



UK Government

Supply Chain Plan Guidance

For all projects submitting Supply Chain
Plans

Allocation Round 8



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1. Purpose of this Guidance Document

- 1.1. In order to qualify for a Contract for Difference (CfD) Allocation Round, CfD Applicants for solar generating stations with generation capacity of 300 megawatts (MW) or more will be required to provide National Grid ESO (as Delivery Body) with a statement by the Secretary of State for Energy Security and Net Zero approving the Supply Chain Plan submitted in respect of that station.¹ To apply for a Supply Chain Plan statement, applicants must submit their responses to the Supply Chain Plan Questionnaire for Solar photovoltaic (PV) projects.
- 1.2. Whether this guidance will apply to onshore wind will be decided at a later date, once the consultation on whether onshore wind should be included in the Clean Industry Bonus will have closed. This guidance will be updated should a later decision be made to apply SCPs to onshore wind.
- 1.3. Successful Applicants² will also need to receive a further statement from the Secretary of State confirming they have successfully passed their Supply Chain Plan Implementation Assessment (a “Supply Chain Implementation Statement”). This confirms delivery of, or progress against, the activities and outcomes committed to in the scored sections of the Supply Chain Plan and is necessary in order to be able to fulfil one of their CfD Operational Condition Precedents (OCP).
- 1.4. This Supply Chain Plan guidance provides detail for Applicants and Generators³ regarding the process for application, monitoring, and final Implementation Statement assessment.
- 1.5. This Supply Chain Plan guidance document has been prepared in relation to Allocation Round 8. Details of the Allocation Round will be made available in due course.
- 1.6. Timelines for when the application window will take place will be communicated in due course through the publication of a notice.

Objectives of the Supply Chain Plan Process

- 1.7. The aim of the Supply Chain Plan process is to encourage competitive, productive and efficient supply chains for low carbon electricity generation projects, and to accelerate investments in solar technology to help ensure delivery of our clean power goals.
- 1.8. The Government’s mission is to make the UK a clean energy superpower. The Government is working with the private sector to radically increase deployment of renewables, including solar. For example, the government has re-established a Solar Taskforce that brings together key players in the sector to identify the barriers to deployment and commit to action. This way we can ensure that solar is deployed in a way

¹ This requirement applies to all technologies defined in the Contracts for Difference (Definition of Eligible Generator) Regulations 2014 and is in accordance with the Electricity Market Reform (General) Regulations 2014 and the Contracts for Difference (Allocation) Regulations 2014 (as amended). For AR7, this does not include offshore and floating offshore wind developers, who are required by regulation 27(B) of the Contracts for Difference (Allocation Regulations) to have a Clean Industry Bonus Statement.

² Throughout this guidance, the term ‘Applicant’ is used according to the definition in Regulation 9 of The Electricity Market Reform (General) Regulations 2014 (as amended).

³ In this guidance document, an Applicant is referred to as a Generator once they have secured a CfD in the allocation round.

that is best for local businesses, bill payers, local communities and the development of supply chains globally.

- 1.9. The delivery of effective, open and competitive supply chains will assist in bearing down on the costs of low carbon electricity generation in the UK, encouraging investment in low carbon electricity generation to meet our net zero targets by 2050.
- 1.10. Low carbon electricity generation projects should not only contribute to decarbonising our economy once operational, but throughout their lifetimes, including during project development, construction, operations and eventually decommissioning.
- 1.11. Competitive and adaptable supply chains should also give opportunities to new entrants and smaller businesses to contribute to the market and disrupt existing practices and methods, to:
 - ensure businesses remain competitive.
 - drive innovation on the path to Net Zero; and
 - maximise investment in skills and jobs.
- 1.12. Supply Chain Plans should also align with the objectives of the relevant Sector Deals for a particular industry.
- 1.13. Supply Chain Plans are divided into the following sections:
 - **Green Growth** – ensuring the push to Net Zero creates new economic opportunities for a range of actors and helps establish resilient low carbon industries.
 - **Infrastructure** – decarbonising supply chains, while increasing investment in supply chain capacity and logistics to support growth.
 - **Innovation** – nurturing and commercialising innovative technologies to create more efficient equipment, improved installation methods and new ways of delivering major projects. This may then reduce the costs of projects, overcome the technical challenges of renewable electricity generation and contribute to reaching our Net Zero objectives.
 - **Skills** – developing a diverse, skilled workforce and increasing employment opportunities, while ensuring the renewable energy industry reflects society as a whole and operates ethically and safely.
- 1.14. Only solar generators stations with a capacity of 300MW or more are subject to Supply Chain Plan requirements. This is because the government considers that the Supply Chain Plan policy should capture projects large enough to materially influence their supply chains and make a material contribution to the government's Supply Chain Plan objectives in the low carbon electricity generation market.

