

# Department for Levelling Up, Housing & Communities

## Building Safety Programme Monthly Data Release England: August 2023

**Technical Notes** 

© Crown copyright, 2023

Copyright in the typographical arrangement rests with the Crown.

You may re-use this information (not including logos) free of charge in any format or medium, under the terms of the Open Government Licence. To view this licence visit <a href="http://www.nationalarchives.gov.uk/doc/open-government-licence/version/3/">http://www.nationalarchives.gov.uk/doc/open-government-licence/version/3/</a>

This document/publication is also available on our website at <a href="http://www.gov.uk/dluhc">http://www.gov.uk/dluhc</a>

If you have any enquiries regarding this document/publication, please email <u>correspondence@levellingup.gov.uk</u> or write to us at:

Department for Levelling Up, Housing and Communities

Fry Building 2 Marsham Street London SW1P 4DF Telephone: 030 3444 0000

For all our latest news and updates follow us on Twitter: https://twitter.com/luhc

September 2023

## Contents

Data collection	4
Data quality	15
Assessment of data quality	15
Definitions	22
Revisions policy	24
Non-Scheduled Revisions	25
Scheduled Revisions	25
Other information	25
Uses of the data	25
User engagement	25
Voluntary compliance with the Code of Practice for Statistics	26

## Data collection

DLUHC uses data from several sources in the Data Release:

- 1. Building Research Establishment tests;
- 2. **Local authority confirmation** following local authorities providing updates on their own building stock in the social sector and working with building owners and agents to identify any cladding issues, and providing updates on enforcement action;
- 3. **Housing association confirmation** following housing associations providing updates on their own building stock in the social sector and where they act as head lessors in the private sector;
- 4. **Discussions with responsible stakeholders** including building owners, developers, agents and the Local Government Association;
- 5. **Valuation Office Agency property attribute data** to validate the number of dwellings in high-rise residential buildings; and
- 6. **Greater London Authority and Homes England** (the delivery partners for the ACM Social Sector Remediation Fund, the ACM Private Sector Remediation Fund and the Building Safety Fund Private Sector Applications) for information relating to the Social and Private Sector remediation funds.

Since **summer 2017** DLUHC have been funding the testing of cladding from high-rise residential buildings at the BRE. This establishes the category of ACM cladding, which, along with insulation type, determine compliance with Building Regulations. The BRE test data for private sector, social sector residential and publicly owned buildings were published in data releases between **December 2017** and **December 2020**.

The data <u>table</u> on samples received by BRE for testing – which was a count of materials submitted to BRE for testing and was previously published each month – was discontinued in October 2019. The data <u>table</u> of descriptions of large-scale system tests undertaken by the BRE and the number of buildings with similar cladding systems was discontinued in November 2020.

Since **autumn 2017**, local authorities have been working with private sector building owners to ascertain combinations of ACM cladding and insulation on high-rise private sector buildings which have not been tested by BRE. Local authorities have used information from sources such as local fire and rescue services, building plans, ACM tests undertaken elsewhere, knowledge of similar buildings where BRE tests have confirmed ACM cladding, and/or building inspections.

DLUHC and local authorities have adopted many approaches to identify the cladding and insulation status of the remaining private sector buildings. This has included the payment of an allowance to local authorities for identifying buildings or starting an enforcement

process<sup>1</sup> against building owners, with a cut-off date at **end May 2018**. The Data Release of **28 June 2018** was the first that included data confirmed by local authorities.

DLUHC are confident that the vast majority of social and private sector high-rise residential and publicly owned buildings with ACM cladding systems unlikely to meet Building Regulations have been identified.

Since **spring 2018** DLUHC have been talking with building owners, developers and agents to ascertain updates on remediation. As of **11 February 2019**, the questionnaire used to collect information on high-rise residential buildings in England with ACM cladding systems was updated to provide increased precision in response options concerning the status of remediation. This might result in marginal changes in the data as further updates are collected. Additional questions were added which do not impact the data in this release.

Since **early 2019** DLUHC have collected data on dwellings from the VOA Property Details data website. We have used this data to provide greater coverage of the number of dwellings in buildings with ACM cladding systems, as well as validating responses from responsible stakeholders.

