Technical Annexes to the Agreed Record of Consultations on Fisheries between the United Kingdom of Great Britain and Northern Ireland and the Kingdom of Norway

1. **DEFINITIONS**

- 1.1. Within these Technical Annexes:
 - references to the "the Agreed Record" are to the Agreed Record of Consultations on Fisheries between the United Kingdom of Great Britain and Northern Ireland and the Kingdom of Norway for 2024
 - ii) references to the "the Framework Agreement" are to the Framework Agreement on Fisheries between the two Parties, signed on 30 September 2020;
 - iii) references to a "Party" are to a Contracting Party to the Framework Agreement;
 - iv) references to the waters of a Party, however expressed, are references to the Party's area of jurisdiction read in accordance with Article 2 of the Framework Agreement.

2. BILATERAL ARRANGEMENTS

2.1. The Parties recognise the importance of and will co-operate in the monitoring, control and surveillance measures stipulated in these Technical Annexes to enhance the management of fisheries resources, the fisheries authorities. They acknowledge that there are significant benefits for both sides in sharing relevant information and intelligence and in enhancing co-operation in areas of mutual interest.

3. FISHERIES FRAMEWORK

- 3.1. The Parties sign these Technical Annexes to the Agreed Record in accordance with Article 6 of the Framework Agreement on Fisheries between the Government of the United Kingdom of Great Britain and Northern Ireland and the Kingdom of Norway of 30 September 2020 which provides that:
 - 2. The Contracting Parties may agree on arrangements for operational cooperation necessary for the proper functioning or implementation of this Agreement, dealing in particular with:
 - (a) the licensing of vessels flying the flag of one Contracting Party when fishing in the other Contracting Party's area of fisheries jurisdiction, including the exchange of data relating to such vessels;
 - (b) monitoring, control and surveillance of fisheries to ensure compliance with conservation and management measures.
 - 3. Arrangements agreed pursuant to this Article may take the form of protocols and guidance documents.

4. THE TECHNICAL ANNEXES

4.1. These Technical Annexes to the Agreed Record outline the understanding reached between the Parties on the following matters for 2024:

- Annex 1: Licensing arrangements
- Annex 2: Arrangements for the electronic exchange of licensing data
- Annex 3: Arrangements for the exchange of fleet registers
- Annex 4: Arrangements in respect of electronic exchange of catch and activity data (Electronic Reporting Systems)
- Annex 5: Satellite tracking of fishing vessels (Vessel Monitoring Systems)
- Annex 6: Catch statistics
- Annex 7: Bilateral arrangements for enhanced fisheries cooperation between Norway and the United Kingdom on monitoring, control and surveillance of fisheries
- 4.2. In dealing with information in connection with these Annexes, each Party will comply with their own applicable rules and regulations concerning the protection of data.
- 4.3. The Parties note that these Annexes will have effect from 1 January, or the date agreed (whichever is later) to 31 December 2024, unless the intention that any provision will have effect before 1 January 2024 is specifically indicated.

Delegation of the United Kingdom

Delegation of the Kingdom of Norway

Signature:

Signature:

Name: Colin Faulkner Name: Ann Kristin Westberg

Date: 15/12/2023 **Date:** 15/12/2023

Annex 1: Licensing arrangements

This annex sets out the types of activity that will be licensed and any licence limitations.

1. GENERAL RULES

- 1.1. All UK vessels fishing in Norwegian waters will be licensed by the appropriate licensing authority, the Directorate of Fisheries in Bergen, Norway who will send this list to the UK Single Issuing Authority.
- 1.2. All Norwegian vessels fishing in UK waters will possess a licence issued by the appropriate licensing authority, The UK Single Issuing Authority (UK SIA), acting on behalf of the Marine Management Organisation, Scottish Ministers, Welsh Ministers and the Northern Ireland Executive.
- 1.3. When fishing in UK waters, Norwegian vessels will be able to provide a licence and associated conditions to an enforcement officer on demand. Electronic versions will be sufficient.
- 1.4. UK vessels fishing in Norwegian waters are not required to keep a licence on board, but will be on the authorised vessel list referenced at 1.1.
- 1.5. Masters will make themselves aware of any licence variation.

2. PROCEDURE FOR THE LICENSING OF FISHING VESSELS

2.1. The arrangements for the application, notification and exchange of licensing data is set out in annex 2 to this Agreed Record.

3. Publication of UK Licence Conditions

3.1. The UK will publish the conditions that apply to Norwegian vessels licensed to fish in UK waters at www.gov.uk/guidance/united-kingdom-single-issuing-authority-uksia

4. CHANGE OF LICENCE OR AUTHORISATION CONDITIONS

- 4.1. Where one Party's usage of an agreed fishing opportunity approaches 100%, the Party or Parties issuing licences or authorisations for the waters in which the usage has been reached may amend a licence or authorisation to reflect a change in or limiting or closure of that particular or an associated fishery.
- 4.2. When one Party issues a licence or authorisation change for the other Party's vessels, the issuing Party will send a notification to the other Party and the masters of its vessels with at least 24 hours' notice of the change coming into effect.
 - 4.2.1. When notifying the Party by email the issuing authority will email:
 - (1) For the UK: <u>uksia@marinemanagement.org.uk</u>
 - (2) For Norway: postmottak@fiskeridir.no

Annex 2: Arrangements for the electronic exchange of licensing data

An interim solution for licensing data exchange for the period covered by the Agreed Record is set out in this Annex. During this period, the Parties will continue to develop exchange procedures.

1. LICENSING AUTHORITIES

- 1.1. For the purposes of this Annex:
 - 1.1.1. The "licensing authority" will be:
 - (1) The Directorate of Fisheries in Bergen, Norway for vessels flying the flag of the United Kingdom;
 - (2) The UK Single Issuing Authority (UK SIA), the Marine Management Organisation acting on behalf of the Scottish Ministers, the Welsh Ministers and the Northern Ireland Executive, for vessels flying the flag of Norway.
 - 1.1.2. The "flag authority" will be:
 - (1) The Directorate of Fisheries in Bergen, Norway for vessels flying the flag of Norway;
 - (2) The UK SIA for vessels flying the flag of the United Kingdom.

2. PROCEDURE FOR LICENSING OF FISHING VESSELS

- 2.1. The flag authority will submit an application to the licensing authority for each individual vessel wanting to be licensed. The application will contain the information set out in Appendix 1.
- 2.2. The application will specify which of the licence type(s) at Appendix 2 is being applied for.
- 2.3. The licensing authority will respond as soon as reasonably practicable to a licence application, indicating whether a licence has been granted or rejected. If a licence application is rejected, the reason for rejection will be provided.
- 2.4. If the flag authority becomes aware that a vessel is not or is no longer eligible to fish under a licence that has been applied for or granted, the flag authority will notify the licensing authority without delay.
- 2.5. If there are any changes to a vessel's characteristics and the details provided at point 2.1, the existing licence will be withdrawn and without delay the flag authority will send a new application to the licensing authority, if the conditions for eligibility are still met.
- 2.6. The licensing authority may refuse, suspend or withdraw a licence where a fundamental change of circumstances has occurred (e.g. vessel ownership or characteristics) or in cases of a serious threat to the sustainable exploitation, management and conservation of marine biological resources, or where it is essential in order to prevent or suppress illegal, unreported or unregulated ("IUU") fishing, including serious non-compliance with the rules in their waters.
- 2.7. Unless otherwise withdrawn, the Parties will ensure that a licence issued in accordance with this Annex will expire when the Agreed Record ceases to have effect on 31st December 2024, unless

otherwise indicated in the Agreed Record.

2.8. The licence applications and decisions will be communicated in accordance with paragraph 4.

3. ADDITIONAL PROCEDURES FOR THE LICENSING OF FISHING VESSELS FLYING THE FLAG OF NORWAY

- 3.1. In addition to the exchange and communication of data between the licensing authorities at paragraph 1, the UK SIA will issue an electronic licence directly to a vessel owner by email using the email address provided.
- 3.2. Licences issued electronically by the UK SIA will come into effect no sooner than 24 hours after they have been communicated to the vessel owner.
- 3.3. Licence variations will be issued electronically by the UK SIA and will come into effect no sooner than 24 hours after publication on the UK SIA website.

4. COMMUNICATION OF LICENCE DATA

- 4.1. Communication of the data between the licensing authorities at paragraph 2 will be contained in a Microsoft Excel/CSV file or similar.
- 4.2. The file will be exchanged via email communication between the addresses below:
 - i) For the UK: <u>uksia@marinemanagement.org.uk</u>
 - ii) For Norway: postmottak@fiskeridir.no
- 4.3. The licensing authority will confirm receipt of the licensing data.

5. CONTACTS

United Kingdom	Kingdom of Norway
UK Single Issuing Authority	Directorate of Fisheries
Marine Management Organisation (MMO)	Directorate of Fisheries
Lancaster House	Strandgaten 229
Newcastle	Bergen
England	Norway
NE4 7YN	Tel: 0047 552 38 000
Tel: 0044 208 026 5062	Email: postmottak@fiskeridir.no
Email: <u>uksia@marinemanagement.org.uk</u>	
	Specific contacts to be copied into relevant
	correspondence:
	Contact for UK vessel licensing issues in
	Norwegian waters: Alejandro Chambi
	Maldonado <u>almal@fiskeridir.no</u> (tel.
	+47 92062145)
	Contact for Norwegian vessel licensing issues in
	UK waters: Elin Winsents elwin@fiskeridir.no
	(tel. + 47 468 04 156)

1. FORMAT TO BE USED FOR LICENCE APPLICATION DATA EXCHANGE FOR NORWEGIAN VESSELS

Data Element	Mandatory / Optional (M/O)	Comments			
Owner details					
Name of all the owners	M				
E-mail of owners	M	Free text standard format name@domain.com			
Address	M	6 address field occurrences, 5 for address and 1 for Postcode			
Telephone number	M				
Vessel details					
Vessel e-mail	M	Free text standard format name@domain.com			
Vessel telephone number	M				
Flag State	M	ISO alpha-3 country code			
Vessel name	M				
IMO Number	M	Mandatory for vessels 12m and over.			
External markings	M				
IRCS	M				
Length (Overall length)	M	LOA			
Tonnage	M	GT			
Power	M	kW			
Gear type 1	M	For information, other gears may also be used			
Gear type 2	M / O if no Gear 2	For information, other gears may also be used			
Licence details					
Licence Code 1	M	Licence Code from Appendix 2, B			
Licence Code 2	0	Licence Code from Appendix 2, B			
Licence Code 3	О	Licence Code from Appendix 2, B			
Licence Code 4	0	Licence Code from Appendix 2, B			
Licence Code 5	О	Licence Code from Appendix 2, B			
Licence Code 6	0	Licence Code from Appendix 2, B			

