



**2023 four year ahead Capacity Auction (T-4)
Delivery Year 2027/28
Auction Monitor Report**

29 February 2024

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1 Assurance Report

ISAE 3000 Independent Assurance Report to National Grid Electricity System Operator Limited (NGESO) (as Electricity Market Reform Delivery Body) on its compliance with selected requirements of the Capacity Market Rules, Regulations and the Auction Guidelines.

1.1 Scope

We have performed assurance work over the extent to which the Delivery Body ("DB") has complied with the requirements of Chapter 5 of the Capacity Market Rules, Regulations and the Auction Guidelines (together the "Rules and Guidelines") (updated 12 July 2023). In particular, we have considered the rules included in Chapter 5 of the Capacity Market Rules as listed in Appendix A.

A consolidated version of the detailed Capacity Market Rules is available here: <https://assets.publishing.service.gov.uk/media/64aebd5fc033c1000d8061bc/capacity-market-rules-informal-consolidated-version-july-2023.pdf> with the subsequent amendments at the time of writing available here: <https://www.gov.uk/government/publications/capacity-market-rules>.

In the context of this Report, the actions of the DB in performing the 2023 four year ahead Capacity Auction (T-4) Delivery Year 2027/28 ("the 2023 T-4 Auction") is the "Subject Matter" and the above sections of the Capacity Market Rules form the "Criteria" against which the Subject Matter has been evaluated.

1.2 Respective Responsibilities

The DB as the Responsible Party is responsible for delivering the process and requirements for the 2023 T-4 Auction in accordance with the Capacity Market Rules.

Our responsibility, as agreed with the DB, is to assess the actions performed by the DB in performing the 2023 T-4 Auction against the requirements of the Capacity Market Rules and to independently express a conclusion as to whether the process and actions undertaken by the DB comply with the requirements of the Capacity Market Rules. Other than as defined in our assurance procedures, we did not audit or otherwise test or verify the information given to us in the course of the Services.

1.3 Work Performed

We conducted our work in accordance with the International Standard on Assurance Engagements 3000 (Revised) "Assurance Engagements Other Than Audits or Reviews of Historical Financial Information". That standard requires that we plan and perform our work to obtain appropriate evidence about the

subject matter of the engagement sufficient to support an opinion providing reasonable assurance.

An outline of the work we performed is included in Appendix B. Except to the extent expressly stated above, we did not subject the information contained in our Report or given to us by the DB to checking or verification procedures. The procedures we performed were not designed to and are not likely to reveal fraud.

1.4 Basis of Opinion

We believe that our work performed and evidence obtained provides a reasonable basis for our opinion. Our assurance report has been produced under our letter of engagement with the Responsible Party effective from 1 January 2024.

1.5 Opinion

In our opinion the actions performed by NGESO, in its role as the Capacity Market Delivery Body for Electricity Market Reform, have in all material respects, complied with the relevant sections of the Rules and Guidelines as detailed in Section 1.1 above in respect of the 2023 T-4 Auction held between 09:00 and 10:45 on 27 February 2024.

A list of the Bidders and corresponding Capacity Market Units ("CMUs") to be awarded Capacity Agreements following the 2023 T-4 Auction is included in Section 3.

1.6 Independence and Quality

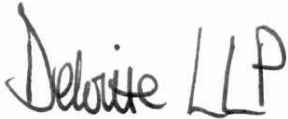
In conducting our engagement, we have complied with the independence and other ethical requirements of the Code of Ethics for Professional Accountants issued by the International Ethics Standards Board for Accountants and the ICAEW Code of Ethics, which are founded on fundamental principles of integrity, objectivity, professional competence and due care, confidentiality and professional behaviour.

Our firm applies International Standard on Quality Management (UK) 1 issued by the Financial Reporting Council and accordingly maintains a comprehensive system of quality control including documented policies and procedures regarding compliance with ethical requirements, professional standards and applicable legal and regulatory requirements.

1.7 Use of Report

Our Report has been prepared for the exclusive use of NGESO in accordance with the scope agreed within our engagement letter effective from 1 January 2024. Our work has been undertaken solely for the purpose of assessing NGESO's compliance with the Rules and Guidelines outlined in Section 1.1 above. Our work was not planned or conducted with any other objective in mind and so cannot be relied upon for any other purposes.

Save that a copy of our report may be provided to the Secretary of State for Energy Security and Net Zero and the Gas and Electricity Market Authority and may be published on the www.gov.uk website, our Report is not to be used for any other purpose, recited or referred to in any document, copied or made available (in whole or in part) to any other person without our prior written express consent. To the fullest extent permitted by law, we do not accept or assume responsibility to anyone other than NGENSO for this report or for the conclusions we have formed.

A handwritten signature in black ink that reads "Deloitte LLP". The signature is written in a cursive, slightly stylized font.

Deloitte LLP
Edinburgh, United Kingdom
29 February 2024

2 Background and Context

2.1 Background

Electricity Market Reform (“EMR”) is intended, inter alia, to promote investment in low-carbon electricity generation and ensure security of supply. The Capacity Market supports achieving security of electricity supply by providing a payment for reliable sources of capacity. This supplements generators’ electricity revenues, to ensure they deliver energy when needed. This is designed to encourage the investment needed to replace older power stations, provide backup for more intermittent and inflexible low carbon generation sources and support the development of more active demand management in the electricity market.

EMR has been implemented through the Energy Act 2013 and the Electricity Capacity Regulations 2014 (as amended) (“the Regulations”). The Capacity Market Rules 2014 (as amended) (“the Rules”) provide the technical and administrative detail for implementing the operating framework set out in the Regulations. As such, the Rules provide a description and procedures regarding the operation of the Capacity Market.

National Grid Electricity System Operator Limited (NGESO) fulfils the role of EMR Delivery Body for the Capacity Market and is responsible for pre-qualifying auction participants, running the capacity auctions, and issuing and monitoring agreements, and for the issuing of Capacity Market notices.

Unless otherwise specified herein, words and expressions used in this Report have the same meaning as defined in the Regulations and Rules.

2.2 Requirement for the Auction Monitor

In its role as Delivery Body (“DB”) for EMR, NGESO, pursuant to Chapter 5 of the Capacity Market Rules 2014 (as amended), is required to appoint a third party to monitor the conduct of each Capacity Auction, referred to as the Auction Monitor.

Under the Rules the Auction Monitor is required to provide a report (“Report”) to the Secretary of State for Energy Security and Net Zero (“the SoS”) with a copy to the Gas and Electricity Markets Authority (“the Authority”) which:

- Confirms the list of Bidders that should have been awarded a Capacity Agreement;
- Sets out whether or not the Auction Monitor considers that the Capacity Market DB and/or the Auctioneer has conducted the Capacity Auction in accordance with the Regulations and the Rules;
- If applicable, identifies any actual or potential breach of the Regulations or the Rules or other actual or potential irregularity in the conduct of the Capacity Auction by the Capacity Market DB and/or the Auctioneer; and

- If applicable, includes a review of the calculations made and the Auction Monitor's assessment as to the likely consequences of such actual or potential breach or irregularity.

