

**Pre-examination checklist for local plans prepared under the Planning and Compulsory Purchase Act 2004**

**Introduction**

The Planning Inspectorate’s Procedure Guide for Local Plan Examinations was updated on 23 September 2025 to introduce a pre-examination checklist for local planning authorities to complete for local plans prepared under the Planning and Compulsory Purchase Act 2004 and the Town and Country Planning (Local Planning) (England) Regulations 2012.

The examination checklist now forms part of the Service Level Agreement between the Planning Inspectorate and local planning authorities for all plans published under regulation 19.

This version of the checklist is for minerals and waste local plans prepared by minerals and waste authorities, rather than full local plans or other types of development plan document.

**Purpose of the pre-examination checklist**

The purpose of the checklist is to help local planning authorities ensure their plan is “ready for examination” as referred to in section 20 of the 2004 Act. Ready for examination means:

* All of the necessary practical arrangements are in place.
* Essential evidence and other documents are ready for submission.
* The rationale for the plan content is clear.

Local planning authorities may find it helpful to refer to the checklist during the preparation of plans, and Inspectors holding local plan advisory meetings with local planning authorities will offer to discuss the examination checklist.

**Before submission**

The local planning authority should complete the checklist after considering all representations made under regulation 20 and finalising the documents it intends to submit under regulation 22 (see further information below under “Completing the pre-examination checklist”).

The completed checklist should then be sent to the Planning Inspectorate ([Plans.admin@planninginspectorate.gov.uk)](mailto:Plans.admin@planninginspectorate.gov.uk) before the plan is submitted. The local planning authority should await a written response before submitting their plan under regulation 22.

**Planning Inspectorate’s response to the checklist**

The Planning Inspectorate will aim to provide a written response to the local planning authority within 10 working days of receiving the pre-examination checklist. The written response will either confirm that the plan is ready for examination, or set out specific actions that the Planning Inspectorate recommends the authority takes before submission.

**Submission of the plan for examination**

If the Planning Inspectorate’s written response to the completed checklist is that the plan is ready for examination, the local planning authority should submit it promptly.

If the Planning Inspectorate’s response recommends specific actions before submission, they should be addressed by the local planning authority as appropriate. Once the actions have been taken, and the authority is satisfied that the plan is ready for examination, it should submit the plan and other documents.

The submission documents should include the checklist the authority had completed before submission, the Planning Inspectorate’s written response, and a letter explaining how any actions specified in that response have been addressed.

**Appointment of the examining Inspector**

Following submission of the plan and other documents under regulation 22, an Inspector will be appointed to examine the plan in accordance with the timescales set out in the Service Level Agreement.

**Publication of the checklist and correspondence**

The checklist that the authority had completed before submission, the Planning Inspectorate’s written response, and the authority’s letter explaining how the specified actions had been addressed will be published on the examination website along with the plan and all other submission documents.

**Completing the pre-examination checklist**

This version of the checklist is for minerals and waste local plans prepared by minerals and waste authorities, rather than full local plans or other types of development plan document.

The local planning authority is requested to answer all of the following questions by ticking the box next to either “yes” or “no” for each.

Where requested, a hyperlink to the relevant document(s) should be provided along with reference number(s)[[1]](#footnote-1) and specific pages / paragraph numbers in the document where appropriate.

If the answer to any question is **RED** capitalised (rather than **blue**), a brief explanation (maximum 250 words) should be provided beneath the yes / no answer (using a box as provided as an example under question 1.1).

Where relevant, the explanation for any **RED** capitalised answers should be a summary of, and refer to, the reasoned justification in the Plan or part of the supporting evidence.

It is important to note that **RED** capitalised answers do not necessarily mean that the Planning Inspectorate will recommend that the local planning authority takes further actions before submitting the plan for examination; that will depend on the number and nature of such answers and the explanations provided for them.

**1. Practical arrangements for the examination**

**Programme officer**

1.1 Is a suitably experienced Programme Officer, who meets the requirements set out in PINS guidance[[2]](#footnote-2), in post?

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| **Yes** |  | **NO** |  | **PO details** |  |

Explanation for **RED** capitalised answer (maximum 250 words)[[3]](#footnote-3)

1.2 Does the Programme Officer have sufficient time available for a period of at least one year to input effectively to the examination?

|  |  |  |  |
| --- | --- | --- | --- |
| **Yes** |  | **NO** |  |

**Examination website**

1.3 Will an examination website, that complies with PINS guidance[[4]](#footnote-4), be operational shortly after the plan has been submitted for examination?

