

Recommendation Status Report: Near miss with two track workers at Penkridge, Staffordshire

This report is based on information provided to the RAIB by the relevant safety authority or public body.

The status of the recommendation(s), as reported to us, are described by the following categories:

Key to Recommendation Status

Open (replaces Progressing and Implementation On-going)	Actions to address the recommendation are ongoing.
Closed (replaces Implemented, Implemented by alternative means, and Non-implementation)	ORR consider the recommendation to have been taken into consideration by an end implementer and evidence provided to show action taken or justification for no action taken.
Insufficient response:	The end implementer has not provided sufficient evidence that the recommendation has been taken into consideration, or if it has, the action proposed does not address the recommendation, or there is insufficient evidence to support no action being taken.
Superseded:	The recommendation has been superseded either by a newer recommendation or actions have subsequently been taken by the end implementer that have superseded the recommendation.
Awaiting response:	Awaiting initial report from the relevant safety authority or public body on the status of the recommendation.

RAIB concern over the way that an organisation has responded to a recommendation are indicated by one of the following:

Red – RAIB has concerns that no actions have been taken in response to a recommendation.

Blue – RAIB has concerns that the actions taken, or proposed, are inappropriate or insufficient to address the risk identified during the investigation.

White – RAIB notes substantive actions have been reported, but the RAIB still has concerns.

Recommendation Status Report



Report Title	Near miss with two track workers at Penkridge, Staffordshire
Report Number	09/2023
Date of Incident	11/07/2022

Rec No.	Status	RAIB Concern	Recommendation	RAIB Summary of current status
09/2023/01	Open	None	<p>The intent of this recommendation is to ensure that appropriate safety arrangements remain in place when groups split during activities on or near the line.</p> <p>Network Rail should undertake a review of the relevant rules, standards, procedures and training material to ensure that adequate instructions and guidance exist for Persons in Charge and Controllers of Site Safety about the actions to be taken when track workers split from a group while on or near the line. This review should specifically examine how the safety of the staff being split from the group is maintained and the way in which modified arrangements will be planned, implemented, briefed and recorded. Network Rail should develop a timebound programme for the implementation of any appropriate changes identified (paragraph 106a).</p>	ORR has reported that Network Rail has a proposed action plan and timescale for delivery to be taken in response to the recommendation. ORR will advise when the status of this recommendation changes.
09/2023/02	Closed - I	None	<p>The intent of this recommendation is to ensure that Persons in Charge and Controllers of Site Safety are able to maintain appropriate control of the groups for which they are responsible during activities on or near the line.</p> <p>Network Rail should undertake a review of how the Rule Book requirement for COSSs to observe and advise their group is being undertaken in practice. The results from this review should be used as required to produce appropriately updated rules, guidance and training for those planning, approving and implementing safe systems of work (paragraph 106b).</p>	