Under Rule 5.14.3(a) the Auction Monitor is required to provide the Report to the SoS. This will be achieved through the Auction Monitor providing the Report to the DB who will send it to the SoS and copy the Report to the Authority. We understand the SoS intends to publish this Report.

2.3 Basis on which work performed

Deloitte LLP has been engaged by NGENSO to perform the Auction Monitor role and report on the performance by NGENSO (in its role as Delivery Body for the 2023 T-4 Auction) by providing a reasonable assurance opinion under the International Standard on Assurance Engagements ("ISAE") (Revised) 3000 in respect of the requirements for the Auction Monitor.

Our independent opinion has been prepared under ISAE 3000, which provides the structure and internationally recognised framework for undertaking the engagement, leading to a formal assurance opinion which is provided in Section 1 of this Report.

2.4 Report of Observations

Where identified during the course of our work, we also report observations relating to the DB's compliance with relevant parts of Chapter 5 of the Capacity Market Rules and the Data and Auction sections of the Capacity Market Auction Guidelines (the 'Auction Guidelines') updated 18 July 2023 and 31 January 2024, and any other potential irregularity with respect to the conduct of a Capacity Auction. We have no such observations to report.

3 Confirmation of the list of Bidders to be awarded Capacity Agreements

The 2023 T-4 Auction started at 09:00 on 27 February 2024 and the Clearing Round was Round 2, which closed at 10:45 on 27 February 2024.

The Clearing Price of the 2023 T-4 Auction was equal to £65.00, with the aggregate Bidding Capacity of Capacity Agreements of 42,830.829MW.

Based on the outcome of the 2023 T-4 Auction and in accordance with the Capacity Market Rules 2014 published on 1 August 2014 (as amended), we confirm that the following list of bidders and corresponding CMUs¹ to be awarded Capacity Agreements were successful in the 2023 T-4 Auction.

Table 1. List of Bidders and Corresponding CMUs Awarded Capacity Agreements in the 2023 T-4 Auction

Bidding Company / Group	Name of Applicant per CM Register	CM Unit Name per CM Register
ADV 005 LIMITED	ADV 005 LIMITED	CG2703
	ADV 005 LIMITED	CG2704
AGR SOLAR 2 LIMITED	AGR SOLAR 2 LIMITED	BFEN23
AIK2A SUSTAINABLE ENERGY LTD	AIK2A SUSTAINABLE ENERGY LTD	Aikengall Ila
	AIK2A SUSTAINABLE ENERGY LTD	AK2a03
ALCEMI STORAGE DEVELOPMENTS 3 LIMITED	ALCEMI STORAGE DEVELOPMENTS 3 LIMITED	DEVILL
	ALCEMI STORAGE DEVELOPMENTS 4 LIMITED	COALB1
	ALCEMI STORAGE DEVELOPMENTS 6 LIMITED	COALB2
Alkane Energy UK Limited	Alkane Energy UK Limited	Arlesey
	Alkane Energy UK Limited	Arls6 Avel13
	Alkane Energy UK Limited	Aveley2
	Alkane Energy UK Limited	Balbougie Storage
	Alkane Energy UK Limited	Bletchley
	Alkane Energy UK Limited	Boston Solar

¹ Bidding Company/Group information is taken from the Capacity Market Register.

Bidding Company / Group	Name of Applicant per CM Register	CM Unit Name per CM Register
	Alkane Energy UK Limited	Dogsthorpe
	Alkane Energy UK Limited	Grimethorpe
	Alkane Energy UK Limited	Hem Heath
	Alkane Energy UK Limited	Hickleton
	Alkane Energy UK Limited	Houghton
	Alkane Energy UK Limited	Manvers
	Alkane Energy UK Limited	Markham
	Alkane Energy UK Limited	Offham Solar
	Alkane Energy UK Limited	Rhymney
	Alkane Energy UK Limited	Shirebrook
	Alkane Energy UK Limited	Shoreside Storage
	Alkane Energy UK Limited	Wheldale
	Alkane Energy UK Limited	Whitwell
AMPIL 2 ASSET LIMITED	AMPIL 2 ASSET LIMITED	VILLA4
ARL 021 LIMITED	ARL 021 LIMITED	Hereford
	ARL 021 LIMITED	Wingrave
ASHGREEN ENERGYFARM LIMITED	ASHGREEN ENERGYFARM LIMITED	EDFR Bloys Grove
	ASHGREEN ENERGYFARM LIMITED	Norwich Yare
BALANCE POWER PROJECTS LIMITED	BALANCE POWER PROJECTS LIMITED	Hinckley
	BALANCE POWER PROJECTS LIMITED	ST AUSTELLS
BALNACRAIG BATTERY STORAGE LIMITED	BALNACRAIG BATTERY STORAGE LIMITED	BAL001
BESS Bid Co. T-4 2024	BROXBURN ENERGY STORAGE LIMITED	Broxburn
	CATHKIN ENERGY STORAGE LIMITED	CATHKIN
BOAT OF GARTEN BESS LIMITED	BOAT OF GARTEN BESS LIMITED	BOATOF
Bolshan Renewables Limited	Bolshan Renewables Limited	SRHA05
BOTLEY ENERGY RESERVE LIMITED	BOTLEY ENERGY RESERVE LIMITED	SHESS1

Bidding Company / Group	Name of Applicant per CM Register	CM Unit Name per CM Register
BRAMLEY BATTERY STORAGE LIMITED	BRAMLEY BATTERY STORAGE LIMITED	BRAM26
BRAMLEY BESS LIMITED	BRAMLEY BESS LIMITED	BR2023
BRITISH SOLAR RENEWABLES LIMITED	BRITISH SOLAR RENEWABLES LIMITED	Whaddon Farm BESS
	BRITISH SOLAR RENEWABLES LIMITED	Whaddon Farm Solar Park
British Sugar plc	British Sugar plc	Bury St Edmunds CHP
	British Sugar plc	Wissington CHP
BRITNED DEVELOPMENT LIMITED	BRITNED DEVELOPMENT LIMITED	BritNed Interconnector
BURY POWER LIMITED	BURY POWER LIMITED	Bury Power Limited
	CLYNE POWER LIMITED	Clyne Power Limited
	CULVERY POWER LIMITED	Culvery Power Limited
	DAFEN RESERVE POWER LIMITED	Dafen Reserve Power Limited
	HULL RESERVE POWER LIMITED	Hull Reserve Power Limited
	IMMINGHAM POWER LIMITED	Immingham Power Station
	IRWELL POWER LIMITED	Irwell Power Limited
	KILN POWER LIMITED	Kiln Power Limited
	LODDON POWER LIMITED	Loddon Power Limited
	MARDEN POWER LIMITED	Marden Power Limited
	NORTHWICH POWER LIMITED	Northwich Power Limited
	OGMORE POWER LIMITED	Ogmore Power Limited
	ST ASAPH POWER LIMITED	St Asaph Power Limited
	WARRINGTON POWER LIMITED	Warrington Power Limited
	WHARF POWER LIMITED	Wharf Power Limited
WOLVERHAMPTON POWER LTD	Wolverhampton Power Ltd	
C & S ENERGY LIMITED	C & S ENERGY LIMITED	Cellarhead BESS
Cargill PLC	Cargill PLC	Cargill Manch Generation
Carrington Power Limited	Carrington Power Limited	Carrington_1
	Carrington Power Limited	Carrington_2
CLAYHILL SOLAR LIMITED	CLAYHILL SOLAR LIMITED	AGCLHS
CONRAD (BASINGSTOKE) LIMITED	CONRAD (BASINGSTOKE) LIMITED	Basingstoke