Since **summer 2019** DLUHC has been collecting data on all external wall systems on residential buildings 18 metres and above in height and will publish appropriate information from the data collection when ready. On 21 November 2020, DLUHC published information on estimates of <u>EWS1 requirements on residential buildings in England</u>, including indicative analysis on the cladding coverage of residential buildings and the number of leasehold dwellings in those buildings. This analysis was updated on 8 March 2021 due to a further publication of guidance notes by the Royal Institution of Chartered Surveyors. On 10 January 2022, DLUHC published data for the first time on the number of EWS1 forms (or equivalent) that have been required on mortgage valuations for flats. This data has been published as reported by 7 major lenders in the United Kingdom. For more information please see <u>EWS1 (or equivalent) lender data on mortgage valuations for flats:</u> April to September 2021, United Kingdom The data release will be updated on a quarterly basis.

Since the **October 2019** Data Release DLUHC has reported on buildings known to be vacant. Buildings are vacated for a variety of reasons. This can be because they are being prepared for demolition or that a Fire and Rescue Service or local authority has issued a prohibition order, preventing residents from using the building. A vacated building that has had its cladding removed and with no further works to take place prior to demolition is considered to have been remediated.

<sup>&</sup>lt;sup>1</sup> Local authority enforcement powers under the 2004 Housing Act include Section 235 powers to demand documents from building owners, and Section 239 powers to take a sample of a building for testing.

It is known that some buildings have had their ACM cladding removed even though remediation is not yet complete. From **March 2020**, we have incorporated further information regarding those buildings that have started remediation – where works have finished but are awaiting sign-off, and where cladding has been removed but where works have not finished.

From **April 2020** until **August 2020** we provided information on the impact of COVID-19 on remediation progress for those remediation projects which have already started remediation.

From **May 2020** we have provided more analysis with a focus on remediation in local areas, for both local authorities and other aggregated areas. Local authority data excludes local authorities with fewer than 10 high-rise residential buildings, regardless of whether they have cladding, and groups local authority figures into bands. These disclosure control measures are in place to prevent the identification of one or more buildings with ACM cladding systems unlikely to meet Building Regulations in these areas. Since **May 2022**, local authorities have been updated to ONS Local Authority Districts December 2021 boundaries.

From **June 2020** we have provided more analysis of the characteristics of all high-rise residential multi-occupied buildings. The methodology of which is described in a section below.

From **September 2020** we have robust data on vacant buildings yet to start remediation and these buildings are shown in the charts.

From **October 2020** we have published data on remediation progress by tenure for Greater Manchester, London and the Rest of England as a Web Table.

From **December 2020** we have published data on annual remediation progress.

From **February 2021** we have published data for vacant buildings in all remediation categories and these buildings are shown in the charts and Web Table.

From **April 2021** we have provided more analysis on remediation progress for buildings identified by 31 December 2019 compared to all identified buildings.

From **June 2021** we have published quarterly data on Social and Private Sector ACM Cladding Remediation Fund expenditure.

From **October 2021** we have published data on the progress of the Waking Watch Relief Fund, previously published alongside the <u>Waking Watch Relief Fund guidance</u>.

From April 2022 we have published data on the progress of the Waking Watch

Replacement Fund.

From **May 2023** we have published data on the progress of ACM, Waking Watch Relief Fund, Waking Watch Replacement Fund, BSF and Enforcement, in a series of management information tables, previously published separately.

From **August 2023** we have published data in the management information tables on Local Authorities attendance of training sessions held by the Joint Inspection Team hosted by the Local Government Association.

### Methodology: high-rise residential multi-occupied buildings

The central estimate as of April 2020 of 12,500 buildings (private residential, social, and student buildings) is a combination of the following:

- 1. Projected estimate of buildings covered in a dataset held by DLUHC of private residential, social, and student buildings over 18m or at least seven storeys covering most high-rise buildings. We used a projection method to produce an estimate of the height and tenure composition of the dataset based on information already held.
- 2. The impact of extending the scope to at least seven storeys and under 18 metres.

The impact of extending the scope is a combination of:

- 1. Buildings in the data provided by the Ordnance Survey ® (OS ®) and the Domestic Energy Performance Certificate (EPC) data which were identified as at least seven storeys and under 18 metres; and
- 2. A central estimate of buildings in the data provided by the Ordnance Survey ® (OS ®) and the Domestic EPC data were identified based on height ranges and an illustrative sampling method. Through which, DLUHC captured an estimated representation of buildings considered at least seven storey and under 18 metres by examining 10% out of a sample size of approximately 5,000 buildings.

All data collections which have contributed to the high-rise building dataset held by DLUHC are designed to collect information on buildings over 18 metres, data collected on buildings under 18 metres is a 'side effect'. There are likely to exist a significant number of six and seven storey buildings under 18 metres which are not present in the high-rise building dataset for this reason and is why an alternative methodology has been designed to estimate these.

