

Recommendation Status Report: Derailment of a tram at Bulwell, Nottingham

This report is based on information provided to the RAIB by the relevant safety authority or public body.

The status of the recommendation(s), as reported to us, are described by the following categories:

Key to Recommendation Status

Open (replaces Progressing and Implementation On-going)	Actions to address the recommendation are ongoing.
Closed (replaces Implemented, Implemented by alternative means, and Non-implementation)	ORR consider the recommendation to have been taken into consideration by an end implementer and evidence provided to show action taken or justification for no action taken.
Insufficient response:	The end implementer has not provided sufficient evidence that the recommendation has been taken into consideration, or if it has, the action proposed does not address the recommendation, or there is insufficient evidence to support no action being taken.
Superseded:	The recommendation has been superseded either by a newer recommendation or actions have subsequently been taken by the end implementer that have superseded the recommendation.
Awaiting response:	Awaiting initial report from the relevant safety authority or public body on the status of the recommendation.

RAIB concern over the way that an organisation has responded to a recommendation are indicated by one of the following:

Red – RAIB has concerns that no actions have been taken in response to a recommendation.

Blue – RAIB has concerns that the actions taken, or proposed, are inappropriate or insufficient to address the risk identified during the investigation.

White – RAIB notes substantive actions have been reported, but the RAIB still has concerns.

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Report Title	Derailment of a tram at Bulwell, Nottingham
Report Number	02/2024
Date of Incident	12/06/2023

Rec No.	Status	RAIB Concern	Recommendation	RAIB Summary of current status
02/2024/01	Awaiting Response	None	<p>The intent of this recommendation is to improve the communication between control room staff and tram drivers when dealing with engineering faults.</p> <p>Nottingham Trams Limited should review its operating rules and control room policies and procedures, with the intention that control room staff are given clear and complete guidance on how to respond to engineering faults. This should specifically consider when it would be appropriate for control room staff to warn tram drivers of arising issues, associated with such faults. Nottingham Trams Limited should develop a timebound programme to make any appropriate changes identified to operating rules, policies and procedures. Nottingham Trams Limited should ensure that control room staff and tram drivers are trained and briefed appropriately on any revised operating rules, policies and processes which result from these changes and should ensure that their staff have ongoing and ready access to the relevant documents (paragraphs 103b and 104a).</p>	
02/2024/02	Awaiting Response	None	<p>The intent of this recommendation is to improve Nottingham Trams Limited's understanding and control of the operational risks relevant to this accident.</p> <p>Nottingham Trams Limited should review and update its risk assessments to ensure that the risks highlighted by this accident are effectively assessed and that appropriate measures are implemented to control them.</p> <p>The review should take into account any work already completed in response to recommendation 2 of RAIB report 15/2018 and should</p>	

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			<p>specifically consider:</p> <ul style="list-style-type: none"> • the risk of trams approaching points which are in an unsafe condition • the limits of relying on the line-of-sight principle and the correct action of tram drivers as risk controls • the role of control room staff • risk modelling and risk profiling data, such as that provided by the Light Rail Safety and Standards Board • relevant industry guidance and good practice. <p>Nottingham Trams Limited should develop a timebound programme to review and update its risk assessments and to identify appropriate risk controls. (paragraphs 103a, 103b and and 104b).</p>	
02/2024/03	Awaiting Response	None	<p>The intent of this recommendation is that Nottingham Trams Limited ensures that its management of change includes appropriate consideration of human factors.</p> <p>Nottingham Trams Limited should review its 'request for change' process to ensure that it includes appropriate consideration of environmental, organisational and job factors, and human and individual characteristics, which influence behaviour in a way which can affect safety (paragraph 105).</p>	