



IES Activity Report MI

Insolvent - Pre-Submission

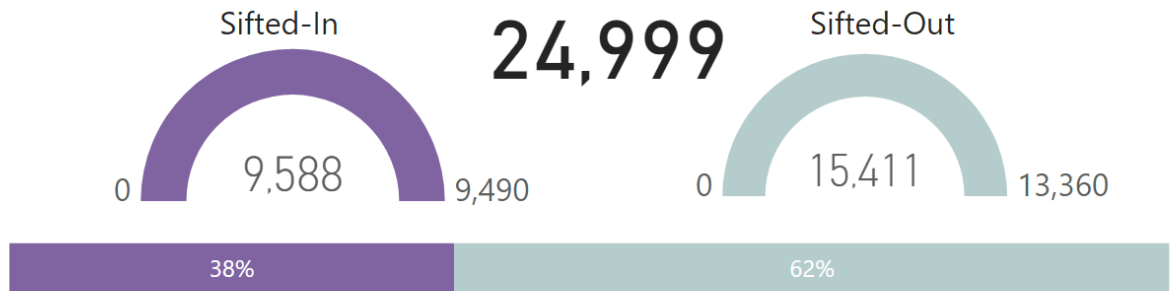
Mar 25

Dissolved Companies
Complaints

206 This Month
1611 This Year

Higher Range 1450
Lower Range 1250

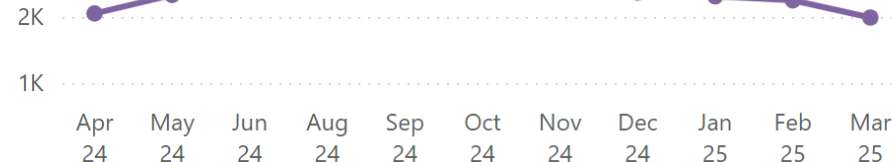
Total Conduct Returns Received



Current Cases in
DQ Investigation
Pool

1,988

Cases in DQ Investigation Pool



Investigations Commenced YTD

NCL LQD



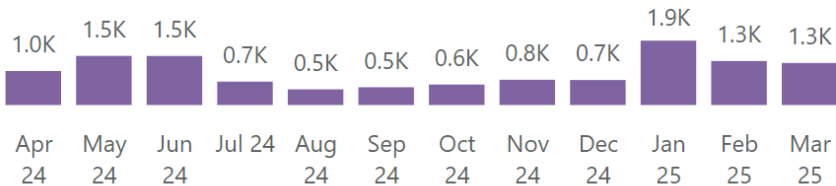
Investigation Cases Commenced Current Month

185

Total Conduct Reports Reviewed YTD

12K

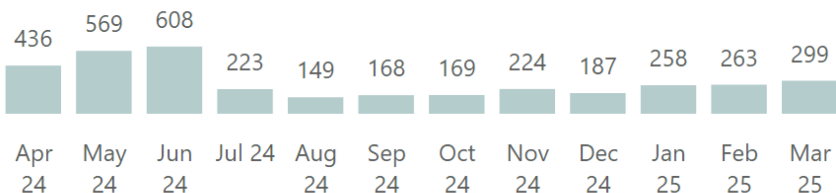
Total Conduct_Reports Reviewed by Month



Total Targeted Cases YTD

3,553

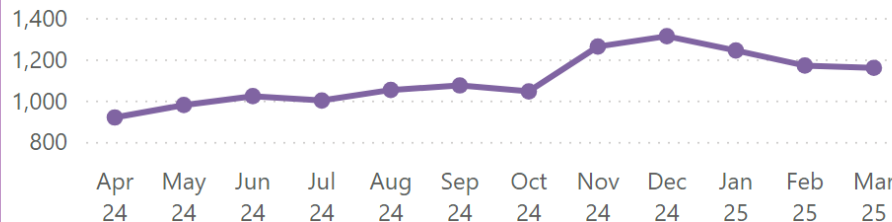
Total Targeted Cases by Month



Current DQ Investigation Cases In Progress

1,158

DQ Investigation Cases In Progress



Investigations Concluded (Abandoned) YTD

NCL LQD



Sifted-In Vetting Timeliness (Days)

75

Target

82

In Month

134

YTD



IES Activity Report MI

S6 DQ - Submissions

Mar 25

S6 DQ First Submissions YTD

1043

EIP First Submissions YTD

1023

% EIP First Submissions YTD

98%

M&W First Submissions YTD

292!

