



# European Maritime and Fisheries Fund (EMFF) THIS GUIDANCE SHOULD BE READ IN CONJUNCTION WITH SUPPORT FOR THE MARINE ENVIRONMENT AND INLAND FISHING APPLICATIONS

#### **SUMMARY**

Grant aid is available under the European Maritime and Fisheries Fund (EMFF) programme 2014-2020. The programme shall contribute to the achievement of the following objectives:

- a) promoting competitive, environmentally sustainable, economically viable and socially responsible fisheries;
- b) fostering the implementation of the Common Fisheries Policy (CFP);
- c) promoting a balanced and inclusive territorial development of fisheries areas; and
- d) fostering the development and implementation of the Integrated Maritime Policy (IMP) in a manner complementary to cohesion policy and to the CFP.

The pursuit of those objectives shall not result in an increase in fishing capacity.

This Guidance Note is subject to change once the EMFF goes live. The date of the latest revision is shown in the footer of this document.

This Support for The Marine Environment and Inland Fishing guidance is for projects taking place in England. You may want to submit an Expression of Interest Form to see if you are eligible for funding before you complete the application form, but you do not have to.

You must also read the General Guidance as this contains information for all EMFF applications.

We want to give your project the best possible chance at succeeding and have produced a range of resources and guidance which you might find useful. Additional guidance and where to go for further information has been included throughout this guidance.

The MMO administers the EMFF scheme on behalf of UK and EU taxpayers. It is essential that the scheme delivers value for money and that applicants are open and honest when making applications under the scheme.

It is a criminal offence to supply information in the application knowing it to be false or not believing it to be true, and you may be liable for a fine or imprisonment if you do so.

The MMO will scrutinise your application and may from time to time conduct random checks upon your application and the supporting documents, including contacting proposed suppliers and in the event of irregularities may in its absolute discretion refuse applications.

In the event that false or inaccurate information is discovered, the MMO will give consideration to investigating the matter further and may take such enforcement action, including criminal prosecution for example under the Fraud Act 2006 as it considers appropriate. In such circumstances the MMO may also seek to recover any grant paid.

You are reminded that if your project is approved and grant offered, specific conditions will apply which will be set out in the Offer Letter.

Any breach of these conditions may lead to recovery of any grant paid, and if necessary the MMO may seek recovery through appropriate criminal or civil action.

If the grant is not used for the purpose for which it was granted the MMO may seek recovery of any grant paid through appropriate criminal or civil action.

Projects that have been physically completed or fully implemented prior to receiving a written acknowledgement from MMO, even though they may meet the scheme objectives and priorities, cannot be funded.

In exceptional circumstances, costs incurred prior to submission of your application to MMO and up to a value of 10% of the total eligible project costs can be considered eligible for reimbursement at the funding rate applied providing they are directly related to your project you are applying for and essential to bringing the application to submission stage. The eligibility of these costs are at the MMO discretion and are not guaranteed and are subject to the approval of the full project and are undertaken entirely at the applicant's own risk. Contact MMO for more details.

Projects can commence after receiving a written acknowledgement from MMO. Any costs incurred between receiving a written acknowledgement from MMO and receiving your written decision could result in your project being made ineligible if your project is not approved and are incurred at your own risk.

It is the responsibility of you as the applicant to ensure that the project which is the subject of this application is technically viable, complies with all relevant health and safety legislation and any other project specific safety requirements, is your responsibility and not the responsibility of the MMO.

#### INFORMATION REQUIRED TO APPLY FOR FUNDING

Before you proceed with creating an application you may wish to have the following items at hand or be aware that they will be necessary to accompany your application when it is submitted;

- A business case –You must only provide a business case if your total project cost is £25,000 or more. Use the Business Case Template document available on the MMO website. If your total project cost is less than £24,999.99 or less you do not have to submit a Business Case
- Financial information 3 years of either audited or unaudited accounts. See General Guidance for more detail
- Quotes for costs to be incurred advice is provided in this guidance and the General Guidance on what you need to supply
- Company/charity and Value Added Tax (VAT) Registration numbers if applicable to your circumstances
- Organisation headcount numbers if applicable to your circumstances

### **DEFINITIONS**

In these notes:

- You means the applicant. You can employ an agent or consultant to help you complete your application form but you must sign the form. You will be responsible for ensuring that all of the terms and conditions for grant are fulfilled. Responsibility for the content of the application and any supporting information and documentation rests with you and cannot be transferred to your agent or consultants. In particular, this means that you will be expected to retain ownership of the work which is being funded.
- We means MMO.
- **Fisheries area** means an area with a sea, river or lake shore, including ponds or a river basin, with a significant level of employment in fisheries that is functionally coherent in geographical, economic and social terms and is designated as such by a Member State.
- **Fisherman** means any person engaging in commercial fishing activities, as recognised by the Member State.
- **Inland fishing** means fishing activities carried out for commercial purposes in inland waters by vessels or other devices.
- Small-scale coastal fishing means fishing carried out by fishing vessels of an overall length of 11.99 metres or less and not using towed fishing gear as listed in Table 3 of Annex I to Commission Regulation (EC) No 26/2004.
- Vessels operating exclusively in inland waters means vessels engaged in commercial fishing in inland waters and not included in the Union fishing fleet register.

