



Defence  
Safety Authority

# DMR regulation Compliance Matrix

For DSA02 DMR REGULATIONS 2024 Edition



## **Version Record**

### **Version 1.0**

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### **Version 2.0**

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# Welcome Intro

Below is a compliance Matrix table for use to demonstrate compliance with the DSA02 DMR Regulations 2024 Edition. This Compliance Matrix is intended to be used by organisations who are required to comply with DSA02 DMR Regulations. Those wishing to use this Compliance Matrix are encouraged to use it by downloading it and adding your compliance statements and saving as evidence of your compliance.

There is no requirement to return this Compliance Matrix to DMR, it is purely supplied as an aide to facilitate ease for organisations to keep their compliance up to date with the latest Regulation.

It is intended that this Matrix be updated and released annually in line with the release of new Editions of the Regulations as they are released.

Number	Title	Summary	Compliance Statement with evidence, actions, or non-applicability
<b>100 Series</b>			
101	Applicability of Defence Maritime Regulations for HS&EP	These DMR Regulations <b>shall</b> apply to all Crown servants and other persons carrying out a MOD Shipping or Defence Diving activity, through life and wherever they occur globally, including when using Military Ports, Harbours and satellite Maritime Facilities, and wherever MOD has a duty of care.	
<b>200 Series</b>			
201	Authority and Accountability	Each organisation engaged in (or contributing to) Defence Maritime Activities <b>shall</b> have identified the Accountable Person(s), Duty Holders and Supporting Authorities, who set down and implement the HS&EP management arrangements for activities in their specified area of responsibility.	
202	Accountability for Management Arrangements  Regulation amended Jan 2022	All Accountable Persons (including Duty Holders and Authorities) <b>shall</b> demonstrate that their management arrangements are suitable and sufficient for their responsibilities and that they are operating in accordance with them. These arrangements <b>shall</b> be demonstrated to DMR prior to the issue of a DMR Approval to Authorise MOD Shipping (AtAMS).	
203	Senior Duty Holders (SDH)	The SDH in each organisation <b>shall</b> have ultimate accountability for safety and environmental protection of their organisation's Defence Maritime Activities, and compliance with these Defence Maritime Regulations.	
204	Operating Duty Holder (ODH)	The ODH or delegated Accountable Person <b>shall</b> assure the SDH that the Health, Safety and Environmental	

Number	Title	Summary	Compliance Statement with evidence, actions, or non-applicability
		Management System(s) for Defence Maritime Activities are fit for purpose.	
205	Delivery Duty Holder (DDH)	The DDH or delegated individual <b>shall</b> provide assurance of the effective implementation of their ODH's or Accountable Person's overarching Health, Safety and Environmental Management Plan(s).	
206	Ships Commanding Officer	Ship's Commanding Officers <b>shall</b> discharge their responsibilities in accordance with the applicable legislation and Defence Regulations.	
207	Designated Persons Ashore	A Designated Persons Ashore <b>shall</b> be identified to be accountable for monitoring the safe and efficient operation of the Defence Maritime Activity.	
208	Platform Authorities (PA)	Accountable Persons <b>shall</b> have Platform Authorities, or equivalents, responsible to them for ensuring all Ships within their Area of Responsibility are safe to operate by providing safety and environmental protection assurance of the design, system and equipment integration, and through-life support.	
209	Equipment Authorities (EA)	EA <b>shall</b> provide Health, Safety & Environmental assurance of the design and through-life support of the maritime equipment for which they are accountable is safe to operate.	
210	Diving Officer	A single Diving Officer <b>shall</b> be appointed to be accountable for each diving project, and for ensuring that, so far as is reasonably practicable, the diving project is planned, managed and conducted in a manner which protects the health	

