Department for Environment, Food and Rural Affairs

Notes for Guidance: Export Health Certificate for entry into the European Union or Northern Ireland of specified pathogen-free eggs 8441

July 2024

Contents

- 1. Applicable Legislation
- 2. Scope of the Certificate
- 3. Certification by an Official Veterinarian (OV)

Part I: Details of the Consignment

Part II: Certification

II.1 Animal Health Attestation

II.2 Public Health additional guarantees

II.3 Animal health additional guarantees

- 4. Notifiable Disease Clearance
- 5. Collection of evidence
- 6. Consignments or parts of the consignment originating from NI, EU member states or from third countries (triangular trade) [when applicable]
- 7. Animal Health Schemes
- 8. Addition of Schedules
- 9. Certified Copies of Export Health Certificates (EHC)
- 10. Legal Statement
- 11. Disclaimer

No: 8441 NFG

EHC applicable for entry into the EU or NI of specified pathogen-free eggs

NOTES FOR GUIDANCE (NFG) FOR THE CERTIFYING OFFICERS (CO) AND EXPORTERS

1. APPLICABLE LEGISLATION

Commission Implementing Regulation (EU) 2021/403

Commission Delegated Regulation (EU) 2020/692

Implementing Regulation (EU) 2024/351 - Model EHC amending Implementing Regulation (EU) 2021/403Commission Delegated Regulation (EU) 2019/2035

Commission Implementing Regulation (EU) 2020/2235

Any EU legislation referenced in the certificate must be complied with and EU legislation can be accessed on the following link. You should ensure you use the latest version: <u>https://eur-lex.europa.eu/homepage.html</u>

Please note that Official Control Regulations 2017/625 have repealed Regulation (EC) No 854/2004, 882/2004 and Directive No 96/23/EC. Please see link:

https://eur-lex.europa.eu/legal-content/EN/TXT/PDF/?uri=CELEX:32017R0625&from=EN

Consolidated legislation

Consolidated texts, which integrate the basic instruments of EU legislation with their amendments and corrections in a single, non-official document, are available. Each consolidated text contains a list of all legal documents taken into account for its construction.

You can search for consolidated texts by using the 'find results by document number' option on the European Commission website. Once you have selected the relevant legislation, click 'document information', and then scroll down to 'all consolidated versions' and select the most recent version.

https://eur-lex.europa.eu/homepage.html

Please note that the consolidated text may not contain the latest amendment to the legislation, as it takes several weeks for this to be updated.

Texts provided in this section are intended for information only. Please note that these texts have no legal value. For legal purposes please refer to the texts published in the 'Official Journal of the EU'.

IMPORTANT

These notes provide guidance to COs and exporters. The NFG should have been issued to you together with the relevant export certificate applicable for dispatch to

the EU or NI of specified pathogen-free eggs. The NFG should not be read as a standalone document but in conjunction with the veterinary certificate.

We strongly suggest that exporters obtain full details of the importing country's requirements from the veterinary authorities in the country concerned, or their representatives in the UK, in advance of each consignment.

[Please note, policies are being reviewed. NFG will be further amended to provide specific guidance. Traders should look at NFGs regularly for any updates]

A declaration by the master of the ship, as set out in Annex III of Commission Regulation (EC) No 403/2021, shall accompany veterinary certificates for imports into the EU of terrestrial animals where the transport of those commodities includes transport by ship, even for part of the journey. You can find the Master of the ship declaration here: www.gov.uk/export-health-certificates/master-of-the-vessel-declaration-8466

2. SCOPE OF THE CERTIFICATE

This certificate may be used for dispatch to the EU or NI of specified pathogen-free eggs. Specified pathogen-free eggs refer to hatching eggs which are derived from 'chicken flocks free from specified pathogens', as described in the European Pharmacopoeia, and which are intended solely for diagnostic, research or pharmaceutical use.

This certificate is to be completed according to the notes for the completion of certificates provided for in Chapter 4 of Annex I to Implementing Regulation (EU) 2020/2235

3. CERTIFICATION BY AN OFFICIAL VETERINARIAN

In **England, Scotland and Wales**, this certificate must be signed by a Government Veterinary Officer (e.g. APHA, FSA or FSS employed veterinary officers) or by an OV appointed by APHA on behalf of Ministers in Defra, the Scottish Government or the Welsh Government and who hold the appropriate Official Controls Qualification (Veterinary) (OCQ (V)) authorisation.

