

**Annual implementation report for the Investment for growth and jobs goal**  
**PART A**

**IDENTIFICATION OF THE ANNUAL IMPLEMENTATION REPORT**

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## **2. OVERVIEW OF THE IMPLEMENTATION OF THE OPERATIONAL PROGRAMME (ARTICLE 50(2) AND 111(3)(A) OF REGULATION (EU) NO 1303/2013)**

### **2.1. Key information on the implementation of the operational programme for the year concerned, including on financial instruments, with relation to the financial and indicator data.**

#### **Exchange rate in the AIR narratives**

Sterling values in the AIR tables were converted using a FOREX rate €1=£0.8860, except performance framework table 5 where the euro values are actuals.

#### **Contracting Projects**

As of December 2022 1,088 projects (inc.11 financial instruments (FIs)) with ERDF of £3,200m (€3,612m) committed. This represents 101% of the programme budget. In the last quarter 3 projects / continuations have been contracted (the project count has remained static due to project withdrawals).

There remain 2 continuations in pipeline (£2m).

**N+3 2022 Target:** Achieved well ahead of scheduled. Cumulative ECPAs to end Nov 2022 were valued at €2,912m against the 2022 target of €2,133m.

2023 Performance Framework (PF) Targets - Steady progress is being made. All PF expenditure targets are contracted above the minimum threshold (65%).

Based on committed and pipeline projects (applications yet to be contracted), the programme is already expected to meet or exceed its 2023 non-financial output PF targets, following the Operational Programme modification submitted in December 2022, we are forecasting only a shortfall in the PA4 less developed region where we are investing in innovative activities such as geothermal wells which have longer-term benefits not measured through Programme targets.

#### **Financial Instruments**

The programme has 11 financial instruments which as of the end of 2022 have commitments worth £524.4m (€590.2m) ERDF

These comprise of 6 access to finance fund of funds:

Northern Powerhouse Investment Fund, a £402m (€454m) project, £140m (€158m) ERDF;

Midlands Engine Investment Fund, a £266m (€294.5m) project, £78.5m (€88.6m) ERDF;

North East Fund, a £140m (€158m) project, £58.5m (€66m) ERDF;

Cornwall & Isles of Scilly Fund a £31.5m (€35.6m) project, £25m (€28.2m) ERDF;

London SME Fund a £100m (€113m) project, £35m (€39m) ERDF;

Low Carbon Innovation Fund II, a £22.56m (€25.59m) project, £11.2m (€12.6m) ERDF;

and 5 urban development funds:

Greater Manchester Fund of Funds, a £120m (€135m) project, £60m (€68m) ERDF;

Mayor of London Energy Efficiency Fund a £102.4m (€115.6m) project, £51.2m (€57.8m) ERDF;

Liverpool UDF, a £43.2m (€48.7m) project, £25m (€28m) ERDF;

Cheshire & Warrington UDF, a £40m (€45m) project, £20m (€22m) ERDF;

Lancashire UDF, a £33m (€37m) project, £20m (€22m) ERDF.

Overall, by the end of December 2022, £436.2m (€492.3m) ERDF had been drawn down by financial instruments.

## **Eclaims**

The E-CLAIMS system has been used internally by the MA since 2017, with the build formally ending in May 2018. The MA, CA and GIAA regularly use the system to support their individual business activities with circa 1,100 external ERDF users using the system for submitting claims for payment, progress reports and project change requests. E-CLAIMS continues to be iterated to support project and programme closure activities.

## **Overall Payment Applications**

The Certifying Authority submitted 2 payment applications in 2022. Overall, since 2015 the total eligible expenditure submitted to the EC for reimbursement cumulative up to 31st December 2022 is 68% (€4,442m) and the ERDF drawn down cumulative is 80% (€2,912m) of the ERDF programme value.



### 3. IMPLEMENTATION OF THE PRIORITY AXIS (ARTICLE 50(2) OF REGULATION (EU) NO 1303/2013)

#### 3.1. Overview of the implementation

ID	Priority axis	Key information on the implementation of the priority axis with reference to key developments, significant problems and steps taken to address these problems
1	Promoting Research and Innovation	<p>At the end of 2022, 292 projects had been legally committed, with a total eligible expenditure of £1,263.51m (€1,426.09m). This equates to 103.82% of the Less Developed, 107.57% of the Transition, and 92.49% of the More Developed Regions' total allocations.</p> <p>In the Less Developed region, paid expenditure was £78.2m (€88.26m) in 18 projects. Cumulatively this equates to 79% of the 2023 financial PF target, and 133.6% of the 2023 non-financial PF target.</p> <p>In the Transition regions, paid expenditure was £292.5m (€330.13m) in 132 projects. Cumulatively this equates to 87% of the 2023 financial PF target, and 111.7% of the 2023 non-financial PF target.</p> <p>In More Developed areas the paid expenditure was £582m (€657m) in 210 projects. Cumulatively this equates to 70% of the 2023 financial PF target, and 134.7% of the 2023 non-financial PF target.</p>
10	Supporting the implementation of Local Economic CV-19 Recovery Action Plans	<p>At the end of 2022, 1 project had been legally committed, with a total eligible expenditure of £107.88m (€121.76m).</p> <p>As per the Operational Programme including match funding as per the SFC this equates to 189.5% of the Less Developed, 137.38% of the Transition, and 103.54% of the More Developed Regions' total allocations.</p> <p>There is 1 more claim to pay (final claim). No outputs have been claimed to date – all will be claimed in the final claim (0% of the 2023 non-financial PF target)</p>
2	Enhancing access to, and use and quality of, ICT	<p>At the end of 2022, 42 projects had been legally committed, with a total eligible expenditure of £158.78m (€179.21m). This equates to 99.23% of the Less Developed, 91.84% of the Transition, and 87.16% of the More Developed Regions' total allocations.</p> <p>In the Less Developed region, paid expenditure was £18.2m (€20.54m) in 4 projects. Cumulatively this equates to 88% of the 2023 financial PF target, and 104.3% of the 2023 non-financial PF target.</p> <p>In the Transition regions, paid expenditure was £16.5m (€18.62m) in 13 projects. Cumulatively this equates to</p>

ID	Priority axis	Key information on the implementation of the priority axis with reference to key developments, significant problems and steps taken to address these problems
		<p>53% of the 2023 financial PF target, and 75.2% of the 2023 non-financial PF target.</p> <p>In More Developed areas the paid expenditure was £81.2m (€91.65m) in 28 projects. Cumulatively this equates to 66% of the 2023 financial PF target, and 69.2% of the 2023 non-financial PF target.</p>
3	Enhancing the Competitiveness of SMEs	<p>At the end of 2022, 428 projects had been legally committed, with a total eligible expenditure of £2,890.33m (€3,262.22m). This equates to 104.88% of the Less Developed, 119.95% of the Transition, and 121.33% of the More Developed Regions' total allocations.</p> <p>In the Less Developed region, paid expenditure was £157.5m (€177.76m) in 46 projects. Cumulatively this equates to 84% of the 2023 financial PF target, and 378.3 % of the 2023 non-financial PF target.</p> <p>In the Transition regions, paid expenditure was £720.3m (€812.98m) in 180 projects. Cumulatively this equates to 96% of the 2023 financial PF target, and 79.7% of the 2023 non-financial PF target.</p> <p>In More Developed areas the paid expenditure was £1,423.8m (€1,607m) in 289 projects. Cumulatively this equates to 100% of the 2023 financial PF target, and 134% of the 2023 non-financial PF target.</p>
4	Supporting the Shift Towards a Low Carbon Economy in all Sectors	<p>At the end of 2022, 167 projects had been legally committed, with a total eligible expenditure of £1,218.23m (€1,374.98m). This equates to 126.33% of the Less Developed, 93.04% of the Transition, and 98.64% of the More Developed Regions' total allocations.</p> <p>In the Less Developed region, paid expenditure was £92.3m (€104.18m) in 11 projects. Cumulatively this equates to 112% of the 2023 financial PF target, and 44.4% of the 2023 non-financial PF target.</p> <p>In the Transition regions, paid expenditure was £181.4m (€204.74m) in 56 projects. Cumulatively this equates to 66% of the 2023 financial PF target, and 57.8% of the 2023 non-financial PF target.</p> <p>In More Developed areas the paid expenditure was £509.4m (€574.94m) in 120 projects. Cumulatively this equates to 60% of the 2023 financial PF target, and 58.4% of the 2023 non-financial PF target.</p>
5	Promoting Climate Change Adaptation, Risk Prevention and	<p>At the end of 2022, 23 projects had been legally committed, with a total eligible expenditure of £124.41m (€140.42m). This equates to 156.55% of the Less Developed, 91.23% of the Transition, and 98.86% of</p>

ID	Priority axis	Key information on the implementation of the priority axis with reference to key developments, significant problems and steps taken to address these problems
	Management	<p>the More Developed Regions' total allocations.</p> <p>In the Less Developed region, paid expenditure was £9.4m (€10.61m) in 3 projects. Cumulatively this equates to 70% of the 2023 financial PF target, and 0% of the 2023 non-financial PF target.</p> <p>In the Transition regions, paid expenditure was £55.1m (€62.19m) in 10 projects. Cumulatively this equates to 85% of the 2023 financial PF target, and 40.5% of the 2023 non-financial PF target.</p> <p>In More Developed areas the paid expenditure was £25.7m (€29m) in 10 projects. Cumulatively this equates to 61% of the 2023 financial PF target, and 14.5% of the 2023 non-financial PF target.</p>
6	Preserving and Protecting the Environment and Promoting Resource Efficiency	<p>At the end of 2022, 51 projects had been legally committed, with a total eligible expenditure of £110.31m (€124.51m). This equates to 109.3% of the Less Developed, 88.7% of the Transition, and 71.3% of the More Developed Regions' total allocations.</p> <p>In the Less Developed region, paid expenditure was £13.6m (€15.35m) in 5 projects. Cumulatively this equates to 98% of the 2023 financial PF target, and 138.5% of the 2023 non-financial PF target.</p> <p>In the Transition regions, paid expenditure was £18.7m (€21.11m) in 19 projects. Cumulatively this equates to 61% of the 2023 financial PF target, and 74.6% of the 2023 non-financial PF target.</p> <p>In More Developed areas the paid expenditure was £42.4m (€47.85m) in 31 projects. Cumulatively this equates to 46% of the 2023 financial PF target, and 58.2% of the 2023 non-financial PF target.</p>
7	Sustainable Transport in Cornwall and the Isles of Scilly	<p>At the end of 2022, 10 projects had been legally committed, with a total eligible expenditure of £299.55m (€338.09m). This equates to 466.93% of the Less Developed Regions' total allocation (this is high due to additional match - ERDF grant is within the ERDF allocation).</p> <p>In the Less Developed region, paid expenditure was £60.6m (€68.4m) in 10 projects. Cumulatively this equates to 97% of the 2023 financial PF target, and the 2023 non-financial PF target is 100% for P7: Length of railway with new or enhanced signalling installation and 0% C14a: Length of track reconstructed or upgraded roads (this will be achieved at completion).</p>

ID	Priority axis	Key information on the implementation of the priority axis with reference to key developments, significant problems and steps taken to address these problems
8	Promoting social inclusion and combating poverty and any discrimination	<p>At the end of 2022, 43 projects had been legally committed, with a total eligible expenditure of £43.94m (€49.60m). This equates to 101.86% of the Less Developed, 91% of the Transition, and 93.22% of the More Developed Regions' total allocations.</p> <p>In the Less Developed region, paid expenditure was £5.5m (€6.21m) in 5 projects. Cumulatively this equates to 51% of the 2023 financial PF target, and 92.5% of the 2023 non-financial PF target.</p> <p>In the Transition regions, paid expenditure was £5.4m (€6.10m) in 11 projects. Cumulatively this equates to 68% of the 2023 financial PF target, and 69.5% of the 2023 non-financial PF target.</p> <p>In More Developed areas the paid expenditure was £12.5m (€14.11m) in 29 projects. Cumulatively this equates to 47% of the 2023 financial PF target, and 57.5% of the 2023 non-financial PF target.</p>
9	Technical Assistance	The MA TA drawdown moved to the 4% methodology in December 2020 with the approach reflected post December 2020.

### 3.2. Common and programme specific indicators (Article 50(2) of Regulation (EU) No 1303/2013)

#### Priority axes other than technical assistance

Priority axis	1 - Promoting Research and Innovation
Investment Priority	1a - Enhancing research and innovation (R&I) infrastructure and capacities to develop R&I excellence, and promoting centres of competence, in particular those of European interest

**Table 3A: Common and programme specific output indicators for the ERDF, the ERDF REACT-EU and the Cohesion Fund (by priority axis, investment priority, broken down by category of region for the ERDF) - 1 / 1a**

(1)	ID	Indicator	Measurement unit	Category of region	Target value (2023) total	Target value (2023) men	Target value (2023) women	2022 Total	2022 Men	2022 Women	Observations
F	CO25	Research, Innovation: Number of researchers working in improved research infrastructure facilities	Full time equivalents		876.00			487.00			
S	CO25	Research, Innovation: Number of researchers working in improved research infrastructure facilities	Full time equivalents		876.00			698.00			
F	P2	Public or commercial buildings built or renovated	Square metres		31,514.00			41,410.00			
S	P2	Public or commercial buildings built or renovated	Square metres		31,514.00			110,240.00			

(1) S=Cumulative value — outputs to be delivered by selected operations [forecast provided by beneficiaries], F=Cumulative value — outputs delivered by operations [actual achievement]

(1)	ID	Indicator	2021 Total	2021 Men	2021 Women	2020 Total	2020 Men	2020 Women	2019 Total	2019 Men	2019 Women
F	CO25	Research, Innovation: Number of researchers working in improved research infrastructure facilities	369.00			187.00			59.00		
S	CO25	Research, Innovation: Number of researchers working in improved research infrastructure facilities	704.00			608.00			525.00		
F	P2	Public or commercial buildings built or renovated	32,996.00			14,377.00			9,107.00		
S	P2	Public or commercial buildings built or renovated	204,437.00			191,724.00			48,572.00		

(1)	ID	Indicator	2018 Total	2018 Men	2018 Women	2017 Total	2017 Men	2017 Women	2016 Total	2016 Men	2016 Women
F	CO25	Research, Innovation: Number of researchers working in improved research infrastructure facilities	18.68	0.00	0.00	0.00			0.00		
S	CO25	Research, Innovation: Number of researchers working in improved research infrastructure facilities	490.00			346.00			0.00		
F	P2	Public or commercial buildings built or renovated	5,842.20			2,494.00					
S	P2	Public or commercial buildings built or renovated	76,192.00			65,933.00					

(1)	ID	Indicator	2015 Total	2015 Men	2015 Women	2014 Total	2014 Men	2014 Women
F	CO25	Research, Innovation: Number of researchers working in improved research infrastructure facilities	0.00			0.00		
S	CO25	Research, Innovation: Number of researchers working in improved research infrastructure facilities	0.00			0.00		
F	P2	Public or commercial buildings built or renovated						
S	P2	Public or commercial buildings built or renovated						

Priority axis	1 - Promoting Research and Innovation
Investment Priority	1a - Enhancing research and innovation (R&I) infrastructure and capacities to develop R&I excellence, and promoting centres of competence, in particular those of European interest
Specific objective	1.1 - increase investment in research and innovation infrastructure that catalyses collaboration with the research community especially in sectors identified through smart specialisation

Table 1: Result indicators for the ERDF, the ERDF REACT-EU and the Cohesion Fund (by priority axis and specific objective); applies also to Technical Assistance priority axis

ID	Indicator	Measurement unit	Category of region	Baseline value	Baseline year	Target value 2023	2022 Total	2022 Qualitative	Observations
1.1	Proportion of enterprises having cooperation agreements with research institutions	Percentage		22.9	2013	Increase		0	

ID	Indicator	2021 Total	2021 Qualitative	2020 Total	2020 Qualitative	2019 Total	2019 Qualitative	2018 Total	2018 Qualitative
1.1	Proportion of enterprises having cooperation agreements with research institutions		31.7				28.3		

ID	Indicator	2017 Total	2017 Qualitative	2016 Total	2016 Qualitative	2015 Total	2015 Qualitative	2014 Total	2014 Qualitative
1.1	Proportion of enterprises having cooperation agreements with research institutions		40.7				23.1		

Priority axis	1 - Promoting Research and Innovation
Investment Priority	1b - Promoting business investment in R&I, developing links and synergies between enterprises, research and development centres and the higher education sector, in particular promoting investment in product and service development, technology transfer, social innovation, eco-innovation, public service applications, demand stimulation, networking, clusters and open innovation through smart specialisation, and supporting technological and applied research, pilot lines, early product validation actions, advanced manufacturing capabilities and first production, in particular in key enabling technologies and diffusion of general purpose technologies, as well as fostering investment necessary for strengthening the crisis response capacities in health services

**Table 3A: Common and programme specific output indicators for the ERDF, the ERDF REACT-EU and the Cohesion Fund (by priority axis, investment priority, broken down by category of region for the ERDF) - 1 / 1b**

(1)	ID	Indicator	Measurement unit	Category of region	Target value (2023) total	Target value (2023) men	Target value (2023) women	2022 Total	2022 Men	2022 Women	Observations
F	CO01	Productive investment: Number of enterprises receiving support	Enterprises		20,729.00			26,548.00			
S	CO01	Productive investment: Number of enterprises receiving support	Enterprises		20,729.00			36,606.00			
F	CO02	Productive investment: Number of enterprises receiving grants	Enterprises		13,819.00			4,553.00			
S	CO02	Productive investment: Number of enterprises receiving grants	Enterprises		13,819.00			6,942.00			
F	CO03	Productive investment: Number of enterprises receiving financial support other than grants	Enterprises		706.00			30.00			
S	CO03	Productive investment: Number of enterprises receiving financial support other than grants	Enterprises		706.00			199.00			
F	CO04	Productive investment: Number of enterprises receiving non-financial support	Enterprises		6,204.00			22,590.00			
S	CO04	Productive investment: Number of enterprises receiving non-financial support	Enterprises		6,204.00			29,760.00			
F	CO05	Productive investment: Number of new enterprises supported	Enterprises		1,766.00			3,747.00			
S	CO05	Productive investment: Number of new enterprises supported	Enterprises		1,766.00			4,578.00			
F	CO06	Productive investment: Private investment matching public support to enterprises (grants)	EUR		174,878,894.00			70,474,978.00			
S	CO06	Productive investment: Private investment matching public support to enterprises (grants)	EUR		174,878,894.00			121,482,730.00			
F	CO07	Productive investment: Private investment matching public support to enterprises (non-grants)	EUR		28,650,820.00			12,779,343.00			
S	CO07	Productive investment: Private investment matching public support to enterprises (non-grants)	EUR		28,650,820.00			73,942,276.00			
F	CO08	Productive investment: Employment increase in supported enterprises	Full time equivalents		12,313.00			4,609.00			
S	CO08	Productive investment: Employment increase in supported enterprises	Full time equivalents		12,313.00			8,859.00			
F	CO26	Research, Innovation: Number of enterprises cooperating with research institutions	Enterprises		11,258.00			6,996.00			
S	CO26	Research, Innovation: Number of enterprises cooperating with research institutions	Enterprises		11,258.00			10,951.00			
F	CO28	Research, Innovation: Number of enterprises supported to introduce new to the market products	Enterprises		3,243.00			4,484.00			

(1)	ID	Indicator	Measurement unit	Category of region	Target value (2023) total	Target value (2023) men	Target value (2023) women	2022 Total	2022 Men	2022 Women	Observations
S	CO28	Research, Innovation: Number of enterprises supported to introduce new to the market products	Enterprises		3,243.00			5,885.00			
F	CO29	Research, Innovation: Number of enterprises supported to introduce new to the firm products	Enterprises		3,140.00			7,087.00			
S	CO29	Research, Innovation: Number of enterprises supported to introduce new to the firm products	Enterprises		3,140.00			9,782.00			
F	P2	Public or commercial buildings built or renovated	Square metres		8,917.00			6,991.00			
S	P2	Public or commercial buildings built or renovated	Square metres		8,917.00			31,193.00			

(1) S=Cumulative value — outputs to be delivered by selected operations [forecast provided by beneficiaries], F=Cumulative value — outputs delivered by operations [actual achievement]

(1)	ID	Indicator	2021 Total	2021 Men	2021 Women	2020 Total	2020 Men	2020 Women	2019 Total	2019 Men	2019 Women
F	CO01	Productive investment: Number of enterprises receiving support	20,046.00			15,588.00			11,497.00		
S	CO01	Productive investment: Number of enterprises receiving support	35,080.00			31,100.00			21,163.00		
F	CO02	Productive investment: Number of enterprises receiving grants	3,702.00			2,508.00			1,907.00		
S	CO02	Productive investment: Number of enterprises receiving grants	6,690.00			6,058.00			3,976.00		
F	CO03	Productive investment: Number of enterprises receiving financial support other than grants	30.00			30.00			0.00		
S	CO03	Productive investment: Number of enterprises receiving financial support other than grants	217.00			222.00			120.00		
F	CO04	Productive investment: Number of enterprises receiving non-financial support	17,297.00			13,442.00			8,964.00		
S	CO04	Productive investment: Number of enterprises receiving non-financial support	28,828.00			24,941.00			16,260.00		
F	CO05	Productive investment: Number of new enterprises supported	2,821.00			2,224.00			1,182.00		
S	CO05	Productive investment: Number of new enterprises supported	4,374.00			3,899.00			2,535.00		
F	CO06	Productive investment: Private investment matching public support to enterprises (grants)	54,701,342.00			40,971,320.00			25,864,637.44		
S	CO06	Productive investment: Private investment matching public support to enterprises (grants)	117,216,480.00			107,691,731.00			54,254,676.00		
F	CO07	Productive investment: Private investment matching public support to enterprises (non-grants)	12,779,343.00			12,534,593.00			238,017.27		
S	CO07	Productive investment: Private investment matching public support to enterprises (non-grants)	77,600,667.00			77,996,452.00			22,112,128.00		
F	CO08	Productive investment: Employment increase in supported enterprises	3,305.00			2,508.00			1,394.00		
S	CO08	Productive investment: Employment increase in supported enterprises	8,641.00			7,996.00			4,607.50		
F	CO26	Research, Innovation: Number of enterprises cooperating with research institutions	5,208.00			3,695.00			2,286.00		
S	CO26	Research, Innovation: Number of enterprises cooperating with research institutions	11,230.00			9,185.00			5,908.00		
F	CO28	Research, Innovation: Number of enterprises supported to introduce new to the market products	3,465.00			2,500.00			1,437.00		
S	CO28	Research, Innovation: Number of enterprises supported to introduce new to the market products	5,441.00			4,738.00			3,139.00		
F	CO29	Research, Innovation: Number of enterprises supported to introduce new to the firm products	5,361.00			3,769.00			2,183.00		
S	CO29	Research, Innovation: Number of enterprises supported to introduce new to the firm products	9,356.00			8,052.00			5,309.00		
F	P2	Public or commercial buildings built or renovated	4,286.00			2,199.00			2,034.00		
S	P2	Public or commercial buildings built or renovated	57,846.00			54,249.00			10,685.00		

(1)	ID	Indicator	2018 Total	2018 Men	2018 Women	2017 Total	2017 Men	2017 Women	2016 Total	2016 Men	2016 Women
F	CO01	Productive investment: Number of enterprises receiving support	5,117.00			2,443.00			89.00		



(1)	ID	Indicator	2018 Total	2018 Men	2018 Women	2017 Total	2017 Men	2017 Women	2016 Total	2016 Men	2016 Women
S	CO01	Productive investment: Number of enterprises receiving support	21,697.00			17,526.00			13,918.00		
F	CO02	Productive investment: Number of enterprises receiving grants	2,973.00			2,059.00			58.00		
S	CO02	Productive investment: Number of enterprises receiving grants	4,210.00			4,000.00					
F	CO03	Productive investment: Number of enterprises receiving financial support other than grants	0.00			0.00			0.00		
S	CO03	Productive investment: Number of enterprises receiving financial support other than grants	75.00			141.00			0.00		
F	CO04	Productive investment: Number of enterprises receiving non-financial support	4,376.00			2,241.00			26.00		
S	CO04	Productive investment: Number of enterprises receiving non-financial support	16,104.00			12,533.00			4,175.00		
F	CO05	Productive investment: Number of new enterprises supported	1,041.00			328.00			3.00		
S	CO05	Productive investment: Number of new enterprises supported	5,644.00			3,088.00			1,113.00		
F	CO06	Productive investment: Private investment matching public support to enterprises (grants)	10,298,500.00			3,310,473.00					
S	CO06	Productive investment: Private investment matching public support to enterprises (grants)	64,934,086.00			69,490,252.00					
F	CO07	Productive investment: Private investment matching public support to enterprises (non-grants)	44,282.00			0.00			0.00		
S	CO07	Productive investment: Private investment matching public support to enterprises (non-grants)	134,154,362.00			131,784,582.00			0.00		
F	CO08	Productive investment: Employment increase in supported enterprises	938.53			133.00					
S	CO08	Productive investment: Employment increase in supported enterprises	9,869.00			4,353.00					
F	CO26	Research, Innovation: Number of enterprises cooperating with research institutions	1,468.00			415.00					
S	CO26	Research, Innovation: Number of enterprises cooperating with research institutions	10,699.00			4,420.00			11.00		
F	CO28	Research, Innovation: Number of enterprises supported to introduce new to the market products	869.00			169.00					
S	CO28	Research, Innovation: Number of enterprises supported to introduce new to the market products	3,243.00			2,656.00			13.00		
F	CO29	Research, Innovation: Number of enterprises supported to introduce new to the firm products	1,411.00			286.00					
S	CO29	Research, Innovation: Number of enterprises supported to introduce new to the firm products	5,472.00			4,545.00			13.00		
F	P2	Public or commercial buildings built or renovated	933.00			0.00			0.00		
S	P2	Public or commercial buildings built or renovated	21,490.00			18,597.00			0.00		

(1)	ID	Indicator	2015 Total	2015 Men	2015 Women	2014 Total	2014 Men	2014 Women
F	CO01	Productive investment: Number of enterprises receiving support						
S	CO01	Productive investment: Number of enterprises receiving support						
F	CO02	Productive investment: Number of enterprises receiving grants						
S	CO02	Productive investment: Number of enterprises receiving grants						
F	CO03	Productive investment: Number of enterprises receiving financial support other than grants	0.00			0.00		
S	CO03	Productive investment: Number of enterprises receiving financial support other than grants	0.00			0.00		
F	CO04	Productive investment: Number of enterprises receiving non-financial support						
S	CO04	Productive investment: Number of enterprises receiving non-financial support						
F	CO05	Productive investment: Number of new enterprises supported						
S	CO05	Productive investment: Number of new enterprises supported						
F	CO06	Productive investment: Private investment matching public support to enterprises (grants)						
S	CO06	Productive investment: Private investment matching public support to enterprises (grants)						
F	CO07	Productive investment: Private investment matching public support to enterprises (non-grants)	0.00			0.00		
S	CO07	Productive investment: Private investment matching public support to enterprises (non-grants)	0.00			0.00		
F	CO08	Productive investment: Employment increase in supported enterprises						
S	CO08	Productive investment: Employment increase in supported enterprises						
F	CO26	Research, Innovation: Number of enterprises cooperating with research institutions						
S	CO26	Research, Innovation: Number of enterprises cooperating with research institutions						
F	CO28	Research, Innovation: Number of enterprises supported to introduce new to the market products						

(1)	ID	Indicator	2015 Total	2015 Men	2015 Women	2014 Total	2014 Men	2014 Women
S	CO28	Research, Innovation: Number of enterprises supported to introduce new to the market products						
F	CO29	Research, Innovation: Number of enterprises supported to introduce new to the firm products						
S	CO29	Research, Innovation: Number of enterprises supported to introduce new to the firm products						
F	P2	Public or commercial buildings built or renovated	0.00			0.00		
S	P2	Public or commercial buildings built or renovated	0.00			0.00		

Priority axis	1 - Promoting Research and Innovation
Investment Priority	1b - Promoting business investment in R&I, developing links and synergies between enterprises, research and development centres and the higher education sector, in particular promoting investment in product and service development, technology transfer, social innovation, eco-innovation, public service applications, demand stimulation, networking, clusters and open innovation through smart specialisation, and supporting technological and applied research, pilot lines, early product validation actions, advanced manufacturing capabilities and first production, in particular in key enabling technologies and diffusion of general purpose technologies, as well as fostering investment necessary for strengthening the crisis response capacities in health services
Specific objective	1.2 - Increase investment in research and innovation by small and medium enterprises in sectors and technologies identified through smart specialisation.

Table 1: Result indicators for the ERDF, the ERDF REACT-EU and the Cohesion Fund (by priority axis and specific objective); applies also to Technical Assistance priority axis

ID	Indicator	Measurement unit	Category of region	Baseline value	Baseline year	Target value 2023	2022 Total	2022 Qualitative	Observations
1.2	Proportion of small and medium sized enterprises that are innovation active	Percentage		48.1	2013	Increase		0	
1.3	Research and development tax credit by number of claims under the SME scheme	Enterprises		13,140	2013	Increase in UK			
1.4	Research and development tax credit by value of claims under the SME scheme	GPB		600,000,000	2013	Increase in UK			

ID	Indicator	2021 Total	2021 Qualitative	2020 Total	2020 Qualitative	2019 Total	2019 Qualitative	2018 Total	2018 Qualitative
1.2	Proportion of small and medium sized enterprises that are innovation active		44.4		37.2		37.2		
1.3	Research and development tax credit by number of claims under the SME scheme		78,825				52,160		54,005
1.4	Research and development tax credit by value of claims under the SME scheme				4,370,000		3,505,000		2,265,000,000

ID	Indicator	2017 Total	2017 Qualitative	2016 Total	2016 Qualitative	2015 Total	2015 Qualitative	2014 Total	2014 Qualitative
1.2	Proportion of small and medium sized enterprises that are innovation active		53.3				54.8		
1.3	Research and development tax credit by number of claims under the SME scheme		54,005		45,440		37,105		15,585
1.4	Research and development tax credit by value of claims under the SME scheme		2,215,000,000		1,760,000,000		1,315,000,000		705,000,000

Priority axis	1 - Promoting Research and Innovation
Investment Priority	1b - Promoting business investment in R&I, developing links and synergies between enterprises, research and development centres and the higher education sector, in particular promoting investment in product and service development, technology transfer, social innovation, eco-innovation, public service applications, demand stimulation, networking, clusters and open innovation through smart specialisation, and supporting technological and applied research, pilot lines, early product validation actions, advanced manufacturing capabilities and first production, in particular in key enabling technologies and diffusion of general purpose technologies, as well as fostering investment necessary for strengthening the crisis response capacities in health services
Specific objective	1.3 - Increase the number of small and medium sized enterprises engaged in knowledge exchange, collaborative and contract research and innovation with research institutions, public institutions or large enterprises in order to help them bring new products and processes to market.

Table 1: Result indicators for the ERDF, the ERDF REACT-EU and the Cohesion Fund (by priority axis and specific objective); applies also to Technical Assistance priority axis

ID	Indicator	Measurement unit	Category of region	Baseline value	Baseline year	Target value 2023	2022 Total	2022 Qualitative	Observations
1.5	Value of services provided to small and medium enterprises by higher education institutions	GBP		139,761,000	2013	Increase			
1.6	Number of contracts or interactions with small and medium enterprises	Number		50,728	2013	Increase in England			

ID	Indicator	2021 Total	2021 Qualitative	2020 Total	2020 Qualitative	2019 Total	2019 Qualitative	2018 Total	2018 Qualitative
1.5	Value of services provided to small and medium enterprises by higher education institutions		223,219		234,430		262,193,000		232,772,000
1.6	Number of contracts or interactions with small and medium enterprises		35,995				71,758		63,082

ID	Indicator	2017 Total	2017 Qualitative	2016 Total	2016 Qualitative	2015 Total	2015 Qualitative	2014 Total	2014 Qualitative
1.5	Value of services provided to small and medium enterprises by higher education institutions		207,513,000		193,480,000		174,359,000		159,220,000
1.6	Number of contracts or interactions with small and medium enterprises		52,891		67,127		62,981		65,345

Priority axis	10 - Supporting the implementation of Local Economic CV-19 Recovery Action Plans
Investment Priority	8b - Supporting employment-friendly growth through the development of endogenous potential as part of a territorial strategy for specific areas, including the conversion of declining industrial regions and enhancement of accessibility to, and development of, specific natural and cultural resources

**Table 3A: Common and programme specific output indicators for the ERDF, the ERDF REACT-EU and the Cohesion Fund (by priority axis, investment priority, broken down by category of region for the ERDF) - 10 / 8b**

(1)	ID	Indicator	Measurement unit	Category of region	Target value (2023) total	Target value (2023) men	Target value (2023) women	2022 Total	2022 Men	2022 Women	Observations
F	PCV33	Number of entities supported in combating or counteracting the effects of the COVID-19 pandemic	Number		250.00			0.00			
S	PCV33	Number of entities supported in combating or counteracting the effects of the COVID-19 pandemic	Number		250.00			250.00			

(1) S=Cumulative value — outputs to be delivered by selected operations [forecast provided by beneficiaries], F=Cumulative value — outputs delivered by operations [actual achievement]

(1)	ID	Indicator	2021 Total	2021 Men	2021 Women	2020 Total	2020 Men	2020 Women	2019 Total	2019 Men	2019 Women
F	PCV33	Number of entities supported in combating or counteracting the effects of the COVID-19 pandemic	0.00			0.00			0.00		
S	PCV33	Number of entities supported in combating or counteracting the effects of the COVID-19 pandemic	250.00			250.00			0.00		

(1)	ID	Indicator	2018 Total	2018 Men	2018 Women	2017 Total	2017 Men	2017 Women	2016 Total	2016 Men	2016 Women
F	PCV33	Number of entities supported in combating or counteracting the effects of the COVID-19 pandemic	0.00			0.00			0.00		
S	PCV33	Number of entities supported in combating or counteracting the effects of the COVID-19 pandemic	0.00			0.00			0.00		

(1)	ID	Indicator	2015 Total	2015 Men	2015 Women	2014 Total	2014 Men	2014 Women
F	PCV33	Number of entities supported in combating or counteracting the effects of the COVID-19 pandemic	0.00			0.00		
S	PCV33	Number of entities supported in combating or counteracting the effects of the COVID-19 pandemic	0.00			0.00		

Priority axis	10 - Supporting the implementation of Local Economic CV-19 Recovery Action Plans
Investment Priority	8b - Supporting employment-friendly growth through the development of endogenous potential as part of a territorial strategy for specific areas, including the conversion of declining industrial regions and enhancement of accessibility to, and development of, specific natural and cultural resources
Specific objective	10.1 - Minimise enterprise deaths through the implementation of local economic COVID-19 recovery action plans

Table 1: Result indicators for the ERDF, the ERDF REACT-EU and the Cohesion Fund (by priority axis and specific objective); applies also to Technical Assistance priority axis

ID	Indicator	Measurement unit	Category of region	Baseline value	Baseline year	Target value 2023	2022 Total	2022 Qualitative	Observations
11.1	Number of enterprises deaths per year	Number		27,765.00	2018	27,765.00			

ID	Indicator	2021 Total	2021 Qualitative	2020 Total	2020 Qualitative	2019 Total	2019 Qualitative	2018 Total	2018 Qualitative
11.1	Number of enterprises deaths per year	31,280.00		28,720.00		22,230.00		30,365.00	

ID	Indicator	2017 Total	2017 Qualitative	2016 Total	2016 Qualitative	2015 Total	2015 Qualitative	2014 Total	2014 Qualitative
11.1	Number of enterprises deaths per year								

Priority axis	2 - Enhancing access to, and use and quality of, ICT
Investment Priority	2a - Extending broadband deployment and the roll-out of high-speed networks and supporting the adoption of emerging technologies and networks for the digital economy

**Table 3A: Common and programme specific output indicators for the ERDF, the ERDF REACT-EU and the Cohesion Fund (by priority axis, investment priority, broken down by category of region for the ERDF) - 2 / 2a**

(1)	ID	Indicator	Measurement unit	Category of region	Target value (2023) total	Target value (2023) men	Target value (2023) women	2022 Total	2022 Men	2022 Women	Observations
F	CO01	Productive investment: Number of enterprises receiving support	Enterprises		2,798.00			204.00			N/A
S	CO01	Productive investment: Number of enterprises receiving support	Enterprises		2,798.00			2,411.00			
F	CO05	Productive investment: Number of new enterprises supported	Enterprises		604.00			46.00			N/A
S	CO05	Productive investment: Number of new enterprises supported	Enterprises		604.00			100.00			
F	P3	Additional businesses with broadband access of at least 30mbps	Enterprises		11,326.00			6,656.00			N/A
S	P3	Additional businesses with broadband access of at least 30mbps	Enterprises		11,326.00			11,173.00			

(1) S=Cumulative value — outputs to be delivered by selected operations [forecast provided by beneficiaries], F=Cumulative value — outputs delivered by operations [actual achievement]

(1)	ID	Indicator	2021 Total	2021 Men	2021 Women	2020 Total	2020 Men	2020 Women	2019 Total	2019 Men	2019 Women
F	CO01	Productive investment: Number of enterprises receiving support	176.00			151.00			67.00		
S	CO01	Productive investment: Number of enterprises receiving support	2,412.00			1,980.00			1,353.00		
F	CO05	Productive investment: Number of new enterprises supported	46.00			0.00			0.00		
S	CO05	Productive investment: Number of new enterprises supported	102.00			82.00			53.00		
F	P3	Additional businesses with broadband access of at least 30mbps	6,115.00			5,207.00			3,793.00		
S	P3	Additional businesses with broadband access of at least 30mbps	11,789.00			11,310.00			9,141.00		

(1)	ID	Indicator	2018 Total	2018 Men	2018 Women	2017 Total	2017 Men	2017 Women	2016 Total	2016 Men	2016 Women
F	CO01	Productive investment: Number of enterprises receiving support	21.00			2.00					
S	CO01	Productive investment: Number of enterprises receiving support	2,761.00			1,245.00					
F	CO05	Productive investment: Number of new enterprises supported	0.00			0.00			0.00		
S	CO05	Productive investment: Number of new enterprises supported	441.00			6.00			0.00		
F	P3	Additional businesses with broadband access of at least 30mbps	710.00			0.00			0.00		
S	P3	Additional businesses with broadband access of at least 30mbps	19,631.00			10,341.00			6,673.00		

(1)	ID	Indicator	2015 Total	2015 Men	2015 Women	2014 Total	2014 Men	2014 Women
F	CO01	Productive investment: Number of enterprises receiving support						
S	CO01	Productive investment: Number of enterprises receiving support						

(1)	ID	Indicator	2015 Total	2015 Men	2015 Women	2014 Total	2014 Men	2014 Women
F	CO05	Productive investment: Number of new enterprises supported	0.00			0.00		
S	CO05	Productive investment: Number of new enterprises supported	0.00			0.00		
F	P3	Additional businesses with broadband access of at least 30mbps	0.00			0.00		
S	P3	Additional businesses with broadband access of at least 30mbps	0.00			0.00		



Priority axis	2 - Enhancing access to, and use and quality of, ICT
Investment Priority	2a - Extending broadband deployment and the roll-out of high-speed networks and supporting the adoption of emerging technologies and networks for the digital economy
Specific objective	2.1 - Increase the coverage and take up of superfast Broadband in areas where the market is failing, particularly where this is creating a barrier to SME growth, in line with State Aid rules.

Table 1: Result indicators for the ERDF, the ERDF REACT-EU and the Cohesion Fund (by priority axis and specific objective); applies also to Technical Assistance priority axis

ID	Indicator	Measurement unit	Category of region	Baseline value	Baseline year	Target value 2023	2022 Total	2022 Qualitative	Observations
2.1	Coverage of superfast (>30Mbps) broadband across England	Percentage		90.00	2016	100.00	97.00		
2.2	Percentage of businesses which have taken up broadband with speeds of at least 30Mbps	Percentage		15.90	2012	50.00			

ID	Indicator	2021 Total	2021 Qualitative	2020 Total	2020 Qualitative	2019 Total	2019 Qualitative	2018 Total	2018 Qualitative
2.1	Coverage of superfast (>30Mbps) broadband across England	96.00		96.00		95.00		94.00	
2.2	Percentage of businesses which have taken up broadband with speeds of at least 30Mbps					64.10		54.00	

ID	Indicator	2017 Total	2017 Qualitative	2016 Total	2016 Qualitative	2015 Total	2015 Qualitative	2014 Total	2014 Qualitative
2.1	Coverage of superfast (>30Mbps) broadband across England	92.00		90.00		90.00		90.00	
2.2	Percentage of businesses which have taken up broadband with speeds of at least 30Mbps	44.40		41.50		50.00		50.00	

Priority axis	2 - Enhancing access to, and use and quality of, ICT
Investment Priority	2b - Developing ICT products and services, e-commerce and enhancing demand for ICT

**Table 3A: Common and programme specific output indicators for the ERDF, the ERDF REACT-EU and the Cohesion Fund (by priority axis, investment priority, broken down by category of region for the ERDF) - 2 / 2b**

(1)	ID	Indicator	Measurement unit	Category of region	Target value (2023) total	Target value (2023) men	Target value (2023) women	2022 Total	2022 Men	2022 Women	Observations
F	CO01	Productive investment: Number of enterprises receiving support	Enterprises		11,123.00			7,725.00			N/A
S	CO01	Productive investment: Number of enterprises receiving support	Enterprises		11,123.00			9,587.00			N/A
F	CO05	Productive investment: Number of new enterprises supported	Enterprises		7,794.00			702.00			N/A
S	CO05	Productive investment: Number of new enterprises supported	Enterprises		7,794.00			1,297.00			N/A
F	CO29	Research, Innovation: Number of enterprises supported to introduce new to the firm products	Enterprises		1,780.00			965.00			N/A
S	CO29	Research, Innovation: Number of enterprises supported to introduce new to the firm products	Enterprises		1,780.00			2,191.00			
F	P4	Additional businesses taking up broadband with speeds of at least 30Mbps	enterprises		5,663.00			1,965.00			N/A
S	P4	Additional businesses taking up broadband with speeds of at least 30Mbps	enterprises		5,663.00			3,595.00			

(1) S=Cumulative value — outputs to be delivered by selected operations [forecast provided by beneficiaries], F=Cumulative value — outputs delivered by operations [actual achievement]

(1)	ID	Indicator	2021 Total	2021 Men	2021 Women	2020 Total	2020 Men	2020 Women	2019 Total	2019 Men	2019 Women
F	CO01	Productive investment: Number of enterprises receiving support	5,903.00			4,143.00			3,108.00		
S	CO01	Productive investment: Number of enterprises receiving support	9,587.00			7,871.00			5,412.00		
F	CO05	Productive investment: Number of new enterprises supported	529.00			333.00			188.00		
S	CO05	Productive investment: Number of new enterprises supported	1,313.00			1,063.00			703.00		
F	CO29	Research, Innovation: Number of enterprises supported to introduce new to the firm products	721.00			472.00			370.00		
S	CO29	Research, Innovation: Number of enterprises supported to introduce new to the firm products	2,348.00			1,963.00			1,330.00		
F	P4	Additional businesses taking up broadband with speeds of at least 30Mbps	1,551.00			1,269.00			797.00		
S	P4	Additional businesses taking up broadband with speeds of at least 30Mbps	3,797.00			3,322.00			2,445.00		

(1)	ID	Indicator	2018 Total	2018 Men	2018 Women	2017 Total	2017 Men	2017 Women	2016 Total	2016 Men	2016 Women
F	CO01	Productive investment: Number of enterprises receiving support	1,860.00			479.00					
S	CO01	Productive investment: Number of enterprises receiving support	3,660.00			1,376.00					
F	CO05	Productive investment: Number of new enterprises supported	138.00			32.00					
S	CO05	Productive investment: Number of new enterprises supported	72.00			0.00					
F	CO29	Research, Innovation: Number of enterprises supported to introduce new to the firm products	278.00			24.00					
S	CO29	Research, Innovation: Number of enterprises supported to introduce new to the firm products	3,211.00			1,621.00					
F	P4	Additional businesses taking up broadband with speeds of at least 30Mbps	599.00			514.00					
S	P4	Additional businesses taking up broadband with speeds of at least 30Mbps	6,459.00			3,622.00					

(1)	ID	Indicator	2015 Total	2015 Men	2015 Women	2014 Total	2014 Men	2014 Women
F	CO01	Productive investment: Number of enterprises receiving support						
S	CO01	Productive investment: Number of enterprises receiving support						
F	CO05	Productive investment: Number of new enterprises supported						
S	CO05	Productive investment: Number of new enterprises supported						
F	CO29	Research, Innovation: Number of enterprises supported to introduce new to the firm products						
S	CO29	Research, Innovation: Number of enterprises supported to introduce new to the firm products						
F	P4	Additional businesses taking up broadband with speeds of at least 30Mbps						
S	P4	Additional businesses taking up broadband with speeds of at least 30Mbps						

Priority axis	2 - Enhancing access to, and use and quality of, ICT
Investment Priority	2b - Developing ICT products and services, e-commerce and enhancing demand for ICT
Specific objective	2.2 - Increase the number of small and medium sized enterprises making productive use of digital technologies.

Table 1: Result indicators for the ERDF, the ERDF REACT-EU and the Cohesion Fund (by priority axis and specific objective); applies also to Technical Assistance priority axis

ID	Indicator	Measurement unit	Category of region	Baseline value	Baseline year	Target value 2023	2022 Total	2022 Qualitative	Observations
2.3	Ranking for selling online by SMes in EU Digital Scoreboard	Number		8	2015	Increase UK ranking by one place			

ID	Indicator	2021 Total	2021 Qualitative	2020 Total	2020 Qualitative	2019 Total	2019 Qualitative	2018 Total	2018 Qualitative
2.3	Ranking for selling online by SMes in EU Digital Scoreboard				8		6		9

ID	Indicator	2017 Total	2017 Qualitative	2016 Total	2016 Qualitative	2015 Total	2015 Qualitative	2014 Total	2014 Qualitative
2.3	Ranking for selling online by SMes in EU Digital Scoreboard		10		7				

Priority axis	3 - Enhancing the Competitiveness of SMEs
Investment Priority	3a - Promoting entrepreneurship, in particular by facilitating the economic exploitation of new ideas and fostering the creation of new firms, including through business incubators

**Table 3A: Common and programme specific output indicators for the ERDF, the ERDF REACT-EU and the Cohesion Fund (by priority axis, investment priority, broken down by category of region for the ERDF) - 3 / 3a**

(1)	ID	Indicator	Measurement unit	Category of region	Target value (2023) total	Target value (2023) men	Target value (2023) women	2022 Total	2022 Men	2022 Women	Observations
F	CO01	Productive investment: Number of enterprises receiving support	Enterprises		18,886.00			25,279.00			N/A
S	CO01	Productive investment: Number of enterprises receiving support	Enterprises		18,886.00			29,286.00			
F	CO02	Productive investment: Number of enterprises receiving grants	Enterprises		12,591.00			4,264.00			N/A
S	CO02	Productive investment: Number of enterprises receiving grants	Enterprises		12,591.00			10,422.00			N/A
F	CO03	Productive investment: Number of enterprises receiving financial support other than grants	Enterprises		1,390.00			185.00			N/A
S	CO03	Productive investment: Number of enterprises receiving financial support other than grants	Enterprises		1,390.00			670.00			
F	CO04	Productive investment: Number of enterprises receiving non-financial support	Enterprises		4,906.00			19,637.00			N/A
S	CO04	Productive investment: Number of enterprises receiving non-financial support	Enterprises		4,906.00			18,775.00			
F	CO05	Productive investment: Number of new enterprises supported	Enterprises		15,109.00			12,924.00			N/A
S	CO05	Productive investment: Number of new enterprises supported	Enterprises		15,109.00			10,851.00			N/A
F	CO06	Productive investment: Private investment matching public support to enterprises (grants)	EUR		54,260,475.00			37,472,220.00			N/A
S	CO06	Productive investment: Private investment matching public support to enterprises (grants)	EUR		54,260,475.00			128,095,758.00			N/A
F	CO07	Productive investment: Private investment matching public support to enterprises (non-grants)	EUR		55,624,111.00			625,962.00			
S	CO07	Productive investment: Private investment matching public support to enterprises (non-grants)	EUR		55,624,111.00			324,014,504.00			
F	CO08	Productive investment: Employment increase in supported enterprises	Full time equivalents		7,852.26			10,537.00			
S	CO08	Productive investment: Employment increase in supported enterprises	Full time equivalents		7,852.26			18,566.00			
F	CO28	Research, Innovation: Number of enterprises supported to introduce new to the market products	Enterprises		1,511.00			1,195.00			
S	CO28	Research, Innovation: Number of enterprises supported to introduce new to the market products	Enterprises		1,511.00			1,747.00			
F	P11	Number of potential entrepreneurs assisted to be enterprise ready	Persons		60,436.00			50,027.00			
S	P11	Number of potential entrepreneurs assisted to be enterprise ready	Persons		60,436.00			62,338.00			
F	P2	Public or commercial buildings built or renovated	Square metres		2,336.00			7,352.00			

(1)	ID	Indicator	Measurement unit	Category of region	Target value (2023) total	Target value (2023) men	Target value (2023) women	2022 Total	2022 Men	2022 Women	Observations
S	P2	Public or commercial buildings built or renovated	Square metres		2,336.00			50,268.00			

(1) S=Cumulative value — outputs to be delivered by selected operations [forecast provided by beneficiaries], F=Cumulative value — outputs delivered by operations [actual achievement]

(1)	ID	Indicator	2021 Total	2021 Men	2021 Women	2020 Total	2020 Men	2020 Women	2019 Total	2019 Men	2019 Women
F	CO01	Productive investment: Number of enterprises receiving support	21,301.00			15,708.00			11,177.00		
S	CO01	Productive investment: Number of enterprises receiving support	28,640.00			27,020.00			16,771.00		
F	CO02	Productive investment: Number of enterprises receiving grants	3,692.00			2,492.00			1,791.00		
S	CO02	Productive investment: Number of enterprises receiving grants	9,964.00			9,128.00			4,924.00		
F	CO03	Productive investment: Number of enterprises receiving financial support other than grants	106.00			27.00			0.00		
S	CO03	Productive investment: Number of enterprises receiving financial support other than grants	702.00			684.00			336.00		
F	CO04	Productive investment: Number of enterprises receiving non-financial support	16,577.00			12,444.00			9,005.00		
S	CO04	Productive investment: Number of enterprises receiving non-financial support	18,184.00			16,908.00			11,504.00		
F	CO05	Productive investment: Number of new enterprises supported	10,951.00			8,574.00			6,089.00		
S	CO05	Productive investment: Number of new enterprises supported	10,702.00			10,577.00			7,116.00		
F	CO06	Productive investment: Private investment matching public support to enterprises (grants)	33,131,451.00			24,241,429.00			16,518,938.34		
S	CO06	Productive investment: Private investment matching public support to enterprises (grants)	124,000,975.00			112,506,730.00			58,272,738.00		
F	CO07	Productive investment: Private investment matching public support to enterprises (non-grants)	5,573.00			5,467.00			0.00		
S	CO07	Productive investment: Private investment matching public support to enterprises (non-grants)	96,597,532.00			88,807,707.00			42,176,229.00		
F	CO08	Productive investment: Employment increase in supported enterprises	8,636.00			6,841.00			4,672.00		
S	CO08	Productive investment: Employment increase in supported enterprises	18,072.00			17,790.00			11,413.00		
F	CO28	Research, Innovation: Number of enterprises supported to introduce new to the market products	954.00			658.00			443.00		
S	CO28	Research, Innovation: Number of enterprises supported to introduce new to the market products	1,853.00			1,986.00			1,366.00		
F	P11	Number of potential entrepreneurs assisted to be enterprise ready	40,842.00			33,888.00			20,436.00		
S	P11	Number of potential entrepreneurs assisted to be enterprise ready	62,190.00			58,683.00			38,003.00		
F	P2	Public or commercial buildings built or renovated	3,555.00			2,513.00			0.00		
S	P2	Public or commercial buildings built or renovated	47,813.00			41,709.00			22,726.00		

(1)	ID	Indicator	2018 Total	2018 Men	2018 Women	2017 Total	2017 Men	2017 Women	2016 Total	2016 Men	2016 Women
F	CO01	Productive investment: Number of enterprises receiving support	4,871.00			2,960.00			240.00		
S	CO01	Productive investment: Number of enterprises receiving support	28,333.00			14,026.00			12,522.00		
F	CO02	Productive investment: Number of enterprises receiving grants	1,256.00			537.00			158.00		
S	CO02	Productive investment: Number of enterprises receiving grants	4,314.00			3,962.00					
F	CO03	Productive investment: Number of enterprises receiving financial support other than grants	0.00			0.00			0.00		
S	CO03	Productive investment: Number of enterprises receiving financial support other than grants	1,434.00			0.00			0.00		
F	CO04	Productive investment: Number of enterprises receiving non-financial support	6,999.00			2,086.00					
S	CO04	Productive investment: Number of enterprises receiving non-financial support	14,258.00			11,605.00			3,130.00		
F	CO05	Productive investment: Number of new enterprises supported	5,010.00			2,007.00			192.00		
S	CO05	Productive investment: Number of new enterprises supported	6,451.00			2,831.00			0.00		
F	CO06	Productive investment: Private investment matching public support to enterprises (grants)	14,583,405.00			4,337,863.00					
S	CO06	Productive investment: Private investment matching public support to enterprises (grants)	51,361,561.00			41,732,956.29			89,120.00		

(1)	ID	Indicator	2018 Total	2018 Men	2018 Women	2017 Total	2017 Men	2017 Women	2016 Total	2016 Men	2016 Women
F	CO07	Productive investment: Private investment matching public support to enterprises (non-grants)	145,005.00			0.00			0.00		
S	CO07	Productive investment: Private investment matching public support to enterprises (non-grants)	186,270,861.00			176,104,490.00			0.00		
F	CO08	Productive investment: Employment increase in supported enterprises	4,676.00			893.00					
S	CO08	Productive investment: Employment increase in supported enterprises	11,985.00			10,650.00			4.00		
F	CO28	Research, Innovation: Number of enterprises supported to introduce new to the market products	209.00			61.00					
S	CO28	Research, Innovation: Number of enterprises supported to introduce new to the market products	1,843.00			1,573.00					
F	P11	Number of potential entrepreneurs assisted to be enterprise ready	16,257.00			9,832.00					
S	P11	Number of potential entrepreneurs assisted to be enterprise ready	35,843.00			29,508.00			1,187.00		
F	P2	Public or commercial buildings built or renovated	638.00			213.00					
S	P2	Public or commercial buildings built or renovated	23,415.00			2,295.00					

(1)	ID	Indicator	2015 Total	2015 Men	2015 Women	2014 Total	2014 Men	2014 Women
F	CO01	Productive investment: Number of enterprises receiving support				0.00		
S	CO01	Productive investment: Number of enterprises receiving support	0.00			0.00		
F	CO02	Productive investment: Number of enterprises receiving grants						
S	CO02	Productive investment: Number of enterprises receiving grants						
F	CO03	Productive investment: Number of enterprises receiving financial support other than grants	0.00			0.00		
S	CO03	Productive investment: Number of enterprises receiving financial support other than grants	0.00			0.00		
F	CO04	Productive investment: Number of enterprises receiving non-financial support						
S	CO04	Productive investment: Number of enterprises receiving non-financial support						
F	CO05	Productive investment: Number of new enterprises supported						
S	CO05	Productive investment: Number of new enterprises supported						
F	CO06	Productive investment: Private investment matching public support to enterprises (grants)						
S	CO06	Productive investment: Private investment matching public support to enterprises (grants)						
F	CO07	Productive investment: Private investment matching public support to enterprises (non-grants)	0.00			0.00	0.00	0.00
S	CO07	Productive investment: Private investment matching public support to enterprises (non-grants)	0.00			0.00		
F	CO08	Productive investment: Employment increase in supported enterprises						
S	CO08	Productive investment: Employment increase in supported enterprises						
F	CO28	Research, Innovation: Number of enterprises supported to introduce new to the market products						
S	CO28	Research, Innovation: Number of enterprises supported to introduce new to the market products						
F	P11	Number of potential entrepreneurs assisted to be enterprise ready						
S	P11	Number of potential entrepreneurs assisted to be enterprise ready						
F	P2	Public or commercial buildings built or renovated						
S	P2	Public or commercial buildings built or renovated						

Priority axis	3 - Enhancing the Competitiveness of SMEs
Investment Priority	3a - Promoting entrepreneurship, in particular by facilitating the economic exploitation of new ideas and fostering the creation of new firms, including through business incubators
Specific objective	3.1 - Increase entrepreneurship, particularly in areas with low levels of enterprise activity and amongst under-represented groups

Table 1: Result indicators for the ERDF, the ERDF REACT-EU and the Cohesion Fund (by priority axis and specific objective); applies also to Technical Assistance priority axis

ID	Indicator	Measurement unit	Category of region	Baseline value	Baseline year	Target value 2023	2022 Total	2022 Qualitative	Observations
3.1	Total early stage entrepreneurial activity, represented by proportion of adults age (18-64) in the process of starting a business or running a business less than 42 months old	Percentage		7.1	2013	Increase in the UK TEA rate to be higher than the average for innovation driven economies		11.5	

ID	Indicator	2021 Total	2021 Qualitative	2020 Total	2020 Qualitative	2019 Total	2019 Qualitative	2018 Total	2018 Qualitative
3.1	Total early stage entrepreneurial activity, represented by proportion of adults age (18-64) in the process of starting a business or running a business less than 42 months old				7.8		9.34		8.2

ID	Indicator	2017 Total	2017 Qualitative	2016 Total	2016 Qualitative	2015 Total	2015 Qualitative	2014 Total	2014 Qualitative
3.1	Total early stage entrepreneurial activity, represented by proportion of adults age (18-64) in the process of starting a business or running a business less than 42 months old		8.4		8.8		6.9		10.7



Priority axis	3 - Enhancing the Competitiveness of SMEs
Investment Priority	3c - Supporting the creation and the extension of advanced capacities for product and service development

**Table 3A: Common and programme specific output indicators for the ERDF, the ERDF REACT-EU and the Cohesion Fund (by priority axis, investment priority, broken down by category of region for the ERDF) - 3 / 3c**

(1)	ID	Indicator	Measurement unit	Category of region	Target value (2023) total	Target value (2023) men	Target value (2023) women	2022 Total	2022 Men	2022 Women	Observations
F	CO01	Productive investment: Number of enterprises receiving support	Enterprises		47,216.00			42,101.00			N/A
S	CO01	Productive investment: Number of enterprises receiving support	Enterprises		47,216.00			73,217.00			N/A
F	CO02	Productive investment: Number of enterprises receiving grants	Enterprises		31,477.00			15,843.00			N/A
S	CO02	Productive investment: Number of enterprises receiving grants	Enterprises		31,477.00			26,056.00			
F	CO03	Productive investment: Number of enterprises receiving financial support other than grants	Enterprises		3,475.00			1,149.00			N/A
S	CO03	Productive investment: Number of enterprises receiving financial support other than grants	Enterprises		3,475.00			1,674.00			N/A
F	CO04	Productive investment: Number of enterprises receiving non-financial support	Enterprises		12,264.00			27,066.00			N/A
S	CO04	Productive investment: Number of enterprises receiving non-financial support	Enterprises		12,264.00			46,933.00			N/A
F	CO05	Productive investment: Number of new enterprises supported	Enterprises		15,011.00			3,772.00			N/A
S	CO05	Productive investment: Number of new enterprises supported	Enterprises		15,011.00			10,781.00			N/A
F	CO06	Productive investment: Private investment matching public support to enterprises (grants)	EUR		135,651,188.00			142,496,580.00			N/A
S	CO06	Productive investment: Private investment matching public support to enterprises (grants)	EUR		135,651,188.00			320,239,397.00			N/A
F	CO07	Productive investment: Private investment matching public support to enterprises (non-grants)	EUR		465,677,153.00			227,878,691.00			N/A
S	CO07	Productive investment: Private investment matching public support to enterprises (non-grants)	EUR		465,677,153.00			2,712,603,385.00			
F	CO08	Productive investment: Employment increase in supported enterprises	Full time equivalents		19,631.00			23,897.00			N/A
S	CO08	Productive investment: Employment increase in supported enterprises	Full time equivalents		19,631.00			46,415.00			
F	CO29	Research, Innovation: Number of enterprises supported to introduce new to the firm products	Enterprises		7,554.00			6,385.00			N/A
S	CO29	Research, Innovation: Number of enterprises supported to introduce new to the firm products	Enterprises		7,554.00			7,377.00			N/A
F	P13	Number of enterprises receiving information, diagnostic and brokerage	Enterprises		4,693.00			23,445.00			N/A
S	P13	Number of enterprises receiving information, diagnostic and brokerage	Enterprises		4,693.00			38,086.00			

(1)	ID	Indicator	Measurement unit	Category of region	Target value (2023) total	Target value (2023) men	Target value (2023) women	2022 Total	2022 Men	2022 Women	Observations
F	P2	Public or commercial buildings built or renovated	Square metres		5,840.00			87,014.00			N/A
S	P2	Public or commercial buildings built or renovated	Square metres		5,840.00			125,670.00			

(1) S=Cumulative value — outputs to be delivered by selected operations [forecast provided by beneficiaries], F=Cumulative value — outputs delivered by operations [actual achievement]

(1)	ID	Indicator	2021 Total	2021 Men	2021 Women	2020 Total	2020 Men	2020 Women	2019 Total	2019 Men	2019 Women
F	CO01	Productive investment: Number of enterprises receiving support	33,346.00			22,492.00			14,003.00		
S	CO01	Productive investment: Number of enterprises receiving support	71,601.00			67,550.00			41,928.00		
F	CO02	Productive investment: Number of enterprises receiving grants	12,584.00			6,826.00			4,231.00		
S	CO02	Productive investment: Number of enterprises receiving grants	24,911.00			22,820.00			12,309.00		
F	CO03	Productive investment: Number of enterprises receiving financial support other than grants	977.00			791.00			0.00		
S	CO03	Productive investment: Number of enterprises receiving financial support other than grants	1,755.00			1,710.00			841.00		
F	CO04	Productive investment: Number of enterprises receiving non-financial support	21,025.00			15,040.00			8,774.00		
S	CO04	Productive investment: Number of enterprises receiving non-financial support	45,455.00			42,267.00			28,759.00		
F	CO05	Productive investment: Number of new enterprises supported	2,861.00			2,116.00			1,160.00		
S	CO05	Productive investment: Number of new enterprises supported	10,633.00			10,508.00			6,933.00		
F	CO06	Productive investment: Private investment matching public support to enterprises (grants)	105,882,826.00			74,518,451.00			49,087,623.16		
S	CO06	Productive investment: Private investment matching public support to enterprises (grants)	310,002,439.00			281,266,826.00			145,681,844.00		
F	CO07	Productive investment: Private investment matching public support to enterprises (non-grants)	184,608,311.00			184,015,237.00			97,016.50		
S	CO07	Productive investment: Private investment matching public support to enterprises (non-grants)	808,700,819.00			743,485,505.00			356,154,821.00		
F	CO08	Productive investment: Employment increase in supported enterprises	18,567.00			13,791.00			7,530.00		
S	CO08	Productive investment: Employment increase in supported enterprises	45,182.00			44,475.00			28,532.00		
F	CO29	Research, Innovation: Number of enterprises supported to introduce new to the firm products	4,971.00			3,496.00			1,993.00		
S	CO29	Research, Innovation: Number of enterprises supported to introduce new to the firm products	7,038.00			6,808.00			4,617.00		
F	P13	Number of enterprises receiving information, diagnostic and brokerage	18,263.00			14,010.00			9,926.00		
S	P13	Number of enterprises receiving information, diagnostic and brokerage	36,566.00			34,338.00			22,383.00		
F	P2	Public or commercial buildings built or renovated	58,996.00			44,036.00			30,567.00		
S	P2	Public or commercial buildings built or renovated	119,533.00			104,273.00			56,816.00		

(1)	ID	Indicator	2018 Total	2018 Men	2018 Women	2017 Total	2017 Men	2017 Women	2016 Total	2016 Men	2016 Women
F	CO01	Productive investment: Number of enterprises receiving support	7,850.00			4,512.00			407.00		
S	CO01	Productive investment: Number of enterprises receiving support	39,526.00			35,066.00			31,305.00		
F	CO02	Productive investment: Number of enterprises receiving grants	3,322.00			1,342.00			268.00		
S	CO02	Productive investment: Number of enterprises receiving grants	10,784.00			9,904.00					
F	CO03	Productive investment: Number of enterprises receiving financial support other than grants	0.00			0.00			0.00		
S	CO03	Productive investment: Number of enterprises receiving financial support other than grants	3,584.00			2,191.00			2,066.00		
F	CO04	Productive investment: Number of enterprises receiving non-financial support	6,935.00			3,106.00			101.00		
S	CO04	Productive investment: Number of enterprises receiving non-financial support	12,672.00			21,189.00			7,826.00		
F	CO05	Productive investment: Number of new enterprises supported	665.00			219.00			65.00		

(1)	ID	Indicator	2018 Total	2018 Men	2018 Women	2017 Total	2017 Men	2017 Women	2016 Total	2016 Men	2016 Women
S	CO05	Productive investment: Number of new enterprises supported	6,373.00			7,086.00			4,849.00		
F	CO06	Productive investment: Private investment matching public support to enterprises (grants)	40,071,327.00			17,776,036.00					
S	CO06	Productive investment: Private investment matching public support to enterprises (grants)	128,403,902.00			104,332,390.00			107,512.00		
F	CO07	Productive investment: Private investment matching public support to enterprises (non-grants)	0.00			0.00			0.00		
S	CO07	Productive investment: Private investment matching public support to enterprises (non-grants)	465,677,153.00			440,261,223.00			0.00		
F	CO08	Productive investment: Employment increase in supported enterprises	6,323.00	0.00	0.00	2,233.00	377.00	179.00			
S	CO08	Productive investment: Employment increase in supported enterprises	29,962.00			26,167.00			22.00		
F	CO29	Research, Innovation: Number of enterprises supported to introduce new to the firm products	1,228.00			318.00					
S	CO29	Research, Innovation: Number of enterprises supported to introduce new to the firm products	3,842.00			3,533.00			0.00		
F	P13	Number of enterprises receiving information, diagnostic and brokerage	8,157.00			2,411.00					
S	P13	Number of enterprises receiving information, diagnostic and brokerage	24,412.00			21,934.00					
F	P2	Public or commercial buildings built or renovated	9,695.00			0.00			0.00		
S	P2	Public or commercial buildings built or renovated	64,274.00			57,378.00			0.00		

(1)	ID	Indicator	2015 Total	2015 Men	2015 Women	2014 Total	2014 Men	2014 Women
F	CO01	Productive investment: Number of enterprises receiving support	0.00					
S	CO01	Productive investment: Number of enterprises receiving support						
F	CO02	Productive investment: Number of enterprises receiving grants						
S	CO02	Productive investment: Number of enterprises receiving grants						
F	CO03	Productive investment: Number of enterprises receiving financial support other than grants	0.00			0.00		
S	CO03	Productive investment: Number of enterprises receiving financial support other than grants	0.00			0.00		
F	CO04	Productive investment: Number of enterprises receiving non-financial support						
S	CO04	Productive investment: Number of enterprises receiving non-financial support						
F	CO05	Productive investment: Number of new enterprises supported						
S	CO05	Productive investment: Number of new enterprises supported						
F	CO06	Productive investment: Private investment matching public support to enterprises (grants)						
S	CO06	Productive investment: Private investment matching public support to enterprises (grants)						
F	CO07	Productive investment: Private investment matching public support to enterprises (non-grants)	0.00			0.00		
S	CO07	Productive investment: Private investment matching public support to enterprises (non-grants)	0.00			0.00		
F	CO08	Productive investment: Employment increase in supported enterprises						
S	CO08	Productive investment: Employment increase in supported enterprises						
F	CO29	Research, Innovation: Number of enterprises supported to introduce new to the firm products						
S	CO29	Research, Innovation: Number of enterprises supported to introduce new to the firm products						
F	P13	Number of enterprises receiving information, diagnostic and brokerage						
S	P13	Number of enterprises receiving information, diagnostic and brokerage						
F	P2	Public or commercial buildings built or renovated	0.00			0.00		
S	P2	Public or commercial buildings built or renovated	0.00			0.00		

Priority axis	3 - Enhancing the Competitiveness of SMEs
Investment Priority	3c - Supporting the creation and the extension of advanced capacities for product and service development
Specific objective	3.2 - Increase growth capacity of SMEs

Table 1: Result indicators for the ERDF, the ERDF REACT-EU and the Cohesion Fund (by priority axis and specific objective); applies also to Technical Assistance priority axis

ID	Indicator	Measurement unit	Category of region	Baseline value	Baseline year	Target value 2023	2022 Total	2022 Qualitative	Observations
3.2	Number of jobs in small and medium sized enterprises	Full time equivalents		15,163,000	2014	Increase in line with job creation in the UK economy		14,237,000	
3.3	Gap in productivity between SMEs and large companies measured in terms of gross value added per employee	percentage		0.7	2014	Reduction in gap			

ID	Indicator	2021 Total	2021 Qualitative	2020 Total	2020 Qualitative	2019 Total	2019 Qualitative	2018 Total	2018 Qualitative
3.2	Number of jobs in small and medium sized enterprises		14,163,000		14,600,000		14,442,000		14,132,000
3.3	Gap in productivity between SMEs and large companies measured in terms of gross value added per employee								19

ID	Indicator	2017 Total	2017 Qualitative	2016 Total	2016 Qualitative	2015 Total	2015 Qualitative	2014 Total	2014 Qualitative
3.2	Number of jobs in small and medium sized enterprises		13,986,000		13,611,000		13,485,000		13,088,000
3.3	Gap in productivity between SMEs and large companies measured in terms of gross value added per employee		18		23		14		

Priority axis	3 - Enhancing the Competitiveness of SMEs
Investment Priority	3d - Supporting the capacity of SMEs to grow in regional, national and international markets, and to engage in innovation processes

**Table 3A: Common and programme specific output indicators for the ERDF, the ERDF REACT-EU and the Cohesion Fund (by priority axis, investment priority, broken down by category of region for the ERDF) - 3 / 3d**

(1)	ID	Indicator	Measurement unit	Category of region	Target value (2023) total	Target value (2023) men	Target value (2023) women	2022 Total	2022 Men	2022 Women	Observations
F	CO01	Productive investment: Number of enterprises receiving support	Enterprises		28,329.00			42,283.00			N/A
S	CO01	Productive investment: Number of enterprises receiving support	Enterprises		28,329.00			43,929.00			N/A
F	CO02	Productive investment: Number of enterprises receiving grants	Enterprises		18,886.00			16,901.00			N/A
S	CO02	Productive investment: Number of enterprises receiving grants	Enterprises		18,886.00			15,633.00			N/A
F	CO03	Productive investment: Number of enterprises receiving financial support other than grants	Enterprises		2,084.00			99.00			N/A
S	CO03	Productive investment: Number of enterprises receiving financial support other than grants	Enterprises		2,084.00			1,004.00			
F	CO04	Productive investment: Number of enterprises receiving non-financial support	Enterprises		7,359.00			26,990.00			N/A
S	CO04	Productive investment: Number of enterprises receiving non-financial support	Enterprises		7,359.00			28,162.00			N/A
F	CO05	Productive investment: Number of new enterprises supported	Enterprises		9,007.00			3,426.00			N/A
S	CO05	Productive investment: Number of new enterprises supported	Enterprises		9,007.00			6,469.00			N/A
F	CO06	Productive investment: Private investment matching public support to enterprises (grants)	EUR		81,390,713.00			361,690,517.00			N/A
S	CO06	Productive investment: Private investment matching public support to enterprises (grants)	EUR		81,390,713.00			192,143,639.00			N/A
F	CO07	Productive investment: Private investment matching public support to enterprises (non-grants)	EUR		83,436,167.00			17,686,704.00			N/A
S	CO07	Productive investment: Private investment matching public support to enterprises (non-grants)	EUR		83,436,167.00			486,021,758.00			
F	CO08	Productive investment: Employment increase in supported enterprises	Full time equivalents		11,777.00			23,147.00			N/A
S	CO08	Productive investment: Employment increase in supported enterprises	Full time equivalents		11,777.00			27,845.00			
F	CO29	Research, Innovation: Number of enterprises supported to introduce new to the firm products	Enterprises		4,533.00			2,441.00			N/A
S	CO29	Research, Innovation: Number of enterprises supported to introduce new to the firm products	Enterprises		4,533.00			4,427.00			N/A
F	P13	Number of enterprises receiving information, diagnostic and brokerage	Enterprises		2,816.00			24,618.00			
S	P13	Number of enterprises receiving information, diagnostic and brokerage	Enterprises		2,816.00			22,853.00			
F	P2	Public or commercial buildings built or renovated	Square metres		3,504.00			32,760.00			N/A
S	P2	Public or commercial buildings built or renovated	Square metres		3,504.00			75,402.00			

(1) S=Cumulative value — outputs to be delivered by selected operations [forecast provided by beneficiaries], F=Cumulative value — outputs delivered by operations [actual achievement]

(1)	ID	Indicator	2021 Total	2021 Men	2021 Women	2020 Total	2020 Men	2020 Women	2019 Total	2019 Men	2019 Women
F	CO01	Productive investment: Number of enterprises receiving support	33,667.00			25,534.00			17,924.00		
S	CO01	Productive investment: Number of enterprises receiving support	42,960.00			40,529.00			25,156.00		
F	CO02	Productive investment: Number of enterprises receiving grants	12,395.00			7,766.00			5,454.00		
S	CO02	Productive investment: Number of enterprises receiving grants	14,946.00			13,692.00			7,386.00		
F	CO03	Productive investment: Number of enterprises receiving financial support other than grants	124.00			48.00			10.00		
S	CO03	Productive investment: Number of enterprises receiving financial support other than grants	1,052.00			1,025.00			505.00		
F	CO04	Productive investment: Number of enterprises receiving non-financial support	21,057.00			16,612.00			12,062.00		
S	CO04	Productive investment: Number of enterprises receiving non-financial support	27,275.00			25,362.00			17,255.00		
F	CO05	Productive investment: Number of new enterprises supported	2,666.00			2,405.00			1,602.00		
S	CO05	Productive investment: Number of new enterprises supported	6,380.00			6,305.00			4,196.00		
F	CO06	Productive investment: Private investment matching public support to enterprises (grants)	311,483,851.00			278,003,686.00			216,642,212.00		
S	CO06	Productive investment: Private investment matching public support to enterprises (grants)	186,001,463.00			168,760,096.00			87,409,106.00		
F	CO07	Productive investment: Private investment matching public support to enterprises (non-grants)	119,175,595.00			55,753,766.00			34,536,787.33		
S	CO07	Productive investment: Private investment matching public support to enterprises (non-grants)	144,896,300.00			133,211,562.00			70,293,714.00		
F	CO08	Productive investment: Employment increase in supported enterprises	17,014.00			13,242.00			9,777.00		
S	CO08	Productive investment: Employment increase in supported enterprises	27,105.00			26,681.00			17,118.00		
F	CO29	Research, Innovation: Number of enterprises supported to introduce new to the firm products	1,718.00			1,129.00			700.00		
S	CO29	Research, Innovation: Number of enterprises supported to introduce new to the firm products	4,223.00			4,805.00			2,829.00		
F	P13	Number of enterprises receiving information, diagnostic and brokerage	20,142.00			14,038.00			9,720.00		
S	P13	Number of enterprises receiving information, diagnostic and brokerage	21,941.00			20,605.00			13,719.00		
F	P2	Public or commercial buildings built or renovated	23,168.00			22,125.00			21,462.00		
S	P2	Public or commercial buildings built or renovated	71,720.00			62,564.00			34,089.00		

(1)	ID	Indicator	2018 Total	2018 Men	2018 Women	2017 Total	2017 Men	2017 Women	2016 Total	2016 Men	2016 Women
F	CO01	Productive investment: Number of enterprises receiving support	8,784.00			4,882.00			299.00		
S	CO01	Productive investment: Number of enterprises receiving support	23,716.00			21,040.00			18,874.00		
F	CO02	Productive investment: Number of enterprises receiving grants	3,859.00			909.00			197.00		
S	CO02	Productive investment: Number of enterprises receiving grants	6,471.00			5,943.00					
F	CO03	Productive investment: Number of enterprises receiving financial support other than grants	11.00			0.00			0.00		
S	CO03	Productive investment: Number of enterprises receiving financial support other than grants	2,151.00			1,321.00			0.00		
F	CO04	Productive investment: Number of enterprises receiving non-financial support	8,091.00			986.00			74.00		
S	CO04	Productive investment: Number of enterprises receiving non-financial support	7,604.00			12,713.00			5,284.00		
F	CO05	Productive investment: Number of new enterprises supported	1,021.00			682.00			47.00		
S	CO05	Productive investment: Number of new enterprises supported	3,858.00			4,247.00			3,020.00		
F	CO06	Productive investment: Private investment matching public support to enterprises (grants)	118,473,220.00			11,657,249.00					
S	CO06	Productive investment: Private investment matching public support to enterprises (grants)	77,042,341.00			62,599,434.00			719,374.00		
F	CO07	Productive investment: Private investment matching public support to enterprises (non-	0.00			0.00			0.00		

(1)	ID	Indicator	2018 Total	2018 Men	2018 Women	2017 Total	2017 Men	2017 Women	2016 Total	2016 Men	2016 Women
		grants)									
S	CO07	Productive investment: Private investment matching public support to enterprises (non-grants)	279,406,291.00			264,156,733.00			0.00		
F	CO08	Productive investment: Employment increase in supported enterprises	6,251.00	772.00	341.00	1,340.00	169.00	107.00			
S	CO08	Productive investment: Employment increase in supported enterprises	17,977.00			15,700.00			5.00		
F	CO29	Research, Innovation: Number of enterprises supported to introduce new to the firm products	647.00			247.00					
S	CO29	Research, Innovation: Number of enterprises supported to introduce new to the firm products	2,257.00			2,073.00					
F	P13	Number of enterprises receiving information, diagnostic and brokerage	10,209.50			9,089.00					
S	P13	Number of enterprises receiving information, diagnostic and brokerage	14,337.00			12,882.00					
F	P2	Public or commercial buildings built or renovated	12,436.00			152.00			0.00		
S	P2	Public or commercial buildings built or renovated	38,565.00			34,227.00			0.00		

(1)	ID	Indicator	2015 Total	2015 Men	2015 Women	2014 Total	2014 Men	2014 Women
F	CO01	Productive investment: Number of enterprises receiving support	0.00					
S	CO01	Productive investment: Number of enterprises receiving support						
F	CO02	Productive investment: Number of enterprises receiving grants						
S	CO02	Productive investment: Number of enterprises receiving grants						
F	CO03	Productive investment: Number of enterprises receiving financial support other than grants	0.00			0.00		
S	CO03	Productive investment: Number of enterprises receiving financial support other than grants	0.00			0.00		
F	CO04	Productive investment: Number of enterprises receiving non-financial support						
S	CO04	Productive investment: Number of enterprises receiving non-financial support						
F	CO05	Productive investment: Number of new enterprises supported						
S	CO05	Productive investment: Number of new enterprises supported						
F	CO06	Productive investment: Private investment matching public support to enterprises (grants)						
S	CO06	Productive investment: Private investment matching public support to enterprises (grants)						
F	CO07	Productive investment: Private investment matching public support to enterprises (non-grants)	0.00			0.00		
S	CO07	Productive investment: Private investment matching public support to enterprises (non-grants)	0.00			0.00		
F	CO08	Productive investment: Employment increase in supported enterprises						
S	CO08	Productive investment: Employment increase in supported enterprises						
F	CO29	Research, Innovation: Number of enterprises supported to introduce new to the firm products						
S	CO29	Research, Innovation: Number of enterprises supported to introduce new to the firm products						
F	P13	Number of enterprises receiving information, diagnostic and brokerage						
S	P13	Number of enterprises receiving information, diagnostic and brokerage						
F	P2	Public or commercial buildings built or renovated	0.00			0.00		
S	P2	Public or commercial buildings built or renovated	0.00			0.00		

Priority axis	3 - Enhancing the Competitiveness of SMEs
Investment Priority	3d - Supporting the capacity of SMEs to grow in regional, national and international markets, and to engage in innovation processes
Specific objective	3.3 - Increase growth capability of SMEs

Table 1: Result indicators for the ERDF, the ERDF REACT-EU and the Cohesion Fund (by priority axis and specific objective); applies also to Technical Assistance priority axis

ID	Indicator	Measurement unit	Category of region	Baseline value	Baseline year	Target value 2023	2022 Total	2022 Qualitative	Observations
3.4	Number of small and medium sized jobs created	Full time equivalents		713,000	2014	2000000 jobs created in uk over the period of the programme		74,000	
3.5	Gap in productivity between SMEs and large companies productivity measured in terms of gross value added per employee	GPB		475	2014	Reduction in gap			

ID	Indicator	2021 Total	2021 Qualitative	2020 Total	2020 Qualitative	2019 Total	2019 Qualitative	2018 Total	2018 Qualitative
3.4	Number of small and medium sized jobs created		-335,500		65,800		182,500		
3.5	Gap in productivity between SMEs and large companies productivity measured in terms of gross value added per employee								13,200

ID	Indicator	2017 Total	2017 Qualitative	2016 Total	2016 Qualitative	2015 Total	2015 Qualitative	2014 Total	2014 Qualitative
3.4	Number of small and medium sized jobs created		179,500		96,000		136,900		303,100
3.5	Gap in productivity between SMEs and large companies productivity measured in terms of gross value added per employee		12,800		15,825		9,864		



Priority axis	4 - Supporting the Shift Towards a Low Carbon Economy in all Sectors
Investment Priority	4a - Promoting the production and distribution of energy derived from renewable sources

**Table 3A: Common and programme specific output indicators for the ERDF, the ERDF REACT-EU and the Cohesion Fund (by priority axis, investment priority, broken down by category of region for the ERDF) - 4 / 4a**

(1)	ID	Indicator	Measurement unit	Category region	of	Target value (2023) total	Target value (2023) men	Target value (2023) women	2022 Total	2022 Men	2022 Women	Observations
F	CO01	Productive investment: Number of enterprises receiving support	Enterprises			939.00			578.00			N/A
S	CO01	Productive investment: Number of enterprises receiving support	Enterprises			939.00			813.00			
F	CO05	Productive investment: Number of new enterprises supported	Enterprises			188.00			36.00			N/A
S	CO05	Productive investment: Number of new enterprises supported	Enterprises			188.00			288.00			N/A
F	CO30	Renewables: Additional capacity of renewable energy production	MW			121.00			22.00			N/A
S	CO30	Renewables: Additional capacity of renewable energy production	MW			121.00			1,388.00			N/A
F	CO34	GHG reduction: Estimated annual decrease of GHG	Tonnes of CO2eq			74,525.00			12,751.00			
S	CO34	GHG reduction: Estimated annual decrease of GHG	Tonnes of CO2eq			74,525.00			93,196.00			

(1) S=Cumulative value — outputs to be delivered by selected operations [forecast provided by beneficiaries], F=Cumulative value — outputs delivered by operations [actual achievement]

(1)	ID	Indicator	2021 Total	2021 Men	2021 Women	2020 Total	2020 Men	2020 Women	2019 Total	2019 Men	2019 Women
F	CO01	Productive investment: Number of enterprises receiving support	533.00			401.00			279.00		
S	CO01	Productive investment: Number of enterprises receiving support	821.00			665.00			427.00		
F	CO05	Productive investment: Number of new enterprises supported	35.00			35.00			24.00		
S	CO05	Productive investment: Number of new enterprises supported	286.00			226.00			104.00		
F	CO30	Renewables: Additional capacity of renewable energy production	19.00			12.00			0.00		
S	CO30	Renewables: Additional capacity of renewable energy production	428.00			73.00			0.00		
F	CO34	GHG reduction: Estimated annual decrease of GHG	11,751.00			605.00			264.00		
S	CO34	GHG reduction: Estimated annual decrease of GHG	205,067.00			71,686.00			35,574.00		

(1)	ID	Indicator	2018 Total	2018 Men	2018 Women	2017 Total	2017 Men	2017 Women	2016 Total	2016 Men	2016 Women
F	CO01	Productive investment: Number of enterprises receiving support	168.00			48.00					
S	CO01	Productive investment: Number of enterprises receiving support	429.00			390.00					
F	CO05	Productive investment: Number of new enterprises supported	15.00			0.00			0.00		
S	CO05	Productive investment: Number of new enterprises supported	147.00			127.00			0.00		
F	CO30	Renewables: Additional capacity of renewable energy production	329.00			25.00					
S	CO30	Renewables: Additional capacity of renewable energy production	86.00			13.76					
F	CO34	GHG reduction: Estimated annual decrease of GHG	362.30			8.00			0.00		
S	CO34	GHG reduction: Estimated annual decrease of GHG	168,823.00			83,824.00			29,142.00		

(1)	ID	Indicator	2015 Total	2015 Men	2015 Women	2014 Total	2014 Men	2014 Women
F	CO01	Productive investment: Number of enterprises receiving support						
S	CO01	Productive investment: Number of enterprises receiving support						
F	CO05	Productive investment: Number of new enterprises supported	0.00			0.00		
S	CO05	Productive investment: Number of new enterprises supported	0.00			0.00		
F	CO30	Renewables: Additional capacity of renewable energy production						
S	CO30	Renewables: Additional capacity of renewable energy production						
F	CO34	GHG reduction: Estimated annual decrease of GHG	0.00			0.00		
S	CO34	GHG reduction: Estimated annual decrease of GHG	0.00			0.00		

Priority axis	4 - Supporting the Shift Towards a Low Carbon Economy in all Sectors
Investment Priority	4a - Promoting the production and distribution of energy derived from renewable sources
Specific objective	4.1 - Increase the number of small scale renewable energy schemes in England

Table 1: Result indicators for the ERDF, the ERDF REACT-EU and the Cohesion Fund (by priority axis and specific objective); applies also to Technical Assistance priority axis

ID	Indicator	Measurement unit	Category of region	Baseline value	Baseline year	Target value 2023	2022 Total	2022 Qualitative	Observations
4.1	Number of sites generating electricity from renewable sources (excluding PV)	Number		4,095	2013	20-40 additional sites in England			

ID	Indicator	2021 Total	2021 Qualitative	2020 Total	2020 Qualitative	2019 Total	2019 Qualitative	2018 Total	2018 Qualitative
4.1	Number of sites generating electricity from renewable sources (excluding PV)		5,794		5,775				5,706

ID	Indicator	2017 Total	2017 Qualitative	2016 Total	2016 Qualitative	2015 Total	2015 Qualitative	2014 Total	2014 Qualitative
4.1	Number of sites generating electricity from renewable sources (excluding PV)		5,643		5,490		5,244		4,742

Priority axis	4 - Supporting the Shift Towards a Low Carbon Economy in all Sectors
Investment Priority	4b - Promoting energy efficiency and renewable energy use in enterprises

**Table 3A: Common and programme specific output indicators for the ERDF, the ERDF REACT-EU and the Cohesion Fund (by priority axis, investment priority, broken down by category of region for the ERDF) - 4 / 4b**

(1)	ID	Indicator	Measurement unit	Category of region	Target value (2023) total	Target value (2023) men	Target value (2023) women	2022 Total	2022 Men	2022 Women	Observations
F	CO01	Productive investment: Number of enterprises receiving support	Enterprises		11,248.00			7,429.00			
S	CO01	Productive investment: Number of enterprises receiving support	Enterprises		11,248.00			9,738.00			N/A
F	CO34	GHG reduction: Estimated annual decrease of GHG	Tonnes of CO2eq		60,975.00			66,343.00			
S	CO34	GHG reduction: Estimated annual decrease of GHG	Tonnes of CO2eq		60,975.00			76,251.00			

(1) S=Cumulative value — outputs to be delivered by selected operations [forecast provided by beneficiaries], F=Cumulative value — outputs delivered by operations [actual achievement]

(1)	ID	Indicator	2021 Total	2021 Men	2021 Women	2020 Total	2020 Men	2020 Women	2019 Total	2019 Men	2019 Women
F	CO01	Productive investment: Number of enterprises receiving support	5,759.00			4,480.00			2,809.00		
S	CO01	Productive investment: Number of enterprises receiving support	9,829.00			7,965.00			5,015.00		
F	CO34	GHG reduction: Estimated annual decrease of GHG	46,307.00			29,497.00			21,462.00		
S	CO34	GHG reduction: Estimated annual decrease of GHG	167,782.00			58,652.00			29,106.00		

(1)	ID	Indicator	2018 Total	2018 Men	2018 Women	2017 Total	2017 Men	2017 Women	2016 Total	2016 Men	2016 Women
F	CO01	Productive investment: Number of enterprises receiving support	1,739.00			400.00			0.00		
S	CO01	Productive investment: Number of enterprises receiving support	5,042.00			4,585.00					
F	CO34	GHG reduction: Estimated annual decrease of GHG	132,596.73			1,352.00					
S	CO34	GHG reduction: Estimated annual decrease of GHG	59,088.00			33,846.00			23,844.00		

(1)	ID	Indicator	2015 Total	2015 Men	2015 Women	2014 Total	2014 Men	2014 Women
F	CO01	Productive investment: Number of enterprises receiving support						
S	CO01	Productive investment: Number of enterprises receiving support						
F	CO34	GHG reduction: Estimated annual decrease of GHG						
S	CO34	GHG reduction: Estimated annual decrease of GHG						

Priority axis	4 - Supporting the Shift Towards a Low Carbon Economy in all Sectors
Investment Priority	4b - Promoting energy efficiency and renewable energy use in enterprises
Specific objective	4.2 - Increase energy efficiency in particular in SMEs, including through the implementation of low carbon technologies

Table 1: Result indicators for the ERDF, the ERDF REACT-EU and the Cohesion Fund (by priority axis and specific objective); applies also to Technical Assistance priority axis

ID	Indicator	Measurement unit	Category of region	Baseline value	Baseline year	Target value 2023	2022 Total	2022 Qualitative	Observations
4.2	SMEs that have no methods to measure energy efficiency	Percentage		23	2013	0			

ID	Indicator	2021 Total	2021 Qualitative	2020 Total	2020 Qualitative	2019 Total	2019 Qualitative	2018 Total	2018 Qualitative
4.2	SMEs that have no methods to measure energy efficiency								

ID	Indicator	2017 Total	2017 Qualitative	2016 Total	2016 Qualitative	2015 Total	2015 Qualitative	2014 Total	2014 Qualitative
4.2	SMEs that have no methods to measure energy efficiency		0						

Priority axis	4 - Supporting the Shift Towards a Low Carbon Economy in all Sectors
Investment Priority	4c - Supporting energy efficiency, smart energy management and renewable energy use in public infrastructure, including in public buildings, and in the housing sector

**Table 3A: Common and programme specific output indicators for the ERDF, the ERDF REACT-EU and the Cohesion Fund (by priority axis, investment priority, broken down by category of region for the ERDF) - 4 / 4c**

(1)	ID	Indicator	Measurement unit	Category of region	Target value (2023) total	Target value (2023) men	Target value (2023) women	2022 Total	2022 Men	2022 Women	Observations
F	CO31	Energy efficiency: Number of households with improved energy consumption classification	Households		5,340.00			3,217.00			N/A
S	CO31	Energy efficiency: Number of households with improved energy consumption classification	Households		5,340.00			11,326.00			N/A
F	CO32	Energy efficiency: Decrease of annual primary energy consumption of public buildings	kWh/year		5,153,972.00			110,920,636.00			N/A
S	CO32	Energy efficiency: Decrease of annual primary energy consumption of public buildings	kWh/year		5,153,972.00			182,272,765.00			N/A
F	CO34	GHG reduction: Estimated annual decrease of GHG	Tonnes of CO2eq		84,688.00			45,220.00			N/A
S	CO34	GHG reduction: Estimated annual decrease of GHG	Tonnes of CO2eq		84,688.00			105,905.00			N/A

(1) S=Cumulative value — outputs to be delivered by selected operations [forecast provided by beneficiaries], F=Cumulative value — outputs delivered by operations [actual achievement]

(1)	ID	Indicator	2021 Total	2021 Men	2021 Women	2020 Total	2020 Men	2020 Women	2019 Total	2019 Men	2019 Women
F	CO31	Energy efficiency: Number of households with improved energy consumption classification	1,814.00			1,376.00			189.00		
S	CO31	Energy efficiency: Number of households with improved energy consumption classification	9,912.00			8,006.00			4,868.00		
F	CO32	Energy efficiency: Decrease of annual primary energy consumption of public buildings	57,133,179.00			53,289,488.00			24,010,865.00		
S	CO32	Energy efficiency: Decrease of annual primary energy consumption of public buildings	171,494,936.00			164,797,468.00			84,309,080.00		
F	CO34	GHG reduction: Estimated annual decrease of GHG	32,629.00			30,258.00			10,103.00		
S	CO34	GHG reduction: Estimated annual decrease of GHG	233,033.00			81,462.00			40,425.00		

(1)	ID	Indicator	2018 Total	2018 Men	2018 Women	2017 Total	2017 Men	2017 Women	2016 Total	2016 Men	2016 Women
F	CO31	Energy efficiency: Number of households with improved energy consumption classification	0.00			0.00			0.00		
S	CO31	Energy efficiency: Number of households with improved energy consumption classification	4,844.00			2,401.00			0.00		
F	CO32	Energy efficiency: Decrease of annual primary energy consumption of public buildings	48,697.00			0.00			0.00		
S	CO32	Energy efficiency: Decrease of annual primary energy consumption of public buildings	82,027,325.00			72,517,812.00			0.00		
F	CO34	GHG reduction: Estimated annual decrease of GHG	40.59			0.00			0.00		
S	CO34	GHG reduction: Estimated annual decrease of GHG	80,191.00			95,255.00			33,116.00		

(1)	ID	Indicator	2015 Total	2015 Men	2015 Women	2014 Total	2014 Men	2014 Women
F	CO31	Energy efficiency: Number of households with improved energy consumption classification	0.00			0.00		
S	CO31	Energy efficiency: Number of households with improved energy consumption classification	0.00			0.00		
F	CO32	Energy efficiency: Decrease of annual primary energy consumption of public buildings	0.00			0.00		

(1)	ID	Indicator	2015 Total	2015 Men	2015 Women	2014 Total	2014 Men	2014 Women
S	CO32	Energy efficiency: Decrease of annual primary energy consumption of public buildings	0.00			0.00		
F	CO34	GHG reduction: Estimated annual decrease of GHG	0.00			0.00		
S	CO34	GHG reduction: Estimated annual decrease of GHG	0.00			0.00		

Priority axis	4 - Supporting the Shift Towards a Low Carbon Economy in all Sectors
Investment Priority	4c - Supporting energy efficiency, smart energy management and renewable energy use in public infrastructure, including in public buildings, and in the housing sector
Specific objective	4.3 - Increase energy efficiency in homes and public buildings, including through the implementation of low carbon technologies

Table 1: Result indicators for the ERDF, the ERDF REACT-EU and the Cohesion Fund (by priority axis and specific objective); applies also to Technical Assistance priority axis

ID	Indicator	Measurement unit	Category of region	Baseline value	Baseline year	Target value 2023	2022 Total	2022 Qualitative	Observations
4.3	Index of Domestic energy consumption per household (1990 =100)	Number		105.2	2012	Average reduction of 2 points each year in UK, taking account of service demand			

ID	Indicator	2021 Total	2021 Qualitative	2020 Total	2020 Qualitative	2019 Total	2019 Qualitative	2018 Total	2018 Qualitative
4.3	Index of Domestic energy consumption per household (1990 =100)		76.2		73.6		77.3		78.6

ID	Indicator	2017 Total	2017 Qualitative	2016 Total	2016 Qualitative	2015 Total	2015 Qualitative	2014 Total	2014 Qualitative
4.3	Index of Domestic energy consumption per household (1990 =100)		76.2		79		77.6		75.3



Priority axis	4 - Supporting the Shift Towards a Low Carbon Economy in all Sectors
Investment Priority	4e - Promoting low-carbon strategies for all types of territories, in particular for urban areas, including the promotion of sustainable multimodal urban mobility and mitigation-relevant adaptation measures

**Table 3A: Common and programme specific output indicators for the ERDF, the ERDF REACT-EU and the Cohesion Fund (by priority axis, investment priority, broken down by category of region for the ERDF) - 4 / 4e**

(1)	ID	Indicator	Measurement unit	Category of region	Target value (2023) total	Target value (2023) men	Target value (2023) women	2022 Total	2022 Men	2022 Women	Observations
F	CO01	Productive investment: Number of enterprises receiving support	Enterprises		9,219.00			4.00			N/A
S	CO01	Productive investment: Number of enterprises receiving support	Enterprises		9,219.00			7,981.00			
F	CO34	GHG reduction: Estimated annual decrease of GHG	Tonnes of CO2eq		84,688.00			1,641.00			N/A
S	CO34	GHG reduction: Estimated annual decrease of GHG	Tonnes of CO2eq		84,688.00			105,905.00			

(1) S=Cumulative value — outputs to be delivered by selected operations [forecast provided by beneficiaries], F=Cumulative value — outputs delivered by operations [actual achievement]

(1)	ID	Indicator	2021 Total	2021 Men	2021 Women	2020 Total	2020 Men	2020 Women	2019 Total	2019 Men	2019 Women
F	CO01	Productive investment: Number of enterprises receiving support	4.00			3.00			0.00		
S	CO01	Productive investment: Number of enterprises receiving support	8,056.00			6,528.00			4,161.00		
F	CO34	GHG reduction: Estimated annual decrease of GHG	363.00			255.00			0.00		
S	CO34	GHG reduction: Estimated annual decrease of GHG	233,033.00			81,462.00			40,425.00		

(1)	ID	Indicator	2018 Total	2018 Men	2018 Women	2017 Total	2017 Men	2017 Women	2016 Total	2016 Men	2016 Women
F	CO01	Productive investment: Number of enterprises receiving support	0.00			0.00			0.00		
S	CO01	Productive investment: Number of enterprises receiving support	4,183.00			3,804.00			0.00		
F	CO34	GHG reduction: Estimated annual decrease of GHG	0.00			0.00			0.00		
S	CO34	GHG reduction: Estimated annual decrease of GHG	80,191.00			92,255.00			33,116.00		

(1)	ID	Indicator	2015 Total	2015 Men	2015 Women	2014 Total	2014 Men	2014 Women
F	CO01	Productive investment: Number of enterprises receiving support	0.00			0.00		
S	CO01	Productive investment: Number of enterprises receiving support	0.00			0.00		
F	CO34	GHG reduction: Estimated annual decrease of GHG	0.00			0.00		
S	CO34	GHG reduction: Estimated annual decrease of GHG	0.00			0.00		

Priority axis	4 - Supporting the Shift Towards a Low Carbon Economy in all Sectors
Investment Priority	4e - Promoting low-carbon strategies for all types of territories, in particular for urban areas, including the promotion of sustainable multimodal urban mobility and mitigation-relevant adaptation measures
Specific objective	4.4 - Increase implementation of whole place low carbon solutions and decentralised energy measures.

Table 1: Result indicators for the ERDF, the ERDF REACT-EU and the Cohesion Fund (by priority axis and specific objective); applies also to Technical Assistance priority axis

ID	Indicator	Measurement unit	Category of region	Baseline value	Baseline year	Target value 2023	2022 Total	2022 Qualitative	Observations
4.4	Reduction in carbon emissions in areas with low carbon strategies	Tonnes		To be established in each low carbon strategy	2012	Decrease			There has been no expenditure on the programme in the reporting period and therefore no impact on the result measures

ID	Indicator	2021 Total	2021 Qualitative	2020 Total	2020 Qualitative	2019 Total	2019 Qualitative	2018 Total	2018 Qualitative
4.4	Reduction in carbon emissions in areas with low carbon strategies								

ID	Indicator	2017 Total	2017 Qualitative	2016 Total	2016 Qualitative	2015 Total	2015 Qualitative	2014 Total	2014 Qualitative
4.4	Reduction in carbon emissions in areas with low carbon strategies		0						

Priority axis	4 - Supporting the Shift Towards a Low Carbon Economy in all Sectors
Investment Priority	4f - Promoting research and innovation in, and adoption of, low-carbon technologies

**Table 3A: Common and programme specific output indicators for the ERDF, the ERDF REACT-EU and the Cohesion Fund (by priority axis, investment priority, broken down by category of region for the ERDF) - 4 / 4f**

(1)	ID	Indicator	Measurement unit	Category of region	Target value (2023) total	Target value (2023) men	Target value (2023) women	2022 Total	2022 Men	2022 Women	Observations
F	CO01	Productive investment: Number of enterprises receiving support	Enterprises		2,261.00			3,542.00			N/A
S	CO01	Productive investment: Number of enterprises receiving support	Enterprises		2,261.00			1,957.00			
F	CO05	Productive investment: Number of new enterprises supported	Enterprises		452.00			461.00			N/A
S	CO05	Productive investment: Number of new enterprises supported	Enterprises		452.00			639.00			
F	CO26	Research, Innovation: Number of enterprises cooperating with research institutions	Enterprises		219.00			1,229.00			N/A
S	CO26	Research, Innovation: Number of enterprises cooperating with research institutions	Enterprises		219.00			2,068.00			N/A
F	CO29	Research, Innovation: Number of enterprises supported to introduce new to the firm products	Enterprises		361.00			672.00			N/A
S	CO29	Research, Innovation: Number of enterprises supported to introduce new to the firm products	Enterprises		361.00			1,503.00			
F	CO34	GHG reduction: Estimated annual decrease of GHG	Tonnes of CO2eq		33,875.00			68,257.00			N/A
S	CO34	GHG reduction: Estimated annual decrease of GHG	Tonnes of CO2eq		33,875.00			42,362.00			Reduction on 2018 achievement as a result of a data cleansing exercise

(1) S=Cumulative value — outputs to be delivered by selected operations [forecast provided by beneficiaries], F=Cumulative value — outputs delivered by operations [actual achievement]

(1)	ID	Indicator	2021 Total	2021 Men	2021 Women	2020 Total	2020 Men	2020 Women	2019 Total	2019 Men	2019 Women
F	CO01	Productive investment: Number of enterprises receiving support	2,759.00			2,096.00			1,124.00		
S	CO01	Productive investment: Number of enterprises receiving support	1,976.00			1,601.00			1,067.00		
F	CO05	Productive investment: Number of new enterprises supported	335.00			285.00			156.00		
S	CO05	Productive investment: Number of new enterprises supported	687.00			545.00			254.00		
F	CO26	Research, Innovation: Number of enterprises cooperating with research institutions	905.00			631.00			401.00		
S	CO26	Research, Innovation: Number of enterprises cooperating with research institutions	2,099.00			1,622.00			920.00		
F	CO29	Research, Innovation: Number of enterprises supported to introduce new to the firm products	429.00			274.00			144.00		
S	CO29	Research, Innovation: Number of enterprises supported to introduce new to the firm products	1,576.00			1,163.00			661.00		
F	CO34	GHG reduction: Estimated annual decrease of GHG	48,367.00			19,559.00			16,193.00		
S	CO34	GHG reduction: Estimated annual decrease of GHG	93,212.00			32,585.00			16,171.00		

(1)	ID	Indicator	2018 Total	2018 Men	2018 Women	2017 Total	2017 Men	2017 Women	2016 Total	2016 Men	2016 Women
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(1)	ID	Indicator	2018 Total	2018 Men	2018 Women	2017 Total	2017 Men	2017 Women	2016 Total	2016 Men	2016 Women
F	CO01	Productive investment: Number of enterprises receiving support	537.00			143.00			0.00		
S	CO01	Productive investment: Number of enterprises receiving support	1,073.00			975.00					
F	CO05	Productive investment: Number of new enterprises supported	116.00			18.00					
S	CO05	Productive investment: Number of new enterprises supported	342.00			296.00					
F	CO26	Research, Innovation: Number of enterprises cooperating with research institutions	351.00			68.00					
S	CO26	Research, Innovation: Number of enterprises cooperating with research institutions	4,433.00			919.00					
F	CO29	Research, Innovation: Number of enterprises supported to introduce new to the firm products	98.00			0.00	0.00	0.00	0.00	0.00	0.00
S	CO29	Research, Innovation: Number of enterprises supported to introduce new to the firm products	700.00			618.00	0.00	0.00	0.00	0.00	0.00
F	CO34	GHG reduction: Estimated annual decrease of GHG	15,972.00			497.00					
S	CO34	GHG reduction: Estimated annual decrease of GHG	35,451.00			38,102.00			13,247.00		

(1)	ID	Indicator	2015 Total	2015 Men	2015 Women	2014 Total	2014 Men	2014 Women
F	CO01	Productive investment: Number of enterprises receiving support						
S	CO01	Productive investment: Number of enterprises receiving support						
F	CO05	Productive investment: Number of new enterprises supported						
S	CO05	Productive investment: Number of new enterprises supported						
F	CO26	Research, Innovation: Number of enterprises cooperating with research institutions						
S	CO26	Research, Innovation: Number of enterprises cooperating with research institutions						
F	CO29	Research, Innovation: Number of enterprises supported to introduce new to the firm products	0.00	0.00	0.00	0.00	0.00	0.00
S	CO29	Research, Innovation: Number of enterprises supported to introduce new to the firm products	0.00	0.00	0.00	0.00		0.00
F	CO34	GHG reduction: Estimated annual decrease of GHG						
S	CO34	GHG reduction: Estimated annual decrease of GHG						

Priority axis	4 - Supporting the Shift Towards a Low Carbon Economy in all Sectors
Investment Priority	4f - Promoting research and innovation in, and adoption of, low-carbon technologies
Specific objective	4.5 - Increase innovation in, and adoption of, low carbon technologies

Table 1: Result indicators for the ERDF, the ERDF REACT-EU and the Cohesion Fund (by priority axis and specific objective); applies also to Technical Assistance priority axis

ID	Indicator	Measurement unit	Category of region	Baseline value	Baseline year	Target value 2023	2022 Total	2022 Qualitative	Observations
4.5	Increase the percentage of firms in low carbon sectors who are innovation active.	Percentage		41.5	2013	Increase			

ID	Indicator	2021 Total	2021 Qualitative	2020 Total	2020 Qualitative	2019 Total	2019 Qualitative	2018 Total	2018 Qualitative
4.5	Increase the percentage of firms in low carbon sectors who are innovation active.						31.7		

ID	Indicator	2017 Total	2017 Qualitative	2016 Total	2016 Qualitative	2015 Total	2015 Qualitative	2014 Total	2014 Qualitative
4.5	Increase the percentage of firms in low carbon sectors who are innovation active.		42.8				46.8		

Priority axis	5 - Promoting Climate Change Adaptation, Risk Prevention and Management
Investment Priority	5b - Promoting investment to address specific risks, ensuring disaster resilience and developing disaster management systems

**Table 3A: Common and programme specific output indicators for the ERDF, the ERDF REACT-EU and the Cohesion Fund (by priority axis, investment priority, broken down by category of region for the ERDF) - 5 / 5b**

(1)	ID	Indicator	Measurement unit	Category of region	Target value (2023) total	Target value (2023) men	Target value (2023) women	2022 Total	2022 Men	2022 Women	Observations
F	CO23	Nature and biodiversity: Surface area of habitats supported to attain a better conservation status	Hectares		23.00			12.00			N/A
S	CO23	Nature and biodiversity: Surface area of habitats supported to attain a better conservation status	Hectares		23.00			146.00			N/A
F	P6	Businesses and properties with reduced flood risk	Number		7,766.00			2,297.00			N/A
S	P6	Businesses and properties with reduced flood risk	Number		7,766.00			8,087.00			N/A

(1) S=Cumulative value — outputs to be delivered by selected operations [forecast provided by beneficiaries], F=Cumulative value — outputs delivered by operations [actual achievement]

(1)	ID	Indicator	2021 Total	2021 Men	2021 Women	2020 Total	2020 Men	2020 Women	2019 Total	2019 Men	2019 Women
F	CO23	Nature and biodiversity: Surface area of habitats supported to attain a better conservation status	11.00			10.00			10.00		
S	CO23	Nature and biodiversity: Surface area of habitats supported to attain a better conservation status	175.00			283.00			73.00		
F	P6	Businesses and properties with reduced flood risk	576.00			376.00			351.00		
S	P6	Businesses and properties with reduced flood risk	9,278.00			8,878.00			6,706.00		

(1)	ID	Indicator	2018 Total	2018 Men	2018 Women	2017 Total	2017 Men	2017 Women	2016 Total	2016 Men	2016 Women
F	CO23	Nature and biodiversity: Surface area of habitats supported to attain a better conservation status	0.00			0.00			0.00		
S	CO23	Nature and biodiversity: Surface area of habitats supported to attain a better conservation status	40.00			10.00			0.00		
F	P6	Businesses and properties with reduced flood risk	0.00			0.00			0.00		
S	P6	Businesses and properties with reduced flood risk	5,623.00			4,325.00			2,065.00		

(1)	ID	Indicator	2015 Total	2015 Men	2015 Women	2014 Total	2014 Men	2014 Women
F	CO23	Nature and biodiversity: Surface area of habitats supported to attain a better conservation status	0.00			0.00		
S	CO23	Nature and biodiversity: Surface area of habitats supported to attain a better conservation status	0.00			0.00		
F	P6	Businesses and properties with reduced flood risk	0.00			0.00		
S	P6	Businesses and properties with reduced flood risk	0.00			0.00		

Priority axis	5 - Promoting Climate Change Adaptation, Risk Prevention and Management
Investment Priority	5b - Promoting investment to address specific risks, ensuring disaster resilience and developing disaster management systems
Specific objective	5.1 - Enabling and protecting economic development potential through investment in flood and coastal flooding management where there is demonstrable market failure

Table 1: Result indicators for the ERDF, the ERDF REACT-EU and the Cohesion Fund (by priority axis and specific objective); applies also to Technical Assistance priority axis

ID	Indicator	Measurement unit	Category of region	Baseline value	Baseline year	Target value 2023	2022 Total	2022 Qualitative	Observations
5.1	Number of non-residential properties better protected from flood and coastal risks	Number		0.00	2014	7,000.00			

ID	Indicator	2021 Total	2021 Qualitative	2020 Total	2020 Qualitative	2019 Total	2019 Qualitative	2018 Total	2018 Qualitative
5.1	Number of non-residential properties better protected from flood and coastal risks								

ID	Indicator	2017 Total	2017 Qualitative	2016 Total	2016 Qualitative	2015 Total	2015 Qualitative	2014 Total	2014 Qualitative
5.1	Number of non-residential properties better protected from flood and coastal risks	0.00		0.00		0.00		0.00	

Priority axis	6 - Preserving and Protecting the Environment and Promoting Resource Efficiency
Investment Priority	6d - Protecting and restoring biodiversity and soil and promoting ecosystem services, including through Natura 2000, and green infrastructure

**Table 3A: Common and programme specific output indicators for the ERDF, the ERDF REACT-EU and the Cohesion Fund (by priority axis, investment priority, broken down by category of region for the ERDF) - 6 / 6d**

(1)	ID	Indicator	Measurement unit	Category of region	Target value (2023) total	Target value (2023) men	Target value (2023) women	2022 Total	2022 Men	2022 Women	Observations
F	CO22	Land rehabilitation: Total surface area of rehabilitated land	Hectares		24.00			56.00			N/A
S	CO22	Land rehabilitation: Total surface area of rehabilitated land	Hectares		24.00			413.00			N/A
F	CO23	Nature and biodiversity: Surface area of habitats supported to attain a better conservation status	Hectares		1,396.00			961.00			N/A
S	CO23	Nature and biodiversity: Surface area of habitats supported to attain a better conservation status	Hectares		1,396.00			3,021.00			N/A

(1) S=Cumulative value — outputs to be delivered by selected operations [forecast provided by beneficiaries], F=Cumulative value — outputs delivered by operations [actual achievement]

(1)	ID	Indicator	2021 Total	2021 Men	2021 Women	2020 Total	2020 Men	2020 Women	2019 Total	2019 Men	2019 Women
F	CO22	Land rehabilitation: Total surface area of rehabilitated land	31.00			28.00			23.00		
S	CO22	Land rehabilitation: Total surface area of rehabilitated land	95.16			62.00			0.00		
F	CO23	Nature and biodiversity: Surface area of habitats supported to attain a better conservation status	741.00			450.00			308.00		
S	CO23	Nature and biodiversity: Surface area of habitats supported to attain a better conservation status	3,672.00			2,938.00			1,908.06		

(1)	ID	Indicator	2018 Total	2018 Men	2018 Women	2017 Total	2017 Men	2017 Women	2016 Total	2016 Men	2016 Women
F	CO22	Land rehabilitation: Total surface area of rehabilitated land	8.40			0.00			0.00		
S	CO22	Land rehabilitation: Total surface area of rehabilitated land	113.86			10.00			0.00		
F	CO23	Nature and biodiversity: Surface area of habitats supported to attain a better conservation status	202.06			20.00					
S	CO23	Nature and biodiversity: Surface area of habitats supported to attain a better conservation status	1,693.00			621.00			249.00		

(1)	ID	Indicator	2015 Total	2015 Men	2015 Women	2014 Total	2014 Men	2014 Women
F	CO22	Land rehabilitation: Total surface area of rehabilitated land	0.00			0.00		
S	CO22	Land rehabilitation: Total surface area of rehabilitated land	0.00			0.00		
F	CO23	Nature and biodiversity: Surface area of habitats supported to attain a better conservation status						
S	CO23	Nature and biodiversity: Surface area of habitats supported to attain a better conservation status						



Priority axis	6 - Preserving and Protecting the Environment and Promoting Resource Efficiency
Investment Priority	6d - Protecting and restoring biodiversity and soil and promoting ecosystem services, including through Natura 2000, and green infrastructure
Specific objective	6.1 - Investments in Green and Blue infrastructure and actions that support the provision of ecosystem services on which businesses and communities depend to increase local natural capital and support sustainable economic growth

Table 1: Result indicators for the ERDF, the ERDF REACT-EU and the Cohesion Fund (by priority axis and specific objective); applies also to Technical Assistance priority axis

ID	Indicator	Measurement unit	Category of region	Baseline value	Baseline year	Target value 2023	2022 Total	2022 Qualitative	Observations
6.1	Increase of the area of green and blue infrastructure	Hectare		6,700 ha	2016	Increase of 1500			There has been no expenditure on the programme in the reporting period and therefore no impact on the result measures

ID	Indicator	2021 Total	2021 Qualitative	2020 Total	2020 Qualitative	2019 Total	2019 Qualitative	2018 Total	2018 Qualitative
6.1	Increase of the area of green and blue infrastructure								

ID	Indicator	2017 Total	2017 Qualitative	2016 Total	2016 Qualitative	2015 Total	2015 Qualitative	2014 Total	2014 Qualitative
6.1	Increase of the area of green and blue infrastructure		0						

Priority axis	6 - Preserving and Protecting the Environment and Promoting Resource Efficiency
Investment Priority	6f - Promoting innovative technologies to improve environmental protection and resource efficiency in the waste sector, water sector and with regard to soil, or to reduce air pollution

**Table 3A: Common and programme specific output indicators for the ERDF, the ERDF REACT-EU and the Cohesion Fund (by priority axis, investment priority, broken down by category of region for the ERDF) - 6 / 6f**

(1)	ID	Indicator	Measurement unit	Category of region	Target value (2023) total	Target value (2023) men	Target value (2023) women	2022 Total	2022 Men	2022 Women	Observations
F	CO01	Productive investment: Number of enterprises receiving support	Enterprises		1,548.00			749.00			N/A
S	CO01	Productive investment: Number of enterprises receiving support	Enterprises		1,548.00			966.00			
F	CO05	Productive investment: Number of new enterprises supported	Enterprises		310.00			109.00			N/A
S	CO05	Productive investment: Number of new enterprises supported	Enterprises		310.00			104.00			
F	CO29	Research, Innovation: Number of enterprises supported to introduce new to the firm products	Enterprises		248.00			156.00			N/A
S	CO29	Research, Innovation: Number of enterprises supported to introduce new to the firm products	Enterprises		248.00			219.00			

(1) S=Cumulative value — outputs to be delivered by selected operations [forecast provided by beneficiaries], F=Cumulative value — outputs delivered by operations [actual achievement]

(1)	ID	Indicator	2021 Total	2021 Men	2021 Women	2020 Total	2020 Men	2020 Women	2019 Total	2019 Men	2019 Women
F	CO01	Productive investment: Number of enterprises receiving support	562.00			456.00			178.00		
S	CO01	Productive investment: Number of enterprises receiving support	955.00			882.00			379.00		
F	CO05	Productive investment: Number of new enterprises supported	77.00			56.00			14.00		
S	CO05	Productive investment: Number of new enterprises supported	92.00			85.00			53.00		
F	CO29	Research, Innovation: Number of enterprises supported to introduce new to the firm products	127.00			94.00			19.00		
S	CO29	Research, Innovation: Number of enterprises supported to introduce new to the firm products	205.00			188.00			57.00		

(1)	ID	Indicator	2018 Total	2018 Men	2018 Women	2017 Total	2017 Men	2017 Women	2016 Total	2016 Men	2016 Women
F	CO01	Productive investment: Number of enterprises receiving support	81.00			1.00					
S	CO01	Productive investment: Number of enterprises receiving support	494.00			494.00					
F	CO05	Productive investment: Number of new enterprises supported	9.00			0.00			0.00		
S	CO05	Productive investment: Number of new enterprises supported	66.00			66.00			0.00		
F	CO29	Research, Innovation: Number of enterprises supported to introduce new to the firm products	12.00			0.00			0.00		
S	CO29	Research, Innovation: Number of enterprises supported to introduce new to the firm products	312.00			72.00			0.00		

(1)	ID	Indicator	2015 Total	2015 Men	2015 Women	2014 Total	2014 Men	2014 Women
F	CO01	Productive investment: Number of enterprises receiving support						
S	CO01	Productive investment: Number of enterprises receiving support						
F	CO05	Productive investment: Number of new enterprises supported	0.00			0.00		
S	CO05	Productive investment: Number of new enterprises supported	0.00			0.00		
F	CO29	Research, Innovation: Number of enterprises supported to introduce new to the firm products	0.00			0.00		
S	CO29	Research, Innovation: Number of enterprises supported to introduce new to the firm products	0.00			0.00		



Priority axis	6 - Preserving and Protecting the Environment and Promoting Resource Efficiency
Investment Priority	6f - Promoting innovative technologies to improve environmental protection and resource efficiency in the waste sector, water sector and with regard to soil, or to reduce air pollution
Specific objective	6.2 - Investment to promote the development and uptake of innovative technologies, in particular in resource efficiency, in order to increase the resilience and environmental and economic performance of businesses and communities.

