

1986 Annual Report of the Certification Officer

Certification Office
for Trade Unions and Employers' Associations

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CERTIFICATION OFFICE
FOR TRADE UNIONS AND EMPLOYERS' ASSOCIATIONS

**Annual Report
of the Certification Officer
1986**

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I have pleasure in sending you the report on my activities during 1986.

M. WAKE
Certification Officer
11 March 1987

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Introduction

This is the eleventh Annual Report to be published since the post of Certification Officer was established under section 7 of the Employment Protection Act 1975. It covers my activities during the calendar year 1986.

During 1986 there were no changes in my functions, which involve the following responsibilities:—

under the Trade Union Act 1913 – for ensuring observance of the statutory procedures governing the setting up and operation of political funds; for approving the ballot rules of trade unions wishing to conduct political fund review ballots in accordance with the provisions of Part III of the Trade Union Act 1984; and for dealing with complaints by members about breaches of political fund rules;

under the Trade Union (Amalgamations, etc.) Act 1964 – for seeing that the statutory procedures for transfers of engagements, amalgamations and changes of name are complied with, and for dealing with complaints by members about the conduct of merger ballots;

under the Trade Union and Labour Relations Act 1974 – for maintaining lists of trade unions and employers' associations; for seeing that these organisations keep accounting records, have their accounts properly audited and submit annual returns; and for ensuring that the statutory requirements concerning the actuarial examination of members' superannuation schemes are observed;

under the Employment Protection Act 1975 – for determining the independence of trade unions;

under the Employment Act 1980 – for reimbursing certain expenditure incurred by independent trade unions in conducting secret postal ballots for specified purposes in accordance with Regulations made by the Secretary of State for Employment;

under Part I of the Trade Union Act 1984 – for determining applications by members that a trade union has failed to comply with one or more of the provisions of the Act, which imposes duties on a trade union to hold secret ballots for electing voting members of its principal executive committee and to maintain an accurate register of its members.

A separate chapter discusses each of these functions.

During the year much interest centred on complaints concerning trade union elections (Part I of the Trade Union Act 1984). In the cases dealt with in 1986 as

applications under section 5 of the Act, I issued 17 decisions of which 9 contained declarations to the effect that the unions concerned had failed to comply with various provisions of the Act. All the unions against whom declarations were made agreed to take steps to remedy the breaches in question. Other cases were in progress at the end of the year. Work concerned with payments towards the costs of secret postal ballots continued to increase during 1986, and more TUC-affiliated unions applied for such payments. Increased interest was shown by unions in setting up political funds, and the Office continued its work of considering and approving both the ballot rules for establishing such funds and the political fund rules themselves. A high level of merger activity was also a feature of the year.

The Office continues to receive professional advice on superannuation and accounting matters from the Government Actuary's Department and the Government Accountancy Service respectively.

The Advisory, Conciliation and Arbitration Service remains responsible for providing me with the finances and support services necessary for the performance of my statutory duties but this in no way affects the exercise of my functions independently both of the Service and of the Secretary of State for Employment.

During the year, Mr C W Wheatley retired from the position of Assistant Certification Officer and was replaced by Mrs S Van Noorden. Mr J L J Craig, WS, continued to hold his appointment as Assistant Certification Officer for Scotland.

Accounts of the Office prepared under paragraph 35 of Schedule 1 to the Employment Protection Act 1975 are published separately by order of the House of Commons. The net cost of the Office for the year ended 31 March 1986 was £422,000. The statutory fees to be paid for certain items of work undertaken by the Office were increased by Regulations* made by the Secretary of State for Employment.

*The Certification Officer (Amendment of Fees) Regulations 1986 (S.I. 1986 No. 302).

1 Lists of Trade Unions and Employers' Associations

Entry in the lists and its significance

- 1.1 The Certification Office maintains a list of trade unions and a list of employers' associations in accordance with the provisions of section 8 of the Trade Union and Labour Relations Act 1974.
- 1.2 Listing is voluntary and any organisation of workers or of employers may apply to be listed. If the Certification Officer is satisfied that the organisation falls within the definition in section 28 of the 1974 Act (reproduced in full in the 1983 Report, paragraph 1.13), he must enter its name in the relevant list. Entry into the list is usually a simple process and means no more than that the body concerned satisfies the statutory definition. The Act does not impose any test of size or effectiveness.
- 1.3 Any organisation aggrieved by the refusal of the Certification Officer to enter its name in the relevant list may appeal to the Employment Appeal Tribunal.
- 1.4 For trade unions, listing is an essential preliminary to any application for a certificate of independence under section 8 of the Employment Protection Act 1975; it is also one of the requirements for obtaining tax relief for expenditure on provident benefits. There are no corresponding advantages for employers' associations.
- 1.5 Copies of the current lists may be inspected free of charge at the Certification Office, 15-17 Ormond Yard, Duke of York Street, London SW1Y 6JT. The lists for organisations having their head office in Scotland may be inspected at the office of the Assistant Certification Officer for Scotland, 58 Frederick Street, Edinburgh EH2 1LN.

Removal from the lists

- 1.6 If at any time it appears to the Certification Officer that an organisation whose name is entered on the relevant list is not a trade union or an employers' association, he may, after giving the organisation concerned the opportunity to make representations, remove its name from that list. There is a right of appeal to the Employment Appeal Tribunal against removal of a name from a list. The Certification Officer must also remove the name of an organisation from the list if he is satisfied that it no longer exists or if the organisation requests that he should do so.

The lists at 31 December 1986

- 1.7 As required by section 8(9) of the 1974 Act this Report includes the lists as at 31 December 1986. They are reproduced as Appendices 1 and 2. The lists at that date comprised 375 trade unions and 148 employers' associations.

Changes in the lists

- 1.8 Changes during 1986 are summarised in the table below:—

	On lists at 31 December 1985	Changes between 1 January 1986 and 31 December 1986		On lists at 31 December 1986
		Additions	Removals	
Trade Unions	409	4	38	375
Employers' Associations	147	4	3	148

- 1.9 The 4 additions to the list of trade unions consisted of two newly formed trade unions and two unions which had previously formed part of larger unions. Of the 38 unions removed from the list 30 had ceased to exist as a result of mergers and 8 had been dissolved.
- 1.10 Of the 4 employers' associations added to the list 1 was newly formed and 3 had not previously applied for listing. Three employers' associations were removed from the list because they had ceased to exist.

Unlisted bodies

- 1.11 Because entry in the lists is voluntary it is difficult to say precisely how many trade unions and employers' associations are in existence at any given time. In addition to the numbers of listed organisations given in paragraph 1.8, at the end of the year there were 36 trade unions and 187 employers' associations which submit annual returns to the Office but which had not sought to be listed. There may be others meeting the requirements of section 28 of which the Office is unaware.

Department of Employment

- 1.12 The information collected by the Office provides the main basis for updating the Department of Employment's *Directory of Employers' Associations, Trade Unions, Joint Organisations, etc.* and for compiling its annual statistics of numbers and membership of trade unions.*

*See the *Employment Gazette* for February 1987 pages 84 to 86.

2 Trade Union Independence

The statutory provisions

- 2.1 Section 30(1) of the Trade Union and Labour Relations Act 1974 defines an independent trade union as:—

'a trade union which —

- (a) is not under the domination or control of an employer or a group of employers or of one or more employers' associations; and
- (b) is not liable to interference by an employer or any such group or association (arising out of the provision of financial or material support or by any other means whatsoever) tending towards such control.'

- 2.2 The procedure for determining the independence of trade unions is laid down in section 8 of the Employment Protection Act 1975. The Certification Officer must keep a public record of all applications for certificates of independence and all decisions reached. He may not take a decision on any application until at least one month after it has been entered in the record and must take into account any relevant information submitted by third parties. He is required to give his reasons for a refusal and the union concerned then has the right of appeal to the Employment Appeal Tribunal.

- 2.3 The Certification Officer may withdraw a certificate at any time if he is of the opinion that the trade union concerned is no longer independent. Where he proposes to do so he must notify the trade union of the proposal and must also follow, with appropriate modifications, the procedure for dealing with an application for a certificate of independence described in paragraph 2.2 above. As in the case of a refusal, a trade union aggrieved by a decision to withdraw its certificate has the right of appeal to the Employment Appeal Tribunal.

- 2.4 Where a question as to the independence of a particular union arises in proceedings before industrial tribunals or certain other bodies, the Act enables that question to be referred to the Certification Officer for decision.

Working methods

- 2.5 The working methods used by the Office in considering applications for certificates of independence continued unchanged during the year. Essentially, they consist of a scrutiny of the applicant union's rules and finances, followed where necessary by detailed investigation of the union's affairs.

Criteria

- 2.6 The principal criteria used by the Certification Officer in determining whether or not an applicant union satisfies the statutory definition were fully discussed in the first Annual Report* under the following headings:-

- History
- Membership base
- Organisation and Structure
- Finance
- Employer-provided facilities
- Collective bargaining record.

The Certification Officer reaches his decision on the basis of the criteria as a whole and in doing so he is strictly limited by the statutory definition. He is not empowered to take into account other considerations such as the effect the issue of a certificate might have on good industrial relations.

Applications

- 2.7 Nine applications for a certificate of independence were received during 1986, six more than in 1985.
- 2.8 One application was the subject of objections from two trade unions. In accordance with established practice the Certification Officer met the objecting unions to discuss their objections. The objections were not upheld. In a case outstanding from 1985 (and mentioned in para 2.8 of that year's Annual Report), in which representations had been made that a trade union holding a certificate of independence was no longer independent within the statutory definition, the Certification Officer decided that withdrawal of the certificate would not be justified.

Decisions

- 2.9 During the year 7 certificates were issued, one in respect of an application made in 1985 and 6 in respect of applications made in 1986. One application was refused and two were withdrawn by the applicant union. Thirty-one certificates were cancelled because the unions concerned had ceased to exist. Mergers accounted for 28 of these cancellations. Details are given in Appendix 3.

*Annual Report 1976 paragraphs 2.16 to 2.25. Copies of these paragraphs are available on request.

- 2.10 Cumulative totals from 1 February 1976 to 31 December 1986 are as follows:-

Certificates issued and still in force	236
Certificates issued and subsequently cancelled	134
Applications refused	51
Applications withdrawn or lapsed	13
Applications under consideration at 31 December 1986	2
Applications in abeyance awaiting further union action	1
Total number of applications received	437

The 134 cancelled certificates consisted of 116 where the union had ceased to exist because of a merger, 15 where the union had been dissolved and three where the organisation ceased to be a trade union within the meaning of section 28 of the 1974 Act.

3 Annual Returns and Accounts

The statutory provisions

- 3.1 Section 10 of the Trade Union and Labour Relations Act 1974 provides that every trade union and employers' association (except one which consists wholly or mainly of representatives of constituent or affiliated organisations) must keep proper accounting records in respect of its transactions, assets and liabilities, and must establish and maintain a satisfactory system of control of its accounting records, its cash holdings and all its receipts and remittances. The accounting records kept must be such as are necessary to give a true and fair view of the state of affairs of the organisation and to explain its transactions.
- 3.2 Under section 11 of the Act the organisation (unless it has been in existence for less than 12 months) is also required to submit an annual return to the Certification Officer in the prescribed form. Schedule 2 provides that the return is to include revenue and other accounts and a balance sheet, which must give a true and fair view of the matters to which they relate. The return must also show any changes in the organisation's officers or in the address of its head office and must be accompanied by a copy of the rules in force at the end of the period.
- 3.3 Schedule 2 of the Act prescribes that annual returns must be submitted before 1 June in each year. The return usually covers the year ending the preceding 31 December but, by direction of the Certification Officer, a different accounting period may be used if the normal timetable would cause serious inconvenience to the organisation concerned. One such direction was issued during 1986.
- 3.4 Schedule 2 also stipulates that the annual return is to include a copy of the auditor's report on the accounts. It confers extensive powers on auditors, who must be professionally qualified unless the organisation's membership, assets, receipts and payments are below a specified level, or certain other special circumstances apply. The Schedule contains detailed provisions about the qualifications, appointment and removal of auditors and confers on them certain rights to have access to books and information and to attend and speak at general meetings of the organisation.
- 3.5 The auditor's report must state whether, in his opinion, the accounts give a true and fair view of the matters to which they relate. He has a duty to carry out such investigations as will enable him to form an opinion on whether proper accounting records have been kept, whether a satisfactory system of

control has been maintained and whether the accounts are in agreement with the accounting records. If in his opinion the statutory requirements have not been satisfied, or he fails to obtain all the necessary information and explanations, he must say so in his report.

