

## Annexe A - Types of ISM Code Verifications

Verification Type	Purpose	Scope	ISM Code Reference
<b>Interim Verification (DOC)</b>	Facilitate initial implementation of the SMS by confirming that the company has established a safety management system meeting the objectives of ISM Code paragraph 1.2.3, enabling issuance of an Interim DOC	Assessment of company offices to verify the presence of a safety management system and the plans for its implementation within the period of validity of the Interim DOC	§14.1
<b>Interim Verification (SMC)</b>	Confirm that the ship has the key elements of the SMS in place to allow safe operation, aligned with the relevant DOC, and that planned arrangements for implementation, internal audits, and essential instructions are in place before sailing.	Assessment of ship to verify that the SMS provided by the Company includes key elements of the ISM Code, that the master and officers are familiar with the SMS, and that essential instructions and internal audit is planned within three months.	§14.2-4
<b>Initial Verification (DOC)</b>	Confirm that the company SMS is fully implemented, functioning effectively, and capable of supporting safe operations across all sites.	Audit of company offices; review of records showing at least three months of SMS operation ashore and on board at least one ship of each type operated by the company. Objective evidence should include records from the company's internal audits.	§13.2-6
<b>Initial Verification (SMC)</b>	Confirm that the shipboard SMS aligns with the company SMS, is fully implemented, and operational, providing objective evidence of at least three months of effective functioning	Audit of ships; review of records showing SMS operation on board, including internal audits and shipboard records.	§13.7-10
<b>Annual Verification DOC</b>	Confirm that the SMS continues to function effectively, that any modifications comply with ISM Code requirements, and that the company maintains safe operations across all ship types and shoreside offices covered by the DOC.	Audit records of at least one ship per type, including review of statutory and classification records. Assessment of company offices and delegated SMS functions as needed to ensure effective operation across all sites and compliance with ISM Code requirements. Verification shall include checks that the company is operating all ship types listed on the DOC	§13.4

<b>Verification Type</b>	<b>Purpose</b>	<b>Scope</b>	<b>ISM Code Reference</b>
<b>Intermediate Verification (SMC)</b>	Verify that the shipboard SMS continues to function effectively and that any modifications comply with ISM Code requirements.	Full audit of all shipboard SMS elements. Verification frequency and depth may be increased depending on the nature of identified non-conformities.	§13.8
<b>Renewal Verification (DOC)</b>	Reassess the company SMS within three months before the expiry of the DOC to confirm that all shore-based elements continue to meet ISM Code requirements, remain effective, and fully support safe operations	Comprehensive audit of all company offices and any delegated safety management functions, covering all SMS elements and activities within the scope of the ISM Code.	§13.10-13
<b>Renewal Verification (SMC)</b>	Reassess the shipboard SMS within three months before the expiry of the SMC to confirm that all elements continue to comply with the ISM Code and operate effectively	Comprehensive audit of all shipboard SMS elements and activities within the scope of the ISM Code, ensuring full compliance and effective operation for the period following renewal	§13.10-13
<b>Additional Verification</b>	Conduct targeted verification in response to situations outside normal audit cycles, such as port state control detentions, reactivation after operational interruptions, or follow-up on corrective actions, to confirm that the SMS continues to function effectively and that corrective measures have been properly implemented.	Assessment may cover the company SMS, the shipboard SMS, or both, depending on the situation. The Administration determines the scope, depth, and timing of the verification on a case-by-case basis, ensuring that findings are appropriately addressed	