Table 1: Result indicators for the ERDF, the ERDF REACT-EU and the Cohesion Fund (by priority axis and specific objective); applies also to Technical Assistance priority axis

ID	Indicator	Measurement unit	Category of region	Baseline value	Baseline year	Target value 2023	2022 Total	2022 Qualitative	Observations
6.2	Natural resource productivity of enterprises supported based on raw material consumption of construction and non-construction materials, using a GDP index	Number		150	2011	Increase of 81%			

ID	Indicator	2021 Total	2021 Qualitative	2020 Total	2020 Qualitative	2019 Total	2019 Qualitative	2018 Total	2018 Qualitative
6.2	Natural resource productivity of enterprises supported based on raw material consumption of construction and non-construction materials, using a GDP index						188.8		188.1

ID	Indicator	2017 Total	2017 Qualitative	2016 Total	2016 Qualitative	2015 Total	2015 Qualitative	2014 Total	2014 Qualitative
6.2	Natural resource productivity of enterprises supported based on raw material consumption of construction and non-construction materials, using a GDP index		179.2		175.7		170.8		159.3

Priority axis	7 - Sustainable Transport in Cornwall and the Isles of Scilly
Investment Priority	7a - Supporting a multimodal Single European Transport Area by investing in the TEN-T

**Table 3A: Common and programme specific output indicators for the ERDF, the ERDF REACT-EU and the Cohesion Fund (by priority axis, investment priority, broken down by category of region for the ERDF) - 7 / 7a**

(1)	ID	Indicator	Measurement unit	Category of region	Target value (2023) total	Target value (2023) men	Target value (2023) women	2022 Total	2022 Men	2022 Women	Observations
F	P7	Length of railway with new or enhanced signalling installation	kilometre	Less developed	43.00			43.00			N/A
S	P7	Length of railway with new or enhanced signalling installation	kilometre	Less developed	43.00			43.00			N/A

(1) S=Cumulative value — outputs to be delivered by selected operations [forecast provided by beneficiaries], F=Cumulative value — outputs delivered by operations [actual achievement]

(1)	ID	Indicator	2021 Total	2021 Men	2021 Women	2020 Total	2020 Men	2020 Women	2019 Total	2019 Men	2019 Women
F	P7	Length of railway with new or enhanced signalling installation	43.00			43.00			43.00		
S	P7	Length of railway with new or enhanced signalling installation	43.00			43.00			43.00		

(1)	ID	Indicator	2018 Total	2018 Men	2018 Women	2017 Total	2017 Men	2017 Women	2016 Total	2016 Men	2016 Women
F	P7	Length of railway with new or enhanced signalling installation	43.00			0.00			0.00		
S	P7	Length of railway with new or enhanced signalling installation	43.00			43.00			43.00		

(1)	ID	Indicator	2015 Total	2015 Men	2015 Women	2014 Total	2014 Men	2014 Women
F	P7	Length of railway with new or enhanced signalling installation	0.00			0.00		
S	P7	Length of railway with new or enhanced signalling installation	0.00			0.00		

Priority axis	7 - Sustainable Transport in Cornwall and the Isles of Scilly
Investment Priority	7a - Supporting a multimodal Single European Transport Area by investing in the TEN-T
Specific objective	7.1 - Improve the accessibility of Cornwall and the Isles of Scilly by enhancing integration with the TEN-T rail network

Table 1: Result indicators for the ERDF, the ERDF REACT-EU and the Cohesion Fund (by priority axis and specific objective); applies also to Technical Assistance priority axis

ID	Indicator	Measurement unit	Category of region	Baseline value	Baseline year	Target value 2023	2022 Total	2022 Qualitative	Observations
7.3	Improved service frequency	Number of services each day in each direction	Less developed	23.00	2014	32.00	35.00		

ID	Indicator	2021 Total	2021 Qualitative	2020 Total	2020 Qualitative	2019 Total	2019 Qualitative	2018 Total	2018 Qualitative
7.3	Improved service frequency	32.00		22.00		23.00		23.00	

ID	Indicator	2017 Total	2017 Qualitative	2016 Total	2016 Qualitative	2015 Total	2015 Qualitative	2014 Total	2014 Qualitative
7.3	Improved service frequency	23.00		23.00		23.00		23.00	

Priority axis	7 - Sustainable Transport in Cornwall and the Isles of Scilly
Investment Priority	7b - Enhancing regional mobility by connecting secondary and tertiary nodes to TEN-T infrastructure, including multimodal nodes

**Table 3A: Common and programme specific output indicators for the ERDF, the ERDF REACT-EU and the Cohesion Fund (by priority axis, investment priority, broken down by category of region for the ERDF) - 7 / 7b**

(1)	ID	Indicator	Measurement unit	Category of region	Target value (2023) total	Target value (2023) men	Target value (2023) women	2022 Total	2022 Men	2022 Women	Observations
F	CO14	Roads: Total length of reconstructed or upgraded roads	km	Less developed	14.00			0.00			
S	CO14	Roads: Total length of reconstructed or upgraded roads	km	Less developed	14.00			14.00			

(1) S=Cumulative value — outputs to be delivered by selected operations [forecast provided by beneficiaries], F=Cumulative value — outputs delivered by operations [actual achievement]

(1)	ID	Indicator	2021 Total	2021 Men	2021 Women	2020 Total	2020 Men	2020 Women	2019 Total	2019 Men	2019 Women
F	CO14	Roads: Total length of reconstructed or upgraded roads	0.00			0.00			0.00		
S	CO14	Roads: Total length of reconstructed or upgraded roads	14.00			0.00			0.00		

(1)	ID	Indicator	2018 Total	2018 Men	2018 Women	2017 Total	2017 Men	2017 Women	2016 Total	2016 Men	2016 Women
F	CO14	Roads: Total length of reconstructed or upgraded roads	0.00			0.00			0.00		
S	CO14	Roads: Total length of reconstructed or upgraded roads	0.00			0.00			0.00		

(1)	ID	Indicator	2015 Total	2015 Men	2015 Women	2014 Total	2014 Men	2014 Women
F	CO14	Roads: Total length of reconstructed or upgraded roads	0.00			0.00		
S	CO14	Roads: Total length of reconstructed or upgraded roads	0.00			0.00		

Priority axis	7 - Sustainable Transport in Cornwall and the Isles of Scilly
Investment Priority	7b - Enhancing regional mobility by connecting secondary and tertiary nodes to TEN-T infrastructure, including multimodal nodes
Specific objective	7.3 - Improve the accessibility of Cornwall and the Isles of Scilly by enhancing integration with connection to the TEN-T network

Table 1: Result indicators for the ERDF, the ERDF REACT-EU and the Cohesion Fund (by priority axis and specific objective); applies also to Technical Assistance priority axis

ID	Indicator	Measurement unit	Category of region	Baseline value	Baseline year	Target value 2023	2022 Total	2022 Qualitative	Observations
7.1	All year average vehicle journey time (eastbound)	Minutes/seconds	Less developed	10 minutes 19 seconds	2014	15% reduction		15	
7.2	All year average vehicle journey time (westbound)	minutes/seconds	Less developed	11 minutes 12 seconds	2014	18% reduction		14	

ID	Indicator	2021 Total	2021 Qualitative	2020 Total	2020 Qualitative	2019 Total	2019 Qualitative	2018 Total	2018 Qualitative
7.1	All year average vehicle journey time (eastbound)		15		15		15		12
7.2	All year average vehicle journey time (westbound)		16				17		12

ID	Indicator	2017 Total	2017 Qualitative	2016 Total	2016 Qualitative	2015 Total	2015 Qualitative	2014 Total	2014 Qualitative
7.1	All year average vehicle journey time (eastbound)								
7.2	All year average vehicle journey time (westbound)								



Priority axis	7 - Sustainable Transport in Cornwall and the Isles of Scilly
Investment Priority	7c - Developing and improving environmentally-friendly (including low noise) and low-carbon transport systems, including inland waterways and maritime transport, ports, multimodal links and airport infrastructure, in order to promote sustainable regional and local mobility

**Table 3A: Common and programme specific output indicators for the ERDF, the ERDF REACT-EU and the Cohesion Fund (by priority axis, investment priority, broken down by category of region for the ERDF) - 7 / 7c**

(1)	ID	Indicator	Measurement unit	Category of region	Target value (2023) total	Target value (2023) men	Target value (2023) women	2022 Total	2022 Men	2022 Women	Observations
F	P10	Number of multi-modal transport hubs	number	Less developed	1.00			1.00			N/A
S	P10	Number of multi-modal transport hubs	number	Less developed	1.00			1.00			N/A
F	P8	Alternative fuel charging/re-fuelling points	number	Less developed	66.00			39.00			N/A
S	P8	Alternative fuel charging/re-fuelling points	number	Less developed	66.00			197.00			N/A
F	P9	improved multi-modal connection points	number	Less developed	2.00			2.00			N/A
S	P9	improved multi-modal connection points	number	Less developed	2.00			6.00			N/A

(1) S=Cumulative value — outputs to be delivered by selected operations [forecast provided by beneficiaries], F=Cumulative value — outputs delivered by operations [actual achievement]

(1)	ID	Indicator	2021 Total	2021 Men	2021 Women	2020 Total	2020 Men	2020 Women	2019 Total	2019 Men	2019 Women
F	P10	Number of multi-modal transport hubs	1.00			0.00			0.00		
S	P10	Number of multi-modal transport hubs	2.00			18.00			2.00		
F	P8	Alternative fuel charging/re-fuelling points	12.00			0.00			2.00		
S	P8	Alternative fuel charging/re-fuelling points	197.00			345.00			41.00		
F	P9	improved multi-modal connection points	0.00			0.00			0.00		
S	P9	improved multi-modal connection points	6.00			4.00			4.00		

(1)	ID	Indicator	2018 Total	2018 Men	2018 Women	2017 Total	2017 Men	2017 Women	2016 Total	2016 Men	2016 Women
F	P10	Number of multi-modal transport hubs	0.00			0.00			0.00		
S	P10	Number of multi-modal transport hubs	0.00			1.00			0.00		
F	P8	Alternative fuel charging/re-fuelling points	0.00			0.00			0.00		
S	P8	Alternative fuel charging/re-fuelling points	0.00			0.00			0.00		
F	P9	improved multi-modal connection points	0.00			0.00			0.00		
S	P9	improved multi-modal connection points	0.00			0.00			0.00		

(1)	ID	Indicator	2015 Total	2015 Men	2015 Women	2014 Total	2014 Men	2014 Women
F	P10	Number of multi-modal transport hubs	0.00			0.00		
S	P10	Number of multi-modal transport hubs	0.00			0.00		
F	P8	Alternative fuel charging/re-fuelling points	0.00			0.00		
S	P8	Alternative fuel charging/re-fuelling points	0.00			0.00		
F	P9	improved multi-modal connection points	0.00			0.00		
S	P9	improved multi-modal connection points	0.00			0.00		



Priority axis	7 - Sustainable Transport in Cornwall and the Isles of Scilly
Investment Priority	7c - Developing and improving environmentally-friendly (including low noise) and low-carbon transport systems, including inland waterways and maritime transport, ports, multimodal links and airport infrastructure, in order to promote sustainable regional and local mobility
Specific objective	7.2 - Improve accessibility and connectivity within Cornwall and the Isles of Scilly through developing sustainable means of transport

Table 1: Result indicators for the ERDF, the ERDF REACT-EU and the Cohesion Fund (by priority axis and specific objective); applies also to Technical Assistance priority axis

ID	Indicator	Measurement unit	Category of region	Baseline value	Baseline year	Target value 2023	2022 Total	2022 Qualitative	Observations
7.4	No. of low carbon vehicles registered in C&IoS	vehicles	Less developed	238.00	2013	3,000.00	2,610.00		

ID	Indicator	2021 Total	2021 Qualitative	2020 Total	2020 Qualitative	2019 Total	2019 Qualitative	2018 Total	2018 Qualitative
7.4	No. of low carbon vehicles registered in C&IoS	2,917.00		1,723.00		1,274.00		969.00	

ID	Indicator	2017 Total	2017 Qualitative	2016 Total	2016 Qualitative	2015 Total	2015 Qualitative	2014 Total	2014 Qualitative
7.4	No. of low carbon vehicles registered in C&IoS	731.00		573.00		440.00		302.00	

Priority axis	8 - Promoting social inclusion and combating poverty and any discrimination
Investment Priority	9d - Undertaking investment in the context of community-led local development strategies

**Table 3A: Common and programme specific output indicators for the ERDF, the ERDF REACT-EU and the Cohesion Fund (by priority axis, investment priority, broken down by category of region for the ERDF) - 8 / 9d**

(1)	ID	Indicator	Measurement unit	Category of region	Target value (2023) total	Target value (2023) men	Target value (2023) women	2022 Total	2022 Men	2022 Women	Observations
F	CO01	Productive investment: Number of enterprises receiving support	Enterprises		1,681.00			1,096.00			N/A
S	CO01	Productive investment: Number of enterprises receiving support	Enterprises		1,681.00			1,995.00			N/A
F	CO05	Productive investment: Number of new enterprises supported	Enterprises		1,177.00			222.00			N/A
S	CO05	Productive investment: Number of new enterprises supported	Enterprises		1,177.00			930.00			N/A
F	CO08	Productive investment: Employment increase in supported enterprises	Full time equivalents		1,261.00			389.00			N/A
S	CO08	Productive investment: Employment increase in supported enterprises	Full time equivalents		1,261.00			906.00			
F	P11	Number of potential entrepreneurs assisted to be enterprise ready	Persons		3,530.00			923.00			N/A
S	P11	Number of potential entrepreneurs assisted to be enterprise ready	Persons		3,530.00			2,464.00			N/A
F	P12	Square metres public or commercial building built or renovated in targeted areas	Square metres		1,513.00			1,871.00			N/A
S	P12	Square metres public or commercial building built or renovated in targeted areas	Square metres		1,513.00			7,839.00			N/A

(1) S=Cumulative value — outputs to be delivered by selected operations [forecast provided by beneficiaries], F=Cumulative value — outputs delivered by operations [actual achievement]

(1)	ID	Indicator	2021 Total	2021 Men	2021 Women	2020 Total	2020 Men	2020 Women	2019 Total	2019 Men	2019 Women
F	CO01	Productive investment: Number of enterprises receiving support	188.00			75.00			16.00		
S	CO01	Productive investment: Number of enterprises receiving support	2,124.00			2,282.00			1,745.00		
F	CO05	Productive investment: Number of new enterprises supported	39.00			16.00			2.00		
S	CO05	Productive investment: Number of new enterprises supported	1,165.00			1,305.00			1,004.00		
F	CO08	Productive investment: Employment increase in supported enterprises	31.00			11.00			1.00		
S	CO08	Productive investment: Employment increase in supported enterprises	1,276.00			1,424.00			1,097.00		
F	P11	Number of potential entrepreneurs assisted to be enterprise ready	228.00			107.00			14.00		
S	P11	Number of potential entrepreneurs assisted to be enterprise ready	3,205.00			3,653.00			2,807.00		
F	P12	Square metres public or commercial building built or renovated in targeted areas	672.00			146.00			0.00		
S	P12	Square metres public or commercial building built or renovated in targeted areas	6,770.00			4,293.00			2,348.00		

(1)	ID	Indicator	2018 Total	2018 Men	2018 Women	2017 Total	2017 Men	2017 Women	2016 Total	2016 Men	2016 Women
F	CO01	Productive investment: Number of enterprises receiving support	0.00			0.00			0.00		
S	CO01	Productive investment: Number of enterprises receiving support	2,352.00			1,552.00			7.00		

(1)	ID	Indicator	2018 Total	2018 Men	2018 Women	2017 Total	2017 Men	2017 Women	2016 Total	2016 Men	2016 Women
F	CO05	Productive investment: Number of new enterprises supported	0.00			0.00			0.00		
S	CO05	Productive investment: Number of new enterprises supported	1,148.00			770.00			5.00		
F	CO08	Productive investment: Employment increase in supported enterprises	0.00			0.00			0.00		
S	CO08	Productive investment: Employment increase in supported enterprises	1,475.00			918.00			0.00		
F	P11	Number of potential entrepreneurs assisted to be enterprise ready	0.00			0.00			0.00		
S	P11	Number of potential entrepreneurs assisted to be enterprise ready	2,466.00			2,677.00			0.00		
F	P12	Square metres public or commercial building built or renovated in targeted areas	0.00			0.00			0.00		
S	P12	Square metres public or commercial building built or renovated in targeted areas	3,508.00			3,508.00			0.00		

(1)	ID	Indicator	2015 Total	2015 Men	2015 Women	2014 Total	2014 Men	2014 Women
F	CO01	Productive investment: Number of enterprises receiving support	0.00			0.00		
S	CO01	Productive investment: Number of enterprises receiving support	0.00			0.00		
F	CO05	Productive investment: Number of new enterprises supported	0.00			0.00		
S	CO05	Productive investment: Number of new enterprises supported	0.00			0.00		
F	CO08	Productive investment: Employment increase in supported enterprises	0.00			0.00		
S	CO08	Productive investment: Employment increase in supported enterprises	0.00			0.00		
F	P11	Number of potential entrepreneurs assisted to be enterprise ready	0.00			0.00		
S	P11	Number of potential entrepreneurs assisted to be enterprise ready	0.00			0.00		
F	P12	Square metres public or commercial building built or renovated in targeted areas	0.00			0.00		
S	P12	Square metres public or commercial building built or renovated in targeted areas	0.00			0.00		

Priority axis	8 - Promoting social inclusion and combating poverty and any discrimination
Investment Priority	9d - Undertaking investment in the context of community-led local development strategies
Specific objective	8.1 - To build capacity within communities as a foundation for economic growth in deprived areas

Table 1: Result indicators for the ERDF, the ERDF REACT-EU and the Cohesion Fund (by priority axis and specific objective); applies also to Technical Assistance priority axis

ID	Indicator	Measurement unit	Category of region	Baseline value	Baseline year	Target value 2023	2022 Total	2022 Qualitative	Observations
8.1	Number of new enterprises within targeted areas	Enterprises		0.00	2016	1,629.00			There has been minimal expenditure on the programme in the reporting period and therefore no impact on the result measures
8.2	Employment increase	Full time equivalents		0.00	2016	1,745.00			There has been minimal expenditure on the programme in the reporting period and therefore no impact on the result measures

ID	Indicator	2021 Total	2021 Qualitative	2020 Total	2020 Qualitative	2019 Total	2019 Qualitative	2018 Total	2018 Qualitative
8.1	Number of new enterprises within targeted areas								
8.2	Employment increase								

ID	Indicator	2017 Total	2017 Qualitative	2016 Total	2016 Qualitative	2015 Total	2015 Qualitative	2014 Total	2014 Qualitative
8.1	Number of new enterprises within targeted areas	0.00		0.00		0.00		0.00	
8.2	Employment increase	0.00		0.00		0.00		0.00	

## Priority axes for technical assistance

**Table 3A: Common and programme specific output indicators for the ERDF, the ERDF REACT-EU and the Cohesion Fund (by priority axis, investment priority, broken down by category of region for the ERDF) - 9**

Priority axis				9 - Technical Assistance							
(1)	ID	Indicator	Measurement unit	Category of region	Target value (2023) total	Target value (2023) men	Target value (2023) women	2022 Total	2022 Men	2022 Women	Observations
F	P14	Training events held by the Managing Authority and partners	Number		200.00			185.00			N/A
S	P14	Training events held by the Managing Authority and partners	Number		200.00			266.00			N/A
F	P15	Dissemination events held	Number		70.00			309.00			N/A
S	P15	Dissemination events held	Number		70.00			512.00			N/A
F	P16	PMC and sub-committee meetings supported	Number		2,000.00			22.00			N/A
S	P16	PMC and sub-committee meetings supported	Number		2,000.00			44.00			N/A
F	P17	Evaluations carried out	Number		5.00			7.00			N/A
S	P17	Evaluations carried out	Number		5.00			20.00			N/A
F	P18	Expenditure checked by management controls and verifications, proportionate to the amount of public support to the operation in line with Article 125(5)	Percentage					22.10			N/A
S	P18	Expenditure checked by management controls and verifications, proportionate to the amount of public support to the operation in line with Article 125(5)	Percentage					22.10			N/A
F	P19	Employees whose salaries are co-financed by technical assistance	Full time equivalents					154.86			N/A
S	P19	Employees whose salaries are co-financed by technical assistance	Full time equivalents					154.86			N/A

(1) S=Cumulative value — outputs to be delivered by selected operations [forecast provided by beneficiaries], F=Cumulative value — outputs delivered by operations [actual achievement]

(1)	ID	Indicator	2021 Total	2021 Men	2021 Women	2020 Total	2020 Men	2020 Women	2019 Total	2019 Men	2019 Women
F	P14	Training events held by the Managing Authority and partners	143.00			28.00			577.00		
S	P14	Training events held by the Managing Authority and partners	236.00			264.00			260.00		
F	P15	Dissemination events held	195.00			65.00			298.00		
S	P15	Dissemination events held	579.00			624.00			450.00		
F	P16	PMC and sub-committee meetings supported	22.00			0.00			38.00		
S	P16	PMC and sub-committee meetings supported	50.00			33.00			28.00		
F	P17	Evaluations carried out	5.00			0.00			2.00		
S	P17	Evaluations carried out	97.00			101.00			0.00		
F	P18	Expenditure checked by management controls and verifications, proportionate to the amount of public support to the operation in line with Article 125(5)	0.00			0.00			0.00		
S	P18	Expenditure checked by management controls and verifications, proportionate to the amount of public support to the operation in line with Article 125(5)	0.00			25.00			0.00		
F	P19	Employees whose salaries are co-financed by technical assistance	0.00			0.00			0.00		
S	P19	Employees whose salaries are co-financed by technical assistance	0.00			293.00			6.00		

(1)	ID	Indicator	2018 Total	2018 Men	2018 Women	2017 Total	2017 Men	2017 Women	2016 Total	2016 Men	2016 Women
F	P14	Training events held by the Managing Authority and partners	162.50			146.00					
S	P14	Training events held by the Managing Authority and partners	212.00			223.00					
F	P15	Dissemination events held	408.00			263.00					
S	P15	Dissemination events held	447.00			431.00			103.00		
F	P16	PMC and sub-committee meetings supported	38.00			14.00					
S	P16	PMC and sub-committee meetings supported	40.00			22.00					
F	P17	Evaluations carried out	0.00			0.00			0.00		
S	P17	Evaluations carried out	93.00			1.00			0.00		
F	P18	Expenditure checked by management controls and verifications, proportionate to the amount of public support to the operation in line with Article 125(5)	0.00			0.00			0.00		
S	P18	Expenditure checked by management controls and verifications, proportionate to the amount of public support to the operation in line with Article 125(5)	30.00			1.00			0.00		
F	P19	Employees whose salaries are co-financed by technical assistance	29.00			0.00			0.00		
S	P19	Employees whose salaries are co-financed by technical assistance	20.00			0.00			0.00		

(1)	ID	Indicator	2015 Total	2015 Men	2015 Women	2014 Total	2014 Men	2014 Women
F	P14	Training events held by the Managing Authority and partners						
S	P14	Training events held by the Managing Authority and partners						
F	P15	Dissemination events held						
S	P15	Dissemination events held						
F	P16	PMC and sub-committee meetings supported						
S	P16	PMC and sub-committee meetings supported						
F	P17	Evaluations carried out	0.00			0.00		
S	P17	Evaluations carried out	0.00			0.00		
F	P18	Expenditure checked by management controls and verifications, proportionate to the amount of public support to the operation in line with Article 125(5)	0.00			0.00		
S	P18	Expenditure checked by management controls and verifications, proportionate to the amount of public support to the operation in line with Article 125(5)	0.00			0.00		
F	P19	Employees whose salaries are co-financed by technical assistance	0.00			0.00		
S	P19	Employees whose salaries are co-financed by technical assistance	0.00			0.00		



Priority axis	9 - Technical Assistance
Specific objective	9.1 - To ensure that the activities which fall within the scope of the programme are delivered efficiently and effectively in line with applicable law.

**Table 1: Result indicators for the ERDF, the ERDF REACT-EU and the Cohesion Fund (by priority axis and specific objective); applies also to Technical Assistance priority axis - 9 / 9.1**

ID	Indicator	Measurement unit	Category of region	Baseline value	Baseline year	Target value 2023	2022 Total	2022 Qualitative	Observations
9.1	Error rate	Percentage		2.414	2014	Below 2		1.33	

ID	Indicator	2021 Total	2021 Qualitative	2020 Total	2020 Qualitative	2019 Total	2019 Qualitative	2018 Total	2018 Qualitative
9.1	Error rate		1.35		1.19		1.95		0.93

ID	Indicator	2017 Total	2017 Qualitative	2016 Total	2016 Qualitative	2015 Total	2015 Qualitative	2014 Total	2014 Qualitative
9.1	Error rate		0.070		0		0		0

Priority axis	9 - Technical Assistance
Specific objective	9.2 - To facilitate access to the programme and communicate the impact that the European Structural and Investment Funds have, including by providing robust governance support to the PMC and sub-committees and high quality advice and information to partners involved in the design and delivery of projects.

**Table 1: Result indicators for the ERDF, the ERDF REACT-EU and the Cohesion Fund (by priority axis and specific objective); applies also to Technical Assistance priority axis - 9 / 9.2**

ID	Indicator	Measurement unit	Category of region	Baseline value	Baseline year	Target value 2023	2022 Total	2022 Qualitative	Observations
9.2	Partner engagement	Percentage		31.00	2015	41.00	44.20		2.11 we don't have the data as we don't need to record the information. 2.48 The figures are correct as of end of 2017 and this is what we've achieved

ID	Indicator	2021 Total	2021 Qualitative	2020 Total	2020 Qualitative	2019 Total	2019 Qualitative	2018 Total	2018 Qualitative
9.2	Partner engagement	29.40		37.10		45.60		39.20	

ID	Indicator	2017 Total	2017 Qualitative	2016 Total	2016 Qualitative	2015 Total	2015 Qualitative	2014 Total	2014 Qualitative
9.2	Partner engagement	27.00		29.00		36.00		36.00	

**Table 3B: Number of enterprises supported by the operational programme net of multiple support to the same enterprises**

Indicator	Number of enterprises supported by OP net of multiple support
CO01 - Productive investment: Number of enterprises receiving support	157,541
CO02 - Productive investment: Number of enterprises receiving grants	41,560
CO03 - Productive investment: Number of enterprises receiving financial support other than grants	1,463
CO04 - Productive investment: Number of enterprises receiving non-financial support	96,283
CO05 - Productive investment: Number of new enterprises supported	25,444

**Table 5: Information on the milestones and targets defined in the performance framework**

Priority axis	Ind type	ID	Indicator	Measurement unit	Fund	Category of region	2022 Cum total	2022 Cum men	2022 Cum women	2022 Annual total	2022 Annual total men	2022 Annual total women
1	O	CO01	Productive investment: Number of enterprises receiving support	Enterprises	ERDF	Less developed	747.00					
1	O	CO01	Productive investment: Number of enterprises receiving support	Enterprises	ERDF	Transition	6,635.00					
1	O	CO01	Productive investment: Number of enterprises receiving support	Enterprises	ERDF	More developed	19,166.00					
1	F	F1	Expenditure	Euros	ERDF	Less developed	86,657,220.11					
1	F	F1	Expenditure	Euros	ERDF	Transition	294,140,405.26					
1	F	F1	Expenditure	Euros	ERDF	More developed	563,672,051.22					
1	I	I1	Number of enterprises receiving support that has been achieved by partially or fully completed operations	Number	ERDF	Less developed	747.00					
1	I	I1	Number of enterprises receiving support that has been achieved by partially or fully completed operations	Number	ERDF	Transition	6,635.00					
1	I	I1	Number of enterprises receiving support that has been achieved by partially or fully completed operations	Number	ERDF	More developed	19,166.00					
10	F	F1	Expenditure	Euros	ERDF	Less developed	103,211.04					
10	F	F1	Expenditure	Euros	ERDF	Transition	4,192,367.86					
10	F	F1	Expenditure	Euros	ERDF	More developed	15,247,847.68					
10	O	PCV33	Number of entities supported in combating or counteracting the effects of the COVID-19 pandemic	Number	ERDF	Less developed	0.00					
10	O	PCV33	Number of entities supported in combating or counteracting the effects of the COVID-19 pandemic	Number	ERDF	Transition	0.00					
10	O	PCV33	Number of entities supported in combating or counteracting the effects of the COVID-19 pandemic	Number	ERDF	More developed	0.00					
2	O	CO01	Productive investment: Number of enterprises receiving support	Enterprises	ERDF	Transition	1,436.00					
2	O	CO01	Productive investment: Number of enterprises receiving support	Enterprises	ERDF	More developed	6,018.00					
2	F	F1	Expenditure	Euros	ERDF	Less developed	20,023,090.38					
2	F	F1	Expenditure	Euros	ERDF	Transition	16,900,686.48					
2	F	F1	Expenditure	Euros	ERDF	More developed	73,007,041.94					
2	I	I7	Additional businesses with broadband access of at least 30Mbps that has been achieved by partially or fully completed operations	Enterprises	ERDF	Less developed	2,193.00					
2	I	I1	Number of enterprises receiving support that has been achieved by partially or fully completed operations	Number	ERDF	Transition	1,436.00					
2	I	I1	Number of enterprises receiving support that has been achieved by partially or fully completed operations	Number	ERDF	More developed	6,018.00					
2	O	P3	Additional businesses with broadband access of at least 30Mbps	Enterprises	ERDF	Less developed	2,193.00					
3	O	CO01	Productive investment: Number of enterprises receiving support	Enterprises	ERDF	Less developed	8,019.00					
3	O	CO01	Productive investment: Number of enterprises receiving support	Enterprises	ERDF	Transition	32,400.00					
3	O	CO01	Productive investment: Number of enterprises receiving support	Enterprises	ERDF	More developed	69,244.00					
3	F	F1	Expenditure	Euros	ERDF	Less developed	162,540,745.40					
3	F	F1	Expenditure	Euros	ERDF	Transition	719,447,631.95					
3	F	F1	Expenditure	Euros	ERDF	More developed	1,325,716,348.63					
3	I	I1	Number of enterprises receiving support that has been achieved by partially or fully completed operations	Number	ERDF	Less developed	8,019.00					
3	I	I1	Number of enterprises receiving support that has been achieved by partially or fully completed operations	Number	ERDF	Transition	32,400.00					
3	I	I1	Number of enterprises receiving support that has been achieved by partially or fully completed operations	Number	ERDF	More developed	69,244.00					
4	O	CO34	GHG reduction: Estimated annual decrease of GHG	Tonnes of CO2eq	ERDF	Less developed	10,323.00					
4	O	CO34	GHG reduction: Estimated annual decrease of GHG	Tonnes of CO2eq	ERDF	Transition	44,623.00					
4	O	CO34	GHG reduction: Estimated annual decrease of GHG	Tonnes of CO2eq	ERDF	More developed	139,266.00					
4	F	F1	Expenditure	Euros	ERDF	Less developed	89,071,514.31					
4	F	F1	Expenditure	Euros	ERDF	Transition	179,145,382.18					
4	F	F1	Expenditure	Euros	ERDF	More developed	499,485,712.72					
4	I	I1	Number of enterprises receiving support that has been achieved by partially or fully completed operations	Number	ERDF	Less developed	551.00					
4	I	I1	Number of enterprises receiving support that has been achieved by partially or fully completed operations	Number	ERDF	Transition	3,272.00					
4	I	I1	Number of enterprises receiving support that has been achieved by partially or fully completed operations	Number	ERDF	More developed	7,730.00					
5	F	F1	Expenditure	Euros	ERDF	Less developed	4,256,544.11					
5	F	F1	Expenditure	Euros	ERDF	Transition	43,138,432.88					
5	F	F1	Expenditure	Euros	ERDF	More developed	25,105,984.77					
5	I	I4	Percentage of schemes in place	Percentage	ERDF	Less developed	100.00					
5	I	I4	Percentage of schemes in place	Percentage	ERDF	Transition	100.00					
5	I	I4	Percentage of schemes in place	Percentage	ERDF	More developed	100.00					
5	O	P6	Businesses and properties with reduced flood risk	Number	ERDF	Less developed	0.00					
5	O	P6	Businesses and properties with reduced flood risk	Number	ERDF	Transition	1,861.00					
5	O	P6	Businesses and properties with reduced flood risk	Number	ERDF	More developed	436.00					
6	O	CO23	Nature and biodiversity: Surface area of habitats supported to attain a better conservation status	Hectares	ERDF	Less developed	174.00					
6	O	CO23	Nature and biodiversity: Surface area of habitats supported to attain a better conservation status	Hectares	ERDF	Transition	216.00					
6	O	CO23	Nature and biodiversity: Surface area of habitats supported to attain a better conservation status	Hectares	ERDF	More developed	570.00					
6	F	F1	Expenditure	Euros	ERDF	Less developed	13,409,488.50					
6	F	F1	Expenditure	Euros	ERDF	Transition	17,965,318.62					
6	F	F1	Expenditure	Euros	ERDF	More developed	41,391,361.35					
6	I	I3	Surface area of habitats supported in order to attain a better conservation status that has been achieved by partially or fully completed operations	hectare	ERDF	Less developed	174.00					
6	I	I3	Surface area of habitats supported in order to attain a better conservation status that has been achieved by partially or fully completed operations	hectare	ERDF	Transition	216.00					

Priority axis	Ind type	ID	Indicator	Measurement unit	Fund	Category of region	2022 Cum total	2022 Cum men	2022 Cum women	2022 Annual total	2022 Annual total men	2022 Annual total women
			completed operations									
6	I	I3	Surface area of habitats supported in order to attain a better conservation status that has been achieved by partially or fully completed operations	hectare	ERDF	More developed	570.00					
7	O	CO14	Roads: Total length of reconstructed or upgraded roads	km	ERDF	Less developed	0.00					
7	F	F1	Expenditure	Euros	ERDF	Less developed	64,939,581.54					
7	I	I2	Completion of planning processes and necessary clearances, including SEA for road	percentage	ERDF	Less developed	100.00					
7	I	I5	Percentage of final target where signalling improved (although this will still be subject to final safety sign-off which cannot be done until end of whole project)	Percentage	ERDF	Less developed	50.00					
7	O	P7	Length of railway with new or enhanced signalling installation	kilometre	ERDF	Less developed	43.00					
8	O	CO01	Productive investment: Number of enterprises receiving support	Enterprises	ERDF	Less developed	235.00					
8	O	CO01	Productive investment: Number of enterprises receiving support	Enterprises	ERDF	Transition	232.00					
8	O	CO01	Productive investment: Number of enterprises receiving support	Enterprises	ERDF	More developed	629.00					
8	F	F1	Expenditure	Euros	ERDF	Less developed	5,373,524.30					
8	F	F1	Expenditure	Euros	ERDF	Transition	2,994,991.30					
8	F	F1	Expenditure	Euros	ERDF	More developed	7,686,362.96					
8	I	I6	Number of local development strategies agreed	Number	ERDF	Less developed	4.00					
8	I	I6	Number of local development strategies agreed	Number	ERDF	Transition	4.50					
8	I	I6	Number of local development strategies agreed	Number	ERDF	More developed	12.50					

Priority axis	Ind type	ID	Indicator	Measurement unit	Fund	Category of region	2021 Cum total	2020 Cum total	2019 Cum total	Observations
1	O	CO01	Productive investment: Number of enterprises receiving support	Enterprises	ERDF	Less developed	584.00	308.00	259.00	
1	O	CO01	Productive investment: Number of enterprises receiving support	Enterprises	ERDF	Transition	4,601.00	3,725.00	2,783.00	
1	O	CO01	Productive investment: Number of enterprises receiving support	Enterprises	ERDF	More developed	14,861.00	11,555.00	8,455.00	
1	F	F1	Expenditure	Euros	ERDF	Less developed	68,415,083.65	46,248,578.15	25,951,883.96	
1	F	F1	Expenditure	Euros	ERDF	Transition	237,021,188.52	188,968,158.02	148,738,517.59	
1	F	F1	Expenditure	Euros	ERDF	More developed	405,312,871.02	328,903,127.96	240,417,629.90	
1	I	I1	Number of enterprises receiving support that has been achieved by partially or fully completed operations	Number	ERDF	Less developed	584.00	308.00	259.00	
1	I	I1	Number of enterprises receiving support that has been achieved by partially or fully completed operations	Number	ERDF	Transition	4,601.00	3,725.00	2,783.00	
1	I	I1	Number of enterprises receiving support that has been achieved by partially or fully completed operations	Number	ERDF	More developed	14,861.00	11,555.00	8,455.00	
10	F	F1	Expenditure	Euros	ERDF	Less developed	0.00	0.00	0.00	
10	F	F1	Expenditure	Euros	ERDF	Transition	0.00	0.00	0.00	
10	F	F1	Expenditure	Euros	ERDF	More developed	0.00	0.00	0.00	
10	O	PCV33	Number of entities supported in combating or counteracting the effects of the COVID-19 pandemic	Number	ERDF	Less developed	0.00	0.00	0.00	
10	O	PCV33	Number of entities supported in combating or counteracting the effects of the COVID-19 pandemic	Number	ERDF	Transition	0.00	0.00	0.00	
10	O	PCV33	Number of entities supported in combating or counteracting the effects of the COVID-19 pandemic	Number	ERDF	More developed	0.00	0.00	0.00	
2	O	CO01	Productive investment: Number of enterprises receiving support	Enterprises	ERDF	Transition	1,010.00	403.00	289.00	
2	O	CO01	Productive investment: Number of enterprises receiving support	Enterprises	ERDF	More developed	4,680.00	3,526.00	2,521.00	
2	F	F1	Expenditure	Euros	ERDF	Less developed	19,219,761.29	16,965,266.30	8,006,912.45	
2	F	F1	Expenditure	Euros	ERDF	Transition	11,632,379.25	8,564,525.32	6,776,711.03	
2	F	F1	Expenditure	Euros	ERDF	More developed	60,319,256.43	48,694,375.44	37,497,218.28	
2	I	I7	Additional businesses with broadband access of at least 30Mbps that has been achieved by partially or fully completed operations	Enterprises	ERDF	Less developed	2,184.00	1,824.00	710.00	
2	I	I1	Number of enterprises receiving support that has been achieved by partially or fully completed operations	Number	ERDF	Transition	1,010.00	403.00	289.00	
2	I	I1	Number of enterprises receiving support that has been achieved by partially or fully completed operations	Number	ERDF	More developed	4,680.00	3,526.00	2,521.00	
2	O	P3	Additional businesses with broadband access of at least 30mbps	Enterprises	ERDF	Less developed	2,184.00	1,824.00	710.00	
3	O	CO01	Productive investment: Number of enterprises receiving support	Enterprises	ERDF	Less developed	6,603.00	3,440.00	2,661.00	
3	O	CO01	Productive investment: Number of enterprises receiving support	Enterprises	ERDF	Transition	24,176.00	17,045.00	11,195.00	
3	O	CO01	Productive investment: Number of enterprises receiving support	Enterprises	ERDF	More developed	57,535.00	43,249.00	29,248.00	
3	F	F1	Expenditure	Euros	ERDF	Less developed	134,805,457.66	109,312,292.35	90,978,231.40	
3	F	F1	Expenditure	Euros	ERDF	Transition	548,224,470.14	424,797,056.60	313,802,284.65	
3	F	F1	Expenditure	Euros	ERDF	More developed	1,102,854,010.63	844,431,493.66	680,979,205.82	
3	I	I1	Number of enterprises receiving support that has been achieved by partially or fully completed operations	Number	ERDF	Less developed	6,603.00	3,440.00	2,661.00	
3	I	I1	Number of enterprises receiving support that has been achieved by partially or fully completed operations	Number	ERDF	Transition	24,176.00	17,045.00	11,195.00	
3	I	I1	Number of enterprises receiving support that has been achieved by partially or fully completed operations	Number	ERDF	More developed	57,535.00	43,249.00	29,248.00	
4	O	CO34	GHG reduction: Estimated annual decrease of GHG	Tonnes of CO2eq	ERDF	Less developed	9,816.00	160.00	159.00	
4	O	CO34	GHG reduction: Estimated annual decrease of GHG	Tonnes of CO2eq	ERDF	Transition	24,919.00	21,053.00	13,206.00	
4	O	CO34	GHG reduction: Estimated annual decrease of GHG	Tonnes of CO2eq	ERDF	More developed	104,681.00	58,960.00	34,657.00	
4	F	F1	Expenditure	Euros	ERDF	Less developed	80,398,904.46	63,956,916.17	50,122,191.87	
4	F	F1	Expenditure	Euros	ERDF	Transition	120,500,722.83	75,683,709.85	47,794,029.25	
4	F	F1	Expenditure	Euros	ERDF	More developed	400,916,119.85	319,869,281.32	194,364,834.22	
4	I	I1	Number of enterprises receiving support that has been achieved by partially or fully completed operations	Number	ERDF	Less developed	532.00	526.00	389.00	
4	I	I1	Number of enterprises receiving support that has been achieved by partially or fully completed operations	Number	ERDF	Transition	2,504.00	1,851.00	1,088.00	
4	I	I1	Number of enterprises receiving support that has been achieved by partially or fully completed operations	Number	ERDF	More developed	6,019.00	4,603.00	2,735.00	
5	F	F1	Expenditure	Euros	ERDF	Less developed	3,624,971.21	1,363,438.75	125,742.80	

Priority axis	Ind type	ID	Indicator	Measurement unit	Fund	Category of region	2021 Cum total	2020 Cum total	2019 Cum total	Observations
5	F	F1	Expenditure	Euros	ERDF	Transition	38,819,859.80	10,249,234.68	5,672,034.16	
5	F	F1	Expenditure	Euros	ERDF	More developed	23,239,318.64	20,551,459.21	5,179,397.10	
5	I	I4	Percentage of schemes in place	Percentage	ERDF	Less developed	100.00	100.00	8.00	
5	I	I4	Percentage of schemes in place	Percentage	ERDF	Transition	100.00	100.00	11.00	
5	I	I4	Percentage of schemes in place	Percentage	ERDF	More developed	100.00	100.00	33.00	
5	O	P6	Businesses and properties with reduced flood risk	Number	ERDF	Less developed	0.00	0.00	0.00	
5	O	P6	Businesses and properties with reduced flood risk	Number	ERDF	Transition	424.00	288.00	263.00	
5	O	P6	Businesses and properties with reduced flood risk	Number	ERDF	More developed	152.00	88.00	88.00	
6	O	CO23	Nature and biodiversity: Surface area of habitats supported to attain a better conservation status	Hectares	ERDF	Less developed	151.00	106.00	61.00	
6	O	CO23	Nature and biodiversity: Surface area of habitats supported to attain a better conservation status	Hectares	ERDF	Transition	137.00	61.00	19.00	
6	O	CO23	Nature and biodiversity: Surface area of habitats supported to attain a better conservation status	Hectares	ERDF	More developed	454.00	283.00	228.00	
6	F	F1	Expenditure	Euros	ERDF	Less developed	9,236,537.27	6,036,082.38	4,178,277.21	
6	F	F1	Expenditure	Euros	ERDF	Transition	12,754,752.12	7,945,674.18	5,375,188.98	
6	F	F1	Expenditure	Euros	ERDF	More developed	32,025,903.22	18,481,913.25	11,308,338.09	
6	I	I3	Surface area of habitats supported in order to attain a better conservation status that has been achieved by partially or fully completed operations	hectare	ERDF	Less developed	151.00	106.00	61.00	
6	I	I3	Surface area of habitats supported in order to attain a better conservation status that has been achieved by partially or fully completed operations	hectare	ERDF	Transition	137.00	61.00	19.00	
6	I	I3	Surface area of habitats supported in order to attain a better conservation status that has been achieved by partially or fully completed operations	hectare	ERDF	More developed	454.00	283.00	228.00	
7	O	CO14	Roads: Total length of reconstructed or upgraded roads	km	ERDF	Less developed	0.00	0.00	0.00	
7	F	F1	Expenditure	Euros	ERDF	Less developed	44,265,087.47	42,211,788.83	35,878,576.72	
7	I	I2	Completion of planning processes and necessary clearances, including SEA for road	percentage	ERDF	Less developed	100.00	100.00	100.00	
7	I	I5	Percentage of final target where signalling improved (although this will still be subject to final safety sign-off which cannot be done until end of whole project)	Percentage	ERDF	Less developed	50.00	100.00	100.00	
7	O	P7	Length of railway with new or enhanced signalling installation	kilometre	ERDF	Less developed	43.00	43.00	43.00	
8	O	CO01	Productive investment: Number of enterprises receiving support	Enterprises	ERDF	Less developed	109.00	64.00	14.00	
8	O	CO01	Productive investment: Number of enterprises receiving support	Enterprises	ERDF	Transition	5.00	0.00	0.00	
8	O	CO01	Productive investment: Number of enterprises receiving support	Enterprises	ERDF	More developed	74.00	11.00	2.00	
8	F	F1	Expenditure	Euros	ERDF	Less developed	2,988,949.07	1,266,547.33	730,536.81	
8	F	F1	Expenditure	Euros	ERDF	Transition	1,477,549.24	383,463.19	234,306.86	
8	F	F1	Expenditure	Euros	ERDF	More developed	4,496,530.60	2,033,910.55	760,812.81	
8	I	I6	Number of local development strategies agreed	Number	ERDF	Less developed	4.00	4.00	4.00	
8	I	I6	Number of local development strategies agreed	Number	ERDF	Transition	4.50	4.50	4.50	
8	I	I6	Number of local development strategies agreed	Number	ERDF	More developed	12.50	12.50	12.50	

Priority axis	Ind type	ID	Indicator	Measurement unit	Fund	Category of region	2018 Cum total	2017 Cum total	2016 Cum total	2015 Cum total	2014 Cum total
1	O	CO01	Productive investment: Number of enterprises receiving support	Enterprises	ERDF	Less developed	96.00	6.00	0.00	0.00	0.00
1	O	CO01	Productive investment: Number of enterprises receiving support	Enterprises	ERDF	Transition	1,116.00	429.00	186.00	0.00	0.00
1	O	CO01	Productive investment: Number of enterprises receiving support	Enterprises	ERDF	More developed	3,905.00	1,074.00	467.00	0.00	0.00
1	F	F1	Expenditure	Euros	ERDF	Less developed	18,881,095.68	2,971,009.46	0.00	0.00	0.00
1	F	F1	Expenditure	Euros	ERDF	Transition	96,696,066.19	31,643,356.86	13,422,011.91	0.00	0.00
1	F	F1	Expenditure	Euros	ERDF	More developed	191,242,903.22	60,960,851.33	7,584,632.72	0.00	0.00
1	I	I1	Number of enterprises receiving support that has been achieved by partially or fully completed operations	Number	ERDF	Less developed	96.00	6.00	0.00	0.00	0.00
1	I	I1	Number of enterprises receiving support that has been achieved by partially or fully completed operations	Number	ERDF	Transition	1,116.00	429.00	186.00	0.00	0.00
1	I	I1	Number of enterprises receiving support that has been achieved by partially or fully completed operations	Number	ERDF	More developed	3,905.00	1,074.00	467.00	0.00	0.00
10	F	F1	Expenditure	Euros	ERDF	Less developed	0.00	0.00	0.00	0.00	0.00
10	F	F1	Expenditure	Euros	ERDF	Transition	0.00	0.00	0.00	0.00	0.00
10	F	F1	Expenditure	Euros	ERDF	More developed	0.00	0.00	0.00	0.00	0.00
10	O	PCV33	Number of entities supported in combating or counteracting the effects of the COVID-19 pandemic	Number	ERDF	Less developed	0.00	0.00	0.00	0.00	0.00
10	O	PCV33	Number of entities supported in combating or counteracting the effects of the COVID-19 pandemic	Number	ERDF	Transition	0.00	0.00	0.00	0.00	0.00
10	O	PCV33	Number of entities supported in combating or counteracting the effects of the COVID-19 pandemic	Number	ERDF	More developed	0.00	0.00	0.00	0.00	0.00
2	O	CO01	Productive investment: Number of enterprises receiving support	Enterprises	ERDF	Transition	229.00	58.00	0.00	0.00	0.00
2	O	CO01	Productive investment: Number of enterprises receiving support	Enterprises	ERDF	More developed	1,652.00	280.00	0.00	0.00	0.00
2	F	F1	Expenditure	Euros	ERDF	Less developed	7,536,486.34	1,186,579.94	397,354.64	0.00	0.00
2	F	F1	Expenditure	Euros	ERDF	Transition	5,210,328.69	670,092.12	0.00	0.00	0.00
2	F	F1	Expenditure	Euros	ERDF	More developed	27,047,865.66	1,924,458.82	0.00	0.00	0.00
2	I	I7	Additional businesses with broadband access of at least 30Mbps that has been achieved by partially or fully completed operations	Enterprises	ERDF	Less developed	710.00	0.00	0.00	0.00	0.00
2	I	I1	Number of enterprises receiving support that has been achieved by partially or fully completed operations	Number	ERDF	Transition	229.00	58.00	0.00	0.00	0.00
2	I	I1	Number of enterprises receiving support that has been achieved by partially or fully completed operations	Number	ERDF	More developed	1,652.00	280.00	0.00	0.00	0.00
2	O	P3	Additional businesses with broadband access of at least 30mbps	Enterprises	ERDF	Less developed	710.00	0.00	0.00	0.00	0.00
3	O	CO01	Productive investment: Number of enterprises receiving support	Enterprises	ERDF	Less developed	563.00	755.00	423.00	0.00	0.00
3	O	CO01	Productive investment: Number of enterprises receiving support	Enterprises	ERDF	Transition	5,407.00	2,133.00	1,125.00	0.00	0.00
3	O	CO01	Productive investment: Number of enterprises receiving support	Enterprises	ERDF	More developed	15,535.00	4,960.00	2,637.00	0.00	0.00
3	F	F1	Expenditure	Euros	ERDF	Less developed	73,200,786.65	13,365,171.71	1,224,107.07	0.00	0.00
3	F	F1	Expenditure	Euros	ERDF	Transition	240,790,253.35	111,700,588.61	73,750,674.69	0.00	0.00

Priority axis	Ind type	ID	Indicator	Measurement unit	Fund	Category of region	2018 Cum total	2017 Cum total	2016 Cum total	2015 Cum total	2014 Cum total
3	F	F1	Expenditure	Euros	ERDF	More developed	501,908,159.38	167,257,911.49	112,120,546.12	0.00	0.00
3	I	I1	Number of enterprises receiving support that has been achieved by partially or fully completed operations	Number	ERDF	Less developed	563.00	755.00	423.00	0.00	0.00
3	I	I1	Number of enterprises receiving support that has been achieved by partially or fully completed operations	Number	ERDF	Transition	5,407.00	2,133.00	1,125.00	7.00	0.00
3	I	I1	Number of enterprises receiving support that has been achieved by partially or fully completed operations	Number	ERDF	More developed	15,535.00	4,960.00	2,637.00	74.00	0.00
4	O	CO34	GHG reduction: Estimated annual decrease of GHG	Tonnes of CO2eq	ERDF	Less developed	123.70	0.00	0.00	0.00	0.00
4	O	CO34	GHG reduction: Estimated annual decrease of GHG	Tonnes of CO2eq	ERDF	Transition	11,155.79	0.00	0.00	0.00	0.00
4	O	CO34	GHG reduction: Estimated annual decrease of GHG	Tonnes of CO2eq	ERDF	More developed	137,692.13	0.00	0.00	0.00	0.00
4	F	F1	Expenditure	Euros	ERDF	Less developed	34,652,399.32	2,559,594.96	0.00	0.00	0.00
4	F	F1	Expenditure	Euros	ERDF	Transition	36,548,173.28	7,786,104.52	6,199,463.02	0.00	0.00
4	F	F1	Expenditure	Euros	ERDF	More developed	136,506,321.92	36,056,635.40	10,107,965.09	0.00	0.00
4	I	I1	Number of enterprises receiving support that has been achieved by partially or fully completed operations	Number	ERDF	Less developed	240.00	471.00	1.00	0.00	0.00
4	I	I1	Number of enterprises receiving support that has been achieved by partially or fully completed operations	Number	ERDF	Transition	504.00	98.00	46.00	0.00	0.00
4	I	I1	Number of enterprises receiving support that has been achieved by partially or fully completed operations	Number	ERDF	More developed	1,700.00	7,025.00	89.00	0.00	0.00
5	F	F1	Expenditure	Euros	ERDF	Less developed	334,686.24	0.00	0.00	0.00	0.00
5	F	F1	Expenditure	Euros	ERDF	Transition	3,157,371.61	0.00	0.00	0.00	0.00
5	F	F1	Expenditure	Euros	ERDF	More developed	2,953,219.22	0.00	0.00	0.00	0.00
5	I	I4	Percentage of schemes in place	Percentage	ERDF	Less developed	8.00	0.00	0.00	0.00	0.00
5	I	I4	Percentage of schemes in place	Percentage	ERDF	Transition	11.00	0.00	0.00	0.00	0.00
5	I	I4	Percentage of schemes in place	Percentage	ERDF	More developed	33.00	0.00	0.00	0.00	0.00
5	O	P6	Businesses and properties with reduced flood risk	Number	ERDF	Less developed	0.00	0.00	0.00	0.00	0.00
5	O	P6	Businesses and properties with reduced flood risk	Number	ERDF	Transition	0.00	0.00			
5	O	P6	Businesses and properties with reduced flood risk	Number	ERDF	More developed	0.00	0.00			
6	O	CO23	Nature and biodiversity: Surface area of habitats supported to attain a better conservation status	Hectares	ERDF	Less developed	37.76	20.00			
6	O	CO23	Nature and biodiversity: Surface area of habitats supported to attain a better conservation status	Hectares	ERDF	Transition	42.90	0.00			
6	O	CO23	Nature and biodiversity: Surface area of habitats supported to attain a better conservation status	Hectares	ERDF	More developed	121.40	10.00			
6	F	F1	Expenditure	Euros	ERDF	Less developed	2,418,388.91	142,748.33			
6	F	F1	Expenditure	Euros	ERDF	Transition	4,208,085.31	98,473.78			
6	F	F1	Expenditure	Euros	ERDF	More developed	5,767,345.75	109,671.72			
6	I	I3	Surface area of habitats supported in order to attain a better conservation status that has been achieved by partially or fully completed operations	hectare	ERDF	Less developed	37.76	20.00	0.00	0.00	0.00
6	I	I3	Surface area of habitats supported in order to attain a better conservation status that has been achieved by partially or fully completed operations	hectare	ERDF	Transition	42.90	0.00	0.00	0.00	0.00
6	I	I3	Surface area of habitats supported in order to attain a better conservation status that has been achieved by partially or fully completed operations	hectare	ERDF	More developed	121.40	10.00	0.00	0.00	0.00
7	O	CO14	Roads: Total length of reconstructed or upgraded roads	km	ERDF	Less developed	0.00	0.00	0.00	0.00	0.00
7	F	F1	Expenditure	Euros	ERDF	Less developed	31,665,290.36	1,130,213.29	0.00	0.00	0.00
7	I	I2	Completion of planning processes and necessary clearances, including SEA for road	percentage	ERDF	Less developed	100.00	0.00			
7	I	I5	Percentage of final target where signalling improved (although this will still be subject to final safety sign-off which cannot be done until end of whole project)	Percentage	ERDF	Less developed	100.00	0.00			
7	O	P7	Length of railway with new or enhanced signalling installation	kilometre	ERDF	Less developed	43.00	0.00			
8	O	CO01	Productive investment: Number of enterprises receiving support	Enterprises	ERDF	Less developed	0.00	0.00			
8	O	CO01	Productive investment: Number of enterprises receiving support	Enterprises	ERDF	Transition	0.00	0.00			
8	O	CO01	Productive investment: Number of enterprises receiving support	Enterprises	ERDF	More developed	0.00	0.00			
8	F	F1	Expenditure	Euros	ERDF	Less developed	443,613.77	72,235.05			
8	F	F1	Expenditure	Euros	ERDF	Transition	234,306.86	49,333.84			
8	F	F1	Expenditure	Euros	ERDF	More developed	633,128.87	242,645.01			
8	I	I6	Number of local development strategies agreed	Number	ERDF	Less developed	4.00	0.00	0.00	0.00	0.00
8	I	I6	Number of local development strategies agreed	Number	ERDF	Transition	4.50	0.00	0.00	0.00	0.00
8	I	I6	Number of local development strategies agreed	Number	ERDF	More developed	12.50	0.00	0.00	0.00	0.00

Priority axis	Ind type	ID	Indicator	Measurement unit	Fund	Category of region	Milestone for 2018 total	Milestone for 2018 men	Milestone for 2018 women	Final target (2023) total	Final target (2023) men	Final target (2023) women
1	O	CO01	Productive investment: Number of enterprises receiving support	Enterprises	ERDF	Less developed	0			559.00		
1	O	CO01	Productive investment: Number of enterprises receiving support	Enterprises	ERDF	Transition	0			5,941.00		
1	O	CO01	Productive investment: Number of enterprises receiving support	Enterprises	ERDF	More developed	0			14,229.00		
1	F	F1	Expenditure	Euros	ERDF	Less developed	17,857,860			113,875,263.00		
1	F	F1	Expenditure	Euros	ERDF	Transition	58,331,594			388,358,977.00		
1	F	F1	Expenditure	Euros	ERDF	More developed	150,229,827			962,418,868.00		
1	I	I1	Number of enterprises receiving support that has been achieved by partially or fully completed operations	Number	ERDF	Less developed	61			61.00		
1	I	I1	Number of enterprises receiving support that has been achieved by partially or fully completed operations	Number	ERDF	Transition	625			625.00		
1	I	I1	Number of enterprises receiving support that has been achieved by partially or fully completed operations	Number	ERDF	More developed	1,554			1,554.00		
10	F	F1	Expenditure	Euros	ERDF	Less developed				620,756.00		
10	F	F1	Expenditure	Euros	ERDF	Transition				10,857,594.00		

Priority axis	Ind type	ID	Indicator	Measurement unit	Fund	Category of region	Milestone for 2018 total	Milestone for 2018 men	Milestone for 2018 women	Final target (2023) total	Final target (2023) men	Final target (2023) women
10	F	F1	Expenditure	Euros	ERDF	More developed				46,082,698.00		
10	O	PCV33	Number of entities supported in combating or counteracting the effects of the COVID-19 pandemic	Number	ERDF	Less developed				2.00		
10	O	PCV33	Number of entities supported in combating or counteracting the effects of the COVID-19 pandemic	Number	ERDF	Transition				47.00		
10	O	PCV33	Number of entities supported in combating or counteracting the effects of the COVID-19 pandemic	Number	ERDF	More developed				201.00		
2	O	CO01	Productive investment: Number of enterprises receiving support	Enterprises	ERDF	Transition	0			1,909.00		
2	O	CO01	Productive investment: Number of enterprises receiving support	Enterprises	ERDF	More developed	0			8,699.00		
2	F	F1	Expenditure	Euros	ERDF	Less developed	3,731,835			23,797,010.00		
2	F	F1	Expenditure	Euros	ERDF	Transition	5,574,377			35,657,164.00		
2	F	F1	Expenditure	Euros	ERDF	More developed	22,104,167			140,952,940.00		
2	I	I7	Additional businesses with broadband access of at least 30Mbps that has been achieved by partially or fully completed operations	Enterprises	ERDF	Less developed	185			185.00		
2	I	I1	Number of enterprises receiving support that has been achieved by partially or fully completed operations	Number	ERDF	Transition	209			209.00		
2	I	I1	Number of enterprises receiving support that has been achieved by partially or fully completed operations	Number	ERDF	More developed	955			955.00		
2	O	P3	Additional businesses with broadband access of at least 30mbps	Enterprises	ERDF	Less developed	0			2,102.00		
3	O	CO01	Productive investment: Number of enterprises receiving support	Enterprises	ERDF	Less developed	0			2,120.00		
3	O	CO01	Productive investment: Number of enterprises receiving support	Enterprises	ERDF	Transition	0			40,632.00		
3	O	CO01	Productive investment: Number of enterprises receiving support	Enterprises	ERDF	More developed	0			51,679.00		
3	F	F1	Expenditure	Euros	ERDF	Less developed	33,779,094			215,401,132.00		
3	F	F1	Expenditure	Euros	ERDF	Transition	135,917,108			866,710,605.00		
3	F	F1	Expenditure	Euros	ERDF	More developed	258,073,380			1,645,671,678.00		
3	I	I1	Number of enterprises receiving support that has been achieved by partially or fully completed operations	Number	ERDF	Less developed	232			232.00		
3	I	I1	Number of enterprises receiving support that has been achieved by partially or fully completed operations	Number	ERDF	Transition	4,461			4,461.00		
3	I	I1	Number of enterprises receiving support that has been achieved by partially or fully completed operations	Number	ERDF	More developed	5,673			5,673.00		
4	O	CO34	GHG reduction: Estimated annual decrease of GHG	Tonnes of CO2eq	ERDF	Less developed	0			23,235.00		
4	O	CO34	GHG reduction: Estimated annual decrease of GHG	Tonnes of CO2eq	ERDF	Transition	0			77,211.00		
4	O	CO34	GHG reduction: Estimated annual decrease of GHG	Tonnes of CO2eq	ERDF	More developed	0			238,306.00		
4	F	F1	Expenditure	Euros	ERDF	Less developed	14,758,103			95,007,897.00		
4	F	F1	Expenditure	Euros	ERDF	Transition	52,670,435			315,714,657.00		
4	F	F1	Expenditure	Euros	ERDF	More developed	152,603,502			974,432,508.00		
4	I	I1	Number of enterprises receiving support that has been achieved by partially or fully completed operations	Number	ERDF	Less developed	41			41.00		
4	I	I1	Number of enterprises receiving support that has been achieved by partially or fully completed operations	Number	ERDF	Transition	556			556.00		
4	I	I1	Number of enterprises receiving support that has been achieved by partially or fully completed operations	Number	ERDF	More developed	1,592			1,592.00		
5	F	F1	Expenditure	Euros	ERDF	Less developed	2,600,433			15,587,392.00		
5	F	F1	Expenditure	Euros	ERDF	Transition	12,417,954			74,435,121.00		
5	F	F1	Expenditure	Euros	ERDF	More developed	8,118,091			48,661,082.00		
5	I	I4	Percentage of schemes in place	Percentage	ERDF	Less developed	100			100.00		
5	I	I4	Percentage of schemes in place	Percentage	ERDF	Transition	100			100.00		
5	I	I4	Percentage of schemes in place	Percentage	ERDF	More developed	100			100.00		
5	O	P6	Businesses and properties with reduced flood risk	Number	ERDF	Less developed	0			174.00		
5	O	P6	Businesses and properties with reduced flood risk	Number	ERDF	Transition	0			4,591.00		
5	O	P6	Businesses and properties with reduced flood risk	Number	ERDF	More developed	0			3,001.00		
6	O	CO23	Nature and biodiversity: Surface area of habitats supported to attain a better conservation status	Hectares	ERDF	Less developed	0			126.00		
6	O	CO23	Nature and biodiversity: Surface area of habitats supported to attain a better conservation status	Hectares	ERDF	Transition	0			290.00		
6	O	CO23	Nature and biodiversity: Surface area of habitats supported to attain a better conservation status	Hectares	ERDF	More developed	0			980.00		
6	F	F1	Expenditure	Euros	ERDF	Less developed	2,518,416			16,059,333.00		
6	F	F1	Expenditure	Euros	ERDF	Transition	5,581,769			35,593,592.00		
6	F	F1	Expenditure	Euros	ERDF	More developed	17,638,686			105,728,986.00		
6	I	I3	Surface area of habitats supported in order to attain a better conservation status that has been achieved by partially or fully completed operations	hectare	ERDF	Less developed	14			14.00		
6	I	I3	Surface area of habitats supported in order to attain a better conservation status that has been achieved by partially or fully completed operations	hectare	ERDF	Transition	32			32.00		
6	I	I3	Surface area of habitats supported in order to attain a better conservation status that has been achieved by partially or fully completed operations	hectare	ERDF	More developed	114			114.00		
7	O	CO14	Roads: Total length of reconstructed or upgraded roads	km	ERDF	Less developed	0			14.00		
7	F	F1	Expenditure	Euros	ERDF	Less developed	11,354,818			72,406,934.00		
7	I	I2	Completion of planning processes and necessary clearances, including SEA for road	percentage	ERDF	Less developed	100			100.00		
7	I	I5	Percentage of final target where signalling improved (although this will still be subject to final safety sign-off which cannot be done until end of whole project)	Percentage	ERDF	Less developed	50			50.00		
7	O	P7	Length of railway with new or enhanced signalling installation	kilometre	ERDF	Less developed	0			43.00		
8	O	CO01	Productive investment: Number of enterprises receiving support	Enterprises	ERDF	Less developed	0			254.00		
8	O	CO01	Productive investment: Number of enterprises receiving support	Enterprises	ERDF	Transition	0			334.00		
8	O	CO01	Productive investment: Number of enterprises receiving support	Enterprises	ERDF	More developed	0			1,093.00		
8	F	F1	Expenditure	Euros	ERDF	Less developed	2,088,693			12,519,944.00		
8	F	F1	Expenditure	Euros	ERDF	Transition	1,549,981			9,290,821.00		



Priority axis	Ind type	ID	Indicator	Measurement unit	Fund	Category of region	Milestone for 2018 total	Milestone for 2018 men	Milestone for 2018 women	Final target (2023) total	Final target (2023) men	Final target (2023) women
8	F	F1	Expenditure	Euros	ERDF	More developed	5,080,383			30,452,589.00		
8	I	I6	Number of local development strategies agreed	Number	ERDF	Less developed	4			4.00		
8	I	I6	Number of local development strategies agreed	Number	ERDF	Transition	4.5			4.50		
8	I	I6	Number of local development strategies agreed	Number	ERDF	More developed	12.5			12.50		

### 3.4 Financial data (Article 50(2) of Regulation (EU) No 1303/2013)

**Table 6: Financial information at priority axis and programme level**

(as set out in Table 1 of Annex II to Commission Implementing Regulation (EU) No 1011/2014 (Model for the transmission of financial data))

Priority axis	Fund	Category of region	Calculation basis	Total fund	Co-financing rate	Total eligible cost of operations selected for support	Proportion of the total allocation covered with selected operations	Public eligible cost of operations selected for support	Total eligible expenditure declared by beneficiaries to the managing authority	Proportion of the total allocation covered by eligible expenditure declared by beneficiaries	Number of operations selected
1	ERDF	Less developed	Total	113,875,263.00	80.00%	118,220,300.00	103.82%	108,427,874.00	95,402,781.00	83.78%	18
1	ERDF	Transition	Total	388,358,977.00	60.00%	417,763,036.00	107.57%	377,932,914.00	336,963,442.00	86.77%	132
1	ERDF	More developed	Total	962,418,868.00	50.00%	890,104,511.00	92.49%	693,116,741.00	695,845,388.00	72.30%	210
10	ERDF	Less developed	Total	775,945.00	80.00%	1,470,454.00	189.50%	1,470,454.00	1,358,727.00	175.11%	1
10	ERDF	Transition	Total	18,095,990.00	60.00%	24,859,992.00	137.38%	24,859,992.00	20,710,209.00	114.45%	1
10	ERDF	More developed	Total	92,165,396.00	50.00%	95,425,236.00	103.54%	95,425,236.00	74,323,717.00	80.64%	1
2	ERDF	Less developed	Total	23,797,010.00	80.00%	23,612,935.00	99.23%	21,656,845.00	20,501,793.00	86.15%	4
2	ERDF	Transition	Total	35,657,164.00	60.00%	32,746,391.00	91.84%	27,407,862.00	18,650,288.00	52.30%	13
2	ERDF	More developed	Total	140,952,940.00	50.00%	122,852,016.00	87.16%	95,869,852.00	93,477,845.00	66.32%	28
3	ERDF	Less developed	Total	215,401,132.00	80.00%	225,921,038.00	104.88%	182,344,847.00	181,169,638.00	84.11%	46
3	ERDF	Transition	Total	866,710,606.00	60.00%	1,039,640,254.00	119.95%	661,974,073.00	825,291,142.00	95.22%	180
3	ERDF	More developed	Total	1,645,671,678.00	50.00%	1,996,657,775.00	121.33%	1,243,702,220.00	1,647,648,980.00	100.12%	289
4	ERDF	Less developed	Total	95,007,897.00	80.00%	120,021,091.00	126.33%	90,688,555.00	104,480,758.00	109.97%	11
4	ERDF	Transition	Total	315,714,657.00	60.00%	293,752,519.00	93.04%	248,433,009.00	207,588,277.00	65.75%	56
4	ERDF	More developed	Total	974,432,508.00	50.00%	961,207,491.00	98.64%	670,259,005.00	599,429,115.00	61.52%	120
5	ERDF	Less developed	Total	15,587,392.00	80.00%	24,402,133.00	156.55%	23,862,630.00	10,866,803.00	69.72%	3
5	ERDF	Transition	Total	74,435,121.00	60.00%	67,910,039.00	91.23%	67,604,712.00	62,627,242.00	84.14%	10
5	ERDF	More developed	Total	48,661,082.00	50.00%	48,107,763.00	98.86%	48,107,763.00	29,572,218.00	60.77%	10
6	ERDF	Less developed	Total	16,059,333.00	80.00%	17,552,746.00	109.30%	17,040,628.00	15,546,002.00	96.80%	5
6	ERDF	Transition	Total	35,593,592.00	60.00%	31,572,079.00	88.70%	28,572,455.00	22,873,385.00	64.26%	19
6	ERDF	More developed	Total	105,728,986.00	50.00%	75,381,144.00	71.30%	70,966,461.00	49,589,235.00	46.90%	31
7	ERDF	Less developed	Total	72,406,934.00	80.00%	338,089,204.00	466.93%	335,263,144.00	70,058,743.00	96.76%	10
8	ERDF	Less developed	Total	12,519,945.00	90.00%	12,753,134.00	101.86%	11,406,646.00	6,207,082.00	49.58%	5
8	ERDF	Transition	Total	9,290,821.00	70.00%	8,454,645.00	91.00%	7,090,757.00	6,144,035.00	66.13%	11
8	ERDF	More developed	Total	30,452,589.00	60.00%	28,388,358.00	93.22%	24,722,217.00	15,402,237.00	50.58%	29
9	ERDF	Less developed	Total	22,785,038.00	80.00%	22,668,293.00	99.49%	22,668,293.00	17,507,441.00	76.84%	5

		developed									
9	ERDF	Transition	Total	71,323,782.00	60.00%	52,914,747.00	74.19%	52,800,378.00	27,026,016.00	37.89%	17
9	ERDF	More developed	Total	168,216,224.00	50.00%	115,837,182.00	68.86%	114,675,948.00	53,423,345.00	31.76%	39
<b>Total</b>	<b>ERDF</b>	<b>Less developed</b>		<b>588,215,889.00</b>	<b>80.21%</b>	<b>904,711,328.00</b>	<b>153.81%</b>	<b>814,829,916.00</b>	<b>523,099,768.00</b>	<b>88.93%</b>	<b>108</b>
<b>Total</b>	<b>ERDF</b>	<b>Transition</b>		<b>1,815,180,710.00</b>	<b>60.05%</b>	<b>1,969,613,702.00</b>	<b>108.51%</b>	<b>1,496,676,152.00</b>	<b>1,527,874,036.00</b>	<b>84.17%</b>	<b>439</b>
<b>Total</b>	<b>ERDF</b>	<b>More developed</b>		<b>4,168,700,271.00</b>	<b>50.07%</b>	<b>4,333,961,476.00</b>	<b>103.96%</b>	<b>3,056,845,443.00</b>	<b>3,258,712,080.00</b>	<b>78.17%</b>	<b>757</b>
<b>Grand total</b>				<b>6,572,096,870.00</b>	<b>55.53%</b>	<b>7,208,286,506.00</b>	<b>109.68%</b>	<b>5,368,351,511.00</b>	<b>5,309,685,884.00</b>	<b>80.79%</b>	<b>1,304</b>

**Table 7: Breakdown of the cumulative financial data by category of intervention for the ERDF, the ERDF REACT-EU, the ESF, the ESF REACT-EU and the Cohesion Fund (Article 112(1) and (2) of Regulation (EU) No 1303/2013 and Article 5 of Regulation (EU) No 1304/2013)**

Priority axis	Characteristics of expenditure		Categorisation dimensions								Financial data			
	Fund	Category of region	Intervention field	Form of finance	Territorial dimension	Territorial delivery mechanism	Thematic objective dimension	ESF secondary theme	Economic dimension	Location dimension	Total eligible cost of operations selected for support	Public eligible cost of operations selected for support	Total eligible expenditure declared by beneficiaries to the managing authority	Number of operations selected
1	ERDF	Less developed	056	01	07	03	01		24	UKK	1,006,206.00	941,030.00	394,827.00	1
1	ERDF	Less developed	056	04	07	03	01		24	UKK	17,803,285.00	16,365,688.00	11,286,681.00	1
1	ERDF	Less developed	058	01	07	03	01		08	UKK	23,586,370.00	23,586,370.00	20,098,480.00	1
1	ERDF	Less developed	062	01	07	03	01		24	UKK	2,765,366.00	2,675,884.00	2,538,751.00	1
1	ERDF	Less developed	064	01	07	03	01		01	UKK	13,370,099.00	12,820,035.00	13,283,810.00	1
1	ERDF	Less developed	064	01	07	03	01		20	UKK	8,357,273.00	8,112,235.00	7,123,628.00	2
1	ERDF	Less developed	064	01	07	03	01		24	UKK	31,546,000.00	25,834,435.00	27,793,101.00	5
1	ERDF	Less developed	065	01	07	03	01		13	UKK	4,919,753.00	4,441,383.00	1,738,278.00	1
1	ERDF	Less developed	065	01	07	03	01		20	UKK	6,163,304.00	5,571,900.00	4,320,840.00	2
1	ERDF	Less developed	065	01	07	03	01		22	UKK	1,539,854.00	1,185,950.00	1,414,672.00	1
1	ERDF	Less developed	065	01	07	03	01		24	UKK	7,162,790.00	6,892,964.00	5,409,713.00	2
1	ERDF	Transition	056	01	07	07	01		07	UKE	1,658,848.00	878,094.00	1,553,891.00	1
1	ERDF	Transition	056	01	07	07	01		20	UKD	18,452,736.00	18,452,736.00	16,707,966.00	1
1	ERDF	Transition	056	01	07	07	01		21	UKE	1,712,025.00	771,146.00	771,146.00	1
1	ERDF	Transition	056	01	07	07	01		24	UKC	423,250.00	280,935.00	307,395.00	1
1	ERDF	Transition	056	01	07	07	01		24	UKE	12,675,756.00	11,154,434.00	11,002,269.00	2
1	ERDF	Transition	056	01	07	07	01		24	UKK	8,720,631.00	8,706,744.00	6,165,439.00	2
1	ERDF	Transition	058	01	07	07	01		20	UKG	2,455,446.00	2,455,446.00	1,966,699.00	1
1	ERDF	Transition	058	01	07	07	01		22	UKC	16,646,856.00	16,646,856.00	4,522,568.00	1
1	ERDF	Transition	058	01	07	07	01		22	UKE	3,320,795.00	3,232,195.00	2,440,354.00	1
1	ERDF	Transition	058	01	07	07	01		24	UKC	17,693,797.00	17,693,797.00	17,656,733.00	3
1	ERDF	Transition	058	01	07	07	01		24	UKD	22,728,297.00	20,470,961.00	22,724,305.00	2
1	ERDF	Transition	058	01	07	07	01		24	UKE	38,348,797.00	38,348,797.00	32,244,194.00	6
1	ERDF	Transition	058	01	07	07	01		24	UKF	11,916,997.00	11,464,175.00	11,776,019.00	2
1	ERDF	Transition	058	01	07	07	01		24	UKK	1,934,779.00	1,934,779.00	1,934,779.00	1
1	ERDF	Transition	059	01	07	07	01		20	UKC	1,596,660.00	1,596,660.00	1,589,944.00	1
1	ERDF	Transition	059	01	07	07	01		24	UKG	19,243,639.00	19,243,639.00	17,051,973.00	1
1	ERDF	Transition	060	01	07	07	01		07	UKC	1,708,503.00	1,708,503.00	1,259,990.00	1
1	ERDF	Transition	060	01	07	07	01		07	UKF	7,064,279.00	6,379,363.00	6,596,430.00	1
1	ERDF	Transition	060	01	07	07	01		18	UKK	1,956,095.00	1,956,095.00	1,584,721.00	1
1	ERDF	Transition	060	01	07	07	01		24	UKC	5,617,272.00	4,563,992.00	4,229,166.00	2
1	ERDF	Transition	060	01	07	07	01		24	UKE	2,967,530.00	2,967,530.00	2,815,876.00	3
1	ERDF	Transition	060	01	07	07	01		24	UKK	5,372,067.00	5,372,067.00	5,331,146.00	1
1	ERDF	Transition	061	01	07	07	01		07	UKC	4,623,584.00	4,623,584.00	4,383,807.00	1
1	ERDF	Transition	061	01	07	07	01		24	UKC	7,802,743.00	6,184,900.00	6,880,824.00	1
1	ERDF	Transition	062	01	07	01	01		12	UKG	86,483.00	86,483.00	54,652.00	1
1	ERDF	Transition	062	01	07	07	01		03	UKF	4,398,800.00	2,639,276.00	4,398,005.00	1
1	ERDF	Transition	062	01	07	07	01		03	UKG	3,859,671.00	3,859,671.00	2,964,545.00	1
1	ERDF	Transition	062	01	07	07	01		07	UKC	2,390,659.00	2,390,659.00	2,037,864.00	2
1	ERDF	Transition	062	01	07	07	01		07	UKD	6,395,297.00	5,718,097.00	6,355,682.00	1
1	ERDF	Transition	062	01	07	07	01		07	UKG	5,197,138.00	3,680,732.00	3,698,510.00	4

Priority axis	Characteristics of expenditure		Categorisation dimensions								Financial data			
	Fund	Category of region	Intervention field	Form of finance	Territorial dimension	Territorial delivery mechanism	Thematic objective dimension	ESF secondary theme	Economic dimension	Location dimension	Total eligible cost of operations selected for support	Public eligible cost of operations selected for support	Total eligible expenditure declared by beneficiaries to the managing authority	Number of operations selected
1	ERDF	Transition	062	01	07	07	01		10	UKG	834,474.00	834,474.00	411,454.00	1
1	ERDF	Transition	062	01	07	07	01		24	UKC	765,773.00	684,033.00	664,175.00	1
1	ERDF	Transition	062	01	07	07	01		24	UKD	19,165,571.00	17,549,522.00	10,069,481.00	4
1	ERDF	Transition	062	01	07	07	01		24	UKE	1,832,465.00	1,832,465.00	782,127.00	1
1	ERDF	Transition	062	01	07	07	01		24	UKG	5,804,156.00	5,804,156.00	4,072,016.00	3
1	ERDF	Transition	063	01	07	07	01		24	UKC	826,152.00	524,844.00	713,036.00	2
1	ERDF	Transition	063	01	07	07	01		24	UKD	2,179,372.00	2,179,372.00	540,842.00	1
1	ERDF	Transition	064	01	07	07	01		06	UKF	1,422,230.00	1,422,230.00	958,156.00	1
1	ERDF	Transition	064	01	07	07	01		07	UKF	3,675,118.00	3,030,648.00	3,380,562.00	1
1	ERDF	Transition	064	01	07	07	01		07	UKG	772,091.00	646,507.00	816,556.00	4
1	ERDF	Transition	064	01	07	07	01		20	UKG	3,054,044.00	3,054,044.00	2,558,355.00	2
1	ERDF	Transition	064	01	07	07	01		20	UKK	1,237,234.00	882,867.00	1,026,109.00	1
1	ERDF	Transition	064	01	07	07	01		24	UKC	18,615,604.00	15,627,438.00	18,196,743.00	14
1	ERDF	Transition	064	01	07	07	01		24	UKD	3,539,784.00	3,539,784.00	2,676,074.00	2
1	ERDF	Transition	064	01	07	07	01		24	UKE	8,382,944.00	6,970,413.00	5,243,434.00	4
1	ERDF	Transition	064	01	07	07	01		24	UKF	4,400,312.00	3,272,653.00	1,788,316.00	2
1	ERDF	Transition	064	01	07	07	01		24	UKG	7,374,505.00	6,702,496.00	5,075,791.00	9
1	ERDF	Transition	064	01	07	07	01		24	UKK	790,013.00	790,013.00	793,216.00	1
1	ERDF	Transition	065	01	07	01	01		13	UKG	2,427,214.00	2,427,214.00	1,620,658.00	1
1	ERDF	Transition	065	01	07	07	01		07	UKG	6,738,287.00	6,516,545.00	5,839,605.00	6
1	ERDF	Transition	065	01	07	07	01		24	UKC	292,570.00	267,360.00	292,570.00	1
1	ERDF	Transition	065	01	07	07	01		24	UKD	18,312,108.00	17,111,435.00	15,504,605.00	4
1	ERDF	Transition	065	01	07	07	01		24	UKE	2,377,167.00	1,188,583.00	871,319.00	1
1	ERDF	Transition	065	01	07	07	01		24	UKK	9,119,016.00	8,545,049.00	7,343,477.00	1
1	ERDF	Transition	067	01	07	07	01		07	UKG	117,580.00	117,580.00	1,983.00	1
1	ERDF	Transition	067	01	07	07	01		24	UKC	8,490,059.00	6,725,944.00	4,020,359.00	7
1	ERDF	Transition	067	01	07	07	01		24	UKD	16,237,474.00	16,047,119.00	14,536,342.00	4
1	ERDF	Transition	067	01	07	07	01		24	UKG	6,922,522.00	4,153,668.00	5,456,244.00	1
1	ERDF	Transition	067	01	07	07	01		24	UKK	865,765.00	414,063.00	865,765.00	1
1	ERDF	Transition	067	03	07	07	01		24	UKD	13,445,479.00	7,303,739.00	13,448,919.00	1
1	ERDF	Transition	067	04	07	07	01		24	UKD	8,776,523.00	5,363,431.00	4,388,261.00	1
1	ERDF	More developed	056	01	07	07	01		07	UKE	16,385,942.00	8,655,918.00	12,107,878.00	2
1	ERDF	More developed	056	01	07	07	01		20	UKC	14,330,427.00	9,555,677.00	14,186,064.00	1
1	ERDF	More developed	056	01	07	07	01		24	UKC	6,475,676.00	5,941,994.00	6,446,393.00	2
1	ERDF	More developed	056	01	07	07	01		24	UKD	13,806,487.00	13,792,243.00	13,990,264.00	2
1	ERDF	More developed	056	01	07	07	01		24	UKE	3,336,048.00	1,808,048.00	1,384,404.00	1
1	ERDF	More developed	056	01	07	07	01		24	UKH	5,605,909.00	2,802,954.00	1,590,473.00	1
1	ERDF	More developed	058	01	07	01	01		24	UKI	6,620,767.00	6,620,767.00	1,015,381.00	1
1	ERDF	More developed	058	01	07	07	01		03	UKH	12,901,871.00	12,883,341.00	10,437,652.00	1
1	ERDF	More developed	058	01	07	07	01		04	UKE	1,989,230.00	1,989,230.00	1,842,979.00	1
1	ERDF	More developed	058	01	07	07	01		05	UKG	12,896,100.00	12,896,100.00	0.00	1
1	ERDF	More developed	058	01	07	07	01		07	UKC	9,265,471.00	9,265,471.00	9,265,471.00	1
1	ERDF	More developed	058	01	07	07	01		07	UKK	8,248,372.00	8,248,372.00	8,013,327.00	1
1	ERDF	More developed	058	01	07	07	01		12	UKE	1,993,484.00	1,993,484.00	1,569,942.00	1
1	ERDF	More	058	01	07	07	01		13	UKK	4,023,059.00	4,023,059.00	2,082,235.00	1

Priority axis	Characteristics of expenditure		Categorisation dimensions								Financial data			
	Fund	Category of region	Intervention field	Form of finance	Territorial dimension	Territorial delivery mechanism	Thematic objective dimension	ESF secondary theme	Economic dimension	Location dimension	Total eligible cost of operations selected for support	Public eligible cost of operations selected for support	Total eligible expenditure declared by beneficiaries to the managing authority	Number of operations selected
		developed												
1	ERDF	More developed	058	01	07	07	01		20	UKK	13,751,869.00	13,751,869.00	12,722,417.00	2
1	ERDF	More developed	058	01	07	07	01		23	UKE	11,418,945.00	5,899,209.00	9,055,545.00	2
1	ERDF	More developed	058	01	07	07	01		24	UKC	493,758.00	493,758.00	493,758.00	1
1	ERDF	More developed	058	01	07	07	01		24	UKD	14,538,672.00	14,538,672.00	11,675,108.00	1
1	ERDF	More developed	059	01	07	07	01		24	UKH	5,618,488.00	4,829,420.00	2,143,064.00	1
1	ERDF	More developed	060	01	07	07	01		07	UKC	2,669,718.00	2,669,718.00	1,282,470.00	1
1	ERDF	More developed	060	01	07	07	01		07	UKG	1,584,477.00	1,584,477.00	1,570,875.00	1
1	ERDF	More developed	060	01	07	07	01		08	UKG	4,907,200.00	4,907,200.00	4,293,862.00	1
1	ERDF	More developed	060	01	07	07	01		20	UKK	5,172,902.00	4,896,944.00	5,173,199.00	1
1	ERDF	More developed	060	01	07	07	01		24	UKC	10,139,560.00	6,789,495.00	7,285,406.00	2
1	ERDF	More developed	060	01	07	07	01		24	UKE	10,899,012.00	10,899,012.00	9,320,390.00	4
1	ERDF	More developed	060	01	07	07	01		24	UKF	3,656,326.00	2,288,007.00	1,433,280.00	1
1	ERDF	More developed	060	01	07	07	01		24	UKJ	1,362,334.00	1,362,334.00	228,994.00	1
1	ERDF	More developed	061	01	07	07	01		07	UKC	1,836,243.00	1,836,243.00	1,838,754.00	1
1	ERDF	More developed	061	01	07	07	01		07	UKE	2,932,419.00	2,932,419.00	2,392,090.00	1
1	ERDF	More developed	061	01	07	07	01		24	UKD	2,276,135.00	1,138,067.00	1,597,562.00	1
1	ERDF	More developed	061	01	07	07	01		24	UKH	16,802,912.00	15,470,689.00	15,595,944.00	3
1	ERDF	More developed	061	01	07	07	01		24	UKJ	1,390,521.00	1,277,654.00	119,083.00	1
1	ERDF	More developed	062	01	07	01	01		03	UKI	5,000,576.00	5,000,576.00	3,103,875.00	1
1	ERDF	More developed	062	01	07	01	01		12	UKG	4,663,602.00	4,663,602.00	4,254,436.00	1
1	ERDF	More developed	062	01	07	01	01		13	UKI	5,079,006.00	4,337,099.00	5,062,897.00	1
1	ERDF	More developed	062	01	07	01	01		20	UKI	17,799,312.00	14,264,014.00	14,427,197.00	3
1	ERDF	More developed	062	01	07	01	01		24	UKI	5,697,922.00	4,629,017.00	4,887,662.00	3
1	ERDF	More developed	062	01	07	07	01		03	UKG	457,005.00	457,005.00	457,005.00	1
1	ERDF	More developed	062	01	07	07	01		05	UKF	3,053,994.00	2,136,618.00	2,644,206.00	1
1	ERDF	More developed	062	01	07	07	01		07	UKC	5,635,034.00	5,635,034.00	4,674,033.00	1
1	ERDF	More developed	062	01	07	07	01		07	UKD	12,499,642.00	12,499,642.00	6,279,222.00	3
1	ERDF	More developed	062	01	07	07	01		07	UKG	16,375,578.00	13,419,886.00	14,841,860.00	4
1	ERDF	More developed	062	01	07	07	01		08	UKG	1,608,932.00	1,608,932.00	1,372,035.00	1
1	ERDF	More developed	062	01	07	07	01		10	UKG	1,857,376.00	1,857,376.00	2,184,348.00	1
1	ERDF	More developed	062	01	07	07	01		13	UKF	5,469,993.00	2,735,001.00	5,168,496.00	1
1	ERDF	More	062	01	07	07	01		20	UKF	17,109,000.00	10,400,447.00	13,677,991.00	4

Priority axis	Characteristics of expenditure		Categorisation dimensions								Financial data			
	Fund	Category of region	Intervention field	Form of finance	Territorial dimension	Territorial delivery mechanism	Thematic objective dimension	ESF secondary theme	Economic dimension	Location dimension	Total eligible cost of operations selected for support	Public eligible cost of operations selected for support	Total eligible expenditure declared by beneficiaries to the managing authority	Number of operations selected
		developed												
1	ERDF	More developed	062	01	07	07	01		24	UKC	3,063,092.00	2,736,135.00	2,663,460.00	1
1	ERDF	More developed	062	01	07	07	01		24	UKD	24,274,831.00	22,919,930.00	16,280,193.00	6
1	ERDF	More developed	062	01	07	07	01		24	UKE	209,553.00	209,553.00	33,178.00	1
1	ERDF	More developed	062	01	07	07	01		24	UKF	25,214,860.00	19,808,213.00	24,283,210.00	2
1	ERDF	More developed	062	01	07	07	01		24	UKG	997,866.00	997,866.00	758,243.00	1
1	ERDF	More developed	062	01	07	07	01		24	UKH	4,388,956.00	4,281,450.00	3,545,432.00	2
1	ERDF	More developed	062	01	07	07	01		24	UKJ	21,336,153.00	10,274,506.00	17,434,212.00	4
1	ERDF	More developed	062	01	07	07	01		24	UKK	2,054,509.00	1,786,758.00	1,654,706.00	1
1	ERDF	More developed	063	01	07	01	01		24	UKI	9,288,997.00	6,479,275.00	7,319,591.00	1
1	ERDF	More developed	063	01	07	07	01		24	UKC	4,681,487.00	2,547,235.00	3,629,411.00	2
1	ERDF	More developed	064	01	07	01	01		24	UKC	1,946,604.00	1,778,531.00	1,000,880.00	1
1	ERDF	More developed	064	01	07	01	01		24	UKI	1,128,668.00	1,128,668.00	1,110,957.00	1
1	ERDF	More developed	064	01	07	07	01		06	UKF	11,030,074.00	11,030,074.00	8,368,146.00	1
1	ERDF	More developed	064	01	07	07	01		07	UKF	7,642,939.00	4,762,459.00	4,973,363.00	3
1	ERDF	More developed	064	01	07	07	01		07	UKG	44,031,615.00	31,837,246.00	36,525,569.00	11
1	ERDF	More developed	064	01	07	07	01		13	UKF	597,383.00	542,049.00	553,608.00	1
1	ERDF	More developed	064	01	07	07	01		20	UKK	2,565,319.00	1,351,174.00	2,521,127.00	2
1	ERDF	More developed	064	01	07	07	01		22	UKH	2,421,752.00	1,210,875.00	2,047,874.00	1
1	ERDF	More developed	064	01	07	07	01		24	UKC	10,946,685.00	8,619,967.00	10,509,785.00	10
1	ERDF	More developed	064	01	07	07	01		24	UKE	20,389,956.00	14,662,243.00	14,363,744.00	9
1	ERDF	More developed	064	01	07	07	01		24	UKF	30,910,784.00	21,390,290.00	15,715,679.00	5
1	ERDF	More developed	064	01	07	07	01		24	UKG	33,286,907.00	25,347,296.00	25,358,011.00	11
1	ERDF	More developed	064	01	07	07	01		24	UKH	15,982,363.00	9,446,630.00	13,643,676.00	3
1	ERDF	More developed	064	01	07	07	01		24	UKJ	23,409,749.00	14,158,238.00	20,795,793.00	4
1	ERDF	More developed	064	01	07	07	01		24	UKK	21,390,834.00	13,051,232.00	17,452,432.00	10
1	ERDF	More developed	065	01	07	01	01		20	UKI	2,707,708.00	1,922,473.00	1,822,009.00	1
1	ERDF	More developed	065	01	07	01	01		24	UKI	5,679,113.00	5,644,422.00	2,828,170.00	2
1	ERDF	More developed	065	01	07	07	01		01	UKK	1,740,875.00	1,707,015.00	1,051,516.00	1
1	ERDF	More developed	065	01	07	07	01		06	UKG	4,380,033.00	3,069,362.00	2,683,664.00	1
1	ERDF	More developed	065	01	07	07	01		07	UKF	13,038,184.00	8,293,172.00	9,935,890.00	2
1	ERDF	More developed	065	01	07	07	01		07	UKG	20,277,259.00	18,853,084.00	16,857,260.00	5
1	ERDF	More	065	01	07	07	01		24	UKC	484,455.00	442,709.00	484,455.00	1

Priority axis	Characteristics of expenditure		Categorisation dimensions								Financial data			
	Fund	Category of region	Intervention field	Form of finance	Territorial dimension	Territorial delivery mechanism	Thematic objective dimension	ESF secondary theme	Economic dimension	Location dimension	Total eligible cost of operations selected for support	Public eligible cost of operations selected for support	Total eligible expenditure declared by beneficiaries to the managing authority	Number of operations selected
		developed												
1	ERDF	More developed	065	01	07	07	01		24	UKD	2,360,385.00	2,317,691.00	2,524,126.00	2
1	ERDF	More developed	065	01	07	07	01		24	UKE	178,925.00	89,462.00	9,758.00	1
1	ERDF	More developed	065	01	07	07	01		24	UKF	1,687,644.00	852,090.00	690,542.00	1
1	ERDF	More developed	065	01	07	07	01		24	UKH	8,261,493.00	6,446,957.00	4,428,373.00	2
1	ERDF	More developed	067	01	07	01	01		24	UKI	5,540,101.00	5,540,101.00	4,299,686.00	2
1	ERDF	More developed	067	01	07	07	01		07	UKD	3,691,923.00	3,529,404.00	1,502,020.00	1
1	ERDF	More developed	067	01	07	07	01		07	UKG	3,801,758.00	3,801,758.00	2,648,948.00	1
1	ERDF	More developed	067	01	07	07	01		24	UKC	9,052,963.00	7,598,505.00	5,822,238.00	4
1	ERDF	More developed	067	01	07	07	01		24	UKD	25,992,338.00	24,931,492.00	21,742,490.00	6
1	ERDF	More developed	067	01	07	07	01		24	UKG	4,223,847.00	4,223,847.00	3,424,994.00	2
1	ERDF	More developed	067	01	07	07	01		24	UKH	2,192,532.00	2,192,532.00	2,192,676.00	1
1	ERDF	More developed	067	01	07	07	01		24	UKI	1,628,869.00	1,628,869.00	1,635,081.00	1
1	ERDF	More developed	067	01	07	07	01		24	UKJ	3,357,730.00	3,199,166.00	3,174,519.00	2
1	ERDF	More developed	067	01	07	07	01		24	UKK	6,357,526.00	5,207,994.00	3,747,725.00	3
1	ERDF	More developed	067	03	07	07	01		24	UKD	17,259,341.00	9,375,472.00	17,263,387.00	1
1	ERDF	More developed	067	04	07	07	01		24	UKD	84,496,613.00	54,489,840.00	70,465,010.00	3
1	ERDF	More developed	067	04	07	07	01		24	UKF	6,883,654.00	3,715,337.00	6,879,044.00	1
10	ERDF	Less developed	088	01	07	07	08		24	UK	1,470,454.00	1,470,454.00	1,358,727.00	1
10	ERDF	Transition	088	01	07	07	08		24	UK	24,859,992.00	24,859,992.00	20,710,209.00	1
10	ERDF	More developed	088	01	07	07	08		24	UK	95,425,236.00	95,425,236.00	74,323,717.00	1
2	ERDF	Less developed	046	01	07	03	02		13	UKK	19,317,122.00	18,220,194.00	16,205,980.00	3
2	ERDF	Less developed	082	01	07	03	02		13	UKK	4,295,813.00	3,436,651.00	4,295,813.00	1
2	ERDF	Transition	046	01	07	07	02		13	UKE	3,712,859.00	2,427,306.00	3,556,321.00	2
2	ERDF	Transition	046	01	07	07	02		13	UKG	1,706,665.00	1,706,665.00	1,693,502.00	1
2	ERDF	Transition	046	01	07	07	02		24	UKF	5,304,742.00	5,304,742.00	538,515.00	1
2	ERDF	Transition	046	01	07	07	02		24	UKK	6,772,014.00	6,772,014.00	3,091,275.00	1
2	ERDF	Transition	082	01	07	07	02		13	UKD	942,437.00	942,437.00	888,506.00	1
2	ERDF	Transition	082	01	07	07	02		13	UKG	763,574.00	715,041.00	343,186.00	1
2	ERDF	Transition	082	01	07	07	02		24	UKC	247,756.00	108,007.00	263,823.00	1
2	ERDF	Transition	082	01	07	07	02		24	UKD	2,762,940.00	2,762,940.00	1,925,891.00	1
2	ERDF	Transition	082	01	07	07	02		24	UKE	8,751,686.00	5,289,580.00	5,041,883.00	2
2	ERDF	Transition	082	01	07	07	02		24	UKF	1,781,718.00	1,379,130.00	1,307,386.00	2
2	ERDF	More developed	046	01	07	01	02		13	UKH	1,307,553.00	1,307,553.00	1,284,234.00	1
2	ERDF	More developed	046	01	07	01	02		24	UKD	4,863,650.00	4,863,650.00	1,376,426.00	1
2	ERDF	More developed	046	01	07	07	02		13	UKE	22,210,053.00	22,168,618.00	21,896,153.00	3
2	ERDF	More developed	046	01	07	07	02		13	UKG	13,879,049.00	13,137,360.00	10,619,701.00	3



Priority axis	Characteristics of expenditure		Categorisation dimensions								Financial data			
	Fund	Category of region	Intervention field	Form of finance	Territorial dimension	Territorial delivery mechanism	Thematic objective dimension	ESF secondary theme	Economic dimension	Location dimension	Total eligible cost of operations selected for support	Public eligible cost of operations selected for support	Total eligible expenditure declared by beneficiaries to the managing authority	Number of operations selected
2	ERDF	More developed	046	01	07	07	02		13	UKH	3,811,422.00	3,519,610.00	3,778,116.00	2
2	ERDF	More developed	046	01	07	07	02		24	UKG	2,544,958.00	2,544,958.00	2,544,958.00	1
2	ERDF	More developed	046	01	07	07	02		24	UKK	1,536,568.00	1,536,568.00	0.00	1
2	ERDF	More developed	082	01	07	01	02		13	UKI	3,160,343.00	3,160,343.00	2,508,182.00	1
2	ERDF	More developed	082	01	07	01	02		24	UKI	1,292,549.00	1,292,549.00	551,973.00	1
2	ERDF	More developed	082	01	07	07	02		13	UKF	22,859,164.00	13,470,212.00	19,208,752.00	5
2	ERDF	More developed	082	01	07	07	02		13	UKG	1,985,215.00	1,546,728.00	850,847.00	2
2	ERDF	More developed	082	01	07	07	02		24	UKD	1,242,663.00	1,242,663.00	1,242,390.00	1
2	ERDF	More developed	082	01	07	07	02		24	UKE	33,173,217.00	18,956,810.00	23,661,135.00	2
2	ERDF	More developed	082	01	07	07	02		24	UKF	4,691,789.00	2,852,786.00	2,972,379.00	3
2	ERDF	More developed	082	01	07	07	02		24	UKG	4,293,823.00	4,269,444.00	982,599.00	1
3	ERDF	Less developed	001	01	07	03	03		07	UKK	706,896.00	565,516.00	455,725.00	1
3	ERDF	Less developed	001	01	07	03	03		08	UKK	12,394,949.00	12,394,949.00	5,963,148.00	2
3	ERDF	Less developed	001	01	07	03	03		23	UKK	5,207,951.00	4,858,687.00	3,590,322.00	1
3	ERDF	Less developed	001	01	07	03	03		24	UKK	3,260,891.00	3,101,932.00	3,177,041.00	2
3	ERDF	Less developed	056	01	07	03	03		08	UKK	11,415,457.00	11,415,457.00	1,928,201.00	1
3	ERDF	Less developed	056	01	07	03	03		24	UKK	7,270,763.00	7,270,763.00	6,380,345.00	2
3	ERDF	Less developed	056	04	07	03	03		24	UKK	17,803,285.00	16,365,688.00	11,286,681.00	1
3	ERDF	Less developed	066	01	07	03	03		07	UKK	1,054,264.00	814,958.00	1,054,264.00	1
3	ERDF	Less developed	066	01	07	03	03		24	UKK	13,675,751.00	12,040,051.00	12,685,043.00	5
3	ERDF	Less developed	066	01	07	07	03		24	UKK	4,387,616.00	4,387,616.00	4,565,717.00	1
3	ERDF	Less developed	067	01	07	03	03		23	UKK	2,797,098.00	2,599,581.00	2,797,098.00	1
3	ERDF	Less developed	067	01	07	03	03		24	UKK	81,388,621.00	63,602,519.00	73,950,199.00	17
3	ERDF	Less developed	067	01	07	07	03		07	UKK	159,453.00	127,562.00	209,458.00	1
3	ERDF	Less developed	072	01	07	03	03		08	UKK	32,899,320.00	23,182,031.00	32,864,405.00	6
3	ERDF	Less developed	072	01	07	03	03		24	UKK	22,523,885.00	14,989,451.00	14,710,766.00	3
3	ERDF	Less developed	073	01	07	03	03		24	UKK	8,974,838.00	4,628,086.00	5,551,225.00	1
3	ERDF	Transition	001	01	07	07	03		07	UKF	4,805,270.00	2,883,162.00	4,358,665.00	1
3	ERDF	Transition	001	01	07	07	03		07	UKG	31,163,711.00	14,727,132.00	18,792,943.00	3
3	ERDF	Transition	001	01	07	07	03		15	UKG	1,010,136.00	1,010,136.00	1,509,365.00	1
3	ERDF	Transition	001	01	07	07	03		24	UKC	22,872,790.00	20,888,660.00	17,603,758.00	8
3	ERDF	Transition	001	01	07	07	03		24	UKD	10,896,570.00	10,474,493.00	9,137,814.00	2
3	ERDF	Transition	001	01	07	07	03		24	UKE	22,977,199.00	15,200,544.00	8,871,698.00	4
3	ERDF	Transition	001	01	07	07	03		24	UKF	28,668,804.00	21,733,418.00	19,564,833.00	7
3	ERDF	Transition	001	01	07	07	03		24	UKG	53,302,918.00	27,029,547.00	43,557,316.00	15

Priority axis	Characteristics of expenditure		Categorisation dimensions								Financial data			
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3	ERDF	Transition	001	01	07	07	03		24	UKH	1,504,890.00	934,922.00	286,757.00	1
3	ERDF	Transition	001	01	07	07	03		24	UKK	3,026,461.00	2,765,267.00	2,206,094.00	4
3	ERDF	Transition	001	04	07	07	03		24	UKG	73,425,647.00	39,630,262.00	72,338,730.00	1
3	ERDF	Transition	056	01	07	07	03		24	UKD	5,226,875.00	5,293,286.00	5,293,286.00	2
3	ERDF	Transition	056	01	07	07	03		24	UKG	15,989,332.00	15,989,332.00	11,295,654.00	1
3	ERDF	Transition	063	01	07	07	03		12	UKF	1,483,449.00	889,030.00	821,446.00	1
3	ERDF	Transition	063	01	07	07	03		24	UKE	564,937.00	564,937.00	524,308.00	1
3	ERDF	Transition	066	01	07	07	03		13	UKD	950,902.00	570,541.00	548,583.00	1
3	ERDF	Transition	066	01	07	07	03		24	UKC	14,139,435.00	9,887,814.00	11,561,398.00	4
3	ERDF	Transition	066	01	07	07	03		24	UKD	25,033,149.00	11,710,270.00	5,380,289.00	3
3	ERDF	Transition	066	01	07	07	03		24	UKE	7,677,560.00	6,524,770.00	7,613,126.00	2
3	ERDF	Transition	066	01	07	07	03		24	UKF	3,591,749.00	2,154,770.00	2,642,100.00	1
3	ERDF	Transition	066	01	07	07	03		24	UKG	455,849.00	455,849.00	378,959.00	2
3	ERDF	Transition	066	01	07	07	03		24	UKK	4,959,768.00	4,124,064.00	4,181,064.00	2
3	ERDF	Transition	067	01	07	01	03		07	UKG	123,525.00	115,061.00	42,490.00	1
3	ERDF	Transition	067	01	07	07	03		05	UKC	290,134.00	215,909.00	276,331.00	1
3	ERDF	Transition	067	01	07	07	03		07	UKC	162,187.00	97,312.00	162,732.00	1
3	ERDF	Transition	067	01	07	07	03		07	UKF	17,934,421.00	5,497,426.00	16,155,412.00	1
3	ERDF	Transition	067	01	07	07	03		07	UKG	1,553,896.00	1,553,896.00	1,458,866.00	1
3	ERDF	Transition	067	01	07	07	03		07	UKK	2,555,773.00	1,533,464.00	1,950,676.00	2
3	ERDF	Transition	067	01	07	07	03		13	UKC	261,768.00	233,405.00	195,656.00	1
3	ERDF	Transition	067	01	07	07	03		20	UKD	2,050,490.00	2,050,490.00	219,954.00	1
3	ERDF	Transition	067	01	07	07	03		23	UKC	2,059,869.00	1,977,224.00	1,126,819.00	3
3	ERDF	Transition	067	01	07	07	03		24	UKC	119,220,141.00	63,818,242.00	88,340,610.00	21
3	ERDF	Transition	067	01	07	07	03		24	UKD	129,508,688.00	102,284,629.00	107,302,833.00	28
3	ERDF	Transition	067	01	07	07	03		24	UKE	50,429,050.00	38,335,266.00	32,283,958.00	12
3	ERDF	Transition	067	01	07	07	03		24	UKF	6,519,637.00	4,002,431.00	5,447,312.00	4
3	ERDF	Transition	067	01	07	07	03		24	UKG	8,741,052.00	7,628,342.00	7,252,686.00	4
3	ERDF	Transition	067	01	07	07	03		24	UKK	7,212,573.00	5,762,163.00	5,075,954.00	6
3	ERDF	Transition	067	03	07	07	03		24	UKC	31,791,786.00	18,166,735.00	31,791,788.00	1
3	ERDF	Transition	067	03	07	07	03		24	UKD	169,627,898.00	92,143,829.00	169,611,336.00	1
3	ERDF	Transition	067	04	07	07	03		24	UKD	55,175,319.00	33,300,225.00	18,182,091.00	2
3	ERDF	Transition	072	01	07	07	03		08	UKE	9,634,910.00	7,014,270.00	9,565,156.00	2
3	ERDF	Transition	072	01	07	07	03		08	UKK	8,600,664.00	8,600,664.00	5,991,212.00	1
3	ERDF	Transition	072	01	07	07	03		17	UKE	5,575,468.00	5,575,468.00	5,565,751.00	1
3	ERDF	Transition	072	01	07	07	03		17	UKK	5,677,872.00	5,677,872.00	5,669,561.00	1
3	ERDF	Transition	072	01	07	07	03		18	UKK	1,746,068.00	1,723,495.00	1,735,968.00	1
3	ERDF	Transition	072	01	07	07	03		24	UKD	13,837,550.00	6,989,985.00	13,837,547.00	3
3	ERDF	Transition	072	01	07	07	03		24	UKE	24,829,791.00	9,779,754.00	24,315,823.00	5
3	ERDF	Transition	072	01	07	07	03		24	UKF	3,367,801.00	1,683,899.00	3,031,021.00	1
3	ERDF	Transition	072	01	07	07	03		24	UKG	9,385,823.00	7,146,533.00	9,038,443.00	3
3	ERDF	Transition	072	01	07	07	03		24	UKK	5,116,142.00	5,069,641.00	4,799,266.00	1
3	ERDF	Transition	073	01	07	07	03		24	UKD	5,292,693.00	5,292,693.00	4,203,282.00	1
3	ERDF	Transition	073	01	07	07	03		24	UKE	5,546,588.00	3,327,955.00	2,192,422.00	2
3	ERDF	More developed	001	01	07	01	03		23	UKE	1,446,169.00	1,380,650.00	1,158,504.00	1
3	ERDF	More developed	001	01	07	07	03		01	UKG	1,692,364.00	1,421,483.00	859,121.00	1
3	ERDF	More developed	001	01	07	07	03		03	UKF	12,000,511.00	8,011,275.00	10,657,893.00	2
3	ERDF	More developed	001	01	07	07	03		05	UKF	1,437,395.00	1,437,395.00	1,167,795.00	1
3	ERDF	More developed	001	01	07	07	03		07	UKF	17,849,917.00	8,924,958.00	17,338,510.00	1
3	ERDF	More	001	01	07	07	03		07	UKG	65,288,783.00	31,839,136.00	45,784,043.00	4

Priority axis	Characteristics of expenditure		Categorisation dimensions								Financial data			
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		developed												
3	ERDF	More developed	001	01	07	07	03		15	UKF	2,975,024.00	1,773,035.00	2,761,715.00	1
3	ERDF	More developed	001	01	07	07	03		18	UKG	16,001,834.00	9,351,197.00	11,224,025.00	3
3	ERDF	More developed	001	01	07	07	03		23	UKF	9,964,872.00	8,477,291.00	9,095,539.00	1
3	ERDF	More developed	001	01	07	07	03		24	UKC	51,211,508.00	20,373,410.00	22,665,679.00	5
3	ERDF	More developed	001	01	07	07	03		24	UKD	6,100,632.00	5,561,868.00	6,040,917.00	2
3	ERDF	More developed	001	01	07	07	03		24	UKE	30,909,288.00	20,434,246.00	12,616,686.00	6
3	ERDF	More developed	001	01	07	07	03		24	UKF	114,367,497.00	77,237,431.00	87,232,788.00	17
3	ERDF	More developed	001	01	07	07	03		24	UKG	72,884,915.00	41,153,965.00	67,557,478.00	20
3	ERDF	More developed	001	01	07	07	03		24	UKH	42,664,519.00	22,151,920.00	8,287,613.00	3
3	ERDF	More developed	001	01	07	07	03		24	UKJ	7,717,615.00	4,687,647.00	1,816,965.00	2
3	ERDF	More developed	001	01	07	07	03		24	UKK	14,193,032.00	13,181,746.00	5,562,037.00	10
3	ERDF	More developed	001	04	07	07	03		24	UKG	204,788,722.00	110,531,278.00	205,887,151.00	1
3	ERDF	More developed	056	01	07	07	03		07	UKF	2,454,343.00	1,153,123.00	2,347,744.00	1
3	ERDF	More developed	056	01	07	07	03		24	UKD	5,354,527.00	5,354,527.00	5,310,429.00	1
3	ERDF	More developed	056	01	07	07	03		24	UKE	3,214,901.00	2,103,782.00	3,194,927.00	1
3	ERDF	More developed	056	01	07	07	03		24	UKH	15,028,898.00	15,028,898.00	13,408,247.00	1
3	ERDF	More developed	063	01	07	07	03		24	UKK	1,638,604.00	1,638,604.00	1,276,303.00	1
3	ERDF	More developed	066	01	07	01	03		13	UKI	8,304,632.00	4,582,579.00	8,304,122.00	1
3	ERDF	More developed	066	01	07	01	03		23	UKI	39,024,856.00	25,071,658.00	33,285,408.00	7
3	ERDF	More developed	066	01	07	01	03		24	UKI	36,326,705.00	27,235,101.00	33,177,115.00	5
3	ERDF	More developed	066	01	07	07	03		07	UKE	5,891,821.00	4,660,444.00	3,334,326.00	1
3	ERDF	More developed	066	01	07	07	03		07	UKK	1,127,200.00	563,600.00	1,056,176.00	1
3	ERDF	More developed	066	01	07	07	03		18	UKG	7,971,107.00	7,971,107.00	7,898,433.00	1
3	ERDF	More developed	066	01	07	07	03		23	UKH	5,821,609.00	3,590,058.00	5,662,406.00	1
3	ERDF	More developed	066	01	07	07	03		24	UKD	5,280,868.00	3,147,840.00	2,503,503.00	4
3	ERDF	More developed	066	01	07	07	03		24	UKE	9,310,536.00	7,498,387.00	9,310,130.00	1
3	ERDF	More developed	066	01	07	07	03		24	UKF	3,784,781.00	2,326,266.00	3,347,093.00	2
3	ERDF	More developed	066	01	07	07	03		24	UKG	4,203,722.00	4,196,341.00	3,712,466.00	3
3	ERDF	More developed	066	01	07	07	03		24	UKH	40,487,165.00	26,889,533.00	34,917,798.00	5
3	ERDF	More developed	066	01	07	07	03		24	UKJ	12,331,966.00	7,023,091.00	11,131,780.00	4
3	ERDF	More developed	066	01	07	07	03		24	UKK	13,952,062.00	13,281,639.00	14,118,128.00	2
3	ERDF	More	067	01	07	01	03		07	UKG	1,111,730.00	1,035,544.00	1,071,671.00	1

Priority axis	Characteristics of expenditure		Categorisation dimensions								Financial data			
	Fund	Category of region	Intervention field	Form of finance	Territorial dimension	Territorial delivery mechanism	Thematic objective dimension	ESF secondary theme	Economic dimension	Location dimension	Total eligible cost of operations selected for support	Public eligible cost of operations selected for support	Total eligible expenditure declared by beneficiaries to the managing authority	Number of operations selected
		developed												
3	ERDF	More developed	067	01	07	01	03		24	UKI	21,267,187.00	14,108,955.00	18,205,089.00	8
3	ERDF	More developed	067	01	07	07	03		05	UKC	1,020,483.00	694,146.00	992,304.00	1
3	ERDF	More developed	067	01	07	07	03		07	UKC	679,513.00	339,756.00	640,952.00	1
3	ERDF	More developed	067	01	07	07	03		07	UKE	2,864,769.00	2,053,257.00	1,907,660.00	1
3	ERDF	More developed	067	01	07	07	03		07	UKK	6,909,769.00	3,454,883.00	6,154,112.00	3
3	ERDF	More developed	067	01	07	07	03		13	UKC	2,355,941.00	2,082,933.00	2,308,725.00	1
3	ERDF	More developed	067	01	07	07	03		13	UKE	3,244,126.00	2,149,044.00	2,817,009.00	1
3	ERDF	More developed	067	01	07	07	03		18	UKK	1,936,832.00	1,513,581.00	1,428,149.00	1
3	ERDF	More developed	067	01	07	07	03		23	UKC	2,422,849.00	2,130,262.00	2,384,565.00	2
3	ERDF	More developed	067	01	07	07	03		23	UKF	1,502,528.00	1,308,123.00	1,179,402.00	1
3	ERDF	More developed	067	01	07	07	03		23	UKK	1,128,668.00	1,128,668.00	1,133,370.00	1
3	ERDF	More developed	067	01	07	07	03		24	UKC	53,143,313.00	34,952,310.00	47,250,328.00	17
3	ERDF	More developed	067	01	07	07	03		24	UKD	98,137,343.00	88,117,708.00	81,138,542.00	22
3	ERDF	More developed	067	01	07	07	03		24	UKE	37,422,452.00	27,761,635.00	31,767,993.00	10
3	ERDF	More developed	067	01	07	07	03		24	UKF	24,641,285.00	13,142,380.00	21,440,620.00	6
3	ERDF	More developed	067	01	07	07	03		24	UKG	78,843,256.00	39,861,145.00	64,206,522.00	9
3	ERDF	More developed	067	01	07	07	03		24	UKH	125,039,269.00	77,360,544.00	90,444,699.00	20
3	ERDF	More developed	067	01	07	07	03		24	UKI	16,033,706.00	8,187,978.00	10,107,789.00	2
3	ERDF	More developed	067	01	07	07	03		24	UKJ	28,567,392.00	20,039,847.00	19,536,010.00	9
3	ERDF	More developed	067	01	07	07	03		24	UKK	53,821,156.00	41,360,367.00	49,135,615.00	18
3	ERDF	More developed	067	03	07	07	03		24	UKC	112,716,339.00	64,409,336.00	112,716,337.00	1
3	ERDF	More developed	067	03	07	07	03		24	UKD	206,457,665.00	112,150,182.00	206,467,014.00	1
3	ERDF	More developed	067	03	07	07	03		24	UKI	112,866,817.00	56,433,408.00	84,650,112.00	1
3	ERDF	More developed	067	04	07	07	03		24	UKD	13,237,019.00	6,835,213.00	6,618,509.00	2
3	ERDF	More developed	072	01	07	07	03		07	UKD	1,619,668.00	1,619,668.00	1,118,377.00	1
3	ERDF	More developed	072	01	07	07	03		17	UKE	11,549,716.00	5,582,402.00	11,227,329.00	2
3	ERDF	More developed	072	01	07	07	03		17	UKK	4,557,709.00	4,557,709.00	2,313,822.00	1
3	ERDF	More developed	072	01	07	07	03		23	UKD	7,716,919.00	7,303,423.00	6,099,558.00	1
3	ERDF	More developed	072	01	07	07	03		23	UKF	12,787,810.00	9,398,267.00	8,644,057.00	1
3	ERDF	More developed	072	01	07	07	03		23	UKH	3,093,760.00	2,607,145.00	369,013.00	1
3	ERDF	More developed	072	01	07	07	03		24	UKD	11,568,000.00	6,422,956.00	4,163,739.00	3
3	ERDF	More	072	01	07	07	03		24	UKE	17,979,892.00	11,356,313.00	12,568,249.00	6