The proportion of high-rise residential multi-occupied buildings by region was estimated in August 2021 from the dataset held by DLUHC of private residential, social, and student buildings over 18m in height or at least seven storeys covering most high-rise buildings. It has been assumed that the location distribution of buildings at least seven storeys and under 18 metres is the same as the data held on over 18m buildings.

### Methodology: Residential buildings between 11 metres and 18 metres in height

The total number of residential buildings between 11 metres and 18 metres in height has been estimated at 78,000. This estimate has been derived from Ordnance Survey (OS) data adjusted to take account of inaccuracies in the data.

DLUHC used topographic indicators (TOIDs) to filter for residential buildings and cross referenced on Unique Property Reference Numbers (UPRN). This identified some quality issues with the OS data including inaccuracies with the building heights, duplication of topographic identifiers (TOIDs) and difficulties in identifying mixed-use buildings. To account for this, DLUHC consultants surveyed over 5,000 buildings of varying heights in 1 metre ReIHMax height bands. The proportion of buildings in each 1 metre ReIHMax band that were found to be between 11 metres to 18 metres were then used to adjust the total number of buildings for that ReIHMax height band in the OS data. This provided an estimated number of 11 metres to 18 metres buildings in each of the ReIHMax height bands which were then summed to provide the overall population of 11 metres to 18 metres buildings.

ReIHMax height bands were chosen over the ReIH2 variable as it measures to the top of the tallest roof structure, rather than the highest eaves height. ReIHMax was also found to be more consistent with the results of the survey than ReIH2 which contained a lot more variability.

There are a few key assumptions to this analysis:

- 1. Individual buildings are assigned to one TOID instead of multiple TOIDs. Therefore, the final estimates do not include any duplication of TOIDs.
- 2. Mixed use buildings, such as shops on the ground floor and self-contained flats on the floors above, are classed as residential buildings by the OS and custodian's preference.
- 3. All buildings surveyed with a RelHMax of 10 metre -11 metre were found to be below 11 metres. Similarly, no buildings with a RelHMax of above 36 metres were found to be below 18 metres. Therefore, it is assumed that there are no buildings above 11 metres with a RelHMax of under 10 metres or buildings below 18 metres with a RelHMax of 36 metres or more.
- 4. TOIDs associated with a road network would not be in scope.

The data quality issues identified could have an impact on our data coverage and therefore overstate or understate the number of 11m-18m buildings in scope. These figures represent DLUHC's best estimate.

### Methodology: dwellings in high-rise residential buildings

To be eligible for inclusion in dwellings estimates as of December 2020, buildings must:

• Fulfil the height criteria for high-rise buildings above.

- Have a recorded number of dwellings greater than 0.
- Be a private or social sector residential building.

Although residential buildings also include hotels and student accommodation, the dwellings counts for these buildings are much less reliable than for private and social sector residential buildings. This is because it is harder to estimate the number of self-contained dwellings in a student accommodation building – for example, individual rooms may be counted as opposed to flats within a building. For this reason, hotels and student accommodation have been excluded from this analysis.

Dwellings estimates for high-rise residential buildings were calculated using 66% of private and social sector residential buildings in our dataset (i.e. those with accurate dwellings data). As data coverage increases over time, our estimates are subject to change. Dwellings data comes mainly from child UPRN counts (66%) but also Local Authority engagement and Valuation Office Agency (VOA) data. Using UPRNs is currently the best way to increase dwellings data coverage, although it is not a perfect fit. The UPRN hierarchy of a building represents the hierarchy of the address, e.g. a parent UPRN for a residential building and child UPRNs for all flats within, but this may not always correspond to the precise structure of the flats contained in the building. Therefore, dwellings estimates could be subject to under or over estimation.

### Methodology: dwellings in buildings between 11 metres and 18 metres in height

The dwellings estimates for 11-18m residential buildings, as of September 2021, have been calculated using topographic identifiers (TOIDs) to represent buildings and the child UPRN count recorded against each TOID in the OS ® database to be the number of dwellings. As noted for the building heights analysis, quality issues with these data mean that our estimates contain an element of uncertainty. This methodology uses TOIDs to identify buildings, which differs to that for buildings over 18 metres where we use buildings identified by Local Authorities, because there is a much smaller proportion of buildings between 11 and 18 metres with accurate dwellings data in our existing dataset.

There are a few key assumptions to this analysis:

- 1. A TOID represents a single building.
- 2. All child UPRNs recorded against a TOID refer to dwellings.
- 3. The average dwellings per building are derived from the TOIDs with RelHMax between 17m and 26m.
- 4. All records with a count of child UPRNs of 3 or fewer are excluded, as an assumption based on DLUHC consultants survey data that a minimum of four storeys for buildings above 11m and at least one dwelling per storey.

