

PAPER REGISTRAR'S RULES 2009

VOLUME 2

**REQUIREMENTS APPLICABLE TO DOCUMENTS DELIVERED TO THE
REGISTRAR IN PAPER FORM**

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Part 1 – companies, section 1040 companies, unregistered companies, overseas companies, and European Public-Limited Liability Companies

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The registrar of companies makes the following rules in exercise of the powers conferred by the enactments specified in Schedule 1 to this Volume of the rules.

PART 1 GENERAL INTRODUCTORY PROVISIONS

Commencement

1. The rules shall come into force on 6th April 2010.

Application of this Volume

2. (1) The rules in this Volume apply to the documents specified in these rules that are delivered to the registrar in paper form on or after 1st October 2009 but not to a document delivered in pursuance of an obligation arising before that date.
- (2) Other Volumes of the registrar's rules 2009 cover the following matters-
 - (a) Requirements applicable to documents delivered to the registrar in electronic form (Volume 1);
 - (b) Requirements applicable to a document or part of a document delivered to the registrar on a CD-ROM or DVD-ROM (Volume 3);
 - (c) Requirements applicable to instructions for the informal correction of a document delivered to the registrar (Volume 4);
 - (d) Authentication of a certificate sent by the registrar by electronic means (Volume 5);
 - (e) Nomination of an address to be the registered office address (Volume 6).

Transitional interpretation

3. (1) Except as provided in paragraph (2), any reference in these rules to a document or particular type of document delivered to the registrar shall be construed as a reference to any such document delivered to the registrar on or after 1st October 2009 other than a document delivered in pursuance of an obligation arising before that date. (2) This rule does not apply to Part 12 of these rules.

Definitions and general interpretation 4.

- (1) In this Volume of the rules-

“the 2006 Act” means the Companies Act 2006;

“Address Regulations” means the Companies (Disclosure of Address) Regulations 2009¹;

“CIC manager”, in relation to a company that is a community interest company, means a manager appointed pursuant to section 47 of the Companies (Audit, Investigations and Community Enterprise) Act 2004;

“company” has the meaning given to it in section 1 of the 2006 Act;

“Company PSC Regulations” means the Register of People with Significant Control Regulations 2016²;

¹ S.I. 2009/214.

² S.I. 2016/339

“credit or financial institution” means a credit or financial institution to which section 1050 of the 2006 Act applies³;

“designated member,” in relation to an LLP, shall be construed in accordance with section 8 of the Limited Liability Partnerships Act 2000;

“EEIG” means a European Economic Interest Grouping being a grouping formed in pursuance of Article 1 of the EEIG EEC Regulation and

- (a) which is to be, or is, registered in the UK, or
- (b) whose official address is outside the UK, but the grouping is registering or has registered an establishment in the UK;

“the EEIG EEC Regulation” means the Council Regulation (EEC) No 2137/85 on the European Economic Interest Grouping;

“EEIG Regulations” means the European Economic Interest Grouping Regulations 1989;³

“excluded document” means an excluded insolvency document or a document delivered to the registrar under

- (a) section 466 of the Companies Act 1985 or that section as applied to LLPs and section 1040 companies by the Limited Liability Partnerships Regulations

2001 and the Companies (Companies Authorised to Register) Regulations 2009⁴ respectively,

- (b) the Limited Partnerships Act 1907, or (c) the Newspaper Libel and Registration Act 1881.

“excluded insolvency document” means-

- (a) a document delivered to the registrar of Northern Ireland under the Insolvency (Northern Ireland) Order 1989 or any provision of that Order that is applied to LLPs by the Limited Liability Partnerships Regulations (Northern Ireland) 2004 or section 14 of the Limited Liability Partnerships Act 2000 provided that such exclusion shall not apply to any notice(s) served upon the registrar further to Articles 13BE, 13CH, 13DM, 13DN, 13ED, 13EE of the Insolvency (Northern Ireland) Order 1989 (as amended by the Corporate Insolvency and Governance Act 2020),

³ S.I. 2001/1090

⁴ S.I. 2009/ 2437

- (b) any document delivered to the registrar of companies for Scotland under the Insolvency Act 1986 that is applied to LLPs by the Limited Liability Partnerships Regulations 2001, or
- (c) any of the following documents delivered to the registrar of companies for England and Wales under the specified section of the Insolvency Act 1986 or the specified Rule in the Insolvency Rules 1986 ⁵ or that section or Rule as applied to LLPs by regulations made under section 14 of the Limited

Liability Partnerships Act 2000-

- (i) notice of administration order (rules 2.10(3) and 2.10(4));
- (ii) administrator's abstract of receipts and payments (rule 2.52);
- (iii) statement of affairs (rule 2.29(1))
- (iv) statement of concurrence (rule 2.29(2));

³ S.I. 1989/638

- (v) notice by administrator of a change in committee membership (rule 2.51(6));
- (vi) statement of affairs (rules 3.4(1), 4.34(2) or 4.34(3))
- (vii) notice of order to deal with charged property (section 15(7));
- (viii) notice of discharge of administration order or variation of administration order (section 18(4));
- (ix) administration order delivered (section 21(2));
- (x) statement of administrator's proposals (section 23(1)(a));
- (xi) notice of result of meeting of creditors (sections 24(4) and 25(6));
- (xii) members' voluntary winding up declaration of solvency embodying a statement of assets and liabilities (section 89(3)).

- (d) any of the following documents delivered to the registrar of companies for England and Wales under the specified section of the Insolvency Act 1986 or the specified Rule in the Insolvency Rules 1986 in each case as applied to LLPs by regulations made under section 14 of the Limited Liability Partnerships Act 2000-

- (i) notice of administration order (rules 2.10(3) and 2.10(4)); (ii) administrator's abstract of receipts and payments (rule 2.52);
- (iii) statement of affairs (rule 2.29(1));
- (iv) statement of concurrence (rule 2.29(2));
- (v) notice by administrator of a change in committee membership (rule 2.51(6));

⁵ S.I. 1986/1925

- (vi) statement of affairs (rules 3.4(1), 4.34(2) or 4.34(3));
- (viii) notice of order to deal with charged property (section 15(7)); (ix) notice of discharge of administration order or variation of administration order (section 18(4)); (x) administration order (section 21(2));
- (xi) statement of administrator's proposals (section 23(1)(a));
- (xii) notice of result of meeting of creditors (sections 24(4) and 25(6)); (xiii) members' voluntary winding up declaration of solvency embodying a statement of assets and liabilities (section 89(3)).

“Identity verification” refers to verification and reverification within the meaning of section 1110A of the 2006 Act and the Registrar (Identity Verification and Authorised Corporate Service Providers) Regulations 2025;

“LLP” means a limited liability partnership registered under the Limited Liability Partnerships Act 2000;

“member” -

(a) in relation to an SE, means-

- i) (for rules 83 and 85) a member of the management organ, administrative organ, or supervisory organ, or
- ii) (for all other references) a member of the management organ or administrative organ, and

(b) in relation to an LLP, shall be construed in accordance with section ⁴ of the Limited Liability Partnerships Act 2000;

“non-scheduled form document” means a document or part of a document which is not required by these rules to be delivered to the registrar in scheduled form;

“overseas company” has the meaning given to it by section 1044 of the 2006 Act;

“partner,” in relation to an SLP, has the meaning given to it in regulation 2 of the Scottish Partnerships PSC Regulations;

“permanent representative,” in relation to an overseas company, means a person authorised to represent an overseas company as a permanent representative of the overseas company in respect of a UK establishment;

“person authorised” means a person authorised by the directors of a company in accordance with section 270(3)(b) or section 274 of the 2006 Act;

registrable person” has the following meaning:

- (a) (in relation to a company) the meaning given to it in section 790C (4) of the 2006 Act,
- (b) (in relation to a section 1040 company) the meaning given to it in section 790C (4) of the 2006 Act as applied to section 1040 companies by regulation 18 of the Companies (Companies Authorised to Register) Regulations 2009,
- (c) (in relation to an SE) the meaning given to it in section 790C (4) of the 2006 Act as applied to SEs by Article 9(1)(c)(ii) of the SE EC Regulation, and
- (d) (in relation to an LLP) the meaning given to it in 790C (4) of the 2006 Act as applied to LLPs by regulation 31B in Schedule 1 of the Limited Liability Partnerships (Register of People with Significant Control) Regulations 2016;
- (e) (in relation to an SQP or SLP) the meaning given to it by regulation 3(5) of the Scottish Partnerships PSC Regulations;

“registered number” has the following meaning-

- (a) (in relation to a company or an overseas company) the meaning given to it in section 1066 of the 2006 Act,
- (b) (in relation to a section 1040 company) the meaning given to it in section 1066 of the 2006 Act as applied to registered section 1040 companies by regulation 18 of the Companies (Companies Authorised to Register) Regulations 2009,
- (c) (in relation to an SE) the meaning given to it in section 1066 of the 2006 Act as applied to SEs by regulation 14 of the European Public Limited-Liability Company Regulations 2004,
- (d) (in relation to an LLP) the meaning given to it in regulation 62 of the Limited Liability Partnerships (Application of Companies Act 2006) Regulations 2009⁶;
- (e) (in relation to an EEIG) the meaning given to it in section 1066 of the 2006 Act as applied to a European Economic Interest Grouping by regulation 18 of the EEIG Regulations;
- (f) (in relation to an EEIG) the meaning given to it in section 1066 of the 2006 Act as applied to a European Economic Interest Grouping by regulation 18 of the EEIG Regulations;
- (g) (in relation to an SQP) the meaning given to it in section 1066 of the 2006 Act as applied to an SQP by regulation 58 of the Scottish Partnerships PSC Regulations;
- (h) (in relation to an SLP) it means the registration number stated in the certificate issued by the registrar pursuant to section 8C of the Limited Partnerships Act 1907;

“registrar” has the meaning given to it by section 1060 of the 2006 Act;

“Registrar Regulations” means the Registrar of Companies and Applications for Striking Off Regulations 2009⁷;

“replacement document” means a document delivered to the registrar which is a replacement to which section 1076(1) of the 2006 Act applies other than (a) any such document which relates to an EEIG or a credit or financial institution that is not an overseas company, or

(b) an excluded document;

“scheduled form” means a Schedule 2 form, a Schedule 3 form, a Schedule 4 form, a Schedule 5 form, a Schedule 6 form, a Schedule 7 form, a Schedule

1 7A form, a Schedule 8 form, a Schedule 8A form, Schedule 8B form, Schedule 8C form or a Schedule 9 form⁷ S.I. 2009/1804.

2 8 S.I. 2009/1803. ;

“Schedule 2 form” means a form in Schedule 2;

“Schedule 3 form” means a form in Schedule 3;

“Schedule 4 form” means a form in Schedule 4;

“Schedule 5 form” means a form in Schedule 5;

“Schedule 6 form” means a form in Schedule 6;

“Schedule 7 form” means a form in Schedule 7;

“Schedule 7A form” means a form in Schedule 7A;

“Schedule 8 form” means a form in Schedule 8;

“Schedule 8A form” means a form in Schedule 8A;

“Schedule 8B form” means a form in Schedule 8B;

“Schedule 8C form” means a form in Schedule 8C;

“Schedule 9 form” means a form in Schedule 9;

“The Scottish Partnerships PSC Regulations” means the Scottish Partnerships (Register of People with Significant Control) Regulations 2017⁹

“SE” means a European Public-Limited Liability Company (or Societas Europaea) which is within the meaning of the SE EC Regulation and is to be, or is, registered in the UK;

“the SE EC Regulation” means the Council Regulation 2157/2001/EC of 8 October 2001 on the Statute for a European Company;

“section 1040 company” means any of the following companies-

- (a) a company authorised by section 1040 of the 2006 Act to register under that section or a company registered under that section, (b) a company registered under section 680 of the Companies Act 1985, or
- (c) a company registered under Article 629 of the Companies (Northern Ireland) Order 1986⁶;

“SLP” has the meaning given to “Scottish limited partnership” in regulation 3(2)(a) of the Scottish Partnerships PSC Regulations;

“SQP” has the meaning given to “Scottish qualifying partnership” in regulation 3(2)(b) of the Scottish Partnerships PSC Regulations;

“unique identifiers” means reference numbers issued under section 1082 of the 2006 Act;

“unregistered company” means a body to which section 1043 of the 2006 Act applies.

(2) In this Volume of the rules -

- (a) any reference to “these rules” is a reference to the rules in this Volume of the registrar’s rules 2009 and any reference to a numbered rule, Chapter, Part, or Schedule is to the rule, Chapter, Part, or Schedule so numbered in this Volume;
- (b) any reference to an Act includes a reference to any subordinate legislation made under that Act.

PART 2 MANNER OF DELIVERY AND RECEIPT

Application and interpretation of Part

5. This Part applies to any document delivered to the registrar other than an excluded document. This Part shall be construed accordingly.

⁶ S.I. 1986/1032 (NI 6).

Delivery in paper form

6. (1) A document may be delivered to the registrar in paper form.

This is subject to the following proviso.

(2) Where a PROOF agreement is in force for a company or other body, a PROOF document which relates to that company or other body cannot be delivered in paper form except as provided for in the PROOF agreement⁹ SI 2017/694 –.

In this paragraph -

“PROOF agreement” means an agreement for delivery by electronic means under section 1070 of the 2006 Act;

“PROOF document” means a document that is included in the terms of a PROOF agreement.

Delivery and receipt

7. (1) In this rule a reference to a numbered column is a reference to a column in the table set out in paragraph (5).

(2) A document can be delivered to the registrar by one of the means of delivery specified in column 1.

(3) The address applicable to each means of delivery are set out in column 2.

(4) A document is to be regarded as received by the registrar when it satisfies the criterion in column 3 which corresponds to the means of delivery and delivery address used for delivery of that document.

(5) In the following table- “Document Exchange” means the document exchange service provided by DX Network Services Ltd (company number 5023914); “opening hours,” in relation to an address specified in the table, means the opening hours of Companies House at that address as specified from time to time on the website www.gov.uk/government/organisations/companies-house

(1) Means of delivery	(2) Address	(3) Receipt criteria
By post	Companies House Crown Way Cardiff Wales CF14 3UZ	When handed over at a loading bay at Companies House, Crown Way, Cardiff.
	The Registrar of Companies PO Box 4082 Cardiff CF14 3WE	When handed over at a loading bay at Companies House, Crown Way, Cardiff.

Delivery by hand	Companies House Crown Way Cardiff Wales CF14 3UZ	Delivery at any time to Companies House when put through the Post Box situated between the security gates at the main entrance at Companies House, Crown Way, Cardiff.
Document Exchange	Companies House DX 33050 Cardiff	When handed over at a loading bay at Companies House, Crown Way, Cardiff

PART 3 FORM AND AUTHENTICATION OF DOCUMENTS RELATING TO A COMPANY

Chapter 1 Application

Application and interpretation of Part

8. (1) This Part imposes requirements as to the form and authentication of documents.
- (2) This Part applies to specified documents delivered to the registrar which relate to a company and this Part shall be construed accordingly. Parts 4, 5, 6 and 7 apply to documents which relate to a section 1040 company, an unregistered company, an overseas company, and an SE, respectively.
- (3) Parts 9 and 10 impose requirements on certified copies and verified copies and translations, respectively.
- (4) For a replacement document, Part 11 imposes requirements that are in addition to the requirements imposed by this Part.

Chapter 2 Documents in Schedule 2 form delivered under the Companies Act 2006

Application of Chapter

9. This Chapter imposes requirements as to the use of a Schedule 2 form for specified documents delivered to the registrar under the 2006 Act.

Schedule 2 forms

10. (1) Subject to rule 12(2)(b), the Schedule 2 forms are to be used for documents delivered to the registrar under the legislative provisions which are referred to on those forms.

(2) For some legislative provisions there is more than one Schedule 2 form. The top of a Schedule 2 form refers to the circumstances in which it is to be used.

Welsh language forms

11. (1) Each form in Part 2 of Schedule 2 is partly in Welsh and partly in English and can be used instead of a form in Part 1 of Schedule 2 where the form relates to a Welsh company.

(2) In this rule- “Welsh company” has the meaning given to it in section 88 of the 2006 Act.

Continuation forms

12. (1) Some of the Schedule 2 forms are continuation forms for other Schedule 2 forms. The top of a continuation form refers to “continuation page.”

(2) When in the circumstances indicated on a Schedule 2 form the information to be inserted in that Schedule 2 form is to be continued, the information to be continued is to be inserted either-

- (a) on the appropriate continuation form (and where there is more than one continuation form for a particular Schedule 2 form the type of information to be continued determines which continuation form is to be used); or
- (b) subject to rule 12(3), on a document whose text is in black typescript or handwritten in black ink and on paper that complies with the following requirements-

Size	A4
Colour	White
Orientation	Portrait (shorter edge across the top)”

(3) Rule 12(2)(b) does not apply where the information to be continued includes the usual residential address, registered email address or date of birth of a director or registrable person or where rule 15A applies. The appropriate continuation form must be used for that information.

Reproduction of a Schedule 2 form

13 (1) Subject to rule 15 and 15A, a document for which a Schedule 2 form must be used must be reproduced as set out in Schedule 2 as to its text, layout and format and must be in black ink.

(2) Subject to rule 15 and 15A, a Schedule 2 form must be on paper that complies with the following requirements-

Size	A4
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Colour	White
Orientation	Portrait (Shorter edge across the top)

Barcode on some Schedule 2 forms which contain a usual residential address, date of birth, unique identifier or statement confirming identity verification

14.(1) This rule applies to a form in Part 1 or Part 2 of Schedule 2 which is required by these rules to be used to deliver a document with is required by the 2006 Act to contain the usual residential address, registered email address, date of birth, unique identifier or statement confirming identity verification relating to a director or registerable person. A Schedule 2 form to which this rule applies must contain the barcode indicated on that form in Schedule 2.

(2) A person reproducing that form for delivery to the registrar can obtain an image of the barcode from the registrar.

(3) The barcode must be included in the Schedule 2 form in the format supplied by the registrar including it being in black ink, 13.5 mm high and 70.5 mm wide.

(4) The barcode must be set out in the location indicated on the Schedule 2 form being as follows-

- (a) 41mm from the left edge of the page,
- (b) 47.5mm from the top edge of the page, (c) 236mm from the bottom of the page; and (d) 98.55mm from the right edge of the page.

Orange coloured forms to be obtained from the registrar

15. A document for which a form is provided in Part 3 of Schedule 2 must be delivered to the registrar on a form obtained from the registrar because the form must be on orange coloured paper.

Purple coloured forms to be obtained from the registrar

15A. (1) This rule applies to a document if –

- (a) it is a document for which a form is provided in Part 4 of Schedule 2, and (b) at the time of delivery to the registrar, one or both of the following apply:

- (i) in relation to one or more of the current or proposed registrable persons of the company or proposed company, restrictions on using or disclosing the particulars of that person or persons in relation to that company or proposed company are in force under regulations under section 790ZG and section 1088 of the 2006 Act, and

- (ii) in relation to one or more of the past registrable persons of the company, restrictions on using or disclosing the particulars of that person or persons in relation to that company are in force under regulations under section 790ZG and section 1088 of the 2006 Act and the fact that the person has ceased to be a registrable person in relation to that company has not yet been registered by the registrar.

(2) The forms in Parts 1 and 2 of Schedule 4 must not be used to deliver to the registrar a document to which this rule applies and instead the document must be

delivered to the registrar on a form obtained from the registrar because the form must be on purple coloured paper.

(3) A form in Part 4 of Schedule 2 cannot be used to deliver a document to which this rule does not apply.”

Use of black ink for text inserted in a Schedule 2 form

16. Text inserted in a Schedule 2 form must be in black typescript or handwritten in black ink.

Name and registered number

17. (1) Where a Schedule 2 form provides for the name, proposed name, number or proposed number of the company to which they relate they must be provided within the relevant boxes on the form in black typescript or handwritten in black ink.

(2) If any enactment requires a document delivered to the Registrar contain the proposed name, name, proposed number or number of the company to which the document relates, they must be added in black typescript or handwritten in black ink.

18. (1) Subject to express provision to the contrary, a Schedule 2 form must be authenticated: -

(a) by the person or persons indicated on the form (b) where indicated on the form.

(c) by signature or by printed name.

(2) Where a Schedule 2 form is delivered to the registrar under section 1025(5)(a) of the 2006 Act (requirements for administrative restoration) a former director or former member is required to authenticate the form instead of the person or persons indicated on the form.

Authentication

18. (1) A Schedule 2 form and a Schedule 9 form must be authenticated

(a) by the person or persons indicated on the form

(b) where indicated on the form

(2) Subject to rule 19 and express legislative provision to the contrary (a) authentication may be either by printed name or signature (b) where there is reference to a signature on a Schedule 2 form or a Schedule 9 form, it may also be authenticated by printed name.

(c) where there is reference to authentication by printed name on a Schedule 2 form or a Schedule 9 form, it may be authenticated by signature.

(3) Where a Schedule 2 form is delivered to the registrar under section 1025(5)(a) of the 2006 Act (requirements for administrative restoration) a former director or former member is required to authenticate the form instead of the person or persons indicated on the form.

Print of name of applicant

19. (1) In an application section 1003 of the 2006 Act the applicant must authenticate the application by printing their name where indicated on the Schedule 2 form.

Signature on statement of guarantee by a parent undertaking

19A. (1) This rule applies to statements of guarantee by parent undertaking delivered to the registrar under sections 394A(2)(e), 448A(2)(e) or 479A(2)(e) of the 2006 Act (Form AA06 in Schedule 2).

(2) The 2006 Act requires the statement to be authenticated by the parent undertaking, and the registrar requires that authentication to be by way of the signature of the person making the statement on behalf of the parent undertaking where indicated on the form.

(3) The signature required by this rule is additional to the signature required by rule 18(1).

Chapter 3 Non-scheduled form documents delivered under the Companies Act 2006**Application of Chapter**

20. (1) Except as provided in paragraph (2), this Chapter applies to a non-scheduled form document delivered to the registrar under the 2006 Act.

(2) This Chapter does not apply to certified copies, verified copies or translations. Parts 9 and 10 impose requirements on certified copies and verified copies and translations, respectively.

White paper and black ink

21. (1) Except as provided in paragraph (2), a document to which this Chapter applies must be on white paper and the text of the document must be in black typescript or handwritten in black ink.

(2) This rule does not apply to the following documents-

Description of document(s)	Section of the 2006 Act or regulation under which the document is delivered to the registrar
Court order or direction	
Court order (but not the copy of the court order delivered under section 649(1)) (reduction of share capital)	Section 649(1)
Direction from the court dispensing with the need for a statement by the creditor	Section 887(2)
Charge documents	
Instrument by which charge is created or evidenced	Sections 860(1)

Deed containing the charge or one of the debentures of the series	Section 863(1)
Copy of the deed containing the charge or of one of the debentures of the series	Section 882(1)
Documents relating to disclosure of addresses	
Evidence relating to an application under section 243(4) of the 2006 Act	Regulation 5(3)(b), 6(3)(b), 7(3)(b) or 8(1) of the Address Regulations
Notification that a person wishes a decision under section 243 to cease to apply	Regulation 15(1)(a) of the Address Regulations
Evidence relating to an application under section 790ZF of the 2006 Act	Regulations 25(4), 26(6), 27(6) and 28(1)(a) of the Company PSC Regulations
Notification that a person wishes a determination relating to section 790ZF to cease to have effect	Regulation 31(a) of the Company PSC Regulations
Representations as to why a revocation decision should not be made	Regulation 16(4) of the Address Regulations or Regulation 32(3) of the Company PSC Regulations
Evidence relating to an application under section 1088 of the 2006 Act	The Address Regulations
Documents relating to disclosure of information relating to some people with significant control	
Notification that a person wishes a determination relating to section 790ZG and section 1088 to cease to have effect	Regulation 43(1)(a) of the Company PSC Regulations
Representations as to why a revocation decision should not be made in relation to a determination relating to section 790ZG and section 1088	Regulation 44(3) of the Company PSC Regulations
Rectification of the register	
Evidence to show that a person consented to act as a director of the company	Section 1095(4B) and regulation 5(15C) of the Registrar Regulations
Documents or information supporting an application to change the address of a company's registered office	Section 1097A (2) and regulation 3(d) of the Companies (Address of Registered Office) Regulations 2015
Evidence showing that the company is authorised to use the address as its registered office	Section 1097A (2) and regulation 6(d)(ii) of the Companies (Address of Registered Office) Regulations 2015

Name and registered number

22. (1) A document specified in the following table is required by legislation to contain the name or name and number of the company to which the document relates.