- 3.6 Provided the period covered is the same and there is no significant diminution in the degree of disclosure, a trade union or an employers' association incorporated under the Companies Acts, may submit with its return, in lieu of the prescribed accounts, a copy of its accounts prepared under the Companies Acts and so avoid the duplication of broadly similar financial statements. In such circumstances however, and notwithstanding anything in the Companies Acts, an auditor's report is still required to be made on the return form and this report must comply with the requirements of the Trade Union and Labour Relations Act 1974.
- 3.7 With the exceptions already noted, the duties imposed by sections 10 and 11 and by Schedule 2 apply to all trade unions and employers' associations, whether listed under section 8 of the Act or not. Refusal or wilful neglect to perform any of them is an offence for which the organisation concerned may be prosecuted and fined. The Certification Officer is responsible for enforcing these provisions but he is not empowered to carry out detailed investigations into the finances of trade unions or employers' associations or to undertake prosecutions for such offences as embezzlement or fraud which are dealt with under the ordinary criminal law.

Compliance with the statutory provisions

- 3.8 During 1986 there was again a problem of late submission of some annual returns and the Office has been in contact with all organisations which did not meet the time limit in respect of their 1985 returns. This problem is diminishing but the Office is continuing its efforts to ensure stricter compliance.

Returns for 1985

- 3.9 Statistical information derived from the annual returns of trade unions and employers' associations is given at Appendices 4, 5 and 6. It has to be emphasised that this information and the following comments in this chapter relate to the year 1985. This is because the Office's information is derived from the annual returns (which include audited accounts) for 1985 submitted during 1986, the year covered by this report.
- 3.10 Appendix 4 shows that at the end of 1985 there were 24 trade unions with over 100,000 members.

3.11 Appendix 6 shows that at the end of 1985 there were 24 employers' associations with an income of over £1,000,000.

3.12 The total of 782 returns received for 1985 was made up as follows:—

Listed trade unions	415
Unlisted trade unions	39
Listed employers' associations	148
Unlisted employers' associations	180

Trade unions

3.13 Statistics detailing the membership and finances of trade unions derived from 1985 returns are given in Appendix 4. Separate figures are shown for unions with over 100,000 members.

3.14 *Comparability with 1984 figures.* The 1984 figures have been revised to include information in respect of the National Union of Mineworkers which was not available at the time of last year's report. The statistics in Appendix 4 are based on 454 returns from 391 unions in 1985 compared with 444 returns from 400 unions in 1984. All unions of substantial size are included in both sets of figures, which are therefore broadly comparable.

3.15 *Membership.* The trade unions from which returns were received had a total membership of about 10,819,000. The major unions with a membership of over 100,000 named in Appendix 4 accounted for 8,781,000 members or 81 per cent of the total.

3.16 Total trade union membership fell during 1985 by almost 245,000 maintaining the downward trend noted in previous Annual Reports. Trade union returns record the loss of over two million members since 1979 when membership stood at a peak of 13.2 million.

3.17 The following table shows changes of 10,000 or more in the membership of individual unions between December 1984 and December 1985:—

Changes in Trade Union Membership

	Membership (000's) (a)		
	1984	1985	Difference
<i>Increases:—</i>			
National Communications Union (Engineering and Clerical Groups)	125	161	+36 (b)
Amalgamated Union of Engineering Workers – Technical Administrative and Supervisory Section (TASS)	220	240	+20 (c)
Assistant Masters and Mistresses Association	96	113	+17
<i>Decreases:—</i>			
Transport and General Workers Union	1491	1434	–57
Civil and Public Services Association	190	147	–43 (b)
National Union of Mineworkers	290	248	–42
Amalgamated Engineering Union	1001	975	–26
General Municipal Boilermakers and Allied Trades Union	847	827	–20
National and Local Government Officers Association	766	752	–14

(a) See Appendix 4, note (a).

(b) Figures reflect transfer of members from CPSA to NCU.

(c) Includes transfer of engagements of National Society of Metal Mechanics.

3.18 *Income.* Trade union income consists mainly of income from membership subscriptions and income from investments. There may also be additional income and/or assets arising if, for example, a union accepts the transfer of engagements of another union.

3.19 In 1985 the gross income of trade unions was £447.9 million compared with £409 million in 1984. Income from members rose from £327.6 million to £358.6 million, reflecting higher subscription rates. Income from investments rose from £36.6 million to £39.1 million.

- 3.20 *Expenditure.* Gross expenditure rose from £382 million in 1984 to £418.5 million in 1985. Changes in payments on unemployment benefit, dispute benefit and administration expenses compared with 1984 are shown in the following table:—

Changes in Expenditure

	1984 (£ million)	1985 (£ million)	Percentage change
Gross expenditure including:—	382.0	418.5	+9.6
(a) total benefits to members including	51.5	54.7	+6.2
(i) unemployment benefit	2.3	1.5	−34.8
(ii) dispute benefit	12.4	14.7	+18.5
(b) administration expenses and other outgoings†	278.7	306.8	+10.1

- 3.21 The table below shows the average contribution and total income per member in 1985 together with average expenditure on benefits and administration and total expenditure per member. Similar figures are included for 1984.

Average annual income per member (£)			Average annual expenditure per member (£)				
	Total income per member	Average contribution received	Unemployment benefit	Dispute benefit	All benefits	Administration expenses and other outgoings	Total expenditure
1985	41.40	33.14	0.14	1.36	5.05	28.36	38.68
1984	36.97	29.62	0.20	1.12	4.65	25.19	34.54

The amounts given are based on total membership which was smaller in 1985 than in 1984. In some unions not all members contribute for every benefit.

†As pointed out in previous reports, expenditure under this heading covers a range of services to members which include not only the employment of full-time officials but also the training of shop stewards and others and the provision of legal and other advisory services.

- 3.22 *Funds.* The figures given in Appendix 4 include both general funds and others where applicable, e.g. contingency, superannuation and political funds*. During 1985 total funds increased from £498.4 million to £528 million.

- 3.23 *Assets.* At the end of 1985 gross assets of trade unions amounted to £607.8 million, an increase of £46.1 million over the previous year's figure. Fixed assets increased by £13.8 million to £161.4 million, and investments by £17.4 million to £254 million.

Employers' associations

- 3.24 Statistics about the finances and membership of employers' associations derived from the 1985 returns are given in Appendix 6, together with comparative totals for 1984. Separate figures are given for each association (including some which are unlisted) whose return for 1985 showed its total income as more than £1,000,000. Aggregate figures are given for other listed and unlisted employers' associations.

- 3.25 *Comparability with 1984 figures.* The statistics in Appendix 6 are based on returns from 328 employers' associations compared with returns from 333 associations for 1984. The largest employers' associations, as measured by total income, are included in both sets of figures, which are therefore broadly comparable.

- 3.26 *Income and Expenditure.* In 1985 the gross income of employers' associations was £99.7 million compared with £93.8 million in 1984. Income from members rose from £62.3 million to £62.9 million and income from investments rose from £5.9 million to £7.6 million. Gross expenditure increased from £89.7 million to £94.2 million.

- 3.27 *Funds.* The figures given in the Appendix include both general funds and, where applicable, funds maintained for specific purposes. During 1985 total funds increased from £49.8 million to £55 million.

- 3.28 *Assets.* At the end of 1985 gross assets of employers' associations amounted to £96.9 million, an increase of £7.9 million over the previous year's figure. Fixed assets increased by £3.3 million to £25.8 million, and investments by £1 million to £32.4 million.

Public inspection of annual returns

- 3.29 Copies of the annual returns (and of the rules) of trade unions and employers' associations from 1974 onwards are available for public inspection at the Certification Office (or the office of the Assistant Certification Officer for Scotland).

*Separate figures for political funds are given in Appendix 5.

4 Superannuation Schemes

The statutory provisions

4.1 The Trade Union and Labour Relations Act 1974 (as amended) requires that any members' superannuation scheme maintained by a trade union or employers' association* must be examined periodically by a qualified actuary and a copy of each actuarial report sent to the Certification Officer. The actuarial examination is required to be made not later than five years after the effective date of the preceding examination but the Certification Officer has power to direct that in any particular case the period of five years may be reduced to such shorter period as he may specify. The Certification Officer also has power to exempt a members' superannuation scheme from actuarial examination, or from periodical re-examination, if he is satisfied that, by reason of the small number of members to which the scheme is applicable, or for any other special reasons, it is unnecessary for the scheme to be examined. No trade union or employers' association may maintain a members' superannuation scheme unless it also maintains a separate fund for the payment of benefits in accordance with the scheme. A copy of the actuarial report made under the above provisions must be supplied free of charge to any member of the trade union or employers' association on application to the organisation concerned.

Actuarial reports

4.2 The statutory provisions require that the report by the actuary following his examination of any scheme shall state whether in his opinion the premium or contribution rates are adequate; whether the accounting or funding arrangements are suitable and whether the separately maintained superannuation fund is adequate. The Office continues to ensure that these requirements are not overlooked.

Schemes maintained

4.3 At the end of the year there were 26 members' superannuation schemes maintained by 19 trade unions. They are listed in Appendix 8. Eight of these schemes were exempt from actuarial examination.

*In practice the requirements affect trade unions only; the Office is not aware of any schemes maintained by employers' associations.

Reports: 1986

4.4 Actuarial reports were due in 1986 from 5 unions in respect of 6 schemes maintained by them. At the end of the year two reports had been received and four reports were still awaited.

The two reports received were from:-

National Graphical Association 1982 - London (E and S) Benefits Scheme

National Union of Railwaymen

The reports outstanding at the end of the year were in respect of 4 schemes operated by:-

Amalgamated Union of Engineering Workers - Technical Administrative and Supervisory Section (TASS)

General Municipal Boilermakers and Allied Trades Union

National Graphical Association 1982 - Superannuation and Pension Fund

Wire Workers Union

The Office is in contact with these unions and expects the reports to be submitted shortly.

One report which had been outstanding at 31 December 1985 (in respect of a scheme operated by the Amalgamated Engineering Union) was received during 1986. In addition two reports were received in advance from the Society of Graphical and Allied Trades 1982 (London Central Branch) and the National Union of Hosiery and Knitwear Workers.

5 Amalgamations, Transfers of Engagements and Changes of Name

The statutory provisions

- 5.1 The Trade Union (Amalgamations, etc.) Act 1964 ('the Act') and the Trade Unions and Employers' Associations (Amalgamations, etc.) Regulations 1975, as amended, lay down procedures governing two types of merger – *transfers of engagements* and *amalgamations* – between trade unions and between unincorporated employers' associations. The procedures apply to listed and unlisted organisations. Their main purposes are to facilitate the processes of transfer and amalgamation and, at the same time, to safeguard the rights of members affected by the terms of a merger by ensuring that they are fully informed about the proposal and have the opportunity to vote on it.
- 5.2 Under a *transfer of engagements* the transferring organisation ('the transferor') loses its legal identity whilst the organisation to which it transfers ('the transferee') continues in being with its legal identity unchanged. An *amalgamation* produces a new organisation replacing the amalgamating bodies, which then cease to exist.
- 5.3 Organisations proposing to enter into a transfer of engagements or an amalgamation must prepare an instrument setting out the proposed terms of the merger and an explanatory notice to members. These documents are formally submitted to the Certification Officer and must have his approval before a ballot of members can be held to approve the instrument.
- 5.4 In a transfer of engagements, only the members of the transferor organisation vote on the resolution to approve the instrument. An amalgamation requires a favourable vote by the members of each amalgamating organisation. If the required majority of votes recorded in each ballot is in favour of the merger, application to register the instrument is made to the Certification Officer.
- 5.5 An interval of six weeks must then elapse between the application for registration and registration itself; and before the expiry of the six-week period any member of the transferor organisation or of any amalgamating organisation may complain to the Certification Officer on the grounds that one or more of the statutory conditions governing the ballot arrangements

have not been observed. The Certification Officer may dismiss the complaint or, if after hearing both sides finds the complaint justified, he may make an order specifying the steps which must be taken before he will register the instrument. There is a right of appeal against the Certification Officer's decision to the Employment Appeal Tribunal on a question of law.