The information provided in this Guidance Note must only be taken as a guide to the grants which are available. The legal basis for the grants is Articles 26, 37, 38, 39, 40 and 44.6 of Council Regulation (EC) 508/2014, which can be found at <a href="http://eur-lex.europa.eu/legal-content/EN/TXT/PDF/?uri=CELEX:32014R0508&from=EN">http://eur-lex.europa.eu/legal-content/EN/TXT/PDF/?uri=CELEX:32014R0508&from=EN</a>

## **Part 1 ELIGIBILITY**

#### TYPES OF PROJECTS YOU CAN APPLY FOR

The application form should be used for projects relating to: innovation in fisheries; conservation measures and regional cooperation; limitation of the impact of fishing on the marine environment; innovation linked to the conservation of marine biological resources; protection and restoration of marine biodiversity and inland fishing. This includes:

#### Innovation in fisheries - Article 26

This includes

- new or substantially improved products and equipment
- new or improved processes and techniques
- new or improved management and organisation systems

## Support for the design and implementation of conservation measures and regional cooperation – Article 37

This includes

- the design, development and monitoring of technical and administrative means necessary for the development and implementation of conservation measures and regionalisation, this includes direct restocking only when it is provided for as a conservation measure in a Union legal act
- stakeholder participation and cooperation between Member States in designing and implementing conservation measures and regionalisation

# Limitation of the impact of fishing on the marine environment and adaptation of fishing to the protection of species - Article 38

This includes

- equipment improving size selectivity or species selectivity of fishing gear
- equipment that eliminates discards by avoiding and reducing unwanted catches of commercial stocks
- equipment that deals with unwanted catches
- equipment that limits and, where possible, eliminates the physical and biological impacts of fishing on the ecosystem or the sea bed
- equipment that protects gear and catches from mammals and birds

## Innovation linked to the conservation of marine biological resources – Article 39

This includes

 Projects aimed at developing or introducing new technical or organisational knowledge that reduces the impact of fishing activities on the environment, including improved fishing techniques and gear selectivity, or aimed at achieving a more sustainable use of marine biological resources and coexistence with protected predators.

## Protection and restoration of marine biodiversity and ecosystems in the framework of sustainable fishing activities – Article 40

#### This includes

- the collection of waste by fishermen from the sea such as the removal of lost fishing gear and marine litter
- the construction, installation or modernisation of static or movable facilities intended to protect and enhance marine fauna and flora, including their scientific preparation and evaluation
- contributions to a better management or conservation of marine biological resources
- the preparation, including studies, drawing-up, monitoring and updating of protection and management plans for fishery-related activities relating to NATURA 2000 sites and spatial protected areas referred to in Directive 2008/56/EC and relating to other special habitats
- the management, restoration and monitoring of NATURA 2000 sites in accordance with Directives 92/43/EEC and 2009/147/EC, in accordance with prioritised action frameworks established pursuant to Directive 92/43/EEC
- the management, restoration and monitoring of marine protected areas with a view to the implementation of the spatial protection measures referred to in Article 13(4) of Directive 2008/56/EC
- increasing environmental awareness, involving fishermen, with regard to the protection and restoration of marine biodiversity
- schemes for compensation for damage to catches caused by mammals and birds protected by Directives 92/43/EEC and 2009/147/EC
- the participation in other actions aimed at maintaining and enhancing biodiversity and ecosystem services, such as the restoration of specific marine and coastal habitats in support of sustainable fish stocks, including their scientific preparation and evaluation

# Inland fishing and inland aquatic fauna and flora- protect and develop aquatic fauna and flora - Article 44.6

#### This includes

- the management, restoration and monitoring of NATURA 2000 sites which are affected by fishing activities, and the rehabilitation of inland waters in accordance with Directive 2000/60/EC of the European Parliament and of the Council (30), including spawning grounds and migration routes for migratory species, without prejudice to point (e) of Article 40(1) of this Regulation and, where relevant, with the participation of inland fishermen
- the construction, modernisation or installation of static or movable facilities intended to protect and enhance aquatic fauna and flora, including their

scientific preparation, monitoring and evaluation

It is your responsibility as the applicant and not the responsibility of the MMO to ensure that the project which is the subject of this application is technically viable, complies with all relevant health and safety legislation and any other project specific safety requirements.

You must comply with all relevant legal requirements of your project.

## **Eligible Items Table**

Please note this table is for guidance only and is not exhaustive or binding. If you are unsure whether an item is eligible please check the General Guidance and if you are still unsure contact MMO.

Item/Area	Eligible Costs	Ineligible Costs
Article 26 –	new or substantially improved	
Innovation in	products and equipment	
fisheries	new or improved processes and	
	techniques	
	new or improved management and	
	organisation systems	
	projects above must be carried	
	out by, or in collaboration with, a	
	scientific or technical body,	
	recognised by MMO. The	
	scientific or technical body must	
	validate the results of your	
	project	

Item/Area	Eligible Costs	Ineligible Costs
Article 37 -	the design, development and	direct restocking unless it is
Support for	monitoring of technical and	provided for as a
the design	administrative means necessary for	conservation measure in a
and	the development and	Union legal act
implementati	implementation of conservation	
on of	measures and regionalisation	
conservation	stakeholder participation and	
measures	cooperation between Member	
and regional	States in designing and	
cooperation	implementing conservation	
	measures and regionalisation	

