



**2022 four year ahead Capacity Auction (T-4)  
Delivery Year 2026/27  
Auction Monitor Report**

23 February 2023

# Contents

1	Assurance Report	1
2	Background and Context	4
3	Confirmation of the list of Bidders to be awarded Capacity Agreements	6
	Appendix A – In-scope Capacity Market Rules (Chapter 5)	25
	Appendix B – Outline of Work Performed	26

# 1 Assurance Report

## **ISAE 3000 Independent Assurance Report on Compliance of National Grid Electricity System Operator Limited (NGESO) (as Electricity Market Reform Delivery Body) with selected requirements of the Capacity Market Rules, Regulations and the Auction Guidelines.**

### **1.1 Scope**

We have performed assurance work over the extent to which the Delivery Body ("DB") has complied with the requirements of Chapter 5 of the Capacity Market Rules, Regulations and the Auction Guidelines (together the "Rules and Guidelines") (updated 17 August 2022). In particular, we have considered the rules included in Chapter 5 of the Capacity Market Rules as listed in Appendix A.

A consolidated version of the detailed Capacity Market Rules is available here: [https://assets.publishing.service.gov.uk/government/uploads/system/uploads/attachment\\_data/file/1098848/capacity-market-rules-informal-consolidated-version-august-2022.pdf](https://assets.publishing.service.gov.uk/government/uploads/system/uploads/attachment_data/file/1098848/capacity-market-rules-informal-consolidated-version-august-2022.pdf) with the subsequent amendments at the time of writing available here: <https://www.gov.uk/government/publications/capacity-market-rules>. In the context of this Report, the actions of the DB in performing the 2022 four year ahead Capacity Auction (T-4) Delivery Year 2026/27 ("the 2022 T-4 Auction") is the "Subject Matter" and the above sections of the Capacity Market Rules form the "Criteria" against which the Subject Matter has been evaluated.

### **1.2 Respective Responsibilities**

The DB as the Responsible Party is responsible for delivering the process and requirements for the 2022 T-4 Auction in accordance with the Capacity Market Rules.

Our responsibility, as agreed with the DB, is to assess the actions performed by the DB in performing the 2022 T-4 Auction against the requirements of the Capacity Market Rules and to independently express a conclusion as to whether the process and actions undertaken by the DB comply with the requirements of the Capacity Market Rules. Other than as defined in our assurance procedures, we did not audit or otherwise test or verify the information given to us in the course of the Services.

### **1.3 Work Performed**

We conducted our work in accordance with the International Standard on Assurance Engagements 3000 (Revised) "Assurance Engagements Other Than Audits or Reviews of Historical Financial Information". That standard requires that we plan and perform our work to obtain appropriate evidence about the subject matter of the engagement sufficient to support an opinion providing reasonable assurance.

An outline of the work we performed is included in Appendix B. Except to the extent expressly stated above, we did not subject the information contained in our Report or given to us by the DB to checking or verification procedures. The procedures we performed were not designed to and are not likely to reveal fraud.

#### 1.4 Basis of Opinion

We believe that our work performed and evidence obtained provide a reasonable basis for our opinion. Our assurance report has been produced under our letter of engagement with the Responsible Party effective from 1 August 2022.

#### 1.5 Opinion

**In our opinion the actions performed by NGESO, in its role as the Capacity Market Delivery Body for Electricity Market Reform, have in all material respects, complied with the relevant sections of the Rules and Guidelines as detailed in Section 1.1 above in respect of the 2022 T-4 Auction held between 09:00 on 21 February 2023 and 11:30 on 21 February 2023.**

A list of the Bidders and corresponding Capacity Market Units ("CMUs") to be awarded Capacity Agreements following the 2022 T-4 Auction is included in Section 3.

#### 1.6 Independence

In conducting our engagement, we have complied with the independence and other ethical requirements of the Code of Ethics for Professional Accountants issued by the International Ethics Standards Board for Accountants, which is founded on fundamental principles of integrity, objectivity, professional competence and due care, confidentiality and professional behaviour.

#### 1.7 Quality Control

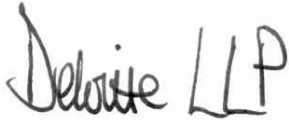
Our firm applies International Standard on Quality Management 1 and accordingly maintains a comprehensive system of quality control including documented policies and procedures regarding compliance with ethical requirements, professional standards and applicable legal and regulatory requirements.

#### 1.8 Use of Report

Our Report has been prepared for the exclusive use of NGESO in accordance with the scope agreed within our engagement letter effective from 1 August 2022. Our work has been undertaken solely for the purpose of assessing NGESO's compliance with the Rules and Guidelines outlined in Section 1.1 above. Our work was not planned or conducted with any other objective in mind and so cannot be relied upon for any other purposes.

Save that a copy of our report may be provided to the Secretary of State for Energy Security and Net Zero (formerly Business Energy and Industrial Strategy) and the Gas and Electricity Market Authority and may be published

on the [www.gov.uk](http://www.gov.uk) website, our Report is not to be used for any other purpose, recited or referred to in any document, copied or made available (in whole or in part) to any other person without our prior written express consent. To the fullest extent permitted by law, we do not accept or assume responsibility to anyone other than NGESO for this report or for the conclusions we have formed.

A handwritten signature in black ink that reads "Deloitte LLP". The signature is written in a cursive, stylized font.

Deloitte LLP  
Edinburgh, United Kingdom  
23 February 2023

## 2 Background and Context

### 2.1 Background

Electricity Market Reform (“EMR”) is intended, inter alia, to promote investment in low-carbon electricity generation and ensure security of supply. The Capacity Market supports achieving security of electricity supply by providing a payment for reliable sources of capacity. This supplements generators’ electricity revenues, to ensure they deliver energy when needed. This is designed to encourage the investment needed to replace older power stations, provide backup for more intermittent and inflexible low carbon generation sources and support the development of more active demand management in the electricity market.

EMR has been implemented through the Energy Act 2013 and the Electricity Capacity Regulations 2014 (as amended) (“the Regulations”). The Capacity Market Rules 2014 (as amended) (“the Rules”) provide the technical and administrative detail for implementing the operating framework set out in the Regulations. As such, the Rules provide a description and procedures regarding the operation of the Capacity Market.

National Grid Electricity System Operator Limited (NGESO) fulfils the role of EMR Delivery Body for the Capacity Market and is responsible for pre-qualifying auction participants, running the Capacity Auctions, and issuing and monitoring agreements, and for the issuing of Capacity Market notices.

Unless otherwise specified herein, words and expressions used in this Report have the same meaning as defined in the Regulations and Rules.

### 2.2 Requirement for the Auction Monitor

In its role as Delivery Body (“DB”) for EMR, NGESO, pursuant to Chapter 5 of the Capacity Market Rules 2014 (as amended), is required to appoint a third party to monitor the conduct of each Capacity Auction, referred to as the Auction Monitor.