2. Supply Chain Plans: Process and Timetable

Introduction

- 2.1. To qualify for Allocation Round 8, the Secretary of State will need to approve the Supply Chain Plan submitted for a generating station of 300MW or more. The contents of a Supply Chain Plan will not have an impact on the Allocation Round criteria themselves.
- 2.2. The government is committed to working with industry during the process of developing Supply Chain Plans. Accordingly, staff across the Department for Energy Security and Net Zero and the Department Business and Trade (DBT) will be willing to provide advice to developers and respond to queries prior to their submission of their Supply Chain Plans. The Department for Energy Security and Net Zero may share relevant questions with other parts of government (including DBT) and the Devolved Administrations for this purpose. However, it is important to note that plans cannot be endorsed and no assurances that a plan will pass the assessment process can be made outside of the formal assessment process.
- 2.3. In practice a Statement of Approval will usually be valid only for the Allocation Round for which it is prepared.⁴
- 2.4. Applicants can contact the Department for Energy Security and Net Zero at supplychainplan@energysecurity.gov.uk with any questions.

Supply Chain Plan Application for Single or Multiple CfD Units

- 2.5. A Supply Chain Plan Application can be either for a single CfD unit or multiple CfD units within the same geographical area where the intention is to use largely identical supply chains and for activities to apply across all CfD units, even if the units in the Supply Chain Plan Application are from different legal entities and have different company numbers.
- 2.6. Applications for multiple CfD units should highlight if the anticipated build out of the projects are phased and any interdependencies (such as volume of orders) of activities or commitments between CfD units.
- 2.7. If there are significant differences across the multiple units on the intended supply chain or proposed activities or commitments, then please submit individual Supply Chain Plans for each project.
- 2.8. Applications for multiple CfD Units that pass their assessment will be issued a single Statement of Approval letter covering each individual CfD unit identified in the Supply Chain Plan Application. The Statement of Approval will be valid for each individual CfD unit even if not all CfD units are entered into the relevant Allocation Round.

Change of Project Ownership

- 2.9. Should a project change ownership after a Supply Chain Plan has been submitted, the new owners will take responsibility for implementing the actions/commitments outlined in the Supply Chain Plan.
- 2.10. Where a Supply Chain Plan is for multiple CfD Units and there is a change of ownership of individual CfD Units, the Department for Energy Security and Net Zero is content for

⁴ Under regulation 11(2) of the Electricity Market Reform (General) Regulations 2014 the Statement of Approval is valid for 9 months from the date of the Statement.

the project zone to remain as one Supply Chain Plan with both the original owner and the new owner(s) being responsible for all the commitments.

- 2.11. However, should the owners of the projects prefer to separate the responsibilities into separate Supply Chain Plans for the units they own, the Department for Energy Security and Net Zero will work with the new owners to agree on how the commitments of the Supply Chain Plan should be split among the units or will be carried through for each unit. The Department for Energy Security and Net Zero retains full discretion on this matter and will need to sign off the new Supply Chain Plan arrangements to ensure the demonstrable value of the Supply Chain Plan remains the same.

Application Process

- 2.12. During the calendar week before the opening of the Supply Chain Plan Application Window, prospective Applicants should email the Department for Energy Security and Net Zero at supplychainplan@energysecurity.gov.uk indicating their intention to submit a Supply Chain Plan for assessment.
- 2.13. An area will be set up within a secure online document management platform for each Applicant. A link to the secure area, with instructions on how to submit a Supply Chain Plan electronically, will be provided.
- 2.14. Supply Chain Plans will not be assessed until after the Application Window closes. In cases where Applicants submit more than one version of any Supply Chain Plan, only the latest submission will be considered.
- 2.15. Applicants will receive an email to confirm receipt of their submission within three days of the closing of the Supply Chain Plan Application Window.
- 2.16. If Applicants are required to resubmit any Supply Chain Plans, or if additional information is requested, the Department for Energy Security and Net Zero will also ask for this to be done on the online document management platform.
- 2.17. The Application Window will be open for one week, after which it will be closed except for resubmissions linked to the feedback session process or rejected applications.
- 2.18. The Department for Energy Security and Net Zero will not begin the substantive assessment of those Supply Chain Plans until the Supply Chain Plan Application Window has closed. However, if during the Supply Chain Plan Application Window, it becomes apparent that a submission contains material omissions (for example if significant sections or annexes are missing), the Department for Energy Security and Net Zero will endeavour to notify the Applicant as soon as is reasonably practicable.
- 2.19. The Department for Energy Security and Net Zero will accept further information supplied in response to such a notice during the Supply Chain Application Window and by no later than three working days⁵ after the Applicant has been notified (information will still be accepted even if the Window has subsequently closed).
- 2.20. The Department will endeavour to notify Applicants as to whether their Supply Chain Plan has been approved within 55 working days of the Supply Chain Plan Application Window closing date.

⁵ "Working day" is defined in The Contracts for Difference (Allocation) Regulations 2014 (as amended).