Bidding Company / Group	Name of Applicant per CM Register	CM Unit Name per CM Register
CONRAD (BLETCHLEY) LIMITED	CONRAD (BLETCHLEY) LIMITED	Bond Estates Milton Keynes
CONRAD (CASTLE BROMWICH) LIMITED	CONRAD (CASTLE BROMWICH) LIMITED	Castle Bromwich
CONRAD (FLITWICK) LIMITED	CONRAD (FLITWICK) LIMITED	Flitwick
CONRAD (LARPORT) LIMITED	CONRAD (LARPORT) LIMITED	Larport
CONRAD (LILLYHALL) LIMITED	CONRAD (LILLYHALL) LIMITED	Lillyhall
CONRAD (LYTHAM) LIMITED	CONRAD (LYTHAM) LIMITED	Amy Johnson Way
CONRAD (PUTNOE) LIMITED	CONRAD (PUTNOE) LIMITED	Elms
CONRAD (REDBOURN) LIMITED	CONRAD (REDBOURN) LIMITED	Finway
CONRAD (SANDON) LIMITED	CONRAD (SANDON) LIMITED	Sandon
CONRAD (STEVENAGE B) LIMITED	CONRAD (STEVENAGE B) LIMITED	Cavendish
CONRAD (SWINDON) LIMITED	CONRAD (SWINDON) LIMITED	Swindon
Conrad Energy (Developments) II Limited	Conrad Energy (Developments) II Limited	Landulph Battery
	Conrad Energy (Developments) II Limited	Thurloxton
CONRAD ENERGY (DEVELOPMENTS) LIMITED	CONRAD ENERGY (DEVELOPMENTS) LIMITED	Watchet
CORRINGHAM POWER LIMITED	CORRINGHAM POWER LIMITED	Corringham
Coryton Energy Company Ltd.	Coryton Energy Company, Ltd.	Coryton
	Rocksavage Power Company, Ltd.	Rocksavage
	Spalding Energy Company, Ltd.	Spalding
Douglas West Wind Farm Ltd	Douglas West Wind Farm Ltd	Douglas West wind farm
Drax Power Limited	Drax Power Limited	DRAX Unit 9
	Drax Power Limited	DRAX10
	Drax Power Limited	DRAX12
Drax Pumped Storage Limited	Drax Pumped Storage Limited	Cruachan Unit 1
	Drax Pumped Storage Limited	Cruachan Unit 2
	Drax Pumped Storage Limited	Cruachan Unit 3
	Drax Pumped Storage Limited	Cruachan Unit 4
Drax River Hydro Limited	Drax River Hydro Limited	Bonnington Hydro
	Drax River Hydro Limited	Carsfad Hydro
	Drax River Hydro Limited	Drumjohn Hydro

Bidding Company / Group	Name of Applicant per CM Register	CM Unit Name per CM Register
	Drax River Hydro Limited	Earlstoun Hydro
	Drax River Hydro Limited	Glenlee Hydro
	Drax River Hydro Limited	Kendoon Hydro
	Drax River Hydro Limited	Stonebyres Hydro
	Drax River Hydro Limited	Tongland Hydro
DRUM FARM ENERGY STORAGE LIMITED	DRUM FARM ENERGY STORAGE LIMITED	Drum Farm
E.ON UK Plc	E.ON UK Plc	BVC_CHP
	E.ON UK Plc	CE_Aggregated
	E.ON UK Plc	CE_Aggregated 2
	E.ON UK Plc	CE_Aggregated 3
	E.ON UK Plc	CE_Monk
	Citigen (London) Limited	Citigen
	E.ON UK Plc	EON026
	E.ON UK Plc	EON027
	E.ON UK Plc	EON028
	E.ON UK Plc	EON029
	E.ON UK Plc	EONT005
	E.ON UK Plc	EV_DSR
	E.ON UK Plc	MIC_HEY
	E.ON UK Plc	NPCM-13
	E.ON UK Plc	QMC_CHP
EDF Energy Nuclear Generation Limited	EDF Energy Nuclear Generation Limited	Sizewell B Power Station CMU 1
	EDF Energy Nuclear Generation Limited	Sizewell B Power Station CMU 2
EFFICIENT GENERATION LIMITED	EFFICIENT GENERATION LIMITED	Aldersgrove
EirGrid Interconnector Designated Activity Company	EirGrid Interconnector Designated Activity Company	EWIC
ELECLINK LIMITED	ELECLINK LIMITED	Eleclink
ENCYCLIS (WALSALL) LIMITED	ENCYCLIS (WALSALL) LIMITED	Walsall Efw
Enel X UK Limited	Enel X UK Limited	U24401
	Enel X UK Limited	U24402

Bidding Company / Group	Name of Applicant per CM Register	CM Unit Name per CM Register
	Enel X UK Limited	U24403
	Enel X UK Limited	U24404
	Enel X UK Limited	U24405
	Enel X UK Limited	U24406
	Enel X UK Limited	U24407
	Enel X UK Limited	U24408
	Enel X UK Limited	U24409
	Enel X UK Limited	U24410
	Enel X UK Limited	U24411
	Enel X UK Limited	U24412
	Enel X UK Limited	U24413
	Enel X UK Limited	U24414
	Enel X UK Limited	U24415
	Enel X UK Limited	U24416
	Enel X UK Limited	U24417
	Enel X UK Limited	U24418
	Enel X UK Limited	U24424
	Enel X UK Limited	U24425
	Enel X UK Limited	U24426
	Enel X UK Limited	U24427
	Enel X UK Limited	U24428
	Enel X UK Limited	U24429
	Enel X UK Limited	U24430
ENFINIUM FERRYBRIDGE 1 LIMITED	ENFINIUM FERRYBRIDGE 1 LIMITED	FM1
ENFINIUM FERRYBRIDGE 2 LIMITED	ENFINIUM FERRYBRIDGE 2 LIMITED	FM2
ENFINIUM KELVIN LIMITED	ENFINIUM KELVIN LIMITED	KL
ENFINIUM PARC ADFER LIMITED	ENFINIUM PARC ADFER LIMITED	Parc Adfer
ENFINIUM SKELTON GRANGE LIMITED	ENFINIUM SKELTON GRANGE LIMITED	SG
EP UK Investments	EP Langage Limited	T_LAGA-1