Priority axis	Characteristics of expenditure		Categorisation dimensions								Financial data			
	Fund	Category of region	Intervention field	Form of finance	Territorial dimension	Territorial delivery mechanism	Thematic objective dimension	ESF secondary theme	Economic dimension	Location dimension	Total eligible cost of operations selected for support	Public eligible cost of operations selected for support	Total eligible expenditure declared by beneficiaries to the managing authority	Number of operations selected
		developed												
3	ERDF	More developed	072	01	07	07	03		24	UKF	14,015,846.00	13,094,853.00	11,970,038.00	3
3	ERDF	More developed	072	01	07	07	03		24	UKG	1,459,006.00	702,180.00	1,383,091.00	1
3	ERDF	More developed	072	01	07	07	03		24	UKK	1,760,654.00	1,760,654.00	1,650,597.00	1
3	ERDF	More developed	073	01	07	07	03		24	UKE	679,886.00	339,939.00	365,485.00	2
3	ERDF	More developed	073	01	07	07	03		24	UKG	3,769,356.00	3,769,356.00	3,339,522.00	1
3	ERDF	More developed	073	01	07	07	03		24	UKK	1,723,246.00	1,329,641.00	1,722,012.00	1
4	ERDF	Less developed	009	01	07	03	04		07	UKK	6,825,089.00	6,678,537.00	3,086,661.00	1
4	ERDF	Less developed	012	01	07	03	04		08	UKK	5,127,522.00	3,931,134.00	5,127,522.00	1
4	ERDF	Less developed	012	01	07	03	04		10	UKK	27,706,454.00	24,577,195.00	25,939,761.00	2
4	ERDF	Less developed	012	01	07	03	04		24	UKK	36,258,465.00	21,765,237.00	34,852,533.00	1
4	ERDF	Less developed	013	01	07	03	04		01	UKK	968,651.00	810,164.00	121,654.00	1
4	ERDF	Less developed	013	01	07	03	04		24	UKK	12,729,019.00	10,322,249.00	12,670,186.00	2
4	ERDF	Less developed	014	01	07	03	04		10	UKK	8,577,882.00	7,050,630.00	811,516.00	1
4	ERDF	Less developed	015	01	07	03	04		22	UKK	18,813,278.00	13,092,731.00	18,856,194.00	1
4	ERDF	Less developed	068	01	07	03	04		24	UKK	3,014,731.00	2,460,678.00	3,014,731.00	1
4	ERDF	Transition	009	01	07	07	04		24	UKD	2,004,649.00	1,173,371.00	731,241.00	1
4	ERDF	Transition	010	01	07	01	04		24	UKD	2,809,255.00	2,809,255.00	1,358,378.00	1
4	ERDF	Transition	010	01	07	07	04		10	UKG	1,560,861.00	1,075,985.00	1,350,699.00	1
4	ERDF	Transition	010	01	07	07	04		18	UKC	12,295,840.00	12,295,840.00	9,805,433.00	2
4	ERDF	Transition	010	01	07	07	04		24	UKC	2,079,252.00	1,247,549.00	2,081,323.00	1
4	ERDF	Transition	010	01	07	07	04		24	UKE	2,831,954.00	2,831,954.00	1,716,752.00	1
4	ERDF	Transition	012	01	07	07	04		10	UKC	13,581.00	10,946.00	11,846.00	1
4	ERDF	Transition	012	01	07	07	04		24	UKC	1,981,280.00	1,981,280.00	1,547,638.00	1
4	ERDF	Transition	012	01	07	07	04		24	UKD	2,314,955.00	2,314,955.00	2,304,226.00	1
4	ERDF	Transition	012	01	07	07	04		24	UKE	21,465,386.00	21,465,386.00	13,416,320.00	1
4	ERDF	Transition	013	01	07	01	04		24	UKD	1,238,948.00	1,238,948.00	594,533.00	1
4	ERDF	Transition	013	01	07	07	04		10	UKF	9,430,211.00	7,716,560.00	9,430,495.00	1
4	ERDF	Transition	013	01	07	07	04		22	UKG	3,784,566.00	3,732,433.00	3,128,550.00	2
4	ERDF	Transition	013	01	07	07	04		24	UKD	4,294,300.00	4,039,107.00	2,943,407.00	2
4	ERDF	Transition	013	01	07	07	04		24	UKK	4,012,415.00	4,012,415.00	2,883,852.00	1
4	ERDF	Transition	014	01	07	01	04		24	UKD	2,219,667.00	2,219,667.00	1,487,297.00	1
4	ERDF	Transition	014	01	07	07	04		18	UKC	2,833,752.00	2,833,752.00	2,833,752.00	1
4	ERDF	Transition	014	01	07	07	04		24	UKD	15,974,598.00	15,974,598.00	5,067,765.00	1
4	ERDF	Transition	014	01	07	07	04		24	UKK	2,348,400.00	1,489,934.00	2,354,222.00	1
4	ERDF	Transition	015	01	07	07	04		10	UKG	18,771,409.00	18,771,409.00	17,274,790.00	1
4	ERDF	Transition	043	01	07	07	04		22	UKK	1,538,205.00	1,538,205.00	151,033.00	1
4	ERDF	Transition	065	01	07	07	04		07	UKE	14,544,092.00	14,544,092.00	10,938,985.00	2
4	ERDF	Transition	065	01	07	07	04		07	UKG	4,727,075.00	3,745,784.00	3,832,588.00	2
4	ERDF	Transition	065	01	07	07	04		10	UKG	1,773,013.00	1,773,013.00	884,544.00	1
4	ERDF	Transition	065	01	07	07	04		22	UKK	2,949,667.00	2,949,667.00	2,233,982.00	1
4	ERDF	Transition	065	01	07	07	04		24	UKD	14,105,495.00	14,105,495.00	12,137,445.00	2
4	ERDF	Transition	065	01	07	07	04		24	UKE	3,045,810.00	1,961,133.00	1,105,083.00	1

Priority axis	Characteristics of expenditure		Categorisation dimensions								Financial data			
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4	ERDF	Transition	065	01	07	07	04		24	UKG	870,115.00	502,731.00	101,436.00	1
4	ERDF	Transition	065	03	07	07	04		24	UKD	35,966,049.00	19,537,172.00	35,966,858.00	1
4	ERDF	Transition	068	01	07	07	04		10	UKC	111,647.00	111,647.00	105,180.00	1
4	ERDF	Transition	068	01	07	07	04		22	UKG	3,062,695.00	906,153.00	247,210.00	1
4	ERDF	Transition	068	01	07	07	04		24	UKC	7,258,203.00	4,790,648.00	4,133,339.00	4
4	ERDF	Transition	068	01	07	07	04		24	UKD	23,117,263.00	20,167,562.00	16,978,209.00	3
4	ERDF	Transition	068	01	07	07	04		24	UKE	5,987,226.00	3,623,832.00	1,769,885.00	2
4	ERDF	Transition	068	01	07	07	04		24	UKG	3,970,899.00	2,106,508.00	2,885,493.00	4
4	ERDF	Transition	069	01	07	07	04		24	UKD	3,650,648.00	3,018,011.00	2,526,587.00	1
4	ERDF	Transition	069	04	07	07	04		24	UKD	17,553,047.00	10,726,862.00	8,776,523.00	1
4	ERDF	Transition	085	01	07	01	04		24	UKD	16,510,148.00	15,809,331.00	6,165,273.00	1
4	ERDF	Transition	090	01	07	07	04		22	UKD	14,141,921.00	12,675,797.00	9,826,753.00	2
4	ERDF	Transition	090	01	07	07	04		24	UKE	4,604,022.00	4,604,022.00	4,499,352.00	1
4	ERDF	More developed	009	01	07	07	04		24	UKD	222,738.00	130,374.00	443,966.00	1
4	ERDF	More developed	010	01	07	01	04		24	UKD	19,450,840.00	19,450,840.00	885,201.00	1
4	ERDF	More developed	010	01	07	07	04		01	UKK	3,224,503.00	1,669,095.00	521,757.00	1
4	ERDF	More developed	010	01	07	07	04		10	UKG	1,025,525.00	627,305.00	988,606.00	1
4	ERDF	More developed	010	01	07	07	04		22	UKH	4,530,107.00	4,530,107.00	2,388,104.00	1
4	ERDF	More developed	010	01	07	07	04		24	UKC	14,136,291.00	9,258,457.00	3,970,191.00	4
4	ERDF	More developed	010	01	07	07	04		24	UKD	1,564,205.00	1,564,205.00	1,564,205.00	1
4	ERDF	More developed	010	01	07	07	04		24	UKG	15,430,013.00	15,430,013.00	680,195.00	2
4	ERDF	More developed	010	01	07	07	04		24	UKJ	2,487,009.00	1,143,103.00	400,652.00	1
4	ERDF	More developed	010	01	07	07	04		24	UKK	9,124,885.00	2,874,060.00	3,830,438.00	1
4	ERDF	More developed	012	01	07	07	04		10	UKC	38,989,161.00	23,298,469.00	30,672,880.00	3
4	ERDF	More developed	012	01	07	07	04		10	UKE	16,709,597.00	16,709,597.00	6,594,913.00	1
4	ERDF	More developed	012	01	07	07	04		12	UKE	18,444,050.00	18,444,050.00	17,341,279.00	1
4	ERDF	More developed	012	01	07	07	04		18	UKC	11,022,031.00	9,909,873.00	1,246,114.00	1
4	ERDF	More developed	012	01	07	07	04		22	UKK	2,603,925.00	1,301,962.00	283,592.00	1
4	ERDF	More developed	012	01	07	07	04		24	UKD	7,425,744.00	5,872,183.00	6,586,058.00	2
4	ERDF	More developed	012	01	07	07	04		24	UKE	7,357,056.00	7,357,056.00	2,459,484.00	2
4	ERDF	More developed	013	01	07	01	04		22	UKI	4,061,735.00	4,061,735.00	2,283,983.00	1
4	ERDF	More developed	013	01	07	01	04		24	UKI	8,952,534.00	8,952,534.00	6,303,887.00	1
4	ERDF	More developed	013	01	07	07	04		10	UKC	2,618,465.00	2,618,465.00	2,357,476.00	1
4	ERDF	More developed	013	01	07	07	04		18	UKC	3,655,474.00	3,655,474.00	1,361,593.00	1
4	ERDF	More developed	013	01	07	07	04		22	UKF	14,739,064.00	14,739,064.00	9,122,100.00	1
4	ERDF	More developed	013	01	07	07	04		22	UKG	2,445,359.00	2,445,359.00	833,581.00	2
4	ERDF	More developed	013	01	07	07	04		24	UKC	10,769,619.00	10,179,531.00	5,891,996.00	2

Priority axis	Characteristics of expenditure		Categorisation dimensions								Financial data			
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4	ERDF	More developed	013	01	07	07	04		24	UKE	1,349,093.00	1,249,601.00	1,298,401.00	1
4	ERDF	More developed	013	01	07	07	04		24	UKG	6,614,523.00	6,614,523.00	5,985,365.00	1
4	ERDF	More developed	013	04	07	01	04		16	UKI	160,722,347.00	80,361,173.00	115,575,620.00	1
4	ERDF	More developed	014	01	07	01	04		24	UKD	5,546,282.00	3,337,475.00	1,201,614.00	2
4	ERDF	More developed	014	01	07	07	04		08	UKF	3,358,340.00	3,358,340.00	3,357,326.00	1
4	ERDF	More developed	014	01	07	07	04		08	UKG	1,088,049.00	435,219.00	1,102,388.00	1
4	ERDF	More developed	014	01	07	07	04		10	UKC	11,887,233.00	11,876,278.00	11,887,233.00	1
4	ERDF	More developed	014	01	07	07	04		22	UKF	12,567,705.00	12,567,705.00	5,900,955.00	1
4	ERDF	More developed	014	01	07	07	04		22	UKG	1,176,249.00	1,176,249.00	308,622.00	1
4	ERDF	More developed	014	01	07	07	04		24	UKC	4,638,590.00	4,638,590.00	33,380.00	1
4	ERDF	More developed	014	01	07	07	04		24	UKD	15,650,108.00	15,650,108.00	11,697,017.00	1
4	ERDF	More developed	014	01	07	07	04		24	UKE	18,266,058.00	15,066,112.00	259,462.00	2
4	ERDF	More developed	014	01	07	07	04		24	UKG	5,651,336.00	5,651,336.00	4,138,554.00	1
4	ERDF	More developed	043	01	07	07	04		12	UKF	11,540,541.00	11,484,515.00	8,525,648.00	1
4	ERDF	More developed	043	01	07	07	04		24	UKE	7,742,808.00	7,742,808.00	7,742,808.00	1
4	ERDF	More developed	043	01	07	07	04		24	UKH	2,296,406.00	857,001.00	54,718.00	1
4	ERDF	More developed	065	01	07	01	04		11	UKE	1,331,717.00	1,331,717.00	655,048.00	1
4	ERDF	More developed	065	01	07	01	04		22	UKG	1,184,981.00	592,490.00	158,067.00	1
4	ERDF	More developed	065	01	07	01	04		22	UKI	2,579,593.00	2,579,593.00	1,905,295.00	1
4	ERDF	More developed	065	01	07	01	04		24	UKI	4,808,611.00	3,370,579.00	3,808,661.00	1
4	ERDF	More developed	065	01	07	07	04		07	UKE	537,045.00	537,045.00	540,141.00	1
4	ERDF	More developed	065	01	07	07	04		07	UKG	18,792,180.00	10,105,268.00	15,885,573.00	3
4	ERDF	More developed	065	01	07	07	04		10	UKG	2,183,874.00	2,183,874.00	1,581,106.00	1
4	ERDF	More developed	065	01	07	07	04		24	UKD	24,184,833.00	24,184,833.00	24,040,601.00	3
4	ERDF	More developed	065	01	07	07	04		24	UKE	2,779,490.00	1,542,196.00	470,251.00	1
4	ERDF	More developed	065	01	07	07	04		24	UKF	1,969,146.00	1,562,826.00	441,321.00	1
4	ERDF	More developed	065	01	07	07	04		24	UKG	6,698,987.00	3,429,166.00	4,472,456.00	3
4	ERDF	More developed	065	01	07	07	04		24	UKK	1,588,739.00	1,583,751.00	1,521,193.00	1
4	ERDF	More developed	065	03	07	07	04		24	UKC	13,505,417.00	7,717,381.00	13,505,417.00	1
4	ERDF	More developed	065	03	07	07	04		24	UKD	10,896,591.00	5,919,153.00	10,895,496.00	1
4	ERDF	More developed	068	01	07	01	04		22	UKF	1,826,749.00	913,374.00	402,000.00	1
4	ERDF	More developed	068	01	07	01	04		24	UKF	4,505,620.00	2,551,851.00	1,578,123.00	1

Priority axis	Characteristics of expenditure		Categorisation dimensions								Financial data			
	Fund	Category of region	Intervention field	Form of finance	Territorial dimension	Territorial delivery mechanism	Thematic objective dimension	ESF secondary theme	Economic dimension	Location dimension	Total eligible cost of operations selected for support	Public eligible cost of operations selected for support	Total eligible expenditure declared by beneficiaries to the managing authority	Number of operations selected
4	ERDF	More developed	068	01	07	01	04		24	UKI	12,479,116.00	12,240,182.00	5,984,097.00	2
4	ERDF	More developed	068	01	07	07	04		07	UKF	3,472,723.00	2,118,966.00	2,890,015.00	1
4	ERDF	More developed	068	01	07	07	04		10	UKC	3,296,686.00	3,296,686.00	3,087,657.00	1
4	ERDF	More developed	068	01	07	07	04		22	UKG	9,365,753.00	6,709,937.00	5,629,043.00	2
4	ERDF	More developed	068	01	07	07	04		22	UKH	72,732,246.00	38,514,133.00	36,728,492.00	6
4	ERDF	More developed	068	01	07	07	04		22	UKJ	18,566,152.00	9,922,056.00	13,691,887.00	3
4	ERDF	More developed	068	01	07	07	04		22	UKK	5,255,609.00	2,645,704.00	1,813,082.00	1
4	ERDF	More developed	068	01	07	07	04		24	UKC	1,513,995.00	1,011,173.00	1,521,528.00	1
4	ERDF	More developed	068	01	07	07	04		24	UKD	5,042,295.00	4,976,774.00	2,668,435.00	1
4	ERDF	More developed	068	01	07	07	04		24	UKE	5,520,375.00	3,373,470.00	3,231,321.00	1
4	ERDF	More developed	068	01	07	07	04		24	UKF	19,606,052.00	15,429,295.00	17,314,948.00	3
4	ERDF	More developed	068	01	07	07	04		24	UKG	12,146,112.00	7,429,567.00	9,746,448.00	4
4	ERDF	More developed	068	01	07	07	04		24	UKH	14,429,362.00	8,975,454.00	4,245,165.00	2
4	ERDF	More developed	068	01	07	07	04		24	UKJ	8,456,800.00	4,785,778.00	3,613,351.00	4
4	ERDF	More developed	068	01	07	07	04		24	UKK	29,741,308.00	17,801,725.00	22,698,798.00	4
4	ERDF	More developed	068	04	07	07	04		24	UKD	67,720,090.00	45,993,227.00	39,503,386.00	1
4	ERDF	More developed	068	04	07	07	04		24	UKF	15,297,009.00	8,256,304.00	15,290,107.00	1
4	ERDF	More developed	069	01	07	01	04		18	UKG	4,636,871.00	4,636,871.00	470,183.00	1
4	ERDF	More developed	069	01	07	07	04		07	UKG	463,376.00	255,419.00	214,114.00	1
4	ERDF	More developed	069	01	07	07	04		08	UKG	1,618,704.00	1,618,704.00	914,179.00	1
4	ERDF	More developed	069	01	07	07	04		24	UKG	12,342,310.00	6,995,799.00	7,665,350.00	1
4	ERDF	More developed	069	03	07	07	04		24	UKH	25,475,742.00	13,161,160.00	19,106,806.00	1
4	ERDF	More developed	069	04	07	07	04		24	UKD	20,009,028.00	10,221,218.00	10,004,514.00	2
4	ERDF	More developed	085	01	07	01	04		24	UKD	1,560,606.00	1,494,362.00	1,454,098.00	1
5	ERDF	Less developed	087	01	07	03	05		22	UKK	24,402,133.00	23,862,630.00	10,866,803.00	3
5	ERDF	Transition	087	01	07	07	05		22	UKC	3,645,925.00	3,645,925.00	3,504,183.00	1
5	ERDF	Transition	087	01	07	07	05		22	UKD	32,737,986.00	32,432,659.00	31,175,234.00	3
5	ERDF	Transition	087	01	07	07	05		22	UKE	13,308,319.00	13,308,319.00	13,427,707.00	2
5	ERDF	Transition	087	01	07	07	05		22	UKF	1,532,388.00	1,532,388.00	1,520,206.00	1
5	ERDF	Transition	087	01	07	07	05		24	UKE	16,685,421.00	16,685,421.00	12,999,912.00	3
5	ERDF	More developed	087	01	07	07	05		11	UKE	8,607,776.00	8,607,776.00	8,584,901.00	1
5	ERDF	More developed	087	01	07	07	05		22	UKE	23,818,777.00	23,818,777.00	15,679,408.00	5
5	ERDF	More developed	087	01	07	07	05		22	UKF	10,407,724.00	10,407,724.00	4,896,458.00	1
5	ERDF	More developed	087	01	07	07	05		24	UKE	5,273,486.00	5,273,486.00	411,451.00	3



Priority axis	Characteristics of expenditure		Categorisation dimensions								Financial data			
	Fund	Category of region	Intervention field	Form of finance	Territorial dimension	Territorial delivery mechanism	Thematic objective dimension	ESF secondary theme	Economic dimension	Location dimension	Total eligible cost of operations selected for support	Public eligible cost of operations selected for support	Total eligible expenditure declared by beneficiaries to the managing authority	Number of operations selected
6	ERDF	Less developed	069	01	07	03	06		22	UKK	5,411,533.00	5,172,526.00	4,314,047.00	1
6	ERDF	Less developed	085	01	07	03	06		22	UKK	12,141,213.00	11,868,102.00	11,231,955.00	4
6	ERDF	Transition	069	01	07	07	06		07	UKG	1,028,154.00	1,028,154.00	435,284.00	1
6	ERDF	Transition	069	01	07	07	06		24	UKG	678,638.00	474,792.00	575,623.00	1
6	ERDF	Transition	085	01	07	01	06		22	UKD	4,996,846.00	4,420,728.00	3,890,712.00	3
6	ERDF	Transition	085	01	07	01	06		24	UKD	1,381,178.00	1,381,178.00	271,371.00	1
6	ERDF	Transition	085	01	07	07	06		22	UKD	2,177,981.00	1,706,562.00	1,283,628.00	2
6	ERDF	Transition	085	01	07	07	06		22	UKE	9,854,438.00	9,854,438.00	9,116,132.00	4
6	ERDF	Transition	085	01	07	07	06		22	UKF	1,398,722.00	1,380,115.00	497,573.00	1
6	ERDF	Transition	085	01	07	07	06		22	UKG	8,605,208.00	6,875,574.00	6,196,798.00	4
6	ERDF	Transition	085	01	07	07	06		24	UKF	378,895.00	378,895.00	0.00	1
6	ERDF	Transition	089	01	07	07	06		24	UKD	1,072,019.00	1,072,019.00	606,264.00	1
6	ERDF	More developed	069	01	07	07	06		07	UKG	1,028,154.00	1,028,154.00	489,390.00	1
6	ERDF	More developed	069	01	07	07	06		24	UKG	4,183,808.00	3,125,495.00	3,808,400.00	2
6	ERDF	More developed	085	01	07	01	06		22	UKF	2,371,562.00	2,371,562.00	213,542.00	1
6	ERDF	More developed	085	01	07	01	06		24	UKD	70,622.00	70,622.00	29,964.00	1
6	ERDF	More developed	085	01	07	07	06		01	UKK	1,794,038.00	1,794,038.00	415,385.00	2
6	ERDF	More developed	085	01	07	07	06		11	UKG	1,688,530.00	1,688,530.00	343,156.00	1
6	ERDF	More developed	085	01	07	07	06		15	UKF	1,319,932.00	1,319,932.00	1,319,932.00	1
6	ERDF	More developed	085	01	07	07	06		18	UKG	2,337,039.00	2,300,908.00	1,640,538.00	1
6	ERDF	More developed	085	01	07	07	06		22	UKE	6,289,184.00	6,289,184.00	1,830,409.00	3
6	ERDF	More developed	085	01	07	07	06		22	UKF	8,792,545.00	8,792,545.00	8,793,227.00	1
6	ERDF	More developed	085	01	07	07	06		22	UKG	23,781,609.00	23,143,919.00	16,543,866.00	5
6	ERDF	More developed	085	01	07	07	06		22	UKK	3,764,092.00	2,598,114.00	2,793,685.00	2
6	ERDF	More developed	085	01	07	07	06		24	UKE	2,513,051.00	2,127,927.00	396,892.00	2
6	ERDF	More developed	085	01	07	07	06		24	UKG	5,534,506.00	4,693,642.00	3,678,061.00	2
6	ERDF	More developed	085	01	07	07	06		24	UKK	1,646,196.00	1,418,819.00	985,302.00	1
6	ERDF	More developed	089	01	07	07	06		22	UKE	3,240,594.00	3,177,388.00	2,397,077.00	2
6	ERDF	More developed	089	01	07	07	06		22	UKF	2,162,981.00	2,162,981.00	1,213,744.00	1
6	ERDF	More developed	089	01	07	07	06		22	UKG	2,862,701.00	2,862,701.00	2,696,665.00	2
7	ERDF	Less developed	025	01	07	03	07		24	UKK	17,042,889.00	17,042,889.00	17,042,889.00	1
7	ERDF	Less developed	034	01	07	03	07		12	UKK	274,371,909.00	274,371,909.00	19,030,753.00	1
7	ERDF	Less developed	034	01	07	03	07		24	UKK	11,286,681.00	11,286,681.00	11,286,681.00	1
7	ERDF	Less developed	036	01	07	03	07		12	UKK	16,054,571.00	13,296,682.00	7,046,296.00	3
7	ERDF	Less developed	036	01	07	03	07		24	UKK	11,393,905.00	11,393,905.00	11,393,905.00	1
7	ERDF	Less developed	043	01	07	03	07		12	UKK	4,432,280.00	4,364,109.00	1,118,506.00	2

Priority axis	Characteristics of expenditure		Categorisation dimensions								Financial data			
	Fund	Category of region	Intervention field	Form of finance	Territorial dimension	Territorial delivery mechanism	Thematic objective dimension	ESF secondary theme	Economic dimension	Location dimension	Total eligible cost of operations selected for support	Public eligible cost of operations selected for support	Total eligible expenditure declared by beneficiaries to the managing authority	Number of operations selected
7	ERDF	Less developed	043	01	07	03	07		22	UKK	3,506,969.00	3,506,969.00	3,139,713.00	1
8	ERDF	Less developed	097	01	07	06	09		21	UKK	17,953.00	17,953.00	17,953.00	1
8	ERDF	Less developed	097	01	07	06	09		24	UKK	12,681,151.00	11,334,663.00	6,135,099.00	1
8	ERDF	Less developed	097	06	07	06	09		21	UKK	54,030.00	54,030.00	54,030.00	3
8	ERDF	Transition	097	01	07	06	09		24	UKC	2,814,482.00	2,429,010.00	1,283,928.00	4
8	ERDF	Transition	097	01	07	06	09		24	UKE	5,640,163.00	4,661,747.00	4,860,107.00	7
8	ERDF	More developed	097	01	07	06	09		24	UKC	5,001,030.00	4,719,284.00	3,351,150.00	8
8	ERDF	More developed	097	01	07	06	09		24	UKE	14,481,334.00	12,127,645.00	6,998,155.00	15
8	ERDF	More developed	097	01	07	06	09		24	UKH	8,905,994.00	7,875,288.00	5,052,932.00	6
9	ERDF	Less developed	123	01	07	07			24	UK	20,819,557.00	20,819,557.00	15,694,336.00	2
9	ERDF	Less developed	123	01	07	07			24	UKK	1,848,736.00	1,848,736.00	1,813,105.00	3
9	ERDF	Transition	121	01	07	07			24	UKC	117,025.00	117,025.00	18,906.00	1
9	ERDF	Transition	121	01	07	07			24	UKD	140,212.00	140,212.00	99,340.00	1
9	ERDF	Transition	123	01	07	07			24	UK	46,579,263.00	46,579,263.00	21,905,649.00	2
9	ERDF	Transition	123	01	07	07			24	UKC	416,008.00	416,008.00	381,224.00	2
9	ERDF	Transition	123	01	07	07			24	UKD	1,054,998.00	952,989.00	837,653.00	3
9	ERDF	Transition	123	01	07	07			24	UKE	2,418,654.00	2,408,568.00	1,783,930.00	3
9	ERDF	Transition	123	01	07	07			24	UKF	563,504.00	563,504.00	492,477.00	1
9	ERDF	Transition	123	01	07	07			24	UKG	1,587,097.00	1,584,823.00	1,482,732.00	3
9	ERDF	Transition	123	01	07	07			24	UKK	37,986.00	37,986.00	24,105.00	1
9	ERDF	More developed	121	01	07	07			24	UKC	151,462.00	151,462.00	149,550.00	1
9	ERDF	More developed	123	01	07	07			18	UKH	2,181,359.00	1,759,600.00	1,499,484.00	4
9	ERDF	More developed	123	01	07	07			18	UKJ	1,017,061.00	1,017,061.00	878,853.00	4
9	ERDF	More developed	123	01	07	07			24	UK	92,844,532.00	92,844,532.00	36,331,416.00	2
9	ERDF	More developed	123	01	07	07			24	UKC	1,050,288.00	1,050,288.00	974,200.00	1
9	ERDF	More developed	123	01	07	07			24	UKD	1,397,098.00	1,337,781.00	1,080,153.00	4
9	ERDF	More developed	123	01	07	07			24	UKE	786,868.00	771,605.00	361,825.00	4
9	ERDF	More developed	123	01	07	07			24	UKF	2,448,582.00	2,009,393.00	1,800,352.00	6
9	ERDF	More developed	123	01	07	07			24	UKG	3,638,316.00	3,412,610.00	3,269,497.00	6
9	ERDF	More developed	123	01	07	07			24	UKI	9,116,956.00	9,116,956.00	6,088,430.00	1
9	ERDF	More developed	123	01	07	07			24	UKK	1,204,660.00	1,204,660.00	989,585.00	6

**Table 8: The use made of cross-financing**

<b>1</b>	<b>2</b>	<b>3</b>	<b>4</b>	<b>5</b>	<b>6</b>
<b>Use of cross-financing</b>	<b>Priority axis</b>	<b>The amount of EU support envisaged to be used for cross financing based on selected operations (EUR)</b>	<b>As a share of the EU support to the priority axis (%) (3/EU support to priority axis*100)</b>	<b>The amount of EU support used under cross financing based on eligible expenditure declared by the beneficiary to the managing authority (EUR)</b>	<b>As a share of the EU support to the priority axis (%) (5/EU support to priority axis*100)</b>
Costs eligible for support under the ESF, but supported from the ERDF	1	0.00		0.00	
Costs eligible for support under the ESF, but supported from the ERDF	10	0.00		0.00	
Costs eligible for support under the ESF, but supported from the ERDF	2	0.00		0.00	
Costs eligible for support under the ESF, but supported from the ERDF	3	0.00		0.00	
Costs eligible for support under the ESF, but supported from the ERDF	4	0.00		0.00	
Costs eligible for support under the ESF, but supported from the ERDF	5	0.00		0.00	
Costs eligible for support under the ESF, but supported	6	0.00		0.00	

from the ERDF					
Costs eligible for support under the ESF, but supported from the ERDF	7	0.00		0.00	
Costs eligible for support under the ESF, but supported from the ERDF	8	0.00		0.00	
Costs eligible for support under the ESF, but supported from the ERDF	9	0.00		0.00	

**Table 9: Cost of operations implemented outside the programme area (the ERDF, the ERDF REACT-EU and the Cohesion Fund under the Investment for growth and jobs goal)**

<b>1</b>	<b>2</b>	<b>3</b>	<b>4</b>	<b>5</b>
<b>Priority axis</b>	<b>The amount of EU support envisaged to be used for operations implemented outside the programme area based on selected operations (EUR)</b>	<b>As a share of the EU support to the priority axis at the time of adoption of the programme (%) (2/EU support to priority axis at the time of adoption of the programme*100)</b>	<b>The amount of EU support in operations implemented outside the programme area based on eligible expenditure declared by the beneficiary to the managing authority (EUR)</b>	<b>As a share of the EU support to the priority axis at the time of adoption of the programme (%) (4/EU support to priority axis at the time of adoption of the programme*100)</b>
1	0.00		0.00	
10	0.00		0.00	
2	0.00		0.00	
3	0.00		0.00	
4	0.00		0.00	
5	0.00		0.00	
6	0.00		0.00	
7	0.00		0.00	
8	0.00		0.00	
9	0.00		0.00	

**Table 10: Expenditure incurred outside the Union (ESF and ESF REACT-EU)**

The amount of expenditure envisaged to be incurred outside the Union under thematic objectives 8 and 10 based on selected operations (EUR)	Share of the total financial allocation (Union and national contribution) to the ESF programme or the ESF part of a multi-fund programme (%) (1/total financial allocation (Union and national contribution) to the ESF programme or the ESF part of a multi-fund programme*100)	Eligible expenditure incurred outside the Union declared by the beneficiary to the managing authority (EUR)	Share of the total financial allocation (Union and national contribution) to the ESF programme or the ESF part of a multi-fund programme (%) (3/total financial allocation (Union and national contribution) to the ESF programme or the ESF part of a multi-fund programme*100)

#### 4. SYNTHESIS OF THE EVALUATIONS

Synthesis of the findings of all evaluations of the programme that have become available during the previous financial year, with reference of name and reference period of the evaluation reports used

Phase 3 of the National Evaluation has been ongoing from Q2 2022 onwards. This incorporates a full Impact and Economic Evaluation of the programme and includes the following main workstreams :

- Programme Data Analysis - analysis of data on programme spend, output and result indicators and beneficiaries, drawing on data collected by the programme team within DLUHC.
- Priority Axis Analysis – analysis across priority axes 1-8. The analysis included a review of a sample of project level summative assessments, with 261 reviewed in total, alongside desk based review of contextual changes relating to each priority axis and investment priority, and consultations with a series of delivery stakeholders, with 49 consultations undertaken in total.
- Beneficiary Surveys – sample surveys of business beneficiaries (3,684 interviews in total) receiving business start-up, SME competitiveness, research and innovation and low carbon or resource efficiency type support over the last three years, as well as longitudinal surveys (with 1,079 interviews in total) capturing longer term impacts for beneficiaries supported over the period 2018-20.
- Counterfactual Impact Evaluation – including two main types of analysis:
  - o analysis of the performance of established businesses (those above the VAT threshold) supported by the programme, compared to a matched comparison group of businesses. A total of 58,305 businesses were included in this analysis following data cleansing and matching processes.
  - o area based analysis, drawing out changes in growth indicators in the areas around ERDF capital investments (128 investments included in this analysis in total), and comparing change with that in neighbouring areas. This analysis was supplemented by a series of six area based case studies to draw out more detailed narrative around place based changes.
- Unit Cost Analysis

Emerging findings were reported to the Commission in December 2022. Full reports are being finalised and will include

- A full evaluation report incorporating findings at Priority Axis level
- Appendices detailing full findings of the above work streams
- Individual reports presenting findings at LEP level

Reports should be finalised in Q2 2023 and will be published externally subject to necessary approvals.

Projects continue to complete summative assessments of funded activity and submit to the MA as part of closure. As this is the final year of delivery, we are anticipating a high volume of completed assessments in 2023 and communications to projects has been undertaken to support timely completion of assessments in line with evaluation guidance. Completed assessments are published externally with approval from projects.



Name	Fund	From month	From year	To month	To year	Type of evaluation	Thematic objective	Topic	Findings
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## **6 ISSUES AFFECTING THE PERFORMANCE OF THE PROGRAMME AND MEASURES TAKEN (Article 50(2) of Regulation (EU) No 1303/2013)**

(a) Issues which affect the performance of the programme and the measures taken

A modification of the Operational Programme was submitted by the Managing Authority in December 2022. The modification sought changes to the financial tables of the England ERDF Operational Programme to ensure that the Programme is better placed to respond to the challenges faced by business in the current economic climate. No changes to the Performance Framework Target Methodology were sought, only *pro rata* amendments to targets due to changes in the associated finances.

For PF outputs, all regions have contracted sufficient projects to achieve the minimum output threshold with the exception of PA4 – LDR; C34: Estimated GHG Reductions. Financially completed projects have, however, delivered an excess of outputs. If the remaining projects deliver to contract, 80% of the target will be achieved.

(b) An assessment of whether progress made towards targets is sufficient to ensure their fulfilment, indicating any remedial actions taken or planned, where appropriate.

For PF outputs, all regions have contracted sufficient projects to achieve the minimum output threshold with the exception of PA4 – LDR; C34: Estimated GHG Reductions. Financially completed projects have, however, delivered an excess of outputs. If the remaining projects deliver to contract, 80% of the target will be achieved

## **7. CITIZEN'S SUMMARY**

A citizen's summary of the contents of the annual and the final implementation reports shall be made public and uploaded as a separate file in the form of annex to the annual and the final implementation report

You can upload/find the Citizen's summary under General > Documents in the SFC2014 application

## 8. REPORT ON THE IMPLEMENTATION OF FINANCIAL INSTRUMENTS

<b>I. Identification of the programme and priority or measure from which support from the ESI Funds is provided (Article 46(2)(a) of Regulation (EU) No 1303/2013)</b>	
<b>1. Priority axes or measures supporting the financial instrument, including fund of funds, under the ESI Fund programme.</b>	
1.1. Priority axis supporting the financial instrument under the ESI Fund programme	1 - Promoting Research and Innovation
2. Name of ESI Fund(s) supporting the financial instrument under the priority axis or measure	ERDF
<b>3. Thematic objective(s) referred to in the first paragraph of Article 9 of Regulation (EU) No 1303/2013 supported by the financial instrument</b>	<b>01 - Strengthening research, technological development and innovation</b>
3.1. Amount of ESI Funds committed in funding agreements from the individual thematic objective chosen in field 3 (optional)	7,749,363.00
<b>4. other ESI Fund programmes providing contribution to the financial instrument</b>	
4.1. CCI number of each other ESI Fund programme providing contributions to the financial instrument	
30. Date of completion of the ex ante assessment	19-Mar-2019
<b>31. Selection of bodies implementing financial instrument</b>	
31.1. Has selection or designation process already been launched	Yes
<b>II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)</b>	
5. Name of the financial instrument	<b>Cheshire &amp; Warrington Urban Development Fund PA1</b>
6. Official address/place of business of the financial instrument (name of the country and city)	Cheshire East Borough Council, Middlewich Road, Sandbach, Cheshire, CW11 1HZ
<b>7. Implementation arrangements</b>	
7.1. Financial instruments set up at Union level, managed directly or indirectly by the Commission referred to in Article 38(1)(a) of Regulation (EU) No 1303/2013, supported from ESI Fund programme contributions	No
7.1.1. Name of the Union-level financial instrument	
7.2. Financial instrument set up at national, regional, transnational or cross-border level, managed by or under the responsibility of the managing authority referred to in Article 38(1)(b), supported from ESI Fund programme contributions under point (a), (b), (c) and d) of Article 38(4) of Regulation (EU) No 1303/2013	Entrustment of implementation tasks to another body governed by public or private law
7.3. Financial instrument combining financial contribution from MA with EIB financial products under European Fund for Strategic Investment in accordance with Article 39a, referred to in Article 38(1)(c)	
8. Type of the financial instrument	Fund of funds
10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial	Fiduciary account

instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial institution	
<b>III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)</b>	
<b>11. Body implementing the financial instrument</b>	
11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European Investment Bank; the European Investment Fund; international financial institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only)	Body governed by public or private law
11.1.1. Name of the body implementing the financial instrument	Cheshire and Warrington Development Limited Partnership
11.1.2. Official address/place of business (country and town name) of the body implementing the financial instrument	Cheshire East Borough Council, Middlewich Road, Sandbach, Cheshire, CW11 1HZ
12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure	Interadministrative cooperation
12.1. Description of the other procedure of selecting the body implementing the financial instrument	
13. Date of signature of the funding agreement with the body implementing the financial instrument	10-Apr-2019
<b>IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)</b>	
14. Total amount of programme contributions committed in the funding agreement (in EUR)	15,498,726.00
14.1. out of which ESI Funds contributions (in EUR)	7,749,363.00
14.1.1. out of which ERDF (in EUR) (optional)	7,749,363.00
14.1.2. out of which Cohesion Fund (in EUR) (optional)	
14.1.3. out of which ESF (in EUR) (optional)	
14.1.4. out of which EAFRD (in EUR) (optional)	
14.1.5. out of which EMFF (in EUR) (optional)	
15. Total amount of programme contributions paid to the financial instrument (in EUR)	3,913,140.00
15.1. out of which amount of ESI Funds contributions (in EUR)	1,956,570.00
15.1.1. out of which ERDF (in EUR)	1,956,570.00
15.1.2. out of which Cohesion Fund (in EUR)	
15.1.3. out of which ESF (in EUR)	
15.1.4. out of which EAFRD (in EUR)	
15.1.5. out of which EMFF (in EUR)	

15.2. out of which total amount of national co-financing (in EUR)	1,956,570.00
15.2.1. out of which total amount of national public funding (in EUR)	
15.2.2. out of which total amount of national private funding (in EUR)	1,956,570.00
16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR)	
17. Total amount of management costs and fees paid out of programme contributions (in EUR)	0.00
17.1. out of which base remuneration (in EUR)	
17.2. out of which performance-based remuneration (in EUR)	
21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
<b>VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)</b>	
32. Information whether the financial instrument was still operational at the end of the reporting year	Yes
32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up	
<b>VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)</b>	
35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR)	29,129.00
37. Amounts of resources attributable to ESI Funds used in accordance with Article 44 and 43a	
37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR)	
37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR)	
37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the financial instrument resulting from negative interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in EUR)	
<b>VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)</b>	
<b>38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)</b>	
38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR)	15,498,726.00
38.1A. Contributions under EIB financial product committed in the funding agreement with the body implementing the financial instrument	

(only for the instruments under Article 38(1)(c)) (in EUR)	
38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR)	
38.2.1. out of which public contributions (EUR)	
38.2.2. out of which private contributions (EUR)	
38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR)	

## **II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)**

5. Name of the financial instrument	<b>Cheshire &amp; Warrington UDF PA1</b>
6. Official address/place of business of the financial instrument (name of the country and city)	
8. Type of the financial instrument	Fund of funds specific fund
8.2. Related Fund of Funds	Cheshire & Warrington Urban Development Fund PA1
8.1. Tailor-made or financial instruments complying with standard terms and conditions i.e. "off-the-shelf instruments"	Tailor-made
<b>9. Type of products provided by financial instrument: loans, micro-loans, guarantees, equity, or quasi-equity investments, other financial product or other support combined within the financial instrument pursuant to Article 37(7) of Regulation (EU) No 1303/2013</b>	
9.0.1. Loans ( $\geq$ EUR 25,000)	Yes
9.0.2. Micro-loans ( $<$ EUR 25,000 and provided to micro-enterprises) according to SEC/2011/1134 final	No
9.0.3. Guarantees	No
9.0.4. Equity	No
9.0.5. Quasi-equity	No
9.0.6. Other financial products	No
9.0.7. Other support combined with a financial instrument	No
9.1. Description of the other financial product	
9.2. Other support combined within the financial instrument: grant, interest rate subsidy, guarantee fee subsidy pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial institution	Fiduciary account

## **III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)**

<b>11. Body implementing the financial instrument</b>	
11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European	Body governed by public or private law



Investment Bank; the European Investment Fund; international financial institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only)	
11.1.1. Name of the body implementing the financial instrument	Cheshire and Warrington Development Limited Partnership
11.1.2. Official address/place of business (country and town name) of the body implementing the financial instrument	Cheshire East Borough Council, Middlewich Road, Sandbach, Cheshire, CW11 1HZ
12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure	Interadministrative cooperation
12.1. Description of the other procedure of selecting the body implementing the financial instrument	
13. Date of signature of the funding agreement with the body implementing the financial instrument	10-Apr-2020
<b>IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)</b>	
14. Total amount of programme contributions committed in the funding agreement (in EUR)	15,498,726.00
14.1. out of which ESI Funds contributions (in EUR)	7,749,363.00
14.1.1. out of which ERDF (in EUR) (optional)	
14.1.2. out of which Cohesion Fund (in EUR) (optional)	
14.1.3. out of which ESF (in EUR) (optional)	
14.1.4. out of which EAFRD (in EUR) (optional)	
14.1.5. out of which EMFF (in EUR) (optional)	
15. Total amount of programme contributions paid to the financial instrument (in EUR)	3,913,140.00
15.1. out of which amount of ESI Funds contributions (in EUR)	1,956,570.00
15.1.1. out of which ERDF (in EUR)	1,956,570.00
15.1.2. out of which Cohesion Fund (in EUR)	
15.1.3. out of which ESF (in EUR)	
15.1.4. out of which EAFRD (in EUR)	
15.1.5. out of which EMFF (in EUR)	
15.2. out of which total amount of national co-financing (in EUR)	1,956,570.00
15.2.1. out of which total amount of national public funding (in EUR)	
15.2.2. out of which total amount of national private funding (in EUR)	1,956,570.00
16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR)	
17. Total amount of management costs and fees paid out of programme contributions (in EUR)	0.00
17.1. out of which base remuneration (in EUR)	
17.2. out of which performance-based remuneration (in EUR)	
18. Capitalised management costs or fees pursuant to Article 42(2) of Regulation (EU) No 1303/2013 (relevant only for final report) (in EUR)	

19. Capitalised interest rate subsidies or guarantee fee subsidies pursuant to Article 42(1)(c) of Regulation (EU) No 1303/2013 (relevant to final report only) (in EUR)	
20. Amount of programme contributions for follow-on investments in final recipients pursuant to Article 42(3) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
<b>V. Total amount of support paid to final recipients, or to the benefit of final recipients, or committed in guarantee contracts by the financial instrument for investments in final recipients, by ESI Funds programme and priority or measure (Article 46(2)(e) of Regulation (EU) No 1303/2013)</b>	
<b>22. Name of financial product offered by the financial instrument</b>	<b><i>Cheshire &amp; Warrington UDF PA1 Loan Fund</i></b>
22.1. Type of financial product offered by the financial instrument	Loan
24. Total amount of programme contributions committed in this loan, guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR)	12,550,790.00
24.1. out of which total amount of ESI Funds contributions (in EUR)	6,275,395.00
25. Total amount of programme contributions paid to final recipients through this loan, micro-loans, equity or other products, or, in the case of guarantee, committed for loans paid to final recipients, by product (in EUR)	3,913,140.00
25.1. out of which total amount of ESI Funds contributions (in EUR)	1,956,570.00
25.1.1. out of which ERDF (in EUR)	1,956,570.00
25.1.2. out of which Cohesion Fund (in EUR)	
25.1.3. out of which ESF (in EUR)	
25.1.4. out of which EAFRD (in EUR)	
25.1.5. out of which EMFF (in EUR)	
25.2. out of which total amount of national public co-financing (in EUR)	
25.3. out of which total amount of national private co-financing (in EUR)	1,956,570.00
27. Number of loan/guarantee/equity or quasi-equity/other financial product contracts signed with final recipients, by product	4
28. Number of loan/guarantee/equity or quasi-equity/other financial product investments made in final recipients, by product	4
29. Number of financial recipients supported by the financial product	4
29.1. out of which large enterprises	
29.2. out of which SMEs	4
29.2.1. out of which microenterprises	
29.3. out of which individuals/natural persons	
29.4. out of which other type of final recipients supported	
29.4.1. description of other type of final recipients supported	
33. Total number of disbursed loans defaulted or total number of guarantees provided and called due to the loan default	
34. Total amount of disbursed loans defaulted (in EUR) or total amount committed for guarantees provided and called due to loan default (in EUR)	
<b>38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)</b>	

38.3. Total amount of other contributions, outside the ESI Funds mobilised at the level of final recipients (in EUR)	
38.3.1. out of which public contributions (EUR)	
38.3.2. out of which private contributions (EUR)	
38.3A. Contribution under EIB financial product mobilised at the level of final recipient (only for the instruments under Article 38(1)(c)) (in EUR)	
<b>39. Expected and achieved leverage effect, by reference to the funding agreement</b>	
39.1. Expected leverage effect for loan/guarantee/equity or quasi-equity investment/other financial product, by reference to the funding agreement, by product	0.00
39.2. Achieved leverage effect at the end of reporting year for loan/guarantee/equity or quasi-equity investment/other financial product, by product	1.00
39.3. Investment mobilised through ESIF financial instruments for loan/guarantee/equity and quasi-equity investment, by product (Optional)	
<b>VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)</b>	
32. Information whether the financial instrument was still operational at the end of the reporting year	Yes
32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up	
<b>VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)</b>	
35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR)	29,129.00
36. Amounts repaid to the financial instrument attributable to support from ESI Funds by the end of the reporting year (in EUR)	
36.1. out of which capital repayments (in EUR)	
36.2. out of which gains, other earnings and yields (in EUR)	
37. Amounts of resources attributable to ESI Funds used in accordance with Article 44 and 43a	
37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR)	
37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR)	
37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the financial instrument resulting from negative interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in EUR)	
<b>VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)</b>	

<b>38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)</b>	
38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR)	
38.1A. Contributions under EIB financial product committed in the funding agreement with the body implementing the financial instrument (only for the instruments under Article 38(1)(c)) (in EUR)	
38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR)	
38.2.1. out of which public contributions (EUR)	
38.2.2. out of which private contributions (EUR)	
38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR)	
<b>IX. Contribution of the financial instrument to the achievement of the indicators of the priority or measure concerned (Article 46(2)(j) of Regulation (EU) No 1303/2013)</b>	
<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b><i>P2 - Public or commercial buildings built or renovated</i></b>
41.1. Target value of the output indicator	11,666.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	0.00

<b>I. Identification of the programme and priority or measure from which support from the ESI Funds is provided (Article 46(2)(a) of Regulation (EU) No 1303/2013)</b>	
<b>1. Priority axes or measures supporting the financial instrument, including fund of funds, under the ESI Fund programme.</b>	
1.1. Priority axis supporting the financial instrument under the ESI Fund programme	3 - Enhancing the Competitiveness of SMEs
2. Name of ESI Fund(s) supporting the financial instrument under the priority axis or measure	ERDF
<b>3. Thematic objective(s) referred to in the first paragraph of Article 9 of Regulation (EU) No 1303/2013 supported by the financial instrument</b>	<b><i>03 - Enhancing the competitiveness of small and medium-sized enterprises, the agricultural sector (for the EAFRD) and the fisheries and aquaculture sector (for the EMFF)</i></b>
3.1. Amount of ESI Funds committed in funding agreements from the individual thematic objective chosen in field 3 (optional)	5,535,259.00
<b>4. other ESI Fund programmes providing contribution to the financial instrument</b>	
4.1. CCI number of each other ESI Fund programme providing contributions to the financial instrument	
30. Date of completion of the ex ante assessment	19-Mar-2019
<b>31. Selection of bodies implementing financial instrument</b>	
31.1. Has selection or designation process already been launched	Yes
<b>II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)</b>	
5. Name of the financial instrument	Cheshire & Warrington Urban

	<b>Development Fund PA3</b>
6. Official address/place of business of the financial instrument (name of the country and city)	Cheshire East Borough Council, Middlewich Road, Sandbach, Cheshire, CW11 1HZ
<b>7. Implementation arrangements</b>	
7.1. Financial instruments set up at Union level, managed directly or indirectly by the Commission referred to in Article 38(1)(a) of Regulation (EU) No 1303/2013, supported from ESI Fund programme contributions	No
7.1.1. Name of the Union-level financial instrument	
7.2. Financial instrument set up at national, regional, transnational or cross-border level, managed by or under the responsibility of the managing authority referred to in Article 38(1)(b), supported from ESI Fund programme contributions under point (a), (b), (c) and d) of Article 38(4) of Regulation (EU) No 1303/2013	Entrustment of implementation tasks to another body governed by public or private law
7.3. Financial instrument combining financial contribution from MA with EIB financial products under European Fund for Strategic Investment in accordance with Article 39a, referred to in Article 38(1)(c)	
8. Type of the financial instrument	Fund of funds
10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial institution	Fiduciary account
<b>III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)</b>	
<b>11. Body implementing the financial instrument</b>	
11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European Investment Bank; the European Investment Fund; international financial institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only)	Body governed by public or private law
11.1.1. Name of the body implementing the financial instrument	Cheshire and Warrington Development Limited Partnership
11.1.2. Official address/place of business (country and town name) of the body implementing the financial instrument	Cheshire East Borough Council, Middlewich Road, Sandbach, Cheshire, CW11 1HZ
12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other	Interadministrative cooperation

procedure	
12.1. Description of the other procedure of selecting the body implementing the financial instrument	
13. Date of signature of the funding agreement with the body implementing the financial instrument	10-Apr-2019
<b>IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)</b>	
14. Total amount of programme contributions committed in the funding agreement (in EUR)	11,070,519.00
14.1. out of which ESI Funds contributions (in EUR)	5,535,259.00
14.1.1. out of which ERDF (in EUR) (optional)	5,535,259.00
14.1.2. out of which Cohesion Fund (in EUR) (optional)	
14.1.3. out of which ESF (in EUR) (optional)	
14.1.4. out of which EAFRD (in EUR) (optional)	
14.1.5. out of which EMFF (in EUR) (optional)	
15. Total amount of programme contributions paid to the financial instrument (in EUR)	2,795,100.00
15.1. out of which amount of ESI Funds contributions (in EUR)	1,397,550.00
15.1.1. out of which ERDF (in EUR)	1,397,550.00
15.1.2. out of which Cohesion Fund (in EUR)	
15.1.3. out of which ESF (in EUR)	
15.1.4. out of which EAFRD (in EUR)	
15.1.5. out of which EMFF (in EUR)	
15.2. out of which total amount of national co-financing (in EUR)	1,397,550.00
15.2.1. out of which total amount of national public funding (in EUR)	
15.2.2. out of which total amount of national private funding (in EUR)	1,397,550.00
16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR)	
17. Total amount of management costs and fees paid out of programme contributions (in EUR)	0.00
17.1. out of which base remuneration (in EUR)	
17.2. out of which performance-based remuneration (in EUR)	
21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
<b>VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)</b>	
32. Information whether the financial instrument was still operational at the end of the reporting year	Yes
32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up	
<b>VII. Interest and other gains generated by support from the ESI Funds to the financial instrument,</b>	

<b>programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)</b>	
35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR)	22,034.00
37. Amounts of resources attributable to ESI Funds used in accordance with Article 44 and 43a	
37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR)	
37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR)	
37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the financial instrument resulting from negative interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in EUR)	
<b>VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)</b>	
<b>38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)</b>	
38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR)	11,070,519.00
38.1A. Contributions under EIB financial product committed in the funding agreement with the body implementing the financial instrument (only for the instruments under Article 38(1)(c)) (in EUR)	
38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR)	
38.2.1. out of which public contributions (EUR)	
38.2.2. out of which private contributions (EUR)	
38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR)	

<b>II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)</b>	
5. Name of the financial instrument	<b>Cheshire &amp; Warrington UDF PA3</b>
6. Official address/place of business of the financial instrument (name of the country and city)	Cheshire & Warrington UDF PA3
8. Type of the financial instrument	Fund of funds specific fund
8.2. Related Fund of Funds	Cheshire & Warrington Urban Development Fund

	PA3
8.1. Tailor-made or financial instruments complying with standard terms and conditions i.e. "off-the-shelf instruments"	Tailor-made
<b>9. Type of products provided by financial instrument: loans, micro-loans, guarantees, equity, or quasi-equity investments, other financial product or other support combined within the financial instrument pursuant to Article 37(7) of Regulation (EU) No 1303/2013</b>	
9.0.1. Loans ( $\geq$ EUR 25,000)	Yes
9.0.2. Micro-loans ( $<$ EUR 25,000 and provided to micro-enterprises) according to SEC/2011/1134 final	No
9.0.3. Guarantees	No
9.0.4. Equity	No
9.0.5. Quasi-equity	No
9.0.6. Other financial products	No
9.0.7. Other support combined with a financial instrument	No
9.1. Description of the other financial product	
9.2. Other support combined within the financial instrument: grant, interest rate subsidy, guarantee fee subsidy pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial institution	Fiduciary account
<b>III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)</b>	
<b>11. Body implementing the financial instrument</b>	
11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European Investment Bank; the European Investment Fund; international financial institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only)	Body governed by public or private law
11.1.1. Name of the body implementing the financial instrument	Cheshire and Warrington Development Limited Partnership
11.1.2. Official address/place of business (country and town name) of the body implementing the financial instrument	Cheshire East Borough Council, Middlewich Road, Sandbach, Cheshire, CW11 1HZ
12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure	Interadministrative cooperation
12.1. Description of the other procedure of selecting the body implementing the financial instrument	
13. Date of signature of the funding agreement with the body	10-Apr-2019



implementing the financial instrument	
<b>IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)</b>	
14. Total amount of programme contributions committed in the funding agreement (in EUR)	11,070,519.00
14.1. out of which ESI Funds contributions (in EUR)	5,535,259.00
14.1.1. out of which ERDF (in EUR) (optional)	5,535,259.00
14.1.2. out of which Cohesion Fund (in EUR) (optional)	
14.1.3. out of which ESF (in EUR) (optional)	
14.1.4. out of which EAFRD (in EUR) (optional)	
14.1.5. out of which EMFF (in EUR) (optional)	
15. Total amount of programme contributions paid to the financial instrument (in EUR)	2,795,100.00
15.1. out of which amount of ESI Funds contributions (in EUR)	1,397,550.00
15.1.1. out of which ERDF (in EUR)	1,397,550.00
15.1.2. out of which Cohesion Fund (in EUR)	
15.1.3. out of which ESF (in EUR)	
15.1.4. out of which EAFRD (in EUR)	
15.1.5. out of which EMFF (in EUR)	
15.2. out of which total amount of national co-financing (in EUR)	1,397,550.00
15.2.1. out of which total amount of national public funding (in EUR)	
15.2.2. out of which total amount of national private funding (in EUR)	1,397,550.00
16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR)	
17. Total amount of management costs and fees paid out of programme contributions (in EUR)	0.00
17.1. out of which base remuneration (in EUR)	
17.2. out of which performance-based remuneration (in EUR)	
18. Capitalised management costs or fees pursuant to Article 42(2) of Regulation (EU) No 1303/2013 (relevant only for final report) (in EUR)	
19. Capitalised interest rate subsidies or guarantee fee subsidies pursuant to Article 42(1)(c) of Regulation (EU) No 1303/2013 (relevant to final report only) (in EUR)	
20. Amount of programme contributions for follow-on investments in final recipients pursuant to Article 42(3) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
<b>V. Total amount of support paid to final recipients, or to the benefit of final recipients, or committed in guarantee contracts by the financial instrument for investments in final recipients, by ESI Funds programme and priority or measure (Article 46(2)(e) of Regulation (EU) No 1303/2013)</b>	
22. Name of financial product offered by the financial instrument	<i>Cheshire &amp; Warrington UDF PA3 Loan Fund</i>
22.1. Type of financial product offered by the financial instrument	Loan
24. Total amount of programme contributions committed in this loan, guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR)	3,724,605.00

24.1. out of which total amount of ESI Funds contributions (in EUR)	1,862,302.00
25. Total amount of programme contributions paid to final recipients through this loan, micro-loans, equity or other products, or, in the case of guarantee, committed for loans paid to final recipients, by product (in EUR)	2,795,100.00
25.1. out of which total amount of ESI Funds contributions (in EUR)	1,397,550.00
25.1.1. out of which ERDF (in EUR)	1,397,550.00
25.1.2. out of which Cohesion Fund (in EUR)	
25.1.3. out of which ESF (in EUR)	
25.1.4. out of which EAFRD (in EUR)	
25.1.5. out of which EMFF (in EUR)	
25.2. out of which total amount of national public co-financing (in EUR)	
25.3. out of which total amount of national private co-financing (in EUR)	1,397,550.00
27. Number of loan/guarantee/equity or quasi-equity/other financial product contracts signed with final recipients, by product	1
28. Number of loan/guarantee/equity or quasi-equity/other financial product investments made in final recipients, by product	1
29. Number of financial recipients supported by the financial product	1
29.1. out of which large enterprises	
29.2. out of which SMEs	1
29.2.1. out of which microenterprises	
29.3. out of which individuals/natural persons	
29.4. out of which other type of final recipients supported	
29.4.1. description of other type of final recipients supported	
33. Total number of disbursed loans defaulted or total number of guarantees provided and called due to the loan default	
34. Total amount of disbursed loans defaulted (in EUR) or total amount committed for guarantees provided and called due to loan default (in EUR)	
<b>38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)</b>	
38.3. Total amount of other contributions, outside the ESI Funds mobilised at the level of final recipients (in EUR)	0.00
38.3.1. out of which public contributions (EUR)	0.00
38.3.2. out of which private contributions (EUR)	
38.3A. Contribution under EIB financial product mobilised at the level of final recipient (only for the instruments under Article 38(1)(c)) (in EUR)	
<b>39. Expected and achieved leverage effect, by reference to the funding agreement</b>	
39.1. Expected leverage effect for loan/guarantee/equity or quasi-equity investment/other financial product, by reference to the funding agreement, by product	0.00
39.2. Achieved leverage effect at the end of reporting year for loan/guarantee/equity or quasi-equity investment/other financial product, by product	1.00
39.3. Investment mobilised through ESIF financial instruments for loan/guarantee/equity and quasi-equity investment, by product (Optional)	
<b>VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)</b>	

32. Information whether the financial instrument was still operational at the end of the reporting year	Yes
32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up	
<b>VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)</b>	
35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR)	22,034.00
36. Amounts repaid to the financial instrument attributable to support from ESI Funds by the end of the reporting year (in EUR)	
36.1. out of which capital repayments (in EUR)	
36.2. out of which gains, other earnings and yields (in EUR)	
37. Amounts of resources attributable to ESI Funds used in accordance with Article 44 and 43a	
37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR)	
37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR)	
37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the financial instrument resulting from negative interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in EUR)	
<b>VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)</b>	
<b>38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)</b>	
38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR)	
38.1A. Contributions under EIB financial product committed in the funding agreement with the body implementing the financial instrument (only for the instruments under Article 38(1)(c)) (in EUR)	
38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR)	
38.2.1. out of which public contributions (EUR)	
38.2.2. out of which private contributions (EUR)	
38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR)	
<b>IX. Contribution of the financial instrument to the achievement of the indicators of the priority or measure concerned (Article 46(2)(j) of Regulation (EU) No 1303/2013)</b>	
<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>P2 - Public or commercial buildings built or renovated</b>

41.1. Target value of the output indicator	8,333.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	5,028.00

<b>I. Identification of the programme and priority or measure from which support from the ESI Funds is provided (Article 46(2)(a) of Regulation (EU) No 1303/2013)</b>	
<b>1. Priority axes or measures supporting the financial instrument, including fund of funds, under the ESI Fund programme.</b>	
1.1. Priority axis supporting the financial instrument under the ESI Fund programme	4 - Supporting the Shift Towards a Low Carbon Economy in all Sectors
2. Name of ESI Fund(s) supporting the financial instrument under the priority axis or measure	ERDF
<b>3. Thematic objective(s) referred to in the first paragraph of Article 9 of Regulation (EU) No 1303/2013 supported by the financial instrument</b>	<b>04 - Supporting the shift towards a low-carbon economy in all sectors</b>
3.1. Amount of ESI Funds committed in funding agreements from the individual thematic objective chosen in field 3 (optional)	8,856,415.00
<b>4. other ESI Fund programmes providing contribution to the financial instrument</b>	
4.1. CCI number of each other ESI Fund programme providing contributions to the financial instrument	
30. Date of completion of the ex ante assessment	19-Mar-2019
<b>31. Selection of bodies implementing financial instrument</b>	
31.1. Has selection or designation process already been launched	Yes
<b>II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)</b>	
5. Name of the financial instrument	<b>Cheshire &amp; Warrington Urban Development Fund PA4</b>
6. Official address/place of business of the financial instrument (name of the country and city)	Cheshire East Borough Council, Middlewich Road, Sandbach, Cheshire, CW11 1HZ
<b>7. Implementation arrangements</b>	
7.1. Financial instruments set up at Union level, managed directly or indirectly by the Commission referred to in Article 38(1)(a) of Regulation (EU) No 1303/2013, supported from ESI Fund programme contributions	No
7.1.1. Name of the Union-level financial instrument	
7.2. Financial instrument set up at national, regional, transnational or cross-border level, managed by or under the responsibility of the managing authority referred to in Article 38(1)(b), supported from ESI Fund programme contributions under point (a), (b), (c) and d) of Article 38(4) of Regulation (EU) No 1303/2013	Entrustment of implementation tasks to another body governed by public or private law
7.3. Financial instrument combining financial contribution from MA with EIB financial products under European Fund for Strategic Investment in accordance with Article 39a, referred to in Article 38(1)(c)	

8. Type of the financial instrument	Fund of funds
10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial institution	Fiduciary account
<b>III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)</b>	
<b>11. Body implementing the financial instrument</b>	
11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European Investment Bank; the European Investment Fund; international financial institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only)	Body governed by public or private law
11.1.1. Name of the body implementing the financial instrument	Cheshire & Warrington Urban Development Fund
11.1.2. Official address/place of business (country and town name) of the body implementing the financial instrument	Cheshire East Borough Council, Middlewich Road, Sandbach, Cheshire, CW11 1HZ
12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure	Interadministrative cooperation
12.1. Description of the other procedure of selecting the body implementing the financial instrument	
13. Date of signature of the funding agreement with the body implementing the financial instrument	10-Apr-2019
<b>IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)</b>	
14. Total amount of programme contributions committed in the funding agreement (in EUR)	17,712,830.00
14.1. out of which ESI Funds contributions (in EUR)	8,856,415.00
14.1.1. out of which ERDF (in EUR) (optional)	8,856,415.00
14.1.2. out of which Cohesion Fund (in EUR) (optional)	
14.1.3. out of which ESF (in EUR) (optional)	
14.1.4. out of which EAFRD (in EUR) (optional)	
14.1.5. out of which EMFF (in EUR) (optional)	
15. Total amount of programme contributions paid to the financial instrument (in EUR)	4,472,160.00
15.1. out of which amount of ESI Funds contributions (in EUR)	2,236,080.00
15.1.1. out of which ERDF (in EUR)	2,236,080.00
15.1.2. out of which Cohesion Fund (in EUR)	
15.1.3. out of which ESF (in EUR)	

15.1.4. out of which EAFRD (in EUR)	
15.1.5. out of which EMFF (in EUR)	
15.2. out of which total amount of national co-financing (in EUR)	2,236,080.00
15.2.1. out of which total amount of national public funding (in EUR)	
15.2.2. out of which total amount of national private funding (in EUR)	2,236,080.00
16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR)	
17. Total amount of management costs and fees paid out of programme contributions (in EUR)	0.00
17.1. out of which base remuneration (in EUR)	
17.2. out of which performance-based remuneration (in EUR)	
21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
<b>VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)</b>	
32. Information whether the financial instrument was still operational at the end of the reporting year	Yes
32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up	
<b>VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)</b>	
35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR)	76,178.00
37. Amounts of resources attributable to ESI Funds used in accordance with Article 44 and 43a	
37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR)	
37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR)	
37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the financial instrument resulting from negative interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in EUR)	
<b>VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)</b>	
<b>38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)</b>	
38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR)	17,712,830.00

38.1A. Contributions under EIB financial product committed in the funding agreement with the body implementing the financial instrument (only for the instruments under Article 38(1)(c)) (in EUR)	
38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR)	
38.2.1. out of which public contributions (EUR)	
38.2.2. out of which private contributions (EUR)	
38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR)	

<b>II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)</b>	
5. Name of the financial instrument	<b>Cheshire &amp; Warrington UDF PA4</b>
6. Official address/place of business of the financial instrument (name of the country and city)	Cheshire East Borough Council, Middlewich Road, Sandbach, Cheshire, CW11 1HZ
8. Type of the financial instrument	Fund of funds specific fund
8.2. Related Fund of Funds	Cheshire & Warrington Urban Development Fund PA4
8.1. Tailor-made or financial instruments complying with standard terms and conditions i.e. "off-the-shelf instruments"	Tailor-made
<b>9. Type of products provided by financial instrument: loans, micro-loans, guarantees, equity, or quasi-equity investments, other financial product or other support combined within the financial instrument pursuant to Article 37(7) of Regulation (EU) No 1303/2013</b>	
9.0.1. Loans ( $\geq$ EUR 25,000)	Yes
9.0.2. Micro-loans ( $<$ EUR 25,000 and provided to micro-enterprises) according to SEC/2011/1134 final	No
9.0.3. Guarantees	No
9.0.4. Equity	No
9.0.5. Quasi-equity	No
9.0.6. Other financial products	No
9.0.7. Other support combined with a financial instrument	No
9.1. Description of the other financial product	
9.2. Other support combined within the financial instrument: grant, interest rate subsidy, guarantee fee subsidy pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial institution	Fiduciary account
<b>III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)</b>	

<b>11. Body implementing the financial instrument</b>	
11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European Investment Bank; the European Investment Fund; international financial institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only)	Body governed by public or private law
11.1.1. Name of the body implementing the financial instrument	Cheshire and Warrington Development Limited Partnership
11.1.2. Official address/place of business (country and town name) of the body implementing the financial instrument	Cheshire East Borough Council, Middlewich Road, Sandbach, Cheshire, CW11 1HZ
12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure	Interadministrative cooperation
12.1. Description of the other procedure of selecting the body implementing the financial instrument	
13. Date of signature of the funding agreement with the body implementing the financial instrument	10-Apr-2019
<b>IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)</b>	
14. Total amount of programme contributions committed in the funding agreement (in EUR)	17,712,830.00
14.1. out of which ESI Funds contributions (in EUR)	8,856,415.00
14.1.1. out of which ERDF (in EUR) (optional)	8,856,415.00
14.1.2. out of which Cohesion Fund (in EUR) (optional)	
14.1.3. out of which ESF (in EUR) (optional)	
14.1.4. out of which EAFRD (in EUR) (optional)	
14.1.5. out of which EMFF (in EUR) (optional)	
15. Total amount of programme contributions paid to the financial instrument (in EUR)	4,472,160.00
15.1. out of which amount of ESI Funds contributions (in EUR)	2,236,080.00
15.1.1. out of which ERDF (in EUR)	2,236,080.00
15.1.2. out of which Cohesion Fund (in EUR)	
15.1.3. out of which ESF (in EUR)	
15.1.4. out of which EAFRD (in EUR)	
15.1.5. out of which EMFF (in EUR)	
15.2. out of which total amount of national co-financing (in EUR)	2,236,080.00
15.2.1. out of which total amount of national public funding (in EUR)	
15.2.2. out of which total amount of national private funding (in EUR)	2,236,080.00
16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR)	
17. Total amount of management costs and fees paid out of programme contributions (in EUR)	0.00



17.1. out of which base remuneration (in EUR)	
17.2. out of which performance-based remuneration (in EUR)	
18. Capitalised management costs or fees pursuant to Article 42(2) of Regulation (EU) No 1303/2013 (relevant only for final report) (in EUR)	
19. Capitalised interest rate subsidies or guarantee fee subsidies pursuant to Article 42(1)(c) of Regulation (EU) No 1303/2013 (relevant to final report only) (in EUR)	
20. Amount of programme contributions for follow-on investments in final recipients pursuant to Article 42(3) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
<b>V. Total amount of support paid to final recipients, or to the benefit of final recipients, or committed in guarantee contracts by the financial instrument for investments in final recipients, by ESI Funds programme and priority or measure (Article 46(2)(e) of Regulation (EU) No 1303/2013)</b>	
<b>22. Name of financial product offered by the financial instrument</b>	<b>Cheshire &amp; Warrington UDF PA4 Loan Fund</b>
22.1. Type of financial product offered by the financial instrument	Loan
24. Total amount of programme contributions committed in this loan, guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR)	17,712,830.00
24.1. out of which total amount of ESI Funds contributions (in EUR)	8,856,415.00
25. Total amount of programme contributions paid to final recipients through this loan, micro-loans, equity or other products, or, in the case of guarantee, committed for loans paid to final recipients, by product (in EUR)	4,472,160.00
25.1. out of which total amount of ESI Funds contributions (in EUR)	2,236,080.00
25.1.1. out of which ERDF (in EUR)	2,236,080.00
25.1.2. out of which Cohesion Fund (in EUR)	
25.1.3. out of which ESF (in EUR)	
25.1.4. out of which EAFRD (in EUR)	
25.1.5. out of which EMFF (in EUR)	
25.2. out of which total amount of national public co-financing (in EUR)	
25.3. out of which total amount of national private co-financing (in EUR)	2,236,080.00
27. Number of loan/guarantee/equity or quasi-equity/other financial product contracts signed with final recipients, by product	1
28. Number of loan/guarantee/equity or quasi-equity/other financial product investments made in final recipients, by product	1
29. Number of financial recipients supported by the financial product	1
29.1. out of which large enterprises	
29.2. out of which SMEs	1
29.2.1. out of which microenterprises	
29.3. out of which individuals/natural persons	
29.4. out of which other type of final recipients supported	
29.4.1. description of other type of final recipients supported	
33. Total number of disbursed loans defaulted or total number of guarantees provided and called due to the loan default	
34. Total amount of disbursed loans defaulted (in EUR) or total amount	

committed for guarantees provided and called due to loan default (in EUR)	
<b>38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)</b>	
38.3. Total amount of other contributions, outside the ESI Funds mobilised at the level of final recipients (in EUR)	
38.3.1. out of which public contributions (EUR)	
38.3.2. out of which private contributions (EUR)	
38.3A. Contribution under EIB financial product mobilised at the level of final recipient (only for the instruments under Article 38(1)(c)) (in EUR)	
<b>39. Expected and achieved leverage effect, by reference to the funding agreement</b>	
39.1. Expected leverage effect for loan/guarantee/equity or quasi-equity investment/other financial product, by reference to the funding agreement, by product	0.00
39.2. Achieved leverage effect at the end of reporting year for loan/guarantee/equity or quasi-equity investment/other financial product, by product	1.00
39.3. Investment mobilised through ESIF financial instruments for loan/guarantee/equity and quasi-equity investment, by product (Optional)	
<b>VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)</b>	
32. Information whether the financial instrument was still operational at the end of the reporting year	Yes
32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up	
<b>VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)</b>	
35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR)	76,178.00
36. Amounts repaid to the financial instrument attributable to support from ESI Funds by the end of the reporting year (in EUR)	
36.1. out of which capital repayments (in EUR)	
36.2. out of which gains, other earnings and yields (in EUR)	
37. Amounts of resources attributable to ESI Funds used in accordance with Article 44 and 43a	
37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR)	
37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR)	
37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the financial instrument resulting from negative interest, if such losses occur despite active treasury management by the	

bodies implementing financial instruments (in EUR)	
<b>VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)</b>	
<b>38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)</b>	
38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR)	
38.1A. Contributions under EIB financial product committed in the funding agreement with the body implementing the financial instrument (only for the instruments under Article 38(1)(c)) (in EUR)	
38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR)	
38.2.1. out of which public contributions (EUR)	
38.2.2. out of which private contributions (EUR)	
38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR)	
<b>IX. Contribution of the financial instrument to the achievement of the indicators of the priority or measure concerned (Article 46(2)(j) of Regulation (EU) No 1303/2013)</b>	
<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>CO34 - GHG reduction: Estimated annual decrease of GHG</b>
41.1. Target value of the output indicator	6,045.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	0.00

<b>I. Identification of the programme and priority or measure from which support from the ESI Funds is provided (Article 46(2)(a) of Regulation (EU) No 1303/2013)</b>	
<b>1. Priority axes or measures supporting the financial instrument, including fund of funds, under the ESI Fund programme.</b>	
1.1. Priority axis supporting the financial instrument under the ESI Fund programme	1 - Promoting Research and Innovation
2. Name of ESI Fund(s) supporting the financial instrument under the priority axis or measure	ERDF
<b>3. Thematic objective(s) referred to in the first paragraph of Article 9 of Regulation (EU) No 1303/2013 supported by the financial instrument</b>	<b>01 - Strengthening research, technological development and innovation</b>
3.1. Amount of ESI Funds committed in funding agreements from the individual thematic objective chosen in field 3 (optional)	14,108,352.00
<b>4. other ESI Fund programmes providing contribution to the financial instrument</b>	
4.1. CCI number of each other ESI Fund programme providing contributions to the financial instrument	
30. Date of completion of the ex ante assessment	22-Dec-2017
<b>31. Selection of bodies implementing financial instrument</b>	
31.1. Has selection or designation process already been launched	Yes

<b>II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)</b>	
5. Name of the financial instrument	<b>Cornwall &amp; Isles of Scilly - PA1</b>
6. Official address/place of business of the financial instrument (name of the country and city)	Steel City House, West Street, Sheffield, S1 2GQ
<b>7. Implementation arrangements</b>	
7.1. Financial instruments set up at Union level, managed directly or indirectly by the Commission referred to in Article 38(1)(a) of Regulation (EU) No 1303/2013, supported from ESI Fund programme contributions	
7.1.1. Name of the Union-level financial instrument	
7.2. Financial instrument set up at national, regional, transnational or cross-border level, managed by or under the responsibility of the managing authority referred to in Article 38(1)(b), supported from ESI Fund programme contributions under point (a), (b), (c) and d) of Article 38(4) of Regulation (EU) No 1303/2013	Entrustment of implementation tasks to another body governed by public or private law
7.3. Financial instrument combining financial contribution from MA with EIB financial products under European Fund for Strategic Investment in accordance with Article 39a, referred to in Article 38(1)(c)	
8. Type of the financial instrument	Fund of funds
10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial institution	Fiduciary account
<b>III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)</b>	
<b>11. Body implementing the financial instrument</b>	
11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European Investment Bank; the European Investment Fund; international financial institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only)	Body governed by public or private law
11.1.1. Name of the body implementing the financial	Cornwall & the Isles of Scilly Investment Fund

instrument	
11.1.2. Official address/place of business (country and town name) of the body implementing the financial instrument	Steel City House, West Street, Sheffield, S1 2GQ
12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure	Others
12.1. Description of the other procedure of selecting the body implementing the financial instrument	Entrustment of a publicly owned company, via inter-administrative co-operation between the managing authority and The Secretary of State for Business, Energy and Industrial Strategy (BEIS) in accordance with Article 38 (1)(b) & 38 4(b) (iii) of the CPR, and s3.6.2 of Commission Notice 2016/C 276/01
13. Date of signature of the funding agreement with the body implementing the financial instrument	21-Feb-2018
<b>IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)</b>	
14. Total amount of programme contributions committed in the funding agreement (in EUR)	17,803,285.00
14.1. out of which ESI Funds contributions (in EUR)	14,108,352.00
14.1.1. out of which ERDF (in EUR) (optional)	14,108,352.00
14.1.2. out of which Cohesion Fund (in EUR) (optional)	
14.1.3. out of which ESF (in EUR) (optional)	
14.1.4. out of which EAFRD (in EUR) (optional)	
14.1.5. out of which EMFF (in EUR) (optional)	
15. Total amount of programme contributions paid to the financial instrument (in EUR)	11,440,500.00
15.1. out of which amount of ESI Funds contributions (in EUR)	9,152,400.00
15.1.1. out of which ERDF (in EUR)	9,152,400.00
15.1.2. out of which Cohesion Fund (in EUR)	
15.1.3. out of which ESF (in EUR)	
15.1.4. out of which EAFRD (in EUR)	
15.1.5. out of which EMFF (in EUR)	
15.2. out of which total amount of national co-financing (in EUR)	2,288,100.00
15.2.1. out of which total amount of national public funding (in EUR)	1,430,062.00
15.2.2. out of which total amount of national private funding (in EUR)	858,038.00
16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR)	
17. Total amount of management costs and fees paid out of programme contributions (in EUR)	0.00
17.1. out of which base remuneration (in EUR)	

17.2. out of which performance-based remuneration (in EUR)	
21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
<b>VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)</b>	
32. Information whether the financial instrument was still operational at the end of the reporting year	Yes
32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up	
<b>VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)</b>	
35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR)	19,463.00
37. Amounts of resources attributable to ESI Funds used in accordance with Article 44 and 43a	
37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR)	
37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR)	
37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the financial instrument resulting from negative interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in EUR)	
<b>VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)</b>	
<b>38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)</b>	
38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR)	9,152,400.00
38.1A. Contributions under EIB financial product committed in the funding agreement with the body	

implementing the financial instrument (only for the instruments under Article 38(1)(c)) (in EUR)	
38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR)	2,288,100.00
38.2.1. out of which public contributions (EUR)	1,430,062.00
38.2.2. out of which private contributions (EUR)	858,038.00
38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR)	

<b>II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)</b>	
5. Name of the financial instrument	<b>Cornwall &amp; Isles of Scilly Fund - PA1</b>
6. Official address/place of business of the financial instrument (name of the country and city)	Lowena House Glenthorne Court Truro Business Park Threemilestone Truro, TR4 9NY
8. Type of the financial instrument	Fund of funds specific fund
8.2. Related Fund of Funds	Cornwall & Isles of Scilly - PA1
8.1. Tailor-made or financial instruments complying with standard terms and conditions i.e. "off-the-shelf instruments"	Tailor-made
<b>9. Type of products provided by financial instrument: loans, micro-loans, guarantees, equity, or quasi-equity investments, other financial product or other support combined within the financial instrument pursuant to Article 37(7) of Regulation (EU) No 1303/2013</b>	
9.0.1. Loans ( $\geq$ EUR 25,000)	Yes
9.0.2. Micro-loans ( $<$ EUR 25,000 and provided to micro-enterprises) according to SEC/2011/1134 final	No
9.0.3. Guarantees	No
9.0.4. Equity	Yes
9.0.5. Quasi-equity	No
9.0.6. Other financial products	No
9.0.7. Other support combined with a financial instrument	No
9.1. Description of the other financial product	
9.2. Other support combined within the financial instrument: grant, interest rate subsidy, guarantee fee subsidy pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial institution	Fiduciary account
<b>III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)</b>	
<b>11. Body implementing the financial instrument</b>	

11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European Investment Bank; the European Investment Fund; international financial institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only)	Body governed by public or private law
11.1.1. Name of the body implementing the financial instrument	FSE Group
11.1.2. Official address/place of business (country and town name) of the body implementing the financial instrument	Lowena House Glenthorne Court Truro Business Park Threemilestone Truro, TR4 9NY
12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure	Others
12.1. Description of the other procedure of selecting the body implementing the financial instrument	Entrustment of a publicly owned company, via inter-administrative co-operation between the managing authority and The Secretary of State for Business, Energy and Industrial Strategy (BEIS) in accordance with Article 38 (1)(b) & 38 4(b) (iii) of the CPR, and s3.6.2 of Commission Notice 2016/C 276/01
13. Date of signature of the funding agreement with the body implementing the financial instrument	21-Feb-2018
<b>IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)</b>	
14. Total amount of programme contributions committed in the funding agreement (in EUR)	17,803,285.00
14.1. out of which ESI Funds contributions (in EUR)	14,108,352.00
14.1.1. out of which ERDF (in EUR) (optional)	
14.1.2. out of which Cohesion Fund (in EUR) (optional)	
14.1.3. out of which ESF (in EUR) (optional)	
14.1.4. out of which EAFRD (in EUR) (optional)	
14.1.5. out of which EMFF (in EUR) (optional)	
15. Total amount of programme contributions paid to the financial instrument (in EUR)	11,440,500.00
15.1. out of which amount of ESI Funds contributions (in EUR)	9,152,400.00
15.1.1. out of which ERDF (in EUR)	9,152,400.00
15.1.2. out of which Cohesion Fund (in EUR)	
15.1.3. out of which ESF (in EUR)	
15.1.4. out of which EAFRD (in EUR)	



15.1.5. out of which EMFF (in EUR)	
15.2. out of which total amount of national co-financing (in EUR)	2,288,100.00
15.2.1. out of which total amount of national public funding (in EUR)	1,430,062.00
15.2.2. out of which total amount of national private funding (in EUR)	858,038.00
16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR)	
17. Total amount of management costs and fees paid out of programme contributions (in EUR)	0.00
17.1. out of which base remuneration (in EUR)	
17.2. out of which performance-based remuneration (in EUR)	
18. Capitalised management costs or fees pursuant to Article 42(2) of Regulation (EU) No 1303/2013 (relevant only for final report) (in EUR)	
19. Capitalised interest rate subsidies or guarantee fee subsidies pursuant to Article 42(1)(c) of Regulation (EU) No 1303/2013 (relevant to final report only) (in EUR)	
20. Amount of programme contributions for follow-on investments in final recipients pursuant to Article 42(3) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
<b>V. Total amount of support paid to final recipients, or to the benefit of final recipients, or committed in guarantee contracts by the financial instrument for investments in final recipients, by ESI Funds programme and priority or measure (Article 46(2)(e) of Regulation (EU) No 1303/2013)</b>	
<b>22. Name of financial product offered by the financial instrument</b>	<b><i>CIoS PAI - Equity Fund</i></b>
22.1. Type of financial product offered by the financial instrument	Equity
24. Total amount of programme contributions committed in this loan, guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR)	4,957,946.95
24.1. out of which total amount of ESI Funds contributions (in EUR)	3,966,357.56
25. Total amount of programme contributions paid to final recipients through this loan, micro-loans, equity or other products, or, in the case of guarantee, committed for loans paid to final recipients, by product (in EUR)	4,957,946.95
25.1. out of which total amount of ESI Funds contributions (in EUR)	3,966,357.56

25.1.1. out of which ERDF (in EUR)	3,966,357.56
25.1.2. out of which Cohesion Fund (in EUR)	
25.1.3. out of which ESF (in EUR)	
25.1.4. out of which EAFRD (in EUR)	
25.1.5. out of which EMFF (in EUR)	
25.2. out of which total amount of national public co-financing (in EUR)	594,953.63
25.3. out of which total amount of national private co-financing (in EUR)	396,635.76
27. Number of loan/guarantee/equity or quasi-equity/other financial product contracts signed with final recipients, by product	9
28. Number of loan/guarantee/equity or quasi-equity/other financial product investments made in final recipients, by product	9
29. Number of financial recipients supported by the financial product	9
29.1. out of which large enterprises	
29.2. out of which SMEs	9
29.2.1. out of which microenterprises	
29.3. out of which individuals/natural persons	
29.4. out of which other type of final recipients supported	
29.4.1. description of other type of final recipients supported	
<b>38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)</b>	
38.3. Total amount of other contributions, outside the ESI Funds mobilised at the level of final recipients (in EUR)	
38.3.1. out of which public contributions (EUR)	
38.3.2. out of which private contributions (EUR)	
38.3A. Contribution under EIB financial product mobilised at the level of final recipient (only for the instruments under Article 38(1)(c)) (in EUR)	
<b>39. Expected and achieved leverage effect, by reference to the funding agreement</b>	
39.1. Expected leverage effect for loan/guarantee/equity or quasi-equity investment/other financial product, by reference to the funding agreement, by product	1.25
39.2. Achieved leverage effect at the end of reporting year for loan/guarantee/equity or quasi-equity investment/other financial product, by product	1.00
39.3. Investment mobilised through ESIF financial instruments for loan/guarantee/equity and quasi-equity investment, by product (Optional)	0.00
40. Value of investments and participations in equity (in EUR)	6,197,433.69
<b>22. Name of financial product offered by the financial instrument</b>	<b><i>CIoS PAI - Loan Fund</i></b>

22.1. Type of financial product offered by the financial instrument	Loan
24. Total amount of programme contributions committed in this loan, guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR)	395,033.86
24.1. out of which total amount of ESI Funds contributions (in EUR)	316,027.09
25. Total amount of programme contributions paid to final recipients through this loan, micro-loans, equity or other products, or, in the case of guarantee, committed for loans paid to final recipients, by product (in EUR)	395,033.86
25.1. out of which total amount of ESI Funds contributions (in EUR)	316,027.09
25.1.1. out of which ERDF (in EUR)	316,027.09
25.1.2. out of which Cohesion Fund (in EUR)	
25.1.3. out of which ESF (in EUR)	
25.1.4. out of which EAFRD (in EUR)	
25.1.5. out of which EMFF (in EUR)	
25.2. out of which total amount of national public co-financing (in EUR)	47,404.06
25.3. out of which total amount of national private co-financing (in EUR)	31,602.71
27. Number of loan/guarantee/equity or quasi-equity/other financial product contracts signed with final recipients, by product	1
28. Number of loan/guarantee/equity or quasi-equity/other financial product investments made in final recipients, by product	1
29. Number of financial recipients supported by the financial product	1
29.1. out of which large enterprises	
29.2. out of which SMEs	1
29.2.1. out of which microenterprises	
29.3. out of which individuals/natural persons	
29.4. out of which other type of final recipients supported	
29.4.1. description of other type of final recipients supported	
33. Total number of disbursed loans defaulted or total number of guarantees provided and called due to the loan default	
34. Total amount of disbursed loans defaulted (in EUR) or total amount committed for guarantees provided and called due to loan default (in EUR)	
<b>38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)</b>	
38.3. Total amount of other contributions, outside the ESI Funds mobilised at the level of final recipients (in	

EUR)	
38.3.1. out of which public contributions (EUR)	
38.3.2. out of which private contributions (EUR)	
38.3A. Contribution under EIB financial product mobilised at the level of final recipient (only for the instruments under Article 38(1)(c)) (in EUR)	
<b>39. Expected and achieved leverage effect, by reference to the funding agreement</b>	
39.1. Expected leverage effect for loan/guarantee/equity or quasi-equity investment/other financial product, by reference to the funding agreement, by product	1.25
39.2. Achieved leverage effect at the end of reporting year for loan/guarantee/equity or quasi-equity investment/other financial product, by product	1.00
39.3. Investment mobilised through ESIF financial instruments for loan/guarantee/equity and quasi-equity investment, by product (Optional)	395,033.86
<b>VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)</b>	
32. Information whether the financial instrument was still operational at the end of the reporting year	Yes
32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up	
<b>VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)</b>	
35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR)	
36. Amounts repaid to the financial instrument attributable to support from ESI Funds by the end of the reporting year (in EUR)	
36.1. out of which capital repayments (in EUR)	
36.2. out of which gains, other earnings and yields (in EUR)	
37. Amounts of resources attributable to ESI Funds used in accordance with Article 44 and 43a	
37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR)	
37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR)	
37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the	

financial instrument resulting from negative interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in EUR)	
<b>VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)</b>	
<b>38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)</b>	
38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR)	9,152,400.00
38.1A. Contributions under EIB financial product committed in the funding agreement with the body implementing the financial instrument (only for the instruments under Article 38(1)(c)) (in EUR)	
38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR)	2,288,100.00
38.2.1. out of which public contributions (EUR)	1,430,062.00
38.2.2. out of which private contributions (EUR)	858,038.00
38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR)	
<b>IX. Contribution of the financial instrument to the achievement of the indicators of the priority or measure concerned (Article 46(2)(j) of Regulation (EU) No 1303/2013)</b>	
<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>CO05 - Productive investment: Number of new enterprises supported</b>
41.1. Target value of the output indicator	3.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	1.00
<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>CO01 - Productive investment: Number of enterprises receiving support</b>
41.1. Target value of the output indicator	97.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	10.00
<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>CO28 - Research, Innovation: Number of enterprises supported to introduce new to the market products</b>
41.1. Target value of the output indicator	2.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	1.00
<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>CO03 - Productive investment: Number of enterprises receiving financial support other than grants</b>
41.1. Target value of the output indicator	92.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	10.00
<b>41. Output indicator (code number and name) to</b>	<b>CO07 - Productive investment: Private</b>

<i>which the financial instrument contributes</i>	<i>investment matching public support to enterprises (non-grants)</i>
41.1. Target value of the output indicator	17,941,616.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	5,493,177.20
<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>CO08 - Productive investment: Employment increase in supported enterprises</b>
41.1. Target value of the output indicator	157.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	41.00
<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>CO04 - Productive investment: Number of enterprises receiving non-financial support</b>
41.1. Target value of the output indicator	5.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	0.00
<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>CO29 - Research, Innovation: Number of enterprises supported to introduce new to the firm products</b>
41.1. Target value of the output indicator	9.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	2.00

<b>I. Identification of the programme and priority or measure from which support from the ESI Funds is provided (Article 46(2)(a) of Regulation (EU) No 1303/2013)</b>	
<b>1. Priority axes or measures supporting the financial instrument, including fund of funds, under the ESI Fund programme.</b>	
1.1. Priority axis supporting the financial instrument under the ESI Fund programme	3 - Enhancing the Competitiveness of SMEs
2. Name of ESI Fund(s) supporting the financial instrument under the priority axis or measure	ERDF
<b>3. Thematic objective(s) referred to in the first paragraph of Article 9 of Regulation (EU) No 1303/2013 supported by the financial instrument</b>	<b>03 - Enhancing the competitiveness of small and medium-sized enterprises, the agricultural sector (for the EAFRD) and the fisheries and aquaculture sector (for the EMFF)</b>
3.1. Amount of ESI Funds committed in funding agreements from the individual thematic objective chosen in field 3 (optional)	14,108,352.00
<b>4. other ESI Fund programmes providing contribution to the financial instrument</b>	
4.1. CCI number of each other ESI Fund programme providing contributions to the financial instrument	
30. Date of completion of the ex ante assessment	22-Dec-2017
<b>31. Selection of bodies implementing financial instrument</b>	
31.1. Has selection or designation process already been launched	Yes
<b>II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)</b>	
5. Name of the financial instrument	Cornwall & Isles of Scilly - PA3
6. Official address/place of business of the financial	Steel City House, West Street, Sheffield, S1 2GQ

instrument (name of the country and city)	
<b>7. Implementation arrangements</b>	
7.1. Financial instruments set up at Union level, managed directly or indirectly by the Commission referred to in Article 38(1)(a) of Regulation (EU) No 1303/2013, supported from ESI Fund programme contributions	No
7.1.1. Name of the Union-level financial instrument	
7.2. Financial instrument set up at national, regional, transnational or cross-border level, managed by or under the responsibility of the managing authority referred to in Article 38(1)(b), supported from ESI Fund programme contributions under point (a), (b), (c) and d) of Article 38(4) of Regulation (EU) No 1303/2013	Entrustment of implementation tasks, through the direct award of a contract
7.3. Financial instrument combining financial contribution from MA with EIB financial products under European Fund for Strategic Investment in accordance with Article 39a, referred to in Article 38(1)(c)	
8. Type of the financial instrument	Fund of funds
10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial institution	Fiduciary account
<b>III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)</b>	
<b>11. Body implementing the financial instrument</b>	
11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European Investment Bank; the European Investment Fund; international financial institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only)	Body governed by public or private law
11.1.1. Name of the body implementing the financial instrument	Cornwall & the Isles of Scilly Investment Fund
11.1.2. Official address/place of business (country and town name) of the body implementing the	Steel City House, West Street, Sheffield, S1 2GQ

financial instrument	
12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure	Others
12.1. Description of the other procedure of selecting the body implementing the financial instrument	Entrustment of a publicly owned company, via inter-administrative co-operation between the managing authority and The Secretary of State for Business, Energy and Industrial Strategy (BEIS) in accordance with Article 38 (1)(b) & 38 4(b) (iii) of the CPR, and s3.6.2 of Commission Notice 2016/C 276/01
13. Date of signature of the funding agreement with the body implementing the financial instrument	21-Feb-2018
<b>IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)</b>	
14. Total amount of programme contributions committed in the funding agreement (in EUR)	17,803,285.00
14.1. out of which ESI Funds contributions (in EUR)	14,108,352.00
14.1.1. out of which ERDF (in EUR) (optional)	
14.1.2. out of which Cohesion Fund (in EUR) (optional)	
14.1.3. out of which ESF (in EUR) (optional)	
14.1.4. out of which EAFRD (in EUR) (optional)	
14.1.5. out of which EMFF (in EUR) (optional)	
15. Total amount of programme contributions paid to the financial instrument (in EUR)	11,440,500.00
15.1. out of which amount of ESI Funds contributions (in EUR)	9,152,400.00
15.1.1. out of which ERDF (in EUR)	9,152,400.00
15.1.2. out of which Cohesion Fund (in EUR)	
15.1.3. out of which ESF (in EUR)	
15.1.4. out of which EAFRD (in EUR)	
15.1.5. out of which EMFF (in EUR)	
15.2. out of which total amount of national co-financing (in EUR)	2,288,100.00
15.2.1. out of which total amount of national public funding (in EUR)	1,430,062.00
15.2.2. out of which total amount of national private funding (in EUR)	858,038.00
16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR)	
17. Total amount of management costs and fees paid out of programme contributions (in EUR)	0.00
17.1. out of which base remuneration (in EUR)	
17.2. out of which performance-based remuneration (in EUR)	
21. Contributions of land and/or real estate in the	



financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
<b>VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)</b>	
32. Information whether the financial instrument was still operational at the end of the reporting year	Yes
32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up	
<b>VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)</b>	
35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR)	19,463.00
37. Amounts of resources attributable to ESI Funds used in accordance with Article 44 and 43a	
37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR)	
37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR)	
37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the financial instrument resulting from negative interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in EUR)	
<b>VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)</b>	
<b>38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)</b>	
38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR)	9,152,400.00
38.1A. Contributions under EIB financial product committed in the funding agreement with the body implementing the financial instrument (only for the instruments under Article 38(1)(c)) (in EUR)	
38.2. Total amount of other contributions, outside	2,288,100.00

the ESI Funds paid to the financial instrument (in EUR)	
38.2.1. out of which public contributions (EUR)	1,430,062.00
38.2.2. out of which private contributions (EUR)	858,038.00
38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR)	

<b>II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)</b>	
5. Name of the financial instrument	<b>Cornwall &amp; Isles of Scilly Fund - PA3</b>
6. Official address/place of business of the financial instrument (name of the country and city)	Lowena House Glenthorne Court Truro Business Park Threemilestone Truro, TR4 9NY
8. Type of the financial instrument	Fund of funds specific fund
8.2. Related Fund of Funds	Cornwall & Isles of Scilly - PA3
8.1. Tailor-made or financial instruments complying with standard terms and conditions i.e. "off-the-shelf instruments"	Tailor-made
<b>9. Type of products provided by financial instrument: loans, micro-loans, guarantees, equity, or quasi-equity investments, other financial product or other support combined within the financial instrument pursuant to Article 37(7) of Regulation (EU) No 1303/2013</b>	
9.0.1. Loans ( $\geq$ EUR 25,000)	Yes
9.0.2. Micro-loans ( $<$ EUR 25,000 and provided to micro-enterprises) according to SEC/2011/1134 final	No
9.0.3. Guarantees	No
9.0.4. Equity	Yes
9.0.5. Quasi-equity	No
9.0.6. Other financial products	No
9.0.7. Other support combined with a financial instrument	No
9.1. Description of the other financial product	
9.2. Other support combined within the financial instrument: grant, interest rate subsidy, guarantee fee subsidy pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial institution	Fiduciary account
<b>III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)</b>	
<b>11. Body implementing the financial instrument</b>	
11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No	Body governed by public or private law

1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European Investment Bank; the European Investment Fund; international financial institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only)	
11.1.1. Name of the body implementing the financial instrument	FSE Group
11.1.2. Official address/place of business (country and town name) of the body implementing the financial instrument	Lowena House Glenthorne Court Truro Business Park Threemilestone Truro, TR4 9NY
12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure	Others
12.1. Description of the other procedure of selecting the body implementing the financial instrument	Entrustment of a publicly owned company, via inter-administrative co-operation between the managing authority and The Secretary of State for Business, Energy and Industrial Strategy (BEIS) in accordance with Article 38 (1)(b) & 38 4(b) (iii) of the CPR, and s3.6.2 of Commission Notice 2016/C 276/01
13. Date of signature of the funding agreement with the body implementing the financial instrument	21-Feb-2018
<b>IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)</b>	
14. Total amount of programme contributions committed in the funding agreement (in EUR)	17,803,285.00
14.1. out of which ESI Funds contributions (in EUR)	14,108,352.00
14.1.1. out of which ERDF (in EUR) (optional)	
14.1.2. out of which Cohesion Fund (in EUR) (optional)	
14.1.3. out of which ESF (in EUR) (optional)	
14.1.4. out of which EAFRD (in EUR) (optional)	
14.1.5. out of which EMFF (in EUR) (optional)	
15. Total amount of programme contributions paid to the financial instrument (in EUR)	11,440,500.00
15.1. out of which amount of ESI Funds contributions (in EUR)	9,152,400.00
15.1.1. out of which ERDF (in EUR)	9,152,400.00
15.1.2. out of which Cohesion Fund (in EUR)	
15.1.3. out of which ESF (in EUR)	
15.1.4. out of which EAFRD (in EUR)	
15.1.5. out of which EMFF (in EUR)	
15.2. out of which total amount of national co-financing	2,288,100.00

(in EUR)	
15.2.1. out of which total amount of national public funding (in EUR)	1,430,062.00
15.2.2. out of which total amount of national private funding (in EUR)	858,038.00
16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR)	
17. Total amount of management costs and fees paid out of programme contributions (in EUR)	0.00
17.1. out of which base remuneration (in EUR)	
17.2. out of which performance-based remuneration (in EUR)	
18. Capitalised management costs or fees pursuant to Article 42(2) of Regulation (EU) No 1303/2013 (relevant only for final report) (in EUR)	
19. Capitalised interest rate subsidies or guarantee fee subsidies pursuant to Article 42(1)(c) of Regulation (EU) No 1303/2013 (relevant to final report only) (in EUR)	
20. Amount of programme contributions for follow-on investments in final recipients pursuant to Article 42(3) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
<b>V. Total amount of support paid to final recipients, or to the benefit of final recipients, or committed in guarantee contracts by the financial instrument for investments in final recipients, by ESI Funds programme and priority or measure (Article 46(2)(e) of Regulation (EU) No 1303/2013)</b>	
<b>22. Name of financial product offered by the financial instrument</b>	<b><i>CIoS PA3 - Equity Fund</i></b>
22.1. Type of financial product offered by the financial instrument	Equity
24. Total amount of programme contributions committed in this loan, guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR)	7,893,945.00
24.1. out of which total amount of ESI Funds contributions (in EUR)	6,315,156.00
25. Total amount of programme contributions paid to final recipients through this loan, micro-loans, equity or other products, or, in the case of guarantee, committed for loans paid to final recipients, by product (in EUR)	7,893,945.00
25.1. out of which total amount of ESI Funds contributions (in EUR)	6,315,156.00
25.1.1. out of which ERDF (in EUR)	6,315,156.00
25.1.2. out of which Cohesion Fund (in EUR)	

25.1.3. out of which ESF (in EUR)	
25.1.4. out of which EAFRD (in EUR)	
25.1.5. out of which EMFF (in EUR)	
25.2. out of which total amount of national public co-financing (in EUR)	947,274.00
25.3. out of which total amount of national private co-financing (in EUR)	631,515.00
27. Number of loan/guarantee/equity or quasi-equity/other financial product contracts signed with final recipients, by product	21
28. Number of loan/guarantee/equity or quasi-equity/other financial product investments made in final recipients, by product	21
29. Number of financial recipients supported by the financial product	21
29.1. out of which large enterprises	
29.2. out of which SMEs	21
29.2.1. out of which microenterprises	
29.3. out of which individuals/natural persons	
29.4. out of which other type of final recipients supported	
29.4.1. description of other type of final recipients supported	
<b>38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)</b>	
38.3. Total amount of other contributions, outside the ESI Funds mobilised at the level of final recipients (in EUR)	1,578,789.00
38.3.1. out of which public contributions (EUR)	947,274.00
38.3.2. out of which private contributions (EUR)	631,515.00
38.3A. Contribution under EIB financial product mobilised at the level of final recipient (only for the instruments under Article 38(1)(c)) (in EUR)	
<b>39. Expected and achieved leverage effect, by reference to the funding agreement</b>	
39.1. Expected leverage effect for loan/guarantee/equity or quasi-equity investment/other financial product, by reference to the funding agreement, by product	1.25
39.2. Achieved leverage effect at the end of reporting year for loan/guarantee/equity or quasi-equity investment/other financial product, by product	1.25
39.3. Investment mobilised through ESIF financial instruments for loan/guarantee/equity and quasi-equity investment, by product (Optional)	0.00
40. Value of investments and participations in equity (in EUR)	10,760,080.14
<b>22. Name of financial product offered by the financial instrument</b>	<b><i>CIoS PA3 - Loan Fund</i></b>
22.1. Type of financial product offered by the financial instrument	Loan

24. Total amount of programme contributions committed in this loan, guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR)	3,546,555.00
24.1. out of which total amount of ESI Funds contributions (in EUR)	2,837,244.00
25. Total amount of programme contributions paid to final recipients through this loan, micro-loans, equity or other products, or, in the case of guarantee, committed for loans paid to final recipients, by product (in EUR)	3,546,555.00
25.1. out of which total amount of ESI Funds contributions (in EUR)	2,837,244.00
25.1.1. out of which ERDF (in EUR)	2,837,244.00
25.1.2. out of which Cohesion Fund (in EUR)	
25.1.3. out of which ESF (in EUR)	
25.1.4. out of which EAFRD (in EUR)	
25.1.5. out of which EMFF (in EUR)	
25.2. out of which total amount of national public co-financing (in EUR)	425,587.00
25.3. out of which total amount of national private co-financing (in EUR)	283,724.00
27. Number of loan/guarantee/equity or quasi-equity/other financial product contracts signed with final recipients, by product	49
28. Number of loan/guarantee/equity or quasi-equity/other financial product investments made in final recipients, by product	49
29. Number of financial recipients supported by the financial product	49
29.1. out of which large enterprises	
29.2. out of which SMEs	49
29.2.1. out of which microenterprises	
29.3. out of which individuals/natural persons	
29.4. out of which other type of final recipients supported	
29.4.1. description of other type of final recipients supported	
33. Total number of disbursed loans defaulted or total number of guarantees provided and called due to the loan default	
34. Total amount of disbursed loans defaulted (in EUR) or total amount committed for guarantees provided and called due to loan default (in EUR)	
<b>38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)</b>	
38.3. Total amount of other contributions, outside the ESI Funds mobilised at the level of final recipients (in EUR)	283,724.00
38.3.1. out of which public contributions (EUR)	

38.3.2. out of which private contributions (EUR)	283,724.00
38.3A. Contribution under EIB financial product mobilised at the level of final recipient (only for the instruments under Article 38(1)(c)) (in EUR)	
<b>39. Expected and achieved leverage effect, by reference to the funding agreement</b>	
39.1. Expected leverage effect for loan/guarantee/equity or quasi-equity investment/other financial product, by reference to the funding agreement, by product	1.25
39.2. Achieved leverage effect at the end of reporting year for loan/guarantee/equity or quasi-equity investment/other financial product, by product	1.10
39.3. Investment mobilised through ESIF financial instruments for loan/guarantee/equity and quasi-equity investment, by product (Optional)	535,256.21
<b>VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)</b>	
32. Information whether the financial instrument was still operational at the end of the reporting year	Yes
32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up	
<b>VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)</b>	
35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR)	
36. Amounts repaid to the financial instrument attributable to support from ESI Funds by the end of the reporting year (in EUR)	
36.1. out of which capital repayments (in EUR)	
36.2. out of which gains, other earnings and yields (in EUR)	
37. Amounts of resources attributable to ESI Funds used in accordance with Article 44 and 43a	
37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR)	
37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR)	
37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the financial instrument resulting from negative interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in	

EUR)	
<b>VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)</b>	
<b>38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)</b>	
38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR)	9,152,400.00
38.1A. Contributions under EIB financial product committed in the funding agreement with the body implementing the financial instrument (only for the instruments under Article 38(1)(c)) (in EUR)	
38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR)	2,288,100.00
38.2.1. out of which public contributions (EUR)	1,430,062.00
38.2.2. out of which private contributions (EUR)	858,038.00
38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR)	
<b>IX. Contribution of the financial instrument to the achievement of the indicators of the priority or measure concerned (Article 46(2)(j) of Regulation (EU) No 1303/2013)</b>	
<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>CO07 - Productive investment: Private investment matching public support to enterprises (non-grants)</b>
41.1. Target value of the output indicator	17,941,616.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	53,623,389.39
<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>CO28 - Research, Innovation: Number of enterprises supported to introduce new to the market products</b>
41.1. Target value of the output indicator	2.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	1.00
<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>CO01 - Productive investment: Number of enterprises receiving support</b>
41.1. Target value of the output indicator	97.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	50.00
<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>CO29 - Research, Innovation: Number of enterprises supported to introduce new to the firm products</b>
41.1. Target value of the output indicator	10.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	8.00
<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>CO03 - Productive investment: Number of enterprises receiving financial support other than grants</b>



41.1. Target value of the output indicator	92.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	49.00
<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>CO04 - Productive investment: Number of enterprises receiving non-financial support</b>
41.1. Target value of the output indicator	5.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	0.00
<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>CO05 - Productive investment: Number of new enterprises supported</b>
41.1. Target value of the output indicator	3.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	8.00

<b>I. Identification of the programme and priority or measure from which support from the ESI Funds is provided (Article 46(2)(a) of Regulation (EU) No 1303/2013)</b>	
<b>1. Priority axes or measures supporting the financial instrument, including fund of funds, under the ESI Fund programme.</b>	
1.1. Priority axis supporting the financial instrument under the ESI Fund programme	3 - Enhancing the Competitiveness of SMEs
2. Name of ESI Fund(s) supporting the financial instrument under the priority axis or measure	ERDF
<b>3. Thematic objective(s) referred to in the first paragraph of Article 9 of Regulation (EU) No 1303/2013 supported by the financial instrument</b>	<b>03 - Enhancing the competitiveness of small and medium-sized enterprises, the agricultural sector (for the EAFRD) and the fisheries and aquaculture sector (for the EMFF)</b>
3.1. Amount of ESI Funds committed in funding agreements from the individual thematic objective chosen in field 3 (optional)	39,504,500.00
<b>4. other ESI Fund programmes providing contribution to the financial instrument</b>	
4.1. CCI number of each other ESI Fund programme providing contributions to the financial instrument	
30. Date of completion of the ex ante assessment	04-Oct-2017
<b>31. Selection of bodies implementing financial instrument</b>	
31.1. Has selection or designation process already been launched	Yes
<b>II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)</b>	
5. Name of the financial instrument	<b>Greater London Investment Fund - PA3</b>
6. Official address/place of business of the financial instrument (name of the country and city)	Fourth Floor Aldwych House 71-91 Aldwych London WC2B 4HN
<b>7. Implementation arrangements</b>	
7.1. Financial instruments set up at Union level, managed directly or indirectly by the Commission referred to in Article 38(1)(a) of Regulation (EU) No 1303/2013, supported from ESI Fund programme contributions	No

7.1.1. Name of the Union-level financial instrument	
7.2. Financial instrument set up at national, regional, transnational or cross-border level, managed by or under the responsibility of the managing authority referred to in Article 38(1)(b), supported from ESI Fund programme contributions under point (a), (b), (c) and d) of Article 38(4) of Regulation (EU) No 1303/2013	Entrustment of implementation tasks to another body governed by public or private law
7.3. Financial instrument combining financial contribution from MA with EIB financial products under European Fund for Strategic Investment in accordance with Article 39a, referred to in Article 38(1)(c)	
8. Type of the financial instrument	Fund of funds
10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial institution	Fiduciary account
<b>III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)</b>	
<b>11. Body implementing the financial instrument</b>	
11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European Investment Bank; the European Investment Fund; international financial institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only)	Body governed by public or private law
11.1.1. Name of the body implementing the financial instrument	Funding London
11.1.2. Official address/place of business (country and town name) of the body implementing the financial instrument	First Floor Aldwych House 71-91 Aldwych London WC2B 4HN
12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure	Others
12.1. Description of the other procedure of selecting the body implementing the financial instrument	In house contract award
13. Date of signature of the funding agreement with the body implementing the financial instrument	31-Jul-2018
<b>IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)</b>	
14. Total amount of programme contributions committed in the funding agreement (in EUR)	112,870,000.00
14.1. out of which ESI Funds contributions (in EUR)	39,504,500.00
14.1.1. out of which ERDF (in EUR) (optional)	39,504,500.00
14.1.2. out of which Cohesion Fund (in EUR) (optional)	

14.1.3. out of which ESF (in EUR) (optional)	
14.1.4. out of which EAFRD (in EUR) (optional)	
14.1.5. out of which EMFF (in EUR) (optional)	
15. Total amount of programme contributions paid to the financial instrument (in EUR)	85,488,500.00
15.1. out of which amount of ESI Funds contributions (in EUR)	28,781,850.00
15.1.1. out of which ERDF (in EUR)	28,781,850.00
15.1.2. out of which Cohesion Fund (in EUR)	
15.1.3. out of which ESF (in EUR)	
15.1.4. out of which EAFRD (in EUR)	
15.1.5. out of which EMFF (in EUR)	
15.2. out of which total amount of national co-financing (in EUR)	56,706,650.00
15.2.1. out of which total amount of national public funding (in EUR)	14,176,662.00
15.2.2. out of which total amount of national private funding (in EUR)	42,529,988.00
16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR)	
17. Total amount of management costs and fees paid out of programme contributions (in EUR)	0.00
17.1. out of which base remuneration (in EUR)	
17.2. out of which performance-based remuneration (in EUR)	
21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
<b>VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)</b>	
32. Information whether the financial instrument was still operational at the end of the reporting year	Yes
32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up	
<b>VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)</b>	
35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR)	2,489,819.00
37. Amounts of resources attributable to ESI Funds used in accordance with Article 44 and 43a	
37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR)	

37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR)	
37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the financial instrument resulting from negative interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in EUR)	
<b>VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)</b>	
<b>38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)</b>	
38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR)	112,870,000.00
38.1A. Contributions under EIB financial product committed in the funding agreement with the body implementing the financial instrument (only for the instruments under Article 38(1)(c)) (in EUR)	
38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR)	56,706,650.00
38.2.1. out of which public contributions (EUR)	14,176,662.00
38.2.2. out of which private contributions (EUR)	42,529,988.00
38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR)	

<b>II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)</b>	
5. Name of the financial instrument	<b>Greater London Investment Fund PA3</b>
6. Official address/place of business of the financial instrument (name of the country and city)	Fourth Floor Aldwych House 71-91 Aldwych London WC2B 4HN
8. Type of the financial instrument	Fund of funds specific fund
8.2. Related Fund of Funds	Greater London Investment Fund - PA3
8.1. Tailor-made or financial instruments complying with standard terms and conditions i.e. "off-the-shelf instruments"	Tailor-made
<b>9. Type of products provided by financial instrument: loans, micro-loans, guarantees, equity, or quasi-equity investments, other financial product or other support combined within the financial instrument pursuant to Article 37(7) of Regulation (EU) No 1303/2013</b>	
9.0.1. Loans ( $\geq$ EUR 25,000)	Yes
9.0.2. Micro-loans ( $<$ EUR 25,000 and provided to micro-enterprises) according to SEC/2011/1134 final	No
9.0.3. Guarantees	No
9.0.4. Equity	Yes
9.0.5. Quasi-equity	No

9.0.6. Other financial products	No
9.0.7. Other support combined with a financial instrument	No
9.1. Description of the other financial product	
9.2. Other support combined within the financial instrument: grant, interest rate subsidy, guarantee fee subsidy pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial institution	Fiduciary account
<b>III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)</b>	
<b>11. Body implementing the financial instrument</b>	
11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European Investment Bank; the European Investment Fund; international financial institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only)	Existing or newly created legal entity
11.1.1. Name of the body implementing the financial instrument	Funding London
11.1.2. Official address/place of business (country and town name) of the body implementing the financial instrument	First Floor Aldwych House 71-91 Aldwych London WC2B 4HN
12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure	Others
12.1. Description of the other procedure of selecting the body implementing the financial instrument	In house award
13. Date of signature of the funding agreement with the body implementing the financial instrument	31-Jul-2018
<b>IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)</b>	
14. Total amount of programme contributions committed in the funding agreement (in EUR)	112,870,000.00
14.1. out of which ESI Funds contributions (in EUR)	39,504,500.00
14.1.1. out of which ERDF (in EUR) (optional)	39,504,500.00
14.1.2. out of which Cohesion Fund (in EUR) (optional)	
14.1.3. out of which ESF (in EUR) (optional)	
14.1.4. out of which EAFRD (in EUR) (optional)	
14.1.5. out of which EMFF (in EUR) (optional)	
15. Total amount of programme contributions paid to the financial instrument (in EUR)	85,488,500.00
15.1. out of which amount of ESI Funds contributions (in EUR)	28,781,850.00