2. FORMAT TO BE USED FOR LICENCE APPLICATION DATA EXCHANGE FOR UNITED KINGDOM VESSELS

Data Element	Mandatory / Optional (M/O)	Comments
Owner details		
Name of all the owners	M	
E-mail of owners	M	Free text standard format name@domain.com
Address	M	6 address field occurrences, 5 for address and 1 for Postcode
Telephone number	M	
Vessel details		
Vessel e-mail	M	Free text standard format name@domain.com
Vessel telephone number	M	
Flag State	M	ISO alpha-3 country code
Vessel name	M	
RSS Number	M	
IMO Number	M	Mandatory for vessels 12m and over.
External markings	M	
IRCS	M	
Length	M	LOA
Tonnage	M	GT
Power	M	kW
Gear type 1	M	For information, other gears may also be used
Gear type 2	M / O if no Gear 2	For information, other gears may also be used
Licence details		
Licence Code 1	M	Licence Code from Appendix 2, A
Licence Code 2	0	Licence Code from Appendix 2, A
Licence Code 3	0	Licence Code from Appendix 2, A
Licence Code 4	0	Licence Code from Appendix 2, A
Licence Code 5	0	Licence Code from Appendix 2, A
Licence Code 6	O	Licence Code from Appendix 2, A

1. LICENCE CODES TO BE USED FOR LICENCE APPLICATION FOR FISHING IN NORWEGIAN WATERS

Licence Code	Licence type		
GBR_NOR_S62N	Vessels fishing for demersal species south of 62°N		
GBR_NOR_S62N_IND	Vessels fishing for industrial species south of 62°N		
GBR_NOR_S62N_MAC_SP	Purse seine vessels fishing for Mackerel south of 62°N		
GBR_NOR_S62N_MAC_TO	Trawl vessels fishing for Mackerel south of 62°N		
GBR_NOR_N62N_MAC_SP	Purse seine vessels fishing for Mackerel north of 62°N		
GBR_NOR_N62N_MAC_TO	Trawl vessels fishing for Mackerel north of 62°N		
GBR_NOR_S62N_HER	Vessels fishing for Herring south of 62°N		
GBR_NOR_N62N	Vessels fishing for demersal species north of 62°N		
GBR_NOR_N62N_WBH	Vessels fishing for Blue Whiting in Norwegian EEZ north of 62°N		
	and in the Fishery Zone around Jan Mayen		
GBR_NOR_N62N_HER	Vessels fishing for Norwegian Spring Spawning Herring in		
	Norwegian EZZ north of 62°N and in the Fishery Zone around Jan		
	Mayen		
GBR_NOR_CARGO	Transport vessels (cargo) in Norwegian EEZ and in the Fishery Zone		
	around Jan Mayen		

2. LICENCE CODES TO BE USED FOR LICENCE APPLICATION FOR FISHING IN UK WATERS

Group Code	Vessel Group
NOR_GBR_ICES_IND	Industrial group – vessels fishing for Sandeel, Sprat and Shrimp in ICES
	Zone IV, Blue whiting in ICES Zones II, IV, VIa (north of N56°30), VIb
	and VII (West of W12°), Norway Pout in ICES Zone IV and IVa (north of
	N56°30), Mackerel in ICES Zones IIIa and IV, Horse Mackerel in ICES
	Zone IV and Herring in ICES Zones IVa and IVb
NOR_GBR_ICES_LL	Longil group – vessels fishing with longline and gillnets for Cod,
	Haddock, Whiting and Plaice in ICES zone IV, Saithe in ICES zones IIIa
	and IV, Ling, Blueling and Tusk in ICES zones IIa, IV, Vb and VII
NOR_GBR_ICES_SP	Purse group – vessels fishing for Sandeel and Sprat in ICES Zone IV,
	Blue Whiting in ICES Zones II, IV, VIa (north of N56°30), VIb and VII
	(west of W12°), Herring in ICES zones IVa, IVb and VIa (north of
	N56°30), Mackerel in ICES zones IIa, IIIa, IV, VIa (north of N56°30),
	VIId, VIIe, VIIf and VIIh, Horse Mackerel in ICES zone IV and Norway
	Pout in ICES zones IV and VIa (north of N56°30)
NOR_GBR_ICES_TO	Trawl group – vessels fishing for Cod, Haddock, Plaice and Whiting in
	ICES zone IV and Saithe in ICES zones IIIa and IV
NOR_GBR_N62N_HER	Vessels fishing for Norwegian Spring Spawning Herring in UK waters
	north of 62°N

Annex 3: Arrangements for the exchange of fleet registers

The fleet register is important for the application of the ERS (see Annex 4) and VMS (see Annex 5) to the Agreed Record.

This Annex sets out the arrangements for the electronic exchange of fleet register data between Norway and the UK as described in Appendix 2 for data exchange in point 1.

1. APPLICATION

1.1. The fleet register will comprise data on Norwegian vessels 12 metres and above, and UK vessels 12 metres and above.

2. PROCEDURE FOR EXCHANGE OF FLEET REGISTERS

- 2.1. For the purposes of this Annex the authorities for the exchange of fleet registers will be:
 - i) Norway: The Directorate of Fisheries, Bergen.
 - ii) **United Kingdom:** The Centre of Aquaculture, Fisheries and Aquaculture Science (CEFAS) on behalf of the United Kingdom fisheries administrations.
- 2.2. Norway will send to the UK a Notification report (NOT) as outlined in Appendix 1 for each of its individual vessels 12 metres and above.
- 2.3. The UK will send to Norway a Notification report (NOT) as outlined in Appendix 1 for each of its individual vessels 12 metres and above.
- 2.4. If there are changes to the characteristics of a Norwegian vessel which do not have any effect on the vessel's right to continue to fish, Norway will without undue delay send to the UK a new NOT report for each individual vessel replacing the previous NOT report.
- 2.5. If there are changes to the characteristics of a UK vessel which do not have any effect on the vessel's right to continue to fish, the UK will without undue delay send to Norway a new NOT report for each individual vessel replacing the previous NOT report.
- 2.6. If there are changes in the characteristics of a Norwegian vessel that in any way will change the vessel's right to fish, Norway will without undue delay send to the UK a Withdrawal report (WIT) as outlined in Appendix 1 to withdraw a vessel from the list of notified vessels. A new NOT report will be sent according to point 2.2 of this Annex.
- 2.7. If there are changes in the specific vessels characteristics of a UK vessel that in any way will change the vessels right to fish, the UK will without undue delay send to Norway a Withdrawal report (WIT) as outlined in Appendix 1 to withdraw a vessel from the list of notified vessels. A new NOT report will be sent according to point 2.3 of this Annex.
- 2.8. Changes which affect the vessel's licence will be notified to the licensing authority in accordance with Annex 2.
- 2.9. Regarding NOT and WIT reports sent using the agreed API, the acceptance of the report will only be as described in Appendix 2.

1. NOTIFICATION (NOT) REPORT

Data elements used in the API data transmission. (See Appendix 2)

Data Element	Code	Mandatory / Optional (M/O)	Comments
Unique id for the reports	NOT_WIT_id	M	Sequence of unique number used to identify the report. Will never be reset to zero.
Last updated time	LAST_UPDATED	М	Date and time for last update of any M information in the report, in the UTC ISO standard for date and time as 2019-02-20T01:00:00.000Z
Type of Message	TM	M	Message detail; message type, "NOT" as Notification containing technical details about the vessel
Vessel Name	NA	M	Vessel registration detail; name of the vessel
Radio call sign	RC	M	Vessel registration detail; international radio call sign of the vessel
Flag State	FS	M	Vessel registration detail; State where the vessel is registered given as ISO-3 Flag State code
External Registration Number	XR	M	Vessel registration detail; the side number of the vessel
Vessel Owner	VO	M	Vessel registration detail; name and address of the owner
Vessel capacity measurement method Tonnage	VT	O M	Vessel characteristic, vessel capacity in pairs as needed "OC" "Oslo" convention 1947, "LC" "London" convention ICTM-69 total capacity in tonnage (GT)
Vessel Length measurement method Length	VL	M O	Vessel characteristic; length in meters in pairs as needed "OA" overall; "PP" between perpendiculars length in meters
Vessel Power measurement method	VP	O M	Vessel characteristic, engine power in pairs as needed "KW" total installed engine power in vessel as kilowatts, "HP" total installed engine power as
			horsepower total installed engine power

2. WITHDRAWAL (WIT) REPORT

Data elements used in the API data transmission. (See Appendix 2)

Data Element	Code	Mandatory / Optional (M/O)	Comments
Unique id for the reports	NOT_WIT_id	M	Sequence of unique number used to identify the report. Will never be reset to zero.
Type of Message	TM	M	Message detail; message type, "WIT" as Withdrawal of vessels from the list of notified vessels
Radio call sign	RC	M	Vessel registration detail; international radio call sign of the vessel
Vessel Name	NA	О	Vessel registration detail; name of the vessel
External Registration Number	XR	0	Vessel registration detail; the side number of the vessel
Start Date	SD	M	Message detail; The first date as from which the withdrawal takes effect. in the UTC ISO standard for date and time as 2019-02-20T01:00:00.000Z
Vessel capacity measurement method Tonnage	VT	0 0	Vessel characteristic, vessel capacity in pairs as needed "OC" "Oslo" convention 1947, "LC" "London" convention ICTM-69 total capacity in tonnage (GT)
Vessel Length measurement method Length	VL	0 0	Vessel characteristic; length in meters in pairs as needed "OA" overall; "PP" between perpendiculars length in meters
Vessel Power measurement method Power	VP	0	Vessel characteristic, engine power in pairs as needed "KW" total installed engine power in vessel as kilowatts, "HP" total installed engine power as horsepower total installed engine power

DATA TRANSMISSION FORMAT AND EXCHANGE OF FLEET REGISTER DATA

Appendix 1 contains all elements in the new fleet register API data exchanges.