Forecast: 294 (-2)

North First Submissions YTD

343✓

Forecast: 338 (+5)

South First Submissions YTD

194✓

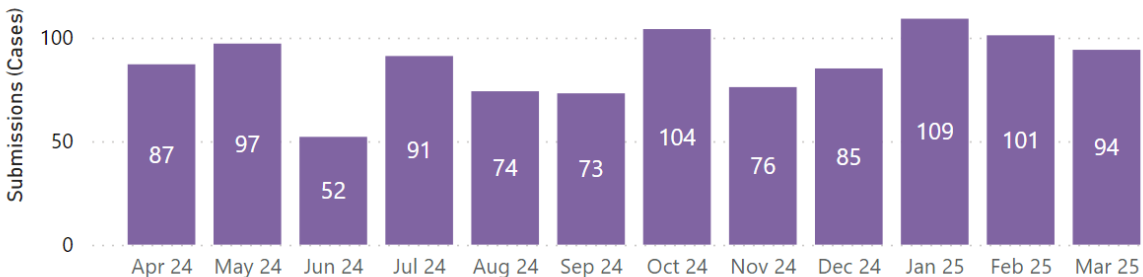
Forecast: 189 (+5)

Outsourced First Submissions YTD

203✓

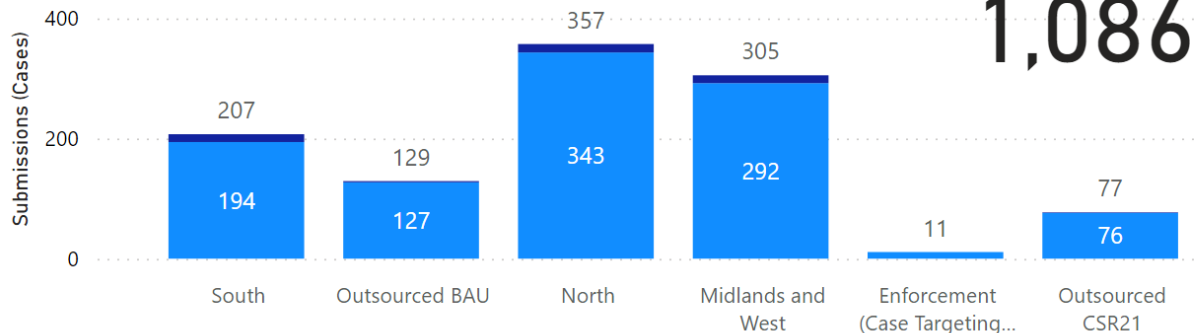
Forecast: 179 (+24)