As the dwellings data only comes from UPRNs in this case, the uncertainties of using UPRNs as a proxy for dwellings will likely be greater than for buildings over 18 metres. Also, there are many more buildings at the lower end of the height range which may skew the estimated number of dwellings per building and provide a lower figure than expected.

### Methodology: leasehold dwellings in buildings over 11 metres

A leasehold dwelling is defined as a dwelling that is owned by a leaseholder but the building and land upon which is it built remains the property of a freeholder. For buildings over 18m or at least seven storeys in height, we have used figures reported in the English Housing Survey (EHS) for purpose-built flats, high rise, to estimate the proportion of buildings in the private sector and private (leasehold) dwellings in social sector buildings. For 11-18m buildings, we have used the figures reported in the EHS for purpose-built flats, low rise, to a similar end. The categories do not map perfectly but we expect them to be a reasonable proxy (see more information on the <u>EHS</u>).

### Methodology: residents in buildings over 11m

The estimated number of residents living in buildings over 11 metres in height is calculated by multiplying the estimated number of dwellings (method above) by average household size. We have used data from the English Housing Survey (EHS) to assume an average household size of 1.8 in 11-18m buildings (low-rise) and 1.9 in buildings over 18 metres (high-rise). These figures were last measured in 2017-18 and as such may not be up to date. In addition, the EHS categories do not map perfectly to height in metres but we expect them to be a reasonable proxy (see more information <u>here</u>). The estimates are liable to change if there are subsequent updates to our methodology, but this remains our current best estimate as of September 2021.

### Methodology: hospital buildings over 11 metres and over 18 metres.

The total number of 1,261 hospital sites in England was taken from the 2019/2020 Estates Returns Information Collection (ERIC) NHS data of individually reported sites (see <u>here</u>). An individually reported hospital site is defined as and NHS site of either over 500m<sup>2</sup> or with over 10 inpatient beds.

The number of hospital buildings in 11-18 and 18m+ height categories as of February 2021, have been calculated using proportions from connected topographic identifiers (TOIDs) in the OS ® MasterMap database on Functional Sites that have identified as a 'hospital' by GeoPlace LLP. These data estimates are from an alpha prototype analysis using MasterMap data to identify connected polygons on sites identified as hospital sites. Maximum height was used in this estimate, rather than height of the highest occupiable floor.

There are a few key assumptions to this analysis:

- 1. A connected structure represents a single building.
- 2. A connected structure without a UPRN does not count as a building.
- 3. The distribution of buildings and height information across OS MasterMap hospital sites is approximately correct across reported NHS sites from ERIC data.
- 4. Maximum height is an acceptable substitute for highest occupiable floor height.

These numbers represent DLUHC's best estimate of the number of buildings on hospital sites over 18m in height, and do not correspond to specific buildings. Part of the estimate is based on a count of unique property reference numbers (UPRNs) and the UPRN represents the hierarchy of the address and may not always correspond to the precise number of units within a connected building. Also, quality issues with height information in OS ® MasterMap data mean that our estimates contain an element of uncertainty.

### Methodology: Waking Watch Relief Fund data

Waking Watch Relief Fund data is provided by applicants to the Waking Watch Relief Fund. There is missing data across a number of fields, for example Waking Watch costs, and averages are calculated on a subset of applications. Some data on Waking Watch costs has been excluded from analysis where outliers have been identified, such as figures that are substantially higher or lower than expected and are judged to be erroneous or on a different basis to that requested.

Average monthly Waking Watch costs per dwelling are based on data for 128 out of 150 approved applications from the first application window, 22 out of 27 approved applications from the second application window and 34 out of 35 approved applications for the third application window. The median waking watch cost per dwelling per month is based on building level data as dwelling level data is not available.

The estimated number of leasehold dwellings covered is based on data for 212 out of 212 approved applications.

### Methodology: Waking Watch Replacement Fund data

Waking Watch Replacement Fund data is provided by applicants to the Waking Watch Replacement Fund. There is missing data across a number of fields, for example Waking Watch costs, and averages are calculated on a subset of applications.

Average monthly Waking Watch costs per dwelling are based on data for 22 out of 25 approved applications. The median waking watch cost per dwelling per month is based on building level data as dwelling level data is not available.

The estimated number of leasehold dwellings covered is based on data for 25 out of 25 approved applications.