Information Requests during the Assessment Process

- 2.21. The Department for Energy Security and Net Zero will begin the process of assessing submitted Supply Chain Plans in accordance with the assessment approach, set out in Section 3 of this guidance, from the closure of the Supply Chain Plan Application Window.
- 2.22. The Department for Energy Security and Net Zero may seek clarification from an Applicant in respect of their Supply Chain Plan (a Clarification Request) during this assessment period. This could, for example, include a request for:
- a. any potentially missing sections or annexes.
 - b. clarifications or responses to any technical questions regarding the information submitted; or
 - c. clarifications in respect of existing data where the Supply Chain Plan appears to include unsubstantiated claims.
- 2.23. Applicants will need to provide in the Application contact details of one or more individuals who will act as the Applicant's Authorised Representative(s) and preferred contact persons. Clarification Requests will be raised by the Department for Energy Security and Net Zero with the Authorised Representative(s) and preferred contact persons and the Applicant should respond as soon as possible and by no later than three working days after the request.
- 2.24. Failure to respond to a Clarification Request adequately and in a timely fashion may result in the Department for Energy Security and Net Zero being unable to process the application within the timescales described in paragraphs 2.20 and 2.21 of this guidance.

Queries from Applicants

- 2.25. Queries regarding the process and response requirements may be submitted during the preparation phase of a Supply Chain Plan. Queries should be submitted in writing to supplychainplan@energysecurity.gov.uk.
- 2.26. The Department for Energy Security and Net Zero will endeavour to respond to queries regarding Supply Chain Plans within three working days of receipt, but no guarantees can be made in this regard.
- 2.27. In the period leading up to the Supply Chain Plan Application Window and during the assessment process, individual queries and their responses may be published in a 'question and answer' circular which will be made available online. This is to ensure all potential Applicants have access to the same information. The identity of the Applicant making the query will not be included in the circular. The Department for Energy Security and Net Zero reserves the right to amend the text of the query for publication, for example to ensure clarity or to remove any information that may reveal the identity of the Applicant making the query.
- 2.28. Applicants should state if they wish a query to be treated as confidential and include the reasons why they believe that the query should be so treated. If the Department for Energy Security and Net Zero, in its sole discretion, determines that a question should not be treated as confidential, the Applicant will be given an opportunity to withdraw the

query, otherwise the Department may distribute the query and response to some (if technology specific) or all other Applicants.

- 2.29. Responses to queries which the Department for Energy Security and Net Zero decides should be treated as confidential will be sent to the originating Applicant only.

Evaluation Results and Exchange of Letters

- 2.30. Applicants who submit a Supply Chain Plan that passes the assessment process will receive a written Statement of Approval, which can be submitted by the Applicant to the Delivery Body when they are applying to participate in Allocation Round 8. The Department for Energy Security and Net Zero will also provide feedback on the successful application.
- 2.31. Applicants who submit a Multiple CfD Unit Supply Chain Plan that passes the assessment process will receive a written Statement of Approval that will list each of the CfD Units identified in the SCP application, which can be submitted by the Applicant to the Delivery Body when they are applying to participate in Allocation Round 8.
- 2.32. A Statement of Approval will usually be valid for the Allocation Round it is prepared for – in practice, this means for 9 months from the point it was issued. However, the Secretary of State may extend the time-period for which the approval is valid if they determine there is a compelling reason for the extension. This would include an extension if, for any reason, the CfD auction is delayed beyond the validity period of Supply Chain Plan Statement of Approvals.
- 2.33. In the event that a Supply Chain Plan is rejected, the Department for Energy Security and Net Zero will notify the Applicant of that rejection and will provide a written explanation of the reasons for the rejection and the further steps which may be available to the Applicant (a Statement of Rejection).
- 2.34. The Department for Energy Security and Net Zero will consider revised Supply Chain Plans submitted in response to a Statement of Rejection. Applicants are strongly encouraged to submit a revised Supply Chain Plan at the earliest opportunity following receipt of a Statement of Rejection to allow as much time as possible for re-assessment. Only the passages of a plan that led to the original rejection need to be revised and resubmitted. However, it is important to note that while the Department for Energy Security and Net Zero will endeavour to notify Applicants as to whether their revised Supply Chain Plan has passed the assessment process before the Allocation Round 8 Application Window opens, no guarantee is made that the Department for Energy Security and Net Zero will be able to do so. The Department for Energy Security and Net Zero will notify applicants if a revised application could not be considered in time.
- 2.35. For the avoidance of doubt, the Department for Energy Security and Net Zero will not accept any new Supply Chain Plan applications other than revised applications submitted in response to the feedback session process or a Statement of Rejection from the closing of the Supply Chain Plan Application Window.
- 2.36. If an Applicant has not had a response of any kind (an acceptance or rejection) from the Department for Energy Security and Net Zero after 55 working days following the closure of the Supply Chain Plan Application Window, they should contact the assessment team by emailing supplychainplan@energysecurity.gov.uk.

- 2.37. The Department for Energy Security and Net Zero will notify the Delivery Body of each approved or rejected Supply Chain Plan.

3. Submitting a Supply Chain Plan

Supply Chain Plan Application

- 3.1. The Supply Chain Plan application will comprise a questionnaire that must be completed by all Applicants with solar projects of 300MW or more.
- 3.2. The application is divided into five sections. The first section, 'Project Summary' is for Applicants to provide background information on the project and context on the project's overall approach to supply chains. This section is not scored. The remaining four sections are: Green Growth, Infrastructure, Innovation, and Skills. All questions in these sections are scored.

