To comment: N/A

To decide: Secretary of State

Written by:

SCS: Helen Mills

Press	Stakeholder	Parliamentary	Other		
Uncontroversial	Potentially	Uncontroversial	Uncontroversial		
	Controversial				

Thursday 11 July 2024

Senior Civil Servant (SCS) Resourcing, and Handling of Future SCS Recruitment Exceptions

Summary:

This submission provides an overview of

- SCS recruitment requirements,
- outlines proposed immediate changes to DSIT's SCS structure for your consideration and approval, and
- seeks your view on management of SCS exceptions to 'external by default' recruitment going forwards.

<u>Timing: Urgent-</u> a decision is requested by Monday 15 July in order for senior level resourcing to be in place as soon as possible to support delivery of key appointments and priorities.

Objectives: Through recommended changes to senior resourcing, this advice specifically seeks to support delivery of the following priority:

1. Drive a modern digital government which gives people a more satisfying experience and their time back ('Modern Digital Government');

Recommendation:

- 1) You are invited to:
 - Note the guidance provided on how senior level appointments to the Civil Service are made;
 - Review the anticipated SCS internal moves outlined in Annex A and provide a steer on preferred approach to appointments.
 - c) Note the suggested approval process for 'external by default' exception requests going forward and share any changes you would like made to this process (Annex B). You are also invited to consider the proposed automatic exceptions to this policy as outlined and indicate whether you are content with these continuing, and
 - d) Consider whether you wish to nominate a Minister in DSIT to consider requests for exceptions to 'external by default' SCS recruitment on your behalf if that would be preferred.

Detail

Overview: Recruitment into the Civil Service

- 2) Appointments to the Civil Service, including appointments into the SCS, must generally be made in a way that is fair, open and on merit. This requirement is outlined in the Constitutional Reform and Governance Act (2010). The core of the associated legal requirements are distilled into the <u>Civil Service Recruitment Principles</u>, which have been developed and are enforced by the Civil Service Commission. In some limited circumstances, exceptional appointments can be made without meeting this requirement; permissible exceptions and associated restrictions are outlined in the Principles.
- 3) You will shortly receive formal guidance from the Civil Service Commission outlining the extent of permitted Ministerial involvement in appointments to Civil Service roles. Whilst Ministers are unable to join recruitment selection panels, their input is highly valuable particularly when designing the recruitment plan before the campaign launches, and as insight for the selection panel when assessing shortlisted candidates. It should be noted that as per the Constitutional Reform and Governance Act, involvement of Special Advisers is prohibited at any stage in Civil Service recruitment.
- 4) In addition to the legal parameters that Civil Service recruitment must be delivered within as outlined above, the Civil Service implemented a strengthened 'External by Default' recruitment policy that requires all Senior Civil Service (SCS) roles to be advertised externally. All SCS1 (Deputy Director) and SCS2 (Director) roles must be advertised externally unless a Minister has authorised an exception with clear and justifiable business case.
- 5) The Government People Group within Cabinet Office provides specialist recruitment support for SCS roles. SCS 1 and 2 recruitment (Deputy Director and Director level roles) are delivered by the Government Recruitment Service (GRS) SCS Recruitment team. Specialist support is provided by the Senior Talent and Resourcing team (STAR) where SCS3 and 4 (Director General and Permanent Secretary) roles are being recruited to. An outline of a standard SCS3 level campaign process is provided in Annex C for information. Civil Service Commissioners chair recruitment campaigns at Director-level and above.

For immediate consideration: SCS appointments in DSIT

- 6) A number of SCS appointments have been proposed to enable the department to respond rapidly to your priorities and ensure that we have the right skills and capabilities in key roles. These are outlined in Annex A. Your views would be appreciated on the proposed roles and appointments.
- 7) To make these appointments at pace it is proposed that none of these roles are initially advertised to the external market. As such your approval is also sought, noting that these appointments would be exceptions to the Civil Service policy of 'External by Default' recruitment.

Future Handling: Recruitment Requests

8) DSIT is fully committed to fairness and openness in recruitment, however full external SCS recruitment processes can take 6 months or longer before the selected candidate takes up post. This means there are times where it is necessary to fill roles temporarily without external competition to meet business needs, triggering the need for Ministerial

- approval as exceptions to 'External by Default' recruitment. Further detail is provided in Annex B.
- 9) Ministerial approval is sought following HR Director and Permanent Secretary review and approval. To ensure recruitment can proceed as quickly as possible, we propose to share requests for approval on a weekly basis going forwards. You are invited to consider whether you wish to approve future SCS recruitment exception requests or whether you wish to delegate this to another Minister to review on your behalf.
- 10) You may also wish to consider approving automatic exceptions by default. These have previously been agreed where 1) the role is temporary and short term e.g. to cover a period of parental leave, and 2) external recruitment will be undertaken but the request is to run an internal campaign to identify interim cover.