OVs must sign and stamp, with the OV stamp, the health certificate in ink of a different colour to that of the printing of the EHC. There is no requirement to sign and stamp in a specific colour.

The OV should keep a copy of the signed certificate and any supporting documents for at least two years after signature or receipt/dispatch of the consignment, whichever is later. These can be electronic copies.

EHC in foreign language/s of the EU Member States (MSs).

EHC should be in English and the foreign language of the Border Control Post (BCP) of entry in the EU. The original copy of the required EHC must accompany the consignment to the BCP of entry.

Listing of the EU MS BCPs can be found here: <u>https://ec.europa.eu/food/animals/vet-border-control/bip-contacts_en</u>

The foreign language certificate as received from the APHA Centre for International Trade at Carlisle or via the Export Heath Certificates Online system (EHCO) and bearing the same unique reference number as the English certificate, should be considered an official and accurate translations of the English, as published in EU legislation.

The (sub-) paragraphs / options and how they are numbered and formatted is identical in the English and foreign language editions and to the legislation published by the European Commission. Therefore, the same phrases/sentences in the foreign language versions as in the English version should be struck through and these deletions should be stamped and initialled in both versions. Both versions must also be signed (as opposed to being initialled) and stamped by the OV, the foreign language certificate is deemed to be a genuine and properly authorised translation of the English version.

This also applies to any instructions in the guidance notes to strike out certain paragraphs or to certify statements that the country is free of certain notifiable diseases etc.

Additional information can be found in the APHA Vet Gateway:

http://apha.defra.gov.uk/External_OV_Instructions/Export_Instructions/Certification_Proce dures/index.htm_

SIGNING AND STAMPING

When signing a certificate, the CO should ensure that the certificate contains no deletions or alterations, other than those which are indicated on the certificate to be permissible and any corrections to permitted entries, subject to such changes being initialled and stamped (in the margin) by the CO. Permissible deletions are normally indicated in the 'Notes' section at the end of the certificate, with the instruction 'Keep as appropriate' or 'delete if not applicable'.

- Where the certificate contains optional or contextual statements, the statements which are not relevant shall be crossed out, individually initialled, and stamped by the CO, or completely removed from the certificate.
- Permitted paragraphs and sections may be crossed out by applying a 'Z' across the section or paragraph rather than crossing out line by line.
- There is no requirement for a date and time to accompany each stamp. The date is only entered at the required entry field in Part I of the certificate, and at the end where the CO signs, stamps and dates that action.
- We are aware of some BCPs demanding that all handwritten information in Part 1 of the EHC is initialled and stamped, including handwritten scoring out of otherwise blank boxes. There is no legal requirement in EU legislation that all the hand-written information entered in the certificate must be signed and stamped. It is only in the case of correction, in any part of the certificate, or in the case of statements to be crossed out, that the certifier must add signature (or initials) and stamp. This has been confirmed by the European Commission. The Commission noted however, in the case of a hand-written certificate, it is expected that the same one person completes the document. If not, the BCP might suspect that empty boxes were completed by another person after the certificate has been signed by the official.

You should consider checking with the specific BCP regarding their preference when it comes to the stamping and initialling of handwritten scoring out of otherwise blank boxes in Part I of the EHC.

- Clarification from the European Commission means that all pages (as opposed to sheets of paper) are signed and stamped once individually in place of fan stamping and in addition to any permitted alterations. There is no requirement to fan stamp.
- COs are reminded to consult the NFG prior to the certification of each EHC. NFG will be updated with this new information in due course.

Further Information COs should make sure they are familiar with all relevant guidance and other documents relating to EHCs and that they discuss requirements with exporters in advance.

See http://apha.defra.gov.uk/official-vets/Guidance/exports/ehc-online.htm

You can also contact APHA's Centre for International Trade (CIT) on 03000 200 301.

PART I: DETAILS OF THE CONSIGNMENT

All boxes in Part I of the certificate must be completed. When a box is not applicable/optional, and not filled, please score it through.