Response Structure

- 3.3. A wide range of evidence will be acceptable in general, so long as its authenticity or source can be easily verified.
- 3.4. Supporting evidence should be in annexes and should be clearly referenced within the body of the response. Applicants are encouraged to minimise the quantity of supporting material presented by including relevant extracts or sections of papers where appropriate, to support the efficient processing of plans.
- 3.5. To assist the Department for Energy Security and Net Zero in determining what it may have to legally disclose under the Freedom of Information Act and the Environmental Information Regulations, Applicants should clearly state any aspects of their responses which are commercially sensitive, indicating if this would still be true at:
- a. the point of CfD contract signing.
 - b. the Milestone Delivery Date (MDD); and
 - c. the CfD Start Date (at each phase, for phased projects).
- 3.6. All financial information should be denominated in pounds sterling. If it is anticipated that a significant proportion of project expenditure will be transacted in another currency (for instance for the purchase of capital equipment) then details of these costs in the local currency should also be provided together with the exchange rate assumptions used to convert values to pounds sterling.
- 3.7. Any activity proposed by an Applicant should only be listed as an activity in response to one question. If an activity covers multiple aspects of the questionnaire, the Applicant should clearly state with evidence how it contributes to each specific part of the Supply Chain Plan it is linked to. Activities that are duplicated without an explanation of how they contribute to each specific aspects of the Supply Chain Plan they are linked to will not be scored.

Formatting

- 3.8. Plans should be completed in a minimum font size of 11 point and using 1.5 line spacing. All pages and paragraphs should be numbered. Each question should begin on a new page.
- 3.9. Plans and supporting documentation can be submitted either as Word documents or as a PDF file.
- 3.10. The use of embedded hyperlinks and footnotes is permitted. A 'cross referencing tool' is also permitted within the full document as long as there is no need for downloading any specialist software to enable it.
- 3.11. Applicants can use their own file naming conventions for supporting annexes.

Scoring at Application Stage

- 3.12. Supply Chain Plans will be assessed on the merits of the application, taking into account the particulars of the relevant renewable technology, such as the development stage of the technology and its supply chain.
- 3.13. Supply Chain Plans will be scored on the commitments the Applicant makes that support the objectives of the Supply Chain Plan policy (as outlined in section 1 of this guidance). All commitments need to be clear, specific, measurable and unambiguous.
- 3.14. The Supply Chain Plan Application has specific questions for the Applicant to answer, related to the four criteria set out in paragraph 1.13. For questions that are scored, the allocated marks for each question and the breakdown of how marks are awarded is shown next to the question.
- 3.15. Marks for most questions will be awarded based on the quality of information provided, specifically: the level of ambition; and the provision of quantifiable outcome/measurable metrics contained in the responses and supporting evidence, including how delivery will be ensured (e.g. through contractual commitments, details of the company's internal measurement/monitoring processes including reporting, and obligations). The nature of a project's technology and a project's size are taken into account when considering ambition. The Department for Energy Security and Net Zero recognises that not all questions can provide a commitment or measurable outcome, and scoring will reflect this where appropriate. Where strategies and descriptions are asked for, what is scored is the explanations and evidence provided. In the case of a procurement strategy for instance, we are looking for evidence that, when narrowing down your choices, you have carefully studied and understood the options available in the market with consideration given to increasing the capacity, capability and efficiency of supply chains.
- 3.16. Definitions of key words (e.g. 'ambition', 'measurable outcomes') are provided in **Annex B** of the Supply Chain Plan questionnaire.
- 3.17. Applicants should include activities that are ongoing or will be undertaken and be specific about the timelines of their commitments. Applicants may reference relevant work related to a previous project, so long as there are demonstrable links between the activity undertaken between the past and current projects. Applicants can include commitments that reference consortium projects and cluster arrangements, but on doing so applicants are accountable for delivering on those commitments. Applicants can include examples of

activities from parent companies and main suppliers provided the activity is specific to the project and that this is made clear in the answer.

- 3.18. Applicants should use the space provided for their answers to explain and provide context for the actions they are proposing.
- 3.19. Applicants of projects equal to or greater than 300MW scoring less than 60% (as a percentage of marks available) in one or more sections of their Supply Chain Plan are unlikely to pass, and therefore unlikely to be issued with a statement by the Secretary of State approving their Supply Chain Plan.
- 3.20. This marking approach will enable the Secretary of State to make an assessment of whether the Supply Chain Plan sets out sufficient evidence of the projects' contribution to the objectives set out in Section 1 and in the Supply Chain Plan questionnaire.

