Determination of an Application for an Environmental Permit under the Environmental Permitting (England & Wales) Regulations 2016

Decision document recording our decision-making process

The Permit Number is: EPR/MP3333WX/V004
The Applicant / Operator is: Port Clarence Energy Limited
The Installation is located at: Teesside Renewable Energy Plant

What this document is about

This is a decision document, which accompanies a permit.

It explains how we have considered the Applicant's Application, and why we have included the specific conditions in the permit we are issuing to the Applicant. It is our record of our decision-making process, to show how we have taken into account all relevant factors in reaching our position. Unless the document explains otherwise, we have accepted the Applicant's proposals.

We try to explain our decision as accurately, comprehensively and plainly as possible. Achieving all three objectives is not always easy, and we would welcome any feedback as to how we might improve our decision documents in future. A lot of technical terms and acronyms are inevitable in a document of this nature: we provide a glossary of acronyms near the front of the document, for ease of reference.

Preliminary information and use of terms

We gave the application the reference number EPR/MP3333WX/V004. We refer to the application as "the **Application**" in this document in order to be consistent.

The number we have given to the permit is MP3333WX. We refer to the permit as "the **Permit**" in this document.

The Application was duly made on 09/03/2023.

The Applicant is Port Clarence Energy Limited. We refer to Port Clarence Energy Limited as "the **Applicant**" in this document. Where we are talking about what would happen after the Permit is granted (if that is our final decision), we call Port Clarence Energy Limited "the **Operator**".

Port Clarence Energy Limited's facility is located at Teesside Renewable Energy Plant. We refer to this as "the **Installation**" in this document.

How this document is structured

Contents

Glossary of acronyms used in this document

(Please note that this glossary is standard for our decision documents and therefore not all these acronyms are necessarily used in this document.)

AAD	Ambient Air Directive (2008/50/EC)
APC	Air Pollution Control
AQS	Air Quality Strategy
BAT	Best Available Technique(s)
BAT-AEL	BAT Associated Emission Level
BREF BAT C	Best Available Techniques (BAT) Reference Documents for Waste Incineration BAT conclusions
CEM	Continuous emissions monitor
CFD	Computerised fluid dynamics
CHP	Combined heat and power
COMEAP	Committee on the Medical Effects of Air Pollutants
CROW	Countryside and rights of way Act 2000
CV	Calorific value
CWI	Clinical waste incinerator
DAA	Directly associated activity – Additional activities necessary to be carried out to allow the principal activity to be carried out
DD	Decision document
EAL	Environmental assessment level
EIAD	Environmental Impact Assessment Directive (85/337/EEC)
ELV	Emission limit value
EMAS	EU Eco Management and Audit Scheme
EMS	Environmental Management System
EPR	Environmental Permitting (England and Wales) Regulations 2016 (SI 2016 No. 1154) as amended
EQS	Environmental Quality Standard
ES	Environmental standard
EWC	European waste catalogue
FGC	Flue gas cleaning
FPP	Fire prevention plan
FSA	Food Standards Agency
GWP	Global Warming Potential
HHRAP	Human Health Risk Assessment Protocol

HPA	Health Protection Agency (now UKHSA – UK Health Security Agency)
HW	Hazardous waste
HWI	Hazardous waste incinerator
IBA	Incinerator Bottom Ash
IED	Industrial Emissions Directive (2010/75/EU)
I-TEF	Toxic Equivalent Factors set out in Annex VI Part 2 of IED
I-TEQ	Toxic Equivalent Quotient calculated using I-TEF
LCV	Lower calorific value – also termed net calorific value
LfD	Landfill Directive (1999/31/EC)
LOI	Loss on Ignition
MBT	Mechanical biological treatment
MSW	Municipal Solid Waste
MWI	Municipal waste incinerator
NOx	Oxides of nitrogen (NO plus NO_2 expressed as NO_2)
OTNOC	Other than normal operating conditions
PAH	Polycyclic aromatic hydrocarbons
PC	Process Contribution
PCB	Polychlorinated biphenyls
PEC	Predicted Environmental Concentration
PHE	Public Health England (now UKHSA – UK Health Security Agency)
POP(s)	Persistent organic pollutant(s)
PPS	Public participation statement
PR	Public register
PXDD	Poly-halogenated di-benzo-p-dioxins
PXB	Poly-halogenated biphenyls
PXDF	Poly-halogenated di-benzo furans
RDF	Refuse derived fuel
RGN	Regulatory Guidance Note
SAC	Special Area of Conservation
SCR	Selective catalytic reduction
SNCR	Selective non-catalytic reduction
SPA(s)	Special Protection Area(s)

SS	Sewage sludge
SSSI(s)	Site(s) of Special Scientific Interest
SWMA	Specified waste management activity
TDI	Tolerable daily intake
TEF	Toxic Equivalent Factors
TGN	Technical guidance note
TOC	Total Organic Carbon
UHV	Upper heating value –also termed gross calorific value
UN_ECE	United Nations Environmental Commission for Europe
US EPA	United States Environmental Protection Agency
WFD	Waste Framework Directive (2008/98/EC)
WHO	World Health Organisation
WID	Waste Incineration Directive (2000/76/EC) – now superseded by IED

Links to guidance documents

The table below provides links to the key guidance documents referred to in this document. The links were correct at the time of producing this document.

Name of guidance document	Link
RGN 6: Determinations involving sites of high public interest	RGN 6
CHP Ready Guidance for Combustion and Energy from Waste Power Plants	CHP ready
Risk assessments for your environmental permit	Risk assessments
Guidance to Applicants on Impact Assessment for Group 3 Metals Stack Releases – version 4".	Metals guide
The Incineration of Waste (EPR 5.01)	EPR 5.01
Waste incineration BREF and BAT conclusions	BREF and BAT C
UKHSA: Municipal waste incinerators emissions: impact on health	UKHSA reports

1 Our decision

We have decided to grant the Permit to the Applicant. This will allow it to operate the Installation, subject to the conditions in the Permit.

We consider that, in reaching that decision, we have taken into account all relevant considerations and legal requirements and that the permit will ensure that a high level of protection is provided for the environment and human health.

This Application is to operate an installation which is subject principally to the Industrial Emissions Directive (IED).

The Permit contains many conditions taken from our standard Environmental Permit template including the relevant Annexes. We developed these conditions in consultation with industry, having regard to the legal requirements of the Environmental Permitting Regulations (EPR) and other relevant legislation. This document does not therefore include an explanation for these standard conditions. Where they are included in the permit, we have considered the Application and accepted that the details provided are sufficient and satisfactory to make use of the standard condition acceptable and appropriate. This document does, however, provide an explanation of our use of "tailor-made" or installation-specific conditions, or where our Permit template provides two or more options, an explanation of the reason(s) for choosing the option that has been specified.

2 How we reached our decision

2.1 Receipt of Application

The Application was duly made on 09/03/2023. This means we considered it was in the correct form and contained sufficient information for us to begin our determination but not that it necessarily contained all the information we would need to complete that determination: see section 2.3 below.

The Applicant made no claim for commercial confidentiality. We have not received any information in relation to the Application that appears to be confidential in relation to any party.

2.2 Consultation on the Application

We carried out consultation on the Application in accordance with the EPR, our statutory Public Participation Statement (PPS) and our own internal guidance RGN 6 for Determinations involving Sites of High Public Interest. RGN 6 was withdrawn as external guidance, but it is still relevant as Environment Agency internal guidance.
We consider that this process satisfies, and frequently goes beyond the requirements of the Aarhus Convention on Access to Information, Public

Participation in Decision-Making and Access to Justice in Environmental Matters, which are directly incorporated into the IED, which applies to the Installation and the Application. We have also taken into account our obligations under the Local Democracy, Economic Development and Construction Act 2009 (particularly Section 23). This requires us, where we consider it appropriate, to take such steps as we consider appropriate to secure the involvement of representatives of interested persons in the exercise of our functions, by providing them with information, consulting them or involving them in any other way. In this case, we consider that our consultation already satisfies the requirements of the 2009 Act.

We advertised the Application by a notice placed on our website, which contained all the information required by the IED, including telling people where and when they could see a copy of the Application.

We made a copy of the Application and all other documents relevant to our determination available to view on our Public Register. Anyone wishing to see these documents could do so and arrange for copies to be made.

We sent copies of the Application to the following bodies, which includes those with whom we have "Working Together Agreements":

- Fire Service
- Natural England
- Food Standards Agency
- Health and Safety Executive
- Local Authority Environmental Health
- UK Health Security Agency and local Director of Public Health

These are bodies whose expertise, democratic accountability and/or local knowledge make it appropriate for us to seek their views directly. Note under our Working Together Agreement with Natural England, we only inform Natural England of the results of our assessment of the impact of the installation on designated Habitats sites.

Further details along with a summary of consultation comments and our response to the representations we received can be found in Annex 4. We have taken all relevant representations into consideration in reaching our determination.

2.3 Requests for Further Information

Although we were able to consider the Application duly made, we did in fact need more information in order to determine it, and issued information notices on 19/05/2023 and 26/07/2023. A copy of each information notice was placed on our public register.

In addition to our information notices, we received additional information during the determination from the operator via email. We made a copy of this

information available to the public in the same way as the response(s) to our information notice(s).

3 The legal framework

The Permit will be granted, under Regulation 20 of the EPR. The Environmental Permitting regime is a legal vehicle which delivers most of the relevant legal requirements for activities falling within its scope. In particular, the regulated facility is:

- an *installation* and a *waste incineration plant* as described by the IED;
- an *operation* covered by the WFD, and
- subject to aspects of other relevant legislation which also have to be addressed.

We address some of the major legal requirements directly where relevant in the body of this document. Other requirements are covered in section 7 towards the end of this document.

We consider that, in granting the Permit, it will ensure that the operation of the Installation complies with all relevant legal requirements and that a high level of protection will be delivered for the environment and human health.

We explain how we have addressed specific statutory requirements more fully in the rest of this document.

4 The Installation

4.1 Description of the Installation and related issues

4.1.1 The permitted activities

The Installation is subject to the EPR because it carries out an activity listed in Part 1 of Schedule 1 to the EPR:

- Section 5.1 Part A(1)(b) – incineration of non-hazardous waste in a waste incineration plant or waste co-incineration plant with a capacity of 3 tonnes or more per hour.

The IED definition of “waste incineration plants” and “waste co-incineration plants” says that it includes:

> *“all incineration lines or co-incineration lines, waste reception, storage, on-site pre-treatment facilities, waste, fuel and air supply systems, boilers, facilities for the treatment of waste gases, on-site facilities for treatment or storage of residues and waste water, stacks, devices for controlling incineration or co-*

incineration operations, recording and monitoring incineration or co-incineration conditions."

Many activities which would normally be categorised as "directly associated activities" (DAA) for EPR purposes, such as air pollution control plant, (including storage and preparation of treatment chemicals e.g. lime slaking), and the ash storage bunker, are therefore included in the listed activity description.

An installation may also comprise "directly associated activities", which at this Installation includes the generation of electricity using a steam turbine and a back up electricity generator for emergencies. These activities comprise one installation, because the incineration plant and the steam turbine are successive steps in an integrated activity.

Together, these listed activities and directly associated activities comprise the Installation.

4.1.2 The Site

The site is located on Koppers Road, Huntsman Drive, Port Clarence, Stockton on Tees, TS2 1TT. An industrial cargo railway line is located to the northwest, with an area of salt marsh and brine pools beyond. The Teesmouth and Cleveland Coast SPA/RAMSAR/SSSI is located to the north and west of the site. The Transporter Bridge is located 880m west southwest of the site. Middlesborough Football Club's Riverside Stadium is located 780m south of the site, on the southern bank of the River Tees.

The site is located in an industrial area with a long history of heavy industry and port related works. Previous site uses include a workshop and steel production. The surrounding area has previously been used for chemical manufacturing, railways, iron works, coal storage, petroleum and gas refining, and petroleum, gas and oil storage. Nearby human receptors include residential areas in Port Clarence and Middlesbrough.

The Applicant submitted a plan which we consider is satisfactory, showing the site of the Installation and its extent. A plan is included in Schedule 7 to the Permit, and the Operator is required to carry on the permitted activities within the site boundary.

Further information on the site is addressed below at 4.3.

4.1.3 What the Installation does

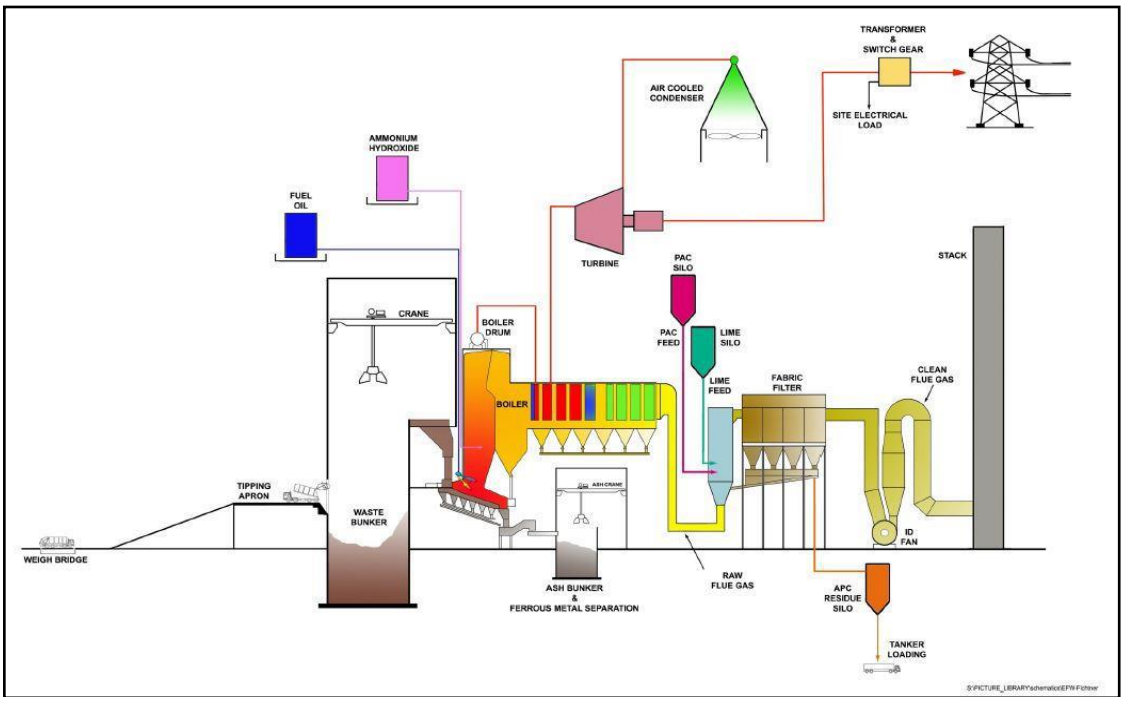
The Applicant has described the facility as Energy from Waste. Our view is that for the purposes of IED (in particular Chapter IV) and EPR, the installation is a waste incineration plant because:

Notwithstanding the fact that energy will be recovered from the process; the process is never the less 'incineration' because it is considered that its main purpose is the thermal treatment of waste.

The applicant was previously permitted to operate as a co-incineration plant, incinerating waste wood/biomass and generating electricity. This variation permits the applicant to operate an incineration plant, incinerating refuse derived fuel (RDF) to generate electricity. The variation makes the following changes:

- Additional EWC codes have been added to allow RDF to be used as a fuel.
- Modifications to the fuel handling and storage arrangements to facilitate processing RDF
- De-rating the boiler and reduced maximum capacity due to processing of RDF
- Modifications to the boiler and combustion control setting and flue gas cleaning system to facilitate processing RDF
- Modifications to ash handling systems

The main activities associated with the Installation will be the combustion of fuel to raise steam and the generation of electricity in a steam turbine/generator. The installation will be based around three main buildings comprising the turbine hall and boiler house, a fuel reception and storage building. The process diagram below shows the process from start to finish.