- 5.6 Formal documents kept by the Certification Officer relating to any merger under the Act are available for public inspection. The current statutory charge is £1.60.

Advice on procedure

- 5.7 The Office's *Guide to Transfers of Engagements and Amalgamations* explains the merger procedures in detail and sets out, with explanatory notes, the matters to be included in an instrument of transfer or amalgamation. Copies may be obtained, free of charge, from the Office. In addition the Office will offer advice where needed and is always prepared to meet officials of organisations proposing to merge to discuss procedures and time tables.

Mergers: 1986

- 5.8 During 1986 the procedures laid down by the Act were used to bring about 32 transfers of engagements of trade unions – the highest number of mergers recorded in any year since the Office was established in 1976. Details are given in Appendix 7. There were no mergers involving employers' associations.
- 5.9 The 32 mergers of trade unions which took place during the year involved 50,530 members. They included the transfer of engagements of 19 local government unions with a total of approximately 6,000 members to the Federation of Managerial and Professional Officers Unions, and of 10 textile unions with about 28,500 members to the General Municipal Boilermakers and Allied Trades Union. Of the other 3 mergers completed during the year, the most significant in size was the transfer of the Tobacco Workers Union (approximately 11,000 members) to the Amalgamated Union of Engineering Workers – Technical Administrative and Supervisory Section (TASS).
- 5.10 Still in progress at the end of the year were proposals for 5 transfers of engagements of trade unions, one amalgamation involving 2 trade unions and one amalgamation involving 2 employers' associations. At the end of the year one of these had reached the stage of formal application for registration of the instrument of transfer. Registration was due to take place after 31 December.

Complaints

- 5.11 The 1981 Annual Report set out the grounds on which a member of a trade union may complain to the Certification Officer under section 4(1) of the Act about the conduct of a ballot to approve an instrument of amalgamation or transfer. It also explained the Certification Officer's powers to deal with such a complaint. No complaints were received during 1986.

Changes of name

- 5.12 The 1964 Act stipulates that a change of name of a listed trade union or employers' association must be approved by the Certification Officer before it can take effect. During 1986 approval was given to the changes of name of 4 trade unions and 3 employers' associations as shown below:-

TRADE UNIONS		Effective Date
From	Amalgamated Society of Wire Drawers and Kindred Workers	
To	Wire Workers Union	1.1.86
From	Federation of Managerial and Professional Officers Unions	
To	Federated Union of Managerial and Professional Officers	1.1.86
From	Amalgamated Union of Engineering Workers - Engineering Foundry and Construction Section	
To	Amalgamated Engineering Union	7.5.86
From	Nelson and District Association of Preparatory Workers	
To	Association of Preparatory Workers	20.10.86
EMPLOYERS' ASSOCIATIONS		Effective Date
From	Glasgow Area Federation of Community Based Housing Associations	
To	Clydesdale Federation of Community Based Housing	9.5.86
From	Music Trades Association Limited	
To	Music Retailers Association Limited	16.7.86
From	North Wales Slate Quarries Association	
To	Natural Slate Quarries Association	11.11.86

6 Political Funds

The statutory provisions

- 6.1 The Trade Union Act 1913, as amended, enables a trade union or an unincorporated employers' association (whether listed or not) to include the furtherance of political objects, as defined in the Act, among the objects of the organisation and to adopt political fund rules providing for the expenditure of funds on such objects. A proposal to adopt political objects must be endorsed by a simple majority in a ballot of the members held under rules approved by the Certification Officer. The rules governing the political fund must also be approved by the Certification Officer.
- 6.2 The Act requires the political fund rules to provide, among other things, that any payments in the furtherance of the political objects set out in the Act are to be made out of a separate political fund; that members who notify their objection to contributing to the political fund must be exempted from any obligation to contribute to that fund; that such exempt members must not be excluded from any benefits of the organisation or placed under any disability or disadvantage compared with other members (except in relation to the control or management of the political fund) by reason of their being exempt; and that contribution to the political fund shall not be made a condition for admission to the organisation.
- 6.3 Members wishing to claim exemption must give notice of their objection in the form laid down in the 1913 Act or in a form to the like effect. Unless contributions to the political fund are collected by a separate levy, exempt members of the organisation must be relieved from the payment of the political fund element of the normal periodical contributions. The rules must provide for such relief to be given as far as possible to all exempt members on the occasion of the periodical contribution and for enabling each member to know what portion, if any, of his contribution is a contribution to the political fund.
- 6.4 Any member of an organisation who alleges that he is aggrieved by a breach of political fund rules may complain to the Certification Officer under section 3(2) of the 1913 Act. If, after giving the complainant and the organisation an opportunity to be heard, the Certification Officer considers that a breach has occurred, he may make an order for remedying it. Under section 5A of the 1913 Act an appeal against the decision of the Certification Officer may be made to the Employment Appeal Tribunal on a question of law.

6.5 Part III of the Trade Union Act 1984, which came into force on 31 March 1985, requires trade unions and employers' associations which already have a political resolution in force and wish to continue to spend money on political objects, to pass a political resolution again on a further ballot of their members (a review ballot) at least once every 10 years. The review ballot must be held in accordance with rules approved by the Certification Officer. Organisations whose political resolution was passed on a ballot held before 31 March 1976 had to conduct a first review ballot by 31 March 1986 in order to continue to spend money on political objectives. Part III amended section 4(1) of the 1913 Act changing the requirements on which the Certification Officer must be satisfied before he can approve a trade union's rules for a review ballot or for a ballot to establish a political fund for the first time. It also amended section 3(3) of that Act redefining the political objects mentioned in paragraph 6.1 above.

6.6 Rules for political fund review ballots were approved at the beginning of the year for one trade union and for one employers' association. Taking into account the 37 trade unions for which review ballot rules had been approved in 1985, ballot rules were approved for a total of 39 organisations which intended to hold a review ballot by 31 March 1986. During 1986 ballot rules were approved for 5 trade unions which were considering setting up a political fund for the first time.

Advice on procedures

6.7 On request the Office gives advice on the procedures for establishing political funds and for holding review ballots. For the guidance of trade unions and employers' associations interested in these procedures it has prepared three sets of model rules, copies of which are available on request. These are (i) rules for governing the operation of a political fund, (ii) rules for a ballot concerning the adoption of a political resolution, and (iii) rules for a review ballot. Any trade union or employers' association wishing to ballot its members on a political fund resolution should get in touch with the Office at an early stage.

Number of organisations maintaining political funds at 31 December 1986

6.8 Five new political funds were established by trade unions during 1986. They were:—

Broadcasting and Entertainment Trades Alliance
Communication Managers Association
Inland Revenue Staff Federation
Clerical Group of National Communications Union
(Engineering and Clerical Groups)
National Union of Hosiery and Knitwear Workers

6.9 One trade union with a political fund, the Tobacco Workers Union, ceased to exist as a result of the transfer of its engagements to the Amalgamated Union of Engineering Workers – Technical Administrative and Supervisory

Section (TASS). The political resolutions of 9 unions ceased to have effect after 31 March 1986 because the unions concerned had not held a review ballot by that date. They were:—

Amalgamated Textile Workers Union
National Association of Colliery Overmen Deputies and Shotfirers
Durham Area
National Association of Colliery Overmen Deputies and Shotfirers
(Northumberland Area)
National Association of Colliery Overmen Deputies and Shotfirers
(Scottish Area)
National Union of Mineworkers (Durham Area)
National Union of Mineworkers (Kent Area)
National Union of Mineworkers (Leicester Area)
National Union of Mineworkers (Northumberland Area)
Society of Shuttlemakers

6.10 The number of organisations which maintained political funds at 31 December 1986 was 46: 44* trade unions and one employers' association. Trade unions with political funds at that date are indicated in Appendix 1.

Political funds of trade unions at 31 December 1985

6.11 Detailed information about the political funds of trade unions is derived from the unions' annual returns. The latest available information is given in Appendix 5. The Appendix is derived from returns made by trade unions which maintained political funds at the end of 1985.

6.12 Annual returns showed the total income of political funds as £10 million in 1985 compared with £8.8 million in 1984 and expenditure £8 million as compared with £6.7 million. Total funds at the end of 1985 were £10.6 million compared with £8.7 million at the end of 1984.

Political fund membership

6.13 Appendix 5 also gives membership information provided by the unions which maintained political funds at 31 December 1985. Column 1 gives the total membership for each union. Column 2 shows the number of members contributing to the general fund and column 3 shows the number of members contributing to the political fund. It should be noted that it is not possible to calculate from this table the proportion of the membership who have contracted out of paying the political levy (see note (a) to Appendix 5). The Appendix also shows comparable totals for the unions with political funds at 31 December 1984.

*The National Communications Union (Engineering and Clerical Groups) has two political funds.

Political funds of employers' associations at 31 December 1985

- 6.14 Only one employers' association, the National Association of Shopkeepers of Great Britain and Northern Ireland, maintained a political fund at 31 December 1985. The fund totalled £8,654 at the end of 1985 compared with £8,163 at the beginning of the year.

Exemption notices

- 6.15 Exemption notices (see paragraph 6.3) are obtainable from the organisation concerned or from the Certification Office. The Office supplied 375 such notices during 1986 compared with 253 in 1985.

Amendments to rules

- 6.16 Amendments to political fund rules require the Certification Officer's approval. Such approval is given provided that the amendments have been adopted in accordance with, and satisfy the requirements of, the 1913 Act. Five trade unions had amendments approved in this way in 1986.

Complaints

- 6.17 During 1986, 5 trade union members complained to the Certification Officer under section 3(2) of the Trade Union Act 1913.
- 6.18 In addition to the new complaints received during 1986, there was one earlier complaint unresolved at 31 December 1985. Of this total of 6 complaints, work was completed on 5 leaving one still under consideration at 31 December 1986. All 5 completed cases, which relate to allegations that the unions involved had acted in breach of their political fund rules in a manner which affected the complainants in a personal way, were resolved to the satisfaction of the complainants as a result of action or explanation by the unions concerned. There were no formal hearings of political fund complaints during 1986.
- 6.19 The 5 complaints on which work was completed during the year involved 5 trade unions. They were:—

Amalgamated Union of Engineering Workers – Technical Administrative and Supervisory Section (TASS)

Electrical Electronic Telecommunication and Plumbing Union

General Municipal Boilermakers and Allied Trades Union

National Union of Railwaymen

Transport Salaried Staffs Association

7 Funds for Trade Union Ballots

The statutory provisions

- 7.1 Section 1 of the Employment Act 1980, as amended, empowers the Certification Officer to refund certain costs incurred by independent trade unions in holding secret postal ballots for specified purposes. The conditions to be observed, together with detailed provisions, are laid down in a Scheme contained in Regulations made by the Secretary of State for Employment.* The Scheme does not cover non-postal or workplace ballots.

