**Affordable Homes Programme 2021 - 2026**

**and associated affordable housing programmes**

**INVESTMENT PARTNER QUALIFICATION APPLICATION FORM**

**SECTIONS 1 & 2**

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| **NOTES** |
| We will use the responses provided in your application form for information, verification and evaluation purposes as stated in the application form. Please ensure the information provided on this application form is accurate. Any errors / inaccuracies in the form during assessment or that become apparent at a later date may prejudice your application or resulting Investment Partner status.Your answers should be fully contained within this document. Please do not attach any additional material or links to documents held elsewhere, except where this is expressly required by a section of this form.Homes England reserves the right to request additional information in relation to applications for Investment Partner status, except where this is expressly required by a section of this form.By applying for Investment Partner status, Registered Providers will be giving consent that relevant financial information provided to the Regulator of Social Housing may be shared with Homes England on a confidential basis. It should be noted that all schemes developed under the Affordable Homes Programme 2021 to 2026 and associated programmes must meet the requirements outlined in the main funding guidance and the Capital Funding Guide. |

| **Item** | **Evaluation** | **Information Required** | **Response** |
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| **SECTION 1.1: APPLICANT ORGANISATION INFORMATION** |
| 1.1.1 | *Verification* | Name of applicant organisation and IMS partner code (if known): |  |
| 1.1.2 | *Verification* | Address:(if applicable, this should be the applicant’s registered office address). |  |
| 1.1.3 | *Verification* | Company Registration Number/s:(*Company Registration Number / FCA Registration Number /Regulator of Social Housing Registration Number and/or Charity Registration Number – as appropriate*) |  |
| 1.1.4 | *Verification* | Date(s) of Incorporation (if applicable). |  |
| 1.1.5 | *Verification* | Type of Organisation. Please tick all applicable boxes | 1. A public limited company [ ]
2. A private limited company [ ]
3. A Registered Provider of Social Housing [ ]

 1. Local Authority [ ]
2. An Arms-Length Management Organisation [ ]
3. Charitable Incorporated Organisation [ ]
4. Other [ ]

Please specify: |
| 1.1.5.1 | *Information* | How many affordable housing homes do you currently manage, and/or own? This includes where you act as a landlord or a managing agent. |  |
| 1.1.5.2 | *Verification* | In the last ten years have you ever accessed Affordable Housing Grant funding through Homes England? If yes, please provide the IMS scheme code for this activity. | Yes [ ]  No [ ]  |
| 1.1.5.3 | *Verification* | In the last ten years have you ever accessed any other funding or land through Homes England?If yes, please provide further details.  | Yes [ ]  No [ ]  |
|  1.1.6 | *Verification* | Is your organisation a subsidiary of another organisation? If so, please provide:1. Full name and address of the registered office of the immediate parent company
2. Full name and address of the registered office of the ultimate parent company
 | Yes [ ]  No [ ]  |
| 1.1.7 | *Information* | Principal contact for enquiries:*(Contact name, title and office address if different to address provided at 1.1.2)* |  |
| 1.1.8 | *Information* | Telephone Number: |  |
| 1.1.9  | *Information* | E-mail address: |  |
| 1.1.10 | *Information* | Please indicate your current/anticipated tenure(s) in respect of which you expect to access affordable housing grant: |  Social Rent Affordable Rent [ ]  [ ]    Shared Ownership Supported Housing for Rent [ ]  [ ]    |

**Please complete and certify as applicable to your provider type.**

**CONFIRMATION STATEMENT FOR LOCAL AUTHORITIES**

**Local authority** applicants are asked to confirm, that they are not subject to any Direction by the Secretary of State for Communities, under section 15 of the Local Government Act 1999 and that neither the authority or its officers has made a Section 114 Report made under section 114(3) or section 114(A) of the Local Government Finance Act 1998, by ticking this box [ ] .

**CONFIRMATION STATEMENT FOR ALL REGISTERED PROVIDERS (Including Local Authority Registered Providers)**

**Each Registered Provider** applicant confirms:

It is compliant with all regulatory standards set by the Regulator of Social Housing at the time of this application and is not aware of any facts or circumstances that would reasonably amount to a determination of non-compliance, by ticking this box;[ ]  and

It is not currently (and does not reasonably expect to become within the next 12 months) subject to any Regulatory Notice, a current ‘non-compliant’ Regulatory Judgement, or Enforcement Notice issued by the Regulator of Social Housing, and is not named on that Regulator’s ‘Grading Under Review list – GUR’, by ticking this box [ ] .