Bidding Company / Group	Name of Applicant per CM Register	CM Unit Name per CM Register
	EP SHB Limited	T_SHBA-1
	EP SHB Limited	T_SHBA-2
EQUANS URBAN ENERGY LIMITED	EQUANS URBAN ENERGY LIMITED	Battersea
	Birmingham District Energy Company Limited	BDEC1
	Birmingham District Energy Company Limited	BDEC2
	East London Energy Limited	CELEKY
	East London Energy Limited	CELEYS
	Excel District Energy Company Limited	EDEC
	Leicester District Energy Company Limited	LDEC
ESB SOLAR (NORTHERN IRELAND) LIMITED	ESB SOLAR (NORTHERN IRELAND) LIMITED	MillFarm1
ESSO PETROLEUM COMPANY LIMITED	ESSO PETROLEUM COMPANY, LIMITED	EMD-18
	ESSO PETROLEUM COMPANY, LIMITED	EMG-18
FCC Environment (UK) Limited	Kent Enviropower Limited	Allington EFW
	FCC Buckinghamshire Limited	FCC Buckinghamshire Ltd
	FCC Environment (Lincolnshire) Limited	Lincs EFW
	FCC (E&M) Limited	Millerhill EFW
First Hydro Company	First Hydro Company	Dinorwig Unit 3
	First Hydro Company	Dinorwig Unit 4
	First Hydro Company	Dinorwig Unit 5
	First Hydro Company	Dinorwig Unit 6
Flexion Energy SPV 3 Ltd	FLEXION ENERGY HOLDINGS UK LTD	iV018D
Flexion Energy SPV 4 Ltd	FLEXION ENERGY HOLDINGS UK LTD	iV019F
Flexitricity Limited	Flexitricity Limited	F20111
	Flexitricity Limited	F20112
	Flexitricity Limited	F20113

Bidding Company / Group	Name of Applicant per CM Register	CM Unit Name per CM Register
	Flexitricity Limited	F20123
	Flexitricity Limited	F20124
	Flexitricity Limited	F20125
	Flexitricity Limited	F20126
	Flexitricity Limited	F20127
	Flexitricity Limited	F20128
	Flexitricity Limited	F20129
	Flexitricity Limited	F20130
	Flexitricity Limited	F20131
	Flexitricity Limited	F20132
	Flexitricity Limited	F20133
	Flexitricity Limited	FLEX01
	Flexitricity Limited	FLEX08
	Flexitricity Limited	FLEX144
	Flexitricity Limited	FLEX148
	Flexitricity Limited	FLEX149
	Flexitricity Limited	FLEX150
	Flexitricity Limited	FLEX153
	Flexitricity Limited	FLEX158
	Flexitricity Limited	FLEX162
	Flexitricity Limited	FLEX18
	Flexitricity Limited	FLEX30
	Flexitricity Limited	FLEX36
	Flexitricity Limited	FLEX66
	Flexitricity Limited	FLEX67
	Flexitricity Limited	FLEX77
	Flexitricity Limited	FLEX81
	Flexitricity Limited	Ledi
Forsa Energy (Beryl Street) Holdings Limited	Forsa Energy (Beryl Street) Holdings Limited	Avonmouth
FP LUX SCURF DYKE SOLAR LIMITED	FP LUX SCURF DYKE SOLAR LIMITED	Scurf Dyke Solar Limited

Bidding Company / Group	Name of Applicant per CM Register	CM Unit Name per CM Register
FPC ELECTRIC LAND LIMITED	EL (SLH) Limited	SLH Ind Park0073
FRASERBURGH ENERGY LTD	FRASERBURGH ENERGY LTD	FRSRBR
FRP MERCIA HOLDCO 2 LIMITED	FRP MERCIA HOLDCO 2 LIMITED	Fordbridge Lane
	FRP MERCIA HOLDCO 2 LIMITED	Geddington Road
	FRP MERCIA HOLDCO 2 LIMITED	Hatton
	FRP MERCIA HOLDCO 2 LIMITED	High View Road
	FRP MERCIA HOLDCO 2 LIMITED	Sookholme Road
FRP MERCIA HOLDCO LIMITED	FRP MERCIA HOLDCO LIMITED	Kestral Road
	FRP MERCIA HOLDCO LIMITED	Union Street
Gigabox Braco Limited	BRACO ENERGY STORAGE LIMITED	Braco BESS
Glass Leaf Power Limited	Glass Leaf Power Limited	Glassleaf Power
GLENDDEVON ENERGY STORAGE LIMITED	GLENDDEVON ENERGY STORAGE LIMITED	Glendoven
Grangemouth CHP Limited	Grangemouth CHP Limited	Grangemouth CHP
Greenlink Interconnector Limited	Greenlink Interconnector Limited	Greenlink
Greenspan Contractors Limited	Greenspan Contractors Limited	Abercorn
Greenspan Nifty Fifty Limited	Greenspan Nifty Fifty Limited	Wardpark Alpha
	Greenspan Nifty Fifty Limited	Wardpark Bravo
GridBeyond Limited	GridBeyond Limited	BEHB01
	GridBeyond Limited	END027
	GridBeyond Limited	END028
	GridBeyond Limited	END029
	GridBeyond Limited	END030
	GridBeyond Limited	END031
	GridBeyond Limited	END032
	GridBeyond Limited	END033
	GridBeyond Limited	END035
	GridBeyond Limited	Endeco Technologies CMU1
	GridBeyond Limited	Endeco Technologies END014
	GridBeyond Limited	GBD012
	GridBeyond Limited	GBD013

Bidding Company / Group	Name of Applicant per CM Register	CM Unit Name per CM Register
	GridBeyond Limited	GBD022
	GridBeyond Limited	GBD023
	GridBeyond Limited	GBD025
	GridBeyond Limited	GBD026
	GridBeyond Limited	GBD039
	GridBeyond Limited	GBD040
	GridBeyond Limited	GBD044
	GridBeyond Limited	GBD047
	GridBeyond Limited	GridBeyond GBD009
	GridBeyond Limited	OSEG01
	GridBeyond Limited	OSEG02
	GridBeyond Limited	UEN024
	GridBeyond Limited	UEN038
	GridBeyond Limited	UEN039
	GridBeyond Limited	UEN051
	GridBeyond Limited	UEN052
	GridBeyond Limited	UEN055
	GridBeyond Limited	UEN056
GRIDSOURCE (WOBURN RD) LIMITED	GRIDSOURCE (WOBURN RD) LIMITED	Woburn Road
GSES 1 LIMITED	GSES 1 LIMITED	ADSL2
	GSES 1 LIMITED	AGHUL2
	GSES 1 LIMITED	AGLAR2
	GSES 1 LIMITED	Ancala (1B)
	GSES 1 LIMITED	Ancala (2B)
Harmony HB Limited	Harmony HB Limited	HOLES BAY
HARMONY HS (JV) LIMITED	HARMONY HS (JV) LIMITED	Hunshelf ESS
HARMONY KB LIMITED	HARMONY KB LIMITED	Keadby ESS
HARMONY SK LIMITED	HARMONY SK LIMITED	HLSK27
HARRINGTON FRANKLIN LIMITED	HARRINGTON FRANKLIN LIMITED	HFL001
HB333MIL LTD	HB333MIL LTD	Mill Hill

