Recommendation Status Report: Freight train derailment at Petteril Bridge Junction

This report is based on information provided to the RAIB by the relevant safety authority or public body.

The status of the recommendation(s), as reported to us, are described by the following categories:

Key to Recommendation Status

Open (replaces Progressing and Implementation On-going)	Actions to address the recommendation are ongoing.		
Closed (replaces Implemented, Implemented by alternative means, and Non- implementation)	ORR consider the recommendation to have been taken into consideration by an end implementer and evidence provided to show action taken or justification for no action taken.		
Insufficient response:	The end implementer has not provided sufficient evidence that the recommendation has been taken into consideration, or if it has, the action proposed does not address the recommendation, or there is insufficient evidence to support no action being taken.		
Superseded:	The recommendation has been superseded either by a newer recommendation or actions have subsequently been taken by the end implementer that have superseded the recommendation.		
Awaiting response:	Awaiting initial report from the relevant safety authority or public body on the status of the recommendation.		

RAIB concern over the way that an organisation has responded to a recommendation are indicated by one of the following:

Red – RAIB has concerns that no actions have been taken in response to a recommendation.

Blue – RAIB has concerns that the actions taken, or proposed, are inappropriate or insufficient to address the risk identified during the investigation.

White – RAIB notes substantive actions have been reported, but the RAIB still has concerns.

Recommendation Status Report



Report Title	Freight train derailment at Petteril Bridge Junction		
Report Number	Report Number 10/2023		
Date of Incident	19/10/2022		

Rec No.	Status	RAIB Concern	Recommendation	RAIB Summary of current status
10/2023/01	Open	None	The intent of this recommendation is to understand and manage the risks	ORR has reported that Network
			associated with the operation of freight trains in low adhesion conditions.	Rail has a proposed action plan
				and timescale for delivery to be
				taken in response to the
			Network Rail and the freight operating companies should work in	recommendation. ORR will advise
			collaboration with RSSB to review the risks faced by freight wagons during	when the status of this
			normal brake applications in foreseeably low adhesion conditions. This	recommendation changes.
			work should include a detailed assessment of the risk of individual	
			wheelsets sliding sufficiently so that they generate self-sustaining wheel	
			flats that can ultimately lead to derailment. It should also identify what	
			mitigations may be necessary to ensure that these risks are adequately	
			controlled. Network Rail, the freight operating companies and RSSB	
			should use the findings from this review to evaluate the processes,	
			standards and guidance documents relating to the management of rail	
			adhesion and the operation of freight trains in low adhesion conditions.	
			Network Rail, the freight operating companies and RSSB should produce a	
			time-bound plan to implement any changes found to be necessary from	
			this process (paragraphs 178a.i and 179a).	
10/2023/02	Closed - I	None	The intent of this recommendation is to ensure that the rules relating to	ORR has reported that RSSB has
			sequential axle counter failures are clear.	reported that it has completed
				actions taken in response to this
			RSSB, working in consultation with Network Rail, should review the	recommendation. ORR proposes
			sections of GERT8000 (the Rule Book) relevant to sequential axle counter	to take no further action unless
			failures. This review should consider the type of operating incidents that	they become aware that the
			such failures may indicate and identify what mitigations may be necessary	information provided becomes
			to ensure that these risks are adequately controlled. RSSB should update	inaccurate.
			the Rule Book as required following this review. Network Rail should	

Recommendation Status Report



			ensure that relevant staff working for them are appropriately briefed and trained on any new or amended rules which result from this update (paragraph 178b.i).	
10/2023/03	Closed - I	Blue	The intent of this recommendation is to understand and review the effectiveness and safety of the Rule Book requirement for freight train drivers to regularly look back along their train.	ORR has reported that Rail Freight Operations Group has reported that it has completed actions taken in response to this
			Freight operating companies, represented through the Rail Freight Operations Group, working in conjunction with RSSB, should work to understand the purpose and effectiveness of the Rule Book and other operating requirements for drivers to look back along a freight train while it is moving. This work should consider the risks that looking back is seeking to mitigate, the effectiveness of this measure as a mitigation, and the additional risks that are introduced as a result of the activity. It should also consider what alternative mitigations could be used to appropriately address these risks, and implement any changes to standards, processes and rules identified as necessary (paragraph 180a).	recommendation. ORR proposes to take no further action unless they become aware that the information provided becomes inaccurate.