Number	Title	Summary	Compliance Statement with evidence, actions, or non-applicability
		and safety of all persons taking part in that project.	
211	Port Duty Holders	Port Duty Holders <b>shall</b> operate their ports in line with the PMSC and in line with Defence Rules for Warships in Harbour <sup>1</sup> .	
<b>300 Series</b>			
301	Risk Control Systems	Named Accountable Persons <b>shall</b> provide evidence that, for their area of responsibility, RCS have been implemented, are integrated and are effective.	
302	Safety and Environmental Management System(s)	Accountable Persons <b>shall</b> develop and maintain a proportionate SEMS for their area of responsibility and operate in accordance with it.	
303	Safety and Environmental Management Plan(s)	Accountable Persons <b>shall</b> develop, implement and maintain a MOD Maritime SEMP specifying: 1. How and when the objectives and requirements contained in the SEMS are to be implemented. 2. How each approval point will be verified and authorised, and by whom.	
304	Safety and/or Environmental Case(s)	Accountable Persons <b>shall</b> develop and maintain Safety and/or Environmental Cases to demonstrate that platforms, products, and activities (including software safety and cyber security) meet and maintain Safety and Environmental Protection requirements.	
305	Safety and/or Environmental Case Report(s)	Named Accountable Persons <b>shall</b> demonstrate the Safety and/or Environmental Case for a Ship or Diving plant in a Health, Safety and/or Environmental Protection Report, proactively and effectively addressing the impact of significant milestones,	

Number	Title	Summary	Compliance Statement with evidence, actions, or non-applicability
		decisions and/or events identified in the Safety and/or Environmental Case Reports (SECR) Strategy.	
306	Establishing the Safe and Environmentally Compliant Operating Envelope	Accountable Persons <b>shall</b> establish the limits of the safe and environmentally sustainable Operating Envelope for the Defence Maritime Activities for which they are responsible.	
307	Operate Within the Envelope	Maritime activities <b>shall</b> only be conducted inside the established Safe and Environmentally Compliant Operating Envelope, unless there are exceptional circumstances which requires the delivery of a Defence Task.	
308	Certified Equipment and/or Systems	Accountable Persons <b>shall</b> be in possession of the appropriate Safety and Environmental Protection certificates for equipment and/or Systems.	
309	Legislation Compliance Registers	Accountable Persons <b>shall</b> maintain a legislation compliance register for the platforms, activities, equipment and/or facilities for which they are responsible.	
<b>400 Series</b>			
401	Documentation of Policies and Procedures	Accountable Persons <b>shall</b> develop and maintain policies and procedures to control all relevant safety and environmental information (documents and data), within their specified area of responsibility.	
402	Legislative Compliance Management	Accountable Persons <b>shall</b> each ensure that the applicable legal requirements for their Defence Maritime Activities are managed.	

Number	Title	Summary	Compliance Statement with evidence, actions, or non-applicability
403	Exemptions	Where the Secretary of State for Defence is enabled to grant "exemption" from statute, a request to invoke this power <b>shall</b> be made through DSA, DMR or their Duly Authorised Persons, as laid down for that statute.	
404	Resources and Crewing	Accountable Persons in sea-going and shore-support organisations <b>shall</b> maintain a Safety and Environmental Protection Organisational Baseline for their area of responsibility, which is suitably resourced.	
405	Training	Each Accountable Person <b>shall</b> provide assurance that sea-going, shore-support, and acquisition personnel within their area of responsibility are appropriately trained to a recognised professional standard <sup>1</sup> and developed to have the right competencies to discharge their role.	
406	Emergency Preparedness	The Accountable Person <b>shall</b> ensure that Emergency Preparedness, Response Plans, procedures and systems are in place for Defence Maritime activities.	
407	Accident and Incident Reporting, Investigation and Analysis	Accidents and Incidents (including near misses and dangerous occurrences <b>shall</b> be reported and investigated so that hazards and impacts are highlighted in a timely manner to facilitate learning from the experience.	
<b>500 Series</b>			
501	Environmental Damage	Accountable Persons <b>shall</b> ensure that environmental damage caused by Defence Maritime Activity is prevented and remedied, so far as is reasonably practicable.	