Bidding Company / Group	Name of Applicant per CM Register	CM Unit Name per CM Register
HB411MIN Ltd	HB411MIN Ltd	Fairholme
HD192RAY LTD	HD192RAY LTD	Rayleigh
HOLMSTON ENERGY STORAGE LIMITED	FIELD HOLMSTON LTD	Holmston
Impact Power Limited	Impact Power Limited	EMISTA
Indian Queens Power Limited	Indian Queens Power Limited	Indian Queens
INOVYN ChlorVinyls Limited	INOVYN ChlorVinyls Limited	INOVYN1
	INOVYN ChlorVinyls Limited	MCRUN
	INOVYN ChlorVinyls Limited	RuncornERF - Phase 2
JAN BEZEMER & SONS LIMITED	JAN BEZEMER & SONS LIMITED	Cleveland Nurseries Gas Engines
JBM SOLAR PROJECTS 17 LTD	JBM SOLAR PROJECTS 17 LTD	CMU_CLAD
	JBM SOLAR PROJECTS 2 LTD	CMU_LANF
	JBM SOLAR PROJECTS 14 LTD	CMU_MINE
	JBM SOLAR PROJECTS 13 LIMITED	CMU_WICK
KiWi Power Ltd	KiWi Power Ltd	EDRU01
	KiWi Power Ltd	KEG009
	KiWi Power Ltd	KPEG57
KXP WINTERTON LIMITED	KXP WINTERTON LIMITED	Winterton
LAKESIDE ENERGY FROM WASTE LIMITED	LAKESIDE ENERGY FROM WASTE LIMITED	Lakeside EFW
Langage Solar Park Limited	Langage Solar Park Limited	LSPL BESS 1
LIGHTSOURCE SPV 216 LIMITED	LIGHTSOURCE SPV 216 LIMITED	TILN02
LOW CARBON UK SOLAR INVESTMENT COMPANY LIMITED	LOW CARBON UK SOLAR INVESTMENT COMPANY LIMITED	Kimpton BESS
	LOW CARBON UK SOLAR INVESTMENT COMPANY LIMITED	Layer 2 BESS
	LOW CARBON UK SOLAR INVESTMENT COMPANY LIMITED	Pattingham BESS
	LOW CARBON UK SOLAR INVESTMENT COMPANY LIMITED	Sandon Brook BESS
	LOW CARBON UK SOLAR INVESTMENT COMPANY LIMITED	Shaftesbury BESS
Lunanhead Energy Storage Limited	Lunanhead Energy Storage Limited	LUNA27

Bidding Company / Group	Name of Applicant per CM Register	CM Unit Name per CM Register
MELKSHAM CALNE GREEN LIMITED	MELKSHAM CALNE GREEN LIMITED	Melksham
Mercia Waste Management Limited	Mercia Waste Management Limited	Mercia Waste Management Ltd
Moyle Interconnector Limited	Moyle Interconnector Limited	Moyle Interconnector
National Grid Holdings PLC	National Grid IFA2 Limited	IFA2
	National Grid Interconnectors Limited	Interconnexion France Angleterre
	National Grid North Sea Link Limited	NSL_22
	NATIONAL GRID VIKING LINK LIMITED	VIK
NEMO LINK LIMITED	NEMO LINK LIMITED	NEMOGB
NEWBOLD PACEY SOLAR LIMITED	NEWBOLD PACEY SOLAR LIMITED	Newbold Pacey Solar Farm
Nuclear Decommissioning Authority	Nuclear Decommissioning Authority	Maentwrog Hydro-electric Power Station
OCTOPUS ENERGY LIMITED	OCTOPUS ENERGY LIMITED	OCTO24
	OCTOPUS ENERGY LIMITED	OCTO26
	OCTOPUS ENERGY LIMITED	OCTO27
	OCTOPUS ENERGY LIMITED	OCTO29
	OCTOPUS ENERGY LIMITED	OCTO30
OSSPV001 LIMITED	OSSPV001 LIMITED	OEL CM 01
P3P Energy Management AE Limited	P3P Energy Management AE Limited	Woodhouse Energy Centre
P3P Energy Management Limited	P3P Energy Management Limited	Europa Energy Centre
Peak Gen Top Co Limited	Peak Gen Power 2 Limited	Peak Gen Power 2
	Peak Gen Power Limited	Peak Gen Power Coventry
	Peak Gen Power Limited	Peak Gen Power Didcot
	Peak Gen Power Limited	Peak Gen Power Hatfield
	Peak Gen Power Limited	Peak Gen Power Haydock
	W4B Renewable Energy Limited	W4B 2020 16MW
PENSO POWER LIMITED	PENSO POWER LIMITED	BERK27
PITKEVEY BATTERY STORAGE LIMITED	PITKEVEY BATTERY STORAGE LIMITED	Pitkevy

Bidding Company / Group	Name of Applicant per CM Register	CM Unit Name per CM Register
Pivoted Power LLP	Pivoted Power LLP	Norwich Pivot
	Pivoted Power LLP	Pivot Power Braintree
	Pivoted Power LLP	Pivot Power Bredbury
	Pivoted Power LLP	Pivot Power Cowley
	Pivoted Power LLP	Pivot Power Kemsley
PORT CLARENCE ENERGY LIMITEDPORT CLARENCE ENERGY LIMITED PCEL EFW		
PULSE CLEAN ENERGY SPV ANNING LIMITED	PULSE CLEAN ENERGY SPV ANNING LIMITED	TL2023
	PULSE CLEAN ENERGY SPV WATT LIMITED	OV2027
Pulse Clean Energy UK Limited	Pulse Clean Energy UK Limited	BATT03
PYEBRIDGE POWER LTD	PYEBRIDGE POWER LTD	Gas Generation Pye Bridge
Regent Park Energy Limited	Regent Park Energy Limited	Ling Hall Solar
RIVER NENE POWER LIMITED	RIVER NENE POWER LIMITED	Peterborough Power
RIVERSIDE ENERGY PARK LIMITED RIVERSIDE ENERGY PARK LIMITED REPP01		
Riverside Resource Recovery Limited	Riverside Resource Recovery Limited	RRRF01
ROBIN HOOD AIRPORT DEVELOPMENTS LIMITED	ROBIN HOOD AIRPORT DEVELOPMENTS LIMITED	BENT2
ROCHDALE (BES) LTD	ROCHDALE (BES) LTD	Rochdale
ROWAN ONSHORE WINDFARMS LIMITED	ROWAN ONSHORE WINDFARMS LIMITED	Burnfoot East
	ROWAN ONSHORE WINDFARMS LIMITED	Llangwryfon
	ROWAN ONSHORE WINDFARMS LIMITED	Walkway
RWE Generation UK plc	RWE Generation UK plc	CMU_BRAE-1
	RWE Generation UK plc	CMU_COWE1
	RWE Generation UK plc	CMU_COWE2
	RWE Generation UK plc	CMU_CWMD-1
	RWE Generation UK plc	CMU_DIDC1G
	RWE Generation UK plc	CMU_DIDC2G
	RWE Generation UK plc	CMU_DIDC3G
	RWE Generation UK plc	CMU_DIDC4G