1. EXCHANGE DATA TRANSMISSION IS STRUCTURED AS FOLLOWS:

- 1.1. Characters in accordance with UTF-8
- 1.2. Each data transmission is a push of data generated by agreed events in the Flag State fleet register of the sending party since last data transmission.
- 1.3. The data exchange uses JSON (JavaScript Object Notation) format and a REST API (Restful Application programming interface).
- 1.4. The data included in the fleet register transmissions are listed in Appendix 1.
- 1.5. The API data exchange structure:
 - 1.5.1. The GBR API used for NOR -> GBR allow a list.
 - (1) GBR accepts one or more reports in one sending.
 - (2) NOR will only send one report each time.
 - 1.5.2. The NOR API used for GBR -> NOR do not allow a list.
 - (1) NOR accepts only one report in each sending
 - (2) GBR will send only one report each time.

2. RECEIVING REPORTS

2.1. The receiving Party will send a synchronous HTTP response to indicate that the report is received.

3. RESPONSE FORMAT FOR CORRECT RECEIVED REPORTS:

3.1. 201 Created or 200 OK

4. UPON FAILURE:

4.1. HTTP codes might be sent synchronously:

1. HTTP code	2. HTTP message	3. Reason
400	Bad request	Client error, Validation error
404	Not found	The receiver is not configured for the other party's system
500	Internal server error	Unexpected internal error
503	Service unavailable	The service is temporarily down

5. USE OF ASYNCHRONOUS RETURN MESSAGES

- 5.1. In this Fleet Register data exchange using the agreed REST API solution is implemented with only a synchronous response as the confirmation of the report sent and received.
- 5.2. If it is decided at a later stage that an asynchronous return message is needed it can be implemented in the API.

6. SECURE DATA EXCHANGE IS THE SAME AS FOR ERS

- 6.1. Secure transmissions of Fleet Register data between FMCs are required.
- 6.2. The below outlines the prerequisites to achieve secure transmissions and will be applied to both test and production environments:
 - 6.2.1.IP address and hostname of the client machine and/or server machine (if an FMC uses NAT or something similar, this should be the IP address we should open our firewall for)
 - 6.2.2. The endpoint locations used by the FMC implementation
 - 6.2.3.The public part of the SSL-certificate the client and/or server machine will be using (please include the entire certificate chain). The SSL certificate should be a domain-validated server/client certificate with revocation checking options (CRL or OCSP); self-signed certificates will not be accepted.

Annex 4: Arrangements in respect of electronic exchange of catch and activity data (Electronic Reporting Systems)

This Annex sets out the technical arrangements relating to the electronic reporting systems (ERS).

1. COMMON PRINCIPLES WHEN EXCHANGING DATA BETWEEN FISHERIES MONITORING CENTRES (FMCs)

- 1.1. Reports will be forwarded in accordance with the Flag State principle, meaning that catch and activity data will be submitted by the master to the Flag State of the vessel.
- 1.2. All reports outlined in Appendix 1 of this Annex will be forwarded by the Flag State FMC to the other Party without undue delay (pushed).
- 1.3. The International radio call sign (IRCS) will be the main identification of the vessel in the reports exchanged between FMCs.
- 1.4. All recorded date and time elements in the reports should be given in UTC time.
- 1.5. Flag State FMCs will add Header data elements to the ones already sent by the vessel as specified in Appendix 1 to all reports before forwarding them.
- 1.6. Coastal State FMCs will automatically issue a RET (Return) message as defined in Appendix 1 for every report received. An electronic report sent in accordance with this Annex is considered not to be received if the originator does not receive a RET message from the Coastal State FMC, or the RET message from the Coastal State FMC has the return status not acknowledged. The RN field of a return message will be copied from the report checked. If the SQ field is used in the report this SQ will also be copied from the report checked to the RET message. Similarly, the RX field should be copied from the report into the RET message for cancellations or corrections.
- 1.7. Only acknowledged reports may be corrected or cancelled. If an FMC receives a correction for a report from the other FMC this correction will have a new RN (Record number). In addition, the report should include the RN of the report to be corrected (RX). The report with the most recent RN is the valid report.
- 1.8. The Flag State will monitor the reporting of vessels carrying its flag when in the waters of the other Party.
- 1.9. Responsibility of the master of fishing vessels regarding the use of ERS. The master will:
 - i) Ensure that the Reporting System is fulfilling all demands required by this agreement, and is functioning when entering the zone.
 - ii) Ensure that all Reports are submitted within given time limits.
 - iii) Make sure that Reports are received at final receiver. For each Report two return messages (RET) will be returned to the vessel. One from the Flag State FMC, and one from the Coastal State FMC.
 - iv) In case the RET is not received from the Coastal State FMC, or the RET has the status NAK, either contact the Flag State FMC if they have received a RET from the Coastal State or send

a new Report to be forwarded to the Coastal State FMC.

v) Not enter a zone intending to fish without having received a RET message from the Coastal State with status ACK on Catch on Entry (COE) Report or Departure from Port Report (DEP).

2. ROUTING OF ELECTRONIC REPORTS

2.1. Norwegian vessels will send their electronic reports to the Norwegian FMC, which will forward the reports to the UK FMC. The UK FMC will prepare and send the correct RET message back to the Norwegian FMC. Thereafter the Norwegian FMC will forward the RET message from the UK FMC to the Norwegian vessel without undue delay.

NOR vessel <==> NOR FMC <==> UK FMC

2.2. UK vessels will send their electronic reports via their FMC to the Norwegian FMC. The Norwegian FMC will prepare and send the correct RET message back to the UK FMC. Thereafter the UK FMC will forward the RET message from the Norwegian FMC to the UK vessel without undue delay.

UK vessel <==> UK FMC <==> NOR FMC

2.3. Where prior authorisation is required, this will be handled within existing regulations. However, electronic submission of CON reports will be exchanged among Parties.

3. CATCH AND ACTIVITY REPORTS:

- 3.1. All electronic reports required under this reporting scheme (DEP, DCA, COE, TRA, POR, CON, COX, LAN and AUD) will be sent using the formats specified in Appendix 1. The master of a vessel going to fish in the other Party's waters will send the electronic reports one by one in accordance with time limits given in this Annex.
- 3.2. The master of a vessel intending to fish in the other Party's waters will send a Catch on Entry (COE) report at the earliest 12 hours and at the latest 1 hour before crossing the border. This report may be cancelled.
- 3.3. The master of any vessel that has been granted a licence for fishing in the Norwegian Economic Zone north of 62°N will send a COE report, at the earliest 24 hours and at the latest 12 hours prior to starting fishing operations in the zone.
- 3.4. After a Catch on Entry (COE) report has been acknowledged by RET message the Detailed Catch and Activity (DCA) report will be sent every day before 23.59 UTC. Block B of the DCA report will only be included for finalized fishing activities. The DCA report can be corrected. When fishing in the Norwegian Economic Zone the DCA report may only be corrected by the master of vessel until 12.00 UTC the day after. The DCA report will also be sent prior to a:
 - i) Catch on Exit (COX) report
 - ii) Control Point/Area (CON) report
 - iii) Inspection at sea (if requested by the Coastal State authorities)

- iv) Port report (POR)
- 3.5. When entering a port within the UK the master of a vessel will send a Port report (POR) at the latest 4 hours before entering the port. When entering a port in Norway the master of a vessel will send a Port report (POR) at the latest 2 hours before entering the port. This report may be corrected or cancelled. When leaving a port of the other Party a vessel will send a Departure report (DEP) before departing the port. This report may be cancelled.
- 3.6. When taking part in transhipment at sea in Norwegian waters the master of a vessel will send a Transhipment report (TRA). The master of a donor vessel will send a TRA report no later than 24 hours before the transhipment takes place. The master of a receiving vessel will send this report no later than 1 hour after the transhipment is completed. This report may be cancelled or corrected.
- 3.7. Transhipment at sea is prohibited in UK waters and may be performed only in ports designated for that purpose. The master of a donor vessel will send a Transhipment report (TRA) no later than 4 hours before the transhipment takes place. The master of a receiving vessel will send this report no later than 24 hours after the transhipment is completed. This report may be cancelled or corrected.
- 3.8. Before the vessel exits from the waters of the other Party the master of a vessel will send a Catch on Exit (COX) report. This report may be cancelled.
- 3.9. The master of any vessel engaged in trawling for fish for human consumption in the Economic Zone of Norway south of 62° N with a minimum mesh size of 120 mm will send a catch on exit (COX) report when fishing in the zone is discontinued and at the latest 1 hour before leaving the Economic Zone.
- 3.10. Where applicable the master of a vessel will send a Control point/area report (CON) in accordance with time limits given by the other Party. This report may be cancelled.
- 3.11. When landing catch into a UK port the master of the vessel will complete and submit a Landing declaration (LAN) report within 24 hours following completion of landing.
- 3.12. The Parties may after consultations decide on different time-limits than the above mentioned if this is found appropriate for management or control purposes for specific fisheries.
- 3.13. If a report is marked by using the FM (FMC marking) data element in the header fields set out in Appendix 6 and the data content is correct the reports should not be rejected due to time limits set out in paragraph 3.

4. FORMATS FOR DATA EXCHANGE BETWEEN FMCS

- 4.1. Data exchange between the FMCs will be conducted by using the reports with names and data elements as described in Appendix 1. Data exchange format between the vessel and the Flag State FMCs will be established by the Flag State authorities.
- 4.2. Pushing of these reports between the FMCs will be done using XML and Web Services. Any changes to the format laid down in Appendix 1 to 9 of this Annex will be discussed between Parties and will be subject to a determined implementation period.