Under the Rules the Auction Monitor is required to provide a report (“Report”) to the Secretary of State for Energy Security and Net Zero (formerly Business, Energy and Industrial Strategy) (“the SoS”) with a copy to the Gas and Electricity Markets Authority (“the Authority”) which:

- Confirms the list of Bidders that should have been awarded a Capacity Agreement;
- Sets out whether or not the Auction Monitor considers that the Capacity Market DB and/or the Auctioneer has conducted the Capacity Auction in accordance with the Regulations and the Rules;

- If applicable, identifies any actual or potential breach of the Regulations or the Rules or other actual or potential irregularity in the conduct of the Capacity Auction by the Capacity Market DB and/or the Auctioneer; and
- If applicable, includes a review of the calculations made and the Auction Monitor's assessment as to the likely consequences of such actual or potential breach or irregularity.

Under Rule 5.14.3(a) the Auction Monitor is required to provide the Report to the SoS. This will be achieved through the Auction Monitor providing the Report to the DB who will send it to the SoS and copy the Report to the Authority. We understand the SoS intends to publish this Report.

### **2.3 Basis on which work performed**

Deloitte LLP has been engaged by NGESO to perform the Auction Monitor role and report on the performance by NGESO (in its role as Delivery Body for the 2022 T-4 Auction) by providing a reasonable assurance opinion under the International Standard on Assurance Engagements ("ISAE") (Revised) 3000 in respect of the requirements for the Auction Monitor.

Our independent opinion has been prepared under ISAE 3000, which provides the structure and internationally recognised framework for undertaking the engagement, leading to a formal assurance opinion which is provided in Section 1 of this Report.

### **2.4 Report of Observations**

Where identified during the course of our work, we also report observations relating to the DB's compliance with relevant parts of Chapter 5 of the Capacity Market Rules and the Data and Auction sections of the Capacity Market Auction Guidelines (the 'Auction Guidelines') updated 17 August 2022 and any other potential irregularity with respect to the conduct of a Capacity Auction. We have no such observations to report.

### 3 Confirmation of the list of Bidders to be awarded Capacity Agreements

The 2022 T-4 Auction started at 09:00 on 21 February 2023 and the Clearing Round was Round 3, which closed at 11:30 on 21 February 2023.

The Clearing Price of the 2022 T-4 Auction was equal to £63.00, with the aggregate Bidding Capacity of Capacity Agreements of 43,000.955MW.

Based on the outcome of the 2022 T-4 Auction and in accordance with the Capacity Market Rules 2014 published on 1 August 2014 (as amended), we confirm that the following list of bidders and corresponding CMUs<sup>1</sup> to be awarded Capacity Agreements were successful in the 2022 T-4 Auction.

**Table 1.** List of Bidders and Corresponding CMUs Awarded Capacity Agreements in the 2022 T-4 Auction

Bidding Company / Group	Name of Applicant per CM Register	CM Unit Name per CM Register
Alkane Energy UK Limited	Alkane Energy UK Limited	Arlesey
	Alkane Energy UK Limited	Arls6 Avel13
	Alkane Energy UK Limited	Dogsthorpe
	Alkane Energy UK Limited	Grimethorpe
	Alkane Energy UK Limited	HemHea
	Alkane Energy UK Limited	Hickleton
	Alkane Energy UK Limited	Houghton Main
	Alkane Energy UK Limited	Manvers
	Alkane Energy UK Limited	Markham
	Alkane Energy UK Limited	Shirebrook
	Alkane Energy UK Limited	Taylor Road Storage
	Alkane Energy UK Limited	Toray Textiles
	Alkane Energy UK Limited	Wheldale
	Alkane Energy UK Limited	Whitwell
	Alkane Energy UK Limited	Winterton Solar
ALLIUM HELMSLEY LTD	ALLIUM HELMSLEY LTD	Alne (EFW)

<sup>1</sup> Bidding Company/Group information is taken from the Capacity Market Register.



Bidding Company / Group	Name of Applicant per CM Register	CM Unit Name per CM Register
AMALGAMATED SMART METERING LIMITED	AMALGAMATED SMART METERING LIMITED	Union Street
Arlington Energy Limited	ADV 003 LIMITED	Royle Barn Road
	AVE 002 LIMITED	Welkin Road
ASE UK HOLDINGS LIMITED	ASE UK HOLDINGS LIMITED	Dunfermline B2
Baker Street Generation Limited	Baker Street Generation Limited	Knowsley
	Baker Street Generation Limited	Protos 3
BALANCE POWER PROJECTS LIMITED	BALANCE POWER PROJECTS LIMITED	GORING
	BALANCE POWER PROJECTS LIMITED	RECTORY
BANKS RENEWABLES (HARTING RIG WIND FARM) LIMITED	BANKS RENEWABLES (HARTING RIG WIND FARM) LIMITED	KypeE1
BEECHGREEN ENERGYFARM LIMITED	BEECHGREEN ENERGYFARM LIMITED	EDFR Burwell
	BEECHGREEN ENERGYFARM LIMITED	EDFR Sutton Bridge
Biogas Technology Limited	Biogas Technology Limited	Great Dunmow
	Biogas Technology Limited	Sudbury
BLACKPARK ENERGY STORAGE LIMITED	BLACKPARK ENERGY STORAGE LIMITED	BLPK26
Bolshan Renewables Limited	Bolshan Renewables Limited	SRHA05
BOOM BACUP STORAGE LIMITED	BOOM BACUP STORAGE LIMITED	BACUP STORAGE
BRENTWOOD ENERGY STORAGE LIMITED	BRENTWOOD ENERGY STORAGE LIMITED	BRETB1
	FEN POWER 1 LIMITED	BURWB2
	DRUMCROSS ENERGY STORAGE LIMITED	DRUMB1
	ERSKINE ENERGY STORAGE LIMITED	ERSKB1
	BALANCE ENERGY 2 LTD	NTAWB1
British Sugar plc	British Sugar plc	Bury St Edmunds CHP
	British Sugar plc	Wissington CHP
BRITNED DEVELOPMENT LIMITED	BRITNED DEVELOPMENT LIMITED	BritNed Interconnector
Cargill PLC	Cargill PLC	Cargill Manch Generation
CARNEGIE (BES) LTD	CARNEGIE (BES) LTD	QENER1_CANERGIE
Carrington Power Limited	Carrington Power Limited	Carrington_1
	Carrington Power Limited	Carrington_2

Bidding Company / Group	Name of Applicant per CM Register	CM Unit Name per CM Register
Centrica Business Solutions UK Optimisation Limite	Centrica Business Solutions UK Optimisation Limite	6RES22
	Centrica Business Solutions UK Optimisation Limite	6RES23
	Centrica Business Solutions UK Optimisation Limite	6RES37
	Centrica Business Solutions UK Optimisation Limite	6RES39
	Centrica Business Solutions UK Optimisation Limite	6RES40
	Centrica Business Solutions UK Optimisation Limite	6RES51
	Centrica Business Solutions UK Optimisation Limite	6RES6A
	Centrica Brigg Limited	8RES31
	Centrica Barry Limited	8RESAI
	CBS SOLAR ASSETS UK LIMITED	8RESAJ
	Centrica Distributed Generation Limited	8RESAK
	Centrica Business Solutions UK Limited	DVH001
	Centrica Business Solutions UK Optimisation Limite	HEATH1
	Centrica Business Solutions UK Optimisation Limite	RDE002
	Centrica Business Solutions UK Optimisation Limite	REST04
	Centrica Business Solutions UK Optimisation Limite	REST20
	Centrica Business Solutions UK Optimisation Limite	REST21
	Centrica Business Solutions UK Optimisation Limite	REST22
	Centrica Business Solutions UK Optimisation Limite	REST45
	Centrica Business Solutions UK Optimisation Limite	REST46
CLAYHILL SOLAR LIMITED	CLAYHILL SOLAR LIMITED	AGCLHS
COGENERATION SOLUTIONS LIMITED	CONRAD (STEVENAGE B) LIMITED	Cavendish
CONRAD (BLACKPOOL) LIMITED	CONRAD (BLACKPOOL) LIMITED	Blackpool
CONRAD (BLETCHLEY) LIMITED	CONRAD (BLETCHLEY) LIMITED	Bond Estates Milton Keynes
CONRAD (FLITWICK) LIMITED	CONRAD (FLITWICK) LIMITED	Flitwick
CONRAD (MIDSOMER) LIMITED	CONRAD (MIDSOMER) LIMITED	Midsomer Norton
CONRAD (ROCHESTER) LIMITED	CONRAD (ROCHESTER) LIMITED	Rochester