Item/Area	Eligible Costs	Ineligible Costs
Article 38 -	equipment improving size	support shall not be granted
Limitation of	selectivity or species selectivity of	more than once during the
the impact of	fishing gear	EMFF scheme for the same

equipment that eliminates discards by avoiding and reducing unwanted catches of commercial stocks, or that deals with unwanted catches to be landed

equipment that limits and, where possible, eliminates the physical and biological impacts of fishing on the ecosystem or the sea bed; equipment that protects gear and catches from mammals and birds protected by Council Directive 92/43/EEC or Directive 2009/147/EC of the European Parliament and of the Council (28), provided that it does not undermine the selectivity of the fishing gear and that all appropriate measures are introduced to avoid physical damage to the predators.

type of equipment on the same Union fishing vessel

## Item/Area

## Article 39 -Innovation linked to the conservation of marine biological resources

## Eligible Costs

projects aimed at developing or introducing new technical or organisational knowledge that reduces the impact of fishing activities on the environment, including;

- improved fishing techniques
- gear selectivity
- projects aimed at achieving a more sustainable use of marine biological resources and coexistence with protected predators.

projects funded under this
Article must be carried out by, or
in collaboration with, a scientific
or technical body recognised by
the MMO which must validate the
results of the project

## Ineligible Costs

net revenue generated by the participation of the fishing vessel in the project must be deducted from the eligible expenditure of the project

The term 'net revenue' means the income of fishermen from the first sale of the fish or shellfish caught during the introduction and testing of the new technical or organisational knowledge less the selling costs such as auction hall fees

Projects which do not qualify as fishing for scientific purposes in accordance with Article 33 of Regulation (EC) No 1224/2009 and which consist of testing new fishing gear or techniques must be carried out within the limits of the fishing opportunities allocated to the Member

	State concerned
	Contact MMO for details.

Item/Area	Eligible Costs	Ineligible Costs
Article 40	the removal of lost fishing gear from	
Projects	the sea, in particular in order to	
relating to	combat ghost fishing	
the collection	the purchase and, if applicable, the	
of waste by	installation of equipment on board	
fishermen	for the collection and storage of	
from the sea,	litter	
costs relating	the creation of schemes of waste	
to the actions	collection for participating	
	fishermen	
	the purchase and, if applicable, the	
	installation of equipment based in	
	fishing port facilities for the storage	
	and recycling of litter	
	communication, information,	
	awareness raising campaigns, to	
	encourage fishermen and other	
	stakeholders to participate in	
	projects to remove lost fishing gear	
	training for fishermen and port	
	agents	

Item/Area	Eligible Costs	Ineligible Costs
Article 40	circular hooks	
Projects that		
are aimed at	acoustic deterrent devices on nets	
a better	touth and one device a (ITEDal)	
management	turtle excluder devices ('TEDs')	
or	streamer lines	
conservation		
of marine	other tools or devices proven	
biological	efficient in preventing accidental	
resources.	catches of protected species	
costs relating	training for fishermen on better	
to the	management or conservation of	
purchase or,	marine biological resources	
if applicable,	projects focussing on coastal	
the	habitats of importance for fish, birds	
installation of	and other organisms	

the items	projects focusing on areas of importance for fish reproduction, such as coastal wetlands
	replacement of existing fishing gear with low impact fishing gear

Item/Area	Eligible Costs	Ineligible Costs
Article 40	carrying out studies, in particular for	
Projects	the monitoring and surveillance of	
consisting of	species and habitats including	
the	mapping, and risk management	
preparation	mapping fishing activity and	
of protection	intensity and interactions with	
and	protected species and habitats	
management	consulting stakeholders during the	
plans for	preparation of management plans	
fishery-	developing and applying indicators	
related	for pressures and for impacts and	
activities and	performing conservation status	
costs relating	assessments	
to them	training for fishermen and other	
	persons working for or on behalf of	
	the bodies responsible for the	
	management of marine protected	
	areas ('MPAs') relevant for the	
	preparation of protection and	
	management plans for fishery-	
	related activities	
	demarcation of MPAs	
	surveillance including salaries of	
	personnel involved in surveillance	
	activities	
	carrying out publicity and	
	awareness raising measures in	
	relation to MPAs	
	assessing the impacts of the	
	management plans on Natura 2000	
	areas and on the fisheries areas	
	affected by the management plans	

Item/Area	Eligible Costs	Ineligible Costs
Article 40	consultation of stakeholders during	
Projects	the preparation of management	
relating to	plans	
the	development and application of	
management,	indicators for pressures/impacts	

restoration	and conservation status	
and	assessments	
monitoring of	surveillance of Natura 2000 sites	
Natura 2000	and MPAs	
sites and	training for persons working for or	
Marine	on behalf of the bodies responsible	
Protected	for the management of Natura 2000	
Areas and to	sites and MPAs	
increasing	training of fishermen on	
environmenta	conservation and restoration of	
I awareness,	marine ecosystems and related	
costs related	alternative activities such as eco-	
to them	tourism in Natura 2000 sites and	
	MPAs	
	mapping fishing activity and	
	monitoring its intensity and	
	recording fishery interactions with	
	protected species such as seals,	
	•	
	sea turtles, dolphins and seabirds	
	supporting the development of	
	fisheries management measures in	
	Natura 2000 sites and MPAs, such	
	as Impact Assessment (IA) studies	
	and risk assessment, including	
	actions fostering the improvement	
	of their coherence	
	support to projects increasing	
	environmental awareness, involving	
	fishermen, with regard to the	
	protection and restoration of marine	
	biodiversity	
	cooperation and networking of	
	managers of Natura 2000 sites and	
	MPAs	
	1111 7 10	