Waste derived fuel will be sourced from a range of municipal, commercial and industrial sources. Incoming fuels will be delivered in covered vehicles or containers. The fuel will be unloaded in the enclosed Fuel Reception Area and tipped into the below ground waste reception bunker. The existing cranes will move waste derived fuel from the storage area to the conveying system loading

hopper. The waste derived fuel is then transported via enclosed high-level conveyor to the enclosed above ground boiler feed fuel storage bunker.

Waste will be transferred from the waste storage bunker to the boiler fuel feed hopper via enclosed conveyor. The fuel storage area is maintained under negative pressure at all times, with the extracted air passing through a carbon filter to remove odour prior to exhausting to atmosphere via a dedicated stack located adjacent to the Waste Reception building.

The fuel will be transferred into the furnace and will fall onto the grate and will burn out gradually. The hearth, a mechanical moving grate design, will ensure continuous mixing of the fuel and promote good combustion. In a moving grate, the fuel is moved mechanically from the feed end, through a drying zone, a main combustion zone and, finally, a burn out zone. The purpose of the grate is to move and mix the fuel. Bottom ash (the inert burnt-out residue from the combustion process) is conveyed off the end of the grate where it is quenched with water and transferred to a storage area for transfer off-site.

Primary air for combustion will be fed to the underside of the grate by a single inverter-driven fan. Secondary air will be injected higher in the grate to create turbulence and ensure complete combustion. The volume of both primary and secondary air will be regulated by a combustion control system. The furnace will be designed to ensure that the exhaust gases are raised to a minimum temperature of 850°C. The main source of airflow will be controlled through the grate. The control system will regulate combustion conditions and control the boiler.

The heat released by the combustion of the fuel is recovered in a water tube boiler, which is integral to the furnace and will produce (in combination with superheaters) high pressure superheated steam. The steam from the boiler will then feed a steam turbine which will generate approximately 32.4 MW of electricity. The site electrical load will be approximately 3.5 MW, leaving approximately 28.9 MW of electrical power available for export to the local public electricity supply network.

The key features of the Installation can be summarised in the table below.

Waste throughput, Tonnes/line	333,000/annum	33.4/hour
Waste processed	Refuse Derived Fuel (RDF)	
Number of lines	1	
Furnace technology	Grate	
Auxiliary Fuel	Gas Oil	
Acid gas abatement	Dry	Lime
NOx abatement	SNCR	Ammonia/Urea
Reagent consumption	Auxiliary Fuel 2,340 te/annum Ammonia: 1,530 te/annum Lime/Other: 6,000 te/annum Activated carbon: 150 te/annum Process water: 55,300 te/annum	

Flue gas recirculation	Yes	
Dioxin abatement	Activated carbon	
Stack	451021, 521771	
	Height, 111 m	Diameter, 2.40 m
Flue gas	Flow, 60.52 Nm^3/s	Velocity, 15.37 m/s
	Temperature 117.1°C	
Electricity generated	32.4 MWe	255,000 MWh
Electricity exported	28.9 MWe	228,000 MWh
Steam conditions	Temperature, 450 °C	Pressure, 80 bar

4.1.4 Key Issues in the Determination

The key issues arising during determination of the Application were emissions to air and their impacts on nearby sensitive receptors and habitat sites and we therefore describe how we determined these issues in greater detail in the body of this document.

4.1.4 Admin Variation

During the determination of this application, the directors and registered office details of the operator were changed. Changes to the registered office and details of directors has been included in as an admin variation.

4.2 The site and its protection

4.2.1 Site setting, layout and history

The site, which extends to an area of some 5.33 hectares (13.17 acres), is located on land at Clarence Works, to the north west of Koppers UK, Port Clarence on the north side of the River Tees.

The northwest boundary of the site is formed by a railway line devoted to industrial cargo traffic. Further to the north lies an area of salt marsh and sunken brine pools that make up much of the natural habitat of the area. The Transporter Bridge stands some 880m to the west south west of the site. Middlesbrough Football Club's Riverside Stadium is situated almost directly to the south of the site on the south bank of the River Tees, some 780m from the site.

Clarence Works is an industrial area with a long history of heavy industry and port related works. The last previous use for the site was as a workshop, but this ended over 50 years ago. Prior to being a workshop, the site was used for steel production. The site and land surrounding has a long and complex industrial history, including: chemical manufacturing; railways; iron works; coal storage, petroleum oil and gas refining; and petroleum, oil and gas storage. Koppers UK, previously known as Bitmac, is a bitumen processing plant.

The site began development in October 2015. Construction ceased in 2019 and the facility was placed into a state of preservation.

4.2.2 Proposed site design: potentially polluting substances and prevention measures

Hazard	Receptor	Pathway	Risk Management
Spillage/leak of LPG, when tanker off-loading	Immediate area – air, land	Air, direct contact.	Deliveries will be from sealed tankers and off-loaded via a hose. Spillage will be prevented by good operating procedures, high tank level alarm/trips etc. Tanks will be located within suitably designed secondary containment.
Spillage/leak when unloading from delivery vehicles chemical containers (IBC's, drums, etc)	Immediate area – air, land	Air, direct contact.	Deliveries will be from road vehicles and off-loaded via mobile plant. Potential leaks/spills will be prevented by experienced mobile equipment operators undertaking unloading activities. Unloading activities will only be undertaken in areas of hard standing with sealed drainage. Chemical containers will be stored within suitably designed secondary containment.
Overfilling of vessels	Local environment air, land, water	Surface runoff, wind.	Training in unloading practices. Under manual control, continual observation. Impervious surfaces outdoors. High level alarms. Secondary containment for storage vessels.
Leak of demin water treatment and boiler water treatment chemicals	Immediate area - water	Surface runoff	Secondary containment for storage vessels. Routine inspection and maintenance. Impervious surface indoor, separate drains for process water.
Failure of containment (e.g. bund)	Immediate area – water, land	Surface runoff, wind, leaching.	Regular inspections of bunds.
Making the wrong connections to drains	Local environment – water	Direct contact, leaching.	Detailed site drainage plan, which will be available to all staff.
Contaminated fire water	Immediate area – water, land	Surface runoff, leaching.	Site drainage for external areas will be fitted with a shut-off alarm, linked to the fire detection systems to contain any fire fighting water from external areas. Additional storage will be available from site kerbing.
Spillage of air pollution control reagents when capping or changing filter bags.	Immediate area –air, land	Air, surface runoff, direct contact.	Enclosed system. Kept under suction by the ID fan. The fabric filter will have a number of cells. When capping or changing bags, the relevant cell will be isolated for a sufficient time to enable the dust to settle.
Lime / activated carbon leak during injection into APC system.	Immediate area – air	Air, surface runoff, direct contact.	Systems are enclosed and regular inspections/maintenance will be carried out. Reagents are injected via a completely enclosed dosing and conveying system.

In summary, due to the control measures described in the application, pollution risk from the proposed installation is likely to be low.

Under Article 22(2) of the IED the Applicant is required to provide a baseline report containing at least the information set out in paragraphs (a) and (b) of the Article before starting operation.

The Applicant has submitted a site condition report which includes a report on the baseline conditions as required by Article 22. We have reviewed that report and consider that it adequately describes the condition of the soil and groundwater prior to the start of operations.

The baseline report is an important reference document in the assessment of contamination that might arise during the operational lifetime of the installation and at cessation of activities at the installation

4.2.3 Closure and decommissioning

Having considered the information submitted in the Application, we are satisfied that the appropriate measures will be in place for the closure and decommissioning of the Installation, as referred to in 2.9 of the original Application. Pre-operational condition PO1 requires the Operator to have an Environmental Management System in place before the Installation is operational, and this will include a site closure plan.

At the definitive cessation of activities, the Operator has to satisfy us that the necessary measures have been taken so that the site ceases to pose a risk to soil or groundwater, taking into accounts both the baseline conditions and the site's current or approved future use. To do this, the Operator will apply to us for surrender of the permit, which we will not grant unless and until we are satisfied that these requirements have been met.

4.3 Operation of the Installation – general issues

4.3.1 Administrative issues

The Applicant is the sole Operator of the Installation.

We are satisfied that the Applicant is the person who will have control over the operation of the Installation after the granting of the Permit; and that the Applicant will be able to operate the Installation so as to comply with the conditions included in the Permit.

4.3.2 Management

The Applicant has stated in the Application that they will implement an Environmental Management System (EMS) that will be certified under ISO14001. A pre-operational condition (PO1) is included requiring the Operator to provide a summary of the EMS prior to commissioning of the plant and to make available for inspection all EMS documentation. The Environment Agency recognises that certification of the EMS cannot take place until the Installation

is operational. An improvement condition (IC1) is included requiring the Operator to report progress towards gaining accreditation of its EMS.

We are satisfied that appropriate management systems and management structures will be in place for this Installation, and that sufficient resources are available to the Operator to ensure compliance with all the Permit conditions.

4.3.3 Site security

Having considered the information submitted in the Application, we are satisfied that appropriate infrastructure and procedures will be in place to ensure that the site remains secure.

4.3.4 Accident management

The Applicant has not submitted an Accident Management Plan. However, having considered the other information submitted in the Application, we are satisfied that appropriate measures will be in place to ensure that accidents that may cause pollution are prevented but that, if they should occur, their consequences are minimised. An Accident Management Plan will form part of the Environmental Management System and must be in place prior to commissioning as required by a pre-operational condition (PO1).

The Applicant submitted a Fire Prevention Plan. We are satisfied that this plan will meet the criteria for fire prevention plans and achieve the below objectives:

- minimise the likelihood of a fire happening
- aim for a fire to be extinguished within 4 hours
- minimise the spread of fire within the site and to neighbouring sites

We have included pre-operational condition PO14 for the applicant to confirm details relating to the detailed designs for the provision and containment of firewater.

4.3.5 Off-site conditions

We do not consider that any off-site conditions are necessary.

4.3.6 Operating techniques

We have specified that the Applicant must operate the Installation in accordance with the following documents contained in the Application:

Description	Parts Included	Justification
The Application	*Response to question 3 on application form C3. Operating techniques described in the:*	Operating Techniques

	Supporting Information: All parts *Operating techniques: All parts* *Fire Prevention Plan: All parts*	
Response to Schedule 5 Notice dated 11/08/2023	*All Parts*	Odour Management Plan
Odour Management Plan Received 23/10/2023	*All Parts*	Describes operating techniques related to odour management
Fire Prevention Plan	*All Parts*	Describes operating techniques related to fire management

The details set out above describe the techniques that will be used for the operation of the Installation that have been assessed by us as BAT; they form part of the Permit through Permit condition 2.3.1 and Table S1.2 in the Permit Schedules.

We have also specified the following limits and controls on the use of raw materials and fuels:

Raw Material or Fuel	**Specifications**	**Justification**
Gas Oil	< 0.1% sulphur content	As required by Sulphur Content of Liquid Fuels Regulations.

Article 45(1) of the IED requires that the Permit must include a list of all types of waste which may be treated using at least the types of waste set out in the European Waste List established by Decision 2005/532/EC, EC, if possible, and containing information on the quantity of each type of waste, where appropriate. The Application contains a list of those wastes coded by the European Waste Catalogue (EWC) number, which the Applicant will accept in the waste streams entering the plant and which the plant is capable of burning in an environmentally acceptable way. We have specified the permitted waste types, descriptions and where appropriate quantities which can be accepted at the installation in Table S2.2.

We are satisfied that the Applicant can accept the wastes contained in Table S2.2 of the Permit because:

(i) these wastes are categorised as municipal waste in the European Waste Catalogue or are non-hazardous wastes similar in character to municipal waste;

(ii) the wastes are all categorised as non-hazardous in the European Waste Catalogue and are capable of being safely burnt at the Installation.

(iii) these wastes are likely to be within the design calorific value (CV) range for the plant;
(iv) these wastes are unlikely to contain harmful components that cannot be safely processed at the Installation.

The incineration plant will take municipal, commercial and industrial waste, which has not been source-segregated or separately collected or otherwise recovered, recycled or composted. The amount of recyclable material in the waste feed is largely outside the remit of this permit determination with recycling initiatives being a matter for the local authority.

We have limited the capacity of the Installation to 333,000 tonnes per annum. This is based on the installation operating 7,884 hours per year at a nominal capacity of 33.4 tonnes per hour.

The Installation will be designed, constructed and operated using BAT for the incineration of the permitted wastes. We are satisfied that the operating and abatement techniques are BAT for incinerating these types of waste. Our assessment of BAT is set out later in this document.

4.3.7 Energy efficiency

(i) Consideration of energy efficiency

We have considered the issue of energy efficiency in the following ways:

1. The use of energy within, and generated by, the Installation which are normal aspects of all EPR permit determinations. This issue is dealt with in this section.

2. The extent to which the Installation meets the requirements of Article 50(5) of the IED, which requires *"the heat generated* during the incineration and co-incineration process is *recovered as far as practicable through the generation of heat, steam or power*". This issue is covered in this section.

3. The combustion efficiency and energy utilisation of different design options for the Installation are relevant considerations in the determination of BAT for the Installation, including the Global Warming Potential of the different options. This aspect is covered in the BAT assessment in section 6 of this Decision Document.

(ii) Use of energy within the Installation

Having considered the information submitted in the Application, we are satisfied that appropriate measures will be in place to ensure that energy is used efficiently within the Installation.

The Application details a number of measures that will be implemented at the Installation in order to increase its energy efficiency. The Installation will be designed with careful attention being paid to all normal energy efficiency design features, such as high efficiency motors, high standards of cladding and insulation, etc. The plant will be designed to achieve a very high thermal efficiency applying the following measures.

1. The boilers will be equipped with economisers and superheaters to optimise thermal cycle efficiency without prejudicing boiler tube life, having regard for the nature of the fuel that is being burnt.
2. Unnecessary releases of steam and hot water will be avoided, to avoid the loss of boiler water treatment chemical and the heat contained within the steam and water.
3. Low grade heat will be extracted from the turbine and used to preheat combustion air in order to improve the efficiency of the thermal cycle.
4. Steady operation will be maintained where necessary by using auxiliary fuel firing.
5. Boiler heat exchange surfaces will be cleaned on a regular basis to ensure efficient heat recovery.

The Application states that the specific energy consumption, a measure of total energy consumed per unit of waste processed, will be 100 kWh/tonne. The installation capacity is *333,000* t/a.

The BREF says that electricity consumption is typically between 60 KWh/t and 190 KWh/t depending on the LCV of the waste.

The LCV in this case is expected to be *9* MJ/kg. The specific energy consumption in the Application is in line with that set out above.

(iii) Generation of energy within the Installation - Compliance with Article 50(5) of the IED

Article 50(5) of the IED requires that *"the heat generated during the incineration and co-incineration process is recovered as far as practicable*".

Our combined heat and power (CHP) Ready Guidance - February 2013 considers that BAT for energy efficiency for Energy from Waste (EfW) plant is the use of CHP in circumstances where there are technically and economically viable opportunities for the supply of heat from the outset.

The term CHP in this context represents a plant which also provides a supply of heat from the electrical power generation process to either a district heating network or to an industrial / commercial building or process. However, it is

recognised that opportunities for the supply of heat do not always exist from the outset (i.e. when a plant is first consented, constructed and commissioned).

In cases where there are no immediate opportunities for the supply of heat from the outset, we consider that BAT is to build the plant to be CHP Ready (CHP-R) to a degree which is dictated by the likely future opportunities which are technically viable and which may, in time, also become economically viable.

The BREF says that 0.4 – 0.8 MWh of electricity can be generated per tonne of waste. Our technical guidance note, EPR S5.01, states that where electricity only is generated, 5-9 MW of electricity should be recoverable per 100,000 tonnes/annum of waste (which equates to 0.4 – 0.72 MWh/tonne of waste).