4.3. REQUIREMENTS FOR XML REPORTS

- 4.3.1. The data exchange will be done using Web Services and HTTPS data exchange protocol.
- 4.3.2. The common agreed WSDL defines the contract for the operations to be used when exchanging data. The WSDL will adhere to WS-I Basic Profile 1.1 to enforce interoperability.
- 4.3.3. The common agreed XSD will be used for partially validating the data.
- 4.3.4. The mandatory fields for fish quantities (OB, CA and KG) will be given as empty elements if there is nothing to declare (for example <element/>). These fields require a list of pair items (species, quantity), which would translate to an element/sub-element XML structure.
- 4.3.5.The RN (Record number) will be crewsRN (CREWS Common Regional ERS Web Services) and be the unique identifier of a report. The format will be:
 - 4.3.5.1. XXXYYYYMMDDHHmmSSsss (sss milliseconds) where the XXX will be the ISO-Alpha 3 country code. Each Party ensures that the RN they produce is unique.
- 4.3.6.TM will not be used as a code for message type. The message type will instead be given as an XML element instead of an XML attribute.
- 4.3.7.If the report is sent to correct a previous report the updateErs(ERS) will be used and if the report is sent to cancel a previous sent report the deleteErs(DEL) will be used. Return messages for corrections and deletions will include RE/512 and RE/522, respectively. It is the chosen WSDL operation that indicates that the report is a cancellation or correction report.
- 4.3.8.All RE (return error number) values will be included within the return message. The RS field (ACK/NAK) will reflect the final decision taken during the report validation. Note that RE values may be given and the message may still contain ACK, in such cases the RE values may be considered 'warnings' or information.
- 4.3.9. The system will validate incoming and outgoing reports against the crews XSD schema. If the incoming report does not validate, a SOAP fault should be returned within the session indicating that the report has not been handled.

5. PRINCIPLES USED WITH CORRECTIONS AND CANCELLATIONS

- 5.1. The Flag State FMC will decide whether the correction or cancellation of a report from its vessel is accepted or not. Messages sent between FMCs to correct or cancel reports should not be rejected due to time limits (if a correction or cancellation is received it should be accepted if the data content is correct).
- 5.2. If the correction or cancellation is registered, altered or accepted by the Flag State FMC the report should be marked by using the FM (FMC marking) data element in the header fields set out in Appendix 6.
- 5.3. If a report has been cancelled using the formats specified in Appendix 1 to 9, a new report will be sent within the time limits given under paragraph 3.

6. TESTING

6.1. The Parties will perform the tests of the implementation of the electronic reporting system before

the real data exchange starts. Testing will only be done in the acceptance environment.

- 6.2. The AUD report as described in Appendix 1 can be used to test the connection between vessel, Flag State and the other Party. The AUD report is also meant to verify the connection between the FMCs if there are indications of transmission failure between the Parties. The RET message is issued for each AUD.
- 6.3. The HEADER part of any report contains a test TE field. If the field is set, the report should be considered a test report. TE field should only be used in the acceptance environment. The RETURN (RET) message replied to a received test report will also have the TE (test) field set to indicate that the message is a test response. Furthermore, the Return message should have the RN number set, referencing the received test report. If the received test report is not acknowledged the RS should be set to NAK and a reason RE should be indicated.

7. FALLBACK PROCEDURES

7.1. EQUIPMENT FAILURE ON BOARD VESSEL AND/OR TRANSMISSION FAILURE BETWEEN VESSEL AND ITS AUTHORITY

- 7.1.1.The Flag State authority will notify the Coastal State authority about problems influencing the data exchange with a vessel and confirm that appropriate action has been taken to correct the problem.
- 7.1.2. Furthermore, the Flag State authority will forward all required reports in the agreed digital form (Appendix 1 to 9) with high priority, but the normal time limits for fully electronic reporting might not be met. If required by the Coastal State, data for specific vessels should be made available without undue delay. The reports should be marked by using the FM (FMC marking) data element in the header fields set out in Appendix 6.
- 7.1.3.A fishing vessel will not leave a port following a technical failure or non-functioning of its electronic recording and reporting system before it is functioning to the satisfaction of the competent authorities of the Flag State or before it is otherwise authorized to leave by the competent authorities of the Flag State. In these cases, the Flag State will notify the Coastal State before it authorizes a vessel flying its flag to leave a port in the Coastal State.

7.2. TRANSMISSION FAILURES BETWEEN PARTIES OR SYSTEM FAILURES AT ONE OF THE PARTIES

- 7.2.1. When a Party cannot send or receive electronic reports, it will as soon as possible contact the single ERS contact points of the other Party to inform about the problem and, if necessary, cooperate on solving it.
- 7.2.2.On request of the Coastal State FMC, data could as soon as possible be forwarded by some other agreed electronic means (e.g. emailed zip file, etc.).
- 7.2.3. The Coastal State will inform their patrol vessels/Coast Guard about transmission failures between the Parties or system failures in one of the Parties.
- 7.2.4.Once the system comes back to an operational mode, the missing messages (even when these have been sent to the Coastal State by other means) will be sent to the appropriate Party in the agreed digital format (Appendix 1 to 9). In such cases the reports should be marked by using the FM data element in the header fields.

7.2.5. Contacts and back up contacts (if different from those in Appendix 10) should be established for a certain period of time, including full contact details in case of non-response.

7.3. MAINTENANCE AT ONE OF THE FMCS

- 7.3.1.All maintenance operations that may affect data exchange will be notified, preferably at least 72 hours in advance and, if possible, the date and time period of the maintenance should be specified and communicated between the FMCs.
- 7.3.2. During maintenance, transmission operations may be put on hold until the system is back online. Once the system is back online, all held data should be transmitted immediately in the agreed digital format (Appendix 1 to 9).
- 7.3.3. During maintenance periods the fallback procedures for system failure apply.

8. CONTACT POINT FOR ERS AT THE FMCS

8.1. The single ERS contact point list is given in Appendix 10. If the single contact point is changed this will be notified to the other FMC without delay.

Description of data and data format used in communication between FMCs

1. HEADER DATA ELEMENTS

Data Element	Code	Mandatory / Optional (M/O)	Comments	
Header fields prov	ided by	the FMC when fo	rwarding the report.	
Test indicator	ТЕ	О	The master can send test reports, but it is the FMC that should decide if such a report will be forwarded. The presence of this field indicates that the message is a test report. Only to be used in the acceptance environment.	
From	FR	M	The transmitting Party: Alpha-3 ISO country code and user assigned codes (Appendix 7)	
Record Number	RN	M	Format as defined in point 4.3.5 of this Annex	
Record Date	RD	M	UTC date of transmission from the FMC (YYYYMMDD)	
Record Time	RT	M	UTC time of transmission from the FMC (HHMM)	
Previous record number	RX	M^1	In the case of a correction or cancellation, this field value will be the previous record number which will be corrected or cancelled as defined in point 4.3.5 of this Annex	
FMC marking	FM	M^2	FMC marking as defined in Appendix 6	
Header fields prov	Header fields provided by the master and forwarded by the FMC			
Address	AD	M	Destination code GBR or NOR	
Radio Call sign	RC	M	International radio call sign of the vessel	
Internal Registration Number	IR	О	Internal registration number for United Kingdom vessels (The identification for Norwegian vessels should only be the RC.)	
Date	DA	M	UTC date of transmission from the vessel (YYYYMMDD)	
Time	TI	M	UTC time of transmission from the vessel (HHMM)	
Name of Master	MA	M	Name of master	
Sequence number	SQ	О	Serial number of the report from the vessel to the Coastal State in the relevant year	
Type of Message	TM	M	3 letter code message type	

Mandatory if a correction or cancellation to a previous message. Limitations for correcting or cancelling reports are listed in point 5 of this Annex

² Mandatory only in the situations described in Appendix 6

2. DEPARTURE FROM PORT REPORT – DEP

Format used in communication between FMCs

Data Element	Code	Mandatory / Optional (M/O)	Comments
Header fields prov	ided by	the FMC when fo	orwarding the report.
Header fields prov	ided by	the master and fo	orwarded by the FMC
Type of Message	TM	M	message type, "DEP"
Elements below ar	e specif	ic for this report t	ype, provided by the master and forwarded by the FMC
Port	РО	M	Code of port (ISO alpha-2 country code + 3 letter port code) based on the UN/LOCODE (the United Nations code for Trade and Transport Locations)
Departure Date	ZD	M	UTC date of the departure from port (YYYYMMDD)
Departure Time	ZT	M	UTC time of the departure from port (HHMM)
Catch on board	ОВ	M	Quantity of species on board when departing, in pairs as needed, FAO species code (SN). Live weight in kilograms (WT)
Vessel activity	AC	M	Predicted anticipated vessel activity as defined in the 'Main vessel activity' code set in Appendix 3
Gear definition	GE	M^3	Gear definition list given as a FAO gear code

³ Mandatory only if exiting a UK port and activity is Fishing

3. CATCH ON ENTRY REPORT - COE

Format used in communication between FMCs

Data Element	Code	Mandatory / Optional (M/O)	Comments
Header fields prov	ided by	the FMC when fo	orwarding the report.
Header fields prov	ided by	the master and fo	orwarded by the FMC
Type of Message	TM	M	message type, "COE"
Elements below ar	e specif	ic for this report t	ype, prepared by the master and forwarded by the FMC
Quantity On Board species live weight	OB	M	quantity by species on board, in pairs as needed, FAO species code (SN) Live weight in kilograms (WT)
Latitude	LT	M	estimated latitude where the master intends to commence fishing in decimal format (WGS84)
Longitude	LG	M	estimated longitude where the master intends to commence fishing in decimal format (WGS84)
Predicted date	PD	M	estimated date UTC when the master intends to commence fishing (YYYYMMDD)
Predicted time	PT	M	estimated time UTC when the master intends to commence fishing (HHMM)
Directed species	DS	M^4	Planned directed species FAO species code (only one)
Relevant area	RA	M	The ICES Division where the master intends to commence fishing.

