the Fossil Fuel Emission Limit, but does not exceed the Fossil Fuel Yearly Limit);	(i) the Relevant CMU does not comprise of any relevant Fossil Fuel Component which has an Installed Capacity of less than 1MW;
(ii) in the event that the Relevant CMU will, after making this declaration, comprise of any additional relevant Fossil Fuel	(ii) in the event that the Relevant CMU will, after making this Declaration, comprise of at least one relevant Fossil Fuel

Component with a Commercial Production Start Date on or after 4 July 2019 and which has an Installed Capacity of less than 1MW, such additional relevant Fossil Fuel Components will not exceed the Fossil Fuel Emissions Limit; and	Component with a Commercial Production Start Date on or after 4 July 2019 and which has an Installed Capacity of less than 1MW, such relevant Fossil Fuel Component will not exceed the Fossil Fuel Emissions Limit; and
(iii) in the event that the Relevant CMU will, after making this declaration, comprise of any additional relevant Fossil Fuel Component with a Commercial Production Start Date which is before 4 July 2019 and which has an Installed Capacity of less than 1MW, each such additional relevant Fossil Fuel Component will not exceed Fossil Fuel Emissions Limit (other than where it exceeds the Fossil Fuel Emission Limit, it will not exceed the Fossil Fuel Yearly Emissions Limit);	(iii) in the event that the Relevant CMU will, after making this Declaration, comprise of at least one relevant Fossil Fuel Component with a Commercial Production Start Date which is before 4 July 2019 and which has an Installed Capacity of less than 1MW, each relevant Fossil Fuel Component will not exceed both the Fossil Fuel Emissions Limit (except that, where it exceeds the Fossil Fuel Emissions Limit, it will not exceed the Fossil Fuel Yearly Emissions Limit).
☐	☐

Part 6: Omitted

Omitted.

Part 7: Declaration in respect of Emissions Related Material Changes

The Relevant Party hereby confirms that an updated Fossil Fuel Emissions Declaration will be provided if there is an Emissions Related Material Change to the Relevant CMU and/or to a relevant Fossil Fuel Component and:

(a) where the Relevant Delivery Year is the Delivery Year that commences in 2022 or a subsequent Delivery Year, the Relevant CMU and/or each relevant Fossil Fuel Component will not exceed the Fossil Fuel Emissions Limit; and/or

(b) where the Relevant Delivery Year is the Delivery Year that commences in 2024 or a subsequent Delivery Year, the Relevant CMU and/or each relevant Fossil Fuel Component will not exceed the Fossil Fuel Emissions Limit, and where any relevant Fossil Fuel Component which has a Commercial

Production Start Date which is before 4 July 2019 exceeds the Fossil Fuel Emission Limit, it will not exceed the Fossil Fuel Yearly Limit.

Part 8: Director Signatures

To be executed by the Relevant Party by the signature of two directors, unless Rule 1.3A (inserted by the Capacity Market (Amendment) Rules 2014) applies, or execution is on behalf of a company which is not a UK-registered company (in which case it is to be duly executed under the law of the place in which the company is incorporated).

Signature of the Director	
Date (dd/mm/yyyy)	
Print Name	

Please note: No second signature is required for sole director companies, see Rule 1.3.A

Signature of the Director	
Date (dd/mm/yyyy)	
Print Name	

Part 9: Independent Emissions Verifier certification of declaration(s) made in Part 3 and Part 4:

Please note: This template should not be amended or expanded with additional data. You must arrange for an Independent Emissions Verifier to complete this Part if Part 2(b) applies.

1) Independent Emissions Verifier to confirm either (a) or (b)

(a) We have conducted a verification of the information provided in the tables in Part 3 and Part 4 and the data provided in support of that information and, on the basis of the Assurance Work described in Annex A to this Declaration, we confirm with reasonable assurance that the declarations in Part 3 are true and correct in all material aspects	(b) We have conducted a verification of the information provided in the tables in Part 3 and Part 4 and the data provided in support of that information and, on the basis of the Assurance Work described in Annex A to this Declaration, we confirm with reasonable assurance that the declarations in Part 3 are true and correct, with the exception of the technical specification(s) / performance test(s) of combustion units made available by the operator and attached to this declaration. These documents have been accepted as representative of current operations in the absence of alternative data sources. The risk of mis-statement of data in Part 3 due to the age, degradation or non-optimum condition of the combustion units in operation has not been taken into account in the verification process.
☐	☐