Bidding Company / Group	Name of Applicant per CM Register	CM Unit Name per CM Register
	RWE Generation UK plc	CMU_DIDCB5
	RWE Generation UK plc	CMU_DIDCB6
	RWE Generation UK plc	CMU_DOLGH-1
	RWE Generation UK plc	CMU_DOLGL-1
	RWE Generation UK plc	CMU_GROG-1
	RWE Generation UK plc	CMU_GYAR-1
	RWE Generation UK plc	CMU_HDAG-1
	RWE Generation UK plc	CMU_HDDI-1
	RWE Generation UK plc	CMU_HYTHE
	RWE Generation UK plc	CMU_LBAR-1
	RWE Generation UK plc	CMU_PEMB-11
	RWE Generation UK plc	CMU_PEMB-21
	RWE Generation UK plc	CMU_PEMB-31
	RWE Generation UK plc	CMU_PEMB-41
	RWE Generation UK plc	CMU_PEMB-51
	RWE Generation UK plc	CMU_STAY-1
	RWE Generation UK plc	CMU_STAY-2
	RWE Generation UK plc	CMU_STAY-3
	RWE Generation UK plc	CMU_STAY-4
Sa Calobra Power Limited	Sa Calobra Power Limited	WRLANE
Saltend Cogeneration Company Limited	Saltend Cogeneration Company Limited	Saltend Unit 1
	Saltend Cogeneration Company Limited	Saltend Unit 2
	Saltend Cogeneration Company Limited	Saltend Unit 3
Sambar Power Limited	Sambar Power Limited	Indian Queens BESS 1
Seabank Power Limited	Seabank Power Limited	Seabank 1 and 2
SECURE GENERATION LIMITED	SECURE GENERATION LIMITED	Melrow
Sembcorp Utilities (UK) Limited	Sembcorp Utilities (UK) Limited	Sembcorp Wilton GT1 and ST11
	Sembcorp Utilities (UK) Limited	Sembcorp Wilton GT2
Severn Power Limited	Severn Power Limited	Severn Unit 20

Bidding Company / Group	Name of Applicant per CM Register	CM Unit Name per CM Register
SIMEC Lochaber Hydropower 2 Limited	SIMEC Lochaber Hydropower 2 Limited	SLH2G1
	SIMEC Lochaber Hydropower 2 Limited	SLH2G2
	SIMEC Lochaber Hydropower 2 Limited	SLH2G3
	SIMEC Lochaber Hydropower 2 Limited	SLH2G4
	SIMEC Lochaber Hydropower 2 Limited	SLH2G5
SIZING JOHN LIMITED	SIZING JOHN LIMITED	Sizing John
South Tyne and Wear Energy Recovery Limited	South Tyne and Wear Energy Recovery Limited	STV Lines 4 & 5 EfW
SPANGO GENERATION LIMITED	SPANGO GENERATION LIMITED	Fell Energy
	SPANGO GENERATION LIMITED	Shap Energy
SPARK STEAM LIMITED	SPARK STEAM LIMITED	Spark Steam Energy Centre
SSE	SSE Generation Limited	Artfield Fell
	SSE Generation Limited	Beauly
	SSEPG (Operations) Limited	Burghfield
	SSE Generation Limited	Cassley
	SSE Generation Limited	Ceannacroc
	SSEPG (Operations) Limited	Chickerell
	SSE Generation Limited	Clachan 1
	SSE Generation Limited	Clunie
	SSE Generation Limited	Culligran
	SSE Generation Limited	Deanie 1
	SSE Generation Limited	Deanie 2
	SSE Generation Limited	Drumderg
	SSE Generation Limited	Errochty 1
	SSE Generation Limited	Errochty 2
	SSE Generation Limited	Errochty 3
SSE Generation Limited	Fasnakyle 1	
SSE Generation Limited	Fasnakyle 2	

Bidding Company / Group	Name of Applicant per CM Register	CM Unit Name per CM Register
	SSE Generation Limited	Fasnakyle 3
	SSE Generation Limited	Fasnakyle Compset
	SSE Utility Solutions Limited	Ferrybridge BESS
	SSE Utility Solutions Limited	Fiddlers BESS
	SSE Generation Limited	Finlarig
	SSE Generation Limited	Foyers 1
	SSE Generation Limited	Foyers 2
	SSE Generation Limited	Gaur
	SSE Generation Limited	Glenmoriston
	SSE Generation Limited	Grudie Bridge
	SSE RENEWABLES WIND FARMS (UK) LIMITED	Hadyard Hill
	SSE Generation Limited	Inverawe
	SSE Generation Limited	Invergarry
	Keadby Generation Limited	Keadby 1 and GT3
	SSE Generation Limited	Killin
	SSE Generation Limited	Lochay 1
	SSE Generation Limited	Lochay 2
	SSE Generation Limited	Luichart
	SSE Energy Supply Limited	Marchwood
	Medway Power Limited	Medway
	SSE Utility Solutions Limited	Monk Fryston BESS Phase 1
	SSE Generation Limited	Mossford
	SSE Generation Limited	Nant
	SSE Generation Limited	Orrin
	SSE THERMAL GENERATION (SCOTLAND) LIMITED	Peterhead
	SSE Generation Limited	Quoich
	SSE Generation Limited	Rannoch 1
	SSE Generation Limited	Rannoch 2
	SSE Generation Limited	Rannoch 3
	SSE Generation Limited	Shin

Bidding Company / Group	Name of Applicant per CM Register	CM Unit Name per CM Register
	SSE Generation Limited	Sloy 2
	SSE Generation Limited	St Fillans
	SSE Generation Limited	Torr Achilty
	SSE Generation Limited	Tummel 1
	SSE Generation Limited	Tummel 2
Statera Energy	EXETER STORAGE LIMITED	Exeter Storage Limited
	Saltholme South Power Limited	Saltholme South Power Limited
	THURROCK STORAGE 2 LIMITED	Thurrock Storage 2 Limited
	THURROCK STORAGE LIMITED	Thurrock Storage Limited
	THURROCK STORAGE LIMITED	Thurrock Storage Limited
STATKRAFT UK LTD	STATKRAFT UK LTD	NINB-1
	BALWEN LIMITED	THOR-2
STOR 149 Ltd	STOR 149 Ltd	Sale
SUEZ RECYCLING AND RECOVERY KIRKLEES LTD	SUEZ RECYCLING AND RECOVERY KIRKLEES LTD	Kirklees EfW
SUEZ RECYCLING AND RECOVERY SUFFOLK LTD	SUEZ RECYCLING AND RECOVERY SUFFOLK LTD	Suffolk EfW
SUNCROP PRODUCE LIMITED	SUNCROP PRODUCE LIMITED	Burstwick
SUSI Eelpower Rock Farm Limited	SUSI Eelpower Rock Farm Limited	ROCK18
SUSI Eelpower Winchester Limited	SUSI Eelpower Winchester Limited	WINP18
Sutton Bridge Power Generation	Sutton Bridge Power Generation	Sutton Bridge
SUTTON POWER LIMITED	SUTTON POWER LIMITED	Sutton Manor
The Isle of Wight Energy Company Limited	The Isle of Wight Energy Company Limited	IWHALI
	The Isle of Wight Energy Company Limited	IWTSLI
TWENTYSHILLING LIMITED	TWENTYSHILLING LIMITED	Twentyshilling wind farm
UK Power Reserve Limited	UK Power Reserve Limited	Aberdare Power Station
	UK Power Reserve Limited	Bridgwater Power Station
	UK Power Reserve Limited	Cantelo Power Station
	UK Power Reserve Limited	Cowley Hill Power Station
	UK Power Reserve Limited	Greengate Power Station

