Mapping of IoT security publications to the Code of Practice for Enterprise Connected Device Security

Version 2.0



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Introduction

The present document was created by Accenture on behalf of the Department for Science, Innovation and Technology (in the following: DSIT).

The present document provides an updated mapping of selected publications to the principles as defined in the "Code of Practice for Enterprise Connected Device Security" (Previously NCSC Device Security Principles, in the following: the CoP principles), which was part of the "Device Security Guidance", published by the National Cyber Security Centre in June 2021 [1].

As the CoP principles focus on so-called "enterprise-connected" devices, which are defined as "devices that interact with, process or hold an organization's data" [2], the present document mainly covers IoT-related aspects with a focus on enterprise use cases.

References

- [1] <u>Device Security Guidance</u>. National Cyber Security Centre. 2021.
- [2] Code of Practice for Enterprise Connected Device Security. Department for Science, Innovation and Technology. 2025.
- [3] <u>IEC 62443-4-2 Security for industrial automation and control systems Part 4-2: Technical security requirements for IACS components</u>. International Electrotechnical Commission (IEC). 2019.
- [4] *Internet of Things (IoT) Security and Privacy Recommendations.* Broadband Internet Technical Advisory Group (BITAG). 2016.
- [5] <u>Matter Specification Version 1.0</u>. Connectivity Standards Alliance (CSA). 2022.Updated by: <u>Matter Specification Version 1.4</u>. 2024.
- [6] <u>NISTIR 8259A IoT Device Cybersecurity Capability Core Baseline</u>. National Institute of Standards and Technology (NIST). 2020.
- [7] <u>NISTIR 8228 Considerations for Managing Internet of Things (IoT) Cybersecurity and Privacy Risks</u>. National Institute of Standards and Technology (NIST). 2021.
- [8] <u>NISTIR 8425 Profile of the IoT Core Baseline for Consumer IoT Products</u>. National Institute of Standards and Technology (NIST). 2022.
- [9] <u>CLP.13 v2.2 IoT Security Guidelines Endpoint Ecosystem Version 2.2.</u> GSM Association (GSMA). 2020.
- [10] Baseline Security Recommendations for IoT. European Union Agency for Cybersecurity (ENISA). 2017.
- [11] <u>Cyber Hygiene Best Practices</u>. National Electrical Manufacturers Association (NEMA). 2018.
- [12] <u>IoTSF IoT Security Assurance Framework Release 3.0 Nov 2021</u>. IoT Security Foundation (IoTSF). 2021.
- [13] *IoT Security & Privacy Trust Framework v2.5*. Internet Society (ISOC/OTA). 2017.
- [14] Industrial Internet of Things Volume G4: Security Framework. Industrial IoT Consortium (IIC). 2016.
- [15] <u>EN 303 645 V2.1.1 CYBER; Cyber Security for Consumer Internet of Things: Baseline Requirements.</u> European Telecommunications Standards Institute (ETSI). 2020.
 - Updated by: <u>EN 303 645 V3.1.3 CYBER; Cyber Security for Consumer Internet of Things: Baseline Requirements</u>. 2024.

- [16] <u>NIST SP-800-213A IoT Device Cybersecurity Guidance for the Federal Government: IoT Device</u>
 <u>Cybersecurity Requirement Catalog.</u> National Institute of Standards and Technology (NIST). 2021.
- [17] EN 18031 Part 1 (final draft): Internet connected radio equipment. CEN/CENELEC. 2024.
- [18] EN 18031 Part 2 (final draft): radio equipment processing data, namely Internet connected radio equipment, childcare radio equipment, toys radio equipment and wearable radio equipment. CEN/CENELEC. 2024.
- [19] <u>EN 18031 Part 3 (final draft): Internet connected radio equipment processing virtual money or monetary value</u>. CEN/CENELEC. 2024.
- [20] GUIDANCE ON THE APPLICATION OF THE HARMONISED STANDARDS SERIES EN 18031:2024 IN SUPPORT OF COMMISSION DELEGATED REGULATION 2022/30. European Commission. 2025.