Description of document	Section of the 2006 Act or regulation under which the document is delivered to the registrar	What is required by legislation?
Memorandum of association		
Memorandum of association	Section 9(1)	Name
Form of assent		
Form of assent	Section 103(2)(a) or section 110(2)(a)	Name and number
Rectification of register		
Objection to an application for rectification of the register (objection made by the company)	Section 1095 and regulation 5(9) of the Registrar Regulations	Name

(2) A document which is specified in the following table must contain the name and registered number of the company to which the document relates.

Description of document(s)	Section of the 2006 Act or regulation under which the document is delivered to the registrar
Constitution	
Copy of resolution or agreement	Section 30(1), 94(2)(a), 100(2)(a), 106(2)(a), 602(1), 664(4)(a)
Copy of amended articles	Section 26(1)
Orders charging land: Northern Ireland	
Copies of an order (made under Article 46 of the Judgments Enforcement (Northern Ireland) Order 1981 ⁷) or of any notice (under Article 48 of the 1981 Order)	Section 868(1)

⁷ S.I. 1981/226 (NI 6). ¹² S.I. 2009/1085.

Copies of an order made under Article 46 of the Judgments Enforcement (Northern Ireland) Order 1981	Section 868(2)
Names	
Copy of any response received from a government department or other body (in connection with a change in a company's name)	Section 56(4)(b)
Copy of the written statement consenting to the same name but only when delivered in connection with a change in a company's name	Regulation 8(2)(c) of the Company and Business Names (Miscellaneous Provisions) Regulations 2009 ¹²
Auditor ceasing to hold office information	
Copy of notice of resignation of auditor	Section 517(1)
Copy of statement by auditor under section 520 of the circumstances connected with his ceasing to hold office	Section 521(1) and (2)
Second company audit	
Copy of report under section 1248(2)(b) on whether a second audit is needed	Section 1248(6)(a)
Valuer's report	
Copy of valuer's report	Section 602(1)
Statements by directors	
Statement by the directors in connection with redenomination	Section 627(6)
Copy of solvency statement	Section 644(1)
Statement by the directors about the solvency statement	Section 644(5)
Copy of directors' statement and auditor's report required by section 714 of the 2006 Act	Section 719(4)
Draft terms	
Copy of draft terms (merger)	Section 906(1)
Details of website where draft terms of merger published	Section 906A (4)
Copy of draft terms (division)	Section 921(1)

Details of website where draft terms of division published	Section 921A (4)
Dissolved company	
Notice of disclaimer of property vesting bona vacantia	Section 1013(6)
Written consent by Crown representative (administrative restoration of company)	Section 1025(3)
Accounts and related documents	
A written notice of agreement	Section 394A(2)(e), 448A(2)(e), or 479A(2)(e)

(3) A document which is specified in the following table must contain the name and registered number of the company to which the document relates. This is subject to the proviso in column (3) of the table.

(1)	(2)	(3)
Description of document(s)	Section of the 2006 Act or regulation under which the document is delivered to the registrar	Are name and registered number required?
Accounts and related documents		
Copy of parent undertaking's consolidated accounts, copy of the auditor's report on those accounts, and copy of the consolidated annual report drawn up by the parent undertaking	Section 394A(2)(e), 448A(2)(e) or 479A(2)(e)	Yes, the name and registered number of the subsidiary filing, but only required in one of the documents comprised in the parent's accounts
Copy of balance sheet or abbreviated accounts and (where applicable) copy of profit and loss account, copy of directors' report, directors' remuneration report and copy of auditor's report	Section 441(1)	Yes, but only required in one of the documents
Copy of annual accounts in euros	Section 469(2)	Yes, but only required in one of the documents comprised in the annual accounts

Copy of interim accounts	Section 838(6)	Yes, but only required in one of the documents comprised in the interim accounts
Copy of initial accounts, auditor's report and (where applicable) any auditor's statement	Section 839(7)	Yes, but only required in one of the documents
Revised accounts or revised report (As those terms are defined in the Companies (Revision of Defective Accounts and Reports) Regulations 2008 ¹⁰) and (where applicable) copy of the auditor's report	Regulation 14(2) of the Companies (Revision of Defective Accounts and Reports) Regulations 2008	Yes, but where more than one of those documents is delivered at the same time under regulation 14(2) the name and registered number is only required in one of them

(4) A name or registered number which is required by paragraph (2) or paragraph (3) of this rule must be inserted in black typescript or handwritten in black ink in a prominent position in the document.

Authentication

23. (1) Subject to paragraph (2), a document specified in column 1 of the table delivered to the registrar must be authenticated by a person or persons specified in column 3 in accordance with the means specified and at the location specified in column 4 of the table. Column 2 references the provision of the 2006 Act under which the document is delivered to the registrar.

(2) The authentication must be applied to the document in a prominent position unless otherwise specified in the last column of the table.

(3) Where a printed name is given as a means of authentication it must in black typescript or handwritten in black ink.

Description of document	Section of the 2006 Act or regulation under which the document is delivered to the registrar	Person or persons who must sign	Location and means of authentication
Accounts and related documents			

¹⁰ 13 S.I 2008/373.

Copy of balance sheet	Section 94(2)(c)	Director	By signature at end of balance sheet
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Copy of balance sheet, abbreviated accounts, or annual accounts	Section 441(1)	Director	By signature at end of balance sheet
Copy of annual accounts	Section 469(2)	Director	By signature at end of balance sheet
Interim accounts	Section 838(6)	Director	By signature at end of balance sheet
Initial accounts	Section 839(7)	Director	By signature at end of balance sheet
Revised accounts or revised report (as those terms are defined in the Companies (Revision of Defective Accounts and	Regulation 14(2) of the Companies (Revision of Defective Accounts and Reports) Regulations 2008	Director	By signature in a prominent position on the document but where more than one of those documents is delivered at the same time under

Reports) Regulations 2008 and (where applicable) copy of the auditor's report			regulation 14(2) signature is only required on one of them
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Documents relating to disclosure of addresses			
Notification that a person wishes a decision under section 243 to cease to apply	Regulation 15(1)(a) of the Address Regulations	The section 243 beneficiary (as defined in the Address Regulations) or their personal representative	By printed name in a prominent position on the document
Representations from a company as to why a revocation decision should not be made (where the application under section 243 or section 1088 was	Regulation 16(4) of the Address Regulations	Director, secretary, or person authorised	By printed name in a prominent position on the document

made by a company)			
Representations from a person who is not a company as to why a revocation decision should not be made in relation to a section 243 decision or section 1088 decision	Regulation 16(4) of the Address Regulations	The section 243 beneficiary (as defined for the purposes of regulation 16 of the Address Regulations) or the section 1088 beneficiary (as defined in the Address Regulations)	By printed name in a prominent position on the document
Notification that a person wishes a determination relating to section 790ZF to cease to have effect	Regulation 31(a) of the Company PSC Regulations	The person to whom the determination relates or their personal representative	By printed name in a prominent position on the document
Representations from a company as to why a revocation decision should not be made in relation to a determination	Regulation 32(3) of the Company PSC Regulations	Director, secretary, or person authorised	By printed name in a prominent position on the document
relating to section 790ZF (where the application was made by a company)			

Representations from a person who is not a company as to why a revocation decision should not be made in relation to a determination relating to section 790ZF	Regulations 32(3) of the Company PSC Regulations	The person making the representations	By printed name in a prominent position on the document
Statements by directors			
Copy of solvency statement	Section 644(1)	Director, secretary, person authorised or CIC manager	By signature or printed name in a prominent position on the document
Statement by the directors about the solvency statement	Section 644(5)	All directors or a majority of the directors	By signature or printed name in a prominent position on the document
Copy of directors' statement	Section 719(4)	Director, secretary, person authorised or CIC manager	By signature in a prominent position on the document
Documents relating to disclosure of information relating to some people with significant control			
Notification that a person wishes a determination relating to section 790ZG and section 1088 to cease to have effect	Regulation 43(1)(a) of the Company PSC Regulations	The person to whom the determination relates or their personal representative	By printed name in a prominent position on the document
Representations from a company as to why a revocation decision should not be made in relation to a determination relating to section 790ZG and section 1088 (where the application was made by a company)	Regulation 44(3) of the Company PSC Regulations	Director, secretary, or person authorised	By printed name in a prominent position on the document

Representations from a person who is not a company as to	Regulation 44(3) of the Company PSC Regulations	The person making the representations	By printed name in a prominent position on the document
why a revocation decision should not be made in relation to a determination relating to section 790ZG and section 1088			
Draft terms			
Details of website where draft terms of merger published	Section 906A (4)	Director	By signature in a prominent position on the document
Details of website where draft terms of division published	Section 921A (4)	Director	By signature in a prominent position on the document

(2) Where a document specified in the table in paragraph (1) is delivered to the registrar under section 1025(5)(a) of the 2006 Act (requirements for administrative restoration) a former director or former member is required to sign the document instead of the person or persons specified in the table.

(3) Documents specified in column 1 of the table must be authenticated in accordance with column 2-

Description of Document	Authentication
Memorandum of association delivered to the registrar under section 9(1) of the Act	by signature or printed name
Form of assent (delivered to the registrar under section 103(2)(a) or 110(2)(a) of the 2006 Act.	By signature

Chapter 4 Documents delivered under the Companies (Audit, Investigations and Community Enterprise) Act 2004

White paper and black ink

25. (1) This rule applies to a document delivered to the registrar under the Companies (Audit, Investigations and Community Enterprise) Act 2004.

(2) A document to which this rule applies must be on white paper and the text of that document must be in black typescript or handwritten in black ink.

Chapter 5 Documents delivered under the Companies (Cross-Border Mergers) Regulations 2007

Application of Chapter

26. (1) This Chapter applies to a document delivered to the registrar under the Companies (Cross-Border Mergers) Regulations 2007⁸.

(2) This Chapter does not apply to translations. Part 10 imposes requirements on translations.

Schedule 3 forms

27. (1) Subject to rule 27(2)(b), the Schedule 3 forms are to be used to deliver to the registrar the particulars required by regulations 12(1) and 12A(4) of the Companies (Cross-Border Mergers) Regulations 2007 being the particulars of the date, time and place of every meeting summoned under regulation 11 and the particulars referred to in regulations 12(1)(c) and 12A(4).

(2) Two of the Schedule 3 forms are continuation forms for one of the other Schedule 3 forms. The top of the continuation form refers to “continuation page.” When in the circumstances indicated on a Schedule 3 form, the information to be inserted in that Schedule 3 form is to be continued, the information to be continued is to be inserted either-

(a) on the appropriate continuation form (and the type of information to be continued determines which continuation form is to be used); or

(b) on a document whose text is in black typescript or handwritten in black ink and on paper that complies with the following requirements-

Size	A4
Colour	White
Orientation	Portrait (shorter edge across the top)”

(3) A document for which a Schedule 3 form must be used must be reproduced as set out in Schedule 3 as to its text, layout and format and must be in black ink.

(4) A Schedule 3 form must be on paper that complies with the following requirements-

Size	A4
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⁸ S.I. 2007/2974 as amended by S.I. 2011/1606

Colour	White
Orientation	Portrait (Shorter edge across the top)

- (5) Text inserted in a Schedule 3 form must be in black typescript or handwritten in black ink.
- (6) A Schedule 3 form must be signed by a director of the merging company. The signature must be applied to the Schedule 3 form where indicated on the scheduled form.
- (7) The particulars referred to in regulation 12(1)(c) of the Companies (Cross– Border Mergers) Regulations 2007 (being particulars for which this rule requires a Schedule 3 form to be used) include the name and registered number of the company.

White paper and black ink for a non-scheduled form document

28. A non-scheduled form document to which this Chapter applies must be on white paper and the text of that document must be in black typescript or handwritten in black ink.

Name and registered number on some non-scheduled form documents

29. (1) A document which is specified in the following table must contain the name and registered number of the company to which the document relates.

Description of document(s)	Regulation of the Companies (Cross – Border Mergers) Regulations 2007 under which the document is delivered to the registrar
Copy of the order made under regulation 16 (court approval of merger)	Regulation 19(1)
Copy of the company’s articles or resolution or agreement (to accompany the court order delivered under regulation 19)	Regulation 20(1)

(2) A name and registered number which is required by this rule must be inserted in black typescript or handwritten in black ink in a prominent position in the document.

Application of Chapter

30. This Chapter applies to a copy of a consent delivered to the registrar under paragraph 11(4), 13(2), 13(3), 13(4) or 13(6)(b) of Schedule 1 to the Housing Act 1996.

White paper and black ink

31. A document to which this Chapter applies must be on white paper and the text of the document must be in black typescript or handwritten in black ink.

Name and registered number

32. (1) A document to which this Chapter applies must contain the name and registered number of the company to which the document relates.

(2) A name or registered number which is required by this rule must be inserted in black typescript or handwritten in black ink in a prominent position in the document.

Chapter 7 Documents delivered under the Insolvency Act Articles 13BE, 13CH, 13DM, 13DN, 13ED, 13EE of the Insolvency (Northern Ireland) Order 1989 Application of Chapter

32A. This Chapter applies to specified documents delivered to the registrar under the Insolvency Act 1986 Articles 13BE, 13CH, 13DM, 13DN, 13ED, 13EE of the Insolvency (Northern Ireland) Order 1989.

Schedule 9 forms for documents delivered under the Insolvency Act 1986 and Articles 13BE, 13CH, 13DM, 13DN, 13ED, 13EE of the Insolvency (Northern Ireland) Order 1989

32B. (1) This rule applies to specified documents delivered to the registrar under the Insolvency Act 1986 and Articles 13BE, 13CH, 13DM, 13DN, 13ED, 13EE of the Insolvency (Northern Ireland) Order 1989

(2) The Schedule 9 forms are to be used for documents delivered to the registrar under the legislative provisions which are referred to on those forms. For some legislative provisions there is more than one Schedule 9 form. The top of a Schedule 9 form refers to the circumstances in which it is to be used.

(3) A document for which a Schedule 9 form must be used must be reproduced as set out in Schedule 9 as to its text, layout and format and must be in black ink. (4) A Schedule 9 form must be on paper that complies with the following requirements-

Size	A4
Colour	White
Orientation	Portrait (Shorter edge across the top)

(5) Text inserted in a Schedule 9 form must be in black typescript or handwritten in black ink.

Schedule 9 forms for documents delivered under the Investment Bank Special Administration Regulations 2011

32C. (1) This rule applies to specified documents delivered to the registrar under the Investment Bank Special Administration Regulations 2011.

(2) In this rule-

- (a) any reference to a numbered column is a reference to a column in the table set out in paragraph (3);
- (b) any reference to a Schedule 9 form is to the specified form as amended pursuant to paragraph (3).

(3) The Schedule 9 forms specified in column 2 are to be used for documents delivered to the registrar under the legislative provisions specified in column 1 subject to the specified form being amended as required in column 3.

In column 1 of the following table-

- (a) any reference to a numbered rule is to the rule so numbered in the Investment Bank Special Administration (England and Wales) Rules 2011⁹;
- (b) any reference to a numbered regulation is to the regulation so numbered in the Investment Bank Special Administration Regulations 2011¹⁰.

(1) Rule or regulation under which the document is delivered to the registrar	(2) Form number and name	(3) Amendment
Rule 51(4)	2.12B(CH) Notice of administrator's appointment	• Replace 'Rule 2.27' with 'Rule 51(4)'. • Replace 'The Insolvency Act 1986' with 'The Investment Bank Special Administration Regulations 2011'.

Rule 54(7)	2.16B Notice of statement of affairs	• Replace 'Rule 2.29' with 'Rule 54(7)'. • Replace 'The Insolvency Act 1986' with 'The Investment Bank Special Administration Regulations 2011'.
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⁹ S.I. 2011/1301

¹⁰ S.I. 2011/245

Rule 59(1)	2.17B Statement of administrator's proposals	• Replace 'Rule 2.33' with 'Rule 59(1)'. • Replace 'The Insolvency Act 1986' with 'The Investment Bank Special Administration Regulations 2011'.
Rule 61(3)	2.18B(CH) Notice of extension of time period	• Replace 'Rule 2.33' with 'Rule 61(3)'. • Replace 'The Insolvency Act 1986' with 'The Investment Bank Special Administration Regulations 2011'. • Delete 'Paragraph 49(5)(b) of Schedule B1 of the Insolvency Act 1986 ("the Schedule") has been extended to (c)' • Delete 'Paragraph 50(1)(b) of the Schedule has been extended to (c)' • Replace 'Paragraph 51(2)(b) of the Schedule' with 'Paragraph 51(2)(b) of the Schedule as applied by regulation 15 of The Investment Bank Special Administration Regulations 2011'
Rule 65(1)	2.22B(CH) Statement of administrator's revised proposals	• Replace '2.45' with 'Rule 65(1)'. • Replace 'The Insolvency Act 1986' with 'The Investment Bank Special Administration Regulations 2011'.
Rule 67(a)	2.23B(CH) Notice of result of meeting of creditors	• Replace 'In accordance with Schedule B1, paragraph 53(2) of the Insolvency Act 1986' with 'Rule 67(a)'. • Replace 'The Insolvency Act 1986' with 'The Investment Bank Special Administration Regulations 2011'.
Rule 123(1)(b)	2.24B(CH) Administrator's progress report	• Replace 'Rule 2.47' with 'Rule 123(1)(b)'. • Replace 'The Insolvency Act 1986' with 'The Investment Bank Special Administration Regulations 2011'.
Rule 105	2.26B(CH) [Amended] Certificate of	• Replace 'Rule 2.51' with 'Rule 105'.

	constitution of creditors committee	• Replace ‘The Insolvency Act 1986’ with ‘The Investment Bank Special Administration Regulations 2011’.
Rule 131(5)	2.28B Notice of order to deal with charged property	• Replace ‘Rule 2.66’ with ‘Rule 131(5)’. • Replace ‘The Insolvency Act 1986’ with ‘The Investment Bank Special Administration Regulations 2011’.
Rule 223(1)	2.33B Notice of court order ending administration	• Replace ‘Rule 2.116’ with ‘Rule 223(1)’. • Replace ‘The Insolvency Act 1986’ with ‘The Investment Bank Special Administration Regulations 2011’.
Rule 224(1)	2.35B Notice of move from administration to dissolution	• Replace ‘Rule 2.118’ with ‘Rule 224(1)’. • Replace ‘The Insolvency Act 1986’ with ‘The Investment Bank Special Administration Regulations 2011’. • Replace ‘paragraph 84(1) of Schedule B1 to the Insolvency Act 1986’ with ‘paragraph 84(1) of Schedule B1 to the Insolvency Act 1986 as applied by regulation 15 of The Investment Bank Special Administration Regulations 2011’
Regulation 15	2.36B Notice to registrar of companies in respect of date of dissolution	• Replace ‘Rule 2.118’ with ‘Paragraph 84(8) of Schedule B1 of the Insolvency Act 1986, as applied by regulation 15 of The Investment Bank Special Administration Regulations 2011’. • Replace ‘The Insolvency Act 1986’ with ‘The Investment Bank Special Administration Regulations 2011’. • Replace ‘paragraph 84(7) of Schedule B1 to the Insolvency Act 1986’ with ‘paragraph 84(7) of Schedule B1 to the Insolvency Act 1986 as applied by regulation 15 of The Investment Bank Special Administration Regulations 2011’

Rule 208(5)	2.38B(CH) Notice of resignation by administrator	• Replace ‘Rule 2.121’ with ‘Rule 208(5)’. • Replace ‘The Insolvency Act 1986’ with ‘The Investment Bank Special Administration Regulations 2011’.
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Rule 209(6), 210(a) or 211(2)	2.39B Notice of vacation of office by administrator	• Replace ‘Rule 2.122’ with ‘Rule 209(6), Rule 210(a), Rule 211(2)’. • Replace ‘The Insolvency Act 1986’ with ‘The Investment Bank Special Administration Regulations 2011’.
Rule 218(1)	2.40B Notice of appointment of replacement / additional administrator	• Replace ‘Rule 2.128’ with ‘Rule 218(1)’. • Replace ‘The Insolvency Act 1986’ with ‘The Investment Bank Special Administration Regulations 2011’.
Rule 32(7) or 50(3)(d)	4.15A Notice of appointment of provisional liquidator in winding up by the court	• Replace ‘Rule 4.26’ with ‘Rule 32(7), Rule 50(3)(d)’. • Replace ‘The Insolvency Act 1986’ with ‘The Investment Bank Special Administration Regulations 2011’. • Replace ‘Notice of appointment of provisional liquidator in winding up by the court’ with ‘Notice of appointment of provisional administrator by the court.’ • Replace all references to ‘provisional liquidator’ with ‘provisional administrator.’
Rule 36(5) or 50(7)	F4.39 Notice of termination of appointment of provisional liquidator	• Replace ‘Rule 4.31’ with ‘Rule 36(5), Rule 50(7)’. • Replace ‘The Insolvency Act 1986’ with ‘The Investment Bank Special Administration Regulations 2011’. • Replace all references to ‘provisional liquidator’ with ‘provisional administrator.’ • Delete ‘winding up petition date.’

Rule 188(3)(a)	F10.2 Notice to registrar of companies of notice of disclaimer under section 178 of the Insolvency Act 1986	• Replace ‘Rule 4.187’ with ‘Rule 188(3)(a)’. • Replace ‘The Insolvency Act 1986’ with ‘The Investment Bank Special Administration Regulations 2011’. • Replace ‘Section 178 of the Insolvency Act 1986’ with ‘Section 178 of the Insolvency Act 1986 as applied by regulation 15 of The Investment Bank Special Administration Regulations 2011’. • Replace all references to ‘liquidator’ with ‘administrator.’
Rule 249(5)	12.1	• Replace ‘Rule 12A.57’ with ‘Rule 249(5)’.
	Notice to registrar of companies in respect of order under section 176A	• Replace ‘The Insolvency Act 1986’ with ‘The Investment Bank Special Administration Regulations 2011’. • Replace references to ‘Section 176A’ with ‘Section 176A as applied by regulation 15 of The Investment Bank Special Administration Regulations 2011’. • Replaces references to ‘Section 176A of the Insolvency Act 1986’ with ‘Section 176A of the Insolvency Act 1986 as applied by regulation 15 of The Investment Bank Special Administration Regulations 2011’.

(4) Subject to the amendments specified in paragraph (3), a document for which a Schedule 9 form must be used must be reproduced as set out in Schedule 9 as to its text, layout and format and must be in black ink.

(5) A Schedule 9 form must be on paper that complies with the following requirements

Size	A4
Colour	White
Orientation	Portrait (shorter edge across the top)

(6) Text inserted in a Schedule 9 form must be in black typescript or handwritten in black ink.

White paper and black ink for a non-scheduled form document delivered under the Insolvency Act 1986 and Articles 13BE, 13CH, 13DM, 13DN, 13ED, 13EE of the Insolvency (Northern Ireland) Order 1989

32D. (1) This rule applies to a non-scheduled form document delivered to the registrar under the Insolvency Act 1986 and Articles 13BE, 13CH, 13DM, 13DN, 13ED, 13EE of the Insolvency (Northern Ireland) Order 1989 other than an excluded insolvency document.

(2) A non-scheduled form document to which this rule applies must be on white paper and the text of that document must be in black typescript or handwritten in black ink.

**PART 4 FORM AND AUTHENTICATION OF DOCUMENTS RELATING TO
A
SECTION 1040 COMPANY**

Chapter 1 Application

Application and interpretation of Part

33. (1) This Part imposes requirements as to the form and authentication of documents.

(2) This Part applies to specified documents delivered to the registrar which relate to a section 1040 company and this Part shall be construed accordingly.

(3) Parts 9 and 10 impose requirements on certified copies and verified copies and translations, respectively.

(4) For a replacement document, Part 11 imposes requirements that are in addition to the requirements imposed by this Part.

Definition

34. In this Part- “application for registration” means an application for registration of a section 1040 company delivered to the registrar under section 1040 of the 2006 Act.

**Chapter 2 Documents in Schedule 4 form relating to an application for
registration of a section 1040 company**

Application of Chapter

35. This Chapter imposes requirements as to the use of a Schedule 4 form for specified documents relating to an application for registration.

Schedule 4 forms

36. (1) Subject to rule 37(2)(b), the forms in Part 1 of Schedule 4 are to be used for

-

(a) an application for registration – delivered to the registrar under Part 2 of the Companies (Companies Authorised to Register) Regulations 2009 other than: (i) documents referred to in regulations 4(4)(b), 7(3) and 9(4) of those Regulations, and (ii) a statement delivered to the registrar under section 56(3)(a) or section 60(2) of the 2006 Act.

b) notifying the registrar of a change of registered office address pursuant to section 86 of the 2006 Act as applied by regulation 19 of the Companies (Companies Authorised to Register) Regulations 2009.