### Methodology: Building Safety Fund 2020 data

Building Safety Fund data was provided by responsible entities in June and July 2020 to DLUHC through a Survey Monkey Form, registering interest in applying for funding from the 2020 Building Safety Fund. There is missing data across a number of fields, for example number of units, and averages are calculated to determine an estimate number of homes and properties.

These registrations are assessed by DLUHC for eligibility for funding and where eligible are passed to the relevant delivery partner (Homes England or Greater London Authority) to engage with responsible entities, data is collected on remediation progress and reported back to DLUHC. All BSF remediation data is provided by responsible entities to the relevant delivery partner. Responsibility for the data lies with the data provided – as such only data provided by delivery partners is published.

### Methodology: Enforcement

DLUHC has collected data from local authorities on enforcement action taken against high-rise residential buildings with unsafe cladding in their areas through the work of the Joint Inspection Team, our ongoing engagement, and an online portal. The enforcement figures represent high-rise buildings with unsafe cladding both within and outside of the Private Sector Cladding Remediation Fund and Building Safety Fund. The data only reflects where formal action has been taken; it does not cover the range of informal interactions that local authorities have with those responsible for buildings to progress the pace of remediation. As the data has been collected from local authorities on a voluntary basis, there may be further action that has been taken, of which the department is not yet aware.

### Methodology: Joint Inspection Team Training

The Joint Inspection Team (JIT) provide training to local authorities on their enforcement powers under the Housing Act 2004. This includes the use of the Housing Health and Safety Ratings System (HHSRS).

The training figures are provided by the LGA and show attendance by local authority of the training sessions the JIT offers: Level 1, Introduction to Fire Safety, Level 2 part 1: Intermediate Fire Safety: Theoretical HHSRS assessments, and Level 2 part 2: Intermediate Fire Safety: Practical HHSRS assessments.

#### Methodology: Aluminium Composite Material Programme

Local authorities submit a data return on DELTA if they believe a new building is in scope of the ACM programme. DLUHC investigates the building's eligibility and confirms whether the building is in scope or out of scope. F&G are made aware of new buildings in scope of the programme. They then continually engage with responsible entities to track buildings' remediation progress and report this to DLUHC. Local authorities will also update DELTA with remediation progress updates. Updates received from DELTA are verified with F&G where relevant. The monthly remediation progress updates are published.

Buildings in scope of government funding submit an application to the Private Sector Cladding Remediation Fund (PSCRF) or Social Sector Cladding Remediation Fund (SSCRF). Eligible applications are transferred to delivery partners (Homes England or Greater London Authority for PSCRF, or an internal DLUHC team for the SSCRF). Delivery partners provide updates on funding applications to DLUHC, which are published.

# Data quality

## Assessment of data quality

In 2015, the UK Statistics Authority (UKSA) published a <u>regulatory standard for the quality</u> <u>assurance of administrative data</u>. To assess the quality of the data provided for this release, the department has followed that standard.

The data used in this release is classified as Medium risk in terms of data collection process, with a High public profile. The publication of the Building Safety Programme data release can be considered high profile, as there is significant mainstream media interest following the Grenfell Tower fire. These statistics form the headline figures for the remediation of unsafe buildings in England and as such are critical to policy making. They are also frequently quoted in national and local media.

The data quality is considered a medium concern given that a large number of local authorities and housing associations are involved in the data collection process, with some local authorities, the Greater London Authority and Homes England acting as intermediaries in the data collection process.

The medium rating does not reflect the suitability of the data and quality of this data for the Building Safety Programme's purposes, but rather that the quality assurance processes undertaken are more stringent compared to low-risk data. It should be taken into account that the data is collected from multiple sources.

The data collection involves more than 100 organisations, including local authorities and housing associations. The department does not have full oversight of their systems and quality procedures; we provide clear guidance and documentation to them via DELTA data collection system.

### **Risk Profile Matrix**

Statistical Series	Administrative Source	Data Quality Concern	Public Interest	Matrix Classification
Building Safety Programme data release	Local authorities' and housing associations' individual data systems	Medium	High	Medium Risk [A2]

### Operational context and administrative data collection

Figure 1 shows the statistical production process, quality assurance (QA) checks and

engagement between housing associations, local authorities, responsible stakeholders, Faithful and Gould, and DLUHC during the process.

Building-level data is reported to DLUHC by housing associations and local authorities via the DELTA data collection platform. The data is continually updated via DELTA submissions and engagement with housing associations and local authorities to reflect progress on the ground. The DELTA collection platform pre-populates forms so that housing associations and local authorities can update records without having to submit complete entries each time.