Annex A: Proposed SCS Appointments

ID	Role Title and key responsibilities	Grade	Proposed Resourcing route		
1.	 Developing the overall scope and strategy for the digital centre of government. Within this, a key element to work on will be delineating what parts of the public sector are in and out of the digital remit's scope. The role will decide what projects should be prioritised for the digital centre and what the roadmap looks like over the first few years. Importantly and linked to this, the role will lead on the funding required to deliver our digital ambition, ensuring this is linked into already existing spending review preparation activities The role will be required to determine what organisational structure will best serve the digital centre of government. Linked to this, decisions will be made by this role on how the key functions and disciplines across government should be organised to support the mission, in recognition of the boundaries between data, digital and Al 	SCS 3	A Fixed Term Appointment for Emily Middleton, currently awaiting Commissioner approval via Exception 4 for a period of up to 2 years.		
2.	Responsible for ensuring your objectives are delivered- scrutinising	SCS 1	Extend the temporary promotion of (currently Head of the Election Hub) for a period of 9 months		

	 policy proposals to ensure they are feasible and will deliver for growth and the public Conducting rapid analysis of data with a view to intervening to solve problems where progress is off track e.g. through live dashboards, conducting deep dive investigations, supporting stocktakes; 		to resource this role on an interim basis to support the immediate establishment of the Delivery Unit, in parallel with full external recruitment.
3.	Lead the scoping and delivery of the DSIT Transformation Programme to ensure we are set up to deliver our priorities, bring our new organisation together and ensure the smooth transition of colleagues as part of the machinery of government change.	SCS2	A Managed move of an existing SCS2, Erin Robinson who is currently the GDS Chief Operating Officer. Erin has the skills and experience, and it is also critical we have someone from the new part of the Department at the centre of the Transformation.
4.	 This role leads a team of c250 staff and ensures key pieces of the UK's digital CNI and citizen-facing services are well-led and stable whilst we establish the digital centre for Government. GOV.UK is designated critical national infrastructure (CNI) and is home to 300+ essential services used by c.16 million visitors each week. It requires strong leadership as both a citizenfacing platform, and a vehicle for government delivery of key priorities (mostly recently Covid 19, cost of living, general election etc). 	SCS2	Short term Expression of Interest for a period of 9 months to backfill this role as the previous incumbent, Christine Bellamy, has been appointed and publicly announced as GDS's Interim CEO for an equivalent period of time. A request for approval to recruit has previously been submitted within Cabinet Office for consideration, however following the machinery of government announcements this request is also included here for completeness.
5.	Comms, Engagement and Change - Transformation Programme • Lead the work on the culture and Values required for DSIT to Transform • Lead a single plan on Comms, change and engagement ensuring that our people understand the	SCS1	Temporary role in line with the length of the Transformation Programme. Short Term Expressions of Interest for 6 months then advertise externally for a Fixed Term Contract.

change, what is required of them, are given the opportunity to input and be involved and we support all our people through the change.	

Annex B: Summary- SCS External by Default Recruitment Policy

Annex C: Outline of standard SCS3 Recruitment Process

Step 1-The Vacancy

Action required	Responsibility
Job role, responsibilities and person specification drafted	Sponsor/HR
Evaluation of post if it is a new role to confirm SCS grade (JESP	HR
assessment)	

Step 2- Approvals

Action required	Responsibility		
Obtain Permanent Secretary approval	Sponsor/HR		
Confirm pay band and salary for the advert - obtain Chief Secretary to the Treasury (CST) approval where salary exceeds £150,000	Sponsor/HR		
Initial engagement with Senior Talent and Resourcing (STAR) team. Develop Business Case for Senior Leadership Committee (SLC) approval	HR		
Cabinet Office review of SLC request (if required)	HR		
If a new role- formal JESP conducted	HR/CO/SLC		
Obtain SLC-approval	HR		
Inform Ministers- agreeing the recruitment approach, level of ministerial interest and involvement, and job description	Permanent Secretary		
Civil Service Commissioners allocated	CO DG workforce team		

Step 3- Advertise/assess applicants

Action required					Responsibility					
Appoint a	n e	xecutive se	earch comp	pany (if requ	uired)		,	CO	DG workford n/sponsor
Arrange		planning	meeting			_	manager,	CS	DG	Workford
Commissioner, and Executive Search (if using) Agree panel composition					-	team/perm sec office Line Manager & CS				
									Com	nmissioner

OFFICIAL-SENSITIVE

Advert & application pack cleared with Commissioner & panel			
Advert issued (online)- Post on Civil Service jobs site	CO DG workforce		
	team/sponsor		
Post closing date-long list meeting	Selection Panel		
Short list meeting	Selection Panel		
Initial consideration of potential conflicts of interest	Permanent Secretary		
	/Sponsor		
Psychometric Tests/Staff Engagement Exercises/Individual	CO DG workforce		
Leadership Assessment	team/HR		
Informal meeting between short listed candidates with Ministers/key	Permanent secretary		
stakeholders as outlined in the advert	office/DG workforce		
	team/HR/sponsor		
Final Panel Interview	Selection Panel		
Board report written up	CS Commissioner		
Appointment approval from head of CS and Prime Minister	Permanent Secretary		

Step 4- Making an offer

Action required	Responsibility
Discuss remuneration with successful candidate	HR/ line manager
Prepare contract	HR
Report outcome to the CS commission, including diversity data	HR/ DG workforce team
Onboarding including required Security Clearances	HR/Line manager/CO DG Workforce team
Candidate to take up post- induction activity	