S6 DQ First Submissions by Month YTD



S6 DQ All Submissions by Office YTD

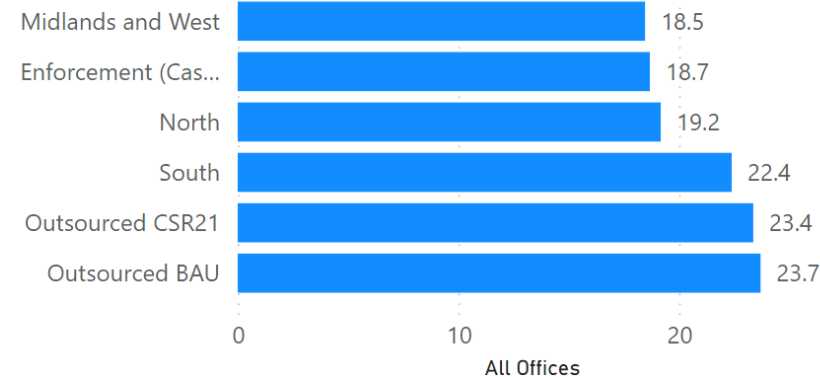
First Submission? ● Y ● N



Average Months Insolvency to 1st Submission

20.4

Submission Timeliness - Average Months Insolvency to First Submission by Office



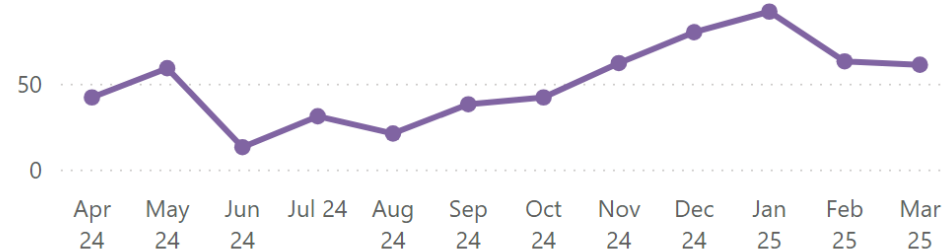
Average Months Insolvency to 1st Submission (EIP)

20.3

Cases with LSD - Awaiting ATP Decision

61

Cases with LSD - Awaiting ATP Decision





IES Activity Report MI

S6 DQ - ATP

Mar 25

S6 DQ ATPs (Defendants) YTD

EIP ATPs YTD

1114

1054

ATPs on First Submission YTD

1067

M&W S6 DQ ATP (Def) YTD

289!

Forecast: 297 (-8)

North S6 DQ ATP (Def) YTD

377✓

Forecast: 351 (+26)

South S6 DQ ATP (Def) YTD

218✓

Forecast: 195 (+23)

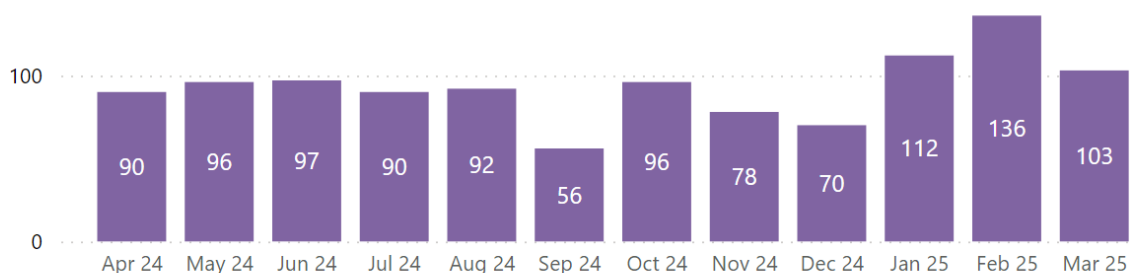
Outsourced S6 DQ ATP (Def) YTD

226✓

Forecast: 174 (+52)

S6 DQ ATPs by Month YTD

Submissions (Cases)



ATP Timeliness - Average Months Insolvency to ATP by Office



Average Months Insolvency to ATP

21.0

Average Months Insolvency to EIP ATP

20.9

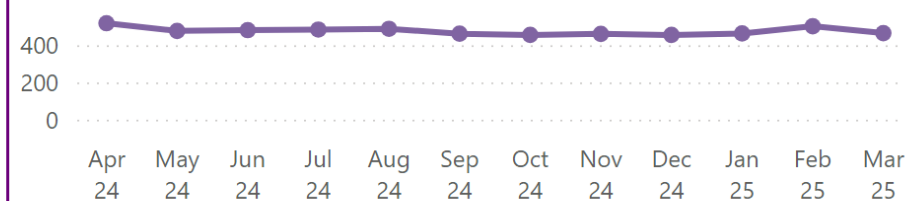
Average Months Insolvency to Non-EIP ATP