(c) submitting a confirmation statement pursuant to section 853A of the 2006 Act as applied by regulation 19 of the Companies (Companies Authorised to Register) Regulations 2009.

(2) The forms in Part 2 of Schedule 4 are to be used for an application delivered to the registrar under regulation 7 of the Address Regulations as applied to section 1040 companies by regulation 7(3) of the Companies (Companies Authorised to Register) Regulations 2009.

Continuation forms

37. (1) Some of the Schedule 4 forms are continuation forms for other Schedule 4 forms. The top of a continuation form refers to “continuation page.”

(2) When in the circumstances indicated on a Schedule 4 form, the information to be inserted in that Schedule 4 form is to be continued, the information to be continued is to be inserted either-

(a) on the appropriate continuation form (and the type of information to be continued determines which continuation form is to be used); or

(b) subject to rule 37(3), on a document whose text is in black typescript or handwritten in black ink and on paper that complies with the following requirements-

Size	A4
Colour	White
Orientation	Portrait (shorter edge across the top)”

(3) Rule 37(2)(b) does not apply where the information to be continued includes the usual residential address of a director. The appropriate continuation form must be used for that information.

Reproduction of a Schedule 4 form

38. (1) Subject to rule 40, a document for which a Schedule 4 form must be used must be reproduced as set out in Schedule 4 as to its text, layout and format and must be in black ink.

(2) Subject to rule 40, a Schedule 4 form must be on paper that complies with the following requirements-

Size	A4
Colour	White
Orientation	Portrait (Shorter edge across the top)

Barcode on some Schedule 4 forms which contain a usual residential address, date of birth, unique identifier or statement confirming identity verification

39. (1) This rule applies to a form in Part 1 of Schedule 4 which is required by these rules to be used to deliver a document which is required by Part 2 of the Companies (Companies Authorised to Register) Regulations 2009 to contain the usual residential address, date of birth, unique identifier or statement confirming identity verification relating to a director. A Schedule 4 form to which this rule applies must contain the barcode indicated on that form in Schedule 4.

(2) A person reproducing that form for delivery to the registrar can obtain an image of the barcode from the registrar.

(3) The barcode must be included in the Schedule 4 form in the format supplied by the registrar including it being in black ink, 13.5 mm high and 70.5 mm wide.

(4) The barcode must be set out in the location indicated on the Schedule 4 form being as follows-

(a) 41mm from the left edge of the page,

(b) 47.5mm from the top edge of the page, (c) 236mm from the bottom of the page; and (d) 98.55mm from the right edge of the page.

Orange coloured forms to be obtained from the registrar

40. A document for which a form is provided in Part 2 of Schedule 4 must be delivered to the registrar on a form obtained from the registrar because the form must be on orange coloured paper.

Use of black ink for text inserted in a Schedule 4 form

41. Text inserted in a Schedule 4 form must be in black typescript or handwritten in black ink.

Authentication

42. (1) A Schedule 4 form must be authenticated

(a) by the person or persons indicated on the form

(b) where indicated on the form

(2) Subject to express legislative provision to the contrary

(a) authentication may be either by printed name or signature

(b) where there is reference to a signature on a Schedule 4 form, the form may also be authenticated by printed name.

(c) where there is reference to authentication by printed name on a Schedule 4 form, the form may be authenticated by signature.

Chapter 3 Non-scheduled form documents relating to an application for registration of a section 1040 company

Application of Chapter

43. This Chapter applies to a non-scheduled form document relating to an application for registration delivered to the registrar under

(a) Part 2 of the Companies (Companies Authorised to Register) Regulations 2009 other than regulation 7(3) of those Regulations, or

- (b) section 56(3)(b) of the 2006 Act or regulation 8(2) of the Company and Business Names (Miscellaneous Provisions) Regulations 2009.

White paper and black ink

44. A document to which this Chapter applies must be on white paper and the text of the document must be in black typescript or handwritten in black ink.

Chapter 4 Documents (other than documents relating to an application for registration of a section 1040 company) delivered under the Companies (Companies Authorised to Register) Regulations 2009 or the Companies Act 2006

Application of Chapter

45. (1) Except as provided in paragraphs (2) and (3), this Chapter applies to documents delivered to the registrar under
- (a) Part 3 of the Companies (Companies Authorised to Register) Regulations 2009, or
 - (b) the 2006 Act.
- (2) This Chapter does not apply to documents relating to an application for registration of a section 1040 company. Chapters 2 and 3 of this Part impose requirements on such documents.
- (3) This Chapter does not apply to certified copies, verified copies or translations. Parts 9 and 10 impose requirements on certified copies and verified copies and translations, respectively.

Requirements as to form and authentication

46. (1) A document to which this Chapter applies must comply with the applicable provisions in Part 3 of these rules. In determining which of those provisions is applicable to a particular document to which this Chapter applies, any reference in a rule in Part 3, in a Schedule 2 form or a Schedule 3 form to a legislative provision shall, unless the provision is directly applicable to a section 1040 company, be read as a reference to that legislative provision as applied to section 1040 companies by the Companies (Companies Authorised to Register) Regulations 2009.
- (2) In the application of any provision of Part 3 or a Schedule 2 form or Schedule 3 form to a section 1040 company by virtue of this rule, any reference to a company shall be read as a reference to a section 1040 company.

Chapter 5

Documents delivered under the Insolvency Act 1986 and Articles 13BE, 13CH, 13DM, 13DN, 13ED, 13EE of the Insolvency (Northern Ireland) Order 1989

Application of Chapter

- 46A. (1) Except as provided in paragraph (2), this Chapter applies to documents delivered to the registrar under the Insolvency Act 1986 and Articles 13BE, 13CH, 13DM, 13DN, 13ED, 13EE of the Insolvency (Northern Ireland) Order 1989. (2) This Chapter does not apply to an excluded insolvency document.

Requirements as to form and authentication

46B. (1) A document to which this Chapter applies must comply with the applicable provisions in Chapter 7 of Part 3 of these rules.

(2) In the application of any provision of Chapter 7 of Part 3 or a Schedule 9 form to a section 1040 company by virtue of this rule, any reference to a company shall read as a reference to a section 1040 company.

PART 5 FORM AND AUTHENTICATION OF DOCUMENTS RELATING TO AN UNREGISTERED COMPANY**Chapter 1 Application****Application and interpretation of Part**

47. (1) This Part imposes requirements as to the form and authentication of documents.

(2) This Part applies to specified documents delivered to the registrar which relate to an unregistered company and this Part shall be construed accordingly.

(3) Part 10 imposes requirements on translations.

(4) For a replacement document, Part 11 imposes requirements that are in addition to the requirements imposed by this Part.

Definition

48. In this Part- “annual return” means an annual return relating to an unregistered company delivered to the registrar under section 854 of the 2006 Act as applied to unregistered companies by regulation 3 of the Unregistered Companies Regulations 2009¹⁴.

Chapter 2 Annual Return Application of Chapter

49. (1) This Chapter imposes requirements as to the use of a Schedule 5 form for an annual return.

Schedule 5 forms

50. Subject to rule 51(2)(b), the Schedule 5 forms are to be used to deliver (a) an annual return to the registrar.

(b) notification of a change of registered office address to the registrar pursuant to section 86 of the 2006 Act as applied by the regulation 3 and Schedule 1 of the Unregistered Companies Regulations 2009

(c) submitting a confirmation statement pursuant to section 853A of the 2006 Act as applied by regulation 3 and Schedule 1 of the Unregistered Companies Regulations 2009.

Continuation forms

51. (1) Some of the Schedule 5 forms are continuation forms for one of the other Schedule 5 forms. The top of a continuation form refers to “continuation page.” (2) When in the circumstances indicated on a Schedule 5 form, the information to be

inserted in that Schedule 5 form is to be continued, the information to be continued is to be inserted either-

- (a) on the appropriate continuation form (and the type of information to be continued determines which continuation form is to be used); or
- (b) on a document whose text is in black typescript or handwritten in black ink and on paper that complies with the following requirements-

Size	A4
Colour	White
Orientation	Portrait (shorter edge across the top)”

¹⁴ 17 S.I. 2009/2436.

Reproduction of a Schedule 5 form

52. (1) A Schedule 5 form must be reproduced as set out in Schedule 5 as to its text, layout and format and must be in black ink.

(2) A Schedule 5 form must be on paper that complies with the following requirements-

Size	A4
Colour	White
Orientation	Portrait (Shorter edge across the top)

Use of black ink for text inserted in a Schedule 5 form

53 Text inserted in a Schedule 5 form must be in black typescript or handwritten in black ink.

Name

54. (1) A Schedule 5 form must contain the name of the unregistered company to which the Schedule 5 form relates.

(2) The name must be inserted in black typescript or handwritten in black ink in the name box on the Schedule 5 form.

Authentication

55. (1) A Schedule 5 form must be authenticated

- (a) by the person or persons indicated on the form
- (b) where indicated on the form

(2) Subject to express legislative provision to the contrary

- (a) authentication may be either by printed name or signature
- (b) where there is reference to a signature on a Schedule 5 form, the form may also be authenticated by printed name.
- (c) where there is reference to authentication by printed name on a Schedule 5 form, the form may be authenticated by signature.

Chapter 3 Documents (other than Schedule 5 documents) delivered under the Unregistered Companies Regulations 2009

Application of Chapter

56. (1) Except as provided in paragraph (2), this Chapter applies to documents delivered to the registrar under the Unregistered Companies Regulations 2009.

(2) This Chapter does not apply to an annual return. Chapter 2 imposes requirements on an annual return.

Definition

57. In this Chapter- “instrument constituting or regulating the company” means any enactment, royal charter, letters patent, deed of settlement, contract of partnership, or other instrument constituting or regulating the unregistered company.

Requirements as to form and authentication

58. (1) A document to which this Chapter applies must comply with the applicable provisions in Chapters 2 or 3 of Part 3 of these rules. In determining which of those provisions is applicable to a particular document to which this Chapter applies, any reference in a rule in Chapters 2 or 3 of Part 3 or in a Schedule 2 form to a legislative provision shall be read as a reference to that legislative provision as applied to unregistered companies by the Unregistered Companies Regulations 2009.

(2) In the application of any provision of Chapters 2 or 3 of Part 3 or a Schedule 2 form to an unregistered company by virtue of this rule-

- (a) any reference to a company shall be read as a reference to an unregistered company,
- (b) any reference to registered number shall be deleted,
- (c) the reference in rule 22(2) to amended articles shall be read as a reference to any instrument constituting or regulating the company,
- (d) the reference in rule 23(1) and in a Schedule 2 form to CIC manager shall be deleted,
- (e) the reference in rule 23(1) and in a Schedule 2 form to receiver and manager (appointed under section 18 of the Charities Act 1993) shall be deleted,
- (f) the reference in rule 23(1) and in a Schedule 2 form to judicial factor shall be deleted,
- (g) any reference in a Schedule 2 form to a company’s registered office shall be read as a reference to the unregistered company’s principal office in the United Kingdom,
- (h) any reference in a Schedule 2 form to a public company shall be read as a reference to an unregistered company which has power under the instrument constituting or regulating it to offer its shares or debentures to the public, and (i) any reference in a Schedule 2 form to a private company shall be read as a reference to an unregistered company which does not have power to offer its shares or debentures to the public.

Chapter 4 Documents delivered under the Companies (Cross-Border Mergers) Regulations 2007 Application of Chapter

59. (1) This Chapter applies to a document delivered to the registrar under the Companies (Cross-Border Mergers) Regulations 2007.

(2) This Chapter does not apply to translations. Part 10 imposes requirements on translations.

Schedule 6 forms

60. (1) Subject to rule 60(2)(b), the Schedule 6 forms are to be used to deliver to the registrar the particulars required by regulations 12(1) and 12A(4) of the Companies (Cross-Border Mergers) Regulations 2007 being the particulars of the date, time and place of every meeting summoned under regulation 11 and the particulars referred to in regulations 12(1)(c) and 12A(4).

(2) Two of the Schedule 6 forms are continuation forms for one of the other Schedule 6 forms. The top of the continuation form refers to “continuation page.” When in the circumstances indicated on a Schedule 6 form, the information to be inserted in that Schedule 6 form is to be continued, the information to be continued is to be inserted either-

- (a) on the appropriate continuation form (and the type of information to be continued determines which continuation form is to be used); or
- (b) on a document whose text is in black typescript or handwritten in black ink and on paper that complies with the following requirements-

Size	A4
Colour	White
Orientation	Portrait (shorter edge across the top)”

(3) A document for which a Schedule 6 form must be used must be reproduced as set out in Schedule 6 as to its text, layout and format and must be in black ink.

(4) A Schedule 6 form must be on paper that complies with the following requirements-

Size	A4
Colour	White
Orientation	Portrait (Shorter edge across the top)

(5) Text inserted in a Schedule 6 form must be in black typescript or handwritten in black ink.

(6) A Schedule 6 form must be signed by a director of the merging unregistered company. The signature must be applied to the Schedule 6 form where indicated on the scheduled form.

White paper and black ink for a non-scheduled form document

61. A non-scheduled form document to which this Chapter applies must be on white paper and the text of that document must be in black typescript or handwritten in black ink.

Name on some non-scheduled form documents

62. (1) A document which is specified in the following table must contain the name of the unregistered company to which the document relates.

Description of document(s)	Regulation of the Companies (Cross – Border Mergers) Regulations 2007 under which the document is delivered to the registrar
Copy of the order made under regulation 16 (court approval of merger)	Regulation 19(1)
Copy of the company's articles or resolution or agreement (to accompany the court order delivered under regulation 19)	Regulation 20(1)

(2) A name which is required by this rule must be inserted in black typescript or handwritten in black ink in a prominent position in the document.

Chapter 5 Documents delivered under the Insolvency Act 1986**Application of Chapter**

62A. (1) Except as provided in paragraph (2), this Chapter applies to documents delivered to the registrar under the Insolvency Act 1986. (2) This Chapter does not apply to an excluded insolvency document.

Requirements as to form and authentication

62B. (1) A document to which this Chapter applies must comply with the applicable provisions in Chapter 7 of Part 3 of these rules. In determining which of those provisions is applicable to a particular document to which this Chapter applies, any reference in a rule in Part 3 or in a Schedule 9 form to a legislative provision shall be read as a reference to that legislative provision as applied to unregistered companies by section 221 of the Insolvency Act 1986.

(2) In the application of any provision of Chapter 7 of Part 3 or a Schedule 9 form to an unregistered company by virtue of this rule, any reference to a company shall be read as a reference to an unregistered company.

**Chapter 6 Documents delivered following registration with the registrar
Requirements under Part 6 of Schedule 2**

62C. (1) This rule applies to documents delivered under paragraph 1 of Schedule 1, in section 26 of the Companies Act 2006 (registrar to be sent copy of company's constitution) as applied to unregistered companies by that paragraph as amended by the Companies Authorised to Register, Unregistered Companies and Overseas Companies (Application of Company Law) Regulations 2025, in relation to a statement of initial significant control.

(2) The form in Part 5 of Schedule 2 must be submitted at the same time as a form under this Chapter.

(3) In respect of (2) The required particulars of the individual named as having significant control of the company are included with the knowledge of that individual.

PART 6 FORM AND AUTHENTICATION OF DOCUMENTS RELATING TO AN OVERSEAS COMPANY

Chapter 1 Application

Application and interpretation of Part

63. (1) This Part imposes requirements as to the form and authentication of documents.

(2) This Part applies to specified documents delivered to the registrar which relate to an overseas company and this Part shall be construed accordingly.

(3) Parts 9 and 10 impose requirements on certified copies and translations, respectively.

(4) For a replacement document, Part 11 imposes requirements that are in addition to the requirements imposed by this Part.

Definition

64. In this Part-

“The Overseas Companies Regulations” means the Overseas Companies Regulations 2009¹⁵.

Chapter 2 Documents in Schedule 7 form delivered under the Companies Act 2006

Application of Chapter

65. This Chapter imposes requirements as to the use of a Schedule 7 form for specified documents delivered to the registrar under the 2006 Act.

Schedule 7 forms

66. (1) Subject to rule 67(2)(b), the Schedule 7 forms are to be used for documents delivered to the registrar under the legislative provisions which are referred to on those forms.

¹⁵ 18 S.I. 2009/1801.

(2) For some legislative provisions there is more than one Schedule 7 form. The top of a Schedule 7 form refers to the circumstances in which it is to be used.

Continuation forms

67. (1) Some of the Schedule 7 forms are continuation forms for other Schedule 7 forms. The top of a continuation form refers to “continuation page.”

(2) When in the circumstances indicated on a Schedule 7 form, the information to be inserted in that Schedule 7 form is to be continued, the information to be continued is to be inserted either-

- (a) on the appropriate continuation form (and the type of information to be continued determines which continuation form is to be used); or
- (b) subject to rule 67(3), on a document whose text is in black typescript or handwritten in black ink and on paper that complies with the following requirements-

Size	A4
Colour	White
Orientation	Portrait (shorter edge across the top)”

(3) Rule 67(2)(b) does not apply where the information to be continued includes the usual residential address of a director or permanent representative. The appropriate continuation form must be used for that information.

Reproduction of a Schedule 7 form

68. (1) Subject to rule 70, a document for which a Schedule 7 form must be used must be reproduced as set out in Schedule 7 as to its text, layout and format and must be in black ink.

(2) Subject to rule 70, a Schedule 7 form must be on paper that complies with the following requirements-

Size	A4
Colour	White
Orientation	Portrait (Shorter edge across the top)

Barcode on some Schedule 7 forms which contain a usual residential address, date of birth, unique identifier or statement confirming identity verification

69. (1) This rule applies to a form in Part 1 of Schedule 7 which is required by these rules to be used to deliver a document which is required by the 2006 Act to contain the usual residential address, date of birth, unique identifier or statement confirming identity verification relating to a director or permanent representative. (2) A Schedule 7 form to which this rule applies must contain the barcode indicated on that form in Schedule 7.

(3) A person reproducing that form for delivery to the registrar can obtain an image of the barcode from the registrar.

(4) The barcode must be included in the Schedule 7 form in the format supplied by the registrar including it being in black ink, 13.5 mm high and 70.5 mm wide.

(5) The barcode must be set out in the location indicated on the Schedule 5 form being as follows-

(a) 41mm from the left edge of the page,

(b) 47.5mm from the top edge of the page, (c) 236mm from the bottom of the page; and (d) 98.55mm from the right edge of the page.

Orange coloured forms to be obtained from the registrar

70. A document for which a form is provided in Part 2 of Schedule 7 must be delivered to the registrar on a form obtained from the registrar because the form must be on orange coloured paper.

Use of black ink for text inserted in a Schedule 7 form

71. Text inserted in a Schedule 7 form must be in black typescript or handwritten in black ink.

Name and registered number

72. (1) A document specified in the following table (being one for which these rules require a Schedule 7 form to be used) is required by legislation to contain the company's name, name of UK establishment or registered number of the overseas company to which the document relates.

Description of document	Section of the 2006 Act or regulation under which the	What is required by legislation?
	document is delivered to the registrar	
Opening of a UK establishment		
Return of opening of a UK establishment	Regulation 4(1) (a) of the Overseas Companies Regulations	Company's name and name of the establishment if different from the name of the company
Returns of alterations		
Return of alteration in particulars	Regulation 13(1) and (5) of the Overseas Companies Regulations	Company's name, company's registered number, the name (if different from the company's name) and registered number of each UK establishment to which the return relates

Return of alteration in overseas company's constitution	Regulation 14(1) and (5) of the Overseas Companies Regulations	Company's name, company's registered number, the name (if different from the company's name) and registered number of each UK establishment to which the return relates
Return of alteration as regards filing of certified copy of constitution	Regulation 15(2) and (4) of the Overseas Companies Regulations	Company's name, company's registered number, the name (if different from the company's name) and registered number of each UK establishment to which the return relates
Return of alteration of manner of compliance with accounting requirements	Regulation 16(2) and (4) of the Overseas Companies Regulations	Company's name, company's registered number, the name (if different from the company's name) and registered number of each UK establishment to which the return relates
Document relating to disclosure of addresses		
Application for higher protection	Regulation 25(1) and paragraph 3 of Schedule 3 of the Overseas Companies Regulations	Name and registered number
Rectification of register		
Application for rectification of the register (application by an overseas company)	Section 1095 as applied to overseas companies by section 1059A (5) of the 2006 Act and regulation 4	Name
	of the Registrar Regulations	

(2) The following documents (being ones for which these rules require a Schedule 7 form to be used) are required by legislation to contain the company's name and the registrar requires those documents to contain the registered number of the overseas company to which the document relates.

Description of document	Regulation of the Overseas Companies Regulations under which the document is delivered to the registrar
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Returns in case of insolvency proceedings	
Return in case of winding up	Regulation 69(1)
Return in case of insolvency proceedings etc (other than winding up)	Regulation 71(1)
Return in case of cessation of insolvency proceedings (other than winding up)	Regulation 71(3)

(3) All other Schedule 7 forms must contain the name and registered number of the overseas company to which the Schedule 7 form relates.

(4) The name and registered number must be inserted in black typescript or handwritten in black ink in the name and number boxes on the Schedule 7 form.

Authentication

73. (1) A Schedule 7 form must be authenticated

(a) by the person or persons indicated on the form

(b) where indicated on the form

(2) Subject to express legislative provision to the contrary

(a) authentication may be either by printed name or signature

(b) where there is reference to a signature on a Schedule 7 form, the form may be authenticated by printed name.

(c) where there is reference to authentication by printed name on a Schedule 7 form, the form may be authenticated by signature.

Chapter 3 Non-scheduled form documents delivered under the Companies Act 2006

Application of Chapter

74. (1) Except as provided in paragraph (2), this Chapter applies to a non-scheduled form document delivered to the registrar under the 2006 Act.

(2) This Chapter does not apply to certified copies or translations. Parts 9 and 10 impose requirements on certified copies and translations, respectively.

White paper and black ink

75. (1) Except as provided in paragraph (2), a document to which this Chapter applies must be on white paper and the text of the document must be in black typescript or handwritten in black ink.

(2) This rule does not apply to the following documents-

Description of document(s)	Section of the 2006 Act or regulation under which the document is delivered to the registrar
Documents relating to disclosure of addresses	

Evidence relating to an application for higher protection	Regulation 25 and paragraphs 2, 3 and 4(1) of Schedule 3 to the Overseas Companies Regulations
Notification that a person wishes a decision on an application for higher protection to cease to apply	Regulation 25 and paragraph 6(a) of Schedule 3 to the Overseas Companies Regulations
Representations as to why a revocation decision should not be made	Paragraph 7 of Schedule 3 to the Overseas Companies Regulations or regulation 16(4) of the Address Regulations
Evidence relating to an application under section 1088 of the 2006 Act as applied to overseas companies by section 1059A (5) of the 2006 Act	Regulation 11(3)(b) and 12(1) of the Address Regulations

Name and registered number

76. (1) An objection by an overseas company is required by legislation to contain the name of the overseas company to which the document relates.

In this paragraph-

“an objection by an overseas company” means an objection by an overseas company to an application for rectification of the register (objection delivered to the registrar under section 1095 of the 2006 Act (as applied to overseas companies by section 1059A (5) of the 2006 Act) and regulation 5(9) of the Registrar Regulations).

(2) A document which is specified in the following table must contain the name and registered number of the overseas company to which the document relates.

Description of document(s)	Section of the 2006 Act or regulation under which the document is delivered to the registrar
Names	
Copy of any response received from a government department or other body (except where that copy accompanies a return under regulation 4(1) of the Overseas Companies Regulations)	Section 56(4)(b) of the 2006 Act as applied to overseas companies by section 1047(4)(b) of the 2006 Act
Copy of the written statement consenting to the same name (except where that copy accompanies a return under regulation 4(1) of the Overseas Companies Regulations)	Section 66 of the 2006 Act as applied to overseas companies by section 1047(4)(d) of the 2006 Act and regulation 12 of the Company and Business Names (Miscellaneous Provisions) Regulations 2009

(3) A document which is specified in the following table must contain the name and registered number of the overseas company to which the document relates. This is subject to the proviso in column (3) of the table.