The Installation will generate electricity only and has been specified to maximise electrical output with little or no use of waste heat. The Sankey diagram in Appendix B (Supporting Information) of the Application shows 11 MW of electricity produced per 100,000 tonnes/yr of waste burned (0.87 MWh/tonne of waste). For an annual burn of 263,000 tonnes, this represents 28.9 MW. The Installation is therefore exceeding the indicative BAT range.

The Applicant provided a calculation of the net electrical efficiency. The net electrical efficiency was calculated as 28.34%. The gross electrical efficiency will be even higher than this value.

The BAT AEEL for gross electrical efficiency is 20-35. The Installation is therefore considered to be BAT.

(iv) Permit conditions concerning energy efficiency

Pre-operational condition PO3 requires the Operator to carry out a comprehensive review of the available heat recovery options prior to commissioning, in order to ensure that waste heat from the plant is recovered as far as possible.

Conditions 1.2.2 and 1.2.3 are also included in the Permit, which require the Operator to review the options available for heat recovery on an ongoing basis, and to provide and maintain the proposed steam/hot water pass-outs.

The Operator is required to report energy usage and energy generated under condition 4.2 and Schedule 5 of the Permit. The following parameters are required to be reported: total electrical energy generated; electrical energy exported; total energy usage and energy exported as heat (if any). Together with the total MSW burned per year, this will enable the us to monitor energy recovery efficiency at the Installation and take action if at any stage the energy recovery efficiency is less than proposed.

There are no site-specific considerations that require the imposition of standards beyond indicative BAT, and so we accept that the Applicant's proposals represent BAT for this Installation.

4.3.8 Efficient use of raw materials

Having considered the information submitted in the Application, we are satisfied that the appropriate measures will be in place to ensure that the Operator will make efficient use of raw materials and water.

The Operator is required to report with respect to raw material usage under condition 4.2. and Schedule 5, including consumption of lime, activated carbon and urea / ammonia used per tonne of waste burned. This will enable the Environment Agency to assess whether there have been any changes in the efficiency of the air pollution control plant, and the operation of the SNCR to abate NO_x. These are the most significant raw materials that will be used at the Installation, other than the waste feed itself (addressed elsewhere). The efficiency of the use of auxiliary fuel will be tracked separately as part of the energy reporting requirement under condition 4.2.1. Optimising reagent dosage for air abatement systems and minimising the use of auxiliary fuels is further considered in the section on BAT.

4.3.9 Avoidance, recovery or disposal with minimal environmental impact of wastes produced by the permitted activities

This requirement addresses wastes produced at the Installation and does not apply to the waste being treated there. The principal waste streams the Installation will produce are incinerator bottom ash (IBA), air pollution control (APC) residues and recovered metals.

The first objective is to avoid producing waste at all. Waste production will be avoided by achieving a high degree of burnout of the ash in the furnace, which results in a material that is both reduced in volume and in chemical reactivity. Condition 3.1.3 and associated Table S3.4 specify limits for total organic carbon (TOC) of <3% in bottom ash. Compliance with this limit will demonstrate that good combustion control and waste burnout is being achieved in the furnaces and waste generation is being avoided where practicable.

IBA will normally be classified as non-hazardous waste. However, IBA is classified on the European List of Wastes as a “mirror entry”, which means IBA is a hazardous waste if it possesses a hazardous property relating to the content of dangerous substances. Monitoring of IBA at the Installation will be carried out in accordance with the requirements of Article 53(3) of IED. Classification of IBA for its subsequent use or disposal is controlled by other legislation and so is not duplicated within the Permit.

APC residues from flue gas treatment are hazardous waste and therefore must be sent for disposal to a landfill site permitted to accept hazardous waste, or to an appropriately permitted facility for hazardous waste treatment. The amount of APC residues is minimised through optimising the performance of the air emissions abatement plant.

In order to ensure that the IBA residues are adequately characterised, pre-operational condition PO4 requires the Operator to provide a written plan for approval detailing the IBA sampling protocols. Table S3.4 requires the Operator to carry out an ongoing programme of monitoring.

There is no change to disposal/recovery or recycling of bottom ash and recovered metals as a result of this variation.

Having considered the information submitted in the Application, we are satisfied that the waste hierarchy referred to in Article 4 of the Waste Framework Directive (WFD) will be applied to the generation of waste and that any waste generated will be treated in accordance with that Article.

We are satisfied that waste from the Installation that cannot be recovered will be disposed of using a method that minimises any impact on the environment. Standard condition 1.4.1 will ensure that this position is maintained.

5 Minimising the Installation's environmental impact

Regulated activities can present different types of risk to the environment, these include odour, noise and vibration; accidents, fugitive emissions to air and water; as well as point source releases to air, discharges to ground or groundwater, global warming potential (GWP) and generation of waste and other environmental impacts. Consideration may also have to be given to the effect of emissions being subsequently deposited onto land (where there are ecological receptors). All these factors are discussed in this and other sections of this document.

For an installation of this kind, the principal emissions are those to air, although we also consider those to land and water.

The next sections of this document explain how we have approached the critical issue of assessing the likely impact of the emissions to air from the Installation on human health and the environment and what measures we are requiring to ensure a high level of protection.

5.1 Assessment Methodology

5.1.1 Application of Environment Agency guidance 'risk assessments for your environmental permit'

A methodology for risk assessment of point source emissions to air, which we use to assess the risk of applications we receive for permits, is set out in our guidance 'Air emissions risk assessment for your environmental permit' and has the following steps:

- Describe emissions and receptors
- Calculate process contributions

- Screen out insignificant emissions that do not warrant further investigation
- Decide if detailed air modelling is needed
- Assess emissions against relevant standards
- Summarise the effects of emissions

The methodology uses a concept of “process contribution (PC)”, which is the estimated concentration of emitted substances after dispersion into the receiving environmental media at the point where the magnitude of the concentration is greatest. The methodology provides a simple method of calculating PC primarily for screening purposes and for estimating process contributions where environmental consequences are relatively low. It is based on using dispersion factors. These factors assume worst case dispersion conditions with no allowance made for thermal or momentum plume rise and so the process contributions calculated are likely to be an overestimate of the actual maximum concentrations. More accurate calculation of process contributions can be achieved by mathematical dispersion models, which take into account relevant parameters of the release and surrounding conditions, including local meteorology – these techniques are expensive but normally lead to a lower prediction of PC.

5.1.2 Use of Air Dispersion Modelling

For incineration applications, we normally require the Applicant to submit a full air dispersion model as part of their application. Air dispersion modelling enables the process contribution to be predicted at any environmental receptor that might be impacted by the plant.

Once short-term and long-term PCs have been calculated in this way, they are compared with Environmental Standards (ES) for air emissions. ES are described in our web guide ‘Air emissions risk assessment for your environmental permit’.

Our web guide sets out the relevant ES as:

- Air Quality Standards Regulations 2010 Limit Values
- Air Quality Standards Regulations 2010 Target Values
- UK Air Quality Strategy Objectives
- Environmental Assessment Levels

Where a Limit Value exists, the relevant standard is the Limit Value. Where a Limit Value does not exist, target values, UK Air Quality Strategy (AQS) Objectives or Environmental Assessment Levels (EALs) are used. Our web guide sets out EALs which have been derived to provide a similar level of protection to human health and the environment as the limit values, target values and AQS objectives. In a very small number of cases, e.g. for emissions of lead, the AQS objective is more stringent that the Limit Value. In such cases, we use the AQS objective for our assessment.

Target values, AQS objectives and EALs do not have the same legal status as Limit Values, and there is no explicit requirement to impose stricter conditions than BAT in order to comply with them. However, they are a standard for harm and any significant contribution to a breach is likely to be unacceptable.

PCs are screened out as **Insignificant** if:

- the **long-term** PC is less than **1%** of the relevant ES; and
- the **short-term** PC is less than **10%** of the relevant ES.

The **long term** 1% PC insignificance threshold is based on the judgements that:

- It is unlikely that an emission at this level will make a significant contribution to air quality;
- The threshold provides a substantial safety margin to protect human health and the environment.

The **short term** 10% PC insignificance threshold is based on the judgements that:

- spatial and temporal conditions mean that short term process contributions are transient and limited in comparison with long term process contributions;
- the threshold provides a substantial safety margin to protect human health and the environment.

Where an emission is screened out in this way, we would normally consider the Applicant's proposals for the prevention and control of the emission to be BAT. That is because if the impact of the emission is already insignificant, it follows that any further reduction in this emission will also be insignificant.

However, where an emission cannot be screened out as insignificant, it does not mean it will necessarily be significant.

For those pollutants which do not screen out as insignificant, we determine whether exceedances of the relevant ES are likely. This is done through detailed audit and review of the Applicant's air dispersion modelling taking background concentrations and modelling uncertainties into account. Where an exceedance of an AAD limit value is identified, we may require the applicant to go beyond what would normally be considered BAT for the Installation or we may refuse the application if the applicant is unable to provide suitable proposals. Whether or not exceedances are considered likely, the application is subject to the requirement to operate in accordance with BAT.

This is not the end of the risk assessment, because we also take into account local factors (for example, particularly sensitive receptors nearby such as a SSSIs, SACs or SPAs). These additional factors may also lead us to include more stringent conditions than BAT.

If, as a result of reviewing the risk assessment and taking account of any additional techniques that could be applied to limit emissions, we consider that emissions **would cause significant pollution**, we would refuse the Application.

5.2 Assessment of Impact on Air Quality

The Applicant's assessment of the impact of air quality is set out in Appendices D, E and F of the Supporting Information document of the Application. The assessment comprises:

- Dispersion modelling of emissions to air from the operation of the incinerator.
- A study of the impact of emissions on nearby protected conservation areas

This section of the decision document deals primarily with the dispersion modelling of emissions to air from the incinerator chimney and its impact on local air quality. The impact on conservation sites is considered in section 5.4 and potential odour impacts including those during plant shutdowns are considered in section 5.7.

The Applicant has assessed the Installation's potential emissions to air against the relevant air quality standards, and the potential impact upon local conservation and habitat sites and human health. These assessments predict the potential effects on local air quality from the Installation's stack emissions using the air dispersion model software ADMS 5.2 dispersion model, which is a commonly used computer model for regulatory dispersion modelling. The model used 5 years of meteorological data collected from the weather station at Teesside International Airport between 2017 and 2021. This weather station was chosen because it is located approximately 16 km to the southwest of the Facility and was the closest and most representative meteorological station available. The effect of the terrain surrounding the site upon plume dispersion was considered in the dispersion modelling.

The air impact assessments, and the dispersion modelling upon which they were based, employed the following assumptions.

- First, they assumed that the ELVs in the Permit would be the maximum permitted by Article 15(3), Article 46(2) and Annex VI of the IED. These substances are:
    - Oxides of nitrogen (NO_x), expressed as NO_2
    - Total dust
    - Carbon monoxide (CO)
    - Sulphur dioxide (SO_2)
    - Hydrogen chloride (HCl)
    - Hydrogen fluoride (HF)
    - Metals (cadmium, mercury, antimony, arsenic, lead, chromium, cobalt, copper, manganese, nickel and vanadium)
    - Polychlorinated dibenzo-para-dioxins and polychlorinated dibenzo furans (referred to as dioxins and furans)
    - Gaseous and vaporous organic substances, expressed as Total Organic Carbon (TOC)
    - Ammonia (NH_3)

- Second, they assumed that the Installation operates continuously at the relevant long-term or short-term ELVs, i.e. the maximum permitted emission rate (metals are considered further in section 5.2.3 of this decision document).
- Third, the model also considered emissions of pollutants not covered by Annex VI of IED, specifically, polycyclic aromatic hydrocarbons (PAH) and polychlorinated biphenyls (PCBs). Emission rates used in the modelling have been drawn from data in the Waste Incineration BREF and are considered further in section 5.2.2.

We are in agreement with this approach. The assumptions underpinning the model have been checked and are a reasonable worst-case.

The Applicant established the background (or existing) air quality against which to measure the potential impact of the incinerator. The Applicant used background data from different air quality networks spread across the UK and Defra background maps for the pollutants considered. We have reviewed the data and can confirm they are reasonably representative.

As well as predicting the maximum ground level concentration of the pollutants within the modelling domain, the Applicant has modelled several discrete receptor locations to represent human and ecological exposure.

The Applicant's use of the dispersion models, selection of input data, use of background data and the assumptions made, have been reviewed by our modelling specialists to establish the robustness of the Applicant's air impact assessment. The output from the model has then been used to inform further assessment of human health impacts and impact on protected conservation areas. Our audit takes account of modelling uncertainties. We make reasonable worst case assumptions and use the uncertainties (minimum 140%) in analysing the likelihood of exceeding any particular standard.

Our review of the Applicant's assessment leads us to agree with the Applicant's conclusions. We have also audited the air quality and human health impact assessment and similarly agree that the conclusions drawn in the reports were acceptable.

During determination new Environmental Assessment Levels (EAL) were implemented for a few pollutants including some metals. The values were updated on the GOV.UK risk assessment page on 20 November 2023, Air emissions risk assessment for your environmental permit - GOV.UK (www.gov.uk).

We checked the applicants modelling against these new EALs and carried out our own screening checks. We are satisfied that the new EALs do not change the conclusions of our audit.

The Applicant's modelling predictions are summarised in the following sections.

5.2.1 Assessment of Air Dispersion Modelling Outputs

The Applicant's modelling predictions are summarised in the tables below.

The Applicant's modelling predicted peak ground level exposure to pollutants in ambient air. We have conservatively assumed that the maximum concentrations occur at the location of receptors.

As part of our checks, we carry out sensitivity analysis of the data provided and conduct our own check modelling to ensure that the applicant's modelling predictions are reliable.

Whilst we have used the Applicant's modelling predictions in the table below, we have made our own simple verification calculation of the percentage PC and predicted environmental concentration (PEC). These are the numbers shown in the tables below and so may be very slightly different to those shown in the Application. Any such minor discrepancies do not materially impact on our conclusions.

Pollutant	ES		Back-ground	Process Contribution (PC)		Predicted Environmental Concentration (PEC)	
	µg/m^3	Rererence period	µg/m^3	µg/m^3	% of EAL	µg/m^3	% of EAL
NO_2	40	Annual Mean	28.68	0.37	0.93	N/A	N/A
	200	99.79th %ile of 1-hour means	57.36	5.78	2.9	N/A	N/A
PM_{10}	40	Annual Mean	18	0.02	0.05	N/A	N/A
	50	90.41st %ile of 24-hour means	36	0.09	0.18	N/A	N/A
$PM_{2.5}$	20	Annual Mean	10	0.02	0.10	N/A	N/A
SO_2	266	99.9th %ile of 15-min means	4	5.01	1.9	N/A	N/A
	350	99.73rd %ile of 1-hour means	4	3.88	1.11	N/A	N/A
	125	99.18th %ile of 24-hour means	4	1.01	0.8	N/A	N/A
HCl	750	1-hour average	1.42	1.74	0.232	N/A	N/A
HF	16	Monthly Mean	2.35	0.002	0.01	N/A	N/A
	160	1-hour average	4.7	0.17	0.10625	N/A	N/A
CO	10000	Maximum daily running 8-hour mean	764	5.1	0.05	N/A	N/A
	30000	1-hour average	764	8.68	0.03	N/A	N/A
TOC[1]	2.25	Annual Mean	0.32	0.02	**0.89**[3]	0.34	15.11
	30	Daily average	2.2	0.27	0.90	N/A	N/A
PAH[2]	0.00025	Annual Mean	0.00018	4.8E-07	0.19	N/A	N/A
NH_3	180	Annual Mean	3.4	0.02	0.01	N/A	N/A
	2500	1-hour average	6.8	1.74	0.07	N/A	N/A

Pollutant	ES		Back-ground	Process Contribution (PC)		Predicted Environmental Concentration (PEC)	
	µg/m³	Rererence period	µg/m³	µg/m³	% of EAL	µg/m³	% of EAL
PCBs	0.2	Annual Mean	0.00013	0.00001	0.01	N/A	N/A
	6	1-hour average	0.26	0.08	1.33	N/A	N/A

1. TOC as 1,3 butadiene for long term and benzene for short term
2. PAH as benzo[a]pyrene
3. Applicant value is 1.06%, exceeding 1% of the EAL.