28.5

LSD S6 DQ Defendants Pre-Issue

464

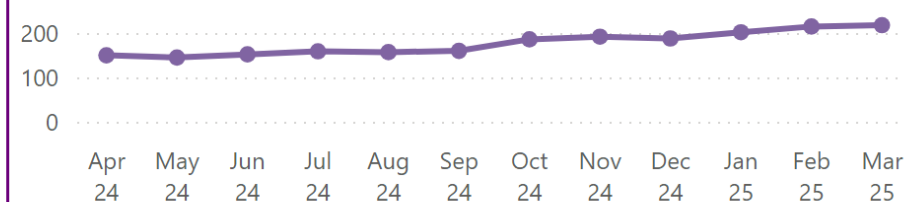
LSD S6 DQ Defendants - Pre-Issue



LSD S6 DQ Defendants Post-Issue

218

LSD S6 DQ Defendants - Post-Issue





IES Activity Report MI

S6 DQ - Outcomes

Mar 25

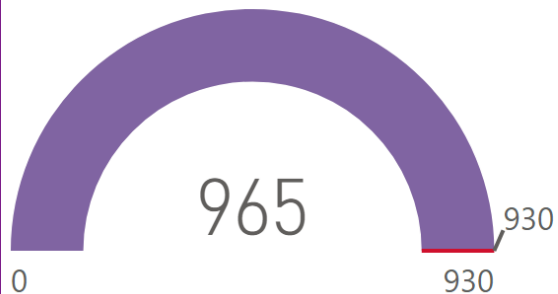


All S6 Outcomes YTD

Case lost Disqualification ... Not proceede... Post-issue und... Pre-issue un... Proceedings ... S16 withdra...

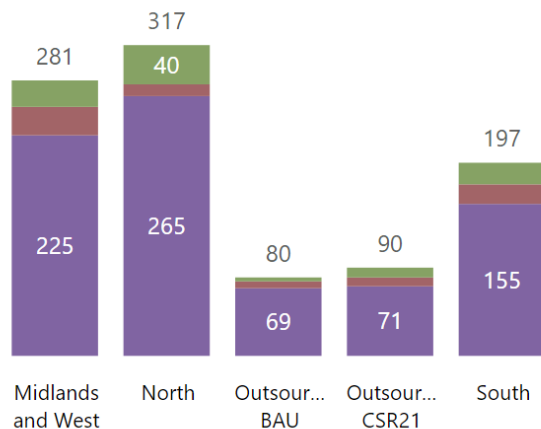


S6 DQs YTD



S6 DQs by Office YTD

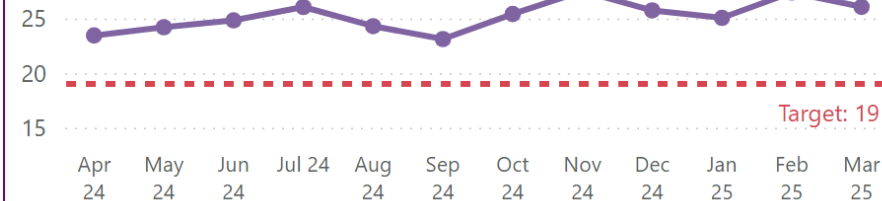
Pre-issue undert... Post-issue u... Disqualific...