Item/Area	Eligible Costs	Ineligible Costs
Article 40 Projects relating to	costs relating to schemes testing novel monitoring techniques, and in particular:	
the participation in other actions aimed at	<ul> <li>remote electronic monitoring systems, such as CCTV, for the monitoring and recording of incidental catches of protected species</li> </ul>	
maintaining and enhancing biodiversity	<ul> <li>the recording of oceanographic data such as temperature, salinity, plankton, algae blooms or</li> </ul>	

and	turbidity;	
ecosystem services,	<ul> <li>the mapping of Invasive Alien Species (IAS)</li> </ul>	
costs related	<ul> <li>actions, including studies, to</li> </ul>	
to them	prevent and control the expansion of IAS	
	the installation on board of	
	automatic recording devices for	
	monitoring and recording	
	oceanographic data such as	
	temperature, salinity, plankton,	
	algae blooms or turbidity	
	costs for chartering of commercial	
	fishing vessels for environmental	
	observation at a rate proportional to	
	the activity	
	costs for other scientific actions	
	related to the mapping and	
	assessment of marine and coastal	
	ecosystems and their services	

Item/Area	Eligible Costs	Ineligible Costs
Article 40	projects reducing physical and	
Projects	chemical pollution	
aimed at		
restoring	projects reducing other physical	
specific	pressures, including anthropogenic	
marine and	underwater noise that negatively	
coastal	affect biodiversity	
habitats in	projects promoting positive	
support of	conservation measures to protect	
sustainable	and conserve flora and fauna,	
fish stocks	including the re-introduction of or	
	stocking with native species, and	
	applying Green Infrastructure	
	principles referred to in the	
	Commission Communication on	
	Green Infrastructure	
	prevention, control or elimination of IAS	

Item/Area	Eligible Costs	Ineligible Costs
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Article 44.6	the management, restoration and	vessels or investments in
Inland fishing	monitoring of NATURA 2000 sites	vessels that do not continue
and aquatic	which are affected by fishing	to operate exclusively in
fauna and	activities, and the rehabilitation of	inland waters.
flora	inland waters in accordance with	
	Directive 2000/60/EC of the	
	European Parliament and of the	
	Council (30), including spawning	
	grounds and migration routes for	
	migratory species, without prejudice	
	to point (e) of Article 40(1) of the	
	EMFF Regulation and, where	
	relevant, with the participation of	
	inland fishermen	
	the construction, modernisation or	
	installation of static or movable	
	facilities intended to protect and	
	enhance aquatic fauna and flora,	
	including their scientific preparation,	
	monitoring and evaluation	

Item/Area	Eligible Costs	Ineligible Costs
All Articles		preventative or scheduled
		maintenance costs
All Articles	costs necessary for and directly	
	related to the installation of items	
	approved	
All Articles	the purchase or leasing of vehicles	
	is only eligible if the vehicle in	
	question has a direct contribution	
	to the project and is not used for	
	any other purpose	
All Articles		maintenance costs and
		mandatory costs associated
		with the vehicle like
		insurance, road tax and MOT
		of any vehicles are not eligible
		for funding
All Articles		statutory or mandatory
		requirements of law and
		byelaws

## Part 2 About Your Project

Tell Us Your Position With Regard to Planning and Environmental Consents/Authorisations.

If this is 'Not applicable' then you do not have to provide any information. If you have 'Applied for and received' then complete the table in the application. If you have 'Applied for but not yet received' or 'Needed- haven't yet applied' then you must list the descriptions of the consent/authorisations and write 'to be confirmed' in the space provided. You will need to provide us with a copy of each consent/authorisation alongside this application form.

No offer of funding can be made until all planning consents/authorisations have been received and copies sent to the MMO. In some circumstances an Offer in Principle can be issued pending receipt of consents/authorisations.

If your planning consents and/or licences are not in place when you apply to MMO for funding you should supply details of when these are expected and forward to MMO as soon as they have been received. An offer of funding cannot be issued without required planning consents and/or licences being in place can receive an offer in principle if they are eligible and meet the requirements of the scheme. An offer in principle is not an offer of funding and you cannot claim funds until you receive an offer of funding.

# Have You Considered the Marine Policy Statement, or Any Adopted Marine Plans in Force in the Area(s) Your Project Will Take Place?

If you have considered the Marine Policy Statement, or any adopted marine plans in force in the area(s) your project will take place, as part of your project development, then answer 'Yes' to this question. If you answer 'Yes' then explain how you have considered the Marine Policy Statement, or any adopted marine plans in force in the area(s) your project will take place, as part of your project development. In particular have any Marine Planning policies influenced this project? If so please give the policy reference and details.

If you answer '**No**' then explain why you have not considered the Marine Policy Statement, or any adopted marine plans in force in the area(s) your project will take place, as part of your project development. See the following website for more information on Marine

Plans:https://www.gov.uk/government/collections/marine-planning-in-england

## Part 3 Your Project Finance

You will need to provide details of your project including the costs of the items you would like to apply for. You will need to provide quotes for these items. Below is a table of the numbers of quotes we require. See General Guidance Note for specific quotation guidance.