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| **Yes** |  | **NO** |  | **Weblink** |  |

**Venue for hearings**

1.4 Will the MPA / WPA be able to ensure that a suitable venue, that complies with PINS guidance[[5]](#footnote-5), is available for the examination hearings?

|  |  |  |  |
| --- | --- | --- | --- |
| **Yes** |  | **NO** |  |

**Video conferencing facilities**

1.5 Will the MPA / WPA be able to provide video conferencing facilities (such as Microsoft Teams) to facilitate virtual hearing sessions or virtual input to physical hearing sessions should these be necessary?

|  |  |  |  |
| --- | --- | --- | --- |
| **Yes** |  | **NO** |  |

**MPA / WPA staff and resourcing**

1.6 Will the MPA / WPA have sufficient staff and resources, including any consultancy or specialist support used in the preparation of the plan and evidence, available for a period of at least one year to input effectively to the examination?

**Joint Plans**

1.7 Is the plan a joint plan covering more than one MPA / WPA?

|  |  |  |  |
| --- | --- | --- | --- |
| **Yes** |  | **NO** |  |

1. If yes, which MPA/WPA is taking the lead?

|  |
| --- |
|  |

1. Have the joint MPAs / WPAs made any specific governance arrangements to jointly agree aspects such as main modifications or other procedural issues that may arise during the examination process?

|  |  |  |  |
| --- | --- | --- | --- |
| **Yes** |  | **NO** |  |

**2. Legal requirements**

**Local development scheme**

2.1 Was the plan prepared in accordance with the MPA / WPA local development scheme as required by section 19(1) of the 2004 Act?

|  |  |  |  |
| --- | --- | --- | --- |
| **Yes** |  | **NO** |  |

|  |  |  |
| --- | --- | --- |
| **Doc ref** | **Doc hyperlink** | **Relevant page(s)** |

**Public consultation**

2.2 In preparing the plan did the MPA / WPA comply with their statement of community involvement as required by section 19(3) of the 2004 Act?

|  |  |  |  |
| --- | --- | --- | --- |
| **Yes** |  | **NO** |  |

|  |  |  |
| --- | --- | --- |
| **Doc ref** | **Doc hyperlink** | **Relevant page(s)** |

**The duty to cooperate**

* 1. Has the MPA / WPA completed a Duty to Cooperate Statement and signed statements of common groundwith all other relevant MPAs / WPAs / LPAs and prescribed bodies intended to demonstrate that it engaged constructively, actively and on an on-going basis in relation to strategic matters as required by the duty to cooperate under section 33A of the 2004 Act?

|  |  |  |  |
| --- | --- | --- | --- |
| **Yes** |  | **NO** |  |

|  |  |  |
| --- | --- | --- |
| **Doc ref** | **Doc hyperlink** | **Relevant page(s)** |

* 1. Does the Duty to Cooperate Statement identify:

1. The strategic matters[[6]](#footnote-6) that needed to be addressed during the preparation of the plan?

|  |  |  |  |
| --- | --- | --- | --- |
| **Yes** |  | **NO** |  |

|  |  |  |
| --- | --- | --- |
| **Doc ref** | **Doc hyperlink** | **Relevant page(s)** |

1. The MPAs / WPAs / LPAs and other prescribed bodies that were engaged with in relation to each strategic matter?

|  |  |  |  |
| --- | --- | --- | --- |
| **Yes** |  | **NO** |  |

|  |  |  |
| --- | --- | --- |
| **Doc ref** | **Doc hyperlink** | **Relevant page(s)** |

1. Specific activities that were undertaken in liaison with all of the relevant MPAs / WPAs / LPAs and prescribed bodies from the start of the preparation of the plan (initial regulation 18 consultation) up until the date on which it was submitted for examination?

|  |  |  |  |
| --- | --- | --- | --- |
| **Yes** |  | **NO** |  |

|  |  |  |
| --- | --- | --- |
| **Doc ref** | **Doc hyperlink** | **Relevant page(s)** |

1. The main areas of agreement in relation to each strategic matter?

|  |  |  |  |
| --- | --- | --- | --- |
| **Yes** |  | **NO** |  |

|  |  |  |
| --- | --- | --- |
| **Doc ref** | **Doc hyperlink** | **Relevant page(s)** |

2.5 Are there any significant outstanding issues where agreement has not been reached in relation to any strategic matter?