Pollutant	ES		Back-ground	Process Contribution		Predicted Environmental Concentration	
	ng/m3	Reference period	ng/m3	ng/m3	% of EAL	ng/m3	% of EAL
Cd	5	Annual mean	0.12	0.12	**2.4**	0.24	4.8
Tl							
Hg	250	Annual mean	2.1	0.12	0.05	N/A	N/A
	7500	1-hour average	4.2	8.68	0.12	N/A	N/A
Sb	5000	Annual mean	1.3	1.2	0.024	N/A	N/A
	150000	1-hour average	2.6	86.83	0.06	N/A	N/A
Pb	250	Annual mean	4.3	1.2	0.48	N/A	N/A
Co	-	-	0.03	1.2		0.04	-
Cu	10000	Annual mean	2.2	1.2	0.012	N/A	N/A
	200000	1-hour average	4.4	86.83	0.043	N/A	N/A
Mn	150	Annual mean	4.1	1.2	0.8	N/A	N/A
	1500000	1-hour average	8.2	86.83	0.006	N/A	N/A
V	5000	Annual mean	0.65	1.2	0.024	N/A	N/A
	1000	24-hr average	1.3	13.73	1.373	N/A	N/A
As	6	Annual mean	0.39	1.2	**20**	1.59	26.5
Cr (II)(III)	5000	Annual mean	1.6	1.2	0.024	N/A	N/A
	150000	1-hour average	3.2	86.83	0.058	N/A	N/A
Cr (VI)	0.25	Annual mean	0.32	1.2	**480**	1.52	**608**
Ni	20	Annual mean	0.51	1.2	**6.0**	1.71	8.6

(i) Screening out emissions which are insignificant

From the tables above the following emissions can be screened out as insignificant in that the PC is < 1% of the long term ES and <10% of the short term ES. These are:

- Nitrogen dioxide
- PM_{10}

- $PM_{2.5}$
- Sulphur dioxide
- Hydrogen chloride
- Hydrogen fluoride
- Carbon monoxide
- TOC (daily average)
- PAHs
- Ammonia
- PCBs

Therefore we consider the Applicant's proposals for preventing and minimising the emissions of these substances to be BAT for the Installation subject to the detailed audit referred to below.

(ii) Emissions unlikely to give rise to significant pollution

Also from the tables above the following emissions (which were not screened out as insignificant) have been assessed as being unlikely to give rise to significant pollution in that the PEC is less than 100% (taking expected modelling uncertainties into account) of both the long term and short term ES.

- TOC (annual mean)

For these emissions, we have carefully scrutinised the Applicant's proposals to ensure that they are applying BAT to prevent and minimise emissions of these substances. This is reported in section 6 of this document.

(iii) Emissions requiring further assessment

All emissions either screen out as insignificant or where they do not screen out as insignificant are considered unlikely to give rise to significant pollution. Therefore, we are satisfied that there are no emissions requiring further assessment.

5.2.2 Consideration of key pollutants

(i) Nitrogen dioxide (NO_2)

The impact on air quality from NO_2 emissions has been assessed against the ES of 40 $\mu g/m^3$ as a long term annual average and 200 $\mu g/m^3$ as a short term hourly average.
The model assumes a 70% NO_X to NO_2 conversion for the long term and 35% for the short term assessment in line with Environment Agency guidance on the use of air dispersion modelling.

The above tables show that the maximum long term PC is less than 1% of the ES and the maximum short term PC is less than 10% of the ES and so can be screened out as insignificant. Therefore, we consider the Applicant's proposals

for preventing and minimising the emissions of these substances to be BAT for the Installation.

(ii) Particulate matter PM_{10} and $PM_{2.5}$

The impact on air quality from particulate emissions has been assessed against the ES for PM_{10} (particles of 10 microns and smaller) and $PM_{2.5}$ (particles of 2.5 microns and smaller). For PM_{10}, the ES are a long term annual average of 40 $\mu g/m^3$ and a short term daily average of 50 $\mu g/m^3$. For $PM_{2.5}$ the ES of 20 $\mu g/m^3$ as a long-term annual average was used, having changed from 25 $\mu g/m^3$ in 2020.

The Applicant's predicted impact of the Installation against these ES is shown in the tables above. The assessment assumes that **all** particulate emissions are present as PM_{10} for the PM_{10} assessment and that **all** particulate emissions are present as $PM_{2.5}$ for the $PM_{2.5}$ assessment.

The above assessment is considered to represent a worst case assessment in that:

- It assumes that the plant emits particulates continuously at the IED Annex VI limit for total dust, whereas actual emissions from similar plant are normally lower.
- It assumes all particulates emitted are below either 10 microns (PM_{10}) or 2.5 microns ($PM_{2.5}$), when some are expected to be larger.

We have reviewed the Applicant's particulate matter impact assessment and are satisfied in the robustness of the Applicant's conclusions.

The above table shows that the predicted PC for emissions of PM_{10} is below 1% of the long term ES and below 10% of the short term ES and so can be screened out as insignificant. Therefore, we consider the Applicant's proposals for preventing and minimising the emissions of particulates to be BAT for the Installation.

The above table also shows that the predicted PC for emissions of $PM_{2.5}$ is also below 1% of the ES. Therefore, the Environment Agency concludes that particulate emissions from the installation, including emissions of PM_{10} or $PM_{2.5}$, will not give rise to significant pollution.

There is currently no emission limit prescribed nor any continuous emissions monitor for particulate matter specifically in the PM_{10} or $PM_{2.5}$ fraction. Whilst we are confident that current monitoring techniques will capture the fine particle fraction ($PM_{2.5}$) for inclusion in the measurement of total particulate matter, an improvement condition (IC2) has been included that will require a full analysis of particle size distribution in the flue gas, and hence determine the ratio of fine to coarse particles. In the light of current knowledge and available data however we are satisfied that the health of the public would not be put at risk by such emissions, as explained in section 5.3.3.

(iii) Acid gases, sulphur dioxide (SO_2), hydrogen chloride (HCl) and hydrogen fluoride (HF)

From the tables above, emissions of HCl and HF can be screened out as insignificant in that the process contribution is <10% of the short term ES. The ES for HCl is 750 $\mu g/m^3$, this is an hourly short term average, there is no long term ES for HCl. HF has 2 assessment criteria – a 1-hr ES of 160 $\mu g/m^3$ and a monthly ES of 16 $\mu g/m^3$ – the process contribution is <1% of the monthly ES and so the emission screens out as insignificant if the monthly ES is interpreted as representing a long term ES.

There is no long term EAL for SO_2 for the protection of human health. Protection of ecological receptors from SO_2 for which there is a long term ES is considered in section 5.4. There are three short term ES, hourly of 350 $\mu g/m^3$, 15 – minute of 266 $\mu g/m^3$ and daily of 125 $\mu g/m^3$.

From the above table, emissions of SO_2 can be screened out as insignificant in that the short term process contribution is <10% of each of the three short term ES values. Therefore, we consider the Applicant's proposals for preventing and minimising the emissions of these substances to be BAT for the Installation.

(iv) Emissions to air of carbon monoxide (CO), Volatile Organic Compounds (VOCs), Polycyclic Aromatic Hydrocarbons (PAHs), Polychlorinated Biphenyls (PCBs), Dioxins and ammonia (NH_3)

The above tables show that for CO emissions, the maximum short term PC is less than 10% of the ES and so can be screened out as insignificant. Therefore, we consider the Applicant's proposals for preventing and minimising the emissions of these substances to be BAT for the Installation.

The above tables show that for VOC emissions, the maximum long term PC is greater than 1% of the ES and therefore cannot be screened out as insignificant. However, the emission is not expected to result in the ES being exceeded.

The Applicant has used the ES for 1,3 butadiene for their assessment of the impact of VOC. This is based on 1,3 butadiene having the lowest ES of organic species likely to be present in VOC (other than PAH, PCBs, dioxins and furans).

The above tables show that for PAH and PCB emissions, the maximum long term PC is less than 1% of the ES and the maximum short term PC is less than 10% of the ES for PCBs and so can be screened out as insignificant. Therefore, we consider the Applicant's proposals for preventing and minimising the emissions of these substances to be BAT for the Installation.

The Applicant has also used the ES for benzo[a]pyrene (BaP) for their assessment of the impact of PAH. We agree that the use of the BaP ES is sufficiently precautionary.

There is no ES for dioxins and furans as the principal exposure route for these substances is by ingestion and the risk to human health is through the accumulation of these substances in the body over an extended period of time. This issue is considered in more detail in section 5.3.

From the tables above all the other emissions can be screened out as insignificant in that the PC is < 1% of the long term ES and <10% of the short term ES.

The ammonia emission is based on a release concentration of 10 mg/m^3. We are satisfied that this level of emission is consistent with the operation of a well controlled SNCR NO_x abatement system.

Whilst all emissions cannot be screened out as insignificant, the Applicant's modelling shows that the installation is unlikely to result in a breach of the ES. The Applicant is required to prevent, minimise and control PAH and VOC emissions using BAT, this is considered further in Section 6. We are satisfied that PAH and VOC emissions will not result in significant pollution.

(V) Summary

For the above emissions to air, for those emissions that have not screened out as insignificant, we have carefully scrutinised the Applicant's proposals to ensure that they are applying the BAT to prevent and minimise emissions of these substances. This is reported in section 6 of this document. Therefore, we consider the Applicant's proposals for preventing and minimising emissions to be BAT for the Installation. Dioxins and furans are considered further in section 5.3.2.

5.2.3 Assessment of Emission of Metals

The Applicant has assessed the impact of metal emissions to air, as previously described.

In addition, the UK is a Party to the Heavy Metals Protocol within the framework of the UN-ECE Convention on long-range trans-boundary air pollution. Compliance with the IED Annex VI emission limits for metals along with the Application of BAT also ensures that these requirements are met.

In section 5.2.1 above, the following emissions of metals were screened out as insignificant:

- Mercury
- Antimony
- Lead
- Copper
- Manganese
- Vanadium
- Chromium (II)(III)

Also in section 5.2.1, the following emissions of metals whilst not screened out as insignificant were assessed as being unlikely to give rise to significant pollution:

- Cadmium
- Arsenic
- Nickel

This left emissions of chromium (VI) requiring further assessment. For all other metals, the Applicant has concluded that exceedances of the EAL for all metals are not likely to occur.

Where the BREF sets an aggregate limit, the Applicant's assessment assumes that each metal is emitted individually at the relevant aggregate emission limit value. This is a something which can never actually occur in practice as it would inevitably result in a breach of the said limit, and so represents a very much worst case scenario.

For metals (cadmium, arsenic, nickel and chromium (VI)), the Applicant used representative emissions data from other municipal waste incinerators using our guidance note Please refer to "Guidance to Applicants on Impact Assessment for Group 3 Metals Stack Releases – version 4". Measurement of Chromium (VI) at the levels anticipated at the stack emission points is expected to be difficult, with the likely levels being below the level of detection by the most advanced methods.
Data for Cr (VI) was based on total Cr emissions measurements and the proportion of total Cr to Cr (VI) in APC residues.

Based on the above, the following emissions of metals were screened out as insignificant:

- Chromium (VI)

The following emissions of metals whilst not screened out as insignificant were assessed as being unlikely to give rise to significant pollution:

- Cadmium
- Arsenic
- Nickel

The installation has been assessed as meeting BAT for control of metal emissions to air. See section 6 of this document.

5.2.4 Consideration of Local Factors

(i) Impact on Air Quality Management Areas (AQMAs)

No AQMAs have been declared within an area likely to be affected by emissions from the Installation.

5.3 Human health risk assessment

5.3.1 Our role in preventing harm to human health

The Environment Agency has a statutory role to protect the environment and human health from all processes and activities it regulates. We assessed the effects on human health for this application in the following ways:

i) Applying Statutory Controls

The plant will be regulated under EPR. The EPR include the requirements of relevant EU Directives, notably, the IED, the WFD, and ADD.

The main conditions in an EfW permit are based on the requirements of the IED. Specific conditions have been introduced to specifically ensure compliance with the requirements of Chapter IV of the IED. The aim of the IED is to prevent or, where that is not practicable, to reduce emissions to air, water and land and prevent the generation of waste, in order to achieve a high level of protection of the environment taken as a whole. IED achieves this aim by setting operational conditions, technical requirements and emission limit values to meet the requirements set out in Articles 11 and 18 of the IED. These requirements may in some circumstances dictate tighter emission limits and controls than those set out in the BAT conclusions (BAT-C) or Chapter IV of IED on waste incineration and co-incineration plants. The assessment of BAT for this installation is detailed in section 6 of this document.

ii) Environmental Impact Assessment

Industrial activities can give rise to odour, noise and vibration, accidents, fugitive emissions to air and water, releases to air (including the impact on Photochemical Ozone Creation Potential (POCP)), discharges to ground or groundwater, GWP and the generation of waste. For an installation of this kind, the principal environmental effects are through emissions to air, although we also consider all of the other impacts listed. Section 5.1 and 5.2 above explain how we have approached the critical issue of assessing the likely impact of the emissions to air from the Installation on human health and the environment and any measures we are requiring to ensure a high level of protection.

iii) Expert Scientific Opinion

There is a significant amount of literature on whether there are links between operation of incineration plants and effects on health. We have not referenced them here, but we have included information on one of the most recent studies that was commissioned by the UK Health Security Agency (UKHSA), previously Public Health England (PHE). The overall weight of the evidence is that there is not a significant impact on human health.

UKHSA review research undertaken to examine suggested links between emissions from municipal waste incinerators and effects on health. UKHSA's risk assessment is that modern, well run and regulated municipal waste

incinerators are not a significant risk to public health. While it is not possible to rule out adverse health effects from these incinerators completely, any potential effect for people living close by is likely to be very small.

UKHSA keep literature on health effects under review and would inform us if there were any changes to the above position. Similarly, we would consult UKHSA if new evidence was provided to us.

In 2012 the UK Small Area Health Statistics Unit (SAHSU) at Imperial College was commissioned by PHE to carry out a study to extend the evidence base and to provide further information to the public about any potential reproductive and infant health risks from municipal waste incineration (MWIs).

A number of papers have been published by SAHSU since 2012 which show no effect on birth outcomes. One paper in the study looked at exposure to emissions from MWIs in the UK and concluded that exposure was low. Subsequent papers found no increased risk of a range of birth outcomes (including stillbirth and infant mortality) in relation to exposure to PM_{10} emissions and proximity to MWIs, and no association with MWIs opening on changes in risks of infant mortality or sex ratio.

The final part of the study, published on 21/06/19, found no evidence of increased risk of congenital anomalies from exposure to MWI chimney emissions, but a small potential increase in risk of congenital anomalies for children born within ten kilometres of MWIs. The paper does not demonstrate a causal effect, and it acknowledges that the observed results may well be down to not fully adjusting the study for factors such as other sources of pollution around MWIs or deprivation.

UKHSA have stated that ‘While the conclusions of the study state that a causal effect cannot be excluded, the study does not demonstrate a causal association and makes clear that the results may well reflect incomplete control for confounding i.e. insufficiently accounting for other factors that can cause congenital anomalies, including other sources of local pollution. This possible explanation is supported by the fact no increased risk of congenital anomalies was observed as a result of exposure to emissions from an incinerator.’

Following this study, UKHSA have further stated that their position remains that modern, well run and regulated municipal waste incinerators are not a significant risk to public health.

