

Individual Savings Accounts (ISAs)

Stocks and shares ISA transfer history

When to use this form

Use this form when transferring stocks and shares ISA to another ISA manager.

Give this form to the new ISA manager within 5 working days of the date of the acceptance of the transfer being received.

If this form cannot be given to the new manager on the date of transfer, you must tell the manager the:

- type of ISA being transferred
- amount being transferred

To:
enter the name of the new ISA manager

About the investor

1 Full name

2 Permanent residential address

Postcode

3 Date of birth DD MM YYYY

4 National Insurance number - see note 1 on page 2

About the ISA being transferred

5 Account number

6 Type of ISA - see note 2 on page 2

7 Date of transfer DD MM YYYY - see note 3

8 Amount transferred - see note 4

£

9 Are investments being transferred 'in specie' (this means to transfer ownership of an asset in its current form, without needing to convert the asset to cash)? See note 4.
Put an 'X' in one box

Yes ☐ If Yes, attach a separate list

No ☐ If No, go to box 10

10 Is cash being transferred? See note 4.

Put an 'X' in one box

Yes ☐

No ☐

11 Current year subscriptions - see note 5

Amount subscribed in current year

Total **A** £

Share scheme transfers **B** £
(included in the total in box A)

12 Date of the first subscription in the current year
DD MM YYYY

Notes

1 National Insurance number

This should be in the format AB 12 34 56 C. The final character, will always be A, B, C or D, is not critical and may be omitted if not known.

If the investor does not have a National Insurance number, this entry should be left blank unless the ISA manager's system requires the capture of a National Insurance number. In that case the dummy National Insurance number 'QQ 99 99 99 Q' should be used. ISA managers' must not use any other dummy or substitute National Insurance number.

2 Type of ISA

Please enter either:

- 'A' if the current year subscriptions are being transferred (either on their own or with the previous years' subscriptions)
- 'X' if only previous years' subscriptions are being transferred

3 Date of transfer

This will be the date on which the new ISA manager agrees to accept the transfer.

4 Amount transferred

Enter the total amount transferred.

If any investments are being transferred 'in specie' (this means to transfer ownership of an asset in its current form, without needing to convert the asset to cash). You must attach a separate list to this form.

5 Current year subscriptions

If character 'A' is entered in the 'Type of ISA' box enter both the:

- amount subscribed in the current tax year
- date of the first subscription in the current tax year

You must include the amount of any subscription made from the direct transfer of shares from an approved all-employee savings related share option, profit-sharing scheme or share incentive plan in the 'Total' box for the stocks and shares component and also report it separately in the 'Share Schemes' box.