List of Abbreviations

CCSC	Common Component Security Constraints
СоР	Code of Practice
CR	Component Requirement
CS	Cybersecurity State Awareness
DC	Device Configuration
SIT	Department for Science, Innovation and Technology
DI	Device Identification
DP	Data Protection
DS	Device Security
EC	European Commission
EDR	Embedded Device Requirements
EN	European Norm
HDR	Host Device Requirements
IoT	Internet of Things
LA	Logical Access to Interfaces
NDR	Network Device Requirements
ОТА	Over-The-Air
RED	Radio Equipment Directive
SU	Software Update
н	High Overlap
M	Medium Overlap

Methodology

Mapping and Rating

As the researched publications might just cover a subset of the CoP principles, a mapping was created between them. The content of each publication is compared to each chapter of the CoP principles and a mapping was created if their respective topics match.

Further, a similarity rating was performed for each created mapping. The rating should provide a better understanding of which of the publications might be of higher interest – it is based on the similarity between the researched document and the subsections of the CoP principles. A related publications has a "high overlap" (H) if the wording is similar, and at most two subsections of the CoP principles cannot be mapped to that publication. Results that are categorized to have a "medium overlap" (M) have at least a matching rate of half of the subsections.

Note: The wording of the researched publications might not necessarily be equal to the wording of the CoP principles.

Publication Selection

Selection of related publications in the IoT security topic was performed in two steps: In a first step, standardisation organizations and their respective committees and work groups were researched for relevant contributions to the overall IoT topic. Secondly, the publications of those have been searched for concrete publications that cover any IoT device security standards, guidelines, recommendations, or frameworks.

Note: Except for the EN 18031 standards, non-accessible publications, i.e. paywalls or member-restricted documents, are not included in the research.

Mapping Results

The following table shows the mapping results in form of a mapping between the CoP principles and the referenced sections of the researched publications, including the matching rating in the rightmost column.

Table 1: Mapping of related publications to the Code of Practice for Enterprise Connected Device Security

Refei	rence		CoP principle	Overlap
	Section 13.5 Section 14.5	EDR 3.10 - Support for updates HDR 3.10 - Support for updates	1. Provide updates, securely	M
	Section 5.3	CR ¹ 1.1 - Human user identification and authentication	2. Support appropriate authentication	M
	Section 7.3 Section 8.3 Section 8.5	CR 3.1 - Communication integrity CR 4.1 - Information confidentiality CR 4.3 - Use of cryptography	3. Protect data at rest and data in transit	Н
IEC 62443-4-2 [3]	Section 7.16 Section 13.6 Section 15.8	CR 3.15 - Integrity of the boot process EDR 3.11 - Physical tamper resistance and detection NDR 3.11 - Physical tamper resistance and detection	4. Maintain device integrity	Н
	Section 4.2	CCSC 1 - Support of essential functions	5. Ensure transparency of device health	M
	Section 10.4	CR 6.2 - Continuous monitoring	10. Provide security logging, alerting and monitoring capabilities	M
	Section 11.5	CR 7.3 - Control system backup	11. Enable recovery to a known good state	Н
	Section 4 Section 7.1	Many Devices Do Not Follow Security and Privacy Best Practices IoT Devices Should Use Best Current Software Practices	1. Provide updates, securely	Н
[4]	Section 5.1 Section 7.1	Insecure Network Communications IoT Devices Should Use Best Current Software Practices	2. Support appropriate authentication	Н
3 Report [4]	Section 7.2	IoT Devices Should Follow Security & Cryptography Best Practices	3. Protect data at rest and data in transit	Н
BITAG	Section 7	Recommendations	4. Maintain device integrity	М
_	Section 5.3 Section 7.3	Susceptibility to Malware Infection and Other Abuse IoT Devices Should Be Restrictive Rather Than Permissive in Communicating	6. Permit only trusted software	M

¹ Please note, that CR means Component Requirement.