Bidding Company / Group	Name of Applicant per CM Register	CM Unit Name per CM Register
CONRAD (TORQUAY) LIMITED	CONRAD (TORQUAY) LIMITED	Torquay
CONRAD (WINCHESTER) LIMITED	CONRAD (WINCHESTER) LIMITED	Winchester
CONRAD ENERGY (DEVELOPMENTS) LIMITED	CONRAD ENERGY (DEVELOPMENTS) LIMITED	Bilsham
CORPOWER LIMITED	CORPOWER LIMITED	Corpower Battery
COWLEY BALDON GREEN LIMITED	COWLEY BALDON GREEN LIMITED	COWLEY
CREST ENERGY LIMITED	CREST ENERGY LIMITED	CREST1
CUMBERHEAD WIND ENERGY LIMITED	CUMBERHEAD WIND ENERGY LIMITED	CumberheadWF
CUXTON ENERGY STORAGE LIMITED	CUXTON ENERGY STORAGE LIMITED	CUX001
Dalquhandy Wind Farm Limited	Dalquhandy Wind Farm Limited	Dalquhandy
DARLINGTON SOLAR 1 LIMITED	DARLINGTON SOLAR 1 LIMITED	NRTN22
Distributed Generators Limited	CONRAD (PUTNOE) LIMITED	Elms
Douglas West Wind Farm Ltd	Douglas West Wind Farm Ltd	Douglas West wind farm
Drax Power Limited	Drax Power Limited	DRAX Unit 9
	Drax Power Limited	DRAX10
	Drax Power Limited	DRAX12
Drax Pumped Storage Limited	Drax Pumped Storage Limited	Cruachan Unit 1
	Drax Pumped Storage Limited	Cruachan Unit 2
	Drax Pumped Storage Limited	Cruachan Unit 3
	Drax Pumped Storage Limited	Cruachan Unit 4
Drax River Hydro Limited	Drax River Hydro Limited	Glenlee Hydro
	Drax River Hydro Limited	Kendoon Hydro
	Drax River Hydro Limited	Tongland Hydro
DURHAM RP LIMITED	DURHAM RP LIMITED	Kestral Road
E.ON UK Plc	E.ON UK Plc	CE_Aggregated
	E.ON UK Plc	CE_Aggregated 2
	E.ON UK Plc	CE_Aggregated 3
	E.ON UK Plc	CE_Monk
	Citigen (London) Limited	Citigen
	E.ON UK Plc	EON026
	E.ON UK Plc	EON027

Bidding Company / Group	Name of Applicant per CM Register	CM Unit Name per CM Register
	E.ON UK Plc	EON028
	E.ON UK Plc	EON029
	E.ON UK Plc	EONT005
	E.ON UK Plc	MIC_HEY
	E.ON UK Plc	NPCM-13
	E.ON UK Plc	QMC_CHP
	E.ON UK Plc	SON_CHP
ECOSTOR 1 IMMINGHAM LTD	ECOSTOR 1 IMMINGHAM LTD	ECOSTA
ECOTRICITY (SMART GRID) LIMITED	ECOTRICITY (SMART GRID) LIMITED	Alveston 10MW Battery Storage
EDF Energy Nuclear Generation Limited	EDF Energy Nuclear Generation Limited	Sizewell B Power Station CMU 1
	EDF Energy Nuclear Generation Limited	Sizewell B Power Station CMU 2
EFFICIENT GENERATION LIMITED	EFFICIENT GENERATION LIMITED	Aldersgrove
EirGrid Interconnector Designated Activity Company	EirGrid Interconnector Designated Activity Company	EWIC
ELECLINK LIMITED	ELECLINK LIMITED	ElecLink
Enel X UK Limited	Enel X UK Limited	UDSR02
	Enel X UK Limited	UDSR03
	Enel X UK Limited	UDSR04
	Enel X UK Limited	UDSR05
	Enel X UK Limited	UDSR06
	Enel X UK Limited	UDSR07
	Enel X UK Limited	UDSR08
	Enel X UK Limited	UDSR09
	Enel X UK Limited	UDSR10
	Enel X UK Limited	UDSR11
	Enel X UK Limited	UDSR12
	Enel X UK Limited	UDSR13
	Enel X UK Limited	UDSR14
	Enel X UK Limited	UDSR15
	Enel X UK Limited	UDSR16
	Enel X UK Limited	UDSR17

Bidding Company / Group	Name of Applicant per CM Register	CM Unit Name per CM Register
	Enel X UK Limited	UDSR18
	Enel X UK Limited	UDSR19
	Enel X UK Limited	UDSR20
	Enel X UK Limited	UDSR21
	Enel X UK Limited	UDSR22
	Enel X UK Limited	UDSR23
	Enel X UK Limited	UDSR24
	Enel X UK Limited	UDSR25
	Enel X UK Limited	UDSR26
	Enel X UK Limited	UDSR27
	Enel X UK Limited	UDSR28
	Enel X UK Limited	UDSR29
	Enel X UK Limited	UDSR30
	Enel X UK Limited	UDSR31
	Enel X UK Limited	UDSR32
	Enel X UK Limited	UDSR33
ENERGI GENERATION 7 LTD	ENERGI GENERATION 7 LTD	Whitebirk
ENERGYGRIDPOWER LTD	ENERGYGRIDPOWER LTD	W12241
	ENERGYGRIDPOWER LTD	W12242
	ENERGYGRIDPOWER LTD	W12243
	ENERGYGRIDPOWER LTD	W12244
	ENERGYGRIDPOWER LTD	W12247
	ENERGYGRIDPOWER LTD	W12248
	ENERGYGRIDPOWER LTD	W22241
	ENERGYGRIDPOWER LTD	W22242
	ENERGYGRIDPOWER LTD	W22243
	ENERGYGRIDPOWER LTD	W22244
	ENERGYGRIDPOWER LTD	W22247
	ENERGYGRIDPOWER LTD	W22248
ENFINIUM FERRYBRIDGE 1 LIMITED	ENFINIUM FERRYBRIDGE 1 LIMITED	FM1
ENFINIUM FERRYBRIDGE 2 LIMITED	ENFINIUM FERRYBRIDGE 2 LIMITED	FM2