2) Independent Emissions Verifier to complete all of the following

We have applied the following standard/s when conducting the verification of the information provided in the tables in Part 3 and Part 4 and the data provided in support of that information:

ISO 14065:2020. Requirements for greenhouse gas validation and verification bodies for use in accreditation or other forms of recognition

Item	Guidance	Information
Description of International Organisation for Standardisation (ISO) or European Standards (EN) Applied	Including Title and Year	

Signature	
Date (dd/mm/yyyy):	
Signatory Print Name:	
Position:	
Company name of Independent Emissions Verifier:	
Name of Independent Emissions Verifier:	
Accreditation body:	
Accreditation number of Independent Emissions Verifier:	

Annex A: Assurance Work Conducted by the Independent Emissions Verifier

Responsibilities: The Relevant Party is responsible for the preparation and reporting of data in this Fossil Fuel Emissions Declaration ("Declaration") and for its submission to the Delivery Body in accordance with the Rules. The Independent Emissions Verifier is responsible (in accordance with its contract with the Relevant Party and its accreditation obligations) for carrying out verification of the Declaration and data submitted with the Declaration.

Assurance Work Conducted: The Independent Emissions Verifier has conducted its examination having regard to the criteria used for verification outlined below. This involved examining, based on the verifier's own assessment of risk, evidence provided by the Relevant Party, to assess whether the verifier is able to give

reasonable assurance that the declaration(s) in **Part 3** and **Part 4** of this Declaration are true and correct in all material respects.

Criteria used for verification: The Capacity Market Rules, the Electricity Capacity Regulations 2014 (SI 2014/ 2043); relevant ISO and/or EN standards."

37 Amendments to Exhibit ZB (Form of Fossil Fuel Emissions Commitment)

37.1 For Exhibit ZB, substitute

"EXHIBIT ZB: FORM OF FOSSIL FUEL EMISSIONS COMMITMENT

Item	Guidance	Information from Directors
Name of Relevant Party Applicant:	Applicant or Capacity Provider entity	
Company registration number (or equivalent if Company Registration Number is not applicable):	For GB Companies and LLPs this can be found on Companies House. For overseas entities, please provide the unique identifier issued by your country's company registry or equivalent authority. Individuals should leave this blank	
Company Address:	Address of Registered Office	
CMU ID:	The Relevant CMU	
CMU Name:		
Application year:	Calendar year of original application submission	

The following confirmations and declarations are made by the director(s) of the Applicant with respect to:

the Relevant CMU, and with respect to each Fossil Fuel Component or Associated Fossil Fuel Component by which a Storage Facility has part or all of its electricity requirements met, (each a "relevant Fossil Fuel Component") which comprises or may comprise the Relevant CMU; and

any Delivery Year in respect of which a Capacity Obligation awarded in the Relevant Capacity Auction to the Relevant CMU may apply (a "Relevant Delivery Year");

Contents of this declaration:

- **Part 1:** The Relevant CMU
- **Part 2:** Declaration in respect of the Relevant CMU which is New Build, Refurbishing or Unproven DSR
- **Part 3:** Declaration in respect of Emissions Related Material Changes
- **Part 4:** Director signatures

Please note: Capitalised terms used herein have the meaning given in the Capacity Market Rules 2014 (as amended) unless otherwise indicated.

Part 1: The Relevant CMU

You must complete this Part in respect of the Relevant CMU, by selecting either (a), (b), or (c)

The Applicant hereby confirms that the Relevant CMU is:

CMU Type:	Guidance:	Select 1 only:
(a) A New Build CMU		☐
(b) A Refurbishing CMU	Where this declaration is provided in respect of both the Pre-Refurbishment CMU and the Relevant CMU once improvement works have been completed	☐
(c) An Unproven DSR CMU		☐

Part 2: Declarations in respect of the Relevant CMU

The Applicant hereby declares that:

(a) the Relevant CMU will not comprise of any relevant Fossil Fuel Component; ☐

(b) the Relevant CMU will or may comprise of at least one relevant Fossil Fuel Component; ☐

