



Department
for Education

SEN2 person level

COLLECT report specifications 2026

January 2026

Version 1.0

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Version history

Version	Comments	Date
0.1	First draft	September 2025
1.0	Baselined version	January 2026

Related documents

[SEN2 person level survey 2026: guide](#)

[SEN2 person level survey: technical specification](#)

[SEN2 person level survey: validation rules](#)

[Special educational needs survey: guide to submitting data - GOV.UK](#)

1. General notes for users of the SEN2 COLLECT reports

- 1.1. The COLLECT reports show information on the validation rule queries, errors and notes associated with their 2026 SEN2 return. They also provide each local authority with a summary of their key headline statistics, which the Department for Education (DfE) will publish in summer 2026.
- 1.2. Reports can be run from the 'launch reports' option within the SEN2 COLLECT blade.
- 1.3. The reports will be available to the Collector (DfE) and local authority contacts with COLLECT access. The DfE can access the reports for each local authority. Each local authority has access only to their own reports.
- 1.4. The reports show the current position.
- 1.5. All reports are exportable.
- 1.6. The collection year is 1 January 2025 (CollectionYearStart) to 31 December 2025 (CollectionYearEnd).
- 1.7. Census day is 15 January 2026 (CensusDate).
- 1.8. SEN2 2026 reports activity such as EHC assessments and new EHC plans for the collection year and caseload of EHC plans maintained as at census day.
- 1.9. Symbols used in the Data summary report specification:
 - = 'equal to'
 - >= 'greater than or equal to'
 - <= 'less than or equal to'
 - < 'less than'.

2.0 New additions and changes in 2026

- A section covering request source, compulsory for the first time in 2026, has been added to the requests report.
- A section covering duplicated UPNs in the named plans table has been added.
- Amendments have been made to the annual reviews report
- Phase transfer reviews have been added to the annual reviews report

2. LA error count report

2.1. Report description

This report provides local authorities with a count of their validation rule errors and queries.

2.2. Report criteria

Section	Criteria
Rows	The rows in the report show the validation errors and queries ordered by the number of occurrences (highest to lowest).
Columns	'Occurrences' – number of times the error/query occurs 'Validation Rule Number' – the validation rule number, as listed in the validation rules 'Error Message' – the error message, as specified in the validation rules

3. LA error details report

3.1. Report description

This report shows all the individual validation rule errors and queries for a local authority with the person identifier details and each of the data items involved in the validation rule.

3.2. Report criteria

Section	Criteria
Rows	Grouped for each occurrence of a validation error or query with individual rows added to the report for each of the data items involved in the validation rule.
Columns	<ul style="list-style-type: none">'LA Number' – the local authority number'UPN' – the unique pupil number assigned to the person'ULN' – the unique learner number assigned to the person'Surname' – the surname of the person as provided'DOB' – the date of birth of the person'Validation Rule Number' – the validation rule number, as listed in the validation rules'Error/Query' – whether an error or a query, as specified in the validation rules'Error Okayed' – whether the error or query has been allowed during DfE data checking'Error Message' – the error message, as specified in the validation rules'Table Name' – the data table containing the field and data value the error/query relates to'Field Name' – the name of the specific field that the error/query relates to'Data Value' – the value in the specific field that the error/query relates to

4. LA summary report

4.1. Report description

The data summary report shows local authorities an aggregate count of the data entered and headline measures.

Local authorities are **strongly encouraged to review the LA summary report** before submitting their return, as it summarises the headline statistics that DfE will publish in summer 2023. We may be unable to amend any errors that are not identified by our automated and manual validation checks prior to publication.

