



Legal Aid
Agency

Supplementary Financial Assessment Form

4 To be completed by prisoner applicants only, prior to CIVMEANS1.

All relevant sections of the CIVMEANS1 must be completed.

- 4 This form applies to prisoners on remand or serving a current sentence and does not apply to people held under immigration powers (including post conviction) in a prison, or in relation to any asylum matters. This form must be completed in ink.

About you

Title: _____ First name: _____ Surname: _____

Are you?

Please tick one box: Single Married/Civil Partner Cohabiting

Separated Divorced/dissolved CP Widowed

- 1) Are you on remand? Yes No

If yes, please confirm when you first entered prison: ____ / ____ / ____ Please go to section 1.

If no, what was the date of your conviction? ____ / ____ / ____

How long was your sentence? _____

Do you have a release date? Yes No

If yes please provide: ____ / ____ / ____

Section 1 - About your partner

- 1) Did you have a partner before you entered prison?

Yes: please answer
questions 1a - 1d

No: please go to Section 2

(a) What is the name of your partner? _____

(b) Are you still in a relationship with your partner? Yes No

(c) Do you intend to resume this relationship with your partner when you are released from prison? Yes No

(d) Do you have any children with your partner? Yes No

Section 2 - About your home address

- 1) What was your last main address before you entered prison?

_____ postcode _____

- 2) Do you or your partner own or have a financial interest in this property?

Yes: please answer
questions 2a - 2c

No: please go to question 3

Section 2 cont

(a) Did you own this property solely in your name or jointly with another?

Solely

Jointly Please state name(s) of joint proprietor(s):

(b) Do you still have an interest in this property? Yes No: please go to question 2c

Please state who currently resides in the property:

Who currently meets the mortgage repayments? _____

How much are the mortgage repayments? £ _____

(c) Please advise what happened to your interest in the property (e.g. sold, transferred to partner etc) _____

3) Did you rent this property solely in your name or jointly with another?

Yes

No: please go to Section 3

(a) Do you still hold the tenancy?

Yes

No: please go to Section 3

(b) Who currently resides in the property? _____

(c) Who currently pays the rent? _____

Section 3 - Additional property

1) Did you own any additional properties before the commencement of your prison sentence?

Yes: please answer questions 1a - 1d

No: please go to Section 4

(a) Are the properties still in your name (solely or jointly)?

Yes: please answer questions 1b & 1c

No: please go to question 1d

(b) Please provide the addresses of the properties you still own.

_____ postcode _____

_____ postcode _____

_____ postcode _____

(c) If any of your properties are subject to an outstanding mortgage, please advise who is currently making the repayments whilst you are in prison:

(d) As the properties are no longer in your name, please briefly explain what happened to them (e.g. sold, transferred name to partner etc).

Section 4 - About your finances

- 1) Do you receive money from anyone outside the prison? Yes No

If yes please advise:

Who from? _____

How much? £ _____

How often? _____

How is this money paid to you? _____

- 2) Did you have any bank accounts before the commencement of your prison sentence?

- Yes: please answer questions 2a - 2c No

- (a) Did you close the accounts when you went to prison?

- Yes: please answer question 2b No: please answer question 2c

- (b) Please confirm when your accounts were closed.

Bank/Building Society name	Account number	Type of account e.g. current, deposit, ISA	Date of closure
_____	_____	_____	____/____/____
_____	_____	_____	____/____/____
_____	_____	_____	____/____/____
_____	_____	_____	____/____/____

- (c) Please provide details of your open bank accounts:

Bank/Building Society name	Account number	Type of account e.g. current, deposit, ISA	Current balance
_____	_____	_____	£ _____
_____	_____	_____	£ _____
_____	_____	_____	£ _____
_____	_____	_____	£ _____

- 4 Please provide statements covering the last 3 months to date, for each open bank/ building society/ Prisoner Income and Expenditure Statement (PIES) account held. The statements must be originals, photocopies certified by a solicitor or internet banking printouts (for "paperless" e-accounts) that show the name and account number.

LEGAL AID AGENCY

PRIVACY NOTICE

Purpose

This privacy notice sets out the standards that you can expect from the Legal Aid Agency (LAA) when we request or hold personal information ('personal data') about you; how you can get access to a copy of your personal data; and what you can do if you think the standards are not being met.

The LAA is an Executive Agency of the Ministry of Justice (MoJ). The MoJ is the data controller for the personal information we hold. The LAA collects and processes personal data for the exercise of its own and associated public functions. Our public function is to provide legal aid.

About personal information

Personal data is information about you as an individual. It can be your name, address or telephone number. It can also include the information that you have provided in a legal aid application such as your financial circumstances and information relating to any current or previous legal proceedings concerning you.

We know how important it is to protect customers' privacy and to comply with data protection laws. We will safeguard your personal data and will only disclose it where it is lawful to do so, or with your consent.

Types of personal data we process

We only process personal data that is relevant for the services we are providing to you. The personal data which you have provided in your legal aid application will only be used for the purposes set out below.

Purpose of processing and the lawful basis for the process

The purpose of the LAA collecting and processing the personal data which you have provided in a legal aid application is for the purposes of providing legal aid. Our lawful basis is 'the performance of a task carried out in the public interest or in the exercise of official authority' as set out in Article 6(1)(e) of UK GDPR. The tasks are those set out in the Legal Aid, Sentencing and Punishment of Offenders Act 2012. Specifically, we will use this personal data in the following ways:

- In deciding whether you are eligible for legal aid, whether you are required to make a contribution towards the costs of this legal aid and to assist the Legal Aid Agency in collecting those contributions, if appropriate.
- In assessing claims from your legal aid Provider(s) for payment from the legal aid fund for the work that they have conducted on your behalf.
- In conducting periodic assurance audits on legal aid files to ensure that decisions have been made correctly and accurately.
- In producing statistics and information on our processes to enable us to improve our processes and to assist us in carrying out our functions.