Since March 2020, buildings in the ACM remediation programme have received expert construction advice from the firm Faithful and Gould (F&G). Through Faithful and Gould's engagement with responsible entities, data is collected on remediation progress and reported to DLUHC.

Waking Watch Relief Fund and Waking Watch Replacement Fund data is provided by applicants, with some local authorities administering the funds. DLUHC does not have full oversight of their systems and quality procedures. Guidance and documentation is available throughout the application process. Although some data cleaning took place to exclude duplicate applications and outliers for Waking Watch costs, the data provided was not subject to additional quality assurance. Data providers were not, for example, contacted for clarifications and corrections unless necessary for assessing the eligibility of the application.

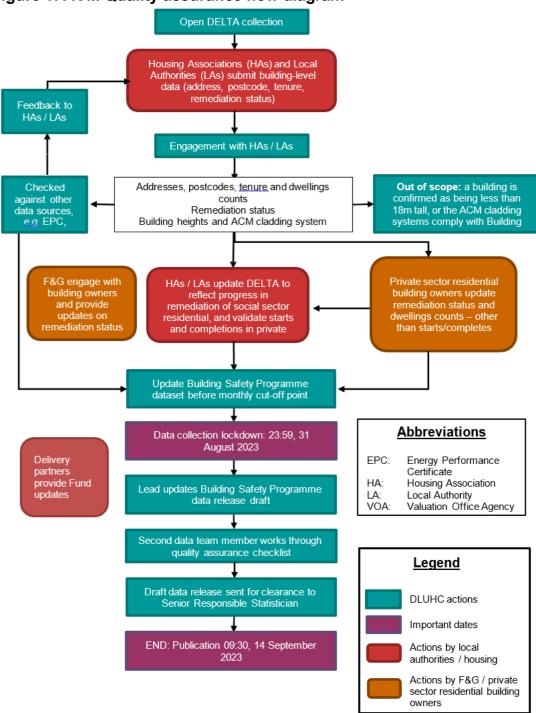
In June and July 2020 responsible entities provided registration information to DLUHC through a Survey Monkey Form, registering interest in applying for funding through the Building Safety Fund. These buildings were assessed by DLUHC for eligibility for funding. If eligible these buildings were handed to the relevant delivery partner (Homes England or Greater London Authority) to engage with responsible entities, data is collected on remediation progress and reported to DLUHC.

In March 2022 enforcement data began to be collected by DLUHC from and local authorities via the DELTA data collection platform. The data is continually updated via DELTA submissions and engagement with housing associations and local authorities to reflect progress on the ground.

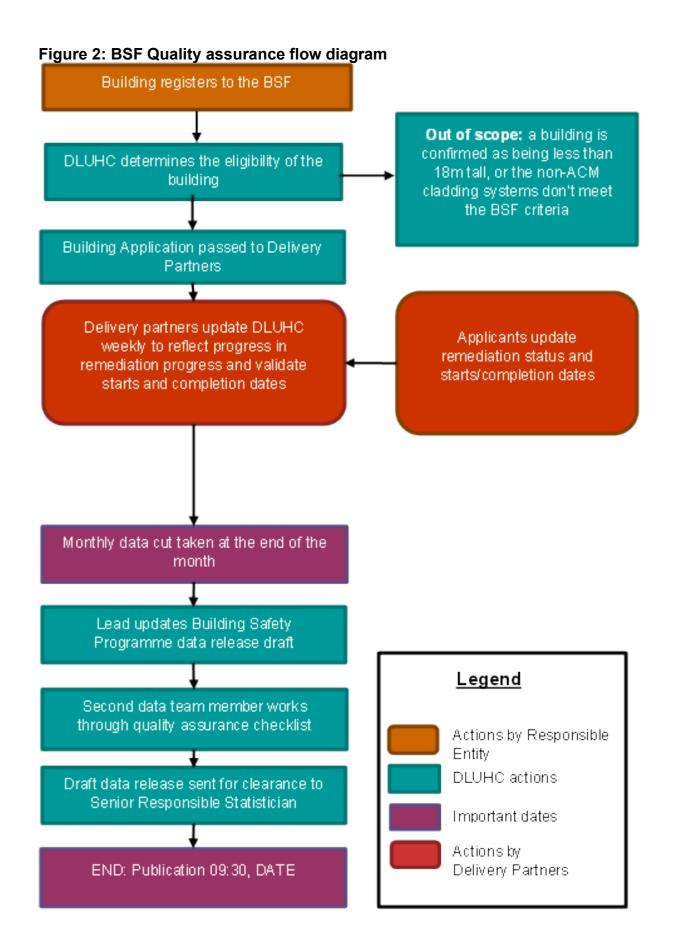
### Communication with data supply partners