## **Minimum Number of Quotes Required**

Individual Items with a Value (excluding VAT) of:	Number of Quotes or Tenders
over £0 and up to £1,500	Single written quote
£1,500.01 and up to £5,000	At least two quotes must be provided. If at least two quotes have not been provided, then an explanation must be provided
£5,000.01 and up to £60,000	At least three quotes must be provided. If at least three quotes have not been provided, then an explanation must be provided
equal to or over £60,000.01	3 quotes or evidence of tender including evidence of the evaluation system used, details of the chosen tender and scoring  See General Guidance Note for more advice on public/private procurement rules

You will need to tell us what sort of applicant you are based on your business type. You can find out if you are a public applicant or a private applicant in the General Guidance.

You should obtain at least the minimum number of quotes for any individual item, as shown in the table and include all original quotes, signed and dated by the supplier with your application form.

In exceptional circumstances we may consider accepting fewer quotes than the minimum numbers. For example it may not be possible to obtain three quotes for specialist equipment. If you are unable to provide the minimum number of quotes or tenders required, you should speak to MMO for advice and your application should explain why this has not been possible.

See the General Guidance for information on how to deal with any quotes you may have in Euros.

## **How Will Your Project Be Funded?**

We need to know how your project will be funded and where this money will come from. This includes funds you are intending to seek as well as those already obtained. Part of your project funding will come from grant funding and the remainder from yourself or another public source. This can include private savings, money from your organisation, a loan or another grant and is referred to

as your match funding. Your match funding should be in place before you apply to the EMFF. If your match funding is not in place an Offer in Principle may be issued until your match funding is obtained and can be proved. Contact MMO for advice if you think this will affect you.

The table below is an example of how a project could be funded;

(1) Sector	(2) Source	(3) % of Eligible Costs	(4) £
	EMFF Grant	40	440
Public Sector	National Grant	10	110
	Organisation Name (state the source) E.g. Environment Agency, Natural England, Seafish		
Private Sector	(state the source) <i>E.g. loans</i>	50	550
Other	(state the source)		
Total		100	£1,100

## **Grant Rates**

The tables in the following pages explain how much funding you might be able to apply for. Funding is dependent on a number of factors so before you look at the tables you will need to know;

- What business or organisation type you are, this might be a private business or a public organisation
- Which article of the regulation you are applying under, the eligibility tables earlier this document will tell you this based on what you plan to do
- If applicable to your circumstances you need to know if you are a small scale coastal fisher based on the definition from the European Commission, see below for details

### Collective/Non collective type of projects

To determine the amount of funding a project can attract it is necessary to determine if the project is of Collective benefit or Non-Collective benefit as set out in the European regulations and National law.

## In simple terms;

If a project has no direct financial benefit to either the applicant delivering the scheme or the beneficiary of the project and has both collective interest and collective beneficiaries (those benefitting from the project) then it can be considered Collective.

If a project has a direct financial benefit to either the applicant delivering the scheme or the beneficiary of the project then it is considered Non -Collective.

In addition, to be eligible for the higher public funding rate the project must have innovative features. These can be activities that are innovative to the sector or industry but can also be innovative to a business but must be present and if the project is being delivered at a local level the innovative features must be present at that local level.

Examples of types of projects are below;

Example 1	Status	Reason for status
A collection service for discarded catches in an infrastructure-remote region owned and operated by a private processor who processes the discards themselves for onward sale as bait	Non-Collective	The processor is providing a service to the fishermen free of charge however the processor is expected to make a financial gain from the onward sale of that bait
However if the end result c	hanges	
A collection service for discarded catches in an infrastructure-remote region owned and operated by a private processor who processes the discards reselling them to the fishermen at cost price	Collective	The processor is not making a profit on the discards and the fishermen are getting a necessary service at a cost price

Example 2	Status	Reason for status
A charity providing training to fishermen on business development, the training is free of charge to the attendees and the charity is using its own funds and staff	Non-Collective	The charity is not gaining from delivering the training but the attendees on the course are expected to learn skills to develop their business and improve profitability

However if the focus of the training changes		
A charity providing training to fishermen on non-mandatory safety at sea, the training is free of charge to the attendees and the charity is using its own funds and staff	Collective	The training in this example is designed to save lives at sea and there is no financial benefit to either the applicant delivering the training or the trainee

Example 3	Status	Reason for status
A local authority providing health and safety improvements to a port to allow fishermen and the public to access a quay safety	Collective	The local authority is undertaking the work to ensure there are fewer or no accidents on the quay; there are no monetary benefits to either the local authority or the quay users and visitors

Example 4	Status	Reason for status
A scientific organisation doing research into a fishery to fill a gap in stock information, the results of which will be disseminated free of charge to everyone including the fishermen that fish the region	Collective	There is no financial gain to the research organisation doing the research and the primary benefits from the research will be better stock management in the region

Example 5	Status	Reason for status
A gear manufacturer trialling new gear that is expected to reduce the physical and biological impacts of fishing on the sea bed	Collective	The main benefit of this project is to find gear that allows fishers to fish while reducing the biological impacts of their activity on the sea bed

## **Public/Private Organisations**

To determine how much public funding you are eligible to apply for we need to understand the type of applicant you are. Public funding is the European and National government (MMO) element of the funding that could be offered to you. The type of applicant you are is either public, private or a private company providing a public service. These types are described below along with questions to help you identify what type of applicant you are.