Qualifying purposes

- 7.2 Regulation 5 of the 1984 Regulations provides that, *in order to qualify for refund of certain costs*, the ballot must be held for one or more of the following purposes:—
- (a) obtaining a decision or ascertaining the views of members of a trade union as to the calling or ending of a strike or other industrial action;
 - (b)
 - (i) carrying out an election in relation to which section 2 of the Trade Union Act 1984 ("the 1984 Act") is required to be satisfied (that is, an election under Part I of the 1984 Act which provides that every voting member of a trade union's principal executive committee must be elected by secret ballot of the members at least once every five years); or
 - (ii) carrying out an election provided for by the rules of a trade union for elections to the principal committee of the union exercising executive functions, by whatever name it is known; or
 - (iii) carrying out an election provided for by the rules of a trade union for elections to the positions of president, chairman, secretary or treasurer of the union or to any position which the person elected will hold as an employee of the union;
 - (c) amending the rules of a trade union;
 - (d) obtaining a decision in accordance with the Trade Union (Amalgamations, etc.) Act 1964 on a resolution to approve an instrument of amalgamation or transfer;
 - (e) obtaining a decision for the purposes of section 3 of the Trade Union Act 1913 (this applies only in cases where a political resolution is already in force, that is to say, only in respect of a review ballot which complies with the requirements of Part III of the 1984 Act);

*The Funds for Trade Union Ballots Regulations 1984 (S.I. 1984 No. 1654).

- (f) obtaining a decision or ascertaining the views of members of a trade union as to the acceptance or rejection of a proposal made by an employer which relates in whole or in part to remuneration (whether in money or money's worth), hours of work, level of performance, holidays or pensions.

Conditions

- 7.3 If the ballot is secret and postal and if it is held for one or more of the qualifying purposes, it must still satisfy the conditions set out in the Regulations if it is to qualify for payment. Certain conditions are applicable to all ballots whilst others are applicable only to particular ballots. The Certification Officer may not make any payments under the Scheme if he considers that any of the conditions have not been met or if any assurances asked for about observance of the conditions have not been given by the trade union concerned.

Guidance

- 7.4 Applications under the Scheme must be made within 6 months of the end of the voting period. The conditions which must be satisfied, and other guidance, are set out in detail in the explanatory leaflet *Funds for Trade Union Ballots* which is available from the Office free of charge.

Applications

- 7.5 During 1986 40 unions made applications for refund in respect of 399 ballots, compared with 26 unions which made applications in respect of 408 ballots in 1985. The 1985 ballots included a large number which were held during the period from the commencement of the Scheme in 1980 to the introduction of the current Regulations in February 1985. The increase in the number of unions which applied for refund in 1986 reflects the growing interest in the Scheme on the part of unions affiliated to the TUC. Fifteen TUC-affiliated unions made applications during the year of which 13 were applying for the first time.
- 7.6 The Certification Officer was able to make payments in respect of 223 ballots for which applications were made during the year. He also made payments in respect of 178 ballots for which applications were lodged in 1985. He was unable to make payment in respect of 24 ballots held by 11 unions because of the applicants' failure to meet the provisions of the Scheme. The remaining applications were still under consideration at the end of the year.

- 7.7 In the great majority of cases where a payment was made, the amount refunded was less than the total applied for. The most common reasons for reductions were:

refund claimed for items outside the scope of the Scheme e.g. election literature other than that enclosed with voting papers;

ineligible postal costs;

printing and stationery overclaimed, particularly in respect of unused stocks of envelopes suitable for use on other occasions;

refund claimed for costs relating to overseas members in respect of whom conditions of the Scheme were not satisfied.

- 7.8 The Certification Officer made payments during the year totalling £738,301.33. This sum comprised £170,517.96 paid towards the cost of stationery and printing, and £567,783.37 towards postage. The recipients are listed in Appendix 9.

8 Secret Ballots for Trade Union Elections

The statutory provisions

- 8.1 Part I of the Trade Union Act 1984 requires a trade union to ensure that no-one takes up a position as a voting member of the union's principal executive committee without having been elected to it, or remains in that position for more than 5 years without having been re-elected to it. Elections are required to be by secret ballot of the members of the union, conducted in accordance with the conditions laid down in Part I. In addition, every trade union must compile, and thereafter maintain, a register of its members' names and addresses and, as far as reasonably practicable, keep the entries accurate and up-to-date.
- 8.2 Section 5 of the Act gives an individual trade union member the right to apply to the Certification Officer, or to the High Court, for a declaration that their trade union has failed to comply with one or more provisions of Part I of the Act. Where an application is made to the Certification Officer the Act empowers him to make such enquiries as he thinks fit and, where he considers it appropriate, to give the applicant and the trade union an opportunity to be heard, before he makes or refuses to make the declaration asked for.
- 8.3 If the Certification Officer makes a declaration and is satisfied that –
- (a) steps have been taken by the union with a view to remedying the declared failure or securing that a failure of the same, or similar kind, does not recur; or
 - (b) the union has agreed to take such steps;
- he will specify those steps. Additionally (whether or not he makes a declaration) the Certification Officer must give reasons for his decision in writing; and any such reason may be accompanied by written observations on any matters arising from, or connected with the proceedings. The making of an application to the Certification Officer under section 5 does not prevent the applicant, or any other person, from making a subsequent application to the Court under that section in respect of the same matter.
- 8.4 Where such a subsequent application is made, the Court is required to have due regard to any declaration, reasons or observations of the Certification Officer in the proceedings before him which are brought to the notice of the Court.

Applications, investigations and decisions

- 8.5 During 1986, 17 decisions were issued in cases where formal applications under section 5 of the Act were accepted and dealt with. Other cases were still in progress at the end of the year. Investigations were conducted in a variety of ways including correspondence, meetings and, where appropriate, formal hearings. In addition to the cases dealt with in this way, a considerable number of enquiries were received which did not fall within the scope of Part I of the Act.
- 8.6 Copies of all decisions are available free of charge from the Certification Office.

Declarations

- 8.7 Nine of the seventeen decisions issued in 1986 contained declarations to the effect that the Union concerned had failed to comply with Part I of the Act. The Unions against whom the declarations were made were:—

Association of Cinematograph Television and Allied Technicians	(1)
National and Local Government Officers Association	(3)
National Union of Railwaymen	(1)
Transport and General Workers Union	(3)
Union of Communication Workers	(1)

In the other eight cases the Certification Officer, after full investigation, declined to make the declarations sought.

- 8.8 Most of the failures to comply with Part I of the Act concerned balloting procedures. In each case the precise provisions breached were specified. Thus, the 9 declarations issued in 1986 involved 12 separate breaches of provisions of the Act. In 3 cases there were failures to comply with section 2(7) as modified by section 3(1), which requires, broadly, that unions should do all that is reasonably practicable to give their members a legitimate opportunity to vote. In 2 cases there were failures to comply with section 2(1), which requires that entitlement to vote should be accorded equally to all members of the union. The other failures were: failure to secure that voting was by the marking of a voting paper (section 2(5)); failure to secure that every person entitled to vote was, as far as reasonably practicable, enabled to do so without incurring any direct cost to himself (section 2(6)(b)); failure to secure secrecy of the ballot (section 2(8)(a)); failure to ensure that the election was determined solely by counting the number of votes cast directly for each candidate by those voting (section 2(8)(b)); failure to ensure that the votes were fairly and accurately counted (section 2(8)(c)); failure to ensure that no member of a trade union was unreasonably excluded from standing as a candidate for election (section 2(9)); and failure to compile a register of the names and addresses of members (section 4(1)(a)).
- 8.9 All the unions against whom declarations were made agreed to remedy the breaches in question by steps specified in the published decisions.

Appendix 1 (see paragraph 1.7)

LIST OF TRADE UNIONS AT 31 DECEMBER 1986

Notes:

Trade unions entered in the list during 1986 are shown in italics.

*Denotes a trade union holding a certificate of independence at 31 December 1986.

†Denotes a trade union whose application for a certificate of independence was refused and which had not, at 31 December 1986, obtained a certificate as a result of a subsequent application.

(P) Denotes a trade union which, at 31 December 1986, had a political fund.

England and Wales

- *Abbey National Staff Association
- Airport Fire-Fighters Federation
- *Alliance Building Society Staff Association
- †Alumasc Employees Association
- *Amalgamated Association of Beamers Twisters and Drawers (Hand and Machine)
- *Amalgamated Engineering Union (P)
- *Amalgamated Society of Textile Workers and Kindred Trades (P)
- Amalgamated Tape Sizers Friendly Protection Society
- *Amalgamated Textile Warehousemen
- *Amalgamated Union of Asphalt Workers
- *Amalgamated Union of Engineering Workers – Technical Administrative and Supervisory Section (P)
- *A Monk and Company Staff Association
- *Anglia Building Society Staff Association
- Artists Union
- *Assistant Masters and Mistresses Association
- *Associated Metalworkers Union
- *Associated Society of Locomotive Engineers and Firemen (P)
- *Association of Agricultural Education Staffs
- *Association of British Dental Surgery Assistants
- *Association of Cambridge University Assistants
- Association of Career Teachers
- *Association of Cinematograph Television and Allied Technicians (P)
- *Association of Clinical Biochemists Limited
- *Association of Deputy and Assistant Chief Probation Officers

- *Association of First Division Civil Servants
- †Association of Football League Referees and Linesmen
- *Association of Her Majesty's Inspectors of Taxes
- *Association of Licensed Aircraft Engineers (1981)
- *Association of Local Authority Chief Executives
- *Association of Magisterial Officers
- *Association of Managerial Staff of the National Bus Company and Subsidiary Companies
- *Association of National Health Service Officers
- *Association of Optical Practitioners Limited
- Association of Plastic Operatives and Engineers
- *Association of Polytechnic Teachers
- *Association of Preparatory Workers
- *Association of Principals of Colleges
- *Association of Professional Ambulance Personnel
- *Association of Professional Executive Clerical and Computer Staff (APEX) (P)
- Association of Professional Music Therapists in Great Britain
- *Association of Public Service Finance Officers
- *Association of Scientific Technical and Managerial Staffs (P)
- Association of Somerset Inseminators
- Association of Staff of Probation and Bail Hostels
- *Association of University Teachers
- Association of Vice Principals of Colleges
- *Audit Commission Staff Association
- Australian Mutual Provident Society Staff Association
- *Bakers Food and Allied Workers Union (P)
- Balfour Beatty Group Staff Association
- Balfour House Staff Association
- *Banking Insurance and Finance Union
- *Bank of England Staff Organisation
- *Barclays Group Staff Union
- Billinge Branch of the National Union of Mineworkers (North Western Area)
- Birmingham Union of Club Stewards
- *Blackburn and District Amalgamated Power Loom Overlookers Association
- Blackburn and District Tape-Sizers Society
- *Bradford and Bingley Building Society Staff Association
- Bradford and District Power Loom Overlookers Society
- *Britannia Building Society Staff Association
- *Britannic Field Staff Association
- *British Actors Equity Association Incorporating the Variety Artistes Federation
- *British Aerospace (Dynamics Group) Employees Association
- *British Aircraft Corporation Limited Senior Staff Association
- *British Air Line Pilots Association
- *British Association of Colliery Management
- *British Association of Occupational Therapists Limited
- *British Cement Staffs Association
- British Ceramic Research Association Staff Association
- *British Dental Association

