

Part 3

Trading status of shares and exemption from providing people with significant control (PSC) information

Use this Part to tell us of the trading status of shares and information about exemption from providing PSC information (if this is the first time you're giving this information or if any of this information has changed since you last gave it).

This part must be sent at the same time as your confirmation statement.

Do not send if none of this information has changed.

For further information, please refer to our guidance at: gov.uk/companieshouse

C1

Company's shares admitted to trading on a market ^①

Were any shares admitted to trading on a market during the confirmation period? Please tick the appropriate box below:

No go to **Part 4 section D1 'Non traded shareholders'**

Yes go to **section C2 'DTR5'**

① A market is one established under the rules of a UK recognised investment exchange or any other UK or EU regulated market. The current UK recognised investment exchanges and regulated markets can be found at: fsa.gov.uk/register/exchanges.

C2

Exemption from providing shareholder information (DTR5) ^②

Throughout the confirmation period, was the company a traded company and an issuer to which DTR5 applies? Please tick the appropriate box below:

Yes go to **section C3**

No go to **section C3**

② Please review and complete this section if you have answered 'Yes' to section C1 'Company's shares admitted to trading on a market'.

DTR5

DTR5 refers to the Vote Holder and Issuer Notification Rules contained in Chapter 5 of the Disclosure and Transparency Rules source book issued by the Financial Conduct Authority.

C3

Exemption from providing PSC information ^③

Is the company exempt or has it ever been exempt from obtaining and providing PSC information:

→ Yes continue (Tick only one box).

→ No go to **Part 4 section D2 'Shareholder information for certain traded companies'**.

Please tick the appropriate statement:

The company is exempt from the requirement to obtain and provide PSC information because the company has voting shares admitted to trading on a regulated market in the United Kingdom.

The company is exempt from the requirement to obtain and provide PSC information because the company has voting shares admitted to trading on an EU regulated market.

The company is exempt from the requirement to obtain and provide PSC information because the company has voting shares admitted to trading on a market listed in Schedule 1 of the Register of People with Significant Control Regulations 2016.

The company is no longer exempt from the requirement to provide PSC information (Part 21A). ^④

③ Exemption from providing PSC information

In accordance with Part 21A of the Companies Act 2006.

④ Exemption from providing PSC information no longer applies

If you need to provide PSC information complete and return the appropriate forms.