(c) The Relevant CMU comprises of at least one relevant Fossil Fuel Component:

i. the Applicant will make a Fossil Fuel Emissions Declaration in accordance with the relevant deadline (in Rule 8.3.11(b)(i) in respect of a New Build CMU, Rule 8.3.11(b)(ii) in respect of a Refurbishing CMU (including where a Capacity Agreement is awarded to the Pre-Refurbishment CMU) and Rule 8.3.11(b)(iii) in respect of an Unproven DSR CMU);

ii. where the Relevant Delivery Year is the Delivery Year that commences in 2022 or a subsequent Delivery Year and the Relevant CMU comprises of at least one relevant Fossil Fuel Component with a Commercial Production Start date on or after 4 July 2019, the Fossil Fuel Emissions of that relevant Fossil Fuel Component will not exceed the Fossil Fuel Emissions Limit; and

iii. where the Relevant Delivery Year is the Delivery Year that commences in 2024 or is a subsequent Delivery Year and the Relevant CMU comprises of at least one relevant Fossil Fuel Component with a Commercial Production Start Date which is before 4 July 2019, where the Fossil Fuel Emissions of that relevant Fossil Fuel Component exceed the Fossil Fuel Emission Limit, it will not exceed the Fossil Fuel Yearly Limit.

The Applicant further declares that:

		Select all that apply
(d)	the Applicant intends to apply the Fossil Fuel Emissions CCUS Formula to determine the Fossil Fuel Emissions of at least one relevant Fossil Fuel Components	☐
(e)	the Applicant intends to apply the Fossil Fuel Emissions Mixed Fuel Formula to determine the Fossil Fuel Emissions of at least one relevant Fossil Fuel Components	☐
(f)	the Applicant intends to apply the Fossil Fuel Emissions Composite Fuel Formula to determine the Fossil Fuel Emissions of at least one relevant Fossil Fuel Components	☐
(g)	the Applicant intends to apply the Design Efficiency CHPQA Formula to determine the Design Efficiency of at least one relevant Fossil Fuel Components	☐

Part 3: Declaration in respect of Emissions Related Material Changes

An Updating Fossil Fuel Emissions Declaration will be provided to the Delivery Body if, following making a Fossil Fuel Emissions Declaration in respect of the Relevant

CMU, there is an Emissions Related Material Change to the Relevant CMU or to a Fossil Fuel Component comprised in the Relevant CMU.

Part 4: Director Signatures

To be executed by Applicant by the signature of two directors, unless Rule 1.3A (inserted by the Capacity Market (Amendment) Rules 2014) applies, or execution is on behalf of a company which is not a UK-registered company (in which case it is to be duly executed under the law of the place in which the company is incorporated).

Signature of the Director	
Date (dd/mm/yyyy)	
Print Name	

Note: No second signature is required for sole director companies, see Rule 1.3.A

Signature of the Director	
Date (dd/mm/yyyy)	
Print Name	

"

38 Amendments to Exhibit ZC (Form of Fossil Fuel Removal Declaration)

38.1 For Exhibit ZC, substitute

"EXHIBIT ZC: FORM OF FOSSIL FUEL REMOVAL DECLARATION

Note: Capitalised terms in this certificate have the meaning given to them in the Capacity Market Rules 2014 (as amended) unless otherwise indicated.

Item	Guidance	Information from Directors
Name of Relevant Party Applicant:	Applicant or Capacity Provider Entity	
Company registration number (or equivalent if	For GB Companies and LLPs this can be found on	

Company Registration Number is not applicable):	Companies House. For overseas entities, please provide the unique identifier issued by your country's company registry or equivalent authority. Individuals should leave this blank	
Company Address:	Address of Registered Office	
CMU ID:	The Relevant CMU	
CMU Name:		

The following confirmations and declarations are made by the director(s) of the Relevant Party with respect to the Relevant CMU:

The Relevant Party hereby declares that the Relevant CMU no longer comprises of any Fossil Fuel Component or any Storage Facility which has part or all of its electricity requirements met by an Associated Fossil Fuel Component.

To be executed by the Relevant Party by the signature of two directors, unless Rule 1.3A (inserted by the Capacity Market (Amendment) Rules 2014) applies, or execution is on behalf of a company which is not a UK-registered company (in which case it is to be duly executed under the law of the place in which the company is incorporated).