4.2. Report criteria

4.2.1. Table 1: Person details

Output	Selection criteria
Total number of children/young people on the return	Count of records in Person table
Number of people with sex declared as <ul style="list-style-type: none">'F - Female''M - Male'	Count of records in Person table Where <ul style="list-style-type: none">Sex = F for femaleSex = M for male
Number of people with ethnicity declared as <ul style="list-style-type: none">'White''Mixed/dual background''Asian or Asian British''Black or Black British''Chinese''Any other ethnic group''NOBT – Information not yet obtained''REFU – refused'	Count of records in Person table Where <ul style="list-style-type: none">Ethnicity in ('WBRI', 'WENG', 'WSCO', 'WWEL', 'WOWB', 'WCOR', 'WNIR', 'WIRI', 'WIRT', 'WOTH', 'WALB', 'WBOS', 'WCRO', 'WGRE', 'WGRK', 'WGRC', 'WITA', 'WKOS', 'WPOR', 'WSER', 'WTUR', 'WTUK', 'WTUC', 'WEUR', 'WEEU', 'WWEU', 'WOTW', 'WROM', 'WROG', 'WROR', 'WROO') for whiteEthnicity in ('MWBC', 'MWBA', 'MWAS', 'MWAP', 'MWAI', 'MWAO', 'MOTH', 'MAOE', 'MABL', 'MACH', 'MBOE', 'MBCH', 'MCOE',

Output	Selection criteria
	<p>'MWOE', 'MWCH', 'MOTM') for mixed/dual background</p> <ul style="list-style-type: none"> • Ethnicity IN ('ABRI', 'AIND', 'APKN', 'AMPK', 'AOPK', 'AKPA', 'ABAN', 'AOTH', 'AAFR', 'AKAO', 'ANEP', 'ASNL', 'ASLT', 'AOTA', 'ASRO', 'AWEL') for Asian or Asian British • Ethnicity IN ('BBRI', 'BCRB', 'BAFR', 'BANN', 'BCON', 'BGHA', 'BNGN', 'BSLN', 'BSOM', 'BSUD', 'BAOF', 'BOTH', 'BEUR', 'BNAM', 'BOTB', 'BWEL') for Black or Black British • Ethnicity IN ('CHNE', 'CHKC', 'CMAL', 'CSNG', 'CTWN', 'COCH') for Chinese • Ethnicity IN ('OOTH', 'OAFG', 'OEGY', 'OFIL', 'OIRN', 'OIRQ', 'OJPN', 'OKOR', 'OKRD', 'OLAM', 'OLEB', 'OLIB', 'OMAL', 'OMRC', 'OPOL', 'ORAB', 'OTHA', 'OVIE', 'OYEM', 'OOEG', 'OARA') for any other ethnic group • Ethnicity = 'NOBT' for information not yet obtained/unclassified • Ethnicity = 'REFU' for refused/unclassified
<p>Number of people with postcode</p> <ul style="list-style-type: none"> • provided • blank 	<p>Count of records in Person table</p> <p>Where</p> <ul style="list-style-type: none"> • postcode IS NOT NULL for provided • postcode is NULL for blank
<p>Number of people with</p> <ul style="list-style-type: none"> • UPN 	<p>Count of records in Person table</p>

Output	Selection criteria
<ul style="list-style-type: none"> • ULN • UPN or ULN unknown reason 	<p>Where</p> <ul style="list-style-type: none"> • UPN IS NOT NULL for UPN • ULN IS NOT NULL for ULN • UPNunknown IS NOT NULL for UPN or ULN unknown reason

4.2.2. Table 2: Requests

Output	Selection criteria
Number of initial requests received in collection year	<p>Count of records in Requests table</p> <p>Where</p> <p>ReceivedDate >= CollectionYearStart AND ReceivedDate <= CollectionYearEnd</p>
Number of initial requests received by source	<p>Count of records in Requests table</p> <p>Where</p> <ul style="list-style-type: none"> • <RequestSource> = 1 for Young person or parent • <RequestSource> = 2 for School or other education setting • <RequestSource> = 3 for Health care professionals • <RequestSource> = 4 for Social care professionals • <RequestSource> = 5 for Other
<p>Number of initial requests received in collection year with RYA declared as</p> <ul style="list-style-type: none"> • '1 - Yes' • '0 - No' 	<p>Count of records in Requests table</p> <p>Where</p> <p>ReceivedDate >= CollectionYearStart AND ReceivedDate <= CollectionYearEnd AND</p> <ul style="list-style-type: none"> • RYA = 1 for yes • RYA = 0 for no