Bidding Company / Group	Name of Applicant per CM Register	CM Unit Name per CM Register
	UK Power Reserve Limited	Solutia Power Station
	UK Power Reserve Limited	South Cornelly Power Station
	UK Power Reserve Limited	Styal Road Power Station
	UK Power Reserve Limited	Watson Street Power Station
Uniper UK Limited	Uniper UK Limited	CDC
	Uniper UK Limited	Connahs U1
	Uniper UK Limited	Connahs U2
	Uniper UK Limited	Connahs U3
	Uniper UK Limited	Connahs U4
	Uniper UK Limited	Enfield
	Uniper UK Limited	Grain GT-1
	Uniper UK Limited	Grain GT-4
	Uniper UK Limited	Grain U6
	Uniper UK Limited	Grain U7
	Uniper UK Limited	Grain U8
	Uniper UK Limited	Killingholme Module 1
	Uniper UK Limited	Killingholme Module 2
	Uniper UK Limited	Taylor's Lane GT2
	Uniper UK Limited	Taylor's Lane GT3
URBAN RESERVE STORAGE LIMITED	URBAN RESERVE STORAGE LIMITED	Dellsome Power
	URBAN RESERVE STORAGE LIMITED	Letchworth Power
	URBAN RESERVE STORAGE LIMITED	Newby Power
	URBAN RESERVE STORAGE LIMITED	School Power
Ventient Energy Limited	DALSWINTON WINDFARM (SCOTLAND) LIMITED	Dalswinton wind farm
	FARR WINDFARM LIMITED	Farr Wind Farm
	MINSCA WINDFARM (SCOTLAND) LIMITED	Minsca wind farm
Veolia UK Limited	Veolia UK Limited	Chineham ERF

Bidding Company / Group	Name of Applicant per CM Register	CM Unit Name per CM Register
	Veolia UK Limited	Marchwood ERF
	Veolia UK Limited	Newhaven ERF
	Veolia UK Limited	Portsmouth ERF
	Veolia UK Limited	Southampton General Hospital
	Veolia UK Limited	Staffordshire ERF
	Veolia UK Limited	Tyseley ERF
Viridor Energy Limited	Viridor Energy Limited	Cardiff ERF
	Viridor Energy Limited	Exeter ERF
VPI Immingham LLP	VPI Immingham LLP	Immingham CHP
VPI Power Limited	VPI Power Limited	Damhead Creek
	VPI Power Limited	Rye House
	VPI Power Limited	Shoreham
Wainstones Energy Limited	Wainstones Energy Limited	Trafford Power Battery Storage Unit 1
	Wainstones Energy Limited	Trafford Power Battery Storage Unit 2
	Wainstones Energy Limited	Trafford Power Battery Storage Unit 3
	Wainstones Energy Limited	Trafford Power Battery Storage Unit 4
	Wainstones Energy Limited	Trafford Power Gas Reciprocating Engines Unit 4
Walker & Son (Hauliers) Limited	Walker & Son (Hauliers) Limited	Markham
WARLEY BATTERY STORAGE LIMITED	WARLEY BATTERY STORAGE LIMITED	WARL26
WEST BURTON B LIMITED	WEST BURTON B LIMITED	West Burton B Power Station CMU 1
	WEST BURTON B LIMITED	West Burton B Power Station CMU 2
	WEST BURTON B LIMITED	West Burton B Power Station CMU 3
Whitetower Energy Limited	Whitetower Energy Limited	Croydon Power
	Whitetower Energy Limited	Derby Cogen Ltd
	Whitetower Energy Limited	Exeter Power
	Whitetower Energy Limited	Heartlands Power
	Whitetower Energy Limited	Heartlands Power
	Whitetower Energy Limited	Thornhill OCGT
	Whitetower Energy Limited	Viking Power
WINDY RIG WIND FARM LIMITED	WINDY RIG WIND FARM LIMITED	Windy Rig wind farm

Bidding Company / Group	Name of Applicant per CM Register	CM Unit Name per CM Register
Winnington CHP Limited	Winnington CHP Limited	W2NCPH
WORCESTERSHIRE SOLAR 1 LIMITED	WORCESTERSHIRE SOLAR 1 LIMITED	Worcestershire CL
Zenobe Blackhillock Limited	Zenobe Blackhillock Limited	Blackhillock extension
Zenobe Eccles Limited	Zenobe Eccles Limited	Eccles unit 1
	Zenobe Eccles Limited	Eccles unit 2
	Zenobe Eccles Limited	Eccles unit 3
	Zenobe Eccles Limited	Eccles unit 4
Zenobe Kilmarnock South Limited	Zenobe Kilmarnock South Limited	Kilmarnock South unit 1
	Zenobe Kilmarnock South Limited	Kilmarnock South unit 2
	Zenobe Kilmarnock South Limited	Kilmarnock South unit 3
ZENOBE RENTAL 12 LIMITED	ZENOBE RENTAL 12 LIMITED	Abellio Walworth
	ZENOBE RENTAL 12 LIMITED	Bus Depot 1
	ZENOBE RENTAL 12 LIMITED	EVLLS1
	ZENOBE RENTAL 12 LIMITED	EVZEN1
	ZENOBE RENTAL 12 LIMITED	EVZEN2
	ZENOBE RENTAL 12 LIMITED	EVZEN3
	ZENOBE RENTAL 12 LIMITED	EVZEN4
	ZENOBE RENTAL 12 LIMITED	Inchinnan
	ZENOBE RENTAL 12 LIMITED	Newport

Appendix A – In-scope Capacity Market Rules (Chapter 5)

The following Rules included in Chapter 5 of the Capacity Market Rules were considered in performing the assurance work.

- Rule 5.3 on the ability of qualified parties to participate in the Capacity Auction
- Rule 5.4 on CMUs excluded from the Capacity Auction
- Rules 5.5.1 to 5.5.4 and 5.11 on the scheduled timing and duration of the Capacity Auction
- Rules 5.5.5 to 5.5.9 on the Capacity Auction format and starting price
- Rule 5.5.10 on the publication of pre-auction information
- Rules 5.5.11 to 5.5.13 on Demand Side Response CMUs and Bidding Capacity
- Rules 5.5.14 to 5.5.17 on the consistency of the register with the pre-qualified parties
- Rules 5.5.18 to 5.5.20 on the information provided in each round by Auctioneer
- Rule 5.5.21 on the use of backup systems during the Capacity Auction
- Rules 5.6 to 5.9 on the calculation of the Capacity Auction results
- Rule 5.10 on the list of bidders to be awarded Capacity Agreements
- Rule 5.14 on the Auction Monitor role
- Rules 5.15 and 5.16 on prequalification and maximum obligation period when an agreement was awarded in the T-3 Auction

Appendix B – Outline of Work Performed

The specific procedures performed were as follows.