Please use schedule to be attached to the certificate if there is not enough space to fill the information. See Section 'Addition of Schedules' below.

Please complete all the boxes in Part I of the certificate in accordance with the guidance laid down in Chapter 4 of Annex I to <u>Commission Implementing Regulation (EU) 2020/2235</u>, <u>Amended by Implementing Regulation (EU) 2023/2744</u>. The Harmonised System (HS) Code is a commodity classification system used as a basis for customs tariffs and for international trade statistics.

It is the exporter's responsibility to ensure that the HS code is entered correctly and accurately reflects the product(s) being consigned.

Further information on HS Codes can be found online at:

https://www.gov.uk/trade-tariff/sections and http://madb.europa.eu/madb/euTariffs.htm

Specified pathogen-free eggs as defined in Article 2 of Commission Delegated Regulation (EU) 2020/692.

PART II: CERTIFICATION

II.1 Health Attestation

The OV signing the export veterinary certificate must ensure that the health attestation set out in Part II of the veterinary certificate have been complied with.

II.1 - Enter the territory code. GB is listed for all the relevant commodities. The relevant regulations are <u>Implementing Regulations (EU) 2021/404</u> and <u>2021/405</u>. These regulations

have been amended by <u>Implementing Regulations 2021/634</u> and <u>2021/606</u>, adding the GB and the Crown Dependencies to the relevant lists.

II.2(a) - For GB origin eggs, this can be certified on the basis that the farm and animal establishments are registered (e.g. have a CPH number) and are under the control of APHA. Article 8 of Commission Delegated Regulation (EU) 2020/692 requires farmers to keep up to date records of the number of animals in the establishment (including species and, where relevant, identification), movements of animals into and out of the establishment and mortality in the establishment. These records must be kept for at least 3 years. Supporting evidence (e.g. a declaration from the farmer) may be used to support certification.

II.2(b) - May be certified by the OV having personal knowledge of the establishment or having checked the records for the establishment to support that the flocks are free from the relevant pathogens as described in the <u>European Pharmacopoeia</u> and the results of all clinical examinations required for this specific status have been favourable, including negative testing results for Avian Influenza (AI) and Newcastle disease carried out within the last 30 days preceding dispatch.

II.2(c) & (d) - This may be certified on the basis of establishments receiving regular animal health visits from a veterinarian. If the farm of origin is a member of the Government Poultry Health Scheme (PHS), then this statement may be certified on the basis of the relevant membership to PHS or an approved farm assurance scheme <u>Farm assurance schemes</u>: <u>evidence of vet visits - GOV.UK</u>, which requires regular veterinary visit, then this statement may be certified on the basis of the relevant membership.

The veterinary visits should take place at least once per year and must be a visit of the establishment at flock level for the purpose of detection of, or information on, occurrence of animal disease, or a statutory visit for flock health reasons.

If farms are not part of PHS or a recognized farm assurance scheme that mandate regular veterinary inspections, then a declaration from a private veterinarian confirming veterinary visitations to the farm is performed at least annually (or at a higher frequency if deemed proportionate to the animal health and welfare compliance risk in the holding) is required. A sample Establishment Veterinary Visitation Attestation form can be found on the APHA Vet Gateway (ET242).

II.2(e) - May be certified on the basis of notifiable disease clearances, as above, as the eggs came from holdings in the UK.

II.3(a) - May be certified after checking the movement records of the establishment.

II.3(b) - May be certified by the OV having personal knowledge of the establishment or having witness of the records for the establishment to support that the flocks are free from specific pathogens as described in the <u>European Pharmacopoeia</u> and the results of all clinical examinations required for this specific status have been favourable, including negative testing results for AI and Newcastle disease carried out within the last 30 days preceding dispatch.

II.3(c) - May be certified on the basis of the OV's personal knowledge of the establishment or by receiving a declaration from the establishment's veterinarian.

II.3(d) - May be certified by the OV having personal knowledge of the establishment. The OV may wish to receive a declaration from the owner for their records.

II.3(e) - May be certified by the OV having personal knowledge of the establishment. The OV may wish to receive a declaration from the owner for their records

II.4(a) - Check the eggs are marked correctly. Coloured ink/ISO code as in II.1.1. and approval number of the establishment of origin.