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⁴ Mandatory only when starting to fish in Norwegian waters

4. DETAILED CATCH ACTIVITY REPORT – DCA

With possibilities to report on each fishing operation

Format used in communication between FMCs

Data Element	Code	Mandatory / Optional (M/O)	Comments
Block A - This part	has data		
Header fields provid	led by th	e FMC when forw	arding the report.
Header fields provid	led by th	e master and forw	arded by the FMC
Type of Message	TM	M	Message type, "DCA"
Elements below are specific for this report type, prepared by the master and forwarded by the FMC			
Activity	AC	M	Activity of the fishing vessel (See Appendix 3).
Partner vessel	PA	M ⁵	The radio call sign (IRCS) of the partner fishing vessel if fishing in pair with another vessel

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⁵ Mandatory if fishing in pair with another vessel

Dlask Data	DD	М	Data for stort of fishing superior (VVVVIII)
Block Date	BD	M	Date for start of fishing operation (YYYYMMDD) in UTC
Block time	BT	M	Time for start of fishing operation (HHMM) in UTC
Start Latitude	LT	M	Latitude for start of fishing operation, decimal degrees(WGS84)
Start Longitude	LG	M	Longitude for start of fishing operation, decimal degrees (WGS84)
Start Zone	ZO	M	Zone where fishing started see Appendix 7: Alpha-3 ISO country code and user assigned codes and of LT /LG
Gear specification	GS	M ⁶	1 = single trawl 2 = twin trawl 3 = triple trawl 4 = more than a triple trawl
Fishing gear	GE	M	FAO gear code
Mesh size	ME	M^7	Mesh size of the fishing gear in millimetres (mm)
Gear problems	GP	M ⁸	1 = empty set 2 = net burst 3 = split 4 = broken meshes in the cod end (tear in cod end) 5 = lost gear 6 = other
End Latitude	XT	M	Latitude for end of fishing operation, decimal degrees (WGS84)
End Longitude	XG	M	Longitude for end of fishing operation, decimal degrees (WGS84)
Duration	DU	M	Duration of the fishing operation in minutes
Fishing operation (quantity of deployed gear)	FO	M ⁹	Total number of hooks, total length of gillnets deployed
Stock specification	SS	M^{10}	Stock value as listed in Appendix 4. Ex NOR01
Catch species live weight	CA	M ¹¹	Total quantity by species from this fishing operation (including undersized catch), in pairs as needed, FAO species code (SN), Live weight in kilograms (WT).
Pumping from	TF	M ¹²	Radio call sign of the vessel that is pumped from

⁶ Mandatory only when trawling

Mandatory only when fishing with gears with meshes (trawls, gill net and purse seine)

⁸ Mandatory only if there are problems

⁹ Mandatory only for long line, or gillnets

Mandatory only if the data element AC is FIS and the catch (CA) contains any of the stocks listed in appendix 4

Mandatory only if any catch was taken

Mandatory only if pumping from another vessels gear.

5. CATCH ON EXIT REPORT (COX)

Format used in communication between FMCs

Data Element	Code	Mandatory / Optional (M/O)	Comments	
Header fields provid	Header fields provided by the FMC when forwarding the report.			
Header fields provided by the master and forwarded by the FMC				
Type of Message	TM	M	Message type, "COX"	
Elements below are specific for this report type, prepared by the master and forwarded by the FMC			, prepared by the master and forwarded by the	
Port	РО	О	Code of port (ISO alpha-2 country code + 3 letter port code) based on the UN/LOCODE (the United Nations code for Trade and Transport Locations)	

6. CONTROL POINT/AREA REPORT (CON)

Format used in communication between FMCs

Data Element	Code	Mandatory / Optional (M/O)	Comments		
Header fields prov	Header fields provided by the FMC when forwarding the report.				
Header fields prov	Header fields provided by the master and forwarded by the FMC				
Type of Message	TM	M	Message type, "CON"		
Elements below as	Elements below are specific for this report type, provided by the master and forwarded by the FMC				
Name of Control point/area	СР	M	Name of Control point/area (codes see Appendix 5)		
Latitude	LT	M^{13}	estimated control area latitude in decimal format (WGS84)		
Longitude	LG	M^{14}	estimated control area longitude in decimal format (WGS84)		
Predicted date	PD	M	date UTC when the master intends to arrive at the control point/area (YYYYMMDD)		
Predicted time	PT	M	time UTC when the master intends to arrive at the control point/area (HHMM)		

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Mandatory if the element CP is a control area

Mandatory if the element CP is a control area

7. Landing declaration $(LAN)^{15}$

Format used in communication between FMCs

Data Element	Code	Mandatory / Optional (M/O)	Comments		
Header fields prov	Header fields provided by the FMC when forwarding the report.				
Header fields prov	ided by th	e master and forwa	arded by the FMC		
Type of Message	TM	M	Message type, "LAN"		
Elements below are	e specific	for this report type	, provided by the master and forwarded by the FMC		
Date of Landing	DL	M	UTC date when the landing starts (YYYYMMDD)		
Time of Landing	HL	M	UTC time when the landing starts (HHMM)		
Landing Port	РО	М	Code of port (ISO alpha-2 country code + 3 letter port code) based on the UN/LOCODE (the United Nations code for Trade and Transport Locations)		
Landed Catch	LC				

¹⁵ Mandatory if landing in UK port

8. PORT REPORT (POR)

Format used in communication between FMCs

Data Element	Code	Mandatory / Optional (M/O)	Comments		
Header fields provid	Header fields provided by the FMC when forwarding the report.				
Header fields provid	Header fields provided by the master and forwarded by the FMC				
Type of Message	TM	M	Message type, "POR"		
Elements below are	specific f	or this report type,	prepared by the master and forwarded by the FMC		
Quantity On Board species live weight	OB	M	Quantity by species on board before landing, in pairs as needed, FAO species code (SN) Live weight in kilograms (WT)		
Quantity on-loaded or off-loaded species live weight	KG	М	Quantity by species to be landed in pairs as needed (including undersized catch), FAO species code (SN) Live weight in kilograms (WT)		
Port	РО	M	Code of port (ISO alpha-2 country code + 3 letter port code) based on the UN/LOCODE (the United Nations code for Trade and Transport Locations)		
Landsite	LS	M ¹⁶	Name of buyer or other specifications describing exactly where in the Port the landing will take place, given in free text (max 100 characters)		
Predicted date	PD	M	estimated date UTC for coming to port (YYYYMMDD)		
Predicted time	PT	M	estimated time UTC for coming to port (HHMM)		

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Mandatory if landing

9. TRANSHIPMENT REPORT (TRA)

Format used in communication between FMCs

Data Element	Code	Mandatory / Optional (M/O)	Comments		
Header fields provide	Header fields provided by the FMC when forwarding the report.				
Header fields provide	ded by th	e master and forwa	rded by the FMC		
Type of Message	TM	M	Message type, "TRA"		
Elements below are FMC	specific f	or this report type,	prepared by the master and forwarded by the		
Quantity On Board species live weight	OB	M	Quantity by species on board before the transhipment, in pairs as needed, FAO species code (SN) Live weight in kilograms (WT)		
Quantity on-loaded or off-loaded species live weight	KG	М	Quantity by species on-loaded or off-loaded within waters under the jurisdiction of relevant Coastal State, in pairs as needed (included undersized catch), FAO species code (SN) Live weight in kilograms (WT)		
Latitude	LT	M^{17}	estimated latitude for the transhipment in decimal format (WGS84)		
Longitude	LG	M ¹⁸	estimated longitude for the transhipment in decimal format (WGS84)		
Predicted date	PD	M ¹⁹	estimated date UTC for the transhipment (YYYYMMDD)		
Predicted time	PT	M^{20}	estimated time UTC for the transhipment (HHMM)		
Transhipped To	TT	M^{21}	International radio call sign of the receiving vessel		
Transhipped From	TF	M^{22}	International radio call sign of the donor vessel		
Port	РО	M ²³	Port code where the transhipment will take place. Code of port (ISO alpha-2 country code + 3 letter port code) based on the UN/LOCODE (the United Nations code for Trade and Transport Locations)		

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Optional for reports sent by the receiving vessel after the transhipment

Optional for reports sent by the receiving vessel after the transhipment

Optional for reports sent by the receiving vessel after the transhipment

Optional for reports sent by the receiving vessel after the transhipment

Whichever one is appropriate, all vessels taking part in the transhipment operation have to send TRA report.

Whichever one is appropriate, all vessels taking part in the transhipment operation have to send TRA report.

Mandatory for the donor vessel if the transhipment occurs at a UK port

10. AUDIT REPORT USED FOR TESTING - AUD

Format used in communication between FMCs

Data Element	Code	Mandatory / Optional (M/O)	Comments	
Header fields	Header fields provided by the FMC when forwarding the report.			
Header fields	Header fields provided by the master and forwarded by the FMC			
Type of Message	TM	M	message type, "AUD"	
Elements below are specific for this report type, prepared by the master and forwarded by the FMC				
Free text	MS	M ²⁴	Free text string	

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Note that a FMC has no obligation to check this element unless this has been specially agreed before sending the report.

11. RETURN MESSAGE FORMAT USED BETWEEN FMCs (RET)

Data Element	Code	Mandatory / Optional (M/O)	Comments
Address	AD	M	Destination Party Alpha-3 ISO country code.
From	FR	М	Alpha-3 ISO country code of the Party sending the return message. See Appendix 7: Alpha-3 ISO country code and user assigned codes
Radio Call sign	RC	M	International radio call sign of the vessel, copied from the report which is received
Sequence number	SQ	M^{25}	Serial number of the report from the vessel in the relevant year, copied from the report which is received
Type of Message	TM	M	Message type "RET" for return message
Return Status	RS	M	Code showing whether the message is acknowledged or not (ACK or NAK)
Return error code	RE	О	Number showing the type of error see appendix 2
Previous record number	RX	M ²⁶	Previous record number copied from the report which is received
Record Number	RN	M	Record number copied from the report which is received
Test indicator	TE	M ²⁷	Test indicator copied from the report which is received
Date	DA	M	UTC date of transmission of the RET message (YYYYMMDD)
Time	TI	M	UTC time of transmission of the RET message (HHMM)
Comment	MS	О	Optional free text

Mandatory only if SQ is given in the report from the vessel Mandatory only if RX is given in the report received Mandatory only if TE is given in the report received.

