



# UK Export Finance

For information purposes only.  
Variations of this form may apply depending on the circumstances of the Applicant/Exporter and the support requested.

## APPLICATION FOR UK EXPORT FINANCE BUYER CREDIT SUPPORT: EXPORT CONTRACT INFORMATION SCHEDULE

### IMPORTANT INFORMATION CONCERNING THIS SCHEDULE

*The questions in this Schedule must be answered to the full extent of your capability at the time at which you submit Part B of this Application. If a full answer to any question would require details which are not within your knowledge, please expressly draw that fact to UKEF's attention in your response to that question.*

*If the space provided is insufficient, please continue answers on your headed notepaper and attach it to this form.*

*Section 6 of this Schedule contains questions in respect of the potential environmental and social risks and impacts relating to the goods and/or services to be supplied and/or rendered under the Export Contract and their destination. Please note that you do not need to complete the questions in sections 6.1 and 6.4 (ii) if this Schedule is in respect of:*

- (i) defence business that requires an export licence or is subject to the 680 clearance procedure; or*
- (ii) aerospace business, which meets International Civil Aviation Organisation standards.*

### 1. EXPORT CONTRACT PARTIES

1.1 BUYER	
Name:	
Business address:	
1.2 (i) BORROWER	
Name:	
Business address:	
(ii) GUARANTOR	
Name:	
Business address:	
1.3 CONSORTIUM PARTNERS	

<p>Please give the following details of each Consortium Partner,<sup>1</sup> if any</p> <p>Name:</p> <p>Business address:</p> <p>[Company registration number or equivalent:]</p> <p><i>In addition, if an individual:</i></p> <p><i>Nationality:</i></p> <p><i>Date of Birth:</i></p> <p>(If none, please state "None")</p>	
<p><b>1.4 INVOLVED GROUP COMPANIES</b></p> <p>Please give the following details of each Involved Group Company,<sup>2</sup> if any</p> <p>Name:</p> <p>Business address:</p> <p>Company registration number or equivalent:</p> <p>(If none, please state "None")</p>	

<p><b>2. EXPORT CONTRACT AWARD</b></p>	
<ul style="list-style-type: none"> <li>(i) Give details of the procedure (e.g. open tender, negotiated contract) used or to be used for awarding the Export Contract.</li> <li>(ii) Stage of negotiations reached</li> </ul>	

<p><b>3. EXPORT CONTRACT INFORMATION</b></p>	
<p><b>3.1 INFORMATION RELATING TO THE EXPORT CONTRACT</b></p> <ul style="list-style-type: none"> <li>(i) Description of the Export Contract and any associated facilities</li> <li>(ii) Export Contract value</li> <li>(iii) Description of goods and/or services to be supplied under the Export Contract (<i>please indicate if any of the goods are second hand</i>)</li> </ul>	

<sup>1</sup> As defined in Part A of this Application. If more than one person, please attach key details for each such person

<sup>2</sup> As defined in Part A of this Application. If more than one person, please attach key details for each such person

<p><b>3.2 INFORMATION RELATING TO THE OVERALL PROJECT</b></p> <p>(i) Total Project Cost</p> <p>(ii) Description of goods and/or services for which the Applicant has contractual responsibility (<i>please indicate if any of the goods are second hand</i>) other than as set out in section 3.1 (iii) above</p> <p>(iii) Do the goods, services or intangibles, their intended use and/or the Project have any links to the <u>extraction, production, transportation, refining or marketing</u> of crude oil, natural gas or thermal coal or to a fossil-fuel fired power plant overseas?</p>	<p><input type="checkbox"/> Yes      <input type="checkbox"/> No</p> <p><i>(If Yes, please specify and provide full details)</i></p>
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#### 4. FOREIGN CONTENT

UKEF uses a *Principles based approach* to determine whether a contract meets its eligibility criteria.

The *Principles* outlined below, including *Principle Three* which is further outlined in the *Foreign Content Questionnaire* (if relevant), will be assessed individually and in turn.

Full guidance on UKEF's Foreign Content policy can be found at <https://www.gov.uk/government/publications/ukefs-approach-to-foreign-content/ukefs-approach-to-foreign-content>

**Principle One:** *The maximum level of support for all Foreign Content within a contract will be 80% of the contract value where UKEF risk capacity is available, thus requiring a minimum 20% UK Content within that contract.*

Does UK Content within the Export Contract under consideration exceed 20% of the Export Contract value referred to in section 3.1 (ii) above?