|  |  |  |  |
| --- | --- | --- | --- |
| **YES** |  | **No** |  |

2.6 Are there are any relevant MPAs / WPAs / LPAs or prescribed bodies with whom duty to cooperate statements of common ground that have not yet been agreed and signed?

|  |  |  |  |
| --- | --- | --- | --- |
| **YES** |  | **No** |  |

**General conformity with the London Plan**

2.7 If relevant[[7]](#footnote-7), has the Mayor of London made a representation (under section 24(5) of the 2004 Act or regulation 20 or regulation 21) advising that the submitted plan is in general conformity with the London Plan?

|  |  |  |  |
| --- | --- | --- | --- |
| **Yes** |  | **NO** |  |

**Sustainability appraisal**

2.8 Was the plan informed throughout its preparation by a sustainability appraisal?

|  |  |  |  |
| --- | --- | --- | --- |
| **Yes** |  | **NO** |  |

|  |  |  |
| --- | --- | --- |
| **Doc ref** | **Doc hyperlink** | **Relevant page(s)** |

2.9 Does the sustainability appraisal report include the following information as may reasonably be required, taking account of (a) current knowledge and methods of assessment; (b) the contents and level of detail in the plan; (c) the stage of the plan in the decision-making process; and (d) the extent to which certain matters are more appropriately assessed at different levels in that process in order to avoid duplication of the assessment[[8]](#footnote-8)?

1. An outline of the contents and main objectives of the plan, and of its relationship with other relevant plans and programmes?

|  |  |  |  |
| --- | --- | --- | --- |
| **Yes** |  | **NO** |  |

|  |  |  |
| --- | --- | --- |
| **Doc ref** | **Doc hyperlink** | **Relevant page(s)** |

1. The relevant aspects of the current state of the environment and the likely evolution thereof without implementation of the plan?

|  |  |  |  |
| --- | --- | --- | --- |
| **Yes** |  | **NO** |  |

|  |  |  |
| --- | --- | --- |
| **Doc ref** | **Doc hyperlink** | **Relevant page(s)** |

1. The environmental characteristics of areas likely to be significantly affected?

|  |  |  |  |
| --- | --- | --- | --- |
| **Yes** |  | **NO** |  |

|  |  |  |
| --- | --- | --- |
| **Doc ref** | **Doc hyperlink** | **Relevant page(s)** |

1. Any existing environmental problems which are relevant to the plan including, in particular, those relating to any areas of a particular environmental importance, such as a European site (within the meaning of regulation 8 of the Conservation of Habitats and Species Regulations 2017)?

|  |  |  |  |
| --- | --- | --- | --- |
| **Yes** |  | **NO** |  |

|  |  |  |
| --- | --- | --- |
| **Doc ref** | **Doc hyperlink** | **Relevant page(s)** |

1. The environmental protection objectives, established at international, Community or national level, which are relevant to the plan and the way those objectives and any environmental considerations have been taken into account during its preparation?

|  |  |  |  |
| --- | --- | --- | --- |
| **Yes** |  | **NO** |  |

|  |  |  |
| --- | --- | --- |
| **Doc ref** | **Doc hyperlink** | **Relevant page(s)** |

1. The likely significant effects on the environment, including short, medium and long-term effects, permanent and temporary effects, positive and negative effects, and secondary, cumulative and synergistic effects, on issues such as (a) biodiversity; (b) population; (c) human health; (d) fauna; (e) flora; (f) soil; (g) water; (h) air; (i) climatic factors; (j) material assets; (k) cultural heritage, including architectural and archaeological heritage; (l) landscape; and (m) the inter-relationship between the issues referred to in sub-paragraphs (a) to (l)?

|  |  |  |  |
| --- | --- | --- | --- |
| **Yes** |  | **NO** |  |

|  |  |  |
| --- | --- | --- |
| **Doc ref** | **Doc hyperlink** | **Relevant page(s)** |

1. The measures envisaged to prevent, reduce and as fully as possible offset any significant adverse effects on the environment of implementing the plan?

|  |  |  |  |
| --- | --- | --- | --- |
| **Yes** |  | **NO** |  |

|  |  |  |
| --- | --- | --- |
| **Doc ref** | **Doc hyperlink** | **Relevant page(s)** |

1. An outline of the reasons for selecting the alternatives dealt with, and a description of how the assessment was undertaken including any difficulties (such as technical deficiencies or lack of know-how) encountered in compiling the required information?

|  |  |  |  |
| --- | --- | --- | --- |
| **Yes** |  | **NO** |  |

|  |  |  |
| --- | --- | --- |
| **Doc ref** | **Doc hyperlink** | **Relevant page(s)** |

