Committee on Standards in Public Life Code of Practice

Introduction

1. The Committee was set up with the following terms of reference:

To examine current concerns about standards of conduct of all holders of public office, including arrangements relating to financial and commercial activities, and make recommendations as to any changes in present arrangements which might be required to ensure the highest standards of propriety in public life.

In 1997, the TOR were extended to review issues in relation to the funding of political parties, and to make recommendations as to any changes in present arrangements.

In 2013, the Committee's terms of reference were amended to specify that "...in future the Committee should not inquire into matters relating to the devolved legislatures and governments except with the agreement of those bodies."

- 2. CSPL is an advisory non-departmental public body which reports to the Prime Minister. The Prime Minister is answerable to Parliament for the policies and performance of the Committee, including the policy framework within which it operates.
- 3. This code of practice sets out the values and standards of behaviour expected of members of the Committee.

The Seven Principles of Public Life

4. The members of the Committee are expected to uphold the Seven Principles of Public Life.

Selflessness

Holders of public office should act solely in terms of the public interest.

Integrity

Holders of public office must avoid placing themselves under any obligation to people or organisations that might try inappropriately to influence them in their work. They should not act or take decisions in order to gain financial or other material benefits for themselves, their family, or their friends. They must declare and resolve any interests and relationships.

Objectivity

Holders of public office must act and take decisions impartially, fairly and on merit, using the best evidence and without discrimination or bias.

Accountability

Holders of public office are accountable to the public for their decisions and actions and must submit themselves to the scrutiny necessary to ensure this.

Openness

Holders of public office should act and take decisions in an open and transparent manner. Information should not be withheld from the public unless there are clear and lawful reasons for so doing.

Honesty

Holders of public office should be truthful.

Leadership

Holders of public office should exhibit these principles in their own behaviour and treat others with respect. They should actively promote and robustly support the principles and challenge poor behaviour wherever it occurs.

Expected Behaviour

- 5. The members of the Committee must at all times:
 - observe the highest standards of impartiality, integrity and objectivity in relation to the advice they provide and the management of this public body;
 - be accountable through the Prime Minister to Parliament and to the public more generally for the activities of the Committee and for the standard of advice it provides; and
 - be as open and transparent as possible, complying fully with the Freedom of Information Act 2000.

Standards of Conduct

- 6. Members of the Committee must:
 - comply with this Code of Practice and any protocols or procedures derived from it and ensure that they understand their duties, rights and responsibilities, and that they are familiar with the function and role of the Committee;
 - not misuse information gained in the course of their public service for personal gain or for political purpose, nor seek to use the opportunity of public service to promote their private interests or those of connected persons, firms, businesses or other organisations; and

 not hold any paid or high-profile unpaid office in a political party, and not engage in specific political activities on matters directly affecting the work of the Committee. The members nominated by the political parties are not barred from political activities, but they would be expected not to hold Ministerial or Front-bench office for their parties and not to behave in a party-political fashion in dealing with issues affecting the Committee's work, either during Committee discussions or elsewhere.

Role of Committee members

- 7. Members of the Committee have collective responsibility for its operation. They must:
 - engage fully in collective consideration of the issues, taking account of the full range of relevant factors;
 - ensure that the provisions of the Freedom of Information Act 2000 (including prompt responses to public requests for information) are adhered to; agree an annual report; and, where practicable and appropriate, hold meetings designed to elicit information from witnesses; and
 - ensure that information about the Committee's activities is regularly placed in the public domain.
- 8. Communications between the Committee and the Prime Minister will generally be through the Chair, except where the Committee has agreed that an individual member should act on its behalf. Nevertheless, any Committee member has the right to approach the Prime Minister on any matter which he or she believes raises important issues relating to his or her duties as a Committee member. In such cases the agreement of the rest of the Committee should normally be sought.
- 9. Individual Committee members can be removed from office by the Prime Minister if they fail to perform the duties required of them in line with the standards expected in public office.

Role of the Chair

- 10. The Chair has particular responsibility for providing effective leadership on the issues above. In addition, the Chair is responsible for:
 - ensuring that the Committee meets at appropriate intervals, and that the minutes of meetings accurately reflect the decisions taken and, where appropriate, the views of individual Committee members;
 - representing the views of the Committee to the general public;
 - ensuring that new Committee members are briefed on appointment; and

• providing an assessment of the performance of Committee members to the Prime Minister, on request, when members are considered for appointment to the board of some other public body.