Refer	ence		CoP principle	Overlap
	Section 7.10	The IoT Supply Chain Should Play Their Part In Addressing IoT Security and Privacy Issues	11. Enable recovery to a known good state	Н
	Section 13.5 Section 11.19 Section 13.6	Firmware Over-the-Air (OTA) Software Update Security Best Practices	1. Provide updates, securely	М
	Section 13.6	Security Best Practices	2. Support appropriate authentication	М
.4 [5]	Section 13.6	Security Best Practices	3. Protect data at rest and data in transit	М
CSA Matter Standard 1.4 [5]	Section 13.3 Section 13.5 Section 13.6 Section 13.7	Commissioning Firmware Security Best Practices Threats and Countermeasures	4. Maintain device integrity	Н
CSA Ma	Section 13.6.2	Commissioning and Administration	7. Minimise the privilege and reach of applications	M
	Section 13.6.4	Manufacturing	8. Constrain the use of all device interfaces	М
	Section 13.4.	Factory Reset	11. Enable recovery to a known good state	М
	Page 9: Software Update		Provide updates, securely	M
	Page 7: Data Protection		3. Protect data at rest and data in transit	Н
	Page 6: Device Configuration		4. Maintain device integrity	М
[9] V	Page 8: Logical Access to Interfaces		8. Constrain the use of all device interfaces	М
NISTIR 8259A [6]	Page 6: Device	Configuration	9. Allow robust device management	Н
	Expectation 5: Expectation 6:	Vulnerability Management Vulnerability Management	1. Provide updates, securely	M
NIST IR 8228 [7]	Expectation 8: Expectation 9: Expectation 10 Expectation 11 Expectation 12 Expectation 13 Expectation 24 Expectation 25	 Access Management Access Management Access Management PII Processing Permissions Management 	2. Support appropriate authentication	M
	Expectation 19 Expectation 20 Expectation 21	: Data Protection	3. Protect data at rest and data in transit	М

Refer	rence		CoP principle	Overlap
	Expectation 1	4: Access Management	4. Maintain device integrity	М
	Expectation 1	2: Access Management	7. Minimize the privilege and reach of applications	M
	Expectation 1 Expectation 1		10. Provide security logging, alerting and monitoring capabilities	M
	Page 9: Softw	rare Updates	Provide updates, securely	М
	Page 7: Data	Protection	3. Protect data at rest and data in transit	М
NIST IR 8425 [8]	Page 8: Interf	Face Access Control	7. Minimize the privilege and reach of applications	M
T IR 8	Page 8: Interf	Face Access Control	8. Constrain the use of all device interfaces	М
NIS	Page 6: Produ	uct Configuration	9. Allow robust device management	Н
	Page 6: Produ	uct Configuration	11. Enable recovery to a known good state	М
	Section 7.5	Over the Air Application Updates	Provide updates, securely	М
	Section 7.6	Improperly Engineered or Unimplemented Mutual Authentication	2. Support appropriate authentication	М
	Section 6.19 Section 6.20	Endpoint Communications Security Authenticating an Endpoint Identity	3. Protect data at rest and data in transit	Н
GSMA CLP.13 [9]	Section 5.2 Section 5.6	How should I Secure the Endpoint Identity? How do I Disallow Tampering of Firmware and Software?	4. Maintain device integrity	М
GSI	Section 7.9	Enforce a Separation of Duties in the Application Architecture	7. Minimise the privilege and reach of applications	М
	Section 6.13	Logging and Diagnostics	10. Provide security logging, alerting and monitoring capabilities	Н
ions	Section 4.3	Technical Measures (GP-TM-05, GP-TM-06, GP-TM-18, GP-TM-19)	Provide updates, securely	М
NISA Security Recommendations [10]	Section 4.2 Section 4.3	Organisational, People and Process measures (GP-OP-04) Technical Measures (GP-TM-02, GP-TM-04, GP-TM-14, GP-TM-24, GP-TM-32, GP-TM-34, GP-TM-35, GP-TM-39, GP-TM-40)	3. Protect data at rest and data in transit	Н
NISA Sec	Section 4.3	Technical Measures (GP-TM-55, GP-TM-56)	5. Ensure transparency of device health	М