1. A description of the measures envisaged concerning monitoring in accordance with SEA regulation 17.

|  |  |  |  |
| --- | --- | --- | --- |
| **Yes** |  | **NO** |  |

|  |  |  |
| --- | --- | --- |
| **Doc ref** | **Doc hyperlink** | **Relevant page(s)** |

1. A non-technical summary of the information referred to above?

|  |  |  |  |
| --- | --- | --- | --- |
| **Yes** |  | **NO** |  |

|  |  |  |
| --- | --- | --- |
| **Doc ref** | **Doc hyperlink** | **Relevant page(s)** |

2.10 Does the sustainability appraisal report also include relevant, adequate and proportionate information relating to social and economic issues (in addition to the environmental information required by the SEA regulations referred to in the questions above)[[9]](#footnote-9)?

|  |  |  |  |
| --- | --- | --- | --- |
| **Yes** |  | **NO** |  |

|  |  |  |
| --- | --- | --- |
| **Doc ref** | **Doc hyperlink** | **Relevant page(s)** |

2.11 Does the sustainability appraisal report identify and appraise reasonable alternatives that take account of the objectives and geographical scope of the plan[[10]](#footnote-10)?

|  |  |  |  |
| --- | --- | --- | --- |
| **Yes** |  | **NO** |  |

|  |  |  |
| --- | --- | --- |
| **Doc ref** | **Doc hyperlink** | **Relevant page(s)** |

2.12 Was the appraisal of the reasonable alternatives carried out using the same methodology and level of detail as the appraisal of the policies and proposals in the plan[[11]](#footnote-11)?

|  |  |  |  |
| --- | --- | --- | --- |
| **Yes** |  | **NO** |  |

**Habitat regulations assessment**

2.13 Has the MPA / WPA carried out a habitat regulations assessment of the Plan under regulation 105 of the Conservation of Habitats and Species Regulations 2017 (as amended) which considers the likely significant effects of all the development proposed in the Plan (in combination with other relevant plans and projects) on relevant habitat sites[[12]](#footnote-12) in the plan area and elsewhere?

|  |  |  |  |
| --- | --- | --- | --- |
| **Yes** |  | **NO** |  |

|  |  |  |
| --- | --- | --- |
| **Doc ref** | **Doc hyperlink** | **Relevant page(s)** |

2.14 If **NO**, has the MPA / WPA published a habitat regulations screening report that demonstrates that the development proposed in the plan (in combination with other relevant plans and projects) will not be likely to have any significant effects on relevant habitat sites in the plan area or elsewhere (without taking into account any mitigation measures[[13]](#footnote-13))?

|  |  |  |  |
| --- | --- | --- | --- |
| **Yes** |  | **NO** |  |

|  |  |  |
| --- | --- | --- |
| **Doc ref** | **Doc hyperlink** | **Relevant page(s)** |

**Superseded policies in the adopted development plan**

2.15 Does the plan identify all of the policies in the adopted development plan that are intended to be superseded by policies in the plan and state that fact as required by regulation 8(5)?

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| **Yes** |  | **NO** |  | **Plan page(s)** |  |

**Regulation 22 consultation statement**

2.16 Has the MPA / WPA prepared a consultation statement setting out:

1. who was invited to make representations on the plan at Regulation 18 consultation stage, how those representations were invited, a summary of the main issues raised, and how the representations were taken into account?

|  |  |  |  |
| --- | --- | --- | --- |
| **Yes** |  | **NO** |  |

|  |  |  |
| --- | --- | --- |
| **Doc ref** | **Doc hyperlink** | **Relevant page(s)** |

1. the number of representations made under Regulation 20 and a summary of the main issues raised in those representations?

|  |  |  |  |
| --- | --- | --- | --- |
| **Yes** |  | **NO** |  |

|  |  |  |
| --- | --- | --- |
| **Doc ref** | **Doc hyperlink** | **Relevant page(s)** |

2.17 Does the consultation statement comprehensively and succinctly summarise all of the main issues raised in representations made under Regulation 20 and set out the Council’s response to each following the approach set out in the PINS Procedure Guide and PAS guidance[[14]](#footnote-14)?

|  |  |  |  |
| --- | --- | --- | --- |
| **Yes** |  | **NO** |  |

|  |  |  |
| --- | --- | --- |
| **Doc ref** | **Doc hyperlink** | **Relevant page(s)** |

**Representations**

2.18 Are all of representations made under Regulation 20 formatted and referenced ready to be posted on the examination website in accordance with PINS guidance[[15]](#footnote-15)?

|  |  |  |  |
| --- | --- | --- | --- |
| **Yes** |  | **NO** |  |

2.19 Will all of the representations made under Regulation 20 be readily accessible on the examination website, with each representor’s full set of comments available in one downloadable document, and will those documents be ordered alphabetically by representor name?