Signature of the Director	
Date (dd/mm/yyyy)	
Print Name	

Note: No second signature is required for sole director companies, see Rule 1.3.A

Signature of the Director	

Date (dd/mm/yyyy)	
Print Name	

”

39 Amendments to Exhibit ZD (Form of Low Carbon Declaration)

39.1 For Exhibit ZD, substitute

“EXHIBIT ZD: FORM OF LOW CARBON DECLARATION

Item	**Guidance**	**Information from Directors**
Name of Relevant Party:	Applicant or Capacity Provider entity.	
Address of registered office:		
Company registration number (or equivalent if Company Registration Number is not applicable):	For GB Companies and LLPs this can be found on Companies House. For overseas entities, please provide the unique identifier issued by your country's company registry or equivalent authority. Individuals should leave this blank.	
Application year:	Calendar year of original application submission	

CMU ID:	The Relevant CMU	
The Relevant Delivery Year 20(XX/XX) :	If providing this Declaration with an Application, insert first Delivery Year of the Capacity Agreement that you hope the Relevant CMU will be awarded	

Note: Capitalised terms in this certificate have the meaning given to them in the Capacity Market Rules 2014 (as amended) unless otherwise indicated

The Relevant Party, declare and confirm the matters set out below with reference to the Relevant CMU and the Application that is being made in respect of the Relevant Application.

Part 1: The Relevant CMU

The Relevant CMU is or will be (Please choose one option):

(a) a New Build Generating CMU; ☐
(b) a Refurbishing Generating CMU; ☐
(c) an Unproven DSR CMU. ☐
(d) an Existing Generating CMU; or ☐
(e) a Proven DSR CMU. ☐

Part 2: Low Carbon Period

This Declaration relates to:

Please select one option. Select (b) if you are making the Declaration in respect of an Existing Generating CMU or Proven DSR CMU. If (b) is retained, complete it as appropriate.

(a) the period beginning with the date on which this Declaration is made; ☐

(b) the period beginning with the start of the Relevant Delivery Year; ☐

and continuing for as long as the Relevant CMU continues to operate, or, if sooner, until this Declaration is revoked in accordance with the Rules (the "Low Carbon Period").

Part 3: Low Emissions Statement

Note: (1.) below applies if the Relevant CMU is expected to comprise any Storage Facility that has part or all of its electricity requirements met by an Associated Fossil Fuel Component.

1. The Relevant CMU (Select one option):

(a) is and will be a Low Emissions CMU (in respect of an Existing Generating CMU or Proven DSR CMU) – that is, during the Low Carbon Period, it will comprise of: ☐

(i) Fossil Fuel Components, and any such components have or will have Fossil Fuel Emissions that do not exceed the Low Carbon Limit; and
(ii) no Other Emitting Component; or

(b) will be a Low Emissions CMU (in respect of a New Build CMU, Refurbishing CMU or Unproven DSR CMU) - that is, during the Low Carbon Period, it will comprise of: ☐

(i) Fossil Fuel Components, and any such components will have Fossil Fuel Emissions that do not exceed the Low Carbon Limit; and
(ii) no Other Emitting Component; or

(c) does not and will not comprise of an Emissions Component: ☐

2. The statement in paragraph 1

(a) is supported by a (select 1)

Fossil Fuel Emissions Declaration ☐

Fossil Fuel Emissions Commitment ☐

provided to the Delivery Body with this Declaration in compliance with the Rules.

(b) will be supported by a Fossil Fuel Emissions Declaration provided to the Delivery Body in accordance with the relevant deadline in the Rules ☐

Note: Select (b) if you are providing this Declaration with an Application and have retained paragraph 1(a) or (b) (the Relevant CMU is or will be a Low Emissions CMU)

and you will make a Fossil Fuel Emissions Declaration by a deadline in accordance with Rules 3.18, 8.3.12(b), 8.3.12A(b) or 8.3.13(c) (in respect of an Existing Generating CMU or Proven DSR CMU)

or Rule 8.3.11(a) (in respect of a Prospective Generating CMU or Unproven DSR CMU).

3. We:

(a) have no reason to suspect that the statement in paragraph 1 may be untrue or inaccurate.

(b) where one has been provided, have no reason to suspect that any Fossil Fuel Emissions Declaration or Fossil Fuel Emissions Commitment that has been provided with this Declaration may be untrue or inaccurate.

Part 4: Confirmation by the Applicant's Directors

We confirm that:

(a) We have read and understood Rules 3.10ZA and 3.10ZB (and the meanings of the defined terms used in them and those that are set out in Rule 1.2.1), as well as Rules relating to the Relevant CMU:

(b) We understand that if the Delivery Body gives a Low Emissions Determination in respect of the Relevant CMU, any Capacity Agreement of which the Relevant CMU is the subject may face the consequences specified in the Rules.