15.1.1. out of which ERDF (in EUR)	28,781,850.00
15.1.2. out of which Cohesion Fund (in EUR)	
15.1.3. out of which ESF (in EUR)	
15.1.4. out of which EAFRD (in EUR)	
15.1.5. out of which EMFF (in EUR)	
15.2. out of which total amount of national co-financing (in EUR)	56,706,650.00
15.2.1. out of which total amount of national public funding (in EUR)	14,176,662.00
15.2.2. out of which total amount of national private funding (in EUR)	42,529,988.00
16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR)	
17. Total amount of management costs and fees paid out of programme contributions (in EUR)	0.00
17.1. out of which base remuneration (in EUR)	
17.2. out of which performance-based remuneration (in EUR)	
18. Capitalised management costs or fees pursuant to Article 42(2) of Regulation (EU) No 1303/2013 (relevant only for final report) (in EUR)	
19. Capitalised interest rate subsidies or guarantee fee subsidies pursuant to Article 42(1)(c) of Regulation (EU) No 1303/2013 (relevant to final report only) (in EUR)	
20. Amount of programme contributions for follow-on investments in final recipients pursuant to Article 42(3) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
<b>V. Total amount of support paid to final recipients, or to the benefit of final recipients, or committed in guarantee contracts by the financial instrument for investments in final recipients, by ESI Funds programme and priority or measure (Article 46(2)(e) of Regulation (EU) No 1303/2013)</b>	
<b>22. Name of financial product offered by the financial instrument</b>	<b><i>Venture Fund</i></b>
22.1. Type of financial product offered by the financial instrument	Equity
24. Total amount of programme contributions committed in this loan, guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR)	
24.1. out of which total amount of ESI Funds contributions (in EUR)	
25. Total amount of programme contributions paid to final recipients through this loan, micro-loans, equity or other products, or, in the case of guarantee, committed for loans paid to final recipients, by product (in EUR)	
25.1. out of which total amount of ESI Funds contributions (in EUR)	
25.1.1. out of which ERDF (in EUR)	
25.1.2. out of which Cohesion Fund (in EUR)	
25.1.3. out of which ESF (in EUR)	
25.1.4. out of which EAFRD (in EUR)	
25.1.5. out of which EMFF (in EUR)	
25.2. out of which total amount of national public co-financing (in EUR)	
25.3. out of which total amount of national private co-financing (in EUR)	
27. Number of loan/guarantee/equity or quasi-equity/other financial product contracts signed with final recipients, by product	
28. Number of loan/guarantee/equity or quasi-equity/other financial	

product investments made in final recipients, by product	
29. Number of financial recipients supported by the financial product	
29.1. out of which large enterprises	
29.2. out of which SMEs	
29.2.1. out of which microenterprises	
29.3. out of which individuals/natural persons	
29.4. out of which other type of final recipients supported	
29.4.1. description of other type of final recipients supported	
<b>38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)</b>	
38.3. Total amount of other contributions, outside the ESI Funds mobilised at the level of final recipients (in EUR)	
38.3.1. out of which public contributions (EUR)	
38.3.2. out of which private contributions (EUR)	
38.3A. Contribution under EIB financial product mobilised at the level of final recipient (only for the instruments under Article 38(1)(c)) (in EUR)	
<b>39. Expected and achieved leverage effect, by reference to the funding agreement</b>	
39.1. Expected leverage effect for loan/guarantee/equity or quasi-equity investment/other financial product, by reference to the funding agreement, by product	0.00
39.2. Achieved leverage effect at the end of reporting year for loan/guarantee/equity or quasi-equity investment/other financial product, by product	
39.3. Investment mobilised through ESIF financial instruments for loan/guarantee/equity and quasi-equity investment, by product (Optional)	0.00
40. Value of investments and participations in equity (in EUR)	
<b>22. Name of financial product offered by the financial instrument</b>	<b>Loan Fund</b>
22.1. Type of financial product offered by the financial instrument	Loan
24. Total amount of programme contributions committed in this loan, guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR)	
24.1. out of which total amount of ESI Funds contributions (in EUR)	
25. Total amount of programme contributions paid to final recipients through this loan, micro-loans, equity or other products, or, in the case of guarantee, committed for loans paid to final recipients, by product (in EUR)	
25.1. out of which total amount of ESI Funds contributions (in EUR)	
25.1.1. out of which ERDF (in EUR)	
25.1.2. out of which Cohesion Fund (in EUR)	
25.1.3. out of which ESF (in EUR)	
25.1.4. out of which EAFRD (in EUR)	
25.1.5. out of which EMFF (in EUR)	
25.2. out of which total amount of national public co-financing (in EUR)	
25.3. out of which total amount of national private co-financing (in EUR)	
27. Number of loan/guarantee/equity or quasi-equity/other financial product contracts signed with final recipients, by product	
28. Number of loan/guarantee/equity or quasi-equity/other financial product investments made in final recipients, by product	
29. Number of financial recipients supported by the financial product	

29.1. out of which large enterprises	
29.2. out of which SMEs	
29.2.1. out of which microenterprises	
29.3. out of which individuals/natural persons	
29.4. out of which other type of final recipients supported	
29.4.1. description of other type of final recipients supported	
33. Total number of disbursed loans defaulted or total number of guarantees provided and called due to the loan default	
34. Total amount of disbursed loans defaulted (in EUR) or total amount committed for guarantees provided and called due to loan default (in EUR)	
<b>38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)</b>	
38.3. Total amount of other contributions, outside the ESI Funds mobilised at the level of final recipients (in EUR)	
38.3.1. out of which public contributions (EUR)	
38.3.2. out of which private contributions (EUR)	
38.3A. Contribution under EIB financial product mobilised at the level of final recipient (only for the instruments under Article 38(1)(c)) (in EUR)	
<b>39. Expected and achieved leverage effect, by reference to the funding agreement</b>	
39.1. Expected leverage effect for loan/guarantee/equity or quasi-equity investment/other financial product, by reference to the funding agreement, by product	0.00
39.2. Achieved leverage effect at the end of reporting year for loan/guarantee/equity or quasi-equity investment/other financial product, by product	
39.3. Investment mobilised through ESIF financial instruments for loan/guarantee/equity and quasi-equity investment, by product (Optional)	0.00
<b>22. Name of financial product offered by the financial instrument</b>	<b><i>Mezzanine Fund</i></b>
22.1. Type of financial product offered by the financial instrument	Loan
24. Total amount of programme contributions committed in this loan, guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR)	68,626,128.00
24.1. out of which total amount of ESI Funds contributions (in EUR)	68,626,128.00
25. Total amount of programme contributions paid to final recipients through this loan, micro-loans, equity or other products, or, in the case of guarantee, committed for loans paid to final recipients, by product (in EUR)	24,019,145.00
25.1. out of which total amount of ESI Funds contributions (in EUR)	24,019,145.00
25.1.1. out of which ERDF (in EUR)	24,019,145.00
25.1.2. out of which Cohesion Fund (in EUR)	
25.1.3. out of which ESF (in EUR)	
25.1.4. out of which EAFRD (in EUR)	
25.1.5. out of which EMFF (in EUR)	
25.2. out of which total amount of national public co-financing (in EUR)	
25.3. out of which total amount of national private co-financing (in EUR)	
27. Number of loan/guarantee/equity or quasi-equity/other financial product contracts signed with final recipients, by product	95
28. Number of loan/guarantee/equity or quasi-equity/other financial	



product investments made in final recipients, by product	
29. Number of financial recipients supported by the financial product	95
29.1. out of which large enterprises	
29.2. out of which SMEs	95
29.2.1. out of which microenterprises	
29.3. out of which individuals/natural persons	
29.4. out of which other type of final recipients supported	
29.4.1. description of other type of final recipients supported	
33. Total number of disbursed loans defaulted or total number of guarantees provided and called due to the loan default	1
34. Total amount of disbursed loans defaulted (in EUR) or total amount committed for guarantees provided and called due to loan default (in EUR)	294,716.00
<b>38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)</b>	
38.3. Total amount of other contributions, outside the ESI Funds mobilised at the level of final recipients (in EUR)	
38.3.1. out of which public contributions (EUR)	
38.3.2. out of which private contributions (EUR)	
38.3A. Contribution under EIB financial product mobilised at the level of final recipient (only for the instruments under Article 38(1)(c)) (in EUR)	
<b>39. Expected and achieved leverage effect, by reference to the funding agreement</b>	
39.1. Expected leverage effect for loan/guarantee/equity or quasi-equity investment/other financial product, by reference to the funding agreement, by product	1.00
39.2. Achieved leverage effect at the end of reporting year for loan/guarantee/equity or quasi-equity investment/other financial product, by product	1.00
39.3. Investment mobilised through ESIF financial instruments for loan/guarantee/equity and quasi-equity investment, by product (Optional)	0.00
<b>VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)</b>	
32. Information whether the financial instrument was still operational at the end of the reporting year	Yes
32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up	
<b>VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)</b>	
35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR)	2,489,819.00
36. Amounts repaid to the financial instrument attributable to support from ESI Funds by the end of the reporting year (in EUR)	
36.1. out of which capital repayments (in EUR)	
36.2. out of which gains, other earnings and yields (in EUR)	
37. Amounts of resources attributable to ESI Funds used in accordance	

with Article 44 and 43a	
37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR)	
37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR)	
37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the financial instrument resulting from negative interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in EUR)	
<b>VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)</b>	
<b>38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)</b>	
38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR)	112,870,000.00
38.1A. Contributions under EIB financial product committed in the funding agreement with the body implementing the financial instrument (only for the instruments under Article 38(1)(c)) (in EUR)	0.00
38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR)	56,706,650.00
38.2.1. out of which public contributions (EUR)	14,176,662.00
38.2.2. out of which private contributions (EUR)	42,529,988.00
38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR)	0.00
<b>IX. Contribution of the financial instrument to the achievement of the indicators of the priority or measure concerned (Article 46(2)(j) of Regulation (EU) No 1303/2013)</b>	
<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>CO05 - Productive investment: Number of new enterprises supported</b>
41.1. Target value of the output indicator	21.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	24.00
<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>CO03 - Productive investment: Number of enterprises receiving financial support other than grants</b>
41.1. Target value of the output indicator	170.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	107.00
<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>CO01 - Productive investment: Number of enterprises receiving support</b>
41.1. Target value of the output indicator	170.00

41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	107.00
<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>CO07 - Productive investment: Private investment matching public support to enterprises (non-grants)</b>
41.1. Target value of the output indicator	223,797,000.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	271,144,673.00
<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>CO08 - Productive investment: Employment increase in supported enterprises</b>
41.1. Target value of the output indicator	1,804.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	1,374.09

<b>I. Identification of the programme and priority or measure from which support from the ESI Funds is provided (Article 46(2)(a) of Regulation (EU) No 1303/2013)</b>	
<b>1. Priority axes or measures supporting the financial instrument, including fund of funds, under the ESI Fund programme.</b>	
1.1. Priority axis supporting the financial instrument under the ESI Fund programme	1 - Promoting Research and Innovation
2. Name of ESI Fund(s) supporting the financial instrument under the priority axis or measure	ERDF
<b>3. Thematic objective(s) referred to in the first paragraph of Article 9 of Regulation (EU) No 1303/2013 supported by the financial instrument</b>	<b>01 - Strengthening research, technological development and innovation</b>
3.1. Amount of ESI Funds committed in funding agreements from the individual thematic objective chosen in field 3 (optional)	33,860,045.00
<b>4. other ESI Fund programmes providing contribution to the financial instrument</b>	
4.1. CCI number of each other ESI Fund programme providing contributions to the financial instrument	
30. Date of completion of the ex ante assessment	18-Nov-2016
<b>31. Selection of bodies implementing financial instrument</b>	
31.1. Has selection or designation process already been launched	Yes
<b>II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)</b>	
5. Name of the financial instrument	<b>Greater Manchester UDF - North West Evergreen Fund 2 - PA1</b>
6. Official address/place of business of the financial instrument (name of the country and city)	Greater Manchester Combined Authority, Churchgate House, Oxford Street, Manchester, M1 6EU
<b>7. Implementation arrangements</b>	
7.1. Financial instruments set up at Union level, managed directly or	No

indirectly by the Commission referred to in Article 38(1)(a) of Regulation (EU) No 1303/2013, supported from ESI Fund programme contributions	
7.1.1. Name of the Union-level financial instrument	
7.2. Financial instrument set up at national, regional, transnational or cross-border level, managed by or under the responsibility of the managing authority referred to in Article 38(1)(b), supported from ESI Fund programme contributions under point (a), (b), (c) and d) of Article 38(4) of Regulation (EU) No 1303/2013	Entrustment of implementation tasks, through the direct award of a contract
7.3. Financial instrument combining financial contribution from MA with EIB financial products under European Fund for Strategic Investment in accordance with Article 39a, referred to in Article 38(1)(c)	
8. Type of the financial instrument	Fund of funds
10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial institution	Fiduciary account
<b>III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)</b>	
<b>11. Body implementing the financial instrument</b>	
11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European Investment Bank; the European Investment Fund; international financial institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only)	Body governed by public or private law
11.1.1. Name of the body implementing the financial instrument	Greater Manchester FoF Limited Partnership
11.1.2. Official address/place of business (country and town name) of the body implementing the financial instrument	Churchgate House, Oxford Street, Manchester, M1 6EU
12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure	Interadministrative cooperation
12.1. Description of the other procedure of selecting the body implementing the financial instrument	
13. Date of signature of the funding agreement with the body implementing the financial instrument	22-Nov-2016
<b>IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)</b>	
14. Total amount of programme contributions committed in the funding agreement (in EUR)	67,720,090.00
14.1. out of which ESI Funds contributions (in EUR)	33,860,045.00

14.1.1. out of which ERDF (in EUR) (optional)	33,860,045.00
14.1.2. out of which Cohesion Fund (in EUR) (optional)	
14.1.3. out of which ESF (in EUR) (optional)	
14.1.4. out of which EAFRD (in EUR) (optional)	
14.1.5. out of which EMFF (in EUR) (optional)	
15. Total amount of programme contributions paid to the financial instrument (in EUR)	39,343,250.00
15.1. out of which amount of ESI Funds contributions (in EUR)	16,605,778.00
15.1.1. out of which ERDF (in EUR)	16,605,778.00
15.1.2. out of which Cohesion Fund (in EUR)	
15.1.3. out of which ESF (in EUR)	
15.1.4. out of which EAFRD (in EUR)	
15.1.5. out of which EMFF (in EUR)	
15.2. out of which total amount of national co-financing (in EUR)	22,737,472.00
15.2.1. out of which total amount of national public funding (in EUR)	22,737,472.00
15.2.2. out of which total amount of national private funding (in EUR)	
16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR)	
17. Total amount of management costs and fees paid out of programme contributions (in EUR)	252,248.00
17.1. out of which base remuneration (in EUR)	222,810.00
17.2. out of which performance-based remuneration (in EUR)	29,438.00
21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
<b>VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)</b>	
32. Information whether the financial instrument was still operational at the end of the reporting year	Yes
32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up	
<b>VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)</b>	
35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR)	55,432.00
37. Amounts of resources attributable to ESI Funds used in accordance with Article 44 and 43a	
37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR)	
37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR)	

37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the financial instrument resulting from negative interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in EUR)	
<b>VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)</b>	
<b>38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)</b>	
38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR)	33,860,045.00
38.1A. Contributions under EIB financial product committed in the funding agreement with the body implementing the financial instrument (only for the instruments under Article 38(1)(c)) (in EUR)	
38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR)	22,737,472.00
38.2.1. out of which public contributions (EUR)	22,737,472.00
38.2.2. out of which private contributions (EUR)	
38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR)	

<b>II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)</b>	
5. Name of the financial instrument	<b>Greater Manchester UDF - North West Evergreen Fund 2 - PA1</b>
6. Official address/place of business of the financial instrument (name of the country and city)	CBRE Indirect Investment Services Limited, St Martin's Court, 10 Paternoster Row, London, EC4M 7HP
8. Type of the financial instrument	Fund of funds specific fund
8.2. Related Fund of Funds	Greater Manchester UDF - North West Evergreen Fund 2 - PA1
8.1. Tailor-made or financial instruments complying with standard terms and conditions i.e. "off-the-shelf instruments"	Tailor-made
<b>9. Type of products provided by financial instrument: loans, micro-loans, guarantees, equity, or quasi-equity investments, other financial product or other support combined within the financial instrument pursuant to Article 37(7) of Regulation (EU) No 1303/2013</b>	
9.0.1. Loans ( $\geq$ EUR 25,000)	Yes
9.0.2. Micro-loans ( $<$ EUR 25,000 and provided to micro-enterprises) according to SEC/2011/1134 final	No
9.0.3. Guarantees	No
9.0.4. Equity	No
9.0.5. Quasi-equity	No
9.0.6. Other financial products	No
9.0.7. Other support combined with a financial instrument	No

9.1. Description of the other financial product	
9.2. Other support combined within the financial instrument: grant, interest rate subsidy, guarantee fee subsidy pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial institution	Fiduciary account
<b>III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)</b>	
<b>11. Body implementing the financial instrument</b>	
11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European Investment Bank; the European Investment Fund; international financial institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only)	Body governed by public or private law
11.1.1. Name of the body implementing the financial instrument	CBRE Indirect Investment Services Limited
11.1.2. Official address/place of business (country and town name) of the body implementing the financial instrument	St Martin's Court, 10 Paternoster Row, London, EC4M 7HP
12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure	Selection in accordance with the provisions of the public procurement directive
12.1. Description of the other procedure of selecting the body implementing the financial instrument	
13. Date of signature of the funding agreement with the body implementing the financial instrument	12-Jul-2017
<b>IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)</b>	
14. Total amount of programme contributions committed in the funding agreement (in EUR)	67,720,090.00
14.1. out of which ESI Funds contributions (in EUR)	33,860,045.00
14.1.1. out of which ERDF (in EUR) (optional)	
14.1.2. out of which Cohesion Fund (in EUR) (optional)	
14.1.3. out of which ESF (in EUR) (optional)	
14.1.4. out of which EAFRD (in EUR) (optional)	
14.1.5. out of which EMFF (in EUR) (optional)	
15. Total amount of programme contributions paid to the financial instrument (in EUR)	39,343,250.00
15.1. out of which amount of ESI Funds contributions (in EUR)	16,605,778.00

15.1.1. out of which ERDF (in EUR)	16,605,778.00
15.1.2. out of which Cohesion Fund (in EUR)	
15.1.3. out of which ESF (in EUR)	
15.1.4. out of which EAFRD (in EUR)	
15.1.5. out of which EMFF (in EUR)	
15.2. out of which total amount of national co-financing (in EUR)	22,737,472.00
15.2.1. out of which total amount of national public funding (in EUR)	22,737,472.00
15.2.2. out of which total amount of national private funding (in EUR)	
16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR)	
17. Total amount of management costs and fees paid out of programme contributions (in EUR)	252,248.00
17.1. out of which base remuneration (in EUR)	222,810.00
17.2. out of which performance-based remuneration (in EUR)	29,438.00
18. Capitalised management costs or fees pursuant to Article 42(2) of Regulation (EU) No 1303/2013 (relevant only for final report) (in EUR)	
19. Capitalised interest rate subsidies or guarantee fee subsidies pursuant to Article 42(1)(c) of Regulation (EU) No 1303/2013 (relevant to final report only) (in EUR)	
20. Amount of programme contributions for follow-on investments in final recipients pursuant to Article 42(3) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
<b>V. Total amount of support paid to final recipients, or to the benefit of final recipients, or committed in guarantee contracts by the financial instrument for investments in final recipients, by ESI Funds programme and priority or measure (Article 46(2)(e) of Regulation (EU) No 1303/2013)</b>	
<b>22. Name of financial product offered by the financial instrument</b>	<b>North West Evergreen Fund</b>
22.1. Type of financial product offered by the financial instrument	Loan
24. Total amount of programme contributions committed in this loan, guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR)	67,720,090.00
24.1. out of which total amount of ESI Funds contributions (in EUR)	33,860,045.00
25. Total amount of programme contributions paid to final recipients through this loan, micro-loans, equity or other products, or, in the case of guarantee, committed for loans paid to final recipients, by product (in EUR)	23,707,289.00
25.1. out of which total amount of ESI Funds contributions (in EUR)	11,853,644.00
25.1.1. out of which ERDF (in EUR)	11,853,644.00
25.1.2. out of which Cohesion Fund (in EUR)	
25.1.3. out of which ESF (in EUR)	
25.1.4. out of which EAFRD (in EUR)	
25.1.5. out of which EMFF (in EUR)	



25.2. out of which total amount of national public co-financing (in EUR)	11,853,645.00
25.3. out of which total amount of national private co-financing (in EUR)	
27. Number of loan/guarantee/equity or quasi-equity/other financial product contracts signed with final recipients, by product	4
28. Number of loan/guarantee/equity or quasi-equity/other financial product investments made in final recipients, by product	4
29. Number of financial recipients supported by the financial product	4
29.1. out of which large enterprises	4
29.2. out of which SMEs	0
29.2.1. out of which microenterprises	
29.3. out of which individuals/natural persons	
29.4. out of which other type of final recipients supported	
29.4.1. description of other type of final recipients supported	
33. Total number of disbursed loans defaulted or total number of guarantees provided and called due to the loan default	
34. Total amount of disbursed loans defaulted (in EUR) or total amount committed for guarantees provided and called due to loan default (in EUR)	
<b>38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)</b>	
38.3. Total amount of other contributions, outside the ESI Funds mobilised at the level of final recipients (in EUR)	11,853,645.00
38.3.1. out of which public contributions (EUR)	11,853,645.00
38.3.2. out of which private contributions (EUR)	
38.3A. Contribution under EIB financial product mobilised at the level of final recipient (only for the instruments under Article 38(1)(c)) (in EUR)	
<b>39. Expected and achieved leverage effect, by reference to the funding agreement</b>	
39.1. Expected leverage effect for loan/guarantee/equity or quasi-equity investment/other financial product, by reference to the funding agreement, by product	0.00
39.2. Achieved leverage effect at the end of reporting year for loan/guarantee/equity or quasi-equity investment/other financial product, by product	1.97
39.3. Investment mobilised through ESIF financial instruments for loan/guarantee/equity and quasi-equity investment, by product (Optional)	
<b>VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)</b>	
32. Information whether the financial instrument was still operational at the end of the reporting year	Yes
32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up	
<b>VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles</b>	

<b>43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)</b>	
35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR)	477,609.00
36. Amounts repaid to the financial instrument attributable to support from ESI Funds by the end of the reporting year (in EUR)	1,702,211.00
36.1. out of which capital repayments (in EUR)	1,605,255.00
36.2. out of which gains, other earnings and yields (in EUR)	96,956.00
37. Amounts of resources attributable to ESI Funds used in accordance with Article 44 and 43a	
37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR)	
37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR)	
37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the financial instrument resulting from negative interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in EUR)	
<b>VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)</b>	
<b>38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)</b>	
38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR)	33,860,045.00
38.1A. Contributions under EIB financial product committed in the funding agreement with the body implementing the financial instrument (only for the instruments under Article 38(1)(c)) (in EUR)	
38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR)	22,737,472.00
38.2.1. out of which public contributions (EUR)	22,737,472.00
38.2.2. out of which private contributions (EUR)	
38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR)	
<b>IX. Contribution of the financial instrument to the achievement of the indicators of the priority or measure concerned (Article 46(2)(j) of Regulation (EU) No 1303/2013)</b>	
<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>P2 - Public or commercial buildings built or renovated</b>
41.1. Target value of the output indicator	55,000.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	10,376.00

<b>I. Identification of the programme and priority or measure from which support from the ESI Funds is provided (Article 46(2)(a) of Regulation (EU) No 1303/2013)</b>	
<b>1. Priority axes or measures supporting the financial instrument, including fund of funds, under the ESI Fund programme.</b>	
1.1. Priority axis supporting the financial instrument under the ESI Fund programme	4 - Supporting the Shift Towards a Low Carbon Economy in all Sectors
2. Name of ESI Fund(s) supporting the financial instrument under the priority axis or measure	ERDF
<b>3. Thematic objective(s) referred to in the first paragraph of Article 9 of Regulation (EU) No 1303/2013 supported by the financial instrument</b>	<b>04 - Supporting the shift towards a low-carbon economy in all sectors</b>
3.1. Amount of ESI Funds committed in funding agreements from the individual thematic objective chosen in field 3 (optional)	33,860,045.00
<b>4. other ESI Fund programmes providing contribution to the financial instrument</b>	
4.1. CCI number of each other ESI Fund programme providing contributions to the financial instrument	
30. Date of completion of the ex ante assessment	15-Nov-2016
<b>31. Selection of bodies implementing financial instrument</b>	
31.1. Has selection or designation process already been launched	Yes
<b>II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)</b>	
5. Name of the financial instrument	<b>Greater Manchester UDF - PA4</b>
6. Official address/place of business of the financial instrument (name of the country and city)	Churchgate House, Manchester, M1 6EU
<b>7. Implementation arrangements</b>	
7.1. Financial instruments set up at Union level, managed directly or indirectly by the Commission referred to in Article 38(1)(a) of Regulation (EU) No 1303/2013, supported from ESI Fund programme contributions	
7.1.1. Name of the Union-level financial instrument	
7.2. Financial instrument set up at national, regional, transnational or cross-border level, managed by or under the responsibility of the managing authority referred to in Article 38(1)(b), supported from ESI Fund programme contributions under point (a), (b), (c) and d) of Article 38(4) of Regulation (EU) No 1303/2013	Entrustment of implementation tasks, through the direct award of a contract
7.3. Financial instrument combining financial contribution from MA with EIB financial products under European Fund for Strategic Investment in accordance with Article 39a, referred to in Article 38(1)(c)	
8. Type of the financial instrument	Fund of funds
10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial institution	Fiduciary account
<b>III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of</b>	

<b>Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)</b>	
<b>11. Body implementing the financial instrument</b>	
11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European Investment Bank; the European Investment Fund; international financial institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only)	Body governed by public or private law
11.1.1. Name of the body implementing the financial instrument	Greater Manchester FoF Limited Partnership
11.1.2. Official address/place of business (country and town name) of the body implementing the financial instrument	Churchgate House, Manchester, M1 6EU
12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure	Interadministrative cooperation
12.1. Description of the other procedure of selecting the body implementing the financial instrument	
13. Date of signature of the funding agreement with the body implementing the financial instrument	22-Nov-2016
<b>IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)</b>	
14. Total amount of programme contributions committed in the funding agreement (in EUR)	67,720,090.00
14.1. out of which ESI Funds contributions (in EUR)	33,860,045.00
14.1.1. out of which ERDF (in EUR) (optional)	33,860,045.00
14.1.2. out of which Cohesion Fund (in EUR) (optional)	
14.1.3. out of which ESF (in EUR) (optional)	
14.1.4. out of which EAFRD (in EUR) (optional)	
14.1.5. out of which EMFF (in EUR) (optional)	
15. Total amount of programme contributions paid to the financial instrument (in EUR)	28,166,050.00
15.1. out of which amount of ESI Funds contributions (in EUR)	16,605,778.00
15.1.1. out of which ERDF (in EUR)	16,605,778.00
15.1.2. out of which Cohesion Fund (in EUR)	
15.1.3. out of which ESF (in EUR)	
15.1.4. out of which EAFRD (in EUR)	
15.1.5. out of which EMFF (in EUR)	
15.2. out of which total amount of national co-financing (in EUR)	11,560,272.00
15.2.1. out of which total amount of national public funding (in EUR)	11,560,272.00
15.2.2. out of which total amount of national private funding (in EUR)	
16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR)	
17. Total amount of management costs and fees paid out of programme contributions (in EUR)	252,248.00
17.1. out of which base remuneration (in EUR)	222,810.00
17.2. out of which performance-based remuneration (in EUR)	29,438.00

21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
<b>VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)</b>	
32. Information whether the financial instrument was still operational at the end of the reporting year	Yes
32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up	
<b>VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)</b>	
35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR)	68,273.00
37. Amounts of resources attributable to ESI Funds used in accordance with Article 44 and 43a	
37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR)	
37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR)	
37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the financial instrument resulting from negative interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in EUR)	
<b>VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)</b>	
<b>38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)</b>	
38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR)	33,860,045.00
38.1A. Contributions under EIB financial product committed in the funding agreement with the body implementing the financial instrument (only for the instruments under Article 38(1)(c)) (in EUR)	
38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR)	11,560,272.00
38.2.1. out of which public contributions (EUR)	11,560,272.00
38.2.2. out of which private contributions (EUR)	
38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR)	

<b>II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of</b>
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<b>Regulation (EU) No 1303/2013)</b>	
5. Name of the financial instrument	<b>Greater Manchester UDF - Low Carbon Fund - PA4</b>
6. Official address/place of business of the financial instrument (name of the country and city)	GVA Grimley Limited, 3 Brindley Place, Birmingham, B1 2JB
8. Type of the financial instrument	Fund of funds specific fund
8.2. Related Fund of Funds	Greater Manchester UDF - PA4
8.1. Tailor-made or financial instruments complying with standard terms and conditions i.e. "off-the-shelf instruments"	Tailor-made
<b>9. Type of products provided by financial instrument: loans, micro-loans, guarantees, equity, or quasi-equity investments, other financial product or other support combined within the financial instrument pursuant to Article 37(7) of Regulation (EU) No 1303/2013</b>	
9.0.1. Loans ( $\geq$ EUR 25,000)	Yes
9.0.2. Micro-loans ( $<$ EUR 25,000 and provided to micro-enterprises) according to SEC/2011/1134 final	No
9.0.3. Guarantees	No
9.0.4. Equity	No
9.0.5. Quasi-equity	No
9.0.6. Other financial products	No
9.0.7. Other support combined with a financial instrument	No
9.1. Description of the other financial product	
9.2. Other support combined within the financial instrument: grant, interest rate subsidy, guarantee fee subsidy pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial institution	Fiduciary account
<b>III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)</b>	
<b>11. Body implementing the financial instrument</b>	
11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European Investment Bank; the European Investment Fund; international financial institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only)	Body governed by public or private law
11.1.1. Name of the body implementing the financial instrument	CBRE Indirect Investment Services Limited
11.1.2. Official address/place of business (country and town name) of the	St Martin's Court, 10

body implementing the financial instrument	Paternoster Row, London, EC4M 7HP
12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure	Selection in accordance with the provisions of the public procurement directive
12.1. Description of the other procedure of selecting the body implementing the financial instrument	
13. Date of signature of the funding agreement with the body implementing the financial instrument	12-Jul-2017
<b>IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)</b>	
14. Total amount of programme contributions committed in the funding agreement (in EUR)	33,860,045.00
14.1. out of which ESI Funds contributions (in EUR)	16,930,023.00
14.1.1. out of which ERDF (in EUR) (optional)	
14.1.2. out of which Cohesion Fund (in EUR) (optional)	
14.1.3. out of which ESF (in EUR) (optional)	
14.1.4. out of which EAFRD (in EUR) (optional)	
14.1.5. out of which EMFF (in EUR) (optional)	
15. Total amount of programme contributions paid to the financial instrument (in EUR)	14,083,025.00
15.1. out of which amount of ESI Funds contributions (in EUR)	8,302,889.00
15.1.1. out of which ERDF (in EUR)	8,302,889.00
15.1.2. out of which Cohesion Fund (in EUR)	
15.1.3. out of which ESF (in EUR)	
15.1.4. out of which EAFRD (in EUR)	
15.1.5. out of which EMFF (in EUR)	
15.2. out of which total amount of national co-financing (in EUR)	5,780,136.00
15.2.1. out of which total amount of national public funding (in EUR)	5,780,136.00
15.2.2. out of which total amount of national private funding (in EUR)	
16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR)	
17. Total amount of management costs and fees paid out of programme contributions (in EUR)	495,846.00
17.1. out of which base remuneration (in EUR)	495,846.00
17.2. out of which performance-based remuneration (in EUR)	
18. Capitalised management costs or fees pursuant to Article 42(2) of Regulation (EU) No 1303/2013 (relevant only for final report) (in EUR)	
19. Capitalised interest rate subsidies or guarantee fee subsidies pursuant to Article 42(1)(c) of Regulation (EU) No 1303/2013 (relevant to final report only) (in EUR)	
20. Amount of programme contributions for follow-on investments in final recipients pursuant to Article 42(3) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	

<b>V. Total amount of support paid to final recipients, or to the benefit of final recipients, or committed in guarantee contracts by the financial instrument for investments in final recipients, by ESI Funds programme and priority or measure (Article 46(2)(e) of Regulation (EU) No 1303/2013)</b>	
<b>22. Name of financial product offered by the financial instrument</b>	<b>Loan</b>
22.1. Type of financial product offered by the financial instrument	Loan
24. Total amount of programme contributions committed in this loan, guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR)	24,122,832.00
24.1. out of which total amount of ESI Funds contributions (in EUR)	12,061,416.00
25. Total amount of programme contributions paid to final recipients through this loan, micro-loans, equity or other products, or, in the case of guarantee, committed for loans paid to final recipients, by product (in EUR)	14,083,025.00
25.1. out of which total amount of ESI Funds contributions (in EUR)	8,302,889.00
25.1.1. out of which ERDF (in EUR)	8,302,889.00
25.1.2. out of which Cohesion Fund (in EUR)	
25.1.3. out of which ESF (in EUR)	
25.1.4. out of which EAFRD (in EUR)	
25.1.5. out of which EMFF (in EUR)	
25.2. out of which total amount of national public co-financing (in EUR)	5,780,136.00
25.3. out of which total amount of national private co-financing (in EUR)	
27. Number of loan/guarantee/equity or quasi-equity/other financial product contracts signed with final recipients, by product	5
28. Number of loan/guarantee/equity or quasi-equity/other financial product investments made in final recipients, by product	5
29. Number of financial recipients supported by the financial product	5
29.1. out of which large enterprises	
29.2. out of which SMEs	5
29.2.1. out of which microenterprises	
29.3. out of which individuals/natural persons	
29.4. out of which other type of final recipients supported	
29.4.1. description of other type of final recipients supported	
33. Total number of disbursed loans defaulted or total number of guarantees provided and called due to the loan default	
34. Total amount of disbursed loans defaulted (in EUR) or total amount committed for guarantees provided and called due to loan default (in EUR)	
<b>38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)</b>	
38.3. Total amount of other contributions, outside the ESI Funds mobilised at the level of final recipients (in EUR)	5,780,136.00
38.3.1. out of which public contributions (EUR)	5,780,136.00
38.3.2. out of which private contributions (EUR)	
38.3A. Contribution under EIB financial product mobilised at the level of final recipient (only for the instruments under Article 38(1)(c)) (in EUR)	
<b>39. Expected and achieved leverage effect, by reference to the funding agreement</b>	
39.1. Expected leverage effect for loan/guarantee/equity or quasi-equity investment/other financial product, by reference to the funding agreement, by product	2.66
39.2. Achieved leverage effect at the end of reporting year for loan/guarantee/equity or quasi-equity investment/other financial product, by	1.63



product	
39.3. Investment mobilised through ESIF financial instruments for loan/guarantee/equity and quasi-equity investment, by product (Optional)	
<b>VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)</b>	
32. Information whether the financial instrument was still operational at the end of the reporting year	Yes
32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up	
<b>VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)</b>	
35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR)	
36. Amounts repaid to the financial instrument attributable to support from ESI Funds by the end of the reporting year (in EUR)	
36.1. out of which capital repayments (in EUR)	
36.2. out of which gains, other earnings and yields (in EUR)	
37. Amounts of resources attributable to ESI Funds used in accordance with Article 44 and 43a	
37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR)	
37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR)	
37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the financial instrument resulting from negative interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in EUR)	
<b>VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)</b>	
<b>38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)</b>	
38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR)	33,860,045.00
38.1A. Contributions under EIB financial product committed in the funding agreement with the body implementing the financial instrument (only for the instruments under Article 38(1)(c)) (in EUR)	
38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR)	5,780,136.00
38.2.1. out of which public contributions (EUR)	5,780,136.00
38.2.2. out of which private contributions (EUR)	

38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR)	
<b>IX. Contribution of the financial instrument to the achievement of the indicators of the priority or measure concerned (Article 46(2)(j) of Regulation (EU) No 1303/2013)</b>	
<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>CO34 - GHG reduction: Estimated annual decrease of GHG</b>
41.1. Target value of the output indicator	5,000.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	0.00

<b>II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)</b>	
5. Name of the financial instrument	<b>Greater Manchester UDF - North West Evergreen Fund 2 - PA4</b>
6. Official address/place of business of the financial instrument (name of the country and city)	CBRE Indirect Investment Services Limited, St Martin's Court, 10 Paternoster Row, London, EC4M 7HP
8. Type of the financial instrument	Fund of funds specific fund
8.2. Related Fund of Funds	Greater Manchester UDF - PA4
8.1. Tailor-made or financial instruments complying with standard terms and conditions i.e. "off-the-shelf instruments"	Tailor-made
<b>9. Type of products provided by financial instrument: loans, micro-loans, guarantees, equity, or quasi-equity investments, other financial product or other support combined within the financial instrument pursuant to Article 37(7) of Regulation (EU) No 1303/2013</b>	
9.0.1. Loans ( $\geq$ EUR 25,000)	Yes
9.0.2. Micro-loans ( $<$ EUR 25,000 and provided to micro-enterprises) according to SEC/2011/1134 final	No
9.0.3. Guarantees	No
9.0.4. Equity	No
9.0.5. Quasi-equity	No
9.0.6. Other financial products	No
9.0.7. Other support combined with a financial instrument	No
9.1. Description of the other financial product	
9.2. Other support combined within the financial instrument: grant, interest rate subsidy, guarantee fee subsidy pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial institution	Fiduciary account
<b>III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)</b>	

<b>11. Body implementing the financial instrument</b>	
11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European Investment Bank; the European Investment Fund; international financial institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only)	Body governed by public or private law
11.1.1. Name of the body implementing the financial instrument	CBRE Indirect Services Limited
11.1.2. Official address/place of business (country and town name) of the body implementing the financial instrument	St Martin's Court, 10 Paternoster Row, London, EC4M 7HP
12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure	Selection in accordance with the provisions of the public procurement directive
12.1. Description of the other procedure of selecting the body implementing the financial instrument	
13. Date of signature of the funding agreement with the body implementing the financial instrument	12-Jun-2017
<b>IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)</b>	
14. Total amount of programme contributions committed in the funding agreement (in EUR)	33,860,045.00
14.1. out of which ESI Funds contributions (in EUR)	16,930,022.00
14.1.1. out of which ERDF (in EUR) (optional)	
14.1.2. out of which Cohesion Fund (in EUR) (optional)	
14.1.3. out of which ESF (in EUR) (optional)	
14.1.4. out of which EAFRD (in EUR) (optional)	
14.1.5. out of which EMFF (in EUR) (optional)	
15. Total amount of programme contributions paid to the financial instrument (in EUR)	14,083,025.00
15.1. out of which amount of ESI Funds contributions (in EUR)	8,302,889.00
15.1.1. out of which ERDF (in EUR)	8,302,889.00
15.1.2. out of which Cohesion Fund (in EUR)	
15.1.3. out of which ESF (in EUR)	
15.1.4. out of which EAFRD (in EUR)	
15.1.5. out of which EMFF (in EUR)	
15.2. out of which total amount of national co-financing (in EUR)	5,780,136.00
15.2.1. out of which total amount of national public funding (in EUR)	5,780,136.00
15.2.2. out of which total amount of national private funding (in EUR)	
16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR)	
17. Total amount of management costs and fees paid out of programme contributions (in EUR)	103,157.00

17.1. out of which base remuneration (in EUR)	103,157.00
17.2. out of which performance-based remuneration (in EUR)	
18. Capitalised management costs or fees pursuant to Article 42(2) of Regulation (EU) No 1303/2013 (relevant only for final report) (in EUR)	
19. Capitalised interest rate subsidies or guarantee fee subsidies pursuant to Article 42(1)(c) of Regulation (EU) No 1303/2013 (relevant to final report only) (in EUR)	
20. Amount of programme contributions for follow-on investments in final recipients pursuant to Article 42(3) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
<b>V. Total amount of support paid to final recipients, or to the benefit of final recipients, or committed in guarantee contracts by the financial instrument for investments in final recipients, by ESI Funds programme and priority or measure (Article 46(2)(e) of Regulation (EU) No 1303/2013)</b>	
<b>22. Name of financial product offered by the financial instrument</b>	<b>Loan</b>
22.1. Type of financial product offered by the financial instrument	Loan
24. Total amount of programme contributions committed in this loan, guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR)	24,122,833.00
24.1. out of which total amount of ESI Funds contributions (in EUR)	12,061,415.00
25. Total amount of programme contributions paid to final recipients through this loan, micro-loans, equity or other products, or, in the case of guarantee, committed for loans paid to final recipients, by product (in EUR)	14,083,025.00
25.1. out of which total amount of ESI Funds contributions (in EUR)	8,302,889.00
25.1.1. out of which ERDF (in EUR)	8,302,889.00
25.1.2. out of which Cohesion Fund (in EUR)	
25.1.3. out of which ESF (in EUR)	
25.1.4. out of which EAFRD (in EUR)	
25.1.5. out of which EMFF (in EUR)	
25.2. out of which total amount of national public co-financing (in EUR)	5,780,136.00
25.3. out of which total amount of national private co-financing (in EUR)	
27. Number of loan/guarantee/equity or quasi-equity/other financial product contracts signed with final recipients, by product	5
28. Number of loan/guarantee/equity or quasi-equity/other financial product investments made in final recipients, by product	
29. Number of financial recipients supported by the financial product	5
29.1. out of which large enterprises	
29.2. out of which SMEs	5
29.2.1. out of which microenterprises	
29.3. out of which individuals/natural persons	

29.4. out of which other type of final recipients supported	
29.4.1. description of other type of final recipients supported	
33. Total number of disbursed loans defaulted or total number of guarantees provided and called due to the loan default	
34. Total amount of disbursed loans defaulted (in EUR) or total amount committed for guarantees provided and called due to loan default (in EUR)	
<b>38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)</b>	
38.3. Total amount of other contributions, outside the ESI Funds mobilised at the level of final recipients (in EUR)	5,780,136.00
38.3.1. out of which public contributions (EUR)	5,780,136.00
38.3.2. out of which private contributions (EUR)	
38.3A. Contribution under EIB financial product mobilised at the level of final recipient (only for the instruments under Article 38(1)(c)) (in EUR)	
<b>39. Expected and achieved leverage effect, by reference to the funding agreement</b>	
39.1. Expected leverage effect for loan/guarantee/equity or quasi-equity investment/other financial product, by reference to the funding agreement, by product	2.66
39.2. Achieved leverage effect at the end of reporting year for loan/guarantee/equity or quasi-equity investment/other financial product, by product	1.67
39.3. Investment mobilised through ESIF financial instruments for loan/guarantee/equity and quasi-equity investment, by product (Optional)	
<b>VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)</b>	
32. Information whether the financial instrument was still operational at the end of the reporting year	Yes
32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up	
<b>VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)</b>	
35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR)	372,466.00
36. Amounts repaid to the financial instrument attributable to support from ESI Funds by the end of the reporting year (in EUR)	6,654,985.00
36.1. out of which capital repayments (in EUR)	6,282,519.00
36.2. out of which gains, other earnings and yields (in EUR)	372,466.00
37. Amounts of resources attributable to ESI Funds used in accordance with Article 44 and 43a	
37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to	

the financial instrument or who co-invest at the level of final recipient (in EUR)	
37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR)	
37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the financial instrument resulting from negative interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in EUR)	
<b>VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)</b>	
<b>38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)</b>	
38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR)	33,860,045.00
38.1A. Contributions under EIB financial product committed in the funding agreement with the body implementing the financial instrument (only for the instruments under Article 38(1)(c)) (in EUR)	
38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR)	5,780,136.00
38.2.1. out of which public contributions (EUR)	5,780,136.00
38.2.2. out of which private contributions (EUR)	
38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR)	
<b>IX. Contribution of the financial instrument to the achievement of the indicators of the priority or measure concerned (Article 46(2)(j) of Regulation (EU) No 1303/2013)</b>	
<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>CO34 - GHG reduction: Estimated annual decrease of GHG</b>
41.1. Target value of the output indicator	5,000.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	400.00

<b>I. Identification of the programme and priority or measure from which support from the ESI Funds is provided (Article 46(2)(a) of Regulation (EU) No 1303/2013)</b>	
<b>1. Priority axes or measures supporting the financial instrument, including fund of funds, under the ESI Fund programme.</b>	
1.1. Priority axis supporting the financial instrument under the ESI Fund programme	3 - Enhancing the Competitiveness of SMEs
2. Name of ESI Fund(s) supporting the financial instrument under the priority axis or measure	ERDF
<b>3. Thematic objective(s) referred to in the first paragraph of Article 9 of Regulation (EU) No 1303/2013 supported by the financial instrument</b>	<b>03 - Enhancing the competitiveness of small and medium-sized enterprises, the agricultural sector (for the EAFRD) and the fisheries and aquaculture sector (for the EMFF)</b>

3.1. Amount of ESI Funds committed in funding agreements from the individual thematic objective chosen in field 3 (optional)	22,573,363.00
<b>4. other ESI Fund programmes providing contribution to the financial instrument</b>	
4.1. CCI number of each other ESI Fund programme providing contributions to the financial instrument	
30. Date of completion of the ex ante assessment	16-Jan-2019
<b>31. Selection of bodies implementing financial instrument</b>	
31.1. Has selection or designation process already been launched	Yes
<b>II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)</b>	
5. Name of the financial instrument	<b>Lancashire Urban Development Fund</b>
6. Official address/place of business of the financial instrument (name of the country and city)	County Hall, Lancashire County Council, Preston, Lancashire, PR1 0LD
<b>7. Implementation arrangements</b>	
7.1. Financial instruments set up at Union level, managed directly or indirectly by the Commission referred to in Article 38(1)(a) of Regulation (EU) No 1303/2013, supported from ESI Fund programme contributions	No
7.1.1. Name of the Union-level financial instrument	
7.2. Financial instrument set up at national, regional, transnational or cross-border level, managed by or under the responsibility of the managing authority referred to in Article 38(1)(b), supported from ESI Fund programme contributions under point (a), (b), (c) and d) of Article 38(4) of Regulation (EU) No 1303/2013	Entrustment of implementation tasks to another body governed by public or private law
7.3. Financial instrument combining financial contribution from MA with EIB financial products under European Fund for Strategic Investment in accordance with Article 39a, referred to in Article 38(1)(c)	
8. Type of the financial instrument	Fund of funds
10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial institution	Fiduciary account
<b>III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)</b>	
<b>11. Body implementing the financial instrument</b>	
11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European Investment Bank; the European Investment Fund; international financial institution in which a Member State is a shareholder; a	Body governed by public or private law

publicly-owned bank or institution, established as a legal entity carrying out financial activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only)	
11.1.1. Name of the body implementing the financial instrument	Lancashire UDF Limited Partnership
11.1.2. Official address/place of business (country and town name) of the body implementing the financial instrument	County Hall, Lancashire County Council, Preston, Lancashire, PR1 0LD
12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure	Interadministrative cooperation
12.1. Description of the other procedure of selecting the body implementing the financial instrument	
13. Date of signature of the funding agreement with the body implementing the financial instrument	29-Oct-2019
<b>IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)</b>	
14. Total amount of programme contributions committed in the funding agreement (in EUR)	37,622,272.00
14.1. out of which ESI Funds contributions (in EUR)	22,573,363.00
14.1.1. out of which ERDF (in EUR) (optional)	22,573,363.00
14.1.2. out of which Cohesion Fund (in EUR) (optional)	
14.1.3. out of which ESF (in EUR) (optional)	
14.1.4. out of which EAFRD (in EUR) (optional)	
14.1.5. out of which EMFF (in EUR) (optional)	
15. Total amount of programme contributions paid to the financial instrument (in EUR)	9,718,416.00
15.1. out of which amount of ESI Funds contributions (in EUR)	5,831,049.00
15.1.1. out of which ERDF (in EUR)	5,831,049.00
15.1.2. out of which Cohesion Fund (in EUR)	
15.1.3. out of which ESF (in EUR)	
15.1.4. out of which EAFRD (in EUR)	
15.1.5. out of which EMFF (in EUR)	
15.2. out of which total amount of national co-financing (in EUR)	3,887,367.00
15.2.1. out of which total amount of national public funding (in EUR)	3,887,367.00
15.2.2. out of which total amount of national private funding (in EUR)	
16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR)	
17. Total amount of management costs and fees paid out of programme contributions (in EUR)	0.00
17.1. out of which base remuneration (in EUR)	
17.2. out of which performance-based remuneration (in EUR)	



21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
<b>VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)</b>	
32. Information whether the financial instrument was still operational at the end of the reporting year	Yes
32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up	
<b>VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)</b>	
35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR)	
37. Amounts of resources attributable to ESI Funds used in accordance with Article 44 and 43a	
37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR)	
37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR)	
37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the financial instrument resulting from negative interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in EUR)	
<b>VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)</b>	
<b>38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)</b>	
38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR)	22,573,363.00
38.1A. Contributions under EIB financial product committed in the funding agreement with the body implementing the financial instrument (only for the instruments under Article 38(1)(c)) (in EUR)	
38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR)	3,887,367.00
38.2.1. out of which public contributions (EUR)	
38.2.2. out of which private contributions (EUR)	3,887,367.00
38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR)	

<b>II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)</b>	
5. Name of the financial instrument	<b>Lancashire Urban Development Fund PA3</b>
6. Official address/place of business of the financial instrument (name of the country and city)	County Hall, Lancashire County Council, Preston, Lancashire, PR1 0LD
8. Type of the financial instrument	Fund of funds specific fund
8.2. Related Fund of Funds	Lancashire Urban Development Fund
8.1. Tailor-made or financial instruments complying with standard terms and conditions i.e. "off-the-shelf instruments"	Tailor-made
<b>9. Type of products provided by financial instrument: loans, micro-loans, guarantees, equity, or quasi-equity investments, other financial product or other support combined within the financial instrument pursuant to Article 37(7) of Regulation (EU) No 1303/2013</b>	
9.0.1. Loans ( $\geq$ EUR 25,000)	Yes
9.0.2. Micro-loans ( $<$ EUR 25,000 and provided to micro-enterprises) according to SEC/2011/1134 final	No
9.0.3. Guarantees	No
9.0.4. Equity	No
9.0.5. Quasi-equity	No
9.0.6. Other financial products	No
9.0.7. Other support combined with a financial instrument	No
9.1. Description of the other financial product	
9.2. Other support combined within the financial instrument: grant, interest rate subsidy, guarantee fee subsidy pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial institution	Fiduciary account
<b>III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)</b>	
<b>11. Body implementing the financial instrument</b>	
11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European Investment Bank; the European Investment Fund; international financial institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only)	Body governed by public or private law
11.1.1. Name of the body implementing the financial instrument	Lancashire Urban Development Fund

11.1.2. Official address/place of business (country and town name) of the body implementing the financial instrument	County Hall, Lancashire County Council, Preston, Lancashire, PR1 0LD
12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure	Interadministrative cooperation
12.1. Description of the other procedure of selecting the body implementing the financial instrument	
13. Date of signature of the funding agreement with the body implementing the financial instrument	29-Oct-2019
<b>IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)</b>	
14. Total amount of programme contributions committed in the funding agreement (in EUR)	37,622,272.00
14.1. out of which ESI Funds contributions (in EUR)	22,573,363.00
14.1.1. out of which ERDF (in EUR) (optional)	
14.1.2. out of which Cohesion Fund (in EUR) (optional)	
14.1.3. out of which ESF (in EUR) (optional)	
14.1.4. out of which EAFRD (in EUR) (optional)	
14.1.5. out of which EMFF (in EUR) (optional)	
15. Total amount of programme contributions paid to the financial instrument (in EUR)	9,718,416.00
15.1. out of which amount of ESI Funds contributions (in EUR)	5,831,049.00
15.1.1. out of which ERDF (in EUR)	5,831,049.00
15.1.2. out of which Cohesion Fund (in EUR)	
15.1.3. out of which ESF (in EUR)	
15.1.4. out of which EAFRD (in EUR)	
15.1.5. out of which EMFF (in EUR)	
15.2. out of which total amount of national co-financing (in EUR)	3,887,367.00
15.2.1. out of which total amount of national public funding (in EUR)	
15.2.2. out of which total amount of national private funding (in EUR)	3,887,367.00
16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR)	
17. Total amount of management costs and fees paid out of programme contributions (in EUR)	0.00
17.1. out of which base remuneration (in EUR)	
17.2. out of which performance-based remuneration (in EUR)	
18. Capitalised management costs or fees pursuant to Article 42(2) of Regulation (EU) No 1303/2013 (relevant only for final report) (in EUR)	
19. Capitalised interest rate subsidies or guarantee fee subsidies pursuant to Article 42(1)(c) of Regulation (EU) No 1303/2013 (relevant to final report only) (in EUR)	
20. Amount of programme contributions for follow-on investments in final recipients pursuant to Article 42(3) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
<b>V. Total amount of support paid to final recipients, or to the benefit of final recipients, or</b>	

<b>committed in guarantee contracts by the financial instrument for investments in final recipients, by ESI Funds programme and priority or measure (Article 46(2)(e) of Regulation (EU) No 1303/2013)</b>	
<b>22. Name of financial product offered by the financial instrument</b>	<b>Loan Fund</b>
22.1. Type of financial product offered by the financial instrument	Loan
24. Total amount of programme contributions committed in this loan, guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR)	
24.1. out of which total amount of ESI Funds contributions (in EUR)	
25. Total amount of programme contributions paid to final recipients through this loan, micro-loans, equity or other products, or, in the case of guarantee, committed for loans paid to final recipients, by product (in EUR)	
25.1. out of which total amount of ESI Funds contributions (in EUR)	
25.1.1. out of which ERDF (in EUR)	
25.1.2. out of which Cohesion Fund (in EUR)	
25.1.3. out of which ESF (in EUR)	
25.1.4. out of which EAFRD (in EUR)	
25.1.5. out of which EMFF (in EUR)	
25.2. out of which total amount of national public co-financing (in EUR)	
25.3. out of which total amount of national private co-financing (in EUR)	
27. Number of loan/guarantee/equity or quasi-equity/other financial product contracts signed with final recipients, by product	
28. Number of loan/guarantee/equity or quasi-equity/other financial product investments made in final recipients, by product	
29. Number of financial recipients supported by the financial product	
29.1. out of which large enterprises	
29.2. out of which SMEs	
29.2.1. out of which microenterprises	
29.3. out of which individuals/natural persons	
29.4. out of which other type of final recipients supported	
29.4.1. description of other type of final recipients supported	
33. Total number of disbursed loans defaulted or total number of guarantees provided and called due to the loan default	
34. Total amount of disbursed loans defaulted (in EUR) or total amount committed for guarantees provided and called due to loan default (in EUR)	
<b>38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)</b>	
38.3. Total amount of other contributions, outside the ESI Funds mobilised at the level of final recipients (in EUR)	
38.3.1. out of which public contributions (EUR)	
38.3.2. out of which private contributions (EUR)	
38.3A. Contribution under EIB financial product mobilised at the level of final recipient (only for the instruments under Article 38(1)(c)) (in EUR)	
<b>39. Expected and achieved leverage effect, by reference to the funding agreement</b>	
39.1. Expected leverage effect for loan/guarantee/equity or quasi-equity investment/other financial product, by reference to the funding agreement, by product	0.00
39.2. Achieved leverage effect at the end of reporting year for loan/guarantee/equity or quasi-equity investment/other financial product, by product	

39.3. Investment mobilised through ESIF financial instruments for loan/guarantee/equity and quasi-equity investment, by product (Optional)	
<b>VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)</b>	
32. Information whether the financial instrument was still operational at the end of the reporting year	Yes
32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up	
<b>VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)</b>	
35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR)	
36. Amounts repaid to the financial instrument attributable to support from ESI Funds by the end of the reporting year (in EUR)	
36.1. out of which capital repayments (in EUR)	
36.2. out of which gains, other earnings and yields (in EUR)	
37. Amounts of resources attributable to ESI Funds used in accordance with Article 44 and 43a	
37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR)	
37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR)	
37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the financial instrument resulting from negative interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in EUR)	
<b>VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)</b>	
<b>38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)</b>	
38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR)	22,573,363.00
38.1A. Contributions under EIB financial product committed in the funding agreement with the body implementing the financial instrument (only for the instruments under Article 38(1)(c)) (in EUR)	
38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR)	3,887,367.00
38.2.1. out of which public contributions (EUR)	
38.2.2. out of which private contributions (EUR)	3,887,367.00
38.2A. Contribution under EIB financial product paid to FI (only for the	

instruments under Article 38(1)(c)) (in EUR)	
<b>IX. Contribution of the financial instrument to the achievement of the indicators of the priority or measure concerned (Article 46(2)(j) of Regulation (EU) No 1303/2013)</b>	
<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>P2 - Public or commercial buildings built or renovated</b>
41.1. Target value of the output indicator	6,000.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	0.00

<b>I. Identification of the programme and priority or measure from which support from the ESI Funds is provided (Article 46(2)(a) of Regulation (EU) No 1303/2013)</b>	
<b>1. Priority axes or measures supporting the financial instrument, including fund of funds, under the ESI Fund programme.</b>	
1.1. Priority axis supporting the financial instrument under the ESI Fund programme	1 - Promoting Research and Innovation
2. Name of ESI Fund(s) supporting the financial instrument under the priority axis or measure	ERDF
<b>3. Thematic objective(s) referred to in the first paragraph of Article 9 of Regulation (EU) No 1303/2013 supported by the financial instrument</b>	<b>01 - Strengthening research, technological development and innovation</b>
3.1. Amount of ESI Funds committed in funding agreements from the individual thematic objective chosen in field 3 (optional)	5,643,341.00
<b>4. other ESI Fund programmes providing contribution to the financial instrument</b>	
4.1. CCI number of each other ESI Fund programme providing contributions to the financial instrument	
30. Date of completion of the ex ante assessment	23-Mar-2018
<b>31. Selection of bodies implementing financial instrument</b>	
31.1. Has selection or designation process already been launched	Yes
<b>II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)</b>	
5. Name of the financial instrument	<b>Liverpool City Region Urban Development Fund PA1</b>
6. Official address/place of business of the financial instrument (name of the country and city)	No 1 Mann Island, Liverpool, L3 1BP
<b>7. Implementation arrangements</b>	
7.1. Financial instruments set up at Union level, managed directly or indirectly by the Commission referred to in Article 38(1)(a) of Regulation (EU) No 1303/2013, supported from ESI Fund programme contributions	No
7.1.1. Name of the Union-level financial instrument	
7.2. Financial instrument set up at national, regional, transnational or cross-border level, managed by or under the responsibility of the managing authority referred to in Article 38(1)(b), supported from ESI Fund programme contributions under point (a), (b), (c) and d) of Article 38(4) of Regulation (EU) No 1303/2013	Entrustment of implementation tasks to another body governed by public or private law
7.3. Financial instrument combining financial contribution from MA with EIB financial products under European Fund for Strategic Investment in accordance with Article 39a, referred to in Article	

38(1)(c)	
8. Type of the financial instrument	Fund of funds
10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial institution	Fiduciary account
<b>III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)</b>	
<b>11. Body implementing the financial instrument</b>	
11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European Investment Bank; the European Investment Fund; international financial institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only)	Body governed by public or private law
11.1.1. Name of the body implementing the financial instrument	LCR UDF Limited Partnership
11.1.2. Official address/place of business (country and town name) of the body implementing the financial instrument	No 1 Mann Island, Liverpool, L3 1BP
12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure	Interadministrative cooperation
12.1. Description of the other procedure of selecting the body implementing the financial instrument	
13. Date of signature of the funding agreement with the body implementing the financial instrument	27-Mar-2019
<b>IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)</b>	
14. Total amount of programme contributions committed in the funding agreement (in EUR)	9,751,693.00
14.1. out of which ESI Funds contributions (in EUR)	5,643,341.00
14.1.1. out of which ERDF (in EUR) (optional)	
14.1.2. out of which Cohesion Fund (in EUR) (optional)	
14.1.3. out of which ESF (in EUR) (optional)	
14.1.4. out of which EAFRD (in EUR) (optional)	
14.1.5. out of which EMFF (in EUR) (optional)	
15. Total amount of programme contributions paid to the financial instrument (in EUR)	4,980,139.00
15.1. out of which amount of ESI Funds contributions (in EUR)	2,882,117.00
15.1.1. out of which ERDF (in EUR)	2,882,117.00
15.1.2. out of which Cohesion Fund (in EUR)	
15.1.3. out of which ESF (in EUR)	
15.1.4. out of which EAFRD (in EUR)	
15.1.5. out of which EMFF (in EUR)	

15.2. out of which total amount of national co-financing (in EUR)	2,098,022.00
15.2.1. out of which total amount of national public funding (in EUR)	161,301.00
15.2.2. out of which total amount of national private funding (in EUR)	1,936,721.00
16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR)	
17. Total amount of management costs and fees paid out of programme contributions (in EUR)	122,610.00
17.1. out of which base remuneration (in EUR)	108,265.00
17.2. out of which performance-based remuneration (in EUR)	14,345.00
21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
<b>VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)</b>	
32. Information whether the financial instrument was still operational at the end of the reporting year	Yes
32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up	
<b>VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)</b>	
35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR)	5,535.00
37. Amounts of resources attributable to ESI Funds used in accordance with Article 44 and 43a	
37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR)	
37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR)	
37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the financial instrument resulting from negative interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in EUR)	
<b>VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)</b>	
<b>38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)</b>	
38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR)	9,751,693.00
38.1A. Contributions under EIB financial product committed in the funding agreement with the body implementing the financial instrument	



(only for the instruments under Article 38(1)(c)) (in EUR)	
38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR)	2,098,022.00
38.2.1. out of which public contributions (EUR)	161,301.00
38.2.2. out of which private contributions (EUR)	1,936,721.00
38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR)	

<b>II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)</b>	
5. Name of the financial instrument	<b>Liverpool City Region UDF PA1</b>
6. Official address/place of business of the financial instrument (name of the country and city)	No 1 Mann Island, Liverpool, L3 1BP
8. Type of the financial instrument	Fund of funds specific fund
8.2. Related Fund of Funds	Liverpool City Region Urban Development Fund PA1
8.1. Tailor-made or financial instruments complying with standard terms and conditions i.e. "off-the-shelf instruments"	Tailor-made
<b>9. Type of products provided by financial instrument: loans, micro-loans, guarantees, equity, or quasi-equity investments, other financial product or other support combined within the financial instrument pursuant to Article 37(7) of Regulation (EU) No 1303/2013</b>	
9.0.1. Loans ( $\geq$ EUR 25,000)	Yes
9.0.2. Micro-loans ( $<$ EUR 25,000 and provided to micro-enterprises) according to SEC/2011/1134 final	No
9.0.3. Guarantees	No
9.0.4. Equity	No
9.0.5. Quasi-equity	No
9.0.6. Other financial products	No
9.0.7. Other support combined with a financial instrument	No
9.1. Description of the other financial product	
9.2. Other support combined within the financial instrument: grant, interest rate subsidy, guarantee fee subsidy pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial institution	Fiduciary account
<b>III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)</b>	
<b>11. Body implementing the financial instrument</b>	
11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European Investment	Body governed by public or private law

Bank; the European Investment Fund; international financial institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only)	
11.1.1. Name of the body implementing the financial instrument	LCR UDF Limited Partnership
11.1.2. Official address/place of business (country and town name) of the body implementing the financial instrument	No 1 Mann Island, Liverpool, L3 1BP
12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure	Interadministrative cooperation
12.1. Description of the other procedure of selecting the body implementing the financial instrument	
13. Date of signature of the funding agreement with the body implementing the financial instrument	27-Mar-2019
<b>IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)</b>	
14. Total amount of programme contributions committed in the funding agreement (in EUR)	9,751,693.00
14.1. out of which ESI Funds contributions (in EUR)	5,634,341.00
14.1.1. out of which ERDF (in EUR) (optional)	
14.1.2. out of which Cohesion Fund (in EUR) (optional)	
14.1.3. out of which ESF (in EUR) (optional)	
14.1.4. out of which EAFRD (in EUR) (optional)	
14.1.5. out of which EMFF (in EUR) (optional)	
15. Total amount of programme contributions paid to the financial instrument (in EUR)	4,980,139.00
15.1. out of which amount of ESI Funds contributions (in EUR)	2,882,117.00
15.1.1. out of which ERDF (in EUR)	2,882,117.00
15.1.2. out of which Cohesion Fund (in EUR)	
15.1.3. out of which ESF (in EUR)	
15.1.4. out of which EAFRD (in EUR)	
15.1.5. out of which EMFF (in EUR)	
15.2. out of which total amount of national co-financing (in EUR)	2,098,022.00
15.2.1. out of which total amount of national public funding (in EUR)	161,301.00
15.2.2. out of which total amount of national private funding (in EUR)	1,936,721.00
16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR)	
17. Total amount of management costs and fees paid out of programme contributions (in EUR)	122,610.00
17.1. out of which base remuneration (in EUR)	108,265.00
17.2. out of which performance-based remuneration (in EUR)	14,345.00
18. Capitalised management costs or fees pursuant to Article 42(2) of Regulation (EU) No 1303/2013 (relevant only for final report) (in EUR)	
19. Capitalised interest rate subsidies or guarantee fee subsidies pursuant to Article 42(1)(c) of Regulation (EU) No 1303/2013 (relevant to final report only) (in EUR)	
20. Amount of programme contributions for follow-on investments in final	

recipients pursuant to Article 42(3) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
<b>V. Total amount of support paid to final recipients, or to the benefit of final recipients, or committed in guarantee contracts by the financial instrument for investments in final recipients, by ESI Funds programme and priority or measure (Article 46(2)(e) of Regulation (EU) No 1303/2013)</b>	
<b>22. Name of financial product offered by the financial instrument</b>	<b>Liverpool City Region UDF PA1 Loan Fund</b>
22.1. Type of financial product offered by the financial instrument	Loan
24. Total amount of programme contributions committed in this loan, guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR)	954,493.00
24.1. out of which total amount of ESI Funds contributions (in EUR)	553,256.00
25. Total amount of programme contributions paid to final recipients through this loan, micro-loans, equity or other products, or, in the case of guarantee, committed for loans paid to final recipients, by product (in EUR)	
25.1. out of which total amount of ESI Funds contributions (in EUR)	
25.1.1. out of which ERDF (in EUR)	
25.1.2. out of which Cohesion Fund (in EUR)	
25.1.3. out of which ESF (in EUR)	
25.1.4. out of which EAFRD (in EUR)	
25.1.5. out of which EMFF (in EUR)	
25.2. out of which total amount of national public co-financing (in EUR)	
25.3. out of which total amount of national private co-financing (in EUR)	
27. Number of loan/guarantee/equity or quasi-equity/other financial product contracts signed with final recipients, by product	1
28. Number of loan/guarantee/equity or quasi-equity/other financial product investments made in final recipients, by product	1
29. Number of financial recipients supported by the financial product	1
29.1. out of which large enterprises	
29.2. out of which SMEs	1
29.2.1. out of which microenterprises	
29.3. out of which individuals/natural persons	
29.4. out of which other type of final recipients supported	
29.4.1. description of other type of final recipients supported	
33. Total number of disbursed loans defaulted or total number of guarantees provided and called due to the loan default	
34. Total amount of disbursed loans defaulted (in EUR) or total amount committed for guarantees provided and called due to loan default (in EUR)	
<b>38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)</b>	
38.3. Total amount of other contributions, outside the ESI Funds mobilised at the level of final recipients (in EUR)	402,966.00
38.3.1. out of which public contributions (EUR)	
38.3.2. out of which private contributions (EUR)	402,966.00
38.3A. Contribution under EIB financial product mobilised at the level of final recipient (only for the instruments under Article 38(1)(c)) (in EUR)	

<b>39. Expected and achieved leverage effect, by reference to the funding agreement</b>	
39.1. Expected leverage effect for loan/guarantee/equity or quasi-equity investment/other financial product, by reference to the funding agreement, by product	0.00
39.2. Achieved leverage effect at the end of reporting year for loan/guarantee/equity or quasi-equity investment/other financial product, by product	
39.3. Investment mobilised through ESIF financial instruments for loan/guarantee/equity and quasi-equity investment, by product (Optional)	
<b>VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)</b>	
32. Information whether the financial instrument was still operational at the end of the reporting year	Yes
32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up	
<b>VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)</b>	
35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR)	5,535.00
36. Amounts repaid to the financial instrument attributable to support from ESI Funds by the end of the reporting year (in EUR)	
36.1. out of which capital repayments (in EUR)	
36.2. out of which gains, other earnings and yields (in EUR)	
37. Amounts of resources attributable to ESI Funds used in accordance with Article 44 and 43a	
37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR)	
37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR)	
37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the financial instrument resulting from negative interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in EUR)	
<b>VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)</b>	
<b>38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)</b>	
38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR)	9,751,693.00
38.1A. Contributions under EIB financial product committed in the funding	

agreement with the body implementing the financial instrument (only for the instruments under Article 38(1)(c)) (in EUR)	
38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR)	2,098,022.00
38.2.1. out of which public contributions (EUR)	161,301.00
38.2.2. out of which private contributions (EUR)	1,936,721.00
38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR)	
<b>IX. Contribution of the financial instrument to the achievement of the indicators of the priority or measure concerned (Article 46(2)(j) of Regulation (EU) No 1303/2013)</b>	
<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>P2 - Public or commercial buildings built or renovated</b>
41.1. Target value of the output indicator	3,574.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	0.00

<b>I. Identification of the programme and priority or measure from which support from the ESI Funds is provided (Article 46(2)(a) of Regulation (EU) No 1303/2013)</b>	
<b>1. Priority axes or measures supporting the financial instrument, including fund of funds, under the ESI Fund programme.</b>	
1.1. Priority axis supporting the financial instrument under the ESI Fund programme	3 - Enhancing the Competitiveness of SMEs
2. Name of ESI Fund(s) supporting the financial instrument under the priority axis or measure	ERDF
<b>3. Thematic objective(s) referred to in the first paragraph of Article 9 of Regulation (EU) No 1303/2013 supported by the financial instrument</b>	<b>03 - Enhancing the competitiveness of small and medium-sized enterprises, the agricultural sector (for the EAFRD) and the fisheries and aquaculture sector (for the EMFF)</b>
3.1. Amount of ESI Funds committed in funding agreements from the individual thematic objective chosen in field 3 (optional)	11,286,682.00
<b>4. other ESI Fund programmes providing contribution to the financial instrument</b>	
4.1. CCI number of each other ESI Fund programme providing contributions to the financial instrument	
30. Date of completion of the ex ante assessment	23-Mar-2018
<b>31. Selection of bodies implementing financial instrument</b>	
31.1. Has selection or designation process already been launched	Yes
<b>II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)</b>	
5. Name of the financial instrument	<b>Liverpool City Region Urban Development Fund PA3</b>
6. Official address/place of business of the financial instrument (name of the country and city)	No 1 Mann Island, Liverpool, L3 1BP
<b>7. Implementation arrangements</b>	
7.1. Financial instruments set up at Union level, managed	No

directly or indirectly by the Commission referred to in Article 38(1)(a) of Regulation (EU) No 1303/2013, supported from ESI Fund programme contributions	
7.1.1. Name of the Union-level financial instrument	
7.2. Financial instrument set up at national, regional, transnational or cross-border level, managed by or under the responsibility of the managing authority referred to in Article 38(1)(b), supported from ESI Fund programme contributions under point (a), (b), (c) and d) of Article 38(4) of Regulation (EU) No 1303/2013	Entrustment of implementation tasks to another body governed by public or private law
7.3. Financial instrument combining financial contribution from MA with EIB financial products under European Fund for Strategic Investment in accordance with Article 39a, referred to in Article 38(1)(c)	
8. Type of the financial instrument	Fund of funds
10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial institution	Fiduciary account
<b>III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)</b>	
<b>11. Body implementing the financial instrument</b>	
11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European Investment Bank; the European Investment Fund; international financial institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only)	Body governed by public or private law
11.1.1. Name of the body implementing the financial instrument	LCR UDF Limited Partnership
11.1.2. Official address/place of business (country and town name) of the body implementing the financial instrument	No 1 Mann Island, Liverpool, L3 1BP
12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure	Interadministrative cooperation
12.1. Description of the other procedure of selecting the body implementing the financial instrument	
13. Date of signature of the funding agreement with the body implementing the financial instrument	27-Mar-2019
<b>IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of</b>	

<b>Regulation (EU) No 1303/2013)</b>	
14. Total amount of programme contributions committed in the funding agreement (in EUR)	19,503,386.00
14.1. out of which ESI Funds contributions (in EUR)	11,286,682.00
14.1.1. out of which ERDF (in EUR) (optional)	
14.1.2. out of which Cohesion Fund (in EUR) (optional)	
14.1.3. out of which ESF (in EUR) (optional)	
14.1.4. out of which EAFRD (in EUR) (optional)	
14.1.5. out of which EMFF (in EUR) (optional)	
15. Total amount of programme contributions paid to the financial instrument (in EUR)	9,960,278.00
15.1. out of which amount of ESI Funds contributions (in EUR)	5,278,947.00
15.1.1. out of which ERDF (in EUR)	5,278,947.00
15.1.2. out of which Cohesion Fund (in EUR)	
15.1.3. out of which ESF (in EUR)	
15.1.4. out of which EAFRD (in EUR)	
15.1.5. out of which EMFF (in EUR)	
15.2. out of which total amount of national co-financing (in EUR)	4,681,331.00
15.2.1. out of which total amount of national public funding (in EUR)	807,889.00
15.2.2. out of which total amount of national private funding (in EUR)	3,873,442.00
16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR)	
17. Total amount of management costs and fees paid out of programme contributions (in EUR)	245,221.00
17.1. out of which base remuneration (in EUR)	216,604.00
17.2. out of which performance-based remuneration (in EUR)	28,617.00
21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
<b>VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)</b>	
32. Information whether the financial instrument was still operational at the end of the reporting year	Yes
32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up	
<b>VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)</b>	
35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR)	26,777.00
37. Amounts of resources attributable to ESI Funds used in	

accordance with Article 44 and 43a	
37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR)	
37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR)	
37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the financial instrument resulting from negative interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in EUR)	
<b>VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)</b>	
<b>38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)</b>	
38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR)	8,276,704.00
38.1A. Contributions under EIB financial product committed in the funding agreement with the body implementing the financial instrument (only for the instruments under Article 38(1)(c)) (in EUR)	
38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR)	4,681,331.00
38.2.1. out of which public contributions (EUR)	807,889.00
38.2.2. out of which private contributions (EUR)	3,873,442.00
38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR)	

<b>II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)</b>	
5. Name of the financial instrument	<b>Liverpool City Region UDF PA3</b>
6. Official address/place of business of the financial instrument (name of the country and city)	No 1 Mann Island, Liverpool, L3 1BP
8. Type of the financial instrument	Fund of funds specific fund
8.2. Related Fund of Funds	Liverpool City Region Urban Development Fund PA3
8.1. Tailor-made or financial instruments complying with standard terms and conditions i.e. "off-the-shelf instruments"	Tailor-made
<b>9. Type of products provided by financial instrument: loans, micro-loans, guarantees, equity, or quasi-equity investments, other financial product or other support combined within the financial instrument pursuant to Article 37(7) of Regulation (EU) No 1303/2013</b>	



9.0.1. Loans ( $\geq$ EUR 25,000)	Yes
9.0.2. Micro-loans ( $<$ EUR 25,000 and provided to micro-enterprises) according to SEC/2011/1134 final	No
9.0.3. Guarantees	No
9.0.4. Equity	No
9.0.5. Quasi-equity	No
9.0.6. Other financial products	No
9.0.7. Other support combined with a financial instrument	No
9.1. Description of the other financial product	
9.2. Other support combined within the financial instrument: grant, interest rate subsidy, guarantee fee subsidy pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial institution	Fiduciary account
<b>III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)</b>	
<b>11. Body implementing the financial instrument</b>	
11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European Investment Bank; the European Investment Fund; international financial institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only)	Body governed by public or private law
11.1.1. Name of the body implementing the financial instrument	LCR UDF Limited Partnership
11.1.2. Official address/place of business (country and town name) of the body implementing the financial instrument	No 1 Mann Island, Liverpool, L3 1BP
12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure	Interadministrative cooperation
12.1. Description of the other procedure of selecting the body implementing the financial instrument	
13. Date of signature of the funding agreement with the body implementing the financial instrument	27-Mar-2019
<b>IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)</b>	
14. Total amount of programme contributions committed in the funding agreement (in EUR)	19,503,386.00
14.1. out of which ESI Funds contributions (in EUR)	11,286,682.00
14.1.1. out of which ERDF (in EUR) (optional)	
14.1.2. out of which Cohesion Fund (in EUR) (optional)	
14.1.3. out of which ESF (in EUR) (optional)	
14.1.4. out of which EAFRD (in EUR) (optional)	

14.1.5. out of which EMFF (in EUR) (optional)	
15. Total amount of programme contributions paid to the financial instrument (in EUR)	9,960,278.00
15.1. out of which amount of ESI Funds contributions (in EUR)	5,278,947.00
15.1.1. out of which ERDF (in EUR)	5,278,947.00
15.1.2. out of which Cohesion Fund (in EUR)	
15.1.3. out of which ESF (in EUR)	
15.1.4. out of which EAFRD (in EUR)	
15.1.5. out of which EMFF (in EUR)	
15.2. out of which total amount of national co-financing (in EUR)	4,681,331.00
15.2.1. out of which total amount of national public funding (in EUR)	807,889.00
15.2.2. out of which total amount of national private funding (in EUR)	3,873,442.00
16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR)	
17. Total amount of management costs and fees paid out of programme contributions (in EUR)	142,275.00
17.1. out of which base remuneration (in EUR)	125,669.00
17.2. out of which performance-based remuneration (in EUR)	16,606.00
18. Capitalised management costs or fees pursuant to Article 42(2) of Regulation (EU) No 1303/2013 (relevant only for final report) (in EUR)	
19. Capitalised interest rate subsidies or guarantee fee subsidies pursuant to Article 42(1)(c) of Regulation (EU) No 1303/2013 (relevant to final report only) (in EUR)	
20. Amount of programme contributions for follow-on investments in final recipients pursuant to Article 42(3) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
<b>V. Total amount of support paid to final recipients, or to the benefit of final recipients, or committed in guarantee contracts by the financial instrument for investments in final recipients, by ESI Funds programme and priority or measure (Article 46(2)(e) of Regulation (EU) No 1303/2013)</b>	
<b>22. Name of financial product offered by the financial instrument</b>	<b>Liverpool City Region UDF PA3 Loan</b>
22.1. Type of financial product offered by the financial instrument	Loan
24. Total amount of programme contributions committed in this loan, guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR)	12,753,950.00
24.1. out of which total amount of ESI Funds contributions (in EUR)	6,376,975.00
25. Total amount of programme contributions paid to final recipients through this loan, micro-loans, equity or other products, or, in the case of guarantee, committed for loans paid to final recipients, by product (in EUR)	3,077,053.00
25.1. out of which total amount of ESI Funds contributions (in EUR)	1,538,527.00
25.1.1. out of which ERDF (in EUR)	1,538,527.00
25.1.2. out of which Cohesion Fund (in EUR)	
25.1.3. out of which ESF (in EUR)	
25.1.4. out of which EAFRD (in EUR)	
25.1.5. out of which EMFF (in EUR)	
25.2. out of which total amount of national public co-financing (in EUR)	265,857.00

25.3. out of which total amount of national private co-financing (in EUR)	1,272,669.00
27. Number of loan/guarantee/equity or quasi-equity/other financial product contracts signed with final recipients, by product	3
28. Number of loan/guarantee/equity or quasi-equity/other financial product investments made in final recipients, by product	3
29. Number of financial recipients supported by the financial product	3
29.1. out of which large enterprises	
29.2. out of which SMEs	3
29.2.1. out of which microenterprises	
29.3. out of which individuals/natural persons	
29.4. out of which other type of final recipients supported	
29.4.1. description of other type of final recipients supported	
33. Total number of disbursed loans defaulted or total number of guarantees provided and called due to the loan default	
34. Total amount of disbursed loans defaulted (in EUR) or total amount committed for guarantees provided and called due to loan default (in EUR)	
<b>38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)</b>	
38.3. Total amount of other contributions, outside the ESI Funds mobilised at the level of final recipients (in EUR)	1,272,669.00
38.3.1. out of which public contributions (EUR)	
38.3.2. out of which private contributions (EUR)	1,272,669.00
38.3A. Contribution under EIB financial product mobilised at the level of final recipient (only for the instruments under Article 38(1)(c)) (in EUR)	
<b>39. Expected and achieved leverage effect, by reference to the funding agreement</b>	
39.1. Expected leverage effect for loan/guarantee/equity or quasi-equity investment/other financial product, by reference to the funding agreement, by product	0.00
39.2. Achieved leverage effect at the end of reporting year for loan/guarantee/equity or quasi-equity investment/other financial product, by product	1.75
39.3. Investment mobilised through ESIF financial instruments for loan/guarantee/equity and quasi-equity investment, by product (Optional)	
<b>VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)</b>	
32. Information whether the financial instrument was still operational at the end of the reporting year	Yes
32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up	
<b>VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)</b>	
35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR)	26,777.00
36. Amounts repaid to the financial instrument attributable to support from ESI Funds by the end of the reporting year (in EUR)	

36.1. out of which capital repayments (in EUR)	
36.2. out of which gains, other earnings and yields (in EUR)	
37. Amounts of resources attributable to ESI Funds used in accordance with Article 44 and 43a	
37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR)	
37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR)	
37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the financial instrument resulting from negative interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in EUR)	
<b>VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)</b>	
<b>38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)</b>	
38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR)	8,216,704.00
38.1A. Contributions under EIB financial product committed in the funding agreement with the body implementing the financial instrument (only for the instruments under Article 38(1)(c)) (in EUR)	
38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR)	4,681,331.00
38.2.1. out of which public contributions (EUR)	807,889.00
38.2.2. out of which private contributions (EUR)	3,873,442.00
38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR)	
<b>IX. Contribution of the financial instrument to the achievement of the indicators of the priority or measure concerned (Article 46(2)(j) of Regulation (EU) No 1303/2013)</b>	
<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>P2 - Public or commercial buildings built or renovated</b>
41.1. Target value of the output indicator	7,148.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	0.00

<b>I. Identification of the programme and priority or measure from which support from the ESI Funds is provided (Article 46(2)(a) of Regulation (EU) No 1303/2013)</b>	
<b>1. Priority axes or measures supporting the financial instrument, including fund of funds, under the ESI Fund programme.</b>	
1.1. Priority axis supporting the financial instrument under the ESI Fund programme	4 - Supporting the Shift Towards a Low Carbon Economy in all Sectors

2. Name of ESI Fund(s) supporting the financial instrument under the priority axis or measure	ERDF
<b>3. Thematic objective(s) referred to in the first paragraph of Article 9 of Regulation (EU) No 1303/2013 supported by the financial instrument</b>	<b>04 - Supporting the shift towards a low-carbon economy in all sectors</b>
3.1. Amount of ESI Funds committed in funding agreements from the individual thematic objective chosen in field 3 (optional)	11,286,682.00
<b>4. other ESI Fund programmes providing contribution to the financial instrument</b>	
4.1. CCI number of each other ESI Fund programme providing contributions to the financial instrument	
30. Date of completion of the ex ante assessment	23-Mar-2018
<b>31. Selection of bodies implementing financial instrument</b>	
31.1. Has selection or designation process already been launched	Yes
<b>II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)</b>	
5. Name of the financial instrument	<b>Liverpool City Region Urban Development Fund PA4</b>
6. Official address/place of business of the financial instrument (name of the country and city)	No 1 Mann Island, Liverpool, L3 1BP
<b>7. Implementation arrangements</b>	
7.1. Financial instruments set up at Union level, managed directly or indirectly by the Commission referred to in Article 38(1)(a) of Regulation (EU) No 1303/2013, supported from ESI Fund programme contributions	No
7.1.1. Name of the Union-level financial instrument	
7.2. Financial instrument set up at national, regional, transnational or cross-border level, managed by or under the responsibility of the managing authority referred to in Article 38(1)(b), supported from ESI Fund programme contributions under point (a), (b), (c) and d) of Article 38(4) of Regulation (EU) No 1303/2013	Entrustment of implementation tasks to another body governed by public or private law
7.3. Financial instrument combining financial contribution from MA with EIB financial products under European Fund for Strategic Investment in accordance with Article 39a, referred to in Article 38(1)(c)	
8. Type of the financial instrument	Fund of funds
10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial institution	Fiduciary account
<b>III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)</b>	
<b>11. Body implementing the financial instrument</b>	
11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European Investment Bank; the European Investment Fund;	Body governed by public or private law

international financial institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only)	
11.1.1. Name of the body implementing the financial instrument	LCR UDF Limited Partnership
11.1.2. Official address/place of business (country and town name) of the body implementing the financial instrument	No 1 Mann Island, Liverpool, L3 1BP
12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure	Interadministrative cooperation
12.1. Description of the other procedure of selecting the body implementing the financial instrument	
13. Date of signature of the funding agreement with the body implementing the financial instrument	27-Mar-2019
<b>IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)</b>	
14. Total amount of programme contributions committed in the funding agreement (in EUR)	19,503,386.00
14.1. out of which ESI Funds contributions (in EUR)	11,286,682.00
14.1.1. out of which ERDF (in EUR) (optional)	
14.1.2. out of which Cohesion Fund (in EUR) (optional)	
14.1.3. out of which ESF (in EUR) (optional)	
14.1.4. out of which EAFRD (in EUR) (optional)	
14.1.5. out of which EMFF (in EUR) (optional)	
15. Total amount of programme contributions paid to the financial instrument (in EUR)	9,960,278.00
15.1. out of which amount of ESI Funds contributions (in EUR)	5,278,947.00
15.1.1. out of which ERDF (in EUR)	5,278,947.00
15.1.2. out of which Cohesion Fund (in EUR)	
15.1.3. out of which ESF (in EUR)	
15.1.4. out of which EAFRD (in EUR)	
15.1.5. out of which EMFF (in EUR)	
15.2. out of which total amount of national co-financing (in EUR)	4,681,331.00
15.2.1. out of which total amount of national public funding (in EUR)	807,889.00
15.2.2. out of which total amount of national private funding (in EUR)	3,873,442.00
16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR)	
17. Total amount of management costs and fees paid out of programme contributions (in EUR)	245,221.00
17.1. out of which base remuneration (in EUR)	216,604.00
17.2. out of which performance-based remuneration (in EUR)	28,617.00
21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
<b>VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)</b>	
32. Information whether the financial instrument was still operational at	Yes

the end of the reporting year	
32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up	
<b>VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)</b>	
35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR)	37,046.00
37. Amounts of resources attributable to ESI Funds used in accordance with Article 44 and 43a	
37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR)	
37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR)	
37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the financial instrument resulting from negative interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in EUR)	
<b>VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)</b>	
<b>38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)</b>	
38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR)	9,960,278.00
38.1A. Contributions under EIB financial product committed in the funding agreement with the body implementing the financial instrument (only for the instruments under Article 38(1)(c)) (in EUR)	
38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR)	4,681,331.00
38.2.1. out of which public contributions (EUR)	807,889.00
38.2.2. out of which private contributions (EUR)	3,873,442.00
38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR)	

<b>II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)</b>	
5. Name of the financial instrument	Liverpool City Region UDF PA4
6. Official address/place of business of the financial instrument (name of the country and city)	
8. Type of the financial instrument	Fund of funds specific fund

8.2. Related Fund of Funds	Liverpool City Region Urban Development Fund PA4
8.1. Tailor-made or financial instruments complying with standard terms and conditions i.e. "off-the-shelf instruments"	Tailor-made
<b>9. Type of products provided by financial instrument: loans, micro-loans, guarantees, equity, or quasi-equity investments, other financial product or other support combined within the financial instrument pursuant to Article 37(7) of Regulation (EU) No 1303/2013</b>	
9.0.1. Loans ( $\geq$ EUR 25,000)	Yes
9.0.2. Micro-loans ( $<$ EUR 25,000 and provided to micro-enterprises) according to SEC/2011/1134 final	No
9.0.3. Guarantees	No
9.0.4. Equity	No
9.0.5. Quasi-equity	No
9.0.6. Other financial products	No
9.0.7. Other support combined with a financial instrument	No
9.1. Description of the other financial product	
9.2. Other support combined within the financial instrument: grant, interest rate subsidy, guarantee fee subsidy pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial institution	Fiduciary account
<b>III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)</b>	
<b>11. Body implementing the financial instrument</b>	
11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European Investment Bank; the European Investment Fund; international financial institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only)	Body governed by public or private law
11.1.1. Name of the body implementing the financial instrument	LCR UDF Limited Partnership
11.1.2. Official address/place of business (country and town name) of the body implementing the financial instrument	No 1 Mann Island, Liverpool, L3 1BP
12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure	Interadministrative cooperation
12.1. Description of the other procedure of selecting the body implementing the financial instrument	
13. Date of signature of the funding agreement with the body implementing the financial instrument	27-Mar-2019
<b>IV. Total amount of programme contributions, by priority or measure, paid to the financial</b>	



<b>instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)</b>	
14. Total amount of programme contributions committed in the funding agreement (in EUR)	19,503,386.00
14.1. out of which ESI Funds contributions (in EUR)	11,286,682.00
14.1.1. out of which ERDF (in EUR) (optional)	
14.1.2. out of which Cohesion Fund (in EUR) (optional)	
14.1.3. out of which ESF (in EUR) (optional)	
14.1.4. out of which EAFRD (in EUR) (optional)	
14.1.5. out of which EMFF (in EUR) (optional)	
15. Total amount of programme contributions paid to the financial instrument (in EUR)	9,960,278.00
15.1. out of which amount of ESI Funds contributions (in EUR)	5,278,947.00
15.1.1. out of which ERDF (in EUR)	5,278,947.00
15.1.2. out of which Cohesion Fund (in EUR)	
15.1.3. out of which ESF (in EUR)	
15.1.4. out of which EAFRD (in EUR)	
15.1.5. out of which EMFF (in EUR)	
15.2. out of which total amount of national co-financing (in EUR)	4,681,331.00
15.2.1. out of which total amount of national public funding (in EUR)	807,889.00
15.2.2. out of which total amount of national private funding (in EUR)	3,873,442.00
16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR)	
17. Total amount of management costs and fees paid out of programme contributions (in EUR)	245,221.00
17.1. out of which base remuneration (in EUR)	216,604.00
17.2. out of which performance-based remuneration (in EUR)	28,617.00
18. Capitalised management costs or fees pursuant to Article 42(2) of Regulation (EU) No 1303/2013 (relevant only for final report) (in EUR)	
19. Capitalised interest rate subsidies or guarantee fee subsidies pursuant to Article 42(1)(c) of Regulation (EU) No 1303/2013 (relevant to final report only) (in EUR)	
20. Amount of programme contributions for follow-on investments in final recipients pursuant to Article 42(3) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
<b>V. Total amount of support paid to final recipients, or to the benefit of final recipients, or committed in guarantee contracts by the financial instrument for investments in final recipients, by ESI Funds programme and priority or measure (Article 46(2)(e) of Regulation (EU) No 1303/2013)</b>	
<b>22. Name of financial product offered by the financial instrument</b>	<b>Liverpool City Region UDF PA4 Loan</b>
22.1. Type of financial product offered by the financial instrument	Loan
24. Total amount of programme contributions committed in this loan, guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR)	16,856,659.00
24.1. out of which total amount of ESI Funds contributions (in EUR)	8,985,327.00
25. Total amount of programme contributions paid to final recipients through	2,587,351.00

this loan, micro-loans, equity or other products, or, in the case of guarantee, committed for loans paid to final recipients, by product (in EUR)	
25.1. out of which total amount of ESI Funds contributions (in EUR)	1,492,091.00
25.1.1. out of which ERDF (in EUR)	1,492,091.00
25.1.2. out of which Cohesion Fund (in EUR)	
25.1.3. out of which ESF (in EUR)	
25.1.4. out of which EAFRD (in EUR)	
25.1.5. out of which EMFF (in EUR)	
25.2. out of which total amount of national public co-financing (in EUR)	189,042.00
25.3. out of which total amount of national private co-financing (in EUR)	906,218.00
27. Number of loan/guarantee/equity or quasi-equity/other financial product contracts signed with final recipients, by product	3
28. Number of loan/guarantee/equity or quasi-equity/other financial product investments made in final recipients, by product	3
29. Number of financial recipients supported by the financial product	3
29.1. out of which large enterprises	
29.2. out of which SMEs	3
29.2.1. out of which microenterprises	
29.3. out of which individuals/natural persons	
29.4. out of which other type of final recipients supported	
29.4.1. description of other type of final recipients supported	
33. Total number of disbursed loans defaulted or total number of guarantees provided and called due to the loan default	
34. Total amount of disbursed loans defaulted (in EUR) or total amount committed for guarantees provided and called due to loan default (in EUR)	
<b>38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)</b>	
38.3. Total amount of other contributions, outside the ESI Funds mobilised at the level of final recipients (in EUR)	906,218.00
38.3.1. out of which public contributions (EUR)	
38.3.2. out of which private contributions (EUR)	906,218.00
38.3A. Contribution under EIB financial product mobilised at the level of final recipient (only for the instruments under Article 38(1)(c)) (in EUR)	
<b>39. Expected and achieved leverage effect, by reference to the funding agreement</b>	
39.1. Expected leverage effect for loan/guarantee/equity or quasi-equity investment/other financial product, by reference to the funding agreement, by product	0.00
39.2. Achieved leverage effect at the end of reporting year for loan/guarantee/equity or quasi-equity investment/other financial product, by product	1.53
39.3. Investment mobilised through ESIF financial instruments for loan/guarantee/equity and quasi-equity investment, by product (Optional)	
<b>VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)</b>	
32. Information whether the financial instrument was still operational at the end of the reporting year	Yes
32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up	

<b>VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)</b>	
35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR)	37,046.00
36. Amounts repaid to the financial instrument attributable to support from ESI Funds by the end of the reporting year (in EUR)	
36.1. out of which capital repayments (in EUR)	
36.2. out of which gains, other earnings and yields (in EUR)	
37. Amounts of resources attributable to ESI Funds used in accordance with Article 44 and 43a	
37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR)	
37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR)	
37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the financial instrument resulting from negative interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in EUR)	
<b>VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)</b>	
<b>38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)</b>	
38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR)	9,960,278.00
38.1A. Contributions under EIB financial product committed in the funding agreement with the body implementing the financial instrument (only for the instruments under Article 38(1)(c)) (in EUR)	
38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR)	4,681,331.00
38.2.1. out of which public contributions (EUR)	807,889.00
38.2.2. out of which private contributions (EUR)	3,873,442.00
38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR)	
<b>IX. Contribution of the financial instrument to the achievement of the indicators of the priority or measure concerned (Article 46(2)(j) of Regulation (EU) No 1303/2013)</b>	
<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>CO34 - GHG reduction: Estimated annual decrease of GHG</b>
41.1. Target value of the output indicator	15,792.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	0.00

<b>I. Identification of the programme and priority or measure from which support from the ESI Funds is provided (Article 46(2)(a) of Regulation (EU) No 1303/2013)</b>	
<b>1. Priority axes or measures supporting the financial instrument, including fund of funds, under the ESI Fund programme.</b>	
1.1. Priority axis supporting the financial instrument under the ESI Fund programme	4 - Supporting the Shift Towards a Low Carbon Economy in all Sectors
2. Name of ESI Fund(s) supporting the financial instrument under the priority axis or measure	ERDF
<b>3. Thematic objective(s) referred to in the first paragraph of Article 9 of Regulation (EU) No 1303/2013 supported by the financial instrument</b>	<b>04 - Supporting the shift towards a low-carbon economy in all sectors</b>
3.1. Amount of ESI Funds committed in funding agreements from the individual thematic objective chosen in field 3 (optional)	57,789,440.00
<b>4. other ESI Fund programmes providing contribution to the financial instrument</b>	
4.1. CCI number of each other ESI Fund programme providing contributions to the financial instrument	
30. Date of completion of the ex ante assessment	31-Oct-2017
<b>31. Selection of bodies implementing financial instrument</b>	
31.1. Has selection or designation process already been launched	Yes
<b>II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)</b>	
5. Name of the financial instrument	<b>London Mayoral Energy Efficiency Fund - PA4</b>
6. Official address/place of business of the financial instrument (name of the country and city)	3 More London Riverside London SE1 2AQ
<b>7. Implementation arrangements</b>	
7.1. Financial instruments set up at Union level, managed directly or indirectly by the Commission referred to in Article 38(1)(a) of Regulation (EU) No 1303/2013, supported from ESI Fund programme contributions	No
7.1.1. Name of the Union-level financial instrument	
7.2. Financial instrument set up at national, regional, transnational or cross-border level, managed by or under the responsibility of the managing authority referred to in Article 38(1)(b), supported from ESI Fund programme contributions under point (a), (b), (c) and d) of Article 38(4) of Regulation (EU) No 1303/2013	Entrustment of implementation tasks to another body governed by public or private law
7.3. Financial instrument combining financial contribution from MA with EIB financial products under European Fund for Strategic Investment in accordance with Article 39a, referred to in Article 38(1)(c)	
8. Type of the financial instrument	Fund of funds
10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial institution	Fiduciary account
<b>III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of</b>	

<b>Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)</b>	
<b>11. Body implementing the financial instrument</b>	
11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European Investment Bank; the European Investment Fund; international financial institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only)	Body governed by public or private law
11.1.1. Name of the body implementing the financial instrument	Amber Infrastructure Limited
11.1.2. Official address/place of business (country and town name) of the body implementing the financial instrument	3 More London Riverside London SE1 2AQ
12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure	Others
12.1. Description of the other procedure of selecting the body implementing the financial instrument	Award of a public contract
13. Date of signature of the funding agreement with the body implementing the financial instrument	29-Jun-2018
<b>IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)</b>	
14. Total amount of programme contributions committed in the funding agreement (in EUR)	115,578,880.00
14.1. out of which ESI Funds contributions (in EUR)	57,789,440.00
14.1.1. out of which ERDF (in EUR) (optional)	57,789,440.00
14.1.2. out of which Cohesion Fund (in EUR) (optional)	
14.1.3. out of which ESF (in EUR) (optional)	
14.1.4. out of which EAFRD (in EUR) (optional)	
14.1.5. out of which EMFF (in EUR) (optional)	
15. Total amount of programme contributions paid to the financial instrument (in EUR)	114,896,734.00
15.1. out of which amount of ESI Funds contributions (in EUR)	57,448,367.00
15.1.1. out of which ERDF (in EUR)	57,448,367.00
15.1.2. out of which Cohesion Fund (in EUR)	
15.1.3. out of which ESF (in EUR)	
15.1.4. out of which EAFRD (in EUR)	
15.1.5. out of which EMFF (in EUR)	
15.2. out of which total amount of national co-financing (in EUR)	57,448,367.00
15.2.1. out of which total amount of national public funding (in EUR)	
15.2.2. out of which total amount of national private funding (in EUR)	57,448,367.00
16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR)	
17. Total amount of management costs and fees paid out of programme contributions (in EUR)	3,611,840.00
17.1. out of which base remuneration (in EUR)	
17.2. out of which performance-based remuneration (in EUR)	

21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
<b>VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)</b>	
32. Information whether the financial instrument was still operational at the end of the reporting year	Yes
32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up	
<b>VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)</b>	
35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR)	1,027,115.00
37. Amounts of resources attributable to ESI Funds used in accordance with Article 44 and 43a	
37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR)	
37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR)	
37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the financial instrument resulting from negative interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in EUR)	
<b>VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)</b>	
<b>38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)</b>	
38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR)	57,789,440.00
38.1A. Contributions under EIB financial product committed in the funding agreement with the body implementing the financial instrument (only for the instruments under Article 38(1)(c)) (in EUR)	
38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR)	57,448,367.00
38.2.1. out of which public contributions (EUR)	
38.2.2. out of which private contributions (EUR)	57,448,367.00
38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR)	

<b>II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of</b>
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<b>Regulation (EU) No 1303/2013)</b>	
5. Name of the financial instrument	<b>London Mayoral Energy Efficiency Fund PA4</b>
6. Official address/place of business of the financial instrument (name of the country and city)	Amber Infrastructure Limited
8. Type of the financial instrument	Fund of funds specific fund
8.2. Related Fund of Funds	London Mayoral Energy Efficiency Fund - PA4
8.1. Tailor-made or financial instruments complying with standard terms and conditions i.e. "off-the-shelf instruments"	Tailor-made
<b>9. Type of products provided by financial instrument: loans, micro-loans, guarantees, equity, or quasi-equity investments, other financial product or other support combined within the financial instrument pursuant to Article 37(7) of Regulation (EU) No 1303/2013</b>	
9.0.1. Loans ( $\geq$ EUR 25,000)	Yes
9.0.2. Micro-loans ( $<$ EUR 25,000 and provided to micro-enterprises) according to SEC/2011/1134 final	No
9.0.3. Guarantees	No
9.0.4. Equity	Yes
9.0.5. Quasi-equity	No
9.0.6. Other financial products	No
9.0.7. Other support combined with a financial instrument	No
9.1. Description of the other financial product	
9.2. Other support combined within the financial instrument: grant, interest rate subsidy, guarantee fee subsidy pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial institution	Fiduciary account
<b>III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)</b>	
<b>11. Body implementing the financial instrument</b>	
11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European Investment Bank; the European Investment Fund; international financial institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only)	Existing or newly created legal entity
11.1.1. Name of the body implementing the financial instrument	Amber Infrastructure Limited
11.1.2. Official address/place of business (country and town name) of the body implementing the financial instrument	3 More London Riverside London SE1 2AQ
12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure	Others

12.1. Description of the other procedure of selecting the body implementing the financial instrument	Award of a public contract
13. Date of signature of the funding agreement with the body implementing the financial instrument	29-Jun-2018
<b>IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)</b>	
14. Total amount of programme contributions committed in the funding agreement (in EUR)	115,578,880.00
14.1. out of which ESI Funds contributions (in EUR)	57,789,440.00
14.1.1. out of which ERDF (in EUR) (optional)	57,789,440.00
14.1.2. out of which Cohesion Fund (in EUR) (optional)	
14.1.3. out of which ESF (in EUR) (optional)	
14.1.4. out of which EAFRD (in EUR) (optional)	
14.1.5. out of which EMFF (in EUR) (optional)	
15. Total amount of programme contributions paid to the financial instrument (in EUR)	114,896,734.00
15.1. out of which amount of ESI Funds contributions (in EUR)	57,448,367.00
15.1.1. out of which ERDF (in EUR)	57,448,367.00
15.1.2. out of which Cohesion Fund (in EUR)	
15.1.3. out of which ESF (in EUR)	
15.1.4. out of which EAFRD (in EUR)	
15.1.5. out of which EMFF (in EUR)	
15.2. out of which total amount of national co-financing (in EUR)	57,448,367.00
15.2.1. out of which total amount of national public funding (in EUR)	
15.2.2. out of which total amount of national private funding (in EUR)	57,448,367.00
16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR)	
17. Total amount of management costs and fees paid out of programme contributions (in EUR)	3,611,840.00
17.1. out of which base remuneration (in EUR)	
17.2. out of which performance-based remuneration (in EUR)	
18. Capitalised management costs or fees pursuant to Article 42(2) of Regulation (EU) No 1303/2013 (relevant only for final report) (in EUR)	
19. Capitalised interest rate subsidies or guarantee fee subsidies pursuant to Article 42(1)(c) of Regulation (EU) No 1303/2013 (relevant to final report only) (in EUR)	
20. Amount of programme contributions for follow-on investments in final recipients pursuant to Article 42(3) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
<b>V. Total amount of support paid to final recipients, or to the benefit of final recipients, or committed in guarantee contracts by the financial instrument for investments in final recipients, by ESI Funds programme and priority or measure (Article 46(2)(e) of Regulation (EU) No 1303/2013)</b>	
<b>22. Name of financial product offered by the financial instrument</b>	<b>London Mayoral Fund</b>
22.1. Type of financial product offered by the financial instrument	Loan
24. Total amount of programme contributions committed in this loan,	102,708,804.00



guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR)	
24.1. out of which total amount of ESI Funds contributions (in EUR)	51,354,402.00
25. Total amount of programme contributions paid to final recipients through this loan, micro-loans, equity or other products, or, in the case of guarantee, committed for loans paid to final recipients, by product (in EUR)	102,708,804.00
25.1. out of which total amount of ESI Funds contributions (in EUR)	51,354,402.00
25.1.1. out of which ERDF (in EUR)	51,354,402.00
25.1.2. out of which Cohesion Fund (in EUR)	
25.1.3. out of which ESF (in EUR)	
25.1.4. out of which EAFRD (in EUR)	
25.1.5. out of which EMFF (in EUR)	
25.2. out of which total amount of national public co-financing (in EUR)	
25.3. out of which total amount of national private co-financing (in EUR)	51,354,402.00
27. Number of loan/guarantee/equity or quasi-equity/other financial product contracts signed with final recipients, by product	12
28. Number of loan/guarantee/equity or quasi-equity/other financial product investments made in final recipients, by product	
29. Number of financial recipients supported by the financial product	9
29.1. out of which large enterprises	9
29.2. out of which SMEs	
29.2.1. out of which microenterprises	
29.3. out of which individuals/natural persons	
29.4. out of which other type of final recipients supported	
29.4.1. description of other type of final recipients supported	
33. Total number of disbursed loans defaulted or total number of guarantees provided and called due to the loan default	
34. Total amount of disbursed loans defaulted (in EUR) or total amount committed for guarantees provided and called due to loan default (in EUR)	
<b>38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)</b>	
38.3. Total amount of other contributions, outside the ESI Funds mobilised at the level of final recipients (in EUR)	57,902,310.00
38.3.1. out of which public contributions (EUR)	
38.3.2. out of which private contributions (EUR)	57,902,310.00
38.3A. Contribution under EIB financial product mobilised at the level of final recipient (only for the instruments under Article 38(1)(c)) (in EUR)	
<b>39. Expected and achieved leverage effect, by reference to the funding agreement</b>	
39.1. Expected leverage effect for loan/guarantee/equity or quasi-equity investment/other financial product, by reference to the funding agreement, by product	2.00
39.2. Achieved leverage effect at the end of reporting year for loan/guarantee/equity or quasi-equity investment/other financial product, by product	2.02
39.3. Investment mobilised through ESIF financial instruments for loan/guarantee/equity and quasi-equity investment, by product (Optional)	0.00
<b>VI. The performance of the financial instrument, including progress in its set-up and in selection of</b>	

<b>bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)</b>	
32. Information whether the financial instrument was still operational at the end of the reporting year	Yes
32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up	
<b>VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)</b>	
35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR)	830,303.00
36. Amounts repaid to the financial instrument attributable to support from ESI Funds by the end of the reporting year (in EUR)	
36.1. out of which capital repayments (in EUR)	
36.2. out of which gains, other earnings and yields (in EUR)	
37. Amounts of resources attributable to ESI Funds used in accordance with Article 44 and 43a	
37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR)	
37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR)	
37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the financial instrument resulting from negative interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in EUR)	
<b>VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)</b>	
<b>38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)</b>	
38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR)	57,789,440.00
38.1A. Contributions under EIB financial product committed in the funding agreement with the body implementing the financial instrument (only for the instruments under Article 38(1)(c)) (in EUR)	
38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR)	57,448,367.00
38.2.1. out of which public contributions (EUR)	
38.2.2. out of which private contributions (EUR)	57,448,367.00
38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR)	
<b>IX. Contribution of the financial instrument to the achievement of the indicators of the priority or measure concerned (Article 46(2)(j) of Regulation (EU) No 1303/2013)</b>	

<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>CO32 - Energy efficiency: Decrease of annual primary energy consumption of public buildings</b>
41.1. Target value of the output indicator	13,754,871.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	39,282,902.00
<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>CO34 - GHG reduction: Estimated annual decrease of GHG</b>
41.1. Target value of the output indicator	43,753.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	17,729.00
<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>CO31 - Energy efficiency: Number of households with improved energy consumption classification</b>
41.1. Target value of the output indicator	922.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	2,175.00
<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>CO01 - Productive investment: Number of enterprises receiving support</b>
41.1. Target value of the output indicator	10.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	10.00
<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>CO30 - Renewables: Additional capacity of renewable energy production</b>
41.1. Target value of the output indicator	35.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	28.40

<b>I. Identification of the programme and priority or measure from which support from the ESI Funds is provided (Article 46(2)(a) of Regulation (EU) No 1303/2013)</b>	
<b>1. Priority axes or measures supporting the financial instrument, including fund of funds, under the ESI Fund programme.</b>	
1.1. Priority axis supporting the financial instrument under the ESI Fund programme	4 - Supporting the Shift Towards a Low Carbon Economy in all Sectors
2. Name of ESI Fund(s) supporting the financial instrument under the priority axis or measure	ERDF
<b>3. Thematic objective(s) referred to in the first paragraph of Article 9 of Regulation (EU) No 1303/2013 supported by the financial instrument</b>	<b>04 - Supporting the shift towards a low-carbon economy in all sectors</b>
3.1. Amount of ESI Funds committed in funding agreements from the individual thematic objective chosen in field 3 (optional)	12,859,496.00
<b>4. other ESI Fund programmes providing contribution to the financial instrument</b>	

4.1. CCI number of each other ESI Fund programme providing contributions to the financial instrument	
30. Date of completion of the ex ante assessment	26-Mar-2019
<b>31. Selection of bodies implementing financial instrument</b>	
31.1. Has selection or designation process already been launched	Yes
<b>II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)</b>	
5. Name of the financial instrument	<b>Low Carbon Innovation Fund 2</b>
6. Official address/place of business of the financial instrument (name of the country and city)	Norfolk County Council, County Hall, Martineau Lane, Norwich, Norfolk, NR1 2DH
<b>7. Implementation arrangements</b>	
7.1. Financial instruments set up at Union level, managed directly or indirectly by the Commission referred to in Article 38(1)(a) of Regulation (EU) No 1303/2013, supported from ESI Fund programme contributions	No
7.1.1. Name of the Union-level financial instrument	
7.2. Financial instrument set up at national, regional, transnational or cross-border level, managed by or under the responsibility of the managing authority referred to in Article 38(1)(b), supported from ESI Fund programme contributions under point (a), (b), (c) and d) of Article 38(4) of Regulation (EU) No 1303/2013	Entrustment of implementation tasks to another body governed by public or private law
7.3. Financial instrument combining financial contribution from MA with EIB financial products under European Fund for Strategic Investment in accordance with Article 39a, referred to in Article 38(1)(c)	
8. Type of the financial instrument	Fund of funds
10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial institution	Fiduciary account
<b>III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)</b>	
<b>11. Body implementing the financial instrument</b>	
11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European Investment Bank; the European Investment Fund; international financial institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only)	Body governed by public or private law
11.1.1. Name of the body implementing the financial instrument	Norfolk County Council
11.1.2. Official address/place of business (country and town name) of	County Hall, Martineau Lane,

the body implementing the financial instrument	Norwich, Norfolk, NR1 2DH
12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure	Interadministrative cooperation
12.1. Description of the other procedure of selecting the body implementing the financial instrument	
13. Date of signature of the funding agreement with the body implementing the financial instrument	10-Apr-2019
<b>IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)</b>	
14. Total amount of programme contributions committed in the funding agreement (in EUR)	25,475,742.00
14.1. out of which ESI Funds contributions (in EUR)	12,859,496.00
14.1.1. out of which ERDF (in EUR) (optional)	12,859,496.00
14.1.2. out of which Cohesion Fund (in EUR) (optional)	0.00
14.1.3. out of which ESF (in EUR) (optional)	0.00
14.1.4. out of which EAFRD (in EUR) (optional)	0.00
14.1.5. out of which EMFF (in EUR) (optional)	0.00
15. Total amount of programme contributions paid to the financial instrument (in EUR)	12,859,496.00
15.1. out of which amount of ESI Funds contributions (in EUR)	9,553,402.00
15.1.1. out of which ERDF (in EUR)	9,553,402.00
15.1.2. out of which Cohesion Fund (in EUR)	
15.1.3. out of which ESF (in EUR)	
15.1.4. out of which EAFRD (in EUR)	
15.1.5. out of which EMFF (in EUR)	
15.2. out of which total amount of national co-financing (in EUR)	3,306,094.00
15.2.1. out of which total amount of national public funding (in EUR)	
15.2.2. out of which total amount of national private funding (in EUR)	3,306,094.00
16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR)	
17. Total amount of management costs and fees paid out of programme contributions (in EUR)	353,364.00
17.1. out of which base remuneration (in EUR)	353,364.00
17.2. out of which performance-based remuneration (in EUR)	
21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
<b>VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)</b>	
32. Information whether the financial instrument was still operational at the end of the reporting year	Yes
32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up	
<b>VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No</b>	

<b>1303/2013)</b>	
35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR)	
37. Amounts of resources attributable to ESI Funds used in accordance with Article 44 and 43a	
37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR)	
37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR)	
37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the financial instrument resulting from negative interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in EUR)	
<b>VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)</b>	
<b>38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)</b>	
38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR)	25,475,742.00
38.1A. Contributions under EIB financial product committed in the funding agreement with the body implementing the financial instrument (only for the instruments under Article 38(1)(c)) (in EUR)	
38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR)	3,306,094.00
38.2.1. out of which public contributions (EUR)	
38.2.2. out of which private contributions (EUR)	3,306,094.00
38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR)	

<b>II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)</b>	
5. Name of the financial instrument	<b>Low Carbon Innovation Loan Fund 2</b>
6. Official address/place of business of the financial instrument (name of the country and city)	County Hall, Martineau Lane, Norwich, Norfolk, NR1 2DH
8. Type of the financial instrument	Fund of funds specific fund
8.2. Related Fund of Funds	Low Carbon Innovation Fund 2
8.1. Tailor-made or financial instruments complying with standard terms and conditions i.e. "off-the-shelf instruments"	Tailor-made
<b>9. Type of products provided by financial instrument: loans, micro-loans, guarantees, equity, or quasi-equity investments, other financial product or other support combined within the financial</b>	

<b>instrument pursuant to Article 37(7) of Regulation (EU) No 1303/2013</b>	
9.0.1. Loans ( $\geq$ EUR 25,000)	Yes
9.0.2. Micro-loans ( $<$ EUR 25,000 and provided to micro-enterprises) according to SEC/2011/1134 final	No
9.0.3. Guarantees	No
9.0.4. Equity	Yes
9.0.5. Quasi-equity	No
9.0.6. Other financial products	No
9.0.7. Other support combined with a financial instrument	No
9.1. Description of the other financial product	
9.2. Other support combined within the financial instrument: grant, interest rate subsidy, guarantee fee subsidy pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial institution	Fiduciary account
<b>III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)</b>	
<b>11. Body implementing the financial instrument</b>	
11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European Investment Bank; the European Investment Fund; international financial institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only)	Body governed by public or private law
11.1.1. Name of the body implementing the financial instrument	Turquoise International Limited
11.1.2. Official address/place of business (country and town name) of the body implementing the financial instrument	2 Lambeth Hill London EC4V 4GG
12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure	Selection in accordance with the provisions of the public procurement directive
12.1. Description of the other procedure of selecting the body implementing the financial instrument	
13. Date of signature of the funding agreement with the body implementing the financial instrument	10-Apr-2019
<b>IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)</b>	
14. Total amount of programme contributions committed in the funding agreement (in EUR)	25,475,742.00
14.1. out of which ESI Funds contributions (in EUR)	12,859,496.00

14.1.1. out of which ERDF (in EUR) (optional)	12,859,496.00
14.1.2. out of which Cohesion Fund (in EUR) (optional)	0.00
14.1.3. out of which ESF (in EUR) (optional)	
14.1.4. out of which EAFRD (in EUR) (optional)	
14.1.5. out of which EMFF (in EUR) (optional)	
15. Total amount of programme contributions paid to the financial instrument (in EUR)	12,859,496.00
15.1. out of which amount of ESI Funds contributions (in EUR)	9,553,402.00
15.1.1. out of which ERDF (in EUR)	9,553,402.00
15.1.2. out of which Cohesion Fund (in EUR)	
15.1.3. out of which ESF (in EUR)	
15.1.4. out of which EAFRD (in EUR)	
15.1.5. out of which EMFF (in EUR)	
15.2. out of which total amount of national co-financing (in EUR)	3,306,094.00
15.2.1. out of which total amount of national public funding (in EUR)	
15.2.2. out of which total amount of national private funding (in EUR)	3,306,094.00
16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR)	
17. Total amount of management costs and fees paid out of programme contributions (in EUR)	353,364.00
17.1. out of which base remuneration (in EUR)	353,364.00
17.2. out of which performance-based remuneration (in EUR)	
18. Capitalised management costs or fees pursuant to Article 42(2) of Regulation (EU) No 1303/2013 (relevant only for final report) (in EUR)	
19. Capitalised interest rate subsidies or guarantee fee subsidies pursuant to Article 42(1)(c) of Regulation (EU) No 1303/2013 (relevant to final report only) (in EUR)	
20. Amount of programme contributions for follow-on investments in final recipients pursuant to Article 42(3) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
<b>V. Total amount of support paid to final recipients, or to the benefit of final recipients, or committed in guarantee contracts by the financial instrument for investments in final recipients, by ESI Funds programme and priority or measure (Article 46(2)(e) of Regulation (EU) No 1303/2013)</b>	
<b>22. Name of financial product offered by the financial instrument</b>	<b>Equity Fund</b>
22.1. Type of financial product offered by the financial instrument	Equity
24. Total amount of programme contributions committed in this loan, guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR)	9,926,469.00
24.1. out of which total amount of ESI Funds contributions (in EUR)	4,963,234.00
25. Total amount of programme contributions paid to final recipients through this loan, micro-loans, equity or other products, or, in the case of guarantee, committed for loans paid to final recipients, by product (in EUR)	9,926,469.00
25.1. out of which total amount of ESI Funds contributions (in EUR)	4,963,234.00
25.1.1. out of which ERDF (in EUR)	4,963,234.00
25.1.2. out of which Cohesion Fund (in EUR)	