We agree with the view stated by the UKHSA. We ensure that permits contain conditions which require the installation to be well-run and regulate the installation to ensure compliance with such permit conditions.

iv) Health Risk Models

Comparing the results of air dispersion modelling as part of the Environmental Impact assessment against European and national air quality standards effectively makes a health risk assessment for those pollutants for which a

standard has been derived. These air quality standards have been developed primarily to protect human health via known intake mechanisms, such as inhalation and ingestion. Some pollutants, such as dioxins, furans and dioxin like PCBs, have human health impacts at lower ingestion levels than lend themselves to setting an air quality standard to control against. For these pollutants, a different human health risk model is required which better reflects the level of dioxin intake.

Models are available to predict the dioxin, furan and dioxin like PCBs intake for comparison with the Tolerable Daily Intake (TDI) recommended by the Committee on Toxicity of Chemicals in Food, Consumer Products and the Environment, known as COT. These include the HHRAP model.

HHRAP has been developed by the US EPA to calculate the human body intake of a range of carcinogenic pollutants and to determine the mathematical quantitative risk in probabilistic terms. In the UK, in common with other European countries, we consider a threshold dose below which the likelihood of an adverse effect is regarded as being very low or effectively zero.

The TDI is the amount of a substance that can be ingested daily over a lifetime without appreciable health risk. It is expressed in relation to bodyweight to allow for different body size, such as for adults and children of different ages. In the UK, the COT has set a TDI for dioxins, furans and dioxin like PCBs of 2 picograms WHO-TEQ/kg-body weight/day (a picogram is a millionth of a millionth (10^{-12}) of a gram).

In addition to an assessment of risk from dioxins, furans and dioxin like PCBs, the HHRAP model enables a risk assessment from human intake of a range of heavy metals. In principle, the respective ES for these metals are protective of human health. It is not therefore necessary to model the human body intake.

The Committee on the Medical Effects of Air Pollution (COMEAP) developed a methodology based on the results of time series epidemiological studies which allows calculation of the public health impact of exposure to the classical air pollutants (NO_2, SO_2 and particulates) in terms of the numbers of “deaths brought forward” and the “number of hospital admissions for respiratory disease brought forward or additional”. Defra reviewed this methodology and concluded that the use of the COMEAP methodology is not generally recommended for modelling the human health impacts of individual installations.

Our recommended approach is therefore the use of the methodology set out in our guidance for comparison for most pollutants (including metals) and dioxin intake modelling using the HHRAP model as described above for dioxins, furans and dioxin like PCBs. Where an alternative approach is adopted for dioxins, we check the predictions ourselves.

v) Consultations

As part of our normal procedures for the determination of a permit application, we consult with Local Authorities, Local Authority Directors of Public Health,

FSA and PHE. We also consult the local communities who may raise health related issues. All issues raised by these consultations are considered in determining the Application as described in Annex 4 of this document.

5.3.2 Assessment of Intake of Dioxins, Furans and Dioxin like PCBs

For dioxins, furans and dioxin like PCBs, the principal exposure route is through ingestion, usually through the food chain, and the main risk to health is through accumulation in the body over the lifetime of the receptor.

The human health risk assessment calculates the dose of dioxins and furans that would be received by local receptors if their food and water were sourced from the locality where the deposition of dioxins, furans and dioxin like PCBs is predicted to be the highest. This is then assessed against the Tolerable Daily Intake (TDI) levels established by the COT of 2 picograms WHO-TEQ / kg body weight/ day.

The results of the Applicant's assessment of dioxin intake are detailed in the table below (worst case results for each category are shown). The results showed that the predicted daily intake of dioxins, furans and dioxin like PCBs at all receptors, resulting from emissions from the proposed facility, were significantly below the recommended TDI levels. The results of the applicant's assessment show that, for the hypothetical maximum impacted receptor (an agricultural child receptor at the point of maximum impact of emissions from the facility), the combined intake from the proposed facility and the existing mean daily intake of dioxins and dioxin-like PCBs via inhalation and ingestion is below the TDI. In addition, the ingestion of dioxins by an infant being breastfed by an agricultural receptor at the point of maximum impact of emissions from the proposed facility is less than the TDI. The impact at all other identified receptor locations is considerably less. Therefore, there would not be an appreciable health risk based on the emission of dioxins and dioxin-like PCBs.

Receptor	adult	child
Agricultural	0.0296	0.0419
Residential	0.0007	0.0021
R1 Saltview Terrace	0.0002	0.0005
R2 Queen's Terrace	0.0001	0.0004
R3 Middlesborough College	0.0004	0.0012
R4 Lower East Street	0.0009	0.0008
R5 High Clarence Primary School	0.0001	0.0003
R6 Elizabeth House Care Home	0.0002	0.0007
R7 King George's Terrace	0.0002	0.0006

Calculated maximum daily intake of dioxins over a lifetime by local receptors resulting from the operation of the proposed facility (pg WHO-TEQ/ kg-BW/day)

In 2010, the FSA studied the levels of chlorinated, brominated and mixed (chlorinated-brominated) dioxins and dioxin-like PCBs in fish, shellfish, meat and eggs consumed in the UK. It asked COT to consider the results and to

advise on whether the measured levels of these PXDDs, PXDFs and PXBs indicated a health concern ('X' means a halogen). COT issued a statement in December 2010 and concluded that "The major contribution to the total dioxin toxic activity in the foods measured came from chlorinated compounds. Brominated compounds made a much smaller contribution, and mixed halogenated compounds contributed even less (1% or less of TDI). Measured levels of PXDDs, PXDFs and dioxin-like PXBs do not indicate a health concern". COT recognised the lack of quantified TEFs for these compounds but said that "even if the TEFs for PXDDs, PXDFs and dioxin-like PXBs were up to four fold higher than assumed, their contribution to the total TEQ in the diet would still be small. Thus, further research on PXDDs, PXDFs and dioxin-like PXBs is not considered a priority."

In the light of this statement, we assess the impact of chlorinated compounds as representing the impact of all chlorinated, brominated and mixed dioxins / furans and dioxin like PCBs.

5.3.3 Particulates smaller than 2.5 microns

The Operator will be required to monitor particulate emissions using the method set out in Table S3.1 of Schedule 3 of the Permit. This method requires that the filter efficiency must be at least 99.5 % on a test aerosol with a mean particle diameter of 0.3 µm, at the maximum flow rate anticipated. The filter efficiency for larger particles will be at least as high as this. This means that particulate monitoring data effectively captures everything above 0.3 µm and much of what is smaller. It is not expected that particles smaller than 0.3 µm will contribute significantly to the mass release rate / concentration of particulates because of their very small mass, even if present. This means that emissions monitoring data can be relied upon to measure the true mass emission rate of particulates.

Nano-particles are considered to refer to those particulates less than 0.1 µm in diameter ($PM_{0.1}$). Questions are often raised about the effect of nano-particles on human health, in particular on children's health, because of their high surface to volume ratio, making them more reactive, and their very small size, giving them the potential to penetrate cell walls of living organisms. The small size also means there will be a larger number of small particles for a given mass concentration. However, the UKHSA statement (referenced below) says that due to the small effects of incinerators on local concentration of particles, it is highly unlikely that there will be detectable effects of any particular incinerator on local infant mortality.

The UKHSA addresses the issue of the health effects of particulates in their September 2009 statement 'The Impact on Health of Emissions to Air from Municipal Incinerators'. It refers to the coefficients linking PM_{10} and $PM_{2.5}$ with effects on health derived by COMEAP and goes on to say that if these coefficients are applied to small increases in concentrations produced, locally, by incinerators; the estimated effects on health are likely to be small. UKHSA note that the coefficients that allow the use of number concentrations in impact calculations have not yet been defined because the national experts have not

judged that the evidence is sufficient to do so. This is an area being kept under review by COMEAP.

In December 2010, COMEAP published a report on The Mortality Effects of Long-Term Exposure to Particulate Air Pollution in the United Kingdom. It says that "a policy which aims to reduce the annual average concentration of $PM_{2.5}$ by 1 µg/m^3 would result in an increase in life expectancy of 20 days for people born in 2008." However, "The Committee stresses the need for careful interpretation of these metrics to avoid incorrect inferences being drawn – they are valid representations of population aggregate or average effects, but they can be misleading when interpreted as reflecting the experience of individuals."

UKHSA also point out that in 2007 incinerators contributed 0.02% to ambient ground level PM_{10} levels compared with 18% for road traffic and 22% for industry in general. UKHSA noted that in a sample collected in a day at a typical urban area the proportion of $PM_{0.1}$ is around 5-10% of PM_{10}. It goes on to say that PM_{10} includes and exceeds $PM_{2.5}$ which in turn includes and exceeds $PM_{0.1}$. The National Atmospheric Emissions Inventory (NAEI) figures show that in 2016 municipal waste incineration contributed 0.03% to ambient ground level PM_{10} levels and 0.05% to ambient ground level PM2.5 levels. The 2016 data also shows that road traffic contributed to 5.35% of PM10 and 4.96% of PM2.5 and that domestic wood burning contributed 22.4% to PM10 and 34.3% of PM2.5 levels.

This is consistent with the assessment of this Application which shows emissions of PM_{10} to air to be insignificant.

A 2016 a paper by Jones and Harrison concluded that 'ultrafine particles (<100nm) in flue gases from incinerators are broadly similar to those in urban air and that after dispersion with ambient air ultrafine particle concentrations are typically indistinguishable from those that would occur in the absence of the incinerator.

We take the view, based on the foregoing evidence, that techniques which control the release of particulates to levels which will not cause harm to human health will also control the release of fine particulate matter to a level which will not cause harm to human health.

5.3.4 Assessment of Health Effects from the Installation

Our assessment of health impacts is summarised below

i. We have applied the relevant requirements of the Environmental legislation in imposing the permit conditions. We are satisfied that compliance with these conditions will ensure protection of the environment and human health.

ii. In carrying out air dispersion modelling as part of the environmental impact assessment and comparing the PC and PEC with the ES, the Applicant has effectively made a health risk assessment for many

pollutants. The ES have been developed primarily to protect human health. The Applicant's assessment of the impact from nitrogen dioxide, sulphur dioxide, PM_{10}, $PM_{2.5}$, carbon monoxide, hydrogen chloride, hydrogen fluoride, ammonia, VOCs (as benzene), mercury, PAHs, dioxins, PCBs and other metals have all indicated that the Installation emissions screen out as insignificant; where the impact of emissions of VOCs (as 1,3-butadiene) and cadmium have not been screened out as insignificant, the assessment still shows that the PEC are well within the ES.

iii. We have assessed the health effects from the operation of this installation in relation to the above (sections 5.3.1 to 5.3.3).

iv. We have reviewed the methodology employed by the Applicant to carry out the health impact assessment. The applicant used air dispersion modelling software ADMS 5.2. Airflow around buildings may create zones of turbulence and downward mixing on the lee side ('downwash effect'). To account for this, the applicant modelled one building as shown in Table 23 of their AQA. The applicant has used a varying surface roughness file in their modelling runs to represent the variations in land use and surface roughness around the facility. The applicant included sensitivity to the effect of terrain because gradients are greater than 1 in 10 to the west of the site. The applicant modelled a 100 km^2 grid with a 100 m resolution. This resolution is likely to capture relevant maximum grid predictions. The applicant used background data from different air quality networks spread across the UK and Defra background maps for the pollutants considered.

Overall, taking into account the conservative nature of the impact assessment (i.e. that it is based upon an individual exposed for a life-time to the effects of the highest predicted relevant airborne concentrations and consuming mostly locally grown food), it was concluded that the operation of the proposed facility will not pose a significant risk to human health.

v. We agree with the conclusion reached by UKHSA that modern, well run and regulated municipal waste incinerators are not a significant risk to public health. While it is not possible to rule out adverse health effects from these incinerators completely, any potential effect for people living close by is likely to be very small.

vi. UKHSA and the Local Authority Director of Public Health were consulted on the Application. UKHSA provided recommendations for permit conditions regarding the risk to the health of humans from the installation. The Local Authority Director of Public Health did not provide a response. The Food Standards Agency was also consulted during the permit determination process and did not provide a response to our consultation. Details of the response provided by UKHSA to the consultation on this Application can be found in Annex 4.

We are therefore satisfied that the Applicant's conclusions presented above are reliable and we conclude that the potential emissions of pollutants including dioxins, furans and metals from the proposed facility are unlikely to have a significant impact on human health.

5.4 Impact on protected conservation areas (SPAs, SACs, Ramsar sites and SSSIs and local nature sites)

5.4.1 Sites Considered

The following Special Areas of Conservation (SAC), Special Protection Areas (SPA) and Ramsar~~)~~ sites are located within 10km of the Installation:

- Teesmouth and Cleveland Coast SPA/RAMSAR

The following Sites of Special Scientific Interest (SSSI) are located within 2 km of the Installation:

- Teesmouth and Cleveland Coast SSSI

There are no local nature sites (ancient woods, local wildlife sites and national and local nature reserves) within 2km of the proposed Installation.

5.4.2 Habitats Assessment

The Applicant's habitats assessment was reviewed by our technical specialists for air dispersion modelling and assessment and specialists for, habitats and conservation who agreed with the assessment's conclusions, that there would be no likely significant effect on the interest feature(s) of the protected site(s).

A Schedule 5 Notice was issued to the operator to remodel additional receptor points within the Teesmouth and Cleveland Coast SPA and RAMSAR site to identify exceedances of the nutrient nitrogen deposition critical load. In their response, the applicant stated that the most sensitive habitats were not present at the point of maximum impact. The habitats present at the point of maximum impact do not appear on APIS' list of nutrient nitrogen deposition critical loads for habitats and are therefore unlikely to be sensitive to nutrient nitrogen deposition. Our technical specialists agree with the applicant's assessment and conclusions that nutrient nitrogen deposition screens out as insignificant and so there is no need for further assessment.

A HRA stage 1 assessment was completed and sent to Natural England for information only.

Pollutant	ES / EAL (µg/m³)	Back-ground (µg/m³)	Process Contribution (PC) (µg/m³)	PC as % of ES	Predicted Environmental Concentration (PEC) (µg/m³)	PEC as % ES
Direct Impacts						
NO_x Annual	30	19.94	0.48	1.60	20.42	68.1
NO_x Daily Mean	75	N/A	5.44	7.25	N/A	N/A
SO_2	20	N/A	0.12	0.60	N/A	N/A
Ammonia	3	N/A	0.024	0.80	N/A	N/A
HF Weekly Mean	0.5	N/A	0.015	2.91	N/A	N/A
HF Daily Mean	5	N/A	0.027	0.54	N/A	N/A
Deposition Impacts[1]						
N Deposition (kg N/ha/yr) Saltmarsh	*10*	N/A	0.069	0.69	N/A	N/A
N Deposition (kg N/ha/yr) Sand dunes	*5*	N/A	0.043	0.86	N/A	N/A

(1) Direct impact units are µg/m³ and deposition impact units are kg N/ha/yr or Keq/ha/yr.

5.4.3 SSSI Assessment

The Applicant's assessment of SSSIs was reviewed by our technical specialists for air dispersion modelling and assessment and specialists for habitats and conservation, who agreed with the assessment's conclusions, that the proposal does not damage the special features of the SSSI(s).

A Schedule 5 Notice was issued to the operator to remodel additional receptor points within the Teesmouth and Cleveland Coast SSSI to identify exceedances of the nutrient nitrogen deposition critical load. In their response, the applicant stated that the most sensitive habitats were not present at the point of maximum impact. The habitats present at the point of maximum impact are not given as a feature on Natural England's website and are therefore unlikely to be sensitive to nutrient nitrogen deposition. Our technical specialists agree with the applicant's assessment and conclusions that nutrient nitrogen deposition screens out as insignificant and so there is no need for further assessment.

An Appendix 4 assessment has been completed and the outcome of the assessment was that the PPP is not likely to damage the SSSI. This Appendix 4 assessment was not sent to Natural England (a HRA Stage 1 assessment was sent for information only).