DLUHC asks for updates to building-level data, especially remediation status, throughout each month and requests that housing associations and local authorities submit important updates before the data cut-off point (the last day of each month). Building owners, managers and agents report the remediation status of a building periodically. Faithful and Gould (F&G) provide regular updates on remediation progress, with all changes throughout the month reported to DLUHC at the end of the month. Delivery partners for the Social Sector ACM Remediation Fund, the Private Sector ACM Remediation Fund and BSF Remediation Fund also provide updates to DLUHC, with weekly updates on the latter.

There is a risk that some housing associations and local authorities have provided postcodes that are no longer in use. If there are any differences between the data supplier's responses and other data sources, DLUHC reconciles any differences and improves the data quality.



#### Figure 1: ACM Quality assurance flow diagram



### QA principles, standards and checks by data suppliers

For ACM housing associations and local authorities update DELTA to reflect progress in remediation and these updates are included in the dataset. Starts and completions for private sector residential buildings are confirmed by local authorities before it is updated in the dataset, and subsequently, the data release.

Completions are confirmed with a sign-off certificate from the relevant Building Control body, where necessary. Local authorities also confirm if a building is under 18 metres or the ACM cladding systems comply with Building Regulations, either of which would result in a building falling out of scope of this data collection and reporting.

For BSF Homes England, Greater London Authority and DLUHC Social Sector team provide updates to DLUHC to reflect progress in remediation and these updates are included in the dataset. Starts and completions are confirmed by applicants via Delivery Partner Caseworkers before it is updated in the dataset, and subsequently, the data release. Completions are confirmed with a sign-off certificate from the relevant Building Control body, where necessary

### Produces' QA investigation and documentation

When reviewing the dataset using updates from engagement or the DELTA collection system, a conflict checker is used to ensure there are no inconsistencies with previous data releases. DLUHC quality assures the building-level data (i.e. addresses/postcodes, tenure, dwellings, and remediation status) using other data sources such as the Energy Performance Certificates (EPC) database and Valuation Office Agency (VOA) data.

DLUHC have collected data on the number of dwellings from responsible stakeholders and where a response was not given, the Valuation Office Agency data is used. The VOA data is also used to validate the responses from responsible stakeholders with respect to the number of dwellings, as well as addresses and postcodes. In this Data Release there are two yet to be remediated private sector buildings for which we do not have an estimate of dwellings.

For some buildings there is a mismatch between the responses DLUHC received and the VOA data. Where we have data from both sources, the degree of match for private sector buildings is 42% (with 75 exact matches among the 179 buildings with data from both sources) and it is 76% for social sector buildings (111 of the 147 buildings). This results in a range of estimates for the numbers of dwellings in these sectors for both remediated and yet to be remediated buildings. Where the range is up to and including 300 dwellings, it is reported as a combined number. Otherwise, as in the case of private sector buildings – both remediated and yet to be remediated – we report the range. Where we do not yet have an estimate of dwellings, these are not included in calculating the range. For both

sectors, where buildings are known to have vacated their residents, the dwellings estimates include the dwellings in these buildings unless stated otherwise. The rounded mid-point of the range is used for an approximate estimate of leasehold dwellings that will receive support through the ACM funds.

The VOA data was determined to be a suitable source to validate the number of dwellings based on quality assessment by the VOA and <u>ONS</u> as part of work on the 2021 Census. Every residential dwelling that is liable for Council tax has a record with the VOA, so the data has good coverage and accuracy. However, there is a slight degree of incompleteness. Property attribute data are only updated when it is brought to the attention of the VOA that a record may be inaccurate. Reliance is placed upon local authorities to flag any changes to the VOA (such as new builds, demolitions, or alterations). As a result, there are some records in the list that are not updated as regularly as others, and the time lag associated with these updates is unclear.

Once the data collection is locked down, two members of the Building Safety Programme data team begin working on updating the monthly data release. One takes the role as the lead producer and the other takes the role of quality assuring the data.

The lead statistician updates the data release, the second data team member then goes through the draft, quality assures the data and the accompanying published data tables. Simultaneously, a Building Safety Programme team member external to the data team reviews and quality assures the release.