II.4(b) - May be certified from the OV's personal knowledge of the establishment or a declaration from the owner will be required.

II.5 - Enter the date/dates of collection

II.6 - May be certified if all of the points (a)-(f) are complied with at time of loading. Containers must be labelled with name and ISO code of the third country of origin, number of eggs, name, address and approval number of the establishment of origin, specific identification number of the container, name of the Member State of destination.

II.7 - Enter the date of dispatch to the EU.

The certifying OV must ensure that the transport was cleaned and disinfected with an authorised disinfectant before loading in accordance with the relevant provisions of Assimilated EU Regulation No 1/2005 and that other parts of the attestation are complied with. <u>See Section 7</u> on Animal Transport Attestation and <u>gov.uk</u> for further information on approved disinfectants. Every animal should be fit for the journey that is planned. A declaration from the owner / transporter must be sought to confirm relevant requirements have been met.

4. NOTIFIABLE DISEASE CLEARANCE

Commodities of poultry or poultry meat can be exported into the EU from the territory code listed in column 2 of the table in Part 1 of Annex V to <u>Commission Implementing Regulation</u> (EU) 2021/404. Ensure you are looking at the most up to date version of the Regulation. If the latest consolidated version does not include the latest amendment, this amendment needs to be looked at separately.

If the commodity to be exported is listed against <u>GB-0</u>, it can be exported to the EU from the whole territory of the UK. You will have to insert "GB-0" into the "territory code" box on the EHC.

If the commodity to be exported is listed against <u>GB-1</u>, it means that the UK is being regionalised because of a disease outbreak. All premises of origin (eg Flocks of origin, slaughter house, processing or storage premises as applicable) have to be located in GB-1. The OV must ensure that this information is correct. For up-to-date GB-1 and GB-2 areas please refer to the online interactive map where you have to check whether the premises of origin are all within the GB-1 area using the premises post codes. The interactive map can be found in the link below under "**COs Obtaining Clearance for Al**".

http://apha.defra.gov.uk/official-vets/Guidance/exports/ehc-online.htm

Areas listed under GB-2 (and detailed as GB-2.1, GB-2.2 etc.) are restricted from exports between the "closing" and "opening" dates listed against those areas.

Some export certificates for animals and animal products will include statements that will require the OV to certify that specified areas or the entire country of origin are free from certain diseases.

Where it is possible for the CO, OV or Environmental Health Officer (EHO) in Great Britain to obtain disease clearance themselves, the Centre for international Trade – Carlisle (CITC) will not issue a 618NDC notifiable disease clearance.

COs must check the following sources of disease information for the United Kingdom immediately prior to certification, to ensure disease freedom statements can be certified:

- the Notifiable Disease Occurrence List for Great Britain (ET171) available on the <u>Exports > Certification Procedures</u> page of the APHA Vet Gateway
- the UK Status for Non-Notifiable Diseases Relevant to Export Certification (ET152) available on the <u>Exports > Certification Procedures</u> page of the APHA Vet Gateway.

For Great Britain:

In the absence of a specific Notifiable Disease Clearance (618NDC) from CITC: COs may certify that the UK has disease free status or region free status for those diseases mentioned in the health certificate, once they have checked the disease list(s) for the last occurrence of the disease, and have ensured it complies with the time frames in the certificate.

In the event of a disease outbreak that affects a CO being able to obtain their own disease clearance, CITC will notify COs to make it clear which disease freedom statements should not be certified and where necessary, will issue a 618NDC notifiable disease clearance if the EHC can continue to be issued for certain regions that retain free status.

In the event of a disease outbreak after the EHC has been issued that affects the disease clearance, COs must not certify the EHC and must contact CITC immediately for advice on whether certification can still take place. If a disease outbreak affects the CO disease clearance procedures for this EHC, a 618NDC will be reinstated by CITC which will be issued with the EHC until a time when CO disease clearance can be reinstated.

NOTE: This does not apply to Transmissible Spongiform Encephalopathies (TSEs) or Bovine Tuberculosis (TB) freedom statements.