Output	Selection criteria
Number of initial requests approved in collection year - with request outcome declared as 'Y - LA proceeded with an assessment' and outcome date in collection year	Count of records in Requests table Where RequestOutcomeDate >= CollectionYearStart AND RequestOutcomeDate <= CollectionYearEnd AND RequestOutcome = 'Y'
Number of initial requests refused in collection year - with request outcome declared as 'N - LA decided not to proceed with an assessment' and outcome date in collection year	Count of records in Requests table Where RequestOutcomeDate >= CollectionYearStart AND RequestOutcomeDate <= CollectionYearEnd AND RequestOutcome = 'N'
Number of initial requests <ul style="list-style-type: none"> • approved • refused • with decision yet to be made • with request withdrawn or ceased before decision to assess was made • historical 	Count of records in Requests table Where <ul style="list-style-type: none"> • RequestOutcome = 'Y' for approved • RequestOutcome = 'N' for refused • RequestOutcome = 'A' for decision yet to be made • RequestOutcome = 'W' for request withdrawn or ceased before decision to assess was made • Request Outcome = 'H' for historical
Number of <ul style="list-style-type: none"> • mediations • tribunals 	Count of records in Requests table Where <ul style="list-style-type: none"> • RequestMediation= 1 for mediations • RequestTribunal = 1 for tribunals

Output	Selection criteria
Number of mediations and/or tribunals	Count of records in Requests table Where (RequestMediation= 1 OR RequestTribunal = 1)
Number of requests by source	Count of records in Requests table Where RequestSource = 1 for Young person or parent RequestSource = 2 for School or other education setting RequestSource = 3 for Health care professionals RequestSource = 4 for Social care professionals RequestSource = 5 for Other

4.2.3. Table 3: Assessments

Output	Selection criteria
Number of assessments in collection year where decision was to issue an EHC plan	Count of records in Assessment table Where AssessmentOutcomeDate >= CollectionYearStart AND AssessmentOutcomeDate <= CollectionYearEnd AND AssessmentOutcome = 'Y'

Output	Selection criteria
<p>Number of assessments in collection year where decision was not to issue EHC plan</p>	<p>Count of records in Assessment table</p> <p>Where</p> <p>AssessmentOutcomeDate >= CollectionYearStart AND AssessmentOutcomeDate <= CollectionYearEnd AND AssessmentOutcome = 'N'</p>
<p>Number of assessments</p> <ul style="list-style-type: none"> decided an EHC plan would be issued decided not to issue EHC plan with decision yet to be made with request withdrawn or ceased before decision made historical 	<p>Count of records in Assessment table</p> <p>Where</p> <ul style="list-style-type: none"> AssessmentOutcome = 'Y' for decided an EHC plan would be issued AssessmentOutcome = 'N' for decided not to issue EHC plan AssessmentOutcome = 'A' for decision yet to be made AssessmentOutcome = 'W' for request withdrawn or ceased before decision made AssessmentOutcome = 'H' for historical
<p>Number of</p> <ul style="list-style-type: none"> mediations tribunals 	<p>Count of records in Assessment table</p> <p>Where</p> <ul style="list-style-type: none"> AssessmentMediation= 1 for mediations AssessmentTribunal = 1 for tribunals
<p>Number of</p> <ul style="list-style-type: none"> other mediations other tribunals 	<p>Count of records in Assessment table</p> <p>Where</p> <ul style="list-style-type: none"> OtherMediation= 1 for mediations OtherTribunal = 1 for tribunals

Output	Selection criteria
Number of mediations and/or tribunals	Count of records in Assessment table Where (AssessmentMediation= 1 OR AssessmentTribunal = 1)
Number of EHC plans issued within 20-weeks	Count of records in Assessment table Where AssessmentOutcomeDate >= CollectionYearStart AND AssessmentOutcomeDate <= CollectionYearEnd AND RequestOutcome = 'Y' AND AssessmentOutcome = 'Y' AND (AssessmentMediation = 0 or AssessmentMediation is NULL) AND (AssessmentTribunal = 0 or AssessmentTribunal is NULL) AND (RequestMediation = 0 or RequestMediation is NULL) AND (RequestTribunal = 0 or RequestTribunal is NULL) AND DateDiff (Day, RequestReceivedDate, AssessmentOutcomeDate) <=140 days