(1)	(2)	(3)
Description of document(s)	Regulation of the Overseas Companies Regulations under which the document is delivered to the registrar	Are name and registered number required?
Accounting documents		
Copy of the accounting documents	Regulation 32	Yes, but only required in one of the documents
Copy of accounting documents	Regulation 40 (applying section 441(1) of the 2006 Act to overseas companies)	Yes, but only required in one of the documents
Copy of latest accounting documents	Regulation 45	Yes, but only required in one of the documents
Copy of accounting documents	Regulation 55 (applying section 441(1) of the 2006 Act to credit or financial institutions)	Yes, but only required in one of the documents

(4) A name or registered number which is required by paragraph (2) or paragraph (3) of this rule must be inserted in black typescript or handwritten in black ink in a prominent position in the document.

Authentication

77. (1) Subject to paragraph (2), a document specified in column 1 of the table delivered to the registrar must be authenticated by a person or persons specified in column 3 in accordance with the means specified and at the location specified in column 4 of the table. Column 2 references the provision of the 2006 Act under which the document is delivered to the registrar.

(2) The authentication must be applied to the document in a prominent position unless otherwise specified in the last column of the table.

(3) Where a printed name is given as a means of authentication it must in black typescript or handwritten in black ink.

Description of document	Regulation under which the document is delivered to the registrar	Person or persons who must sign	Location and means of authentication
Accounting documents			
Copy of accounting documents	Regulation 32, 40 (applying section 441(1) of the 2006 Act to overseas companies), 45 or 55 (applying section 441(1) of	Director	By signature at end of balance sheet
	the 2006 Act to credit or financial institutions)		
Documents relating to disclosure of addresses			
Notification that a person wishes a decision on an application for higher protection to cease to apply	Paragraph 6(a) of Schedule 3 to the Overseas Companies Regulations	The individual in respect of whom the application for higher protection was made or their personal representative	By printed name in a prominent position on the document
Representations as to why a revocation decision should not be made (revocation relating to application for higher protection)	Paragraph 7(4) of Schedule 3 to the Overseas Companies Regulations	Individual in respect of whom the application for higher protection was made	By printed name in a prominent position on the document
Representations as to why a revocation decision should not be made (revocation relating to section 1088 of the 2006 Act as applied to overseas companies by section 1059A (5))	Regulation 16(4) of the Address Regulations	The section 1088 beneficiary (as defined in the Address Regulations)	By printed name in a prominent position on the document

Chapter 4 Documents delivered under the Insolvency Act 1986

Application of Chapter

78A. (1) Except as provided in paragraph (2), this Chapter applies to documents delivered to the registrar under the Insolvency Act 1986 and Articles 13BE, 13CH, 13DM, 13DN, 13ED, 13EE of the Insolvency (Northern Ireland) Order 1989. (2) This Chapter does not apply to an excluded insolvency document.

Requirements as to form and authentication

78B. (1) A document to which this Chapter applies must comply with the applicable provisions in Chapter 7 of Part 3 of these rules. In determining which of those provisions is applicable to a particular document to which this Chapter applies, any reference in a rule in Part 3 or in a Schedule 9 form to a legislative provision shall be read –

- (a) in relation to any overseas company as a reference to that legislative provision as applied to overseas companies by sections 221 and 225 of the Insolvency Act 1986; and
 - (b) in relation to an overseas company granted a moratorium in accordance with section A5 of the Insolvency Act 1986 or Article 13BB of the Insolvency (Northern Ireland) Order 1989 as excluding any overseas company in relation to paragraph 18 of Schedule ZA1 of either the Insolvency Act 1986 or the Insolvency (Northern Ireland) Order 1989;
- (2) In the application of any provision of Chapter 7 of Part 3 or a Schedule 9 form to an overseas company by virtue of this rule, any reference to a company shall be read as a reference to an overseas company.

PART 7 FORM AND AUTHENTICATION OF DOCUMENTS RELATING TO A EUROPEAN PUBLIC-LIMITED LIABILITY COMPANY

Chapter 1 Application

Application and interpretation of Part

79. (1) This Part imposes requirements as to the form and authentication of documents.
- (2) This Part applies to specified documents delivered to the registrar which relate to an SE and this Part shall be construed accordingly.
- (3) Parts 9 and 10 impose requirements on certified copies and verified copies and translations, respectively.
- (4) For a replacement document, Part 11 imposes requirements that are in addition to the requirements imposed by this Part.

Definition

80. In this Part-

“SE Regulations” means the European Public Limited-Liability Company Regulations 2004¹¹;

Chapter 2 Documents in Schedule 7A form delivered under Council Regulation 2157/2001/EC of 8 October 2001 on the Statute for a European Company and the European Public Limited-Liability Company Regulations 2004

Application of Chapter

81. This Chapter imposes requirements as to the use of a Schedule 7A form for specified documents delivered to the registrar under the SE EC Regulation and SE Regulations.

Schedule 7A forms

82.(1) Subject to rule 83(2)(b), the Schedule 7A forms are to be used for documents delivered to the registrar under the legislative provisions which are referred to on those forms.

(2) For some legislative provisions there is more than one Schedule 7A form. The top of a Schedule 7A form refers to the circumstances in which it is to be used.

Continuation forms

83.(1) Some of the Schedule 7A forms are continuation forms for other Schedule 7A forms. The top of a continuation form refers to “continuation page.”

(2) When in the circumstances indicated on a Schedule 7A form the information to be inserted in that Schedule 7A form is to be continued, the information to be continued is to be inserted either-

(a) on the appropriate continuation form (and where there is more than one continuation form for a particular Schedule 7A form the type of information to be continued determines which continuation form is to be used); or (b) subject

to rule 83(3), on a document whose text is in black typescript or handwritten in black ink and on paper that complies with the following requirements-

Size	A4
Colour	White
Orientation	Portrait (shorter edge across the top)”

¹¹ S.I. 2004/2326

(3) Rule 83(2)(b) does not apply where the information to be continued includes the usual residential address of a member. The appropriate continuation form must be used for that information.

Reproduction of a Schedule 7A form

84 (1) A document for which a Schedule 7A form must be used must be reproduced as set out in Schedule 7A as to its text, layout and format and must be in black ink. (2) A Schedule 7A form must be on paper that complies with the following requirements-

Size	A4
Colour	White
Orientation	Portrait (Shorter edge across the top)

Barcode on some Schedule 7A forms which contain a usual residential address or date of birth

85. (1) This rule applies to a form in Schedule 7A which is required by these rules to be used to deliver a document which is required by the SE Regulations to contain the usual residential address or date of birth of a member.

(2) A Schedule 7A form to which this rule applies must contain the barcode indicated on that form in Schedule 7A.

(3) A person reproducing that form for delivery to the registrar can obtain an image of the barcode from the registrar.

(4) The barcode must be included in the Schedule 7A form in the format supplied by the registrar including it being in black ink, 13.5 mm high and 70.5 mm wide.

(5) The barcode must be set out in the location indicated on the Schedule 7A form being as follows-

(a) 41mm from the left edge of the page,

(b) 47.5mm from the top edge of the page, (c) 236mm from the bottom of the page; and (d) 98.55mm from the right edge of the page.

Orange coloured forms to be obtained from the registrar

85A. A document for which a form is provided in Part 2 of Schedule 7A must be delivered to the registrar on a form obtained from the registrar because the form must be on orange coloured paper.

Use of black ink for text inserted in a Schedule 7A form

86. Text inserted in a Schedule 7A form must be in black typescript or handwritten in black ink.

Authentication

87. (1) A Schedule 7A form must be authenticated

(a) by the person or persons indicated on the form

(b) where indicated on the form

(2) Subject to express legislative provision to the contrary

- (a) authentication may be either by printed name or signature
- (b) where there is reference to a signature on a Schedule 7A form, the form may be authenticated by printed name.
- (c) where there is reference to authentication by printed name on a Schedule 7A form, the form may be authenticated by signature.

Print of name on employee involvement statement

88. (1) This rule applies to a Schedule 7A form containing an employee involvement statement delivered to the registrar under regulations 6 to 9 of the SE Regulations.

(2) If the statement is authenticated under rule 87 by signature in addition the name of each person who signs it must be printed in black typescript or handwritten in black ink where indicated on the Schedule 7A form.

Chapter 3 Documents delivered under legislation applicable to public companies

Application of this Chapter

89. (1) Except as provided in paragraph (2), this Chapter applies to documents which relate to an SE delivered to the registrar under

- (a) the 2006 Act
- (b) the Companies (Audit, Investigations and Community Enterprise) Act 2004
- (c) the Housing Act 1996 and
- (d) the Insolvency Act 1986 and Articles 13BE, 13CH, 13DM, 13DN, 13ED, 13EE of the Insolvency (Northern Ireland) Order 1989.

in each case as applied to SEs by Articles 5, 9(1)(c)(ii) and 61 of the SE EC Regulation and regulations 13(1B) and 14 of SE Regulations.

(2) This Chapter does not apply to certified copies, verified copies or translations. Parts 9 and 10 impose requirements on certified copies and verified copies and translations, respectively.

Requirements as to form and authentication

90. (1) A document to which this Part applies must comply with the applicable provisions in Chapters 2, 3, 4, 6 and 7 of Part 3 of these rules. In determining which of those provisions is applicable to a particular document to which this Part applies, any reference in a rule in Part 3, in a Schedule 2 form or a Schedule 9 form to a legislative provision shall be read as a reference to that legislative provision as applied to SEs by the SE EC Regulation or the SE Regulations.

(2) In the application of any provision of Part 3, a Schedule 2 form, or a Schedule 9 form to an SE by virtue of this rule-

- (a) any reference to a company shall be read as a reference to an SE,
- (b) any reference to a director shall be read as a reference to a member, and
- (c) any reference to articles shall be read as a reference to statutes.

PART 8 FORM AND AUTHENTICATION OF DOCUMENTS RELATING TO A LIMITED LIABILITY PARTNERSHIP

Chapter 1 Application

Application of Part

91. (1) This Part imposes requirements as to the form and authentication of documents.
(2) This Part applies to specified documents delivered to the registrar which relate to an LLP and this Part shall be construed accordingly. Parts 8B and 8C apply to documents which relate to an SQP and an SLP, respectively.
(3) Parts 9 and 10 impose requirements on certified copies and verified copies and translations, respectively.
(4) For a replacement document, Part 11 imposes requirements that are in addition to the requirements imposed by this Part.

Interpretation

92. (1) In this Part-

“LLP Act” means the Limited Liability Partnerships Act 2000;

“LLP PSC Regulations” means the Limited Liability Partnerships (Register of People with Significant Control) Regulations 2016¹²,” “LLP Regulations 2008” means the Limited Liability Partnerships (Accounts and Audit) (Application of Companies Act 2006) Regulations 2008¹⁸; “LLP Regulations 2009” means the Limited Liability Partnerships (Application of Companies Act 2006) Regulations 2009.

- (2) In this Part, any reference to the application by the LLP Regulations 2008, LLP Regulations 2009 or LLP PSC Regulations of a legislative provision relating to companies is to application of that legislative provision to LLPs.

Chapter 2 Documents in Schedule 8 form delivered under the Limited Liability Partnerships Act 2000

Application of Chapter

93. This Chapter imposes requirements as to the use of a Schedule 8 form for specified documents delivered to the registrar under the LLP Act.

Schedule 8 forms

94. (1) Subject to rule 96(2)(b), the Schedule 8 forms are to be used for documents delivered to the registrar under the legislative provisions which are referred to on those forms.
(2) For some legislative provisions there is more than one Schedule 8 form. The top of a Schedule 8 form refers to the circumstances in which it is to be used.

¹² SI 2016/340 ¹⁸

S.I. 2008/1911.

Welsh language forms

95. (1) Each form in Part 2 of Schedule 8 is partly in Welsh and partly in English and can be used instead of a form in Part 1 of Schedule 8 where the form relates to a Welsh LLP.

(2) In this rule-

“Welsh LLP” has the meaning given to it in regulation 17 of the LLP Regulations 2009.

Continuation forms

96. (1) Some of the Schedule 8 forms are continuation forms for other Schedule 8 forms. The top of a continuation form refers to “continuation page.”

(2) When in the circumstances indicated on a Schedule 8 form, the information to be inserted in that Schedule 8 form is to be continued, the information to be continued is to be inserted either-

- (a) on the appropriate continuation form (and the type of information to be continued determines which continuation form is to be used); or
- (b) subject to rule 96(3), on a document whose text is in black typescript or handwritten in black ink and on paper that complies with the following requirements-

Size	A4
Colour	White
Orientation	Portrait (shorter edge across the top)”

(3) Rule 96(2)(b) does not apply where the information to be continued includes the usual residential address, registered email address or date of birth of a member or registrable person of an LLP where rule 99A applies. The appropriate continuation form must be used for that information.

Reproduction of a Schedule 8 form

97. (1) Subject to rule 99 and 99A, a document for which a Schedule 8 form must be used must be reproduced as set out in Schedule 8 as to its text, layout and format and must be in black ink.

(2) Subject to rule 99 and 99A, a Schedule 8 form must be on paper that complies with the following requirements-

Size	A4
Colour	White
Orientation	Portrait (Shorter edge across the top)

Barcode on some Schedule 8 forms which contain a usual residential address, date of birth, unique identifier or statement confirming identity verification

98. (1) This rule applies to a form in Part 1 or Part 2 of Schedule 8 which is required by these rules to be used to deliver a document which is required by the LLP Act to

contain the usual residential address, registered email address, date of birth, unique identifier or statement confirming identity verification relating to a member of an LLP or registrable person. A Schedule 8 form to which this rule applies must contain the barcode indicated on that form in Schedule 8.

(2) A person reproducing that form for delivery to the registrar can obtain an image of the barcode from the registrar.

(3) The barcode must be included in the Schedule 8 form in the format supplied by the registrar including it being in black ink, 13.5 mm high and 70.5 mm wide.

(4) The barcode must be set out in the location indicated on the Schedule 8 form being as follows-

(a) 41mm from the left edge of the page,

(b) 47.5mm from the top edge of the page, (c) 236mm from the bottom of the page; and

(d) 98.55mm from the right edge of the page.

Orange coloured forms to be obtained from the registrar

99. A document for which a form is provided in Part 3 of Schedule 8 must be delivered to the registrar on a form obtained from the registrar because the form must be on orange coloured paper.

Purple coloured forms to be obtained from the registrar

99A. (1) This rule applies to a document if –

(a) it is a document for which a form is provided in Part 4 of Schedule 8, and

(b) at the time of delivery to the registrar, one or both of the following apply: (i) in relation to one or more of the current or proposed registrable persons of the company or proposed company, restrictions on using or disclosing the particulars of that person or persons in relation to that company or proposed company are in force under regulations under section 790ZG of the 2006 Act, and

(ii) in relation to one or more of the past registrable persons of the company, restrictions on using or disclosing the particulars of that person or persons in relation to that company are in force under regulations under section 790ZG and section 1088 of the 2006 Act and the fact that the person has ceased to be a registrable person in relation to that company has not yet been registered by the registrar.

(2) The forms in Parts 1 and 2 of Schedule 8 must not be used to deliver to the registrar a document to which this rule applies and instead the document must be delivered to the registrar on a form obtained from the registrar because the form must be on purple coloured paper.

(3) A form in Part 4 of Schedule 8 cannot be used to deliver a document to which this rule does not apply.

Use of black ink for text inserted in a Schedule 8 form

100. Text inserted in a Schedule 8 form must be in black typescript or handwritten in black ink.

Name and registered number

101. (1) Where a Schedule 8 form provides for the name, proposed name, number or proposed number of the limited liability partnership to which they relate they must be provided within the name and number box on the form in black typescript or handwritten in black ink.

(2) If any enactment requires a document delivered to the Registrar contain the proposed name, name, proposed number or number of the limited liability partnership to which the document relates, they must be added in black typescript or handwritten in black in ink.

Authentication

102. (1) Subject to rule 103 and express provision to the contrary, a Schedule 8 form must be authenticated; -

- (a) by the person or persons indicated on the form (b) where indicated on the form.
- (c) by signature or by printed name.

(2) Where a Schedule 8 form is delivered to the registrar under regulation 56 of the LLP Regulations 2009 (applying section 1025(5)(a) of the 2006 Act) (requirements for administrative restoration) a former member is required to authenticate the form instead of the person or persons indicated on the form.

Print of name of applicant signatory on an application for striking off an LLPs name

103. (1) Authentication of application for striking off

In an application under regulation 51 of the LLP Regulations 2009 (applying section 1003 of the 2006 Act) the applicant must authenticate the application by printing their name where indicated on the Schedule 8 form

Signature on statement of guarantee by a parent undertaking

104. (1) This rule applies to statements of guarantee by a parent undertaking delivered to the registrar under regulation 9, regulation 19A or regulation 34A of the LLP Regulations 2008 (applying sections 394A(2)(e), 448A(2)(e) and 479A(2)(e) of the 2006 Act respectively) (Form LL06 in Schedule 8).

(2) The LLP Regulations 2008 require the statement to be authenticated by the parent undertaking and the registrar requires that authentication to be by way of the signature of the person making the statement on behalf of the parent undertaking where indicated on the form.

(3) The signature required by this rule is additional to the signature required by rule 102(1).

Chapter 3 Non-scheduled form documents (other than insolvency and winding up documents) delivered under the Limited Liability Partnerships Act 2000

Application of Chapter

105. (1) Except as provided in paragraphs (2) and (3), this Chapter applies to a nonscheduled form document delivered to the registrar under the LLP Act.
- (2) This Chapter does not apply to documents delivered to the registrar under regulations made under section 14 of the LLP Act (insolvency and winding up) or the Investment Bank Special Administration Regulations 2011. Chapter 4 of this part imposes requirements on some of those documents.
- (3) This Chapter does not apply to certified copies, verified copies or translations. Parts 9 and 10 impose requirements on certified copies and verified copies and translations, respectively.

White paper and black ink

106. (1) Except as provided in paragraph (2), a document to which this Chapter applies must be on white paper and the text of the document must be in black typescript or handwritten in black ink.
- (2) This rule does not apply to the following documents-

Description of document(s)	Regulation under which the document is delivered to the registrar
Court direction	
Direction from the court dispensing with the need for a statement by the creditor	Regulation 42 of the LLP Regulations 2009 applying section 887(2) of the 2006 Act
Charge documents	
Instrument by which charge is created or evidenced	Regulation 32 of the LLP Regulations 2009 applying section 860(1) of the 2006 Act
Deed containing the charge or one of the debentures of the series	Regulation 33 of the LLP Regulations 2009 applying section 863(1) of the 2006 Act
Copy of the deed containing the charge or of one of the debentures of the series	Regulation 40 of the LLP Regulations 2009 applying section 882(1) of the 2006 Act
Documents relating to disclosure of addresses	
Evidence relating to an application under section 243(4) of the 2006 Act	Regulation 19 of the LLP Regulations 2009 applying section 243(4) of the 2006 Act and regulations 5(3)(b), 6(3)(b), 7(3)(b) and 8(1) of the Address Regulations

Notification that a person wishes a decision under section 243 of the 2006 Act to cease to apply	Regulation 19 of the LLP Regulations 2009 applying regulation 15(1) of the Address Regulations
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Evidence relating to an application under section 790ZF	Regulation 4 of the LLP PSC Regulations and Regulations 31B and 31L of the LLP Regulations 2009 applying Regulations 24(4), 26(6), 27(6) and 28(1) of the Company PSC Regulations
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Notification that a person wishes a determination relating to section 790ZF to cease to have effect	Regulation 4 of the LLP PSC Regulations and Regulations 31B and 31L of the LLP Regulations 2009 applying Regulation 31(a) of the Company PSC Regulations
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Representations as to why a revocation decision should not be made (revocation relating to section 243 of the 2006 Act)	Regulation 19 of the LLP Regulations 2009 applying section 243(4) of the 2006 Act and Regulation 16(4) of the Address Regulations or Regulation 32(3) of the Company PSC Regulations
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Evidence relating to an application under section 1088 of the 2006 Act	Regulation 66 of the LLP Regulations 2009 applying the Address Regulations
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Representation as to why a revocation decision should not be made (revocation relating to section 1088 of the 2006 Act)	Regulation 66 of the LLP Regulations 2009 applying the Address Regulations
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Documents relating to disclosure of information relating to some people with significant control	
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Notification that a person wishes a determination relating to section 790ZG and section 1088 to cease to have effect	Regulation 4 of the LLP PSC Regulations and Regulations 31B and 31L of the LLP Regulations 2009 applying Regulations 24(4), 26(6), 27(6) and 28(1) of the Company PSC Regulations
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Representations as to why a revocation decision should not be made in relation to a determination relating to section 790ZG and section 1088	Regulation 4 of the LLP PSC Regulations and Regulations 31B and 31L of the LLP Regulations 2009 applying Regulations 36(3)(b), 37(4)(b), 38(4)(b) and 39(1) of the Company PSC Regulations
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Evidence relating to an application under sections 790ZG and section 1088	Regulation 4 of the LLP PSC Regulations and Regulations 31B and 31L of the LLP Regulations 2009 applying Regulations 36(3)(b), 37(4)(b), 38(4)(b) and 39(1) of the Company PSC Regulations
Rectification of the register	
Documents or information supporting an application to change the address of a LLP's registered office	Section 1097A (2) and regulation 3(d) Address Regulations 2015 as applied to LLPs
Evidence showing that the company is authorised to use the address as its registered office	Section 1097A (2) and regulation 6(d)(ii) Address Regulations 2015 as applied to LLPs

Name and registered number

107. (1) An objection by an LLP is required by legislation to contain the name of the LLP to which the document relates.

In this paragraph-

“an objection by an LLP” means an objection by an LLP to an application for rectification of the register (objection delivered to the registrar under Regulation 67 of the LLP Regulations 2009 applying section 1095 of the 2006 Act and regulation 5(9) of the Registrar Regulations).

(2) A document which is specified in the following table must contain the name and registered number of the LLP to which the document relates.

Description of document(s)	Regulation under which the document is delivered to the registrar
Orders charging land: Northern Ireland	
Copies of an order (made under Article 46 of the Judgments Enforcement (Northern Ireland) Order 1981) or of any notice (under Article 48 of the 1981 Order)	Regulation 35 of the LLP Regulations 2009 applying section 868(1) of the 2006 Act
Copies of an order made under Article 46 of the Judgments Enforcement (Northern Ireland) Order 1981	Regulation 35 of the LLP Regulations 2009 applying section 868(2) of the 2006 Act
Names	
Copy of any response received from a government department or other body (in connection with a change of name of an LLP)	Regulation 8 of the LLP Regulations 2009 applying section 56(4)(b) of the 2006 Act

Copy of the written statement consenting to the same name but only when delivered in connection with a change in the name of an LLP under paragraph 5(1) of the Schedule to the LLP Act	Regulation 11 of the LLP Regulations 2009 applying section 66 of the 2006 Act and regulation 8(2)(c) of the Company and Business Names (Miscellaneous Provisions) Regulations 2009
Auditor ceasing to hold office information	
Copy of notice of resignation of auditor	Regulation 45 of the LLP Regulations 2008 applying section 517(1) of the 2006 Act
Copy of statement by auditor (under section 520 of the 2006 Act) of the circumstances connected with his ceasing to hold office	Regulation 46 of the LLP Regulations 2008 applying section 521(1) and (2) of the 2006 Act
Dissolved LLP	
Notice of disclaimer of property vesting bona vacantia	Regulation 52 of the LLP Regulations 2009 applying section 1013(6) of the 2006 Act
Written consent by Crown representative (administrative restoration of an LLP)	Regulation 56 of the LLP Regulations 2009 applying section 1025(3) of the 2006 Act
Cross-Border Mergers	
Copy of the order made under regulation 16 of the Companies 2009 (Cross-Border Mergers) Regulations 2007 (court approval of merger)	Regulation 46 of the LLP Regulations applying regulation 19(1) of the Companies (Cross-Border Mergers) Regulations 2007
Accounts and related documents	
Notice of agreement	Regulation 9 of the LLP Regulations 2008 applying section 394A(2)(e) of the 2006 Act, Regulation 19A of the LLP Regulations 2008 applying section 448A(2)(e) of the 2006 Act or Regulation 34A of the LLP Regulations 2008 applying section 479A(2)(e) of the 2006 Act.

(3) A document which is specified in the following table must contain the name and registered number of the LLP to which the document relates. This is subject to the proviso in column (3) of the table.