S6 Average Months Insolvency to DQ YTD

25.2 ✓
Goal: 19 (+33%)

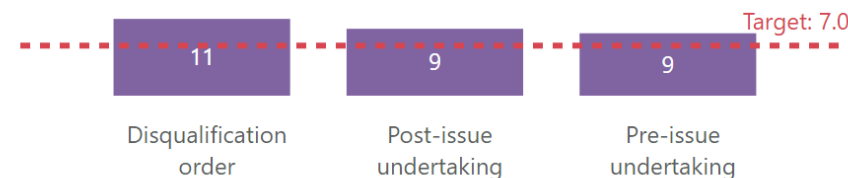
S6 DQ Average Months Insolvency to DQ



S6 DQ Average Tariff (Years)

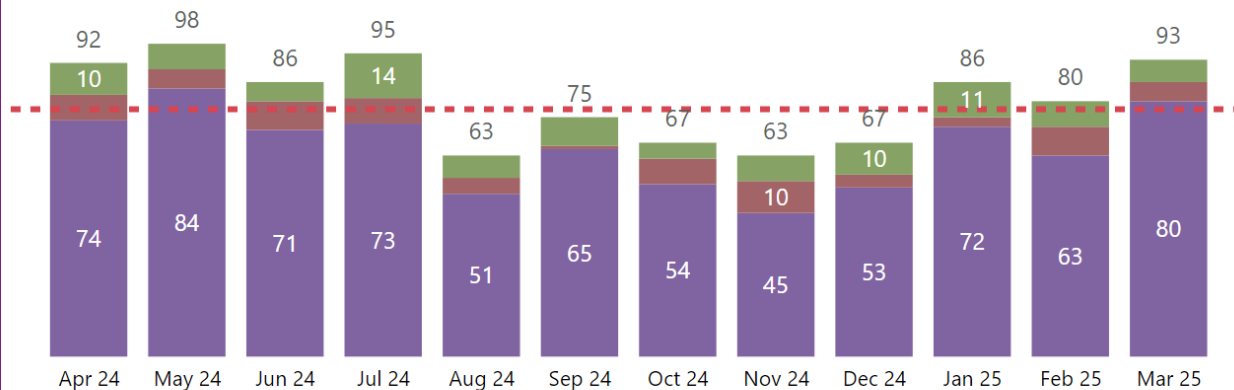
8.5 ✓
Goal: 7 (+21%)

S6 DQ Average Tariff (Years) YTD by Outcome



S6 DQs by Month YTD

Pre-issue undertaking Post-issue undertaking Disqualification order



S6 DQ Orders Over 10 Years (Defendants)

39

S6 DQ Orders Over 10 Years (%)

38% ✓
Goal: 10% (+279%)

S6 DQ Undertakings Over 10 Years (D...)

160

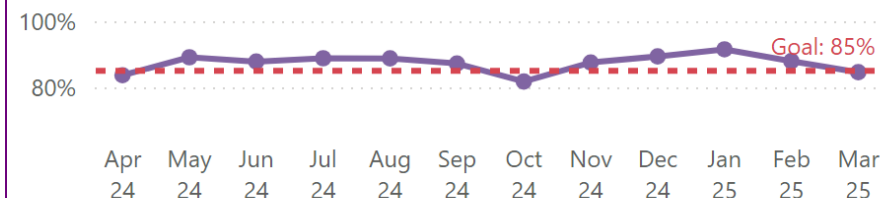
S6 DQ Undertakings Over 10 Years (%)

19%!
Goal: 30% (-38%)

S6 Current Authorised Proceedings Leadin...

87% ✓
Goal: 85% (+3%)

S6 Authorised Proceedings Leading to DQ Outcome





IES Activity Report MI

Insolvent - S8 DQ Results

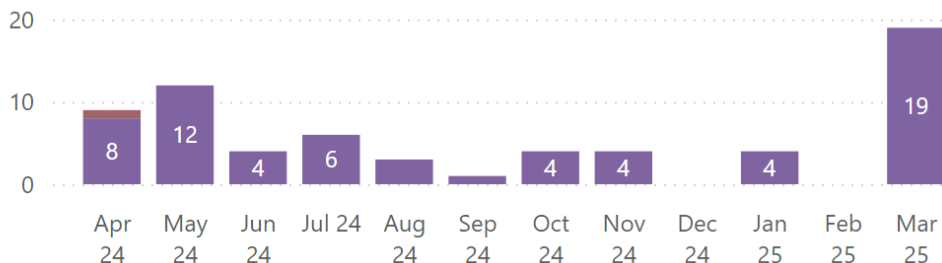
Mar 25

S8 DQ ATP
Granted YTD

66

S8 DQ ATP Granted YTD (Directors)