Refe	Reference		ce CoP principle	
		Technical Measures (GP-TM-08, GP-TM-09, GP-TM-21, GP-TM-22, GP-TM-25, GP-TM-27, GP-TM-29, GP-TM-33, GP-TM-42, GP-TM-44, GP-TM-45)	8. Constrain the use of all device interfaces	М
	Section 4.3	Technical Measures (GP-TM-06)	9. Allow robust device management	М
	Principle 3: Mo	onitoring Devices and Systems	5. Ensure transparency of device health	М
NEMA [11]	Principle 4: Us	gmenting Networks er Management ordening Devices	8. Constrain the use of all device interfaces	М
	Section 2.4.5 Section 2.4.6	Device Software (2.4.5.1, 2.4.5.2, 2.4.5.3, 2.4.5.4, 2.4.5.8) Device Operating System (2.4.6.1)	Provide updates, securely	M
IoTSF IoT Security Assurance Framework [12]	Section 2.4.6 Section 2.4.7 Section 2.4.8 Section 2.4.9 Section 2.4.12 Section 2.4.16	Device Operating System (2.4.6.5) Device Wired and Wireless Interfaces Authentication and Authorization (2.4.8.8, 2.4.8.16) Encryption and Key Management for Hardware Data Protection and Privacy (2.4.12.2) Device Ownership Transfer (2.4.16.1, 2.4.16.2)	3. Protect data at rest and data in transit	М
ty Assuranc	Section 2.4.8 Section 2.4.15	Authentication and Authorization (2.4.8.17) Configuration	4. Maintain device integrity	M
Securi	Section 2.4.7	Device Wired and Wireless Interfaces	5. Ensure transparency of device health	М
SF IoT	Section 2.4.5	Device Software (2.4.5.1)	6. Permit only trusted software	М
ЮТ	Section 2.4.4 Section 2.4.5 Section 2.4.6 Section 2.4.7 Section 2.4.8	Device Hardware & Physical Security (2.4.4.5, 2.4.4.9) Device Software (2.4.5.5) Device Operating System (2.4.6.3, 2.4.6.4) Device Wired and Wireless Interfaces Authentication and Authorization	8. Constrain the use of all device interfaces	Н

Refer	rence	CoP principle	Overlap
	Section 2.4.8 Authentication and Authorization (2.4.8.17) Section 2.4.15 Configuration	9. Allow robust device management	M
	Security Principle: 1, 6 and 8	Provide updates, securely	М
ISOC/OTA [13]	Security Principle: 2 User Access & Credentials: 17 Privacy, Disclosures & Transparency: 33	3. Protect data at rest and data in transit	M
ISOC/	Privacy, Disclosures & Transparency: 26	11. Enable recovery to a known good state	М
	Section 7.3 Endpoint Protection Section 11.5.1 Secure Software Patching and Firmware Update	1. Provide updates, securely	M
IIC Security Framework [14]	Section 7.3 Endpoint Protection Section 7.4 Communications and Connectivity Protection Section 7.6 Security Configuration and Management Section 7.7 Data Protection Section 8.8 Endpoint Data Protection Section 8.11 Cryptography Techniques for Endpoint Protection Section 8.13 Resource-Constrained Device Considerations Section 9.1 Cryptographic Protection of Communications & Connectivity Section 10.4 Security Data Protection Section 11.9 Configuration and Management Data Protection	3. Protect data at rest and data in transit	M
	Section 7.3 Endpoint Protection Section 7.6 Security Configuration and Management Section 8.10 Endpoint Configuration and Management	4. Maintain device integrity	Н
	Section 7.3 Endpoint Protection Section 7.5 Security Monitoring and Analysis Section 7.7 Data Protection Section 8.9 Endpoint Monitoring and Analysis Section 10.3 Capturing and Storing Data for Analysis Section 10.4 Security Data Protection	5. Ensure transparency of device health	Н