Output	Selection criteria
Number of EHC plans issued (20-weeks denominator)	Count of records in Assessment table Where AssessmentOutcomeDate >= CollectionYearStart AND AssessmentOutcomeDate <= CollectionYearEnd AND RequestOutcome = 'Y' AND AssessmentOutcome = 'Y' AND (AssessmentMediation = 0 or AssessmentMediation is NULL) AND (AssessmentTribunal = 0 or AssessmentTribunal is NULL) AND (RequestMediation = 0 or RequestMediation is NULL) AND (RequestTribunal = 0 or RequestTribunal is NULL)

4.2.4. Table 4: Caseload

Output	Selection criteria
Number of active plans on census day	Count of records in NamedPlan table Where StartDate <= CensusDate AND (CeaseDate IS NULL OR CeaseDate > CensusDate)
Number of new plans in collection year	Count of records in NamedPlan table Where StartDate >= CollectionYearStart AND StartDate <= CollectionYearEnd

Output	Selection criteria
	AND AssessmentOutcome IN ('Y', 'N')
Number of plans ceased during collection year	Count of records in NamedPlan table Where CeaseDate >= CollectionYearStart AND CeaseDate <= CollectionYearEnd
Number of plans ceased during collection year with cease reason declared as <ul style="list-style-type: none"> • '1 – Reached maximum age' • '2 – Ongoing educational or training needs being met without an EHC plan' • '3 – Moved on to higher education' • '4 – Moved on to paid employment, excluding apprenticeships' • '5 – Transferred to another LA' • '6 – Young person no longer wishes to engage in education or training' • '7 – Child or young person has moved outside England' • '8 – Child or young person deceased' • '9 – Other' 	Count of records in NamedPlan table Where CeaseDate >= CollectionYearStart AND CeaseDate <= CollectionYearEnd AND <ul style="list-style-type: none"> • CeaseReason = 1 for max age • CeaseReason = 2 for needs being met without EHC plan • CeaseReason = 3 for higher education • CeaseReason = 4 for paid employment • CeaseReason = 5 for transfer to another LA • CeaseReason = 6 for no longer wishes to engage in education or training • CeaseReason = 7 for moved outside England • CeaseReason = 8 for deceased • CeaseReason = 9 for other

4.2.5. Table 5: Named plan

Output	Selection criteria
Number of plan detail records with <ul style="list-style-type: none"> • URN listed • UKPRN listed 	Count of records in PlanDetail table Where <ul style="list-style-type: none"> • URN IS NOT NULL for URN • UKPRN IS NOT NULL for UKPRN
Number of plan detail records with SEN setting listed as <ul style="list-style-type: none"> • 'OLA – Other LA' • 'OPA – Other parent' • 'EHE – elective home education' • 'EYP – early years provider' • 'OTH – other' 	Count of records in PlanDetail table Where <ul style="list-style-type: none"> • SENSetting = 'OLA' for Other LA • SENSetting = 'OPA' for Other parent • SENSetting = 'EHE' for elective home education • SENSetting = 'EYP' for early years provider • SENSetting = 'OTH' for other
Number of plan detail records with SEN unit indicator declared as '1 - True'	Count of records in PlanDetail table Where SENUnitIndicator = 1
Number of plan detail records with resourced provision indicator declared as '1 - True'	Count of records in PlanDetail table Where ResourcedProvisionIndicator = 1
Number of named plan records with residential settings declared as <ul style="list-style-type: none"> • 'A – 38 to 51 weeks' • 'B – 52 weeks' 	Count of records in PlanDetail table Where <ul style="list-style-type: none"> • PlanRes = 'A' for 38 to 51 weeks • PlanRes = 'B' for 52 weeks

Output	Selection criteria
Number of named plan records with work-based learning activity declared as 'A – apprenticeships' 'S – supported internships' 'T – traineeships'	Count of records in PlanDetail table Where <ul style="list-style-type: none"> PlanWBP = 'A' for apprenticeships PlanWBP = 'S' for supported internships PlanWBP = 'T' for Traineeships
Number of named plan records with personal budgets declared as '1 - True'	Count of records in NamedPlan table Where PB = 1
Number of named plan records with organised arrangements declared as '1 - True'	Count of records in NamedPlan table Where OA = 1
Number of named plan records with direct payments declared as <ul style="list-style-type: none"> 'E - Education only' 'S - Social care only' 'H - Health only' 'I - Integrated payment for a combination of the above' 	Count of records in NamedPlan table Where <ul style="list-style-type: none"> OA = 'E' for Education only OA = 'S' for Social care only OA = 'H' for Health only OA = 'I' for Integrated payment for a combination of the above