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| **Item** | **Evaluation** | **Information Required** | **Response** |
| **CONSORTIUM / Special Purpose Vehicle (SPV) / Joint Venture (JV) INFORMATION** |
| 1.1.11 | *Information* | Are you applying as a Consortium or SPV or JV? | Yes [ ]  Please complete questions belowNo [ ]  Go to Section 2 on page 11 |
| 1.1.12 | *Verification* | If relevant, please provide:1. Full names of all participating organisations
2. Your position within Consortium/SPV/JV
3. Full names of shareholders/members if SPV/JV
4. A structure chart/diagram showing all participating organisations.
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| 1.1.13 | *Verification* | Have the Consortium members/SPV/JV shareholders worked together within the last three (3) years? | Yes [ ]  Please attach further detailsNo [ ]  |
| 1.1.14 | *Verification* | Is there any formal agreement / memorandum of understanding between the member of the consortium /SPV/JV relative to delivery of the dwellings? | Yes [ ]  Please attach further detailsNo [ ]  |

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| **Item** | **Evaluation** | **Information Required** | **Response** |
| **SECTION 1.2: INFORMATION ABOUT ORGANISATIONS PARTICIPATING IN A CONSORTIUM OR SPV / JV****A separate section should be completed for each of the participating organisations:** 1. **Consortia – this Section is to be completed by ALL Consortium members.**
2. **SPVs/JVs – this Section should be completed for ALL shareholders, members or otherwise (as applicable) (other than the applicant organisation) within the SPV/JV noted at Item 1.1.11.**
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| 1.2.1 | *Verification* | Name of organisation: |  |
| 1.2.2 | *Verification* | Address:(if applicable, this should be the applicant’s registered office address). |  |
| 1.2.3 | *Verification* | Company Registration Number/s:(*Company Registration Number / FCA Registration Number /Regulator of Social Housing Registration Number and/or Charity Registration Number – as appropriate*) |  |
| 1.2.4 | *Verification* | Date(s) of incorporation (if applicable): |  |

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| **Item** | **Evaluation** | **Information Required** | **Response** |
| 1.2.5 | *Verification* | Type of OrganisationPlease tick all relevant boxes | 1. A public limited company [ ]
2. A private limited company [ ]
3. A Registered Provider of Social Housing [ ]

 1. Local Authority [ ]
2. An Arms-Length Management Organisation [ ]
3. Charitable Incorporated Organisation [ ]
4. Other [ ]

 Please specify: |
| 1.2.5.1 | *Information* | How many affordable housing homes do you currently manage, and/or own? This includes where you act as a landlord or a managing agent. |  |
| 1.2.5.2 | *Verification* | In the last ten years have you ever accessed Affordable Housing Grant funding through Homes England? If yes, please provide the IMS scheme code for this activity. | Yes [ ]  No [ ]  |
| 1.2.5.3 | *Verification* | In the last ten years have you ever accessed any other funding or land through Homes England?If yes, please provide further details.  | Yes [ ]  No [ ]  |
| 1.2.6 | *Verification* | Is your organisation a subsidiary of another organisation? If so, please provide:1. Full name and address of the registered office of the immediate parent company
2. Full name and address of the registered office of the ultimate parent company
 | Yes [ ]  No [ ]  |

**Separate certifications should be completed for each of the participating organisations:**

1. **Consortia – this Section is to be completed by ALL Consortium members.**
2. **SPVs/JVs – this Section should be completed for ALL shareholders, members or otherwise (as applicable) (other than the applicant organisation) within the SPV/JV noted at Item 1.1.11.**

**CONFIRMATION STATEMENT FOR LOCAL AUTHORITIES**

**Local authority** applicants are asked to confirm, that they are not subject to any Direction by the Secretary of State for Communities, under section 15 of the Local Government Act 1999 and that neither the authority or its officers has made a Section 114 Report made under section 114(3) or section 114(A) of the Local Government Finance Act 1998, by ticking this box [ ] .