**Supporting documents**

|  |  |  |  |
| --- | --- | --- | --- |
| **Yes** |  | **NO** |  |

2.20 Has the MPA / WPA prepared all of the supporting documents which it considers are relevant to the preparation of the plan[[16]](#footnote-16) (ie all of the evidence that is necessary to justify the plan)?

|  |  |  |  |
| --- | --- | --- | --- |
| **Yes** |  | **NO** |  |

**3. Questions relating to potentially significant soundness issues**

3.1 Does the plan contain any policies or proposals which are not consistent with relevant national policy?

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| **YES** |  | **No** |  | **Plan page(s)** |  |

3.2 Are there any soundness issues raised in representations made under regulation 20 that could potentially require a significant amount of work to address during the examination?

|  |  |  |  |
| --- | --- | --- | --- |
| **YES** |  | **No** |  |

**Strategic Policies**

3.3 Does the plan explicitly identify strategic policies?

|  |  |  |  |
| --- | --- | --- | --- |
| **Yes** |  | **NO** |  |

3.4 Do the strategic policies:

1. address the long term need for mineral and waste management / development in the area12?

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| **Yes** |  | **NO** |  | **Plan page(s)** |  |

1. set out the strategic requirement for the long term need for mineral and waste by each mineral type and waste stream, as appropriate?

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| **Yes** |  | **NO** |  | **Plan page(s)** |  |

1. look ahead over a minimum 15 year period from adoption?

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| **Yes** |  | **NO** |  | **Plan page(s)** |  |

**General Minerals and Waste Policies**

**Flood risk**

3.5 Is the plan supported by a level 1 strategic flood risk assessment based on up to date evidence?

|  |  |  |  |
| --- | --- | --- | --- |
| **Yes** |  | **NO** |  |

|  |  |  |
| --- | --- | --- |
| **Doc ref** | **Doc hyperlink** | **Relevant page(s)** |

3.6 Does the plan allocate any sites for mineral or waste development that are within areas at risk of flooding (flood zones 2 or 3, or at risk of surface water flooding or flooding from other sources)?

|  |  |  |  |
| --- | --- | --- | --- |
| **YES** |  | **No** |  |

If **YES**, is the plan supported by:

1. Level 2 flood risk assessment based on up to date evidence?

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| **Yes** |  | **NO** |  | **Doc ref** |  |

1. Up to date evidence that the sequential test has been applied?

|  |  |  |  |
| --- | --- | --- | --- |
| **Yes** |  | **NO** |  |

|  |  |  |
| --- | --- | --- |
| **Doc ref** | **Doc hyperlink** | **Relevant page(s)** |

1. Up to date evidence that the exception test has been applied (if applicable)?

|  |  |  |  |
| --- | --- | --- | --- |
| **Yes** |  | **NO** |  |

|  |  |  |
| --- | --- | --- |
| **Doc ref** | **Doc hyperlink** | **Relevant page(s)** |

**Transport**

3.7 Is the plan supported by up to date evidence assessing the individual and cumulative impacts of the development proposed in the plan on highway safety and the road network?

|  |  |  |  |
| --- | --- | --- | --- |
| **Yes** |  | **NO** |  |

|  |  |  |
| --- | --- | --- |
| **Doc ref** | **Doc hyperlink** | **Relevant page(s)** |

**Infrastructure**

3.8 Is the plan supported by up-to-date, adequate evidence about the new or improved infrastructure required to facilitate the development proposed in the plan?

|  |  |  |  |
| --- | --- | --- | --- |
| **Yes** |  | **NO** |  |

|  |  |  |
| --- | --- | --- |
| **Doc ref** | **Doc hyperlink** | **Relevant page(s)** |

**Biodiversity**

3.9 Is the plan supported by up-to-date, adequate evidence assessing the impacts of the development proposed in the plan on biodiversity?

|  |  |  |  |
| --- | --- | --- | --- |
| **Yes** |  | **NO** |  |

|  |  |  |
| --- | --- | --- |
| **Doc ref** | **Doc hyperlink** | **Relevant page(s)** |