How to determine whether you are a public or private applicant

- Are you a public organisation? A public organisation is one which is part of a government department or an organisation whose work is part of the process of government, but is not a government department and gets its funding from a public source such as the government. If yes you are applicant type **A**.
- Are you a private organisation providing Services of General Economic Interest (SGEI)? SGEI are economic activities that public authorities identify as being of particular importance to citizens and that would not be supplied (or would be supplied under different conditions) if there were no public intervention. Examples are transport networks, postal services or social services. The SGEI must be central to the project being applied for. If yes you are applicant type **B**.
- Are you a private organisation not delivering SGEI? see above for an SGEI definition. If yes you are applicant type **C**.

### Funding Available

- If you are applicant type A you are eligible to apply for 100% public funding for your project.
- If you are applicant type B then you are eligible to apply for 100% public funding for your project.
- If you are applicant type C you are eligible to apply for at least 50% funding. You can apply for more than 50% if you are any of the following types of applicant;

Are you a SSCF or is the project related to the small scale coastal fisheries fleet? If yes you can get 80% public funding see below for an example;

Total project cost	Public - EMFF		Public – Nat	ional	Private Contribution	
£10,000	£6,000.00	60%	£2,000.00	20%	£2,000.00	20%

Are you a Producer Organisation, an association of Producer's Organisations or an inter-branch organisation? If yes you can get 75% public funding, see below

## for an example;

Total project cost	Public - EMFF		Public – Nat	ional	Private Contribution	
£10,000	£5,625.00	56.25%	£1,875.00	18.75%	£2,500.00	25%

Are you a Fishermen's Association? If yes you can get 60% public funding, see below for an example;

Total project cost	Public - EMFF		Public – Nat	ional	Private Contribution	
£10,000	£4,500.00	45%	£1,500.00	15%	£4,000.00	40%

## **Grant Rates Key:**

The grant rate tables below contain abbreviations an explanation of those abbreviations is below;

SSCF	Small scale coastal Fishing – vessels 11.99m or less not using towed gear
PO	Producer Organisation
SME	Micro, small and medium enterprises – see General Guidance note for further advice

The grant rate tables include Notes which apply to some projects and can allow them to apply for a higher rate of funding. An explanation of the notes is below. If you think these apply to your project and you are eligible for the higher rate of funding please contact the MMO for further advice.

Note 1	An applicant may be able to secure funding from another public source to match the EMFF funding; if not, the applicant will need to provide their own (private) match funding
Note 2	The MMO may decide to provide the public match funding if no other source of public funding is available and the project is judged to make a strong contribution to a priority policy objective
Note 3	These projects can receive up to 100% of the total eligible expenditure from grant funding

## **Article 26 Innovation in Fisheries**

Projects include;

This Article covers projects that stimulate innovation in fisheries. It can fund the development or introduction of;

- new or substantially improved products and equipment,
- new or improved processes and techniques
- new or improved management and organisation systems

Funding can include projects at the level of processing and marketing.

Projects funded under this Article must be carried out by, or in collaboration with, a scientific or technical body, recognised by the MMO. That scientific or technical body must validate the results of the project.

Article 26 Innovation in Fisheries								
	Grant	Rates as Pe	rcentages c	of Eligible Cost	S	Limits of Gr	ant Available	
Type of Applicant	Total public money %	EMFF %	National IB %	National other % (see note 1)	Private %	Minimum Grant £	Maximum Grant £	
Public	100	75	0	25		1000	200,000	
Private	50	37.5	0	12.5	50	1000	200,000	
Private (SSCF) + 30%	80	60	0	20	20	1000	200,000	
Private (organisations of fishermen/collective) +10%	60	45	0	15	40	1000	200,000	
Private (POs) +25%	75	56.25	0	18.75	25	1000	200,000	

# Article 37 Support for the design and implementation of conservation measures and regional cooperation

Projects include;

This Article covers projects that stimulate innovation in fisheries. It can fund;

- the design, development and monitoring of technical and administrative means necessary for the development and implementation of conservation measures and regionalisation;
- stakeholder participation and cooperation between Member States in designing and implementing conservation measures and regionalisation.

Article 37 Design and implementation of conservation measures and regional cooperation											
	Grant	Rates as Pe	rcentages o	of Eligible Cost	S	Limits of Gr	ant Available				
Type of Applicant	Total public money %	EMFF %	National IB %	National other %*	Private %	Minimum Grant £	Maximum Grant £				
Public	100	75	25		0	500	250,000				
Private	50	37.5	12.5		50	500	250,000				
Private (SSCF) + 30%	80	60	20		20	500	250,000				
Private (organisations of fishermen/collective ) +10%	60	45	15		40	500	250,000				
Private (POs) +25%	75	56.25	18.75		25	500	250,000				

# Article 38 Limitation of the impact of fishing on the marine environment and adaptation of fishing to the protection of species

## Projects include;

- equipment improving size selectivity or species selectivity of fishing gear;
- equipment that eliminates discards by avoiding and reducing unwanted catches of commercial stocks, or that deals with unwanted catches to be landed
- equipment that limits and, where possible, eliminates the physical and biological impacts of fishing on the ecosystem or the sea bed;
- equipment that protects gear and catches from mammals and birds