Bidding Company / Group	Name of Applicant per CM Register	CM Unit Name per CM Register
ENFINIUM PARC ADFER LIMITED	ENFINIUM PARC ADFER LIMITED	Parc Adfer
ENSO GREEN HOLDINGS I LIMITED	ENSO GREEN HOLDINGS I LIMITED	ThorpeMarsh
ENSO GREEN HOLDINGS K LIMITED	ENSO GREEN HOLDINGS K LIMITED	HighMarnham
ENSO GREEN HOLDINGS LIMITED	ENSO GREEN HOLDINGS LIMITED	WEST BURTON
EP UK Investments	Eggborough Power Limited	Eggborough Battery A
	Eggborough Power Limited	Eggborough CCGT Unit 1
	Eggborough Power Limited	Eggborough CCGT Unit 2
	EP Langage Limited	T_LAGA-1
	EP SHB Limited	T_SHBA-2
ESB SOLAR (NORTHERN IRELAND) LIMITED	ESB SOLAR (NORTHERN IRELAND) LIMITED	MillFarm1
ESSO PETROLEUM COMPANY LIMITED	ESSO PETROLEUM COMPANY, LIMITED	EMD-18
	ESSO PETROLEUM COMPANY, LIMITED	EMG-18
EUROPEAN STORAGE INVESTMENTS HOLDINGS 2 LIMITED	ALUDRA BESS LTD	ALUDRA BESS LTD (Drakelow)
FAREHAM ENERGY RESERVE LIMITED	FAREHAM ENERGY RESERVE LIMITED	FAR123
FCC Environment (UK) Limited	Kent Enviropower Limited	Allington EFW
	FCC Buckinghamshire Limited	FCC Buckinghamshire Ltd
	FCC Environment (Lincolnshire) Limited	Lincs EFW
	FCC (E&M) Limited	Millerhill EFW
FIELD AUCHTERAW LTD.	FIELD AUCHTERAW LTD.	FIELD AUCHTERAW
FIELD GERRARDS CROSS LTD	FIELD GERRARDS CROSS LTD	Field Gerrards Cross
FIELD NEWPORT LTD	FIELD NEWPORT LTD	Field Newport
First Hydro Company	First Hydro Company	Dinorwig Unit 3
	First Hydro Company	Dinorwig Unit 4
	First Hydro Company	Dinorwig Unit 5
	First Hydro Company	Dinorwig Unit 6
FlexibleGridPower2 Ltd	FlexibleGridPower2 Ltd	H12241
	FlexibleGridPower2 Ltd	H12242
	FlexibleGridPower2 Ltd	H12243
	FlexibleGridPower2 Ltd	H12244

Bidding Company / Group	Name of Applicant per CM Register	CM Unit Name per CM Register
	FlexibleGridPower2 Ltd	H12247
	FlexibleGridPower2 Ltd	H12248
	FlexibleGridPower2 Ltd	H22241
	FlexibleGridPower2 Ltd	H22242
	FlexibleGridPower2 Ltd	H22243
	FlexibleGridPower2 Ltd	H22244
	FlexibleGridPower2 Ltd	H22247
	FlexibleGridPower2 Ltd	H22248
FLEXION ENERGY SPV 1 LTD	FLEXION ENERGY HOLDINGS UK LTD	iv010G
Flexitricity Limited	Flexitricity Limited	F20112
	Flexitricity Limited	F20113
	Flexitricity Limited	F20123
	Flexitricity Limited	F20124
	Flexitricity Limited	F20125
	Flexitricity Limited	F20126
	Flexitricity Limited	F20127
	Flexitricity Limited	F20128
	Flexitricity Limited	F20129
	Flexitricity Limited	F20130
	Flexitricity Limited	F20131
	Flexitricity Limited	F20132
	Flexitricity Limited	F20133
	Flexitricity Limited	FLEX05
	Flexitricity Limited	FLEX08
	Flexitricity Limited	FLEX11
	Flexitricity Limited	FLEX144
	Flexitricity Limited	FLEX150
	Flexitricity Limited	FLEX153
	Flexitricity Limited	FLEX66
	Flexitricity Limited	FLEX67
	Flexitricity Limited	FLEX77
	Flexitricity Limited	FLEX81

Bidding Company / Group	Name of Applicant per CM Register	CM Unit Name per CM Register
	Flexitricity Limited	Ledi
FLINT TO CELL LIMITED	CONRAD (REDBOURN) LIMITED	Finway
Forsa Energy (Beryl Street) Holdings Limited	Forsa Energy (Beryl Street) Holdings Limited	Shapfell 1
	Forsa Energy (Beryl Street) Holdings Limited	Shapfell 2
FORTUM GLASGOW LIMITED	FORTUM GLASGOW LIMITED	SOUTH CLYDE ENERGY CENTRE
FPC ELECTRIC LAND LIMITED	EL (HAVANT) LIMITED	Havant
	FPC ELECTRIC LAND LIMITED	Norwich B2
	EL (SLH) Limited	Stanford le hope (A)
	EL (SLH) Limited	Stanford le hope (B)
FS WEST GOURDIE LIMITED	FS WEST GOURDIE LIMITED	FSWG26
Gateway Energy Centre Limited	Gateway Energy Centre Limited	Gateway Energy Centre Limited
GIG FAUNE HOLDCO LIMITED	GIG FAUNE HOLDCO LIMITED	OCKER HILL
Glass Leaf Power Limited	Glass Leaf Power Limited	Glassleaf Power
Grangemouth CHP Limited	Grangemouth CHP Limited	Grangemouth CHP
GREEN PEAK GENERATION LIMITED	CONRAD (LILLYHALL) LIMITED	Lillyhall
GREENGRIDPOWER1 LTD	GREENGRIDPOWER1 LTD	SHIL26
Greenlink Interconnector Limited	Greenlink Interconnector Limited	Greenlink
Greenspan Contractors Limited	Greenspan Contractors Limited	Abercorn
Greenspan Nifty Fifty Limited	Greenspan Nifty Fifty Limited	GNRA01
	Greenspan Nifty Fifty Limited	GNRB01
	Greenspan Nifty Fifty Limited	Wardpark Alpha
	Greenspan Nifty Fifty Limited	Wardpark Bravo
Grendon Storage Limited	Grendon Storage Limited	Grendon Storage 043
GridBeyond Limited	GridBeyond Limited	END027
	GridBeyond Limited	END028
	GridBeyond Limited	END029
	GridBeyond Limited	END030
	GridBeyond Limited	END031
	GridBeyond Limited	END032
	GridBeyond Limited	END033
	GridBeyond Limited	END034