Pollutant	ES / EAL (µg/m³)	Back-ground (µg/m³)	Process Contribution (PC) (µg/m³)	PC as % of ES	Predicted Environmental Concentration (PEC) (µg/m³)	PEC as % ES
Direct Impacts[2]						
NO_x Annual	30	19.94	0.48	1.60	20.42	68.1
NO_x Daily Mean	75	N/A	5.44	7.25	N/A	N/A
SO_2	20	N/A	0.12	0.60	N/A	N/A
Ammonia	3	N/A	0.024	0.80	N/A	N/A
HF Weekly Mean	0.5	N/A	0.015	2.91	N/A	N/A
HF Daily Mean	5	N/A	0.027	0.54	N/A	N/A
Deposition Impacts[3]						
N Deposition (kg N/ha/yr) Saltmarsh	*10*	N/A	0.069	0.69%	N/A	N/A
N Deposition (kg N/ha/yr) Sand dunes	*5*	N/A	0.043	0.86%	N/A	N/A

(1) Direct impact units are µg/m³ and deposition impact units are kg N/ha/yr or Keq/ha/yr.

5.5 Impact of abnormal operations

Article 50(4)(c) of the IED requires that waste incineration and co-incineration plants shall operate an automatic system to prevent waste feed whenever any of the continuous emission monitors show that an ELV is exceeded due to disturbances or failures of the purification devices. Notwithstanding this, Article 46(6) allows for the continued incineration and co-incineration of waste under such conditions provided that this period does not (in any circumstances) exceed 4 hours uninterrupted continuous operation or the cumulative period of operation does not exceed 60 hours in a calendar year. This is a recognition that the emissions during transient states (e.g. start-up and shut-down) are higher than during steady-state operation, and the overall environmental impact of continued operation with a limited exceedance of an ELV may be less than that of a partial shut-down and re-start.

For incineration plant, IED sets backstop limits for particulates, CO and TOC which must continue to be met during abnormal operation. The CO and TOC limits are the same as for normal operation, and are intended to ensure that good combustion conditions are maintained. The backstop limit for particulates is 150 mg/m^3 (as a half hourly average) which is five times the limit in normal operation.

Article 45(1)(f) requires that the permit shall specify the maximum permissible period of any technically unavoidable stoppages, disturbances, or failures of

the purification devices or the measurement devices, during which the concentrations in the discharges into the air may exceed the prescribed emission limit values. In this case we have decided to set the time limit at 4 hours, which is the maximum period prescribed by Article 46(6) of the IED.

These abnormal operations are limited to no more than a period of 4 hours continuous operation and no more than 60 hours aggregated operation in any calendar year. This is less than 1% of total operating hours and so abnormal operating conditions are not expected to have any significant long term environmental impact unless the background conditions were already close to, or exceeding, an ES. For the most part therefore consideration of abnormal operations is limited to consideration of its impact on short term ESs.

In making an assessment of abnormal operations the following worst case scenario has been assumed:

- Mercury emissions are 100 times those of normal operation
- NO_x emissions of 500 mg/m^3 (1.25 x normal)
- Particulate emissions of 150 mg/m^3 (5 x normal)
- Metal emissions other than mercury are 15 times those of normal operation
- SO_2 emissions of 450 mg/m^3 (2.25 x normal)
- HCl emissions of 900 mg/m^3 (15 x normal)
- PCBs (100 x normal)

This is a worst case scenario in that these abnormal conditions include a number of different equipment failures not all of which will necessarily result in an adverse impact on the environment (e.g. a failure of a monitoring instrument does not necessarily mean that the incinerator or abatement plant is malfunctioning). This analysis assumes that any failure of any equipment results in all the negative impacts set out above occurring simultaneously.

The result on the Applicant's short-term environmental impact is summarised in the table below.

Pollutant	ES		Back-ground	Process Contribution (PC)		Predicted Environmental Concentration (PEC)	
	µg/m^3		µg/m^3	µg/m^3	% of EAL	µg/m^3	% of EAL
NO_2	200	99.79th %ile of 1-hour means		14.44	7.2	14.44	7.2
PM_{10}	50	90.41st %ile of 24-hour means		1.33	2.66	1.33	2.7
SO_2	266	99.9th %ile of 15-min means	4	45.11	**17.0**	49.11	18.5
	350	99.9th %ile of 1-hour means		34.94	9.98	34.94	10.0
	125	99.18th %ile of 24-hour means		9.08	7.26	9.08	7.3

HCl	750	1-hr average	1.4	156.3	**20.84**	157.7	21.03
HF	160	1-hr average		3.47	2.17	3.47	2.2
	ng/m3		**ng/m^3**		**ng/m^3**		
Hg	7500	1-hr average	4.2	868.33	**11.58**	872.53	11.634
Sb	150000	1-hr average		29.96	0.02	29.96	0.020
Cu	200000	1-hr average		75.54	0.04	75.54	0.038
Mn	1500000	1-hr average		156.3	0.01	156.30	0.0104
PCBs	6000	1-hr average		86.83	1.45	86.83	1.4472
Cr (II)(III)	150000	1-hr average		239.66	0.16	239.66	0.1598

From the table above the emissions of the following substances can still be considered insignificant, in that the PC is still <10% of the short-term ES:

- Nitrogen dioxide
- Particulate matter (PM10)
- Sulphur dioxide (1 hour mean)
- Sulphur dioxide (24 hour mean)
- Hydrogen fluoride
- Antimony
- Copper
- Manganese
- PCBs
- Chromium

Also, from the table above emissions of the following emissions (which were not screened out as insignificant) have been assessed as being unlikely to give rise to significant pollution in that the predicted environmental concentration is less than 100% of short term ES. These are:

- Sulphur dioxide (15 minute mean)
- Hydrogen chloride
- Mercury

We are therefore satisfied that it is not necessary to further constrain the conditions and duration of the periods of abnormal operation beyond those permitted under Chapter IV of the IED.

We have not assessed the impact of abnormal operations against long term ESs for the reasons set out above. The Environment Agency's air quality modelling and assessment unit (AQMAU) assessed the applicant's air modelling and HHRA and had no concerns regarding abnormal dioxin emissions.

6 Application of Best Available Techniques

6.1 Scope of Consideration

In this section, we explain how we have determined whether the Applicant's proposals are BAT for this Installation.

- The first issue we address is the fundamental choice of incineration technology. There are a number of alternatives, and the Applicant has explained why it has chosen one particular kind for this Installation.

- We then consider in particular control measures for the emissions which were not screened out as insignificant in the previous section on minimising the installation's environmental impact. They are:

    - TOC
    - Cadmium
    - Arsenic
    - Nickel

- We also have to consider the combustion efficiency and energy utilisation of different design options for the Installation, which are relevant considerations in the determination of BAT for the Installation, including the GWP of the different options.

- Finally, the prevention and minimisation of Persistent Organic Pollutants (POPs) must be considered, as we explain below.

Chapter IV of the IED specifies a set of maximum ELV. Although these limits are designed to be stringent, and to provide a high level of environmental protection, they do not necessarily reflect what can be achieved by new plant.

Operational controls complement the ELV and should generally result in emissions below the maximum allowed; whilst the limits themselves provide headroom to allow for unavoidable process fluctuations. Actual emissions are therefore almost certain to be below emission limits in practice, because any Operator that sought to operate its installation continually at the maximum permitted limits would almost inevitably breach those limits regularly, simply by virtue of normal fluctuations in plant performance, resulting in enforcement action (including potentially prosecution, suspension or revocation) being taken. Assessments based on BAT AELs or Chapter IV limits are therefore "worst-case" scenarios.

We are satisfied that emissions at the permitted limits would ensure a high level of protection for human health and the environment in any event.

6.1.1 Consideration of Furnace Type

The Applicant proposed to use a furnace technology comprising a moving grate, in their original permit application. This is considered BAT and has not changed as a result of this variation.

The Applicant proposes to use gasoil as support fuel for start-up, shut down and for the auxiliary burners. The choice of support fuel is based on the lack of a high-pressure gas main in the local area ruling out natural gas. LPG gas storage tanks would unnecessarily introduce a hazard to the site. Emissions of sulphur dioxide will be reduced via the use of low sulphur gas oil.

Boiler Design

No change.

6.2 BAT and emissions control

The prime function of flue gas treatment is to reduce the concentration of pollutants in the exhaust gas as far as practicable. The techniques which are described as BAT individually are targeted to remove specific pollutants, but the BREF notes that there is benefit from considering the Flue Gas Cleaning System (FGC) system as a whole unit. Individual units often interact, providing a primary abatement for some pollutants and an additional effect on others.

The BREF lists the general factors requiring consideration when selecting FGC systems as:

- type of waste, its composition and variation
- type of combustion process, and its size
- flue-gas flow and temperature
- flue-gas content, including magnitude and rate of composition fluctuations
- target emission limit values
- restrictions on discharge of aqueous effluents
- plume visibility requirements
- land and space availability
- availability and cost of outlets for residues accumulated/recovered
- compatibility with any existing process components (existing plants)
- availability and cost of water and other reagents
- energy supply possibilities (e.g. supply of heat from condensing scrubbers)
- reduction of emissions by primary methods
- noise
- arrangement of different flue-gas cleaning devices if possible with decreasing flue-gas temperatures from boiler to stack

Taking these factors into account the BREF points to a range of technologies being BAT subject to circumstances of the Installation.

6.2.1 Particulate Matter

No change.

6.2.2 Oxides of Nitrogen

Oxides of Nitrogen : Primary Measures				
Technique	**Advantages**	**Disadvantages**	**Optimisation**	**Defined as BAT in BREF or TGN for:**
Low NOx burners	Reduces NOx at source		Start-up, supplementary firing.	Where auxiliary burners required.
Starved air systems	Reduce CO simultaneously.			Pyrolysis, Gasification systems.
Optimise primary and secondary air injection				All plant.
Flue Gas Recirculation (FGR)	Reduces the consumption of reagents used for secondary NOx control. May increase overall energy recovery	Some applications experience corrosion problems. Can result in elevated CO and other products of incomplete combustion		Justify if not used

Oxides of Nitrogen : Secondary Measures (BAT is to apply Primary Measures first)				
Technique	**Advantages**	**Disadvantages**	**Optimisation**	**Defined as BAT in BREF or TGN for:**
Selective catalytic reduction (SCR)	NOx emissions 40-150mg/ m^3 Reduces CO, VOC, dioxins	Expensive. Re-heat required – reduces plant efficiency		All plant
SCR by catalytic filter bags	50-120 mg/m^3			Applicable to new and existing plants with or without existing SNCR.

Oxides of Nitrogen : Secondary Measures (BAT is to apply Primary Measures first)				
Technique	**Advantages**	**Disadvantages**	**Optimisation**	**Defined as BAT in BREF or TGN for:**
				Can be used with NH_3 as slip catalyst with SNCR
Selective non-catalytic reduction (SNCR)	NOx emissions 80 -180 mg/m^3 Lower energy consumption than SCR Lower costs than SCR	Relies on an optimum temperature around 900 °C, and sufficient retention time for reduction May lead to Ammonia slip	Port injection locations	All plant unless lower NOx release required for local environmental protection.
Reagent Type: Ammonia	Likely to be BAT	More difficult to handle Lower nitrous oxide formation Narrower temperature window		All plant
Reagent Type: Urea	Likely to be BAT	Higher N_2O emissions than ammonia, optimisation particularly important		All plant

The Applicant proposes to implement the following primary measures:

- Low NO_x burners – this technique reduces NO_x at source and is defined as BAT where auxiliary burners are required.
- Optimise primary and secondary air injection – this technique is BAT for all plant.
- Flue gas recirculation – this technique reduces the consumption of reagents for secondary NO_x control and can increase overall energy recovery, although in some applications there can be corrosion problems.

There are three recognised techniques for secondary measures to reduce NO_x. These are Selective Catalytic Reduction (SCR), SCR by catalytic filter bags and Selective Non-Catalytic Reduction (SNCR) with or without catalytic filter bags. For each technique, there is a choice of urea or ammonia reagent.

SCR can reduce NO_x levels to below 50 mg/m^3 and can be applied to all plant, it is generally more expensive than SNCR and requires reheating of the waste gas stream which reduces energy efficiency, periodic replacement of the catalysts also produces a hazardous waste. The use of SCR by catalytic filter bags can reduce emissions to 50 -120 mg/m^3 with low investment costs. SNCR can typically reduce NO_x levels to between 80 and 180 mg/m^3, it relies on an optimum temperature of around 900 ^oC and sufficient retention time for reduction. SNCR is more likely to have higher levels of ammonia slip. The technique can be applied to all plant unless lower NO_x releases are required for local environmental protection. Urea or ammonia can be used as the reagent with either technique, urea is somewhat easier to handle than ammonia and has a wider operating temperature window, but tends to result in higher emissions of N_2O. Both reagents are BAT, and the use of one over the other is not normally significant in environmental terms.

The Applicant proposes to use SNCR with ammonia or urea as the reagent. They have yet to confirm which reagent will be used, however as discussed above both are considered BAT.

Emissions of NO_x cannot be screened out as insignificant. The Applicant previously carried out a cost / benefit study of the alternative techniques and concluded that the additional cost of SCR over SNCR was not justified by the reduction in environmental impact. Thus SCR is not BAT in this case, and SNCR is BAT for the Installation.

The amount of urea / ammonia used for NO_x abatement will need to be optimised to maximise NO_x reduction and minimise NH_3 slip. Improvement condition IC5 requires the Operator to report to the Environment Agency on optimising the performance of the NO_x abatement system.

6.2.3 Acid Gases, SO_x, HCl and HF

No change.

6.2.4 Carbon monoxide and volatile organic compounds (VOCs)

No change.

6.2.5 Dioxins and furans (and other POPs)

No change.

6.2.6 Metals

No change.

6.3 BAT and global warming potential

No change.

6.4 BAT and POPs

No change.

6.5 Other Emissions to the Environment

6.5.1 Emissions to water

Based upon the information in the Application we are satisfied that appropriate measures will be in place to prevent and /or minimise emissions to water.

6.5.2 Emissions to sewer

Based upon the information in the Application we are satisfied that appropriate measures will be in place to prevent and /or minimise emissions to sewer.

6.5.3 Fugitive emissions

The IED specifies that plants must be able to demonstrate that the plant is designed in such a way as to prevent the unauthorised and accidental release of polluting substances into soil, surface water and groundwater. In addition storage requirements for waste and for contaminated water under Article 46(5) of the IED must be arranged.

Storage of hazardous liquids

No change.

Surfacing

No change.

Storage of hazardous solids

No change.

Storage of waste

No change.

Based upon the information in the Application we are satisfied that appropriate measures will be in place to prevent and /or minimise fugitive emissions.

6.5.4 Odour

Based upon the information in the Application we are satisfied that the appropriate measures will be in place to prevent or where that is not practicable to minimise odour and to prevent pollution from odour.

Waste accepted at the installation will be delivered in covered vehicles or within containers and bulk storage of waste will only occur in the installation's waste bunker. A roller shutter door will be used to close the entrance to the tipping hall outside of the waste delivery periods and combustion air will be drawn from above the waste storage bunker in order to prevent odours and airborne particulates from leaving the facility building.

During shut-down the Applicant had proposed to extract air via an alternative system comprising of a ventilation unit with a nominal air flow equivalent to normal load air consumption achieving 2-3 air changes per hour within the waste reception hall. Potentially odorous air is extracted through activated carbon filter cartridges and discharged at the top of the feedstock storage building.

6.5.5 Noise and vibration

Based upon the information in the Application we are satisfied that the appropriate measures will be in place to prevent or where that is not practicable to minimise noise and vibration and to prevent pollution from noise and vibration outside the site.

Changes to the site associated with this variation are not expected to result in any additional noise impacts.