4.2.6. Table 6: Placements

Output	Selection criteria
Number of plans transferred in	Count of records in ActivePlans table Where TransferLA IS NOT NULL
Number of plans with review date provided	Count of records in ActivePlans table Where LastReview IS NOT NULL

Output	Selection criteria
<p>Number of placement detail records with</p> <ul style="list-style-type: none"> • URN declared • UKPRN declared 	<p>Count of records in PlacementDetail table</p> <p>Where</p> <ul style="list-style-type: none"> • URN IS NOT NULL for URN • UKPRN IS NOT NULL for UKPRN
<p>Number of placement detail records with SEN setting listed as</p> <ul style="list-style-type: none"> • 'OLA – Other LA' • 'OPA – Other parent' • 'EHE – elective home education' • 'EYP – early years provider' • 'OTH – other' • 'NEET – Not in education, training or employment (aged 16-25)' • 'NIEC – Not in education or training' • 'NIEO – Not in education - Other' 	<p>Count of records in PlacementDetail table</p> <p>Where</p> <ul style="list-style-type: none"> • SENSetting = 'OLA' for Other LA • SENSetting = 'OPA' for Other parent • SENSetting = 'EHE' for elective home education • SENSetting = 'EYP' for early years provider • SENSetting = 'OTH' for other • SENSetting = 'NEET' for not in education, training or employment • SENSetting = 'NIEC' for not in education or training (notice to cease) • SENSetting = 'NIEO' for not in education, other
<p>Number of placement detail records with SEN unit indicator declared as '1 - True'</p>	<p>Count of records in ActivePlans table</p> <p>Where</p> <p>SENunitIndicator = 1</p>
<p>Number of placement detail records with resourced provision indicator declared as '1 - True'</p>	<p>Count of records in ActivePlans table</p> <p>Where</p> <p>ResourcedProvisionIndicator = 1</p>

Output	Selection criteria
<p>Number of active plan placements with residential settings declared as</p> <ul style="list-style-type: none"> • 'A – 38 to 51 weeks' • 'B – 52 weeks' 	<p>Count of records in ActivePlans table</p> <p>Where</p> <ul style="list-style-type: none"> • Res = 'A' for 38 to 51 weeks • Res = 'B' for 52 weeks
<p>Number of active plan placements with work-based learning activity declared as</p> <ul style="list-style-type: none"> • 'A – apprenticeships' • 'T – traineeships' • 'S – supported internships' 	<p>Count of records in ActivePlans table</p> <p>Where</p> <ul style="list-style-type: none"> • WBP = 'A' for apprenticeships • WBP = 'T' for traineeships • WBP = 'S' for supported internships

4.2.7. Table 7: SEN need

Output	Selection criteria
<p>Number of people with SEN Type</p> <ul style="list-style-type: none"> • 'SPLD - Specific learning difficulty' • 'MLD - Moderate learning difficulty' • 'SLD - Severe learning difficulty' • 'PMLD - Profound & multiple learning difficulty' • 'SEMH - Social, emotional and mental health' • 'SLCN - Speech, language and communication needs' • 'HI - Hearing impairment' • 'VI - Visual impairment' • 'MSI - Multi-sensory impairment' • 'PD - Physical disability' • 'ASD - Autistic spectrum disorder' • 'DS – Down syndrome' • 'OTH - Other difficulty' 	<p>Count of Person records</p> <p>Where</p> <p>SENTypeRank = 1</p> <p>AND</p> <ul style="list-style-type: none"> • SENtype = 'SPLD' for specific learning difficulty • SENtype = 'MLD' for moderate learning difficulty • SENtype = 'SLD' for severe learning difficulty • SENtype = 'PMLD' for profound & multiple learning difficulty • SENtype = 'SEMH' for social, emotional and mental health • SENtype = 'SLCN' for speech, language and communication needs • SENtype = 'HI' for hearing impairment • SENtype = 'VI' for visual impairment • SENtype = 'MSI' for multi-sensory impairment • SENtype = 'PD' for physical disability • SENtype = 'ASD' for autistic spectrum disorder • SENtype = 'DS' for down syndrome • SENtype = 'OTH' for other difficulty

5. Not in named plan provision

5.1. Report description

This report identifies children and young people where an establishment attended on census day differs from the establishment(s) recorded in the EHC plan. The report should be used to identify any mistakes in the IDs for URN, UKPRN or SEN setting and confirm the local authority is aware of reasons where cases do not match.