5. COLLECTION OF EVIDENCE

In GB, the Certification Support Officer (CSO) role has been developed by APHA. CSOs can collect evidence, directed by an OV, which may be used to support OV certification of matters which do not require a clinical assessment or judgement e.g. for POAO and ABPs.

In England, Scotland and Wales, CSOs can be utilised by OVs for gathering evidence relating to this certificate. The CSOs must be authorised by APHA and they must hold the appropriate Official Controls Qualification (Animal Health Professional) (OCQ (AHP)-CSO) qualification.

The OV must direct the CSO as to how and where any necessary evidence relevant to the requirements of the EHC should be obtained. CSOs may not carry out any functions that require the exercise of veterinary judgement and are restricted to the execution of administrative checks.

They may only carry out such inspections, factual verification and evidence collection as specified by the directing OV, who remains responsible for the certification of the product. CSOs are not authorised to sign an EHC in their own right or on behalf of an OV.

Any documentary evidence collected by the CSO must be stamped, signed and dated by the CSO, before being submitted by them as supporting evidence to the OV. It is required that the OV is familiar with the product process and evidence required to start with, before directing the CSO to provide future evidence on an ongoing basis.

Additional guidance and principles of implementation are provided in the <u>OV Instructions</u> <u>Exports section</u> of the APHA Vet Gateway.

6. CONSIGNMENTS OR PARTS OF THE CONSIGNMENT ORIGINATING FROM NI, EU MEMBER STATES OR FROM A THIRD COUNTRY [WHEN APPLICABLE]

NI origin:

Consignments could potentially contain animals which have originated in NI. The certificate/documentation which the animal arrives into GB with may not contain sufficient information for the GB CO to sign the EU EHC.

Disease clearance for animals originating in NI can be completed using auto-clearance NDC found here:

https://www.daera-ni.gov.uk/articles/notifiable-diseases-northern-ireland

Where regional or local level disease clearance is required, this can be certified upon request on the basis of information from NI in the form of a declaration or a supporting health attestation.

Animal health statements which refer to the prohibition of certain vaccination programmes e.g. against FMD or CSF or ASF can be certified at a national level by the CO on the basis that NI also enforces a ban on such vaccinations in accordance with EU regulations.

Statements relating to implementation of a national system for identification and registration of livestock (cattle, sheep, goats, pigs, poultry) can be certified on the basis of the requirement to register all livestock animal births, moves and deaths on the DAERA database.

EU origin:

It is possible that some consignments may contain animals that are of EU origin and were imported into GB on a GB EHC. The GB EHC may not contain enough information to allow the CO to sign an EU EHC.

In such cases, the CO will need further information from the EU member state regarding particular attestations on the EHC that cannot be signed by the CO without support documentation. Thus, the GB exporter must request from the EU exporter an attestation or written declaration from an EU registered vet. The GB exporter may wish to obtain these directly from the EU vet who has inspected the animals before export from the EU.

This supporting information must be in writing and kept by the GB CO. The GB CO is not required to attach it as a supporting document to the EHC, unless requested by the EU BCP or told otherwise.

Third country origin:

It is also possible that some consignments may contain animals that have been imported to GB from non-EU countries and fulfilled a residency period in GB, and GB exporters intend to export then to the EU. In these cases, COs may obtain a copy of the EHC for the import of such animals from the Third Country to GB.

GB COs are not required to attach a copy of the Third Country EHC as a supporting document to the EHC, unless requested by the EU BCP or specifically instructed in the NFG.

It is the GB exporter's ultimate responsibility to obtain any necessary support documents (from the EU member state exporter/Third Country exporter), to enable GB COs to be able to certify the live animals in good time before the export to the EU.

7. ANIMAL HEALTH SCHEMES

Salmonella Control in Poultry

Regulation (EC) No 2160/2003 on the control of Salmonella in poultry is currently implemented through the UK Salmonella National Control Programme that is enforced by the Control of Salmonella in Poultry Order Regulation 2007 (England), the Control of Salmonella in Poultry (Wales) Order 2008, the Control of Salmonella in Poultry (Breeding, Laying and Broiler Flocks) (Scotland) Order 2009, the Control of Salmonella in Broiler Flocks Order 2009, and the Control of Salmonella in Turkey Flocks Order.