Table 2. List of Procedures Performed

Ref	CM Rule	Independent checks
1	n/a	Check that the version of the Auction System used in the Auction is the same version accepted following User Acceptance Testing (UAT).
2	n/a	Check that security controls are in place to prevent unauthorised access to the Auction System. <ul style="list-style-type: none"> • Confirm that UAT included consideration of security processes. • Confirm that all users are required to have a password and PIN. • Confirm from the audit log that all authorised individuals that viewed the CMUs of an organisation were associated to that organisation.
3	5.3	Check that those Bidders and CMUs that were allowed to participate in the Capacity Auction had the correct access and information loaded on to the Auction System by: <ul style="list-style-type: none"> • Obtaining a register of qualifying CMUs, with their relevant features, which intend to participate in the Capacity Auction. • Comparing the register to the list of CMUs on the Auction System and confirming that there have been neither additions to nor omissions from the list. • Liaising with the Auctioneer throughout the Auction as to whether they have identified any disqualified CMUs and, if so, confirming that these have been treated as required by Rule 5.3.3.
4	5.4	Check that excluded CMUs do not have access to the Auction System by: <ul style="list-style-type: none"> • Obtaining list of CMUs that have been withdrawn from a Capacity Auction and register of qualifying CMUs. • Comparing the register to list of CMUs on the Auction System and confirming that those CMUs that have been withdrawn are not included in the Auction System, or that their ability to submit an Exit Bid has been withdrawn.
5	5.5.1 – 5.5.4 5.11 (if applicable)	Check on the timing and duration of the Capacity Auction by: <ul style="list-style-type: none"> • Confirming that the Capacity Auction was held on the date specified in the Auction Guidelines, with minimal delays. • If the Auction was not completed within 5 working days of the first Bidding Window, confirming that the Secretary of State was notified by the Auctioneer.
6	5.5.5 – 5.5.9	Check that the Capacity Auction was run in line with Rules 5.5.5 and 5.5.9 by: <ul style="list-style-type: none"> • Confirming that the Capacity Auction was run in a manner aligned with the Rules: on a descending clock basis, with a series of price spread Bidding Rounds of decrements as specified in the Secretary of State’s letter on 6 July 2016 as amended 27 October 2016, concluding only once the Capacity Auction clears. • Confirming that these spreads are expressed as a range from the Bidding Round Price Cap to the Bidding Round Price Floor, and that the Bidding Round Price Cap of the first round is equal to the Price Cap for the Auction. • Confirming that the price cap for round n+1 is equal to price floor to round n for all rounds as per Rule 5.5.7. • Reproducing the calculation of Clearing Price and the aggregate Bidding Capacity at the Clearing Price. • Check the auctioneer informed the delivery body of the Excess Capacity Rounding Threshold at least 20 days working days before the Auction.
7	5.5.10	Check that, at least 15 Working Days before the first Bidding Round, the Delivery Body published the date and time on which the Capacity Auction would start, the identity, the

Ref	CM Rule	Independent checks
		Excess Capacity Rounding Threshold and De-rated Capacity of all Prequalified CMUs, and the identity of the Auction Monitor.
8	5.5.11 – 5.5.13	Check that, for each Demand Side Response CMU (DSR CMU), Bidding Capacity equals De-rated Capacity unless the DSR CMU nominated a lower capacity at least 10 Working Days before the first Bidding Window.
9	5.5.14 – 5.5.17; 5.15 – 5.16	<p>Check that the correct information for Prequalified New Build CMUs, Refurbishing CMUs and DSR CMUs has been correctly uploaded to the IT Auction System by:</p> <ul style="list-style-type: none"> • Confirming that the register provided for Rule 5.3 only includes those Prequalified New Build CMUs, Refurbishing CMUs and DSR CMUs that confirmed their intention to participate in the Capacity Auction between 15 and 10 Working Days before the first Bidding Window. • Confirming that the features contained in the register include the duration of Capacity Agreements at the Price Cap for New Build CMUs and Refurbishing CMUs. • Confirming that the system has recorded both the Refurbishing CMU and the Pre-Refurbishment CMU for any Applicant which confirmed that it wanted to participate with its Pre-Refurbishment CMU.
10	5.5.18 – 5.5.20	<p>Check that the running of the Auction was in line with the Rules, namely:</p> <ul style="list-style-type: none"> • For each round that is not the first bidding round, the Auctioneer announced the Bidding Round Price Spread, the Clearing Capacity at the Bidding Round Price Floor, and the Excess Capacity to the nearest Excess Capacity Rounding Threshold (or if the Excess Capacity is below this, the Auctioneer announced that the Excess Capacity is below 1 GW) at the start of the round. • For each round Excess Capacity at price floor was calculated and displayed correctly on the Auction system for each round in line with 5.5.18. • For each round the Auctioneer specified in advance the time that the Bidding Round would begin and end.
11	5.5.21	<p>Check that backup systems are in place to submit bids and that these include safeguards to ensure that the correct bid is submitted.</p> <p>Observe and confirm with the Auctioneer whether the backup systems have been used to submit bids during the Capacity Auction.</p> <p>Review log of calls made/received by the Auctioneer during the Auction.</p>
12	5.6 – 5.9	<p>Independently reapply the relevant Capacity Market Rules to calculate the Capacity Auction clearing price and confirm the identification of each CMU to be awarded a Capacity Agreement.</p> <ul style="list-style-type: none"> • Obtain full details of Exit Bids and Duration Bid Amendments from Delivery Body. • Independently reapply the Rules, as detailed within the Capacity Market Rules 2014 (as amended), and compare the results to those calculated by the Delivery Body. To minimise differences, use the random number generated by the Delivery Body in the case of a tie-break. If differences are identified in the results, review with the Delivery Body and obtain explanations for these differences. • Review Auction System UAT to check the random number process that is applied for the Auction.
13	5.10	<p>Confirm that the DB has notified Bidders and the SoS of the provisional results.</p> <p>Confirm that the DB has published the provisional clearing price and the provisional aggregated Bidding Capacity of Capacity Agreements awarded within 24 hours of the auction clearing.</p> <p>Compare the results provided by the DB to the Bidders and the SoS and published to the results of our independent reapplication of the Rules from the previous procedure.</p> <p>Obtain explanations for any differences.</p>
14	5.14	<p>Confirm read-only access has been provided to the Auction System for the Auction Monitor throughout the Capacity Auction.</p> <p>Document details of any discussion with Delivery Body of any potential breach identified by the Auction Monitor.</p> <p>Document details of any views expressed by the Auction Monitor following a request by the Delivery Body.</p> <p>Review the pages accessed by those accessing the auction remotely.</p>



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