Number	Title	Summary	Compliance Statement with evidence, actions, or non-applicability
502	Pollution Preparedness, Response and Cooperation	The Accountable Person <b>shall</b> ensure that the serious threat posed to the marine environment by pollution incidents is minimised through being prepared for, and able to respond to such incidents including cooperation with the appropriate agencies.	
503	Underwater Cultural Heritage	The Accountable Person <b>shall</b> ensure that the impacts on underwater heritage arising from Defence Maritime Activities are minimised, so far as is reasonably practicable.	
<b>600 Series</b>			
601	UK Defence Shipping Register	The Accountable Person <b>shall</b> ensure that public information required by the UK Registrar of MOD Shipping is provided for all ships for which they are accountable.	
602	Removed  Regulation removed Jan 2022	No longer in use	
603	Registration of MOD Shipping on the UK Defence Shipping Register  Regulation amended Jan 2022	The Accountable Person <b>shall</b> ensure that records and details of MOD Shipping for which they are accountable, including those that are on the UK Shipping Register (UKSR), are made available to the Registrar of UK MOD Shipping and are kept up to date.	
604	Certification	MOD Shipping <b>shall</b> not be operated without extant Certification relevant to the operation to be undertaken, that is defined as applicable on the Register and is required in accordance with the Certification Strategy.	
605	Naval Authority Certification Rules	The Accountable Person <b>shall</b> ensure that the Naval Authority Rules for	

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		certification are complied with as defined in the ships Certification strategy.	
606	Requirements, Design & Construction	MOD Shipping, systems, and equipment <b>shall</b> be specified, designed, constructed, integrated, operated, supported, and assured so that they achieve and maintain their intended purpose through life.	
607	Approval to Authorise MOD Shipping (AtAMS)	MOD Shipping <b>shall</b> only be authorised to operate by Accountable Persons who hold a DMR Approval to Authorise MOD Shipping. For vessels of 500Gt or more or passenger vessels, Accountable Persons shall be given approval through a Document of Compliance.	
608	Command Safety and Environmental Summary	The Accountable Person <b>shall</b> ensure that a Command Safety and Environmental Summary informs sea clearance as a prerequisite for a Ship going to sea.	
609	Maintenance of Ship and Equipment	The Accountable Person <b>shall</b> ensure that evidence is provided that ships and equipment are maintained in accordance with procedures specified in the maintenance plan and conform to relevant rules and regulations.	
610	Ship Operations in Ports and Harbours	The Accountable Person <b>shall</b> ensure the management of their ships in ports and harbours avoids or minimises Health and Safety Risks and adverse environmental impacts.	
611	Minimising Water and Land Pollution from Ships	The Accountable Person <b>shall</b> ensure that adverse impacts on the land and water environments and human health from MOD Shipping and MOD Shipping activity are minimised and ultimately	

Number	Title	Summary	Compliance Statement with evidence, actions, or non-applicability
	Regulation amended Jan 2022	eliminated, so far as is reasonably practicable.	
612	Air Emissions from Ships	The Accountable Person <b>shall</b> ensure that pollution and adverse impacts on air from MOD Shipping are minimised, so far as is reasonably practicable.	
613	Energy Efficiency of MOD Shipping Regulation amended Jan 2022	The Accountable Person <b>shall</b> ensure that MOD Shipping is operated in an energy efficient manner, so far as is reasonably practicable.	
614	Removed Regulation removed Jan 2022	No longer in use	
615	Ballast Water Management	The Accountable Person <b>shall</b> ensure that the adverse impacts to the environment, human health, property, and resources arising from the transportation of harmful aquatic organisms and pathogens from ballast water, are minimised so far as is reasonably practicable.	
616	Anti-fouling System	The Accountable Person <b>shall</b> ensure that the adverse impacts on the environment and human health arising from anti-fouling systems are minimised, so far as is reasonably practicable.	
617	Dangerous Goods Reporting	The Accountable Person <b>shall</b> ensure that ships carrying dangerous or polluting stores, mission payloads and cargoes notify the bodies identified at Registration and work with Ships Commanding Officer/master to report carriage in accordance to relevant Rules	