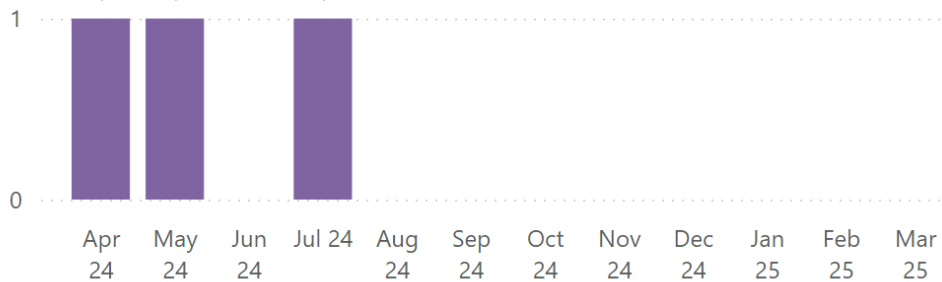
Branch ● S8 CDDA86 Investigations ● CI North



S8 DQ ATP
Refused YTD

3

S8 DQ ATP Refused YTD (Directors)



S8 DQ WIP

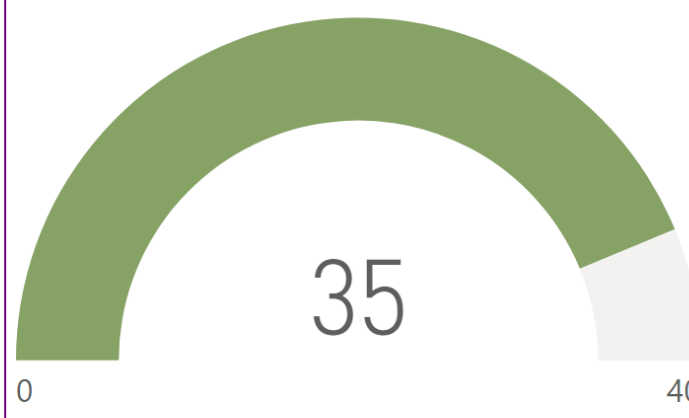
46

S8 DQ WIP (Directors)

● S8 WIP Pre-Issue ● S8 WIP Post-Issue

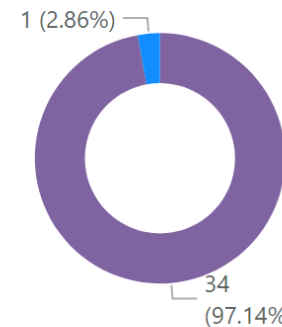


S8 DQs YTD



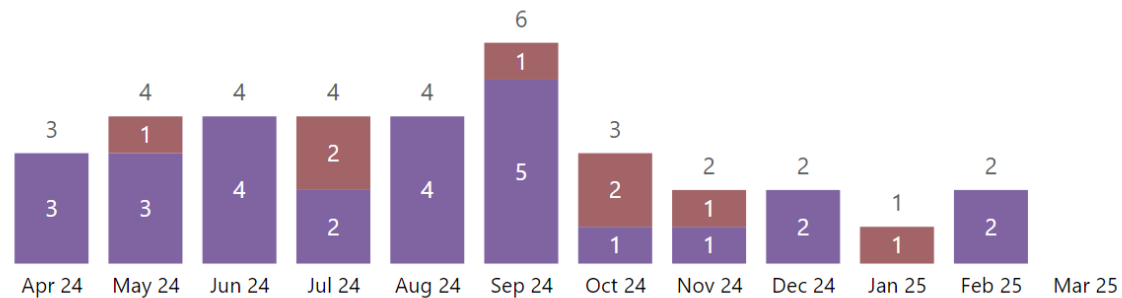
S8 DQs by Offence Type YTD

● Illegal workers ● Fraudulent For...