Feedback Session

- 3.21. The Department for Energy Security and Net Zero will offer a feedback session to each Applicant to better understand their proposed Supply Chain Plan and to question any aspects of the SCP that appear unclear or vague. The feedback session will focus solely on the scored sections of the Supply Chain Plan questionnaire.
- 3.22. Feedback sessions will not be compulsory but will help reduce the chance of an SCP Application failing and needing to be resubmitted and reassessed, as Applicants may amend their SCP following the feedback session.
- 3.23. The feedback session will take place after the Department for Energy Security and Net Zero has made an initial provisional assessment of the Applicant's Supply Chain Plan and will form part of the overall SCP assessment process.
- 3.24. The Department for Energy Security and Net Zero will arrange the time and date for these sessions with Applicants during the Supply Chain Plan Application Window. This is likely to take place in the third week following the close of the Supply Chain Plan Application window.
- 3.25. During the feedback session, the Department for Energy Security and Net Zero may seek clarification or further details on any element of the Plan to ensure the Department for Energy Security and Net Zero fully understands how the Applicant intends to make a material contribution to the supply chain. The Department for Energy Security and Net Zero will endeavour to provide Applicants an indication of issues it wishes to raise prior to the feedback session to give Applicants the opportunity to prepare.
- 3.26. Within 5 working days following the meeting, should they wish to do so, Applicants may submit a revised Supply Chain Plan addressing any issues identified during the session.

4. Supply Chain Plan Monitoring and Assessment

Introduction

- 4.1. If an Applicant receives a Supply Chain Plan statement, they will be referred to hereafter as 'the Generator' (as shorthand for Generator Party Applicant).
- 4.2. Monitoring and Assessment comes in several stages:
 - 4.2.1. After a Supply Chain Plan application is submitted and passed, and a project is awarded a CfD, the Department for Energy Security and Net Zero will begin the

monitoring process. The purpose is to gather information on the implementation of Supply Chain Plans, to identify any issues that may impede a Generator's ability to meet their commitments, to draw up amendments to the Supply Chain Plan as necessary, and to issue a progress report that can give Generator's confidence as to where they stand ahead of the Supply Chain Implementation Statement assessment after their MDD.

- 4.2.2. After a Generator has passed their MDD, they can submit an application for a Supply Chain Implementation Statement. (Note that whilst an application may be submitted shortly before the MDD, it will not be assessed until after MDD, as the Department requires certainty that the project is viable and proceeding). The Department for Energy Security and Net Zero will then assess whether an Implementation Statement should be issued by assessing a Generator's progress against their Supply Chain Plan commitments (including amendments). The Secretary of State will issue a Supply Chain Implementation Statement to projects that have met their commitments or are on track to do so. The Department for Energy Security and Net Zero recognises that some commitments may not have started to be implemented at this stage, and this will be taken into consideration.
- 4.2.3. Once a Supply Chain Plan Implementation Statement has been obtained by the Generator, project monitoring by the Department for Energy Security and Net Zero will resume to keep track of the implementation of outstanding commitments until project completion. This will help the Department for Energy Security and Net Zero establish whether all outstanding commitments have been met.
- 4.2.4. After project completion, the Department for Energy Security and Net Zero will ask for a Supply Chain Plan Post-Build Implementation Report (**Annex C**) from the Generator. Projects that are found to not have fulfilled their outstanding commitments after MDD may be barred from applying to future CfD allocation rounds.

Principles of monitoring and assessment

- 4.3. During the monitoring and assessment phases, the Department for Energy Security and Net Zero will be looking for evidence that Generators have either:
 - 4.3.1. met their commitments.
 - 4.3.2. are on track to meet their commitments; or
 - 4.3.3. can evidence substantial and sustained efforts to meet their commitments.
 - 4.3.4. Note: The Department for Energy Security and Net Zero recognises that whilst the vast majority will, not all questions can provide a commitment or measurable outcome, and scoring will reflect this where appropriate.
- 4.4. If it becomes clear during the monitoring process that a commitment cannot be met, the Generator will propose an alternative and commensurate commitment (or commitments) that it shall agree with the Department for Energy Security and Net Zero before adding them to its Supply Chain Plan.
- 4.5. The Department for Energy Security and Net Zero recognises that it is sometimes not feasible to make alternative and commensurate commitments, or that events outside a Generator's control and influence may prevent a commitment from being met. In such

scenarios, the Department for Energy Security and Net Zero will not penalise off-track or missed commitments where there is evidence to show that the Generator made sustained and substantial efforts to reach a successful outcome. In such circumstances, the Department will recognise the effort even if the commitment could not be met.