Article 38 Limitation of the impact fishing on the marine environment and adaptation of fishing to the protection of species										
	Gran	t Rates as Pe	ercentages of	f Eligible Cos	sts	Limits of Gra	nt Available			
Type of Applicant	Total public money %	EMFF %	National IB %	National other %*	Private %	Minimum Grant £	Maximum Grant £			
Private	50	37.5	12.5		50	500	75,000			
Private (SSCF) + 30%	80	60	20		20	500	75,000			
Private (organisations of fishermen/collective) +10%	60	45	15		40	500	75,000			
Private (POs) +25%	75	56.25	18.75		25	500	75,000			

# Article 39 Innovation linked to the conservation of marine biological resources

## Projects include;

 Projects aimed at developing or introducing new technical or organisational knowledge that reduces the impact of fishing activities on the environment, including improved fishing techniques and gear selectivity, or aimed at achieving a more sustainable use of marine biological resources and coexistence with protected predators

Article 39 Inno	Article 39 Innovation linked to the conservation of marine biological resources								
	Gran	t Rates as Pe	ercentages o	f Eligible Cos	ts	Limits of Gra	nt Available		
Type of Applicant	Total public money %	EMFF %	National IB %	National other % *(see Note 2)	Private %	Minimum Grant £	Maximum Grant £		
Public	100	75		25		500	220,000		
Private	50	37.5		12.5	50	500	220,000		
Private (SSCF) + 30%	80	60		20	20	500	220,000		
Private (organisations of fishermen/collective) +10%	60	45		15	40	500	220,000		
Private (POs) +25%	75	56.25		18.75	25	500	220,000		

# Article 40 Protection and restoration of marine biodiversity and ecosystems and compensation regimes in the framework of sustainable fishing activities

### Projects include;

- the collection of waste by fishermen from the sea such as the removal of lost fishing gear and marine litter
- the construction, installation or modernisation of static or movable facilities intended to protect and enhance marine fauna and flora, including their scientific preparation and evaluation
- contributions to a better management or conservation of marine biological resources
- the preparation, including studies, drawing-up, monitoring and updating of protection and management plans for fishery-related activities relating to NATURA 2000 sites and spatial protected areas referred to in Directive 2008/56/EC and relating to other special habitats
- the management, restoration and monitoring of NATURA 2000 sites in

accordance with Directives 92/43/EEC and 2009/147/EC, in accordance with prioritised action frameworks established pursuant to Directive 92/43/EEC

- the management, restoration and monitoring of marine protected areas with a view to the implementation of the spatial protection measures referred to in Article 13(4) of Directive 2008/56/EC
- increasing environmental awareness, involving fishermen, with regard to the protection and restoration of marine biodiversity
- schemes for compensation for damage to catches caused by mammals and birds protected by Directives 92/43/EEC and 2009/147/EC
- the participation in other actions aimed at maintaining and enhancing biodiversity and ecosystem services, such as the restoration of specific marine and coastal habitats in support of sustainable fish stocks, including their scientific preparation and evaluation

Article 40 Protection and restoration of marine biodiversity and ecosystems and compensation regimes in the framework of sustainable fishing activities									
	Grant	t Rates as Per	centages of	Eligible Cos	sts	Limits of Gra	nt Available		
Type of Applicant	Total public money %	EMFF %	National IB %	National other %*	Private %	Minimum Grant £	Maximum Grant £		
Public	100	75	25			500	250,000		
Private	50	37.5	12.5		50	500	250,000		
Private (SSCF) + 30%	80	60	20		20	500	250,000		
Private (organisations of fishermen/collective) +10%	60	45	15		40	500	250,000		
Private (POs) +25%	75	56.25	18.75		25	500	250,000		

Article 40 Protection and restoration of marine biodiversity and ecosystems and compensation regimes in the framework of sustainable fishing activities – Collection of lost fishing gear and marine litter										
	Gra	int Rates as Pe	ercentages	of Eligible Co	osts	Limits of Gr	ant Available			
Type of Applicant	Total public money %	EMFF %	National IB %	National other %*	Private %	Minimum Grant £	Maximum Grant £			
Public	100	75	25			500	60,000			
Private	50	37.5	12.5		50	500	60,000			
Private (SSCF) + 30%	80	60	20		20	500	60,000			
Private (organisations of fishermen/collective) +10%	60	45	15		40	500	60,000			
Private (POs) +25%	75	56.25	18.75		25	500	60,000			

# Article 44.6 Inland fishing and inland aquatic fauna and flora

In order to protect and develop aquatic fauna and flora projects include;

- the management, restoration and monitoring of NATURA 2000 sites which are affected by fishing activities, and the rehabilitation of inland waters in accordance with Directive 2000/60/EC of the European Parliament and of the Council (30), including spawning grounds and migration routes for migratory species, without prejudice to point (e) of Article 40(1) of this Regulation and, where relevant, with the participation of inland fishermen
- the construction, modernisation or installation of static or movable facilities intended to protect and enhance aquatic fauna and flora, including their scientific preparation, monitoring and evaluation

Article 44.6 Inland fishing and inland aquatic fauna and flora – protect and develop aquatic fauna and flora							
Grant Rates as Percentages of Eligible Costs						Limits of Grant Available	
Type of Applicant	Total public money %	EMFF %	National IB %	National other %*	Private %	Minimum Grant £	Maximum Grant £
Public	100	75	25			500	240,000
Private	50	37.5	12.5		50	500	240,000
Private (organisations of fishermen/collective) +10%	60	45	15		40	500	240,000
Private (POs) +25%	75	56.25	18.75		25	500	240,000

## Part 4 What Will Your Project Achieve?

## What Are the Targets and Benefits of Your Project?

### **Targets**

Targets tell us what your project will achieve and how it will contribute to the development of your business. We use them to assess the progress and achievements of your project.