Yes

No

*If yes, please proceed to section 5*

*If no, please see Principle Two*

**Principle Two:** *The proportion of Foreign Content to UK Content within a contract, as set out in Principle One (the current 80:20 rule), will apply to the value of UKEF's support for a contract or a project, which may consist of multiple contracts.*

Where UK Content within the Export Contract under consideration does not exceed 20% of the Export Contract value referred to in section 3.1 (ii) above, please indicate the basis on which support is requested

I am seeking support on the basis of a multiple of UK Content (the 80:20 rule) within the Export Contract referred to in section 3.1 (i)

*Please proceed to section 5*

I am seeking support on the basis of UK Content within the Export Contract (as referred to in section 3.1 (i)) and within related contracts in connection with the Project

*Please complete section 5 in relation to the Export Contract as referred in section 3.1 (i) and provide further details of the related contracts and a description of the UK Content in those related contracts in section 13.*

***If neither of the above options apply under Principle Two, UKEF may be able to offer support under Principle Three, which enables UKEF to consider support if it can be demonstrated that the proposal is conducive to supporting or developing UK exports.***

In such cases, please contact [customerservices@ukexportfinance.gov.uk](mailto:customerservices@ukexportfinance.gov.uk) for the Foreign Content Questionnaire for support to be considered under Principle Three. Eligibility for Principle Three will subsequently be confirmed by UKEF in writing.

## 5. ITEMISED CONTENT BREAKDOWN

Itemise the source and cost of goods, services and intangibles for which the Applicant is responsible under the Export Contract, including where the country of origin is the UK or where they have been procured from a UK supplier, in line with the definitions in the appendix to Part A of this Application. Please insert additional rows where required and ensure all subtotals are completed.

Country of Origin	Supplier (including company number, if any)	Contract Date (if known)	Description of goods, services or intangibles	Cost in the currency of the Export Contract	Totals
<b>UK Content within the Export Contract, including Integrated Goods</b> (each as defined in the appendix to Part A of this Application)					
<b>Foreign Content within the Export Contract, excluding Local Content</b> (each as defined in the appendix to Part A of this Application)					
<b>Local Content within the Export Contract</b> (each as defined in the appendix to Part A of this Application)					
					Enter Local sub-total
					Enter overall total
					UK Content as % of total contract

## 6. EXPORT CONTRACT'S ENVIRONMENTAL, SOCIAL, HUMAN RIGHTS AND CLIMATE CHANGE IMPACTS

UKEF will review the project for which the export is destined for environmental, social and human rights risks and impacts in line with [UKEF's Environmental, Social and Human Rights policy](#). UKEF will also assess the Project's impacts on and from Climate Change, in line with UKEF's obligations under the [Common Approaches](#), [The Equator Principles](#) and the recommendations of the Task Force on Climate-related Financial Disclosures (TCFD)<sup>3</sup>, as well as UKEF's commitments in its [Sustainability Strategy](#). If such risks are identified, UKEF may seek additional information relating to these risks and impacts and how they will be managed.

The types of information UKEF typically requests include an Environmental and Social Impact Assessment (ESIA)<sup>4</sup>, resettlement plans (where relevant), stakeholder engagement plans, environmental and social (including health and safety) management plans, climate change risk assessments (including commentary on both physical and transition risks as defined by the TCFD Recommendations), GHG (greenhouse gas) emission data and analysis, relevant climate change policies and/or similar documentation which explain the anticipated climate risks and impacts of the project/support.

<p>6.1 Could the goods and/or services that are being supplied be sold in the UK without any modification? (i.e. do the goods and/or services meet all relevant UK laws and regulations?)</p>	<p><input type="checkbox"/> Yes <input type="checkbox"/> No (If no, please provide more detail)</p>
<p>6.2 Export Contract</p> <p>(i) Extent of Applicant's contractual responsibilities (eg supply (fob/cif)/ installation/erection/construction/ commissioning)</p> <p>(ii) Are you performing the Export Contract as a:</p> <p>a. main contractor?</p> <p>b. sub-contractor?</p> <p>c. member of a joint venture or consortium?</p>	<p><input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No (If yes, please provide details of the main contractor and outline the payment arrangements)</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No (If yes, please provide full details in section 1.3 of this Schedule, including the name and address of each of the other parties involved)</p>
<p>6.3 Description of those elements of the project for which the Buyer has contractual responsibility (eg provision and/or preparation of site)</p>	

<sup>3</sup> More information on TCFD can be found on the [TCFD website](#).

<sup>4</sup> Environmental and Social Impact Assessment (ESIA) in accordance with the [IFC Performance Standards on Environmental and Social Sustainability](#)

<p>6.4 The Project<sup>5</sup> to which the goods and/or services are destined:</p> <p>(i) Description of the overall Project, location and any associated facilities (<i>Give a grid reference or Google place-marked/red line boundary</i>)</p> <p>(ii) What was the Project site used for prior to the Project commencing (e.g. it is a greenfield site, was previously used for housing or industrial activity, etc)?</p>	
<p>6.5 Project sector</p> <p>(i) Is the Project carbon intensive/is it linked to a carbon intensive sector<sup>6</sup>?</p> <p>(ii) Will the Project produce/be linked to a potentially significant contributor of scope 3 emission<sup>7</sup>?</p>	