- *British Dietetic Association
- *British Federation of Textile Technicians
- British Hospital Doctors Federation
- *British Medical Association
- *British Orthoptic Society
- *British Union of Social Work Employees
- *Broadcasting and Entertainment Trades Alliance (P)
- Building Trades Union
- Burnley and District Tape Sizers Protective Society
- Cadbury Schweppes Representatives Association
- *Cadbury Schweppes Senior Managers Association
- Cameron Staff Association
- Cantonian High School Staff Association
- *Card Setting Machine Tenters Society
- *Ceramic and Allied Trades Union (P)
- *Chartered Society of Physiotherapy
- Chemistry Societies Staff Association
- *Cheshire Building Society Staff Association
- *Chief and Assistant Chief Fire Officers Association
- Church and Oswaldtwistle Power-Loom Overlookers Society
- *Civil and Public Services Association
- *Civil Service Union
- *Clearing Bank Union
- Clerical Medical and General Staff Association
- Colman Association of Staff
- *Colne and District Power Loom Overlookers Association
- *Colne and District Textile Warehouse Association
- *Commercial Union Group Staff Association
- *Communication Managers Association (P)
- *Community and Youth Workers Union
- *Confederation of Health Service Employees (P)
- †Construction Industry Training Board Staff Association
- *Corporation of London Staff Association
- †Costain Petrocarbon Senior Staff Association
- Council of Civil Service Unions
- County Association of Care Practitioners
- *Derbyshire Building Society Staff Association
- Dexion Staff Association
- *Diplomatic Service Association
- *Electrical Electronic Telecommunication and Plumbing Union (P)
- *Electrical Power Engineers Association
- Engineering Craft Association
- *Engineering Officers Technical Association
- *Engineers and Managers Association
- English Chiropodists Association
- *Federated Union of Managerial and Professional Officers

- Federation of Professional Organisations (PT 'A' Whitley Council)
- *Federation of Professional Railway Staff
- *Film Artistes Association
- *Fire Brigades Union (P)
- Football League Executive Staffs Association
- *Foremens Association of the British Aerospace Public Limited Company -
Warton Division
- *Furniture Timber and Allied Trades Union (P)
- *Gas Higher Management Association
- *Gateway Building Society Staff Association
- General Dental Practitioners Association
- General Federation of Trade Unions
- *General Municipal Boilermakers and Allied Trades Union (P)
- *General Union of Associations of Loom Overlookers (P)
- Government Communications Staff Federation
- Graphic and Creative Arts Association
- *Greater London Staff Association
- Guild of Anatomical Pathology Technicians
- *Guild of Medical Secretaries
- *Guild of Professional Teachers of Dancing
- *Guild of Senior Officers of the Greater London Council and the Inner London
Education Authority
- *Guild of Textile Supervisors
- *Guinness Brewing Staff Association (UK)
- *Guinness (Park Royal) Supervisory Association
- Halifax and District Powerloom Overlookers Society
- *Halifax Building Society Staff Association
- Harrods Staff Union
- Headmasters Conference
- *Health Visitors Association
- *Heart of England Building Society Staff Association
- Hongkong Bank Group UK Staff Association
- *Hospital Consultants and Specialists Association
- *Hospital Doctors Association
- *Hospital Physicists Association
- Huddersfield and Dewsbury Power Loom Overlookers Society
- Humberside Port Workers Union
- *Hyde and District Loom Overlookers Association
- Ideal Field Staff Association
- *Immigration Service Union
- *Imperial Group Staff Association
- *Imperial Supervisors Association (Imperial Tobacco Limited)
- Independent Union of Owner Operators
- *Inland Revenue Staff Federation (P)
- *Institute of Journalists
- *Institution of Professional Civil Servants
- *Iron and Steel Trades Confederation (P)

- Johnson Matthey Chemicals Royston Staff Society
- †Johnson Matthey Headquarters Staff Society
- Joint Boots Pharmacists Association
- Joint Industry Board for the Electrical Contracting Industry
- †Jones and Shipman Administrative Staff Association
- Kirkless Craftsmens Branch of the NUM North Western Area
- *Lancashire Box Packing Case and General Woodworkers Friendly Relief Sick
Superannuation and Burial Society
- Leeds and District Power Loom Overlookers Society
- Leeds Permanent Building Society Staff Association*
- *Leicester Building Society Staff Association
- Leicestershire Overmen Deputies and Shotfirers Association
- *Lloyds Bank Group Staff Union
- Lloyds Register (UK) Staff Association
- London Jewel Case and Jewellery Display Makers Union
- *London Society of Tie Cutters
- *Lufthansa Staff Association United Kingdom
- Managerial Staff Association of the Provincial Insurance Group of Companies
- *Manchester Pilots Association
- *Military and Orchestral Musical Instrument Makers Trade Society
- *Ministry of Defence Staff Association
- *Musicians Union (P)
- *National and Local Government Officers Association
- *National and Provincial Building Society Staff Association
- *National Association of Colliery Overmen Deputies and Shotfirers (P)
- National Association of Colliery Overmen Deputies and Shotfirers Cannock
Chase Area
- National Association of Colliery Overmen Deputies and Shotfirers Durham
Area
- National Association of Colliery Overmen Deputies and Shotfirers Midland
Area
- National Association of Colliery Overmen Deputies and Shotfirers (North-
umberland Area)
- National Association of Colliery Overmen Deputies and Shotfirers North
Western Area
- National Association of Colliery Overmen Deputies and Shotfirers (South Wales
Area)
- National Association of Colliery Overmen Deputies and Shotfirers (Stafford-
shire Area)
- National Association of Colliery Overmen Deputies and Shotfirers (Yorkshire
Area)
- *National Association of Co-operative Officials
- National Association of Ethnic Minority Civil Servants
- *National Association of Fire Officers
- *National Association of Head Teachers
- *National Association of Inspectors and Educational Advisers

- *National Association of Licensed House Managers
- *National Association of NFU Group Secretaries
- National Association of Power-Loom Overlookers
- *National Association of Probation Officers
- *National Association of Schoolmasters and the Union of Women Teachers
- *National Association of Senior Probation Officers
- *National Association of Teachers in Further and Higher Education
- *National Association of Whole-time Hospital Chaplains
- *National Communications Union (Engineering and Clerical Groups) (P)
- National Federation of Sub-Postmasters
- *National Graphical Association (1982) (P)
- *National League of the Blind and Disabled (P)
- *National Owner Drivers Association UK
- *National Society for Education in Art and Design
- *National Tile Faience and Mosaic Fixers Society
- *National Unilever Managers Association
- *National Union of Club Stewards
- *National Union of Domestic Appliances and General Operatives (P)
- *National Union of Flint Glass Workers
- National Union of Hebrew Teachers of Great Britain and Ireland
- *National Union of Hosiery and Knitwear Workers (P)
- *National Union of Insurance Workers
- *National Union of Journalists
- *National Union of Lock and Metal Workers
- *National Union of Marine Aviation and Shipping Transport Officers
- *National Union of Mineworkers (P)
- National Union of Mineworkers (Ashton and Haydock Branch)
- National Union of Mineworkers (Cannock Chase and Pelsall District Midland Area)
- National Union of Mineworkers (Cokemans Area)
- *National Union of Mineworkers (Colliery Officials and Staffs Area)
- National Union of Mineworkers (Colliery Officials and Staffs Area) (Region No. 2)
- National Union of Mineworkers (Colliery Officials and Staffs Area) (Region No. 3)
- National Union of Mineworkers (Colliery Officials and Staffs Area) (Region No. 4)
- National Union of Mineworkers (Cumberland Area)
- National Union of Mineworkers (Derbyshire Area)
- National Union of Mineworkers (Durham Area)
- National Union of Mineworkers (Durham Enginemen Group No. 1 Area)
- National Union of Mineworkers (Durham Mechanics Group No. 1 Area)
- National Union of Mineworkers (Kent Area)
- *National Union of Mineworkers (Leicester Area)
- National Union of Mineworkers (Midland Area)
- National Union of Mineworkers (North Stafford Federation Midland Area)
- National Union of Mineworkers (Northumberland Area)

- *National Union of Mineworkers (Northumberland Mechanics Group No. 1 Area)
- National Union of Mineworkers (North Wales Area)
- *National Union of Mineworkers (North Western Area)
- National Union of Mineworkers (North Western Area) Pendlebury Branch
- National Union of Mineworkers (North Western Area) Plank Lane Branch
- National Union of Mineworkers (North Western Area) St Helens Craftsmens Branch
- *National Union of Mineworkers (Power Group Area)
- National Union of Mineworkers (South Wales Area)
- National Union of Mineworkers (Warwickshire District Midlands Area)
- *National Union of Mineworkers (Yorkshire Area)
- *National Union of Public Employees (P)
- *National Union of Railwaymen (P)
- *National Union of Scalemakers (P)
- *National Union of Seamen (P)
- *National Union of Tailors and Garment Workers (P)
- *National Union of Teachers
- *National Union of the Footwear Leather and Allied Trades (P)
- National Union of the Unemployed and Workers
- Nationally Integrated Caring Employees
- *Nationwide Building Society Staff Association
- *NatWest Staff Association
- Nelson and District Clothlookers and Warehouse Association
- Nelson and District Powerloom Overlookers Society
- New Towns Chief Officers Association
- Nielsen Staff Association
- *North-East Coast Tug-Boatmens Association
- *Northern Carpet Trades Union
- *Northern Colliery Officials and Staffs Association
- *Northern Counties Textile Trades Federation
- *Northern Rock Building Society Staff Association (NORSA)
- Nottingham and District Federation of Club Stewards
- Oldham Association of Loom Overlookers
- Organisation of CPL Technicians
- Parkside Branch of the National Union of Mineworkers (North Western Area)
- Parsonage Branch of the Lancashire Area of the National Union of Mineworkers of Great Britain
- *Pattern Weavers Society
- *PMB Staff Association
- *Portman Staff Association
- *Power Loom Carpet Weavers and Textile Workers Union (P)
- Pressed Glass Makers Society of Great Britain
- *Preston and District Powerloom Overlookers Association
- Pride of Golborne Miners Branch
- *Printing Trades Alliance
- *Prison Officers Association

- *Professional Association of Nursery Nurses
- *Professional Association of Teachers
- †Professional Flight Instructors Association
- Professional Footballers Association
- Prosecuting Solicitors Society of England and Wales
- †Rank Hotels Staff Association
- Rediffusion Simulation Staff Association
- *Retail Book Stationery and Allied Trades Employees Association
- *Retained Firefighters Union
- *Retired Officers Association
- *Rossendale Union of Boot Shoe and Slipper Operatives (P)
- *Rowntree Mackintosh Sales Staff Association
- *Royal College of Midwives
- *Royal College of Nursing of the United Kingdom
- *Royal Insurance Branch Managers Association
- RSPB Staff Association
- Rumbelows Branch Managers Association
- Rumbelows Retail Staff Association (RRSA)
- *Screw Nut Bolt and Rivet Trade Union
- *Secondary Heads Association
- Sheffield Wool Shear Workers Trade Union
- *Skipton and District Power-Loom Overlookers Association
- †Societe Generale Staff Association
- *Society of Authors Limited
- *Society of Chief Officers of Probation
- *Society of Chiropodists
- *Society of Civil and Public Servants (Executive Directing and Analogous Grades)
- *Society of Graphical and Allied Trades 1982 (SOGAT)(P)
- *Society of Radiographers
- Society of Registration Officers (Births Deaths and Marriages)
- *Society of Shuttlemakers
- *Society of Telecom Executives (P)
- *Society of Union Employees (NUPE) (P)
- *Space and Communications Stevenage Staff Association
- *Stable Lads Association
- *Staffordshire Building Society Staff Association
- *Star Aluminium Managerial Staff Association
- *Sun Life Staff Association
- Sutton Manor Branch of Miners
- *Teston Independent Society of Cricket Ball Makers
- *Thames Water Staff Association
- *Thorn EMI Electronics Limited Junior and Middle Management Staff Association
- *Tobacco Mechanics Association
- *Town and Country Building Society Staff Association
- *Transport and General Workers Union (P)

- Transport Salaried Staffs Association (P)
- *Undeb Cenedlaethol Athrawon Cymru: (National Association of Teachers of Wales)
- *Union of Communication Workers (P)
- *Union of Construction Allied Trades and Technicians (P)
- *Union of Democratic Mineworkers
- Union of Dexion Workers
- *Union of Shop Distributive and Allied Workers (P)
- *United Association of Power Loom Overlookers
- *United Friendly Agents Association
- *United Friendly Divisional and District Managers Association
- †United Friendly Head Office Management Association
- †United Friendly Assistant Managers Association
- *United Road Transport Union
- *Walsall Lock and Key Smiths Male and Female Trade Society
- Wellbury House St. Christopher's Fellowship Staff Association*
- *West Bromwich Building Society Staff Association
- Whatman Reeve Angel Staff Association
- *Wire Workers Union
- *Woolwich Independent Staff Association
- *Writers Guild of Great Britain
- *Yorkshire Association of Power Loom Overlookers
- *Yorkshire Building Society Staff Association

Scotland

- *Association of British Professional Divers
- *Association of Lecturers in Colleges of Education in Scotland
- Association of Scottish Local Government Directors of Personnel
- District Nursing Association
- *Educational Institute of Scotland
- Glasgow and West of Scotland Power Loom Tenters Society
- National Association of Colliery Overmen Deputies and Shotfirers (Scottish Area)
- National House Building Council Staff Association
- National Union of Mineworkers Group 2 Scottish Colliery Enginemen Boilermen and Tradesmens Association
- National Union of Mineworkers (Scottish Area)
- Professional Staff Association of Scottish Woodland Owners Association (Commercial) Limited
- *Scottish Association of Amenity Supervisory Staffs
- Scottish Association of Local Government and Educational Psychologists
- Scottish Association of Nurse Administrators
- *Scottish Carpet Workers Union (P) ?