Targets are set by yourself based on your knowledge of your business and project. We may work with you to develop these targets further.

We will use these targets to measure the progress and success of your project. These targets and any benefits you have outlined will be included in your Offer Letter.

Good targets must be specific to your business and project, be able to be easily measured, must be directly achievable by your project, be realistic and have timescales to make them easy to assess.

There are explanations and examples in the table below;

Example target	What makes it a good target?
Development work on the systems underpinning the implementation of intertidal conservation measures in the coastal region identified will be undertaken over 3 years with annual reviews in April of each year	The target is specific to a region and is addressing a key concern within that region. The target also has a clear timescale with milestones  Making a target achievable is important as we don't you to tell us something you will struggle to meet. Make sure your target is something you can control to have the best chance of meeting it. We want your project to be a success
Discards will be reduced to >5% in first year reducing to >3% in the third year and >1% in the subsequent two years	This example has clear percentage targets within it that be easily measured against. It also has clear timescales for achievement at year 1, year 3 and year 5  Measurements can be almost anything depending on your project including but not limited to events, participants, increases in sale prices/value, discards or profits made
This project will assess the evidence needs that will inform the protection of marine biodiversity in the 10 mile coastal region identified	This example is specific to evidence needs and to an identified stretch of coastline in which the activity undertaken can be clearly mapped  You must make sure that your targets are specific to your project. You must be able to control the activity that relates to your target to have the best chance of meeting them
To improve migration routes for eels along the 2 miles of waterways identified by previous research undertaken within	This target is achievable as previous research has underpinned the decision to improve the stretch

the specified region	applied for
	Targets should be able to be met by you without too much difficulty. If you make them unrealistic and overestimate your achievements and you don't reach them you may put your funding at risk
Develop and implement a resource management system to be developed in the first year be tested and running by the end of year 2 with 6 partners contributing data per year for the subsequent 3 years	The year by year timescales on the project help to assess its progress along the way and makes it easy to report on as you can see clearly where things are going well and were things have not gone so well and helps to inform how things might change going forward if necessary
	Putting timescales on your project helps you to keep an eye on progress. The length of time depends on the project but in most cases we would expect to see a minimum of 3 years. Timescales will also help you report on your progress

## **Benefits**

You will need to describe the expected benefits of your project on yourself, your organisation and the wider fisheries sector. For example, you could describe how installing new and more efficient refrigeration equipment will enable you to get better quality fish back to shore without increasing your catch.

Financial benefits	When writing about the benefits of your
	project, you should include any
	financial benefits. For example an
	increase in profitability, turnover or job
	creation. Explain how you will
	determine your project has been a
	success and has been value for money
	Confirmation whether your project will
	result in safeguarding jobs including
	those which are under threat (jobs can

	be safeguarded by a project only if the completion of your project will directly contribute to the prevention of staff being made redundant)
Environmental benefits	For example relieving pressure from pressurised fishing stocks or discards. You should set out any benefits on the environment associated with your project. These may include improvements to air quality, water quality, wildlife habitats, biodiversity and the use of primary materials
Other benefits	Such as social benefits. You should set out any expected social benefits associated with your project. This might include improvements to your company's reputation which is very real but harder to prove
Monitoring	Outline how you will measure these benefits during your project and after its completion. Make sure they are relatively easy for you to monitor, you do not have to make things too difficult for yourself

If you do not meet the targets and benefits originally agreed and set out in the offer letter issued to you by MMO then the level of achievement of the targets and benefits will be considered. MMO will take a proportionate approach to this consideration and where possible work with you to address the issues. MMO must protect the public funding elements of the EMFF scheme and any match funding wherever possible so recovery of funding already paid to you or the withholding of funding outstanding may occur if it is considered necessary.

## Part 5 What To Do Now

If you are ready to apply for funding you should make sure you have addressed the following;

- a) Certified Copy of your Identification documents (Passport/Driving Licence/Utility Bill(s)) (If Applicable)
- b) Letter To Show Proof Of Authority For (Joint) Partnerships (If Applicable)
- c) A Business Case (If Applicable)
- d) Your Organisation's Finances including 3 years accounts
- e) The correct number of quotes
- f) All projects, where there is a workforce of one or more persons, whether permanent or temporary, must comply with any sanitary rules appropriate to the UK
- g) You must confirm that you have read the associated General Guidance and this Investments on Board Fishing Vessels Guidance Note

# Please note that we will not be able to return the copies of any documents to you.

## Part 6 Ready to Apply?

The E-system can be accessed on the MMO website. The E-system is simple to use and you will be able to log-in and see the status of your application and upload documents such as quotes.

## **Contact Details**

#### Write to

European Grants Team
Marine Management Organisation
Lancaster House
Newcastle Business Park
Newcastle upon Tyne
NE4 7YH

#### **Telephone**

020802 65539

#### <u>Email</u>

EMFF.queries@marinemanagement.org.uk

You will receive an acknowledgement of your application within 5 working days of submission the MMO. We will inform you in writing within 8 weeks if your application has been successful or not, provided you have supplied all the necessary information and documentation. If it has been successful then you will be sent an Offer Letter which will contain further instructions.

If you are unsuccessful then see the MMO website for more information on reapplying, appealing and MMO's complaint procedure.