25.1.3. out of which ESF (in EUR)	
25.1.4. out of which EAFRD (in EUR)	
25.1.5. out of which EMFF (in EUR)	
25.2. out of which total amount of national public co-financing (in EUR)	
25.3. out of which total amount of national private co-financing (in EUR)	4,963,235.00
27. Number of loan/guarantee/equity or quasi-equity/other financial product contracts signed with final recipients, by product	23
28. Number of loan/guarantee/equity or quasi-equity/other financial product investments made in final recipients, by product	23
29. Number of financial recipients supported by the financial product	16
29.1. out of which large enterprises	
29.2. out of which SMEs	16
29.2.1. out of which microenterprises	
29.3. out of which individuals/natural persons	
29.4. out of which other type of final recipients supported	
29.4.1. description of other type of final recipients supported	
<b>38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)</b>	
38.3. Total amount of other contributions, outside the ESI Funds mobilised at the level of final recipients (in EUR)	4,963,235.00
38.3.1. out of which public contributions (EUR)	
38.3.2. out of which private contributions (EUR)	4,963,235.00
38.3A. Contribution under EIB financial product mobilised at the level of final recipient (only for the instruments under Article 38(1)(c)) (in EUR)	
<b>39. Expected and achieved leverage effect, by reference to the funding agreement</b>	
39.1. Expected leverage effect for loan/guarantee/equity or quasi-equity investment/other financial product, by reference to the funding agreement, by product	0.00
39.2. Achieved leverage effect at the end of reporting year for loan/guarantee/equity or quasi-equity investment/other financial product, by product	1.89
39.3. Investment mobilised through ESIF financial instruments for loan/guarantee/equity and quasi-equity investment, by product (Optional)	9,926,469.00
40. Value of investments and participations in equity (in EUR)	43,223,693.00
<b>22. Name of financial product offered by the financial instrument</b>	<b>Loan Fund</b>
22.1. Type of financial product offered by the financial instrument	Loan
24. Total amount of programme contributions committed in this loan, guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR)	2,223,476.00
24.1. out of which total amount of ESI Funds contributions (in EUR)	1,111,738.00
25. Total amount of programme contributions paid to final recipients through this loan, micro-loans, equity or other products, or, in the case of guarantee, committed for loans paid to final recipients, by product (in EUR)	2,223,476.00
25.1. out of which total amount of ESI Funds contributions (in EUR)	1,111,738.00
25.1.1. out of which ERDF (in EUR)	1,111,738.00
25.1.2. out of which Cohesion Fund (in EUR)	
25.1.3. out of which ESF (in EUR)	
25.1.4. out of which EAFRD (in EUR)	

25.1.5. out of which EMFF (in EUR)	
25.2. out of which total amount of national public co-financing (in EUR)	0.00
25.3. out of which total amount of national private co-financing (in EUR)	1,111,738.00
27. Number of loan/guarantee/equity or quasi-equity/other financial product contracts signed with final recipients, by product	5
28. Number of loan/guarantee/equity or quasi-equity/other financial product investments made in final recipients, by product	5
29. Number of financial recipients supported by the financial product	3
29.1. out of which large enterprises	
29.2. out of which SMEs	3
29.2.1. out of which microenterprises	
29.3. out of which individuals/natural persons	
29.4. out of which other type of final recipients supported	
29.4.1. description of other type of final recipients supported	
33. Total number of disbursed loans defaulted or total number of guarantees provided and called due to the loan default	
34. Total amount of disbursed loans defaulted (in EUR) or total amount committed for guarantees provided and called due to loan default (in EUR)	
<b>38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)</b>	
38.3. Total amount of other contributions, outside the ESI Funds mobilised at the level of final recipients (in EUR)	1,111,738.00
38.3.1. out of which public contributions (EUR)	
38.3.2. out of which private contributions (EUR)	1,111,738.00
38.3A. Contribution under EIB financial product mobilised at the level of final recipient (only for the instruments under Article 38(1)(c)) (in EUR)	
<b>39. Expected and achieved leverage effect, by reference to the funding agreement</b>	
39.1. Expected leverage effect for loan/guarantee/equity or quasi-equity investment/other financial product, by reference to the funding agreement, by product	0.00
39.2. Achieved leverage effect at the end of reporting year for loan/guarantee/equity or quasi-equity investment/other financial product, by product	1.89
39.3. Investment mobilised through ESIF financial instruments for loan/guarantee/equity and quasi-equity investment, by product (Optional)	2,223,476.00
<b>VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)</b>	
32. Information whether the financial instrument was still operational at the end of the reporting year	Yes
32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up	
<b>VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)</b>	
35. Interest and other gains generated by payments from ESI Funds to the	

financial instrument (in EUR)	
36. Amounts repaid to the financial instrument attributable to support from ESI Funds by the end of the reporting year (in EUR)	
36.1. out of which capital repayments (in EUR)	
36.2. out of which gains, other earnings and yields (in EUR)	
37. Amounts of resources attributable to ESI Funds used in accordance with Article 44 and 43a	
37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR)	
37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR)	
37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the financial instrument resulting from negative interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in EUR)	
<b>VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)</b>	
<b>38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)</b>	
38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR)	25,475,742.00
38.1A. Contributions under EIB financial product committed in the funding agreement with the body implementing the financial instrument (only for the instruments under Article 38(1)(c)) (in EUR)	
38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR)	3,306,094.00
38.2.1. out of which public contributions (EUR)	
38.2.2. out of which private contributions (EUR)	3,306,094.00
38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR)	
<b>IX. Contribution of the financial instrument to the achievement of the indicators of the priority or measure concerned (Article 46(2)(j) of Regulation (EU) No 1303/2013)</b>	
<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>CO05 - Productive investment: Number of new enterprises supported</b>
41.1. Target value of the output indicator	10.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	1.00
<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>CO34 - GHG reduction: Estimated annual decrease of GHG</b>
41.1. Target value of the output indicator	10,000.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	841,663.00

<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>CO29 - Research, Innovation: Number of enterprises supported to introduce new to the firm products</b>
41.1. Target value of the output indicator	20.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	0.00
<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>CO01 - Productive investment: Number of enterprises receiving support</b>
41.1. Target value of the output indicator	38.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	19.00
<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>CO26 - Research, Innovation: Number of enterprises cooperating with research institutions</b>
41.1. Target value of the output indicator	3.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	1.00

<b>I. Identification of the programme and priority or measure from which support from the ESI Funds is provided (Article 46(2)(a) of Regulation (EU) No 1303/2013)</b>	
<b>1. Priority axes or measures supporting the financial instrument, including fund of funds, under the ESI Fund programme.</b>	
1.1. Priority axis supporting the financial instrument under the ESI Fund programme	1 - Promoting Research and Innovation
2. Name of ESI Fund(s) supporting the financial instrument under the priority axis or measure	ERDF
<b>3. Thematic objective(s) referred to in the first paragraph of Article 9 of Regulation (EU) No 1303/2013 supported by the financial instrument</b>	<b>01 - Strengthening research, technological development and innovation</b>
3.1. Amount of ESI Funds committed in funding agreements from the individual thematic objective chosen in field 3 (optional)	2,110,154.00
<b>4. other ESI Fund programmes providing contribution to the financial instrument</b>	
4.1. CCI number of each other ESI Fund programme providing contributions to the financial instrument	
30. Date of completion of the ex ante assessment	11-Nov-2016
<b>31. Selection of bodies implementing financial instrument</b>	
31.1. Has selection or designation process already been launched	Yes
<b>II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)</b>	
5. Name of the financial instrument	<b>Midlands Engine Investment Fund - PA1</b>
6. Official address/place of business of the financial instrument (name of the country and city)	Steel City House, West Street, Sheffield, S1 2GQ

<b>7. Implementation arrangements</b>	
7.1. Financial instruments set up at Union level, managed directly or indirectly by the Commission referred to in Article 38(1)(a) of Regulation (EU) No 1303/2013, supported from ESI Fund programme contributions	
7.1.1. Name of the Union-level financial instrument	
7.2. Financial instrument set up at national, regional, transnational or cross-border level, managed by or under the responsibility of the managing authority referred to in Article 38(1)(b), supported from ESI Fund programme contributions under point (a), (b), (c) and d) of Article 38(4) of Regulation (EU) No 1303/2013	Entrustment of implementation tasks, through the direct award of a contract
7.3. Financial instrument combining financial contribution from MA with EIB financial products under European Fund for Strategic Investment in accordance with Article 39a, referred to in Article 38(1)(c)	
8. Type of the financial instrument	Fund of funds
10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial institution	Fiduciary account
<b>III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)</b>	
<b>11. Body implementing the financial instrument</b>	
11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European Investment Bank; the European Investment Fund; international financial institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only)	Body governed by public or private law
11.1.1. Name of the body implementing the financial instrument	
11.1.2. Official address/place of business (country and town name) of the body implementing the financial instrument	Foundry House, 3 Millsands, Sheffield, S3 8NH
12. Procedure of selecting the body implementing	Others

the financial instrument: award of a public contract; other procedure	
12.1. Description of the other procedure of selecting the body implementing the financial instrument	Entrustment of a publicly owned company, via inter-administrative co-operation between the managing authority and The Secretary of State for Business, Energy and Industrial Strategy (BEIS) in accordance with Article 38 (1)(b) & 38 4(b) (iii) of the CPR, and s3.6.2 of Commission Notice 2016/C 276/01
13. Date of signature of the funding agreement with the body implementing the financial instrument	21-Nov-2016
<b>IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)</b>	
14. Total amount of programme contributions committed in the funding agreement (in EUR)	7,148,204.00
14.1. out of which ESI Funds contributions (in EUR)	2,110,154.00
14.1.1. out of which ERDF (in EUR) (optional)	2,110,154.00
14.1.2. out of which Cohesion Fund (in EUR) (optional)	
14.1.3. out of which ESF (in EUR) (optional)	
14.1.4. out of which EAFRD (in EUR) (optional)	
14.1.5. out of which EMFF (in EUR) (optional)	
15. Total amount of programme contributions paid to the financial instrument (in EUR)	7,148,204.00
15.1. out of which amount of ESI Funds contributions (in EUR)	2,110,150.00
15.1.1. out of which ERDF (in EUR)	2,110,150.00
15.1.2. out of which Cohesion Fund (in EUR)	
15.1.3. out of which ESF (in EUR)	
15.1.4. out of which EAFRD (in EUR)	
15.1.5. out of which EMFF (in EUR)	
15.2. out of which total amount of national co-financing (in EUR)	5,038,054.00
15.2.1. out of which total amount of national public funding (in EUR)	1,747,973.00
15.2.2. out of which total amount of national private funding (in EUR)	3,290,081.00
16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR)	
17. Total amount of management costs and fees paid out of programme contributions (in EUR)	433,108.00
17.1. out of which base remuneration (in EUR)	
17.2. out of which performance-based remuneration (in EUR)	
21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final	

report only) (in EUR)	
<b>VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)</b>	
32. Information whether the financial instrument was still operational at the end of the reporting year	Yes
32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up	
<b>VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)</b>	
35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR)	2,832.00
37. Amounts of resources attributable to ESI Funds used in accordance with Article 44 and 43a	2,832.00
37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR)	
37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR)	2,832.00
37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the financial instrument resulting from negative interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in EUR)	
<b>VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)</b>	
<b>38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)</b>	
38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR)	7,148,204.00
38.1A. Contributions under EIB financial product committed in the funding agreement with the body implementing the financial instrument (only for the instruments under Article 38(1)(c)) (in EUR)	
38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR)	5,038,054.00
38.2.1. out of which public contributions (EUR)	1,747,973.00

38.2.2. out of which private contributions (EUR)	3,290,081.00
38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR)	

<b>II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)</b>	
5. Name of the financial instrument	<b>Midlands Engine - Debt Fund - West Midlands - PA1</b>
6. Official address/place of business of the financial instrument (name of the country and city)	City Gate, East Toll House Hill, Nottingham, NG1 5FS
8. Type of the financial instrument	Fund of funds specific fund
8.2. Related Fund of Funds	Midlands Engine Investment Fund - PA1
8.1. Tailor-made or financial instruments complying with standard terms and conditions i.e. "off-the-shelf instruments"	Tailor-made
<b>9. Type of products provided by financial instrument: loans, micro-loans, guarantees, equity, or quasi-equity investments, other financial product or other support combined within the financial instrument pursuant to Article 37(7) of Regulation (EU) No 1303/2013</b>	
9.0.1. Loans ( $\geq$ EUR 25,000)	Yes
9.0.2. Micro-loans ( $<$ EUR 25,000 and provided to micro-enterprises) according to SEC/2011/1134 final	No
9.0.3. Guarantees	No
9.0.4. Equity	No
9.0.5. Quasi-equity	No
9.0.6. Other financial products	No
9.0.7. Other support combined with a financial instrument	No
9.1. Description of the other financial product	
9.2. Other support combined within the financial instrument: grant, interest rate subsidy, guarantee fee subsidy pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial institution	Fiduciary account
<b>III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)</b>	
<b>11. Body implementing the financial instrument</b>	
11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European Investment Bank; the European Investment Fund; international financial institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial	Body governed by public or private law



activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only)	
11.1.1. Name of the body implementing the financial instrument	Maven
11.1.2. Official address/place of business (country and town name) of the body implementing the financial instrument	City Gate, East Toll House Hill, Nottingham, NG1 5FS
12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure	Selection in accordance with the provisions of the public procurement directive
12.1. Description of the other procedure of selecting the body implementing the financial instrument	
13. Date of signature of the funding agreement with the body implementing the financial instrument	22-Aug-2017
<b>IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)</b>	
14. Total amount of programme contributions committed in the funding agreement (in EUR)	812,641.00
14.1. out of which ESI Funds contributions (in EUR)	239,892.00
14.1.1. out of which ERDF (in EUR) (optional)	239,892.00
14.1.2. out of which Cohesion Fund (in EUR) (optional)	
14.1.3. out of which ESF (in EUR) (optional)	
14.1.4. out of which EAFRD (in EUR) (optional)	
14.1.5. out of which EMFF (in EUR) (optional)	
15. Total amount of programme contributions paid to the financial instrument (in EUR)	812,641.00
15.1. out of which amount of ESI Funds contributions (in EUR)	239,892.00
15.1.1. out of which ERDF (in EUR)	239,892.00
15.1.2. out of which Cohesion Fund (in EUR)	
15.1.3. out of which ESF (in EUR)	
15.1.4. out of which EAFRD (in EUR)	
15.1.5. out of which EMFF (in EUR)	
15.2. out of which total amount of national co-financing (in EUR)	572,749.00
15.2.1. out of which total amount of national public funding (in EUR)	198,690.00
15.2.2. out of which total amount of national private funding (in EUR)	374,059.00
16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR)	
17. Total amount of management costs and fees paid out of programme contributions (in EUR)	60,996.00
17.1. out of which base remuneration (in EUR)	
17.2. out of which performance-based remuneration (in EUR)	
18. Capitalised management costs or fees pursuant to Article 42(2) of Regulation (EU) No 1303/2013 (relevant only for final report) (in EUR)	
19. Capitalised interest rate subsidies or guarantee fee subsidies pursuant to Article 42(1)(c) of Regulation (EU) No 1303/2013 (relevant to final report only) (in EUR)	
20. Amount of programme contributions for follow-on investments in final recipients pursuant to Article 42(3) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	

21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
<b>V. Total amount of support paid to final recipients, or to the benefit of final recipients, or committed in guarantee contracts by the financial instrument for investments in final recipients, by ESI Funds programme and priority or measure (Article 46(2)(e) of Regulation (EU) No 1303/2013)</b>	
<b>22. Name of financial product offered by the financial instrument</b>	<b>Debt Fund - West Midlands - PAI</b>
22.1. Type of financial product offered by the financial instrument	Loan
24. Total amount of programme contributions committed in this loan, guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR)	812,641.00
24.1. out of which total amount of ESI Funds contributions (in EUR)	239,892.00
25. Total amount of programme contributions paid to final recipients through this loan, micro-loans, equity or other products, or, in the case of guarantee, committed for loans paid to final recipients, by product (in EUR)	812,641.00
25.1. out of which total amount of ESI Funds contributions (in EUR)	239,892.00
25.1.1. out of which ERDF (in EUR)	239,892.00
25.1.2. out of which Cohesion Fund (in EUR)	
25.1.3. out of which ESF (in EUR)	
25.1.4. out of which EAFRD (in EUR)	
25.1.5. out of which EMFF (in EUR)	
25.2. out of which total amount of national public co-financing (in EUR)	198,690.00
25.3. out of which total amount of national private co-financing (in EUR)	374,059.00
27. Number of loan/guarantee/equity or quasi-equity/other financial product contracts signed with final recipients, by product	4
28. Number of loan/guarantee/equity or quasi-equity/other financial product investments made in final recipients, by product	4
29. Number of financial recipients supported by the financial product	4
29.1. out of which large enterprises	
29.2. out of which SMEs	4
29.2.1. out of which microenterprises	
29.3. out of which individuals/natural persons	
29.4. out of which other type of final recipients supported	
29.4.1. description of other type of final recipients supported	
33. Total number of disbursed loans defaulted or total number of guarantees provided and called due to the loan default	
34. Total amount of disbursed loans defaulted (in EUR) or total amount committed for guarantees provided and called due to loan default (in EUR)	
<b>38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)</b>	
38.3. Total amount of other contributions, outside the ESI Funds mobilised at the level of final recipients (in EUR)	
38.3.1. out of which public contributions (EUR)	
38.3.2. out of which private contributions (EUR)	
38.3A. Contribution under EIB financial product mobilised at the level of final recipient (only for the instruments under Article 38(1)(c)) (in EUR)	
<b>39. Expected and achieved leverage effect, by reference to the funding agreement</b>	

39.1. Expected leverage effect for loan/guarantee/equity or quasi-equity investment/other financial product, by reference to the funding agreement, by product	3.28
39.2. Achieved leverage effect at the end of reporting year for loan/guarantee/equity or quasi-equity investment/other financial product, by product	0.88
39.3. Investment mobilised through ESIF financial instruments for loan/guarantee/equity and quasi-equity investment, by product (Optional)	
<b>VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)</b>	
32. Information whether the financial instrument was still operational at the end of the reporting year	Yes
32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up	
<b>VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)</b>	
35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR)	
36. Amounts repaid to the financial instrument attributable to support from ESI Funds by the end of the reporting year (in EUR)	
36.1. out of which capital repayments (in EUR)	
36.2. out of which gains, other earnings and yields (in EUR)	
37. Amounts of resources attributable to ESI Funds used in accordance with Article 44 and 43a	
37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR)	
37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR)	
37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the financial instrument resulting from negative interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in EUR)	
<b>VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)</b>	
<b>38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)</b>	
38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR)	812,641.00
38.1A. Contributions under EIB financial product committed in the funding agreement with the body implementing the financial instrument	

(only for the instruments under Article 38(1)(c)) (in EUR)	
38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR)	572,749.00
38.2.1. out of which public contributions (EUR)	198,690.00
38.2.2. out of which private contributions (EUR)	374,059.00
38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR)	
<b>IX. Contribution of the financial instrument to the achievement of the indicators of the priority or measure concerned (Article 46(2)(j) of Regulation (EU) No 1303/2013)</b>	
<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>CO03 - Productive investment: Number of enterprises receiving financial support other than grants</b>
41.1. Target value of the output indicator	7.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	4.00
<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>CO01 - Productive investment: Number of enterprises receiving support</b>
41.1. Target value of the output indicator	7.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	4.00
<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>CO07 - Productive investment: Private investment matching public support to enterprises (non-grants)</b>
41.1. Target value of the output indicator	746,105.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	216,704.00
<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>CO28 - Research, Innovation: Number of enterprises supported to introduce new to the market products</b>
41.1. Target value of the output indicator	1.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	2.00
<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>CO08 - Productive investment: Employment increase in supported enterprises</b>
41.1. Target value of the output indicator	12.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	8.00
<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>CO04 - Productive investment: Number of</b>

	<i>enterprises receiving non-financial support</i>
41.1. Target value of the output indicator	2.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	0.00
<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>CO05 - Productive investment: Number of new enterprises supported</b>
41.1. Target value of the output indicator	2.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	0.00

<b>II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)</b>	
5. Name of the financial instrument	<b>Midlands Engine - Proof of Concept - PA1</b>
6. Official address/place of business of the financial instrument (name of the country and city)	Forward House, 17 High Street, Henley in Arden, B95 5AA
8. Type of the financial instrument	Fund of funds specific fund
8.2. Related Fund of Funds	Midlands Engine Investment Fund - PA1
8.1. Tailor-made or financial instruments complying with standard terms and conditions i.e. "off-the-shelf instruments"	Tailor-made
<b>9. Type of products provided by financial instrument: loans, micro-loans, guarantees, equity, or quasi-equity investments, other financial product or other support combined within the financial instrument pursuant to Article 37(7) of Regulation (EU) No 1303/2013</b>	
9.0.1. Loans ( $\geq$ EUR 25,000)	No
9.0.2. Micro-loans ( $<$ EUR 25,000 and provided to micro-enterprises) according to SEC/2011/1134 final	No
9.0.3. Guarantees	No
9.0.4. Equity	Yes
9.0.5. Quasi-equity	No
9.0.6. Other financial products	No
9.0.7. Other support combined with a financial instrument	No
9.1. Description of the other financial product	
9.2. Other support combined within the financial instrument: grant, interest rate subsidy, guarantee fee subsidy pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial institution	Fiduciary account
<b>III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)</b>	

<b>11. Body implementing the financial instrument</b>	
11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European Investment Bank; the European Investment Fund; international financial institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only)	Body governed by public or private law
11.1.1. Name of the body implementing the financial instrument	Mercia
11.1.2. Official address/place of business (country and town name) of the body implementing the financial instrument	Forward House, 17 High Street, Henley in Arden, B95 5AA
12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure	Selection in accordance with the provisions of the public procurement directive
12.1. Description of the other procedure of selecting the body implementing the financial instrument	
13. Date of signature of the funding agreement with the body implementing the financial instrument	22-Aug-2017
<b>IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)</b>	
14. Total amount of programme contributions committed in the funding agreement (in EUR)	2,767,630.00
14.1. out of which ESI Funds contributions (in EUR)	817,004.00
14.1.1. out of which ERDF (in EUR) (optional)	817,004.00
14.1.2. out of which Cohesion Fund (in EUR) (optional)	
14.1.3. out of which ESF (in EUR) (optional)	
14.1.4. out of which EAFRD (in EUR) (optional)	
14.1.5. out of which EMFF (in EUR) (optional)	
15. Total amount of programme contributions paid to the financial instrument (in EUR)	2,767,630.00
15.1. out of which amount of ESI Funds contributions (in EUR)	817,004.00
15.1.1. out of which ERDF (in EUR)	817,004.00
15.1.2. out of which Cohesion Fund (in EUR)	
15.1.3. out of which ESF (in EUR)	
15.1.4. out of which EAFRD (in EUR)	
15.1.5. out of which EMFF (in EUR)	
15.2. out of which total amount of national co-financing (in EUR)	1,950,626.00
15.2.1. out of which total amount of national public funding (in EUR)	676,686.00
15.2.2. out of which total amount of national private funding (in EUR)	1,273,940.00
16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR)	
17. Total amount of management costs and fees paid out of programme contributions (in EUR)	187,221.00
17.1. out of which base remuneration (in EUR)	
17.2. out of which performance-based remuneration (in EUR)	

18. Capitalised management costs or fees pursuant to Article 42(2) of Regulation (EU) No 1303/2013 (relevant only for final report) (in EUR)	
19. Capitalised interest rate subsidies or guarantee fee subsidies pursuant to Article 42(1)(c) of Regulation (EU) No 1303/2013 (relevant to final report only) (in EUR)	
20. Amount of programme contributions for follow-on investments in final recipients pursuant to Article 42(3) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
<b>V. Total amount of support paid to final recipients, or to the benefit of final recipients, or committed in guarantee contracts by the financial instrument for investments in final recipients, by ESI Funds programme and priority or measure (Article 46(2)(e) of Regulation (EU) No 1303/2013)</b>	
<b>22. Name of financial product offered by the financial instrument</b>	<b>Proof of Concept</b>
22.1. Type of financial product offered by the financial instrument	Equity
24. Total amount of programme contributions committed in this loan, guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR)	2,767,630.00
24.1. out of which total amount of ESI Funds contributions (in EUR)	817,004.00
25. Total amount of programme contributions paid to final recipients through this loan, micro-loans, equity or other products, or, in the case of guarantee, committed for loans paid to final recipients, by product (in EUR)	2,767,630.00
25.1. out of which total amount of ESI Funds contributions (in EUR)	817,004.00
25.1.1. out of which ERDF (in EUR)	817,004.00
25.1.2. out of which Cohesion Fund (in EUR)	
25.1.3. out of which ESF (in EUR)	
25.1.4. out of which EAFRD (in EUR)	
25.1.5. out of which EMFF (in EUR)	
25.2. out of which total amount of national public co-financing (in EUR)	676,686.00
25.3. out of which total amount of national private co-financing (in EUR)	1,273,940.00
27. Number of loan/guarantee/equity or quasi-equity/other financial product contracts signed with final recipients, by product	14
28. Number of loan/guarantee/equity or quasi-equity/other financial product investments made in final recipients, by product	14
29. Number of financial recipients supported by the financial product	4
29.1. out of which large enterprises	
29.2. out of which SMEs	4
29.2.1. out of which microenterprises	
29.3. out of which individuals/natural persons	
29.4. out of which other type of final recipients supported	
29.4.1. description of other type of final recipients supported	
<b>38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)</b>	
38.3. Total amount of other contributions, outside the ESI Funds mobilised at the level of final recipients (in EUR)	1,950,626.00
38.3.1. out of which public contributions (EUR)	676,686.00
38.3.2. out of which private contributions (EUR)	1,273,940.00

38.3A. Contribution under EIB financial product mobilised at the level of final recipient (only for the instruments under Article 38(1)(c)) (in EUR)	
<b>39. Expected and achieved leverage effect, by reference to the funding agreement</b>	
39.1. Expected leverage effect for loan/guarantee/equity or quasi-equity investment/other financial product, by reference to the funding agreement, by product	5.29
39.2. Achieved leverage effect at the end of reporting year for loan/guarantee/equity or quasi-equity investment/other financial product, by product	3.00
39.3. Investment mobilised through ESIF financial instruments for loan/guarantee/equity and quasi-equity investment, by product (Optional)	
40. Value of investments and participations in equity (in EUR)	2,767,630.00
<b>VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)</b>	
32. Information whether the financial instrument was still operational at the end of the reporting year	Yes
32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up	
<b>VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)</b>	
35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR)	
36. Amounts repaid to the financial instrument attributable to support from ESI Funds by the end of the reporting year (in EUR)	
36.1. out of which capital repayments (in EUR)	
36.2. out of which gains, other earnings and yields (in EUR)	
37. Amounts of resources attributable to ESI Funds used in accordance with Article 44 and 43a	
37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR)	
37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR)	
37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the financial instrument resulting from negative interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in EUR)	
<b>VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)</b>	
<b>38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)</b>	
38.1. Total amount of other contributions, outside the ESI Funds	2,767,630.00



committed in the funding agreement with the body implementing the financial instrument (in EUR)	
38.1A. Contributions under EIB financial product committed in the funding agreement with the body implementing the financial instrument (only for the instruments under Article 38(1)(c)) (in EUR)	
38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR)	1,950,626.00
38.2.1. out of which public contributions (EUR)	676,686.00
38.2.2. out of which private contributions (EUR)	1,273,940.00
38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR)	
<b>IX. Contribution of the financial instrument to the achievement of the indicators of the priority or measure concerned (Article 46(2)(j) of Regulation (EU) No 1303/2013)</b>	
<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>CO29 - Research, Innovation: Number of enterprises supported to introduce new to the firm products</b>
41.1. Target value of the output indicator	3.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	2.00
<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>CO07 - Productive investment: Private investment matching public support to enterprises (non-grants)</b>
41.1. Target value of the output indicator	341,965.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	23,103,963.00
<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>CO01 - Productive investment: Number of enterprises receiving support</b>
41.1. Target value of the output indicator	3.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	4.00
<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>CO05 - Productive investment: Number of new enterprises supported</b>
41.1. Target value of the output indicator	1.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	1.00
<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>CO03 - Productive investment: Number of enterprises receiving financial support other than grants</b>
41.1. Target value of the output indicator	2.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	4.00

<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>CO08 - Productive investment: Employment increase in supported enterprises</b>
41.1. Target value of the output indicator	5.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	25.00
<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>CO04 - Productive investment: Number of enterprises receiving non-financial support</b>
41.1. Target value of the output indicator	1.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	0.00

<b>II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)</b>	
5. Name of the financial instrument	<b>Midlands Engine Equity Fund - West Midlands - PA1</b>
6. Official address/place of business of the financial instrument (name of the country and city)	41 Waterloo Street, Birmingham, B2 5PP
8. Type of the financial instrument	Fund of funds specific fund
8.2. Related Fund of Funds	Midlands Engine Investment Fund - PA1
8.1. Tailor-made or financial instruments complying with standard terms and conditions i.e. "off-the-shelf instruments"	Tailor-made
<b>9. Type of products provided by financial instrument: loans, micro-loans, guarantees, equity, or quasi-equity investments, other financial product or other support combined within the financial instrument pursuant to Article 37(7) of Regulation (EU) No 1303/2013</b>	
9.0.1. Loans ( $\geq$ EUR 25,000)	No
9.0.2. Micro-loans ( $<$ EUR 25,000 and provided to micro-enterprises) according to SEC/2011/1134 final	No
9.0.3. Guarantees	No
9.0.4. Equity	Yes
9.0.5. Quasi-equity	Yes
9.0.6. Other financial products	No
9.0.7. Other support combined with a financial instrument	No
9.1. Description of the other financial product	
9.2. Other support combined within the financial instrument: grant, interest rate subsidy, guarantee fee subsidy pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial institution	Fiduciary account

<b>III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)</b>	
<b>11. Body implementing the financial instrument</b>	
11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European Investment Bank; the European Investment Fund; international financial institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only)	Body governed by public or private law
11.1.1. Name of the body implementing the financial instrument	Midven
11.1.2. Official address/place of business (country and town name) of the body implementing the financial instrument	41 Waterloo Street, Birmingham, B2 5PP
12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure	Selection in accordance with the provisions of the public procurement directive
12.1. Description of the other procedure of selecting the body implementing the financial instrument	
13. Date of signature of the funding agreement with the body implementing the financial instrument	22-Aug-2017
<b>IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)</b>	
14. Total amount of programme contributions committed in the funding agreement (in EUR)	2,580,348.00
14.1. out of which ESI Funds contributions (in EUR)	761,719.00
14.1.1. out of which ERDF (in EUR) (optional)	761,719.00
14.1.2. out of which Cohesion Fund (in EUR) (optional)	
14.1.3. out of which ESF (in EUR) (optional)	
14.1.4. out of which EAFRD (in EUR) (optional)	
14.1.5. out of which EMFF (in EUR) (optional)	
15. Total amount of programme contributions paid to the financial instrument (in EUR)	2,580,348.00
15.1. out of which amount of ESI Funds contributions (in EUR)	761,719.00
15.1.1. out of which ERDF (in EUR)	761,719.00
15.1.2. out of which Cohesion Fund (in EUR)	
15.1.3. out of which ESF (in EUR)	
15.1.4. out of which EAFRD (in EUR)	
15.1.5. out of which EMFF (in EUR)	
15.2. out of which total amount of national co-financing (in EUR)	1,818,629.00
15.2.1. out of which total amount of national public funding (in EUR)	630,895.00
15.2.2. out of which total amount of national private funding (in EUR)	1,187,734.00
16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR)	
17. Total amount of management costs and fees paid out of programme contributions (in EUR)	141,580.00

17.1. out of which base remuneration (in EUR)	
17.2. out of which performance-based remuneration (in EUR)	
18. Capitalised management costs or fees pursuant to Article 42(2) of Regulation (EU) No 1303/2013 (relevant only for final report) (in EUR)	
19. Capitalised interest rate subsidies or guarantee fee subsidies pursuant to Article 42(1)(c) of Regulation (EU) No 1303/2013 (relevant to final report only) (in EUR)	
20. Amount of programme contributions for follow-on investments in final recipients pursuant to Article 42(3) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
<b>V. Total amount of support paid to final recipients, or to the benefit of final recipients, or committed in guarantee contracts by the financial instrument for investments in final recipients, by ESI Funds programme and priority or measure (Article 46(2)(e) of Regulation (EU) No 1303/2013)</b>	
<b>22. Name of financial product offered by the financial instrument</b>	<b>Equity Fund - WM - PA1</b>
22.1. Type of financial product offered by the financial instrument	Equity
24. Total amount of programme contributions committed in this loan, guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR)	2,580,348.00
24.1. out of which total amount of ESI Funds contributions (in EUR)	761,719.00
25. Total amount of programme contributions paid to final recipients through this loan, micro-loans, equity or other products, or, in the case of guarantee, committed for loans paid to final recipients, by product (in EUR)	2,580,348.00
25.1. out of which total amount of ESI Funds contributions (in EUR)	761,719.00
25.1.1. out of which ERDF (in EUR)	761,719.00
25.1.2. out of which Cohesion Fund (in EUR)	
25.1.3. out of which ESF (in EUR)	
25.1.4. out of which EAFRD (in EUR)	
25.1.5. out of which EMFF (in EUR)	
25.2. out of which total amount of national public co-financing (in EUR)	630,895.00
25.3. out of which total amount of national private co-financing (in EUR)	1,187,734.00
27. Number of loan/guarantee/equity or quasi-equity/other financial product contracts signed with final recipients, by product	10
28. Number of loan/guarantee/equity or quasi-equity/other financial product investments made in final recipients, by product	10
29. Number of financial recipients supported by the financial product	5
29.1. out of which large enterprises	
29.2. out of which SMEs	5
29.2.1. out of which microenterprises	
29.3. out of which individuals/natural persons	
29.4. out of which other type of final recipients supported	
29.4.1. description of other type of final recipients supported	
<b>38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)</b>	
38.3. Total amount of other contributions, outside the ESI Funds mobilised at the level of final recipients (in EUR)	1,818,629.00

38.3.1. out of which public contributions (EUR)	630,895.00
38.3.2. out of which private contributions (EUR)	1,187,734.00
38.3A. Contribution under EIB financial product mobilised at the level of final recipient (only for the instruments under Article 38(1)(c)) (in EUR)	
<b>39. Expected and achieved leverage effect, by reference to the funding agreement</b>	
39.1. Expected leverage effect for loan/guarantee/equity or quasi-equity investment/other financial product, by reference to the funding agreement, by product	6.55
39.2. Achieved leverage effect at the end of reporting year for loan/guarantee/equity or quasi-equity investment/other financial product, by product	3.04
39.3. Investment mobilised through ESIF financial instruments for loan/guarantee/equity and quasi-equity investment, by product (Optional)	
40. Value of investments and participations in equity (in EUR)	2,580,348.00
<b>VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)</b>	
32. Information whether the financial instrument was still operational at the end of the reporting year	Yes
32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up	
<b>VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)</b>	
35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR)	
36. Amounts repaid to the financial instrument attributable to support from ESI Funds by the end of the reporting year (in EUR)	
36.1. out of which capital repayments (in EUR)	
36.2. out of which gains, other earnings and yields (in EUR)	
37. Amounts of resources attributable to ESI Funds used in accordance with Article 44 and 43a	
37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR)	
37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR)	
37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the financial instrument resulting from negative interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in EUR)	
<b>VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)</b>	
<b>38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument</b>	

<b>(EUR)</b>	
38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR)	2,580,348.00
38.1A. Contributions under EIB financial product committed in the funding agreement with the body implementing the financial instrument (only for the instruments under Article 38(1)(c)) (in EUR)	
38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR)	1,818,629.00
38.2.1. out of which public contributions (EUR)	630,895.00
38.2.2. out of which private contributions (EUR)	1,187,734.00
38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR)	
<b>IX. Contribution of the financial instrument to the achievement of the indicators of the priority or measure concerned (Article 46(2)(j) of Regulation (EU) No 1303/2013)</b>	
<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>CO01 - Productive investment: Number of enterprises receiving support</b>
41.1. Target value of the output indicator	5.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	8.00
<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>CO07 - Productive investment: Private investment matching public support to enterprises (non-grants)</b>
41.1. Target value of the output indicator	497,403.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	5,734,881.00
<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>CO04 - Productive investment: Number of enterprises receiving non-financial support</b>
41.1. Target value of the output indicator	2.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	4.00
<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>CO05 - Productive investment: Number of new enterprises supported</b>
41.1. Target value of the output indicator	1.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	5.00
<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>CO08 - Productive investment: Employment increase in supported enterprises</b>
41.1. Target value of the output indicator	7.00
41.2. Value achieved by the financial instrument in relation to the target	19.00

value of the output indicator	
<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>CO03 - Productive investment: Number of enterprises receiving financial support other than grants</b>
41.1. Target value of the output indicator	4.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	5.00

<b>II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)</b>	
5. Name of the financial instrument	<b>Midlands Engine – Debt Fund – East Midlands (FSE) – PA1</b>
6. Official address/place of business of the financial instrument (name of the country and city)	City Gate, East Toll House Hill, Nottingham, NG1 5FS
8. Type of the financial instrument	Fund of funds specific fund
8.2. Related Fund of Funds	Midlands Engine Investment Fund - PA1
8.1. Tailor-made or financial instruments complying with standard terms and conditions i.e. "off-the-shelf instruments"	Tailor-made
<b>9. Type of products provided by financial instrument: loans, micro-loans, guarantees, equity, or quasi-equity investments, other financial product or other support combined within the financial instrument pursuant to Article 37(7) of Regulation (EU) No 1303/2013</b>	
9.0.1. Loans ( $\geq$ EUR 25,000)	Yes
9.0.2. Micro-loans ( $<$ EUR 25,000 and provided to micro-enterprises) according to SEC/2011/1134 final	No
9.0.3. Guarantees	No
9.0.4. Equity	No
9.0.5. Quasi-equity	No
9.0.6. Other financial products	No
9.0.7. Other support combined with a financial instrument	No
9.1. Description of the other financial product	
9.2. Other support combined within the financial instrument: grant, interest rate subsidy, guarantee fee subsidy pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial institution	Fiduciary account
<b>III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)</b>	
<b>11. Body implementing the financial instrument</b>	
11.1. Type of implementing body pursuant to Article 38(4) and Article	Body governed by public or

39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European Investment Bank; the European Investment Fund; international financial institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only)	private law
11.1.1. Name of the body implementing the financial instrument	FSE Fund Managers Limited
11.1.2. Official address/place of business (country and town name) of the body implementing the financial instrument	The Lewis Building , Bull Street, Birmingham, B4 6EQ
12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure	Selection in accordance with the provisions of the public procurement directive
12.1. Description of the other procedure of selecting the body implementing the financial instrument	
13. Date of signature of the funding agreement with the body implementing the financial instrument	22-Jan-2020
<b>IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)</b>	
14. Total amount of programme contributions committed in the funding agreement (in EUR)	987,585.00
14.1. out of which ESI Funds contributions (in EUR)	291,535.00
14.1.1. out of which ERDF (in EUR) (optional)	291,535.00
14.1.2. out of which Cohesion Fund (in EUR) (optional)	
14.1.3. out of which ESF (in EUR) (optional)	
14.1.4. out of which EAFRD (in EUR) (optional)	
14.1.5. out of which EMFF (in EUR) (optional)	
15. Total amount of programme contributions paid to the financial instrument (in EUR)	987,585.00
15.1. out of which amount of ESI Funds contributions (in EUR)	291,535.00
15.1.1. out of which ERDF (in EUR)	291,535.00
15.1.2. out of which Cohesion Fund (in EUR)	
15.1.3. out of which ESF (in EUR)	
15.1.4. out of which EAFRD (in EUR)	
15.1.5. out of which EMFF (in EUR)	
15.2. out of which total amount of national co-financing (in EUR)	696,050.00
15.2.1. out of which total amount of national public funding (in EUR)	241,702.00
15.2.2. out of which total amount of national private funding (in EUR)	454,348.00
16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR)	
17. Total amount of management costs and fees paid out of programme contributions (in EUR)	43,311.00
17.1. out of which base remuneration (in EUR)	
17.2. out of which performance-based remuneration (in EUR)	
18. Capitalised management costs or fees pursuant to Article 42(2) of Regulation (EU) No 1303/2013 (relevant only for final report) (in EUR)	
19. Capitalised interest rate subsidies or guarantee fee subsidies pursuant	



to Article 42(1)(c) of Regulation (EU) No 1303/2013 (relevant to final report only) (in EUR)	
20. Amount of programme contributions for follow-on investments in final recipients pursuant to Article 42(3) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
<b>V. Total amount of support paid to final recipients, or to the benefit of final recipients, or committed in guarantee contracts by the financial instrument for investments in final recipients, by ESI Funds programme and priority or measure (Article 46(2)(e) of Regulation (EU) No 1303/2013)</b>	
<b>22. Name of financial product offered by the financial instrument</b>	<b>Debt Fund East Midlands - FSE</b>
22.1. Type of financial product offered by the financial instrument	Loan
24. Total amount of programme contributions committed in this loan, guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR)	987,585.00
24.1. out of which total amount of ESI Funds contributions (in EUR)	291,535.00
25. Total amount of programme contributions paid to final recipients through this loan, micro-loans, equity or other products, or, in the case of guarantee, committed for loans paid to final recipients, by product (in EUR)	987,585.00
25.1. out of which total amount of ESI Funds contributions (in EUR)	291,535.00
25.1.1. out of which ERDF (in EUR)	291,535.00
25.1.2. out of which Cohesion Fund (in EUR)	
25.1.3. out of which ESF (in EUR)	
25.1.4. out of which EAFRD (in EUR)	
25.1.5. out of which EMFF (in EUR)	
25.2. out of which total amount of national public co-financing (in EUR)	241,702.00
25.3. out of which total amount of national private co-financing (in EUR)	454,348.00
27. Number of loan/guarantee/equity or quasi-equity/other financial product contracts signed with final recipients, by product	3
28. Number of loan/guarantee/equity or quasi-equity/other financial product investments made in final recipients, by product	3
29. Number of financial recipients supported by the financial product	3
29.1. out of which large enterprises	
29.2. out of which SMEs	3
29.2.1. out of which microenterprises	
29.3. out of which individuals/natural persons	
29.4. out of which other type of final recipients supported	
29.4.1. description of other type of final recipients supported	
33. Total number of disbursed loans defaulted or total number of guarantees provided and called due to the loan default	
34. Total amount of disbursed loans defaulted (in EUR) or total amount committed for guarantees provided and called due to loan default (in EUR)	
<b>38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)</b>	
38.3. Total amount of other contributions, outside the ESI Funds	696,050.00

mobilised at the level of final recipients (in EUR)	
38.3.1. out of which public contributions (EUR)	241,702.00
38.3.2. out of which private contributions (EUR)	454,348.00
38.3A. Contribution under EIB financial product mobilised at the level of final recipient (only for the instruments under Article 38(1)(c)) (in EUR)	
<b>39. Expected and achieved leverage effect, by reference to the funding agreement</b>	
39.1. Expected leverage effect for loan/guarantee/equity or quasi-equity investment/other financial product, by reference to the funding agreement, by product	0.00
39.2. Achieved leverage effect at the end of reporting year for loan/guarantee/equity or quasi-equity investment/other financial product, by product	3.07
39.3. Investment mobilised through ESIF financial instruments for loan/guarantee/equity and quasi-equity investment, by product (Optional)	
<b>VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)</b>	
32. Information whether the financial instrument was still operational at the end of the reporting year	Yes
32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up	
<b>VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)</b>	
35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR)	
36. Amounts repaid to the financial instrument attributable to support from ESI Funds by the end of the reporting year (in EUR)	
36.1. out of which capital repayments (in EUR)	
36.2. out of which gains, other earnings and yields (in EUR)	
37. Amounts of resources attributable to ESI Funds used in accordance with Article 44 and 43a	
37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR)	
37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR)	
37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the financial instrument resulting from negative interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in EUR)	
<b>VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)</b>	
<b>38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument</b>	

<b>(EUR)</b>	
38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR)	987,585.00
38.1A. Contributions under EIB financial product committed in the funding agreement with the body implementing the financial instrument (only for the instruments under Article 38(1)(c)) (in EUR)	
38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR)	696,050.00
38.2.1. out of which public contributions (EUR)	241,702.00
38.2.2. out of which private contributions (EUR)	454,348.00
38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR)	
<b>IX. Contribution of the financial instrument to the achievement of the indicators of the priority or measure concerned (Article 46(2)(j) of Regulation (EU) No 1303/2013)</b>	
<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>CO04 - Productive investment: Number of enterprises receiving non-financial support</b>
41.1. Target value of the output indicator	0.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	0.00
<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>CO03 - Productive investment: Number of enterprises receiving financial support other than grants</b>
41.1. Target value of the output indicator	1.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	3.00
<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>CO05 - Productive investment: Number of new enterprises supported</b>
41.1. Target value of the output indicator	0.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	0.00
<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>CO28 - Research, Innovation: Number of enterprises supported to introduce new to the market products</b>
41.1. Target value of the output indicator	0.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	0.00
<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>CO08 - Productive investment: Employment increase in supported enterprises</b>
41.1. Target value of the output indicator	1.00

41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	15.00
<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>CO29 - Research, Innovation: Number of enterprises supported to introduce new to the firm products</b>
41.1. Target value of the output indicator	0.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	0.00
<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>CO01 - Productive investment: Number of enterprises receiving support</b>
41.1. Target value of the output indicator	1.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	3.00
<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>CO07 - Productive investment: Private investment matching public support to enterprises (non-grants)</b>
41.1. Target value of the output indicator	0.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	0.00

<b>I. Identification of the programme and priority or measure from which support from the ESI Funds is provided (Article 46(2)(a) of Regulation (EU) No 1303/2013)</b>	
<b>1. Priority axes or measures supporting the financial instrument, including fund of funds, under the ESI Fund programme.</b>	
1.1. Priority axis supporting the financial instrument under the ESI Fund programme	3 - Enhancing the Competitiveness of SMEs
2. Name of ESI Fund(s) supporting the financial instrument under the priority axis or measure	ERDF
<b>3. Thematic objective(s) referred to in the first paragraph of Article 9 of Regulation (EU) No 1303/2013 supported by the financial instrument</b>	<b>03 - Enhancing the competitiveness of small and medium-sized enterprises, the agricultural sector (for the EAFRD) and the fisheries and aquaculture sector (for the EMFF)</b>
3.1. Amount of ESI Funds committed in funding agreements from the individual thematic objective chosen in field 3 (optional)	85,288,079.00
<b>4. other ESI Fund programmes providing contribution to the financial instrument</b>	
4.1. CCI number of each other ESI Fund programme providing contributions to the financial instrument	
30. Date of completion of the ex ante assessment	11-Nov-2016
<b>31. Selection of bodies implementing financial instrument</b>	
31.1. Has selection or designation process already been launched	Yes

<b>II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)</b>	
5. Name of the financial instrument	<b>Midlands Engine Investment Fund - PA3</b>
6. Official address/place of business of the financial instrument (name of the country and city)	Foundry House, 3 Millsands, Sheffield, S3 8NH
<b>7. Implementation arrangements</b>	
7.1. Financial instruments set up at Union level, managed directly or indirectly by the Commission referred to in Article 38(1)(a) of Regulation (EU) No 1303/2013, supported from ESI Fund programme contributions	
7.1.1. Name of the Union-level financial instrument	
7.2. Financial instrument set up at national, regional, transnational or cross-border level, managed by or under the responsibility of the managing authority referred to in Article 38(1)(b), supported from ESI Fund programme contributions under point (a), (b), (c) and d) of Article 38(4) of Regulation (EU) No 1303/2013	Entrustment of implementation tasks, through the direct award of a contract
7.3. Financial instrument combining financial contribution from MA with EIB financial products under European Fund for Strategic Investment in accordance with Article 39a, referred to in Article 38(1)(c)	
8. Type of the financial instrument	Fund of funds
10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial institution	Fiduciary account
<b>III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)</b>	
<b>11. Body implementing the financial instrument</b>	
11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European Investment Bank; the European Investment Fund; international financial institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only)	Body governed by public or private law

11.1.1. Name of the body implementing the financial instrument	Midlands Engine Investments Limited
11.1.2. Official address/place of business (country and town name) of the body implementing the financial instrument	Steel City House, West Street, Sheffield, S1 2GQ
12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure	Others
12.1. Description of the other procedure of selecting the body implementing the financial instrument	Entrustment of a publicly owned company, via inter-administrative co-operation between the managing authority and The Secretary of State for Business, Energy and Industrial Strategy (BEIS) in accordance with Article 38 (1)(b) & 38 4(b) (iii) of the CPR, and s3.6.2 of Commission Notice 2016/C 276/01
13. Date of signature of the funding agreement with the body implementing the financial instrument	21-Nov-2016
<b>IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)</b>	
14. Total amount of programme contributions committed in the funding agreement (in EUR)	289,112,132.00
14.1. out of which ESI Funds contributions (in EUR)	85,288,079.00
14.1.1. out of which ERDF (in EUR) (optional)	
14.1.2. out of which Cohesion Fund (in EUR) (optional)	
14.1.3. out of which ESF (in EUR) (optional)	
14.1.4. out of which EAFRD (in EUR) (optional)	
14.1.5. out of which EMFF (in EUR) (optional)	
15. Total amount of programme contributions paid to the financial instrument (in EUR)	289,112,132.00
15.1. out of which amount of ESI Funds contributions (in EUR)	85,288,079.00
15.1.1. out of which ERDF (in EUR)	85,288,079.00
15.1.2. out of which Cohesion Fund (in EUR)	
15.1.3. out of which ESF (in EUR)	
15.1.4. out of which EAFRD (in EUR)	
15.1.5. out of which EMFF (in EUR)	
15.2. out of which total amount of national co-financing (in EUR)	203,824,053.00
15.2.1. out of which total amount of national public funding (in EUR)	70,755,346.00
15.2.2. out of which total amount of national private funding (in EUR)	133,068,707.00
16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR)	
17. Total amount of management costs and fees paid out of programme contributions (in EUR)	18,654,936.00

17.1. out of which base remuneration (in EUR)	
17.2. out of which performance-based remuneration (in EUR)	
21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
<b>VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)</b>	
32. Information whether the financial instrument was still operational at the end of the reporting year	Yes
32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up	
<b>VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)</b>	
35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR)	116,559.00
37. Amounts of resources attributable to ESI Funds used in accordance with Article 44 and 43a	
37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR)	
37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR)	
37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the financial instrument resulting from negative interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in EUR)	
<b>VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)</b>	
<b>38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)</b>	
38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR)	289,112,132.00
38.1A. Contributions under EIB financial product	

committed in the funding agreement with the body implementing the financial instrument (only for the instruments under Article 38(1)(c)) (in EUR)	
38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR)	203,824,053.00
38.2.1. out of which public contributions (EUR)	70,755,346.00
38.2.2. out of which private contributions (EUR)	133,068,707.00
38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR)	

<b>II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)</b>	
5. Name of the financial instrument	<b>Midlands Engine - Debt Fund - East Midlands - PA3</b>
6. Official address/place of business of the financial instrument (name of the country and city)	City Gate, East Toll House Hill, Nottingham, NG1 5FS
8. Type of the financial instrument	Fund of funds specific fund
8.2. Related Fund of Funds	Midlands Engine Investment Fund - PA3
8.1. Tailor-made or financial instruments complying with standard terms and conditions i.e. "off-the-shelf instruments"	Tailor-made
<b>9. Type of products provided by financial instrument: loans, micro-loans, guarantees, equity, or quasi-equity investments, other financial product or other support combined within the financial instrument pursuant to Article 37(7) of Regulation (EU) No 1303/2013</b>	
9.0.1. Loans ( $\geq$ EUR 25,000)	Yes
9.0.2. Micro-loans ( $<$ EUR 25,000 and provided to micro-enterprises) according to SEC/2011/1134 final	No
9.0.3. Guarantees	No
9.0.4. Equity	No
9.0.5. Quasi-equity	No
9.0.6. Other financial products	No
9.0.7. Other support combined with a financial instrument	No
9.1. Description of the other financial product	
9.2. Other support combined within the financial instrument: grant, interest rate subsidy, guarantee fee subsidy pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial institution	Fiduciary account
<b>III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)</b>	
<b>11. Body implementing the financial instrument</b>	



11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European Investment Bank; the European Investment Fund; international financial institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only)	Body governed by public or private law
11.1.1. Name of the body implementing the financial instrument	Maven
11.1.2. Official address/place of business (country and town name) of the body implementing the financial instrument	City Gate, East Toll House Hill, Nottingham, NG1 5FS
12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure	Selection in accordance with the provisions of the public procurement directive
12.1. Description of the other procedure of selecting the body implementing the financial instrument	
13. Date of signature of the funding agreement with the body implementing the financial instrument	22-Aug-2017
<b>IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)</b>	
14. Total amount of programme contributions committed in the funding agreement (in EUR)	41,424,200.00
14.1. out of which ESI Funds contributions (in EUR)	12,224,281.00
14.1.1. out of which ERDF (in EUR) (optional)	
14.1.2. out of which Cohesion Fund (in EUR) (optional)	
14.1.3. out of which ESF (in EUR) (optional)	
14.1.4. out of which EAFRD (in EUR) (optional)	
14.1.5. out of which EMFF (in EUR) (optional)	
15. Total amount of programme contributions paid to the financial instrument (in EUR)	27,951,467.00
15.1. out of which amount of ESI Funds contributions (in EUR)	8,251,273.00
15.1.1. out of which ERDF (in EUR)	8,251,273.00
15.1.2. out of which Cohesion Fund (in EUR)	
15.1.3. out of which ESF (in EUR)	
15.1.4. out of which EAFRD (in EUR)	
15.1.5. out of which EMFF (in EUR)	
15.2. out of which total amount of national co-financing (in EUR)	19,700,194.00
15.2.1. out of which total amount of national public funding (in EUR)	6,834,134.00
15.2.2. out of which total amount of national private funding (in EUR)	12,866,060.00
16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR)	
17. Total amount of management costs and fees paid out of programme contributions (in EUR)	2,982,530.00
17.1. out of which base remuneration (in EUR)	
17.2. out of which performance-based remuneration (in EUR)	
18. Capitalised management costs or fees pursuant to Article 42(2) of Regulation (EU) No 1303/2013 (relevant only for final report) (in EUR)	

19. Capitalised interest rate subsidies or guarantee fee subsidies pursuant to Article 42(1)(c) of Regulation (EU) No 1303/2013 (relevant to final report only) (in EUR)	
20. Amount of programme contributions for follow-on investments in final recipients pursuant to Article 42(3) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
<b>V. Total amount of support paid to final recipients, or to the benefit of final recipients, or committed in guarantee contracts by the financial instrument for investments in final recipients, by ESI Funds programme and priority or measure (Article 46(2)(e) of Regulation (EU) No 1303/2013)</b>	
22. Name of financial product offered by the financial instrument	<i>Debt Fund - East Midlands - PA3</i>
22.1. Type of financial product offered by the financial instrument	Loan
24. Total amount of programme contributions committed in this loan, guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR)	40,089,165.00
24.1. out of which total amount of ESI Funds contributions (in EUR)	11,834,321.00
25. Total amount of programme contributions paid to final recipients through this loan, micro-loans, equity or other products, or, in the case of guarantee, committed for loans paid to final recipients, by product (in EUR)	40,089,165.00
25.1. out of which total amount of ESI Funds contributions (in EUR)	11,834,321.00
25.1.1. out of which ERDF (in EUR)	11,834,321.00
25.1.2. out of which Cohesion Fund (in EUR)	
25.1.3. out of which ESF (in EUR)	
25.1.4. out of which EAFRD (in EUR)	
25.1.5. out of which EMFF (in EUR)	
25.2. out of which total amount of national public co-financing (in EUR)	9,801,801.00
25.3. out of which total amount of national private co-financing (in EUR)	18,453,043.00
27. Number of loan/guarantee/equity or quasi-equity/other financial product contracts signed with final recipients, by product	103
28. Number of loan/guarantee/equity or quasi-equity/other financial product investments made in final recipients, by product	103
29. Number of financial recipients supported by the financial product	77
29.1. out of which large enterprises	
29.2. out of which SMEs	77
29.2.1. out of which microenterprises	
29.3. out of which individuals/natural persons	
29.4. out of which other type of final recipients supported	
29.4.1. description of other type of final recipients supported	
33. Total number of disbursed loans defaulted or total number of guarantees provided and called due to the loan default	
34. Total amount of disbursed loans defaulted (in EUR) or total amount committed for guarantees provided and called due to loan default (in EUR)	
<b>38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)</b>	

38.3. Total amount of other contributions, outside the ESI Funds mobilised at the level of final recipients (in EUR)	28,254,844.00
38.3.1. out of which public contributions (EUR)	9,801,801.00
38.3.2. out of which private contributions (EUR)	18,453,043.00
38.3A. Contribution under EIB financial product mobilised at the level of final recipient (only for the instruments under Article 38(1)(c)) (in EUR)	
<b>39. Expected and achieved leverage effect, by reference to the funding agreement</b>	
39.1. Expected leverage effect for loan/guarantee/equity or quasi-equity investment/other financial product, by reference to the funding agreement, by product	6.55
39.2. Achieved leverage effect at the end of reporting year for loan/guarantee/equity or quasi-equity investment/other financial product, by product	2.89
39.3. Investment mobilised through ESIF financial instruments for loan/guarantee/equity and quasi-equity investment, by product (Optional)	
<b>VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)</b>	
32. Information whether the financial instrument was still operational at the end of the reporting year	Yes
32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up	
<b>VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)</b>	
35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR)	
36. Amounts repaid to the financial instrument attributable to support from ESI Funds by the end of the reporting year (in EUR)	
36.1. out of which capital repayments (in EUR)	
36.2. out of which gains, other earnings and yields (in EUR)	
37. Amounts of resources attributable to ESI Funds used in accordance with Article 44 and 43a	
37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR)	
37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR)	
37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the financial instrument resulting from negative interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in EUR)	
<b>VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)</b>	

<b>38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)</b>	
38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR)	29,199,919.00
38.1A. Contributions under EIB financial product committed in the funding agreement with the body implementing the financial instrument (only for the instruments under Article 38(1)(c)) (in EUR)	
38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR)	19,700,194.00
38.2.1. out of which public contributions (EUR)	6,834,134.00
38.2.2. out of which private contributions (EUR)	12,866,060.00
38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR)	
<b>IX. Contribution of the financial instrument to the achievement of the indicators of the priority or measure concerned (Article 46(2)(j) of Regulation (EU) No 1303/2013)</b>	
<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>CO08 - Productive investment: Employment increase in supported enterprises</b>
41.1. Target value of the output indicator	711.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	414.00
<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>CO05 - Productive investment: Number of new enterprises supported</b>
41.1. Target value of the output indicator	109.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	3.00
<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>CO01 - Productive investment: Number of enterprises receiving support</b>
41.1. Target value of the output indicator	402.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	106.00
<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>CO07 - Productive investment: Private investment matching public support to enterprises (non-grants)</b>
41.1. Target value of the output indicator	46,470,797.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	25,692,298.00
<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>CO28 - Research, Innovation: Number of enterprises supported to introduce new to the market products</b>
41.1. Target value of the output indicator	3.00

41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	9.00
<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>CO04 - Productive investment: Number of enterprises receiving non-financial support</b>
41.1. Target value of the output indicator	142.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	29.00
<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>CO03 - Productive investment: Number of enterprises receiving financial support other than grants</b>
41.1. Target value of the output indicator	260.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	77.00
<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>CO29 - Research, Innovation: Number of enterprises supported to introduce new to the firm products</b>
41.1. Target value of the output indicator	21.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	12.00

<b>II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)</b>	
5. Name of the financial instrument	<b>Midlands Engine - Debt Fund - West Midlands - PA3</b>
6. Official address/place of business of the financial instrument (name of the country and city)	City Gate, East Toll House Hill, Nottingham, NG1 5FS
8. Type of the financial instrument	Fund of funds specific fund
8.2. Related Fund of Funds	Midlands Engine Investment Fund - PA3
8.1. Tailor-made or financial instruments complying with standard terms and conditions i.e. "off-the-shelf instruments"	Tailor-made
<b>9. Type of products provided by financial instrument: loans, micro-loans, guarantees, equity, or quasi-equity investments, other financial product or other support combined within the financial instrument pursuant to Article 37(7) of Regulation (EU) No 1303/2013</b>	
9.0.1. Loans ( $\geq$ EUR 25,000)	Yes
9.0.2. Micro-loans ( $<$ EUR 25,000 and provided to micro-enterprises) according to SEC/2011/1134 final	No
9.0.3. Guarantees	No
9.0.4. Equity	No
9.0.5. Quasi-equity	No
9.0.6. Other financial products	No

9.0.7. Other support combined with a financial instrument	No
9.1. Description of the other financial product	
9.2. Other support combined within the financial instrument: grant, interest rate subsidy, guarantee fee subsidy pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial institution	Fiduciary account
<b>III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)</b>	
<b>11. Body implementing the financial instrument</b>	
11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European Investment Bank; the European Investment Fund; international financial institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only)	Body governed by public or private law
11.1.1. Name of the body implementing the financial instrument	Maven
11.1.2. Official address/place of business (country and town name) of the body implementing the financial instrument	City Gate, East Toll House Hill, Nottingham, NG1 5FS
12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure	Selection in accordance with the provisions of the public procurement directive
12.1. Description of the other procedure of selecting the body implementing the financial instrument	
13. Date of signature of the funding agreement with the body implementing the financial instrument	22-Aug-2017
<b>IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)</b>	
14. Total amount of programme contributions committed in the funding agreement (in EUR)	52,927,386.00
14.1. out of which ESI Funds contributions (in EUR)	15,613,579.00
14.1.1. out of which ERDF (in EUR) (optional)	
14.1.2. out of which Cohesion Fund (in EUR) (optional)	
14.1.3. out of which ESF (in EUR) (optional)	
14.1.4. out of which EAFRD (in EUR) (optional)	
14.1.5. out of which EMFF (in EUR) (optional)	
15. Total amount of programme contributions paid to the financial instrument (in EUR)	52,916,801.00
15.1. out of which amount of ESI Funds contributions (in EUR)	15,613,579.00
15.1.1. out of which ERDF (in EUR)	15,613,579.00

15.1.2. out of which Cohesion Fund (in EUR)	
15.1.3. out of which ESF (in EUR)	
15.1.4. out of which EAFRD (in EUR)	
15.1.5. out of which EMFF (in EUR)	
15.2. out of which total amount of national co-financing (in EUR)	37,303,222.00
15.2.1. out of which total amount of national public funding (in EUR)	12,940,746.00
15.2.2. out of which total amount of national private funding (in EUR)	24,362,476.00
16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR)	
17. Total amount of management costs and fees paid out of programme contributions (in EUR)	3,917,537.00
17.1. out of which base remuneration (in EUR)	
17.2. out of which performance-based remuneration (in EUR)	
18. Capitalised management costs or fees pursuant to Article 42(2) of Regulation (EU) No 1303/2013 (relevant only for final report) (in EUR)	
19. Capitalised interest rate subsidies or guarantee fee subsidies pursuant to Article 42(1)(c) of Regulation (EU) No 1303/2013 (relevant to final report only) (in EUR)	
20. Amount of programme contributions for follow-on investments in final recipients pursuant to Article 42(3) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
<b>V. Total amount of support paid to final recipients, or to the benefit of final recipients, or committed in guarantee contracts by the financial instrument for investments in final recipients, by ESI Funds programme and priority or measure (Article 46(2)(e) of Regulation (EU) No 1303/2013)</b>	
<b>22. Name of financial product offered by the financial instrument</b>	<b>Debt Fund - West Midlands - PA3</b>
22.1. Type of financial product offered by the financial instrument	Loan
24. Total amount of programme contributions committed in this loan, guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR)	41,764,108.00
24.1. out of which total amount of ESI Funds contributions (in EUR)	12,328,765.00
25. Total amount of programme contributions paid to final recipients through this loan, micro-loans, equity or other products, or, in the case of guarantee, committed for loans paid to final recipients, by product (in EUR)	41,764,108.00
25.1. out of which total amount of ESI Funds contributions (in EUR)	12,328,765.00
25.1.1. out of which ERDF (in EUR)	12,328,765.00
25.1.2. out of which Cohesion Fund (in EUR)	
25.1.3. out of which ESF (in EUR)	
25.1.4. out of which EAFRD (in EUR)	
25.1.5. out of which EMFF (in EUR)	
25.2. out of which total amount of national public co-financing (in EUR)	10,211,324.00
25.3. out of which total amount of national private co-financing (in EUR)	19,224,019.00
27. Number of loan/guarantee/equity or quasi-equity/other financial product contracts signed with final recipients, by product	93
28. Number of loan/guarantee/equity or quasi-equity/other financial	93

product investments made in final recipients, by product	
29. Number of financial recipients supported by the financial product	61
29.1. out of which large enterprises	
29.2. out of which SMEs	61
29.2.1. out of which microenterprises	
29.3. out of which individuals/natural persons	
29.4. out of which other type of final recipients supported	
29.4.1. description of other type of final recipients supported	
33. Total number of disbursed loans defaulted or total number of guarantees provided and called due to the loan default	
34. Total amount of disbursed loans defaulted (in EUR) or total amount committed for guarantees provided and called due to loan default (in EUR)	
<b>38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)</b>	
38.3. Total amount of other contributions, outside the ESI Funds mobilised at the level of final recipients (in EUR)	29,435,343.00
38.3.1. out of which public contributions (EUR)	10,211,324.00
38.3.2. out of which private contributions (EUR)	19,224,019.00
38.3A. Contribution under EIB financial product mobilised at the level of final recipient (only for the instruments under Article 38(1)(c)) (in EUR)	
<b>39. Expected and achieved leverage effect, by reference to the funding agreement</b>	
39.1. Expected leverage effect for loan/guarantee/equity or quasi-equity investment/other financial product, by reference to the funding agreement, by product	6.55
39.2. Achieved leverage effect at the end of reporting year for loan/guarantee/equity or quasi-equity investment/other financial product, by product	2.98
39.3. Investment mobilised through ESIF financial instruments for loan/guarantee/equity and quasi-equity investment, by product (Optional)	
<b>VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)</b>	
32. Information whether the financial instrument was still operational at the end of the reporting year	Yes
32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up	
<b>VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)</b>	
35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR)	
36. Amounts repaid to the financial instrument attributable to support from ESI Funds by the end of the reporting year (in EUR)	
36.1. out of which capital repayments (in EUR)	
36.2. out of which gains, other earnings and yields (in EUR)	
37. Amounts of resources attributable to ESI Funds used in accordance	



with Article 44 and 43a	
37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR)	
37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR)	
37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the financial instrument resulting from negative interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in EUR)	
<b>VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)</b>	
<b>38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)</b>	
38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR)	52,927,386.00
38.1A. Contributions under EIB financial product committed in the funding agreement with the body implementing the financial instrument (only for the instruments under Article 38(1)(c)) (in EUR)	
38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR)	37,303,222.00
38.2.1. out of which public contributions (EUR)	12,940,746.00
38.2.2. out of which private contributions (EUR)	24,362,476.00
38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR)	
<b>IX. Contribution of the financial instrument to the achievement of the indicators of the priority or measure concerned (Article 46(2)(j) of Regulation (EU) No 1303/2013)</b>	
<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>CO08 - Productive investment: Employment increase in supported enterprises</b>
41.1. Target value of the output indicator	898.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	337.00
<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>CO29 - Research, Innovation: Number of enterprises supported to introduce new to the firm products</b>
41.1. Target value of the output indicator	26.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	14.00
<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>CO07 - Productive investment: Private investment matching public</b>

	<i>support to enterprises (non-grants)</i>
41.1. Target value of the output indicator	58,699,954.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	33,172,721.00
<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>CO05 - Productive investment: Number of new enterprises supported</b>
41.1. Target value of the output indicator	138.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	3.00
<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>CO04 - Productive investment: Number of enterprises receiving non-financial support</b>
41.1. Target value of the output indicator	180.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	9.00
<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>CO03 - Productive investment: Number of enterprises receiving financial support other than grants</b>
41.1. Target value of the output indicator	329.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	61.00
<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>CO28 - Research, Innovation: Number of enterprises supported to introduce new to the market products</b>
41.1. Target value of the output indicator	4.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	10.00
<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>CO01 - Productive investment: Number of enterprises receiving support</b>
41.1. Target value of the output indicator	508.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	70.00

<b>II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)</b>	
5. Name of the financial instrument	<b>Midlands Engine - Equity Fund - East Midlands - PA3</b>
6. Official address/place of business of the financial instrument (name of the country and city)	14 Park Row, Nottingham, NG1 6GR
8. Type of the financial instrument	Fund of funds specific fund

8.2. Related Fund of Funds	Midlands Engine Investment Fund - PA3
8.1. Tailor-made or financial instruments complying with standard terms and conditions i.e. "off-the-shelf instruments"	Tailor-made
<b>9. Type of products provided by financial instrument: loans, micro-loans, guarantees, equity, or quasi-equity investments, other financial product or other support combined within the financial instrument pursuant to Article 37(7) of Regulation (EU) No 1303/2013</b>	
9.0.1. Loans ( $\geq$ EUR 25,000)	No
9.0.2. Micro-loans ( $<$ EUR 25,000 and provided to micro-enterprises) according to SEC/2011/1134 final	No
9.0.3. Guarantees	No
9.0.4. Equity	Yes
9.0.5. Quasi-equity	Yes
9.0.6. Other financial products	No
9.0.7. Other support combined with a financial instrument	No
9.1. Description of the other financial product	
9.2. Other support combined within the financial instrument: grant, interest rate subsidy, guarantee fee subsidy pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial institution	Fiduciary account
<b>III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)</b>	
<b>11. Body implementing the financial instrument</b>	
11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European Investment Bank; the European Investment Fund; international financial institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only)	Body governed by public or private law
11.1.1. Name of the body implementing the financial instrument	Foresight
11.1.2. Official address/place of business (country and town name) of the body implementing the financial instrument	14 Park Row, Nottingham, NG1 6GR
12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure	Selection in accordance with the provisions of the public procurement directive
12.1. Description of the other procedure of selecting the body implementing the financial instrument	
13. Date of signature of the funding agreement with the body implementing the financial instrument	22-Aug-2017
<b>IV. Total amount of programme contributions, by priority or measure, paid to the financial</b>	

<b>instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)</b>	
14. Total amount of programme contributions committed in the funding agreement (in EUR)	42,000,000.00
14.1. out of which ESI Funds contributions (in EUR)	12,390,000.00
14.1.1. out of which ERDF (in EUR) (optional)	
14.1.2. out of which Cohesion Fund (in EUR) (optional)	
14.1.3. out of which ESF (in EUR) (optional)	
14.1.4. out of which EAFRD (in EUR) (optional)	
14.1.5. out of which EMFF (in EUR) (optional)	
15. Total amount of programme contributions paid to the financial instrument (in EUR)	41,991,600.00
15.1. out of which amount of ESI Funds contributions (in EUR)	12,390,000.00
15.1.1. out of which ERDF (in EUR)	12,390,000.00
15.1.2. out of which Cohesion Fund (in EUR)	
15.1.3. out of which ESF (in EUR)	
15.1.4. out of which EAFRD (in EUR)	
15.1.5. out of which EMFF (in EUR)	
15.2. out of which total amount of national co-financing (in EUR)	29,601,600.00
15.2.1. out of which total amount of national public funding (in EUR)	10,269,000.00
15.2.2. out of which total amount of national private funding (in EUR)	19,332,600.00
16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR)	
17. Total amount of management costs and fees paid out of programme contributions (in EUR)	2,425,142.00
17.1. out of which base remuneration (in EUR)	
17.2. out of which performance-based remuneration (in EUR)	
18. Capitalised management costs or fees pursuant to Article 42(2) of Regulation (EU) No 1303/2013 (relevant only for final report) (in EUR)	
19. Capitalised interest rate subsidies or guarantee fee subsidies pursuant to Article 42(1)(c) of Regulation (EU) No 1303/2013 (relevant to final report only) (in EUR)	
20. Amount of programme contributions for follow-on investments in final recipients pursuant to Article 42(3) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
<b>V. Total amount of support paid to final recipients, or to the benefit of final recipients, or committed in guarantee contracts by the financial instrument for investments in final recipients, by ESI Funds programme and priority or measure (Article 46(2)(e) of Regulation (EU) No 1303/2013)</b>	
<b>22. Name of financial product offered by the financial instrument</b>	<b>Equity Fund - East Midlands - PA3</b>
22.1. Type of financial product offered by the financial instrument	Equity
24. Total amount of programme contributions committed in this loan, guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR)	28,654,773.00
24.1. out of which total amount of ESI Funds contributions (in EUR)	8,458,889.00
25. Total amount of programme contributions paid to final recipients	28,654,773.00

through this loan, micro-loans, equity or other products, or, in the case of guarantee, committed for loans paid to final recipients, by product (in EUR)	
25.1. out of which total amount of ESI Funds contributions (in EUR)	8,458,889.00
25.1.1. out of which ERDF (in EUR)	8,458,889.00
25.1.2. out of which Cohesion Fund (in EUR)	
25.1.3. out of which ESF (in EUR)	
25.1.4. out of which EAFRD (in EUR)	
25.1.5. out of which EMFF (in EUR)	
25.2. out of which total amount of national public co-financing (in EUR)	7,006,092.00
25.3. out of which total amount of national private co-financing (in EUR)	13,189,792.00
27. Number of loan/guarantee/equity or quasi-equity/other financial product contracts signed with final recipients, by product	59
28. Number of loan/guarantee/equity or quasi-equity/other financial product investments made in final recipients, by product	59
29. Number of financial recipients supported by the financial product	29
29.1. out of which large enterprises	
29.2. out of which SMEs	29
29.2.1. out of which microenterprises	
29.3. out of which individuals/natural persons	
29.4. out of which other type of final recipients supported	
29.4.1. description of other type of final recipients supported	
<b>38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)</b>	
38.3. Total amount of other contributions, outside the ESI Funds mobilised at the level of final recipients (in EUR)	20,195,884.00
38.3.1. out of which public contributions (EUR)	7,006,092.00
38.3.2. out of which private contributions (EUR)	13,189,792.00
38.3A. Contribution under EIB financial product mobilised at the level of final recipient (only for the instruments under Article 38(1)(c)) (in EUR)	
<b>39. Expected and achieved leverage effect, by reference to the funding agreement</b>	
39.1. Expected leverage effect for loan/guarantee/equity or quasi-equity investment/other financial product, by reference to the funding agreement, by product	6.55
39.2. Achieved leverage effect at the end of reporting year for loan/guarantee/equity or quasi-equity investment/other financial product, by product	3.02
39.3. Investment mobilised through ESIF financial instruments for loan/guarantee/equity and quasi-equity investment, by product (Optional)	
40. Value of investments and participations in equity (in EUR)	28,654,773.00
<b>VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)</b>	
32. Information whether the financial instrument was still operational at the end of the reporting year	Yes
32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up	
<b>VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles</b>	

<b>43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)</b>	
35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR)	
36. Amounts repaid to the financial instrument attributable to support from ESI Funds by the end of the reporting year (in EUR)	
36.1. out of which capital repayments (in EUR)	
36.2. out of which gains, other earnings and yields (in EUR)	
37. Amounts of resources attributable to ESI Funds used in accordance with Article 44 and 43a	
37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR)	
37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR)	
37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the financial instrument resulting from negative interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in EUR)	
<b>VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)</b>	
<b>38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)</b>	
38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR)	42,000,000.00
38.1A. Contributions under EIB financial product committed in the funding agreement with the body implementing the financial instrument (only for the instruments under Article 38(1)(c)) (in EUR)	
38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR)	29,601,600.00
38.2.1. out of which public contributions (EUR)	10,269,000.00
38.2.2. out of which private contributions (EUR)	19,332,600.00
38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR)	
<b>IX. Contribution of the financial instrument to the achievement of the indicators of the priority or measure concerned (Article 46(2)(j) of Regulation (EU) No 1303/2013)</b>	
<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>CO03 - Productive investment: Number of enterprises receiving financial support other than grants</b>
41.1. Target value of the output indicator	219.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	26.00

<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>CO05 - Productive investment: Number of new enterprises supported</b>
41.1. Target value of the output indicator	92.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	8.00
<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>CO04 - Productive investment: Number of enterprises receiving non-financial support</b>
41.1. Target value of the output indicator	120.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	37.00
<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>CO01 - Productive investment: Number of enterprises receiving support</b>
41.1. Target value of the output indicator	339.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	63.00
<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>CO07 - Productive investment: Private investment matching public support to enterprises (non-grants)</b>
41.1. Target value of the output indicator	39,176,503.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	22,355,074.00
<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>CO29 - Research, Innovation: Number of enterprises supported to introduce new to the firm products</b>
41.1. Target value of the output indicator	17.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	8.00
<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>CO28 - Research, Innovation: Number of enterprises supported to introduce new to the market products</b>
41.1. Target value of the output indicator	2.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	2.00
<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>CO08 - Productive investment: Employment increase in supported enterprises</b>
41.1. Target value of the output indicator	598.00
41.2. Value achieved by the financial instrument in relation to the target	274.00

value of the output indicator	
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<b>II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)</b>	
5. Name of the financial instrument	<b>Midlands Engine - Equity Fund - West Midlands - PA3</b>
6. Official address/place of business of the financial instrument (name of the country and city)	41 Waterloo Street, Birmingham, B2 5PP
8. Type of the financial instrument	Fund of funds specific fund
8.2. Related Fund of Funds	Midlands Engine Investment Fund - PA3
8.1. Tailor-made or financial instruments complying with standard terms and conditions i.e. "off-the-shelf instruments"	Tailor-made
<b>9. Type of products provided by financial instrument: loans, micro-loans, guarantees, equity, or quasi-equity investments, other financial product or other support combined within the financial instrument pursuant to Article 37(7) of Regulation (EU) No 1303/2013</b>	
9.0.1. Loans ( $\geq$ EUR 25,000)	No
9.0.2. Micro-loans ( $<$ EUR 25,000 and provided to micro-enterprises) according to SEC/2011/1134 final	No
9.0.3. Guarantees	No
9.0.4. Equity	Yes
9.0.5. Quasi-equity	Yes
9.0.6. Other financial products	No
9.0.7. Other support combined with a financial instrument	No
9.1. Description of the other financial product	
9.2. Other support combined within the financial instrument: grant, interest rate subsidy, guarantee fee subsidy pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial institution	Fiduciary account
<b>III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)</b>	
<b>11. Body implementing the financial instrument</b>	
11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European Investment Bank; the European Investment Fund; international financial institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only)	Body governed by public or private law



11.1.1. Name of the body implementing the financial instrument	Midven
11.1.2. Official address/place of business (country and town name) of the body implementing the financial instrument	41 Waterloo Street, Birmingham, B2 5PP
12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure	Selection in accordance with the provisions of the public procurement directive
12.1. Description of the other procedure of selecting the body implementing the financial instrument	
13. Date of signature of the funding agreement with the body implementing the financial instrument	22-Aug-2017
<b>IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)</b>	
14. Total amount of programme contributions committed in the funding agreement (in EUR)	42,000,000.00
14.1. out of which ESI Funds contributions (in EUR)	12,390,000.00
14.1.1. out of which ERDF (in EUR) (optional)	
14.1.2. out of which Cohesion Fund (in EUR) (optional)	
14.1.3. out of which ESF (in EUR) (optional)	
14.1.4. out of which EAFRD (in EUR) (optional)	
14.1.5. out of which EMFF (in EUR) (optional)	
15. Total amount of programme contributions paid to the financial instrument (in EUR)	41,991,600.00
15.1. out of which amount of ESI Funds contributions (in EUR)	12,390,000.00
15.1.1. out of which ERDF (in EUR)	12,390,000.00
15.1.2. out of which Cohesion Fund (in EUR)	
15.1.3. out of which ESF (in EUR)	
15.1.4. out of which EAFRD (in EUR)	
15.1.5. out of which EMFF (in EUR)	
15.2. out of which total amount of national co-financing (in EUR)	29,601,600.00
15.2.1. out of which total amount of national public funding (in EUR)	10,269,000.00
15.2.2. out of which total amount of national private funding (in EUR)	19,332,600.00
16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR)	
17. Total amount of management costs and fees paid out of programme contributions (in EUR)	2,798,240.00
17.1. out of which base remuneration (in EUR)	
17.2. out of which performance-based remuneration (in EUR)	
18. Capitalised management costs or fees pursuant to Article 42(2) of Regulation (EU) No 1303/2013 (relevant only for final report) (in EUR)	
19. Capitalised interest rate subsidies or guarantee fee subsidies pursuant to Article 42(1)(c) of Regulation (EU) No 1303/2013 (relevant to final report only) (in EUR)	
20. Amount of programme contributions for follow-on investments in final recipients pursuant to Article 42(3) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	

<b>V. Total amount of support paid to final recipients, or to the benefit of final recipients, or committed in guarantee contracts by the financial instrument for investments in final recipients, by ESI Funds programme and priority or measure (Article 46(2)(e) of Regulation (EU) No 1303/2013)</b>	
<b>22. Name of financial product offered by the financial instrument</b>	<b>Equity Fund - West Midlands - PA3</b>
22.1. Type of financial product offered by the financial instrument	Equity
24. Total amount of programme contributions committed in this loan, guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR)	28,632,952.00
24.1. out of which total amount of ESI Funds contributions (in EUR)	8,452,447.00
25. Total amount of programme contributions paid to final recipients through this loan, micro-loans, equity or other products, or, in the case of guarantee, committed for loans paid to final recipients, by product (in EUR)	28,632,952.00
25.1. out of which total amount of ESI Funds contributions (in EUR)	8,452,447.00
25.1.1. out of which ERDF (in EUR)	8,452,447.00
25.1.2. out of which Cohesion Fund (in EUR)	
25.1.3. out of which ESF (in EUR)	
25.1.4. out of which EAFRD (in EUR)	
25.1.5. out of which EMFF (in EUR)	
25.2. out of which total amount of national public co-financing (in EUR)	7,000,757.00
25.3. out of which total amount of national private co-financing (in EUR)	13,179,748.00
27. Number of loan/guarantee/equity or quasi-equity/other financial product contracts signed with final recipients, by product	75
28. Number of loan/guarantee/equity or quasi-equity/other financial product investments made in final recipients, by product	75
29. Number of financial recipients supported by the financial product	33
29.1. out of which large enterprises	
29.2. out of which SMEs	33
29.2.1. out of which microenterprises	
29.3. out of which individuals/natural persons	
29.4. out of which other type of final recipients supported	
29.4.1. description of other type of final recipients supported	
<b>38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)</b>	
38.3. Total amount of other contributions, outside the ESI Funds mobilised at the level of final recipients (in EUR)	20,180,505.00
38.3.1. out of which public contributions (EUR)	7,000,757.00
38.3.2. out of which private contributions (EUR)	13,179,748.00
38.3A. Contribution under EIB financial product mobilised at the level of final recipient (only for the instruments under Article 38(1)(c)) (in EUR)	
<b>39. Expected and achieved leverage effect, by reference to the funding agreement</b>	
39.1. Expected leverage effect for loan/guarantee/equity or quasi-equity investment/other financial product, by reference to the funding agreement, by product	6.55
39.2. Achieved leverage effect at the end of reporting year for loan/guarantee/equity or quasi-equity investment/other financial product, by product	2.99
39.3. Investment mobilised through ESIF financial instruments for	

loan/guarantee/equity and quasi-equity investment, by product (Optional)	
40. Value of investments and participations in equity (in EUR)	28,632,951.00
<b>VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)</b>	
32. Information whether the financial instrument was still operational at the end of the reporting year	Yes
32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up	
<b>VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)</b>	
35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR)	
36. Amounts repaid to the financial instrument attributable to support from ESI Funds by the end of the reporting year (in EUR)	
36.1. out of which capital repayments (in EUR)	
36.2. out of which gains, other earnings and yields (in EUR)	
37. Amounts of resources attributable to ESI Funds used in accordance with Article 44 and 43a	
37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR)	
37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR)	
37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the financial instrument resulting from negative interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in EUR)	
<b>VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)</b>	
<b>38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)</b>	
38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR)	42,000,000.00
38.1A. Contributions under EIB financial product committed in the funding agreement with the body implementing the financial instrument (only for the instruments under Article 38(1)(c)) (in EUR)	
38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR)	29,601,600.00
38.2.1. out of which public contributions (EUR)	10,269,000.00
38.2.2. out of which private contributions (EUR)	19,332,600.00
38.2A. Contribution under EIB financial product paid to FI (only for the	

instruments under Article 38(1)(c)) (in EUR)	
<b>IX. Contribution of the financial instrument to the achievement of the indicators of the priority or measure concerned (Article 46(2)(j) of Regulation (EU) No 1303/2013)</b>	
<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>CO28 - Research, Innovation: Number of enterprises supported to introduce new to the market products</b>
41.1. Target value of the output indicator	2.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	6.00
<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>CO01 - Productive investment: Number of enterprises receiving support</b>
41.1. Target value of the output indicator	339.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	88.00
<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>CO29 - Research, Innovation: Number of enterprises supported to introduce new to the firm products</b>
41.1. Target value of the output indicator	17.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	11.00
<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>CO04 - Productive investment: Number of enterprises receiving non-financial support</b>
41.1. Target value of the output indicator	120.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	55.00
<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>CO08 - Productive investment: Employment increase in supported enterprises</b>
41.1. Target value of the output indicator	598.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	308.00
<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>CO07 - Productive investment: Private investment matching public support to enterprises (non-grants)</b>
41.1. Target value of the output indicator	39,176,503.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	10,856,247.00
<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>CO05 - Productive investment: Number of new</b>

	<i>enterprises supported</i>
41.1. Target value of the output indicator	92.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	13.00
<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>CO03 - Productive investment: Number of enterprises receiving financial support other than grants</b>
41.1. Target value of the output indicator	219.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	33.00

<b>II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)</b>	
5. Name of the financial instrument	<b>Midlands Engine - Proof of Concept - PA3</b>
6. Official address/place of business of the financial instrument (name of the country and city)	Forward House, 17 High Street, Henly in Arden, B95 5AA
8. Type of the financial instrument	Fund of funds specific fund
8.2. Related Fund of Funds	Midlands Engine Investment Fund - PA3
8.1. Tailor-made or financial instruments complying with standard terms and conditions i.e. "off-the-shelf instruments"	Tailor-made
<b>9. Type of products provided by financial instrument: loans, micro-loans, guarantees, equity, or quasi-equity investments, other financial product or other support combined within the financial instrument pursuant to Article 37(7) of Regulation (EU) No 1303/2013</b>	
9.0.1. Loans ( $\geq$ EUR 25,000)	No
9.0.2. Micro-loans ( $<$ EUR 25,000 and provided to micro-enterprises) according to SEC/2011/1134 final	No
9.0.3. Guarantees	No
9.0.4. Equity	Yes
9.0.5. Quasi-equity	No
9.0.6. Other financial products	No
9.0.7. Other support combined with a financial instrument	No
9.1. Description of the other financial product	
9.2. Other support combined within the financial instrument: grant, interest rate subsidy, guarantee fee subsidy pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial institution	Fiduciary account
<b>III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of</b>	

<b>Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)</b>	
<b>11. Body implementing the financial instrument</b>	
11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European Investment Bank; the European Investment Fund; international financial institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only)	Body governed by public or private law
11.1.1. Name of the body implementing the financial instrument	Mercia
11.1.2. Official address/place of business (country and town name) of the body implementing the financial instrument	Forward House, 17 High Street, Henly in Arden, B95 5AA
12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure	Selection in accordance with the provisions of the public procurement directive
12.1. Description of the other procedure of selecting the body implementing the financial instrument	
13. Date of signature of the funding agreement with the body implementing the financial instrument	22-Aug-2017
<b>IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)</b>	
14. Total amount of programme contributions committed in the funding agreement (in EUR)	29,119,982.00
14.1. out of which ESI Funds contributions (in EUR)	8,590,395.00
14.1.1. out of which ERDF (in EUR) (optional)	
14.1.2. out of which Cohesion Fund (in EUR) (optional)	
14.1.3. out of which ESF (in EUR) (optional)	
14.1.4. out of which EAFRD (in EUR) (optional)	
14.1.5. out of which EMFF (in EUR) (optional)	
15. Total amount of programme contributions paid to the financial instrument (in EUR)	29,114,159.00
15.1. out of which amount of ESI Funds contributions (in EUR)	8,590,395.00
15.1.1. out of which ERDF (in EUR)	8,590,395.00
15.1.2. out of which Cohesion Fund (in EUR)	
15.1.3. out of which ESF (in EUR)	
15.1.4. out of which EAFRD (in EUR)	
15.1.5. out of which EMFF (in EUR)	
15.2. out of which total amount of national co-financing (in EUR)	20,523,764.00
15.2.1. out of which total amount of national public funding (in EUR)	7,119,836.00
15.2.2. out of which total amount of national private funding (in EUR)	13,403,928.00
16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR)	
17. Total amount of management costs and fees paid out of programme contributions (in EUR)	1,678,944.00
17.1. out of which base remuneration (in EUR)	

17.2. out of which performance-based remuneration (in EUR)	
18. Capitalised management costs or fees pursuant to Article 42(2) of Regulation (EU) No 1303/2013 (relevant only for final report) (in EUR)	
19. Capitalised interest rate subsidies or guarantee fee subsidies pursuant to Article 42(1)(c) of Regulation (EU) No 1303/2013 (relevant to final report only) (in EUR)	
20. Amount of programme contributions for follow-on investments in final recipients pursuant to Article 42(3) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
<b>V. Total amount of support paid to final recipients, or to the benefit of final recipients, or committed in guarantee contracts by the financial instrument for investments in final recipients, by ESI Funds programme and priority or measure (Article 46(2)(e) of Regulation (EU) No 1303/2013)</b>	
<b>22. Name of financial product offered by the financial instrument</b>	<b>Proof of Concept</b>
22.1. Type of financial product offered by the financial instrument	Equity
24. Total amount of programme contributions committed in this loan, guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR)	21,944,556.00
24.1. out of which total amount of ESI Funds contributions (in EUR)	6,478,033.00
25. Total amount of programme contributions paid to final recipients through this loan, micro-loans, equity or other products, or, in the case of guarantee, committed for loans paid to final recipients, by product (in EUR)	21,944,556.00
25.1. out of which total amount of ESI Funds contributions (in EUR)	6,478,033.00
25.1.1. out of which ERDF (in EUR)	6,478,033.00
25.1.2. out of which Cohesion Fund (in EUR)	
25.1.3. out of which ESF (in EUR)	
25.1.4. out of which EAFRD (in EUR)	
25.1.5. out of which EMFF (in EUR)	
25.2. out of which total amount of national public co-financing (in EUR)	5,365,444.00
25.3. out of which total amount of national private co-financing (in EUR)	10,101,079.00
27. Number of loan/guarantee/equity or quasi-equity/other financial product contracts signed with final recipients, by product	64
28. Number of loan/guarantee/equity or quasi-equity/other financial product investments made in final recipients, by product	64
29. Number of financial recipients supported by the financial product	31
29.1. out of which large enterprises	
29.2. out of which SMEs	31
29.2.1. out of which microenterprises	
29.3. out of which individuals/natural persons	
29.4. out of which other type of final recipients supported	
29.4.1. description of other type of final recipients supported	
<b>38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)</b>	
38.3. Total amount of other contributions, outside the ESI Funds mobilised at the level of final recipients (in EUR)	15,466,523.00
38.3.1. out of which public contributions (EUR)	5,365,444.00

38.3.2. out of which private contributions (EUR)	10,101,079.00
38.3A. Contribution under EIB financial product mobilised at the level of final recipient (only for the instruments under Article 38(1)(c)) (in EUR)	
<b>39. Expected and achieved leverage effect, by reference to the funding agreement</b>	
39.1. Expected leverage effect for loan/guarantee/equity or quasi-equity investment/other financial product, by reference to the funding agreement, by product	6.55
39.2. Achieved leverage effect at the end of reporting year for loan/guarantee/equity or quasi-equity investment/other financial product, by product	3.02
39.3. Investment mobilised through ESIF financial instruments for loan/guarantee/equity and quasi-equity investment, by product (Optional)	
40. Value of investments and participations in equity (in EUR)	21,944,555.00
<b>VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)</b>	
32. Information whether the financial instrument was still operational at the end of the reporting year	Yes
32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up	
<b>VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)</b>	
35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR)	
36. Amounts repaid to the financial instrument attributable to support from ESI Funds by the end of the reporting year (in EUR)	
36.1. out of which capital repayments (in EUR)	
36.2. out of which gains, other earnings and yields (in EUR)	
37. Amounts of resources attributable to ESI Funds used in accordance with Article 44 and 43a	
37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR)	
37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR)	
37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the financial instrument resulting from negative interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in EUR)	
<b>VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)</b>	
<b>38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)</b>	



38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR)	29,119,982.00
38.1A. Contributions under EIB financial product committed in the funding agreement with the body implementing the financial instrument (only for the instruments under Article 38(1)(c)) (in EUR)	
38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR)	20,523,764.00
38.2.1. out of which public contributions (EUR)	7,119,836.00
38.2.2. out of which private contributions (EUR)	13,403,928.00
38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR)	
<b>IX. Contribution of the financial instrument to the achievement of the indicators of the priority or measure concerned (Article 46(2)(j) of Regulation (EU) No 1303/2013)</b>	
<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>CO05 - Productive investment: Number of new enterprises supported</b>
41.1. Target value of the output indicator	63.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	7.00
<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>CO01 - Productive investment: Number of enterprises receiving support</b>
41.1. Target value of the output indicator	233.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	72.00
<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>CO08 - Productive investment: Employment increase in supported enterprises</b>
41.1. Target value of the output indicator	411.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	333.00
<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>CO29 - Research, Innovation: Number of enterprises supported to introduce new to the firm products</b>
41.1. Target value of the output indicator	12.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	13.00
<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>CO28 - Research, Innovation: Number of enterprises supported to introduce new to the market products</b>
41.1. Target value of the output indicator	2.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	17.00

<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>CO03 - Productive investment: Number of enterprises receiving financial support other than grants</b>
41.1. Target value of the output indicator	151.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	31.00
<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>CO04 - Productive investment: Number of enterprises receiving non-financial support</b>
41.1. Target value of the output indicator	82.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	41.00
<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>CO07 - Productive investment: Private investment matching public support to enterprises (non-grants)</b>
41.1. Target value of the output indicator	26,904,146.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	42,553,915.00

<b>II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)</b>	
5. Name of the financial instrument	<b>Midlands Engine - Small Business Loans - East Midlands - PA3</b>
6. Official address/place of business of the financial instrument (name of the country and city)	Sherwood Rise, Nottingham, NG7 6JF
8. Type of the financial instrument	Fund of funds specific fund
8.2. Related Fund of Funds	Midlands Engine Investment Fund - PA3
8.1. Tailor-made or financial instruments complying with standard terms and conditions i.e. "off-the-shelf instruments"	Tailor-made
<b>9. Type of products provided by financial instrument: loans, micro-loans, guarantees, equity, or quasi-equity investments, other financial product or other support combined within the financial instrument pursuant to Article 37(7) of Regulation (EU) No 1303/2013</b>	
9.0.1. Loans ( $\geq$ EUR 25,000)	Yes
9.0.2. Micro-loans ( $<$ EUR 25,000 and provided to micro-enterprises) according to SEC/2011/1134 final	No
9.0.3. Guarantees	No
9.0.4. Equity	No
9.0.5. Quasi-equity	No
9.0.6. Other financial products	No
9.0.7. Other support combined with a financial instrument	No
9.1. Description of the other financial product	

9.2. Other support combined within the financial instrument: grant, interest rate subsidy, guarantee fee subsidy pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial institution	Fiduciary account
<b>III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)</b>	
<b>11. Body implementing the financial instrument</b>	
11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European Investment Bank; the European Investment Fund; international financial institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only)	Body governed by public or private law
11.1.1. Name of the body implementing the financial instrument	ELEM
11.1.2. Official address/place of business (country and town name) of the body implementing the financial instrument	Sherwood Rise, Nottingham, NG7 6JF
12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure	Selection in accordance with the provisions of the public procurement directive
12.1. Description of the other procedure of selecting the body implementing the financial instrument	
13. Date of signature of the funding agreement with the body implementing the financial instrument	22-Aug-2017
<b>IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)</b>	
14. Total amount of programme contributions committed in the funding agreement (in EUR)	16,000,000.00
14.1. out of which ESI Funds contributions (in EUR)	4,720,000.00
14.1.1. out of which ERDF (in EUR) (optional)	
14.1.2. out of which Cohesion Fund (in EUR) (optional)	
14.1.3. out of which ESF (in EUR) (optional)	
14.1.4. out of which EAFRD (in EUR) (optional)	
14.1.5. out of which EMFF (in EUR) (optional)	
15. Total amount of programme contributions paid to the financial instrument (in EUR)	13,304,757.00
15.1. out of which amount of ESI Funds contributions (in EUR)	4,720,000.00
15.1.1. out of which ERDF (in EUR)	4,720,000.00
15.1.2. out of which Cohesion Fund (in EUR)	
15.1.3. out of which ESF (in EUR)	

15.1.4. out of which EAFRD (in EUR)	
15.1.5. out of which EMFF (in EUR)	
15.2. out of which total amount of national co-financing (in EUR)	8,584,757.00
15.2.1. out of which total amount of national public funding (in EUR)	2,978,112.00
15.2.2. out of which total amount of national private funding (in EUR)	5,606,645.00
16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR)	
17. Total amount of management costs and fees paid out of programme contributions (in EUR)	1,305,846.00
17.1. out of which base remuneration (in EUR)	
17.2. out of which performance-based remuneration (in EUR)	
18. Capitalised management costs or fees pursuant to Article 42(2) of Regulation (EU) No 1303/2013 (relevant only for final report) (in EUR)	
19. Capitalised interest rate subsidies or guarantee fee subsidies pursuant to Article 42(1)(c) of Regulation (EU) No 1303/2013 (relevant to final report only) (in EUR)	
20. Amount of programme contributions for follow-on investments in final recipients pursuant to Article 42(3) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
<b>V. Total amount of support paid to final recipients, or to the benefit of final recipients, or committed in guarantee contracts by the financial instrument for investments in final recipients, by ESI Funds programme and priority or measure (Article 46(2)(e) of Regulation (EU) No 1303/2013)</b>	
<b>22. Name of financial product offered by the financial instrument</b>	<b><i>Small Business loans - East Midlands - PA3</i></b>
22.1. Type of financial product offered by the financial instrument	Loan
24. Total amount of programme contributions committed in this loan, guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR)	12,180,416.00
24.1. out of which total amount of ESI Funds contributions (in EUR)	3,595,659.00
25. Total amount of programme contributions paid to final recipients through this loan, micro-loans, equity or other products, or, in the case of guarantee, committed for loans paid to final recipients, by product (in EUR)	12,180,416.00
25.1. out of which total amount of ESI Funds contributions (in EUR)	3,595,659.00
25.1.1. out of which ERDF (in EUR)	3,595,659.00
25.1.2. out of which Cohesion Fund (in EUR)	
25.1.3. out of which ESF (in EUR)	
25.1.4. out of which EAFRD (in EUR)	
25.1.5. out of which EMFF (in EUR)	
25.2. out of which total amount of national public co-financing (in EUR)	2,978,112.00
25.3. out of which total amount of national private co-financing (in EUR)	5,606,645.00
27. Number of loan/guarantee/equity or quasi-equity/other financial product contracts signed with final recipients, by product	141
28. Number of loan/guarantee/equity or quasi-equity/other financial product investments made in final recipients, by product	141
29. Number of financial recipients supported by the financial product	97

29.1. out of which large enterprises	
29.2. out of which SMEs	97
29.2.1. out of which microenterprises	
29.3. out of which individuals/natural persons	
29.4. out of which other type of final recipients supported	
29.4.1. description of other type of final recipients supported	
33. Total number of disbursed loans defaulted or total number of guarantees provided and called due to the loan default	
34. Total amount of disbursed loans defaulted (in EUR) or total amount committed for guarantees provided and called due to loan default (in EUR)	
<b>38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)</b>	
38.3. Total amount of other contributions, outside the ESI Funds mobilised at the level of final recipients (in EUR)	8,584,757.00
38.3.1. out of which public contributions (EUR)	2,978,112.00
38.3.2. out of which private contributions (EUR)	5,606,645.00
38.3A. Contribution under EIB financial product mobilised at the level of final recipient (only for the instruments under Article 38(1)(c)) (in EUR)	
<b>39. Expected and achieved leverage effect, by reference to the funding agreement</b>	
39.1. Expected leverage effect for loan/guarantee/equity or quasi-equity investment/other financial product, by reference to the funding agreement, by product	6.55
39.2. Achieved leverage effect at the end of reporting year for loan/guarantee/equity or quasi-equity investment/other financial product, by product	2.91
39.3. Investment mobilised through ESIF financial instruments for loan/guarantee/equity and quasi-equity investment, by product (Optional)	
<b>VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)</b>	
32. Information whether the financial instrument was still operational at the end of the reporting year	Yes
32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up	
<b>VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)</b>	
35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR)	
36. Amounts repaid to the financial instrument attributable to support from ESI Funds by the end of the reporting year (in EUR)	
36.1. out of which capital repayments (in EUR)	
36.2. out of which gains, other earnings and yields (in EUR)	
37. Amounts of resources attributable to ESI Funds used in accordance with Article 44 and 43a	
37.1. out of which amounts paid for differentiated treatment of investors	

operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR)	
37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR)	
37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the financial instrument resulting from negative interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in EUR)	
<b>VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)</b>	
<b>38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)</b>	
38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR)	16,000,000.00
38.1A. Contributions under EIB financial product committed in the funding agreement with the body implementing the financial instrument (only for the instruments under Article 38(1)(c)) (in EUR)	
38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR)	8,584,757.00
38.2.1. out of which public contributions (EUR)	2,978,112.00
38.2.2. out of which private contributions (EUR)	5,606,645.00
38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR)	
<b>IX. Contribution of the financial instrument to the achievement of the indicators of the priority or measure concerned (Article 46(2)(j) of Regulation (EU) No 1303/2013)</b>	
<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>CO04 - Productive investment: Number of enterprises receiving non-financial support</b>
41.1. Target value of the output indicator	45.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	26.00
<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>CO08 - Productive investment: Employment increase in supported enterprises</b>
41.1. Target value of the output indicator	224.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	123.00
<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>CO05 - Productive investment: Number of new enterprises supported</b>
41.1. Target value of the output indicator	34.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	12.00

<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>CO28 - Research, Innovation: Number of enterprises supported to introduce new to the market products</b>
41.1. Target value of the output indicator	1.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	13.00
<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>CO03 - Productive investment: Number of enterprises receiving financial support other than grants</b>
41.1. Target value of the output indicator	82.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	97.00
<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>CO29 - Research, Innovation: Number of enterprises supported to introduce new to the firm products</b>
41.1. Target value of the output indicator	6.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	15.00
<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>CO01 - Productive investment: Number of enterprises receiving support</b>
41.1. Target value of the output indicator	127.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	123.00
<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>CO07 - Productive investment: Private investment matching public support to enterprises (non-grants)</b>
41.1. Target value of the output indicator	14,674,989.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	899,381.00

<b>II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)</b>	
5. Name of the financial instrument	<b>Midlands Engine - Small Business Loans - West Midlands - PA3</b>
6. Official address/place of business of the financial instrument (name of the country and city)	Wolverhampton Science Park, Technology Centre, Glaisher Dr, Wolverhampton WV10 9RU
8. Type of the financial instrument	Fund of funds specific fund

8.2. Related Fund of Funds	Midlands Engine Investment Fund - PA3
8.1. Tailor-made or financial instruments complying with standard terms and conditions i.e. "off-the-shelf instruments"	Tailor-made
<b>9. Type of products provided by financial instrument: loans, micro-loans, guarantees, equity, or quasi-equity investments, other financial product or other support combined within the financial instrument pursuant to Article 37(7) of Regulation (EU) No 1303/2013</b>	
9.0.1. Loans ( $\geq$ EUR 25,000)	Yes
9.0.2. Micro-loans ( $<$ EUR 25,000 and provided to micro-enterprises) according to SEC/2011/1134 final	No
9.0.3. Guarantees	No
9.0.4. Equity	No
9.0.5. Quasi-equity	No
9.0.6. Other financial products	No
9.0.7. Other support combined with a financial instrument	No
9.1. Description of the other financial product	
9.2. Other support combined within the financial instrument: grant, interest rate subsidy, guarantee fee subsidy pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial institution	Fiduciary account
<b>III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)</b>	
<b>11. Body implementing the financial instrument</b>	
11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European Investment Bank; the European Investment Fund; international financial institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only)	Body governed by public or private law
11.1.1. Name of the body implementing the financial instrument	BCRS
11.1.2. Official address/place of business (country and town name) of the body implementing the financial instrument	Wolverhampton Science Park, Technology Centre, Glaisher Dr, Wolverhampton WV10 9RU
12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure	Selection in accordance with the provisions of the public procurement directive
12.1. Description of the other procedure of selecting the body implementing the financial instrument	
13. Date of signature of the funding agreement with the body	22-Aug-2017



implementing the financial instrument	
<b>IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)</b>	
14. Total amount of programme contributions committed in the funding agreement (in EUR)	19,000,000.00
14.1. out of which ESI Funds contributions (in EUR)	5,605,000.00
14.1.1. out of which ERDF (in EUR) (optional)	
14.1.2. out of which Cohesion Fund (in EUR) (optional)	
14.1.3. out of which ESF (in EUR) (optional)	
14.1.4. out of which EAFRD (in EUR) (optional)	
14.1.5. out of which EMFF (in EUR) (optional)	
15. Total amount of programme contributions paid to the financial instrument (in EUR)	19,000,000.00
15.1. out of which amount of ESI Funds contributions (in EUR)	5,605,000.00
15.1.1. out of which ERDF (in EUR)	5,605,000.00
15.1.2. out of which Cohesion Fund (in EUR)	
15.1.3. out of which ESF (in EUR)	
15.1.4. out of which EAFRD (in EUR)	
15.1.5. out of which EMFF (in EUR)	
15.2. out of which total amount of national co-financing (in EUR)	13,395,000.00
15.2.1. out of which total amount of national public funding (in EUR)	4,685,649.00
15.2.2. out of which total amount of national private funding (in EUR)	8,709,351.00
16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR)	
17. Total amount of management costs and fees paid out of programme contributions (in EUR)	1,678,944.00
17.1. out of which base remuneration (in EUR)	
17.2. out of which performance-based remuneration (in EUR)	
18. Capitalised management costs or fees pursuant to Article 42(2) of Regulation (EU) No 1303/2013 (relevant only for final report) (in EUR)	
19. Capitalised interest rate subsidies or guarantee fee subsidies pursuant to Article 42(1)(c) of Regulation (EU) No 1303/2013 (relevant to final report only) (in EUR)	
20. Amount of programme contributions for follow-on investments in final recipients pursuant to Article 42(3) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
<b>V. Total amount of support paid to final recipients, or to the benefit of final recipients, or committed in guarantee contracts by the financial instrument for investments in final recipients, by ESI Funds programme and priority or measure (Article 46(2)(e) of Regulation (EU) No 1303/2013)</b>	
22. Name of financial product offered by the financial instrument	<i>Small Business Loans - West Midlands - PA3</i>
22.1. Type of financial product offered by the financial instrument	Loan
24. Total amount of programme contributions committed in this loan, guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR)	16,310,393.00

24.1. out of which total amount of ESI Funds contributions (in EUR)	4,814,828.00
25. Total amount of programme contributions paid to final recipients through this loan, micro-loans, equity or other products, or, in the case of guarantee, committed for loans paid to final recipients, by product (in EUR)	16,310,393.00
25.1. out of which total amount of ESI Funds contributions (in EUR)	4,814,828.00
25.1.1. out of which ERDF (in EUR)	4,814,828.00
25.1.2. out of which Cohesion Fund (in EUR)	
25.1.3. out of which ESF (in EUR)	
25.1.4. out of which EAFRD (in EUR)	
25.1.5. out of which EMFF (in EUR)	
25.2. out of which total amount of national public co-financing (in EUR)	3,987,891.00
25.3. out of which total amount of national private co-financing (in EUR)	7,507,674.00
27. Number of loan/guarantee/equity or quasi-equity/other financial product contracts signed with final recipients, by product	239
28. Number of loan/guarantee/equity or quasi-equity/other financial product investments made in final recipients, by product	239
29. Number of financial recipients supported by the financial product	211
29.1. out of which large enterprises	
29.2. out of which SMEs	211
29.2.1. out of which microenterprises	
29.3. out of which individuals/natural persons	
29.4. out of which other type of final recipients supported	
29.4.1. description of other type of final recipients supported	
33. Total number of disbursed loans defaulted or total number of guarantees provided and called due to the loan default	
34. Total amount of disbursed loans defaulted (in EUR) or total amount committed for guarantees provided and called due to loan default (in EUR)	
<b>38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)</b>	
38.3. Total amount of other contributions, outside the ESI Funds mobilised at the level of final recipients (in EUR)	11,495,565.00
38.3.1. out of which public contributions (EUR)	3,987,891.00
38.3.2. out of which private contributions (EUR)	7,507,674.00
38.3A. Contribution under EIB financial product mobilised at the level of final recipient (only for the instruments under Article 38(1)(c)) (in EUR)	
<b>39. Expected and achieved leverage effect, by reference to the funding agreement</b>	
39.1. Expected leverage effect for loan/guarantee/equity or quasi-equity investment/other financial product, by reference to the funding agreement, by product	6.55
39.2. Achieved leverage effect at the end of reporting year for loan/guarantee/equity or quasi-equity investment/other financial product, by product	2.94
39.3. Investment mobilised through ESIF financial instruments for loan/guarantee/equity and quasi-equity investment, by product (Optional)	

<b>VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)</b>	
32. Information whether the financial instrument was still operational at the end of the reporting year	Yes
32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up	
<b>VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)</b>	
35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR)	
36. Amounts repaid to the financial instrument attributable to support from ESI Funds by the end of the reporting year (in EUR)	
36.1. out of which capital repayments (in EUR)	
36.2. out of which gains, other earnings and yields (in EUR)	
37. Amounts of resources attributable to ESI Funds used in accordance with Article 44 and 43a	
37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR)	
37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR)	
37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the financial instrument resulting from negative interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in EUR)	
<b>VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)</b>	
<b>38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)</b>	
38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR)	13,449,053.00
38.1A. Contributions under EIB financial product committed in the funding agreement with the body implementing the financial instrument (only for the instruments under Article 38(1)(c)) (in EUR)	
38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR)	13,395,000.00
38.2.1. out of which public contributions (EUR)	4,685,649.00
38.2.2. out of which private contributions (EUR)	8,709,351.00
38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR)	
<b>IX. Contribution of the financial instrument to the achievement of the indicators of the priority or</b>	

<b>measure concerned (Article 46(2)(j) of Regulation (EU) No 1303/2013)</b>	
<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>CO28 - Research, Innovation: Number of enterprises supported to introduce new to the market products</b>
41.1. Target value of the output indicator	1.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	2.00
<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>CO08 - Productive investment: Employment increase in supported enterprises</b>
41.1. Target value of the output indicator	299.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	174.00
<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>CO29 - Research, Innovation: Number of enterprises supported to introduce new to the firm products</b>
41.1. Target value of the output indicator	9.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	2.00
<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>CO07 - Productive investment: Private investment matching public support to enterprises (non-grants)</b>
41.1. Target value of the output indicator	19,566,651.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	4,629,244.00
<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>CO04 - Productive investment: Number of enterprises receiving non-financial support</b>
41.1. Target value of the output indicator	60.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	1.00
<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>CO05 - Productive investment: Number of new enterprises supported</b>
41.1. Target value of the output indicator	46.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	26.00
<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>CO01 - Productive investment: Number of enterprises receiving support</b>
41.1. Target value of the output indicator	169.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	212.00

<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>CO03 - Productive investment: Number of enterprises receiving financial support other than grants</b>
41.1. Target value of the output indicator	110.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	211.00

## **II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)**

5. Name of the financial instrument	<b>Midlands Engine – Debt Fund – East Midlands (FSE) – PA3</b>
6. Official address/place of business of the financial instrument (name of the country and city)	City Gate, East Toll House Hill, Nottingham, NG1 5FS
8. Type of the financial instrument	Fund of funds specific fund
8.2. Related Fund of Funds	Midlands Engine Investment Fund - PA3
8.1. Tailor-made or financial instruments complying with standard terms and conditions i.e. "off-the-shelf instruments"	Tailor-made
<b>9. Type of products provided by financial instrument: loans, micro-loans, guarantees, equity, or quasi-equity investments, other financial product or other support combined within the financial instrument pursuant to Article 37(7) of Regulation (EU) No 1303/2013</b>	
9.0.1. Loans ( $\geq$ EUR 25,000)	Yes
9.0.2. Micro-loans ( $<$ EUR 25,000 and provided to micro-enterprises) according to SEC/2011/1134 final	No
9.0.3. Guarantees	No
9.0.4. Equity	No
9.0.5. Quasi-equity	No
9.0.6. Other financial products	No
9.0.7. Other support combined with a financial instrument	No
9.1. Description of the other financial product	
9.2. Other support combined within the financial instrument: grant, interest rate subsidy, guarantee fee subsidy pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial institution	Fiduciary account

## **III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)**

<b>11. Body implementing the financial instrument</b>	
11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European	Body governed by public or private law

Investment Bank; the European Investment Fund; international financial institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only)	
11.1.1. Name of the body implementing the financial instrument	FSE Fund Managers Limited
11.1.2. Official address/place of business (country and town name) of the body implementing the financial instrument	The Lewis Building , Bull Street, Birmingham, B4 6EQ
12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure	Selection in accordance with the provisions of the public procurement directive
12.1. Description of the other procedure of selecting the body implementing the financial instrument	
13. Date of signature of the funding agreement with the body implementing the financial instrument	22-Jan-2020
<b>IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)</b>	
14. Total amount of programme contributions committed in the funding agreement (in EUR)	43,064,763.00
14.1. out of which ESI Funds contributions (in EUR)	12,704,105.00
14.1.1. out of which ERDF (in EUR) (optional)	
14.1.2. out of which Cohesion Fund (in EUR) (optional)	
14.1.3. out of which ESF (in EUR) (optional)	
14.1.4. out of which EAFRD (in EUR) (optional)	
14.1.5. out of which EMFF (in EUR) (optional)	
15. Total amount of programme contributions paid to the financial instrument (in EUR)	43,056,150.00
15.1. out of which amount of ESI Funds contributions (in EUR)	12,704,105.00
15.1.1. out of which ERDF (in EUR)	12,704,105.00
15.1.2. out of which Cohesion Fund (in EUR)	
15.1.3. out of which ESF (in EUR)	
15.1.4. out of which EAFRD (in EUR)	
15.1.5. out of which EMFF (in EUR)	
15.2. out of which total amount of national co-financing (in EUR)	30,352,045.00
15.2.1. out of which total amount of national public funding (in EUR)	10,529,335.00
15.2.2. out of which total amount of national private funding (in EUR)	19,822,710.00
16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR)	
17. Total amount of management costs and fees paid out of programme contributions (in EUR)	1,305,846.00
17.1. out of which base remuneration (in EUR)	
17.2. out of which performance-based remuneration (in EUR)	
18. Capitalised management costs or fees pursuant to Article 42(2) of Regulation (EU) No 1303/2013 (relevant only for final report) (in EUR)	
19. Capitalised interest rate subsidies or guarantee fee subsidies pursuant to Article 42(1)(c) of Regulation (EU) No 1303/2013 (relevant to final report only) (in EUR)	

20. Amount of programme contributions for follow-on investments in final recipients pursuant to Article 42(3) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
<b>V. Total amount of support paid to final recipients, or to the benefit of final recipients, or committed in guarantee contracts by the financial instrument for investments in final recipients, by ESI Funds programme and priority or measure (Article 46(2)(e) of Regulation (EU) No 1303/2013)</b>	
<b>22. Name of financial product offered by the financial instrument</b>	<b>Debt Fund East Midlands - FSE PA3</b>
22.1. Type of financial product offered by the financial instrument	Loan
24. Total amount of programme contributions committed in this loan, guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR)	20,124,154.00
24.1. out of which total amount of ESI Funds contributions (in EUR)	5,940,650.00
25. Total amount of programme contributions paid to final recipients through this loan, micro-loans, equity or other products, or, in the case of guarantee, committed for loans paid to final recipients, by product (in EUR)	20,124,154.00
25.1. out of which total amount of ESI Funds contributions (in EUR)	5,940,650.00
25.1.1. out of which ERDF (in EUR)	5,940,650.00
25.1.2. out of which Cohesion Fund (in EUR)	
25.1.3. out of which ESF (in EUR)	
25.1.4. out of which EAFRD (in EUR)	
25.1.5. out of which EMFF (in EUR)	
25.2. out of which total amount of national public co-financing (in EUR)	4,920,356.00
25.3. out of which total amount of national private co-financing (in EUR)	9,263,148.00
27. Number of loan/guarantee/equity or quasi-equity/other financial product contracts signed with final recipients, by product	57
28. Number of loan/guarantee/equity or quasi-equity/other financial product investments made in final recipients, by product	57
29. Number of financial recipients supported by the financial product	49
29.1. out of which large enterprises	
29.2. out of which SMEs	49
29.2.1. out of which microenterprises	
29.3. out of which individuals/natural persons	
29.4. out of which other type of final recipients supported	
29.4.1. description of other type of final recipients supported	
33. Total number of disbursed loans defaulted or total number of guarantees provided and called due to the loan default	
34. Total amount of disbursed loans defaulted (in EUR) or total amount committed for guarantees provided and called due to loan default (in EUR)	
<b>38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)</b>	
38.3. Total amount of other contributions, outside the ESI Funds mobilised at the level of final recipients (in EUR)	14,183,504.00
38.3.1. out of which public contributions (EUR)	4,920,356.00