(1)	(2)	(3)
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Description of document(s)	Regulation under which the document is delivered to the registrar	Is name and registered number required?
Accounts and related documents		
Copy of parent undertaking's consolidated accounts, copy of the auditor's report on those accounts, and copy of the consolidated annual report drawn up by the parent undertaking	Regulation 9 of the LLP Regulations 2008 applying section 394A(2)(e) of the 2006 Act, Regulation 19A of the LLP Regulations 2008 applying section 448A(2)(e) of the 2006 Act or Regulation 34A of the LLP Regulations	Yes, the name and registered number of the subsidiary filing, but only required in one of the documents comprised in the parent's accounts
	2008 applying section 479A(2) (e) of the 2006 Act.	
Copy of balance sheet or abbreviated accounts and (where applicable) copy of profit and loss account and copy of auditor's report	Regulation 17 of the LLP Regulations 2008 applying section 441(1) of the 2006 Act	Yes, but only required in one of the documents
Copy of annual accounts in euros	Regulation 28 of the LLP Regulations 2008 applying section 469(2) of the 2006 Act	Yes, but only required in one of the documents comprised in the annual accounts
Revised accounts or revised report (as those terms are defined in the Companies (Revision of Defective Accounts and Reports) Regulations 2008) and (where applicable) copy of the auditor's report	Regulation 23 of the LLP Regulations 2008 applying section 454 of the 2006 Act and Regulation 14(2) of the Companies (Revision of Defective Accounts and Reports) Regulations 2008	Yes, but where more than one of those documents is delivered at the same time under regulation 14(2) the name and registered number is only required in one of them

(4) A name or registered number which is required by paragraph (2) or (3) of this rule must be inserted in black typescript or handwritten in black ink in a prominent position in the document.

Authentication

108. (1) Subject to paragraph (2), a document specified in column 1 of the table delivered to the registrar must be authenticated by a person or persons specified in column 3 in accordance with the means specified and at the location specified in column 4 of the table. Column 2 references the provision of the 2006 Act under which the document is delivered to the registrar.

(2) The authentication must be applied to the document in a prominent position unless otherwise specified in the last column of the table.

(3) Where a printed name is given as a means of authentication it must in black typescript or handwritten in black ink.

Description of document	Regulation under which the document is delivered to the registrar	Person or persons who must sign	Location and means of authentication
Accounts and related documents			
Copy of balance sheet or abbreviated accounts and (where applicable) copy	Regulation 17 of the LLP Regulations 2008 applying section 441(1) of the 2006 Act	Designated member	By signature at end of balance sheet
of profit and loss account and copy of auditor's report			
Copy of annual accounts in euros	Regulation 28 of the LLP Regulations 2008 applying section 469(2) of the 2006 Act	Designated member	By signature at end of balance sheet

Revised accounts or revised report (as those terms are defined in the Companies (Revision of Defective Accounts and Reports) Regulations 2008) and (where applicable) copy of the auditor's report	Regulation 23 of the LLP Regulations 2008 applying section 454 of the 2006 Act and regulation 14(2) of the Companies (Revision of Defective Accounts and Reports) Regulations 2008	Designated member	By signature in a prominent position on the document but where more than one of those documents is delivered at the same time under regulation 14(2) signature is only required on one of them
Documents relating to disclosure of addresses			

Notification that a person wishes a decision under section 243 of the 2006 Act to cease to apply	Regulation 19 of the LLP Regulations 2009 applying section 243(4) of the 2006 Act and regulation 15(1) of the Address Regulations	The section 243 beneficiary (as defined in the Address Regulations) or their personal representative	
Representations from an LLP as to why a revocation decision should not be made in relation to a section 243 decision (where the application was made by an LLP)	Regulation 19 of the LLP Regulations 2009 applying section 243(4) of the 2006 Act and regulation 16(4) of the Address Regulations	Designated member or member	By printed name in a prominent position on the document
Documents relating to disclosure of PSC information			
Notification that a person wishes a decision under section 790ZF to cease to apply (where the application was	Regulation 4 of the LLP Regulations and Regulations 31B and 31L of the LLP Regulations 2009 applying	The person to whom the determination relates or their personal representative	By printed name in a prominent position on the document

made in relation to LLP)	Regulation 31(a) of the Company PSC Regulations		
Representations from an LLP as to why a revocation decision should not be made in relation to a decision under section 790ZF (where the application was made by an LLP)	Regulation 4 of the LLP PSC Regulations and Regulations 31B and 31L of the LLP Regulations 2009 applying Regulation 32(3) of the Company PSC Regulations	Designated member or member	By printed name in a prominent position on the document
Documents relating to disclosure of PSC information			
Notification that a person wishes a decision under section 790ZG and section 1088 to cease to apply (where the application was made in relation to LLP)	Regulation 4 of the LLP PSC Regulations and Regulations 31B and 31L of the LLP Regulations 2009 applying Regulation 43(1)(a) of the Company PSC Regulations	The person to whom the determination relates or their personal representative	By printed name in a prominent position on the document
Representations from an LLP as to why a revocation decision should not be made in relation to a decision under section 790ZG and section 1088 (where the application was made by an LLP)	Regulation 4 of the LLP PSC Regulations and Regulations 31B and 31L of the LLP Regulations 2009 applying Regulation 44(3) of the Company PSC Regulations	Designated member or member	By printed name in a prominent position on the document

(2) Where a document specified in the table in paragraph (1) is delivered to the registrar under regulation 56 of the LLP Regulations 2009 (applying section 1025(5)(a) of the 2006 Act)(requirements for administrative restoration), a former member is required to authenticate the document instead of the person or persons specified in the table.

Chapter 4 Documents delivered under regulations made under section 14 of the Limited Liability Partnerships Act 2000 (insolvency and winding up) and the Investment Bank Special Administration Regulations 2011

Application of Chapter

110. (1) Except as provided in paragraph (2), this Chapter applies to documents delivered to the registrar under regulations made under section 14 of the LLP Act and the Investment Bank Special Administration Regulations 2011. (2) This chapter does not apply to an excluded insolvency document.

Requirements as to form and authentication

111. (1) A document to which this Chapter applies must comply with the applicable provisions in Chapter 7 of Part 3 of these rules. In determining which of those provisions is applicable to a particular document to which this Chapter applies, any reference in a rule in Part 3 or in a Schedule 9 form to a legislative provision shall be read as a reference to that legislative provision as applied to LLPs by regulations made under section 14 of the LLP Act or the Investment Bank Special Administration Regulations 2011.

(2) In the application of any provision of Chapter 7 of Part 3 or a Schedule 9 form to an LLP by virtue of this rule,

- (a) any reference to a company shall be read as a reference to an LLP, (b) any reference to a director of a company shall include references to a member and designated member of an LLP.

PART 8A FORM AND AUTHENTICATION OF DOCUMENTS RELATING TO A EUROPEAN ECONOMIC INTEREST GROUPING

Chapter 1 Application

Application and interpretation of Part

112. (1) This Part imposes requirements as to the form and authentication of documents.
(2) This Part applies to specified documents delivered to the registrar which relate to an EEIG, and this Part shall be construed accordingly.
(3) Parts 9 and 10 impose requirements on certified copies and verified copies and translations, respectively.

Chapter 2

Documents in Schedule 8A form delivered under Council Regulation (EEC) No 2137/85 on the European Economic Interest Grouping and the European Economic Interest Grouping Regulations 1989

Application of Chapter

113. This Chapter imposes requirements as to the use of a Schedule 8A form for specified documents delivered to the registrar under the EEIG EEC Regulation and the EEIG Regulations.

Schedule 8A forms

114. (1) Subject to rule 115(2)(b), the Schedule 8A forms are to be used for documents delivered to the registrar under the legislative provisions which are referred to on those forms.

(2) For some legislative provisions there is more than one Schedule 8A form. The top of a Schedule 8A form refers to the circumstances in which it is to be used.

Continuation forms

115. (1) Some of the Schedule 8A forms are continuation forms for other Schedule 8A forms. The top of a continuation form refers to “continuation page.”

(2) When in the circumstances indicated on a Schedule 8A form the information to be inserted in that Schedule 8A form is to be continued, the information to be continued is to be inserted either-

- (a) on the appropriate continuation form (and where there is more than one continuation form for a particular Schedule 8A form the type of information to be continued determines which continuation form is to be used); or (b)
- on a document whose text is in black typescript or handwritten in black ink and on paper that complies with the following requirements-

Size	A4
Colour	White
Orientation	Portrait (shorter edge across the top)”

Reproduction of a Schedule 8A form

116 (1) A document for which a Schedule 8A form must be used must be reproduced as set out in Schedule 8A as to its text, layout and format and must be in black ink. (2) A Schedule 8A form must be on paper that complies with the following requirements-

Size	A4
Colour	White
Orientation	Portrait (Shorter edge across the top)

Barcode on some Schedule 2 forms which contain a date of birth

116A. (1) This rule applies to a form in Schedule 8A which is required by these rules to be used to deliver a document which is required by the 2006 Act to contain a date of birth.

(2) A Schedule 8A form to which this rule applies must contain the barcode indicated on that form in Schedule 8A.

(3) A person reproducing that form for delivery to the registrar can obtain an image of the barcode from the registrar.

(4) The barcode must be included in the Schedule 8A form in the format supplied by the registrar including it being in black ink, 13.5 mm high and 70.5 mm wide.

(5) The barcode must be set out in the location indicated on the Schedule 8A form being as follows-

- (a) 41mm from the left edge of the page,
- (b) 47.5mm from the top edge of the page, (c) 236mm from the bottom of the page; and (d) 98.55mm from the right edge of the page.

Use of black ink for text inserted in a Schedule 8A form

117. Text inserted in a Schedule 8A form must be in black typescript or handwritten in black ink.

Authentication

118. (1) A Schedule 8A form must be authenticated

- (a) by the person or persons indicated on the form
- (b) where indicated on the form
- (2) Subject to express legislative provision to the contrary
 - (a) authentication may be either by printed name or signature
 - (b) where there is reference to a signature on a Schedule 8A form, the form may also be authenticated by printed name.
 - (c) where there is reference to authentication by printed name on a Schedule 8A form, the form may be authenticated by signature.

Chapter 3 Documents relating to charges delivered under the European Economic Interest Grouping Regulations 1989

Application of Chapter

119. (1) Except as provided in paragraph (2), this Chapter applies to documents delivered to the registrar under regulation 18 of the EEIG Regulations which applies Part 25 of the 2006 Act to EEIGs.

(2) This Chapter does not apply to certified copies or verified copies. Part 9 imposes requirements on certified copies and verified copies.

Requirements as to form and authentication.

120. (1) A document to which this Chapter applies must comply with the applicable provisions in Chapter 2 of Part 3 of these rules. In determining which of those provisions is applicable to a particular document to which this Chapter applies, any reference in a rule in Part 3 or in a Schedule 2 form to a legislative provision shall be read as a reference to that legislative provision as applied to EEIGs by regulation 18 of the EEIG Regulations

(2) In the application of any provision of Chapter 2 of Part 3 or a Schedule 2 form to an EEIG by virtue of this rule, any reference to a company shall be read as a reference to an EEIG.

PART 8B

FORM AND AUTHENTICATION OF DOCUMENTS RELATING TO

A SCOTTISH QUALIFYING PARTNERSHIP

Chapter 1 Application

Application and interpretation of Part

121. (1) This Part imposes requirements as to the form and authentication of documents.

(2) This Part applies to specified documents delivered to the registrar which relate to an SQP, and this Part shall be construed accordingly. Parts 8 and 8C apply to documents which relate to an LLP and an SLP, respectively. (3)

For a replacement document, Part 11 imposes requirements that are in addition to the requirements imposed by this Part.

Chapter 2 Documents in Schedule 8B form delivered under the Scottish Partnerships PSC Regulations Application of Chapter 122.

This Chapter imposes requirements as to the use of a Schedule 8B form for specified documents delivered to the registrar under the Scottish Partnerships PSC Regulations.

Schedule 8B forms

123. (1) Subject to rule 124(2)(b), the Schedule 8B forms are to be used for documents delivered to the registrar under the legislative provisions which are referred to on those forms.

(2) For some legislative provisions there is more than one Schedule 8B form. The top of a Schedule 8B form refers to the circumstances in which it is to be used.

Continuation forms

124. (1) Some of the Schedule 8B forms are continuation forms for other Schedule 8B forms. The top of a continuation form refers to “continuation page.”

(2) When in the circumstances indicated on a Schedule 8B form the information to be inserted in that Schedule 8B form is to be continued, the information to be continued is to be inserted either-

(a) on the appropriate continuation form (and where there is more than one continuation form for a particular Schedule 8B form the type of information to be continued determines which continuation form is to be used); or

(b) subject to rule 124(3), on a document whose text is in black typescript or handwritten in black ink and on paper that complies with the following requirements –

Size	A4
Colour	White

Orientation	Portrait (shorter edge across the top)”
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(3) Rule 124(2)(b) does not apply where the information to be continued includes the usual residential address or date of birth of a registrable person or where rule 128 applies. The appropriate continuation form must be used for that information.

Reproduction of a Schedule 8B form

125. (1) Subject to rule 127 and 128, a document for which a Schedule 8B form must be used must be reproduced as set out in Schedule 8B as to its text, layout and format and must be in black ink.

(2) Subject to rule 127 and 128, a Schedule 8B form must be on paper that complies with the following requirements-

Size	A4
Colour	White
Orientation	Portrait (Shorter edge across the top)

Barcode on some Schedule 8B forms which contain a usual residential address or date of birth

126. (1) This rule applies to a form in Part 1 of Schedule 8B which is required by these rules to be used to deliver a document which is required by the Scottish Partnerships PSC Regulations to contain the usual residential address or date of birth of a registrable person.

(2) A Schedule 8B form to which this rule applies must contain the barcode indicated on that form in Schedule 8B

(3) A person reproducing that form for delivery to the registrar can obtain an image of the barcode from the registrar.

(4) The barcode must be included in the Schedule 8B form in the format supplied by the registrar including it being in black ink, 13.5 mm high and 70.5 mm wide.

(5) The barcode must be set out in the location indicated on the Schedule 8B form being as follows-

(a) 41mm from the left edge of the page,

(b) 47.5mm from the top edge of the page, (c) 236mm from the bottom of the page; and (d) 98.55mm from the right edge of the page.

Orange coloured forms to be obtained from the registrar

127. A document for which a form is provided in Part 2 of Schedule 8B must be delivered to the registrar on a form obtained from the registrar because the form must be on orange coloured paper.

Purple coloured forms to be obtained from the registrar

128. (1) This rule applies to a document if –

- (a) it is a document for which a form is provided in Part 3 of Schedule 8B, and
- (b) at the time of delivery to the registrar, one or both of the following apply:
 - (i) in relation to one or more of the current or proposed registrable persons of the SQP, restrictions on using or disclosing the particulars of that person or persons in relation to that SQP are in force under regulation 45 of the Scottish

Partnerships PSC Regulations, and

- (ii) in relation to one or more of the past registrable persons of the SQP, restrictions on using or disclosing the particulars of that person or persons in relation to that SQP are in force under regulation 45 of the Scottish Partnerships PSC Regulations and the fact that the person has ceased to be a registrable person in relation to that SQP has not yet been registered by the registrar. (2) The forms in Part 1 of Schedule 8B must not be used to deliver to the registrar a document to which this rule applies and instead the document must be delivered to the registrar on a form obtained from the registrar because the form must be on purple coloured paper.

(3) A form in Part 3 of Schedule 8B cannot be used to deliver a document to which this rule does not apply.”

Use of black ink for text inserted in a Schedule 8B form

128. Text inserted in a Schedule 8B form must be in black typescript or handwritten in black ink.

Name and registered number

129. (1) A document specified in the following table (being one for which these rules require a Schedule 8B form to be used) is required by legislation to contain the proposed name or name and registered number of the partnership or proposed partnership to which the document relates.

Description of document	Regulation of the Scottish Partnerships PSC Regulations under which the document is delivered to the registrar	What is required by legislation?
Registration of an SQP		
Application for an SQP	Regulation 5 Name	registration of
Documents relating to disclosure of information relating to some people with significant control		

Application to registrar to refrain from using or disclosing particulars relating to a person with significant control (application by an individual)	Regulation 48	Name and (if applicable) registered number
Application to registrar to refrain from using or disclosing particulars relating to a person with significant control (application by an SQP)	Regulation 49	Name and (if applicable) registered number
Application to registrar to refrain from using or disclosing particulars relating to a person with significant control (application by a prospective partner)	Regulation 50	Name of the proposed SQP
Application to registrar to make address unavailable for public inspection (application by an individual)	Regulation 64	Name and (if applicable) registered number

(2) All other Schedule 8B forms must contain the name and registered number of the SQP to which the Schedule 8B form relates.

(3) The name and registered number must be inserted in black typescript or handwritten in black ink in the name and number boxes on the Schedule 8B form.

Signature

130. A Schedule 8B form must be signed by the person or persons indicated on the form. The signature must be applied to the Schedule 8B form where indicated on the form.

Chapter 2 Non-scheduled form documents delivered under the Scottish Partnerships (Register of People with Significant Control) Regulations

Application of Chapter

131. (1) This Chapter applies to a non-scheduled form document delivered to the registrar in relation to an SQP.

White paper and black ink

132. (1) Except as provided in paragraph (2), a document to which this Chapter applies must be on white paper and the text of the document must

be in black typescript or handwritten in black ink. (2) This rule does not apply to the following documents-

Description of document	Regulation of the Scottish Partnerships PSC Regulations under which the document is delivered to the registrar
Document relating to disclosure of information relating to some people with significant control	
Representations as to why a revocation decision should not be made in relation to a determination made under regulation 48(5), 49(5) or 50(5) of the Scottish Partnerships PSC Regulations	Regulation 56(2)
Notification that a person wishes a determination under regulation 48(5), 49(5) or 50(5) of The Scottish Partnerships PSC Regulations to cease to have effect	Regulation 55(1)

Authentication

133. (1) A document specified in column 1 of the table delivered to the registrar must be authenticated by a person or persons specified in column 3 in accordance with the means specified and at the location specified in column 4 of the table. Column 2 references the legislative provision under which the document is delivered to the registrar.

(3) Where a printed name is given as a means of authentication it must in black typescript or handwritten in black ink.

Description of document	Regulation of the Scottish Partnerships PSC Regulations under which the document is delivered to the registrar	Person or persons who must authenticate	Location and means of signature authentication
Documents relating to disclosure of information relating to some people with significant control			
Notification that a person wishes a determination made under regulation 48(5), 49(5) or 50(5) of the Scottish	Regulation 55(1)	The person to whom the determination relates or their personal representative	By printed name in a prominent position on the document

Partnerships PSC Regulations to cease to have effect			
Representations from an SQP as to why a revocation decision should not be made in relation to a determination made under regulation 48(5), 49(5) or 50(5) of the Scottish Partnerships PSC Regulations (where the application was made by an SQP)	Regulation 56	The person making the representations	By printed name in a prominent position on the document
Representations from a person who is not a SQP as to why a revocation decision should not be made in relation to a determination made under regulation 48(5), 49(5) or 50(5) of the Scottish Partnerships PSC Regulations.	Regulation 56	The person making the representations	By printed name in a prominent position on the document

PART 8C
FORM AND AUTHENTICATION OF DOCUMENTS RELATING TO
A SCOTTISH LIMITED PARTNERSHIP

Chapter 1 Application

Application and interpretation of Part

135. (1) This Part imposes requirements as to the form and authentication of documents.

(2) This Part applies to specified documents delivered to the registrar which relate to an SLP, and this Part shall be construed accordingly. Parts 8 and 8B apply to documents which relate to an LLP and an SQP, respectively. (3)

For a replacement document, Part 11 imposes requirements that are in addition to the requirements imposed by this Part.

Chapter 2 Documents in Schedule 8C form delivered under the Scottish Partnerships (Register of People with Significant Control) Regulations

Application of Chapter

136. This Chapter imposes requirements as to the use of a Schedule 8C form for specified documents delivered to the registrar under the Scottish Partnerships PSC Regulations.

Schedule 8C forms

137. (1) Subject to rule 138(2)(b), the Schedule 8C forms are to be used for documents delivered to the registrar under the legislative provisions which are referred to on those forms.

(2) For some legislative provisions there is more than one Schedule 8C form. The top of a Schedule 8C form refers to the circumstances in which it is to be used.

Continuation forms

138. (1) Some of the Schedule 8C forms are continuation forms for other Schedule 8C forms. The top of a continuation form refers to “continuation page.”

(2) When in the circumstances indicated on a Schedule 8C form the information to be inserted in that Schedule 8C form is to be continued, the information to be continued is to be inserted either-

(a) on the appropriate continuation form (and where there is more than one continuation form for a particular Schedule 8C form the type of information to be continued determines which continuation form is to be used); or

(b) subject to rule 138(3), on a document whose text is in black typescript or handwritten in black ink and on paper that complies with the following requirements-

Size	A4
Colour	White

Orientation	Portrait (shorter edge across the top)”
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(3) Rule 138(2)(b) does not apply where the information to be continued includes the usual residential address or date of birth of a registrable person or where rule 142 applies. The appropriate continuation form must be used for that information.

Reproduction of a Schedule 8C form

139. (1) Subject to rules 141 and 142, a document for which a Schedule 8C form must be used must be reproduced as set out in Schedule 8C as to its text, layout and format and must be in black ink.

(2) Subject to rules 141 and 142, a Schedule 8C form must be on paper that complies with the following requirements-

Size	A4
Colour	White
Orientation	Portrait (Shorter edge across the top)

Barcode on some Schedule 8C forms which contain a usual residential address or date of birth

140. (1) This rule applies to a form in Part 1 Schedule 8C which is required by these rules to be used to deliver a document which is required by the Scottish Partnerships PSC Regulations to contain the usual residential address or date of birth of a registrable person.

(2) A Schedule 8C form to which this rule applies must contain the barcode indicated on that form in Schedule 8C

(3) A person reproducing that form for delivery to the registrar can obtain an image of the barcode from the registrar.

(4) The barcode must be included in the Schedule 8C form in the format supplied by the registrar including it being in black ink, 13.5 mm high and 70.5 mm wide.

(5) The barcode must be set out in the location indicated on the Schedule 8C form being as follows-

- (a) 41mm from the left edge of the page,
- (b) 47.5mm from the top edge of the page, (c) 236mm from the bottom of the page; and (d) 98.55mm from the right edge of the page.

Orange coloured forms to be obtained from the registrar

141. A document for which a form is provided in Part 2 of Schedule 8C must be delivered to the registrar on a form obtained from the registrar because the form must be on orange coloured paper.

Purple coloured forms to be obtained from the registrar

142. (1) This rule applies to a document if –

(a) it is a document for which a form is provided in Part 3 of Schedule 8C, and

(b) at the time of delivery to the registrar, one or both of the following apply:

(i) in relation to one or more of the current or proposed registrable persons of the SLP, restrictions on using or disclosing the particulars of that person or persons in relation to that SLP are in force under regulation 45 of the Scottish

Partnerships PSC Regulations, and

(ii) in relation to one or more of the past registrable persons of the SLP, restrictions on using or disclosing the particulars of that person or persons in relation to that SLP are in force under regulation 45 of the Scottish Partnerships PSC Regulations and the fact that the person has ceased to be a registrable person in relation to that SLP has not yet been registered by the registrar. (2) The forms in Part 1 of Schedule 8C must not be used to deliver to the registrar a document to which this rule applies and instead the document must be delivered to the registrar on a form obtained from the registrar because the form must be on purple coloured paper.

(3) A form in Part 3 of Schedule 8C cannot be used to deliver a document to which this rule does not apply.

Use of black ink for text inserted in a Schedule 8C form 143. Text inserted in a Schedule 8C form must be in black typescript or handwritten in black ink.

Name and registered number

144. (1) A document specified in the following table (being one for which these rules require a Schedule 8C form to be used) is required by legislation to contain the proposed name or name and registered number of the company or proposed company to which the document relates.

Description of document	Regulation of The Scottish Partnership PSC Regulations under which the document is delivered to the registrar	What is required by legislation?
Documents relating to disclosure of information relating to some people with significant control		

Application to registrar to refrain from using or disclosing particulars relating to a person with significant control (application by a SLP)	Regulation 48	Name and registered number (if applicable)
Application to registrar to refrain from using or disclosing particulars relating to a person with significant control (application by a Partner)	Regulation 49	Name and registered number (if applicable)
Application to registrar to refrain from using or disclosing particulars relating to a person with significant control (application by a Prospective Partner)	Regulation 50	Name of the proposed Scottish Limited Partnership
Application to registrar to make address unavailable for public inspection (application by an individual)	Regulation 64	Name and (if applicable) registered number

(2) All other Schedule 8C forms must contain the name and registered number of the SLP to which the Schedule 8C form relates.

(3) The name and registered number must be inserted in black typescript or handwritten in black ink in the name and number boxes on the Schedule 8C form.

Signature

145. A Schedule 8C form must be signed by the person or persons indicated on the form. The signature must be applied to the Schedule 8C form where indicated on the form.