Signature of Director	
Date (dd/mm/yyyy)	
Print Name	

Note: No second signature is required for sole director companies, see Rule 1.3.A

Signature of Director	

Date (dd/mm/yyyy)	
Print Name	

”

Part 10

Conditional Prequalification for assets that have applied for a new or modified connection date

40 Amendment to Chapter 1 (General Provisions)

40.1 In 1.2 (Definitions)

(a) After the definition of "Physical Notification", insert

Post-Reform Gate 2 Connection Agreement	means a Distribution Connection Agreement or Grid Connection Agreement that has been modified by the DNO or System Operator following the Connections Reform Gate 2 to Whole Queue process and thus includes an Agreement to Vary badged in recitals as being the Gate 2 Modification.

41 Amendments to Chapter 3 (Prequalification Information)

41.1 In Rule 3.7 (Additional Information for a New Build CMU)

(a) For Rule 3.7.3(aza), substitute

"3.7.3(aza) For the Prequalification Window during 2026 only, an Applicant in respect of a T-4 Auction which is a New Build CMU that is or will be a Transmission CMU (a "relevant CMU") and does not have a Grid Connection Agreement that would allow the Applicant to give the confirmation in Rule 3.7.3(a)(i), or does not intend to rely on that Grid Connection Agreement for Prequalification, may, instead of complying with Rule 3.7.3(a)

(i) provide with their Application

(aa) a copy of the current Grid Connection Agreement, if they have one, for each Generating Unit comprising the CMU or, if they do not have a Grid Connection Agreement, then a declaration confirming they do not have one;

(bb) a declaration that, in 2025 or 2026, the Applicant has applied for a new, modified or advanced connection date that would enable the Generating Units comprised in the relevant CMU to comply with the requirements under Rule 3.7.3(a)(i) and will, if that connection date is granted, provide a copy of the revised Grid Connection Agreement on or before the date that is 22 Working Days prior to the commencement of the first Bidding Window for the T-4 Auction for the 2030/2031 Delivery Year; and

(cc) evidence of its request to the National Energy System Operator for a connection date that would enable the Generating Units comprised in the relevant CMU to comply with the requirements under Rule 3.7.3(a)(i) and a copy of the receipt issued confirming submission of the connection application;

(ii) on or before the date that is 22 Working Days prior to the commencement of the first Bidding Window for the T-4 Auction for the 2030/2031 Delivery Year, provide a copy of the revised Grid Connection Agreement with a connection date that would enable the Generating Units comprising the CMU to comply with requirements under Rule 3.7.3(a)(i); and

(iii) provide with their Application a declaration that—

(aa) confirms that, by complying with requirements under Rule 3.7.3(aza)(i)(aa) to (cc), their intention is to apply for conditional Prequalification; and

(bb) if they have submitted a Grid Connection Agreement which complies with Rule 3.7.3(a)(i), confirms whether they intend for their Application to be considered for Prequalification on the basis of this originally submitted Grid Connection Agreement, if they do not meet the requirements of the conditional Prequalification 22 Working Days prior to the commencement of the first Bidding Window for the T-4 Auction.";

(b) After Rule 3.7.3(aza), insert

"3.7.3(azb) For the Prequalification Window during 2026 only, an Applicant which is a New Build CMU that complies with Rules 3.7.3(a), 3.7.3(aa), or 3.7.3(b) by providing a Grid Connection Agreement or Distribution Connection Agreement that is not a Post-Reform Gate 2 Connection Agreement must

(i) provide a declaration with their Application that the Grid Connection Agreement or Distribution Connection Agreement it has provided is not a Post-Reform Gate 2 Connection Agreement, and that the Applicant does not possess a Post-Reform Gate 2 Connection Agreement that would comply with requirements under Rules 3.7.3(a), 3.7.3(aa), or 3.7.3(b); and

(ii) on or before the date that is 22 Working Days prior to the commencement of the first Bidding Window for the relevant Auction, provide a copy of the Post-Reform Gate 2 Connection Agreement with a connection date that would enable the Generating Units comprising the CMU to comply with requirements under Rules 3.7.3(a), 3.7.3(aa), or 3.7.3(b).".