38.3.2. out of which private contributions (EUR)	9,263,148.00
38.3A. Contribution under EIB financial product mobilised at the level of final recipient (only for the instruments under Article 38(1)(c)) (in EUR)	
<b>39. Expected and achieved leverage effect, by reference to the funding agreement</b>	
39.1. Expected leverage effect for loan/guarantee/equity or quasi-equity investment/other financial product, by reference to the funding agreement, by product	0.00
39.2. Achieved leverage effect at the end of reporting year for loan/guarantee/equity or quasi-equity investment/other financial product, by product	3.09
39.3. Investment mobilised through ESIF financial instruments for loan/guarantee/equity and quasi-equity investment, by product (Optional)	
<b>VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)</b>	
32. Information whether the financial instrument was still operational at the end of the reporting year	Yes
32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up	
<b>VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)</b>	
35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR)	
36. Amounts repaid to the financial instrument attributable to support from ESI Funds by the end of the reporting year (in EUR)	
36.1. out of which capital repayments (in EUR)	
36.2. out of which gains, other earnings and yields (in EUR)	
37. Amounts of resources attributable to ESI Funds used in accordance with Article 44 and 43a	
37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR)	
37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR)	
37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the financial instrument resulting from negative interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in EUR)	
<b>VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)</b>	
<b>38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)</b>	
38.1. Total amount of other contributions, outside the ESI Funds	43,064,763.00



committed in the funding agreement with the body implementing the financial instrument (in EUR)	
38.1A. Contributions under EIB financial product committed in the funding agreement with the body implementing the financial instrument (only for the instruments under Article 38(1)(c)) (in EUR)	
38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR)	30,352,045.00
38.2.1. out of which public contributions (EUR)	10,529,335.00
38.2.2. out of which private contributions (EUR)	19,822,710.00
38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR)	
<b>IX. Contribution of the financial instrument to the achievement of the indicators of the priority or measure concerned (Article 46(2)(j) of Regulation (EU) No 1303/2013)</b>	
<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>CO07 - Productive investment: Private investment matching public support to enterprises (non-grants)</b>
41.1. Target value of the output indicator	166,058.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	7,726,830.00
<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>CO05 - Productive investment: Number of new enterprises supported</b>
41.1. Target value of the output indicator	1.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	1.00
<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>CO01 - Productive investment: Number of enterprises receiving support</b>
41.1. Target value of the output indicator	1.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	57.00
<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>CO28 - Research, Innovation: Number of enterprises supported to introduce new to the market products</b>
41.1. Target value of the output indicator	2.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	10.00
<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>CO29 - Research, Innovation: Number of enterprises supported to introduce new to the firm products</b>
41.1. Target value of the output indicator	2.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	3.00

<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>CO08 - Productive investment: Employment increase in supported enterprises</b>
41.1. Target value of the output indicator	7.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	373.00
<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>CO04 - Productive investment: Number of enterprises receiving non-financial support</b>
41.1. Target value of the output indicator	8.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	8.00
<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>CO03 - Productive investment: Number of enterprises receiving financial support other than grants</b>
41.1. Target value of the output indicator	6.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	49.00

<b>I. Identification of the programme and priority or measure from which support from the ESI Funds is provided (Article 46(2)(a) of Regulation (EU) No 1303/2013)</b>	
<b>1. Priority axes or measures supporting the financial instrument, including fund of funds, under the ESI Fund programme.</b>	
1.1. Priority axis supporting the financial instrument under the ESI Fund programme	4 - Supporting the Shift Towards a Low Carbon Economy in all Sectors
2. Name of ESI Fund(s) supporting the financial instrument under the priority axis or measure	ERDF
<b>3. Thematic objective(s) referred to in the first paragraph of Article 9 of Regulation (EU) No 1303/2013 supported by the financial instrument</b>	<b>04 - Supporting the shift towards a low-carbon economy in all sectors</b>
3.1. Amount of ESI Funds committed in funding agreements from the individual thematic objective chosen in field 3 (optional)	4,687,118.00
<b>4. other ESI Fund programmes providing contribution to the financial instrument</b>	
4.1. CCI number of each other ESI Fund programme providing contributions to the financial instrument	
30. Date of completion of the ex ante assessment	11-Nov-2016
<b>31. Selection of bodies implementing financial instrument</b>	
31.1. Has selection or designation process already been launched	Yes
<b>II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)</b>	
5. Name of the financial instrument	<b>Midlands Engine Investment Fund - PA4</b>
6. Official address/place of business of the financial	Steel City House, West Street, Sheffield, S1 2GQ

instrument (name of the country and city)	
<b>7. Implementation arrangements</b>	
7.1. Financial instruments set up at Union level, managed directly or indirectly by the Commission referred to in Article 38(1)(a) of Regulation (EU) No 1303/2013, supported from ESI Fund programme contributions	
7.1.1. Name of the Union-level financial instrument	
7.2. Financial instrument set up at national, regional, transnational or cross-border level, managed by or under the responsibility of the managing authority referred to in Article 38(1)(b), supported from ESI Fund programme contributions under point (a), (b), (c) and d) of Article 38(4) of Regulation (EU) No 1303/2013	Entrustment of implementation tasks, through the direct award of a contract
7.3. Financial instrument combining financial contribution from MA with EIB financial products under European Fund for Strategic Investment in accordance with Article 39a, referred to in Article 38(1)(c)	
8. Type of the financial instrument	Fund of funds
10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial institution	Fiduciary account
<b>III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)</b>	
<b>11. Body implementing the financial instrument</b>	
11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European Investment Bank; the European Investment Fund; international financial institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only)	Body governed by public or private law
11.1.1. Name of the body implementing the financial instrument	The Midlands Engine Investment Fund
11.1.2. Official address/place of business (country and town name) of the body implementing the financial instrument	Foundry House, 3 Millsands, Sheffield, Sheffield, S3 8NH

12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure	Others
12.1. Description of the other procedure of selecting the body implementing the financial instrument	Entrustment of a publicly owned company, via inter-administrative co-operation between the managing authority and The Secretary of State for Business, Energy and Industrial Strategy (BEIS) in accordance with Article 38 (1)(b) & 38 4(b) (iii) of the CPR, and s3.6.2 of Commission Notice 2016/C 276/01
13. Date of signature of the funding agreement with the body implementing the financial instrument	21-Nov-2016
<b>IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)</b>	
14. Total amount of programme contributions committed in the funding agreement (in EUR)	15,888,369.00
14.1. out of which ESI Funds contributions (in EUR)	4,687,118.00
14.1.1. out of which ERDF (in EUR) (optional)	
14.1.2. out of which Cohesion Fund (in EUR) (optional)	
14.1.3. out of which ESF (in EUR) (optional)	
14.1.4. out of which EAFRD (in EUR) (optional)	
14.1.5. out of which EMFF (in EUR) (optional)	
15. Total amount of programme contributions paid to the financial instrument (in EUR)	15,888,369.00
15.1. out of which amount of ESI Funds contributions (in EUR)	4,687,069.00
15.1.1. out of which ERDF (in EUR)	4,687,069.00
15.1.2. out of which Cohesion Fund (in EUR)	
15.1.3. out of which ESF (in EUR)	
15.1.4. out of which EAFRD (in EUR)	
15.1.5. out of which EMFF (in EUR)	
15.2. out of which total amount of national co-financing (in EUR)	11,201,300.00
15.2.1. out of which total amount of national public funding (in EUR)	3,888,412.00
15.2.2. out of which total amount of national private funding (in EUR)	7,312,888.00
16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR)	
17. Total amount of management costs and fees paid out of programme contributions (in EUR)	747,071.00
17.1. out of which base remuneration (in EUR)	
17.2. out of which performance-based remuneration (in EUR)	
21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of	

Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
<b>VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)</b>	
32. Information whether the financial instrument was still operational at the end of the reporting year	Yes
32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up	
<b>VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)</b>	
35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR)	6,456.00
37. Amounts of resources attributable to ESI Funds used in accordance with Article 44 and 43a	
37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR)	
37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR)	
37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the financial instrument resulting from negative interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in EUR)	
<b>VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)</b>	
<b>38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)</b>	
38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR)	15,888,369.00
38.1A. Contributions under EIB financial product committed in the funding agreement with the body implementing the financial instrument (only for the instruments under Article 38(1)(c)) (in EUR)	
38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR)	11,201,300.00

38.2.1. out of which public contributions (EUR)	3,888,412.00
38.2.2. out of which private contributions (EUR)	7,312,888.00
38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR)	

**II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)**

5. Name of the financial instrument	<b>Midlands Engine - Debt Fund - East Midlands - PA4</b>
6. Official address/place of business of the financial instrument (name of the country and city)	City Gate East Toll House Hill, Nottingham, NG1 5FS
8. Type of the financial instrument	Fund of funds specific fund
8.2. Related Fund of Funds	Midlands Engine Investment Fund - PA4
8.1. Tailor-made or financial instruments complying with standard terms and conditions i.e. "off-the-shelf instruments"	Tailor-made
<b>9. Type of products provided by financial instrument: loans, micro-loans, guarantees, equity, or quasi-equity investments, other financial product or other support combined within the financial instrument pursuant to Article 37(7) of Regulation (EU) No 1303/2013</b>	
9.0.1. Loans ( $\geq$ EUR 25,000)	Yes
9.0.2. Micro-loans ( $<$ EUR 25,000 and provided to micro-enterprises) according to SEC/2011/1134 final	No
9.0.3. Guarantees	No
9.0.4. Equity	No
9.0.5. Quasi-equity	No
9.0.6. Other financial products	No
9.0.7. Other support combined with a financial instrument	No
9.1. Description of the other financial product	
9.2. Other support combined within the financial instrument: grant, interest rate subsidy, guarantee fee subsidy pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial institution	Fiduciary account

**III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)**

<b>11. Body implementing the financial instrument</b>	
11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European Investment Bank; the European Investment Fund; international financial institution in which a Member State is a shareholder; a publicly-owned	Body governed by public or private law

bank or institution, established as a legal entity carrying out financial activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only)	
11.1.1. Name of the body implementing the financial instrument	Maven
11.1.2. Official address/place of business (country and town name) of the body implementing the financial instrument	City Gate East Toll House Hill, Nottingham, NG1 5FS
12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure	Selection in accordance with the provisions of the public procurement directive
12.1. Description of the other procedure of selecting the body implementing the financial instrument	
13. Date of signature of the funding agreement with the body implementing the financial instrument	22-Aug-2017
<b>IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)</b>	
14. Total amount of programme contributions committed in the funding agreement (in EUR)	1,153,005.00
14.1. out of which ESI Funds contributions (in EUR)	340,136.00
14.1.1. out of which ERDF (in EUR) (optional)	
14.1.2. out of which Cohesion Fund (in EUR) (optional)	
14.1.3. out of which ESF (in EUR) (optional)	
14.1.4. out of which EAFRD (in EUR) (optional)	
14.1.5. out of which EMFF (in EUR) (optional)	
15. Total amount of programme contributions paid to the financial instrument (in EUR)	1,153,005.00
15.1. out of which amount of ESI Funds contributions (in EUR)	340,136.00
15.1.1. out of which ERDF (in EUR)	340,136.00
15.1.2. out of which Cohesion Fund (in EUR)	
15.1.3. out of which ESF (in EUR)	
15.1.4. out of which EAFRD (in EUR)	
15.1.5. out of which EMFF (in EUR)	
15.2. out of which total amount of national co-financing (in EUR)	812,869.00
15.2.1. out of which total amount of national public funding (in EUR)	281,910.00
15.2.2. out of which total amount of national private funding (in EUR)	530,959.00
16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR)	
17. Total amount of management costs and fees paid out of programme contributions (in EUR)	39,879.00
17.1. out of which base remuneration (in EUR)	
17.2. out of which performance-based remuneration (in EUR)	
18. Capitalised management costs or fees pursuant to Article 42(2) of Regulation (EU) No 1303/2013 (relevant only for final report) (in EUR)	
19. Capitalised interest rate subsidies or guarantee fee subsidies pursuant to Article 42(1)(c) of Regulation (EU) No 1303/2013 (relevant to final report only) (in EUR)	
20. Amount of programme contributions for follow-on investments in final recipients pursuant to Article 42(3) of Regulation (EU) No 1303/2013	

(relevant for final report only) (in EUR)	
21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
<b>V. Total amount of support paid to final recipients, or to the benefit of final recipients, or committed in guarantee contracts by the financial instrument for investments in final recipients, by ESI Funds programme and priority or measure (Article 46(2)(e) of Regulation (EU) No 1303/2013)</b>	
<b>22. Name of financial product offered by the financial instrument</b>	<b>Debt Fund - East Midlands - PA4</b>
22.1. Type of financial product offered by the financial instrument	Loan
24. Total amount of programme contributions committed in this loan, guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR)	1,153,005.00
24.1. out of which total amount of ESI Funds contributions (in EUR)	340,136.00
25. Total amount of programme contributions paid to final recipients through this loan, micro-loans, equity or other products, or, in the case of guarantee, committed for loans paid to final recipients, by product (in EUR)	1,153,005.00
25.1. out of which total amount of ESI Funds contributions (in EUR)	340,136.00
25.1.1. out of which ERDF (in EUR)	340,136.00
25.1.2. out of which Cohesion Fund (in EUR)	
25.1.3. out of which ESF (in EUR)	
25.1.4. out of which EAFRD (in EUR)	
25.1.5. out of which EMFF (in EUR)	
25.2. out of which total amount of national public co-financing (in EUR)	281,910.00
25.3. out of which total amount of national private co-financing (in EUR)	530,959.00
27. Number of loan/guarantee/equity or quasi-equity/other financial product contracts signed with final recipients, by product	7
28. Number of loan/guarantee/equity or quasi-equity/other financial product investments made in final recipients, by product	7
29. Number of financial recipients supported by the financial product	5
29.1. out of which large enterprises	
29.2. out of which SMEs	5
29.2.1. out of which microenterprises	
29.3. out of which individuals/natural persons	
29.4. out of which other type of final recipients supported	
29.4.1. description of other type of final recipients supported	
33. Total number of disbursed loans defaulted or total number of guarantees provided and called due to the loan default	
34. Total amount of disbursed loans defaulted (in EUR) or total amount committed for guarantees provided and called due to loan default (in EUR)	
<b>38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)</b>	
38.3. Total amount of other contributions, outside the ESI Funds mobilised at the level of final recipients (in EUR)	812,869.00
38.3.1. out of which public contributions (EUR)	281,910.00
38.3.2. out of which private contributions (EUR)	530,959.00
38.3A. Contribution under EIB financial product mobilised at the level of final recipient (only for the instruments under Article 38(1)(c)) (in EUR)	



<b>39. Expected and achieved leverage effect, by reference to the funding agreement</b>	
39.1. Expected leverage effect for loan/guarantee/equity or quasi-equity investment/other financial product, by reference to the funding agreement, by product	6.55
39.2. Achieved leverage effect at the end of reporting year for loan/guarantee/equity or quasi-equity investment/other financial product, by product	3.13
39.3. Investment mobilised through ESIF financial instruments for loan/guarantee/equity and quasi-equity investment, by product (Optional)	
<b>VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)</b>	
32. Information whether the financial instrument was still operational at the end of the reporting year	Yes
32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up	
<b>VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)</b>	
35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR)	
36. Amounts repaid to the financial instrument attributable to support from ESI Funds by the end of the reporting year (in EUR)	
36.1. out of which capital repayments (in EUR)	
36.2. out of which gains, other earnings and yields (in EUR)	
37. Amounts of resources attributable to ESI Funds used in accordance with Article 44 and 43a	
37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR)	
37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR)	
37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the financial instrument resulting from negative interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in EUR)	
<b>VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)</b>	
<b>38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)</b>	
38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR)	1,153,005.00
38.1A. Contributions under EIB financial product committed in the	

funding agreement with the body implementing the financial instrument (only for the instruments under Article 38(1)(c)) (in EUR)	
38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR)	812,869.00
38.2.1. out of which public contributions (EUR)	281,910.00
38.2.2. out of which private contributions (EUR)	530,959.00
38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR)	
<b>IX. Contribution of the financial instrument to the achievement of the indicators of the priority or measure concerned (Article 46(2)(j) of Regulation (EU) No 1303/2013)</b>	
<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>CO01 - Productive investment: Number of enterprises receiving support</b>
41.1. Target value of the output indicator	22.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	6.00
<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>CO29 - Research, Innovation: Number of enterprises supported to introduce new to the firm products</b>
41.1. Target value of the output indicator	1.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	0.00
<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>CO05 - Productive investment: Number of new enterprises supported</b>
41.1. Target value of the output indicator	6.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	0.00

<b>II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)</b>	
5. Name of the financial instrument	<b>Midlands Engine - Debt Fund - West Midlands - PA4</b>
6. Official address/place of business of the financial instrument (name of the country and city)	City Gate East Toll House Hill, Nottingham, NG1 5FS
8. Type of the financial instrument	Fund of funds specific fund
8.2. Related Fund of Funds	Midlands Engine Investment Fund - PA4
8.1. Tailor-made or financial instruments complying with standard terms and conditions i.e. "off-the-shelf instruments"	Tailor-made
<b>9. Type of products provided by financial instrument: loans, micro-loans, guarantees, equity, or quasi-equity investments, other financial product or other support combined within the financial instrument pursuant to Article 37(7) of Regulation (EU) No 1303/2013</b>	
9.0.1. Loans ( $\geq$ EUR 25,000)	Yes

9.0.2. Micro-loans (< EUR 25,000 and provided to micro-enterprises) according to SEC/2011/1134 final	No
9.0.3. Guarantees	No
9.0.4. Equity	No
9.0.5. Quasi-equity	No
9.0.6. Other financial products	No
9.0.7. Other support combined with a financial instrument	No
9.1. Description of the other financial product	
9.2. Other support combined within the financial instrument: grant, interest rate subsidy, guarantee fee subsidy pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial institution	Fiduciary account
<b>III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)</b>	
<b>11. Body implementing the financial instrument</b>	
11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European Investment Bank; the European Investment Fund; international financial institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only)	Body governed by public or private law
11.1.1. Name of the body implementing the financial instrument	Maven
11.1.2. Official address/place of business (country and town name) of the body implementing the financial instrument	City Gate East Toll House Hill, Nottingham, NG1 5FS
12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure	Selection in accordance with the provisions of the public procurement directive
12.1. Description of the other procedure of selecting the body implementing the financial instrument	
13. Date of signature of the funding agreement with the body implementing the financial instrument	22-Aug-2017
<b>IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)</b>	
14. Total amount of programme contributions committed in the funding agreement (in EUR)	7,392,777.00
14.1. out of which ESI Funds contributions (in EUR)	2,180,869.00
14.1.1. out of which ERDF (in EUR) (optional)	
14.1.2. out of which Cohesion Fund (in EUR) (optional)	
14.1.3. out of which ESF (in EUR) (optional)	

14.1.4. out of which EAFRD (in EUR) (optional)	
14.1.5. out of which EMFF (in EUR) (optional)	
15. Total amount of programme contributions paid to the financial instrument (in EUR)	7,392,777.00
15.1. out of which amount of ESI Funds contributions (in EUR)	2,180,869.00
15.1.1. out of which ERDF (in EUR)	2,180,869.00
15.1.2. out of which Cohesion Fund (in EUR)	
15.1.3. out of which ESF (in EUR)	
15.1.4. out of which EAFRD (in EUR)	
15.1.5. out of which EMFF (in EUR)	
15.2. out of which total amount of national co-financing (in EUR)	5,211,908.00
15.2.1. out of which total amount of national public funding (in EUR)	1,811,240.00
15.2.2. out of which total amount of national private funding (in EUR)	3,400,668.00
16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR)	
17. Total amount of management costs and fees paid out of programme contributions (in EUR)	182,520.00
17.1. out of which base remuneration (in EUR)	
17.2. out of which performance-based remuneration (in EUR)	
18. Capitalised management costs or fees pursuant to Article 42(2) of Regulation (EU) No 1303/2013 (relevant only for final report) (in EUR)	
19. Capitalised interest rate subsidies or guarantee fee subsidies pursuant to Article 42(1)(c) of Regulation (EU) No 1303/2013 (relevant to final report only) (in EUR)	
20. Amount of programme contributions for follow-on investments in final recipients pursuant to Article 42(3) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
<b>V. Total amount of support paid to final recipients, or to the benefit of final recipients, or committed in guarantee contracts by the financial instrument for investments in final recipients, by ESI Funds programme and priority or measure (Article 46(2)(e) of Regulation (EU) No 1303/2013)</b>	
<b>22. Name of financial product offered by the financial instrument</b>	<b>Debt Fund - West Midlands - PA4</b>
22.1. Type of financial product offered by the financial instrument	Loan
24. Total amount of programme contributions committed in this loan, guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR)	7,392,777.00
24.1. out of which total amount of ESI Funds contributions (in EUR)	2,180,869.00
25. Total amount of programme contributions paid to final recipients through this loan, micro-loans, equity or other products, or, in the case of guarantee, committed for loans paid to final recipients, by product (in EUR)	7,392,777.00
25.1. out of which total amount of ESI Funds contributions (in EUR)	2,180,869.00
25.1.1. out of which ERDF (in EUR)	2,180,869.00
25.1.2. out of which Cohesion Fund (in EUR)	
25.1.3. out of which ESF (in EUR)	
25.1.4. out of which EAFRD (in EUR)	

25.1.5. out of which EMFF (in EUR)	
25.2. out of which total amount of national public co-financing (in EUR)	1,811,240.00
25.3. out of which total amount of national private co-financing (in EUR)	3,400,668.00
27. Number of loan/guarantee/equity or quasi-equity/other financial product contracts signed with final recipients, by product	13
28. Number of loan/guarantee/equity or quasi-equity/other financial product investments made in final recipients, by product	13
29. Number of financial recipients supported by the financial product	7
29.1. out of which large enterprises	
29.2. out of which SMEs	7
29.2.1. out of which microenterprises	
29.3. out of which individuals/natural persons	
29.4. out of which other type of final recipients supported	
29.4.1. description of other type of final recipients supported	
33. Total number of disbursed loans defaulted or total number of guarantees provided and called due to the loan default	
34. Total amount of disbursed loans defaulted (in EUR) or total amount committed for guarantees provided and called due to loan default (in EUR)	
<b>38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)</b>	
38.3. Total amount of other contributions, outside the ESI Funds mobilised at the level of final recipients (in EUR)	5,211,908.00
38.3.1. out of which public contributions (EUR)	1,811,240.00
38.3.2. out of which private contributions (EUR)	3,400,668.00
38.3A. Contribution under EIB financial product mobilised at the level of final recipient (only for the instruments under Article 38(1)(c)) (in EUR)	
<b>39. Expected and achieved leverage effect, by reference to the funding agreement</b>	
39.1. Expected leverage effect for loan/guarantee/equity or quasi-equity investment/other financial product, by reference to the funding agreement, by product	6.55
39.2. Achieved leverage effect at the end of reporting year for loan/guarantee/equity or quasi-equity investment/other financial product, by product	3.16
39.3. Investment mobilised through ESIF financial instruments for loan/guarantee/equity and quasi-equity investment, by product (Optional)	
<b>VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)</b>	
32. Information whether the financial instrument was still operational at the end of the reporting year	Yes
32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up	
<b>VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)</b>	
35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR)	

36. Amounts repaid to the financial instrument attributable to support from ESI Funds by the end of the reporting year (in EUR)	
36.1. out of which capital repayments (in EUR)	
36.2. out of which gains, other earnings and yields (in EUR)	
37. Amounts of resources attributable to ESI Funds used in accordance with Article 44 and 43a	
37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR)	
37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR)	
37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the financial instrument resulting from negative interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in EUR)	
<b>VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)</b>	
<b>38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)</b>	
38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR)	7,392,777.00
38.1A. Contributions under EIB financial product committed in the funding agreement with the body implementing the financial instrument (only for the instruments under Article 38(1)(c)) (in EUR)	
38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR)	5,211,908.00
38.2.1. out of which public contributions (EUR)	1,811,240.00
38.2.2. out of which private contributions (EUR)	3,400,668.00
38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR)	
<b>IX. Contribution of the financial instrument to the achievement of the indicators of the priority or measure concerned (Article 46(2)(j) of Regulation (EU) No 1303/2013)</b>	
<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>CO29 - Research, Innovation: Number of enterprises supported to introduce new to the firm products</b>
41.1. Target value of the output indicator	1.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	2.00
<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>CO05 - Productive investment: Number of new enterprises supported</b>
41.1. Target value of the output indicator	8.00
41.2. Value achieved by the financial instrument in relation to the target	3.00

value of the output indicator	
<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>CO01 - Productive investment: Number of enterprises receiving support</b>
41.1. Target value of the output indicator	28.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	7.00

<b>II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)</b>	
5. Name of the financial instrument	<b>Midlands Engine - Equity - East Midlands - PA4</b>
6. Official address/place of business of the financial instrument (name of the country and city)	14 Park Row Nottingham NG1 6GR
8. Type of the financial instrument	Fund of funds specific fund
8.2. Related Fund of Funds	Midlands Engine Investment Fund - PA4
8.1. Tailor-made or financial instruments complying with standard terms and conditions i.e. "off-the-shelf instruments"	Tailor-made
<b>9. Type of products provided by financial instrument: loans, micro-loans, guarantees, equity, or quasi-equity investments, other financial product or other support combined within the financial instrument pursuant to Article 37(7) of Regulation (EU) No 1303/2013</b>	
9.0.1. Loans ( $\geq$ EUR 25,000)	No
9.0.2. Micro-loans ( $<$ EUR 25,000 and provided to micro-enterprises) according to SEC/2011/1134 final	No
9.0.3. Guarantees	No
9.0.4. Equity	Yes
9.0.5. Quasi-equity	Yes
9.0.6. Other financial products	No
9.0.7. Other support combined with a financial instrument	No
9.1. Description of the other financial product	
9.2. Other support combined within the financial instrument: grant, interest rate subsidy, guarantee fee subsidy pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial institution	Fiduciary account
<b>III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)</b>	
<b>11. Body implementing the financial instrument</b>	
11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European	Body governed by public or private law

Investment Bank; the European Investment Fund; international financial institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only)	
11.1.1. Name of the body implementing the financial instrument	Foresight
11.1.2. Official address/place of business (country and town name) of the body implementing the financial instrument	14 Park Row Nottingham NG1 6GR
12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure	Selection in accordance with the provisions of the public procurement directive
12.1. Description of the other procedure of selecting the body implementing the financial instrument	
13. Date of signature of the funding agreement with the body implementing the financial instrument	22-Aug-2017
<b>IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)</b>	
14. Total amount of programme contributions committed in the funding agreement (in EUR)	4,556,894.00
14.1. out of which ESI Funds contributions (in EUR)	1,344,284.00
14.1.1. out of which ERDF (in EUR) (optional)	
14.1.2. out of which Cohesion Fund (in EUR) (optional)	
14.1.3. out of which ESF (in EUR) (optional)	
14.1.4. out of which EAFRD (in EUR) (optional)	
14.1.5. out of which EMFF (in EUR) (optional)	
15. Total amount of programme contributions paid to the financial instrument (in EUR)	4,556,894.00
15.1. out of which amount of ESI Funds contributions (in EUR)	1,344,284.00
15.1.1. out of which ERDF (in EUR)	1,344,284.00
15.1.2. out of which Cohesion Fund (in EUR)	
15.1.3. out of which ESF (in EUR)	
15.1.4. out of which EAFRD (in EUR)	
15.1.5. out of which EMFF (in EUR)	
15.2. out of which total amount of national co-financing (in EUR)	3,212,610.00
15.2.1. out of which total amount of national public funding (in EUR)	1,114,161.00
15.2.2. out of which total amount of national private funding (in EUR)	2,098,449.00
16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR)	
17. Total amount of management costs and fees paid out of programme contributions (in EUR)	424,860.00
17.1. out of which base remuneration (in EUR)	
17.2. out of which performance-based remuneration (in EUR)	
18. Capitalised management costs or fees pursuant to Article 42(2) of Regulation (EU) No 1303/2013 (relevant only for final report) (in EUR)	
19. Capitalised interest rate subsidies or guarantee fee subsidies pursuant to Article 42(1)(c) of Regulation (EU) No 1303/2013 (relevant to final report only) (in EUR)	



20. Amount of programme contributions for follow-on investments in final recipients pursuant to Article 42(3) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
<b>V. Total amount of support paid to final recipients, or to the benefit of final recipients, or committed in guarantee contracts by the financial instrument for investments in final recipients, by ESI Funds programme and priority or measure (Article 46(2)(e) of Regulation (EU) No 1303/2013)</b>	
<b>22. Name of financial product offered by the financial instrument</b>	<b>Equity Fund - East Midlands - PA4</b>
22.1. Type of financial product offered by the financial instrument	Equity
24. Total amount of programme contributions committed in this loan, guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR)	4,556,894.00
24.1. out of which total amount of ESI Funds contributions (in EUR)	1,344,284.00
25. Total amount of programme contributions paid to final recipients through this loan, micro-loans, equity or other products, or, in the case of guarantee, committed for loans paid to final recipients, by product (in EUR)	4,556,894.00
25.1. out of which total amount of ESI Funds contributions (in EUR)	1,344,284.00
25.1.1. out of which ERDF (in EUR)	1,344,284.00
25.1.2. out of which Cohesion Fund (in EUR)	
25.1.3. out of which ESF (in EUR)	
25.1.4. out of which EAFRD (in EUR)	
25.1.5. out of which EMFF (in EUR)	
25.2. out of which total amount of national public co-financing (in EUR)	1,114,161.00
25.3. out of which total amount of national private co-financing (in EUR)	2,098,449.00
27. Number of loan/guarantee/equity or quasi-equity/other financial product contracts signed with final recipients, by product	11
28. Number of loan/guarantee/equity or quasi-equity/other financial product investments made in final recipients, by product	11
29. Number of financial recipients supported by the financial product	3
29.1. out of which large enterprises	
29.2. out of which SMEs	3
29.2.1. out of which microenterprises	
29.3. out of which individuals/natural persons	
29.4. out of which other type of final recipients supported	
29.4.1. description of other type of final recipients supported	
<b>38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)</b>	
38.3. Total amount of other contributions, outside the ESI Funds mobilised at the level of final recipients (in EUR)	3,212,610.00
38.3.1. out of which public contributions (EUR)	1,114,161.00
38.3.2. out of which private contributions (EUR)	2,098,449.00
38.3A. Contribution under EIB financial product mobilised at the level of final recipient (only for the instruments under Article 38(1)(c)) (in EUR)	
<b>39. Expected and achieved leverage effect, by reference to the funding agreement</b>	
39.1. Expected leverage effect for loan/guarantee/equity or quasi-equity	4.85

investment/other financial product, by reference to the funding agreement, by product	
39.2. Achieved leverage effect at the end of reporting year for loan/guarantee/equity or quasi-equity investment/other financial product, by product	2.97
39.3. Investment mobilised through ESIF financial instruments for loan/guarantee/equity and quasi-equity investment, by product (Optional)	
40. Value of investments and participations in equity (in EUR)	4,556,894.00
<b>VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)</b>	
32. Information whether the financial instrument was still operational at the end of the reporting year	Yes
32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up	
<b>VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)</b>	
35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR)	
36. Amounts repaid to the financial instrument attributable to support from ESI Funds by the end of the reporting year (in EUR)	
36.1. out of which capital repayments (in EUR)	
36.2. out of which gains, other earnings and yields (in EUR)	
37. Amounts of resources attributable to ESI Funds used in accordance with Article 44 and 43a	
37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR)	
37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR)	
37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the financial instrument resulting from negative interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in EUR)	
<b>VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)</b>	
<b>38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)</b>	
38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR)	4,556,894.00
38.1A. Contributions under EIB financial product committed in the funding agreement with the body implementing the financial instrument	

(only for the instruments under Article 38(1)(c)) (in EUR)	
38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR)	3,212,610.00
38.2.1. out of which public contributions (EUR)	1,114,161.00
38.2.2. out of which private contributions (EUR)	2,098,449.00
38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR)	
<b>IX. Contribution of the financial instrument to the achievement of the indicators of the priority or measure concerned (Article 46(2)(j) of Regulation (EU) No 1303/2013)</b>	
<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>CO29 - Research, Innovation: Number of enterprises supported to introduce new to the firm products</b>
41.1. Target value of the output indicator	1.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	2.00
<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>CO05 - Productive investment: Number of new enterprises supported</b>
41.1. Target value of the output indicator	5.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	0.00
<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>CO01 - Productive investment: Number of enterprises receiving support</b>
41.1. Target value of the output indicator	19.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	3.00

<b>II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)</b>	
5. Name of the financial instrument	<b>Midlands Engine - Proof of Concept - PA4</b>
6. Official address/place of business of the financial instrument (name of the country and city)	Forward House, 17 High Street, Henley in Arden B95 5AA
8. Type of the financial instrument	Fund of funds specific fund
8.2. Related Fund of Funds	Midlands Engine Investment Fund - PA4
8.1. Tailor-made or financial instruments complying with standard terms and conditions i.e. "off-the-shelf instruments"	Tailor-made
<b>9. Type of products provided by financial instrument: loans, micro-loans, guarantees, equity, or quasi-equity investments, other financial product or other support combined within the financial instrument pursuant to Article 37(7) of Regulation (EU) No 1303/2013</b>	
9.0.1. Loans ( $\geq$ EUR 25,000)	No
9.0.2. Micro-loans ( $<$ EUR 25,000 and provided to micro-enterprises)	No

according to SEC/2011/1134 final	
9.0.3. Guarantees	No
9.0.4. Equity	Yes
9.0.5. Quasi-equity	No
9.0.6. Other financial products	No
9.0.7. Other support combined with a financial instrument	No
9.1. Description of the other financial product	
9.2. Other support combined within the financial instrument: grant, interest rate subsidy, guarantee fee subsidy pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial institution	Fiduciary account
<b>III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)</b>	
<b>11. Body implementing the financial instrument</b>	
11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European Investment Bank; the European Investment Fund; international financial institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only)	Body governed by public or private law
11.1.1. Name of the body implementing the financial instrument	Mercia
11.1.2. Official address/place of business (country and town name) of the body implementing the financial instrument	Forward House, 17 High Street, Henley in Arden B95 5AA
12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure	Selection in accordance with the provisions of the public procurement directive
12.1. Description of the other procedure of selecting the body implementing the financial instrument	
13. Date of signature of the funding agreement with the body implementing the financial instrument	22-Aug-2017
<b>IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)</b>	
14. Total amount of programme contributions committed in the funding agreement (in EUR)	1,500,000.00
14.1. out of which ESI Funds contributions (in EUR)	442,500.00
14.1.1. out of which ERDF (in EUR) (optional)	
14.1.2. out of which Cohesion Fund (in EUR) (optional)	
14.1.3. out of which ESF (in EUR) (optional)	

14.1.4. out of which EAFRD (in EUR) (optional)	
14.1.5. out of which EMFF (in EUR) (optional)	
15. Total amount of programme contributions paid to the financial instrument (in EUR)	1,500,000.00
15.1. out of which amount of ESI Funds contributions (in EUR)	442,500.00
15.1.1. out of which ERDF (in EUR)	442,500.00
15.1.2. out of which Cohesion Fund (in EUR)	
15.1.3. out of which ESF (in EUR)	
15.1.4. out of which EAFRD (in EUR)	
15.1.5. out of which EMFF (in EUR)	
15.2. out of which total amount of national co-financing (in EUR)	1,057,500.00
15.2.1. out of which total amount of national public funding (in EUR)	366,750.00
15.2.2. out of which total amount of national private funding (in EUR)	690,750.00
16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR)	
17. Total amount of management costs and fees paid out of programme contributions (in EUR)	34,615.00
17.1. out of which base remuneration (in EUR)	
17.2. out of which performance-based remuneration (in EUR)	
18. Capitalised management costs or fees pursuant to Article 42(2) of Regulation (EU) No 1303/2013 (relevant only for final report) (in EUR)	
19. Capitalised interest rate subsidies or guarantee fee subsidies pursuant to Article 42(1)(c) of Regulation (EU) No 1303/2013 (relevant to final report only) (in EUR)	
20. Amount of programme contributions for follow-on investments in final recipients pursuant to Article 42(3) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
<b>V. Total amount of support paid to final recipients, or to the benefit of final recipients, or committed in guarantee contracts by the financial instrument for investments in final recipients, by ESI Funds programme and priority or measure (Article 46(2)(e) of Regulation (EU) No 1303/2013)</b>	
<b>22. Name of financial product offered by the financial instrument</b>	<b>Proof of Concept</b>
22.1. Type of financial product offered by the financial instrument	Equity
24. Total amount of programme contributions committed in this loan, guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR)	1,500,000.00
24.1. out of which total amount of ESI Funds contributions (in EUR)	442,500.00
25. Total amount of programme contributions paid to final recipients through this loan, micro-loans, equity or other products, or, in the case of guarantee, committed for loans paid to final recipients, by product (in EUR)	1,500,000.00
25.1. out of which total amount of ESI Funds contributions (in EUR)	442,500.00
25.1.1. out of which ERDF (in EUR)	442,500.00
25.1.2. out of which Cohesion Fund (in EUR)	
25.1.3. out of which ESF (in EUR)	
25.1.4. out of which EAFRD (in EUR)	
25.1.5. out of which EMFF (in EUR)	

25.2. out of which total amount of national public co-financing (in EUR)	366,750.00
25.3. out of which total amount of national private co-financing (in EUR)	690,750.00
27. Number of loan/guarantee/equity or quasi-equity/other financial product contracts signed with final recipients, by product	9
28. Number of loan/guarantee/equity or quasi-equity/other financial product investments made in final recipients, by product	9
29. Number of financial recipients supported by the financial product	3
29.1. out of which large enterprises	
29.2. out of which SMEs	3
29.2.1. out of which microenterprises	
29.3. out of which individuals/natural persons	
29.4. out of which other type of final recipients supported	
29.4.1. description of other type of final recipients supported	
<b>38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)</b>	
38.3. Total amount of other contributions, outside the ESI Funds mobilised at the level of final recipients (in EUR)	1,057,500.00
38.3.1. out of which public contributions (EUR)	366,750.00
38.3.2. out of which private contributions (EUR)	690,750.00
38.3A. Contribution under EIB financial product mobilised at the level of final recipient (only for the instruments under Article 38(1)(c)) (in EUR)	
<b>39. Expected and achieved leverage effect, by reference to the funding agreement</b>	
39.1. Expected leverage effect for loan/guarantee/equity or quasi-equity investment/other financial product, by reference to the funding agreement, by product	6.55
39.2. Achieved leverage effect at the end of reporting year for loan/guarantee/equity or quasi-equity investment/other financial product, by product	3.17
39.3. Investment mobilised through ESIF financial instruments for loan/guarantee/equity and quasi-equity investment, by product (Optional)	
40. Value of investments and participations in equity (in EUR)	166,058.00
<b>VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)</b>	
32. Information whether the financial instrument was still operational at the end of the reporting year	Yes
32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up	
<b>VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)</b>	
35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR)	
36. Amounts repaid to the financial instrument attributable to support from ESI Funds by the end of the reporting year (in EUR)	
36.1. out of which capital repayments (in EUR)	
36.2. out of which gains, other earnings and yields (in EUR)	

37. Amounts of resources attributable to ESI Funds used in accordance with Article 44 and 43a	
37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR)	
37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR)	
37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the financial instrument resulting from negative interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in EUR)	
<b>VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)</b>	
<b>38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)</b>	
38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR)	1,500,000.00
38.1A. Contributions under EIB financial product committed in the funding agreement with the body implementing the financial instrument (only for the instruments under Article 38(1)(c)) (in EUR)	
38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR)	1,057,500.00
38.2.1. out of which public contributions (EUR)	366,750.00
38.2.2. out of which private contributions (EUR)	690,750.00
38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR)	
<b>IX. Contribution of the financial instrument to the achievement of the indicators of the priority or measure concerned (Article 46(2)(j) of Regulation (EU) No 1303/2013)</b>	
<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>CO01 - Productive investment: Number of enterprises receiving support</b>
41.1. Target value of the output indicator	13.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	5.00
<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>CO29 - Research, Innovation: Number of enterprises supported to introduce new to the firm products</b>
41.1. Target value of the output indicator	1.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	2.00
<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>CO05 - Productive investment: Number of new</b>

	<i>enterprises supported</i>
41.1. Target value of the output indicator	4.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	0.00

<b>II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)</b>	
5. Name of the financial instrument	<b>Midlands Engine - Small Business Loans - East Midlands - PA4</b>
6. Official address/place of business of the financial instrument (name of the country and city)	Sherwood Rise, Nottingham, NG7 6JF
8. Type of the financial instrument	Fund of funds specific fund
8.2. Related Fund of Funds	Midlands Engine Investment Fund - PA4
8.1. Tailor-made or financial instruments complying with standard terms and conditions i.e. "off-the-shelf instruments"	Tailor-made
<b>9. Type of products provided by financial instrument: loans, micro-loans, guarantees, equity, or quasi-equity investments, other financial product or other support combined within the financial instrument pursuant to Article 37(7) of Regulation (EU) No 1303/2013</b>	
9.0.1. Loans ( $\geq$ EUR 25,000)	Yes
9.0.2. Micro-loans ( $<$ EUR 25,000 and provided to micro-enterprises) according to SEC/2011/1134 final	No
9.0.3. Guarantees	No
9.0.4. Equity	No
9.0.5. Quasi-equity	No
9.0.6. Other financial products	No
9.0.7. Other support combined with a financial instrument	No
9.1. Description of the other financial product	
9.2. Other support combined within the financial instrument: grant, interest rate subsidy, guarantee fee subsidy pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial institution	Fiduciary account
<b>III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)</b>	
<b>11. Body implementing the financial instrument</b>	
11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European Investment Bank; the European Investment Fund; international financial institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial	Body governed by public or private law



activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only)	
11.1.1. Name of the body implementing the financial instrument	ELEM
11.1.2. Official address/place of business (country and town name) of the body implementing the financial instrument	Sherwood Rise, Nottingham, NG7 6JF
12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure	Selection in accordance with the provisions of the public procurement directive
12.1. Description of the other procedure of selecting the body implementing the financial instrument	
13. Date of signature of the funding agreement with the body implementing the financial instrument	22-Aug-2017
<b>IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)</b>	
14. Total amount of programme contributions committed in the funding agreement (in EUR)	282,167.00
14.1. out of which ESI Funds contributions (in EUR)	83,289.00
14.1.1. out of which ERDF (in EUR) (optional)	
14.1.2. out of which Cohesion Fund (in EUR) (optional)	
14.1.3. out of which ESF (in EUR) (optional)	
14.1.4. out of which EAFRD (in EUR) (optional)	
14.1.5. out of which EMFF (in EUR) (optional)	
15. Total amount of programme contributions paid to the financial instrument (in EUR)	281,217.00
15.1. out of which amount of ESI Funds contributions (in EUR)	82,289.00
15.1.1. out of which ERDF (in EUR)	82,289.00
15.1.2. out of which Cohesion Fund (in EUR)	
15.1.3. out of which ESF (in EUR)	
15.1.4. out of which EAFRD (in EUR)	
15.1.5. out of which EMFF (in EUR)	
15.2. out of which total amount of national co-financing (in EUR)	198,928.00
15.2.1. out of which total amount of national public funding (in EUR)	68,990.00
15.2.2. out of which total amount of national private funding (in EUR)	129,938.00
16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR)	
17. Total amount of management costs and fees paid out of programme contributions (in EUR)	20,798.00
17.1. out of which base remuneration (in EUR)	
17.2. out of which performance-based remuneration (in EUR)	
18. Capitalised management costs or fees pursuant to Article 42(2) of Regulation (EU) No 1303/2013 (relevant only for final report) (in EUR)	
19. Capitalised interest rate subsidies or guarantee fee subsidies pursuant to Article 42(1)(c) of Regulation (EU) No 1303/2013 (relevant to final report only) (in EUR)	
20. Amount of programme contributions for follow-on investments in final recipients pursuant to Article 42(3) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	

21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
<b>V. Total amount of support paid to final recipients, or to the benefit of final recipients, or committed in guarantee contracts by the financial instrument for investments in final recipients, by ESI Funds programme and priority or measure (Article 46(2)(e) of Regulation (EU) No 1303/2013)</b>	
<b>22. Name of financial product offered by the financial instrument</b>	<b>Small Business Loans - PA4</b>
22.1. Type of financial product offered by the financial instrument	Loan
24. Total amount of programme contributions committed in this loan, guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR)	282,167.00
24.1. out of which total amount of ESI Funds contributions (in EUR)	83,239.00
25. Total amount of programme contributions paid to final recipients through this loan, micro-loans, equity or other products, or, in the case of guarantee, committed for loans paid to final recipients, by product (in EUR)	282,167.00
25.1. out of which total amount of ESI Funds contributions (in EUR)	83,239.00
25.1.1. out of which ERDF (in EUR)	83,239.00
25.1.2. out of which Cohesion Fund (in EUR)	
25.1.3. out of which ESF (in EUR)	
25.1.4. out of which EAFRD (in EUR)	
25.1.5. out of which EMFF (in EUR)	
25.2. out of which total amount of national public co-financing (in EUR)	68,990.00
25.3. out of which total amount of national private co-financing (in EUR)	129,938.00
27. Number of loan/guarantee/equity or quasi-equity/other financial product contracts signed with final recipients, by product	2
28. Number of loan/guarantee/equity or quasi-equity/other financial product investments made in final recipients, by product	2
29. Number of financial recipients supported by the financial product	2
29.1. out of which large enterprises	
29.2. out of which SMEs	2
29.2.1. out of which microenterprises	
29.3. out of which individuals/natural persons	
29.4. out of which other type of final recipients supported	
29.4.1. description of other type of final recipients supported	
33. Total number of disbursed loans defaulted or total number of guarantees provided and called due to the loan default	
34. Total amount of disbursed loans defaulted (in EUR) or total amount committed for guarantees provided and called due to loan default (in EUR)	
<b>38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)</b>	
38.3. Total amount of other contributions, outside the ESI Funds mobilised at the level of final recipients (in EUR)	198,928.00
38.3.1. out of which public contributions (EUR)	68,990.00
38.3.2. out of which private contributions (EUR)	129,938.00
38.3A. Contribution under EIB financial product mobilised at the level of final recipient (only for the instruments under Article 38(1)(c)) (in EUR)	
<b>39. Expected and achieved leverage effect, by reference to the funding agreement</b>	

39.1. Expected leverage effect for loan/guarantee/equity or quasi-equity investment/other financial product, by reference to the funding agreement, by product	6.55
39.2. Achieved leverage effect at the end of reporting year for loan/guarantee/equity or quasi-equity investment/other financial product, by product	3.02
39.3. Investment mobilised through ESIF financial instruments for loan/guarantee/equity and quasi-equity investment, by product (Optional)	
<b>VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)</b>	
32. Information whether the financial instrument was still operational at the end of the reporting year	Yes
32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up	
<b>VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)</b>	
35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR)	
36. Amounts repaid to the financial instrument attributable to support from ESI Funds by the end of the reporting year (in EUR)	
36.1. out of which capital repayments (in EUR)	
36.2. out of which gains, other earnings and yields (in EUR)	
37. Amounts of resources attributable to ESI Funds used in accordance with Article 44 and 43a	
37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR)	
37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR)	
37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the financial instrument resulting from negative interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in EUR)	
<b>VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)</b>	
<b>38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)</b>	
38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR)	282,167.00
38.1A. Contributions under EIB financial product committed in the funding agreement with the body implementing the financial instrument (only for	

the instruments under Article 38(1)(c)) (in EUR)	
38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR)	198,928.00
38.2.1. out of which public contributions (EUR)	68,990.00
38.2.2. out of which private contributions (EUR)	129,938.00
38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR)	
<b>IX. Contribution of the financial instrument to the achievement of the indicators of the priority or measure concerned (Article 46(2)(j) of Regulation (EU) No 1303/2013)</b>	
<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>CO01 - Productive investment: Number of enterprises receiving support</b>
41.1. Target value of the output indicator	7.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	2.00
<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>CO05 - Productive investment: Number of new enterprises supported</b>
41.1. Target value of the output indicator	2.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	0.00
<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>CO29 - Research, Innovation: Number of enterprises supported to introduce new to the firm products</b>
41.1. Target value of the output indicator	1.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	1.00

<b>II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)</b>	
5. Name of the financial instrument	<b>Midlands Engine – Debt Fund – East Midlands (FSE) – PA4</b>
6. Official address/place of business of the financial instrument (name of the country and city)	City Gate, East Toll House Hill, Nottingham, NG1 5FS
8. Type of the financial instrument	Fund of funds specific fund
8.2. Related Fund of Funds	Midlands Engine Investment Fund - PA4
8.1. Tailor-made or financial instruments complying with standard terms and conditions i.e. "off-the-shelf instruments"	Tailor-made
<b>9. Type of products provided by financial instrument: loans, micro-loans, guarantees, equity, or quasi-equity investments, other financial product or other support combined within the financial instrument pursuant to Article 37(7) of Regulation (EU) No 1303/2013</b>	
9.0.1. Loans ( $\geq$ EUR 25,000)	Yes
9.0.2. Micro-loans ( $<$ EUR 25,000 and provided to micro-enterprises)	No

according to SEC/2011/1134 final	
9.0.3. Guarantees	No
9.0.4. Equity	No
9.0.5. Quasi-equity	No
9.0.6. Other financial products	No
9.0.7. Other support combined with a financial instrument	No
9.1. Description of the other financial product	
9.2. Other support combined within the financial instrument: grant, interest rate subsidy, guarantee fee subsidy pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial institution	Fiduciary account
<b>III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)</b>	
<b>11. Body implementing the financial instrument</b>	
11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European Investment Bank; the European Investment Fund; international financial institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only)	Body governed by public or private law
11.1.1. Name of the body implementing the financial instrument	FSE Fund Managers Limited
11.1.2. Official address/place of business (country and town name) of the body implementing the financial instrument	The Lewis Building , Bull Street, Birmingham, B4 6EQ
12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure	Selection in accordance with the provisions of the public procurement directive
12.1. Description of the other procedure of selecting the body implementing the financial instrument	
13. Date of signature of the funding agreement with the body implementing the financial instrument	22-Jan-2020
<b>IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)</b>	
14. Total amount of programme contributions committed in the funding agreement (in EUR)	1,003,526.00
14.1. out of which ESI Funds contributions (in EUR)	296,040.00
14.1.1. out of which ERDF (in EUR) (optional)	
14.1.2. out of which Cohesion Fund (in EUR) (optional)	
14.1.3. out of which ESF (in EUR) (optional)	
14.1.4. out of which EAFRD (in EUR) (optional)	

14.1.5. out of which EMFF (in EUR) (optional)	
15. Total amount of programme contributions paid to the financial instrument (in EUR)	1,003,526.00
15.1. out of which amount of ESI Funds contributions (in EUR)	296,040.00
15.1.1. out of which ERDF (in EUR)	296,040.00
15.1.2. out of which Cohesion Fund (in EUR)	
15.1.3. out of which ESF (in EUR)	
15.1.4. out of which EAFRD (in EUR)	
15.1.5. out of which EMFF (in EUR)	
15.2. out of which total amount of national co-financing (in EUR)	707,486.00
15.2.1. out of which total amount of national public funding (in EUR)	245,362.00
15.2.2. out of which total amount of national private funding (in EUR)	462,124.00
16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR)	
17. Total amount of management costs and fees paid out of programme contributions (in EUR)	44,399.00
17.1. out of which base remuneration (in EUR)	
17.2. out of which performance-based remuneration (in EUR)	
18. Capitalised management costs or fees pursuant to Article 42(2) of Regulation (EU) No 1303/2013 (relevant only for final report) (in EUR)	
19. Capitalised interest rate subsidies or guarantee fee subsidies pursuant to Article 42(1)(c) of Regulation (EU) No 1303/2013 (relevant to final report only) (in EUR)	
20. Amount of programme contributions for follow-on investments in final recipients pursuant to Article 42(3) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
<b>V. Total amount of support paid to final recipients, or to the benefit of final recipients, or committed in guarantee contracts by the financial instrument for investments in final recipients, by ESI Funds programme and priority or measure (Article 46(2)(e) of Regulation (EU) No 1303/2013)</b>	
<b>22. Name of financial product offered by the financial instrument</b>	<b>Debt Fund East Midlands - FSE PA4</b>
22.1. Type of financial product offered by the financial instrument	Loan
24. Total amount of programme contributions committed in this loan, guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR)	1,003,526.00
24.1. out of which total amount of ESI Funds contributions (in EUR)	296,040.00
25. Total amount of programme contributions paid to final recipients through this loan, micro-loans, equity or other products, or, in the case of guarantee, committed for loans paid to final recipients, by product (in EUR)	1,003,526.00
25.1. out of which total amount of ESI Funds contributions (in EUR)	296,040.00
25.1.1. out of which ERDF (in EUR)	296,040.00
25.1.2. out of which Cohesion Fund (in EUR)	
25.1.3. out of which ESF (in EUR)	
25.1.4. out of which EAFRD (in EUR)	
25.1.5. out of which EMFF (in EUR)	

25.2. out of which total amount of national public co-financing (in EUR)	245,362.00
25.3. out of which total amount of national private co-financing (in EUR)	462,124.00
27. Number of loan/guarantee/equity or quasi-equity/other financial product contracts signed with final recipients, by product	7
28. Number of loan/guarantee/equity or quasi-equity/other financial product investments made in final recipients, by product	7
29. Number of financial recipients supported by the financial product	5
29.1. out of which large enterprises	
29.2. out of which SMEs	5
29.2.1. out of which microenterprises	
29.3. out of which individuals/natural persons	
29.4. out of which other type of final recipients supported	
29.4.1. description of other type of final recipients supported	
33. Total number of disbursed loans defaulted or total number of guarantees provided and called due to the loan default	
34. Total amount of disbursed loans defaulted (in EUR) or total amount committed for guarantees provided and called due to loan default (in EUR)	
<b>38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)</b>	
38.3. Total amount of other contributions, outside the ESI Funds mobilised at the level of final recipients (in EUR)	707,486.00
38.3.1. out of which public contributions (EUR)	245,362.00
38.3.2. out of which private contributions (EUR)	462,124.00
38.3A. Contribution under EIB financial product mobilised at the level of final recipient (only for the instruments under Article 38(1)(c)) (in EUR)	
<b>39. Expected and achieved leverage effect, by reference to the funding agreement</b>	
39.1. Expected leverage effect for loan/guarantee/equity or quasi-equity investment/other financial product, by reference to the funding agreement, by product	0.00
39.2. Achieved leverage effect at the end of reporting year for loan/guarantee/equity or quasi-equity investment/other financial product, by product	3.11
39.3. Investment mobilised through ESIF financial instruments for loan/guarantee/equity and quasi-equity investment, by product (Optional)	
<b>VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)</b>	
32. Information whether the financial instrument was still operational at the end of the reporting year	Yes
32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up	
<b>VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)</b>	
35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR)	
36. Amounts repaid to the financial instrument attributable to support from	

ESI Funds by the end of the reporting year (in EUR)	
36.1. out of which capital repayments (in EUR)	
36.2. out of which gains, other earnings and yields (in EUR)	
37. Amounts of resources attributable to ESI Funds used in accordance with Article 44 and 43a	
37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR)	
37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR)	
37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the financial instrument resulting from negative interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in EUR)	
<b>VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)</b>	
<b>38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)</b>	
38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR)	1,003,526.00
38.1A. Contributions under EIB financial product committed in the funding agreement with the body implementing the financial instrument (only for the instruments under Article 38(1)(c)) (in EUR)	
38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR)	707,486.00
38.2.1. out of which public contributions (EUR)	245,362.00
38.2.2. out of which private contributions (EUR)	462,124.00
38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR)	
<b>IX. Contribution of the financial instrument to the achievement of the indicators of the priority or measure concerned (Article 46(2)(j) of Regulation (EU) No 1303/2013)</b>	
<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>CO01 - Productive investment: Number of enterprises receiving support</b>
41.1. Target value of the output indicator	3.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	5.00
<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>CO05 - Productive investment: Number of new enterprises supported</b>
41.1. Target value of the output indicator	1.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	1.00
<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>CO29 - Research,</b>



<i>instrument contributes</i>	<i>Innovation: Number of enterprises supported to introduce new to the firm products</i>
41.1. Target value of the output indicator	1.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	1.00

<b>I. Identification of the programme and priority or measure from which support from the ESI Funds is provided (Article 46(2)(a) of Regulation (EU) No 1303/2013)</b>	
<b>1. Priority axes or measures supporting the financial instrument, including fund of funds, under the ESI Fund programme.</b>	
1.1. Priority axis supporting the financial instrument under the ESI Fund programme	3 - Enhancing the Competitiveness of SMEs
2. Name of ESI Fund(s) supporting the financial instrument under the priority axis or measure	ERDF
<b>3. Thematic objective(s) referred to in the first paragraph of Article 9 of Regulation (EU) No 1303/2013 supported by the financial instrument</b>	<b>03 - Enhancing the competitiveness of small and medium-sized enterprises, the agricultural sector (for the EAFRD) and the fisheries and aquaculture sector (for the EMFF)</b>
3.1. Amount of ESI Funds committed in funding agreements from the individual thematic objective chosen in field 3 (optional)	66,027,088.00
<b>4. other ESI Fund programmes providing contribution to the financial instrument</b>	
4.1. CCI number of each other ESI Fund programme providing contributions to the financial instrument	
30. Date of completion of the ex ante assessment	14-Nov-2016
<b>31. Selection of bodies implementing financial instrument</b>	
31.1. Has selection or designation process already been launched	Yes
<b>II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)</b>	
5. Name of the financial instrument	<b>The North East Fund PA3</b>
6. Official address/place of business of the financial instrument (name of the country and city)	Fifth Floor, West One, Forth Banks, Newcastle Upon Tyne, NE1 3PA,
<b>7. Implementation arrangements</b>	
7.1. Financial instruments set up at Union level, managed directly or indirectly by the Commission referred to in Article 38(1)(a) of Regulation (EU) No 1303/2013, supported from ESI Fund programme contributions	
7.1.1. Name of the Union-level financial instrument	
7.2. Financial instrument set up at national, regional, transnational or cross-border level, managed by or under the responsibility of the managing authority referred to in Article 38(1)(b), supported from ESI Fund programme contributions under point (a), (b), (c) and d) of Article 38(4) of Regulation (EU) No	Entrustment of implementation tasks, through the direct award of a contract

1303/2013	
7.3. Financial instrument combining financial contribution from MA with EIB financial products under European Fund for Strategic Investment in accordance with Article 39a, referred to in Article 38(1)(c)	
8. Type of the financial instrument	Fund of funds
10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial institution	Fiduciary account
<b>III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)</b>	
<b>11. Body implementing the financial instrument</b>	
11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European Investment Bank; the European Investment Fund; international financial institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only)	Body governed by public or private law
11.1.1. Name of the body implementing the financial instrument	The North East Fund
11.1.2. Official address/place of business (country and town name) of the body implementing the financial instrument	Fifth Floor, West One, Forth Banks, Newcastle Upon Tyne, NE1 3PA,
12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure	Others
12.1. Description of the other procedure of selecting the body implementing the financial instrument	Entrustment of a publicly owned company, via inter-administrative co-operation between the managing authority and local authorities in the North East Local Enterprise Partnership area, in accordance with Article 38 4(b) (iii) of the CPR, and s3.6.2 of Commission Notice 2016/C 276/01
13. Date of signature of the funding agreement with the body implementing the financial instrument	15-Nov-2016
<b>IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)</b>	
14. Total amount of programme contributions	158,013,544.00

committed in the funding agreement (in EUR)	
14.1. out of which ESI Funds contributions (in EUR)	66,027,088.00
14.1.1. out of which ERDF (in EUR) (optional)	66,027,088.00
14.1.2. out of which Cohesion Fund (in EUR) (optional)	
14.1.3. out of which ESF (in EUR) (optional)	
14.1.4. out of which EAFRD (in EUR) (optional)	
14.1.5. out of which EMFF (in EUR) (optional)	
15. Total amount of programme contributions paid to the financial instrument (in EUR)	108,346,061.00
15.1. out of which amount of ESI Funds contributions (in EUR)	46,064,648.00
15.1.1. out of which ERDF (in EUR)	46,064,648.00
15.1.2. out of which Cohesion Fund (in EUR)	
15.1.3. out of which ESF (in EUR)	
15.1.4. out of which EAFRD (in EUR)	
15.1.5. out of which EMFF (in EUR)	
15.2. out of which total amount of national co-financing (in EUR)	62,281,413.00
15.2.1. out of which total amount of national public funding (in EUR)	23,666,937.00
15.2.2. out of which total amount of national private funding (in EUR)	38,614,476.00
16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR)	
17. Total amount of management costs and fees paid out of programme contributions (in EUR)	16,075,754.00
17.1. out of which base remuneration (in EUR)	15,458,393.00
17.2. out of which performance-based remuneration (in EUR)	617,361.00
21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
<b>VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)</b>	
32. Information whether the financial instrument was still operational at the end of the reporting year	Yes
32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up	
<b>VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)</b>	
35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR)	343,579.00

37. Amounts of resources attributable to ESI Funds used in accordance with Article 44 and 43a	
37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR)	
37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR)	
37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the financial instrument resulting from negative interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in EUR)	
<b>VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)</b>	
<b>38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)</b>	
38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR)	91,986,456.00
38.1A. Contributions under EIB financial product committed in the funding agreement with the body implementing the financial instrument (only for the instruments under Article 38(1)(c)) (in EUR)	
38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR)	62,281,413.00
38.2.1. out of which public contributions (EUR)	23,666,937.00
38.2.2. out of which private contributions (EUR)	38,614,476.00
38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR)	

<b>II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)</b>	
5. Name of the financial instrument	<b>North East Development Fund</b>
6. Official address/place of business of the financial instrument (name of the country and city)	Mavern Capital Partners, 5th Floor, 1-2 Royal Exchange Buildings, London, England, EC3V 3LF
8. Type of the financial instrument	Fund of funds specific fund
8.2. Related Fund of Funds	The North East Fund PA3

8.1. Tailor-made or financial instruments complying with standard terms and conditions i.e. "off-the-shelf instruments"	Tailor-made
<b>9. Type of products provided by financial instrument: loans, micro-loans, guarantees, equity, or quasi-equity investments, other financial product or other support combined within the financial instrument pursuant to Article 37(7) of Regulation (EU) No 1303/2013</b>	
9.0.1. Loans ( $\geq$ EUR 25,000)	Yes
9.0.2. Micro-loans ( $<$ EUR 25,000 and provided to micro-enterprises) according to SEC/2011/1134 final	No
9.0.3. Guarantees	No
9.0.4. Equity	Yes
9.0.5. Quasi-equity	Yes
9.0.6. Other financial products	No
9.0.7. Other support combined with a financial instrument	No
9.1. Description of the other financial product	
9.2. Other support combined within the financial instrument: grant, interest rate subsidy, guarantee fee subsidy pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial institution	Fiduciary account
<b>III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)</b>	
<b>11. Body implementing the financial instrument</b>	
11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European Investment Bank; the European Investment Fund; international financial institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only)	Body governed by public or private law
11.1.1. Name of the body implementing the financial instrument	Maven Capital Partners
11.1.2. Official address/place of business (country and town name) of the body implementing the financial instrument	5th Floor, 1-2 Royal Exchange Buildings, London, England, EC3V 3LF
12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure	Selection in accordance with the provisions of the public procurement directive
12.1. Description of the other procedure of selecting the body implementing the financial instrument	
13. Date of signature of the funding agreement with the body implementing the financial instrument	13-Apr-2018
<b>IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of</b>	

<b>Regulation (EU) No 1303/2013)</b>	
14. Total amount of programme contributions committed in the funding agreement (in EUR)	30,778,781.00
14.1. out of which ESI Funds contributions (in EUR)	12,861,133.00
14.1.1. out of which ERDF (in EUR) (optional)	12,861,133.00
14.1.2. out of which Cohesion Fund (in EUR) (optional)	
14.1.3. out of which ESF (in EUR) (optional)	
14.1.4. out of which EAFRD (in EUR) (optional)	
14.1.5. out of which EMFF (in EUR) (optional)	
15. Total amount of programme contributions paid to the financial instrument (in EUR)	25,995,854.00
15.1. out of which amount of ESI Funds contributions (in EUR)	10,918,258.00
15.1.1. out of which ERDF (in EUR)	10,918,258.00
15.1.2. out of which Cohesion Fund (in EUR)	
15.1.3. out of which ESF (in EUR)	
15.1.4. out of which EAFRD (in EUR)	
15.1.5. out of which EMFF (in EUR)	
15.2. out of which total amount of national co-financing (in EUR)	15,077,596.00
15.2.1. out of which total amount of national public funding (in EUR)	2,110,863.00
15.2.2. out of which total amount of national private funding (in EUR)	12,966,733.00
16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR)	
17. Total amount of management costs and fees paid out of programme contributions (in EUR)	3,048,823.00
17.1. out of which base remuneration (in EUR)	2,949,254.00
17.2. out of which performance-based remuneration (in EUR)	99,569.00
18. Capitalised management costs or fees pursuant to Article 42(2) of Regulation (EU) No 1303/2013 (relevant only for final report) (in EUR)	
19. Capitalised interest rate subsidies or guarantee fee subsidies pursuant to Article 42(1)(c) of Regulation (EU) No 1303/2013 (relevant to final report only) (in EUR)	
20. Amount of programme contributions for follow-on investments in final recipients pursuant to Article 42(3) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
<b>V. Total amount of support paid to final recipients, or to the benefit of final recipients, or committed in guarantee contracts by the financial instrument for investments in final recipients, by ESI Funds programme and priority or measure (Article 46(2)(e) of Regulation (EU) No 1303/2013)</b>	
<b>22. Name of financial product offered by the financial instrument</b>	<b>Development Loan Fund</b>
22.1. Type of financial product offered by the financial instrument	Loan
24. Total amount of programme contributions committed in this loan, guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR)	21,545,147.00
24.1. out of which total amount of ESI Funds contributions (in EUR)	9,002,794.00
25. Total amount of programme contributions paid to final recipients through this loan, micro-loans, equity or other products, or, in the case of guarantee, committed for loans paid to final recipients, by product (in	17,937,139.00

EUR)	
25.1. out of which total amount of ESI Funds contributions (in EUR)	7,533,598.00
25.1.1. out of which ERDF (in EUR)	7,533,598.00
25.1.2. out of which Cohesion Fund (in EUR)	
25.1.3. out of which ESF (in EUR)	
25.1.4. out of which EAFRD (in EUR)	
25.1.5. out of which EMFF (in EUR)	
25.2. out of which total amount of national public co-financing (in EUR)	1,434,971.00
25.3. out of which total amount of national private co-financing (in EUR)	8,968,570.00
27. Number of loan/guarantee/equity or quasi-equity/other financial product contracts signed with final recipients, by product	29
28. Number of loan/guarantee/equity or quasi-equity/other financial product investments made in final recipients, by product	29
29. Number of financial recipients supported by the financial product	15
29.1. out of which large enterprises	
29.2. out of which SMEs	15
29.2.1. out of which microenterprises	
29.3. out of which individuals/natural persons	
29.4. out of which other type of final recipients supported	
29.4.1. description of other type of final recipients supported	
33. Total number of disbursed loans defaulted or total number of guarantees provided and called due to the loan default	1
34. Total amount of disbursed loans defaulted (in EUR) or total amount committed for guarantees provided and called due to loan default (in EUR)	1,833,596.00
<b>38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)</b>	
38.3. Total amount of other contributions, outside the ESI Funds mobilised at the level of final recipients (in EUR)	10,403,541.00
38.3.1. out of which public contributions (EUR)	1,434,971.00
38.3.2. out of which private contributions (EUR)	8,968,570.00
38.3A. Contribution under EIB financial product mobilised at the level of final recipient (only for the instruments under Article 38(1)(c)) (in EUR)	
<b>39. Expected and achieved leverage effect, by reference to the funding agreement</b>	
39.1. Expected leverage effect for loan/guarantee/equity or quasi-equity investment/other financial product, by reference to the funding agreement, by product	2.39
39.2. Achieved leverage effect at the end of reporting year for loan/guarantee/equity or quasi-equity investment/other financial product, by product	1.88
39.3. Investment mobilised through ESIF financial instruments for loan/guarantee/equity and quasi-equity investment, by product (Optional)	0.00
<b>22. Name of financial product offered by the financial instrument</b>	<b>Development Equity Fund</b>
22.1. Type of financial product offered by the financial instrument	Equity
24. Total amount of programme contributions committed in this loan, guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR)	9,233,634.00
24.1. out of which total amount of ESI Funds contributions (in EUR)	3,858,340.00
25. Total amount of programme contributions paid to final recipients	8,058,715.00

through this loan, micro-loans, equity or other products, or, in the case of guarantee, committed for loans paid to final recipients, by product (in EUR)	
25.1. out of which total amount of ESI Funds contributions (in EUR)	3,384,660.00
25.1.1. out of which ERDF (in EUR)	3,384,660.00
25.1.2. out of which Cohesion Fund (in EUR)	
25.1.3. out of which ESF (in EUR)	
25.1.4. out of which EAFRD (in EUR)	
25.1.5. out of which EMFF (in EUR)	
25.2. out of which total amount of national public co-financing (in EUR)	644,697.00
25.3. out of which total amount of national private co-financing (in EUR)	4,029,358.00
27. Number of loan/guarantee/equity or quasi-equity/other financial product contracts signed with final recipients, by product	13
28. Number of loan/guarantee/equity or quasi-equity/other financial product investments made in final recipients, by product	13
29. Number of financial recipients supported by the financial product	6
29.1. out of which large enterprises	
29.2. out of which SMEs	6
29.2.1. out of which microenterprises	
29.3. out of which individuals/natural persons	
29.4. out of which other type of final recipients supported	
29.4.1. description of other type of final recipients supported	
<b>38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)</b>	
38.3. Total amount of other contributions, outside the ESI Funds mobilised at the level of final recipients (in EUR)	4,674,055.00
38.3.1. out of which public contributions (EUR)	644,697.00
38.3.2. out of which private contributions (EUR)	4,029,358.00
38.3A. Contribution under EIB financial product mobilised at the level of final recipient (only for the instruments under Article 38(1)(c)) (in EUR)	
<b>39. Expected and achieved leverage effect, by reference to the funding agreement</b>	
39.1. Expected leverage effect for loan/guarantee/equity or quasi-equity investment/other financial product, by reference to the funding agreement, by product	2.39
39.2. Achieved leverage effect at the end of reporting year for loan/guarantee/equity or quasi-equity investment/other financial product, by product	1.88
39.3. Investment mobilised through ESIF financial instruments for loan/guarantee/equity and quasi-equity investment, by product (Optional)	
40. Value of investments and participations in equity (in EUR)	8,272,817.00
<b>VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)</b>	
32. Information whether the financial instrument was still operational at the end of the reporting year	Yes
32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up	
<b>VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles</b>	



<b>43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)</b>	
35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR)	
36. Amounts repaid to the financial instrument attributable to support from ESI Funds by the end of the reporting year (in EUR)	
36.1. out of which capital repayments (in EUR)	
36.2. out of which gains, other earnings and yields (in EUR)	
37. Amounts of resources attributable to ESI Funds used in accordance with Article 44 and 43a	
37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR)	
37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR)	
37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the financial instrument resulting from negative interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in EUR)	
<b>VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)</b>	
<b>38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)</b>	
38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR)	30,778,781.00
38.1A. Contributions under EIB financial product committed in the funding agreement with the body implementing the financial instrument (only for the instruments under Article 38(1)(c)) (in EUR)	
38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR)	15,077,596.00
38.2.1. out of which public contributions (EUR)	2,110,863.00
38.2.2. out of which private contributions (EUR)	12,966,733.00
38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR)	
<b>IX. Contribution of the financial instrument to the achievement of the indicators of the priority or measure concerned (Article 46(2)(j) of Regulation (EU) No 1303/2013)</b>	
<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>CO08 - Productive investment: Employment increase in supported enterprises</b>
41.1. Target value of the output indicator	650.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	13.00
<b>41. Output indicator (code number and name) to which the financial</b>	<b>CO03 - Productive</b>

<i>instrument contributes</i>	<i>investment: Number of enterprises receiving financial support other than grants</i>
41.1. Target value of the output indicator	40.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	18.00
<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>CO04 - Productive investment: Number of enterprises receiving non-financial support</b>
41.1. Target value of the output indicator	50.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	57.00
<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>CO01 - Productive investment: Number of enterprises receiving support</b>
41.1. Target value of the output indicator	65.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	56.00
<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>P13 - Number of enterprises receiving information, diagnostic and brokerage</b>
41.1. Target value of the output indicator	120.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	116.00

<b>II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)</b>	
5. Name of the financial instrument	<b>North East Growth Fund</b>
6. Official address/place of business of the financial instrument (name of the country and city)	NEL Fund Managers, 4 Enterprise House, Kingsway, Team Valley, NE11 0SR
8. Type of the financial instrument	Fund of funds specific fund
8.2. Related Fund of Funds	The North East Fund PA3
8.1. Tailor-made or financial instruments complying with standard terms and conditions i.e. "off-the-shelf instruments"	Tailor-made
<b>9. Type of products provided by financial instrument: loans, micro-loans, guarantees, equity, or quasi-equity investments, other financial product or other support combined within the financial instrument pursuant to Article 37(7) of Regulation (EU) No 1303/2013</b>	
9.0.1. Loans ( $\geq$ EUR 25,000)	Yes
9.0.2. Micro-loans ( $<$ EUR 25,000 and provided to micro-enterprises) according to SEC/2011/1134 final	No
9.0.3. Guarantees	No
9.0.4. Equity	Yes
9.0.5. Quasi-equity	Yes
9.0.6. Other financial products	No

9.0.7. Other support combined with a financial instrument	No
9.1. Description of the other financial product	
9.2. Other support combined within the financial instrument: grant, interest rate subsidy, guarantee fee subsidy pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial institution	Fiduciary account
<b>III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)</b>	
<b>11. Body implementing the financial instrument</b>	
11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European Investment Bank; the European Investment Fund; international financial institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only)	Body governed by public or private law
11.1.1. Name of the body implementing the financial instrument	NEL Fund Managers
11.1.2. Official address/place of business (country and town name) of the body implementing the financial instrument	4 Enterprise House, Kingsway, Team Valley, NE11 0SR
12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure	Selection in accordance with the provisions of the public procurement directive
12.1. Description of the other procedure of selecting the body implementing the financial instrument	
13. Date of signature of the funding agreement with the body implementing the financial instrument	10-Apr-2018
<b>IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)</b>	
14. Total amount of programme contributions committed in the funding agreement (in EUR)	20,417,607.00
14.1. out of which ESI Funds contributions (in EUR)	8,531,643.00
14.1.1. out of which ERDF (in EUR) (optional)	8,531,643.00
14.1.2. out of which Cohesion Fund (in EUR) (optional)	
14.1.3. out of which ESF (in EUR) (optional)	
14.1.4. out of which EAFRD (in EUR) (optional)	
14.1.5. out of which EMFF (in EUR) (optional)	
15. Total amount of programme contributions paid to the financial instrument (in EUR)	14,084,988.00
15.1. out of which amount of ESI Funds contributions (in EUR)	5,915,695.00

15.1.1. out of which ERDF (in EUR)	5,915,695.00
15.1.2. out of which Cohesion Fund (in EUR)	
15.1.3. out of which ESF (in EUR)	
15.1.4. out of which EAFRD (in EUR)	
15.1.5. out of which EMFF (in EUR)	
15.2. out of which total amount of national co-financing (in EUR)	8,169,293.00
15.2.1. out of which total amount of national public funding (in EUR)	1,143,010.00
15.2.2. out of which total amount of national private funding (in EUR)	7,026,283.00
16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR)	
17. Total amount of management costs and fees paid out of programme contributions (in EUR)	3,144,187.00
17.1. out of which base remuneration (in EUR)	2,980,373.00
17.2. out of which performance-based remuneration (in EUR)	163,814.00
18. Capitalised management costs or fees pursuant to Article 42(2) of Regulation (EU) No 1303/2013 (relevant only for final report) (in EUR)	
19. Capitalised interest rate subsidies or guarantee fee subsidies pursuant to Article 42(1)(c) of Regulation (EU) No 1303/2013 (relevant to final report only) (in EUR)	
20. Amount of programme contributions for follow-on investments in final recipients pursuant to Article 42(3) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
<b>V. Total amount of support paid to final recipients, or to the benefit of final recipients, or committed in guarantee contracts by the financial instrument for investments in final recipients, by ESI Funds programme and priority or measure (Article 46(2)(e) of Regulation (EU) No 1303/2013)</b>	
<b>22. Name of financial product offered by the financial instrument</b>	<b>Growth Loan Fund</b>
22.1. Type of financial product offered by the financial instrument	Loan
24. Total amount of programme contributions committed in this loan, guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR)	17,354,966.00
24.1. out of which total amount of ESI Funds contributions (in EUR)	8,531,643.00
25. Total amount of programme contributions paid to final recipients through this loan, micro-loans, equity or other products, or, in the case of guarantee, committed for loans paid to final recipients, by product (in EUR)	15,963,798.00
25.1. out of which total amount of ESI Funds contributions (in EUR)	6,670,587.00
25.1.1. out of which ERDF (in EUR)	6,670,587.00
25.1.2. out of which Cohesion Fund (in EUR)	
25.1.3. out of which ESF (in EUR)	
25.1.4. out of which EAFRD (in EUR)	
25.1.5. out of which EMFF (in EUR)	
25.2. out of which total amount of national public co-financing (in EUR)	1,311,312.00
25.3. out of which total amount of national private co-financing (in EUR)	7,981,899.00
27. Number of loan/guarantee/equity or quasi-equity/other financial product contracts signed with final recipients, by product	64
28. Number of loan/guarantee/equity or quasi-equity/other financial	64

product investments made in final recipients, by product	
29. Number of financial recipients supported by the financial product	55
29.1. out of which large enterprises	
29.2. out of which SMEs	55
29.2.1. out of which microenterprises	
29.3. out of which individuals/natural persons	
29.4. out of which other type of final recipients supported	
29.4.1. description of other type of final recipients supported	
33. Total number of disbursed loans defaulted or total number of guarantees provided and called due to the loan default	5
34. Total amount of disbursed loans defaulted (in EUR) or total amount committed for guarantees provided and called due to loan default (in EUR)	1,273,852.00
<b>38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)</b>	
38.3. Total amount of other contributions, outside the ESI Funds mobilised at the level of final recipients (in EUR)	9,293,211.00
38.3.1. out of which public contributions (EUR)	1,311,312.00
38.3.2. out of which private contributions (EUR)	7,981,899.00
38.3A. Contribution under EIB financial product mobilised at the level of final recipient (only for the instruments under Article 38(1)(c)) (in EUR)	
<b>39. Expected and achieved leverage effect, by reference to the funding agreement</b>	
39.1. Expected leverage effect for loan/guarantee/equity or quasi-equity investment/other financial product, by reference to the funding agreement, by product	2.39
39.2. Achieved leverage effect at the end of reporting year for loan/guarantee/equity or quasi-equity investment/other financial product, by product	1.74
39.3. Investment mobilised through ESIF financial instruments for loan/guarantee/equity and quasi-equity investment, by product (Optional)	23,470,986.00
<b>22. Name of financial product offered by the financial instrument</b>	<b>Growth Equity Fund</b>
22.1. Type of financial product offered by the financial instrument	Equity
24. Total amount of programme contributions committed in this loan, guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR)	0.00
24.1. out of which total amount of ESI Funds contributions (in EUR)	0.00
25. Total amount of programme contributions paid to final recipients through this loan, micro-loans, equity or other products, or, in the case of guarantee, committed for loans paid to final recipients, by product (in EUR)	
25.1. out of which total amount of ESI Funds contributions (in EUR)	
25.1.1. out of which ERDF (in EUR)	
25.1.2. out of which Cohesion Fund (in EUR)	
25.1.3. out of which ESF (in EUR)	
25.1.4. out of which EAFRD (in EUR)	
25.1.5. out of which EMFF (in EUR)	
25.2. out of which total amount of national public co-financing (in EUR)	
25.3. out of which total amount of national private co-financing (in EUR)	
27. Number of loan/guarantee/equity or quasi-equity/other financial	

product contracts signed with final recipients, by product	
28. Number of loan/guarantee/equity or quasi-equity/other financial product investments made in final recipients, by product	
29. Number of financial recipients supported by the financial product	
29.1. out of which large enterprises	
29.2. out of which SMEs	
29.2.1. out of which microenterprises	
29.3. out of which individuals/natural persons	
29.4. out of which other type of final recipients supported	
29.4.1. description of other type of final recipients supported	
<b>38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)</b>	
38.3. Total amount of other contributions, outside the ESI Funds mobilised at the level of final recipients (in EUR)	
38.3.1. out of which public contributions (EUR)	
38.3.2. out of which private contributions (EUR)	
38.3A. Contribution under EIB financial product mobilised at the level of final recipient (only for the instruments under Article 38(1)(c)) (in EUR)	
<b>39. Expected and achieved leverage effect, by reference to the funding agreement</b>	
39.1. Expected leverage effect for loan/guarantee/equity or quasi-equity investment/other financial product, by reference to the funding agreement, by product	2.39
39.2. Achieved leverage effect at the end of reporting year for loan/guarantee/equity or quasi-equity investment/other financial product, by product	
39.3. Investment mobilised through ESIF financial instruments for loan/guarantee/equity and quasi-equity investment, by product (Optional)	0.00
40. Value of investments and participations in equity (in EUR)	
<b>VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)</b>	
32. Information whether the financial instrument was still operational at the end of the reporting year	Yes
32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up	
<b>VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)</b>	
35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR)	
36. Amounts repaid to the financial instrument attributable to support from ESI Funds by the end of the reporting year (in EUR)	
36.1. out of which capital repayments (in EUR)	
36.2. out of which gains, other earnings and yields (in EUR)	
37. Amounts of resources attributable to ESI Funds used in accordance with Article 44 and 43a	
37.1. out of which amounts paid for differentiated treatment of investors	

operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR)	
37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR)	
37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the financial instrument resulting from negative interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in EUR)	
<b>VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)</b>	
<b>38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)</b>	
38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR)	15,963,798.00
38.1A. Contributions under EIB financial product committed in the funding agreement with the body implementing the financial instrument (only for the instruments under Article 38(1)(c)) (in EUR)	
38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR)	8,169,293.00
38.2.1. out of which public contributions (EUR)	1,143,010.00
38.2.2. out of which private contributions (EUR)	7,026,283.00
38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR)	
<b>IX. Contribution of the financial instrument to the achievement of the indicators of the priority or measure concerned (Article 46(2)(j) of Regulation (EU) No 1303/2013)</b>	
<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>CO03 - Productive investment: Number of enterprises receiving financial support other than grants</b>
41.1. Target value of the output indicator	70.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	32.00
<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>CO04 - Productive investment: Number of enterprises receiving non-financial support</b>
41.1. Target value of the output indicator	80.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	82.00
<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>CO01 - Productive investment: Number of enterprises receiving support</b>
41.1. Target value of the output indicator	125.00

41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	109.00
<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>P13 - Number of enterprises receiving information, diagnostic and brokerage</b>
41.1. Target value of the output indicator	210.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	213.00
<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>CO08 - Productive investment: Employment increase in supported enterprises</b>
41.1. Target value of the output indicator	790.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	133.25

<b>II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)</b>	
5. Name of the financial instrument	<b>North East Innovation Fund</b>
6. Official address/place of business of the financial instrument (name of the country and city)	North Star Ventures, 5th Floor Maybrook House, 27-35 Grainger Street, Newcastle, NE1 5JE
8. Type of the financial instrument	Fund of funds specific fund
8.2. Related Fund of Funds	The North East Fund PA3
8.1. Tailor-made or financial instruments complying with standard terms and conditions i.e. "off-the-shelf instruments"	Tailor-made
<b>9. Type of products provided by financial instrument: loans, micro-loans, guarantees, equity, or quasi-equity investments, other financial product or other support combined within the financial instrument pursuant to Article 37(7) of Regulation (EU) No 1303/2013</b>	
9.0.1. Loans ( $\geq$ EUR 25,000)	Yes
9.0.2. Micro-loans ( $<$ EUR 25,000 and provided to micro-enterprises) according to SEC/2011/1134 final	No
9.0.3. Guarantees	No
9.0.4. Equity	Yes
9.0.5. Quasi-equity	No
9.0.6. Other financial products	No
9.0.7. Other support combined with a financial instrument	No
9.1. Description of the other financial product	
9.2. Other support combined within the financial instrument: grant, interest rate subsidy, guarantee fee subsidy pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial institution	Fiduciary account



<b>III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)</b>	
<b>11. Body implementing the financial instrument</b>	
11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European Investment Bank; the European Investment Fund; international financial institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only)	Body undertaking implementation task directly
11.1.1. Name of the body implementing the financial instrument	North Star Ventures
11.1.2. Official address/place of business (country and town name) of the body implementing the financial instrument	5th Floor Maybrook House, 27-35 Grainger Street, Newcastle, NE1 5JE
12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure	Selection in accordance with the provisions of the public procurement directive
12.1. Description of the other procedure of selecting the body implementing the financial instrument	
13. Date of signature of the funding agreement with the body implementing the financial instrument	09-Apr-2018
<b>IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)</b>	
14. Total amount of programme contributions committed in the funding agreement (in EUR)	36,049,417.00
14.1. out of which ESI Funds contributions (in EUR)	15,063,506.00
14.1.1. out of which ERDF (in EUR) (optional)	15,063,506.00
14.1.2. out of which Cohesion Fund (in EUR) (optional)	
14.1.3. out of which ESF (in EUR) (optional)	
14.1.4. out of which EAFRD (in EUR) (optional)	
14.1.5. out of which EMFF (in EUR) (optional)	
15. Total amount of programme contributions paid to the financial instrument (in EUR)	32,503,818.00
15.1. out of which amount of ESI Funds contributions (in EUR)	13,651,604.00
15.1.1. out of which ERDF (in EUR)	13,651,604.00
15.1.2. out of which Cohesion Fund (in EUR)	
15.1.3. out of which ESF (in EUR)	
15.1.4. out of which EAFRD (in EUR)	
15.1.5. out of which EMFF (in EUR)	
15.2. out of which total amount of national co-financing (in EUR)	18,852,214.00
15.2.1. out of which total amount of national public funding (in EUR)	2,600,305.00
15.2.2. out of which total amount of national private funding (in EUR)	16,251,909.00
16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR)	
17. Total amount of management costs and fees paid out of programme	4,373,413.00

contributions (in EUR)	
17.1. out of which base remuneration (in EUR)	4,107,033.00
17.2. out of which performance-based remuneration (in EUR)	266,380.00
18. Capitalised management costs or fees pursuant to Article 42(2) of Regulation (EU) No 1303/2013 (relevant only for final report) (in EUR)	
19. Capitalised interest rate subsidies or guarantee fee subsidies pursuant to Article 42(1)(c) of Regulation (EU) No 1303/2013 (relevant to final report only) (in EUR)	
20. Amount of programme contributions for follow-on investments in final recipients pursuant to Article 42(3) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
<b>V. Total amount of support paid to final recipients, or to the benefit of final recipients, or committed in guarantee contracts by the financial instrument for investments in final recipients, by ESI Funds programme and priority or measure (Article 46(2)(e) of Regulation (EU) No 1303/2013)</b>	
<b>22. Name of financial product offered by the financial instrument</b>	<b><i>Innovation Equity</i></b>
22.1. Type of financial product offered by the financial instrument	Equity
24. Total amount of programme contributions committed in this loan, guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR)	25,588,993.00
24.1. out of which total amount of ESI Funds contributions (in EUR)	10,692,543.00
25. Total amount of programme contributions paid to final recipients through this loan, micro-loans, equity or other products, or, in the case of guarantee, committed for loans paid to final recipients, by product (in EUR)	25,588,993.00
25.1. out of which total amount of ESI Funds contributions (in EUR)	10,692,543.00
25.1.1. out of which ERDF (in EUR)	10,692,543.00
25.1.2. out of which Cohesion Fund (in EUR)	
25.1.3. out of which ESF (in EUR)	
25.1.4. out of which EAFRD (in EUR)	
25.1.5. out of which EMFF (in EUR)	
25.2. out of which total amount of national public co-financing (in EUR)	2,101,953.00
25.3. out of which total amount of national private co-financing (in EUR)	12,794,497.00
27. Number of loan/guarantee/equity or quasi-equity/other financial product contracts signed with final recipients, by product	163
28. Number of loan/guarantee/equity or quasi-equity/other financial product investments made in final recipients, by product	163
29. Number of financial recipients supported by the financial product	119
29.1. out of which large enterprises	
29.2. out of which SMEs	119
29.2.1. out of which microenterprises	
29.3. out of which individuals/natural persons	
29.4. out of which other type of final recipients supported	
29.4.1. description of other type of final recipients supported	
<b>38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)</b>	

38.3. Total amount of other contributions, outside the ESI Funds mobilised at the level of final recipients (in EUR)	88,638,869.00
38.3.1. out of which public contributions (EUR)	20,654,445.00
38.3.2. out of which private contributions (EUR)	67,984,424.00
38.3A. Contribution under EIB financial product mobilised at the level of final recipient (only for the instruments under Article 38(1)(c)) (in EUR)	
<b>39. Expected and achieved leverage effect, by reference to the funding agreement</b>	
39.1. Expected leverage effect for loan/guarantee/equity or quasi-equity investment/other financial product, by reference to the funding agreement, by product	2.39
39.2. Achieved leverage effect at the end of reporting year for loan/guarantee/equity or quasi-equity investment/other financial product, by product	7.24
39.3. Investment mobilised through ESIF financial instruments for loan/guarantee/equity and quasi-equity investment, by product (Optional)	0.00
40. Value of investments and participations in equity (in EUR)	23,549,568.00
<b>22. Name of financial product offered by the financial instrument</b>	<b>Innovation Loan</b>
22.1. Type of financial product offered by the financial instrument	Loan
24. Total amount of programme contributions committed in this loan, guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR)	9,210,779.00
24.1. out of which total amount of ESI Funds contributions (in EUR)	3,848,790.00
25. Total amount of programme contributions paid to final recipients through this loan, micro-loans, equity or other products, or, in the case of guarantee, committed for loans paid to final recipients, by product (in EUR)	6,087,011.00
25.1. out of which total amount of ESI Funds contributions (in EUR)	2,543,501.00
25.1.1. out of which ERDF (in EUR)	2,543,501.00
25.1.2. out of which Cohesion Fund (in EUR)	
25.1.3. out of which ESF (in EUR)	
25.1.4. out of which EAFRD (in EUR)	
25.1.5. out of which EMFF (in EUR)	
25.2. out of which total amount of national public co-financing (in EUR)	500,005.00
25.3. out of which total amount of national private co-financing (in EUR)	3,043,505.00
27. Number of loan/guarantee/equity or quasi-equity/other financial product contracts signed with final recipients, by product	31
28. Number of loan/guarantee/equity or quasi-equity/other financial product investments made in final recipients, by product	31
29. Number of financial recipients supported by the financial product	13
29.1. out of which large enterprises	
29.2. out of which SMEs	13
29.2.1. out of which microenterprises	
29.3. out of which individuals/natural persons	
29.4. out of which other type of final recipients supported	
29.4.1. description of other type of final recipients supported	
33. Total number of disbursed loans defaulted or total number of	33

guarantees provided and called due to the loan default	
34. Total amount of disbursed loans defaulted (in EUR) or total amount committed for guarantees provided and called due to loan default (in EUR)	1,101,239.00
<b>38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)</b>	
38.3. Total amount of other contributions, outside the ESI Funds mobilised at the level of final recipients (in EUR)	42,784,459.00
38.3.1. out of which public contributions (EUR)	10,431,789.00
38.3.2. out of which private contributions (EUR)	32,352,670.00
38.3A. Contribution under EIB financial product mobilised at the level of final recipient (only for the instruments under Article 38(1)(c)) (in EUR)	
<b>39. Expected and achieved leverage effect, by reference to the funding agreement</b>	
39.1. Expected leverage effect for loan/guarantee/equity or quasi-equity investment/other financial product, by reference to the funding agreement, by product	2.39
39.2. Achieved leverage effect at the end of reporting year for loan/guarantee/equity or quasi-equity investment/other financial product, by product	14.04
39.3. Investment mobilised through ESIF financial instruments for loan/guarantee/equity and quasi-equity investment, by product (Optional)	0.00
<b>VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)</b>	
32. Information whether the financial instrument was still operational at the end of the reporting year	Yes
32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up	
<b>VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)</b>	
35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR)	
36. Amounts repaid to the financial instrument attributable to support from ESI Funds by the end of the reporting year (in EUR)	
36.1. out of which capital repayments (in EUR)	
36.2. out of which gains, other earnings and yields (in EUR)	
37. Amounts of resources attributable to ESI Funds used in accordance with Article 44 and 43a	
37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR)	
37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial	

instrument (in EUR)	
37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the financial instrument resulting from negative interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in EUR)	
<b>VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)</b>	
<b>38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)</b>	
38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR)	30,702,596.00
38.1A. Contributions under EIB financial product committed in the funding agreement with the body implementing the financial instrument (only for the instruments under Article 38(1)(c)) (in EUR)	
38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR)	18,852,214.00
38.2.1. out of which public contributions (EUR)	2,600,305.00
38.2.2. out of which private contributions (EUR)	16,251,909.00
38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR)	
<b>IX. Contribution of the financial instrument to the achievement of the indicators of the priority or measure concerned (Article 46(2)(j) of Regulation (EU) No 1303/2013)</b>	
<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>CO29 - Research, Innovation: Number of enterprises supported to introduce new to the firm products</b>
41.1. Target value of the output indicator	80.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	27.00
<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>CO05 - Productive investment: Number of new enterprises supported</b>
41.1. Target value of the output indicator	87.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	65.00
<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>CO08 - Productive investment: Employment increase in supported enterprises</b>
41.1. Target value of the output indicator	600.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	273.57
<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>CO01 - Productive investment: Number of enterprises receiving support</b>
41.1. Target value of the output indicator	295.00
41.2. Value achieved by the financial instrument in relation to the target	156.00

value of the output indicator	
<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>CO03 - Productive investment: Number of enterprises receiving financial support other than grants</b>
41.1. Target value of the output indicator	145.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	119.00
<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>CO04 - Productive investment: Number of enterprises receiving non-financial support</b>
41.1. Target value of the output indicator	150.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	35.00
<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>CO28 - Research, Innovation: Number of enterprises supported to introduce new to the market products</b>
41.1. Target value of the output indicator	30.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	33.00
<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>P13 - Number of enterprises receiving information, diagnostic and brokerage</b>
41.1. Target value of the output indicator	510.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	119.00

<b>II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)</b>	
5. Name of the financial instrument	<b>North East Micro Loan Fund</b>
6. Official address/place of business of the financial instrument (name of the country and city)	NEL Fund Managers, 4 Enterprise House, Kingsway, Team Valley, NE11 0SR
8. Type of the financial instrument	Fund of funds specific fund
8.2. Related Fund of Funds	The North East Fund PA3
8.1. Tailor-made or financial instruments complying with standard terms and conditions i.e. "off-the-shelf instruments"	Tailor-made
<b>9. Type of products provided by financial instrument: loans, micro-loans, guarantees, equity, or quasi-equity investments, other financial product or other support combined within the financial instrument pursuant to Article 37(7) of Regulation (EU) No 1303/2013</b>	
9.0.1. Loans ( $\geq$ EUR 25,000)	Yes
9.0.2. Micro-loans ( $<$ EUR 25,000 and provided to micro-enterprises) according to SEC/2011/1134 final	Yes
9.0.3. Guarantees	No

9.0.4. Equity	No
9.0.5. Quasi-equity	No
9.0.6. Other financial products	No
9.0.7. Other support combined with a financial instrument	No
9.1. Description of the other financial product	
9.2. Other support combined within the financial instrument: grant, interest rate subsidy, guarantee fee subsidy pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial institution	Fiduciary account
<b>III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)</b>	
<b>11. Body implementing the financial instrument</b>	
11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European Investment Bank; the European Investment Fund; international financial institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only)	Body governed by public or private law
11.1.1. Name of the body implementing the financial instrument	NEL Fund Managers
11.1.2. Official address/place of business (country and town name) of the body implementing the financial instrument	4 Enterprise House, Kingsway, Team Valley, NE11 0SR
12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure	Selection in accordance with the provisions of the public procurement directive
12.1. Description of the other procedure of selecting the body implementing the financial instrument	
13. Date of signature of the funding agreement with the body implementing the financial instrument	10-Apr-2018
<b>IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)</b>	
14. Total amount of programme contributions committed in the funding agreement (in EUR)	11,168,429.00
14.1. out of which ESI Funds contributions (in EUR)	4,666,808.00
14.1.1. out of which ERDF (in EUR) (optional)	4,666,808.00
14.1.2. out of which Cohesion Fund (in EUR) (optional)	
14.1.3. out of which ESF (in EUR) (optional)	
14.1.4. out of which EAFRD (in EUR) (optional)	
14.1.5. out of which EMFF (in EUR) (optional)	

15. Total amount of programme contributions paid to the financial instrument (in EUR)	11,168,429.00
15.1. out of which amount of ESI Funds contributions (in EUR)	4,666,808.00
15.1.1. out of which ERDF (in EUR)	4,666,808.00
15.1.2. out of which Cohesion Fund (in EUR)	
15.1.3. out of which ESF (in EUR)	
15.1.4. out of which EAFRD (in EUR)	
15.1.5. out of which EMFF (in EUR)	
15.2. out of which total amount of national co-financing (in EUR)	6,501,621.00
15.2.1. out of which total amount of national public funding (in EUR)	917,407.00
15.2.2. out of which total amount of national private funding (in EUR)	5,584,214.00
16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR)	
17. Total amount of management costs and fees paid out of programme contributions (in EUR)	1,707,368.00
17.1. out of which base remuneration (in EUR)	1,619,769.00
17.2. out of which performance-based remuneration (in EUR)	87,599.00
18. Capitalised management costs or fees pursuant to Article 42(2) of Regulation (EU) No 1303/2013 (relevant only for final report) (in EUR)	
19. Capitalised interest rate subsidies or guarantee fee subsidies pursuant to Article 42(1)(c) of Regulation (EU) No 1303/2013 (relevant to final report only) (in EUR)	
20. Amount of programme contributions for follow-on investments in final recipients pursuant to Article 42(3) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
<b>V. Total amount of support paid to final recipients, or to the benefit of final recipients, or committed in guarantee contracts by the financial instrument for investments in final recipients, by ESI Funds programme and priority or measure (Article 46(2)(e) of Regulation (EU) No 1303/2013)</b>	
<b>22. Name of financial product offered by the financial instrument</b>	<b>North East Micro Loan Fund</b>
22.1. Type of financial product offered by the financial instrument	Loan
24. Total amount of programme contributions committed in this loan, guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR)	8,971,219.00
24.1. out of which total amount of ESI Funds contributions (in EUR)	3,748,688.00
25. Total amount of programme contributions paid to final recipients through this loan, micro-loans, equity or other products, or, in the case of guarantee, committed for loans paid to final recipients, by product (in EUR)	8,971,219.00
25.1. out of which total amount of ESI Funds contributions (in EUR)	3,748,688.00
25.1.1. out of which ERDF (in EUR)	3,748,688.00
25.1.2. out of which Cohesion Fund (in EUR)	
25.1.3. out of which ESF (in EUR)	
25.1.4. out of which EAFRD (in EUR)	
25.1.5. out of which EMFF (in EUR)	
25.2. out of which total amount of national public co-financing (in EUR)	736,922.00



25.3. out of which total amount of national private co-financing (in EUR)	4,485,609.00
27. Number of loan/guarantee/equity or quasi-equity/other financial product contracts signed with final recipients, by product	157
28. Number of loan/guarantee/equity or quasi-equity/other financial product investments made in final recipients, by product	157
29. Number of financial recipients supported by the financial product	151
29.1. out of which large enterprises	
29.2. out of which SMEs	151
29.2.1. out of which microenterprises	
29.3. out of which individuals/natural persons	
29.4. out of which other type of final recipients supported	
29.4.1. description of other type of final recipients supported	
33. Total number of disbursed loans defaulted or total number of guarantees provided and called due to the loan default	17
34. Total amount of disbursed loans defaulted (in EUR) or total amount committed for guarantees provided and called due to loan default (in EUR)	491,393.00
<b>38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)</b>	
38.3. Total amount of other contributions, outside the ESI Funds mobilised at the level of final recipients (in EUR)	5,222,531.00
38.3.1. out of which public contributions (EUR)	736,922.00
38.3.2. out of which private contributions (EUR)	4,485,609.00
38.3A. Contribution under EIB financial product mobilised at the level of final recipient (only for the instruments under Article 38(1)(c)) (in EUR)	
<b>39. Expected and achieved leverage effect, by reference to the funding agreement</b>	
39.1. Expected leverage effect for loan/guarantee/equity or quasi-equity investment/other financial product, by reference to the funding agreement, by product	2.39
39.2. Achieved leverage effect at the end of reporting year for loan/guarantee/equity or quasi-equity investment/other financial product, by product	1.84
39.3. Investment mobilised through ESIF financial instruments for loan/guarantee/equity and quasi-equity investment, by product (Optional)	8,064,450.00
<b>22. Name of financial product offered by the financial instrument</b>	<b>North East Micro Loan Fund - Micro Loans</b>
22.1. Type of financial product offered by the financial instrument	Loan
24. Total amount of programme contributions committed in this loan, guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR)	2,031,603.00
24.1. out of which total amount of ESI Funds contributions (in EUR)	848,920.00
25. Total amount of programme contributions paid to final recipients through this loan, micro-loans, equity or other products, or, in the case of guarantee, committed for loans paid to final recipients, by product (in EUR)	489,842.00
25.1. out of which total amount of ESI Funds contributions (in EUR)	204,684.00
25.1.1. out of which ERDF (in EUR)	204,684.00
25.1.2. out of which Cohesion Fund (in EUR)	
25.1.3. out of which ESF (in EUR)	

25.1.4. out of which EAFRD (in EUR)	
25.1.5. out of which EMFF (in EUR)	
25.2. out of which total amount of national public co-financing (in EUR)	40,237.00
25.3. out of which total amount of national private co-financing (in EUR)	244,921.00
27. Number of loan/guarantee/equity or quasi-equity/other financial product contracts signed with final recipients, by product	35
28. Number of loan/guarantee/equity or quasi-equity/other financial product investments made in final recipients, by product	35
29. Number of financial recipients supported by the financial product	31
29.1. out of which large enterprises	
29.2. out of which SMEs	31
29.2.1. out of which microenterprises	
29.3. out of which individuals/natural persons	
29.4. out of which other type of final recipients supported	
29.4.1. description of other type of final recipients supported	
33. Total number of disbursed loans defaulted or total number of guarantees provided and called due to the loan default	
34. Total amount of disbursed loans defaulted (in EUR) or total amount committed for guarantees provided and called due to loan default (in EUR)	
<b>38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)</b>	
38.3. Total amount of other contributions, outside the ESI Funds mobilised at the level of final recipients (in EUR)	285,158.00
38.3.1. out of which public contributions (EUR)	40,237.00
38.3.2. out of which private contributions (EUR)	244,921.00
38.3A. Contribution under EIB financial product mobilised at the level of final recipient (only for the instruments under Article 38(1)(c)) (in EUR)	
<b>39. Expected and achieved leverage effect, by reference to the funding agreement</b>	
39.1. Expected leverage effect for loan/guarantee/equity or quasi-equity investment/other financial product, by reference to the funding agreement, by product	2.39
39.2. Achieved leverage effect at the end of reporting year for loan/guarantee/equity or quasi-equity investment/other financial product, by product	1.84
39.3. Investment mobilised through ESIF financial instruments for loan/guarantee/equity and quasi-equity investment, by product (Optional)	1,022,874.00
<b>VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)</b>	
32. Information whether the financial instrument was still operational at the end of the reporting year	Yes
32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up	
<b>VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)</b>	

35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR)	
36. Amounts repaid to the financial instrument attributable to support from ESI Funds by the end of the reporting year (in EUR)	
36.1. out of which capital repayments (in EUR)	
36.2. out of which gains, other earnings and yields (in EUR)	
37. Amounts of resources attributable to ESI Funds used in accordance with Article 44 and 43a	
37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR)	
37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR)	
37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the financial instrument resulting from negative interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in EUR)	
<b>VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)</b>	
<b>38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)</b>	
38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR)	11,168,429.00
38.1A. Contributions under EIB financial product committed in the funding agreement with the body implementing the financial instrument (only for the instruments under Article 38(1)(c)) (in EUR)	
38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR)	6,501,621.00
38.2.1. out of which public contributions (EUR)	917,407.00
38.2.2. out of which private contributions (EUR)	5,584,214.00
38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR)	
<b>IX. Contribution of the financial instrument to the achievement of the indicators of the priority or measure concerned (Article 46(2)(j) of Regulation (EU) No 1303/2013)</b>	
<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>CO01 - Productive investment: Number of enterprises receiving support</b>
41.1. Target value of the output indicator	545.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	377.00
<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>CO08 - Productive investment: Employment increase in supported enterprises</b>
41.1. Target value of the output indicator	1,200.00

41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	204.91
<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>CO04 - Productive investment: Number of enterprises receiving non-financial support</b>
41.1. Target value of the output indicator	250.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	212.00
<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>CO29 - Research, Innovation: Number of enterprises supported to introduce new to the firm products</b>
41.1. Target value of the output indicator	10.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	24.00
<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>CO03 - Productive investment: Number of enterprises receiving financial support other than grants</b>
41.1. Target value of the output indicator	320.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	170.00
<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>P13 - Number of enterprises receiving information, diagnostic and brokerage</b>
41.1. Target value of the output indicator	640.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	397.00

<b>II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)</b>	
5. Name of the financial instrument	<b>North East Venture Fund</b>
6. Official address/place of business of the financial instrument (name of the country and city)	Enterprise Ventures, Preston Technology Management Centre, Marsh Lane, Preston, PR1 8UQ
8. Type of the financial instrument	Fund of funds specific fund
8.2. Related Fund of Funds	The North East Fund PA3
8.1. Tailor-made or financial instruments complying with standard terms and conditions i.e. "off-the-shelf instruments"	Tailor-made
<b>9. Type of products provided by financial instrument: loans, micro-loans, guarantees, equity, or quasi-equity investments, other financial product or other support combined within the financial instrument pursuant to Article 37(7) of Regulation (EU) No 1303/2013</b>	
9.0.1. Loans ( $\geq$ EUR 25,000)	Yes
9.0.2. Micro-loans ( $<$ EUR 25,000 and provided to micro-enterprises)	No

according to SEC/2011/1134 final	
9.0.3. Guarantees	No
9.0.4. Equity	Yes
9.0.5. Quasi-equity	Yes
9.0.6. Other financial products	No
9.0.7. Other support combined with a financial instrument	No
9.1. Description of the other financial product	
9.2. Other support combined within the financial instrument: grant, interest rate subsidy, guarantee fee subsidy pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial institution	Fiduciary account
<b>III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)</b>	
<b>11. Body implementing the financial instrument</b>	
11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European Investment Bank; the European Investment Fund; international financial institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only)	Body governed by public or private law
11.1.1. Name of the body implementing the financial instrument	Enterprises Ventures
11.1.2. Official address/place of business (country and town name) of the body implementing the financial instrument	Preston Technology Management, Centre Marsh Lane, Preston, Lancashire, PR1 8UQ
12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure	Selection in accordance with the provisions of the public procurement directive
12.1. Description of the other procedure of selecting the body implementing the financial instrument	
13. Date of signature of the funding agreement with the body implementing the financial instrument	17-Apr-2018
<b>IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)</b>	
14. Total amount of programme contributions committed in the funding agreement (in EUR)	31,009,892.00
14.1. out of which ESI Funds contributions (in EUR)	12,957,705.00
14.1.1. out of which ERDF (in EUR) (optional)	12,957,705.00
14.1.2. out of which Cohesion Fund (in EUR) (optional)	

14.1.3. out of which ESF (in EUR) (optional)	
14.1.4. out of which EAFRD (in EUR) (optional)	
14.1.5. out of which EMFF (in EUR) (optional)	
15. Total amount of programme contributions paid to the financial instrument (in EUR)	26,010,256.00
15.1. out of which amount of ESI Funds contributions (in EUR)	10,912,283.00
15.1.1. out of which ERDF (in EUR)	10,912,283.00
15.1.2. out of which Cohesion Fund (in EUR)	
15.1.3. out of which ESF (in EUR)	
15.1.4. out of which EAFRD (in EUR)	
15.1.5. out of which EMFF (in EUR)	
15.2. out of which total amount of national co-financing (in EUR)	15,097,973.00
15.2.1. out of which total amount of national public funding (in EUR)	2,080,820.00
15.2.2. out of which total amount of national private funding (in EUR)	13,017,153.00
16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR)	
17. Total amount of management costs and fees paid out of programme contributions (in EUR)	3,801,964.00
17.1. out of which base remuneration (in EUR)	3,801,964.00
17.2. out of which performance-based remuneration (in EUR)	
18. Capitalised management costs or fees pursuant to Article 42(2) of Regulation (EU) No 1303/2013 (relevant only for final report) (in EUR)	
19. Capitalised interest rate subsidies or guarantee fee subsidies pursuant to Article 42(1)(c) of Regulation (EU) No 1303/2013 (relevant to final report only) (in EUR)	
20. Amount of programme contributions for follow-on investments in final recipients pursuant to Article 42(3) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
<b>V. Total amount of support paid to final recipients, or to the benefit of final recipients, or committed in guarantee contracts by the financial instrument for investments in final recipients, by ESI Funds programme and priority or measure (Article 46(2)(e) of Regulation (EU) No 1303/2013)</b>	
<b>22. Name of financial product offered by the financial instrument</b>	<b>Venture Equity</b>
22.1. Type of financial product offered by the financial instrument	Equity
24. Total amount of programme contributions committed in this loan, guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR)	21,706,924.00
24.1. out of which total amount of ESI Funds contributions (in EUR)	9,070,393.00
25. Total amount of programme contributions paid to final recipients through this loan, micro-loans, equity or other products, or, in the case of guarantee, committed for loans paid to final recipients, by product (in EUR)	15,155,130.00
25.1. out of which total amount of ESI Funds contributions (in EUR)	6,365,154.00
25.1.1. out of which ERDF (in EUR)	6,365,154.00
25.1.2. out of which Cohesion Fund (in EUR)	
25.1.3. out of which ESF (in EUR)	
25.1.4. out of which EAFRD (in EUR)	

25.1.5. out of which EMFF (in EUR)	
25.2. out of which total amount of national public co-financing (in EUR)	1,212,411.00
25.3. out of which total amount of national private co-financing (in EUR)	7,577,565.00
27. Number of loan/guarantee/equity or quasi-equity/other financial product contracts signed with final recipients, by product	36
28. Number of loan/guarantee/equity or quasi-equity/other financial product investments made in final recipients, by product	36
29. Number of financial recipients supported by the financial product	23
29.1. out of which large enterprises	
29.2. out of which SMEs	23
29.2.1. out of which microenterprises	
29.3. out of which individuals/natural persons	
29.4. out of which other type of final recipients supported	
29.4.1. description of other type of final recipients supported	
<b>38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)</b>	
38.3. Total amount of other contributions, outside the ESI Funds mobilised at the level of final recipients (in EUR)	8,789,976.00
38.3.1. out of which public contributions (EUR)	1,212,411.00
38.3.2. out of which private contributions (EUR)	7,577,565.00
38.3A. Contribution under EIB financial product mobilised at the level of final recipient (only for the instruments under Article 38(1)(c)) (in EUR)	
<b>39. Expected and achieved leverage effect, by reference to the funding agreement</b>	
39.1. Expected leverage effect for loan/guarantee/equity or quasi-equity investment/other financial product, by reference to the funding agreement, by product	2.39
39.2. Achieved leverage effect at the end of reporting year for loan/guarantee/equity or quasi-equity investment/other financial product, by product	1.84
39.3. Investment mobilised through ESIF financial instruments for loan/guarantee/equity and quasi-equity investment, by product (Optional)	0.00
40. Value of investments and participations in equity (in EUR)	18,563,991.00
<b>22. Name of financial product offered by the financial instrument</b>	<b>Venture Loans</b>
22.1. Type of financial product offered by the financial instrument	Loan
24. Total amount of programme contributions committed in this loan, guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR)	10,855,126.00
24.1. out of which total amount of ESI Funds contributions (in EUR)	4,535,892.00
25. Total amount of programme contributions paid to final recipients through this loan, micro-loans, equity or other products, or, in the case of guarantee, committed for loans paid to final recipients, by product (in EUR)	10,855,126.00
25.1. out of which total amount of ESI Funds contributions (in EUR)	4,535,892.00
25.1.1. out of which ERDF (in EUR)	4,535,892.00
25.1.2. out of which Cohesion Fund (in EUR)	
25.1.3. out of which ESF (in EUR)	
25.1.4. out of which EAFRD (in EUR)	
25.1.5. out of which EMFF (in EUR)	
25.2. out of which total amount of national public co-financing (in EUR)	891,671.00

25.3. out of which total amount of national private co-financing (in EUR)	5,427,563.00
27. Number of loan/guarantee/equity or quasi-equity/other financial product contracts signed with final recipients, by product	27
28. Number of loan/guarantee/equity or quasi-equity/other financial product investments made in final recipients, by product	27
29. Number of financial recipients supported by the financial product	7
29.1. out of which large enterprises	
29.2. out of which SMEs	7
29.2.1. out of which microenterprises	
29.3. out of which individuals/natural persons	
29.4. out of which other type of final recipients supported	
29.4.1. description of other type of final recipients supported	
33. Total number of disbursed loans defaulted or total number of guarantees provided and called due to the loan default	1
34. Total amount of disbursed loans defaulted (in EUR) or total amount committed for guarantees provided and called due to loan default (in EUR)	554,548.00
<b>38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)</b>	
38.3. Total amount of other contributions, outside the ESI Funds mobilised at the level of final recipients (in EUR)	6,319,234.00
38.3.1. out of which public contributions (EUR)	891,671.00
38.3.2. out of which private contributions (EUR)	5,427,563.00
38.3A. Contribution under EIB financial product mobilised at the level of final recipient (only for the instruments under Article 38(1)(c)) (in EUR)	
<b>39. Expected and achieved leverage effect, by reference to the funding agreement</b>	
39.1. Expected leverage effect for loan/guarantee/equity or quasi-equity investment/other financial product, by reference to the funding agreement, by product	2.39
39.2. Achieved leverage effect at the end of reporting year for loan/guarantee/equity or quasi-equity investment/other financial product, by product	1.85
39.3. Investment mobilised through ESIF financial instruments for loan/guarantee/equity and quasi-equity investment, by product (Optional)	
<b>VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)</b>	
32. Information whether the financial instrument was still operational at the end of the reporting year	Yes
32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up	
<b>VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)</b>	
35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR)	
36. Amounts repaid to the financial instrument attributable to support	



from ESI Funds by the end of the reporting year (in EUR)	
36.1. out of which capital repayments (in EUR)	
36.2. out of which gains, other earnings and yields (in EUR)	
37. Amounts of resources attributable to ESI Funds used in accordance with Article 44 and 43a	
37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR)	
37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR)	
37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the financial instrument resulting from negative interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in EUR)	
<b>VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)</b>	
<b>38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)</b>	
38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR)	31,009,892.00
38.1A. Contributions under EIB financial product committed in the funding agreement with the body implementing the financial instrument (only for the instruments under Article 38(1)(c)) (in EUR)	
38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR)	15,097,973.00
38.2.1. out of which public contributions (EUR)	2,080,820.00
38.2.2. out of which private contributions (EUR)	13,017,153.00
38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR)	
<b>IX. Contribution of the financial instrument to the achievement of the indicators of the priority or measure concerned (Article 46(2)(j) of Regulation (EU) No 1303/2013)</b>	
<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>CO28 - Research, Innovation: Number of enterprises supported to introduce new to the market products</b>
41.1. Target value of the output indicator	20.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	1.00
<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>CO05 - Productive investment: Number of new enterprises supported</b>
41.1. Target value of the output indicator	19.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	18.00