- 4.6. Commitments that are not met because of a lack of substantial and sustained effort by the Generator will receive zero/low marks in the assessment for that commitment.
- 4.7. Substantial and sustained effort will mean that a developer has evidence to show that it tried to solve emerging problems through a range of solutions and considered every reasonable alternative approach, and that it notified the Department for Energy Security and Net Zero as early as possible of emerging issues.
- 4.8. The monitoring process will be used to track progress and identify emerging problems with the default position being to solve issues or find alternative commitments, rather than penalising Generators. Note that the Department for Energy Security and Net Zero may ask any question it deems relevant to the fulfilment of a Generator's Supply Chain Plan, even if that means asking about events, projects or activities that are not explicitly named checked in a Supply Chain Plan.
- 4.9. At assessment stage, the Secretary of State may refuse to issue a Supply Chain Implementation Statement if there is evidence that a Generator has failed or is on track to fail in any scored section of the Supply Chain Plan, and the Generator cannot produce evidence in those instances that it made a sustained and substantial effort to rectify those issues. Commitments that were unfulfilled but nonetheless the subject of substantial and sustained efforts from the Generator will not be classed as failed, or on track to fail. Commitments that will only be realistically completed after MDD will be assessed at Implementation Statement stage on the basis of whether they are on track at the time of assessment, or if they are off-track, whether they are the subject of agreed rectification measures by the Generator.
- 4.10. The scoring methodology at assessment stage will mirror the methodology in the original Supply Chain Plan questionnaire. Generators will continue to be scored on their original (and amended) ambitions, to ensure a level playing field between plans that meet a majority of their commitments but had a lower level of ambition and plans that had very high ambitions but were able to meet fewer commitments.

Frequency of monitoring

- 4.11. If the project is awarded a CfD, the Department for Energy Security and Net Zero will hold a monitoring meeting with the Generator on Supply Chain Plan implementation every three months until its assessment of a Supply Chain Implementation Statement application. The frequency of monitoring is to ensure that all emerging issues are identified and addressed before a Supply Chain Implementation Statement application.
- 4.12. If a Supply Chain Implementation Certificate has been issued, monitoring will resume at a lower frequency. A meeting between the Department for Energy Security and Net Zero and the generator will be held at least every 6 months until project completion, with the option to have more meetings at the request of either party if issues arise. The monitoring process up to Supply Chain Implementation Statement application
- 4.13. The monitoring meetings will be based on the Generator's Supply Chain Plan (as amended). Each commitment will be examined in turn, and evidence of progress or

difficulties will be required for each meeting. The Generator should provide a colour-coded RAG rating summarising progress against each of their commitments, and may make of the template in **Annex B** for this purpose.

- 4.14. All supporting documents from the Generator must be submitted no later than one week before the scheduled meeting. Failure to submit supporting documents one week before will result in the meeting being delayed until the Department for Energy Security and Net Zero has had a chance to review all documents.
- 4.15. No later than two weeks after each meeting, the Department for Energy Security and Net Zero will provide responses to the updates received.
- 4.16. The Generator should provide notify the Department for Energy Security and Net Zero of any potential issues arising relating to the delivery of their Supply Chain Plan commitments as soon as possible, in regard to activities to be undertaken and/or outcomes to be achieved.
- 4.17. Where commitments are off-track, cannot be met or are unlikely to be met, both parties will be able to suggest corrective actions, or alternative commensurate commitments. If agreed by both the Department for Energy Security and Net Zero and the Generator, these can then be recorded in the amended Supply Chain Plan.
- 4.18. Alternative commensurate commitments are defined as commitments that achieve the same outcome as previously committed to by other means, or different outcomes whose total impact will be at least as valuable to the Supply Chain as the original commitment.

Assessment and Supply Chain Implementation Statement

- 4.19. Once a Generator has passed their MDD, they can apply for a Supply Chain Implementation Statement from the Secretary of State. A successful application for a Supply Chain Implementation Statement will satisfy the Supply Chain Plan Operational Condition Precedent (OCP).
- 4.20. As part of their application for a Supply Chain Plan Implementation Statement, a Generator must submit their Supply Chain Plan as amended and supporting evidence to show how they have fared against each commitment. While it is up to the Generator to choose a format to present their evidence against each commitment, Generators can use the template in **Annex B**.
- 4.21. Generators will receive an email to confirm receipt of the submission of these documents within three working days. If a Generator does not receive an email confirmation, or if they encounter any problems submitting documents, they should contact the Department for Energy Security and Net Zero at the earliest opportunity.
- 4.22. The Department for Energy Security and Net Zero will then assess the evidence against the commitments in the Supply Chain Plan and rescore the Supply Chain Plan similarly to the CfD application stage, including level of stated ambition, to determine the extent to which the Generator has implemented or is on track to implement the commitments made. If a Generator over-delivers on a commitment it is possible to receive a higher mark than originally awarded. The SCP questionnaire details the type of evidence that can be submitted.