Chapter 3 Non-scheduled form documents delivered under The Scottish Partnership PSC Regulations

Application of Chapter

146 (1) Except as provided in paragraph (2), this Chapter applies to a nonscheduled form document delivered to the registrar under the Scottish Partnership PSC Regulations.

(2) This Chapter does not apply to certified copies, verified copies. Part 9 imposes requirements on certified copies and verified copies.

White paper and black ink

147. (1) Except as provided in paragraph (2), a document to which this Chapter applies must be on white paper and the text of the document must be in black typescript or handwritten in black ink. (2) This rule does not apply to the following documents-

Description of document(s)	Regulation of The Scottish Partnership PSC Regulations under which the document is delivered to the registrar
Documents relating to disclosure of information relating to some people with significant control	
Notification that a person wishes a determination made under regulation 48, 49 or 50 of the Scottish Partnerships PSC Regulations to cease to have effect	Regulation 55
Representations from a SLP as to why a revocation decision should not be made in relation to a determination relating to regulation 48, 49 or 50 of the Scottish Partnerships PSC Regulations (where the application was made by an SLP)	Regulation 56
Representations from a person who is not a SLP as to why a revocation decision should not be made in relation to a determination relating to regulation 48, 49 or 50 of the Scottish Partnerships PSC Regulations	Regulation 56

Authentication

148. (1) A document specified in column 1 of the table delivered to the registrar must be authenticated by a person or persons specified in column 3 in accordance with the means specified and at the location specified in column 4 of the table. Column 2 references the legislative provision under which the document is delivered to the registrar.

(3) Where a printed name is given as a means of authentication it must in black typescript or handwritten in black ink.

Description of document	Regulation of The Scottish Partnership PSC Regulations under which the document is delivered to the registrar	Person or persons who must authenticate	Means and location of authentication
Documents relating to disclosure of information relating to some people with significant control			
Notification that a person wishes a determination relating to secure information to cease to have effect	Regulation 55	The person to whom the determination relates or their personal representative	By printed name in a prominent position on the document
Representations from an SLP as to why a revocation decision should not be made in relation to a determination relating to secure information (where the application was made by a SLP)	Regulation 56	The person making the representations	By printed name in a prominent position on the document
Representations from a person who is not an SLP as to why a revocation decision should not be made in relation to a determination relating to secure information	Regulation 56	The person making the representations	By printed name in a prominent position on the document

PART 9 CERTIFIED COPIES AND VERIFIED COPIES

Chapter 1 Certified or verified copy of a document relating to a charge

Application of Chapter

150. This Chapter applies to a certified copy or verified copy of an instrument or debenture delivered to the registrar under the following provisions-

- (a) Sections 862(2), 866(1), 867(2), 878(1), 880(2), 859A (3), 859B (3), 859B (4), 859C (3) and 859O(2)(a) of the 2006 Act,
- (b) regulation 18 of the Companies (Companies Authorised to Register) Regulations 2009 applying sections 862(2), 866(1), 867(2), 878(1), 880(2), 859A (3), 859B (3), 859B (4), 859C (3) and 859O(2)(a) of the 2006 Act to section 1040 companies,
- (c) regulation 10(1) of the Overseas Companies (Execution of Documents and Registration of Charges) Regulations 2009¹⁹,
- (d) Article 9(1)(c)(ii) of the EC Regulation applying sections 862(2), 866(1), 867(2), 878(1), 880(2), 859A (3), 859B (3), 859B (4), 859C (3) and 859O(2)(a) of the 2006 Act to SEs,
- (e) regulations 32, 34 and 39 of the Limited Liability Partnerships (Application of Companies Act 2006) Regulations 2009 applying sections

¹⁹ 22 S.I. 2009/1917.

- 862(2), 866(1), 867(2), 878(1), 880(2), 859A (3), 859B (3), 859B (4), 859C (3) and 859O(2)(a) of the 2006 Act to LLPs, and
- (f) regulation 18 of the EEIG Regulations applying sections 862(2), 866(1), 867(2), 878(1), 880(2), 859A (3), 859B (3), 859B (4), 859C (3) and 859O(2)(a) of the 2006 Act to EEIGs.

Requirements as to certification or verification

151. (1) The certification or verification of a copy document to which this Chapter applies must be given-

- (a) (for a copy of an instrument effecting an amendment, variation or regulation delivered to the registrar under section 859O or that section as applied to the relevant entity) by a person with an interest in the charge or another charge referred to in section 859O(1)(b), and
- (b) (for any other copy document) by a person interested in the charge. (2) The person giving the certificate or verification must sign at the end of the certificate or verification.

Chapter 2 Certified copy of constitution of an overseas company

Application of Chapter

152. This Chapter applies to a certified copy of the constitution of an overseas company delivered to the registrar under regulations 8(1), 14(2) or 15(2)(b)(i) of the Overseas Companies Regulations 2009.

Requirements as to certification

153. (1) The certification of a copy document to which this Chapter applies must be given by a director, secretary, permanent representative, administrator, administrative receiver, receiver manager, receiver, or liquidator of the overseas company to which the copy relates.

(2) The certificate must be in black typescript or handwritten in black ink.

(3) The person giving the certificate must sign at the end of the certificate.

White paper and black ink

154. A copy document to which this Chapter applies must be on white paper and in black typescript or handwritten in black ink.

Name and registered number

155. (1) A certified copy of the constitution of an overseas company delivered under regulation 8(1) of the Overseas Companies Regulations 2009 must contain the name of the overseas company.

(2) All other copy documents to which this Chapter applies must contain the name and registered number of the overseas company to which the document relates. (3) A name or registered number required by this rule must be inserted in black typescript or handwritten in black ink in a prominent position in the document.

PART 10 TRANSLATIONS**Chapter 1 Interpretation provisions Definitions**

156. In this Part-

“translation” means a translation into English, a translation into Welsh or a translation into a language other than English or Welsh as the case may be;

“translation into a language other than English or Welsh” means a translation into a language other than English or Welsh delivered to the registrar under

- (a) the following provisions applicable to companies ○ section 1106(1) of the 2006 Act, or ○ regulation 4(4) of the Companies (Cross-Border Mergers) Regulations 2007,
- (b) the following provisions applicable to section 1040 companies ○ section 1106(1) of the 2006 Act as applied to section 1040 companies by regulation 18 of the Companies (Companies Authorised to Register) Regulations 2009, or ○ Regulation 4(4) of the Companies (Cross-Border Mergers) Regulations 2007 as applied to section 1040 companies by regulation 18 of the Companies (Companies Authorised to Register) Regulations 2009,
- (c) the following provision applicable to unregistered companies ○ regulation 4(4) of the Companies (Cross-Border Mergers) Regulations 2007,

- (d) the following provision applicable to overseas companies ○ section 1106(1) of the 2006 Act as applied to overseas companies by section 1059A (5) of the 2006 Act,
- (e) the following provision applicable to SEs ○ section 1106(1) of the 2006 Act as applied to SEs by Article 9(1)(c)(ii) of the EC Regulation and regulation 13A (3) of the European Public Limited-Liability Company Regulations 2004,
- (f) the following provisions applicable to LLPs ○ regulation 68 of the Limited Liability Partnerships (Application of Companies Act 2006) Regulations 2009 applying section 1106(1) of the 2006 Act to LLPs, or ○ regulation 46 of the Limited Liability Partnerships (Application of Companies Act 2006) Regulations 2009 applying regulation 4(4) of the Companies (Cross-Border Mergers) Regulations 2007 to LLPs;

“translation into English” means a translation into English which is delivered to the registrar under

- (a) section 1105(1) of the 2006 Act other than a translation which relates to a credit or financial institution that is not an overseas company,
- (b) the following provisions applicable to companies ○ section 1104(2) of the 2006 Act, or ○ regulation 4(1)(b) or regulation 4(3) of the Companies (Cross-Border Mergers) Regulations 2007,
- (c) The following provision applicable to section 1040 companies ○ section 1104(2) of the 2006 Act as applied to section 1040 companies by regulation 18 of the Companies (Companies Authorised to Register) Regulations 2009, or ○ regulation 4(1)(b) or regulation 4(3) of the Companies (Cross-Border Mergers) Regulations 2007 as applied to section 1040 companies by regulation 19 of the Companies (Registration of Other Companies) Regulations 2009,
- (d) the following provision applicable to unregistered companies ○ regulation 4(3) of the Companies (Cross-Border Mergers) Regulations 2007,
- (e) the following provision applicable to SEs ○ section 1104(2) of the 2006 Act as applied to SEs by Article 9(1)(c)(ii) of the EC Regulation, or ○ section 1105(1) of the 2006 Act as applied to SEs by regulation 13A (3) of the European Public Limited-Liability Company Regulations 2004,
- (f) the following provisions applicable to LLPs ○ regulation 68 of the Limited Liability Partnerships (Application of Companies Act 2006) Regulations 2009 applying section 1104(2) or section 1105(1) of the 2006 Act to LLPs, ○ regulation 46 of the Limited Liability Partnerships (Application of Companies Act 2006) Regulations 2009 applying regulation 4(1)(b) or regulation 4(3) of the Companies (Cross-Border Mergers) Regulations 2007 to LLPs,
- (g) the following provisions applicable to EEIGs ○ Article 10 of the EEIG EEC Regulation and regulations 9 and 12 of the

EEIG Regulations, or ○ Article 7 of the EEIG EEC Regulation and regulation 13 of the EEIG Regulations.

“translation into Welsh” means a translation into Welsh delivered to the registrar under

- (a) the following provisions applicable to companies ○ section 1104(4) of the 2006 Act, or ○ regulation 4(1)(b) of the Companies (Cross-Border Mergers) Regulations 2007,
- (b) the following provisions applicable to section 1040 companies ○ section 1104(4) of the 2006 Act as applied to section 1040 companies by regulation 18 of the Companies (Companies Authorised to Register) Regulations 2009, or ○ regulation 4(1)(b) of the Companies (Cross-Border Mergers) Regulations 2007 as applied to section 1040 companies by regulation 18 of the Companies (Companies Authorised to Register) Regulations 2009,
- (c) the following provision applicable to an SE ○ section 1104(4) of the 2006 Act as applied to SEs by Article 9(1)(c)(ii) of the EC Regulation,
- (d) the following provisions applicable to LLPs ○ regulation 68 of the Limited Liability Partnerships (Application of Companies Act 2006) Regulations 2009 applying section 1104(4) of the 2006 Act to LLPs, or ○ regulation 46 of the Limited Liability Partnerships (Application of Companies Act 2006) Regulations 2009 applying regulation 4(1)(b) of the Companies (Cross-Border Mergers) Regulations 2007 to LLPs.

Chapter 2 Certification of a translation

Requirements as to certification of translation of a document relating to a charge

157. (1) This rule applies to a translation of an instrument or debenture delivered to the registrar under the following provisions-

- (a) Sections 862(2), 866(1), 867(2), 878(1), 880(2), 859A (3), 859B (3), 859B (4), 859C (3) and 859O(2)(a) of the 2006 Act,
- (b) regulation 18 of the Companies (Companies Authorised to Register) Regulations 2009 applying sections 862(2), 866(1), 867(2), 878(1), 880(2), 859A (3), 859B (3), 859B (4), 859C (3) and 859O(2)(a) of the 2006 Act to section 1040 companies,
- (c) regulation 10(1) of the Overseas Companies (Execution of Documents and Registration of Charges) Regulations 2009,
- (d) Article 9(1)(c)(ii) of the EC Regulation applying sections 862(2), 866(1), 867(2), 878(1), 880(2), 859A (3), 859B (3), 859B (4), 859C (3) and 859O(2)(a) of the 2006 Act to SEs,
- (e) regulations 32, 34 and 39 of the Limited Liability Partnerships (Application of Companies Act 2006) Regulations 2009 applying sections

862(2), 866(1), 867(2), 878(1), 880(2), 859A (3), 859B (3), 859B (4), 859C (3) and 859O(2)(a) of the 2006 Act to LLPs, and
(f) regulation 18 of the EEIG Regulations applying Part 25 of the 2006 Act to EEIGs.

- (2) The certification of a translation as accurate must be given-
- (a) (for a translation of an instrument effecting an amendment, variation or regulation delivered to the registrar under section 859O or that section as applied to the relevant entity) by a person with an interest in the charge or another charge referred to in section 859O(1)(b), and
 - (b) (for any other translation) by a person interested in the charge.
- (3) The certificate must be in black typescript or handwritten in black ink.
- (4) The person giving the certificate must sign at the end of the certificate.

Requirements as to certification of other translations

158. (1) This rule applies to the certification of a translation except one to which rule 128 applies.

- (2) The certification of a translation as accurate must be given by one of the persons specified for that translation in the following table.

Description of translation	Person who must certify
Translation of a document relating to a company or a section 1040 company	A director, secretary, person authorised, administrator, administrative receiver, receiver manager, receiver, liquidator; or if applicable, a CIC manager, receiver, and manager (appointed under section 18 of the Charities Act 1993) or judicial factor, in each case, of the company or section 1040 company to which the document relates
Translation of a document relating to an unregistered company	A director, secretary, person authorised, administrator, administrative receiver, receiver manager, receiver, or liquidator, in each case, of the unregistered company to which the document relates
Translation of a document relating to an overseas company	A director, secretary, permanent representative, administrator, administrative receiver, receiver manager, receiver, liquidator, or judicial factor, in each case, of the overseas company to which the document relates

Translation of a document relating to an SE	A member, administrator, administrative receiver, receiver manager, receiver, liquidator; or if applicable, a CIC manager, receiver, and manager (appointed under section 18 of the Charities Act 1993) or judicial factor, in each case, of the SE to which the document relates
Translation of a document relating to an LLP	A member, designated member, administrator, administrative receiver, receiver manager, receiver, liquidator, or judicial factor, in each case, of the LLP to which the document relates

(3) The certificate must be in black typescript or handwritten in black ink.

(4) The person giving the certificate must sign at the end of the certificate.

Chapter 3 Form and authentication of translations

Requirements as to form of translation

159. (1) This rule imposes requirements on all translations. Rules 131 and 132 impose additional requirements as to form and authentication of some translations.

(2) A scheduled form translation (defined in paragraph (4)) must be delivered to the registrar in the relevant scheduled form. That translation must comply with the requirements in Parts 3, 4, 5, 6, 7 and 8 as the case may be which are applicable to that scheduled form except any such requirements as to signature, supply of name and registered number and supply of a print of the name of signatory.

(3) A non-scheduled form translation (defined in paragraph (4)) must be on white paper and the text of the translation must be in black typescript or handwritten in black ink. (4) In this rule- “non-scheduled form translation” means a translation which is not a scheduled form translation;

“scheduled form translation” means a translation of a document that is or was delivered in scheduled form or that would have been delivered in scheduled form if delivered in paper form instead of in electronic form.

Translation covering form (translation into a language other than English or Welsh)

160. (1) This rule applies to a translation into a language other than English or Welsh. The requirements in this rule are in addition to those imposed by rule 159.

(2) A translation to which this rule applies must be accompanied by the following information-

- (a) a description of the original document to which the translation relates, and
- (b) (if the original document does not accompany the translation) the date of registration of that original document by the registrar.

(3) That information must be delivered to the registrar in a translation covering form (defined in paragraph (9)).

(4) A translation covering form must be reproduced as set out in Schedule 10 as to its text, layout and format and must be in black ink.

(5) A translation covering form must be on paper that complies with the following requirements-

Size	A4
Colour	White
Orientation	Portrait (Shorter edge across the top)

(6) Text inserted in a translation covering form must be in black typescript or handwritten in black ink.

(7) A translation covering form must contain the name and registered number of the company or other body to which the form relates except that the requirement for registered number does not apply to such a form which relates to an unregistered company. The name and registered number must be inserted in black typescript or handwritten in black ink in the name and number boxes on the translation covering form.

(8) A translation covering form must be signed by one of the persons indicated on that form. The signature must be applied to the translation covering form where indicated on the form. (9) In this rule-

“translation covering form” means the following form-

- (a) (for a document relating to a company, a section 1040 company, an unregistered company, an overseas company, or an SE) the form in Part 1 of Schedule 10;
- (b) (for a document relating to an LLP) the form in Part 2 of Schedule 10.

Name and registered number (translation into Welsh)

161. A translation into Welsh must contain the name and registered number of the company or other body to which the translation relates. The name and registered number must be inserted in black typescript or handwritten in black ink in a prominent position in the translation.

PART 11 REPLACEMENT DOCUMENTS

Requirements applicable to a replacement document

162. A replacement document (as defined in rule 4) must comply with the following-

- (a) the requirements in Part 2 (manner of delivery and receipt),
- (b) the requirements in Parts 3, 4, 5, 6, 7, 8, 8B, 8C, 9 and 10 (as the case may be) that are applicable to that document, and (c) the requirements in rule 163 (replacement covering form).

Replacement covering form

163. (1) A replacement document must be accompanied by the following information-

- (a) a description of the original document to which the replacement relates, and

- (b) the date of registration of that original document by the registrar.
- (2) That information must be delivered to the registrar in a replacement covering form (defined in paragraph 7).
- (3) A replacement covering form must be reproduced as set out in Schedule 11 as to its text, layout and format and must be in black ink.
- (4) A replacement covering form must be on paper that complies with the following requirements-

Size	A4
Colour	White
Orientation	Portrait (Shorter edge across the top)

- (5) Text inserted in a replacement covering form must be in black typescript or handwritten in black ink.
- (6) A replacement covering form must contain the name and registered number of the company or other body to which the form relates except that the requirement for registered number does not apply to such a form which relates to an unregistered company. The name and registered number must be inserted in black typescript or handwritten in black ink in the name and number boxes on the replacement covering form.
- (7) In this rule-
- “replacement covering form” means the following form-
- (a) (for a document relating to a company, a section 1040 company, an unregistered company, an overseas company, or an SE) the form in Part 1 of Schedule 11;
- (b) (for a document relating to an LLP) the form in Part 2 of Schedule 11.

PART 12 REVOCATION

Revocation of existing rules

164. (1) The existing rules (defined in paragraph (3)) are revoked, subject to paragraph (2).
- (2) The revocation of the existing rules does not affect the operation of those rules in relation to a document delivered to the registrar in pursuance of an obligation arising before 1st October 2009.
- (3) In this rule- “existing rules” means
- (a) the registrar’s rules 2007; and

(b) the requirements in regulation 5 of the Companies (Welsh Language Forms and Documents) Regulations 1994¹³ that took effect pursuant to paragraph 1 of Schedule 5 to the Companies Act 2006 (Commencement No.1, Transitional Provisions and Savings) Order 2006¹⁴ as if they were imposed by means of rules under section 1117 of the 2006 Act; (c) (for a document relating to an SQP or SLP) the form in Part 3 of schedule 11.

Signed by:

Gareth Jones - Registrar of Companies for England and Wales

Dorothy Blair - Registrar of Companies for Scotland

Helen Shilliday - Registrar of Companies for Northern Ireland

SCHEDULE 1 PROVISIONS CONFERRING POWERS EXERCISED IN MAKING THESE RULES

- (1) The following provisions of the Companies Act 2006-
Sections 1059A, 1068, 1071, 1076(3), 1106(4), 1111 and 1117
- (2) Section 26 of the Welsh Language Act 1993
- (3) The following provisions of the Companies (Cross-Border Mergers) Regulations 2007-
Regulations 4(1)(b), 4(4), 12(6)(a) and 19(4)(a)

¹³ S.I. 1994/117

¹⁴ S.I. 2006/3428

(4) Regulation 3 of the Unregistered Companies Regulations 2009

(5) Regulation 13A (3) of the European Public Limited-Liability Company Regulations 2004

(6) The following provisions of the Limited Liability Partnerships Act 2000 and the Limited Liability Partnerships (Application of Companies Act 2006) Regulations 2009 Section 15 and 17 of the Limited Liability Partnerships Act 2000 Regulation 46 and 68 of the Limited Liability Partnerships (Application of Companies Act 2006) Regulations 2009

SCHEDULE 2 SCHEDULED FORMS FOR DOCUMENTS RELATING TO COMPANIES DELIVERED UNDER THE COMPANIES ACT 2006

Part 1 English forms

<u>Form</u>	<u>Name of Form</u>
AA01	<u>Change of accounting reference date</u>
AA03	<u>Notice of resolution removing auditors from office</u>
RT01	<u>Application for administrative restoration to the Register</u>
	<u>Annual Return</u>
AR01 2015	
<u>CS01</u>	Confirmation Statement
AD02	Notification of single alternative inspection location (SAIL)

<u>AD03</u>	Change of location of the company records to the single alternative inspection location address
AD04	Change of location of the company records to the registered office
<u>CC01</u>	<u>Notice of restriction on the company's articles</u>
CC02	<u>Notice of removal of restriction on the company's articles</u>

CC03	<u>Statement of compliance where amendment of articles restricted</u>
CC04	<u>Statement of company's objects</u>
<u>CC05</u>	
CC06	<u>Change of constitution by enactment</u>
	<u>Change of constitution by order of court or other authority</u>
<u>NE01</u>	<u>Exemption from requirement as to use of "limited" or "cyfyngedig" on change of name</u>
NM01	<u>Notice of change of name by resolution</u>
<u>NM02</u>	<u>Notice of change of name by conditional resolution</u>
	<u>Notice confirming satisfaction of the conditional resolution for change of name</u>
<u>NM03</u>	<u>Notice of change of name by means provided for in the articles</u>
NM04	
NM05	<u>Notice of change of name by resolution of directors</u>
<u>NM06</u>	<u>Request to seek comments of government department or other specified body on change of name</u>
AD01	<u>Change of registered office address</u>

EM01 Change of registered email address

Notice to change the situation of an England and Wales company or a Welsh company

AD05

AP01 Appointment of director

AP02 Appointment of corporate director

AP03
Appointment of secretary

AP04 Appointment of corporate secretary

TM01

TM02 Termination an appointment of a secretary of an individual or corporate secretary
Change of corporate director's details

CH01

CH02

CH03 Change of secretary's details

CH04 Change of corporate secretary's details

Striking off application by a company

DS01

Withdrawal of striking off application by a company

DS02

Application to register a company

IN01

Notice of intention to carry on business as an investment company

IC01

Notice that a company no longer wishes to be an investment company

IC02

Particulars of a charge

MR01 Particulars of a charge subject to which property or undertaking has been acquired

MR02

MR03 Particulars for the registration of a charge to secure a series of debentures

MR04 Statement of satisfaction in full or in part of a charge

MR05 Statement that part or the whole of the property charged (a) has been released from the charge (b) no longer forms part of the company's property

MR06

Statement of a company acting as a trustee

MR07 Particulars of alteration of a charge (particulars of a negative pledge)

MR08 Particulars of a charge where there is no instrument

MR09 Particulars of a charge subject to which property or undertaking has been acquired where there is no instrument

MR10 Particulars for the registration of a charge in a series of debentures where there is no instrument

RM01 Notice of appointment of administrative receiver, receiver, or manager

RM02 Notice of Ceasing to act as administrative receiver, receiver or manager

MG01

Particulars of a mortgage or charge

MG06	<u>Particulars of a charge subject to which property has been acquired</u> <u>Particulars for the registration of a charge to secure a series of debentures</u>
<u>MG07</u>	<u>Particulars of an issue of secured debentures in a series</u>
MG08	
<u>MG09</u>	<u>Certificate of registration of a charge comprising property situated in another UK jurisdiction</u> <u>Notice of opening of overseas branch register</u>
AD06	 <u>Notice of discontinuance of overseas branch register</u>
<u>AD07</u>	<u>Appointment of a manager under Section 47 of the Companies (Audit, Investigations and Community Enterprise) Act 2004 or receiver and manager under Section 18 of the Charities Act 1993 or judicial factor (Scotland)</u>
<u>AP05</u>	<u>Termination of appointment of manager under Section 47 of the Companies (Audit, Investigations and Community Enterprise) Act 2004 or receiver and manager under Section 18 of the Charities Act 1993 or judicial factor (Scotland)</u>
<u>TM03</u>	
RP01	Replacement of a document not meeting the requirements for proper delivery

RP07 Application for rectification of a registered office or a UK establishment address by the Registrar of Companies

RP08 Application to remove material

RR01 Application by a private company for re-registration as a public company

RR02 Application by a public company for re-registration as a private limited company

Notice by the company of application to the court for cancellation of resolution for re-r

RR03 Notice by the applicants of application to the court for cancellation of resolution for re-r

RR04

Application by a private limited company for re-registration as an unlimited company

RR05

Application by an unlimited company for re-registration as a private limited company

RR06

RR07

Application by a public company for re-registration as a private unlimited company

RR08

Application by a public company for re-registration as a private limited company follo
order reducing capital