Scottish Equitable Staff Association
 *Scottish Further and Higher Education Association
 *Scottish Health Visitors Association
 Scottish Joint Industry Board for the Electrical Contracting Industry
 *Scottish Prison Officers Association
 *Scottish Secondary Teachers Association
 *Scottish Union of Power Loom Overlookers

Appendix 2 (see paragraph 1.7)

LIST OF EMPLOYERS' ASSOCIATIONS AT 31 DECEMBER 1986

Note: Employers' Associations entered in the list during 1986 are shown in *italics*.

England and Wales

Advertising Film and Videotape Producers Association
 Art Studios Photographic Laboratories Association
 Association of British Orchestras
 Association of Circus Proprietors of Great Britain
 Association of Indian Banks in the United Kingdom
 Association of Midland Advertising Agencies
 Association of Northern Advertising Agencies
 Association of Scottish Advertising Agencies
 Birmingham Wholesale Fruit Flower and Potato Merchants Association
 Blackburn District Textile Manufacturers Association
 Bolton and District Textile Employers Association
 British Amusement Catering Trades Association
 British Box and Packaging Association
 British Brush Manufacturers Association
 British Ceramic Manufacturers Federation
 British Decorators Association
 British Exhibition Contractors Association
 British Film and Television Producers Association Limited
 British Lace Federation
 British Leavers Lace Manufacturers Association
 British Lock Manufacturers Association
 British Paper and Board Industry Federation Limited
 British Precast Concrete Federation Limited
 British Printing Industries Federation
 British Ready Mixed Concrete Association
 British Scrap Federation
British Textile By-Products Association
 British Textile Employers Association (Cotton Man-made and Allied Fibres)
 Building Employers Confederation
 Central Lancashire Engineering Employers Association
 China Clay Association
 Cinematograph Exhibitors Association of Great Britain and Ireland
 Construction Plant-Hire Association
 Co-operative Employers Association

Dairy Trade Federation

East Anglian Ship and Boat Building Employers Association
Eastern Representative Provincial Organisation of Local Authority Employers
for Administrative Professional Technical and Clerical Services and Manual
Worker Services

East Midlands Local Authorities Employers Organisation

Electrical Contractors Association

Engineering and Shipbuilding Employers Association - Yorkshire and Humber-
side

Engineering Employers Association of South Lancashire Cheshire and North
Wales

Engineering Employers East Anglian Association

Engineering Employers East Midlands Association

Engineering Employers Federation

Engineering Employers London Association

Engineering Employers Sheffield Association (South Yorkshire and North
Midlands)

Engineering Employers West Midlands Association

Engineering Employers Western Association

Essex and Hertfordshire Representative Provincial Organisation of Local
Authority Employers (for Administrative Professional Technical and Clerical
Services and Manual Worker Services)

Federation of Bakers

Federation of Brickwork Contractors

Federation of Civil Engineering Contractors

Federation of Design and Engineering Contractors

Federation of Dredging Contractors

Federation of London Clearing Bank Employers

Federation of London Wholesale Newspaper Distributors

Federation of Master Builders

Federation of Master Organ Builders

Federation of Medium and Small Employers

Graphic Reproduction Federation

Grimsby Fishing Vessel Owners Association

Heating and Ventilating Contractors Association

Hinckley and District Knitting Industry Association

Hull Association of Port Labour Employers

Hull Fishing Industry Association

Independent Programme Producers Association Limited

Leather Producers Association

London and South Eastern Furniture Manufacturers Association

London Association of Shore Gang Contractors

London Dress Makers and Allied Contractors Association

London Enclosed Docks Employers Association

Mastic Asphalt Council and Employers Federation Limited

Mid-Anglian Engineering Employers Association

Motor Agents Association Limited

Multiple Food Retailers Employers Association

Multiple Shoe Retailers Association

Multiwall Sack Manufacturers Employers Association

Music Retailers Association Limited

National Association of Glove Manufacturers

National Association of Master Bakers Confectioners and Caterers

National Association of Plumbing Heating and Mechanical Services Contractors

National Association of Shopkeepers of Great Britain and Northern Ireland
(formerly NUSS)

National Building and Allied Hardware Manufacturers Federation

National Engineering Construction Employers Association

National Federation of Master Window Cleaners

National Federation of Retail Newsagents

National Fillings Trades Association

National Hairdressers Federation

National Master Farriers Blacksmiths and Agricultural Engineers Association

National Master Tile Fixers Association

National Pharmaceutical Association Limited

National Sawmilling Association

National Society of Provincial Wholesale Sunday Newspaper Distributors

National Trainers Federation

Natural Slate Quarries Association

Newspaper Publishers Association Limited

Newspaper Society

North East Association of Small Mines

North East Lancashire Textile Manufacturers Association

North of England Engineering Employers Association

North West Lancashire Engineering Employers Association

Office Machines and Equipment Federation

Oil and Chemical Plant Constructors Association

Provincial Wholesale Newspaper Distributors Association

Publishers Association

Representative National Organisation of Employers of Local Authorities
Administrative Professional Technical and Clerical Services

Representative National Organisation of Employers of Local Authorities Services
(Manual Workers)

Representative National Organisation of Employers of New Towns Staff

Representative Organisation of Local Authorities Services (Building and Civil
Engineering)

Representative Organisation of the North Eastern Provincial Employers of Local
Authorities Administrative Professional Technical and Clerical Services

Representative Organisation of the Northern Provincial Employers of Local
Authorities Services (Manual Workers)

Representative Organisation of the South Western Provincial Employers of Local
 Authorities Services (Administrative Professional Technical and Clerical)
 Representative Organisation of the South Western Provincial Employers of Local
 Authorities Services (Manual Workers)
 Representative Organisation of the Western Provincial Employers of Local
 Authorities Services (Manual Workers)
 Rochdale Engineering Employers Association
 Showmens Guild of Great Britain
 Slag Employers Association
 Smithfield Market Tenants Association London
 Society of British Printing Ink Manufacturers Ltd
 South Eastern Local Authorities Employers Organisation
 Stourbridge Crystal Glass Manufacturers Association
 Test and County Cricket Board
Thermal Insulation Contractors Association
 Timber Packaging and Pallet Confederation
 Vehicle Builders and Repairers Association
 Welsh Engineers and Founders Association
 Wire and Wire Rope Employers Association
 Yorkshire and Humberside Representative Provincial Organisation of Employers
 Local Authorities Services (Manual Workers)
 Yorkshire and Humberside Representative Provincial Organisation of Employers
 Local Authorities Administrative Professional Technical and Clerical Services
 Yorkshire Glass Manufacturers Association

Scotland

Association of Jute Spinners and Manufacturers
 Clydeside Federation of Community Based Housing Associations
 Electrical Contractors Association of Scotland
 Flax and Linen Association
 Glasgow and District Retail Fleshers Association
 Hawick Knitwear Manufacturers Association
 Hebridean Spinners Advisory Committee
 Malt Distillers Association of Scotland
 Scottish and Northern Ireland Plumbing Employers Federation
 Scottish Decorators Federation
 Scottish Engineering Employers Association
 Scottish Glass Merchants and Glaziers Association
 Scottish Grocery Trade Employers Association
 Scottish Lace and Window Furnishing Association
 Scottish Newspaper Proprietors Association
 Scottish Pharmaceutical Federation
 Scottish Woollen Industry
 Society of Master Printers of Scotland

Appendix 3 (see paragraph 2.9)

DECISIONS ON TRADE UNION INDEPENDENCE DURING 1986

Trade Unions issued with certificates of independence

Association of Licensed Aircraft Engineers (1981)
Derbyshire Building Society Staff Association
Electrical Power Engineers Association
National Association of Whole-time Hospital Chaplains
National Society for Education in Art and Design
Portman Staff Association
Union of Democratic Mineworkers

Certificates cancelled because the union ceased to exist owing to a merger

Amalgamated Textile Warehouse Operatives (Bolton and District Branch)
Amalgamated Textile Workers Union
Amalgamated Textile Workers Union Central Lancashire and Calderdale
Amalgamated Textile Workers Union (Southern Area)
Amalgamated Textile Workers Union – Staff Section
Association of Education Officers
Association of Local Authority Chief Architects
Association of Local Government Personnel Officers
Association of Official Architects
Association of Passenger Transport Executives and Managers
Association of Planning Officers
Association of Public Service Professional Engineers
Blackburn and District Weavers Winders and Wappers Association
Colne and Craven Textile Workers Association
Guild of Directors of Social Services
Guild of Local Authority Valuers and Estate Surveyors
Guild of Water Service Senior Officers
National Association of Chief Environmental Health Officers
National Association of Chief Housing Officers
National Union of Chief Leisure Officers
National Union of Local Authority Secretaries
North West Lancashire Durham and Cumbria Textile Workers Union
Oldham Provincial Union of Textile and Allied Workers
Society of Chief Trading Standards Officers
Society of Metropolitan and County Chief Librarians
Society of Public Analysts and Other Official Analysts
Sun Alliance and London Staff Association
Tobacco Workers Union

Certificates cancelled because the union was dissolved

Association of Nurse Administrators
Federation of Nursing Personnel
Professional Federation of Health Service Chefs

Appendix 4 Summary of Statistics – Trade Unions, 1985

The annual returns completed by trade unions for this Office require the accounts to be shown in a particular way. The figures used in this Appendix are taken from these annual returns. However individual unions publish their own accounts with considerable variations in format. It may be difficult therefore to correlate the figures in the Appendix with those in any published accounts of individual trade unions.

Notes

- (a) The total membership reported by some trade unions includes a number of special categories (eg. honorary, retired, unemployed) who are members under the union's rules but who either pay no contribution to union funds or make a nominal payment only.
- (b) The gross assets figures take no account of liabilities. The net worth of unions is indicated in column 14.
- (c) By far the largest part of the income from members is derived from regular contributions but a very small part (probably less than one per cent) is derived from such items as sale of diaries.
- (d) Investment income is net of certain items such as outgoings on property held as an investment, but for most unions tax paid on investment income has not been deducted.
- (e) Total income and total expenditure include all items which increased or decreased a union's total funds during the year and are not confined to normal revenue income and expenditure. Tax recoveries and provisions no longer required are therefore included in total income.
- (f) For most unions the figure for total benefits to members comprises sums such as sickness benefit and dispute benefit, paid direct to individual members; for some unions however expenditure on more general items of benefit, for instance, group insurance policies or convalescent homes, is included.
- (g) This figure has been adjusted from last year's report to take account of later information.