**Landscape**

3.10 Is the plan supported by up-to-date, adequate evidence assessing the impacts of the development proposed in the plan on the landscape?

|  |  |  |  |
| --- | --- | --- | --- |
| **Yes** |  | **NO** |  |

|  |  |  |
| --- | --- | --- |
| **Doc ref** | **Doc hyperlink** | **Relevant page(s)** |

**Historic environment**

3.11 Is the plan supported by up-to-date, adequate evidence assessing the impacts of the development proposed in the plan on the historic environment?

|  |  |  |  |
| --- | --- | --- | --- |
| **Yes** |  | **NO** |  |

|  |  |  |
| --- | --- | --- |
| **Doc ref** | **Doc hyperlink** | **Relevant page(s)** |

**Mineral Local Plans**

**Vision and Objectives**

3.12 Does the plan’s Vision and Objectives set out the priorities of ensuring sufficient supply of minerals to provide the infrastructure, buildings, energy and goods that the country needs.

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| **Yes** |  | **NO** |  | **Plan page(s)** |  |

**Site Allocations**

3.13 Does the plan allocate sites for the extraction of mineral resources of both local and national importance?

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| **Yes** |  | **NO** |  | **Plan page(s)** |  |

**Aggregate Supply**

3.14 Does the plan make provision for the land won and other elements of the Local Aggregate Assessment?

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| **Yes** |  | **NO** |  | **Plan page(s)** |  |

3.15 Does the plan maintain a landbank of at least 7 years for sand and gravel?

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| **Yes** |  | **NO** |  | **Plan page(s)** |  |

3.16 Does the plan:

1. maintain a landbank of at least 10 years for crushed rock?

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| **Yes** |  | **NO** |  | **Plan page(s)** |  |

1. have any other types of aggregates where a longer period may be appropriate as advised by the NPPF?

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| **Yes** |  | **NO** |  | **Plan page(s)** |  |

**Industrial Minerals**

3.17 Does the plan:

1. make adequate provision to ensure the supply of industrial minerals?

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| **Yes** |  | **NO** |  | **Plan page(s)** |  |

1. where appropriate, identify reserves of at least 10 years for silica sand, or at least 15 years for primary and secondary cement materials, and 25 years for brick clay?

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| **Yes** |  | **NO** |  | **Plan page(s)** |  |

**Oil, Gas and Coal**

3.18 Does the plan, if geologically feasible, provide for on-shore oil and gas development?

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| **Yes** |  | **NO** |  | **Plan page(s)** |  |

1. If **yes,** do the policies plan positively for the three phases of development?

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| **Yes** |  | **NO** |  | **Plan page(s)** |  |

3.19 Does the plan encourage underground gas and carbon storage?

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| **Yes** |  | **NO** |  | **Plan page(s)** |  |

3.20 Does the plan (if relevant):

1. indicate areas where coal extraction and the disposal of colliery spoil may be acceptable?

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| **Yes** |  | **NO** |  | **Plan page(s)** |  |

1. encourage the capture and use of methane from coal mines in active and abandoned coalfield areas?

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| **Yes** |  | **NO** |  | **Plan page(s)** |  |

1. allow for coal producers to extract separately, and if necessary, stockpile fireclay so that it remains available for use?

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| **Yes** |  | **NO** |  | **Plan page(s)** |  |

**Mineral Planning Policies**

3.21 Does the Plan contain policies that take into account the contribution that substitute or secondary and recycled materials and minerals waste would make to the supply of materials?

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| **Yes** |  | **NO** |  | **Plan page(s)** |  |

3.22 Does the plan contain policies that safeguard mineral resources by:

1. defining Mineral Safeguarding Areas?

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| **Yes** |  | **NO** |  | **Plan page(s)** |  |

1. Mineral Consultation Areas?

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| **Yes** |  | **NO** |  | **Plan page(s)** |  |

1. Adopting appropriate policies so that known locations of specific minerals resources of local and national importance are not sterilised by non-mineral development where this should be avoided?

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| **Yes** |  | **NO** |  | **Plan page(s)** |  |

3.23 Does the plan contain policies that encourage the prior extraction of minerals, where practical and environmentally feasible, if it is necessary for non-mineral development to take place?

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| **Yes** |  | **NO** |  | **Plan page(s)** |  |

3.24 Does the plan contain policies that safeguard existing, planned and potential sites for:

a. the bulk transport, handling and processing of minerals?