RR09

Application by a public company for re-registration as a private company following a c
of shares

RR10

Application by a public company for re-registration as a private company following a r
capital due to redenomination

Return of allotment of shares

SH01

Notice of consolidation, sub-division, redemption of shares or
rehttp://resources.companieshouse.gov.uk/forms/registrarsRulesFormsContinuation.sht
of stock into shares

SH02

SH03

Return of purchase of own shares

Notice of sale or transfer of treasury shares by a public limited company (PLC)

SH04

e		<u>Notice of cancellation of treasury shares by a public limited company (PLC)</u>
r	<u>SH05</u>	
	SH06	<u>Notice of cancellation of shares</u>
		<u>Notice of cancellation of shares held by or for a public company</u>
	SH07	<u>Notice of name or other designation of class of shares</u>
	SH08	<u>Return of allotment by an unlimited company allotting new class of shares</u>
w	<u>SH09</u>	<u>Notice of particulars of variation of rights attached to shares</u>
	SH10	<u>Notice of new class of members</u>
e	SH11	

Notice of particulars of variation of class rights

SH12

Notice of name or other designation of class of members

SH13

SH14

Notice of redenomination

SH15

Notice of reduction of capital following redenomination

Notice by the applicants of application to court for cancellation of the special resolution approving a redemption or purchase of shares out of capital

SH16

Notice by the company of application to court for cancellation of the special resolution approving a redemption or purchase of shares out of capital

SH17

SH19
(108)

Statement of capital (Section 108)

SH19 (644
&649)

Statement of capital (Section 644 & 649)

Statement of capital (cancellation of bearer shares)

SH19

Application for trading certificate for a public company

SH50

SR01

Application to remove personal details from the public register

Give notice of individual person with significant control

Give notice of relevant legal entity with significant control

PSC01

PSC02

Give notice of other registrable person with significant control

PSC03

Give notice of change of details for person with significant control

PSC04

PSC05	Give notice of change of details for relevant legal entity with significant control Give notice of change of details of other registrable person with significant control
PSC06	
PSC07	Give notice ceasing to be an individual person with significant control
	Give notice of PSC statements
PSC08	
	Give notice of update to PSC statements
PSC09	
	<u>Particulars of a charge created by a company registered in Scotland</u>
MG01s	<u>Particulars of a charge subject to which property has been acquired by a company registered in Scotland</u>
<u>MG06s</u>	<u>Particulars for the registration of a charge to secure a series of debentures by a company registered in Scotland</u>
<u>MG07s</u>	
<u>MG08s</u>	<u>Particulars of an issue of secured debentures in a series by a company registered in Scotland</u>
<u>AA06</u>	<u>Statement of guarantee by a parent undertaking of a subsidiary company</u>
VS01	Individual person with significant control (PSC) identity verification
SR22	Application to remove a home address used without consent in a set of Annual Accounts from the public record

Part 2 Welsh language forms

<u>Ffurflenni</u> <u>/ Form</u>	<u>Enw ffurflen / Name of form</u>
AP01c	Penodiad cyfarwyddwr / Appointment of director
<u>AP02c</u>	Penodiad cyfarwyddwr corfforaethol / Appointment of corporate director
<u>AP03c</u>	Penodiad ysgrifennydd / Appointment of secretary
<u>AP04c</u>	Penodiad ysgrifennydd corfforaethol / Appointment of corporate secretary
CH01c	Newid manylion cyfarwyddwr / Change of director's details
<u>CH02c</u>	Newid manylion cyfarwyddwr corfforaethol / Change of corporate director's details
CH03c	Newid manylion ysgrifennydd / Change of secretary's details
<u>CH04c</u>	<u>Newid manylion ysgrifennydd corfforaethol / Change of corporate secretary's details</u>
<u>TM01c</u>	Terfynu penodiad cyfarwyddwr / Termination of appointment of director
<u>TM02c</u>	<u>Terfynu penodiad ysgrifennydd / Termination of appointment of secretary</u>

<u>AA01c</u>	<u>Newid dyddiad cyfeirnod cyfrifeg / Change of accounting reference date</u>
<u>CS01c</u>	Datganiad cadarnhau / Confirmation statement
AR01c 2015	Ffurlen Flynyddol / Annual Return
SH01c	<u>Dychwelyd cyfrannau a glustnodwyd / Return allotment of shares</u>
IN01c	Cais am gofrestru cwmni/Application to register a company
<u>NE01c</u> <u>NM01c</u>	<u>Eithrio rhag rheidrwydd defnyddio ‘cyfyngedig’ neu ‘limited’ wrth newid enw / Exemption from requirement as to use of ‘limited’ or ‘cyfyngedig’ on change of name</u>
<u>AD01c</u>	<u>Rhoi gwybod am newid enw drwy benderfyniad / Notice of change of name by resolution</u> <u>Newid cyfeiriad y swyddfa gofrestredig / Change of registered office address</u>
	Rhoi gwybod am leoliad archwilio amgen unigol (SAIL) / Notification of single alternative inspection location (SAIL)

<u>AD02c</u>	<u>Newid lleoliad cofnodion y cwmni i'r lleoliad archwilio amgen unigol (SAIL) / Change of location of the company records to the single alternative inspection location (SAIL)</u>
<u>AD03c</u>	<u>Newid lleoliad cofnodion y cwmni i'r swyddfa gofrestredig / Change of location of the company records to the registered office</u>
<u>AD04c</u>	<u>Hysbysiad i newid sefyllfa cwmni yn Lloegr a Chymru neu gwmni Cymreig / Notice to change the situation of an England and Wales company or a Welsh company</u>
<u>AD05c</u>	<u>Cais cwmni am gael ei ddileu o'r Gofrestr / Striking off application by a company</u>
<u>DS01c</u>	<u>Tynnu'n ôl gais cwmni am gael ei ddileu o'r Gofrestr / Withdrawal of striking off application by a company</u>
<u>DS02c</u>	Hysbysiad am berson a rheolaeth arwyddocaol (PRhA) unigol / Notice of individual person with significant control (PSC)
PSC01c	
PSC02c	
PSC03c	
PSC04c	Newid ym manylion person a rheolaeth arwyddocaol (prhA) unigol / give notice of change of details for person with significant control (PSC04c)
PSC05c	
PCS06c	
SR01c	

Cais i ddileu manylion personol o'r gofrestr gyhoeddus / Application
to remove personal details from the public register

PSC07c Hysbysiad rhoi'r gorau I fod yn berson a rheolaeth arwyddocaol
(PRhA) unigol / give notice ceasing to be an individual person with
significant control

PSC08c

PSC09c

Part 3 Orange coloured forms

<u>Form</u>	<u>Name of Form</u>
	SR02 Application by a company under section 1088 to make members' addresses unavailable for public inspection SR03 Application under section 1088 by a person who registered a charge to make an address unavailable for public inspection
SR19	Application by an individual director who has a section 790ZF exemption to prevent their usual residential address being disclosed to a credit reference agency
SR20	Application by a company to prevent a director's (who has section 790ZF exemption) usual residential address being disclosed to a credit reference agency
SR21	Application by a subscriber to a memorandum of association to prevent a director's (who has section 790ZF exemption) usual residential address being disclosed to a credit reference agency)
SR07	Application by an individual to prevent PSC information being disclosed on the public register and to prevent the PSC residential address being disclosed to credit reference agencies
SR08	Application by a company to prevent PSC information being disclosed on the public register and to prevent the PSC residential address being disclosed to credit reference agencies

SR09 Application by a subscriber to a company to prevent PSC information being disclosed on the public register and to prevent the PSC residential address being disclosed to credit reference agencies

Application by an individual, a company or by a subscriber to a memorandum of association to have a home address withheld from credit reference agencies.

SA01

SR13 Application by an individual to prevent all their PSC information being disclosed on the public register

SR14 Application by a company to prevent PSC information being disclosed on the public register

SR15 Application by a subscriber to a memorandum of association to prevent all PSC information being disclosed on the public register

SR16 Application by an individual who has a section 243 exemption to prevent their usual residential address being disclosed to a credit reference agency

SR17 Application by a company to prevent an individual PSC's (who has a section 243 exemption) usual residential address being disclosed to a credit reference agency

SR18 Application by a subscriber to a memorandum of association to prevent a proposed individual PSC's (who has a section 243 exemption) usual residential address being disclosed to a credit reference agency

Part 4 Purple coloured forms

Form	Name of Form

IN01(ZG)	
PSC01(ZG)	Application to register a company
PSC04(ZG)	Give notice of individual person with significant control
PSC07(ZG)	Give notice of change of details for person with significant control
PSC08(ZG)	
PSC09(ZG)	Give notice ceasing to be an individual person with significant control
VS01(ZG)	

Give notice of PSC statements

Update to PSC statements

Individual person with significant control (PSC) identity verification

SCHEDULE 3 SCHEDULED FORMS FOR DOCUMENTS RELATING TO COMPANIES DELIVERED UNDER THE COMPANIES (CROSS-BORDER MERGERS) REGULATIONS 2007

<u>Form</u>	<u>Name of Form</u>
CB01	<u>Notice of a cross border merger involving a UK registered company</u>

SCHEDULE 4 SCHEDULED FORMS FOR DOCUMENTS RELATING TO SECTION 1040 COMPANIES

Part 1 English forms

Form	Name of Form
<u>NC</u> <u>IN01</u>	Application by a joint stock company for registration as a public company under the Companies Act 2006

Part 2 Orange coloured forms

Form	Name of Form
<u>NC</u> <u>SR06</u>	<u>Application under section 243 by a subscriber to a memorandum of association</u>

SCHEDULE 5 SCHEDULED FORMS FOR ANNUAL RETURN RELATING TO UNREGISTERED COMPANIES

Form	Name of Form
<u>UN</u> <u>AR01</u>	<u>Annual Return for an unregistered company with a made-up date before 1 October 2011</u>
<u>UN</u> <u>AR01</u>	<u>Annual Return for an unregistered company with a made-up date on or after 1 October 2011</u>

**SCHEDULE 6 SCHEDULED FORMS FOR DOCUMENTS RELATING TO
UNREGISTERED COMPANIES DELIVERED UNDER THE COMPANIES
(CROSS-BORDER MERGERS) REGULATIONS 2007**

Form	Name of Form
<u>UN</u> CB01	<u>Notice of a cross border merger involving an unregistered company that has a principal place of business in the UK</u>

**SCHEDULE 7 SCHEDULED FORMS FOR DOCUMENTS RELATING
OVERSEAS COMPANIES**

Part 1 English forms

Form	Name of Form
<u>OS</u> <u>IN01</u>	Registration of an overseas company opening a UK establishment
<u>OS</u> NM01	<u>Registration of change of name of overseas company as registered in the UK</u>
<u>OS</u> CC01	<u>Return by an overseas company of an alteration to constitutional documents</u>
<u>OS</u> CC02	<u>Return by an overseas company of change of UK establishment relating to constitutional documents</u>
<u>OS</u> AA01	<u>Statement of details of parent law and other information for and overseas company</u>
<u>OS</u> AA02	<u>Return of alteration of manner of compliance with accounting requirements</u>

OS Notice of location, or change in location, of instruments creating charges
AD02 and register of charges for an overseas company

OS
AP01 Appointment of director of an overseas company

OS Appointment of corporate director of an overseas company
AP02

OS
AP03 Appointment of secretary of an overseas company

OS
AP04 Appointment of corporate secretary of an overseas company

OS Appointment by an overseas company of a person authorised to represent
AP05 the company as a permanent representative in respect of a UK
establishment

OS
AP06 Appointment of a judicial factor (Scotland) for an overseas company

OS Appointment by an overseas company of a person authorised to accept
AP07 service of documents on behalf of the company in respect of a UK
establishment

OS
TM01 Termination of appointment of director of an overseas company

OS
TM02 Termination of appointment of secretary of an overseas company

Termination of appointment by an overseas company of a person authorised to accept service of documents or person authorised to represent the company in respect of a UK establishment

OS
TM03

OS Termination of appointment of judicial factor (Scotland) of an overseas company
TM04

OS Return by a UK establishment of an overseas company for change of details
CH01

OS Return by an overseas company for a change of company details
CH02

OS
CH03 Change of details of a director of an overseas company

OS
CH04 Change of details of a corporate director of an overseas company

OS Change of details of a secretary of an overseas company
CH05

OS
CH06 Change of details of a corporate secretary of an overseas company

OS Change of details by an overseas company for a person authorised to represent the company in respect of a UK establishment
CH07

OS Change of service address for a judicial factor (Scotland) of an overseas company
CH08 Change of details by an overseas company for a person authorised to accept service of documents on behalf of the company in respect of a UK establishment

OS
CH09

Notice of closure of a UK establishment of an overseas company

OS

DS01 Notice of termination of winding up of an overseas company

OS

DS02 Notice of appointment of a liquidator of an overseas company

OS

LQ01 Notice by an overseas company which becomes subject to proceedings relating to insolvency

OS

LQ02 Notice of winding up of an overseas company

OS

LQ03

Notice by an overseas company on cessation of proceedings relating to insolvency

OS

LQ04

OS

MG01

Particulars of a mortgage or charge by an overseas company

OS

MG02

Statement of satisfaction in full or in part of a mortgage or charge for an overseas company

<u>OS</u> MG03	<u>Application for registration of a memorandum of satisfaction that part (or the whole) of the property charged (a) has been released from the charge;</u> <u>(b) no longer forms part of the company's property, for an overseas company</u>
<u>OS</u> MG04	<u>Particulars for the registration of a charge to secure a series of debentures for an overseas company</u>
<u>OS</u> MG05	<u>Particulars of an issue of secured debentures in a series for an overseas company</u>
OSVS01	Directors' identity verification for an overseas company
<u>OS</u> TN01	<u>Transitional return by a UK establishment of an overseas company</u>

Part 2 Orange coloured forms

Form	Name of Form
<u>OS</u> SR01	<u>Application for higher protection by a director or permanent representative of an overseas company to prevent disclosure to a credit reference agency of protected information</u>
<u>OS</u> SR02	<u>Application for higher protection by an overseas company to prevent disclosure to a credit reference agency of protected information</u>

SCHEDULE 7A SCHEDULED FORMS FOR DOCUMENTS RELATING TO EUROPEAN PUBLIC-LIMITED LIABILITY COMPANIES

Form	Name of Form
<u>SE</u>	

FM01	Formation by merger of Societas Europea (SE) to be registered in the United Kingdom (UK)
<u>SE</u>	
FM02	
<u>SE</u>	Formation of holding Societas Europaea (SE)
FM03	
<u>SE</u>	Formation of subsidiary Societas Europaea (SE) under Article 2(3) of Council Regulation (EC) No 2157/2001
FM04	
<u>SE</u>	Transformation of a Public Limited Company (PLC) to Societas Europaea (SE)
FM05	
<u>SE</u>	Formation of subsidiary Societas Europaea (SE) under Article 3(2) of Council Regulation (EC) No 2157/2001
TR02	
	Transfer to the United Kingdom (UK) of Societas Europaea (SE)

SE
TR03 Transfer from the United Kingdom (UK) of Societas Europaea (SE)

SE
DT01 Draft terms of formation of holding Societas Europaea (SE) involving a United Kingdom (UK) registered company or SE

SE
DT02 Draft terms of conversion of a Public Limited Company (PLC) to Societas Europaea (SE)

SE
TR01 Proposed transfer from the United Kingdom (UK) of Societas Europaea (SE)

SE
SC01 Notice of satisfaction of conditions for the formation of holding Societas Europaea (SE) by a United Kingdom (UK) registered company or SE

SE
SS01 Statement of solvency by members of Societas Europaea (SE) which is proposing to transfer from the United Kingdom (UK)

SE
AP01 Appointment of a member of a supervisory organ of a Societas Europaea (SE)

SE
AP02 Appointment of corporate member of a supervisory organ of Societas Europaea (SE)

SE
CH01 Change of member's details of a supervisory organ of a Societas Europaea (SE)

SE
CH02 Change of member's details of a supervisory organ of a Societas Europaea (SE)

SE
TM01 Terminating appointment of member of a supervisory organ of Societas Europaea (SE)

SE AS01

Amendment of Statutes of a Societas Europaea (SE)

SE
WU01

Notice of initiation or termination of winding up, liquidation, insolvency, cessation of payment procedures and decision to continue operating of Societas Europaea (SE)

SE
CV01

Conversion of Societas Europaea (SE) to a Public Limited Company (PLC)

SE
DT03

Notification of draft terms of conversion of Societas Europaea (SE) to a Public Limited Company (PLC)

SCHEDULE 8

SCHEDULED FORMS FOR DOCUMENTS RELATING TO LIMITED LIABILITY PARTNERSHIPS

Part 1 English forms

Form	Name of Form
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LL
IN01 Application for the incorporation of a Limited Liability Partnership (LLP)

LL
AP01 Appointment of member of a Limited Liability Partnership (LLP)

LL
AP02 Appointment of corporate member of a Limited Liability Partnership (LLP)

LL
AP03 Appointment of judicial factor (Scotland) to a Limited Liability Partnership (LLP)

LL
CH01 Change of details of a member of a Limited Liability Partnership (LLP)

LL
CH02 Change of details of a corporate member of a Limited Liability Partnership (LLP)

LL
CH03

LL
TM01 Change of service address for a judicial factor (Scotland) of a Limited Liability Partnership (LLP)

Termination of appointment of member of a Limited Liability Partnership (LLP)

LL
TM02 Termination of appointment of a judicial factor (Scotland) of a Limited Liability Partnership (LLP)

LL
AA01 Change of accounting reference date of a Limited Liability Partnership (LLP)

LL
AA02 Notice of removal of auditor from a Limited Liability Partnership (LLP)

LL
AD01 Change of registered office of a Limited Liability Partnership (LLP)

LL
AD02 Notification of the single inspection location (SAIL) of a Limited Liability Partnership (LLP)

LL
AD03 Change of location of the records to the single inspection location (SAIL) of a Limited Liability Partnership (LLP)

LL
AD04 Change of location of the records to the registered office of a Limited Liability Partnership (LLP)

LL
AD05 Notice to change the situation of an England and Wales Limited

LL Liability Partnership or a Welsh Limited Liability Partnership
CS01 (LLP)Confirmation StatementAnnual Return of a Limited Liability Partnership (LLP)

LL
AR01

LL
DE01 Notice of change of status of a Limited Liability Partnership (LLP)

LL
NM01 Notice of change of name of a Limited Liability Partnership (LLP)

LL
DS01 Striking off of application by a Limited Liability Partnership (LLP)

LL Withdrawal of striking off application by a Limited Liability Partnership
DS02 (LLP)

LL RP01 Replacement of document not meeting requirements for proper delivery for a Limited Liability Partnership (LLP)

LL Application for administrative restoration of a Limited Liability
RT01 Partnership (LLP) to the Register

SR01 Application to remove personal details from the public register

- LL** Give notice of individual person with significant control of a limited
PSC01 liability partnership
- LL** Give notice of relevant legal entity with significant control of a limited
PSC02 liability partnership
- LL** Give notice of other registrable person with significant control of a
PSC03 limited liability partnership
- LL** Give notice to change details of a person with significant control of a
PSC04 limited liability partnership

- LL** Give details of relevant legal entity with significant control of a limited
PSC05 liability partnership
- LL**
PSC06 Give notice changing details of other person with significant control of a
limited liability partnership
- LL**
PSC07 Give notice of ceasing to be a PSC, RLE or ORP of an LLP
- LL** Give notice of a person of PSC statements for a limited liability
PSC08 partnership
- LL** Give notice of update to PSC statements for a Limited Liability
PSC09 Partnership (LLP)
- LL**

MR01

LL

Particulars of a charge created by a Limited Liability Partnership (LLP)

MR02

LL

Particulars of a charge subject to which property or undertaking has been acquired by a Limited Liability Partnership (LLP)

MR03

Particulars for the registration of a charge to secure a series of debentures by a Limited Liability Partnership (LLP)

LL

MR04

Statement of satisfaction in full or in part of a charge by a Limited Liability Partnership (LLP)

LL

MR05

Statement that part or the whole of the property charged (a) has been released from the charge (b) no longer forms part of the Limited Liability Partnership's (LLP)'s property

Statement of Limited Liability Partnership (LLP) acting as a trustee

LL

MR06

LL

MR07

Particulars of alteration of a charge for a Limited Liability Partnership (LLP) (Particulars of a negative pledge)

LL

MR08

Particulars of a charge created by a Limited Liability Partnership (LLP) where there is no instrument

LL

MR09

Particulars of a charge subject to which property or undertaking has been acquired by a Limited Liability Partnership (LLP) where there is no instrument

Particulars for the registration of a charge in a series of debentures by a

LL Limited Liability Partnership (LLP) where there is no instrument
MR10

LL Notice of appointment of administrative receiver, receiver, or manager
RM01

LL
RM02 Notice of Ceasing to act as administrative receiver, receiver or manager

LL Particulars of a mortgage or charge created by a Limited Liability
MG01 Partnership (LLP)

LL Particulars of charge subject to which property has been acquired by a
MG06 Limited Liability Partnership (LLP)

<u>LL</u> MG07	<u>Particulars for the registration of a charge to secure a series of debentures by a Limited Liability Partnership (LLP)</u>
<u>LL</u> MG08	<u>Particulars of an issue of secured debentures in a series by a Limited Liability Partnership (LLP)</u>
<u>LL</u> MG09	<u>Certificate of registration of a charge comprising property situated in another UK jurisdiction by a Limited Liability Partnership (LLP)</u>
<u>LL</u> MG01s	<u>Particulars of a charge created by a Limited Liability Partnership (LLP) registered in Scotland</u>
<u>LL</u> MG06s	<u>Particulars of a charge subject to which property has been acquired by a Limited Liability Partnership (LLP) registered in Scotland</u>
<u>LL</u> MG07s	<u>Particulars for the registration of a charge to secure a series of debentures by a Limited Liability Partnership (LLP) registered in Scotland</u>
<u>LL</u> MG08s	<u>Particulars of an issue of secured debentures in a series by a Limited Liability Partnership (LLP) registered in Scotland</u>
<u>LL</u> CB01	<u>Notice of a cross border merger involving a UK registered Limited Liability Partnership (LLP)</u>
<u>LL</u> AA06 SR22	<u>Statement of guarantee by a parent undertaking of a subsidiary Limited Liability Partnership (LLP)</u> Application to remove a home address used without consent in a set of Annual Accounts from the public record

Part 2 Welsh language forms

Form	Name of Form
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<u>LL AA01c</u>	<u>Newid dyddiad cyfeirnod cyfrifeg Partneriaeth Atebolrwydd Cyfyngedig (PAC)</u>
<u>LL AD01c</u>	<u>Newid cyfeiriad swyddfa gofrestredig Partneriaeth Atebolrwydd Cyfyngedig (PAC)</u>
<u>LL AD02c</u>	Rhoi gwybod am leoliad archwilio amgen unigol (SAIL) Partneriaeth Atebolrwydd Cyfyngedig (PAC)/Notification of the single inspection location (SAIL) of a Limited Liability Partnership (LLP)
	Newid lleoliad y cofnodion i leoliad archwilio amgen unigol (SAIL) Partneriaeth Atebolrwydd Cyfyngedig (PAC)/Change of location of the records to the single inspection location (SAIL) of a Limited Liability Partnership (LLP)
<u>LL AD03c</u>	Newid lleoliad y cofnodion i swyddfa gofrestredig Partneriaeth Atebolrwydd Cyfyngedig (PAC)/Change of location of the records to the registered office of a Limited Liability Partnership (LLP)
<u>LL AD04c</u>	
<u>LL AD05c</u>	<u>Hysbysiad am newid sefyllfa Partneriaeth Atebolrwydd Cyfyngedig yn Lloegr a Chymru neu Bartneriaeth Atebolrwydd Cyfyngedig (PAC) yng Nghymru</u>
<u>LL CS01c</u>	LL Datganiad cadarnhau/Confirmation statement
AP01c	<u>Penodi aelod o Bartneriaeth Atebolrwydd Cyfyngedig (PAC)/Appointment of member of a Limited Liability Partnership (LLP)</u>

<u>LL AP02c</u>	Penodi aelod corfforaethol o Bartneriaeth Atebolrwydd Cyfyngedig (PAC)/Appointment of corporate member of a Limited Liability Partnership (LLP)
<u>LL CH01c</u>	
<u>LL CH02c</u>	Newid manylion aelod o Bartneriaeth Atebolrwydd Cyfyngedig (PAC)/Change of details of a member of a Limited Liability Partnership (LLP)
<u>LL TM01c</u>	Newid manylion aelod corfforaethol o Bartneriaeth Atebolrwydd Cyfyngedig (PAC)/Change of details of a corporate member of a Limited Liability Partnership (LLP)