42 Amendments to Chapter 4 (Determination of Eligibility)

42.1 In Rule 4.7C (Conditional Prequalification for Transmission CMUs that have requested an advancement of their existing connection date in a revised Grid Connection Agreement)

(a) For Rule 4.7C.1, substitute

"4.7C.1 An Applicant that has provided with its Application a declaration pursuant to Rule 3.7.3(aza)(i)(bb) must provide to the Delivery Body, no later than 22 Working Days prior to the commencement of the first Bidding Window for the T-4 Auction for the 2030/2031 Delivery Year, the revised Grid Connection Agreement for each Generating Unit comprised in the CMU evidencing the capacity requirements in 3.7.3(a)(i)."

(b) After Rule 4.7C.1, insert

"4.7C.2 An Applicant that has provided with its Application a declaration pursuant to 3.7.3(azb)(i) must provide to the Delivery Body no later than 22 Working Days prior to the commencement of the first Bidding Window for the relevant Auction the Post-Reform Gate 2 Connection Agreement for each Generating Unit comprised in the CMU evidencing the capacity requirements in Rules 3.7.3(a), 3.7.3(aa), or 3.7.3(b).

Part 11

Total Project Spend review

43 Amendment to Chapter 1 (General Provisions)

43.1 In 1.2 (Definitions)

(a) For the definition of “De-rated Capacity”, substitute

De-rated Capacity	means, for any Generating CMU or DSR CMU and Capacity Auction, an amount (in MW to three decimal places) equal to the product of: (a) for a Generating CMU, its Connection Capacity and, in the case of a Generating CMU that comprises more than one Generating Technology Class, the applicable Connection Capacity for each Generating Unit; or (b) for a DSR CMU: (i) unless sub-paragraph (ii) applies, its DSR Capacity, or, (ii) if an amount of capacity has been nominated under Rule 5.5.11, that capacity; and the De-rating Factor for the CMU and, in the case of a Generating CMU that comprises more than one Generating Technology Class, the applicable De-rating Factor for each Generating Unit, provided that the De-rated Capacity of a Pre-Refurbishment CMU must not exceed the De-rated Capacity of the related Refurbishing CMU; means, for any Interconnector CMU and Capacity Auction, an amount (in MW to three decimal places) equal to the lower of: (a) the product of its Connection Capacity and De-rating Factor; or (b) the total Transmission Entry Capacity secured by Grid Connection Agreements for the relevant Delivery Year and evidenced in accordance with Rule 3.6A.

(b) For the definition of “Financial Commitment Milestone”, substitute

Financial Commitment Milestone	means, for a Prospective CMU, the provision to the Delivery Body of: (a) a report by an ITE meeting the Required Technical Standard confirming the Total Project Spend and financial commitment requirements specified in Rule 6.6; and (b) A Funding Declaration

(c) For the definition of “Qualifying £/kW Capital Expenditure”, substitute

Qualifying £/kW Capital Expenditure	means, with respect to a New Build CMU which is a Generating CMU or a Refurbishing CMU which is a Generating CMU or an Unproven DSR CMU, the Total Project Spend divided by the De-rated Capacity of the CMU

44 For the definition of “Total Project Spend”, substitute

Total Project Spend	means, with respect to a New Build CMU, an Unproven DSR CMU or a Refurbishing CMU, the latest estimate of the total amount of Capital Expenditure (excluding contingency) incurred, or expected in the reasonable opinion of the Applicant to be incurred (either by the Applicant, Capacity Provider or another person) with respect to the CMU (or, in the case of an Interconnector CMU, the CMU together with the Non-GB Part); in the period between the date which is 77 months prior to the commencement of the first Delivery Year to which the Application relates and;

(a) in the case of a Declared 12 Month Long Stop CMU, 12 months after the commencement of the first Delivery Year

(b) in the case of a Declared 24 Month Long Stop CMU, 24 months after the commencement of the first Delivery Year;

(c) in any other case, the commencement of the first Delivery Year to which the Application relates;-and

with respect to a Refurbishing CMU, Capital Expenditure which:

(a) has not previously been declared under Rule 3.7.2(c) in respect of any application for Prequalification by a CMU which subsequently gained a Capacity Agreement in respect of the Refurbishing CMU, and not the associated Pre-Refurbishment CMU, (of any duration); or

(b) has previously been so declared but:

(i) which a certificate required by Rule 8.3.6 demonstrates was not incurred; or

(ii) was declared in respect of a Capacity Agreement which has been terminated in accordance with Rule 6.10.2(e).

