



This form **must** be completed by advocates claiming preparation time for viewing unused material in excess of 3 hours in any case on indictment in the Crown Court in respect of which a graduated fee is payable, other than a guilty plea, where the original representation order is granted on or after 17 September 2020.

Claims submitted without a completed AU1 form will be rejected

The 'basic consideration fee' covers 0- 3 hours. The 'additional fee' is payable in addition to this fee, for hours undertaken in excess of 3 hours.

For information on claiming unused preparation please see:

<https://www.gov.uk/government/publications/crown-court-fee-guidance>

Please complete the following information:

Section 1 – Document Checklist:

The following documentation **must** be provided with all claims:

- Schedule of unused material
- Disclosure Management Document (DMD)
- Any other documentation to demonstrate that this material was unused
- For claims in excess of 10 hours, a supporting work log/attendance notes.

Where electronic unused material is being claimed, is this material available to view on CCDCS?

- Yes
- No

If No, has the material been uploaded to the Secure File Exchange?

- Yes
- No

The determining officer reserves the right to request any further documentation that they deem necessary to complete the assessment of your claim (**The Criminal Legal Aid (Remuneration) Regulations 2013 - Regulation 4(5): An instructed advocate must supply such further information and documents as the appropriate officer may require.**). Failure to provide the necessary documentation, clearly labelled, will result in your claim being rejected.

In order for caseworkers to assess the material being claimed, please provide a suitable email address for us to contact you in order to request DCS access.

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Where the status of material is uncertain, each defence team must ensure that they agree their position, and with the court/ CPS where possible, before claims are submitted to the LAA. It is not possible for a document to be both PPE under the LGFS and unused under the AGFS.

Section 2 – Case Details:

Advocate Name

Provider Number

MAAT Number

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The work detailed in this claim has not been requested for remuneration elsewhere:

Section 3 – Claim Details:

The first 3 hours unused preparation are payable by way of a fixed fee. Please record the total time spent considering unused material and ensure that the first 3 hours are then deducted from your unused preparation hourly rates claim.

Type of material	Volume of material considered (pages or hours/mins)	Time spent (hh:mm)
Documentary (paper)		
Electronic (raw data, telephone downloads etc)		
Audio-Video (CCTV, bodycam, etc.)		
Minus 3 hours fixed fee		- 3:00
Total:		



Section 4 – Background Information/Justification

This section is **mandatory** for claims of 30 hours or more (excluding the 3 hours covered by the basic consideration fee) and/or for claims where the reading time claimed exceeds the guideline rates per item.

Please use this section to justify the hours claimed and the approach taken and the grade of fee earner(s) who completed the work. The larger the claim the more detailed justification would be expected. You may also upload key documents such as the case summary, skeleton arguments or defence case statement directly into CCD in support of your claim.



Section 4b – Only to be completed where electronic unused material is claimed

Please use this section to answer the following questions:

- What type of electronic unused was considered, for example, Phone Download/Call and Cell records etc?
- What was the format(s) of the electronic unused, for example, Excel, PDF, JPEG etc?
- Was there any duplication in the contents of the electronic data?
- What approach was taken to viewing electronic material, for example, key word searching etc?

Please refer to the electronic evidence guidance in Appendix E of the Crown Court Fee Guidance which can be found [here](#).

Section 5 – Hourly Rates Table where the original representation order is granted on or after 17 September 2020:

Grade of Fee Earner	Unused Preparation Hourly Rate
Advocate – KC	£74.74
Advocate – Leading Junior	£56.56
Advocate – Junior Alone or Led Junior	£39.39

Section 6 – 0-3 hours fixed fee where the original representation order is granted on or after 17 September 2020:

Grade of Fee Earner	Unused fixed fee for 0-3 hours
Advocate – KC	£112.11
Advocate – Leading Junior	£84.84
Advocate – Junior Alone or Led Junior	£59.09

For representation orders dated between 17 September 2020 and 18th February 2021 the fixed fee for a junior alone or led junior is £59.01

Section 7 – Hourly Rates Table where the original representation order is granted on or after 30th September 2022:

Grade of Fee Earner	Unused Preparation Hourly Rate
Advocate – KC	£85.95
Advocate – Leading Junior	£65.04
Advocate – Junior Alone or Led Junior	£45.30

Section 8 – 0-3 hours fixed fee where the original representation order is granted on or after 30th September 2022:

Grade of Fee Earner	Unused fixed fee for 0-3 hours
Advocate – KC	£128.93
Advocate – Leading Junior	£97.57
Advocate – Junior Alone or Led Junior	£67.95