Bidding Company / Group	Name of Applicant per CM Register	CM Unit Name per CM Register
	GridBeyond Limited	END035
	GridBeyond Limited	END036
	GridBeyond Limited	END046
	GridBeyond Limited	END054
	GridBeyond Limited	END055
	GridBeyond Limited	END056
	GridBeyond Limited	END057
	GridBeyond Limited	END058
	GridBeyond Limited	END059
	GridBeyond Limited	END060
	GridBeyond Limited	Endeco Technologies CMU1
	GridBeyond Limited	Endeco Technologies END014
	GridBeyond Limited	Endeco Technologies END018
	GridBeyond Limited	GBD008
	GridBeyond Limited	GBD013
	GridBeyond Limited	GBD017
	GridBeyond Limited	GBD047
	GridBeyond Limited	GBD048
	GridBeyond Limited	GridBeyond GBD009
	GridBeyond Limited	SSW Hampton Load
GSES 1 LIMITED	GSES 1 LIMITED	ADSLS2
	GSES 1 LIMITED	AGABS3
	GSES 1 LIMITED	AGABS4
	GSES 1 LIMITED	AGHUL2
	GSES 1 LIMITED	AGLAR2
	GSES 1 LIMITED	KA1MID
Harmony BF Limited	Harmony BF Limited	Bumpers 1 ESS
	Harmony BF Limited	Bumpers 2 ESS
Harmony HB Limited	Harmony HB Limited	HOLESBAY
HARMONY HP (JV) LIMITED	HARMONY HP (JV) LIMITED	Hawthorne Pitt ESS
HARMONY WG (JV) LIMITED	HARMONY WG (JV) LIMITED	Wormald Green ESS
HD777FRY LTD	HD777FRY LTD	Fryston

Bidding Company / Group	Name of Applicant per CM Register	CM Unit Name per CM Register
HYDROCK CONSULTANTS LIMITED	HYDROCK CONSULTANTS LIMITED	Dragon Lane
Indian Queens Power Limited	Indian Queens Power Limited	Indian Queens
INOVYN ChlorVinyls Limited	INOVYN ChlorVinyls Limited	INOVYN1
	INOVYN ChlorVinyls Limited	MCRUN
InterGen CM Bidding Company	Coryton Energy Company, Ltd.	Coryton
	Rocksavage Power Company, Ltd.	Rocksavage
	Spalding Energy Company, Ltd.	Spalding
KXP IMMINGHAM LIMITED	KXP IMMINGHAM LIMITED	Immingham
LAKESIDE ENERGY FROM WASTE LIMITED	LAKESIDE ENERGY FROM WASTE LIMITED	Lakeside EFW
LAKESIDE ENERGY STORAGE LIMITED	LAKESIDE ENERGY STORAGE LIMITED	Lakeside
Leaffield Energy Limited	Leaffield Energy Limited	LEA222
LEL FRODO BATTERY LIMITED	LEL FRODO BATTERY LIMITED	Frodo
Limejump Ltd	Limejump Ltd	BAT203
	Limejump Ltd	DSR502
	Limejump Ltd	DSRL26
	Limejump Ltd	EXKAP1
	Limejump Ltd	EXL202
	Limejump Ltd	EXL204
	Limejump Ltd	EXL301
Mercia Waste Management Limited	Mercia Waste Management Limited	Mercia Waste Management Ltd
MORAY OFFSHORE WINDFARM (WEST) LIMITED	MORAY OFFSHORE WINDFARM (WEST) LIMITED	Moray Offshore Windfarm (West) Limited
Moyle Interconnector Limited	Moyle Interconnector Limited	Moyle Interconnector
MWKB21 LIMITED	MWKB21 LIMITED	MWKB31
	MWKB21 LIMITED	MWKB32
National Grid Interconnectors Limited	National Grid IFA2 Limited	IFA2
	National Grid Interconnectors Limited	Interconnexion France Angleterre
	National Grid North Sea Link Limited	NSL_22
	NATIONAL GRID VIKING LINK LIMITED	VIK
NATIVE RIVER LTD	NATIVE RIVER LTD	Native River

Bidding Company / Group	Name of Applicant per CM Register	CM Unit Name per CM Register
NEMO LINK LIMITED	NEMO LINK LIMITED	NEMOGB
NEXTPOWER EELPOWER CAMILLA LIMITED	NEXTPOWER EELPOWER CAMILLA LIMITED	CAMI22
Noriker Poise Ltd	Noriker Poise Ltd	Poise 1
Northumberland Energy Recovery Limited	Northumberland Energy Recovery Limited	STV Line 3 EfW
NORWICH EAST ELECTRIC FORECOURT LTD	NORWICH EAST ELECTRIC FORECOURT LTD	Norwich East Electric Forecourt
Nuclear Decommissioning Authority	Nuclear Decommissioning Authority	Maentwrog Hydro-electric Power Station
OCTOPUS ENERGY LIMITED	OCTOPUS ENERGY LIMITED	OCTO01
	OCTOPUS ENERGY LIMITED	OCTO12
	OCTOPUS ENERGY LIMITED	OCTO13
	OCTOPUS ENERGY LIMITED	OCTO14
OSSPV001 LIMITED	OSSPV001 LIMITED	OEL CM 01
P3P Energy Management AE Limited	P3P Energy Management AE Limited	Woodhouse Energy Centre
P3P Energy Management Limited	P3P Energy Management Limited	Europa Energy Centre
Peak Gen Power Limited	Peak Gen Power Limited	Peak Gen Power Coventry
	Peak Gen Power Limited	Peak Gen Power Didcot
	Peak Gen Power Limited	Peak Gen Power Hatfield
	Peak Gen Power Limited	Peak Gen Power Haydock
PENWORTHAM (BES) LTD	PENWORTHAM (BES) LTD	QENER2_PENWORTHAM
PISTON HEATING SERVICES LIMITED	CONRAD (STEVENAGE A) LIMITED	Caswell
Pivoted Power LLP	Pivoted Power LLP	Pivot Power Bramford
	Pivoted Power LLP	Pivot Power Cowley
	Pivoted Power LLP	Pivot Power Indian Queens
	Pivoted Power LLP	Pivot Power Kemsley
	Pivoted Power LLP	Pivot Power Sundon
Porth Wen Solar Limited	Porth Wen Solar Limited	EDFR Porth Wen
Pulse Clean Energy UK Limited	Pulse Clean Energy UK Limited	Atherton B1
	Pulse Clean Energy UK Limited	Atherton B2
	Pulse Clean Energy UK Limited	Hyde B1
	Pulse Clean Energy UK Limited	Hyde B2

Bidding Company / Group	Name of Applicant per CM Register	CM Unit Name per CM Register
PUTNEY POWER LIMITED	CONRAD (FLEETWOOD) LIMITED	Copse
PYEBRIDGE POWER LTD	PYEBRIDGE POWER LTD	Gas Generation Pye Bridge
R&S ENERGY LIMITED	R&S ENERGY LIMITED	Buxton BESS
	R&S ENERGY LIMITED	Waterswallows BESS
Regent Park Energy Limited	Regent Park Energy Limited	Ling Hall Solar
RG STEVENAGE BESS LTD	RG STEVENAGE BESS LTD	RGS001
RHODESIA POWER LIMITED	RHODESIA POWER LIMITED	Rhodesia Power Limited
RICHBOROUGH ENERGY PARK LIMITED	RICHBOROUGH ENERGY PARK LIMITED	REP1T4
	RICHBOROUGH ENERGY PARK LIMITED	REP2T4
RIVER NENE POWER LIMITED	RIVER NENE POWER LIMITED	Peterborough Power
Riverside Resource Recovery Limited	Riverside Resource Recovery Limited	RRRF01
ROARING HILL ENERGY STORAGE LTD	ROARING HILL ENERGY STORAGE LTD	Roaring Hill
ROTHIENORMAN ENERGY STORAGE LIMITED	ROTHIENORMAN ENERGY STORAGE LIMITED	ROTH26
RWE Generation UK plc	RWE Generation UK plc	CMU_COWE1
	RWE Generation UK plc	CMU_COWE2
	RWE Generation UK plc	CMU_DIDC1G
	RWE Generation UK plc	CMU_DIDC2G
	RWE Generation UK plc	CMU_DIDC3G
	RWE Generation UK plc	CMU_DIDC4G
	RWE Generation UK plc	CMU_DIDCB5
	RWE Generation UK plc	CMU_DIDCB6
	RWE Generation UK plc	CMU_GYAR-1
	RWE Generation UK plc	CMU_HYTHE
	RWE Generation UK plc	CMU_LBAR-1
	RWE Generation UK plc	CMU_PEMB-11
	RWE Generation UK plc	CMU_PEMB-21
	RWE Generation UK plc	CMU_PEMB-31
	RWE Generation UK plc	CMU_PEMB-41
	RWE Generation UK plc	CMU_PEMB-51
	RWE Generation UK plc	CMU_STAY-1

