Recommendation Status Report: Buffer stop collision at Kirkby, Merseyside

This report is based on information provided to the RAIB by the relevant safety authority or public body.

The status of the recommendation(s), as reported to us, are described by the following categories:

Key to Recommendation Status

Open (replaces Progressing and Implementation On-going)	Actions to address the recommendation are ongoing.		
Closed (replaces Implemented, Implemented by alternative means, and Non- implementation)	ORR consider the recommendation to have been taken into consideration by an end implementer and evidence provided to show action taken or justification for no action taken.		
Insufficient response:	The end implementer has not provided sufficient evidence that the recommendation has been taken into consideration, or if it has, the action proposed does not address the recommendation, or there is insufficient evidence to support no action being taken.		
Superseded:	The recommendation has been superseded either by a newer recommendation or actions have subsequently been taken by the end implementer that have superseded the recommendation.		
Awaiting response:	Awaiting initial report from the relevant safety authority or public body on the status of the recommendation.		

RAIB concern over the way that an organisation has responded to a recommendation are indicated by one of the following:

Red – RAIB has concerns that no actions have been taken in response to a recommendation.

Blue – RAIB has concerns that the actions taken, or proposed, are inappropriate or insufficient to address the risk identified during the investigation.

White – RAIB notes substantive actions have been reported, but the RAIB still has concerns.

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Report Title	Buffer stop collision at Kirkby, Merseyside		
Report Number	07/2022		
Date of Incident	13/03/2021		

Rec No.	Status	RAIB Concern	Recommendation	RAIB Summary of current status
07/2022/01	Open	None	The intent of this recommendation is that additional research be	ORR has reported that RSSB has a
			undertaken into systems which can detect and monitor driver alertness	proposed action plan and
			and awareness, and how these could be trialled in the industry.	timescale for delivery to be taken
				in response to the
			RSSB, in consultation with relevant stakeholders and bodies representing	recommendation. ORR will advise
			staff, should undertake further research into how the detection and	when the status of this
			mitigation of a loss of alertness or attention in train drivers can be	recommendation changes.
			improved. This research should specifically consider the effectiveness of	
			systems currently in operation and build on work already completed, such	
			as the functional specification and proposed trials set out in the T1193	
			research report. It should also take into account relevant practice from	
			other transport systems (paragraphs 114a and 114b.i).	
07/2022/02	Open	None	The intent of this recommendation is that the risk of buffer stop collision	ORR has reported that Merseyrail
			on the Merseyrail network is appropriately understood and controlled.	has a proposed action plan and
				timescale for delivery to be taken
			Merseyrail, working with Network Rail, should review the process of risk	in response to the
			assessing buffer stop collisions to ensure that it identifies all foreseeable	recommendation. ORR will advise
			situations which could lead to a collision, and applies appropriate risk	when the status of this
			control measures. The review should include consideration of historic	recommendation changes.
			accidents (paragraph 115a).	
07/2022/03	Open	None	The intent of this recommendation is that Merseyrail should appropriately	ORR has reported that Merseyrail
			and effectively address the risk of fatigue.	has a proposed action plan and
				timescale for delivery to be taken
			Merseyrail should review and improve its current fatigue risk	in response to the
			management system for safety critical staff to confirm that it meets	recommendation. ORR will advise
			relevant industry guidance and good practice. This review should be based	

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on an assessment of work activities and their associated risks and	when the status of this
available risk controls. The review should consider relevant law, guidance	recommendation changes.
and practice (paragraph 116a).	