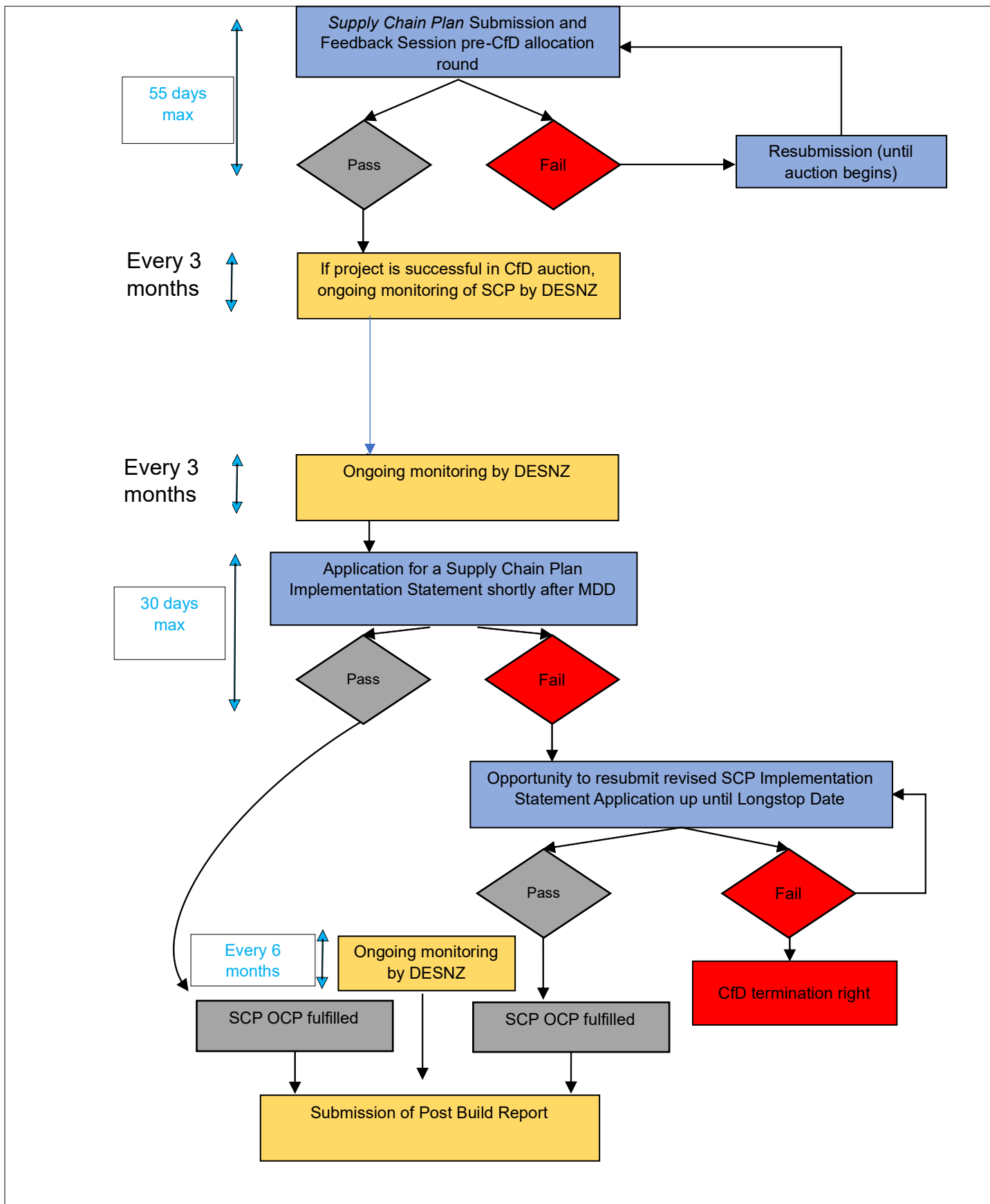
- 4.23. Generators that score less than 60% (as a percentage of marks available) in one or more sections of their Supply Chain Plan are unlikely to pass and to be issued with a Supply Chain Plan Implementation statement by the Secretary of State.
- 4.24. The Department for Energy Security and Net Zero will aim to assess the submitted documentation within 30 working days of receipt. The Secretary of State will then issue or reject a Supply Chain Plan Implementation Statement based on the evidence received. If the Department for Energy Security and Net Zero has not responded to the Generator within 60 days of receiving the Generator's Supply Chain Implementation Statement application, then the application is deemed to have been approved. If an application for a Supply Chain Implementation Statement is missing information, the Department for Energy Security and Net Zero will endeavour to notify the applicant within three working days.
- 4.25. If the Supply Chain Implementation Statement is issued, the Department for Energy Security and Net Zero will submit a copy to the Generator and the Generator will pass this to the Low Carbon Contracts Company (LCCC), as CfD Counterparty. The provision of a Supply Chain Implementation Statement to the LCCC is an Operational Condition Precedent (OCP) within the CfD Contract.
- 4.26. If a Generator has failed to pass the Supply Chain Implementation assessment, the Generators will receive a letter within 30 working days of receipt of the application for an Implementation Statement, stating the reasons for rejection. Generators may re-submit a revised application for a Statement for assessment until the CfD Longstop Date if they have rectified the outstanding issues. The Generator should notify the LCCC if a Supply Chain Implementation Statement is rejected.
- 4.27. Generators must obtain a Supply Chain Implementation Statement, which the Generator will transmit to the LCCC, in order for the relevant OCP to be fulfilled and to be able to receive CfD payments. OCPs are existing milestones within the CfD contract; all CfD Generators must fulfil their OCPs before CfD payments can commence. If the Generator does not fulfil an OCP by the end of the CfD Target Commissioning Window, then their 15-year CfD payment term will be reduced until the OCP is fulfilled. If the OCP is not fulfilled by the CfD Longstop Date, LCCC will have the right to terminate the CfD contract (as is the case with other OCPs).
- 4.28. Generators that do not achieve at least 60% as a percentage of total marks, in each section of their Supply Chain Plan commitments at implementation stage, are unlikely to fulfil their OCP, which may lead to contract termination. Termination of a CfD contract is recognised to be a significant consequence and is a last resort. This is consistent however, with the use of Supply Chain Plan statements as an eligibility requirement for the CfD. Commitments are made on which eligibility requirement for a CfD is assessed, and the government considers that these commitments should be delivered upon, with consequences for non-delivery.