12. DELETE MESSAGE FORMAT USED BETWEEN FMCs (DEL)

Data Element	Code	Mandatory / Optional (M/O)	Comments
Header fields	provided by t	he FMC when forwa	arding the report.
Test indicator	TE	О	The master can send test reports, but it is the FMC that should decide if such report will be forwarded. The presence of this field indicates that the message is a test report. Only to be used in the acceptance environment.
From	FR	M	The transmitting Party Alpha-3 ISO country code
Record Number	RN	M	Format as defined in point 4.3.5 of this Annex
Record Date	RD	M	UTC date of transmission from the FMC (YYYYMMDD)
Record Time	RT	M	UTC time of transmission from the FMC (HHMM)
Previous record number	RX	M	In the case of a correction or cancellation, this field value will be the previous record number which will be corrected or cancelled as defined in point 4.3.5 of this Annex
FMC marking	FM	M^{28}	FMC marking as defined in Appendix 6
Header fields	provided by t	he master and forwa	arded by the FMC
Address	AD	M	Destination code GBR or NOR
Radio Call sign	RC	M	International radio call sign of the vessel
Date	DA	О	UTC date of transmission from the vessel (YYYYMMDD)
Time	TI	О	UTC time of transmission from the vessel (HHMM)
Sequence number	SQ	О	Serial number of the report from the vessel to the Coastal State in the relevant year

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 $^{^{28}}$ Mandatory only in the situations described in Appendix 6.

Error code			
Acknowledgeme	ent	Cause	
No, further	Yes, with		
investigation is	warning		
needed			
100	100	Unspecified error (the RS field will indicate whether the report	
		has been acknowledged or not acknowledged)	
101		Message unreadable	
102		Data value or size is wrong	
104		Mandatory data missing	
106		Unauthorised data source	
	150	Sequence error	
151		Date forward in time	
152		Data is too old.	
	301	DCA prior to COE	
	302	TRA received before COE	
	303	COX received before COE	
501		No matching report to cancel/correct	
502		This report is a duplicate and has got the status Not	
		Acknowledged (NAK), because this was the status given when	
		received earlier.	
	503	This report is a duplicate and has got the status Acknowledged	
		(ACK) because that was the status given when received earlier.	
504		The first DCA report for this day was generated after the	
		deadline for generating DCA reports.	
505		The cancellation or correction could not be completed due to	
		exceeding the deadline for generating such report.	
506		The record number is received earlier, but the report differs and	
		is not sent as a correction or cancellation.	
	507	The report was Acknowledged (ACK) after manual handling at the FMC.	
511		This report will be corrected.	
		(This code will be sent together with a new version of a DCA	
		report to show that the DCA report with this RN will be	
		corrected).	
		This code is not needed when using XML as the exchange	
		format.	
71 2	512	The previous report is corrected	
513	51.4	The previous report cannot be corrected due to error	
	514	This report has a lower version number than a previously	
521		accepted report (Used only when version numbers are given).	
521		This report will be cancelled (This code will be given for the cancellation of a report with this	
		(This code will be given for the cancellation of a report with this RN)	
		This code is not needed when using XML as the exchange	
		format.	
	522	The previous report is cancelled	
523	J==	The previous report is cancelled due to error	
530		Not implemented (for example, a test report is received, but an	
		advanced test system is not implemented, or a query was	
		received, but the PULL mechanism is not yet implemented)	
	l	received, but the roll meenanism is not yet implemented)	

1. Bold error codes indicate possible error codes which may be exchanged between FMCs.

2.	The RE coded with numbers less than 500 except 100 and 152 are from the NEAFC system and is also used between UK and NOR in the ERS system. The list of RE codes may increase during the implementation period.

MAIN VESSEL ACTIVITIES

Code	Definition
ANC	Anchoring
DRI	Drifting
FIS	Fishing
GUD	Guard ship
HAU	Hauling
PRO	Processing
REL	Catch relocation
SET	Setting gear
SCR	Scientific research
STE	Cruising/Steaming
TRX	Transhipping
OTH	Other

$\label{eq:APPENDIX 4} \mbox{List of stock codes used in the SS field in the DCA report:}$

Stock code	Norwegian species code	Name English	Name Scientific
NOR01	061101	Norwegian spring spawning herring	Clupea harengus
NOR02	061104	North Sea herring	Clupea harengus

1. LIST OF NORWEGIAN CONTROL POINTS AND AREAS

Name of Control Point	Code
ALPHA	A
BRAVO	В
CHARLIE	С
DELTA	D
ЕСНО	Е
FOXTROT	F
GOLF	G
HOTEL	Н

Name of Control Area	Code
Area 1	1
Area 2	2
Area 3	3

2. LIST OF UK CONTROL AREAS:

Name of Control Area	Code
Entry	
Blue Whiting (48 E2 VIA)	WHBA
Blue Whiting (50 F1 IVA)	WHBC
Blue Whiting (46 F1 IVA)	WHBD
Blue Whiting (41 E2 VIA)	WHBF
Exit	
Blue Whiting (48 E2 VIA)	WHBA
Blue Whiting (46 E6 IVA)	WHBE
Blue Whiting (41 E2 VIA)	WHBF
Blue Whiting (48 E8, 49 E8 or 50 E8 IVA)	WHBG

Entry and Exit		
Mackerel (48 E2 VIA)	MACA	
Mackerel (49 F0 IVA)	MACB	
Mackerel (48 E8, 49 E8 or		
50 E8 IVA)	MACC	
Mackerel (48 E6 IVA)	MACD	
Mackerel (46 E9 IVA)	MACE	
Mackerel (45 E3 VIA)	MACF	

FMC MARKING (FM)

Code	Description	
D	Reports sent delayed and without changes from	
	the FMC. Example: D	
C	Reports corrected or cancelled by the FMC.	
	Example: C	
M	Reports manually registered by the FMC.	
	Example: M	

APPENDIX 7

ALPHA-3 ISO COUNTRY CODE AND USER ASSIGNED CODES

Zone	ISO-3 code
UK Economic Zone	GBR
Norwegian Economic Zone	NOR
Fisheries Protection Zone around Svalbard	XSV
Fisheries Protection zone inner Svalbard	XSI
Fisheries zone around Jan Mayen	XJM
Skagerrak	XSK

FISH PRESENTATION CODES

Code	Presentation	Description			
CBF	Cod butterfly (escalado)	HEA with skin on, spine on, tail on			
CLA	Claws	Claws only			
CUT	Cut in pieces	GHT + cut in pieces/portions			
DWT	ICCAT code	Gilled, gutted, part of head off, fins off			
FIL	Filleted	HEA + GUT + TLD + bones off			
		Each fish originates two fillets not joined by			
		any par			
FIN	Fins	Fins			
FIS	Filleted and skinned fillets	FIL+SKI			
		Each fish originates two fillets not joined by			
		any par			
FSB	Filleted with skin and bones	Filleted with skin and bones on			
FSP	Filleted skinned with pinbone on	Filleted with skin removed and pinbone on			
GHT	Gutted headed and tailed	GUH + TLD			
GTA	Gutted and tailed	GUT + TLD			
GTF	Gutted, tailed and finned	GTA +finned			
GUG	Gutted and gilled	Guts and gills removed			
GUH	Gutted and headed	Guts and head removed			
GUL	Gutted liver in	GUT without removing liver parts			
GUS	Gutted headed and skinned	GUH + SKI			
GUT	Gutted	All guts removed			
HEA	Headed	Heads off			
HET	Headed/tailed	Heads and tail off			
JAP	Japanese cut	Transversal cut removing all parts from head			
		to belly			
JAT	Tailed Japanese cut	Japanese cut with tail removed			
LAP	Lappen	Double fillet, HEA, skin + tails + fins ON			
LVR	Liver	Liver			
LVR-C	Liver-C	Liver - collective presentation*			
OTH	Other	Any other presentation			
PEL	Peeled	Removal of exo-skeleton			
ROE	Roe (s)	Roe(s)			
	Roe (s) - C	Roe(s) - collective presentation*			
SAD	Salted dry	Headed with skin on, spine on, tail on and			
CAI	Cal4a 4 2224 1: al4	salted dry			
SAL	Salted wet light	CBF + salted			
SGT	Salted, gutted and headed Salted gutted	GUH + salted GUT + salted			
SGT SKI	Skinned	Skin off			
SUR	Surimi	Surimi Surimi			
TAL	Tail	Tails only			
TLD	Tailed	Tail off			
TNG	Tongue	Tongue			
TNG-C	Tongue-C	Tongue - collective presentation*			
TUB	Tube only	Tube only (Squid)			
WHL	Whole	No processing			
WNG	Wings	Wings only			
Version 1.0					
Updated: 29-03-2019					
Validity Start Date: 29-03-2019					
validity Start Date. 27-03-2017					

*Collective presentation means that two or more parts presentations (therefore products) are extracted from the same fish, the conversion of a secondary product of a collective presentation will be zero.