Bidding Company / Group	Name of Applicant per CM Register	CM Unit Name per CM Register
	RWE Generation UK plc	CMU_STAY-2
	RWE Generation UK plc	CMU_STAY-3
	RWE Generation UK plc	CMU_STAY-4
Saltend Cogeneration Company Limited	Saltend Cogeneration Company Limited	Saltend Unit 1
	Saltend Cogeneration Company Limited	Saltend Unit 2
	Saltend Cogeneration Company Limited	Saltend Unit 3
SANDRIDGE BATTERY STORAGE LIMITED	SANDRIDGE BATTERY STORAGE LIMITED	SAND26
Seabank Power Limited	Seabank Power Limited	Seabank 1 and 2
SECURE GENERATION LIMITED	SECURE GENERATION LIMITED	Melrow
Sembcorp Utilities (UK) Limited	Sembcorp Utilities (UK) Limited	Sembcorp Wilton GT1 and ST11
	Sembcorp Utilities (UK) Limited	Sembcorp Wilton GT2
Shovel Ready 6 Limited	CONRAD (LYTHAM) LIMITED	Amy Johnson Way
Shovel Ready 7 Limited	CONRAD (CLEVELEYS) LIMITED	Venture Road
SIMEC Lochaber Hydropower 2 Limited	SIMEC Lochaber Hydropower 2 Limited	SLH2G2
	SIMEC Lochaber Hydropower 2 Limited	SLH2G3
	SIMEC Lochaber Hydropower 2 Limited	SLH2G4
	SIMEC Lochaber Hydropower 2 Limited	SLH2G5
SKELMERSDALE ENERGY STORAGE LIMITED	SKELMERSDALE ENERGY STORAGE LIMITED	SKELMERSDALE
South Tyne and Wear Energy Recovery Limited	South Tyne and Wear Energy Recovery Limited	STV Lines 4 & 5 EfW
SPARK STEAM LIMITED	SPARK STEAM LIMITED	Spark Steam Energy Centre
SpectrumReserve Ltd	SpectrumReserve Ltd	K12241
	SpectrumReserve Ltd	K12242
	SpectrumReserve Ltd	K12243
	SpectrumReserve Ltd	K12244
	SpectrumReserve Ltd	K12247
	SpectrumReserve Ltd	K12248
	SpectrumReserve Ltd	K22241
	SpectrumReserve Ltd	K22242
	SpectrumReserve Ltd	K22243

Bidding Company / Group	Name of Applicant per CM Register	CM Unit Name per CM Register
	SpectrumReserve Ltd	K22244
	SpectrumReserve Ltd	K22247
	SpectrumReserve Ltd	K22248
SSE	SSEPG (Operations) Limited	Burghfield
	SSEPG (Operations) Limited	Chickerell
	SSE Generation Limited	Clachan 1
	SSE Generation Limited	Clunie
	SSE Generation Limited	Deanie 1
	SSE Generation Limited	Deanie 2
	SSE Generation Limited	Errochty 1
	SSE Generation Limited	Errochty 2
	SSE Generation Limited	Errochty 3
	SSE Generation Limited	Fasnakyle 1
	SSE Generation Limited	Fasnakyle 2
	SSE Generation Limited	Fasnakyle 3
	SSE Generation Limited	Foyers 1
	SSE Generation Limited	Foyers 2
	SSE Generation Limited	Glenmoriston
	SSE Generation Limited	Inverawe
	Keadby Generation Limited	Keadby 1 and GT3
	SSE Generation Limited	Lochay 1
	SSE Generation Limited	Lochay 2
	SSE Generation Limited	Luichart
	SSE Energy Supply Limited	Marchwood
	Medway Power Limited	Medway
	SSE THERMAL GENERATION (SCOTLAND) LIMITED	Peterhead
	SSE Generation Limited	Rannoch 1
	SSE Generation Limited	Rannoch 2
	SSE Generation Limited	Rannoch 3
	SSE Generation Limited	Sloy 1
	SSE Generation Limited	Sloy 2
	SSE Generation Limited	Sloy 3

Bidding Company / Group	Name of Applicant per CM Register	CM Unit Name per CM Register
	SSE Generation Limited	Sloy 4
	SSE Generation Limited	Tummel 1
	SSE Generation Limited	Tummel 2
Statkraft Markets GmbH	Statkraft Markets GmbH	Generator 2 of the Rheidol Hydro Electric Power Plant
	Statkraft Markets GmbH	Generator 3 of the Rheidol Hydro Electric Power Plant
Statkraft UK Ltd	COYLTON ENERGY LIMITED	COYB-1
	KEITH STORAGE SOLUTIONS LIMITED	KTHB-2
	NEILSTON ENERGY LIMITED	NEIB-1
STOR 115 Ltd	STOR 115 Ltd	Hamilton
SUEZ RECYCLING AND RECOVERY KIRKLEES LTD	SUEZ RECYCLING AND RECOVERY KIRKLEES LTD	Kirklees EfW
SUEZ RECYCLING AND RECOVERY SUFFOLK LTD	SUEZ RECYCLING AND RECOVERY SUFFOLK LTD	Suffolk EfW
SUNDON BATTERY STORAGE LIMITED	SUNDON BATTERY STORAGE LIMITED	SUND26
SUNDON GREEN LIMITED	SUNDON GREEN LIMITED	SUNDON
SUSI EELPOWER HALESWORTH LIMITED	SUSI EELPOWER HALESWORTH LIMITED	HALE22
SUSI Eelpower Rock Farm Limited	SUSI Eelpower Rock Farm Limited	ROCK18
SUSI Eelpower Winchester Limited	SUSI Eelpower Winchester Limited	WINP18
SWANGATE ENERGY STORAGE LIMITED	SWANGATE ENERGY STORAGE LIMITED	SWANBT
The Isle of Wight Energy Company Limited	The Isle of Wight Energy Company Limited	LANE22
	The Isle of Wight Energy Company Limited	MILL22
THURROCK POWER LIMITED	Saltholme South Power Limited	Saltholme South Power Limited
	THURROCK POWER LIMITED	Thurrock Power Limited
	THURROCK POWER LIMITED	Thurrock Power Limited
	THURROCK POWER LIMITED	Thurrock Power Limited
TOLLGATE ENERGY STORAGE LIMITED	TOLLGATE ENERGY STORAGE LIMITED	TOL001
TWENTYSHILLING LIMITED	TWENTYSHILLING LIMITED	Twentyshilling wind farm
Tynemouth Battery Storage Limited	Tynemouth Battery Storage Limited	ENEL_TESL