<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>CO01 - Productive investment: Number of enterprises receiving support</b>
41.1. Target value of the output indicator	105.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	62.00
<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>CO04 - Productive investment: Number of enterprises receiving non-financial support</b>
41.1. Target value of the output indicator	70.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	61.00
<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>CO03 - Productive investment: Number of enterprises receiving financial support other than grants</b>
41.1. Target value of the output indicator	60.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	25.00
<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>P13 - Number of enterprises receiving information, diagnostic and brokerage</b>
41.1. Target value of the output indicator	220.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	100.00
<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>CO08 - Productive investment: Employment increase in supported enterprises</b>
41.1. Target value of the output indicator	450.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	108.96
<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>CO29 - Research, Innovation: Number of enterprises supported to introduce new to the firm products</b>
41.1. Target value of the output indicator	30.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	8.00

<b>I. Identification of the programme and priority or measure from which support from the ESI Funds is provided (Article 46(2)(a) of Regulation (EU) No 1303/2013)</b>	
<b>1. Priority axes or measures supporting the financial instrument, including fund of funds, under the ESI Fund programme.</b>	
1.1. Priority axis supporting the financial instrument	4 - Supporting the Shift Towards a Low Carbon

under the ESI Fund programme	Economy in all Sectors
2. Name of ESI Fund(s) supporting the financial instrument under the priority axis or measure	ERDF
<b>3. Thematic objective(s) referred to in the first paragraph of Article 9 of Regulation (EU) No 1303/2013 supported by the financial instrument</b>	<b>04 - Supporting the shift towards a low-carbon economy in all sectors</b>
3.1. Amount of ESI Funds committed in funding agreements from the individual thematic objective chosen in field 3 (optional)	5,835,638.00
<b>4. other ESI Fund programmes providing contribution to the financial instrument</b>	
4.1. CCI number of each other ESI Fund programme providing contributions to the financial instrument	
30. Date of completion of the ex ante assessment	15-Nov-2016
<b>31. Selection of bodies implementing financial instrument</b>	
31.1. Has selection or designation process already been launched	Yes
<b>II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)</b>	
5. Name of the financial instrument	<b>The North East Fund PA4</b>
6. Official address/place of business of the financial instrument (name of the country and city)	West One, Forth Banks, Newcastle upon Tyne, NE1 3PA
<b>7. Implementation arrangements</b>	
7.1. Financial instruments set up at Union level, managed directly or indirectly by the Commission referred to in Article 38(1)(a) of Regulation (EU) No 1303/2013, supported from ESI Fund programme contributions	
7.1.1. Name of the Union-level financial instrument	
7.2. Financial instrument set up at national, regional, transnational or cross-border level, managed by or under the responsibility of the managing authority referred to in Article 38(1)(b), supported from ESI Fund programme contributions under point (a), (b), (c) and d) of Article 38(4) of Regulation (EU) No 1303/2013	Entrustment of implementation tasks, through the direct award of a contract
7.3. Financial instrument combining financial contribution from MA with EIB financial products under European Fund for Strategic Investment in accordance with Article 39a, referred to in Article 38(1)(c)	
8. Type of the financial instrument	Fund of funds
10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial institution	Fiduciary account
<b>III. Identification of the body implementing the financial instrument, and the body implementing</b>	

<b>fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)</b>	
<b>11. Body implementing the financial instrument</b>	
11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European Investment Bank; the European Investment Fund; international financial institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only)	Body governed by public or private law
11.1.1. Name of the body implementing the financial instrument	The North East Fund Limited
11.1.2. Official address/place of business (country and town name) of the body implementing the financial instrument	West One, Forth Banks, Newcastle upon Tyne, NE1 3PA
12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure	Others
12.1. Description of the other procedure of selecting the body implementing the financial instrument	Entrustment of a publicly owned company, via inter-administrative co-operation between the managing authority and local authorities in the North East Local Enterprise Partnership area, in accordance with Article 38 4(b) (iii) of the CPR, and s3.6.2 of Commission Notice 2016/C 276/01
13. Date of signature of the funding agreement with the body implementing the financial instrument	15-Nov-2016
<b>IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)</b>	
14. Total amount of programme contributions committed in the funding agreement (in EUR)	11,076,020.00
14.1. out of which ESI Funds contributions (in EUR)	5,835,638.00
14.1.1. out of which ERDF (in EUR) (optional)	5,835,638.00
14.1.2. out of which Cohesion Fund (in EUR) (optional)	
14.1.3. out of which ESF (in EUR) (optional)	
14.1.4. out of which EAFRD (in EUR) (optional)	
14.1.5. out of which EMFF (in EUR) (optional)	
15. Total amount of programme contributions paid to the financial instrument (in EUR)	10,125,789.00
15.1. out of which amount of ESI Funds contributions (in EUR)	4,442,855.00
15.1.1. out of which ERDF (in EUR)	4,442,855.00
15.1.2. out of which Cohesion Fund (in EUR)	

15.1.3. out of which ESF (in EUR)	
15.1.4. out of which EAFRD (in EUR)	
15.1.5. out of which EMFF (in EUR)	
15.2. out of which total amount of national co-financing (in EUR)	5,682,934.00
15.2.1. out of which total amount of national public funding (in EUR)	795,611.00
15.2.2. out of which total amount of national private funding (in EUR)	4,887,323.00
16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR)	
17. Total amount of management costs and fees paid out of programme contributions (in EUR)	0.00
17.1. out of which base remuneration (in EUR)	
17.2. out of which performance-based remuneration (in EUR)	
21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
<b>VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)</b>	
32. Information whether the financial instrument was still operational at the end of the reporting year	Yes
32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up	
<b>VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)</b>	
35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR)	40,962.00
37. Amounts of resources attributable to ESI Funds used in accordance with Article 44 and 43a	
37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR)	
37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR)	
37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the	

financial instrument resulting from negative interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in EUR)	
<b>VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)</b>	
<b>38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)</b>	
38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR)	11,076,020.00
38.1A. Contributions under EIB financial product committed in the funding agreement with the body implementing the financial instrument (only for the instruments under Article 38(1)(c)) (in EUR)	
38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR)	5,682,934.00
38.2.1. out of which public contributions (EUR)	795,611.00
38.2.2. out of which private contributions (EUR)	4,887,323.00
38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR)	

<b>II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)</b>	
5. Name of the financial instrument	<b>North East Development Fund</b>
6. Official address/place of business of the financial instrument (name of the country and city)	Maven Capital Partners, 5th Floor, 1-2 Royal Exchange Buildings, London, England, EC3V 3LF
8. Type of the financial instrument	Fund of funds specific fund
8.2. Related Fund of Funds	The North East Fund PA4
8.1. Tailor-made or financial instruments complying with standard terms and conditions i.e. "off-the-shelf instruments"	Tailor-made
<b>9. Type of products provided by financial instrument: loans, micro-loans, guarantees, equity, or quasi-equity investments, other financial product or other support combined within the financial instrument pursuant to Article 37(7) of Regulation (EU) No 1303/2013</b>	
9.0.1. Loans ( $\geq$ EUR 25,000)	Yes
9.0.2. Micro-loans ( $<$ EUR 25,000 and provided to micro-enterprises) according to SEC/2011/1134 final	No
9.0.3. Guarantees	No
9.0.4. Equity	Yes
9.0.5. Quasi-equity	Yes
9.0.6. Other financial products	No
9.0.7. Other support combined with a financial instrument	No

9.1. Description of the other financial product	
9.2. Other support combined within the financial instrument: grant, interest rate subsidy, guarantee fee subsidy pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial institution	Fiduciary account
<b>III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)</b>	
<b>11. Body implementing the financial instrument</b>	
11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European Investment Bank; the European Investment Fund; international financial institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only)	Body governed by public or private law
11.1.1. Name of the body implementing the financial instrument	Maven Capital Partners
11.1.2. Official address/place of business (country and town name) of the body implementing the financial instrument	5th Floor, 1-2 Royal Exchange Buildings, London, England, EC3V 3LF
12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure	Selection in accordance with the provisions of the public procurement directive
12.1. Description of the other procedure of selecting the body implementing the financial instrument	
13. Date of signature of the funding agreement with the body implementing the financial instrument	13-Apr-2018
<b>IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)</b>	
14. Total amount of programme contributions committed in the funding agreement (in EUR)	2,770,090.00
14.1. out of which ESI Funds contributions (in EUR)	1,157,502.00
14.1.1. out of which ERDF (in EUR) (optional)	1,157,502.00
14.1.2. out of which Cohesion Fund (in EUR) (optional)	
14.1.3. out of which ESF (in EUR) (optional)	
14.1.4. out of which EAFRD (in EUR) (optional)	
14.1.5. out of which EMFF (in EUR) (optional)	
15. Total amount of programme contributions paid to the financial instrument (in EUR)	2,328,931.00
15.1. out of which amount of ESI Funds contributions (in EUR)	978,151.00

15.1.1. out of which ERDF (in EUR)	978,151.00
15.1.2. out of which Cohesion Fund (in EUR)	
15.1.3. out of which ESF (in EUR)	
15.1.4. out of which EAFRD (in EUR)	
15.1.5. out of which EMFF (in EUR)	
15.2. out of which total amount of national co-financing (in EUR)	1,350,780.00
15.2.1. out of which total amount of national public funding (in EUR)	186,314.00
15.2.2. out of which total amount of national private funding (in EUR)	1,164,466.00
16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR)	
17. Total amount of management costs and fees paid out of programme contributions (in EUR)	0.00
17.1. out of which base remuneration (in EUR)	
17.2. out of which performance-based remuneration (in EUR)	
18. Capitalised management costs or fees pursuant to Article 42(2) of Regulation (EU) No 1303/2013 (relevant only for final report) (in EUR)	
19. Capitalised interest rate subsidies or guarantee fee subsidies pursuant to Article 42(1)(c) of Regulation (EU) No 1303/2013 (relevant to final report only) (in EUR)	
20. Amount of programme contributions for follow-on investments in final recipients pursuant to Article 42(3) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
<b>V. Total amount of support paid to final recipients, or to the benefit of final recipients, or committed in guarantee contracts by the financial instrument for investments in final recipients, by ESI Funds programme and priority or measure (Article 46(2)(e) of Regulation (EU) No 1303/2013)</b>	
<b>22. Name of financial product offered by the financial instrument</b>	<b>Development Equity - PA4</b>
22.1. Type of financial product offered by the financial instrument	Equity
24. Total amount of programme contributions committed in this loan, guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR)	0.00
24.1. out of which total amount of ESI Funds contributions (in EUR)	0.00
25. Total amount of programme contributions paid to final recipients through this loan, micro-loans, equity or other products, or, in the case of guarantee, committed for loans paid to final recipients, by product (in EUR)	
25.1. out of which total amount of ESI Funds contributions (in EUR)	
25.1.1. out of which ERDF (in EUR)	
25.1.2. out of which Cohesion Fund (in EUR)	
25.1.3. out of which ESF (in EUR)	
25.1.4. out of which EAFRD (in EUR)	
25.1.5. out of which EMFF (in EUR)	
25.2. out of which total amount of national public co-financing (in EUR)	
25.3. out of which total amount of national private co-financing (in EUR)	
27. Number of loan/guarantee/equity or quasi-equity/other financial product contracts signed with final recipients, by product	
28. Number of loan/guarantee/equity or quasi-equity/other financial	



product investments made in final recipients, by product	
29. Number of financial recipients supported by the financial product	
29.1. out of which large enterprises	
29.2. out of which SMEs	
29.2.1. out of which microenterprises	
29.3. out of which individuals/natural persons	
29.4. out of which other type of final recipients supported	
29.4.1. description of other type of final recipients supported	
<b>38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)</b>	
38.3. Total amount of other contributions, outside the ESI Funds mobilised at the level of final recipients (in EUR)	
38.3.1. out of which public contributions (EUR)	
38.3.2. out of which private contributions (EUR)	
38.3A. Contribution under EIB financial product mobilised at the level of final recipient (only for the instruments under Article 38(1)(c)) (in EUR)	
<b>39. Expected and achieved leverage effect, by reference to the funding agreement</b>	
39.1. Expected leverage effect for loan/guarantee/equity or quasi-equity investment/other financial product, by reference to the funding agreement, by product	0.00
39.2. Achieved leverage effect at the end of reporting year for loan/guarantee/equity or quasi-equity investment/other financial product, by product	
39.3. Investment mobilised through ESIF financial instruments for loan/guarantee/equity and quasi-equity investment, by product (Optional)	0.00
40. Value of investments and participations in equity (in EUR)	
<b>22. Name of financial product offered by the financial instrument</b>	<b>Development Loan - PA4</b>
22.1. Type of financial product offered by the financial instrument	Loan
24. Total amount of programme contributions committed in this loan, guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR)	2,328,931.00
24.1. out of which total amount of ESI Funds contributions (in EUR)	978,151.00
25. Total amount of programme contributions paid to final recipients through this loan, micro-loans, equity or other products, or, in the case of guarantee, committed for loans paid to final recipients, by product (in EUR)	2,328,931.00
25.1. out of which total amount of ESI Funds contributions (in EUR)	978,151.00
25.1.1. out of which ERDF (in EUR)	978,151.00
25.1.2. out of which Cohesion Fund (in EUR)	
25.1.3. out of which ESF (in EUR)	
25.1.4. out of which EAFRD (in EUR)	
25.1.5. out of which EMFF (in EUR)	
25.2. out of which total amount of national public co-financing (in EUR)	186,314.00
25.3. out of which total amount of national private co-financing (in EUR)	1,164,466.00
27. Number of loan/guarantee/equity or quasi-equity/other financial product contracts signed with final recipients, by product	3
28. Number of loan/guarantee/equity or quasi-equity/other financial product investments made in final recipients, by product	3
29. Number of financial recipients supported by the financial product	2

29.1. out of which large enterprises	
29.2. out of which SMEs	2
29.2.1. out of which microenterprises	
29.3. out of which individuals/natural persons	
29.4. out of which other type of final recipients supported	
29.4.1. description of other type of final recipients supported	
33. Total number of disbursed loans defaulted or total number of guarantees provided and called due to the loan default	
34. Total amount of disbursed loans defaulted (in EUR) or total amount committed for guarantees provided and called due to loan default (in EUR)	
<b>38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)</b>	
38.3. Total amount of other contributions, outside the ESI Funds mobilised at the level of final recipients (in EUR)	20,516,112.00
38.3.1. out of which public contributions (EUR)	
38.3.2. out of which private contributions (EUR)	20,516,112.00
38.3A. Contribution under EIB financial product mobilised at the level of final recipient (only for the instruments under Article 38(1)(c)) (in EUR)	
<b>39. Expected and achieved leverage effect, by reference to the funding agreement</b>	
39.1. Expected leverage effect for loan/guarantee/equity or quasi-equity investment/other financial product, by reference to the funding agreement, by product	2.39
39.2. Achieved leverage effect at the end of reporting year for loan/guarantee/equity or quasi-equity investment/other financial product, by product	21.97
39.3. Investment mobilised through ESIF financial instruments for loan/guarantee/equity and quasi-equity investment, by product (Optional)	
<b>VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)</b>	
32. Information whether the financial instrument was still operational at the end of the reporting year	Yes
32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up	
<b>VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)</b>	
35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR)	
36. Amounts repaid to the financial instrument attributable to support from ESI Funds by the end of the reporting year (in EUR)	
36.1. out of which capital repayments (in EUR)	
36.2. out of which gains, other earnings and yields (in EUR)	
37. Amounts of resources attributable to ESI Funds used in accordance with Article 44 and 43a	
37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart	

resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR)	
37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR)	
37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the financial instrument resulting from negative interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in EUR)	
<b>VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)</b>	
<b>38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)</b>	
38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR)	2,770,090.00
38.1A. Contributions under EIB financial product committed in the funding agreement with the body implementing the financial instrument (only for the instruments under Article 38(1)(c)) (in EUR)	
38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR)	1,350,780.00
38.2.1. out of which public contributions (EUR)	186,314.00
38.2.2. out of which private contributions (EUR)	1,164,466.00
38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR)	
<b>IX. Contribution of the financial instrument to the achievement of the indicators of the priority or measure concerned (Article 46(2)(j) of Regulation (EU) No 1303/2013)</b>	
<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>CO01 - Productive investment: Number of enterprises receiving support</b>
41.1. Target value of the output indicator	7.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	2.00

<b>II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)</b>	
5. Name of the financial instrument	<b>North East Growth Fund</b>
6. Official address/place of business of the financial instrument (name of the country and city)	NEL Fund Managers, 4 Enterprise House, Kingsway, Team Valley, NE11 0SR
8. Type of the financial instrument	Fund of funds specific fund
8.2. Related Fund of Funds	The North East Fund PA4

8.1. Tailor-made or financial instruments complying with standard terms and conditions i.e. "off-the-shelf instruments"	Tailor-made
<b>9. Type of products provided by financial instrument: loans, micro-loans, guarantees, equity, or quasi-equity investments, other financial product or other support combined within the financial instrument pursuant to Article 37(7) of Regulation (EU) No 1303/2013</b>	
9.0.1. Loans ( $\geq$ EUR 25,000)	Yes
9.0.2. Micro-loans ( $<$ EUR 25,000 and provided to micro-enterprises) according to SEC/2011/1134 final	No
9.0.3. Guarantees	No
9.0.4. Equity	Yes
9.0.5. Quasi-equity	Yes
9.0.6. Other financial products	No
9.0.7. Other support combined with a financial instrument	No
9.1. Description of the other financial product	
9.2. Other support combined within the financial instrument: grant, interest rate subsidy, guarantee fee subsidy pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial institution	Fiduciary account
<b>III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)</b>	
<b>11. Body implementing the financial instrument</b>	
11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European Investment Bank; the European Investment Fund; international financial institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only)	Body governed by public or private law
11.1.1. Name of the body implementing the financial instrument	NEL Fund Managers
11.1.2. Official address/place of business (country and town name) of the body implementing the financial instrument	4 Enterprise House, Kingsway, Team Valley, NE11 0SR
12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure	Selection in accordance with the provisions of the public procurement directive
12.1. Description of the other procedure of selecting the body implementing the financial instrument	
13. Date of signature of the funding agreement with the body implementing the financial instrument	10-Apr-2018
<b>IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)</b>	

14. Total amount of programme contributions committed in the funding agreement (in EUR)	1,837,585.00
14.1. out of which ESI Funds contributions (in EUR)	767,848.00
14.1.1. out of which ERDF (in EUR) (optional)	767,848.00
14.1.2. out of which Cohesion Fund (in EUR) (optional)	
14.1.3. out of which ESF (in EUR) (optional)	
14.1.4. out of which EAFRD (in EUR) (optional)	
14.1.5. out of which EMFF (in EUR) (optional)	
15. Total amount of programme contributions paid to the financial instrument (in EUR)	1,822,642.00
15.1. out of which amount of ESI Funds contributions (in EUR)	765,510.00
15.1.1. out of which ERDF (in EUR)	765,510.00
15.1.2. out of which Cohesion Fund (in EUR)	
15.1.3. out of which ESF (in EUR)	
15.1.4. out of which EAFRD (in EUR)	
15.1.5. out of which EMFF (in EUR)	
15.2. out of which total amount of national co-financing (in EUR)	1,057,132.00
15.2.1. out of which total amount of national public funding (in EUR)	145,811.00
15.2.2. out of which total amount of national private funding (in EUR)	911,321.00
16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR)	
17. Total amount of management costs and fees paid out of programme contributions (in EUR)	0.00
17.1. out of which base remuneration (in EUR)	
17.2. out of which performance-based remuneration (in EUR)	
18. Capitalised management costs or fees pursuant to Article 42(2) of Regulation (EU) No 1303/2013 (relevant only for final report) (in EUR)	
19. Capitalised interest rate subsidies or guarantee fee subsidies pursuant to Article 42(1)(c) of Regulation (EU) No 1303/2013 (relevant to final report only) (in EUR)	
20. Amount of programme contributions for follow-on investments in final recipients pursuant to Article 42(3) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
<b>V. Total amount of support paid to final recipients, or to the benefit of final recipients, or committed in guarantee contracts by the financial instrument for investments in final recipients, by ESI Funds programme and priority or measure (Article 46(2)(e) of Regulation (EU) No 1303/2013)</b>	
<b>22. Name of financial product offered by the financial instrument</b>	<b>Growth Equity - PA4</b>
22.1. Type of financial product offered by the financial instrument	Equity
24. Total amount of programme contributions committed in this loan, guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR)	0.00
24.1. out of which total amount of ESI Funds contributions (in EUR)	0.00
25. Total amount of programme contributions paid to final recipients through this loan, micro-loans, equity or other products, or, in the case of guarantee, committed for loans paid to final recipients, by product (in EUR)	
25.1. out of which total amount of ESI Funds contributions (in EUR)	

25.1.1. out of which ERDF (in EUR)	
25.1.2. out of which Cohesion Fund (in EUR)	
25.1.3. out of which ESF (in EUR)	
25.1.4. out of which EAFRD (in EUR)	
25.1.5. out of which EMFF (in EUR)	
25.2. out of which total amount of national public co-financing (in EUR)	
25.3. out of which total amount of national private co-financing (in EUR)	
27. Number of loan/guarantee/equity or quasi-equity/other financial product contracts signed with final recipients, by product	
28. Number of loan/guarantee/equity or quasi-equity/other financial product investments made in final recipients, by product	
29. Number of financial recipients supported by the financial product	
29.1. out of which large enterprises	
29.2. out of which SMEs	
29.2.1. out of which microenterprises	
29.3. out of which individuals/natural persons	
29.4. out of which other type of final recipients supported	
29.4.1. description of other type of final recipients supported	
<b>38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)</b>	
38.3. Total amount of other contributions, outside the ESI Funds mobilised at the level of final recipients (in EUR)	
38.3.1. out of which public contributions (EUR)	
38.3.2. out of which private contributions (EUR)	
38.3A. Contribution under EIB financial product mobilised at the level of final recipient (only for the instruments under Article 38(1)(c)) (in EUR)	
<b>39. Expected and achieved leverage effect, by reference to the funding agreement</b>	
39.1. Expected leverage effect for loan/guarantee/equity or quasi-equity investment/other financial product, by reference to the funding agreement, by product	0.00
39.2. Achieved leverage effect at the end of reporting year for loan/guarantee/equity or quasi-equity investment/other financial product, by product	
39.3. Investment mobilised through ESIF financial instruments for loan/guarantee/equity and quasi-equity investment, by product (Optional)	0.00
40. Value of investments and participations in equity (in EUR)	
<b>22. Name of financial product offered by the financial instrument</b>	<b>Growth Loan - PA4</b>
22.1. Type of financial product offered by the financial instrument	Loan
24. Total amount of programme contributions committed in this loan, guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR)	1,822,642.00
24.1. out of which total amount of ESI Funds contributions (in EUR)	765,510.00
25. Total amount of programme contributions paid to final recipients through this loan, micro-loans, equity or other products, or, in the case of guarantee, committed for loans paid to final recipients, by product (in EUR)	1,822,642.00
25.1. out of which total amount of ESI Funds contributions (in EUR)	765,510.00
25.1.1. out of which ERDF (in EUR)	765,510.00
25.1.2. out of which Cohesion Fund (in EUR)	
25.1.3. out of which ESF (in EUR)	

25.1.4. out of which EAFRD (in EUR)	
25.1.5. out of which EMFF (in EUR)	
25.2. out of which total amount of national public co-financing (in EUR)	145,811.00
25.3. out of which total amount of national private co-financing (in EUR)	911,321.00
27. Number of loan/guarantee/equity or quasi-equity/other financial product contracts signed with final recipients, by product	6
28. Number of loan/guarantee/equity or quasi-equity/other financial product investments made in final recipients, by product	6
29. Number of financial recipients supported by the financial product	6
29.1. out of which large enterprises	
29.2. out of which SMEs	6
29.2.1. out of which microenterprises	
29.3. out of which individuals/natural persons	
29.4. out of which other type of final recipients supported	
29.4.1. description of other type of final recipients supported	
33. Total number of disbursed loans defaulted or total number of guarantees provided and called due to the loan default	1
34. Total amount of disbursed loans defaulted (in EUR) or total amount committed for guarantees provided and called due to loan default (in EUR)	354,222.00
<b>38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)</b>	
38.3. Total amount of other contributions, outside the ESI Funds mobilised at the level of final recipients (in EUR)	1,057,132.00
38.3.1. out of which public contributions (EUR)	145,811.00
38.3.2. out of which private contributions (EUR)	911,321.00
38.3A. Contribution under EIB financial product mobilised at the level of final recipient (only for the instruments under Article 38(1)(c)) (in EUR)	
<b>39. Expected and achieved leverage effect, by reference to the funding agreement</b>	
39.1. Expected leverage effect for loan/guarantee/equity or quasi-equity investment/other financial product, by reference to the funding agreement, by product	2.39
39.2. Achieved leverage effect at the end of reporting year for loan/guarantee/equity or quasi-equity investment/other financial product, by product	2.38
39.3. Investment mobilised through ESIF financial instruments for loan/guarantee/equity and quasi-equity investment, by product (Optional)	
<b>VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)</b>	
32. Information whether the financial instrument was still operational at the end of the reporting year	Yes
32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up	
<b>VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)</b>	
35. Interest and other gains generated by payments from ESI Funds to the	

financial instrument (in EUR)	
36. Amounts repaid to the financial instrument attributable to support from ESI Funds by the end of the reporting year (in EUR)	
36.1. out of which capital repayments (in EUR)	
36.2. out of which gains, other earnings and yields (in EUR)	
37. Amounts of resources attributable to ESI Funds used in accordance with Article 44 and 43a	
37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR)	
37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR)	
37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the financial instrument resulting from negative interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in EUR)	
<b>VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)</b>	
<b>38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)</b>	
38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR)	1,837,585.00
38.1A. Contributions under EIB financial product committed in the funding agreement with the body implementing the financial instrument (only for the instruments under Article 38(1)(c)) (in EUR)	
38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR)	1,057,132.00
38.2.1. out of which public contributions (EUR)	145,811.00
38.2.2. out of which private contributions (EUR)	911,321.00
38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR)	
<b>IX. Contribution of the financial instrument to the achievement of the indicators of the priority or measure concerned (Article 46(2)(j) of Regulation (EU) No 1303/2013)</b>	
<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>CO01 - Productive investment: Number of enterprises receiving support</b>
41.1. Target value of the output indicator	13.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	5.00

<b>II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)</b>	
5. Name of the financial instrument	<b>North East Innovation</b>



	<b>Fund</b>
6. Official address/place of business of the financial instrument (name of the country and city)	North Star Ventures,
8. Type of the financial instrument	Fund of funds specific fund
8.2. Related Fund of Funds	The North East Fund PA4
8.1. Tailor-made or financial instruments complying with standard terms and conditions i.e. "off-the-shelf instruments"	Tailor-made
<b>9. Type of products provided by financial instrument: loans, micro-loans, guarantees, equity, or quasi-equity investments, other financial product or other support combined within the financial instrument pursuant to Article 37(7) of Regulation (EU) No 1303/2013</b>	
9.0.1. Loans ( $\geq$ EUR 25,000)	Yes
9.0.2. Micro-loans ( $<$ EUR 25,000 and provided to micro-enterprises) according to SEC/2011/1134 final	No
9.0.3. Guarantees	No
9.0.4. Equity	Yes
9.0.5. Quasi-equity	Yes
9.0.6. Other financial products	No
9.0.7. Other support combined with a financial instrument	No
9.1. Description of the other financial product	
9.2. Other support combined within the financial instrument: grant, interest rate subsidy, guarantee fee subsidy pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial institution	Fiduciary account
<b>III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)</b>	
<b>11. Body implementing the financial instrument</b>	
11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European Investment Bank; the European Investment Fund; international financial institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only)	Body governed by public or private law
11.1.1. Name of the body implementing the financial instrument	North Star Ventures Limited
11.1.2. Official address/place of business (country and town name) of the body implementing the financial instrument	5th Floor Maybrook House, 27-35 Grainger Street, Newcastle upon Tyne, NE1 5JE
12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure	Selection in accordance with the provisions of the public procurement

	directive
12.1. Description of the other procedure of selecting the body implementing the financial instrument	
13. Date of signature of the funding agreement with the body implementing the financial instrument	09-Apr-2018
<b>IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)</b>	
14. Total amount of programme contributions committed in the funding agreement (in EUR)	5,569,184.00
14.1. out of which ESI Funds contributions (in EUR)	2,339,057.00
14.1.1. out of which ERDF (in EUR) (optional)	2,339,057.00
14.1.2. out of which Cohesion Fund (in EUR) (optional)	
14.1.3. out of which ESF (in EUR) (optional)	
14.1.4. out of which EAFRD (in EUR) (optional)	
14.1.5. out of which EMFF (in EUR) (optional)	
15. Total amount of programme contributions paid to the financial instrument (in EUR)	5,569,184.00
15.1. out of which amount of ESI Funds contributions (in EUR)	2,339,057.00
15.1.1. out of which ERDF (in EUR)	2,339,057.00
15.1.2. out of which Cohesion Fund (in EUR)	
15.1.3. out of which ESF (in EUR)	
15.1.4. out of which EAFRD (in EUR)	
15.1.5. out of which EMFF (in EUR)	
15.2. out of which total amount of national co-financing (in EUR)	3,230,127.00
15.2.1. out of which total amount of national public funding (in EUR)	445,535.00
15.2.2. out of which total amount of national private funding (in EUR)	2,784,592.00
16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR)	
17. Total amount of management costs and fees paid out of programme contributions (in EUR)	0.00
17.1. out of which base remuneration (in EUR)	
17.2. out of which performance-based remuneration (in EUR)	
18. Capitalised management costs or fees pursuant to Article 42(2) of Regulation (EU) No 1303/2013 (relevant only for final report) (in EUR)	
19. Capitalised interest rate subsidies or guarantee fee subsidies pursuant to Article 42(1)(c) of Regulation (EU) No 1303/2013 (relevant to final report only) (in EUR)	
20. Amount of programme contributions for follow-on investments in final recipients pursuant to Article 42(3) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
<b>V. Total amount of support paid to final recipients, or to the benefit of final recipients, or committed in guarantee contracts by the financial instrument for investments in final recipients, by ESI Funds programme and priority or measure (Article 46(2)(e) of Regulation (EU) No 1303/2013)</b>	
<b>22. Name of financial product offered by the financial instrument</b>	<b>Innovation Loans - PA4</b>
22.1. Type of financial product offered by the financial instrument	Loan

24. Total amount of programme contributions committed in this loan, guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR)	779,686.00
24.1. out of which total amount of ESI Funds contributions (in EUR)	327,468.00
25. Total amount of programme contributions paid to final recipients through this loan, micro-loans, equity or other products, or, in the case of guarantee, committed for loans paid to final recipients, by product (in EUR)	779,686.00
25.1. out of which total amount of ESI Funds contributions (in EUR)	327,468.00
25.1.1. out of which ERDF (in EUR)	327,468.00
25.1.2. out of which Cohesion Fund (in EUR)	
25.1.3. out of which ESF (in EUR)	
25.1.4. out of which EAFRD (in EUR)	
25.1.5. out of which EMFF (in EUR)	
25.2. out of which total amount of national public co-financing (in EUR)	62,375.00
25.3. out of which total amount of national private co-financing (in EUR)	389,843.00
27. Number of loan/guarantee/equity or quasi-equity/other financial product contracts signed with final recipients, by product	7
28. Number of loan/guarantee/equity or quasi-equity/other financial product investments made in final recipients, by product	7
29. Number of financial recipients supported by the financial product	2
29.1. out of which large enterprises	
29.2. out of which SMEs	2
29.2.1. out of which microenterprises	
29.3. out of which individuals/natural persons	
29.4. out of which other type of final recipients supported	
29.4.1. description of other type of final recipients supported	
33. Total number of disbursed loans defaulted or total number of guarantees provided and called due to the loan default	
34. Total amount of disbursed loans defaulted (in EUR) or total amount committed for guarantees provided and called due to loan default (in EUR)	
<b>38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)</b>	
38.3. Total amount of other contributions, outside the ESI Funds mobilised at the level of final recipients (in EUR)	452,218.00
38.3.1. out of which public contributions (EUR)	62,375.00
38.3.2. out of which private contributions (EUR)	389,843.00
38.3A. Contribution under EIB financial product mobilised at the level of final recipient (only for the instruments under Article 38(1)(c)) (in EUR)	
<b>39. Expected and achieved leverage effect, by reference to the funding agreement</b>	
39.1. Expected leverage effect for loan/guarantee/equity or quasi-equity investment/other financial product, by reference to the funding agreement, by product	0.00
39.2. Achieved leverage effect at the end of reporting year for loan/guarantee/equity or quasi-equity investment/other financial product, by product	2.38
39.3. Investment mobilised through ESIF financial instruments for loan/guarantee/equity and quasi-equity investment, by product (Optional)	0.00
<b>22. Name of financial product offered by the financial instrument</b>	<b>Innovation Equity - PA4</b>
22.1. Type of financial product offered by the financial instrument	Equity

24. Total amount of programme contributions committed in this loan, guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR)	4,789,498.00
24.1. out of which total amount of ESI Funds contributions (in EUR)	2,011,589.00
25. Total amount of programme contributions paid to final recipients through this loan, micro-loans, equity or other products, or, in the case of guarantee, committed for loans paid to final recipients, by product (in EUR)	4,789,498.00
25.1. out of which total amount of ESI Funds contributions (in EUR)	2,011,589.00
25.1.1. out of which ERDF (in EUR)	2,011,589.00
25.1.2. out of which Cohesion Fund (in EUR)	
25.1.3. out of which ESF (in EUR)	
25.1.4. out of which EAFRD (in EUR)	
25.1.5. out of which EMFF (in EUR)	
25.2. out of which total amount of national public co-financing (in EUR)	383,160.00
25.3. out of which total amount of national private co-financing (in EUR)	2,394,749.00
27. Number of loan/guarantee/equity or quasi-equity/other financial product contracts signed with final recipients, by product	18
28. Number of loan/guarantee/equity or quasi-equity/other financial product investments made in final recipients, by product	18
29. Number of financial recipients supported by the financial product	8
29.1. out of which large enterprises	
29.2. out of which SMEs	8
29.2.1. out of which microenterprises	
29.3. out of which individuals/natural persons	
29.4. out of which other type of final recipients supported	
29.4.1. description of other type of final recipients supported	
<b>38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)</b>	
38.3. Total amount of other contributions, outside the ESI Funds mobilised at the level of final recipients (in EUR)	2,777,909.00
38.3.1. out of which public contributions (EUR)	383,160.00
38.3.2. out of which private contributions (EUR)	2,394,749.00
38.3A. Contribution under EIB financial product mobilised at the level of final recipient (only for the instruments under Article 38(1)(c)) (in EUR)	
<b>39. Expected and achieved leverage effect, by reference to the funding agreement</b>	
39.1. Expected leverage effect for loan/guarantee/equity or quasi-equity investment/other financial product, by reference to the funding agreement, by product	2.39
39.2. Achieved leverage effect at the end of reporting year for loan/guarantee/equity or quasi-equity investment/other financial product, by product	2.38
39.3. Investment mobilised through ESIF financial instruments for loan/guarantee/equity and quasi-equity investment, by product (Optional)	
40. Value of investments and participations in equity (in EUR)	5,494,995.00
<b>VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)</b>	
32. Information whether the financial instrument was still operational at the end of the reporting year	Yes

32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up	
<b>VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)</b>	
35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR)	
36. Amounts repaid to the financial instrument attributable to support from ESI Funds by the end of the reporting year (in EUR)	
36.1. out of which capital repayments (in EUR)	
36.2. out of which gains, other earnings and yields (in EUR)	
37. Amounts of resources attributable to ESI Funds used in accordance with Article 44 and 43a	
37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR)	
37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR)	
37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the financial instrument resulting from negative interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in EUR)	
<b>VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)</b>	
<b>38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)</b>	
38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR)	5,569,184.00
38.1A. Contributions under EIB financial product committed in the funding agreement with the body implementing the financial instrument (only for the instruments under Article 38(1)(c)) (in EUR)	
38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR)	3,230,127.00
38.2.1. out of which public contributions (EUR)	445,535.00
38.2.2. out of which private contributions (EUR)	2,784,592.00
38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR)	
<b>IX. Contribution of the financial instrument to the achievement of the indicators of the priority or measure concerned (Article 46(2)(j) of Regulation (EU) No 1303/2013)</b>	
<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>CO05 - Productive investment: Number of new enterprises supported</b>

41.1. Target value of the output indicator	8.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	4.00
<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>CO01 - Productive investment: Number of enterprises receiving support</b>
41.1. Target value of the output indicator	25.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	10.00

<b>II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)</b>	
5. Name of the financial instrument	<b>North East Micro Loan</b>
6. Official address/place of business of the financial instrument (name of the country and city)	NEL Fund Managers, 4 Enterprise House, Kingsway, Team Valley, NE11 0SR
8. Type of the financial instrument	Fund of funds specific fund
8.2. Related Fund of Funds	The North East Fund PA4
8.1. Tailor-made or financial instruments complying with standard terms and conditions i.e. "off-the-shelf instruments"	Tailor-made
<b>9. Type of products provided by financial instrument: loans, micro-loans, guarantees, equity, or quasi-equity investments, other financial product or other support combined within the financial instrument pursuant to Article 37(7) of Regulation (EU) No 1303/2013</b>	
9.0.1. Loans ( $\geq$ EUR 25,000)	Yes
9.0.2. Micro-loans ( $<$ EUR 25,000 and provided to micro-enterprises) according to SEC/2011/1134 final	Yes
9.0.3. Guarantees	No
9.0.4. Equity	No
9.0.5. Quasi-equity	No
9.0.6. Other financial products	No
9.0.7. Other support combined with a financial instrument	No
9.1. Description of the other financial product	
9.2. Other support combined within the financial instrument: grant, interest rate subsidy, guarantee fee subsidy pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial institution	Fiduciary account
<b>III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)</b>	
<b>11. Body implementing the financial instrument</b>	

11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European Investment Bank; the European Investment Fund; international financial institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only)	Body governed by public or private law
11.1.1. Name of the body implementing the financial instrument	NEL Fund Managers
11.1.2. Official address/place of business (country and town name) of the body implementing the financial instrument	4 Enterprise House, Kingsway, Team Valley, NE11 0SR
12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure	Selection in accordance with the provisions of the public procurement directive
12.1. Description of the other procedure of selecting the body implementing the financial instrument	
13. Date of signature of the funding agreement with the body implementing the financial instrument	10-Apr-2018
<b>IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)</b>	
14. Total amount of programme contributions committed in the funding agreement (in EUR)	914,221.00
14.1. out of which ESI Funds contributions (in EUR)	405,038.00
14.1.1. out of which ERDF (in EUR) (optional)	405,038.00
14.1.2. out of which Cohesion Fund (in EUR) (optional)	
14.1.3. out of which ESF (in EUR) (optional)	
14.1.4. out of which EAFRD (in EUR) (optional)	
14.1.5. out of which EMFF (in EUR) (optional)	
15. Total amount of programme contributions paid to the financial instrument (in EUR)	405,038.00
15.1. out of which amount of ESI Funds contributions (in EUR)	170,113.00
15.1.1. out of which ERDF (in EUR)	170,113.00
15.1.2. out of which Cohesion Fund (in EUR)	
15.1.3. out of which ESF (in EUR)	
15.1.4. out of which EAFRD (in EUR)	
15.1.5. out of which EMFF (in EUR)	
15.2. out of which total amount of national co-financing (in EUR)	234,925.00
15.2.1. out of which total amount of national public funding (in EUR)	32,409.00
15.2.2. out of which total amount of national private funding (in EUR)	202,516.00
16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR)	
17. Total amount of management costs and fees paid out of programme contributions (in EUR)	0.00
17.1. out of which base remuneration (in EUR)	
17.2. out of which performance-based remuneration (in EUR)	
18. Capitalised management costs or fees pursuant to Article 42(2) of	

Regulation (EU) No 1303/2013 (relevant only for final report) (in EUR)	
19. Capitalised interest rate subsidies or guarantee fee subsidies pursuant to Article 42(1)(c) of Regulation (EU) No 1303/2013 (relevant to final report only) (in EUR)	
20. Amount of programme contributions for follow-on investments in final recipients pursuant to Article 42(3) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
<b>V. Total amount of support paid to final recipients, or to the benefit of final recipients, or committed in guarantee contracts by the financial instrument for investments in final recipients, by ESI Funds programme and priority or measure (Article 46(2)(e) of Regulation (EU) No 1303/2013)</b>	
<b>22. Name of financial product offered by the financial instrument</b>	<b>Micro Loans - Micro Loans - PA4</b>
22.1. Type of financial product offered by the financial instrument	Loan
24. Total amount of programme contributions committed in this loan, guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR)	45,711.00
24.1. out of which total amount of ESI Funds contributions (in EUR)	19,101.00
25. Total amount of programme contributions paid to final recipients through this loan, micro-loans, equity or other products, or, in the case of guarantee, committed for loans paid to final recipients, by product (in EUR)	0.00
25.1. out of which total amount of ESI Funds contributions (in EUR)	0.00
25.1.1. out of which ERDF (in EUR)	0.00
25.1.2. out of which Cohesion Fund (in EUR)	
25.1.3. out of which ESF (in EUR)	
25.1.4. out of which EAFRD (in EUR)	
25.1.5. out of which EMFF (in EUR)	
25.2. out of which total amount of national public co-financing (in EUR)	0.00
25.3. out of which total amount of national private co-financing (in EUR)	0.00
27. Number of loan/guarantee/equity or quasi-equity/other financial product contracts signed with final recipients, by product	0
28. Number of loan/guarantee/equity or quasi-equity/other financial product investments made in final recipients, by product	0
29. Number of financial recipients supported by the financial product	0
29.1. out of which large enterprises	
29.2. out of which SMEs	0
29.2.1. out of which microenterprises	
29.3. out of which individuals/natural persons	
29.4. out of which other type of final recipients supported	
29.4.1. description of other type of final recipients supported	
33. Total number of disbursed loans defaulted or total number of guarantees provided and called due to the loan default	
34. Total amount of disbursed loans defaulted (in EUR) or total amount committed for guarantees provided and called due to loan default (in EUR)	
<b>38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)</b>	
38.3. Total amount of other contributions, outside the ESI Funds mobilised at	0.00



the level of final recipients (in EUR)	
38.3.1. out of which public contributions (EUR)	0.00
38.3.2. out of which private contributions (EUR)	0.00
38.3A. Contribution under EIB financial product mobilised at the level of final recipient (only for the instruments under Article 38(1)(c)) (in EUR)	
<b>39. Expected and achieved leverage effect, by reference to the funding agreement</b>	
39.1. Expected leverage effect for loan/guarantee/equity or quasi-equity investment/other financial product, by reference to the funding agreement, by product	3.79
39.2. Achieved leverage effect at the end of reporting year for loan/guarantee/equity or quasi-equity investment/other financial product, by product	
39.3. Investment mobilised through ESIF financial instruments for loan/guarantee/equity and quasi-equity investment, by product (Optional)	0.00
<b>22. Name of financial product offered by the financial instrument</b>	<b>Micro Loans - Loans PA4</b>
22.1. Type of financial product offered by the financial instrument	Loan
24. Total amount of programme contributions committed in this loan, guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR)	868,510.00
24.1. out of which total amount of ESI Funds contributions (in EUR)	362,913.00
25. Total amount of programme contributions paid to final recipients through this loan, micro-loans, equity or other products, or, in the case of guarantee, committed for loans paid to final recipients, by product (in EUR)	405,038.00
25.1. out of which total amount of ESI Funds contributions (in EUR)	170,113.00
25.1.1. out of which ERDF (in EUR)	170,113.00
25.1.2. out of which Cohesion Fund (in EUR)	
25.1.3. out of which ESF (in EUR)	
25.1.4. out of which EAFRD (in EUR)	
25.1.5. out of which EMFF (in EUR)	
25.2. out of which total amount of national public co-financing (in EUR)	32,406.00
25.3. out of which total amount of national private co-financing (in EUR)	202,519.00
27. Number of loan/guarantee/equity or quasi-equity/other financial product contracts signed with final recipients, by product	5
28. Number of loan/guarantee/equity or quasi-equity/other financial product investments made in final recipients, by product	5
29. Number of financial recipients supported by the financial product	5
29.1. out of which large enterprises	
29.2. out of which SMEs	5
29.2.1. out of which microenterprises	
29.3. out of which individuals/natural persons	
29.4. out of which other type of final recipients supported	
29.4.1. description of other type of final recipients supported	
33. Total number of disbursed loans defaulted or total number of guarantees provided and called due to the loan default	
34. Total amount of disbursed loans defaulted (in EUR) or total amount committed for guarantees provided and called due to loan default (in EUR)	
<b>38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)</b>	

38.3. Total amount of other contributions, outside the ESI Funds mobilised at the level of final recipients (in EUR)	234,925.00
38.3.1. out of which public contributions (EUR)	32,406.00
38.3.2. out of which private contributions (EUR)	202,519.00
38.3A. Contribution under EIB financial product mobilised at the level of final recipient (only for the instruments under Article 38(1)(c)) (in EUR)	0.00
<b>39. Expected and achieved leverage effect, by reference to the funding agreement</b>	
39.1. Expected leverage effect for loan/guarantee/equity or quasi-equity investment/other financial product, by reference to the funding agreement, by product	2.40
39.2. Achieved leverage effect at the end of reporting year for loan/guarantee/equity or quasi-equity investment/other financial product, by product	2.38
39.3. Investment mobilised through ESIF financial instruments for loan/guarantee/equity and quasi-equity investment, by product (Optional)	
<b>VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)</b>	
32. Information whether the financial instrument was still operational at the end of the reporting year	Yes
32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up	
<b>VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)</b>	
35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR)	
36. Amounts repaid to the financial instrument attributable to support from ESI Funds by the end of the reporting year (in EUR)	
36.1. out of which capital repayments (in EUR)	
36.2. out of which gains, other earnings and yields (in EUR)	
37. Amounts of resources attributable to ESI Funds used in accordance with Article 44 and 43a	
37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR)	
37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR)	
37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the financial instrument resulting from negative interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in EUR)	
<b>VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)</b>	

<b>38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)</b>	
38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR)	914,221.00
38.1A. Contributions under EIB financial product committed in the funding agreement with the body implementing the financial instrument (only for the instruments under Article 38(1)(c)) (in EUR)	
38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR)	234,925.00
38.2.1. out of which public contributions (EUR)	32,409.00
38.2.2. out of which private contributions (EUR)	202,516.00
38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR)	
<b>IX. Contribution of the financial instrument to the achievement of the indicators of the priority or measure concerned (Article 46(2)(j) of Regulation (EU) No 1303/2013)</b>	
<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>CO01 - Productive investment: Number of enterprises receiving support</b>
41.1. Target value of the output indicator	47.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	5.00

<b>II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)</b>	
5. Name of the financial instrument	<b>North East Venture Fund</b>
6. Official address/place of business of the financial instrument (name of the country and city)	Enterprise Ventures, Preston Technology Management, Centre Marsh Lane, Preston, Lancashire, PR1 8UQ
8. Type of the financial instrument	Fund of funds specific fund
8.2. Related Fund of Funds	The North East Fund PA4
8.1. Tailor-made or financial instruments complying with standard terms and conditions i.e. "off-the-shelf instruments"	Tailor-made
<b>9. Type of products provided by financial instrument: loans, micro-loans, guarantees, equity, or quasi-equity investments, other financial product or other support combined within the financial instrument pursuant to Article 37(7) of Regulation (EU) No 1303/2013</b>	
9.0.1. Loans ( $\geq$ EUR 25,000)	Yes
9.0.2. Micro-loans ( $<$ EUR 25,000 and provided to micro-enterprises) according to SEC/2011/1134 final	No
9.0.3. Guarantees	No
9.0.4. Equity	Yes
9.0.5. Quasi-equity	Yes
9.0.6. Other financial products	No
9.0.7. Other support combined with a financial instrument	No
9.1. Description of the other financial product	
9.2. Other support combined within the financial instrument: grant,	

interest rate subsidy, guarantee fee subsidy pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial institution	Fiduciary account
<b>III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)</b>	
<b>11. Body implementing the financial instrument</b>	
11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European Investment Bank; the European Investment Fund; international financial institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only)	Body governed by public or private law
11.1.1. Name of the body implementing the financial instrument	Enterprise Ventures
11.1.2. Official address/place of business (country and town name) of the body implementing the financial instrument	Preston Technology Management, Centre Marsh Lane, Preston, Lancashire, PR1 8UQ
12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure	Selection in accordance with the provisions of the public procurement directive
12.1. Description of the other procedure of selecting the body implementing the financial instrument	
13. Date of signature of the funding agreement with the body implementing the financial instrument	17-Apr-2018
<b>IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)</b>	
14. Total amount of programme contributions committed in the funding agreement (in EUR)	2,790,890.00
14.1. out of which ESI Funds contributions (in EUR)	1,166,193.00
14.1.1. out of which ERDF (in EUR) (optional)	1,166,193.00
14.1.2. out of which Cohesion Fund (in EUR) (optional)	
14.1.3. out of which ESF (in EUR) (optional)	
14.1.4. out of which EAFRD (in EUR) (optional)	
14.1.5. out of which EMFF (in EUR) (optional)	
15. Total amount of programme contributions paid to the financial instrument (in EUR)	454,757.00
15.1. out of which amount of ESI Funds contributions (in EUR)	190,024.00
15.1.1. out of which ERDF (in EUR)	190,024.00
15.1.2. out of which Cohesion Fund (in EUR)	

15.1.3. out of which ESF (in EUR)	
15.1.4. out of which EAFRD (in EUR)	
15.1.5. out of which EMFF (in EUR)	
15.2. out of which total amount of national co-financing (in EUR)	264,733.00
15.2.1. out of which total amount of national public funding (in EUR)	37,355.00
15.2.2. out of which total amount of national private funding (in EUR)	227,378.00
16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR)	
17. Total amount of management costs and fees paid out of programme contributions (in EUR)	0.00
17.1. out of which base remuneration (in EUR)	
17.2. out of which performance-based remuneration (in EUR)	
18. Capitalised management costs or fees pursuant to Article 42(2) of Regulation (EU) No 1303/2013 (relevant only for final report) (in EUR)	
19. Capitalised interest rate subsidies or guarantee fee subsidies pursuant to Article 42(1)(c) of Regulation (EU) No 1303/2013 (relevant to final report only) (in EUR)	
20. Amount of programme contributions for follow-on investments in final recipients pursuant to Article 42(3) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
<b>V. Total amount of support paid to final recipients, or to the benefit of final recipients, or committed in guarantee contracts by the financial instrument for investments in final recipients, by ESI Funds programme and priority or measure (Article 46(2)(e) of Regulation (EU) No 1303/2013)</b>	
<b>22. Name of financial product offered by the financial instrument</b>	<b>Venture Equity - PA4</b>
22.1. Type of financial product offered by the financial instrument	Equity
24. Total amount of programme contributions committed in this loan, guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR)	0.00
24.1. out of which total amount of ESI Funds contributions (in EUR)	0.00
25. Total amount of programme contributions paid to final recipients through this loan, micro-loans, equity or other products, or, in the case of guarantee, committed for loans paid to final recipients, by product (in EUR)	
25.1. out of which total amount of ESI Funds contributions (in EUR)	
25.1.1. out of which ERDF (in EUR)	
25.1.2. out of which Cohesion Fund (in EUR)	
25.1.3. out of which ESF (in EUR)	
25.1.4. out of which EAFRD (in EUR)	
25.1.5. out of which EMFF (in EUR)	
25.2. out of which total amount of national public co-financing (in EUR)	
25.3. out of which total amount of national private co-financing (in EUR)	
27. Number of loan/guarantee/equity or quasi-equity/other financial product contracts signed with final recipients, by product	
28. Number of loan/guarantee/equity or quasi-equity/other financial product investments made in final recipients, by product	
29. Number of financial recipients supported by the financial product	

29.1. out of which large enterprises	
29.2. out of which SMEs	
29.2.1. out of which microenterprises	
29.3. out of which individuals/natural persons	
29.4. out of which other type of final recipients supported	
29.4.1. description of other type of final recipients supported	
<b>38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)</b>	
38.3. Total amount of other contributions, outside the ESI Funds mobilised at the level of final recipients (in EUR)	
38.3.1. out of which public contributions (EUR)	
38.3.2. out of which private contributions (EUR)	
38.3A. Contribution under EIB financial product mobilised at the level of final recipient (only for the instruments under Article 38(1)(c)) (in EUR)	
<b>39. Expected and achieved leverage effect, by reference to the funding agreement</b>	
39.1. Expected leverage effect for loan/guarantee/equity or quasi-equity investment/other financial product, by reference to the funding agreement, by product	0.00
39.2. Achieved leverage effect at the end of reporting year for loan/guarantee/equity or quasi-equity investment/other financial product, by product	
39.3. Investment mobilised through ESIF financial instruments for loan/guarantee/equity and quasi-equity investment, by product (Optional)	0.00
40. Value of investments and participations in equity (in EUR)	
<b>22. Name of financial product offered by the financial instrument</b>	<b><i>Venture Loan - PA4</i></b>
22.1. Type of financial product offered by the financial instrument	Loan
24. Total amount of programme contributions committed in this loan, guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR)	2,790,890.00
24.1. out of which total amount of ESI Funds contributions (in EUR)	1,166,193.00
25. Total amount of programme contributions paid to final recipients through this loan, micro-loans, equity or other products, or, in the case of guarantee, committed for loans paid to final recipients, by product (in EUR)	454,757.00
25.1. out of which total amount of ESI Funds contributions (in EUR)	190,024.00
25.1.1. out of which ERDF (in EUR)	190,024.00
25.1.2. out of which Cohesion Fund (in EUR)	
25.1.3. out of which ESF (in EUR)	
25.1.4. out of which EAFRD (in EUR)	
25.1.5. out of which EMFF (in EUR)	
25.2. out of which total amount of national public co-financing (in EUR)	37,355.00
25.3. out of which total amount of national private co-financing (in EUR)	227,378.00
27. Number of loan/guarantee/equity or quasi-equity/other financial product contracts signed with final recipients, by product	2
28. Number of loan/guarantee/equity or quasi-equity/other financial product investments made in final recipients, by product	2
29. Number of financial recipients supported by the financial product	1
29.1. out of which large enterprises	
29.2. out of which SMEs	1

29.2.1. out of which microenterprises	
29.3. out of which individuals/natural persons	
29.4. out of which other type of final recipients supported	
29.4.1. description of other type of final recipients supported	
33. Total number of disbursed loans defaulted or total number of guarantees provided and called due to the loan default	
34. Total amount of disbursed loans defaulted (in EUR) or total amount committed for guarantees provided and called due to loan default (in EUR)	
<b>38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)</b>	
38.3. Total amount of other contributions, outside the ESI Funds mobilised at the level of final recipients (in EUR)	264,733.00
38.3.1. out of which public contributions (EUR)	37,355.00
38.3.2. out of which private contributions (EUR)	227,378.00
38.3A. Contribution under EIB financial product mobilised at the level of final recipient (only for the instruments under Article 38(1)(c)) (in EUR)	
<b>39. Expected and achieved leverage effect, by reference to the funding agreement</b>	
39.1. Expected leverage effect for loan/guarantee/equity or quasi-equity investment/other financial product, by reference to the funding agreement, by product	1.00
39.2. Achieved leverage effect at the end of reporting year for loan/guarantee/equity or quasi-equity investment/other financial product, by product	2.39
39.3. Investment mobilised through ESIF financial instruments for loan/guarantee/equity and quasi-equity investment, by product (Optional)	0.00
<b>VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)</b>	
32. Information whether the financial instrument was still operational at the end of the reporting year	Yes
32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up	
<b>VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)</b>	
35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR)	
36. Amounts repaid to the financial instrument attributable to support from ESI Funds by the end of the reporting year (in EUR)	
36.1. out of which capital repayments (in EUR)	
36.2. out of which gains, other earnings and yields (in EUR)	
37. Amounts of resources attributable to ESI Funds used in accordance with Article 44 and 43a	
37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or	

who co-invest at the level of final recipient (in EUR)	
37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR)	
37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the financial instrument resulting from negative interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in EUR)	
<b>VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)</b>	
<b>38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)</b>	
38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR)	2,790,890.00
38.1A. Contributions under EIB financial product committed in the funding agreement with the body implementing the financial instrument (only for the instruments under Article 38(1)(c)) (in EUR)	
38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR)	264,733.00
38.2.1. out of which public contributions (EUR)	37,355.00
38.2.2. out of which private contributions (EUR)	227,378.00
38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR)	
<b>IX. Contribution of the financial instrument to the achievement of the indicators of the priority or measure concerned (Article 46(2)(j) of Regulation (EU) No 1303/2013)</b>	
<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>CO01 - Productive investment: Number of enterprises receiving support</b>
41.1. Target value of the output indicator	5.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	1.00
<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>CO05 - Productive investment: Number of new enterprises supported</b>
41.1. Target value of the output indicator	10.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	0.00

<b>I. Identification of the programme and priority or measure from which support from the ESI Funds is provided (Article 46(2)(a) of Regulation (EU) No 1303/2013)</b>	
<b>1. Priority axes or measures supporting the financial instrument, including fund of funds, under the ESI Fund programme.</b>	
1.1. Priority axis supporting the financial instrument under the ESI Fund programme	1 - Promoting Research and Innovation
2. Name of ESI Fund(s) supporting the financial instrument under the priority axis or measure	ERDF



<b>3. Thematic objective(s) referred to in the first paragraph of Article 9 of Regulation (EU) No 1303/2013 supported by the financial instrument</b>	<b>01 - Strengthening research, technological development and innovation</b>
3.1. Amount of ESI Funds committed in funding agreements from the individual thematic objective chosen in field 3 (optional)	10,915,025.00
<b>4. other ESI Fund programmes providing contribution to the financial instrument</b>	
4.1. CCI number of each other ESI Fund programme providing contributions to the financial instrument	
30. Date of completion of the ex ante assessment	15-Nov-2016
<b>31. Selection of bodies implementing financial instrument</b>	
31.1. Has selection or designation process already been launched	Yes
<b>II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)</b>	
5. Name of the financial instrument	<b>The Northern Powerhouse Investment Fund - PA1</b>
6. Official address/place of business of the financial instrument (name of the country and city)	Steel City House, West Street, Sheffield, S1 2GQ
<b>7. Implementation arrangements</b>	
7.1. Financial instruments set up at Union level, managed directly or indirectly by the Commission referred to in Article 38(1)(a) of Regulation (EU) No 1303/2013, supported from ESI Fund programme contributions	
7.1.1. Name of the Union-level financial instrument	
7.2. Financial instrument set up at national, regional, transnational or cross-border level, managed by or under the responsibility of the managing authority referred to in Article 38(1)(b), supported from ESI Fund programme contributions under point (a), (b), (c) and d) of Article 38(4) of Regulation (EU) No 1303/2013	Entrustment of implementation tasks, through the direct award of a contract
7.3. Financial instrument combining financial contribution from MA with EIB financial products under European Fund for Strategic Investment in accordance with Article 39a, referred to in Article 38(1)(c)	
8. Type of the financial instrument	Fund of funds
10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial institution	Fiduciary account
<b>III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)</b>	

<b>11. Body implementing the financial instrument</b>	
11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European Investment Bank; the European Investment Fund; international financial institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only)	Body governed by public or private law
11.1.1. Name of the body implementing the financial instrument	Northern Powerhouse Investments Limited (NPIL)
11.1.2. Official address/place of business (country and town name) of the body implementing the financial instrument	Steel House, West Street, Sheffield, S1 2GQ
12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure	Others
12.1. Description of the other procedure of selecting the body implementing the financial instrument	Entrustment of a publicly owned company, via inter-administrative co-operation between the managing authority and The Secretary of State for Business, Energy and Industrial Strategy (BEIS) in accordance with Article 38 (1)(b) & 38 4(b) (iii) of the CPR, and s3.6.2 of Commission Notice 2016/C 276/01
13. Date of signature of the funding agreement with the body implementing the financial instrument	21-Nov-2016
<b>IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)</b>	
14. Total amount of programme contributions committed in the funding agreement (in EUR)	31,257,232.00
14.1. out of which ESI Funds contributions (in EUR)	10,915,025.00
14.1.1. out of which ERDF (in EUR) (optional)	
14.1.2. out of which Cohesion Fund (in EUR) (optional)	
14.1.3. out of which ESF (in EUR) (optional)	
14.1.4. out of which EAFRD (in EUR) (optional)	
14.1.5. out of which EMFF (in EUR) (optional)	
15. Total amount of programme contributions paid to the financial instrument (in EUR)	31,256,870.00
15.1. out of which amount of ESI Funds contributions (in EUR)	10,915,025.00
15.1.1. out of which ERDF (in EUR)	10,915,025.00
15.1.2. out of which Cohesion Fund (in EUR)	
15.1.3. out of which ESF (in EUR)	

15.1.4. out of which EAFRD (in EUR)	
15.1.5. out of which EMFF (in EUR)	
15.2. out of which total amount of national co-financing (in EUR)	20,341,845.00
15.2.1. out of which total amount of national public funding (in EUR)	6,063,903.00
15.2.2. out of which total amount of national private funding (in EUR)	14,277,942.00
16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR)	
17. Total amount of management costs and fees paid out of programme contributions (in EUR)	1,297,401.00
17.1. out of which base remuneration (in EUR)	
17.2. out of which performance-based remuneration (in EUR)	
21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
<b>VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)</b>	
32. Information whether the financial instrument was still operational at the end of the reporting year	Yes
32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up	
<b>VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)</b>	
35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR)	10,146.00
37. Amounts of resources attributable to ESI Funds used in accordance with Article 44 and 43a	
37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR)	
37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR)	
37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the	

financial instrument resulting from negative interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in EUR)	
<b>VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)</b>	
<b>38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)</b>	
38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR)	31,257,230.00
38.1A. Contributions under EIB financial product committed in the funding agreement with the body implementing the financial instrument (only for the instruments under Article 38(1)(c)) (in EUR)	
38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR)	20,341,845.00
38.2.1. out of which public contributions (EUR)	6,063,903.00
38.2.2. out of which private contributions (EUR)	14,277,942.00
38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR)	

<b>II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)</b>	
5. Name of the financial instrument	<b>The Northern Powerhouse - Debt Fund - Yorks &amp; Humber - PA1</b>
6. Official address/place of business of the financial instrument (name of the country and city)	The Pinnacle Building, 73/79, King Street, Manchester, M2 4NG
8. Type of the financial instrument	Fund of funds specific fund
8.2. Related Fund of Funds	The Northern Powerhouse Investment Fund - PA1
8.1. Tailor-made or financial instruments complying with standard terms and conditions i.e. "off-the-shelf instruments"	Tailor-made
<b>9. Type of products provided by financial instrument: loans, micro-loans, guarantees, equity, or quasi-equity investments, other financial product or other support combined within the financial instrument pursuant to Article 37(7) of Regulation (EU) No 1303/2013</b>	
9.0.1. Loans ( $\geq$ EUR 25,000)	Yes
9.0.2. Micro-loans ( $<$ EUR 25,000 and provided to micro-enterprises) according to SEC/2011/1134 final	No
9.0.3. Guarantees	No
9.0.4. Equity	No
9.0.5. Quasi-equity	No
9.0.6. Other financial products	No

9.0.7. Other support combined with a financial instrument	No
9.1. Description of the other financial product	
9.2. Other support combined within the financial instrument: grant, interest rate subsidy, guarantee fee subsidy pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial institution	Fiduciary account
<b>III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)</b>	
<b>11. Body implementing the financial instrument</b>	
11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European Investment Bank; the European Investment Fund; international financial institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only)	Body governed by public or private law
11.1.1. Name of the body implementing the financial instrument	Mercia
11.1.2. Official address/place of business (country and town name) of the body implementing the financial instrument	The Pinnacle Bulding, 73/79 King Street, Manchester, M2 4NG
12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure	Selection in accordance with the provisions of the public procurement directive
12.1. Description of the other procedure of selecting the body implementing the financial instrument	
13. Date of signature of the funding agreement with the body implementing the financial instrument	21-Nov-2016
<b>IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)</b>	
14. Total amount of programme contributions committed in the funding agreement (in EUR)	1,767,630.00
14.1. out of which ESI Funds contributions (in EUR)	617,256.00
14.1.1. out of which ERDF (in EUR) (optional)	
14.1.2. out of which Cohesion Fund (in EUR) (optional)	
14.1.3. out of which ESF (in EUR) (optional)	
14.1.4. out of which EAFRD (in EUR) (optional)	
14.1.5. out of which EMFF (in EUR) (optional)	
15. Total amount of programme contributions paid to the financial instrument (in EUR)	1,767,630.00
15.1. out of which amount of ESI Funds contributions (in EUR)	617,256.00

15.1.1. out of which ERDF (in EUR)	617,256.00
15.1.2. out of which Cohesion Fund (in EUR)	
15.1.3. out of which ESF (in EUR)	
15.1.4. out of which EAFRD (in EUR)	
15.1.5. out of which EMFF (in EUR)	
15.2. out of which total amount of national co-financing (in EUR)	1,150,374.00
15.2.1. out of which total amount of national public funding (in EUR)	342,920.00
15.2.2. out of which total amount of national private funding (in EUR)	807,454.00
16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR)	
17. Total amount of management costs and fees paid out of programme contributions (in EUR)	19,458.00
17.1. out of which base remuneration (in EUR)	
17.2. out of which performance-based remuneration (in EUR)	
18. Capitalised management costs or fees pursuant to Article 42(2) of Regulation (EU) No 1303/2013 (relevant only for final report) (in EUR)	
19. Capitalised interest rate subsidies or guarantee fee subsidies pursuant to Article 42(1)(c) of Regulation (EU) No 1303/2013 (relevant to final report only) (in EUR)	
20. Amount of programme contributions for follow-on investments in final recipients pursuant to Article 42(3) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
<b>V. Total amount of support paid to final recipients, or to the benefit of final recipients, or committed in guarantee contracts by the financial instrument for investments in final recipients, by ESI Funds programme and priority or measure (Article 46(2)(e) of Regulation (EU) No 1303/2013)</b>	
<b>22. Name of financial product offered by the financial instrument</b>	<b>Debt</b>
22.1. Type of financial product offered by the financial instrument	Loan
24. Total amount of programme contributions committed in this loan, guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR)	282,167.00
24.1. out of which total amount of ESI Funds contributions (in EUR)	98,533.00
25. Total amount of programme contributions paid to final recipients through this loan, micro-loans, equity or other products, or, in the case of guarantee, committed for loans paid to final recipients, by product (in EUR)	282,167.00
25.1. out of which total amount of ESI Funds contributions (in EUR)	98,533.00
25.1.1. out of which ERDF (in EUR)	98,533.00
25.1.2. out of which Cohesion Fund (in EUR)	
25.1.3. out of which ESF (in EUR)	
25.1.4. out of which EAFRD (in EUR)	
25.1.5. out of which EMFF (in EUR)	
25.2. out of which total amount of national public co-financing (in EUR)	54,740.00
25.3. out of which total amount of national private co-financing (in EUR)	128,894.00
27. Number of loan/guarantee/equity or quasi-equity/other financial product contracts signed with final recipients, by product	1
28. Number of loan/guarantee/equity or quasi-equity/other financial	1

product investments made in final recipients, by product	
29. Number of financial recipients supported by the financial product	1
29.1. out of which large enterprises	
29.2. out of which SMEs	1
29.2.1. out of which microenterprises	
29.3. out of which individuals/natural persons	
29.4. out of which other type of final recipients supported	
29.4.1. description of other type of final recipients supported	
33. Total number of disbursed loans defaulted or total number of guarantees provided and called due to the loan default	
34. Total amount of disbursed loans defaulted (in EUR) or total amount committed for guarantees provided and called due to loan default (in EUR)	
<b>38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)</b>	
38.3. Total amount of other contributions, outside the ESI Funds mobilised at the level of final recipients (in EUR)	183,634.00
38.3.1. out of which public contributions (EUR)	54,740.00
38.3.2. out of which private contributions (EUR)	128,894.00
38.3A. Contribution under EIB financial product mobilised at the level of final recipient (only for the instruments under Article 38(1)(c)) (in EUR)	
<b>39. Expected and achieved leverage effect, by reference to the funding agreement</b>	
39.1. Expected leverage effect for loan/guarantee/equity or quasi-equity investment/other financial product, by reference to the funding agreement, by product	5.57
39.2. Achieved leverage effect at the end of reporting year for loan/guarantee/equity or quasi-equity investment/other financial product, by product	2.72
39.3. Investment mobilised through ESIF financial instruments for loan/guarantee/equity and quasi-equity investment, by product (Optional)	
<b>VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)</b>	
32. Information whether the financial instrument was still operational at the end of the reporting year	Yes
32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up	
<b>VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)</b>	
35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR)	
36. Amounts repaid to the financial instrument attributable to support from ESI Funds by the end of the reporting year (in EUR)	
36.1. out of which capital repayments (in EUR)	
36.2. out of which gains, other earnings and yields (in EUR)	
37. Amounts of resources attributable to ESI Funds used in accordance with Article 44 and 43a	

37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR)	
37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR)	
37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the financial instrument resulting from negative interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in EUR)	
<b>VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)</b>	
<b>38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)</b>	
38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR)	1,767,630.00
38.1A. Contributions under EIB financial product committed in the funding agreement with the body implementing the financial instrument (only for the instruments under Article 38(1)(c)) (in EUR)	
38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR)	1,150,374.00
38.2.1. out of which public contributions (EUR)	342,920.00
38.2.2. out of which private contributions (EUR)	807,454.00
38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR)	
<b>IX. Contribution of the financial instrument to the achievement of the indicators of the priority or measure concerned (Article 46(2)(j) of Regulation (EU) No 1303/2013)</b>	
<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>CO04 - Productive investment: Number of enterprises receiving non-financial support</b>
41.1. Target value of the output indicator	13.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	0.00
<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>CO08 - Productive investment: Employment increase in supported enterprises</b>
41.1. Target value of the output indicator	108.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	0.00
<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>CO29 - Research, Innovation: Number of enterprises supported to introduce new to the firm products</b>



41.1. Target value of the output indicator	2.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	0.00
<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>CO03 - Productive investment: Number of enterprises receiving financial support other than grants</b>
41.1. Target value of the output indicator	16.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	1.00
<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>CO01 - Productive investment: Number of enterprises receiving support</b>
41.1. Target value of the output indicator	29.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	1.00
<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>CO07 - Productive investment: Private investment matching public support to enterprises (non-grants)</b>
41.1. Target value of the output indicator	8,369,816.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	1,100,451.00
<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>CO05 - Productive investment: Number of new enterprises supported</b>
41.1. Target value of the output indicator	5.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	0.00

<b>II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)</b>	
5. Name of the financial instrument	<b>The Northern Powerhouse - Equity Fund - YH &amp; TV - PA1</b>
6. Official address/place of business of the financial instrument (name of the country and city)	The Pinnacle Building 73/79 King Street, Manchester, M2 4NG
8. Type of the financial instrument	Fund of funds specific fund
8.2. Related Fund of Funds	The Northern Powerhouse Investment Fund - PA1
8.1. Tailor-made or financial instruments complying with standard terms and conditions i.e. "off-the-shelf instruments"	Tailor-made
<b>9. Type of products provided by financial instrument: loans, micro-loans, guarantees, equity, or quasi-equity investments, other financial product or other support combined within the financial</b>	

<b>instrument pursuant to Article 37(7) of Regulation (EU) No 1303/2013</b>	
9.0.1. Loans ( $\geq$ EUR 25,000)	No
9.0.2. Micro-loans ( $<$ EUR 25,000 and provided to micro-enterprises) according to SEC/2011/1134 final	No
9.0.3. Guarantees	No
9.0.4. Equity	Yes
9.0.5. Quasi-equity	Yes
9.0.6. Other financial products	No
9.0.7. Other support combined with a financial instrument	No
9.1. Description of the other financial product	
9.2. Other support combined within the financial instrument: grant, interest rate subsidy, guarantee fee subsidy pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial institution	Fiduciary account
<b>III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)</b>	
<b>11. Body implementing the financial instrument</b>	
11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European Investment Bank; the European Investment Fund; international financial institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only)	Body governed by public or private law
11.1.1. Name of the body implementing the financial instrument	Mercia
11.1.2. Official address/place of business (country and town name) of the body implementing the financial instrument	The Pinnacle Building 73/79 King Street, Manchester, M2 4NG
12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure	Selection in accordance with the provisions of the public procurement directive
12.1. Description of the other procedure of selecting the body implementing the financial instrument	
13. Date of signature of the funding agreement with the body implementing the financial instrument	21-Nov-2016
<b>IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)</b>	
14. Total amount of programme contributions committed in the funding agreement (in EUR)	18,747,875.00
14.1. out of which ESI Funds contributions (in EUR)	6,546,758.00

14.1.1. out of which ERDF (in EUR) (optional)	
14.1.2. out of which Cohesion Fund (in EUR) (optional)	
14.1.3. out of which ESF (in EUR) (optional)	
14.1.4. out of which EAFRD (in EUR) (optional)	
14.1.5. out of which EMFF (in EUR) (optional)	
15. Total amount of programme contributions paid to the financial instrument (in EUR)	18,747,875.00
15.1. out of which amount of ESI Funds contributions (in EUR)	6,546,758.00
15.1.1. out of which ERDF (in EUR)	6,546,758.00
15.1.2. out of which Cohesion Fund (in EUR)	
15.1.3. out of which ESF (in EUR)	
15.1.4. out of which EAFRD (in EUR)	
15.1.5. out of which EMFF (in EUR)	
15.2. out of which total amount of national co-financing (in EUR)	12,201,117.00
15.2.1. out of which total amount of national public funding (in EUR)	3,637,088.00
15.2.2. out of which total amount of national private funding (in EUR)	8,564,029.00
16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR)	
17. Total amount of management costs and fees paid out of programme contributions (in EUR)	830,337.00
17.1. out of which base remuneration (in EUR)	
17.2. out of which performance-based remuneration (in EUR)	
18. Capitalised management costs or fees pursuant to Article 42(2) of Regulation (EU) No 1303/2013 (relevant only for final report) (in EUR)	
19. Capitalised interest rate subsidies or guarantee fee subsidies pursuant to Article 42(1)(c) of Regulation (EU) No 1303/2013 (relevant to final report only) (in EUR)	
20. Amount of programme contributions for follow-on investments in final recipients pursuant to Article 42(3) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
<b>V. Total amount of support paid to final recipients, or to the benefit of final recipients, or committed in guarantee contracts by the financial instrument for investments in final recipients, by ESI Funds programme and priority or measure (Article 46(2)(e) of Regulation (EU) No 1303/2013)</b>	
<b>22. Name of financial product offered by the financial instrument</b>	<b>Equity - PA1</b>
22.1. Type of financial product offered by the financial instrument	Equity
24. Total amount of programme contributions committed in this loan, guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR)	13,144,074.00
24.1. out of which total amount of ESI Funds contributions (in EUR)	4,589,911.00
25. Total amount of programme contributions paid to final recipients through this loan, micro-loans, equity or other products, or, in the case of guarantee, committed for loans paid to final recipients, by product (in EUR)	13,144,074.00
25.1. out of which total amount of ESI Funds contributions (in EUR)	4,589,911.00
25.1.1. out of which ERDF (in EUR)	4,589,911.00
25.1.2. out of which Cohesion Fund (in EUR)	

25.1.3. out of which ESF (in EUR)	
25.1.4. out of which EAFRD (in EUR)	
25.1.5. out of which EMFF (in EUR)	
25.2. out of which total amount of national public co-financing (in EUR)	2,549,950.00
25.3. out of which total amount of national private co-financing (in EUR)	6,004,213.00
27. Number of loan/guarantee/equity or quasi-equity/other financial product contracts signed with final recipients, by product	30
28. Number of loan/guarantee/equity or quasi-equity/other financial product investments made in final recipients, by product	30
29. Number of financial recipients supported by the financial product	12
29.1. out of which large enterprises	
29.2. out of which SMEs	12
29.2.1. out of which microenterprises	
29.3. out of which individuals/natural persons	
29.4. out of which other type of final recipients supported	
29.4.1. description of other type of final recipients supported	
<b>38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)</b>	
38.3. Total amount of other contributions, outside the ESI Funds mobilised at the level of final recipients (in EUR)	8,554,163.00
38.3.1. out of which public contributions (EUR)	2,549,950.00
38.3.2. out of which private contributions (EUR)	6,004,213.00
38.3A. Contribution under EIB financial product mobilised at the level of final recipient (only for the instruments under Article 38(1)(c)) (in EUR)	
<b>39. Expected and achieved leverage effect, by reference to the funding agreement</b>	
39.1. Expected leverage effect for loan/guarantee/equity or quasi-equity investment/other financial product, by reference to the funding agreement, by product	4.00
39.2. Achieved leverage effect at the end of reporting year for loan/guarantee/equity or quasi-equity investment/other financial product, by product	2.64
39.3. Investment mobilised through ESIF financial instruments for loan/guarantee/equity and quasi-equity investment, by product (Optional)	
40. Value of investments and participations in equity (in EUR)	13,144,074.00
<b>VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)</b>	
32. Information whether the financial instrument was still operational at the end of the reporting year	Yes
32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up	
<b>VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)</b>	
35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR)	
36. Amounts repaid to the financial instrument attributable to support	

from ESI Funds by the end of the reporting year (in EUR)	
36.1. out of which capital repayments (in EUR)	
36.2. out of which gains, other earnings and yields (in EUR)	
37. Amounts of resources attributable to ESI Funds used in accordance with Article 44 and 43a	
37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR)	
37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR)	
37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the financial instrument resulting from negative interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in EUR)	
<b>VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)</b>	
<b>38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)</b>	
38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR)	18,747,875.00
38.1A. Contributions under EIB financial product committed in the funding agreement with the body implementing the financial instrument (only for the instruments under Article 38(1)(c)) (in EUR)	
38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR)	12,201,117.00
38.2.1. out of which public contributions (EUR)	3,637,088.00
38.2.2. out of which private contributions (EUR)	8,564,029.00
38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR)	
<b>IX. Contribution of the financial instrument to the achievement of the indicators of the priority or measure concerned (Article 46(2)(j) of Regulation (EU) No 1303/2013)</b>	
<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>CO07 - Productive investment: Private investment matching public support to enterprises (non-grants)</b>
41.1. Target value of the output indicator	9,299,794.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	31,323,178.00
<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>CO05 - Productive investment: Number of new enterprises supported</b>
41.1. Target value of the output indicator	6.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	5.00

<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>CO01 - Productive investment: Number of enterprises receiving support</b>
41.1. Target value of the output indicator	33.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	12.00
<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>CO04 - Productive investment: Number of enterprises receiving non-financial support</b>
41.1. Target value of the output indicator	14.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	0.00
<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>CO03 - Productive investment: Number of enterprises receiving financial support other than grants</b>
41.1. Target value of the output indicator	18.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	12.00
<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>CO08 - Productive investment: Employment increase in supported enterprises</b>
41.1. Target value of the output indicator	120.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	116.00
<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>CO29 - Research, Innovation: Number of enterprises supported to introduce new to the firm products</b>
41.1. Target value of the output indicator	3.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	9.00

<b>II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)</b>	
5. Name of the financial instrument	<b>The Northern Powerhouse - Equity Fund North West - PA1</b>
6. Official address/place of business of the financial instrument (name of the country and city)	Clarence House, Clarence Street, Manchester, M2 4DW
8. Type of the financial instrument	Fund of funds specific fund
8.2. Related Fund of Funds	The Northern Powerhouse Investment Fund - PA1
8.1. Tailor-made or financial instruments complying with standard terms	Tailor-made

and conditions i.e. "off-the-shelf instruments"	
<b>9. Type of products provided by financial instrument: loans, micro-loans, guarantees, equity, or quasi-equity investments, other financial product or other support combined within the financial instrument pursuant to Article 37(7) of Regulation (EU) No 1303/2013</b>	
9.0.1. Loans ( $\geq$ EUR 25,000)	No
9.0.2. Micro-loans ( $<$ EUR 25,000 and provided to micro-enterprises) according to SEC/2011/1134 final	No
9.0.3. Guarantees	No
9.0.4. Equity	Yes
9.0.5. Quasi-equity	Yes
9.0.6. Other financial products	No
9.0.7. Other support combined with a financial instrument	No
9.1. Description of the other financial product	
9.2. Other support combined within the financial instrument: grant, interest rate subsidy, guarantee fee subsidy pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial institution	Fiduciary account
<b>III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)</b>	
<b>11. Body implementing the financial instrument</b>	
11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European Investment Bank; the European Investment Fund; international financial institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only)	Body governed by public or private law
11.1.1. Name of the body implementing the financial instrument	Maven
11.1.2. Official address/place of business (country and town name) of the body implementing the financial instrument	Clarence House, Clarence Street, Manchester, M2 4DW
12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure	Selection in accordance with the provisions of the public procurement directive
12.1. Description of the other procedure of selecting the body implementing the financial instrument	
13. Date of signature of the funding agreement with the body implementing the financial instrument	21-Nov-2016
<b>IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)</b>	
14. Total amount of programme contributions committed in the funding	9,000,000.00

agreement (in EUR)	
14.1. out of which ESI Funds contributions (in EUR)	3,142,800.00
14.1.1. out of which ERDF (in EUR) (optional)	
14.1.2. out of which Cohesion Fund (in EUR) (optional)	
14.1.3. out of which ESF (in EUR) (optional)	
14.1.4. out of which EAFRD (in EUR) (optional)	
14.1.5. out of which EMFF (in EUR) (optional)	
15. Total amount of programme contributions paid to the financial instrument (in EUR)	9,000,000.00
15.1. out of which amount of ESI Funds contributions (in EUR)	3,142,800.00
15.1.1. out of which ERDF (in EUR)	3,142,800.00
15.1.2. out of which Cohesion Fund (in EUR)	
15.1.3. out of which ESF (in EUR)	
15.1.4. out of which EAFRD (in EUR)	
15.1.5. out of which EMFF (in EUR)	
15.2. out of which total amount of national co-financing (in EUR)	5,857,200.00
15.2.1. out of which total amount of national public funding (in EUR)	1,746,000.00
15.2.2. out of which total amount of national private funding (in EUR)	4,111,200.00
16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR)	
17. Total amount of management costs and fees paid out of programme contributions (in EUR)	382,736.00
17.1. out of which base remuneration (in EUR)	
17.2. out of which performance-based remuneration (in EUR)	
18. Capitalised management costs or fees pursuant to Article 42(2) of Regulation (EU) No 1303/2013 (relevant only for final report) (in EUR)	
19. Capitalised interest rate subsidies or guarantee fee subsidies pursuant to Article 42(1)(c) of Regulation (EU) No 1303/2013 (relevant to final report only) (in EUR)	
20. Amount of programme contributions for follow-on investments in final recipients pursuant to Article 42(3) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
<b>V. Total amount of support paid to final recipients, or to the benefit of final recipients, or committed in guarantee contracts by the financial instrument for investments in final recipients, by ESI Funds programme and priority or measure (Article 46(2)(e) of Regulation (EU) No 1303/2013)</b>	
<b>22. Name of financial product offered by the financial instrument</b>	<b>Equity Fund - PA1</b>
22.1. Type of financial product offered by the financial instrument	Equity
24. Total amount of programme contributions committed in this loan, guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR)	6,259,024.00
24.1. out of which total amount of ESI Funds contributions (in EUR)	2,185,651.00
25. Total amount of programme contributions paid to final recipients through this loan, micro-loans, equity or other products, or, in the case of guarantee, committed for loans paid to final recipients, by product (in EUR)	6,259,024.00
25.1. out of which total amount of ESI Funds contributions (in EUR)	2,185,651.00



25.1.1. out of which ERDF (in EUR)	2,185,651.00
25.1.2. out of which Cohesion Fund (in EUR)	
25.1.3. out of which ESF (in EUR)	
25.1.4. out of which EAFRD (in EUR)	
25.1.5. out of which EMFF (in EUR)	
25.2. out of which total amount of national public co-financing (in EUR)	1,214,251.00
25.3. out of which total amount of national private co-financing (in EUR)	2,859,122.00
27. Number of loan/guarantee/equity or quasi-equity/other financial product contracts signed with final recipients, by product	14
28. Number of loan/guarantee/equity or quasi-equity/other financial product investments made in final recipients, by product	14
29. Number of financial recipients supported by the financial product	7
29.1. out of which large enterprises	
29.2. out of which SMEs	7
29.2.1. out of which microenterprises	
29.3. out of which individuals/natural persons	
29.4. out of which other type of final recipients supported	
29.4.1. description of other type of final recipients supported	
<b>38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)</b>	
38.3. Total amount of other contributions, outside the ESI Funds mobilised at the level of final recipients (in EUR)	4,073,643.00
38.3.1. out of which public contributions (EUR)	1,214,521.00
38.3.2. out of which private contributions (EUR)	2,859,122.00
38.3A. Contribution under EIB financial product mobilised at the level of final recipient (only for the instruments under Article 38(1)(c)) (in EUR)	
<b>39. Expected and achieved leverage effect, by reference to the funding agreement</b>	
39.1. Expected leverage effect for loan/guarantee/equity or quasi-equity investment/other financial product, by reference to the funding agreement, by product	5.55
39.2. Achieved leverage effect at the end of reporting year for loan/guarantee/equity or quasi-equity investment/other financial product, by product	2.64
39.3. Investment mobilised through ESIF financial instruments for loan/guarantee/equity and quasi-equity investment, by product (Optional)	
40. Value of investments and participations in equity (in EUR)	6,259,024.00
<b>VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)</b>	
32. Information whether the financial instrument was still operational at the end of the reporting year	Yes
32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up	
<b>VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)</b>	
35. Interest and other gains generated by payments from ESI Funds to the	

financial instrument (in EUR)	
36. Amounts repaid to the financial instrument attributable to support from ESI Funds by the end of the reporting year (in EUR)	
36.1. out of which capital repayments (in EUR)	
36.2. out of which gains, other earnings and yields (in EUR)	
37. Amounts of resources attributable to ESI Funds used in accordance with Article 44 and 43a	
37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR)	
37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR)	
37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the financial instrument resulting from negative interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in EUR)	
<b>VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)</b>	
<b>38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)</b>	
38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR)	9,000,000.00
38.1A. Contributions under EIB financial product committed in the funding agreement with the body implementing the financial instrument (only for the instruments under Article 38(1)(c)) (in EUR)	
38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR)	5,857,200.00
38.2.1. out of which public contributions (EUR)	1,746,000.00
38.2.2. out of which private contributions (EUR)	4,111,200.00
38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR)	
<b>IX. Contribution of the financial instrument to the achievement of the indicators of the priority or measure concerned (Article 46(2)(j) of Regulation (EU) No 1303/2013)</b>	
<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>CO07 - Productive investment: Private investment matching public support to enterprises (non-grants)</b>
41.1. Target value of the output indicator	9,299,796.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	13,355,930.00
<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>CO08 - Productive investment: Employment increase in supported enterprises</b>

41.1. Target value of the output indicator	120.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	116.00
<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>CO03 - Productive investment: Number of enterprises receiving financial support other than grants</b>
41.1. Target value of the output indicator	20.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	7.00
<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>CO01 - Productive investment: Number of enterprises receiving support</b>
41.1. Target value of the output indicator	33.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	22.00
<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>CO04 - Productive investment: Number of enterprises receiving non-financial support</b>
41.1. Target value of the output indicator	14.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	15.00
<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>CO29 - Research, Innovation: Number of enterprises supported to introduce new to the firm products</b>
41.1. Target value of the output indicator	3.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	6.00
<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>CO05 - Productive investment: Number of new enterprises supported</b>
41.1. Target value of the output indicator	6.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	7.00

<b>II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)</b>	
5. Name of the financial instrument	<b>The Northern Powerhouse - Micro Loan Fund Yorks &amp; Humber &amp; Tees Valley - PA1</b>
6. Official address/place of business of the financial instrument (name of the country and city)	Devere House, Vicar Lane, Bradford, BD1 5AH
8. Type of the financial instrument	Fund of funds specific fund

8.2. Related Fund of Funds	The Northern Powerhouse Investment Fund - PA1
8.1. Tailor-made or financial instruments complying with standard terms and conditions i.e. "off-the-shelf instruments"	Tailor-made
<b>9. Type of products provided by financial instrument: loans, micro-loans, guarantees, equity, or quasi-equity investments, other financial product or other support combined within the financial instrument pursuant to Article 37(7) of Regulation (EU) No 1303/2013</b>	
9.0.1. Loans ( $\geq$ EUR 25,000)	Yes
9.0.2. Micro-loans ( $<$ EUR 25,000 and provided to micro-enterprises) according to SEC/2011/1134 final	No
9.0.3. Guarantees	No
9.0.4. Equity	No
9.0.5. Quasi-equity	No
9.0.6. Other financial products	No
9.0.7. Other support combined with a financial instrument	No
9.1. Description of the other financial product	
9.2. Other support combined within the financial instrument: grant, interest rate subsidy, guarantee fee subsidy pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial institution	Fiduciary account
<b>III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)</b>	
<b>11. Body implementing the financial instrument</b>	
11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European Investment Bank; the European Investment Fund; international financial institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only)	Body governed by public or private law
11.1.1. Name of the body implementing the financial instrument	BEF/FFE
11.1.2. Official address/place of business (country and town name) of the body implementing the financial instrument	Devere House, Vicar Lane, Bradford, BD1 5AH
12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure	Selection in accordance with the provisions of the public procurement directive
12.1. Description of the other procedure of selecting the body implementing the financial instrument	
13. Date of signature of the funding agreement with the body implementing the financial instrument	21-Nov-2016
<b>IV. Total amount of programme contributions, by priority or measure, paid to the financial</b>	

<b>instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)</b>	
14. Total amount of programme contributions committed in the funding agreement (in EUR)	741,725.00
14.1. out of which ESI Funds contributions (in EUR)	259,010.00
14.1.1. out of which ERDF (in EUR) (optional)	
14.1.2. out of which Cohesion Fund (in EUR) (optional)	
14.1.3. out of which ESF (in EUR) (optional)	
14.1.4. out of which EAFRD (in EUR) (optional)	
14.1.5. out of which EMFF (in EUR) (optional)	
15. Total amount of programme contributions paid to the financial instrument (in EUR)	741,725.00
15.1. out of which amount of ESI Funds contributions (in EUR)	259,010.00
15.1.1. out of which ERDF (in EUR)	259,010.00
15.1.2. out of which Cohesion Fund (in EUR)	
15.1.3. out of which ESF (in EUR)	
15.1.4. out of which EAFRD (in EUR)	
15.1.5. out of which EMFF (in EUR)	
15.2. out of which total amount of national co-financing (in EUR)	482,715.00
15.2.1. out of which total amount of national public funding (in EUR)	144,075.00
15.2.2. out of which total amount of national private funding (in EUR)	338,640.00
16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR)	
17. Total amount of management costs and fees paid out of programme contributions (in EUR)	12,974.00
17.1. out of which base remuneration (in EUR)	
17.2. out of which performance-based remuneration (in EUR)	
18. Capitalised management costs or fees pursuant to Article 42(2) of Regulation (EU) No 1303/2013 (relevant only for final report) (in EUR)	
19. Capitalised interest rate subsidies or guarantee fee subsidies pursuant to Article 42(1)(c) of Regulation (EU) No 1303/2013 (relevant to final report only) (in EUR)	
20. Amount of programme contributions for follow-on investments in final recipients pursuant to Article 42(3) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
<b>V. Total amount of support paid to final recipients, or to the benefit of final recipients, or committed in guarantee contracts by the financial instrument for investments in final recipients, by ESI Funds programme and priority or measure (Article 46(2)(e) of Regulation (EU) No 1303/2013)</b>	
<b>22. Name of financial product offered by the financial instrument</b>	<b>Micro Loan</b>
22.1. Type of financial product offered by the financial instrument	Loan
24. Total amount of programme contributions committed in this loan, guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR)	129,453.00
24.1. out of which total amount of ESI Funds contributions (in EUR)	45,205.00
25. Total amount of programme contributions paid to final recipients through this loan, micro-loans, equity or other products, or, in the case of	129,453.00

guarantee, committed for loans paid to final recipients, by product (in EUR)	
25.1. out of which total amount of ESI Funds contributions (in EUR)	45,205.00
25.1.1. out of which ERDF (in EUR)	45,205.00
25.1.2. out of which Cohesion Fund (in EUR)	
25.1.3. out of which ESF (in EUR)	
25.1.4. out of which EAFRD (in EUR)	
25.1.5. out of which EMFF (in EUR)	
25.2. out of which total amount of national public co-financing (in EUR)	25,114.00
25.3. out of which total amount of national private co-financing (in EUR)	59,134.00
27. Number of loan/guarantee/equity or quasi-equity/other financial product contracts signed with final recipients, by product	3
28. Number of loan/guarantee/equity or quasi-equity/other financial product investments made in final recipients, by product	3
29. Number of financial recipients supported by the financial product	3
29.1. out of which large enterprises	
29.2. out of which SMEs	3
29.2.1. out of which microenterprises	
29.3. out of which individuals/natural persons	
29.4. out of which other type of final recipients supported	
29.4.1. description of other type of final recipients supported	
33. Total number of disbursed loans defaulted or total number of guarantees provided and called due to the loan default	
34. Total amount of disbursed loans defaulted (in EUR) or total amount committed for guarantees provided and called due to loan default (in EUR)	
<b>38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)</b>	
38.3. Total amount of other contributions, outside the ESI Funds mobilised at the level of final recipients (in EUR)	84,248.00
38.3.1. out of which public contributions (EUR)	25,114.00
38.3.2. out of which private contributions (EUR)	59,134.00
38.3A. Contribution under EIB financial product mobilised at the level of final recipient (only for the instruments under Article 38(1)(c)) (in EUR)	
<b>39. Expected and achieved leverage effect, by reference to the funding agreement</b>	
39.1. Expected leverage effect for loan/guarantee/equity or quasi-equity investment/other financial product, by reference to the funding agreement, by product	4.92
39.2. Achieved leverage effect at the end of reporting year for loan/guarantee/equity or quasi-equity investment/other financial product, by product	2.70
39.3. Investment mobilised through ESIF financial instruments for loan/guarantee/equity and quasi-equity investment, by product (Optional)	
<b>VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)</b>	
32. Information whether the financial instrument was still operational at the end of the reporting year	Yes
32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up	

<b>VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)</b>	
35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR)	
36. Amounts repaid to the financial instrument attributable to support from ESI Funds by the end of the reporting year (in EUR)	
36.1. out of which capital repayments (in EUR)	
36.2. out of which gains, other earnings and yields (in EUR)	
37. Amounts of resources attributable to ESI Funds used in accordance with Article 44 and 43a	
37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR)	
37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR)	
37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the financial instrument resulting from negative interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in EUR)	
<b>VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)</b>	
<b>38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)</b>	
38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR)	741,725.00
38.1A. Contributions under EIB financial product committed in the funding agreement with the body implementing the financial instrument (only for the instruments under Article 38(1)(c)) (in EUR)	
38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR)	482,715.00
38.2.1. out of which public contributions (EUR)	144,075.00
38.2.2. out of which private contributions (EUR)	338,640.00
38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR)	
<b>IX. Contribution of the financial instrument to the achievement of the indicators of the priority or measure concerned (Article 46(2)(j) of Regulation (EU) No 1303/2013)</b>	
<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>CO05 - Productive investment: Number of new enterprises supported</b>
41.1. Target value of the output indicator	1.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	0.00

<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>CO04 - Productive investment: Number of enterprises receiving non-financial support</b>
41.1. Target value of the output indicator	2.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	0.00
<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>CO08 - Productive investment: Employment increase in supported enterprises</b>
41.1. Target value of the output indicator	18.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	1.00
<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>CO01 - Productive investment: Number of enterprises receiving support</b>
41.1. Target value of the output indicator	4.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	3.00
<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>CO07 - Productive investment: Private investment matching public support to enterprises (non-grants)</b>
41.1. Target value of the output indicator	1,394,969.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	98,758.00
<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>CO03 - Productive investment: Number of enterprises receiving financial support other than grants</b>
41.1. Target value of the output indicator	2.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	3.00
<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>CO29 - Research, Innovation: Number of enterprises supported to introduce new to the firm products</b>
41.1. Target value of the output indicator	1.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	1.00

<b>II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)</b>	
5. Name of the financial instrument	<b>The Northern Powerhouse</b>



	<b>- Micro loan Fund North West - PA1</b>
6. Official address/place of business of the financial instrument (name of the country and city)	Lee House, 90 Great Bridgewater Street, Manchester, M1 5JW
8. Type of the financial instrument	Fund of funds specific fund
8.2. Related Fund of Funds	The Northern Powerhouse Investment Fund - PA1
8.1. Tailor-made or financial instruments complying with standard terms and conditions i.e. "off-the-shelf instruments"	Tailor-made
<b>9. Type of products provided by financial instrument: loans, micro-loans, guarantees, equity, or quasi-equity investments, other financial product or other support combined within the financial instrument pursuant to Article 37(7) of Regulation (EU) No 1303/2013</b>	
9.0.1. Loans ( $\geq$ EUR 25,000)	Yes
9.0.2. Micro-loans ( $<$ EUR 25,000 and provided to micro-enterprises) according to SEC/2011/1134 final	No
9.0.3. Guarantees	No
9.0.4. Equity	No
9.0.5. Quasi-equity	No
9.0.6. Other financial products	No
9.0.7. Other support combined with a financial instrument	No
9.1. Description of the other financial product	
9.2. Other support combined within the financial instrument: grant, interest rate subsidy, guarantee fee subsidy pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial institution	Fiduciary account
<b>III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)</b>	
<b>11. Body implementing the financial instrument</b>	
11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European Investment Bank; the European Investment Fund; international financial institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only)	Body governed by public or private law
11.1.1. Name of the body implementing the financial instrument	BFS/MSIF
11.1.2. Official address/place of business (country and town name) of the body implementing the financial instrument	Lee House, 90 Great Bridgewater Street, Manchester, M1 5JW
12. Procedure of selecting the body implementing the financial instrument:	Selection in accordance with

award of a public contract; other procedure	the provisions of the public procurement directive
12.1. Description of the other procedure of selecting the body implementing the financial instrument	
13. Date of signature of the funding agreement with the body implementing the financial instrument	21-Nov-2016
<b>IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)</b>	
14. Total amount of programme contributions committed in the funding agreement (in EUR)	1,000,000.00
14.1. out of which ESI Funds contributions (in EUR)	349,200.00
14.1.1. out of which ERDF (in EUR) (optional)	
14.1.2. out of which Cohesion Fund (in EUR) (optional)	
14.1.3. out of which ESF (in EUR) (optional)	
14.1.4. out of which EAFRD (in EUR) (optional)	
14.1.5. out of which EMFF (in EUR) (optional)	
15. Total amount of programme contributions paid to the financial instrument (in EUR)	1,000,000.00
15.1. out of which amount of ESI Funds contributions (in EUR)	349,200.00
15.1.1. out of which ERDF (in EUR)	349,200.00
15.1.2. out of which Cohesion Fund (in EUR)	
15.1.3. out of which ESF (in EUR)	
15.1.4. out of which EAFRD (in EUR)	
15.1.5. out of which EMFF (in EUR)	
15.2. out of which total amount of national co-financing (in EUR)	650,800.00
15.2.1. out of which total amount of national public funding (in EUR)	194,000.00
15.2.2. out of which total amount of national private funding (in EUR)	456,800.00
16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR)	
17. Total amount of management costs and fees paid out of programme contributions (in EUR)	51,896.00
17.1. out of which base remuneration (in EUR)	
17.2. out of which performance-based remuneration (in EUR)	
18. Capitalised management costs or fees pursuant to Article 42(2) of Regulation (EU) No 1303/2013 (relevant only for final report) (in EUR)	
19. Capitalised interest rate subsidies or guarantee fee subsidies pursuant to Article 42(1)(c) of Regulation (EU) No 1303/2013 (relevant to final report only) (in EUR)	
20. Amount of programme contributions for follow-on investments in final recipients pursuant to Article 42(3) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
<b>V. Total amount of support paid to final recipients, or to the benefit of final recipients, or committed in guarantee contracts by the financial instrument for investments in final recipients, by ESI Funds programme and priority or measure (Article 46(2)(e) of Regulation (EU) No 1303/2013)</b>	
22. Name of financial product offered by the financial instrument	Micro Loan

22.1. Type of financial product offered by the financial instrument	Loan
24. Total amount of programme contributions committed in this loan, guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR)	778,781.00
24.1. out of which total amount of ESI Funds contributions (in EUR)	271,950.00
25. Total amount of programme contributions paid to final recipients through this loan, micro-loans, equity or other products, or, in the case of guarantee, committed for loans paid to final recipients, by product (in EUR)	778,781.00
25.1. out of which total amount of ESI Funds contributions (in EUR)	271,950.00
25.1.1. out of which ERDF (in EUR)	271,950.00
25.1.2. out of which Cohesion Fund (in EUR)	
25.1.3. out of which ESF (in EUR)	
25.1.4. out of which EAFRD (in EUR)	
25.1.5. out of which EMFF (in EUR)	
25.2. out of which total amount of national public co-financing (in EUR)	151,084.00
25.3. out of which total amount of national private co-financing (in EUR)	355,747.00
27. Number of loan/guarantee/equity or quasi-equity/other financial product contracts signed with final recipients, by product	10
28. Number of loan/guarantee/equity or quasi-equity/other financial product investments made in final recipients, by product	10
29. Number of financial recipients supported by the financial product	10
29.1. out of which large enterprises	
29.2. out of which SMEs	10
29.2.1. out of which microenterprises	
29.3. out of which individuals/natural persons	
29.4. out of which other type of final recipients supported	
29.4.1. description of other type of final recipients supported	
33. Total number of disbursed loans defaulted or total number of guarantees provided and called due to the loan default	
34. Total amount of disbursed loans defaulted (in EUR) or total amount committed for guarantees provided and called due to loan default (in EUR)	
<b>38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)</b>	
38.3. Total amount of other contributions, outside the ESI Funds mobilised at the level of final recipients (in EUR)	506,831.00
38.3.1. out of which public contributions (EUR)	151,084.00
38.3.2. out of which private contributions (EUR)	355,747.00
38.3A. Contribution under EIB financial product mobilised at the level of final recipient (only for the instruments under Article 38(1)(c)) (in EUR)	
<b>39. Expected and achieved leverage effect, by reference to the funding agreement</b>	
39.1. Expected leverage effect for loan/guarantee/equity or quasi-equity investment/other financial product, by reference to the funding agreement, by product	3.57
39.2. Achieved leverage effect at the end of reporting year for loan/guarantee/equity or quasi-equity investment/other financial product, by product	2.62
39.3. Investment mobilised through ESIF financial instruments for loan/guarantee/equity and quasi-equity investment, by product (Optional)	

<b>VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)</b>	
32. Information whether the financial instrument was still operational at the end of the reporting year	Yes
32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up	
<b>VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)</b>	
35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR)	
36. Amounts repaid to the financial instrument attributable to support from ESI Funds by the end of the reporting year (in EUR)	
36.1. out of which capital repayments (in EUR)	
36.2. out of which gains, other earnings and yields (in EUR)	
37. Amounts of resources attributable to ESI Funds used in accordance with Article 44 and 43a	
37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR)	
37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR)	
37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the financial instrument resulting from negative interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in EUR)	
<b>VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)</b>	
<b>38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)</b>	
38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR)	1,000,000.00
38.1A. Contributions under EIB financial product committed in the funding agreement with the body implementing the financial instrument (only for the instruments under Article 38(1)(c)) (in EUR)	
38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR)	650,800.00
38.2.1. out of which public contributions (EUR)	194,000.00
38.2.2. out of which private contributions (EUR)	456,800.00
38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR)	
<b>IX. Contribution of the financial instrument to the achievement of the indicators of the priority or</b>	

<b>measure concerned (Article 46(2)(j) of Regulation (EU) No 1303/2013)</b>	
<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>CO07 - Productive investment: Private investment matching public support to enterprises (non-grants)</b>
41.1. Target value of the output indicator	1,394,969.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	1,028,781.00
<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>CO04 - Productive investment: Number of enterprises receiving non-financial support</b>
41.1. Target value of the output indicator	2.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	0.00
<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>CO03 - Productive investment: Number of enterprises receiving financial support other than grants</b>
41.1. Target value of the output indicator	2.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	10.00
<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>CO08 - Productive investment: Employment increase in supported enterprises</b>
41.1. Target value of the output indicator	18.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	6.00
<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>CO05 - Productive investment: Number of new enterprises supported</b>
41.1. Target value of the output indicator	1.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	4.00
<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>CO29 - Research, Innovation: Number of enterprises supported to introduce new to the firm products</b>
41.1. Target value of the output indicator	1.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	8.00
<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>CO01 - Productive investment: Number of enterprises receiving support</b>

41.1. Target value of the output indicator	4.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	10.00

<b>I. Identification of the programme and priority or measure from which support from the ESI Funds is provided (Article 46(2)(a) of Regulation (EU) No 1303/2013)</b>	
<b>1. Priority axes or measures supporting the financial instrument, including fund of funds, under the ESI Fund programme.</b>	
1.1. Priority axis supporting the financial instrument under the ESI Fund programme	3 - Enhancing the Competitiveness of SMEs
2. Name of ESI Fund(s) supporting the financial instrument under the priority axis or measure	ERDF
<b>3. Thematic objective(s) referred to in the first paragraph of Article 9 of Regulation (EU) No 1303/2013 supported by the financial instrument</b>	<b>03 - Enhancing the competitiveness of small and medium-sized enterprises, the agricultural sector (for the EAFRD) and the fisheries and aquaculture sector (for the EMFF)</b>
3.1. Amount of ESI Funds committed in funding agreements from the individual thematic objective chosen in field 3 (optional)	133,680,539.00
<b>4. other ESI Fund programmes providing contribution to the financial instrument</b>	
4.1. CCI number of each other ESI Fund programme providing contributions to the financial instrument	
30. Date of completion of the ex ante assessment	15-Nov-2016
<b>31. Selection of bodies implementing financial instrument</b>	
31.1. Has selection or designation process already been launched	Yes
<b>II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)</b>	
5. Name of the financial instrument	<b>The Northern Powerhouse Investment Fund - PA3</b>
6. Official address/place of business of the financial instrument (name of the country and city)	Steel City House, West Street, Sheffield, S1 2GQ
<b>7. Implementation arrangements</b>	
7.1. Financial instruments set up at Union level, managed directly or indirectly by the Commission referred to in Article 38(1)(a) of Regulation (EU) No 1303/2013, supported from ESI Fund programme contributions	
7.1.1. Name of the Union-level financial instrument	
7.2. Financial instrument set up at national, regional, transnational or cross-border level, managed by or under the responsibility of the managing authority referred to in Article 38(1)(b), supported from ESI Fund programme contributions under point (a), (b), (c) and d) of Article 38(4) of Regulation (EU) No 1303/2013	Entrustment of implementation tasks, through the direct award of a contract
7.3. Financial instrument combining financial contribution from MA with EIB financial products	

under European Fund for Strategic Investment in accordance with Article 39a, referred to in Article 38(1)(c)	
8. Type of the financial instrument	Fund of funds
10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial institution	Fiduciary account
<b>III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)</b>	
<b>11. Body implementing the financial instrument</b>	
11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European Investment Bank; the European Investment Fund; international financial institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only)	Body governed by public or private law
11.1.1. Name of the body implementing the financial instrument	Northern Powerhouse Investments Limited (NPIL)
11.1.2. Official address/place of business (country and town name) of the body implementing the financial instrument	Steel City House, West Street, Sheffield, S1 2GQ
12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure	Others
12.1. Description of the other procedure of selecting the body implementing the financial instrument	Entrustment of a publicly owned company, via inter-administrative co-operation between the managing authority and The Secretary of State for Business, Energy and Industrial Strategy (BEIS) in accordance with Article 38 (1)(b) & 38 4(b) (iii) of the CPR, and s3.6.2 of Commission Notice 2016/C 276/01
13. Date of signature of the funding agreement with the body implementing the financial instrument	21-Nov-2016
<b>IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)</b>	
14. Total amount of programme contributions	382,819,413.00

committed in the funding agreement (in EUR)	
14.1. out of which ESI Funds contributions (in EUR)	133,680,539.00
14.1.1. out of which ERDF (in EUR) (optional)	
14.1.2. out of which Cohesion Fund (in EUR) (optional)	
14.1.3. out of which ESF (in EUR) (optional)	
14.1.4. out of which EAFRD (in EUR) (optional)	
14.1.5. out of which EMFF (in EUR) (optional)	
15. Total amount of programme contributions paid to the financial instrument (in EUR)	382,819,413.00
15.1. out of which amount of ESI Funds contributions (in EUR)	133,680,539.00
15.1.1. out of which ERDF (in EUR)	133,680,539.00
15.1.2. out of which Cohesion Fund (in EUR)	
15.1.3. out of which ESF (in EUR)	
15.1.4. out of which EAFRD (in EUR)	
15.1.5. out of which EMFF (in EUR)	
15.2. out of which total amount of national co-financing (in EUR)	249,138,874.00
15.2.1. out of which total amount of national public funding (in EUR)	74,266,966.00
15.2.2. out of which total amount of national private funding (in EUR)	174,871,908.00
16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR)	
17. Total amount of management costs and fees paid out of programme contributions (in EUR)	15,731,864.00
17.1. out of which base remuneration (in EUR)	
17.2. out of which performance-based remuneration (in EUR)	
21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
<b>VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)</b>	
32. Information whether the financial instrument was still operational at the end of the reporting year	Yes
32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up	
<b>VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)</b>	
35. Interest and other gains generated by payments	125,575.00



from ESI Funds to the financial instrument (in EUR)	
37. Amounts of resources attributable to ESI Funds used in accordance with Article 44 and 43a	
37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR)	
37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR)	
37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the financial instrument resulting from negative interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in EUR)	
<b>VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)</b>	
<b>38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)</b>	
38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR)	382,819,413.00
38.1A. Contributions under EIB financial product committed in the funding agreement with the body implementing the financial instrument (only for the instruments under Article 38(1)(c)) (in EUR)	
38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR)	249,138,874.00
38.2.1. out of which public contributions (EUR)	74,266,966.00
38.2.2. out of which private contributions (EUR)	174,871,908.00
38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR)	

<b>II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)</b>	
5. Name of the financial instrument	<b>The Northern Powerhouse - Debt Fund - North West Excluding Cumbria - PA3</b>
6. Official address/place of business of the financial instrument (name of the country and city)	The Plaza, 100 Old Hall Street, Liverpool, L3 9QJ
8. Type of the financial instrument	Fund of funds specific fund

8.2. Related Fund of Funds	The Northern Powerhouse Investment Fund - PA3
8.1. Tailor-made or financial instruments complying with standard terms and conditions i.e. "off-the-shelf instruments"	Tailor-made
<b>9. Type of products provided by financial instrument: loans, micro-loans, guarantees, equity, or quasi-equity investments, other financial product or other support combined within the financial instrument pursuant to Article 37(7) of Regulation (EU) No 1303/2013</b>	
9.0.1. Loans ( $\geq$ EUR 25,000)	Yes
9.0.2. Micro-loans ( $<$ EUR 25,000 and provided to micro-enterprises) according to SEC/2011/1134 final	No
9.0.3. Guarantees	No
9.0.4. Equity	No
9.0.5. Quasi-equity	No
9.0.6. Other financial products	No
9.0.7. Other support combined with a financial instrument	No
9.1. Description of the other financial product	
9.2. Other support combined within the financial instrument: grant, interest rate subsidy, guarantee fee subsidy pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial institution	Fiduciary account
<b>III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)</b>	
<b>11. Body implementing the financial instrument</b>	
11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European Investment Bank; the European Investment Fund; international financial institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only)	Body governed by public or private law
11.1.1. Name of the body implementing the financial instrument	FW Capital
11.1.2. Official address/place of business (country and town name) of the body implementing the financial instrument	The Plaza, 100 Old Hall Street, Liverpool, L3 9QJ
12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure	Selection in accordance with the provisions of the public procurement directive
12.1. Description of the other procedure of selecting the body implementing the financial instrument	
13. Date of signature of the funding agreement with the body implementing the financial instrument	21-Nov-2016
<b>IV. Total amount of programme contributions, by priority or measure, paid to the financial</b>	

<b>instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)</b>	
14. Total amount of programme contributions committed in the funding agreement (in EUR)	93,936,638.00
14.1. out of which ESI Funds contributions (in EUR)	32,802,674.00
14.1.1. out of which ERDF (in EUR) (optional)	
14.1.2. out of which Cohesion Fund (in EUR) (optional)	
14.1.3. out of which ESF (in EUR) (optional)	
14.1.4. out of which EAFRD (in EUR) (optional)	
14.1.5. out of which EMFF (in EUR) (optional)	
15. Total amount of programme contributions paid to the financial instrument (in EUR)	93,936,638.00
15.1. out of which amount of ESI Funds contributions (in EUR)	32,802,674.00
15.1.1. out of which ERDF (in EUR)	32,802,674.00
15.1.2. out of which Cohesion Fund (in EUR)	
15.1.3. out of which ESF (in EUR)	
15.1.4. out of which EAFRD (in EUR)	
15.1.5. out of which EMFF (in EUR)	
15.2. out of which total amount of national co-financing (in EUR)	61,133,964.00
15.2.1. out of which total amount of national public funding (in EUR)	18,223,708.00
15.2.2. out of which total amount of national private funding (in EUR)	42,910,256.00
16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR)	
17. Total amount of management costs and fees paid out of programme contributions (in EUR)	2,701,431.00
17.1. out of which base remuneration (in EUR)	
17.2. out of which performance-based remuneration (in EUR)	
18. Capitalised management costs or fees pursuant to Article 42(2) of Regulation (EU) No 1303/2013 (relevant only for final report) (in EUR)	
19. Capitalised interest rate subsidies or guarantee fee subsidies pursuant to Article 42(1)(c) of Regulation (EU) No 1303/2013 (relevant to final report only) (in EUR)	
20. Amount of programme contributions for follow-on investments in final recipients pursuant to Article 42(3) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
<b>V. Total amount of support paid to final recipients, or to the benefit of final recipients, or committed in guarantee contracts by the financial instrument for investments in final recipients, by ESI Funds programme and priority or measure (Article 46(2)(e) of Regulation (EU) No 1303/2013)</b>	
<b>22. Name of financial product offered by the financial instrument</b>	<b>Debt Fund - PA3</b>
22.1. Type of financial product offered by the financial instrument	Loan
24. Total amount of programme contributions committed in this loan, guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR)	93,936,638.00
24.1. out of which total amount of ESI Funds contributions (in EUR)	32,802,674.00
25. Total amount of programme contributions paid to final recipients through this loan, micro-loans, equity or other products, or, in the case of	93,936,638.00

guarantee, committed for loans paid to final recipients, by product (in EUR)	
25.1. out of which total amount of ESI Funds contributions (in EUR)	32,802,674.00
25.1.1. out of which ERDF (in EUR)	32,802,674.00
25.1.2. out of which Cohesion Fund (in EUR)	
25.1.3. out of which ESF (in EUR)	
25.1.4. out of which EAFRD (in EUR)	
25.1.5. out of which EMFF (in EUR)	
25.2. out of which total amount of national public co-financing (in EUR)	18,223,708.00
25.3. out of which total amount of national private co-financing (in EUR)	42,910,256.00
27. Number of loan/guarantee/equity or quasi-equity/other financial product contracts signed with final recipients, by product	296
28. Number of loan/guarantee/equity or quasi-equity/other financial product investments made in final recipients, by product	296
29. Number of financial recipients supported by the financial product	245
29.1. out of which large enterprises	
29.2. out of which SMEs	245
29.2.1. out of which microenterprises	
29.3. out of which individuals/natural persons	
29.4. out of which other type of final recipients supported	
29.4.1. description of other type of final recipients supported	
33. Total number of disbursed loans defaulted or total number of guarantees provided and called due to the loan default	
34. Total amount of disbursed loans defaulted (in EUR) or total amount committed for guarantees provided and called due to loan default (in EUR)	
<b>38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)</b>	
38.3. Total amount of other contributions, outside the ESI Funds mobilised at the level of final recipients (in EUR)	61,133,964.00
38.3.1. out of which public contributions (EUR)	18,223,708.00
38.3.2. out of which private contributions (EUR)	42,910,256.00
38.3A. Contribution under EIB financial product mobilised at the level of final recipient (only for the instruments under Article 38(1)(c)) (in EUR)	
<b>39. Expected and achieved leverage effect, by reference to the funding agreement</b>	
39.1. Expected leverage effect for loan/guarantee/equity or quasi-equity investment/other financial product, by reference to the funding agreement, by product	5.57
39.2. Achieved leverage effect at the end of reporting year for loan/guarantee/equity or quasi-equity investment/other financial product, by product	2.68
39.3. Investment mobilised through ESIF financial instruments for loan/guarantee/equity and quasi-equity investment, by product (Optional)	
<b>VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)</b>	
32. Information whether the financial instrument was still operational at the end of the reporting year	Yes
32.1. If the financial instrument was not operational at the end of the	

reporting year, date of the winding-up	
<b>VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)</b>	
35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR)	
36. Amounts repaid to the financial instrument attributable to support from ESI Funds by the end of the reporting year (in EUR)	
36.1. out of which capital repayments (in EUR)	
36.2. out of which gains, other earnings and yields (in EUR)	
37. Amounts of resources attributable to ESI Funds used in accordance with Article 44 and 43a	
37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR)	
37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR)	
37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the financial instrument resulting from negative interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in EUR)	
<b>VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)</b>	
<b>38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)</b>	
38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR)	93,936,638.00
38.1A. Contributions under EIB financial product committed in the funding agreement with the body implementing the financial instrument (only for the instruments under Article 38(1)(c)) (in EUR)	
38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR)	61,133,964.00
38.2.1. out of which public contributions (EUR)	18,223,708.00
38.2.2. out of which private contributions (EUR)	42,910,256.00
38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR)	
<b>IX. Contribution of the financial instrument to the achievement of the indicators of the priority or measure concerned (Article 46(2)(j) of Regulation (EU) No 1303/2013)</b>	
<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>CO29 - Research, Innovation: Number of enterprises supported to introduce new to the firm products</b>