FISH PRESERVATION STATE CODES

Code	Description	
ALI	Alive	
BOI	Boiled	
DRI	Dried	
FRE	Fresh	
FRO	Frozen	
SAL	Salted	
SMO	Smoked	
Version 1.0		
Updated 29.03.2019		
Validity Start Date: 29.03.2019		

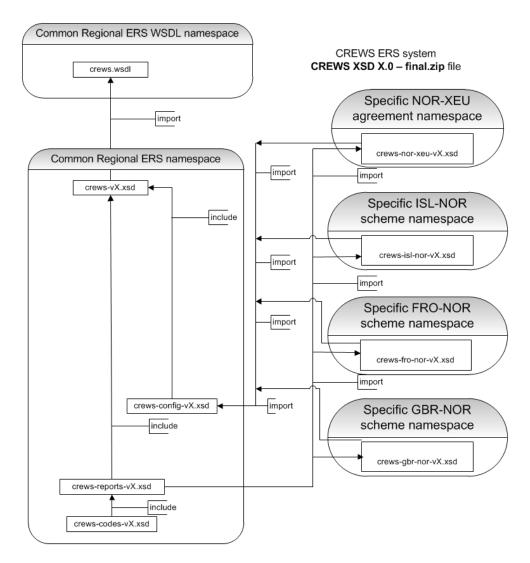
TYPE OF PACKAGING CODES

Code	Description	
BGS	Bags	
BLC	Blocks	
BOX	Boxes	
CNT	Containers	
CRT	Cartons	
Version 1.0		
Updated 29.03.2019		
Validity Start Date: 29.03.2019		

DATA EXCHANGE USING XML AND WEB SERVICE

- 1. XML format for data reports in Appendix 1 to 7 and an HTTPS Web Service for data exchange should be used. Official certificates, including client certificates, should be used for mutual authentication.
- 2. The Common Regional ERS Web Service (CREWS), as described below and in Figure 1, should be used for implementation of the ERS data exchange between the Parties.
- 3. As many codes as possible will be put in a common code.xsd to simplify the verification of data. International codes should be used when possible.
- 4. The system will allow for creating, correcting, and cancelling reports.
- 5. Return messages with appropriate error codes will be generated using both XSD validation and more logical testing done in the FMC's own systems.
- 6. In order to guarantee interoperability between system implementations, a Basic Profile will be chosen from the WS-I deliverables to ensure minimal compliance (for example, Basic Profile 1.0 or 1.1)
- 7. The XSDs will use the normal xs:dateTime data type (for example RDRT="2010-01-17T09:30Z") instead of the YYYYMMDD and HHMM formats described in Annex 1 for all date and time fields.
- 8. One web service, defined in the WSDL, with the following methods should be used:
 - a) createERS(ERS)
 - b) updateERS(ERS)
 - c) deleteERS(DEL)
 - d) createRET(RET)
 - e) queryERS(QUE)
 - f) putQueryResults(RSP)
- 9. All of the above methods will return the time the message or report was received. Furthermore, createERS, updateERS, deleteERS and queryERS will all throw a SOAP fault (based on SOAP version 1.1 as defined by the Basic Profile, see section 4.3.2) if the asynchronous response at the application level is not possible (missing FR, CRN, etc.). The correlation id for the asynchronous nature will be defined as the CREWS record number (for the CREWS record number definition please refer to CRN section 4.3.5).
- 10. ERS used both in createERS and updateERS is the header elements plus the different reports defined in Appendix 1 sent one by one with the TM field
- 11. DEL used for deleteERS is defined in Appendix 1 using some of the header data elements.

- 12. RET used for createRET is defined in Appendix 1 (The TM filed is not entered).
- 13. For more details look into the WSDL and XSDs



In order to add a new agreement into CREWS:

- Define an XSD for the new agreement with a new namespace
- 2. Import the crews-reports-vX.xsd into the new XSD from step 1
- 3.All reports within the new XSD should be of type common: TOM in order to be valid in the CREWS schema, all types defined within crews-reports-vX.xsd may be used to help build the new reports
- 4. Add the new namespace to the xs:schema of the crews-config-vX.xsd, for example xmlns:xne="urn:crews:xne:v0"
- 5. Add a new xs:import in crews-config-vX.xsd to import the new message types for the new agreement.

Figure 1: Web Service to be used in the new UK NOR Electronic Reporting System (v.X will be a version number).

- 14. Figure 1 shows how the use of different namespaces can allow for a system where the common reports and all the codes are placed in a common namespace. All reports only occurring in a smaller context can be placed in their own namespaces. This makes it possible to have a flexible system where different needs for different Parties can be met in the same environment.
- 15. Namespace changes will occur when updating a schema to a new major version (for example when updating version 1.x to version 2.0). No namespace changes will occur for minor version updates (for example version 1.1 updated to 1.2).

ERS CONTACT POINTS IN THE UNITED KINGDOM AND NORWAY

NORWAY

- 1. Name of the authority: Directorate of Fisheries
 - 1.1. Address of the authority:
 - i) Strandgaten 229, Po 5804 Bergen, Norway
 - 1.2. Name and position of the ERS contact person (and substitute):
 - i) Main: Karl Rune Markussen Head of Section
 - ii) Substitute: Jens Wathne, Senior Adviser
 - 1.3. Phone Number of the ERS contact person (and substitute):
 - i) Main: +47 970 78 812
 - ii) Substitute: +47 995 68 688
 - 1.4. E-mail of the ERS contact person (and substitute):
 - i) Main: karl-rune.markussen@fiskeridir.no
 - ii) Substitute: jens.wathne@fiskeridir.no
 - 1.5. 24/7 contacts:
 - i) Phone: +47 55 23 83 36
 - ii) E-mail: fmc@fiskeridir.no

UNITED KINGDOM

- 1. Name of the authority: Marine Management Organisation
 - 1.1. Address of the authority:
 - i) Lancaster House, Hampshire Court, Newcastle, NE4 7YH, United Kingdom
 - 1.2. Email for authority:
 - i) E-mail for Marine Technology Services Management: MTSM@marinemanagement.org.uk
 - ii) General e-mail: ops@marinemanagement.org.uk

- 1. Name of the authority: Marine Directorate (Compliance)
 - 1.1. Address of the authority:
 - i) Area 1b North, Victoria Quay, Leith, Edinburgh, EH6 6QQ, United Kingdom
 - 1.2. Name of contact person:
 - i) Ronnie Simpson
 - 1.3. Phone Number of the contact person:
 - i) +44 (0) 131 244 6206
 - 1.4. E-mail of the contact person:
 - i) Ronnie.Simpson@gov.scot

UK ERS CONTACTS

- 1. E-mail of general ERS contact:
 - i) ers@gov.scot
- 2. 24/7 contacts:
 - i) Phone: +44 (0) 131 244 6077
 - ii) E-mail: <u>ukfmc@gov.scot</u>
- 3. Name and position of the ERS data exchange contact person:
 - i) Gareth Norman, Software and Data Exchange Programme Manager
- 4. Phone Number of the ERS data exchange contact person:
 - i) +44 (0) 1502 521324
- 5. E-mail of the ERS data contact person:
 - i) gareth.norman@cefas.gov.uk

Annex 5: Satellite tracking of fishing vessels (Vessel Monitoring Systems)

- 1. All fishing vessels of 12m and over in length will have an operational satellite tracking device installed on board and be tracked by their Flag State Fisheries Monitoring Centre (FMC) when in the waters of the other party.
- For the purpose of the satellite tracking, the Parties will exchange consistent latitude and longitude co-ordinates of their waters. Such co-ordinates will be for operational purposes only.
 The data will be communicated in computer readable form, as decimal degrees in the WGS-84 datum.
- 3. The Vessel Monitoring System hardware and software components will be tamper proof i.e. will not permit the input or output of false positions and will not be capable of being manually over-ridden. The system will be fully automatic at all times regardless of environmental conditions. Each Party will ensure that it is prohibited to destroy, damage, render inoperative or otherwise interfere with the satellite tracking device. In particular, the masters will ensure that:
 - a) the satellite tracking devices are fully operational;
 - b) data is not altered in any way;
 - c) the antenna or the antennas connected to the satellite tracking devices are not obstructed in any way;
 - d) the power supply of the satellite tracking devices is not interrupted in any way; and
 - e) the satellite tracking devices are not removed from the vessel.
- 4. The satellite tracking device will ensure the automatic transmission at all times of the following data:
 - a) The fishing vessel identification;
 - b) The most recent geographical position of the fishing vessel, with a position error which will be less than 500 metres, with a confidence interval of 99%;
 - c) The date and time expressed in UTC of the fixing of the position of the fishing vessel; and
 - d) The speed and course of the fishing vessel.
- 5. When a vessel of one Party subject to satellite tracking (of 12m or over in length) enters or exits the waters of the other Party, the Flag State will forward to the relevant Fisheries Monitoring Centre (FMC) of the other Party an Entry or Exit message as described in the Appendix 1. These messages will be transmitted without delay. The tracking frequency by the Flag State FMC of a vessel being in the waters under the jurisdiction of the other Party will be on an hourly basis, or more frequent if the Party requests.
- 6. When a vessel has moved into waters of the other Party, the latest position message from the vessel will be communicated from the Flag State FMC to the relevant FMC of the other Party without delay at least every hour. These messages will be identified as Position Messages as

- described in Appendix 1.
- 7. It is prohibited for a vessel to switch off its satellite tracking devices when in waters under the jurisdiction of the other Party.
- 8. Messages according to paragraphs 5, 6 and 9 will be in computer readable form, utilising HTTPS or other secure protocols, subject to prior agreement between relevant FMCs.
- 9. In the event of technical failure or non-function of the satellite tracking device fitted on board a vessel, the master of the vessel or the owner or their representative will communicate to their Flag State FMC the up-to-date current geographical position and information contained in paragraph 5. These messages will be Manual Position Messages as described in Appendix 12) footnote 1. At least one position report per 4 hours will be sufficient under such circumstances, as long as the vessel stays within the waters of the other Party. The Flag State FMC or the vessels will forward such messages to the FMC of the other Party without undue delay.
- 10. Such faulty equipment will be repaired or replaced before the vessel commences a new fishing trip.
- 11. The Flag State FMC will monitor the tracking of its vessels when in the waters of the other Party. Information will be forwarded to the FMC of the other Party without delay in the event that it is discovered that the tracking of vessels does not function as approved in this Annex.
- 12. In the event that a FMC of either Party discovers that information is not being communicated by the other Party in accordance with paragraphs 6, 7 and 10 the other Party will be notified without delay.
- 13. The stored messages will be transmitted as soon as electronic communication is re-established between the relevant FMCs.
- 14. Communication failures between FMCs will not affect the operation of the vessels.
- 15. The Parties will exchange information concerning addresses and specifications that will be used for electronic communication between their FMCs in accordance with paragraphs 6, 7 and 10. Such information will, to the extent available, also include names, telephone numbers and e-mail addresses that can be useful for general communication between the FMCs.
- 16. The Parties will exchange, upon request, information on the equipment used for the operation of the satellite tracking system in order to confirm that such equipment is fully compatible with the requirements of the other Party.
- 17. The Parties may review this Annex, as appropriate.

Communication of VMS messages to the FMC of the other Party.

