**Office of Financial Sanctions Implementation (OFSI)**

**Reporting use of the Oil Price Cap General Licence INT/2022/2469656 or INT/2024/4423849 (Russia (Sanctions) (EU Exit) Regulations 2019).**

This form is for use by Tier 2, 3 Providers (INT/2022/2469656), and Tier 2, 3A and 3B Providers (INT/2024/4423849) for the purpose of notifying OFSI that they are transacting with a non-UK Tier 1 Provider.

Reporters, please complete Parts 1-5 of this form. Information in these sections is required to fulfil provider reporting obligations as outlined in paragraphs 19-23 in INT/2022/2469656, and in paragraphs 30-34 in INT/2024/4423849.

Completed notification forms should be submitted as an attachment (in Word format, not PDF) to: OilPriceCap.OFSI@hmtreasury.gov.uk. If you need to add any additional information to the boxes, please add a new row(s) below.

The email (header) subject line should read: **“TIER 2/3 NOTIFICATION OF DEALING WITH NON-UK TIER 1: UK MARITIME SERVICES BAN. REPORTING TIMEFRAME COMPLIANT Y or N”** **(please pick either 'Y' or 'N')**. It is important this subject line is followed so that the email and supporting information is processed correctly.

Alternatively, completed forms can be posted to: Office of Financial Sanctions Implementation (OFSI), HM Treasury, 1 Horse Guards Road, London SW1A 2HQ. Returned posted forms are processed the same as online forms for reporting purposes andwill need to be received by OFSI within the relevant reporting window.

Tier 2, 3, 3A and 3B Providers are required to inform OFSI of the detail of the contract within 60 days of the effective date of the contract with the non-UK Tier 1 Provider. If an effective/commencement date is not provided, OFSI will consider the reporting deadlines to run from the date on which the provision of services commences.

CompleteOFSI guidance on the UK maritime services prohibition and Oil Price Cap, including on General Licence reporting requirements, is available at: [www.gov.uk/government/publications/russian-oil-services-ban](http://www.gov.uk/government/publications/russian-oil-services-ban). This includes overviews of compliance and enforcement (Chapter 3), exceptions and licensing (Chapter 4), the attestation process (Chapter 5 and Annex C), reporting requirements (Chapter 6),definitions (including services in scope) (Annex A), and application of the ban (including where the ban does not apply) (Annex B). We recommend you consult the guidance, particularly Chapter 6, before completing the reporting form. For any specific questions or queries please email OilPriceCap.OFSI@hmtreasury.gov.uk.

A criminal offence may be committed if you intentionally participate in activities knowing that the object or effect of them is to circumvent any of the prohibitions related to trade sanctions or enables or facilitates the contravention of any of those prohibitions.

**Please ensure that, when completing this form, the facts and information provided are accurate and true to the best of your knowledge. If you are unsure of your compliance or reporting obligations under trade sanctions, you should seek independent legal advice.**

Information provided may be shared for the purpose of facilitating, monitoring or ensuring compliance with sanctions, in accordance with HM Treasury’s information sharing powers and UK data protection legislation.

General Licences related to the UK ban on the maritime transportation of Russian oil and oil products and associated services can be found on the OFSI website at [www.gov.uk/government/collections/ofsi-general-licences](http://www.gov.uk/government/collections/ofsi-general-licences)

[www.gov.uk/government/publications/russian-oil-services-ban](http://www.gov.uk/government/publications/russian-oil-services-ban)

Form last updated February 20, 2024.

**PART 1 – NOTIFIER’S DETAILS**

|  |  |
| --- | --- |
| **Is this notification being submitted on behalf of a third party?**  | YES / NO  (If “yes”, please fill in part 2 with your details and complete part 1 with the notifier’s details)   |
| **Name of notifier** *(Individual / Company Name etc.)*  |  |
| **Tier and nature of business**  | **Tier 2 (INT/2022/2469656 or INT/2024/4423849)***For example, financial institutions providing trade finance*   |  |
| **Tier 3 (INT/2022/2469656)***For example, insurance brokers, cargo insurers, protection and indemnity insurers and reinsurers, ship owners*   |  |
| **Tier 3A (INT/2024/4423849**)For example, *P&I clubs, hull & machinery insurers, cargo insurers, insurance brokers, flagging registries, shipowner, and ship management companies* |  |
| **Tier 3B (INT/2024/4423849**)For *example, reinsurers, reinsurance brokers, and financial institutions providing general financing facilities* |  |
| **Address** *(Full address including country)* |   |
| **Contact name**  |   |
| **Telephone number**  |   |
| **Email address**  |   |

**PART 2 – YOUR DETAILS**

*This section is only to be filled in if this notification is being submitted on behalf of a third party*

|  |  |
| --- | --- |
| **Your name** *Name of the person submitting this form on behalf of the notifier*  |   |
| **Nature of business** *For example, law firm*  |   |
| **Address** *Full address including country*  |   |
| **Contact name**  |   |
| **Telephone number**  |   |
| **Email address**  |   |

**PART 3 – DETAILS OF TIER 1 PROVIDER**

*Tier 2, 3, 3A or 3B Providers should give details of the Tier 1 Provider with which they are transacting for the services reported in this form*

|  |  |
| --- | --- |
| **Name of Tier 1 Provider***(Individual / Company Name etc.)* |   |
| **Nature of business**  |   |
| **Address** *(Full address including country)*  |   |

**PART 4– NOTIFICATION OVERVIEW**

*Tier 2, 3, 3A or 3B Providers should give details of the activity taking place under INT/2022/2469656/ INT/2024/4423849*

|  |  |
| --- | --- |
| **Date of activity** *(of the contracted goods or services)* | Click or tap to enter a date. |
| **Product(s) activity relates to** | Choose an item. |
| **General Licence**  | Choose an item. |
| **Description of the activity (the provision of contracted goods or services) taking place under the Oil Price Cap General Licence INT/2022/2469656/ INT/2024/4423849** |   |

**PART 5 – DOCUMENTATION**

*Include below any documents referenced in this notification form and any other relevant documentation for this case.*

|  | **Document name**  | **Explanation of document/relevance**  |
| --- | --- | --- |
| **1** |   |   |
| **2** |   |   |
| **3** |   |   |

**Office of Financial Sanctions Implementation**

HM Treasury

1 Horse Guards Road

London

SW1A 2HQ

OilPriceCap.OFSI@hmtreasury.gov.uk