<sup>5</sup> For the purposes of this section 6 and in relation to the destination of exported capital goods and/or services, “Project” means an identified location of any new commercial, industrial or infrastructure undertaking, or any existing undertaking that is undergoing material change in output or function, which may result in changes to the operation’s environmental and/or social impacts. A “Project” includes those components that the buyer and/or project sponsor (including contractors) directly own, operate or manage and that are physically and technically integrated with the undertaking

<sup>6</sup> Carbon Intensive Sectors indicatively include but are not limited to the following, as defined in Annex A of the Equator Principles IV:

- coal;
- oil and gas;
- chemical manufacture, including petrochemical;
- thermal power;
- cement and lime manufacturing;
- integrated steel mills;
- base metal smelting and refining;
- foundries;
- pulp mills; and
- agriculture.

<sup>7</sup> Scope 3 emissions in this context refers to emissions from downstream activities (e.g. emissions from product use or transport-related emissions in the operational phase) as defined by the [GHG Protocol](#).

<p>6.6 Climate Change Risk Assessment</p> <p>(i) Has a climate change risk assessment been prepared for the Project?</p> <p>(ii) Does it consider both physical and transition risks as defined by the TCFD recommendations<sup>8</sup>?</p>	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Yes <input type="checkbox"/> No <i>(if yes, please provide a copy)</i>
<p>6.7 Greenhouse Gas Emissions (GHG)</p> <p>(i) Does the Applicant have any transition plan/strategy to reduce GHG emissions? Does this consider the Paris Agreement and/or the UK's commitment to Net Zero GHG emissions by 2050?</p> <p>(ii) Does the Buyer/Borrower use an internationally recognised GHG calculation method (e.g. GHG Protocol<sup>9</sup>) and/or disclose its GHG emissions as per any international recognised disclosure framework (such as TCFD, <a href="#">CDP</a>, <a href="#">CDSB</a>, <a href="#">IIRC</a>)?</p>	<input type="checkbox"/> Yes <input type="checkbox"/> No <i>(if yes, please provide a copy)</i>  <input type="checkbox"/> Yes <input type="checkbox"/> No <i>(if yes, please provide more details)</i>

<sup>8</sup> The Final Report on the Recommendations of the Task Force on Climate-related Financial Disclosures (2017) divides climate-related risks into two major Categories:

1. Risks related to the transition to a lower carbon economy: risks associated with the extensive policy, legal, technology and market changes needed to address mitigation and adaptation requirements and the additional financial and reputational risks these changes may pose.
2. Risks related to the physical impacts of climate change: risks that are the result of event driven (acute) or longer-term shifts (chronic) in climate patterns. Physical risks may have financial implications for organizations, such as direct damage to assets and supply chain disruption.

<sup>9</sup> The [GHG Protocol](#) is developing new standards/guidance on how companies and organizations should account for greenhouse gas emissions and carbon removals from land use, land use change, bioenergy, and related topics.

**7. PROPOSED CONTRACTUAL TERMS OF PAYMENT****FROM BUYER**

On/within .....days of date of the Export Contract..... %

Within .....months of date of the Export Contract ..... %

On shipment/delivery/completion/commissioning ..... %

Other..... %

**8. APPLICANT'S CONTRACTUAL PROGRAMME**

(Expressed in months from date of the Export Contract)

COMMENCE

COMPLETE

Shipment/delivery

.....

.....

Installation/Erection/Provision of Services

.....

.....

Preliminary Testing

.....

.....

Commissioning

.....

.....

**9. PROPOSED TERMS OF REPAYMENT OF LOAN (IF KNOWN)**

By ..... equal half-yearly instalments, the first due 6 months after .....

**10. DETAILS OF ANY ANCILLARY COVER REQUIRED**

Please specify other support sought by the Applicant from UKEF (if any) in respect of the Export Contract or the Project

*Further details of UKEF's products, including export credit insurance to cover losses such as non-payment by the buyer under the export contract where the buyer credit financing becomes unavailable, are available on its website (<https://www.gov.uk/government/collections/our-products>) or through its network of Export Finance Managers and Country Heads*

**11. ANY OTHER RELEVANT DETAILS**

- a. Sources of foreign competition to the Applicant to provide the goods and services contemplated by the Export Contract (and terms offered, if known)
- b. Other

**12. AGENTS**

Is there any Agent (as defined in the appendix to Part A of this Application) who has acted, or who will act, in relation to the Export Contract or any Related Agreement?

Yes       No

*(If Yes, please complete the separate Agents questionnaire. If more than one Agent is involved, please provide separate answers in respect of each Agent)*

**13. CONTINUATION PAGE FOR ADDITIONAL INFORMATION TO BE DISCLOSED PURSUANT TO THE APPLICATION**

Please give full details of related contracts and a description of UK Content within such contracts required for the purposes of section 4 of this Schedule

*(If none, please state "None")*