Scoring at implementation assessment stage

When a Generator applies for a Supply Chain Plan Implementation Statement, they will be assessed by the Department for Energy Security and Net Zero using the same scoring system which was used when they first submitted their Supply Chain Plan. It will, however, be appropriately adapted to measure outcomes. This means that scoring will be based on

the Supply Chain Plan's stated ambitions (as amended), and quantifiable outcome/measurable metrics showing completion or progress towards the commitment, and the quality of the evidence provided.

Annex A: Flowchart of Supply Chain Plan Process



Annex B: Template table for monitoring meetings and progress report

B1. Note that RAG ratings and information and entries are examples only.

B2. Generators may use this table to set out their progress at each monitoring meeting.

B3. The Department for Energy Security and Net Zero may use this table as the basis to draft the progress report.

SOLAR PV PROJECTS EQUAL OR GREATER THAN 300MW QUESTIONNAIRE: Progress report / monitoring template

Progress to date and RAG ratings are examples of the type of information that could feature

Reference	Marks available	Detail of commitment	Previous Progress (from the last update)	Progress to date	RAG
GREEN GROWTH	170				Overall - Green
1.1	30	Supply Chain Competition		Original level of ambition? KPIs met? Evidence provided?	Green
1.2	66	Supply Chain Visibility and Fair Contracting			Yellow
1.3	20	Procurement value drivers			Green
1.4	54	Sustainable Procurement			Green
INFRASTRUCTURE	48				Overall - Yellow
2.1	20	Decarbonisation: monitoring			Yellow
2.2	28	Planning for Sustainable Decommissioning			Green
INNOVATION	48				Overall – Red
3.1	48	Investment in R&D			Red
SKILLS	148				Overall - Green
4.1	53	Skill gaps and shortages			Green
4.2	32	Equality of Opportunity and reducing the Disability Employment Gap			Green
4.3	63	Modern Slavery			Green
OVERALL ASSESSMENT OF PROGRESS TO DATE					

Annex C: Suggested structure of a Post-Build Report

C1. The report should be limited to 10 pages (not including annexes) and be able to demonstrate:

- The project's contribution to the competitiveness and capacity of the supply chain.
- What the main commitments were in the approved Supply Chain Plan, and a summary of whether they were met.
- Actions undertaken to meet these commitments.
- A narrative which sets out how these actions contributed to the four scored criteria individually and overall.
- The reasons for any deviation from the approved plan, or for any commitments that could not be met.
- What amendments (if any) were made to the plan, the reason for the amendment, and their outcome.

Suggested Structure

Executive Summary

An overview of the Project and its milestones and deliverables.

An overview of how the key/overarching commitments made in the SCP have been met or not, and how they have delivered the aims and objectives of the Supply Chain Plan Policy.

Main Section

A detailed account on how the SCP was implemented by category (Green Growth, Infrastructure, Innovation, Skills), its successes and areas where they were unable to meet the commitments made. Details as to how each section has had an impact on the capacity and competitiveness of the supply chain.

Green Growth

- KPIs against your most impactful commitments.
- Reasons for not meeting any commitment.
- How the fulfilled commitments have helped strengthen Net Zero industries and meeting the Net Zero target.

Infrastructure

- KPIs against your most impactful commitments.
- Reasons for not meeting any commitment.
- How the fulfilled commitments have materially improved the infrastructure supporting Supply Chains, while being respectful of the local area and communities.

Innovation

- KPIs against your most impactful commitments.
- Reasons for not meeting any commitment.

- How the fulfilled commitments have helped improve the competitiveness of the supply chain by using new or improved technologies, and how they contribute to reaching Net Zero faster or more efficiently.

Skills

- KPIs against your most impactful commitments.
- Reasons for not meeting any commitment.
- How the fulfilled commitments have helped improve the range of skills, or access to skills provision, and how commitments have helped to make the Supply Chain safer, more representative of the population as a whole, and ethical.

A developer may wish to include evidence to support statements made in the Post Build Report, such as a copy of the final template for monitoring commitments in **Annex B**, list of innovations developed/deployed, and copy of their skills strategy.

This publication is available from: www.gov.uk/government/publications/contracts-for-difference-cfd-allocation-round-8-supply-chain-plan-questionnaire-and-guidance-for-solar-applicants

Any enquiries regarding this publication should be sent to us at:
supplychainplan@energysecurity.gov.uk

If you need a version of this document in a more accessible format, please email alt.formats@energysecurity.gov.uk. Please tell us what format you need. It will help us if you say what assistive technology you use.