Were the LAA unable to collect this personal information, we would not be able to conduct the activities above, which would prevent us from providing legal aid.

We collect 'special categories of personal data'. This data is collected where necessary for the purposes set out above. The condition under which we process this data is Article 9(g) of UK GDPR – Reasons of substantial public interest. Our associated Schedule 1 condition is Statutory and Government purposes. We also collect this data for the purposes of monitoring equality, this is a legal requirement for public authorities under the Equality Act 2010. Special categories of personal data will be treated with the strictest confidence and any information published under the Equality Act will not identify you or anyone else associated with your legal aid application.

We collect 'personal data relating to criminal convictions and offences or related security measures'. This data is collected where relevant for the purposes set out above. The LAA is an Executive Agency of the MoJ, an Official Authority for the purposes of Article 10 of UK GDPR.

Who the information may be shared with

We sometimes need to share the personal information we process with other organisations. When this is necessary, we will comply with all aspects of the relevant data protection laws. The organisations we may share your personal information include:

- Your instructed legal aid Provider(s), including any advocate instructed by a legal aid solicitor;
- Public authorities such as: HM Courts and Tribunals Service (HMCTS), HM Revenue and Customs (HMRC), Department of Work and Pensions (DWP), Home Office and HM Land Registry;
- Non-public organisations such as: Credit reference agencies Equifax and TransUnion and our debt collection partners Advantis Credit Ltd;
- If false or inaccurate information is provided or fraud identified, the Legal Aid Agency can lawfully share your personal information with fraud prevention agencies to detect and to prevent fraud and money laundering. We may specifically share data with HMRC and DWP for fraud prevention, investigation and prosecution purposes; and
- Where a debt is owed to the Legal Aid Agency, we may share your data with public authorities such as HMRC and DWP and with debt collection partners such as Advantis Credit Ltd for the purposes of tracing, debt collection and enforcement.

You can contact our Data Protection Officer for further information on the organisations we may share your personal information with.

Data Processors

We may contract with third party data processors to provide email, system administration, document management and IT storage services. Any personal data shared with a data processor for this purpose will be governed by model contract clauses under data protection law.

We contract with Advantis Credit Ltd as a data processor for the collection and enforcement of criminal legal aid contributions. Any personal data shared with the data processor for this purpose is governed by model contract clauses under data protection law.

Automated decision making

We do not use solely automated decision making within the definition of Article 22(1) of UK GDPR. The overall decision on an application for legal aid or a claim for costs in a legal aid case will always be made by a human decision maker. This could be a member of our staff, or a staff member of a legal aid Provider acting under delegated authority from the LAA.

Details of transfers to third country and safeguards

Personal data may be transferred to locations in the European Economic Area (EEA) where required by our data processors for hosting, storage and secure backup of our IT services. Such transfers are made on the basis of Adequacy decisions between the UK and EEA in accordance with Article 45 of UK GDPR.

Retention period for information collected

Your personal information will not be retained for any longer than is necessary for the lawful purposes for which it has been collected and processed. This is to ensure that your personal information does not become inaccurate, out of date or irrelevant. The Legal Aid Agency have set retention periods for the personal information that we collect, this can be accessed via our website:

<https://www.gov.uk/government/publications/record-retention-and-disposition-schedules>

You can also contact our Data Protection Officer for a copy of our retention policies.

While we retain your personal data, we will ensure that it is kept securely and protected from loss, misuse or unauthorised access and disclosure. Once the retention period has been reached, your personal data will be permanently and securely deleted and destroyed.

Access to personal information

You can find out if we hold any personal data about you by making a 'subject access request'. If you wish to make a subject access request please contact:

Disclosure Team - Post point 10.25
Ministry of Justice
102 Petty France
London
SW1H 9AJ

Data.access@justice.gov.uk

When we ask you for personal data

We promise to inform you why we need your personal data and ask only for the personal data we need and not collect information that is irrelevant or excessive.

When we collect your personal data, we have responsibilities, and you have rights, these include:

- That you can withdraw consent at any time, where relevant;
- That you can lodge a complaint with the supervisory authority;
- That we will protect and ensure that no unauthorised person has access to it;
- That your personal data is shared with other organisations only for legitimate purposes;
- That we don't keep it longer than is necessary;
- That we will not make your personal data available for commercial use without your consent; and
- That we will consider your request to correct, stop processing or erase your personal data.

You can get more details on:

- Agreements we have with other organisations for sharing information;
- Circumstances where we can pass on personal information without telling you, for example, to help with the prevention or detection of crime or to produce anonymised statistics;
- Our instructions to staff on how to collect, use or delete your personal information;
- How we check that the information we hold is accurate and up-to-date; and
- How to make a complaint.

For more information about the above issues, please contact the;

The Data Protection Officer
Ministry of Justice
102 Petty France
London
SW1H 9AJ

dataprotection@justice.gov.uk

Complaints

When we ask you for information, we will comply with the law. If you consider that your information has been handled incorrectly, you can contact the Information Commissioner for independent advice about data protection. You can contact the Information Commissioner at:

Information Commissioner's Office
Wycliffe House
Water Lane
Wilmslow
Cheshire
SK9 5AF
Tel: 0303 123 1113
www.ico.org.uk

Declaration

4 I declare that the information I have given on this form is correct and complete.

4 I understand that if I give false information or withhold any relevant information the services provided to me may be cancelled at which point I will become liable to pay all the costs that have been incurred and I may be prosecuted.

Signature: _____

Date: ____ / ____ / ____