This report is not intended to replicate the aggregate collection 'Awaiting provision' measure although it is likely a sub-set of the information will appear in the summer 2023 EHC plan publication.

Section	Criteria
Rows	Each child or young person where any record in the PlanDetail table does not match any record in the PlacementDetail table on census day is added as a row to the report.
Columns	<p>'LA Number' – the local authority number</p> <p>'UPN' – the unique pupil number assigned to the person</p> <p>'ULN' – the unique learner number assigned to the person</p> <p>'Person name' – the surname and forename of the person as provided</p> <p>'DOB' – the date of birth of the person</p> <p>'EHC plan start date' – the date the plan first started</p> <p>'EHC plan estab rank 1 URN' – PlanDetail table URN of PlacementRank = 1</p> <p>'EHC plan estab rank 1 UKPRN' – PlanDetail table UKPRN of PlacementRank = 1</p> <p>'EHC plan estab rank 1 SEN setting' – PlanDetail table SENSetting of PlacementRank = 1</p> <p>'EHC plan estab rank 2 URN' – PlanDetail table URN of PlacementRank = 2</p> <p>'EHC plan estab rank 2 UKPRN' – PlanDetail table UKPRN of PlacementRank = 2</p> <p>'EHC plan estab rank 2 SEN setting' – PlanDetail table SENSetting of PlacementRank = 2</p> <p>'Transfer LA' – exporting local authority code where the plan transferred in during the collection year</p> <p>'Placement estab rank 1 URN' – PlacementDetail table URN for open placement of PlacementRank = 1</p> <p>'Placement estab rank 1 UKPRN' – PlacementDetail table UKPRN for open placement of PlacementRank = 1</p> <p>'Placement estab rank 1 SEN setting' – PlacementDetail table SENSetting for open placement of PlacementRank = 1</p> <p>'Placement estab rank 2 URN' – PlacementDetail table URN for open placement of PlacementRank = 2</p>

Section	Criteria
	<p>'Placement estab rank 2 UKPRN' – PlacementDetail table UKPRN for open placement of PlacementRank = 2</p> <p>'Placement estab rank 2 SEN setting' – PlacementDetail table SENSetting for open placement of PlacementRank = 2</p>

6. SEN setting other

6.1. Report description

This report identifies children and young people where the free text for 'other' establishment attended on census day differs from the free text 'other' establishment recorded in the EHC plan. This report is to identify potential mis-matches from the use of SENSettingOther.

Section	Criteria
Rows	Each occurrence of a child or young person where any records in the PlanDetail table for SENSettingOther do not match any records in the PlacementDetail table for SENSettingOther other on census day is added as a row to the report.
Columns	<p>'LA Number' – the local authority number</p> <p>'UPN' – the unique pupil number assigned to the person</p> <p>'ULN' – the unique learner number assigned to the person</p> <p>'Person name' – the surname and forename of the person as provided</p> <p>'DOB' – the date of birth of the person</p> <p>'EHC plan start date' – the date the plan first started</p> <p>'EHC plan estab rank 1 SEN setting other' – PlanDetail table SENSettingOther of PlacementRank = 1</p> <p>'EHC plan estab rank 2 SEN setting other' – PlanDetail table SENSettingOther of PlacementRank = 2</p> <p>'Transfer LA' – exporting local authority code where the plan transferred in during the collection year</p> <p>'Placement estab rank 1 SEN setting' – PlacementDetail table SENSetting for open placement of PlacementRank = 1</p> <p>'Placement estab rank 1 SEN setting other' – PlacementDetail table SENSettingOther for open placement of PlacementRank = 1</p> <p>'Placement estab rank 2 SEN setting' – PlacementDetail table SENSetting for open placement of PlacementRank = 2</p> <p>'Placement estab rank 2 SEN setting other' – PlacementDetail table SENSettingOther for open placement of PlacementRank = 2</p>

7. Duplicate record report

7.1. Report description

List of duplicate persons with active EHC plan on census day within the SEN2 return.