For consignments intended to be exported to Finland and Sweden, compliance with Commission Decision 2003/644 (EC) and Commission Decision 2004/235 must be certified. The OV must check the flock records to confirm that the appropriate tests have been carried out at the correct frequency with negative results of zoonotic salmonella species.

Concerning the results of testing, it should be described as positive ONLY if:

- In the case of breeding flocks, *S.hadar, S.virchow*, or *S.infantis* are detected.
- In the case of productive poultry, *S.enteritidis* or *S.typhimurium* are detected.

Poultry Health Scheme

Delegated Regulation (EU) 2019/2035 is currently being committed to through the equivalent Poultry Health Scheme (PHS) in Great Britain. A list of approved Poultry Health Scheme members can be found on the link below: https://www.gov.uk/government/publications/poultry-health-scheme-list-of-members

Relevant text can be certified based on the establishment committing to the Poultry health scheme for the control and surveillance of specified non-zoonotic mycoplasma and salmonella bacterial species.

8. ADDITION OF SCHEDULES

When the space in Part I or Part II of the certificate is insufficient to accommodate full details of the consignment a schedule may be used. In the relevant section of the certificate the CO should annotate the certificate 'see attached schedule'. A new schedule should be created (typed or clearly written) containing the same information as that required in the certificate. The schedule must include the certificate reference number on each page and must be signed, dated and stamped by the CO in a colour other than the printed text on each page and under the last entry. The schedule forms part of the certificate. All pages of the certificate, including the schedule, must be sequentially numbered. Any blank spaces in the schedule or the certificate should be struck through with diagonal lines.

Furtherguidanceisavailablehere:http://apha.defra.gov.uk/External_OV_Instructions/Export_Instructions/Certification_Procedures/index.htm

9. CERTIFIED COPIES OF EHCs

When completing export certification, the CO and, if applicable, FCCO must make photocopies of, or scan and save all documents they certify. OVs must retain copies of certification documents in accordance with RCVS Certification principles.

https://www.rcvs.org.uk/setting-standards/advice-and-guidance/code-of-professionalconduct-for-veterinary-surgeons/supporting-guidance/certification/

COs must retain copies of all export documentation for a period of two years. A certified copy of this EHC does not need to be returned to the APHA CITC. For the purposes of completing routine Quality Assurance checks on export certification, CITC may request certified copies of certification from COs.

Further details on Post Certifying Procedures, 'certified copies' of certification and the types of documents that should be retained by COs can be found on the <u>APHA Vet Gateway</u>.

10. LEGAL STATEMENT

The existing EU legislation that the UK complied with prior to the end of the Transition Period has been incorporated into our domestic law as "assimilated EU law" under the EU (Withdrawal) Act 2018. References in our guidance and certification to such EU instruments should be taken to be references to this "assimilated EU law". The EU standards that this legislation includes continue to remain in force, without substantive amendment, as part of UK domestic law (apart from corrections to make the EU legislation fully operable.

11. DISCLAIMER

This certificate and NFG are provided on the basis of information available at the time and may not necessarily comply fully with the requirements of the importing country. It is the exporter's responsibility to check the certificate against any relevant import permit or any advice provided by the competent authority in the importing country. If these do not match, the exporter should contact APHA in Carlisle.

© Crown copyright 2021

You may re-use this information (excluding logos) free of charge in any format or medium, under the terms of the Open Government Licence v.3. To view this licence visit http://www.nationalarchives.gov.uk/doc/open-government-licence/version/3/ or email http://www.nationalarchives.gov.uk/doc/open-government-licence/version/3/ or email

This publication is available at <u>Search - GOV.UK (www.gov.uk)</u> Any enquiries regarding this publication should be sent to us at <u>product.exports@apha.gov.uk</u>

8441 NFG

Version History

<u>NFG</u>

Version 8 Published 31 July 2024

Applicable Legislation: Implementing Regulation (EU) 2024/351, Commission Delegated Regulation (EU) 2019/2035 & Commission Implementing Regulation (EU) 2020/2235 added.

Part II.2 (a), (b), (c) & (d): Amended to give further guidance regarding establishment attestation.