6.6 Setting ELVs and other Permit conditions

6.6.1 Translating BAT into Permit conditions

Article 14(3) of the IED states that BAT-C shall be the reference for permit conditions. Article 15(3) further requires that under normal operating conditions; emissions do not exceed the emission levels associated with the BAT as laid down in the decisions on BAT-C.

The use of IED Chapter IV emission limits for air dispersion modelling sets the worst case scenario. If this shows emissions are insignificant then we have accepted that the Applicant's proposals are BAT, and that there is no justification to reduce ELVs below the Chapter IV limits. ELVs have been changed as part of this variation application from 6% oxygen to 11% oxygen, which is consistent with changing from a co-incinerator to an incinerator. Updated ELVs are presented in Table S3.1 of the permit.

Below we consider whether, for those emissions not screened out as insignificant, different conditions are required as a result of consideration of local or other factors, so that no significant pollution is caused (Article 11(c)) or to comply with environmental quality standards (EQS) (Article 18).

(i) Local factors

There are no relevant local factors to consider.

(ii) National and European ESs

No change.

(iii) Global Warming

CO_2 is an inevitable product of the combustion of waste. The amount of CO_2 emitted will be essentially determined by the quantity and characteristics of waste being incinerated, which are already subject to conditions in the Permit. It is therefore inappropriate to set an ELV for CO_2, which could do no more than recognise what is going to be emitted. The gas is not therefore targeted as a key pollutant under Annex II of the IED, which lists the main polluting substances that are to be considered when setting ELVs in permits.

We have therefore considered setting equivalent parameters or technical measures for CO_2. However, provided energy is recovered efficiently (see section 4.3.7 above), there are no additional equivalent technical measures (beyond those relating to the quantity and characteristics of the waste) that can be imposed that do not run counter to the primary purpose of the plant, which is the recovery of energy from waste. Controls in the form of restrictions on the volume and type of waste that can be accepted at the Installation and Permit conditions relating to energy efficiency effectively apply equivalent technical measures to limit CO_2 emissions.

(iv) Commissioning

Before the plant can become fully operational it will be necessary for it to be commissioned. Before it can be commissioned the Operator is required (by pre-operational condition PO5) to submit its proposals for commissioning to the Agency for approval. Commissioning will be carried out in accordance with the approved proposals.

In addition, because it is recognised that certain information presented in the original application and this variation application was based on design data or data from similar equipment, the commissioning phase is the earliest opportunity to verify much of this information. The following verifications remain in the permit and will be determined by the Applicant:

- Calibration of CEMs in accordance with BS EN 14181 (a requirement in improvement condition IC6).
- Verification of furnace residence time, temperature and oxygen content (IC4).
- The plant in total conforms with the permit conditions (IC3).
- Abatement plant optimisation details (IC5).
- Development of procedures to demonstrate satisfactory process control (IC3).

6.7 Monitoring

6.7.1 Monitoring during normal operations

No change.

6.7.2 Monitoring under abnormal operations arising from the failure of the installed CEMs

No change.

6.7.3 Continuous emissions monitoring for dioxins and heavy metals

The BAT-C specify either manual extractive monitoring or long term monitoring for dioxins. For mercury either continuous or long term monitoring is specified, manual extractive monitoring is specified for other metals.

For dioxins long term monitoring does not apply if emissions are stable, and for mercury long term monitoring can be used instead of continuous if the mercury content of the waste is low and stable.

Based on the waste types and control measures proposed in the Application we expect that emissions of dioxins will be stable and that the mercury content of the waste will be low and stable. We have set emissions monitoring in line with IED requirements. This facility will shortly go through the permit review process. Emissions monitoring will be reassessed against BAT during the permit review.

6.8 Reporting

We have specified the reporting requirements in Schedule 5 of the Permit either to meet the reporting requirements set out in the IED, or to ensure data is reported to enable timely review by us to ensure compliance with the Permit conditions and to monitor the efficiency of material use and energy recovery at the installation.

7 Other legal requirements

In this section we explain how we have addressed other relevant legal requirements, to the extent that we have not addressed them elsewhere in this document.

7.1 The EPR 2016 and related Directives

The EPR delivers the requirements of a number of European and national laws.

7.1.1 Schedules 1 and 7 to the EPR 2016 – **IED Directive**

We address the requirements of the IED in the body of this document above and the specific requirements of Chapter IV in Annex 1 of this document.

There is one requirement not addressed above, which is that contained in Article 5(3) IED. Article 5(3) requires that "In the case of a new installation or a substantial change where Article 4 of Directive 85/337/EC (now Directive 2011/92/EU) (the EIA Directive) applies, any relevant information obtained or conclusion arrived at pursuant to articles 5, 6 and 7 of that Directive shall be examined and used for the purposes of granting the permit."

- Article 5 of EIA Directive relates to the obligation on developers to supply the information set out in Annex IV of the Directive when making an application for development consent.
- Article 6(1) requires Member States to ensure that the authorities likely to be concerned by a development by reason of their specific environmental responsibilities are consulted on the Environmental Statement and the request for development consent.
- Article 6(2)-6(6) makes provision for public consultation on applications for development consent.
- Article 7 relates to projects with transboundary effects and consequential obligations to consult with affected Member States.

The grant or refusal of development consent is a matter for the relevant local planning authority. The Environment Agency's obligation is therefore to examine and use any relevant information obtained or conclusion arrived at by the local planning authorities pursuant to those EIA Directive articles.

The Environment Agency has also carried out its own consultation on the Environmental Permitting Application. The results of our consultation are described elsewhere in this decision document.

7.1.2 Schedule 9 to the EPR 2016 – **Waste Framework Directive**

As the Installation involves the treatment of waste, it is carrying out a *waste operation* for the purposes of the EPR 2016, and the requirements of Schedule 9 therefore apply. This means that we must exercise our functions so as to ensure implementation of certain articles of the WFD.

We must exercise our relevant functions for the purposes of ensuring that the waste hierarchy referred to in Article 4 of the Waste Framework Directive is applied to the generation of waste and that any waste generated is treated in accordance with Article 4 of the Waste Framework Directive. (See also section 4.3.9)

The conditions of the permit ensure that waste generation from the facility is minimised. Where the production of waste cannot be prevented it will be recovered wherever possible or otherwise disposed of in a manner that minimises its impact on the environment. This is in accordance with Article 4.

We must also exercise our relevant functions for the purposes of implementing Article 13 of the Waste Framework Directive; ensuring that the requirements in the second paragraph of Article 23(1) of the Waste Framework Directive are met; and ensuring compliance with Articles 18(2)(b), 18(2)(c), 23(3), 23(4) and 35(1) of the Waste Framework Directive.

Article 13 relates to the protection of human health and the environment. These objectives are addressed elsewhere in this document.

Article 23(1) requires the permit to specify:

(a) the types and quantities of waste that may be treated;
(b) for each type of operation permitted, the technical and any other requirements relevant to the site concerned;
(c) the safety and precautionary measures to be taken;
(d) the method to be used for each type of operation;
(e) such monitoring and control operations as may be necessary;
(f) such closure and after-care provisions as may be necessary.

These are all covered by permit conditions.

The permit does not allow the mixing of hazardous waste so Article 18(2) is not relevant.

We consider that the intended method of waste treatment is acceptable from the point of view of environmental protection so Article 23(3) does not apply.

Energy efficiency is dealt with elsewhere in this document but we consider the conditions of the permit ensure that the recovery of energy take place with a high level of energy efficiency in accordance with Article 23(4).

Article 35(1) relates to record keeping and its requirements are delivered through permit conditions.

7.1.3 Schedule 22 to the EPR 2016 – **Water Framework and Groundwater Directives**

To the extent that it might lead to a discharge of pollutants to groundwater (a "groundwater activity" under the EPR 2016), the Permit is subject to the requirements of Schedule 22, which delivers the requirements of EU Directives relating to pollution of groundwater. The Permit will require the taking of all necessary measures to prevent the input of any hazardous substances to groundwater, and to limit the input of non-hazardous pollutants into groundwater so as to ensure such pollutants do not cause pollution, and satisfies the requirements of Schedule 22.

No releases to groundwater from the Installation are permitted. The Permit also requires material storage areas to be designed and maintained to a high standard to prevent accidental releases.

7.1.4 Directive 2003/35/EC – **The Public Participation Directive**

Regulation 60 of the EPR 2016 requires the Environment Agency to prepare and publish a statement of its policies for complying with its public participation duties. We have published our public participation statement.

This Application has been consulted upon in line with this statement. This satisfies the requirements of the Public Participation Directive. A summary of the responses received to our consultations and our consideration of them is set out in Annex 2.

7.2 National primary legislation

7.2.1 Environment Act 1995

(i) Section 4 (Pursuit of Sustainable Development)

We are required to contribute towards achieving sustainable development, as considered appropriate by Ministers and set out in guidance issued to us. The Secretary of State for Environment, Food and Rural Affairs has issued *The Environment Agency's Objectives and Contribution to Sustainable Development: Statutory Guidance (December 2002)*. This document:

> "*provides guidance to the Agency on such matters as the formulation of approaches that the Agency should take to its work, decisions about priorities for the Agency and the allocation of resources. It is not directly applicable to individual regulatory decisions of the Agency*".

In respect of regulation of industrial pollution through the EPR, the Guidance refers in particular to the objective of setting permit conditions "*in a consistent and proportionate fashion based on Best Available Techniques and taking into account all relevant matters…*". The Environment Agency considers that it has pursued the objectives set out in the Government's guidance, where relevant,

and that there are no additional conditions that should be included in this Permit to take account of the Section 4 duty.

(ii) Section 5 (Preventing or Minimising Effects of Pollution of the Environment)

We are satisfied that our pollution control powers have been exercised for the purpose of preventing or minimising, remedying or mitigating the effects of pollution.

(iii) Section 6(1) (Conservation Duties with Regard to Water)

We have a duty to the extent we consider it desirable generally to promote the conservation and enhancement of the natural beauty and amenity of inland and coastal waters and the land associated with such waters, and the conservation of flora and fauna which are dependent on an aquatic environment.

We consider that no additional or different conditions are appropriate for this Permit.

(iv) Section 6(6) (Fisheries)

We have a duty to maintain, improve and develop fisheries of salmon, trout, eels, lampreys, smelt and freshwater fish.

We consider that no additional or different conditions are appropriate for this Permit.

(v) Section 7 (General Environmental Duties)

This places a duty on us, when considering any proposal relating to our functions, to have regard amongst other things to any effect which the proposals would have on sites of archaeological, architectural, or historic interest; the economic and social well-being of local communities in rural areas; and to take into account any effect which the proposals would have on the beauty or amenity of any rural or urban area or on any such flora, fauna, features, buildings, sites or objects.

We considered whether we should impose any additional or different requirements in terms of our duty to have regard to the various conservation objectives set out in Section 7, but concluded that we should not.

(vi) Section 39 (Costs and Benefits)

We have a duty to take into account the likely costs and benefits of our decisions on the applications ('costs' being defined as including costs to the environment as well as any person). This duty, however, does not affect our obligation to discharge any duties imposed upon us in other legislative provisions.

In so far as relevant we consider that the costs that the permit may impose on the applicant are reasonable and proportionate in terms of the benefits it provides.

(viii) Section 81 (National Air Quality Strategy)

We have had regard to the National Air Quality Strategy and consider that our decision complies with the Strategy, and that no additional or different conditions are appropriate for this Permit.

We have also had regard to the clean air strategy 2019 and consider that our decision complies with the Strategy, and that no additional or different conditions are appropriate for this Permit.

We have had regard to the National Air Pollution Control Programme (set under the National Emissions Ceiling Regulations 2018) and consider that our decision complies with the Strategy, and that no additional or different conditions are appropriate for this Permit.

7.2.2 **Section 108 Deregulation Act 2015 – Growth duty**

We considered our duty to have regard to the desirability of promoting economic growth set out in section 108(1) of the Deregulation Act 2015 and the guidance issued under section 110 of that Act in deciding whether to grant this permit.

Paragraph 1.3 of the statutory guidance issued by the Department of Business, Energy and Industrial Strategy in March 2017 says:

"The primary role of regulators, in delivering regulation, is to achieve the regulatory outcomes for which they are responsible. For a number of regulators, these regulatory outcomes include an explicit reference to development or growth. The growth duty establishes economic growth as a factor that all specified regulators should have regard to, alongside the delivery of the protections set out in the relevant legislation."

We have addressed the legislative requirements and environmental standards to be set for this operation in the body of the decision document above. The guidance is clear at paragraph 1.5 that the growth duty does not legitimise non-compliance and its purpose is not to achieve or pursue economic growth at the expense of necessary protections.

We consider the requirements and standards we have set in this permit are reasonable and necessary to avoid a risk of an unacceptable level of pollution. This promotes growth amongst legitimate operators because the standards applied to the operator are consistent across businesses in this sector and have been set to achieve the required legislative standards. It also ensures that any pollution that may arise from the regulated facility does not adversely affect local businesses.

7.2.3 **Human Rights Act 1998**

We have considered potential interference with rights addressed by the European Convention on Human Rights in reaching our decision and consider that our decision is compatible with our duties under the Human Rights Act 1998. In particular, we have considered the right to life (Article 2), the right to a fair trial (Article 6), the right to respect for private and family life (Article 8) and the right to protection of property (Article 1, First Protocol). We do not believe that Convention rights are engaged in relation to this determination.

7.2.4 **Countryside and Rights of Way Act 2000 (CROW 2000)**

Section 85 of this Act imposes a duty on Environment Agency to have regard to the purpose of conserving and enhancing the natural beauty of the area of outstanding natural beauty (AONB). There is no AONB which could be affected by the Installation.

7.2.5 **Wildlife and Countryside Act 1981**

Under section 28G of the Wildlife and Countryside Act 1981 the Environment Agency has a duty to take reasonable steps to further the conservation and enhancement of the flora, fauna or geological or physiographical features by reason of which a site is of special scientific interest. Under section 28I the Environment Agency has a duty to consult Natural England in relation to any permit that is likely to damage SSSIs.

We assessed the Application and concluded that the Installation will not damage the special features of any SSSI.

The Wildlife and Countryside Act (CRoW) assessment is summarised in greater detail in section 5.4.3 of this document.

7.2.6 **Natural Environment and Rural Communities Act 2006**

Section 40 of the Natural Environment and Rural Communities Act 2006 has been amended with effect from 1 January 2023 to require consideration of the general biodiversity objective, which is to further the conservation and enhancement of biodiversity through the exercise of our functions. We have considered the general biodiversity objective when carrying out our permit application determination and, consider that no different or additional conditions are required in the permit.

7.2.7 **Marine and Coastal Access Act 2009**

Section 58 of this Act requires us to act in accordance with appropriate marine policy documents, unless relevant considerations indicate otherwise.

Section 125 of this Act requires that, so far as is consistent with their proper exercise, we exercise our functions in a manner that we consider best furthers the conservation objectives stated for Marine Conservation Zone(s) (MCZs) certain features of which are capable of being affected by our determination (to more than an insignificant degree) or else, where this is not possible, which least hinders the achievement of those objectives.

Section 126 of this Act requires that, before granting a Permit for an Installation capable of affecting certain features of a MCZ(s) (to more than an insignificant degree), we consult with Natural England and that we are satisfied that there is no significant risk of the operation of the Installation hindering the achievement of the conservation objectives stated for any relevant MCZ(s).

We have considered the Application and are satisfied that it would not affect, to more than an insignificant degree, the protected features of MCZs or the ecological or geomorphological process on which the conservation of such features are dependent.

7.2.8 **Countryside Act 1968**

Section 11 imposes a duty on the Environment Agency to exercise its functions relating to any land, having regard to the desirability of conserving the natural beauty and amenity of the countryside including wildlife. We have done so and consider that no different or additional conditions in the Permit are required.