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| **Yes** |  | **NO** |  | **Plan page(s)** |  |

b. the manufacture of concrete and concrete products?

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| **Yes** |  | **NO** |  | **Plan page(s)** |  |

c. the handling, processing and distribution of substitute, recycled and secondary aggregate material?

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| **Yes** |  | **NO** |  | **Plan page(s)** |  |

3.25 Does the plan contain policies that set out criteria or requirements to ensure that permitted and proposed operations do not have unacceptable adverse impacts on the natural and historic environment or human health, taking into account the cumulative effects of multiple impacts from individual sites and/or a number of sites in a locality?

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| **Yes** |  | **NO** |  | **Plan page(s)** |  |

If **NO**, because the Plan relies upon policies in another part of the Development Plan to address these matters, please explain how and what signposting measures have been used in the Plan.

3.26 Does the plan contain policies that set out noise limits?

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| **Yes** |  | **NO** |  | **Plan page(s)** |  |

1. If **yes**, do they recognise that when developing noise limits, some noisy short-term activities, which may otherwise be regarded as unacceptable, are unavoidable to facilitate minerals extraction?

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| **Yes** |  | **NO** |  | **Plan page(s)** |  |

3.27 Does the plan contain policies intended to ensure that worked land is reclaimed at the earliest opportunity, taking account of aviation safety, and that high quality restoration and aftercare of mineral sites takes place?

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| **Yes** |  | **NO** |  | **Plan page(s)** |  |

**Waste Local Plans**

**Vision and Objectives**

3.28 Does the plan’s Vision and Objectives set out the priorities of:

1. Ensuring a sustainable and efficient approach to resource use and management?

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| **Yes** |  | **NO** |  | **Plan page(s)** |  |

1. Minimising waste by driving waste management up the waste hierarchy?

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| **Yes** |  | **NO** |  | **Plan page(s)** |  |

1. Providing a strategy for waste management?

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| **Yes** |  | **NO** |  | **Plan page(s)** |  |

1. Helping to secure the re-use, recovery or disposal of waste without endangering human health and without harming the environment?

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| **Yes** |  | **NO** |  | **Plan page(s)** |  |

**Site Selection Methodology**

3.29 Does the plan allocate sites using an appropriate and proportionate methodology, which:

1. Meets the identified needs of their area for the management of waste streams?

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| **Yes** |  | **NO** |  | **Plan page(s)** |  |

1. Gives priority to the re-use of previously-developed land, sites identified for employment uses, and redundant agricultural and forestry buildings and their curtilages?

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| **Yes** |  | **NO** |  | **Plan page(s)** |  |

1. Considers the physical and environmental constraints on development, including existing and proposed neighbouring land uses, to the appropriate level of detail needed to prepare the Local Plan?

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| **Yes** |  | **NO** |  | **Plan page(s)** |  |

1. Considers the cumulative impact of existing and proposed waste disposal facilities on the well-being of the local community, including any significant adverse impacts on environmental quality, social cohesion and inclusion or economic potential?

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| **Yes** |  | **NO** |  | **Plan page(s)** |  |

3.30 Where sites are allocated for waste management facilities, do they:

1. Consider the capacity of existing and potential transport infrastructure to support the sustainable movement of waste, and products arising from resource recovery, seeking when practicable and beneficial to use modes other than road transport?

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| **Yes** |  | **NO** |  | **Plan page(s)** |  |

1. Consider a broad range of locations including industrial sites, looking for opportunities to co-locate waste management facilities together and with complementary activities?

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| **Yes** |  | **NO** |  | **Plan page(s)** |  |

3.31 Are any of the allocated sites located in the Green Belt?

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| **YES** |  | **No** |  | **Plan page(s)** |  |

**The Provision of Waste Management Facilities**

3.32 Does the plan identify sufficient opportunities to meet the identified needs of their area for the management of waste streams over the plan period (*In London, waste planning authorities should have regard to their apportionments set out in the London Plan when preparing their plans*) by identifying the tonnages and percentages of:

a. Municipal waste?

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| **Yes** |  | **NO** |  | **Plan page(s)** |  |

b. Commercial waste?

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| **Yes** |  | **NO** |  | **Plan page(s)** |  |

c. Industrial waste?

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| **Yes** |  | **NO** |  | **Plan page(s)** |  |

3.33 Does the plan contain policies that address the need for additional waste management capacity of more than local significance and reflect any requirement for waste management facilities identified nationally?