Refer	Reference		CoP principle	Overlap
	Section 7.3 Section 7.6 Section 8.10 Section 11.7.1 E	Endpoint Protection Security Configuration and Management Endpoint Configuration and Management Configuration Configuration Configuration	9. Allow robust device management	Н
	Section 10.3	Capturing and Storing Data for Analysis	10. Provide security logging, alerting and monitoring capabilities	Н
	Provision 5.3	Keep software updated	1. Provide updates, securely	Н
	Provision 5.1-1 Provision 5.1-2		2. Support appropriate authentication	Н
	Provision 5.5 Provision 5.11	Communicate securely Make it easy for users to delete user data	3. Protect data at rest and data in transit	M
	Provision 5.6-8 Provision 5.7-1		4. Maintain device integrity	Н
15]	Provision 5.7	Ensure Software Integrity	5. Ensure transparency of device health	Н
EN 303 645 [15]	Provision 5.6 Provision 5.7-2	Minimize exposed attack surfaces	7. Minimise the privilege and reach of applications	М
EN 3	Provision 5.5 Provision 5.6	Communicate securely Minimize exposed attack surfaces	8. Constrain the use of all device interfaces	M
	Provision 5.6 Provision 5.12	Minimize exposed attack surfaces Make installation and maintenance of devices easy	9. Allow robust device management	M
	Provision 5.10	Examine system telemetry data	10. Provide security logging, alerting and monitoring capabilities	M
	Provision 5.7 Provision 5.12	Ensure Software Integrity Make installation and maintenance of devices easy	11. Enable recovery to a known good state	M
- 800 - 1 [16]	DC-CTL DP-CRY	Device Configuration Control Cryptographic Capabilities and Support	1. Provide updates, securely	Н
NIST SP-800- 213A [16]	DP-STX SU-APP SU-UPD	Secure Transmission Update Application Support Update Capabilities		

erence		CoP principle	Overlan
DC-PRV	Logical Access Privilege Configuration	Support appropriate authentication	I
DI-DAS	Device Authentication Support		
DI-IMS	Identifier Management Support		
DP-CRY	Cryptographic Capabilities		
	and Support		
DP-KEY	Cryptographic Key Management		
DS-COM	Secure Communication		
LA-ACF	Authentication Configuration		
LA-AIM	Authentication and		
	Identity Management		
LA-AUN	Authentication Support		
LA-AUZ	Authorization Support		
LA-XCN	External Connections		
DP-CRY	Cryptographic Capabilities and Support	3. Protect data at rest and data in transit	
DP-KEY	Cryptographic Key Management		
DP-STO	Secure Storage		
DP-STX	Secure Transmission		
DS-COM	Secure Communication		
CS-AUP	Audit Support and Protection	4. Maintain device integrity	
CS-AWR	State Awareness Support		
DS-DIN	Device Integrity		
DS-EXE	Secure Execution		
DS-RSC	Secure Resource Usage		
SU-UPD	Update Capabilities		
CS-AUP	Audit Support and Protection	Ensure transparency of device health	
CS-AWR	State Awareness Support	The state of the s	
DS-DIN	Device Integrity		
LA-LDU	Limitations on Device Usage	6. Permit only trusted software	
LA-ROL	Role Support and Management		
DS-EXE	Secure Execution	7. Minimize the privilege	
DS-RSC	Secure Resource Usage	and reach of applications	
LA-ROL	Role Support and Management		
DC-INT	Interface Configuration	Constrain the use of all device interfaces	
DI-AID	Actions Based on Device Identity	o. Constrain the use of all device interfaces	
DI-MB DI-IMS	Identifier Management Support		
DS-OPS	Secure Device Operation		
LA-IFC	Interface Control		
DC-AUT	Authentication and	Allow robust device management	
	Authorization Configuration		
DC-CTL	Device Configuration Control		
DI-AID	Actions Based on Device Identity		
DS-DIN	Device Integrity		
DS-ONB	Secure Network Onboarding Support		
LA-IFC	Interface Control		