1. "ENTRY" MESSAGE

Data Element	Field Code	Mandatory / Optional (M/O)	Comments
Start Record	SR	M	System detail; indicates start of record
Address	AD	M	Message detail; destination Party Alpha-3 ISO country code
From	FR	M	Message detail; the transmitting Party Alpha-3 ISO country code
Record Number	RN	О	Message detail; serial number of the record in the relevant year
Record Date	RD	О	Message detail; date of transmission
Record Time	RT	О	Message detail; time of transmission
Type of Message	TM	M	Message detail; message type, "ENT"
Radio Call Sign	RC	M	Vessel detail; international radio call sign of the vessel
Internal Reference Number	IR	О	Vessel detail. Unique Party vessel number as Alpha-3 ISO flag country code followed by number
External Registration Number	XR	0	Vessel detail; the side number of the vessel
Latitude	LT	M	Position detail; position ± 99.999 (WGS-84)
Longitude	LG	M	Position detail; position ±999.999 (WGS-84)
Speed	SP	M	Position detail; Vessel speed in tenths of knots
Course	СО	M	Position detail; Vessel course 360° scale
Date	DA	M	Position detail; UTC date of position (YYYYMMDD)
Time	TI	M	Position detail; UTC time of position (HHMM)
End of Record	ER	M	System detail; indicates end of the record

2. "POSITION" MESSAGE/REPORT

Data Element	Field Code	Mandatory / Optional (M/O)	Comments
Start Record	SR	M	System detail; indicates start of record
Address	AD	M	Message detail; destination Party Alpha-3 ISO country code
From	FR	M	Message detail; the transmitting Party Alpha-3 ISO country code
Record Number	RN	О	Message detail; serial number of the record in the relevant year
Record Date	RD	О	Message detail; date of transmission
Record Time	RT	О	Message detail; time of transmission
Type of Message	TM	М	Message detail; message type, "POS"
Radio Call Sign	RC	M	Vessel detail; international radio call sign of the vessel
Internal Reference Number	IR	О	Vessel detail. Unique Party vessel number as Alpha-3 ISO flag country code followed by number
External Registration Number	XR	О	Vessel detail; the side number of the vessel
Latitude	LT	M	Position detail; position ± 99.999 (WGS-84)
Longitude	LG	M	Position detail; position ±999.999 (WGS-84)
Speed	SP	M	Position detail; Vessel speed in tenths of knots
Course	СО	M	Position detail; Vessel course 360° scale
Date	DA	M	Position detail; UTC date of position (YYYYMMDD)
Time	TI	M	Position detail; UTC time of position (HHMM)
End of Record	ER	M	System detail; indicates end of the record

Type of message will be "MAN" for reports communicated by vessels with a defective satellite tracking device.

3. "EXIT" MESSAGE

Data Element	Field Code	Mandatory / Optional (M/O)	Comments
Start Record	SR	M	System detail; indicates start of record
Address	AD	M	Message detail; destination Party Alpha-3 ISO country code
From	FR	M	Message detail; the transmitting Party Alpha-3 ISO country code
Record Number	RN	О	Message detail; serial number of the record in the relevant year
Record Date	RD	О	Message detail; date of transmission
Record Time	RT	О	Message detail; time of transmission
Type of Message	TM	M	Message detail; message type, "EXI"
Radio Call Sign	RC	М	Vessel detail; international radio call sign of the vessel
Internal Reference Number	IR	О	Vessel detail. Unique Party vessel number as Alpha-3 ISO flag country code followed by number
External Registration Number	XR	О	Vessel detail; the side number of the vessel
Date	DA	M	Position detail; UTC date of position (YYYYMMDD)
Time	TI	M	Position detail; UTC time of position (HHMM)
End of Record	ER	M	System detail; indicates end of the record

4. FORMAT DETAILS

- 4.1. Each message in a data transmission is structured as follows:
 - i) Double slash (//) and the character "SR" indicates the start of a message,
 - ii) Double slash (//) and field code indicates the start of a data element,
 - iii) Single slash (/) separates the field code and the data,
 - iv) Pairs of data are separated by space
 - v) Field code followed by three slashes (///) indicates a mandatory data element with no value. The first slash is the separate slash of the actual data element, the double slash is the start of the following element.
 - vi) The character ER and a double slash (//) indicates the end of the record.
- 4.2. All field codes in this Annex are in The North Atlantic Format as described in The NEAFC Scheme of Control and Enforcement.

Annex 6: Catch statistics

1. THE PARTIES ACKNOWLEDGE THAT:

- 1.1. The exchange of statistics serves two purposes:
 - i) Reporting and recording catches for official catch statistics, and
 - ii) Monitoring fisheries to avoid overfishing of agreed quotas.
- 1.2. Landing statistics are the official statistics; however, these may not reflect the catches at a given time, with this the Parties recognised:
 - i) That for example in the case of vessels freezing their catch while at sea, this catch may remain onboard for weeks before landing, and
 - ii) The need to use ERS messages to monitor the catches on day-to-day basis.

2. CATCH MONITORING

- 2.1. For the purposes of this Annex:
 - 2.1.1. The "catch monitoring authority" will be:
 - (1) The Directorate of Fisheries in Bergen, Norway
 - (2) The Marine Management Organisation, Newcastle, UK
 - 2.1.2.A "limit" can refer to a quota, stock limit, catch limit, access to fish arrangement, a maximum overall limit or zonal access arrangement for a species, group of species or bycatch as set out in the Agreed Record.
- 2.2. Norwegian authorities will submit catch statistics to statistics@marinemanagement.org.uk copying in UKCatchStatistics@defra.gov.uk. The contact person for querying catch statistics in the UK for the Norwegian authorities is:
 - i) MMO Statistics
 - (1) email: statistics@marinemanagement.org.uk
- 2.3. The UK authorities will submit catch statistics to postmottak@fiskeridir.no. The contact persons for querying catch statistics in Norway for the UK authorities are:
 - i) Snorri Palmason
 - (1) Tel: +47 46804072
 - (2) e-mail: snorri.palmason@fiskeridir.no
 - ii) Andreas Haugstvedt
 - (1) Tel: +47 400 70 506

(2) e-mail: andreas.haugstvedt@fiskeridir.no

3. CATCH STATISTICS SUBMISSIONS

- 3.1. Each Party will submit to the other Party's catch monitoring authority and contact persons, monthly catch statistics from landing data corresponding to fishing activity by its vessels in the other Party's waters. Submissions will be no later than the end of the following month to which the statistic relates.
 - 3.1.1.In subsequent months Parties can review and update previous months' statistics to ensure accuracy.
 - 3.1.2. The catch monitoring authorities will submit to the other Party the monthly catch statistics using the structure in Appendix 1 of this Annex. Each Party will use one sheet for each of the types of agreed access between the Parties.
- 3.2. In the case that one Party suspects erroneous catch data, the relevant catch monitoring authority will inform the other Party's catch monitoring authority. The authorities will cooperate on identifying the error and correct the relevant registers.
- 3.3. An end of year summary of catch data will be submitted by each Party to the relevant catch monitoring authority to reduce the possibility of erroneous data.
 - 3.3.1. The catch monitoring authorities will submit the end of year summary of final catch data using the structure in Appendix 2 of this Annex by the end of January 2025.

4. MONITORING LIMIT UPTAKE

- 4.1. Each Party will monitor the fisheries of the other Party's vessels in their EEZ.
- 4.2. Once 85% of a limit has been reached by the vessels of a Party, that Party will submit a notification to the other Party.
 - 4.2.1. From this point both Parties will monitor the fishery and exchange information when 90% and 95% of a limit is reached, and more often if needed.
 - 4.2.2. Parties will use this to ensure uptake does not exceed 100%.
 - 4.2.3. Parties will continue to submit monthly catch statistics before the end of the following month as outlined in paragraph 3.1.
- 4.3. If 100% of a limit is estimated to have been reached by a Party's vessels, the Party will submit a notification to the other Party.
 - 4.3.1. The Parties will coordinate how and when the relevant fishery will be closed.

5. FUTURE DEVELOPMENT OF CATCH STATISTICS EXCHANGES

5.1. The parties will review if there are options for developing a semi-automated process in 2024 to automate catch statistics sharing and move away from the reliance on resource intensive emails.

MONTHLY CATCH STATISTIC REPORT SUBMISSIONS STRUCTURE

- 1. The Microsoft Excel document format will be used for the monthly catch report submissions.
- 2. Microsoft Excel structure for the monthly catch report submissions will be as follows, with as many rows as is necessary to detail the catch reports for the corresponding limit.
 - 2.1. Sheet structure for submitting the landed catches as reported by the Party's vessels:

			Limit for	Remaining	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec		% Uptake
Species	cies Species	Area	stock	quantity	1	2	3	4	5	6	7	8	9	10	11	12	Total	
FAO species code	Species name	ICES Area	Weight in	(WT)	(WT)	(WT)	(WT)	(WT)	(WT)	(WT)	(WT)	(WT)	(WT)	(WT)	(WT)	(WT)	(WT)	
										_								
$>\!\!<$	$>\!\!<$	×	$>\!\!<$	Totals	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.000	

END OF YEAR SUMMARY OF CATCH DATA SUBMISSIONS STRUCTURE

- 1. The Microsoft Excel document format will be used for the end of year summary of catch data submissions.
- 2. Microsoft Excel structure for the monthly catch report submissions will be as follows, with as many rows as is necessary to detail the catch reports for the corresponding limit.
 - 2.1. Sheet structure for submitting the final landing data of the catches by the Party's vessel in 2024:

		A	Limit for	Remaining	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec		% Uptake
Species	Species	Area	stock	quantity	1	2	3	4	5	6	7	8	9	10	11	12	Total	
FAO species code	Species name	ICES Area	stock Weight in tonnes (WT)	(WT)	(WT)	(WT)	(WT)	(WT)	(WT)	(WT)	(WT)	(WT)	(WT)	(WT)	(WT)	(WT)	(WT)	
$>\!\!<$	\times	×	> <	Totals	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.000	

Annex 7: Bilateral arrangements for enhanced fisheries cooperation between Norway and the United Kingdom on monitoring, control and surveillance of fisheries

1. With a view to enhancing the management of fisheries resources, the fisheries authorities of the Parties agree to co-operate in the monitoring, control and surveillance of fisheries. This arrangement is detailed in the "Bi-lateral arrangement for enhancing fisheries co-operation between the United Kingdom and Norway on Monitoring, Control and Surveillance of fisheries," which was agreed in October 2022.