Summary of Statistics – Trade Unions, 1985
(see paragraph 3.10)

Appendix 4

	Number of Members (a)	GROSS INCOME			
		From Members	From Investments	Other Income	Total Income
		(c) £000s	(d) £000s	(e) £000s	(f) £000s
Unions each with 100,000 members or more:—	(1)	(2)	(3)	(4)	(5)
Transport and General Workers Union	1,434,005	47,635	3,966	190	51,791
Amalgamated Engineering Union	974,904	18,000	2,100	1,277	21,377
General Municipal Boilermakers and Allied Trades Union	826,920	27,130	2,062	992	30,184
National and Local Government Officers Association	752,131	28,163	2,014	3,956	34,133
National Union of Public Employees	663,776	15,842	894	749	17,485
Association of Scientific Technical and Managerial Staffs	390,000	10,002	193	101	10,296
Union of Shop Distributive and Allied Workers	385,455	8,318	1,219	409	9,946
Electrical Electronic Telecommunication and Plumbing Union	384,577	9,513	1,035	238	10,786
National Union of Teachers	253,672	8,728	1,021	725	10,474
Royal College of Nursing of the United Kingdom	251,127	4,942	—	—	4,942
Union of Construction Allied Trades and Technicians	248,693	5,160	251	190	5,601
National Union of Mineworkers	248,456	7,782	1,859	4,889	14,530
Amalgamated Union of Engineering Workers – Technical Administrative and Supervisory Section	240,000	6,587	402	2,218	9,207
Confederation of Health Service Employees	212,980	5,879	391	1,053	7,323
Society of Graphical and Allied Trades 1982 (SOGAT)	206,898	11,751	898	2,507	15,156
Union of Communication Workers	194,244	8,495	250	3,216	11,961
National Association of Schoolmasters and the Union of Women Teachers	169,839	3,396	352	360	4,108
National Communications Union (Engineering and Clerical Groups)	161,315	8,264	214	759	9,237
Banking Insurance and Finance Union	157,468	4,301	118	71	4,490
Civil and Public Services Association	146,537	6,370	506	854	7,730
National Union of Railwaymen	130,332	6,061	2,525	87	8,673
National Graphical Association 1982	131,721	10,259	1,622	2,998	14,879
Assistant Masters and Mistresses Association	113,453	1,200	182	71	1,453
Clearing Bank Union	102,031	1,927	141	764	2,832
Total of above unions with 100,000 members or more	8,780,534	265,705	24,215	28,674	318,594
Total of 340 other listed unions with less than 100,000 members	2,018,919	85,500	12,226	11,396	109,122
Total of listed unions	10,799,453	351,205	36,441	40,070	427,716
Trades Union Congress	—	5,798	437	1,483	7,718
Total of 30 other unlisted unions which have submitted returns	19,496	1,585	2,230	8,670	12,485
Total for all unions for 1985	10,818,949	358,588	39,108	50,223	447,919
Total for all unions for 1984*	11,063,953	327,631	36,644	44,766	409,041

*Includes National Union of Mineworkers' figures for 1984 which were not available in time for last year's report.

GROSS EXPENDITURE							TOTAL FUNDS		GROSS ASSETS (b)			
Unemployment Benefit	Dispute Benefit	Other Benefits	Total Benefit to Members	Administration Expenses and other Outgoings	Other Expenditure	Total Expenditure	Beginning of the Year	End of the Year	Fixed Assets	Investments	Other Assets	Total Assets
(f) £000s	(g) £000s	(h) £000s	(i) £000s	(j) £000s	(k) £000s	(l) £000s	(m) £000s	(n) £000s	(o) £000s	(p) £000s	(q) £000s	(r) £000s
(6)	(7)	(8)	(9)	(10)	(11)	(12)	(13)	(14)	(15)	(16)	(17)	(18)
—	660	5,117	5,777	35,520	3,157	44,454	39,140	66,477	26,882	31,366	11,356	69,604
—	505	3,682	4,187	15,152	3,097	22,436	21,295	20,236	8,164	9,482	3,988	21,634
—	732	3,696	4,428	21,574	2,216	28,218	31,667	33,633	10,907	4,114	18,622	33,643
—	2,603	659	3,262	16,808	12,090	32,160	32,449	34,422	7,313	22,641	6,650	36,604
—	285	2,090	2,375	14,109	1,494	17,978	18,536	18,043	8,641	5,649	6,139	20,429
12	71	976	1,059	8,150	830	10,039	4,339	4,596	5,145	128	2,843	8,116
24	34	414	472	7,945	843	9,260	9,604	10,290	1,842	5,425	3,177	10,444
—	178	809	987	7,321	645	8,953	11,585	13,418	4,369	8,362	1,665	14,396
—	5,311	298	5,609	6,763	747	13,119	12,354	9,709	1,062	8,976	4,048	14,086
—	—	78	78	4,864	—	4,942	—	—	—	—	1,791	1,791
8	28	359	395	4,557	415	5,367	4,647	4,881	1,754	3,173	578	5,505
—	1,173	2,470	3,643	10,270	2,286	16,199	20,316	18,647	3,461	5,209	22,330	31,000
—	121	391	512	6,503	337	7,352	6,273	8,128	4,821	2,052	3,184	10,057
—	47	500	547	5,308	433	6,288	6,387	7,422	3,152	3,569	1,221	7,942
223	14	1,209	1,446	11,806	816	14,068	15,353	16,441	5,589	6,101	6,476	18,166
—	—	744	744	7,345	3,146	11,235	8,185	8,911	1,178	2,983	4,750	8,911
—	—	204	204	2,971	359	3,734	4,821	5,195	949	2,112	2,470	5,531
—	4	994	998	6,056	1,690	8,744	4,324	4,817	2,061	757	2,901	5,719
—	1	12	13	4,084	159	4,256	2,452	2,686	1,186	1,436	367	2,989
—	431	405	836	4,638	1,864	7,338	8,249	8,641	2,583	2,826	3,585	8,994
—	6	1,895	1,901	5,778	835	8,514	26,011	26,170	8,978	15,910	3,126	28,014
1,168	1,640	879	3,687	8,128	1,094	12,909	20,504(g)	22,474	954	17,989	4,969	23,912
—	—	67	67	1,044	46	1,157	1,138	1,434	2,013	275	232	2,520
—	—	12	12	2,449	175	2,636	1,502	1,698	456	301	2,523	3,280
1,435	13,844	27,960	43,239	219,143	38,974	301,356	331,131	348,369	113,460	160,836	118,991	393,287
111	867	6,649	7,627	79,478	7,665	94,770	131,604	145,956	44,979	79,911	49,303	174,193
1,546	14,711	34,609	50,866	298,621	46,639	396,126	462,735	494,325	158,439	240,747	168,294	567,480
—	—	724	724	4,327	2,549	7,600	5,072	5,190	2,799	1,632	1,267	5,698
—	—	3,075	3,075	3,878	7,824	14,777	30,793	28,501	182	11,617	22,832	34,631
1,546	14,711	38,408	54,665	306,826	57,012	418,503	498,600	528,016	161,420	253,996	192,393	607,809
2,257	12,411	36,829	51,497	278,677	51,890	382,064	471,442	498,419	147,615	236,625	177,508	561,748

Appendix 5

Summary of Statistics – Political Funds of
Trade Unions, 1985

Notes

- (a) It is not possible from this table to calculate the proportion of the membership who have contracted out of paying the political levy. Both columns (1) and (2) include varying numbers of special category members (e.g. honorary, retired, unemployed) who are members under the union's rules but who are neither required to pay the political levy nor seek formal exemption.
- (b) This figure has been adjusted from last year's report to take account of later information.
- (c) Net figure accounting for deficits.

Summary of Statistics – Political Funds of Trade Unions, 1985
(see paragraph 6.11)

Appendix 5

	Total Union Membership	Number of Members contributing to the General Fund	Number of Members contributing to the Political Fund	POLITICAL FUND			
				Income	Expenditure	Fund at Beginning of the Year	Fund at End of the Year
	(1)	(2)	(a) (3)	£ (4)	£ (5)	£ (6)	£ (7)
Amalgamated Society of Textile Workers and Kindred Trades	3,325	3,325	3,126	2,150	—	—	2,150
†Amalgamated Textile Workers Union	15,331	15,331	14,893	13,814	11,965	24,724	26,573
Amalgamated Engineering Union	974,904	676,865	520,100	587,842	802,446	960,381	745,777
Amalgamated Union of Engineering Workers – Technical Administrative and Supervisory Section	240,000	189,255	162,442	187,912	145,458	282,517	324,971
Associated Society of Locomotive Engineers and Firemen	22,274	22,274	20,782	34,488	28,607	16,605	22,486
Association of Cinematograph Television and Allied Technicians	25,532	22,500	4,425	9,822	6,246	708	4,284
Association of Professional Executive Clerical and Computer Staff (APEX)	90,457	90,457	60,803	71,830	65,966	161,152	167,016
Association of Scientific Technical and Managerial Staffs	390,000	370,000	96,000	184,000	94,000	—26,000	64,000
Bakers Food and Allied Workers Union	35,186	35,181	33,513	34,924	39,077	8,939	4,786
Ceramic and Allied Trades Union	31,262	31,262	30,560	23,304	20,406	—3,317	—419
Confederation of Health Service Employees	212,980	212,980	195,214	304,684	383,696	218,806	139,794
Electrical Electronic Telecommunication and Plumbing Union	384,577	347,635	253,766	199,102	184,000	79,708	94,810
Fire Brigades Union	45,895	45,895	26,703	41,069	23,798	41,547	58,818
Furniture Timber and Allied Trades Union	50,284	50,284	30,932	22,485	20,406	8,119	10,198
General Municipal Boilermakers and Allied Trades Union	826,920	826,920	712,092	1,794,096	1,248,424	2,475,518	3,021,190
General Union of Associations of Loom Overlookers	1,220	1,220	1,071	539	649	443	333
Iron and Steel Trades Confederation	48,509	46,138	37,664	82,247	51,444	27,582	58,385
Musicians Union	38,287	38,149	34,243	14,941	4,760	16,714	26,895
National Association of Colliery Overmen Deputies and Shotfired	14,614	14,614	14,126	25,508	22,373	54,359	57,494
†National Association of Colliery Overmen Deputies and Shotfired Durham Area	1,147	1,147	1,145	2,600	2,913	965	652
†National Association of Colliery Overmen Deputies and Shotfired (Northumberland Area)	504	504	502	1,203	2,228	1,615	590
†National Association of Colliery Overmen Deputies and Shotfired (Scottish Area)	736	736	736	2,342	1,502	4,669	5,509
National Communications Union (Engineering and Clerical Groups) – Engineering Group	161,315	161,315	91,192	146,185	162,264	64,290	48,211
National Graphical Association (1982)	131,721	105,325	45,344	113,789	43,905	167,145(b)	237,029
National League of the Blind and Disabled	2,847	2,847	1,477	2,512	3,369	2,609	1,752
National Union of Domestic Appliances and General Operatives	4,250	4,250	4,221	1,787	2,590	1,020	217

Summary of Statistics – Political Funds of Trade Unions, 1985 – *cont.*
(see paragraph 6.11)