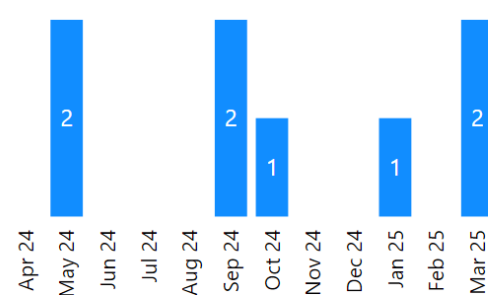
S8 DQs by Month YTD

● Undertaking Given Pre-issue ● Order Made

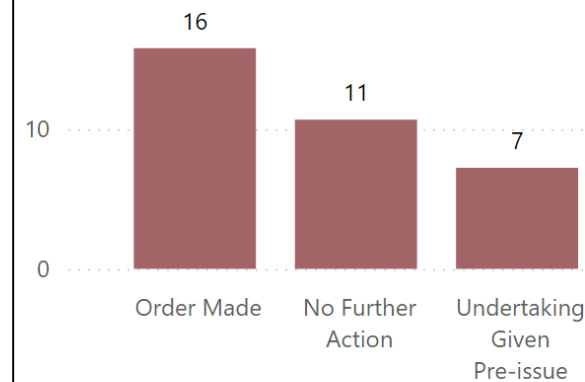


S8 Other Outcomes by Month YTD

● No Further Action



Average Months Case Open to Outcome





LSD Criminal MI S2 DQ Outcomes

Month Year

Mar 2025

Offence Type

All

Total S2 DQs YTD

35

Internal S2 DQs YTD

33

External S2 DQs YTD

2

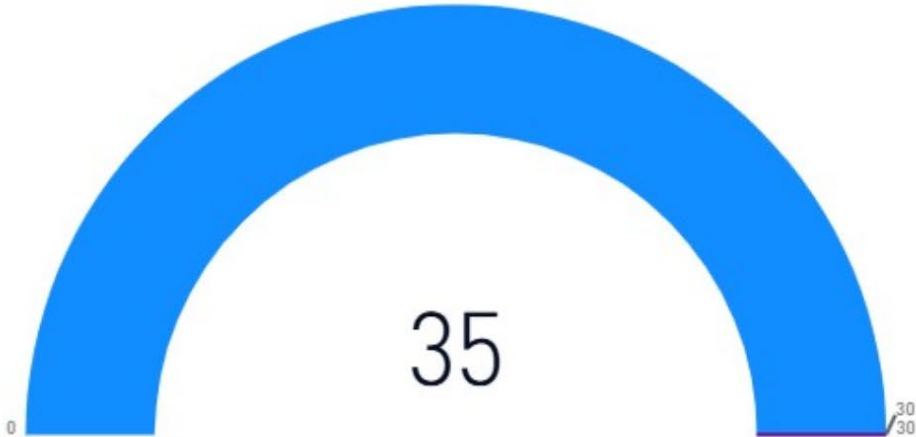
Internal S2 DQs as percentage of Convictions

41%

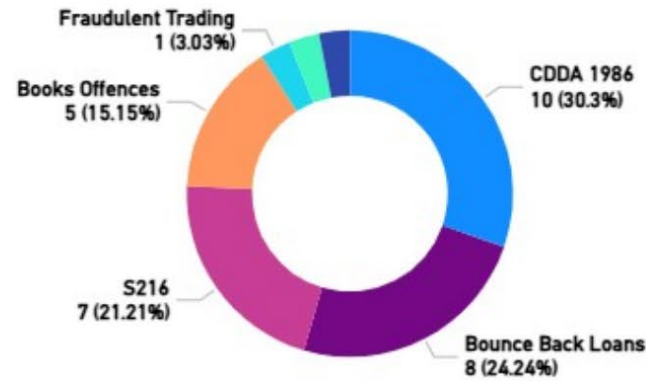
Average S2 DQ Tariff (Years)