7.2.9 **National Parks and Access to the Countryside Act 1949**

Section 11A and section 5(1) imposes a duty on the Environment Agency when exercising its functions in relation to land in a National Park, to have regard to the purposes of conserving and enhancing the natural beauty, wildlife and cultural heritage of the areas, and of promoting opportunities for the understanding and enjoyment of National Parks by the public.

We have done so and consider that no different or additional conditions in the Permit are required.

7.3 National secondary legislation

7.3.1 **Conservation of Habitats and Species Regulations 2017**

We have assessed the Application in accordance with our guidance and concluded that there will be no likely significant effects on any European Site.

The Habitats Regulations Assessment is summarised in greater detail in section 5.4.2 of this document. A copy of the Habitats Regulations Assessment can be found on the public register.

We have also considered our general duties under Regulation 9(3) to have regard to the requirements of the Habitats Directive in the exercise of our powers and under Regulation 10 in relation to wild bird habitat to take such steps in the exercise of their functions as they consider appropriate so far as lies within our powers to secure preservation, maintenance and re-establishment of a sufficient diversity and area of habitat for wild birds.

We considered whether we should impose any additional or different requirements in the permit in terms of these duties but concluded that we should not.

7.3.2 **Water Environment (Water Framework Directive) Regulations 2017**

Consideration has been given to whether any additional requirements should be imposed in terms of the Environment Agency's duty under regulation 3 to secure compliance with the requirements of the Water Framework Directive, Groundwater Directive and the EQS Directive through, amongst other things, environmental permits, and its obligation in regulation 33 to have regard to the river basin management plan (RBMP) approved under regulation 31 and any supplementary plans prepared under regulation 32. However, it is felt that existing conditions are sufficient in this regard and no other appropriate requirements have been identified.

We are satisfied that granting this application with the conditions proposed would not cause the current status of the water body to deteriorate.

7.3.3 **The Persistent Organic Pollutants Regulations 2007**

We have explained our approach to these Regulations, which give effect to the Stockholm Convention on POPs and the EU's POPs Regulation, above.

7.3.4 **Bathing Water Regulations 2013**

We have considered our duty, under regulation 5 of these Regulations, to exercise our relevant functions to ensure compliance with the Bathing Water Directive, and in particular to take realistic and proportionate measures with a view to increasing the number of bathing waters classified as "good" or "excellent".

We consider that no additional or different conditions are appropriate for this Permit.

7.3.5 **Marine Strategy Regulations 2010**

In relation to Regulation 9 of the Marine Strategy Regulations 2010 we have had regard to the marine strategy (in so far as it has been developed and published to date) and consider that there is nothing in it which would lead us to any different conclusions from those we have already reached through our other marine assessments.

7.4 Other relevant legal requirements

7.4.1 Duty to Involve

Section 23 of the Local Democracy, Economic Development and Construction Act 2009 require us where we consider it appropriate to take such steps as we consider appropriate to secure the involvement of interested persons in the exercise of our functions by providing them with information, consulting them or involving them in any other way. Section 24 requires us to have regard to any Secretary of State guidance as to how we should do that.

The way in which the Environment Agency has consulted with the public and other interested parties is set out in section 2.2 of this document. The way in which we have taken account of the representations we have received is set out in Annex 4. Our public consultation duties are also set out in the EP Regulations, and our statutory Public Participation Statement, which implement the requirements of the Public Participation Directive. In addition to meeting our consultation responsibilities, we have also taken account of our guidance in Environment Agency Guidance Note RGS6.

Annexes

Annex 1A: Application of chapter IV of the Industrial Emissions Directive

IED Article	Requirement	Delivered by
45(1)(a)	The permit shall include a list of all types of waste which may be treated using at least the types of waste set out in the European Waste List established by Decision 2000/532/EC, if possible, and containing information on the quantity of each type of waste, where appropriate.	Condition 2.3.3(a) and Table S2.2 in Schedule 2 of the Permit.
45(1)(b)	The permit shall include the total waste incinerating or co-incinerating capacity of the plant.	Condition 2.3.3(a) and Table S2.2 in Schedule 2 of the Permit.
45(1)(c)	The permit shall include the limit values for emissions into air and water.	Conditions 3.1.1 and 3.1.2 and Table S3.1 in Schedule 3 of the Permit.
45(1)(d)	The permit shall include the requirements for pH, temperature and flow of waste water discharges.	Not Applicable
45(1)(e)	The permit shall include the sampling and measurement procedures and frequencies to be used to comply with the conditions set for emissions monitoring.	Conditions 3.5.1 to 3.5.5 and Tables S3.1 and S3.4 in Schedule 3 of the Permit.
45(1)(f)	The permit shall include the maximum permissible period of unavoidable stoppages, disturbances or failures of the purification devices or the measurement devices, during which the emissions into the air and the discharges of waste water may exceed the prescribed emission limit values.	Conditions 2.3.11 and 2.3.12.
46(1)	Waste gases shall be discharged in a controlled way by means of a stack the height of which is calculated in such a way as to safeguard human health and the environment.	Condition 2.3.1(a) and Table S1.2 of Schedule 1 of the Permit.

IED Article	Requirement	Delivered by
46(2)	Emission into air shall not exceed the emission limit values set out in part 3 of Annex VI.	Conditions 3.1.1 and 3.1.2 and Table S3.1.
46(3)	Relates to conditions for water discharges from the cleaning of exhaust gases.	There are no such discharges as condition 3.1.1 prohibits this.
46(4)	Relates to conditions for water discharges from the cleaning of exhaust gases.	There are no such discharges as condition 3.1.1 prohibits this.
46(5)	Prevention of unauthorised and accidental release of any polluting substances into soil, surface water or groundwater. Adequate storage capacity for contaminated rainwater run-off from the site or for contaminated water from spillage or fire-fighting.	The application explains the measures to be in place for achieving the directive requirements.
46(6)	Limits the maximum period of operation when an ELV is exceeded to 4 hours uninterrupted duration in any one instance, and with a maximum cumulative limit of 60 hours per year.	Conditions 2.3.11 and 2.3.12
47	In the event of breakdown, reduce or close down operations as soon as practicable.	Condition 2.3.10
48(1)	Monitoring of emissions is carried out in accordance with Parts 6 and 7 of Annex VI.	Conditions 3.5.1 to 3.5.5. Reference conditions are defined in Schedule 6 of the Permit.
48(2)	Installation and functioning of the automated measurement systems shall be subject to control and to annual surveillance tests as set out in point 1 of Part 6 of Annex VI.	Condition 3.5.3, and tables S3.1 and S3.4
48(3)	The competent authority shall determine the location of sampling or measurement points to be used for monitoring of emissions.	conditions 3.5.3 and 3.5.4
48(4)	All monitoring results shall be recorded, processed and presented in such a way as to enable the competent authority to	Conditions 4.1.1 and 4.1.2, and Tables S4.1 and S4.4

IED Article	Requirement	Delivered by
	verify compliance with the operating conditions and emission limit values which are included in the permit.	
49	The emission limit values for air and water shall be regarded as being complied with if the conditions described in Part 8 of Annex VI are fulfilled.	conditions 3.1.1, 3.1.2 and 3.5.5
50(1)	Slag and bottom ash to have Total Organic Carbon (TOC) < 3% or loss on ignition (LOI) < 5%.	Conditions 3.5.1 and Table S3.4
50(2)	Flue gas to be raised to a temperature of 850ºC for two seconds, as measured at representative point of the combustion chamber.	Condition 2.3.7, Pre-operational condition PO7 and Improvement condition IC3 and Table S3.4.
50(3)	At least one auxiliary burner which must not be fed with fuels which can cause higher emissions than those resulting from the burning of gas oil liquefied gas or natural gas.	Condition 2.3.8
50(4)(a)	Automatic shut-down to prevent waste feed if at start up until the specified temperature has been reached.	Condition 2.3.7
50(4)(b)	Automatic shut-down to prevent waste feed if the combustion temperature is not maintained.	Condition 2.3.7
50(4)(c)	Automatic shut-down to prevent waste feed if the CEMs show that ELVs are exceeded due to disturbances or failure of waste cleaning devices.	Condition 2.3.7
50(5)	Any heat generated from the process shall be recovered as far as practicable.	(a) The plant will generate electricity (b)Operator to review the available heat recovery options prior to commissioning (Condition PO3) and then every 2 years (Conditions 1.2.1 to 1.2.3)
50(6)	Relates to the feeding of infectious clinical waste into the furnace.	No infectious clinical waste will be burnt

IED Article	Requirement	Delivered by
50(7)	Management of the Installation to be in the hands of a natural person who is competent to manage it.	Conditions 1.1.1 to 1.1.3 and 2.3.1 of the Permit.
51(1)	Different conditions than those laid down in Article 50(1), (2) and (3) and, as regards the temperature Article 50(4) may be authorised, provided the other requirements of this chapter are met.	No such conditions Have been allowed
52(1)	Take all necessary precautions concerning delivery and reception of Wastes, to prevent or minimise pollution.	Conditions 2.3.1, 2.3.3, 3.2, 3.3, 3.4 and 3.6.
52(2)	Determine the mass of each category of wastes, if possible according to the EWC, prior to accepting the waste.	Condition 2.3.3(a) and Table S2.2 in Schedule 3 of the Permit.
53(1)	Residues to be minimised in their amount and harmfulness, and recycled where appropriate.	Conditions 1.4.1, 1.4.2 and 3.5.1 with Table S3.4
53(2)	Prevent dispersal of dry residues and dust during transport and storage.	Conditions 1.4.1, 2.3.1, 2.3.2 and 3.2.1.
53(3)	Test residues for their physical and chemical characteristics and polluting potential including heavy metal content (soluble fraction).	Condition 3.5.1 and Table S3.4 and pre-operational condition PO4.
55(1)	Application, decision and permit to be publicly available.	All documents are accessible from the Environment Agency Public Register.
55(2)	An annual report on plant operation and monitoring for all plants burning more than 2 tonne/hour waste.	Condition 4.2.2 and 4.2.3.

Annex 2: Pre-Operational Conditions

Based on the information on the Application, we consider that we need to impose additional pre-operational conditions within the permit. These conditions are set out below and referred to, where applicable, in the text of the decision document. We are using these conditions to require the Operator to confirm that the details and measures proposed in the Application have been adopted or implemented and confirm compliance with Waste Incineration BAT Conclusions prior to the operation of the Installation.

Table S1.4A Pre-operational measures	
Reference	**Pre-operational measures**
PO13	Prior to the commencement of any activities authorised by the permit the operator shall submit to the Environment Agency for approval (using the form provided by the Environment Agency) a report that addresses compliance with each BAT conclusion listed in the Waste Incineration BAT Conclusions (Commission Implementing Decision (EU) 2019/2010 of 12 November 2019). The report shall include: i. A list of any BAT conclusions that are not relevant, including justification. ii. A description of how the installation complies with the standards set out in each relevant BAT conclusion. The permitted activities shall only commence once the operator has obtained the Environment Agency's written approval to the report and the Environment Agency has issued a variation notice to implement BAT standards.
PO14	Prior to commissioning of the energy from waste plant the operator shall submit a written updated fire prevention plan to the Environment Agency for assessment and written approval. The plan must contain: • Detailed designs of the systems for the provision of firewater • Detailed designs of the systems for the containment of firewater The proposals in the plan shall be implemented in accordance with that agreed with the Environment Agency.

Annex 3: Improvement Conditions

No change.

Annex 4: Consultation Reponses

A) Advertising and Consultation on the Application

The Application has been advertised and consulted upon in accordance with the Environment Agency's Public Participation Statement. The way in which this has been carried out along with the results of our consultation and how we have taken consultation responses into account in reaching our draft decision is summarised in this Annex. Copies of consultation responses have been placed on the Environment Agency public register.

The Application was advertised on the Environment Agency website from 29/03/2023 to 28/04/2023.

The following statutory and non-statutory bodies were consulted: -

- Food Standards Agency
- Local Authority – Environmental Health
- Health and Safety Executive
- Fire and Rescue
- Director of Public Health
- United Kingdom Health Security Agency (UKHSA)

1) Consultation Responses from Statutory and Non-Statutory Bodies

Response Received from UKHSA	
Brief summary of issues raised:	Summary of action taken / how this has been covered
Aspects yet to be confirmed, including details of SNCR system, settings to minimise NOx emissions, testing regimes.	Pre-operational condition relating to a commissioning report (PO5) has been included in the permit
Discrepancy of stack height	Confirmed at 111m
Verify the assessments regarding chromium (VI)	The applicant's air quality modelling has been audited by the Environment Agency air quality monitoring and assessment unit (AQMUA) as part of this application.
Frequency of filter inspections and changes	The applicant proposes to measure differential pressure across the bag filters to optimise performance and detect bag failures.
Post commissioning tests to determine particulate size distribution	Included as an Improvement Condition within the permit (IC2)

Lack of emergency response procedures	The applicant's Environmental Management System (EMS) will include an accident management system

2) Consultation Responses from Members of the Public and Community Organisations

a) Representations from Local MP, Councillors and Parish / Town Councils

None received

b) Representations from Community and Other Organisations

Representation was received from Stop Incineration North East (SINE), who raised the following issues:

Brief summary of issues raised:	Summary of action taken / how this has been covered
Comments about air emissions and air risk assessment	
Concern over the impacts from: • Oxides of nitrogen • Particulate matter • Dioxins	We have assessed the impacts from these pollutants and we are satisfied that there will not be any significant impacts. See section 5.2 including section 5.2.2 (consideration of key pollutants) of this decision document for further details.
Concern over the impact from very fine particulate matter such as PM2.5, PM1 and smaller.	These issues are covered in section 5.3 of this decision document. We are satisfied that there will not be a significant impact from very fine particles.
Comments about health impacts	
Concern was expressed that there will be an impact on health due to the Installation including: • those with existing health conditions • young people	We are satisfied that there will not be a significant impact on health due to the Installation. Section 5.3 of this decision document has further details. The standards that we have used to assess against are set to protect all members of the public.
Concern over impacts from dioxins/furans including accumulation of dioxins/furans in the food chain.	The Applicant's health risk assessment included consideration of accumulation in the food chain. The impact from dioxins/furans is described in more detail in section 5.3 of this decision document. We are satisfied that impacts will not be significant.
Comments about noise impacts	
Concern over noise.	The Installation is predicted to have a negligible impact on sensitive receptors.

Comments about odour impacts	
Concern over the impact from odour	We are satisfied that there will not be a significant impact from odour, further details are in section 6.5.4 of this decision document.
Comments about impacts at ecological sites	
Concern over the impact at habitat sites and other ecological sites.	Our assessment at ecological sites is described in section 5.4 of this decision document. We are satisfied that there will not be a significant impact.
Comments about other impacts	
Concern over the emissions of carbon dioxide and the impact on global warming.	Our assessment of global warming is covered in sections 6.3 and 6.6 of this decision document.
Comments about BAT, emission limits and control measures	
Concern that BAT is not being used including abatement techniques	Our view is that the abatement systems proposed by the Applicant are BAT. This is explained in detail in section 6 of this decision document.
Comments about waste types	
Some waste types could be recycled or recovered.	This is primarily outside the scope of this determination. Recycling initiatives are a matter for the local authority. The Permit restricts wastes that have been separately collected for recycling.
Comments about other issues	
The consultation was not adequate.	We are satisfied that we took appropriate steps to inform people about the Application and how they could comment on it. How we did this is described in section 2 of this decision document.

c) Representations from Individual Members of the Public

None received

d) Representations on issues that do not fall within the scope of this permit determination

Brief summary of issues raised:	Environment Agency comment
View expressed that this is not the right location for the Installation.	Decisions over land use are matters for the planning system. The location of the installation is a relevant consideration for Environmental Permitting, but only in so far as its potential to have an adverse environmental impact on communities or

	sensitive environmental receptors. The environmental impact is assessed as part of the determination process and has been reported upon in the main body of this document.