45 Amendment to Chapter 8 (Obligations of Capacity Providers and System Stress Events)

45.1 In Rule 8.3 (Specific obligations and consequences)

(a) In Rule 8.3.6(a), , omit “Total Project Spend incurred divided by the De-Rated Capacity of the CMU”

(b) For Rule 8.3.6(zaa)(i)(zcc), omit “ or”

(c) For Rule 8.3.6(zaa)(i)(cc), for “or”, substitute “and”

(d) After Rule 8.3.6(zaa)(i)(cc), insert

“(dd) the date that the Capacity Agreement takes effect, during a Notice of Intention to Terminate, in accordance with Rules 6.8.2 or 6.8.2F; and”

Part 12

Financial Commitment Milestone Grace Period

46 Amendment to Chapter 8 (Obligations of Capacity Providers and System Stress Events)

46.1 In Rule 6.6 (Achieving the Financial Commitment Milestone)

(a) For Rule 6.6.1, in the chapeau text, after “by no later than 16 months”, insert “, save for the conditions set out under 6.6.1A”

(b) After 6.6.1, insert

“6.6.1A For the purposes of Rule 6.6.1, where a Prospective CMU’s first Delivery Year for a Capacity Agreement commences on 1 October 2028 or 1 October 2029, and

(i) the CMU is a New Build Generating CMU; and

(ii) the Capacity Agreement was acquired in a T-4 Capacity Auction; and

(iii) the CMU has, in respect of it, received a “Gate 2” notification from the System Operator or their Distribution Network Operator;

(iv) The Capacity Provider must provide to the Delivery Body evidence demonstrating compliance with condition (iii), in such form and by such date as may be specified by the Delivery Body; and

(v) the Delivery Body must determine whether the evidence provided satisfies the requirements of Rule 6.6.1A before applying the extended Financial Commitment Milestone deadline.

a Capacity Provider of a Prospective CMU will be considered to have met the Financial Commitment Milestone if, by no later than 28 months after Auction Results for the Capacity Auction in respect of which the Capacity Agreement was awarded, the Delivery Body has acknowledged receipt of the requirements detailed in Rule 6.6.1(a) and 6.6.1(b).”

Part 13

Enabling Capacity Providers to utilise their own Meter Operator Agent

47 Amendment to Chapter 1 (General Provisions)

47.1 In Rule 1.2 (Definitions)

(a) After the definition of “Meter”, insert:

Meter Operator Agent	means a professional meter operator recognised by the UK trade body “the Association of Meter Operators”

48 Amendment to Chapter 13 (Testing Regime)

48.1 In Rule 13.3 (Metering Test)

(a) In Rule 13.3.1, after “A Metering Test is a test conducted by the CM Settlement Body”, insert “or a Meter Operator Agent”

Part 14

Enabling the use of Market-Wide Half-Hourly Settlement systems for the Supplier Metering Solution Configuration

49 Amendments to Chapter 1 (General Provisions)

49.1 In Rule 1.2 (Definitions)

(a) After the definition of “Administrative Parties”, insert

Advanced Data Service	has the meaning given in the BSC

(b) After the definition of “Electricity Supplier” insert

Electricity Supply Licence	means a licence for the supply of electricity granted under section 6 of the Electricity Act 1989 (as amended)

(c) After the definition of “Market Manipulation” insert

Market-wide Data Service	has the meaning given in the BSC

(d) After the definition of “Security Interest” insert

Settlement	has the meaning given in the BSC

(e) After the definition of “Site Audit” insert

Smart Data Service	has the meaning given in the BSC

(f) After the definition of “Substantial Completion Milestone” insert

Supplier	means a person holding an Electricity Supply Licence

(g) After the definition of “Updating Opt-Out Notification” insert

UTC Period Level Consumption	has the meaning given in the BSC

50 Amendment to Schedule 6 (Metering Statement)

50.1 For Section (e) (Data Provision)

(a) For (e)(ii), substitute:

"if applicable, the contact details of the relevant Half Hourly Data Aggregators, Half Hourly Data Collectors or Advanced Data Service to enable the CM Settlement Body to confirm the Metering Technical Details provided by the Capacity Provider in paragraph (c) above, match those held by the Half Hourly Data Aggregators, Half Hourly Data Collectors or Advanced Data Services"