Handling media relations

- 11. The Secretariat's Press Officer has the main responsibility for fielding media inquiries and issuing press releases. Media interviews will normally be conducted by the Chair in liaison with the Committee's Press Officer other than when Committee members are leading on particular reports or issues. Committee members should liaise with the Press Officer or, in her absence, with the Secretariat over any request for interviews or lines to take.
- 12. Likewise, members should consult the Secretariat before commenting on Committee matters using social media.
- 13. The conduct expected within a digital medium is no different to the conduct members should employ in other methods of communication, such as face-to-face meetings and written correspondence (even when using a personal account).

Handling conflicts of interests

14. The purpose of the following provisions is to avoid any danger of Committee members being influenced, or appearing to be influenced, by their private interests in the exercise of their public duties.

Registration of interests

- 15. All Committee members should register in the Committee's Register of Interests any private interest which might influence their judgement or which could reasonably be thought by others to do so. Please seek advice from the Secretariat if at all unsure about any possible real or perceived conflict of interest.
- 16. Committee members should register:
 - relevant personal direct and indirect pecuniary interests;
 - relevant direct and indirect pecuniary interests of close family members of which Committee members could reasonably be expected to be aware; and
 - relevant personal non-pecuniary interests, including those which arise from membership of clubs and other organisations.
- 17. "Relevant" interest, whether pecuniary or non-pecuniary and whether direct or indirect, means any such interest which might influence the judgement of a Committee member or which could reasonably be thought by others to influence his or her judgement in the exercise of his or her public duties; "indirect pecuniary interest" means an interest which arises from connection

with bodies which have a direct pecuniary interest or from being a business partner of, or employed by, a person with such an interest.¹

- 18. The Register of Interests should be kept up to date and published on the Committee pages of the gov.uk website within one month of any updates.
- 19. Interests remain in the Register for twelve months after they have expired, or longer if the member deems the interest to continue to be relevant.

Oral declaration of interests

20. An oral declaration of any relevant interest, as defined above, should be made at any Committee meeting if it relates specifically to a particular issue under consideration, and it should be recorded.

Withdrawal from meetings

21. If the outcome of any discussion at a Committee meeting could have a direct pecuniary effect on a Committee member, that member should not participate in the discussion or determination of matters in which he or she has such an interest and should withdraw from the meeting (even if held in public).

Register of stakeholder meetings

22. The Committee publishes monthly a register of meetings with stakeholders on the Committee pages of the gov.uk website.

Gifts and Hospitality

23. Members should exercise the utmost care in accepting hospitality or gifts where there could be a real or perceived conflict with their membership of the Committee. They should declare in the Register of Interests all such gifts or hospitality (whether accepted or not). The Register is published on the Committee pages of the gov.uk website.

Quorum

- 24. Committee members are expected to attend monthly Committee meetings in order to ensure timely discussion of relevant issues.² The Committee's membership comprises eight members five independent members and three political members.
- 25. The Committee has a quorum of three which should comprise two independent members and one political member. Should a quorum not be possible, the Chair may decide to postpone a meeting or, if urgent business requires it, consider and take decisions by e-mail or video-conferencing.

¹ Members should consult 'Register of Members' Interests: Guidance on categories for inclusion' when registering their interests.

² No meetings are held in August.

Consensus agreement

26. The Committee aims for consensus decision-making. It is not usually necessary to put matters to a vote. Should it not be possible for members of the Committee to reach a consensus, members may record a dissenting view.

Personal liability of Committee members

27. Legal proceedings by a third party against individual Committee members of advisory bodies are very exceptional. A Committee member may be personally liable if he or she makes a fraudulent or negligent statement which results in a loss to a third party; or may commit a breach of confidence under common law or a criminal offence under insider dealing legislation, if he or she misuses information gained through their position. However, the Government has indicated that individual Committee members who have acted honestly, reasonably, in good faith and without negligence will not have to meet out of their own personal resources any personal civil liability which is incurred in execution or purported execution of their Committee functions. This includes the costs of defending proceedings. Committee members who need further advice should consult the Secretary in the first instance.

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