Two lists are provided

- where two or more records have the same UPN or ULN
- where any two or more records have a) different UPNs and ULNs, b) have an identical match on both Surname and Forename and c) have the same combination of Date of Birth and Gender
- Where all plans are recorded as active on the census date in each LA. An active plan is defined as one where:
 - <StartDate> is on or before the census date, for 2026 this is 15 January
 - <CeaseDate> is after the census date of 15 January 2026 or is null

These will be shown as two separate lists.

Notes:

Matching of both Surname and Forenames is independent of case, i.e. john and JOHN do match

Testing a Forename is on the basis of whole words only, and part words are not matched. (For example, “Jon”, “Nathan” or “Nat” **are not** matched with “Jonathan”.)

Plans should only be flagged as a duplicate when each record is active, if a plan has a cease date for the named plan in one authority they **should not** be flagged as a duplicate as they are not an active plan.

Section	Criteria
Rows	Each occurrence of a child or young person that meets the criteria specified
Columns	'LA Number' – the local authority number 'PersonTableID' – ID from COLLECT database 'UPN' – the unique pupil number assigned to the person 'ULN' – the unique learner number assigned to the person 'Person name' – the surname and forename of the person as provided 'DOB' – the date of birth of the person 'Sex' – the sex of the person 'Duplicate LA' – the LA where the duplicate has been detected

8. Annual review during collection period

8.1. Report description

This report identifies the annual review decision date recorded for an active plan record during the collection period and provides a series of aggregated counts.

An active plan is defined as one where:

- <StartDate> is on or before the census date, for 2026 this is 15 January
- <CeaseDate> is after the census date of 15 January 2026 or is null

Output	Selection criteria
The number of active plans with an annual review date during the collection year	The count of active plans Where <ReviewMeeting> is between 1 January 2025 and 31 December 2025
The number of active plans where parents or carers were informed of an annual review decision during the collection year	The count of active plans Where <LastReview>is between 1 January 2025 and 31 December 2025
The number of active plans with an annual review decision made during the collection year	The count of active plans Where <ReviewOutcome> = M for maintain the EHC plan <ReviewOutcome> = C for cease the EHC plan <ReviewOutcome> = A for amend the EHC plan

Output	Selection criteria
The number of active plans where a draft amended plan was issued during the collection year.	<p>The count of active plans</p> <p>Where</p> <p><ReviewOutcome> = A for amend the EHC plan</p> <p>And</p> <p><ReviewDraft> is between 1 January 2025 and 31 December 2025</p>
The number of active plans where a phase transfer due date has been recorded.	<p>The count of active plans</p> <p>Where</p> <p>< PhaseTransferDueDate > is not null</p>
The number of active plans where a final EHC plan was issued following the last phase transfer review	<p>The count of active plans</p> <p>Where</p> <p>< PhaseTransferFinalDate > is between 1 January 2025 and 31 December 2025</p>

9. Annual reviews prior to collection period

9.1. Report description

This report identifies each occurrence of a child or young person where any records have an active plan but the latest review date is prior to collection year.

Section	Criteria
Rows	Each occurrence of a child or young person where any records have an active plan but latest review date is prior to collection year
Columns	'LA Number' – the local authority number 'UPN' – the unique pupil number assigned to the person 'ULN' – the unique learner number assigned to the person 'Person name' – the surname and forename of the person as provided 'DOB' – the date of birth of the person 'EHC plan start date' – the date the plan first started 'EHC plan review decisions date' – the date of the notification of the most recent annual review decision

10. Missing plan details

10.1. Report description

This report identifies children and young people where a Named Plan is present but without a corresponding Plan Details record

Section	Criteria
Rows	Each occurrence of a child or young person where any records have a named plan but no plan details are recorded
Columns	'LA Number' – the local authority number 'UPN' – the unique pupil number assigned to the person 'ULN' – the unique learner number assigned to the person 'Person name' – the surname and forename of the person as provided 'DOB' – the date of birth of the person 'EHC plan start date' – the date the plan first started 'EHC plan cease date' – the date the plan ended



Department
for Education

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