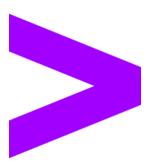
Reference			CoP principle	Overlap
	CS-AEI CS-AUP CS-EIM CS-EVR CS-LCT CS-LSR CS-RDL CS-SRT DS-COM	Access to Event Information Audit Support and Protection Event Identification and Monitoring Event Response Logging Capture and Trigger Support Audit Log Storage and Retention Support of Required Data Support for Reliable Time Secure Communication	10. Provide security logging, alerting and monitoring capabilities	Н
	CS-EVR DP-STO	Event Response Secure Storage	11. Enable recovery to a known good state	М
	Req. SUM-2 (Parts 1, 2, 3) Req. SUM-3 (Parts 1, 2, 3) Req. CRY-1 (Parts 1, 2, 3)		1. Provide updates, securely	М
EN 18031 Parts 1-3 [17][18][19]		1 (Parts 1, 2, 3) 2 (Parts 1, 2, 3) (Part 1) 1 (Parts 2, 3) (Parts 1, 2, 3) (Parts 1, 2, 3) (Parts 1, 2, 3) [RED-restricted, see footnote 2] 2 (Parts 1, 2, 3) [RED-restricted, see footnote 2] (Parts 1, 2, 3) Parts 1, 2, 3) Parts 1, 2, 3)	2. Support appropriate authentication	Н
	Req. SSM-1 (Req. SSM-3 (Req. SCM-1 (Req. SCM-2 (Req. SCM-3 (Req. SCM-4 (Req. CCK-3 (Req. CRY-1 (Req. DLM-1	Parts 1, 2, 3) (Parts 1, 2, 3) (Parts 1, 2, 3) (Parts 1, 2, 3) (Parts 1, 2, 3) Parts 1, 2, 3) Parts 1, 2, 3)	3. Protect data at rest and data in transit	Н
	Req. SUM-2 Req. SUM-2 Req. SSM-1 Req. GEC-8	(Part 3) [RED-restricted, see footnote 3] Parts 1, 2, 3)	4. Maintain device integrity	Н
	Req. ACM-2	(Parts 1, 2, 3)	7. Minimize the privilege and reach of applications	M

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 $^{^2}$ As per EC Guidance on the application of the EN 18031 standards [20]: "This harmonised standard does not confer a presumption of conformity with Article 3(3), first subparagraph, point (d), (e) and (f), of Directive 2014/53/EU if, by applying its clauses 6.2.5.1 and 6.2.5.2, the user is allowed not to set and use any password."

³ As per EC Guidance on the application of the EN 18031 standards [20]: "As regards the assessment criteria set out in clause 6.3.2.4 of this harmonised standard, this harmonised standard does not confer a presumption of conformity with the essential requirement set out in Article 3(3), first subparagraph, point (f), of Directive 2014/53/EU."

Refe	rence	CoP principle	Overlap
	Req. GEC-3 (Parts 1, 2, 3) Req. GEC-4 (Parts 1, 2, 3) Req. GEC-5 (Parts 1, 2, 3)	8. Constrain the use of all device interfaces	Н
	Req. NMM-1 (Part 1) Req. LGM-1 (Parts 2, 3) Req. LGM-4 (Parts 2, 3) Req. UNM-1 (Part 2)	Provide security logging, alerting and monitoring capabilities	М



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