41.1. Target value of the output indicator	32.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	49.00
<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>CO04 - Productive investment: Number of enterprises receiving non-financial support</b>
41.1. Target value of the output indicator	169.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	72.00
<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>CO03 - Productive investment: Number of enterprises receiving financial support other than grants</b>
41.1. Target value of the output indicator	208.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	245.00
<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>CO08 - Productive investment: Employment increase in supported enterprises</b>
41.1. Target value of the output indicator	825.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	1,239.00
<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>CO05 - Productive investment: Number of new enterprises supported</b>
41.1. Target value of the output indicator	74.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	14.00
<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>CO07 - Productive investment: Private investment matching public support to enterprises (non-grants)</b>
41.1. Target value of the output indicator	63,900,133.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	136,639,717.00
<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>CO01 - Productive investment: Number of enterprises receiving support</b>
41.1. Target value of the output indicator	378.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	317.00

## II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)

5. Name of the financial instrument	<b>The Northern Powerhouse - Debt Fund - Tees Valley &amp; Cumbria - PA3</b>
6. Official address/place of business of the financial instrument (name of the country and city)	The Plaza, 100 Old Hall Street, Liverpool, L3 9QJ
8. Type of the financial instrument	Fund of funds specific fund
8.2. Related Fund of Funds	The Northern Powerhouse Investment Fund - PA3
8.1. Tailor-made or financial instruments complying with standard terms and conditions i.e. "off-the-shelf instruments"	Tailor-made
<b>9. Type of products provided by financial instrument: loans, micro-loans, guarantees, equity, or quasi-equity investments, other financial product or other support combined within the financial instrument pursuant to Article 37(7) of Regulation (EU) No 1303/2013</b>	
9.0.1. Loans ( $\geq$ EUR 25,000)	Yes
9.0.2. Micro-loans ( $<$ EUR 25,000 and provided to micro-enterprises) according to SEC/2011/1134 final	No
9.0.3. Guarantees	No
9.0.4. Equity	No
9.0.5. Quasi-equity	No
9.0.6. Other financial products	No
9.0.7. Other support combined with a financial instrument	No
9.1. Description of the other financial product	
9.2. Other support combined within the financial instrument: grant, interest rate subsidy, guarantee fee subsidy pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial institution	Fiduciary account
<b>III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)</b>	
<b>11. Body implementing the financial instrument</b>	
11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European Investment Bank; the European Investment Fund; international financial institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only)	Body governed by public or private law
11.1.1. Name of the body implementing the financial instrument	FW Capital
11.1.2. Official address/place of business (country and town name) of the body implementing the financial instrument	The Plaza, 100 Old Hall Street, Liverpool, L3 9QJ
12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure	Selection in accordance with the provisions of the public

	procurement directive
12.1. Description of the other procedure of selecting the body implementing the financial instrument	
13. Date of signature of the funding agreement with the body implementing the financial instrument	21-Nov-2016
<b>IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)</b>	
14. Total amount of programme contributions committed in the funding agreement (in EUR)	51,704,017.00
14.1. out of which ESI Funds contributions (in EUR)	18,055,043.00
14.1.1. out of which ERDF (in EUR) (optional)	
14.1.2. out of which Cohesion Fund (in EUR) (optional)	
14.1.3. out of which ESF (in EUR) (optional)	
14.1.4. out of which EAFRD (in EUR) (optional)	
14.1.5. out of which EMFF (in EUR) (optional)	
15. Total amount of programme contributions paid to the financial instrument (in EUR)	51,704,017.00
15.1. out of which amount of ESI Funds contributions (in EUR)	18,055,043.00
15.1.1. out of which ERDF (in EUR)	18,055,043.00
15.1.2. out of which Cohesion Fund (in EUR)	
15.1.3. out of which ESF (in EUR)	
15.1.4. out of which EAFRD (in EUR)	
15.1.5. out of which EMFF (in EUR)	
15.2. out of which total amount of national co-financing (in EUR)	33,648,974.00
15.2.1. out of which total amount of national public funding (in EUR)	10,030,579.00
15.2.2. out of which total amount of national private funding (in EUR)	23,618,395.00
16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR)	
17. Total amount of management costs and fees paid out of programme contributions (in EUR)	1,906,893.00
17.1. out of which base remuneration (in EUR)	
17.2. out of which performance-based remuneration (in EUR)	
18. Capitalised management costs or fees pursuant to Article 42(2) of Regulation (EU) No 1303/2013 (relevant only for final report) (in EUR)	
19. Capitalised interest rate subsidies or guarantee fee subsidies pursuant to Article 42(1)(c) of Regulation (EU) No 1303/2013 (relevant to final report only) (in EUR)	
20. Amount of programme contributions for follow-on investments in final recipients pursuant to Article 42(3) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
<b>V. Total amount of support paid to final recipients, or to the benefit of final recipients, or committed in guarantee contracts by the financial instrument for investments in final recipients, by ESI Funds programme and priority or measure (Article 46(2)(e) of Regulation (EU) No 1303/2013)</b>	
<b>22. Name of financial product offered by the financial instrument</b>	<b>Debt Fund - PA3</b>
22.1. Type of financial product offered by the financial instrument	Loan



24. Total amount of programme contributions committed in this loan, guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR)	51,704,017.00
24.1. out of which total amount of ESI Funds contributions (in EUR)	18,055,043.00
25. Total amount of programme contributions paid to final recipients through this loan, micro-loans, equity or other products, or, in the case of guarantee, committed for loans paid to final recipients, by product (in EUR)	51,704,017.00
25.1. out of which total amount of ESI Funds contributions (in EUR)	18,055,043.00
25.1.1. out of which ERDF (in EUR)	18,055,043.00
25.1.2. out of which Cohesion Fund (in EUR)	
25.1.3. out of which ESF (in EUR)	
25.1.4. out of which EAFRD (in EUR)	
25.1.5. out of which EMFF (in EUR)	
25.2. out of which total amount of national public co-financing (in EUR)	10,030,579.00
25.3. out of which total amount of national private co-financing (in EUR)	23,618,395.00
27. Number of loan/guarantee/equity or quasi-equity/other financial product contracts signed with final recipients, by product	171
28. Number of loan/guarantee/equity or quasi-equity/other financial product investments made in final recipients, by product	171
29. Number of financial recipients supported by the financial product	123
29.1. out of which large enterprises	
29.2. out of which SMEs	123
29.2.1. out of which microenterprises	
29.3. out of which individuals/natural persons	
29.4. out of which other type of final recipients supported	
29.4.1. description of other type of final recipients supported	
33. Total number of disbursed loans defaulted or total number of guarantees provided and called due to the loan default	
34. Total amount of disbursed loans defaulted (in EUR) or total amount committed for guarantees provided and called due to loan default (in EUR)	
<b>38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)</b>	
38.3. Total amount of other contributions, outside the ESI Funds mobilised at the level of final recipients (in EUR)	33,648,974.00
38.3.1. out of which public contributions (EUR)	10,030,579.00
38.3.2. out of which private contributions (EUR)	23,618,395.00
38.3A. Contribution under EIB financial product mobilised at the level of final recipient (only for the instruments under Article 38(1)(c)) (in EUR)	
<b>39. Expected and achieved leverage effect, by reference to the funding agreement</b>	
39.1. Expected leverage effect for loan/guarantee/equity or quasi-equity investment/other financial product, by reference to the funding agreement, by product	5.50
39.2. Achieved leverage effect at the end of reporting year for loan/guarantee/equity or quasi-equity investment/other financial product, by product	2.66
39.3. Investment mobilised through ESIF financial instruments for loan/guarantee/equity and quasi-equity investment, by product (Optional)	

<b>VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)</b>	
32. Information whether the financial instrument was still operational at the end of the reporting year	Yes
32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up	
<b>VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)</b>	
35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR)	
36. Amounts repaid to the financial instrument attributable to support from ESI Funds by the end of the reporting year (in EUR)	
36.1. out of which capital repayments (in EUR)	
36.2. out of which gains, other earnings and yields (in EUR)	
37. Amounts of resources attributable to ESI Funds used in accordance with Article 44 and 43a	
37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR)	
37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR)	
37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the financial instrument resulting from negative interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in EUR)	
<b>VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)</b>	
<b>38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)</b>	
38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR)	51,704,017.00
38.1A. Contributions under EIB financial product committed in the funding agreement with the body implementing the financial instrument (only for the instruments under Article 38(1)(c)) (in EUR)	
38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR)	33,648,974.00
38.2.1. out of which public contributions (EUR)	10,030,579.00
38.2.2. out of which private contributions (EUR)	23,618,395.00
38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR)	
<b>IX. Contribution of the financial instrument to the achievement of the indicators of the priority or</b>	

<b>measure concerned (Article 46(2)(j) of Regulation (EU) No 1303/2013)</b>	
<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>CO07 - Productive investment: Private investment matching public support to enterprises (non-grants)</b>
41.1. Target value of the output indicator	63,900,133.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	74,392,405.00
<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>CO08 - Productive investment: Employment increase in supported enterprises</b>
41.1. Target value of the output indicator	825.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	1,111.00
<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>CO29 - Research, Innovation: Number of enterprises supported to introduce new to the firm products</b>
41.1. Target value of the output indicator	32.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	40.00
<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>CO05 - Productive investment: Number of new enterprises supported</b>
41.1. Target value of the output indicator	74.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	24.00
<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>CO01 - Productive investment: Number of enterprises receiving support</b>
41.1. Target value of the output indicator	378.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	221.00
<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>CO03 - Productive investment: Number of enterprises receiving financial support other than grants</b>
41.1. Target value of the output indicator	208.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	123.00
<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>CO04 - Productive investment: Number of enterprises receiving non-financial support</b>
41.1. Target value of the output indicator	169.00

41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	98.00
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<b>II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)</b>	
5. Name of the financial instrument	<b>The Northern Powerhouse - Debt Fund - Yorks &amp; Humber - PA3</b>
6. Official address/place of business of the financial instrument (name of the country and city)	The Pinnacle Vault, 73/79 King Street, Manchester, M2 4NG
8. Type of the financial instrument	Fund of funds specific fund
8.2. Related Fund of Funds	The Northern Powerhouse Investment Fund - PA3
8.1. Tailor-made or financial instruments complying with standard terms and conditions i.e. "off-the-shelf instruments"	Tailor-made
<b>9. Type of products provided by financial instrument: loans, micro-loans, guarantees, equity, or quasi-equity investments, other financial product or other support combined within the financial instrument pursuant to Article 37(7) of Regulation (EU) No 1303/2013</b>	
9.0.1. Loans ( $\geq$ EUR 25,000)	Yes
9.0.2. Micro-loans ( $<$ EUR 25,000 and provided to micro-enterprises) according to SEC/2011/1134 final	No
9.0.3. Guarantees	No
9.0.4. Equity	No
9.0.5. Quasi-equity	No
9.0.6. Other financial products	No
9.0.7. Other support combined with a financial instrument	No
9.1. Description of the other financial product	
9.2. Other support combined within the financial instrument: grant, interest rate subsidy, guarantee fee subsidy pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial institution	
<b>III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)</b>	
<b>11. Body implementing the financial instrument</b>	
11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European Investment Bank; the European Investment Fund; international financial institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial activities on a professional basis; a body governed by public or private	Body governed by public or private law

law; managing authority undertaking implementation tasks directly (for loans or guarantees only)	
11.1.1. Name of the body implementing the financial instrument	Mercia
11.1.2. Official address/place of business (country and town name) of the body implementing the financial instrument	The Pinnacle Building, 73/79King Street, Manchester, M2 4NG
12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure	Selection in accordance with the provisions of the public procurement directive
12.1. Description of the other procedure of selecting the body implementing the financial instrument	
13. Date of signature of the funding agreement with the body implementing the financial instrument	21-Nov-2016
<b>IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)</b>	
14. Total amount of programme contributions committed in the funding agreement (in EUR)	74,889,512.00
14.1. out of which ESI Funds contributions (in EUR)	26,151,418.00
14.1.1. out of which ERDF (in EUR) (optional)	
14.1.2. out of which Cohesion Fund (in EUR) (optional)	
14.1.3. out of which ESF (in EUR) (optional)	
14.1.4. out of which EAFRD (in EUR) (optional)	
14.1.5. out of which EMFF (in EUR) (optional)	
15. Total amount of programme contributions paid to the financial instrument (in EUR)	74,889,512.00
15.1. out of which amount of ESI Funds contributions (in EUR)	26,151,418.00
15.1.1. out of which ERDF (in EUR)	26,151,418.00
15.1.2. out of which Cohesion Fund (in EUR)	
15.1.3. out of which ESF (in EUR)	
15.1.4. out of which EAFRD (in EUR)	
15.1.5. out of which EMFF (in EUR)	
15.2. out of which total amount of national co-financing (in EUR)	48,738,094.00
15.2.1. out of which total amount of national public funding (in EUR)	14,528,565.00
15.2.2. out of which total amount of national private funding (in EUR)	34,209,529.00
16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR)	
17. Total amount of management costs and fees paid out of programme contributions (in EUR)	1,747,985.00
17.1. out of which base remuneration (in EUR)	
17.2. out of which performance-based remuneration (in EUR)	
18. Capitalised management costs or fees pursuant to Article 42(2) of Regulation (EU) No 1303/2013 (relevant only for final report) (in EUR)	
19. Capitalised interest rate subsidies or guarantee fee subsidies pursuant to Article 42(1)(c) of Regulation (EU) No 1303/2013 (relevant to final report only) (in EUR)	
20. Amount of programme contributions for follow-on investments in final recipients pursuant to Article 42(3) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	

21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
<b>V. Total amount of support paid to final recipients, or to the benefit of final recipients, or committed in guarantee contracts by the financial instrument for investments in final recipients, by ESI Funds programme and priority or measure (Article 46(2)(e) of Regulation (EU) No 1303/2013)</b>	
<b>22. Name of financial product offered by the financial instrument</b>	<b>Debt Fund - PA3</b>
22.1. Type of financial product offered by the financial instrument	Loan
24. Total amount of programme contributions committed in this loan, guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR)	68,841,986.00
24.1. out of which total amount of ESI Funds contributions (in EUR)	24,039,622.00
25. Total amount of programme contributions paid to final recipients through this loan, micro-loans, equity or other products, or, in the case of guarantee, committed for loans paid to final recipients, by product (in EUR)	68,841,986.00
25.1. out of which total amount of ESI Funds contributions (in EUR)	24,039,622.00
25.1.1. out of which ERDF (in EUR)	24,039,622.00
25.1.2. out of which Cohesion Fund (in EUR)	
25.1.3. out of which ESF (in EUR)	
25.1.4. out of which EAFRD (in EUR)	
25.1.5. out of which EMFF (in EUR)	
25.2. out of which total amount of national public co-financing (in EUR)	13,355,345.00
25.3. out of which total amount of national private co-financing (in EUR)	31,447,019.00
27. Number of loan/guarantee/equity or quasi-equity/other financial product contracts signed with final recipients, by product	285
28. Number of loan/guarantee/equity or quasi-equity/other financial product investments made in final recipients, by product	285
29. Number of financial recipients supported by the financial product	223
29.1. out of which large enterprises	
29.2. out of which SMEs	223
29.2.1. out of which microenterprises	
29.3. out of which individuals/natural persons	
29.4. out of which other type of final recipients supported	
29.4.1. description of other type of final recipients supported	
33. Total number of disbursed loans defaulted or total number of guarantees provided and called due to the loan default	
34. Total amount of disbursed loans defaulted (in EUR) or total amount committed for guarantees provided and called due to loan default (in EUR)	
<b>38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)</b>	
38.3. Total amount of other contributions, outside the ESI Funds mobilised at the level of final recipients (in EUR)	44,802,364.00
38.3.1. out of which public contributions (EUR)	13,355,345.00
38.3.2. out of which private contributions (EUR)	31,447,019.00
38.3A. Contribution under EIB financial product mobilised at the level of final recipient (only for the instruments under Article 38(1)(c)) (in EUR)	
<b>39. Expected and achieved leverage effect, by reference to the funding agreement</b>	

39.1. Expected leverage effect for loan/guarantee/equity or quasi-equity investment/other financial product, by reference to the funding agreement, by product	5.57
39.2. Achieved leverage effect at the end of reporting year for loan/guarantee/equity or quasi-equity investment/other financial product, by product	2.69
39.3. Investment mobilised through ESIF financial instruments for loan/guarantee/equity and quasi-equity investment, by product (Optional)	
<b>VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)</b>	
32. Information whether the financial instrument was still operational at the end of the reporting year	Yes
32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up	
<b>VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)</b>	
35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR)	
36. Amounts repaid to the financial instrument attributable to support from ESI Funds by the end of the reporting year (in EUR)	
36.1. out of which capital repayments (in EUR)	
36.2. out of which gains, other earnings and yields (in EUR)	
37. Amounts of resources attributable to ESI Funds used in accordance with Article 44 and 43a	
37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR)	
37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR)	
37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the financial instrument resulting from negative interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in EUR)	
<b>VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)</b>	
<b>38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)</b>	
38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR)	74,889,512.00
38.1A. Contributions under EIB financial product committed in the funding agreement with the body implementing the financial instrument	

(only for the instruments under Article 38(1)(c)) (in EUR)	
38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR)	48,738,094.00
38.2.1. out of which public contributions (EUR)	14,528,565.00
38.2.2. out of which private contributions (EUR)	34,209,529.00
38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR)	
<b>IX. Contribution of the financial instrument to the achievement of the indicators of the priority or measure concerned (Article 46(2)(j) of Regulation (EU) No 1303/2013)</b>	
<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>CO08 - Productive investment: Employment increase in supported enterprises</b>
41.1. Target value of the output indicator	825.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	1,219.00
<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>CO07 - Productive investment: Private investment matching public support to enterprises (non-grants)</b>
41.1. Target value of the output indicator	63,900,133.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	40,953,623.00
<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>CO05 - Productive investment: Number of new enterprises supported</b>
41.1. Target value of the output indicator	74.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	6.00
<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>CO01 - Productive investment: Number of enterprises receiving support</b>
41.1. Target value of the output indicator	378.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	232.00
<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>CO04 - Productive investment: Number of enterprises receiving non-financial support</b>
41.1. Target value of the output indicator	169.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	9.00
<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>CO29 - Research, Innovation: Number of enterprises supported to introduce new to the firm products</b>
41.1. Target value of the output indicator	32.00



41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	39.00
<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>CO03 - Productive investment: Number of enterprises receiving financial support other than grants</b>
41.1. Target value of the output indicator	208.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	223.00

<b>II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)</b>	
5. Name of the financial instrument	<b>The Northern Powerhouse - Equity Fund North West - PA3</b>
6. Official address/place of business of the financial instrument (name of the country and city)	Clarence House, Clarence Street, Manchester, M2 4DW
8. Type of the financial instrument	Fund of funds specific fund
8.2. Related Fund of Funds	The Northern Powerhouse Investment Fund - PA3
8.1. Tailor-made or financial instruments complying with standard terms and conditions i.e. "off-the-shelf instruments"	Tailor-made
<b>9. Type of products provided by financial instrument: loans, micro-loans, guarantees, equity, or quasi-equity investments, other financial product or other support combined within the financial instrument pursuant to Article 37(7) of Regulation (EU) No 1303/2013</b>	
9.0.1. Loans ( $\geq$ EUR 25,000)	No
9.0.2. Micro-loans ( $<$ EUR 25,000 and provided to micro-enterprises) according to SEC/2011/1134 final	No
9.0.3. Guarantees	No
9.0.4. Equity	Yes
9.0.5. Quasi-equity	Yes
9.0.6. Other financial products	No
9.0.7. Other support combined with a financial instrument	No
9.1. Description of the other financial product	
9.2. Other support combined within the financial instrument: grant, interest rate subsidy, guarantee fee subsidy pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial institution	Fiduciary account
<b>III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)</b>	
<b>11. Body implementing the financial instrument</b>	

11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European Investment Bank; the European Investment Fund; international financial institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only)	Body governed by public or private law
11.1.1. Name of the body implementing the financial instrument	Maven
11.1.2. Official address/place of business (country and town name) of the body implementing the financial instrument	Clarence House, Clarence Street, Manchester, M2 4DW
12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure	Selection in accordance with the provisions of the public procurement directive
12.1. Description of the other procedure of selecting the body implementing the financial instrument	
13. Date of signature of the funding agreement with the body implementing the financial instrument	21-Nov-2016
<b>IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)</b>	
14. Total amount of programme contributions committed in the funding agreement (in EUR)	68,967,163.00
14.1. out of which ESI Funds contributions (in EUR)	24,083,333.00
14.1.1. out of which ERDF (in EUR) (optional)	
14.1.2. out of which Cohesion Fund (in EUR) (optional)	
14.1.3. out of which ESF (in EUR) (optional)	
14.1.4. out of which EAFRD (in EUR) (optional)	
14.1.5. out of which EMFF (in EUR) (optional)	
15. Total amount of programme contributions paid to the financial instrument (in EUR)	68,967,163.00
15.1. out of which amount of ESI Funds contributions (in EUR)	24,083,333.00
15.1.1. out of which ERDF (in EUR)	24,083,333.00
15.1.2. out of which Cohesion Fund (in EUR)	
15.1.3. out of which ESF (in EUR)	
15.1.4. out of which EAFRD (in EUR)	
15.1.5. out of which EMFF (in EUR)	
15.2. out of which total amount of national co-financing (in EUR)	44,883,830.00
15.2.1. out of which total amount of national public funding (in EUR)	13,379,630.00
15.2.2. out of which total amount of national private funding (in EUR)	31,504,200.00
16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR)	
17. Total amount of management costs and fees paid out of programme contributions (in EUR)	3,972,693.00
17.1. out of which base remuneration (in EUR)	
17.2. out of which performance-based remuneration (in EUR)	
18. Capitalised management costs or fees pursuant to Article 42(2) of Regulation (EU) No 1303/2013 (relevant only for final report) (in EUR)	

19. Capitalised interest rate subsidies or guarantee fee subsidies pursuant to Article 42(1)(c) of Regulation (EU) No 1303/2013 (relevant to final report only) (in EUR)	
20. Amount of programme contributions for follow-on investments in final recipients pursuant to Article 42(3) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
<b>V. Total amount of support paid to final recipients, or to the benefit of final recipients, or committed in guarantee contracts by the financial instrument for investments in final recipients, by ESI Funds programme and priority or measure (Article 46(2)(e) of Regulation (EU) No 1303/2013)</b>	
<b>22. Name of financial product offered by the financial instrument</b>	<b>Equity Fund PA3</b>
22.1. Type of financial product offered by the financial instrument	Equity
24. Total amount of programme contributions committed in this loan, guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR)	62,604,525.00
24.1. out of which total amount of ESI Funds contributions (in EUR)	21,861,500.00
25. Total amount of programme contributions paid to final recipients through this loan, micro-loans, equity or other products, or, in the case of guarantee, committed for loans paid to final recipients, by product (in EUR)	62,604,525.00
25.1. out of which total amount of ESI Funds contributions (in EUR)	21,861,500.00
25.1.1. out of which ERDF (in EUR)	21,861,500.00
25.1.2. out of which Cohesion Fund (in EUR)	
25.1.3. out of which ESF (in EUR)	
25.1.4. out of which EAFRD (in EUR)	
25.1.5. out of which EMFF (in EUR)	
25.2. out of which total amount of national public co-financing (in EUR)	12,145,278.00
25.3. out of which total amount of national private co-financing (in EUR)	28,597,747.00
27. Number of loan/guarantee/equity or quasi-equity/other financial product contracts signed with final recipients, by product	111
28. Number of loan/guarantee/equity or quasi-equity/other financial product investments made in final recipients, by product	111
29. Number of financial recipients supported by the financial product	60
29.1. out of which large enterprises	
29.2. out of which SMEs	60
29.2.1. out of which microenterprises	
29.3. out of which individuals/natural persons	
29.4. out of which other type of final recipients supported	
29.4.1. description of other type of final recipients supported	
<b>38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)</b>	
38.3. Total amount of other contributions, outside the ESI Funds mobilised at the level of final recipients (in EUR)	40,743,025.00
38.3.1. out of which public contributions (EUR)	12,145,278.00
38.3.2. out of which private contributions (EUR)	28,597,747.00
38.3A. Contribution under EIB financial product mobilised at the level of final recipient (only for the instruments under Article 38(1)(c)) (in EUR)	

<b>39. Expected and achieved leverage effect, by reference to the funding agreement</b>	
39.1. Expected leverage effect for loan/guarantee/equity or quasi-equity investment/other financial product, by reference to the funding agreement, by product	5.55
39.2. Achieved leverage effect at the end of reporting year for loan/guarantee/equity or quasi-equity investment/other financial product, by product	2.61
39.3. Investment mobilised through ESIF financial instruments for loan/guarantee/equity and quasi-equity investment, by product (Optional)	
40. Value of investments and participations in equity (in EUR)	35,217,024.00
<b>VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)</b>	
32. Information whether the financial instrument was still operational at the end of the reporting year	Yes
32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up	
<b>VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)</b>	
35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR)	
36. Amounts repaid to the financial instrument attributable to support from ESI Funds by the end of the reporting year (in EUR)	
36.1. out of which capital repayments (in EUR)	
36.2. out of which gains, other earnings and yields (in EUR)	
37. Amounts of resources attributable to ESI Funds used in accordance with Article 44 and 43a	
37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR)	
37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR)	
37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the financial instrument resulting from negative interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in EUR)	
<b>VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)</b>	
<b>38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)</b>	
38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR)	68,967,163.00

38.1A. Contributions under EIB financial product committed in the funding agreement with the body implementing the financial instrument (only for the instruments under Article 38(1)(c)) (in EUR)	
38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR)	44,883,830.00
38.2.1. out of which public contributions (EUR)	13,379,630.00
38.2.2. out of which private contributions (EUR)	31,504,200.00
38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR)	
<b>IX. Contribution of the financial instrument to the achievement of the indicators of the priority or measure concerned (Article 46(2)(j) of Regulation (EU) No 1303/2013)</b>	
<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>CO05 - Productive investment: Number of new enterprises supported</b>
41.1. Target value of the output indicator	82.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	5.00
<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>CO29 - Research, Innovation: Number of enterprises supported to introduce new to the firm products</b>
41.1. Target value of the output indicator	36.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	24.00
<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>CO07 - Productive investment: Private investment matching public support to enterprises (non-grants)</b>
41.1. Target value of the output indicator	71,000,148.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	139,320,828.00
<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>CO04 - Productive investment: Number of enterprises receiving non-financial support</b>
41.1. Target value of the output indicator	188.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	110.00
<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>CO08 - Productive investment: Employment increase in supported enterprises</b>
41.1. Target value of the output indicator	916.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	1,029.00
<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>CO01 - Productive investment: Number of</b>

	<b><i>enterprises receiving support</i></b>
41.1. Target value of the output indicator	419.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	170.00
<b><i>41. Output indicator (code number and name) to which the financial instrument contributes</i></b>	<b><i>CO03 - Productive investment: Number of enterprises receiving financial support other than grants</i></b>
41.1. Target value of the output indicator	231.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	60.00

<b>II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)</b>	
5. Name of the financial instrument	<b>The Northern Powerhouse - Micro Loan Fund - North West - PA3</b>
6. Official address/place of business of the financial instrument (name of the country and city)	Lee House, 90 Great Bridgewater Street, Manchester, M1 5JW
8. Type of the financial instrument	Fund of funds specific fund
8.2. Related Fund of Funds	The Northern Powerhouse Investment Fund - PA3
8.1. Tailor-made or financial instruments complying with standard terms and conditions i.e. "off-the-shelf instruments"	Tailor-made
<b>9. Type of products provided by financial instrument: loans, micro-loans, guarantees, equity, or quasi-equity investments, other financial product or other support combined within the financial instrument pursuant to Article 37(7) of Regulation (EU) No 1303/2013</b>	
9.0.1. Loans ( $\geq$ EUR 25,000)	Yes
9.0.2. Micro-loans ( $<$ EUR 25,000 and provided to micro-enterprises) according to SEC/2011/1134 final	No
9.0.3. Guarantees	No
9.0.4. Equity	No
9.0.5. Quasi-equity	No
9.0.6. Other financial products	No
9.0.7. Other support combined with a financial instrument	No
9.1. Description of the other financial product	
9.2. Other support combined within the financial instrument: grant, interest rate subsidy, guarantee fee subsidy pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial institution	Fiduciary account
<b>III. Identification of the body implementing the financial instrument, and the body implementing</b>	

<b>fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)</b>	
<b>11. Body implementing the financial instrument</b>	
11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European Investment Bank; the European Investment Fund; international financial institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only)	Body governed by public or private law
11.1.1. Name of the body implementing the financial instrument	BFS/MSIF
11.1.2. Official address/place of business (country and town name) of the body implementing the financial instrument	Lee House, 90 Great Bridgewater Street, Manchester, M1 5JW
12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure	Selection in accordance with the provisions of the public procurement directive
12.1. Description of the other procedure of selecting the body implementing the financial instrument	
13. Date of signature of the funding agreement with the body implementing the financial instrument	21-Nov-2016
<b>IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)</b>	
14. Total amount of programme contributions committed in the funding agreement (in EUR)	12,177,460.00
14.1. out of which ESI Funds contributions (in EUR)	4,252,369.00
14.1.1. out of which ERDF (in EUR) (optional)	
14.1.2. out of which Cohesion Fund (in EUR) (optional)	
14.1.3. out of which ESF (in EUR) (optional)	
14.1.4. out of which EAFRD (in EUR) (optional)	
14.1.5. out of which EMFF (in EUR) (optional)	
15. Total amount of programme contributions paid to the financial instrument (in EUR)	12,177,460.00
15.1. out of which amount of ESI Funds contributions (in EUR)	4,252,369.00
15.1.1. out of which ERDF (in EUR)	4,252,369.00
15.1.2. out of which Cohesion Fund (in EUR)	
15.1.3. out of which ESF (in EUR)	
15.1.4. out of which EAFRD (in EUR)	
15.1.5. out of which EMFF (in EUR)	
15.2. out of which total amount of national co-financing (in EUR)	7,925,091.00
15.2.1. out of which total amount of national public funding (in EUR)	2,362,427.00
15.2.2. out of which total amount of national private funding (in EUR)	5,562,664.00
16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR)	
17. Total amount of management costs and fees paid out of programme contributions (in EUR)	635,631.00

17.1. out of which base remuneration (in EUR)	
17.2. out of which performance-based remuneration (in EUR)	
18. Capitalised management costs or fees pursuant to Article 42(2) of Regulation (EU) No 1303/2013 (relevant only for final report) (in EUR)	
19. Capitalised interest rate subsidies or guarantee fee subsidies pursuant to Article 42(1)(c) of Regulation (EU) No 1303/2013 (relevant to final report only) (in EUR)	
20. Amount of programme contributions for follow-on investments in final recipients pursuant to Article 42(3) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
<b>V. Total amount of support paid to final recipients, or to the benefit of final recipients, or committed in guarantee contracts by the financial instrument for investments in final recipients, by ESI Funds programme and priority or measure (Article 46(2)(e) of Regulation (EU) No 1303/2013)</b>	
<b>22. Name of financial product offered by the financial instrument</b>	<b>Micro Loan Fund - PA3</b>
22.1. Type of financial product offered by the financial instrument	Loan
24. Total amount of programme contributions committed in this loan, guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR)	11,919,866.00
24.1. out of which total amount of ESI Funds contributions (in EUR)	4,162,417.00
25. Total amount of programme contributions paid to final recipients through this loan, micro-loans, equity or other products, or, in the case of guarantee, committed for loans paid to final recipients, by product (in EUR)	11,919,866.00
25.1. out of which total amount of ESI Funds contributions (in EUR)	4,162,417.00
25.1.1. out of which ERDF (in EUR)	4,162,417.00
25.1.2. out of which Cohesion Fund (in EUR)	
25.1.3. out of which ESF (in EUR)	
25.1.4. out of which EAFRD (in EUR)	
25.1.5. out of which EMFF (in EUR)	
25.2. out of which total amount of national public co-financing (in EUR)	2,312,454.00
25.3. out of which total amount of national private co-financing (in EUR)	5,444,995.00
27. Number of loan/guarantee/equity or quasi-equity/other financial product contracts signed with final recipients, by product	176
28. Number of loan/guarantee/equity or quasi-equity/other financial product investments made in final recipients, by product	176
29. Number of financial recipients supported by the financial product	167
29.1. out of which large enterprises	
29.2. out of which SMEs	167
29.2.1. out of which microenterprises	
29.3. out of which individuals/natural persons	
29.4. out of which other type of final recipients supported	
29.4.1. description of other type of final recipients supported	
33. Total number of disbursed loans defaulted or total number of guarantees provided and called due to the loan default	
34. Total amount of disbursed loans defaulted (in EUR) or total amount committed for guarantees provided and called due to loan default (in	



EUR)	
<b>38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)</b>	
38.3. Total amount of other contributions, outside the ESI Funds mobilised at the level of final recipients (in EUR)	7,757,449.00
38.3.1. out of which public contributions (EUR)	2,312,454.00
38.3.2. out of which private contributions (EUR)	5,444,995.00
38.3A. Contribution under EIB financial product mobilised at the level of final recipient (only for the instruments under Article 38(1)(c)) (in EUR)	
<b>39. Expected and achieved leverage effect, by reference to the funding agreement</b>	
39.1. Expected leverage effect for loan/guarantee/equity or quasi-equity investment/other financial product, by reference to the funding agreement, by product	5.48
39.2. Achieved leverage effect at the end of reporting year for loan/guarantee/equity or quasi-equity investment/other financial product, by product	2.62
39.3. Investment mobilised through ESIF financial instruments for loan/guarantee/equity and quasi-equity investment, by product (Optional)	
<b>VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)</b>	
32. Information whether the financial instrument was still operational at the end of the reporting year	Yes
32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up	
<b>VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)</b>	
35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR)	
36. Amounts repaid to the financial instrument attributable to support from ESI Funds by the end of the reporting year (in EUR)	
36.1. out of which capital repayments (in EUR)	
36.2. out of which gains, other earnings and yields (in EUR)	
37. Amounts of resources attributable to ESI Funds used in accordance with Article 44 and 43a	
37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR)	
37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR)	
37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the financial instrument resulting from negative interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in EUR)	

<b>VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)</b>	
<b>38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)</b>	
38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR)	12,177,460.00
38.1A. Contributions under EIB financial product committed in the funding agreement with the body implementing the financial instrument (only for the instruments under Article 38(1)(c)) (in EUR)	
38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR)	7,925,091.00
38.2.1. out of which public contributions (EUR)	2,362,427.00
38.2.2. out of which private contributions (EUR)	5,562,664.00
38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR)	
<b>IX. Contribution of the financial instrument to the achievement of the indicators of the priority or measure concerned (Article 46(2)(j) of Regulation (EU) No 1303/2013)</b>	
<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>CO29 - Research, Innovation: Number of enterprises supported to introduce new to the firm products</b>
41.1. Target value of the output indicator	5.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	12.00
<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>CO04 - Productive investment: Number of enterprises receiving non-financial support</b>
41.1. Target value of the output indicator	28.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	128.00
<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>CO05 - Productive investment: Number of new enterprises supported</b>
41.1. Target value of the output indicator	12.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	27.00
<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>CO03 - Productive investment: Number of enterprises receiving financial support other than grants</b>
41.1. Target value of the output indicator	34.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	167.00
<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>CO08 - Productive investment: Number of enterprises receiving financial support</b>

<i>instrument contributes</i>	<i>investment: Employment increase in supported enterprises</i>
41.1. Target value of the output indicator	137.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	151.00
<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>CO07 - Productive investment: Private investment matching public support to enterprises (non-grants)</b>
41.1. Target value of the output indicator	10,650,022.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	3,050,773.00
<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>CO01 - Productive investment: Number of enterprises receiving support</b>
41.1. Target value of the output indicator	63.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	295.00

<b>II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)</b>	
5. Name of the financial instrument	<b>The Northern Powerhouse - Micro Loan Fund - York &amp; Humber &amp; Tees Valley</b>
6. Official address/place of business of the financial instrument (name of the country and city)	Devere House, Vicar Lane, Bradford, BD1 5AH
8. Type of the financial instrument	Fund of funds specific fund
8.2. Related Fund of Funds	The Northern Powerhouse Investment Fund - PA3
8.1. Tailor-made or financial instruments complying with standard terms and conditions i.e. "off-the-shelf instruments"	Tailor-made
<b>9. Type of products provided by financial instrument: loans, micro-loans, guarantees, equity, or quasi-equity investments, other financial product or other support combined within the financial instrument pursuant to Article 37(7) of Regulation (EU) No 1303/2013</b>	
9.0.1. Loans ( $\geq$ EUR 25,000)	Yes
9.0.2. Micro-loans ( $<$ EUR 25,000 and provided to micro-enterprises) according to SEC/2011/1134 final	No
9.0.3. Guarantees	No
9.0.4. Equity	No
9.0.5. Quasi-equity	No
9.0.6. Other financial products	No
9.0.7. Other support combined with a financial instrument	No
9.1. Description of the other financial product	
9.2. Other support combined within the financial instrument: grant, interest rate subsidy, guarantee fee subsidy pursuant to Article 37(7) of Regulation (EU) No 1303/2013	

10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial institution	Fiduciary account
<b>III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)</b>	
<b>11. Body implementing the financial instrument</b>	
11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European Investment Bank; the European Investment Fund; international financial institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only)	Body governed by public or private law
11.1.1. Name of the body implementing the financial instrument	BEF/FFE
11.1.2. Official address/place of business (country and town name) of the body implementing the financial instrument	Devere House, Vicar Lane, Bradford, BD1 5AH
12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure	Selection in accordance with the provisions of the public procurement directive
12.1. Description of the other procedure of selecting the body implementing the financial instrument	
13. Date of signature of the funding agreement with the body implementing the financial instrument	21-Nov-2016
<b>IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)</b>	
14. Total amount of programme contributions committed in the funding agreement (in EUR)	12,177,460.00
14.1. out of which ESI Funds contributions (in EUR)	4,252,369.00
14.1.1. out of which ERDF (in EUR) (optional)	
14.1.2. out of which Cohesion Fund (in EUR) (optional)	
14.1.3. out of which ESF (in EUR) (optional)	
14.1.4. out of which EAFRD (in EUR) (optional)	
14.1.5. out of which EMFF (in EUR) (optional)	
15. Total amount of programme contributions paid to the financial instrument (in EUR)	12,177,460.00
15.1. out of which amount of ESI Funds contributions (in EUR)	4,252,369.00
15.1.1. out of which ERDF (in EUR)	4,252,369.00
15.1.2. out of which Cohesion Fund (in EUR)	
15.1.3. out of which ESF (in EUR)	
15.1.4. out of which EAFRD (in EUR)	
15.1.5. out of which EMFF (in EUR)	
15.2. out of which total amount of national co-financing (in EUR)	7,925,091.00

15.2.1. out of which total amount of national public funding (in EUR)	2,362,427.00
15.2.2. out of which total amount of national private funding (in EUR)	5,562,664.00
16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR)	
17. Total amount of management costs and fees paid out of programme contributions (in EUR)	635,631.00
17.1. out of which base remuneration (in EUR)	
17.2. out of which performance-based remuneration (in EUR)	
18. Capitalised management costs or fees pursuant to Article 42(2) of Regulation (EU) No 1303/2013 (relevant only for final report) (in EUR)	
19. Capitalised interest rate subsidies or guarantee fee subsidies pursuant to Article 42(1)(c) of Regulation (EU) No 1303/2013 (relevant to final report only) (in EUR)	
20. Amount of programme contributions for follow-on investments in final recipients pursuant to Article 42(3) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
<b>V. Total amount of support paid to final recipients, or to the benefit of final recipients, or committed in guarantee contracts by the financial instrument for investments in final recipients, by ESI Funds programme and priority or measure (Article 46(2)(e) of Regulation (EU) No 1303/2013)</b>	
<b>22. Name of financial product offered by the financial instrument</b>	<b>Micro Loan Fund - PA3</b>
22.1. Type of financial product offered by the financial instrument	Loan
24. Total amount of programme contributions committed in this loan, guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR)	12,177,460.00
24.1. out of which total amount of ESI Funds contributions (in EUR)	4,252,369.00
25. Total amount of programme contributions paid to final recipients through this loan, micro-loans, equity or other products, or, in the case of guarantee, committed for loans paid to final recipients, by product (in EUR)	12,177,460.00
25.1. out of which total amount of ESI Funds contributions (in EUR)	4,252,369.00
25.1.1. out of which ERDF (in EUR)	4,252,369.00
25.1.2. out of which Cohesion Fund (in EUR)	
25.1.3. out of which ESF (in EUR)	
25.1.4. out of which EAFRD (in EUR)	
25.1.5. out of which EMFF (in EUR)	
25.2. out of which total amount of national public co-financing (in EUR)	2,362,427.00
25.3. out of which total amount of national private co-financing (in EUR)	5,562,664.00
27. Number of loan/guarantee/equity or quasi-equity/other financial product contracts signed with final recipients, by product	236
28. Number of loan/guarantee/equity or quasi-equity/other financial product investments made in final recipients, by product	236
29. Number of financial recipients supported by the financial product	216
29.1. out of which large enterprises	
29.2. out of which SMEs	216
29.2.1. out of which microenterprises	
29.3. out of which individuals/natural persons	

29.4. out of which other type of final recipients supported	
29.4.1. description of other type of final recipients supported	
33. Total number of disbursed loans defaulted or total number of guarantees provided and called due to the loan default	
34. Total amount of disbursed loans defaulted (in EUR) or total amount committed for guarantees provided and called due to loan default (in EUR)	
<b>38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)</b>	
38.3. Total amount of other contributions, outside the ESI Funds mobilised at the level of final recipients (in EUR)	7,925,091.00
38.3.1. out of which public contributions (EUR)	2,362,427.00
38.3.2. out of which private contributions (EUR)	5,562,664.00
38.3A. Contribution under EIB financial product mobilised at the level of final recipient (only for the instruments under Article 38(1)(c)) (in EUR)	
<b>39. Expected and achieved leverage effect, by reference to the funding agreement</b>	
39.1. Expected leverage effect for loan/guarantee/equity or quasi-equity investment/other financial product, by reference to the funding agreement, by product	5.48
39.2. Achieved leverage effect at the end of reporting year for loan/guarantee/equity or quasi-equity investment/other financial product, by product	2.62
39.3. Investment mobilised through ESIF financial instruments for loan/guarantee/equity and quasi-equity investment, by product (Optional)	
<b>VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)</b>	
32. Information whether the financial instrument was still operational at the end of the reporting year	Yes
32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up	
<b>VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)</b>	
35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR)	
36. Amounts repaid to the financial instrument attributable to support from ESI Funds by the end of the reporting year (in EUR)	
36.1. out of which capital repayments (in EUR)	
36.2. out of which gains, other earnings and yields (in EUR)	
37. Amounts of resources attributable to ESI Funds used in accordance with Article 44 and 43a	
37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR)	
37.2. out of which amounts paid for the reimbursement of management	

costs incurred and payment of management fees of the financial instrument (in EUR)	
37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the financial instrument resulting from negative interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in EUR)	
<b>VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)</b>	
<b>38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)</b>	
38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR)	12,177,460.00
38.1A. Contributions under EIB financial product committed in the funding agreement with the body implementing the financial instrument (only for the instruments under Article 38(1)(c)) (in EUR)	
38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR)	7,925,091.00
38.2.1. out of which public contributions (EUR)	2,362,427.00
38.2.2. out of which private contributions (EUR)	5,562,664.00
38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR)	
<b>IX. Contribution of the financial instrument to the achievement of the indicators of the priority or measure concerned (Article 46(2)(j) of Regulation (EU) No 1303/2013)</b>	
<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>CO29 - Research, Innovation: Number of enterprises supported to introduce new to the firm products</b>
41.1. Target value of the output indicator	5.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	9.00
<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>CO05 - Productive investment: Number of new enterprises supported</b>
41.1. Target value of the output indicator	12.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	11.00
<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>CO04 - Productive investment: Number of enterprises receiving non-financial support</b>
41.1. Target value of the output indicator	28.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	96.00
<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>CO07 - Productive investment: Private investment matching public</b>

	<i>support to enterprises (non-grants)</i>
41.1. Target value of the output indicator	10,650,022.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	21,148,161.00
<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>CO03 - Productive investment: Number of enterprises receiving financial support other than grants</b>
41.1. Target value of the output indicator	34.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	216.00
<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>CO01 - Productive investment: Number of enterprises receiving support</b>
41.1. Target value of the output indicator	63.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	312.00
<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>CO08 - Productive investment: Employment increase in supported enterprises</b>
41.1. Target value of the output indicator	137.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	1,607.00

<b>II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)</b>	
5. Name of the financial instrument	<b>The Northern Powerhouse - Equity Fund Yorks &amp; Tees Valley - PA3</b>
6. Official address/place of business of the financial instrument (name of the country and city)	The Pinnacle Building, 73/79 King Street, Manchester, M2 4NG
8. Type of the financial instrument	Fund of funds specific fund
8.2. Related Fund of Funds	The Northern Powerhouse Investment Fund - PA3
8.1. Tailor-made or financial instruments complying with standard terms and conditions i.e. "off-the-shelf instruments"	Tailor-made
<b>9. Type of products provided by financial instrument: loans, micro-loans, guarantees, equity, or quasi-equity investments, other financial product or other support combined within the financial instrument pursuant to Article 37(7) of Regulation (EU) No 1303/2013</b>	
9.0.1. Loans ( $\geq$ EUR 25,000)	No
9.0.2. Micro-loans ( $<$ EUR 25,000 and provided to micro-enterprises) according to SEC/2011/1134 final	No
9.0.3. Guarantees	No
9.0.4. Equity	Yes



9.0.5. Quasi-equity	Yes
9.0.6. Other financial products	No
9.0.7. Other support combined with a financial instrument	No
9.1. Description of the other financial product	
9.2. Other support combined within the financial instrument: grant, interest rate subsidy, guarantee fee subsidy pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial institution	Fiduciary account
<b>III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)</b>	
<b>11. Body implementing the financial instrument</b>	
11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European Investment Bank; the European Investment Fund; international financial institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only)	Body governed by public or private law
11.1.1. Name of the body implementing the financial instrument	Mercia
11.1.2. Official address/place of business (country and town name) of the body implementing the financial instrument	The Pinnacle Building, 73/79 King Street, Manchester, M2 4DW
12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure	Selection in accordance with the provisions of the public procurement directive
12.1. Description of the other procedure of selecting the body implementing the financial instrument	
13. Date of signature of the funding agreement with the body implementing the financial instrument	21-Nov-2016
<b>IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)</b>	
14. Total amount of programme contributions committed in the funding agreement (in EUR)	68,967,163.00
14.1. out of which ESI Funds contributions (in EUR)	24,083,333.00
14.1.1. out of which ERDF (in EUR) (optional)	
14.1.2. out of which Cohesion Fund (in EUR) (optional)	
14.1.3. out of which ESF (in EUR) (optional)	
14.1.4. out of which EAFRD (in EUR) (optional)	
14.1.5. out of which EMFF (in EUR) (optional)	
15. Total amount of programme contributions paid to the financial	68,967,163.00

instrument (in EUR)	
15.1. out of which amount of ESI Funds contributions (in EUR)	24,083,333.00
15.1.1. out of which ERDF (in EUR)	24,083,333.00
15.1.2. out of which Cohesion Fund (in EUR)	
15.1.3. out of which ESF (in EUR)	
15.1.4. out of which EAFRD (in EUR)	
15.1.5. out of which EMFF (in EUR)	
15.2. out of which total amount of national co-financing (in EUR)	44,883,830.00
15.2.1. out of which total amount of national public funding (in EUR)	13,379,630.00
15.2.2. out of which total amount of national private funding (in EUR)	31,504,200.00
16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR)	
17. Total amount of management costs and fees paid out of programme contributions (in EUR)	4,131,601.00
17.1. out of which base remuneration (in EUR)	
17.2. out of which performance-based remuneration (in EUR)	
18. Capitalised management costs or fees pursuant to Article 42(2) of Regulation (EU) No 1303/2013 (relevant only for final report) (in EUR)	
19. Capitalised interest rate subsidies or guarantee fee subsidies pursuant to Article 42(1)(c) of Regulation (EU) No 1303/2013 (relevant to final report only) (in EUR)	
20. Amount of programme contributions for follow-on investments in final recipients pursuant to Article 42(3) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
<b>V. Total amount of support paid to final recipients, or to the benefit of final recipients, or committed in guarantee contracts by the financial instrument for investments in final recipients, by ESI Funds programme and priority or measure (Article 46(2)(e) of Regulation (EU) No 1303/2013)</b>	
<b>22. Name of financial product offered by the financial instrument</b>	<b>Equity Fund - PA3</b>
22.1. Type of financial product offered by the financial instrument	Equity
24. Total amount of programme contributions committed in this loan, guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR)	64,805,275.00
24.1. out of which total amount of ESI Funds contributions (in EUR)	22,630,002.00
25. Total amount of programme contributions paid to final recipients through this loan, micro-loans, equity or other products, or, in the case of guarantee, committed for loans paid to final recipients, by product (in EUR)	64,805,275.00
25.1. out of which total amount of ESI Funds contributions (in EUR)	22,630,002.00
25.1.1. out of which ERDF (in EUR)	22,630,002.00
25.1.2. out of which Cohesion Fund (in EUR)	
25.1.3. out of which ESF (in EUR)	
25.1.4. out of which EAFRD (in EUR)	
25.1.5. out of which EMFF (in EUR)	
25.2. out of which total amount of national public co-financing (in EUR)	12,572,223.00
25.3. out of which total amount of national private co-financing (in EUR)	29,603,050.00
27. Number of loan/guarantee/equity or quasi-equity/other financial	115

product contracts signed with final recipients, by product	
28. Number of loan/guarantee/equity or quasi-equity/other financial product investments made in final recipients, by product	115
29. Number of financial recipients supported by the financial product	59
29.1. out of which large enterprises	
29.2. out of which SMEs	59
29.2.1. out of which microenterprises	
29.3. out of which individuals/natural persons	
29.4. out of which other type of final recipients supported	
29.4.1. description of other type of final recipients supported	
<b>38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)</b>	
38.3. Total amount of other contributions, outside the ESI Funds mobilised at the level of final recipients (in EUR)	42,175,273.00
38.3.1. out of which public contributions (EUR)	12,572,223.00
38.3.2. out of which private contributions (EUR)	29,603,050.00
38.3A. Contribution under EIB financial product mobilised at the level of final recipient (only for the instruments under Article 38(1)(c)) (in EUR)	
<b>39. Expected and achieved leverage effect, by reference to the funding agreement</b>	
39.1. Expected leverage effect for loan/guarantee/equity or quasi-equity investment/other financial product, by reference to the funding agreement, by product	5.55
39.2. Achieved leverage effect at the end of reporting year for loan/guarantee/equity or quasi-equity investment/other financial product, by product	2.60
39.3. Investment mobilised through ESIF financial instruments for loan/guarantee/equity and quasi-equity investment, by product (Optional)	
40. Value of investments and participations in equity (in EUR)	64,805,275.00
<b>VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)</b>	
32. Information whether the financial instrument was still operational at the end of the reporting year	Yes
32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up	
<b>VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)</b>	
35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR)	
36. Amounts repaid to the financial instrument attributable to support from ESI Funds by the end of the reporting year (in EUR)	
36.1. out of which capital repayments (in EUR)	
36.2. out of which gains, other earnings and yields (in EUR)	
37. Amounts of resources attributable to ESI Funds used in accordance with Article 44 and 43a	
37.1. out of which amounts paid for differentiated treatment of investors	

operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR)	
37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR)	
37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the financial instrument resulting from negative interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in EUR)	
<b>VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)</b>	
<b>38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)</b>	
38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR)	68,967,163.00
38.1A. Contributions under EIB financial product committed in the funding agreement with the body implementing the financial instrument (only for the instruments under Article 38(1)(c)) (in EUR)	
38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR)	44,883,830.00
38.2.1. out of which public contributions (EUR)	13,379,630.00
38.2.2. out of which private contributions (EUR)	31,504,200.00
38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR)	
<b>IX. Contribution of the financial instrument to the achievement of the indicators of the priority or measure concerned (Article 46(2)(j) of Regulation (EU) No 1303/2013)</b>	
<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>CO01 - Productive investment: Number of enterprises receiving support</b>
41.1. Target value of the output indicator	419.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	82.00
<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>CO07 - Productive investment: Private investment matching public support to enterprises (non-grants)</b>
41.1. Target value of the output indicator	71,000,148.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	128,785,460.00
<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>CO05 - Productive investment: Number of new enterprises supported</b>
41.1. Target value of the output indicator	82.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	10.00

<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>CO04 - Productive investment: Number of enterprises receiving non-financial support</b>
41.1. Target value of the output indicator	188.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	23.00
<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>CO08 - Productive investment: Employment increase in supported enterprises</b>
41.1. Target value of the output indicator	916.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	705.00
<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>CO29 - Research, Innovation: Number of enterprises supported to introduce new to the firm products</b>
41.1. Target value of the output indicator	36.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	24.00
<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>CO03 - Productive investment: Number of enterprises receiving financial support other than grants</b>
41.1. Target value of the output indicator	231.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	59.00

<b>I. Identification of the programme and priority or measure from which support from the ESI Funds is provided (Article 46(2)(a) of Regulation (EU) No 1303/2013)</b>	
<b>1. Priority axes or measures supporting the financial instrument, including fund of funds, under the ESI Fund programme.</b>	
1.1. Priority axis supporting the financial instrument under the ESI Fund programme	4 - Supporting the Shift Towards a Low Carbon Economy in all Sectors
2. Name of ESI Fund(s) supporting the financial instrument under the priority axis or measure	ERDF
<b>3. Thematic objective(s) referred to in the first paragraph of Article 9 of Regulation (EU) No 1303/2013 supported by the financial instrument</b>	<b>04 - Supporting the shift towards a low-carbon economy in all sectors</b>
3.1. Amount of ESI Funds committed in funding agreements from the individual thematic objective chosen in field 3 (optional)	16,609,940.00
<b>4. other ESI Fund programmes providing contribution to the financial instrument</b>	
4.1. CCI number of each other ESI Fund programme providing contributions to the financial instrument	

30. Date of completion of the ex ante assessment	15-Nov-2016
<b>31. Selection of bodies implementing financial instrument</b>	
31.1. Has selection or designation process already been launched	Yes
<b>II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)</b>	
5. Name of the financial instrument	<b>The Northern Powerhouse Investment Fund - PA4</b>
6. Official address/place of business of the financial instrument (name of the country and city)	Steel City House, West Street, Sheffield, S1 2GQ
<b>7. Implementation arrangements</b>	
7.1. Financial instruments set up at Union level, managed directly or indirectly by the Commission referred to in Article 38(1)(a) of Regulation (EU) No 1303/2013, supported from ESI Fund programme contributions	
7.1.1. Name of the Union-level financial instrument	
7.2. Financial instrument set up at national, regional, transnational or cross-border level, managed by or under the responsibility of the managing authority referred to in Article 38(1)(b), supported from ESI Fund programme contributions under point (a), (b), (c) and d) of Article 38(4) of Regulation (EU) No 1303/2013	Entrustment of implementation tasks, through the direct award of a contract
7.3. Financial instrument combining financial contribution from MA with EIB financial products under European Fund for Strategic Investment in accordance with Article 39a, referred to in Article 38(1)(c)	
8. Type of the financial instrument	Fund of funds
10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial institution	Fiduciary account
<b>III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)</b>	
<b>11. Body implementing the financial instrument</b>	
11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European Investment Bank; the European Investment Fund; international financial institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity	Body governed by public or private law

carrying out financial activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only)	
11.1.1. Name of the body implementing the financial instrument	Northern Powerhouse Investments Limited (NPIL)
11.1.2. Official address/place of business (country and town name) of the body implementing the financial instrument	Steel City House, West Street, Sheffield, S1 2GQ
12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure	Others
12.1. Description of the other procedure of selecting the body implementing the financial instrument	Entrustment of a publicly owned company, via inter-administrative co-operation between the managing authority and The Secretary of State for Business, Energy and Industrial Strategy (BEIS) in accordance with Article 38 (1)(b) & 38 4(b) (iii) of the CPR, and s3.6.2 of Commission Notice 2016/C 276/01
13. Date of signature of the funding agreement with the body implementing the financial instrument	21-Nov-2016
<b>IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)</b>	
14. Total amount of programme contributions committed in the funding agreement (in EUR)	47,565,693.00
14.1. out of which ESI Funds contributions (in EUR)	16,609,940.00
14.1.1. out of which ERDF (in EUR) (optional)	
14.1.2. out of which Cohesion Fund (in EUR) (optional)	
14.1.3. out of which ESF (in EUR) (optional)	
14.1.4. out of which EAFRD (in EUR) (optional)	
14.1.5. out of which EMFF (in EUR) (optional)	
15. Total amount of programme contributions paid to the financial instrument (in EUR)	47,565,693.00
15.1. out of which amount of ESI Funds contributions (in EUR)	16,609,940.00
15.1.1. out of which ERDF (in EUR)	16,609,940.00
15.1.2. out of which Cohesion Fund (in EUR)	
15.1.3. out of which ESF (in EUR)	
15.1.4. out of which EAFRD (in EUR)	
15.1.5. out of which EMFF (in EUR)	
15.2. out of which total amount of national co-financing (in EUR)	30,955,753.00
15.2.1. out of which total amount of national public funding (in EUR)	9,227,744.00
15.2.2. out of which total amount of national private funding (in EUR)	21,728,009.00
16. Total amount of programme contributions paid to	

the financial instrument under Youth Employment Initiative (YEI) (in EUR)	
17. Total amount of management costs and fees paid out of programme contributions (in EUR)	1,956,049.00
17.1. out of which base remuneration (in EUR)	
17.2. out of which performance-based remuneration (in EUR)	
21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
<b>VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)</b>	
32. Information whether the financial instrument was still operational at the end of the reporting year	Yes
32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up	
<b>VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)</b>	
35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR)	15,721.00
37. Amounts of resources attributable to ESI Funds used in accordance with Article 44 and 43a	
37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR)	
37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR)	
37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the financial instrument resulting from negative interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in EUR)	
<b>VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)</b>	
<b>38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)</b>	
38.1. Total amount of other contributions, outside the	47,565,694.00



ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR)	
38.1A. Contributions under EIB financial product committed in the funding agreement with the body implementing the financial instrument (only for the instruments under Article 38(1)(c)) (in EUR)	
38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR)	30,955,753.00
38.2.1. out of which public contributions (EUR)	9,227,744.00
38.2.2. out of which private contributions (EUR)	21,728,009.00
38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR)	

<b>II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)</b>	
5. Name of the financial instrument	<b>The Northern Powerhouse - Debt Fund - North West Excluding Cumbria</b>
6. Official address/place of business of the financial instrument (name of the country and city)	Clarence House, Clarence Street, Manchester, M2 4DW
8. Type of the financial instrument	Fund of funds specific fund
8.2. Related Fund of Funds	The Northern Powerhouse Investment Fund - PA4
8.1. Tailor-made or financial instruments complying with standard terms and conditions i.e. "off-the-shelf instruments"	Tailor-made
<b>9. Type of products provided by financial instrument: loans, micro-loans, guarantees, equity, or quasi-equity investments, other financial product or other support combined within the financial instrument pursuant to Article 37(7) of Regulation (EU) No 1303/2013</b>	
9.0.1. Loans ( $\geq$ EUR 25,000)	Yes
9.0.2. Micro-loans ( $<$ EUR 25,000 and provided to micro-enterprises) according to SEC/2011/1134 final	No
9.0.3. Guarantees	No
9.0.4. Equity	No
9.0.5. Quasi-equity	No
9.0.6. Other financial products	No
9.0.7. Other support combined with a financial instrument	No
9.1. Description of the other financial product	
9.2. Other support combined within the financial instrument: grant, interest rate subsidy, guarantee fee subsidy pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial	Fiduciary account

institution	
<b>III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)</b>	
<b>11. Body implementing the financial instrument</b>	
11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European Investment Bank; the European Investment Fund; international financial institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only)	Body governed by public or private law
11.1.1. Name of the body implementing the financial instrument	FW Capital
11.1.2. Official address/place of business (country and town name) of the body implementing the financial instrument	The Plaza, 100 Old Hall Street, Liverpool, L3 9QJ
12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure	Selection in accordance with the provisions of the public procurement directive
12.1. Description of the other procedure of selecting the body implementing the financial instrument	
13. Date of signature of the funding agreement with the body implementing the financial instrument	21-Nov-2016
<b>IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)</b>	
14. Total amount of programme contributions committed in the funding agreement (in EUR)	9,331,707.00
14.1. out of which ESI Funds contributions (in EUR)	3,258,632.00
14.1.1. out of which ERDF (in EUR) (optional)	
14.1.2. out of which Cohesion Fund (in EUR) (optional)	
14.1.3. out of which ESF (in EUR) (optional)	
14.1.4. out of which EAFRD (in EUR) (optional)	
14.1.5. out of which EMFF (in EUR) (optional)	
15. Total amount of programme contributions paid to the financial instrument (in EUR)	9,331,707.00
15.1. out of which amount of ESI Funds contributions (in EUR)	3,258,632.00
15.1.1. out of which ERDF (in EUR)	3,258,632.00
15.1.2. out of which Cohesion Fund (in EUR)	
15.1.3. out of which ESF (in EUR)	
15.1.4. out of which EAFRD (in EUR)	
15.1.5. out of which EMFF (in EUR)	
15.2. out of which total amount of national co-financing (in EUR)	6,073,075.00
15.2.1. out of which total amount of national public funding (in EUR)	1,810,351.00
15.2.2. out of which total amount of national private funding (in EUR)	4,262,724.00
16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR)	
17. Total amount of management costs and fees paid out of programme	291,371.00

contributions (in EUR)	
17.1. out of which base remuneration (in EUR)	
17.2. out of which performance-based remuneration (in EUR)	
18. Capitalised management costs or fees pursuant to Article 42(2) of Regulation (EU) No 1303/2013 (relevant only for final report) (in EUR)	
19. Capitalised interest rate subsidies or guarantee fee subsidies pursuant to Article 42(1)(c) of Regulation (EU) No 1303/2013 (relevant to final report only) (in EUR)	
20. Amount of programme contributions for follow-on investments in final recipients pursuant to Article 42(3) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
<b>V. Total amount of support paid to final recipients, or to the benefit of final recipients, or committed in guarantee contracts by the financial instrument for investments in final recipients, by ESI Funds programme and priority or measure (Article 46(2)(e) of Regulation (EU) No 1303/2013)</b>	
<b>22. Name of financial product offered by the financial instrument</b>	<b>Debt Fund - PA4</b>
22.1. Type of financial product offered by the financial instrument	Loan
24. Total amount of programme contributions committed in this loan, guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR)	4,937,923.00
24.1. out of which total amount of ESI Funds contributions (in EUR)	1,724,323.00
25. Total amount of programme contributions paid to final recipients through this loan, micro-loans, equity or other products, or, in the case of guarantee, committed for loans paid to final recipients, by product (in EUR)	4,937,923.00
25.1. out of which total amount of ESI Funds contributions (in EUR)	1,724,323.00
25.1.1. out of which ERDF (in EUR)	1,724,323.00
25.1.2. out of which Cohesion Fund (in EUR)	
25.1.3. out of which ESF (in EUR)	
25.1.4. out of which EAFRD (in EUR)	
25.1.5. out of which EMFF (in EUR)	
25.2. out of which total amount of national public co-financing (in EUR)	957,957.00
25.3. out of which total amount of national private co-financing (in EUR)	2,255,643.00
27. Number of loan/guarantee/equity or quasi-equity/other financial product contracts signed with final recipients, by product	19
28. Number of loan/guarantee/equity or quasi-equity/other financial product investments made in final recipients, by product	19
29. Number of financial recipients supported by the financial product	13
29.1. out of which large enterprises	
29.2. out of which SMEs	13
29.2.1. out of which microenterprises	
29.3. out of which individuals/natural persons	
29.4. out of which other type of final recipients supported	
29.4.1. description of other type of final recipients supported	
33. Total number of disbursed loans defaulted or total number of guarantees provided and called due to the loan default	
34. Total amount of disbursed loans defaulted (in EUR) or total amount	

committed for guarantees provided and called due to loan default (in EUR)	
<b>38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)</b>	
38.3. Total amount of other contributions, outside the ESI Funds mobilised at the level of final recipients (in EUR)	3,213,600.00
38.3.1. out of which public contributions (EUR)	957,957.00
38.3.2. out of which private contributions (EUR)	2,255,643.00
38.3A. Contribution under EIB financial product mobilised at the level of final recipient (only for the instruments under Article 38(1)(c)) (in EUR)	
<b>39. Expected and achieved leverage effect, by reference to the funding agreement</b>	
39.1. Expected leverage effect for loan/guarantee/equity or quasi-equity investment/other financial product, by reference to the funding agreement, by product	5.57
39.2. Achieved leverage effect at the end of reporting year for loan/guarantee/equity or quasi-equity investment/other financial product, by product	2.75
39.3. Investment mobilised through ESIF financial instruments for loan/guarantee/equity and quasi-equity investment, by product (Optional)	
<b>VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)</b>	
32. Information whether the financial instrument was still operational at the end of the reporting year	Yes
32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up	
<b>VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)</b>	
35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR)	
36. Amounts repaid to the financial instrument attributable to support from ESI Funds by the end of the reporting year (in EUR)	
36.1. out of which capital repayments (in EUR)	
36.2. out of which gains, other earnings and yields (in EUR)	
37. Amounts of resources attributable to ESI Funds used in accordance with Article 44 and 43a	
37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR)	
37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR)	
37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the financial instrument resulting from negative interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in EUR)	

<b>VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)</b>	
<b>38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)</b>	
38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR)	6,073,075.00
38.1A. Contributions under EIB financial product committed in the funding agreement with the body implementing the financial instrument (only for the instruments under Article 38(1)(c)) (in EUR)	
38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR)	6,073,075.00
38.2.1. out of which public contributions (EUR)	1,810,351.00
38.2.2. out of which private contributions (EUR)	4,262,724.00
38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR)	
<b>IX. Contribution of the financial instrument to the achievement of the indicators of the priority or measure concerned (Article 46(2)(j) of Regulation (EU) No 1303/2013)</b>	
<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>CO29 - Research, Innovation: Number of enterprises supported to introduce new to the firm products</b>
41.1. Target value of the output indicator	4.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	1.00
<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>CO05 - Productive investment: Number of new enterprises supported</b>
41.1. Target value of the output indicator	9.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	0.00
<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>CO01 - Productive investment: Number of enterprises receiving support</b>
41.1. Target value of the output indicator	46.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	14.00

<b>II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)</b>	
5. Name of the financial instrument	<b>The Northern Powerhouse - Debt Fund - Tees Valley &amp; Cumbria</b>
6. Official address/place of business of the financial instrument (name of the country and city)	The Plaza, 100 Old Hall Street, Liverpool, L3 9QJ

8. Type of the financial instrument	Fund of funds specific fund
8.2. Related Fund of Funds	The Northern Powerhouse Investment Fund - PA4
8.1. Tailor-made or financial instruments complying with standard terms and conditions i.e. "off-the-shelf instruments"	Tailor-made
<b>9. Type of products provided by financial instrument: loans, micro-loans, guarantees, equity, or quasi-equity investments, other financial product or other support combined within the financial instrument pursuant to Article 37(7) of Regulation (EU) No 1303/2013</b>	
9.0.1. Loans ( $\geq$ EUR 25,000)	Yes
9.0.2. Micro-loans ( $<$ EUR 25,000 and provided to micro-enterprises) according to SEC/2011/1134 final	No
9.0.3. Guarantees	No
9.0.4. Equity	No
9.0.5. Quasi-equity	No
9.0.6. Other financial products	No
9.0.7. Other support combined with a financial instrument	No
9.1. Description of the other financial product	
9.2. Other support combined within the financial instrument: grant, interest rate subsidy, guarantee fee subsidy pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial institution	Fiduciary account
<b>III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)</b>	
<b>11. Body implementing the financial instrument</b>	
11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European Investment Bank; the European Investment Fund; international financial institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only)	Body governed by public or private law
11.1.1. Name of the body implementing the financial instrument	FW Capital
11.1.2. Official address/place of business (country and town name) of the body implementing the financial instrument	The Plaza, 100 Old Hall Street, Liverpool, L3 9QJ
12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure	Selection in accordance with the provisions of the public procurement directive
12.1. Description of the other procedure of selecting the body implementing the financial instrument	
13. Date of signature of the funding agreement with the body implementing the financial instrument	21-Nov-2016

<b>IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)</b>	
14. Total amount of programme contributions committed in the funding agreement (in EUR)	6,427,119.00
14.1. out of which ESI Funds contributions (in EUR)	2,244,350.00
14.1.1. out of which ERDF (in EUR) (optional)	
14.1.2. out of which Cohesion Fund (in EUR) (optional)	
14.1.3. out of which ESF (in EUR) (optional)	
14.1.4. out of which EAFRD (in EUR) (optional)	
14.1.5. out of which EMFF (in EUR) (optional)	
15. Total amount of programme contributions paid to the financial instrument (in EUR)	6,427,119.00
15.1. out of which amount of ESI Funds contributions (in EUR)	2,244,350.00
15.1.1. out of which ERDF (in EUR)	2,244,350.00
15.1.2. out of which Cohesion Fund (in EUR)	
15.1.3. out of which ESF (in EUR)	
15.1.4. out of which EAFRD (in EUR)	
15.1.5. out of which EMFF (in EUR)	
15.2. out of which total amount of national co-financing (in EUR)	4,182,769.00
15.2.1. out of which total amount of national public funding (in EUR)	1,246,861.00
15.2.2. out of which total amount of national private funding (in EUR)	2,935,908.00
16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR)	
17. Total amount of management costs and fees paid out of programme contributions (in EUR)	0.00
17.1. out of which base remuneration (in EUR)	
17.2. out of which performance-based remuneration (in EUR)	
18. Capitalised management costs or fees pursuant to Article 42(2) of Regulation (EU) No 1303/2013 (relevant only for final report) (in EUR)	
19. Capitalised interest rate subsidies or guarantee fee subsidies pursuant to Article 42(1)(c) of Regulation (EU) No 1303/2013 (relevant to final report only) (in EUR)	
20. Amount of programme contributions for follow-on investments in final recipients pursuant to Article 42(3) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
<b>V. Total amount of support paid to final recipients, or to the benefit of final recipients, or committed in guarantee contracts by the financial instrument for investments in final recipients, by ESI Funds programme and priority or measure (Article 46(2)(e) of Regulation (EU) No 1303/2013)</b>	
<b>22. Name of financial product offered by the financial instrument</b>	<b>Debit Fund - PA4</b>
22.1. Type of financial product offered by the financial instrument	Loan
24. Total amount of programme contributions committed in this loan, guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR)	3,363,431.00
24.1. out of which total amount of ESI Funds contributions (in EUR)	1,174,510.00
25. Total amount of programme contributions paid to final recipients	3,363,431.00

through this loan, micro-loans, equity or other products, or, in the case of guarantee, committed for loans paid to final recipients, by product (in EUR)	
25.1. out of which total amount of ESI Funds contributions (in EUR)	1,174,510.00
25.1.1. out of which ERDF (in EUR)	1,174,510.00
25.1.2. out of which Cohesion Fund (in EUR)	
25.1.3. out of which ESF (in EUR)	
25.1.4. out of which EAFRD (in EUR)	
25.1.5. out of which EMFF (in EUR)	
25.2. out of which total amount of national public co-financing (in EUR)	652,506.00
25.3. out of which total amount of national private co-financing (in EUR)	1,536,415.00
27. Number of loan/guarantee/equity or quasi-equity/other financial product contracts signed with final recipients, by product	8
28. Number of loan/guarantee/equity or quasi-equity/other financial product investments made in final recipients, by product	8
29. Number of financial recipients supported by the financial product	5
29.1. out of which large enterprises	
29.2. out of which SMEs	5
29.2.1. out of which microenterprises	
29.3. out of which individuals/natural persons	
29.4. out of which other type of final recipients supported	
29.4.1. description of other type of final recipients supported	
33. Total number of disbursed loans defaulted or total number of guarantees provided and called due to the loan default	
34. Total amount of disbursed loans defaulted (in EUR) or total amount committed for guarantees provided and called due to loan default (in EUR)	
<b>38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)</b>	
38.3. Total amount of other contributions, outside the ESI Funds mobilised at the level of final recipients (in EUR)	2,188,921.00
38.3.1. out of which public contributions (EUR)	652,506.00
38.3.2. out of which private contributions (EUR)	1,536,415.00
38.3A. Contribution under EIB financial product mobilised at the level of final recipient (only for the instruments under Article 38(1)(c)) (in EUR)	
<b>39. Expected and achieved leverage effect, by reference to the funding agreement</b>	
39.1. Expected leverage effect for loan/guarantee/equity or quasi-equity investment/other financial product, by reference to the funding agreement, by product	5.50
39.2. Achieved leverage effect at the end of reporting year for loan/guarantee/equity or quasi-equity investment/other financial product, by product	2.75
39.3. Investment mobilised through ESIF financial instruments for loan/guarantee/equity and quasi-equity investment, by product (Optional)	
<b>VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)</b>	
32. Information whether the financial instrument was still operational at the end of the reporting year	Yes
32.1. If the financial instrument was not operational at the end of the	



reporting year, date of the winding-up	
<b>VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)</b>	
35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR)	
36. Amounts repaid to the financial instrument attributable to support from ESI Funds by the end of the reporting year (in EUR)	
36.1. out of which capital repayments (in EUR)	
36.2. out of which gains, other earnings and yields (in EUR)	
37. Amounts of resources attributable to ESI Funds used in accordance with Article 44 and 43a	
37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR)	
37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR)	
37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the financial instrument resulting from negative interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in EUR)	
<b>VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)</b>	
<b>38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)</b>	
38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR)	6,427,119.00
38.1A. Contributions under EIB financial product committed in the funding agreement with the body implementing the financial instrument (only for the instruments under Article 38(1)(c)) (in EUR)	
38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR)	4,182,769.00
38.2.1. out of which public contributions (EUR)	1,246,861.00
38.2.2. out of which private contributions (EUR)	2,935,908.00
38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR)	
<b>IX. Contribution of the financial instrument to the achievement of the indicators of the priority or measure concerned (Article 46(2)(j) of Regulation (EU) No 1303/2013)</b>	
<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>CO01 - Productive investment: Number of enterprises receiving support</b>
41.1. Target value of the output indicator	46.00

41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	5.00
<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>CO05 - Productive investment: Number of new enterprises supported</b>
41.1. Target value of the output indicator	9.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	1.00
<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>CO29 - Research, Innovation: Number of enterprises supported to introduce new to the firm products</b>
41.1. Target value of the output indicator	4.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	0.00

<b>II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)</b>	
5. Name of the financial instrument	<b>The Northern Powerhouse - Equity Fund - North West - PA4</b>
6. Official address/place of business of the financial instrument (name of the country and city)	Clarence House, Clarence Street, Manchester, M2 4DW
8. Type of the financial instrument	Fund of funds specific fund
8.2. Related Fund of Funds	The Northern Powerhouse Investment Fund - PA4
8.1. Tailor-made or financial instruments complying with standard terms and conditions i.e. "off-the-shelf instruments"	Tailor-made
<b>9. Type of products provided by financial instrument: loans, micro-loans, guarantees, equity, or quasi-equity investments, other financial product or other support combined within the financial instrument pursuant to Article 37(7) of Regulation (EU) No 1303/2013</b>	
9.0.1. Loans ( $\geq$ EUR 25,000)	No
9.0.2. Micro-loans ( $<$ EUR 25,000 and provided to micro-enterprises) according to SEC/2011/1134 final	No
9.0.3. Guarantees	No
9.0.4. Equity	Yes
9.0.5. Quasi-equity	Yes
9.0.6. Other financial products	No
9.0.7. Other support combined with a financial instrument	No
9.1. Description of the other financial product	
9.2. Other support combined within the financial instrument: grant, interest rate subsidy, guarantee fee subsidy pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the	Fiduciary account

managing authority or separate block of finance within a financial institution	
<b>III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)</b>	
<b>11. Body implementing the financial instrument</b>	
11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European Investment Bank; the European Investment Fund; international financial institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only)	Body governed by public or private law
11.1.1. Name of the body implementing the financial instrument	Maven
11.1.2. Official address/place of business (country and town name) of the body implementing the financial instrument	Clarence House, Clarence Street, Manchester, M2 4DW
12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure	Selection in accordance with the provisions of the public procurement directive
12.1. Description of the other procedure of selecting the body implementing the financial instrument	
13. Date of signature of the funding agreement with the body implementing the financial instrument	21-Nov-2016
<b>IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)</b>	
14. Total amount of programme contributions committed in the funding agreement (in EUR)	14,752,100.00
14.1. out of which ESI Funds contributions (in EUR)	5,151,433.00
14.1.1. out of which ERDF (in EUR) (optional)	
14.1.2. out of which Cohesion Fund (in EUR) (optional)	
14.1.3. out of which ESF (in EUR) (optional)	
14.1.4. out of which EAFRD (in EUR) (optional)	
14.1.5. out of which EMFF (in EUR) (optional)	
15. Total amount of programme contributions paid to the financial instrument (in EUR)	14,752,099.00
15.1. out of which amount of ESI Funds contributions (in EUR)	5,151,433.00
15.1.1. out of which ERDF (in EUR)	5,151,433.00
15.1.2. out of which Cohesion Fund (in EUR)	
15.1.3. out of which ESF (in EUR)	
15.1.4. out of which EAFRD (in EUR)	
15.1.5. out of which EMFF (in EUR)	
15.2. out of which total amount of national co-financing (in EUR)	9,600,666.00
15.2.1. out of which total amount of national public funding (in EUR)	2,861,907.00
15.2.2. out of which total amount of national private funding (in EUR)	6,738,759.00
16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR)	

17. Total amount of management costs and fees paid out of programme contributions (in EUR)	592,742.00
17.1. out of which base remuneration (in EUR)	
17.2. out of which performance-based remuneration (in EUR)	
18. Capitalised management costs or fees pursuant to Article 42(2) of Regulation (EU) No 1303/2013 (relevant only for final report) (in EUR)	
19. Capitalised interest rate subsidies or guarantee fee subsidies pursuant to Article 42(1)(c) of Regulation (EU) No 1303/2013 (relevant to final report only) (in EUR)	
20. Amount of programme contributions for follow-on investments in final recipients pursuant to Article 42(3) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
<b>V. Total amount of support paid to final recipients, or to the benefit of final recipients, or committed in guarantee contracts by the financial instrument for investments in final recipients, by ESI Funds programme and priority or measure (Article 46(2)(e) of Regulation (EU) No 1303/2013)</b>	
<b>22. Name of financial product offered by the financial instrument</b>	<b>Equity Fund - PA4</b>
22.1. Type of financial product offered by the financial instrument	Equity
24. Total amount of programme contributions committed in this loan, guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR)	10,025,977.00
24.1. out of which total amount of ESI Funds contributions (in EUR)	3,501,071.00
25. Total amount of programme contributions paid to final recipients through this loan, micro-loans, equity or other products, or, in the case of guarantee, committed for loans paid to final recipients, by product (in EUR)	10,025,977.00
25.1. out of which total amount of ESI Funds contributions (in EUR)	3,501,071.00
25.1.1. out of which ERDF (in EUR)	3,501,071.00
25.1.2. out of which Cohesion Fund (in EUR)	
25.1.3. out of which ESF (in EUR)	
25.1.4. out of which EAFRD (in EUR)	
25.1.5. out of which EMFF (in EUR)	
25.2. out of which total amount of national public co-financing (in EUR)	1,945,040.00
25.3. out of which total amount of national private co-financing (in EUR)	4,579,866.00
27. Number of loan/guarantee/equity or quasi-equity/other financial product contracts signed with final recipients, by product	16
28. Number of loan/guarantee/equity or quasi-equity/other financial product investments made in final recipients, by product	16
29. Number of financial recipients supported by the financial product	10
29.1. out of which large enterprises	
29.2. out of which SMEs	10
29.2.1. out of which microenterprises	
29.3. out of which individuals/natural persons	
29.4. out of which other type of final recipients supported	
29.4.1. description of other type of final recipients supported	
<b>38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)</b>	

38.3. Total amount of other contributions, outside the ESI Funds mobilised at the level of final recipients (in EUR)	6,524,906.00
38.3.1. out of which public contributions (EUR)	1,945,040.00
38.3.2. out of which private contributions (EUR)	4,579,866.00
38.3A. Contribution under EIB financial product mobilised at the level of final recipient (only for the instruments under Article 38(1)(c)) (in EUR)	
<b>39. Expected and achieved leverage effect, by reference to the funding agreement</b>	
39.1. Expected leverage effect for loan/guarantee/equity or quasi-equity investment/other financial product, by reference to the funding agreement, by product	5.55
39.2. Achieved leverage effect at the end of reporting year for loan/guarantee/equity or quasi-equity investment/other financial product, by product	2.65
39.3. Investment mobilised through ESIF financial instruments for loan/guarantee/equity and quasi-equity investment, by product (Optional)	
40. Value of investments and participations in equity (in EUR)	10,025,977.00
<b>VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)</b>	
32. Information whether the financial instrument was still operational at the end of the reporting year	Yes
32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up	
<b>VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)</b>	
35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR)	
36. Amounts repaid to the financial instrument attributable to support from ESI Funds by the end of the reporting year (in EUR)	
36.1. out of which capital repayments (in EUR)	
36.2. out of which gains, other earnings and yields (in EUR)	
37. Amounts of resources attributable to ESI Funds used in accordance with Article 44 and 43a	
37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR)	
37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR)	
37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the financial instrument resulting from negative interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in EUR)	
<b>VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No</b>	

<b>1303/2013)</b>	
<b>38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)</b>	
38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR)	14,752,100.00
38.1A. Contributions under EIB financial product committed in the funding agreement with the body implementing the financial instrument (only for the instruments under Article 38(1)(c)) (in EUR)	
38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR)	9,600,666.00
38.2.1. out of which public contributions (EUR)	2,861,907.00
38.2.2. out of which private contributions (EUR)	6,738,759.00
38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR)	
<b>IX. Contribution of the financial instrument to the achievement of the indicators of the priority or measure concerned (Article 46(2)(j) of Regulation (EU) No 1303/2013)</b>	
<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>CO29 - Research, Innovation: Number of enterprises supported to introduce new to the firm products</b>
41.1. Target value of the output indicator	5.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	8.00
<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>CO05 - Productive investment: Number of new enterprises supported</b>
41.1. Target value of the output indicator	10.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	2.00
<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>CO01 - Productive investment: Number of enterprises receiving support</b>
41.1. Target value of the output indicator	51.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	10.00

<b>II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)</b>	
5. Name of the financial instrument	<b>The Northern Powerhouse - Equity Fund - Yorks &amp; Humber &amp; Tees Valley</b>
6. Official address/place of business of the financial instrument (name of the country and city)	The Pinnacle Building, 73/79 King Street, Manchester, M2 4NG
8. Type of the financial instrument	Fund of funds specific fund
8.2. Related Fund of Funds	The Northern Powerhouse

	Investment Fund - PA4
8.1. Tailor-made or financial instruments complying with standard terms and conditions i.e. "off-the-shelf instruments"	Tailor-made
<b>9. Type of products provided by financial instrument: loans, micro-loans, guarantees, equity, or quasi-equity investments, other financial product or other support combined within the financial instrument pursuant to Article 37(7) of Regulation (EU) No 1303/2013</b>	
9.0.1. Loans ( $\geq$ EUR 25,000)	No
9.0.2. Micro-loans ( $<$ EUR 25,000 and provided to micro-enterprises) according to SEC/2011/1134 final	No
9.0.3. Guarantees	No
9.0.4. Equity	Yes
9.0.5. Quasi-equity	Yes
9.0.6. Other financial products	No
9.0.7. Other support combined with a financial instrument	No
9.1. Description of the other financial product	
9.2. Other support combined within the financial instrument: grant, interest rate subsidy, guarantee fee subsidy pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial institution	Fiduciary account
<b>III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)</b>	
<b>11. Body implementing the financial instrument</b>	
11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European Investment Bank; the European Investment Fund; international financial institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only)	Body governed by public or private law
11.1.1. Name of the body implementing the financial instrument	Mercia
11.1.2. Official address/place of business (country and town name) of the body implementing the financial instrument	The Pinnacle Building, 73/79 King Street, Manchester, M2 4NG
12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure	Selection in accordance with the provisions of the public procurement directive
12.1. Description of the other procedure of selecting the body implementing the financial instrument	
13. Date of signature of the funding agreement with the body implementing the financial instrument	21-Nov-2016
<b>IV. Total amount of programme contributions, by priority or measure, paid to the financial</b>	

<b>instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)</b>	
14. Total amount of programme contributions committed in the funding agreement (in EUR)	14,752,099.00
14.1. out of which ESI Funds contributions (in EUR)	5,151,433.00
14.1.1. out of which ERDF (in EUR) (optional)	
14.1.2. out of which Cohesion Fund (in EUR) (optional)	
14.1.3. out of which ESF (in EUR) (optional)	
14.1.4. out of which EAFRD (in EUR) (optional)	
14.1.5. out of which EMFF (in EUR) (optional)	
15. Total amount of programme contributions paid to the financial instrument (in EUR)	14,751,551.00
15.1. out of which amount of ESI Funds contributions (in EUR)	5,151,433.00
15.1.1. out of which ERDF (in EUR)	5,151,433.00
15.1.2. out of which Cohesion Fund (in EUR)	
15.1.3. out of which ESF (in EUR)	
15.1.4. out of which EAFRD (in EUR)	
15.1.5. out of which EMFF (in EUR)	
15.2. out of which total amount of national co-financing (in EUR)	9,600,118.00
15.2.1. out of which total amount of national public funding (in EUR)	2,861,359.00
15.2.2. out of which total amount of national private funding (in EUR)	6,738,759.00
16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR)	
17. Total amount of management costs and fees paid out of programme contributions (in EUR)	849,597.00
17.1. out of which base remuneration (in EUR)	
17.2. out of which performance-based remuneration (in EUR)	
18. Capitalised management costs or fees pursuant to Article 42(2) of Regulation (EU) No 1303/2013 (relevant only for final report) (in EUR)	
19. Capitalised interest rate subsidies or guarantee fee subsidies pursuant to Article 42(1)(c) of Regulation (EU) No 1303/2013 (relevant to final report only) (in EUR)	
20. Amount of programme contributions for follow-on investments in final recipients pursuant to Article 42(3) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
<b>V. Total amount of support paid to final recipients, or to the benefit of final recipients, or committed in guarantee contracts by the financial instrument for investments in final recipients, by ESI Funds programme and priority or measure (Article 46(2)(e) of Regulation (EU) No 1303/2013)</b>	
<b>22. Name of financial product offered by the financial instrument</b>	<b>Equity Fund - Yorks - PA4</b>
22.1. Type of financial product offered by the financial instrument	Equity
24. Total amount of programme contributions committed in this loan, guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR)	14,120,812.00
24.1. out of which total amount of ESI Funds contributions (in EUR)	4,930,988.00
25. Total amount of programme contributions paid to final recipients through this loan, micro-loans, equity or other products, or, in the case of	14,120,812.00



guarantee, committed for loans paid to final recipients, by product (in EUR)	
25.1. out of which total amount of ESI Funds contributions (in EUR)	4,930,812.00
25.1.1. out of which ERDF (in EUR)	4,930,812.00
25.1.2. out of which Cohesion Fund (in EUR)	
25.1.3. out of which ESF (in EUR)	
25.1.4. out of which EAFRD (in EUR)	
25.1.5. out of which EMFF (in EUR)	
25.2. out of which total amount of national public co-financing (in EUR)	2,694,525.00
25.3. out of which total amount of national private co-financing (in EUR)	6,495,475.00
27. Number of loan/guarantee/equity or quasi-equity/other financial product contracts signed with final recipients, by product	32
28. Number of loan/guarantee/equity or quasi-equity/other financial product investments made in final recipients, by product	32
29. Number of financial recipients supported by the financial product	9
29.1. out of which large enterprises	
29.2. out of which SMEs	9
29.2.1. out of which microenterprises	
29.3. out of which individuals/natural persons	
29.4. out of which other type of final recipients supported	
29.4.1. description of other type of final recipients supported	
<b>38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)</b>	
38.3. Total amount of other contributions, outside the ESI Funds mobilised at the level of final recipients (in EUR)	9,190,000.00
38.3.1. out of which public contributions (EUR)	2,694,525.00
38.3.2. out of which private contributions (EUR)	6,495,475.00
38.3A. Contribution under EIB financial product mobilised at the level of final recipient (only for the instruments under Article 38(1)(c)) (in EUR)	
<b>39. Expected and achieved leverage effect, by reference to the funding agreement</b>	
39.1. Expected leverage effect for loan/guarantee/equity or quasi-equity investment/other financial product, by reference to the funding agreement, by product	5.55
39.2. Achieved leverage effect at the end of reporting year for loan/guarantee/equity or quasi-equity investment/other financial product, by product	2.61
39.3. Investment mobilised through ESIF financial instruments for loan/guarantee/equity and quasi-equity investment, by product (Optional)	
40. Value of investments and participations in equity (in EUR)	14,120,812.00
<b>VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)</b>	
32. Information whether the financial instrument was still operational at the end of the reporting year	Yes
32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up	
<b>VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of</b>	

<b>equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)</b>	
35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR)	
36. Amounts repaid to the financial instrument attributable to support from ESI Funds by the end of the reporting year (in EUR)	
36.1. out of which capital repayments (in EUR)	
36.2. out of which gains, other earnings and yields (in EUR)	
37. Amounts of resources attributable to ESI Funds used in accordance with Article 44 and 43a	
37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR)	
37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR)	
37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the financial instrument resulting from negative interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in EUR)	
<b>VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)</b>	
<b>38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)</b>	
38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR)	14,752,099.00
38.1A. Contributions under EIB financial product committed in the funding agreement with the body implementing the financial instrument (only for the instruments under Article 38(1)(c)) (in EUR)	
38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR)	9,600,118.00
38.2.1. out of which public contributions (EUR)	2,861,359.00
38.2.2. out of which private contributions (EUR)	6,738,759.00
38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR)	
<b>IX. Contribution of the financial instrument to the achievement of the indicators of the priority or measure concerned (Article 46(2)(j) of Regulation (EU) No 1303/2013)</b>	
<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>CO01 - Productive investment: Number of enterprises receiving support</b>
41.1. Target value of the output indicator	51.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	9.00
<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>CO29 - Research, Innovation: Number of enterprises supported to</b>

	<i>introduce new to the firm products</i>
41.1. Target value of the output indicator	5.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	9.00
<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>CO05 - Productive investment: Number of new enterprises supported</b>
41.1. Target value of the output indicator	10.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	2.00

<b>II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)</b>	
5. Name of the financial instrument	<b>The Northern Powerhouse - Micro Loan Fund - North West - PA4</b>
6. Official address/place of business of the financial instrument (name of the country and city)	Lee House, 90 Great Bridgewater Street, Manchester, M
8. Type of the financial instrument	Fund of funds specific fund
8.2. Related Fund of Funds	The Northern Powerhouse Investment Fund - PA4
8.1. Tailor-made or financial instruments complying with standard terms and conditions i.e. "off-the-shelf instruments"	Tailor-made
<b>9. Type of products provided by financial instrument: loans, micro-loans, guarantees, equity, or quasi-equity investments, other financial product or other support combined within the financial instrument pursuant to Article 37(7) of Regulation (EU) No 1303/2013</b>	
9.0.1. Loans ( $\geq$ EUR 25,000)	Yes
9.0.2. Micro-loans ( $<$ EUR 25,000 and provided to micro-enterprises) according to SEC/2011/1134 final	No
9.0.3. Guarantees	No
9.0.4. Equity	No
9.0.5. Quasi-equity	No
9.0.6. Other financial products	No
9.0.7. Other support combined with a financial instrument	No
9.1. Description of the other financial product	
9.2. Other support combined within the financial instrument: grant, interest rate subsidy, guarantee fee subsidy pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial institution	Fiduciary account
<b>III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of</b>	

<b>Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)</b>	
<b>11. Body implementing the financial instrument</b>	
11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European Investment Bank; the European Investment Fund; international financial institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only)	Body governed by public or private law
11.1.1. Name of the body implementing the financial instrument	BFS/MSIF
11.1.2. Official address/place of business (country and town name) of the body implementing the financial instrument	Lee House, 90 Great Bridgewater Street, Manchester, M1 5JW
12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure	Selection in accordance with the provisions of the public procurement directive
12.1. Description of the other procedure of selecting the body implementing the financial instrument	
13. Date of signature of the funding agreement with the body implementing the financial instrument	21-Nov-2016
<b>IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)</b>	
14. Total amount of programme contributions committed in the funding agreement (in EUR)	1,151,334.00
14.1. out of which ESI Funds contributions (in EUR)	402,046.00
14.1.1. out of which ERDF (in EUR) (optional)	
14.1.2. out of which Cohesion Fund (in EUR) (optional)	
14.1.3. out of which ESF (in EUR) (optional)	
14.1.4. out of which EAFRD (in EUR) (optional)	
14.1.5. out of which EMFF (in EUR) (optional)	
15. Total amount of programme contributions paid to the financial instrument (in EUR)	1,151,334.00
15.1. out of which amount of ESI Funds contributions (in EUR)	402,046.00
15.1.1. out of which ERDF (in EUR)	402,046.00
15.1.2. out of which Cohesion Fund (in EUR)	
15.1.3. out of which ESF (in EUR)	
15.1.4. out of which EAFRD (in EUR)	
15.1.5. out of which EMFF (in EUR)	
15.2. out of which total amount of national co-financing (in EUR)	749,288.00
15.2.1. out of which total amount of national public funding (in EUR)	223,359.00
15.2.2. out of which total amount of national private funding (in EUR)	525,929.00
16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR)	
17. Total amount of management costs and fees paid out of programme contributions (in EUR)	0.00
17.1. out of which base remuneration (in EUR)	

17.2. out of which performance-based remuneration (in EUR)	
18. Capitalised management costs or fees pursuant to Article 42(2) of Regulation (EU) No 1303/2013 (relevant only for final report) (in EUR)	
19. Capitalised interest rate subsidies or guarantee fee subsidies pursuant to Article 42(1)(c) of Regulation (EU) No 1303/2013 (relevant to final report only) (in EUR)	
20. Amount of programme contributions for follow-on investments in final recipients pursuant to Article 42(3) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
<b>V. Total amount of support paid to final recipients, or to the benefit of final recipients, or committed in guarantee contracts by the financial instrument for investments in final recipients, by ESI Funds programme and priority or measure (Article 46(2)(e) of Regulation (EU) No 1303/2013)</b>	
<b>22. Name of financial product offered by the financial instrument</b>	<b>Micro Loan Fund - PA4</b>
22.1. Type of financial product offered by the financial instrument	Loan
24. Total amount of programme contributions committed in this loan, guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR)	383,183.00
24.1. out of which total amount of ESI Funds contributions (in EUR)	133,807.00
25. Total amount of programme contributions paid to final recipients through this loan, micro-loans, equity or other products, or, in the case of guarantee, committed for loans paid to final recipients, by product (in EUR)	383,183.00
25.1. out of which total amount of ESI Funds contributions (in EUR)	133,807.00
25.1.1. out of which ERDF (in EUR)	133,807.00
25.1.2. out of which Cohesion Fund (in EUR)	
25.1.3. out of which ESF (in EUR)	
25.1.4. out of which EAFRD (in EUR)	
25.1.5. out of which EMFF (in EUR)	
25.2. out of which total amount of national public co-financing (in EUR)	74,338.00
25.3. out of which total amount of national private co-financing (in EUR)	175,038.00
27. Number of loan/guarantee/equity or quasi-equity/other financial product contracts signed with final recipients, by product	4
28. Number of loan/guarantee/equity or quasi-equity/other financial product investments made in final recipients, by product	4
29. Number of financial recipients supported by the financial product	4
29.1. out of which large enterprises	
29.2. out of which SMEs	4
29.2.1. out of which microenterprises	
29.3. out of which individuals/natural persons	
29.4. out of which other type of final recipients supported	
29.4.1. description of other type of final recipients supported	
33. Total number of disbursed loans defaulted or total number of guarantees provided and called due to the loan default	
34. Total amount of disbursed loans defaulted (in EUR) or total amount committed for guarantees provided and called due to loan default (in EUR)	
<b>38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument</b>	

<b>(EUR)</b>	
38.3. Total amount of other contributions, outside the ESI Funds mobilised at the level of final recipients (in EUR)	249,376.00
38.3.1. out of which public contributions (EUR)	74,338.00
38.3.2. out of which private contributions (EUR)	175,038.00
38.3A. Contribution under EIB financial product mobilised at the level of final recipient (only for the instruments under Article 38(1)(c)) (in EUR)	
<b>39. Expected and achieved leverage effect, by reference to the funding agreement</b>	
39.1. Expected leverage effect for loan/guarantee/equity or quasi-equity investment/other financial product, by reference to the funding agreement, by product	5.48
39.2. Achieved leverage effect at the end of reporting year for loan/guarantee/equity or quasi-equity investment/other financial product, by product	2.75
39.3. Investment mobilised through ESIF financial instruments for loan/guarantee/equity and quasi-equity investment, by product (Optional)	
<b>VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)</b>	
32. Information whether the financial instrument was still operational at the end of the reporting year	Yes
32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up	
<b>VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)</b>	
35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR)	
36. Amounts repaid to the financial instrument attributable to support from ESI Funds by the end of the reporting year (in EUR)	
36.1. out of which capital repayments (in EUR)	
36.2. out of which gains, other earnings and yields (in EUR)	
37. Amounts of resources attributable to ESI Funds used in accordance with Article 44 and 43a	
37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR)	
37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR)	
37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the financial instrument resulting from negative interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in EUR)	
<b>VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No</b>	

<b>1303/2013)</b>	
<b>38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)</b>	
38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR)	1,151,334.00
38.1A. Contributions under EIB financial product committed in the funding agreement with the body implementing the financial instrument (only for the instruments under Article 38(1)(c)) (in EUR)	
38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR)	749,288.00
38.2.1. out of which public contributions (EUR)	223,359.00
38.2.2. out of which private contributions (EUR)	525,929.00
38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR)	
<b>IX. Contribution of the financial instrument to the achievement of the indicators of the priority or measure concerned (Article 46(2)(j) of Regulation (EU) No 1303/2013)</b>	
<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>CO05 - Productive investment: Number of new enterprises supported</b>
41.1. Target value of the output indicator	2.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	0.00
<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>CO29 - Research, Innovation: Number of enterprises supported to introduce new to the firm products</b>
41.1. Target value of the output indicator	1.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	7.00
<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>	<b>CO01 - Productive investment: Number of enterprises receiving support</b>
41.1. Target value of the output indicator	7.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	4.00

<b>II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)</b>	
5. Name of the financial instrument	<b>The Northern Powerhouse - Micro Loan Fund - Yorks &amp; Humber &amp; Tees Valley</b>
6. Official address/place of business of the financial instrument (name of the country and city)	Devere House, Vicar Lane, Bradford, BD1 5AH
8. Type of the financial instrument	Fund of funds specific fund
8.2. Related Fund of Funds	The Northern Powerhouse

	Investment Fund - PA4
8.1. Tailor-made or financial instruments complying with standard terms and conditions i.e. "off-the-shelf instruments"	Tailor-made
<b>9. Type of products provided by financial instrument: loans, micro-loans, guarantees, equity, or quasi-equity investments, other financial product or other support combined within the financial instrument pursuant to Article 37(7) of Regulation (EU) No 1303/2013</b>	
9.0.1. Loans ( $\geq$ EUR 25,000)	Yes
9.0.2. Micro-loans ( $<$ EUR 25,000 and provided to micro-enterprises) according to SEC/2011/1134 final	No
9.0.3. Guarantees	No
9.0.4. Equity	No
9.0.5. Quasi-equity	No
9.0.6. Other financial products	No
9.0.7. Other support combined with a financial instrument	No
9.1. Description of the other financial product	
9.2. Other support combined within the financial instrument: grant, interest rate subsidy, guarantee fee subsidy pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial institution	Fiduciary account
<b>III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)</b>	
<b>11. Body implementing the financial instrument</b>	
11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European Investment Bank; the European Investment Fund; international financial institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only)	Body governed by public or private law
11.1.1. Name of the body implementing the financial instrument	BEF/FFE
11.1.2. Official address/place of business (country and town name) of the body implementing the financial instrument	Devere House, Vicar Lane, Bradford, BD1 5AH
12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure	Selection in accordance with the provisions of the public procurement directive
12.1. Description of the other procedure of selecting the body implementing the financial instrument	
13. Date of signature of the funding agreement with the body implementing the financial instrument	21-Nov-2016
<b>IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of</b>	



<b>Regulation (EU) No 1303/2013)</b>	
14. Total amount of programme contributions committed in the funding agreement (in EUR)	1,151,334.00
14.1. out of which ESI Funds contributions (in EUR)	402,046.00
14.1.1. out of which ERDF (in EUR) (optional)	
14.1.2. out of which Cohesion Fund (in EUR) (optional)	
14.1.3. out of which ESF (in EUR) (optional)	
14.1.4. out of which EAFRD (in EUR) (optional)	
14.1.5. out of which EMFF (in EUR) (optional)	
15. Total amount of programme contributions paid to the financial instrument (in EUR)	1,151,334.00
15.1. out of which amount of ESI Funds contributions (in EUR)	402,046.00
15.1.1. out of which ERDF (in EUR)	402,046.00
15.1.2. out of which Cohesion Fund (in EUR)	
15.1.3. out of which ESF (in EUR)	
15.1.4. out of which EAFRD (in EUR)	
15.1.5. out of which EMFF (in EUR)	
15.2. out of which total amount of national co-financing (in EUR)	749,288.00
15.2.1. out of which total amount of national public funding (in EUR)	223,359.00
15.2.2. out of which total amount of national private funding (in EUR)	525,929.00
16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR)	
17. Total amount of management costs and fees paid out of programme contributions (in EUR)	5,000.00
17.1. out of which base remuneration (in EUR)	
17.2. out of which performance-based remuneration (in EUR)	
18. Capitalised management costs or fees pursuant to Article 42(2) of Regulation (EU) No 1303/2013 (relevant only for final report) (in EUR)	
19. Capitalised interest rate subsidies or guarantee fee subsidies pursuant to Article 42(1)(c) of Regulation (EU) No 1303/2013 (relevant to final report only) (in EUR)	
20. Amount of programme contributions for follow-on investments in final recipients pursuant to Article 42(3) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
<b>V. Total amount of support paid to final recipients, or to the benefit of final recipients, or committed in guarantee contracts by the financial instrument for investments in final recipients, by ESI Funds programme and priority or measure (Article 46(2)(e) of Regulation (EU) No 1303/2013)</b>	
<b>22. Name of financial product offered by the financial instrument</b>	<b>Micro Loan Fund - PA4</b>
22.1. Type of financial product offered by the financial instrument	Loan
24. Total amount of programme contributions committed in this loan, guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR)	56,434.00
24.1. out of which total amount of ESI Funds contributions (in EUR)	19,707.00
25. Total amount of programme contributions paid to final recipients through this loan, micro-loans, equity or other products, or, in the case of guarantee, committed for loans paid to final recipients, by product (in	56,434.00

EUR)	
25.1. out of which total amount of ESI Funds contributions (in EUR)	19,707.00
25.1.1. out of which ERDF (in EUR)	19,707.00
25.1.2. out of which Cohesion Fund (in EUR)	
25.1.3. out of which ESF (in EUR)	
25.1.4. out of which EAFRD (in EUR)	
25.1.5. out of which EMFF (in EUR)	
25.2. out of which total amount of national public co-financing (in EUR)	10,948.00
25.3. out of which total amount of national private co-financing (in EUR)	25,779.00
27. Number of loan/guarantee/equity or quasi-equity/other financial product contracts signed with final recipients, by product	1
28. Number of loan/guarantee/equity or quasi-equity/other financial product investments made in final recipients, by product	1
29. Number of financial recipients supported by the financial product	1
29.1. out of which large enterprises	
29.2. out of which SMEs	1
29.2.1. out of which microenterprises	
29.3. out of which individuals/natural persons	
29.4. out of which other type of final recipients supported	
29.4.1. description of other type of final recipients supported	
33. Total number of disbursed loans defaulted or total number of guarantees provided and called due to the loan default	
34. Total amount of disbursed loans defaulted (in EUR) or total amount committed for guarantees provided and called due to loan default (in EUR)	
<b>38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)</b>	
38.3. Total amount of other contributions, outside the ESI Funds mobilised at the level of final recipients (in EUR)	36,727.00
38.3.1. out of which public contributions (EUR)	10,948.00
38.3.2. out of which private contributions (EUR)	25,779.00
38.3A. Contribution under EIB financial product mobilised at the level of final recipient (only for the instruments under Article 38(1)(c)) (in EUR)	
<b>39. Expected and achieved leverage effect, by reference to the funding agreement</b>	
39.1. Expected leverage effect for loan/guarantee/equity or quasi-equity investment/other financial product, by reference to the funding agreement, by product	5.48
39.2. Achieved leverage effect at the end of reporting year for loan/guarantee/equity or quasi-equity investment/other financial product, by product	2.74
39.3. Investment mobilised through ESIF financial instruments for loan/guarantee/equity and quasi-equity investment, by product (Optional)	
<b>VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)</b>	
32. Information whether the financial instrument was still operational at the end of the reporting year	Yes
32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up	
<b>VII. Interest and other gains generated by support from the ESI Funds to the financial instrument,</b>	

<b>programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)</b>		
35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR)		
36. Amounts repaid to the financial instrument attributable to support from ESI Funds by the end of the reporting year (in EUR)		
36.1. out of which capital repayments (in EUR)		
36.2. out of which gains, other earnings and yields (in EUR)		
37. Amounts of resources attributable to ESI Funds used in accordance with Article 44 and 43a		
37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR)		
37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR)		
37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the financial instrument resulting from negative interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in EUR)		
<b>VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)</b>		
<b>38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)</b>		
38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR)		1,151,334.00
38.1A. Contributions under EIB financial product committed in the funding agreement with the body implementing the financial instrument (only for the instruments under Article 38(1)(c)) (in EUR)		
38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR)		749,288.00
38.2.1. out of which public contributions (EUR)		223,359.00
38.2.2. out of which private contributions (EUR)		525,929.00
38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR)		
<b>IX. Contribution of the financial instrument to the achievement of the indicators of the priority or measure concerned (Article 46(2)(j) of Regulation (EU) No 1303/2013)</b>		
<b>41. Output indicator (code number and name) to which the financial instrument contributes</b>		<b>CO29 - Research, Innovation: Number of enterprises supported to introduce new to the firm products</b>
41.1. Target value of the output indicator		1.00
41.2. Value achieved by the financial instrument in relation to the target		0.00

value of the output indicator	
<b><i>41. Output indicator (code number and name) to which the financial instrument contributes</i></b>	<b><i>CO05 - Productive investment: Number of new enterprises supported</i></b>
41.1. Target value of the output indicator	2.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	0.00
<b><i>41. Output indicator (code number and name) to which the financial instrument contributes</i></b>	<b><i>CO01 - Productive investment: Number of enterprises receiving support</i></b>
41.1. Target value of the output indicator	7.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	1.00

**9. OPTIONAL FOR THE REPORT TO BE SUBMITTED IN 2016, NOT APPLICABLE TO OTHER LIGHT REPORTS: ACTIONS TAKEN TO FULFILL EX-ANTE CONDITIONALITIES**

Table 14: Actions taken to fulfil applicable general ex-ante conditionalities

General ex-ante conditionality	Criteria not fulfilled	Actions taken	Deadline	Bodies responsible	Action completed by the deadline	Criteria fulfilled	Expected date for full implementation of remaining actions	Commentary
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Table 15: Actions taken to fulfil applicable thematic ex-ante conditionalities

General ex-ante conditionality	Criteria not fulfilled	Actions taken	Deadline	Bodies responsible	Action completed by the deadline	Criteria fulfilled	Expected date for full implementation of remaining actions	Commentary
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## 10. PROGRESS IN PREPARATION AND IMPLEMENTATION OF MAJOR PROJECTS AND JOINT ACTION PLANS (ARTICLE 101(H) AND 111(3) OF REGULATION (EU) No 1303/2013)

### 10.1. Major projects

Table 12: Major projects

Project	CCI	Status of MP	Total investments	Total eligible costs	Planned notification submission date	Date of tacit agreement / approval by Commission	Planned start of implementation (year, quarter)	Planned completion date	Priority Axis / Investment priorities	Current state of realisation — financial progress (% of expenditure certified to Commission compared to total eligible cost)	Current state of realisation — physical progress Main implementation stage of the project	Main outputs	Date of signature of first works contract	Observations
A30	2020UK16RFMP001	Approved	1.00	407,541,908.00	2017, Q4	18-Dec-2020	2019, Q1	2023, Q4	7 - 7b	0.00	Construction	14 KM Total length of reconstructed or upgraded roads.	01-Jun-2020	<p>During 2022, Highways England continued with the construction work on the A30 project along the approved route alignment of the 14 Km of road infrastructure, between the Chiverton junction and Carland Cross junctions.</p> <p>Sections of the route are in use by Traffic as the alignment is progressed with the Church Lane Underpass and Tresawsen Underpass completed in Dec-22.</p> <p>Construction is on programme to achieve completion of the upgraded road infrastructure at the end of Q4 2023. To date €14,939,065.294 of defrayed eligible expenditure has been claimed and paid.</p>

**Significant problems encountered in implementing major projects and measures taken to overcome them**

N/A
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**Any change planned in the list of major projects in the operational programme**

N/A

**10.2. Joint action plans**

**Progress in the implementation of different stages of joint action plans**

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Table 13: Joint action plans (JAP)

Title of the JAP	CCI	Stage of implementation of JAP	Total eligible costs	Total public support	OP contribution to JAP	Priority axis	Type of JAP	[Planned] submission to the Commission	[Planned] start of implementation	[Planned] completion	Main outputs and results	Total eligible expenditure certified to the Commission	Observations
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**Significant problems encountered and measures taken to overcome them**

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**PART B**  
**REPORTING SUBMITTED IN YEARS 2017, 2019 AND FINAL IMPLEMENTATION REPORT**  
**(Article 50(4), 111(3) and (4) of regulation (EU) No 1303/2013)**  
**11. ASSESSMENT OF THE IMPLEMENTATION OF THE OPERATIONAL PROGRAMME**  
**(ARTICLES 50(4) AND 111(4) OF REGULATION (EU) No 1303/2013)**

**11.1. Information in Part A and achieving objectives of the programme (Article 50(4) of Regulation (EU) No 1303/2013)**

Priority axis	1 - Promoting Research and Innovation
Priority axis	10 - Supporting the implementation of Local Economic CV-19 Recovery Action Plans
Priority axis	2 - Enhancing access to, and use and quality of, ICT
Priority axis	3 - Enhancing the Competitiveness of SMEs
Priority axis	4 - Supporting the Shift Towards a Low Carbon Economy in all Sectors
Priority axis	5 - Promoting Climate Change Adaptation, Risk Prevention and Management
Priority axis	6 - Preserving and Protecting the Environment and Promoting Resource Efficiency
Priority axis	7 - Sustainable Transport in Cornwall and the Isles of Scilly
Priority axis	8 - Promoting social inclusion and combating poverty and any discrimination
Priority axis	9 - Technical Assistance

**11.2. Specific actions taken to promote equality between men and women and to prevent discrimination, in particular accessibility for persons with disabilities, and the arrangements implemented to ensure the integration of the gender perspective in the operational programme and operations (Articles 50(4) and 111(4), second subparagraph, (e) of Regulation (EU) No 1303/2013)**

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**11.3. Sustainable development (Articles 50(4) and 111(4), second subparagraph, (f) of Regulation (EU) No 1303/2013)**

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**11.4. Reporting on support used for climate change objectives (Article 50(4) of Regulation (EU) No 1303/2013)**

Priority axis	Amount of support to be used for climate change objectives (EUR)	Proportion of total allocation to the operational programme (%)
1	69,786,414.91	8.67%
4	712,435,473.89	94.66%
5	84,321,609.81	103.51%
6	26,359,866.48	30.27%
7	16,777,796.42	28.96%
<b>Total</b>	<b>909,681,161.51</b>	<b>24.93%</b>

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**11.5. Role of partners in the implementation of the programme**

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**12. OBLIGATORY INFORMATION AND ASSESSMENT ACCORDING TO ARTICLE 111(4), FIRST SUBPARAGRAPH, (A) AND (B), OF REGULATION (EU) No 1303/2013**

**12.1. Progress in implementation of the evaluation plan and the follow-up given to the findings of evaluations**

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Status	Name	Fund	Year of finalizing evaluation	Type of evaluation	Thematic objective	Topic	Findings (in case of executed)	Follow up (in case of executed)
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**12.2. The results of the information and publicity measures of the Funds carried out under the communication strategy**

**13. ACTIONS TAKEN TO FULFILL EX-ANTE CONDITIONALITIES (ARTICLE 50(4) OF REGULATION (EU) No 1303/2013) (MAY BE INCLUDED IN REPORT TO BE SUBMITTED IN 2016 (SEE POINT 9 ABOVE). REQUIRED IN REPORT SUBMITTED IN 2017) OPTION: PROGRESS REPORT**

**14. ADDITIONAL INFORMATION WHICH MAY BE ADDED DEPENDING ON THE CONTENT AND OBJECTIVES OF THE OPERATIONAL PROGRAMME (ARTICLE 111(4), SECOND SUBPARAGRAPH, (A), (B), (C), (D), (G) AND (H), OF REGULATION (EU) No 1303/2013)**

**14.1. Progress in the implementation of the integrated approach to territorial development, including development of regions facing demographic challenges and permanent or natural handicaps, integrated territorial investments, sustainable urban development, and community led local development under the operational programme**

**14.2. Progress in the implementation of actions to reinforce the capacity of Member State authorities and beneficiaries to administer and use the Funds**

**14.3. Progress in the implementation of any interregional and transnational actions**

**14.4. Where appropriate, the contribution to macro-regional and sea basin strategies**

As stipulated by the Regulation (EU) No 1303/2013, article 27(3) on the "content of programmes", article 96(3)(e) on the "content, adoption and amendment of operational programmes under the Investment for growth and jobs goal", article 111(3), article 111(4)(d) on "implementation reports for the Investment for growth and jobs goal", and Annex 1, section 7.3 on "contribution of mainstream programmes to macro-regional and sea-basin strategies, this programme contributes to MRS(s) and/or SBS:

- ☐ EU Strategy for the Baltic Sea Region (EUSBSR)
- ☐ EU Strategy for the Danube Region (EUSDR)
- ☐ EU Strategy for the Adriatic and Ionian Region (EUSAIR)
- ☐ EU Strategy for the Alpine Region (EUSALP)
- ☐ Atlantic Sea Basin Strategy (ATLSBS)

**14.5. Progress in the implementation of actions in the field of social innovation, where appropriate**

**14.6. Progress in the implementation of measures to address the specific needs of geographical areas most affected by poverty or of target groups at highest risk of poverty discrimination or social exclusion, with special regard to marginalised communities and persons with disabilities, long term unemployment and young people not in employment including, where appropriate, the financial resources used**



**16. SMART, SUSTAINABLE AND INCLUSIVE GROWTH (OPTION PROGRESS REPORT)**

Information on and assessment of the programme contribution to achieving the Union strategy for smart, sustainable and inclusive growth.

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**17. ISSUES AFFECTING THE PERFORMANCE OF THE PROGRAMME AND MEASURES TAKEN — PERFORMANCE FRAMEWORK (ARTICLE 50(2) OF REGULATION (EU) No 1303/2013)**

Where the assessment of progress made with regard to the milestones and targets set out in the performance framework demonstrates that certain milestones and targets have not been achieved, Member States should outline the underlying reasons for failure to achieve these milestones in the report of 2019 (for milestones) and in the final implementation report (for targets).

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## DOCUMENTS

Document title	Document type	Document date	Local reference	Commission reference	Files	Sent date	Sent By
Citizen's Summary	Citizens' summary	25-Jul-2023		Ares(2023)6910990	Citizen's Summary 2022 AIR	11-Oct-2023	n002evb1