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| **Yes** |  | **NO** |  | **Plan page(s)** |  |

3.34 Does the plan take into account any need for waste management, including for disposal of the residues from treated wastes, arising in more than one waste planning authority area?

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| **Yes** |  | **NO** |  | **Plan page(s)** |  |

3.35 Does the plan contain policies that address the extent to which the capacity of existing operational facilities would satisfy any identified need?

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| **Yes** |  | **NO** |  | **Plan page(s)** |  |

**4 Monitoring**

To inform the preparation of Local Plans and to inform the determination of planning applications as part of delivering sustainable mineral and waste management, planning authorities should include provision for monitoring. Matters to consider are:

* Provision of indicators linked to the Aggregate Monitoring Report
* Provision of a Local Aggregate Assessment
* The amount of safeguarded minerals sterilised by non-mineral development not subject to prior extraction
* The amount of prior extracted minerals
* The amount of substitute or secondary or recycled materials in place of primary extraction
* Provision of a Waste Needs Assessment
* Take-up in allocated sites and areas
* The existing stock and changes in the stock of waste management facilities, and their capacity (including changes to capacity)
* Waste arisings
* The amounts of waste recycled, recovered or going for disposal; and
* Who will undertake and provide the monitoring data

4.1 Does the Plan set out a monitoring framework that addresses the matters outlined above?

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| **Yes** |  | **NO** |  | **Plan page(s)** |  |

End of validation checklist

1. Each proposed submission and supporting document (Regulation 22) should have a unique reference number agreed with the Programme Officer in accordance with PINS guidance <https://www.gov.uk/guidance/local-plan-examination-webpages-advice-for-local-planning-authorities> [↑](#footnote-ref-1)
2. <https://www.gov.uk/government/publications/guidance-for-programme-officers-local-plan-examinations/guidance-for-programme-officers-local-plan-examinations#rolekey-tasks-at-each-stage-of-the-examination> [↑](#footnote-ref-2)
3. Cut and paste this explanation box beneath any question that you have given a **RED** capitalised answer to and insert a brief explanation (max 250 words). [↑](#footnote-ref-3)
4. <https://www.gov.uk/guidance/local-plan-examination-webpages-advice-for-local-planning-authorities> [↑](#footnote-ref-4)
5. <https://www.gov.uk/government/publications/guidance-for-programme-officers-local-plan-examinations/guidance-for-programme-officers-local-plan-examinations#rolekey-tasks-at-each-stage-of-the-examination> [↑](#footnote-ref-5)
6. A “strategic matter” is (a) sustainable development or use of land that has or would have a significant impact in at least two planning areas, including (in particular) sustainable development or use of land for or in connection with infrastructure that is strategic and has or would have a significant impact on at least two planning areas, and (b) sustainable development or use of land in a two-tier area if the development or use is a county matter or would have a significant impact on a county matter [section 33A(4) of the 2004 Act]. [↑](#footnote-ref-6)
7. If the LPA is a London Borough or a Mayoral development corporation. [↑](#footnote-ref-7)
8. SEA Regulation 12(3). [↑](#footnote-ref-8)
9. NPPF 33 and PPG ID:11-001-20190722. [↑](#footnote-ref-9)
10. SEA Regulation 12(2) and PPG ID:11-018-20140306. The subjects listed (a) to (c) are not specified in legislation or national policy, but experience indicates that it may be necessary to identify and appraise reasonable alternatives in relation to them (as well as other elements of the plan as appropriate). [↑](#footnote-ref-10)
11. [Calverton PC v Nottingham CC [2015] EWHC 1078 (Admin)](https://www.bailii.org/ew/cases/EWHC/Admin/2015/1078.html). [↑](#footnote-ref-11)
12. Any site which would be included within the definition at regulation 8 of the Conservation of Habitats and Species Regulations 2017 for the purpose of those regulations, including candidate Special Areas of Conservation, Sites of Community Importance, Special Areas of Conservation, Special Protection Areas and any relevant Marine Sites. [↑](#footnote-ref-12)
13. PPG ID: 65-005 to 007-20190722. [↑](#footnote-ref-13)
14. [Local Plan Reg 22 Consultation Statement | Local Government Association](https://www.local.gov.uk/pas/plan-making/local-plan-review-update/consultation-engagement/local-plan-reg-22-consultation) [↑](#footnote-ref-14)
15. <https://www.gov.uk/guidance/local-plan-examination-webpages-advice-for-local-planning-authorities> [↑](#footnote-ref-15)
16. Regulation 22(1)(e). [↑](#footnote-ref-16)