Appendix 5 *cont.*

	Total Union Membership	Number of Members contributing to the General Fund	Number of Members contributing to the Political Fund (a) (3)	POLITICAL FUND			
				Income £ (4)	Expenditure £ (5)	Fund at Beginning of the Year £ (6)	Fund at End of the Year £ (7)
National Union of Mineworkers	248,456	135,290	129,436	536,554	426,707	1,412,314	1,522,162
†National Union of Mineworkers (Durham Area)	33,785	14,676	14,648	51,098	63,814	237,562	224,846
†National Union of Mineworkers (Kent Area)	2,554	1,320	1,273	192	171	715	736
†National Union of Mineworkers (Leicester Area)	1,488	1,488	1,471	3,444	2,029	3,431	4,846
†National Union of Mineworkers (Northumberland Area)	11,036	4,091	4,090	21,234	18,038	41,401	44,597
National Union of Public Employees	663,776	663,776	643,519	1,176,988	1,374,311	18,062	179,261
National Union of Railwaymen	130,332	130,261	126,468	373,592	383,509	374,294	364,377
National Union of Scalemakers	1,062	1,062	6	14	2	200	212
National Union of Seamen	29,002	25,185	21,750	28,298	23,086	-1,231	3,981
National Union of Tailors and Garment Workers	78,570	78,570	69,353	95,871	74,989	45,300	66,182
National Union of Footwear Leather and Allied Trades	38,201	36,090	34,941	30,076	25,551	11,448	15,973
Power Loom Carpet Weavers and Textile Workers Union	3,200	3,200	3,140	4,932	6,723	2,512	721
Rossendale Union of Boot Shoe and Slipper Operatives	3,800	3,800	3,767	264	92	4,226	4,398
Scottish Carpet Workers Union	970	970	970	792	733	-89	-30
Society of Graphical and Allied Trades 1982 (SOGAT)	206,898	187,269	115,333	210,997	141,270	270,451	340,178
†Society of Shuttlemakers	50	50	-	-	-	1	1
Society of Telecom Executives	28,190	28,190	18,944	33,152	-	18,873	52,025
Society of Union Employees (NUPE)	177	177	176	303	-	303	606
Tobacco Workers Union	11,254	11,254	6,756	18,426	6,049	19,011	31,388
Transport and General Workers Union	1,434,005	1,434,005	1,323,504	2,545,367	1,289,984	884,634	2,140,017
Transport Salaried Staffs Association	49,126	49,126	39,782	65,594	44,558	69,647	90,683
Union of Communication Workers	194,244	194,244	180,995	340,719	315,900	368,631	393,450
Union of Construction Allied Trades and Technicians	248,693	240,877	208,603	150,400	166,831	58,557	42,126
Union of Shop Distributive and Allied Workers	385,455	385,455	351,517	331,276	306,407	251,230	316,099
Total of the 50 unions with political funds for 1985	7,558,041	6,946,835	5,697,514	9,964,758	8,043,246	8,682,301(c)	10,603,813(c)
Total of the 53 unions with political funds for 1984*	7,426,110	6,998,405	5,695,337	8,784,086	6,681,741	6,601,517(c)	8,703,862(c)

*The 1984 totals in columns 4 to 7 have been adjusted to include financial details of the National Union of Mineworkers' political funds which were not available at the date of last year's report.

†These funds lapsed at the end of March 1986.

Appendix 6

Summary of Statistics – Employers' Associations,
1985

It may be difficult to correlate these figures with those in an association's published accounts. Where an association has functions outside the field of industrial relations the return may relate to its activities as a whole and not merely to its industrial relations functions.

Notes

- (a) The gross assets figures take no account of liabilities. The net worth of employers' associations is indicated in column 6.
- (b) Income from Investments includes interest on short term deposits.
- (c) Total Income and Gross Expenditure include all items which increased or decreased an employers' association's funds during the year and are not confined to normal revenue income and expenditure. Tax recoveries and provisions no longer required are therefore included in Total Income.
- (d) This figure has been adjusted from last year's report to take account of later information.
- (e) Below £500.

Summary of Statistics – Employers' Associations
(see paragraph 3.11)

Appendix 6

Employers' Associations each with over £1,000,000 Total Income:–	GROSS INCOME			Gross Expenditure (c) £000s (4)	TOTAL FUNDS			GROSS ASSETS (a)			Number of Members (11)
	From Members £000s (1)	From Investments (b) £000s (2)	Total Income (c) £000s (3)		Beginning of the year £000s (5)	End of the year £000s (6)	Fixed Assets £000s (7)	Investments £000s (8)	Other Assets £000s (9)	Total Assets £000s (10)	
Engineering Employers Federation	1,689	764	3,044	2,063	7,201	8,182	233	7,254	1,466	8,953	17
Engineering Employers West Midlands Association	799	107	2,194	2,109	1,267	1,352	563	561	803	1,927	939
14 other Engineering Employers Associations in Great Britain and Scotland	3,412	408	5,404	5,023	2,197	2,578	1,007	803	1,986	3,796	3,762
*National Farmers Union	9,280	873	10,328	10,061	10,756	11,023	8,058	1,021	4,216	13,295	117,778
Test and County Cricket Board	–	61	7,335	7,335	–	–	–	–	853	853	19
Building Employers Confederation	5,576	424	6,533	6,320	2,757(d)	2,970	848	1,734	1,651	4,233	9,357
*Freight Transport Association	1,155	136	5,807	5,488	1,785	2,104	1,539	499	2,072	4,110	13,463
*General Council of British Shipping Limited	1,756	305	4,528	4,587	735	676	426	15	2,527	2,968	154
*British Shipping Federation Limited	1,201	1,118	4,323	2,661	2,153	3,815	872	11,952	4,021	16,845	2,179
Electrical Contractors Association	2,231	139	2,968	3,121	154	1	76	600	343	1,019	155
*Chemical Industries Association Limited	2,051	95	2,459	2,370	691	780	624	267	389	1,280	2,669
British Printing Industries Federation	1,912	51	2,448	2,378	1,148	1,218	1,876	(c)	968	2,844	13,377
Motor Agents Association Limited	1,731	153	2,012	1,900	501	613	98	294	610	1,002	267
Newspaper Society	1,641	135	1,798	1,829	1,676	1,645	2,183	302	760	3,245	30,081
National Federation of Retail Newsagents	805	444	1,644	1,645	470	469	82	457	134	673	1,180
Heating and Ventilating Contractors Association	963	105	1,625	1,322	1,233	1,536	1,263	18	844	2,125	10,625
*Road Haulage Association Limited	1,149	86	1,465	1,428	551	588	137	218	399	754	400
Federation of Civil Engineering Contractors	1,109	39	1,403	1,340	289	352	167	70	748	985	7,103
National Pharmaceutical Association Limited	1,277	16	1,306	1,278	370	398	17	50	506	573	52
*Incorporated National Association of British and Irish Millers Limited	1,181	91	1,296	1,222	1,009	1,083	348	6	945	1,299	19,804
Federation of Master Builders	793	38	1,278	1,296	232	214	85	137	171	393	68
British Paper and Board Industry Federation Limited	991	47	1,178	1,184	–36	–42	68	–	373	441	550
Publishers Association	816	2	1,114	1,101	79	92	98	–	284	382	4,100
Dairy Trade Federation	1,064	7	1,074	1,070	75	79	75	8	192	275	10
Newspaper Publishers Association Limited	44,582	5,644	74,564	70,131	37,293	41,726	20,743	26,266	27,261	74,270	238,109
Total of above Employers' Associations	8,412	938	12,241	11,591	6,284	6,934	2,043	3,686	5,318	11,047	46,106
Total of 117 other listed Employers' Associations	9,877	1,045	12,905	12,521	6,032	6,416	2,986	2,455	6,092	11,533	36,274
Total of 173 other unlisted Employers' Associations	62,871	7,627	99,710	94,243	49,609	55,076	25,772	32,407	38,571	96,850	320,489
Total of all Employers' Associations for 1985	62,330	5,894	93,811	89,733	45,759	49,837	22,500	31,426	35,046	88,972	324,894
Total of all Employers' Associations for 1984											

*Unlisted Employers' Associations

Appendix 7 (see paragraph 5.8)

TRADE UNION MERGERS COMPLETED IN 1986 under the Trade Union (Amalgamations, etc) Act 1964

Transfers of Engagements effected		
Engagements transferred from	To	Transfer registered on
Association of Official Architects	Federation of Managerial and Professional Officers Unions	1.1.86
Association of Education Officers		
Association of Local Authority Chief Architects		
Association of Local Government Lawyers		
Association of Local Government Personnel Officers		
Association of Local Government Supplies Officers		
Association of Passenger Transport Executives and Managers		
Association of Planning Officers		
Association of Public Service Professional Engineers		
Guild of Directors of Social Services		
Guild of Local Authority Valuers and Estate Surveyors		
Guild of Water Service Senior Officers		
National Association of Chief Environmental Health Officers		
National Association of Chief Housing Officers		
National Union of Chief Leisure Officers		
National Union of Local Authority Secretaries		
Society of Chief Trading Standards Officers		
Society of Metropolitan and County Chief Librarians		
Society of Public Analysts and Other Official Analysts		
Sun Alliance and London Staff Association	Association of Scientific Technical and Managerial Staffs	28.2.86

Transfers of Engagements effected		
Engagements transferred from	To	Transfer registered on
Amalgamated Textile Warehouse Operatives (Bolton & District Branch)	General Municipal Boilermakers and Allied Trades Union	23.4.86
Amalgamated Textile Warehouse Operatives (Hyde & District Branch)		
Amalgamated Textile Workers Union		
Amalgamated Textile Workers Union Central Lancashire and Calderdale		
Amalgamated Textile Workers Union (Southern Area)		
Amalgamated Textile Workers Union - Staff Section		
Blackburn and District Weavers Winders and Wapers Association		
Colne and Craven Textile Workers Association		
North West Lancashire Durham and Cumbria Textile Workers Union		
Oldham Provincial Union of Textile and Allied Workers		
Cotton Rayon and Allied Fibres Tape Sizers Association	Nelson & District Association of Preparatory Workers	2.6.86
Tobacco Workers Union	Amalgamated Union of Engineering Workers - Technical Administrative and Supervisory Section	2.6.86

Appendix 8 (see paragraph 4.3)

TRADE UNIONS MAINTAINING MEMBERS' SUPERANNUATION SCHEMES AT 31 DECEMBER 1986

Note: *Denotes unions maintaining schemes exempted from the need for actuarial examination.

Amalgamated Engineering Union
Amalgamated Union of Engineering Workers – Technical Administrative and Supervisory Section (2 schemes)
Associated Society of Locomotive Engineers and Firemen
Bradford District Power Loom Overlookers Society
*Colne and District Textile Warehouse Association
General Municipal Boilermakers and Allied Trades Union
*Haslingden and District Power-Loom Overlookers Society
National Graphical Association 1982 (6 schemes)
*National Union of Domestic Appliances and General Operatives
National Union of Flint Glass Workers
National Union of Hosiery and Knitwear Workers
*National Union of Mineworkers
*National Union of Mineworkers (North Stafford Federation Midland Area)
*National Union of Mineworkers (Yorkshire Area)
National Union of Railwaymen
*National Union of Seamen
Society of Graphical and Allied Trades 1982 (SOGAT) (2 schemes)
*Transport and General Workers Union
Wire Workers Union

Appendix 9 (see paragraph 7.8)

REFUNDS OF BALLOT COSTS, 1986

Trade Union	Amount Paid £
Amalgamated Engineering Union	354,693.78
Assistant Masters and Mistresses Association	20,429.54
Association of Cinematograph Television and Allied Technicians	5,763.41
Association of Polytechnic Teachers	540.94
Association of University Teachers	6,782.45
Barclays Group Staff Union	16,097.56
British Air Line Pilots Association	999.83
British Cement Staffs Association	706.31
British Medical Association	15,623.18
Chartered Society of Physiotherapy	2,303.69
Communication Managers Association	4,604.51
Electrical Electronic Telecommunication and Plumbing Union	210,107.64
Federated Union of Managerial and Professional Officers	2,748.89
Immigration Service Union	421.92
Institute of Journalists	817.41
Lloyds Bank Group Staff Union	3,897.94
Musicians Union	7,755.71
National and Provincial Building Society Staff Association	816.44
National Association of Head Teachers	7,856.75
National Association of Inspectors and Educational Advisers	22.68
National Association of Licensed House Managers	1,554.88
National Society for Education in Art and Design	438.06
NatWest Staff Association	8,630.26
Northern Rock Building Society Staff Association (NORSA)	296.80
Professional Association of Teachers	14,441.75
Retail Book Stationery and Allied Trades Employees Association	846.08
Retained Firefighters Union	332.19
Society of Chiropodists	2,365.11
Undeb Cenedlaethol Athrawon Cymru: (National Association of Teachers of Wales)	983.60
Union of Construction Allied Trades and Technicians	45,422.02
TOTAL	738,301.33