5.3

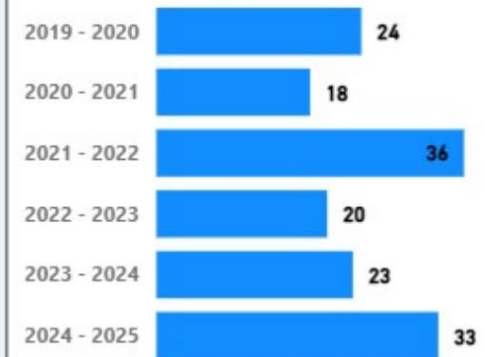
Total S2 DQs YTD



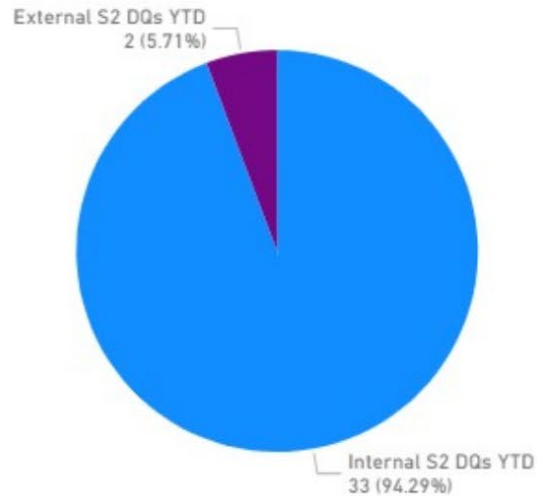
Internal S2 DQs by Offence Type YTD



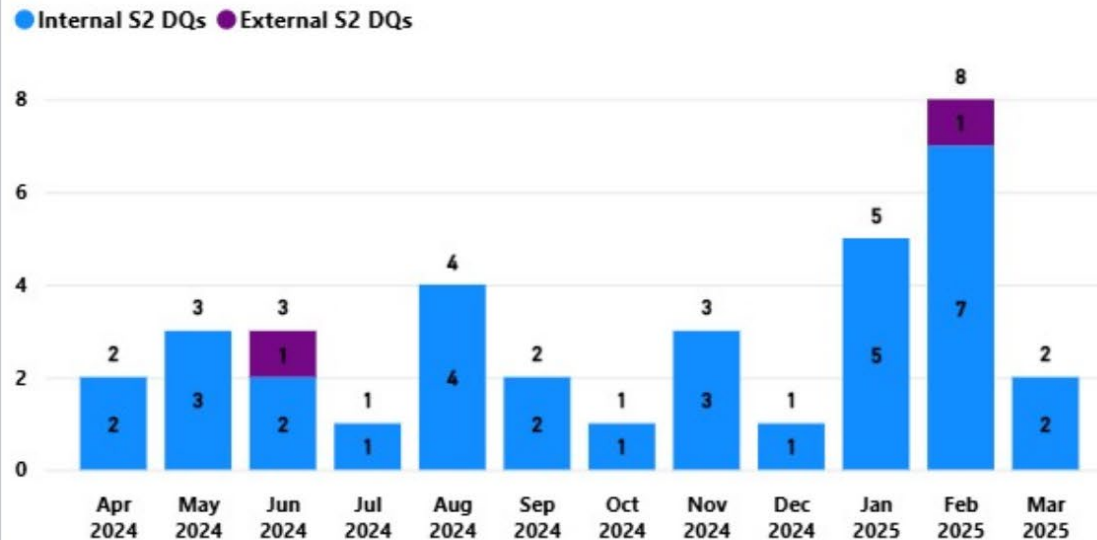
Internal S2 DQs by Financial Year



Internal S2 DQs YTD and External S2 DQs YTD



Number of S2 DQs YTD



Internal S2 DQs Average Tariff (Years)