Bidding Company / Group	Name of Applicant per CM Register	CM Unit Name per CM Register
UK Power Reserve Limited	UK Power Reserve Limited	Aberdare Power Station
	UK Power Reserve Limited	Cantelo Power Station
	UK Power Reserve Limited	Cowley Hill Power Station
	UK Power Reserve Limited	Greengate Power Station
	UK Power Reserve Limited	Sevington Power Station
	UK Power Reserve Limited	Solutia Power Station
	UK Power Reserve Limited	South Cornelly Power Station
	UK Power Reserve Limited	Styal Road Power Station
	UK Power Reserve Limited	Watson Street Power Station
Uniper UK Limited	Uniper UK Limited	CDC
	Uniper UK Limited	Connahs U1
	Uniper UK Limited	Connahs U2
	Uniper UK Limited	Connahs U3
	Uniper UK Limited	Connahs U4
	Uniper UK Limited	Enfield
	Uniper UK Limited	Grain GT-1
	Uniper UK Limited	Grain GT-4
	Uniper UK Limited	Grain U6
	Uniper UK Limited	Grain U7
	Uniper UK Limited	Grain U8
	Uniper UK Limited	Killingholme Module 1
	Uniper UK Limited	Killingholme Module 2
	Uniper UK Limited	Taylor's Lane GT2
	Uniper UK Limited	Taylor's Lane GT3
URBAN RESERVE (ASSETCO 2) LIMITED	URBAN RESERVE (ASSETCO 2) LIMITED	Kerfoot Power
USKMOUTH ENERGY STORAGE LIMITED	USKMOUTH ENERGY STORAGE LIMITED	Uskmouth BESS
Veolia UK Limited	Veolia UK Limited	Chineham ERF
	Veolia UK Limited	Marchwood ERF
	Veolia UK Limited	Newhaven ERF
	Veolia UK Limited	Portsmouth ERF
	Veolia UK Limited	Royal Liverpool & Broadgreen Hospitals



Bidding Company / Group	Name of Applicant per CM Register	CM Unit Name per CM Register
	Veolia UK Limited	Staffordshire ERF
	Veolia UK Limited	Tyseley ERF
Viridor Energy Limited	Viridor Energy Limited	Cardiff ERF
	Viridor Energy Limited	Exeter ERF
VITAL ENERGI SOLUTIONS LIMITED	VITAL ENERGI SOLUTIONS LIMITED	IMP
	VITAL ENERGI SOLUTIONS LIMITED	OXF
VPI Immingham LLP	VPI Immingham LLP	Immingham CHP
VPI Power Limited	VPI Power Limited	Damhead Creek
	VPI Power Limited	Rye House
	VPI Power Limited	Shoreham
W4B Renewable Energy Limited	W4B Renewable Energy Limited	W4B 2020 16MW
Walker & Son (Hauliers) Limited	Walker & Son (Hauliers) Limited	FERRY1
WALPOLE GREEN LIMITED	WALPOLE GREEN LIMITED	Walpole
WARLEY GREEN LIMITED	WARLEY GREEN LIMITED	WARLEY
WELBAR ENERGY STORAGE LIMITED	WELBAR ENERGY STORAGE LIMITED	HAMS26
Welsh Power Group	BURY POWER LIMITED	Bury Power Limited
	CLYNE POWER LIMITED	Clyne Power Limited
	CULVERY POWER LIMITED	Culvery Power Limited
	DAFEN RESERVE POWER LIMITED	Dafen Reserve Power Limited
	HULL RESERVE POWER LIMITED	Hull Reserve Power Limited
	IMMINGHAM POWER LIMITED	Immingham Power Station
	IRWELL POWER LIMITED	Irwell Power Limited
	KILN POWER LIMITED	Kiln Power Limited
	LODDON POWER LIMITED	Loddon Power Limited
	MARDEN POWER LIMITED	Marden Power Limited
	NORTHWICH POWER LIMITED	Northwich Power Limited
	OGMORE POWER LIMITED	Ogmore Power Limited
	ST ASAPH POWER LIMITED	St Asaph Power Limited
	WARRINGTON POWER LIMITED	Warrington Power Limited
	WHARF POWER LIMITED	Wharf Power Limited
	WOLVERHAMPTON POWER LTD	Wolverhampton Power Ltd

Bidding Company / Group	Name of Applicant per CM Register	CM Unit Name per CM Register
West Benhar Windfarm Partnership LLP	West Benhar Windfarm Partnership LLP	West Benhar Windfarm
WEST BURTON B LIMITED	WEST BURTON B LIMITED	West Burton B Power Station CMU 1
	WEST BURTON B LIMITED	West Burton B Power Station CMU 2
	WEST BURTON B LIMITED	West Burton B Power Station CMU 3
Whitetower Energy Limited	Whitetower Energy Limited	Croydon Power
	Whitetower Energy Limited	Derby Cogen Ltd
	Whitetower Energy Limited	Exeter Power
	Whitetower Energy Limited	Heartlands Power
	Whitetower Energy Limited	Heartlands Power
	Whitetower Energy Limited	Thornhill OCGT
	Whitetower Energy Limited	Viking Power
WINDY RIG WIND FARM LIMITED	WINDY RIG WIND FARM LIMITED	Windy Rig wind farm
Winnington CHP Limited	Winnington CHP Limited	W1NCPH
Zenobe Energy Limited	BESS Holdco 14 Limited	Abellio Walworth
	Zenobe Blackhillock Limited	Blackhillock
	Zenobe Energy Limited	Bus Depot 1
	ZENOBE EV FLEETCO LIMITED	EVLLS1
	ZENOBE BORROWER FLEETCO LIMITED	Inchinnan
	BESS Holdco 8 Limited	United Utilities Preston

# Appendix A – In-scope Capacity Market Rules (Chapter 5)

The following Rules included in Chapter 5 of the Capacity Market Rules were considered in performing the assurance work.

- Rule 5.3 on the ability of qualified parties to participate in the Capacity Auction
- Rule 5.4 on CMUs excluded from the Capacity Auction
- Rules 5.5.1 to 5.5.4 and 5.11 on the scheduled timing and duration of the Capacity Auction
- Rules 5.5.5 to 5.5.9 on the Capacity Auction format and starting price
- Rule 5.5.10 on the publication of pre-auction information
- Rules 5.5.11 to 5.5.13 on Demand Side Response CMUs and Bidding Capacity
- Rules 5.5.14 to 5.5.17 on the consistency of the register with the pre-qualified parties
- Rules 5.5.18 to 5.5.20 on the information provided in each round by Auctioneer
- Rule 5.5.21 on the use of backup systems during the Capacity Auction
- Rules 5.6 to 5.9 on the calculation of the Capacity Auction results
- Rule 5.10 on the list of bidders to be awarded Capacity Agreements
- Rule 5.14 on the Auction Monitor role
- Rules 5.15 and 5.16 on prequalification and maximum obligation period when an agreement was awarded in the T-3 Auction

# Appendix B – Outline of Work Performed

The specific procedures performed were as follows.