Number	Title	Summary	Compliance Statement with evidence, actions, or non-applicability
618	Environmental Nuisance Management	The Accountable Person <b>shall</b> ensure that Environmental nuisance caused by MOD Shipping is minimised and ultimately eliminated, so far as is reasonably practicable.	
619	Ship Recycling	The Accountable Person <b>shall</b> ensure that the adverse impacts to the environment and human health from Ship recycling are minimised and ultimately eliminated, so far as is reasonably practicable.	
620	Wrecks	The nominated Accountable Person <b>shall</b> ensure where any ship they have registered is lost <sup>1</sup> and leads to a MOD Shipwreck, that any hazards to navigation and impact upon the environment are reported and managed.	
621	Operations in Polar Waters or Marine Protected Environments	Accountable Persons <b>shall</b> ensure that MOD Shipping meet the specific safety and/or environmental protection outcomes required for operations in Polar Waters or any marine protected environment, so far as is reasonably practicable.	
<b>700 Series</b>			
701	Port Marine Safety Code	Accountable Persons for each MOD Port, Harbour or Marine Facility both in the UK and Overseas <b>shall</b> develop and maintain management arrangements that follow the principles of the UK Department for Transport / Maritime & Coastguard Agency Port Marine Safety Code (PMSC).	
702	Withdrawn	No longer in use	

Number	Title	Summary	Compliance Statement with evidence, actions, or non-applicability
703	Ports Safety and Environmental Compliance	The nominated Accountable Person <b>shall</b> ensure that suitable and sufficient Compliance Statements and/or Safety and Environmental Protection Arguments are provided for all equipment and facilities within their Area of Responsibility.	
704	Explosives in MOD Ports & Harbours	The Accountable Person <b>shall</b> ensure compliance with the DSA03 DMR - Naval Authority Rules for the Control of the Explosives Risk from MOD Shipping at Berth.	
<b>800 Series</b>			
801	Defence Diving Code of Practice	The Accountable Person <b>shall</b> ensure that the Defence Diving Code of Practice (JSP 286 Part 1 - Defence Diving Manual) issued by the Superintendent of Defence Diving (SoDD) is complied with.	
802	Defence Diving Safety Certificate	Defence Diving <b>shall</b> only be authorised by an Accountable Person and conducted by a Diving Team/Unit that has been issued with a Defence Diving Safety Certificate by the Superintendent of Defence Diving (SoDD).	
803	Diving Safety Verification – Commercial Diving Acquisition	Where commercial diving services are contracted by or on behalf of any MOD organisation (TLB) that organisation becomes a Client Responsible Organisation (CRO). The CRO <b>shall</b> demonstrate a process for ensuring all diving is compliant with DWR97.	
804	Assurance of JSAT Diving Expeditions	Single Service Adventurous Training (AT) Authorities and Accountable Persons authorising JSAT Diving Expeditions, and associated diving officers, <b>shall</b> be fully aware of their	

Number	Title	Summary	Compliance Statement with evidence, actions, or non-applicability
		responsibilities under DWR97 and the JSP286.	
<b>900 Series</b>			
901	Assurance	Accountable Persons <b>shall</b> provide suitable and sufficient assurance that Defence Maritime Activities are compliant with these Defence Maritime Regulations.	
902	Audit Access	Accountable Persons <b>shall</b> provide assistance to DMR's regulatory processes for audit, investigation and inspection, and others as required.	
903	Duly Authorised Organisations	Duly Authorised Organisations authorised by DMR to conduct specific activities <b>shall</b> demonstrate compliance with their respective Charter.	