**CONFIRMATION STATEMENT FOR ALL REGISTERED PROVIDERS (Including Local Authority Registered Providers)**

**Each Registered Provider** applicant confirms:

It is compliant with all regulatory standards set by the Regulator of Social Housing at the time of this application and is not aware of any facts or circumstances that would reasonably amount to a determination of non-compliance, by ticking this box ;[ ]  and

It is not currently (and does not reasonably expect to become within the next 12 months) subject to any Regulatory Notice, a current ‘non-compliant’ Regulatory Judgement, or Enforcement Notice issued by the Regulator of Social Housing, and is not named on that Regulator’s ‘Grading Under Review list – GUR’, by ticking this box [ ] .

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| **SECTION 2: STATEMENT OF GOOD STANDING** |
| 2.1 | *Pass/Fail* | **Each participating organisation listed at Item 1.1 and 1.1.12** is to provide a **signed copy** of the following Statement of Good Standing, on its **organisation’s letter headed paper**. This must be signed with a wet-ink signature by a Director, Partner or person with equivalent seniority, scanned and emailed to Provider.Onboarding@homesengland.gov.uk  |

We confirm that:* We have full power and authority to enter into an Agreement with Homes England under the Affordable Homes Programme 2021 to 2026 and any associated affordable housing programmes; and
* Neither the organisation nor its directors are in, or in expectation of, a state of bankruptcy, insolvency, compulsory winding up, administration, receivership, composition with creditors or any analogous state or subject to relevant proceedings; and
* Where the applicant organisation is a Registered provider of Social Housing, the organisation is delivering the outcomes of all regulatory standards set by the Regulator of Social Housing and is not and does not reasonably expect to become within the next 12 months subject to any regulatory intervention or enforcement action including (but not limited to) a Regulatory Notice issued prior to 1 April 24, the issue of a Regulatory Judgement or any other publicly issued communication or notification in which the Regulator of Social Housing identifies a serious failure in delivering the outcomes of any regulatory standard, an Enforcement Notice or being named on the Regulator of Social Housing’s ‘Grading under review list-GUR’ at the time of this application.
* [Only applicable to Local Authorities] The organisation is not subject to any Direction by the Secretary of State for Communities and Local Government, under section 15 of the Local Government Act 1999 and that neither the authority or its officers has made a Section 114 Report made under section 114(3) or section 114(A) of the Local Government Finance Act 1998.
* We have not previously had any Grant Agreement or similar with Homes England terminated or grant withheld nor has any other funding agreement between ourselves and Homes England been terminated or funds recovered by Homes England for non-performance.
* Neither the organisation nor its directors have been convicted of a criminal offence relating to business, health & safety, or professional conduct, or are being investigated for, or subject to proceedings that are underway regarding the same, nor have the above committed an act of grave misconduct in the course of business; and
* Neither the organisation nor its directors have convictions relating to specific criminal offences including, but not limited to, bribery, corruption, conspiracy, terrorism, fraud and money laundering, or have been the subject of a binding legal decision which found a breach of legal obligations to pay tax or social security obligations (except where this is disproportionate e.g. only minor amounts involved)..
* In the last three (3) years, in aggregate, we have not paid, or been required to pay, liquidated damages or general damages under contract or tort, exceeding an amount equal to 5% of the turnover shown in our most recent audited consolidated accounts; and
* Our accounts have not had a modified audit opinion in our last three (3) financial years or, if they have, details are attached, and that there have been no material post balance sheet events.

Signed: Dated: Name: Position: |

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| **Item** |  | **Evaluation** | **Information Required** | **Response** |
| 2.2 |  | *Information* | For registered providers only. Will your development proposals be funded by secured borrowing?  | Yes [ ]  No [ ]  |
| 2.3 |  | *Pass/Query* | Have you (or any of the participating organisations within the Consortium, SPV or JV) including Directors/Members/Shareholders been involved in any of the following where there is a material impact to this application? Where applicable, please provide brief details (for consortia, SPVs or JVs please indicate to which organisation the details are relevant)1. Court action; and/or
2. First-Tier Tribunal (Property Chamber – Residential Property); and/or
3. Housing ombudsman determinations over the last three (3) years; and/or
4. Non-Compliance with any regulatory standards set by the Regulator of Social Housing over the last three (3) years; and/or
5. Shown significant or persistent deficiencies in the performance of a substantive requirement under a prior public contract, a prior contract with a contracting entity, or a prior concession contract, which led to early termination of that prior contract, damages, or other comparable sanctions?
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