

## Recommendation Status Report: Uncontrolled evacuation of a partially platformed train at Clapham Common London Underground station

This report is based on information provided to the RAIB by the relevant safety authority or public body.

The status of the recommendation(s), as reported to us, are described by the following categories:

### Key to Recommendation Status

<b>Open</b> (replaces Progressing and Implementation On-going)	Actions to address the recommendation are ongoing.
<b>Closed</b> (replaces Implemented, Implemented by alternative means, and Non-implementation)	ORR consider the recommendation to have been taken into consideration by an end implementer and evidence provided to show action taken or justification for no action taken.
<b>Insufficient response:</b>	The end implementer has not provided sufficient evidence that the recommendation has been taken into consideration, or if it has, the action proposed does not address the recommendation, or there is insufficient evidence to support no action being taken.
<b>Superseded:</b>	The recommendation has been superseded either by a newer recommendation or actions have subsequently been taken by the end implementer that have superseded the recommendation.
<b>Awaiting response:</b>	Awaiting initial report from the relevant safety authority or public body on the status of the recommendation.

RAIB concern over the way that an organisation has responded to a recommendation are indicated by one of the following:

**Red** – RAIB has concerns that no actions have been taken in response to a recommendation.

**Blue** – RAIB has concerns that the actions taken, or proposed, are inappropriate or insufficient to address the risk identified during the investigation.

**White** – RAIB notes substantive actions have been reported, but the RAIB still has concerns.

# Recommendation Status Report



<b>Report Title</b>	Uncontrolled evacuation of a partially platformed train at Clapham Common London Underground station
<b>Report Number</b>	03/2024
<b>Date of Incident</b>	05/05/2023

Rec No.	Status	RAIB Concern	Recommendation	RAIB Summary of current status
03/2024/01	Awaiting Response	None	<p>The intent of this recommendation is for London Underground to review how it enables its staff to effectively respond to out-of-course events which may occur when a train is positioned partially in a platform.</p> <p>London Underground should undertake a risk-based review of its current Rule Book, operating procedures and associated training material considering the findings from this incident. This review should seek to ensure that staff have clear guidance and instructions regarding the actions to be taken when dealing with out-of-course events, such as emergency evacuations, on trains that are partially positioned in a platform. The review should particularly examine how staff communicate with passengers during such events and if existing guidance and instructions enable staff to make effective decisions in the timeframes typically available during such events. London Underground should make any changes to rules, procedures and training material identified as appropriate following this review. London Underground should also ensure that any changes are appropriately briefed and trained to relevant train operations, station and control staff. This training should, where appropriate, include practical elements such as simulation exercises (paragraphs 154a, 154b and 155a).</p>	
03/2024/02	Awaiting Response	None	<p>The intent of this recommendation is for London Underground to ensure that learning from previous incidents is not lost and that safety recommendations are captured and tracked through to implementation.</p> <p>London Underground should continue its review of its processes for learning lessons from operational experience. This review should ensure</p>	

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			that it is robustly applying and retaining the safety learning identified from accidents and incidents and using this information to enhance its understanding and control of risk (paragraph 155b).	
03/2024/03	Awaiting Response	None	<p>The intent of this recommendation is for London Underground to review its risk assessment processes to ensure that the risks associated with out-of-course incidents involving trains and stations are effectively identified and assessed.</p> <p>London Underground should review its risk management processes to ensure it is able to identify and effectively assess the risks associated with low frequency and potentially high-impact incidents involving trains and stations, such as passenger self-evacuation from partially platformed trains. As part of this review, London Underground should consider if the effect of factors associated with specific locations which could pose an increased risk to passengers, such as stations with narrow island platforms, is being appropriately considered within risk assessment processes (paragraph 155c).</p>	