LL AR01c

Terfynu penodiad aelod o Bartneriaeth Atebolrwydd Cyfyngedig (PAC)/Termination of appointment of member of a Limited Liability Partnership (LLP)

Ffurflen Flynyddol Partneriaeth Atebolrwydd Cyfyngedig (PAC)

LL DE01c

Hysbysiad am newid statws Partneriaeth Atebolrwydd Cyfyngedig (PAC)

LL IN01c

Cais am gorffori Partneriaeth Atebolrwydd Cyfyngedig (PAC)/Application for the incorporation of a Limited Liability Partnership (LLP)

LL NM01c

Rhoi gwybod am newid enw Partneriaeth Atebolrwydd Cyfyngedig (PAC)

LL DS01c

Cais Partneriaeth Atebolrwydd Cyfyngedig (PAC) am gael ei ddileu o'r Gofrestr

LL DS02c

Tynnu'n ôl gais dileu gan Bartneriaeth Atebolrwydd Cyfyngedig (PAC)

LL PSC01c

LL PSC02c

LL PSC03c	
LL PSC04c	
LL PSC05c	
LL PSC06c	
LL PSC07c LL	
PSC08c	
LL PSC09c	
SR01c	Cais i ddileu manylion personol o'r gofrestr gyhoeddus / Application to remove personal details from the public register

Part 3 Orange coloured forms

Form	Name of Form
SR03	Application under section 1088 by a person who registered a charge to make an address unavailable for public inspection
LL SR18	Application by an individual LLP member who has a section 790ZF exemption to prevent their usual residential address being disclosed to a credit reference agency
LL SR19	

LL SR20	Application by an LLP to prevent an LLP member's (who has a section 790ZF exemption) usual residential address being disclosed to a credit reference agency
LL SR06	Application by a proposed member of a Limited Liability Partnership (LLP) to prevent an LLP member's (who has a section 790ZF exemption) usual residential address being disclosed to a credit reference agency
LL SR07	Application by an individual PSC of a Limited Liability Partnership (LLP) to prevent PSC information being disclosed on the public register and to prevent the PSC residential address being disclosed to credit reference agencies
LL SR08	Application by a Limited Liability Partnership (LLP) to prevent PSC information being disclosed on the public register and to prevent the PSC residential address being disclosed to a credit reference agency
	Application by a proposed member of a Limited Liability Partnership (LLP) to prevent PSC information being disclosed on the public register and to prevent the PSC residential address being disclosed to credit reference agencies
SA01	Application by an individual, an LLP or by a subscriber to a memorandum of association to have a home address withheld from credit reference agencies.
LL SR12	Application by an individual to prevent all their PSC information being disclosed on the public register
LL SR13	Application by an LLP to prevent PSC information being disclosed on the public register
LL SR14	Application by a proposed member of a Limited Liability Partnership (LLP) to prevent all PSC information being disclosed to the public register
LL SR15	Application by an individual who has section 243 exemption to prevent their usual residential address being disclosed to a credit reference agency
LL SR16	Application by an LLP to prevent an individual PSC's (who has a section 243 exemption) usual residential address being disclosed to a credit reference agency

LL SR17	Application by a proposed member of a Limited Liability Partnership (LLP) to prevent a proposed individual PSC's (who has a section 243 exemption) residential address being disclosed to a credit reference agency
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Part 4 Purple coloured forms

Form	Name of Form
<u>LL</u>	
IN01(ZG)	Application to register an LLP
LL PSC01	
(ZG)	Give notice of individual person with significant control
LL PSC04	
(ZG)	Give notice of change of details for person with significant control
LL PSC07	
(ZG)	Give notice ceasing to be an individual person with significant control
LL PSC08	Give notice of PSC statements
(ZG)	
LL PSC09	
(ZG)	Update to PSC statements

SCHEDULE 8A SCHEDULED FORMS FOR DOCUMENTS RELATING TO EUROPEAN ECONOMIC INTEREST GROUPINGS

Form	Name of Form
EE MP01	Notice of documents and particulars required to be filed for an EEIG
EE AP01	Appointment of a manager of an EEIG where the official address of the EEIG is in the UK
EE AP02	Appointment of corporate manager of an EEIG where the official address of the EEIG is in the UK
EE CH01	Change of managers details of an EEIG where the official address of the EEIG is in the UK
EE CH02	Change of corporate manager's details of an EEIG where the official address of the EEIG is in the UK
EE TM01	Termination of an appointment of a manager of an EEIG where the official address is in the UK
EE FM01	Registration of an EEIG whose official address is in the UK
EE FM02	Statement of name, Registration of establishment of EEIG whose official address is outside the UK
EE NM01	Registration of change of name, other than its grouping name, under which it proposes to carry on business in the UK
EE NM02	Statement of name other than registered name under which an EEIG whose official address is outside the UK proposes to carry on business in substitution for the name previously approved
EE MP02	Notice of setting up or closure of an establishment of an EEIG whose official address is outside the UK

SCHEDULE 8B SCHEDULED FORMS FOR DOCUMENTS RELATING TO SCOTTISH QUALIFYING PARTNERSHIPS

Part 1 English forms

Form	Name of Form
SQP1	Registration of a Scottish Qualifying Partnership
SQP2	Change of details for a Scottish Qualifying Partnership
SQP3	Notice of ceasing to be a Scottish Qualifying Partnership
SQP PSC01	Notice of individual Person with Significant control of a Scottish Qualifying Partnership
SQP PSC02	Notice of relevant legal entity (RLE) Person with significant control of a Scottish Qualifying Partnership
SQP PSC03	Notice of other registrable person with significant control of a Scottish Qualifying Partnership
SQP PSC04	Notice of change of individual person with significant control details of a Scottish Qualifying Partnership
SQP PSC05	Notice of Change of relevant legal entity (RLE) details of a Scottish Qualifying Partnership
SQP PSC06	Notice of Change of details of other registrable person of a Scottish Qualifying Partnership
SQP PSC07	Notice of ceasing to be a person with significant control of a Scottish Qualifying Partnership
SQP PSC08	Notice of additional matters for a Scottish Qualifying Partnership
SQP PSC09	Give notice of updates to PSC statements for a Scottish Qualifying Partnership

Application to remove personal details from the public register
SR01

Part 2 Orange coloured forms

Form	Name of Form
SP SR01	<u>Application by an individual to prevent all their PSC information being disclosed on the public register</u>
SP SR02	<u>Application by a partnership to prevent an individual's PSC information being disclosed on the public register</u>
SP SR03	<u>Application by a prospective partner of a prospective Scottish limited partnership or Scottish qualifying partnership to prevent an individual's PSC information being disclosed on the public register</u>

Part 3 Purple coloured forms

Form	Name of Form
Secure SQP1	
Secure SQP PSC01	Registration of a Scottish Qualifying Partnership Notice of individual Person with Significant control of a Scottish Qualifying Partnership
Secure SQP PSC04	Notice of change of individual person with significant control details of a Scottish Qualifying Partnership Scottish Partnership
Secure SQP PSC07	Notice of ceasing to be a person with significant control of a Scottish Qualifying Partnership

Secure SQP PSC08	Notice of additional matters of a Scottish Qualifying Partnership
Secure SQP PSC09	Give notice of updates to PSC statements of Scottish Qualifying Partnership

SCHEDULE 8C

SCHEDULED FORMS FOR DOCUMENTS RELATING TO SCOTTISH LIMITED PARTNERSHIPS

Part 1 English forms

Form	Name of Form
SLP CS01	Confirmation Statement for a Scottish Limited Partnership
SLP PSC01	Notice of individual Person with Significant control of a Scottish Limited Partnership
SLP PSC02	Notice of relevant legal entity (RLE) Person with significant control of a Scottish Limited Partnership
SLP PSC03	Notice of other registrable person with significant control of a Scottish Limited Partnership
SLP PSC04	Change of individual person with significant control details of a Scottish Limited Partnership
SLP PSC05	Change of relevant legal entity (RLE) details of a Scottish Limited Partnership
SLP PSC06	Change of details of other registrable person of significant control of a Scottish Limited Partnership
SLP	Notice of ceasing to be a person with significant control of a Scottish Limited Partnership

PSC07

**SLP
PSC08**

Notice of additional matters of a Scottish Limited Partnership

**SLP
PSC09**

Give notice of updates to PSC statements of Scottish Limited Partnership

SR01

Application to remove personal details from the public register

Part 2 Orange coloured forms

Form	Name of Form
SP SR01	Application by an individual to prevent all their PSC information being disclosed on the public register
SP SR02	Application by a partnership to prevent an individual's PSC information being disclosed on the public register
SP SR03	Application by a prospective partner of a prospective Scottish limited partnership or Scottish qualifying partnership to prevent an individual's PSC information being disclosed on the public register

Part 3 Purple coloured forms

Form	Name of Form
Secure SLPPSC01	Notice of individual Person with Significant control of a Scottish Limited Partnership
Secure SLPPSC04	Notice of change of individual person with significant control details of a Scottish Limited Partnership
Secure SLPPSC07	
Secure SLPPSC08	Notice of ceasing to be a person with significant control of a Scottish Limited Partnership
Secure SLPPSC09	Notice of additional matters of a Scottish Limited Partnership

Give notice of updates to PSC statements of a Scottish Limited Partnership

SCHEDULE 9 SCHEDULED FORMS RELATING TO INSOLVENCY AND WINDING UP DOCUMENTS

Form	Name of Form
MT01	Notice of commencement of moratorium
MT02	Notice of extension of moratorium
MT03	Notice of early end of moratorium
MT04	Notice of end of moratorium by a monitor
MT05	Notice of end of moratorium by a court
MT06	Notice of end of moratorium following disposal of application for extension by the court or following CVA proposal taking effect or being withdrawn
MT08	Notice of appointment of replacement or additional monitor following court order
MT09	Notice of monitor ceasing to act following court order
VAC	Notice of court order in respect of a voluntary arrangement
CVA1	Notice of voluntary arrangement taking effect
CVA2	Notice of order of revocation or suspension of CVA
CVA3	Notice of Supervisor's progress report in CVA & progress report attachment

CVA4 Notice of termination or full implementation of CVA

AM01	Notice of administrator's appointment
AM02	Notice of statement of affairs in administration
AM03	Notice of administrator's proposals (& attachment)
AM04	Notice of extension of time to deliver administrator's proposals
	Notice of extension of time to seek approval of administrator's proposals
AM05	
AM06	
	Notice of approval of administrator's proposals
AM07	
AM08	Notice of creditor's decision on administrator's proposals
	Notice of revision of administrator's proposals (& attachment)
AM09	Notice of result of creditors' decision on revised administrator's proposals
AM10	Notice of Administrator's progress report (& attachment)
AM11	Notice of appointment of replacement or additional administrator
AM12	Notice of order limiting disclosure of statement of affairs or proposals in administration
AM12	Statement of Affairs (attachment)
AM12	

Statement of Concurrence (attachment)

AM12 Statement of Proposals (attachment)

Court Order (attachment)

AM12

AM13 Notice of rescission or amendment of order limiting disclosure of statement of affairs or proposals in administration

AM13

AM13 Statement of Affairs (attachment)

Statement of Concurrence (attachment)

AM13

Court Order (attachment)

Notice of disposal of charged property in administration (order attached)

AM14 Notice of resignation of administrator (notice attached)

AM15

AM16

Notice of order removing administrator from office (Court Order attached)

AM17 Notice of vacation of office when administrator ceases to be qualified to act

AM18 Notice of deceased administrator

AM19 Notice of extension of period of administration

AM20 Notice of automatic end of administration (final progress report attached)

AM21	Notice of end of administration (final progress report attached)
AM22	Notice of move from administration to creditors' voluntary liquidation (final progress report attached)
AM23	Notice of move from administration to dissolution (final progress report attached)
AM24	Notice of court order in respect of date of dissolution (Court Order attachment)
AM25	Notice of court order ending administration (Court Order attachment)
REC1	Notice of administrative receiver's report & report as an attachment
REC1	Statement of Affairs (attachment)
REC2	Notice of summary of receipts and payments in administrative receivership
REC3	Notice of order of disposal of charged property in administrative receivership (Order attached)
REC4	Notice of statement of affairs in administrative receivership (if delivered after admin receiver's report)
REC4	Statement of Affairs (attachment)
REC4	Statement of Concurrence (attachment)
REC5	Notice of deceased administrative receiver
<u>LIQ01</u>	<u>Notice of Statutory Declaration of Solvency (MVL) (Declaration of Solvency attached)</u>

	Notice of statement of affairs by Liquidator (conversion from MVL to CVL)
LIQ02	
LIQ02	Statement of Affairs (attachment)
LIQ02	Notice of statement of affairs by liquidator (CVL)
LIQ02	Statement of Affairs incorporating the Statement of Truth (attachment)
	Notice of progress report in voluntary winding up (progress report attached)
LIQ03	
	Notice of order deferring the date of dissolution in MVL / CVL
LIQ04	
	Notice of order limiting disclosure of statement of affairs in CVL
LIQ05	
LIQ05	Statement of Affairs (attachment)
LIQ05	Statement of Concurrence (attachment)
LIQ06	Notice of liquidator's resignation in MVL & CVL
LIQ07	Notice of removal of liquidator by creditors

	Notice of loss of qualification of insolvency practitioner in MVL & CVL
LIQ08	Notice of deceased liquidator in MVL & CVL
LIQ09	
LIQ10	Notice of removal of liquidator by court in MVL & CVL (& Court Order attachment)
LIQ11	Notice of removal of liquidator by company meeting in MVL

	Notice of release of liquidator by Secretary of State in MVL & CVL
LIQ12	
LIQ13	Notice of final account prior to dissolution in MVL (final account attached)
LIQ14	Notice of final account prior to dissolution in CVL (final account attached)
	Notice of order of appointment of provisional liquidator in a winding-up by the court (& Court Order attachment)
WU02	
WU03	Notice of termination of appointment of provisional liquidator in a winding-up by the court
	Notice of appointment of liquidator in a winding-up by the court
WU04	
WU05	Notice of statement of affairs in a winding-up by the court
	Statement of Affairs (attachment)
WU05	
	Statement of Concurrence (attachment)
WU05	Notice of court order limiting disclosure of statement of affairs in a winding-up by the court
WU06	
	Notice of court order limiting disclosure of statement of affairs in a winding-up by the court

WU06	
WU06	Statement of Affairs (attachment)
WU06	Statement of Concurrence (attachment)
	Court Order limiting disclosure (attachment)
WU06	
WU07	Notice of progress report in a winding-up by the court (progress report attached)
	Notice of removal of liquidator by creditors in a winding-up by court
WU08	Notice of release of OR by the Secretary of State in a winding-up by court (& final progress report attached)
	Notice of deceased liquidator in a winding-up by the court
WU09	
WU11	Notice of loss of qualification as insolvency practitioner in a winding-up by the court (notice attached)
WU12	Notice of order of court on appeal against Secretary of State's decision in a winding-up by the court (Court Order attached)
WU13	
WU14	Notice of order for removal of liquidator by court in a winding-up by the court (Court Order attached)
WU15	Notice of final account prior to dissolution in a winding up by the court (final progress report attached)
IE01	

Notice of approval of an undertaking by an office holder in respect of assets another member state

Notice of approval of an undertaking proposed by the member state liquidator to local creditors in the UK

IE02

IE03 Notice of an order opening group co-ordination proceedings

IE04

Notice of insolvency proceedings in another member state with consent to dissolution

Notice of insolvency proceedings in another member state without consent to dissolution

IE05

AM01

(Scot) Notice of administrator's appointment

AM02

Notice of statement of affairs in administration

(Scot)

Notice of administrator's proposals

AM03

(Scot)

Notice of extension of time to deliver administrator's proposals

AM04

(Scot)

AM05

(Scot)

Notice of extension of time to seek approval of administrator's proposals

Notice of approval of administrator's proposals

AM06

(Scot) Notice of creditor's decision on administrator's proposals

AM07

(Scot)

AM08

(Scot) Notice of revision of administrator's proposals

Notice of result of creditors' decision on revised administrator's proposals

AM09

(Scot)

Notice of administrator's progress report

AM10

(Scot)

AM11 Notice of appointment of replacement or additional administrator

(Scot)

AM12 Notice of Order limiting disclosure of statement of affairs or proposals in administration

(Scot)

AM13

(Scot) Notice of discharge or variation of order limiting disclosure of statement of affairs or proposals in administration

AM14

(Scot)

AM15 Notice of an order to dispose of charged property in administration

(Scot)

Notice of resignation of administrator

AM16

(Scot)

Notice of order removing administrator from office

AM17 Notice of vacation of office when administrator ceases to be qualified to act

(Scot)

Notice of deceased administrator

AM18

(Scot)

Notice of extension of period of administration

AM19

(Scot)

Notice of automatic end of administration

AM20

(Scot)

Notice of end of administration

AM21

(Scot)

AM22

(Scot)

Notice of move from administration to creditors' voluntary liquidation

AM23

(Scot)

Notice of move from administration to dissolution

AM24

(Scot)

Notice of court order in respect of date of dissolution

AM25

(Scot)

COM1

(Scot)

Notice of court order ending administration

COM2

(Scot)

Notice of establishment of creditors' committee (administration)

Notice of change of membership of a creditors' committee
(administration)

CVA1

(Scot)

CVA2

(Scot)

Notice of voluntary arrangement taking effect

CVA3

(Scot)

Notice of order of revocation or suspension of voluntary arrangement

CVA4

(Scot)

LIQ04

(Scot)

Notice of supervisor's progress report in voluntary arrangement

Notice of termination or full implementation of voluntary arrangement

Notice of order deferring the date of dissolution in MVL or CVL

LIQ13

(Scot)

LIQ14

(Scot)

Notice of final account prior to dissolution in MVL

Notice of final account prior to dissolution in CVL

LIQ15

(Scot)

Notice of a court order staying or sisting proceedings in a CVL or MVL winding up

REC1 (Scot)	Notice of receiver's report
REC3 (Scot)	Notice of authorisation to dispose of secured property in receivership
REC5 (Scot)	Notice of deceased receiver
RM01 (Scot)	
RM02 (Scot)	Notice of appointment of a receiver
	Notice of ceasing to act as a receiver
MT01	
	Notice of commencement of moratorium
MT02	
MT03	Notice of extension of moratorium
	Notice of early end of moratorium
	Notice of end of moratorium by a monitor
MT04	Notice of end of moratorium by a court
MT05	

MT06 Notice of end of moratorium following disposal of application for extension by the court or following CVA proposal taking effect or being withdrawn

MT08 Notice of appointment of replacement or additional monitor following court order

MT09

Notice of monitor ceasing to act following court order

Notice of a court order in respect of a voluntary arrangement or moratorium

VAC

(Scot) Notice of a court order in a winding-up

WU01

(Scot)

WU02

(Scot)

WU03

(Scot) Notice of order of appointment of provisional liquidator in a winding-up by the court

WU15 Notice of termination of appointment of provisional liquidator in a winding-up by the court

(Scot)

Notice of final account prior to dissolution in a winding up by the court

WU16 (Scot)	
WU17 (Scot)	Notice of a court order for early dissolution in a winding up by the court
	Notice of a court order to defer dissolution in a winding up by the court
WU18 (Scot)	Notice of a court order staying or sisting proceedings in a winding up by the court
NOCP (Scot)	
COM1	Notice of an order under section 176A (5)
COM2	Notice of establishment of creditors or liquidation committee
COM3	Notice of change of membership of a creditors or liquidation committee
	Notice of continuation of creditors' committee in winding up by court following administration
COM4	Notice of cessation of liquidation committee in winding up when creditors paid in full
600	Notice of appointment of liquidator in a members' or creditors' voluntary winding up

Form	Name of Form
Corporate Voluntary Arrangement	
1.1	<u>Notice to registrar of companies of voluntary arrangement taking effect</u>
1.2	<u>Notice to registrar of companies of order or revocation or suspension of voluntary arrangement</u>
1.3	<u>Notice to registrar of companies of supervisor's progress report</u>
1.4	<u>Notice to registrar of companies of completion or termination of voluntary arrangement</u>
In Administration	

Notice of administrator's appointment

2.12B (CH)

Notice of statement of affairs

2.16B

2.17B

Statement of administrator's proposals

2.18B(CH)

Notice of extension of time period

F2.18

2.22B(CH)

Notice of deemed approval of proposals

2.23B(CH)

Statement of administrator's revised proposals

2.24B(CH)

Notice of result of meeting of creditors

Administrator's progress report

2.26B(CH)

[Amended] Certificate of constitution of creditors committee

2.28B

Notice of order to deal with charged property

2.30B(CH)

2.31B

Notice of automatic end of administration

2.32B(CH)

2.33B

Notice of extension of period of administration

2.34B

Notice of end of administration

2.35B

Notice of court order ending administration

3.3 Statement of affairs in administrative receivership following report to creditors

3.4 Certificate of constitution (amended certificate) of creditors' committee

3.5 Administrative receiver's report as to change in membership of creditors committee

3.6
(CH) Receiver or manager or administrative receiver's abstract of receipts and payments

2.36B

2.38B(CH) Notice of move from administration to creditors' voluntary liquidation

2.39B

Notice of move from administration to dissolution

2.40B

Notice to registrar of companies in respect of date of dissolution

Notice of resignation by administrator

Notice of vacation of office by administrator

Notice of appointment of replacement/additional administrator

Receivership

3.7 Notice of administrative receiver's death

3.8 Notice of order to dispose of charged property.

3.10 Administrative receiver's report

Liquidation

4.15A Notice of appointment of provisional liquidator in winding up by the court

4.20 Statement of company's affairs

F4.20

4.31 Notice of revised account

4.33 Notice of appointment of liquidator in winding-up by the court

4.35

4.38 Notice of resignation as voluntary liquidator under section 171(5) of insolvency act 1986

F4.39

Order of court granting voluntary liquidator leave to resign

Certificate of removal of voluntary liquidator

Notice of termination of appointment of provisional liquidator

4.40

F4.41 Notice of ceasing to act as voluntary liquidator

4.43 Notice of statement of affairs

Notice of final meeting of creditors

4.44 Notice of death of voluntary liquidator

Notice of vacation of office by voluntary liquidator

4.46

F4.47	<u>Notice of removal of liquidator</u>
4.48	<u>Notice of constitution of liquidation committee</u>
4.50	<u>Liquidator's certificate that creditors paid in full</u>
4.51	<u>Certificate that creditors have been paid in full</u>
4.68	<u>Liquidator's progress report</u>
4.69	<u>Order of court on appeal against secretary of state's decision under section 203(4) & 203(5) insolvency act 1986</u>
4.71	<u>Return of final meeting in a members' voluntary winding up</u>
4.72	
	<u>Return of final meeting in a creditors' voluntary winding up</u>
F9.4	<u>Notice of constitution of creditors or liquidation committee</u>
	<u>Notice to registrar of companies of notice of disclaimer under s178 of the insolvency act 1986</u>
F10.2	
12.1	<u>Notice to registrar of companies in respect of order under section 176a</u>
	Notice of approval of an undertaking by an office holder in respect of assets another member state
IE01	Notice of approval of an undertaking proposed by the member state liquidator to local creditors in the UK
IE02	Notice of an order opening group co-ordination proceedings
IE03	Notice of insolvency proceedings in another member state with consent to dissolution
IE04	Notice of insolvency proceedings in another member state without consent to dissolution
IE05	

SCHEDULE 10 TRANSLATION COVERING FORMS

Part 1 Companies, section 1040 companies, unregistered companies, overseas companies, and European Public-Limited Liability Companies

Form	Name of Form
<u>VT01</u>	<u>Certified voluntary translation of an original document that is or has been delivered to the Registrar of Companies</u>

Part 2 Limited Liability Partnerships

Form	Name of Form
<u>LL</u> <u>VT01</u>	<u>Certified voluntary translation of an original document that is or has been delivered to the Registrar of Companies for a Limited Liability Partnership (LLP)</u>

SCHEDULE 11 REPLACEMENT COVERING FORMS

Part 1 Companies, section 1040 companies, unregistered companies, overseas companies, and European Public-Limited Liability Companies

Form	Name of Form
<u>RP01</u>	Replacement of document not meeting requirements for proper delivery

Part 2 Limited Liability Partnerships

Form	Name of Form
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LL RP01	Replacement of document not meeting requirements for proper delivery for a Limited Liability Partnership (LLP)
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Part 3 Scottish Qualifying Partnerships and Scottish Limited Partnerships

Form	Name of Form