**Table 2.** List of Procedures Performed

Ref	CM Rule	Independent checks
1	n/a	Check that the version of the Auction System used in the Auction is the same version accepted following User Acceptance Testing (UAT).
2	n/a	Check that security controls are in place to prevent unauthorised access to the Auction System. <ul style="list-style-type: none"> <li>• Confirm that UAT included consideration of security processes.</li> <li>• Confirm that all users are required to have a password and PIN.</li> <li>• Confirm from the audit log that all authorised individuals that viewed the CMUs of an organisation were associated to that organisation.</li> </ul>
3	5.3	Check that those Bidders and CMUs that were allowed to participate in the Capacity Auction had the correct access and information loaded on to the Auction System by: <ul style="list-style-type: none"> <li>• Obtaining a register of qualifying CMUs, with their relevant features, which intend to participate in the Capacity Auction.</li> <li>• Comparing the register to the list of CMUs on the Auction System and confirming that there have been neither additions to nor omissions from the list.</li> <li>• Liaising with the Auctioneer throughout the Auction as to whether they have identified any disqualified CMUs and, if so, confirming that these have been treated as required by Rule 5.3.3.</li> </ul>
4	5.4	Check that excluded CMUs do not have access to the Auction System by: <ul style="list-style-type: none"> <li>• Obtaining list of CMUs that have been withdrawn from a Capacity Auction and register of qualifying CMUs.</li> <li>• Comparing the register to list of CMUs on the Auction System and confirming that those CMUs that have been withdrawn are not included in the Auction System, or that their ability to submit an Exit Bid has been withdrawn.</li> </ul>
5	5.5.1 – 5.5.4 5.11 (if applicable)	Check on the timing and duration of the Capacity Auction by: <ul style="list-style-type: none"> <li>• Confirming that the Capacity Auction was held on the date specified in the Auction Guidelines, with minimal delays.</li> <li>• If the Auction was not completed within 5 working days of the first Bidding Window, confirming that the Secretary of State was notified by the Auctioneer.</li> </ul>
6	5.5.5 – 5.5.9	Check that the Capacity Auction was run in line with Rules 5.5.5 and 5.5.9 by: <ul style="list-style-type: none"> <li>• Confirming that the Capacity Auction was run in a manner aligned with the Rules: on a descending clock basis, with a series of price spread Bidding Rounds of decrements as specified in the Secretary of State's letter on 6 July 2016 as amended 27 October 2016, concluding only once the Capacity Auction clears.</li> <li>• Confirming that these spreads are expressed as a range from the Bidding Round Price Cap to the Bidding Round Price Floor, and that the Bidding Round Price Cap of the first round is equal to the Price Cap for the Auction.</li> <li>• Confirming that the price cap for round n+1 is equal to price floor to round n for all rounds as per Rule 5.5.7.</li> <li>• Reproducing the calculation of Clearing Price and the aggregate Bidding Capacity at the Clearing Price.</li> <li>• Check the auctioneer informed the delivery body of the Excess Capacity Rounding Threshold at least 20 days working days before the Auction.</li> </ul>
7	5.5.10	Check that, at least 15 Working Days before the first Bidding Round, the Delivery Body published the date and time on which the Capacity Auction would start, the identity, the

Ref	CM Rule	Independent checks
		Excess Capacity Rounding Threshold and De-rated Capacity of all Prequalified CMUs, and the identity of the Auction Monitor.
8	5.5.11 – 5.5.13	Check that, for each Demand Side Response CMU (DSR CMU), Bidding Capacity equals De-rated Capacity unless the DSR CMU nominated a lower capacity at least 10 Working Days before the first Bidding Window.
9	5.5.14 – 5.5.17; 5.15 – 5.16	<p>Check that the correct information for Prequalified New Build CMUs, Refurbishing CMUs and DSR CMUs has been correctly uploaded to the IT Auction System by:</p> <ul style="list-style-type: none"> <li>• Confirming that the register provided for Rule 5.3 only includes those Prequalified New Build CMUs, Refurbishing CMUs and DSR CMUs that confirmed their intention to participate in the Capacity Auction between 15 and 10 Working Days before the first Bidding Window.</li> <li>• Confirming that the features contained in the register include the duration of Capacity Agreements at the Price Cap for New Build CMUs and Refurbishing CMUs.</li> <li>• Confirming that the system has recorded both the Refurbishing CMU and the Pre-Refurbishment CMU for any Applicant which confirmed that it wanted to participate with its Pre-Refurbishment CMU.</li> </ul>
10	5.5.18 – 5.5.20	<p>Check that the running of the Auction was in line with the Rules, namely:</p> <ul style="list-style-type: none"> <li>• For each round that is not the first bidding round, the Auctioneer announced the Bidding Round Price Spread, the Clearing Capacity at the Bidding Round Price Floor, and the Excess Capacity to the nearest Excess Capacity Rounding Threshold (or if the Excess Capacity is below this, the Auctioneer announced that the Excess Capacity is below 1 GW) at the start of the round.</li> <li>• For each round Excess Capacity at price floor was calculated and displayed correctly on the Auction system for each round in line with 5.5.18.</li> <li>• For each round the Auctioneer specified in advance the time that the Bidding Round would begin and end.</li> </ul>
11	5.5.21	<p>Check that backup systems are in place to submit bids and that these include safeguards to ensure that the correct bid is submitted.</p> <p>Observe and confirm with the Auctioneer whether the backup systems have been used to submit bids during the Capacity Auction.</p> <p>Review log of calls made/received by the Auctioneer during the Auction.</p>
12	5.6 – 5.9	<p>Independently reapply the relevant Capacity Market Rules to calculate the Capacity Auction clearing price and confirm the identification of each CMU to be awarded a Capacity Agreement.</p> <ul style="list-style-type: none"> <li>• Obtain full details of Exit Bids and Duration Bid Amendments from Delivery Body.</li> <li>• Independently reapply the Rules, as detailed within the Capacity Market Rules 2014 (as amended), and compare the results to those calculated by the Delivery Body. To minimise differences, use the random number generated by the Delivery Body in the case of a tie-break. If differences are identified in the results, review with the Delivery Body and obtain explanations for these differences.</li> <li>• Review Auction System UAT to check the random number process that is applied for the Auction.</li> </ul>
13	5.10	<p>Confirm that the DB has notified Bidders and the SoS of the provisional results.</p> <p>Confirm that the DB has published the provisional clearing price and the provisional aggregated Bidding Capacity of Capacity Agreements awarded within 24 hours of the auction clearing.</p> <p>Compare the results provided by the DB to the Bidders and the SoS and published to the results of our independent reapplication of the Rules from the previous procedure.</p> <p>Obtain explanations for any differences.</p>
14	5.14	<p>Confirm read-only access has been provided to the Auction System for the Auction Monitor throughout the Capacity Auction.</p> <p>Document details of any discussion with Delivery Body of any potential breach identified by the Auction Monitor.</p> <p>Document details of any views expressed by the Auction Monitor following a request by the Delivery Body.</p> <p>Review the pages accessed by those accessing the auction remotely.</p>



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