A quality assurance checklist, which evolves over time, is used by the second data team member to work through the draft once it is complete. The quality assurance checklist includes:

- 1. ensuring totals in the tables and figures align with the disaggregated data,
- 2. ensuring published figures across the data release are consistent,
- 3. checking for inconsistencies compared to any previous data releases,
- 4. ensuring the data release map is accurate,
- 5. performing checks related to disclosure so that any ACM clad buildings are not identified in areas with fewer than ten high-rise buildings, and
- 6. making sure all changes in the data are plausible.

Once the data team are content that the items on the checklist have been scrutinised and ticked off, the provisional Data Release is sent to senior staff for approval. Once approved by senior staff, the Data Release is prepared for publication.

# Definitions

**Building control:** A statutory process of assessing plans for building work and building work on site to decide whether the plans and work comply with the requirements in Building Regulations.

**Building Inspections:** an inspection performed under the Housing Act 2004's Housing Health and Safety Rating System by a building inspector usually an environmental health officer of a local authority or by the fire rescue service.

**Building Safety Fund (BSF) 2020:** The fund for remediation of unsafe non-ACM cladding systems on residential buildings 18 metres and over in both the private and social housing sectors that opened was open in June and July 2020.

**BS8414:** BS8414 is a British standard test method that measures the fire performance of external cladding systems.

**Care Quality Commission (CQC)**: The Care Quality Commission (CQC) is an executive non-departmental public body, sponsored by the Department of Health and Social Care. It regulates all health and social care services in England.

**Category 1 rating:** where a risk is deemed to be category 1, a local authority has a duty to take enforcement action.

**Category 2 rating:** where a risk is deemed to be category 2, an authority has the power to take action.

Crown building: a building in which there is a Crown interest or a Duchy interest

**Dwelling:** A self-contained unit of accommodation which may comprise one or more household spaces (a household space is the accommodation used or available for use by an individual household). This definition of a dwelling is consistent with the Census 2011.

**Energy Performance Certificates (EPC) data:** The <u>EPC database</u> is open data that records the energy performance of dwellings as well as other dwelling-level variables such as floor level, tenure, and street address/postcode.

**Enforcement Action:** is where an inspection under the Housing Act 2004's Housing Health and Safety Rating System has been organised/undertaken and includes where a statutory notice has also then been served.

**Houses in Multiple Occupation (HMO):** A building, or part of a building, consisting of one or more units of living accommodation that is not a self-contained flat or flats. The living accommodation must be occupied by more than one household who share one or more of the basic amenities (toilet, washing facilities and cooking facilities). This definition of HMO is consistent with the standard test. As defined by local authorities in the data provide by the Ordnance Survey ®.

**Housing Health and Safety Rating System (HHSRS):** is a risk-based assessment evaluation tool to help local authorities identify and protect against potential risks and hazards to health and safety from any deficiencies identified in residential premises. Each hazard has a weighting which will help determine whether the building is rated as having risks which are either category 1 or category 2.

**Joint Inspection Team (JIT):** was set up by the department, and is hosted by the Local Government Association, to provide expert advice to local authorities on enforcement on buildings with unsafe cladding.

**Litigation action:** Legal proceedings to recover costs from those responsible for installing the unsafe cladding.

**Ordnance Survey** (**OS**): Ordnance Survey (**OS**) is Great Britain's national mapping agency. It carries out the official surveying of Great Britain, providing accurate and up-to-date geographic data.

**Private sector residential building:** A building is classified as a private sector residential building if the freeholder is a private company and contains at least one dwelling occupied as private residential accommodation. Private sector residential buildings exclude hotels and student accommodation.

**Publicly owned buildings:** A publicly owned building is one that is owned by a public authority, a government department or an arms-length body.

**RelHMax:** RelHMax is a measurement from the base of a building to the top of the tallest roof structure (e.g. a chimney stack).

**ReIH2:** ReIH2 is a measurement from the base of a building to the tallest eave height.

**Residential Education:** A building is classified as a residential education if the tenancy specifically states that it must be let exclusively for the purposes of boarding school accommodation and halls of residence, as defined by local authorities in the data provide by the Ordnance Survey ®.

**Sheltered accommodation:** Buildings are classified as sheltered accommodation if made available exclusively to the elderly, disabled and vulnerable people, as defined by local authorities in the data provide by the Ordnance Survey ®.

**Social sector residential building:** A building is classified as a social sector residential building if the freeholder is a registered social landlord and there is at least one social tenant living in the building.

**Statutory Notices:** a formal notice served by an authority under the Housing Act (2004) in response to a category 1 or category 2 hazard.

**Student accommodation:** Buildings are classified as student accommodation if the tenancy specifically states that it must be let exclusively to students.

**Topographic Identifier (TOID):** A topographic identifier is a unique and persistent identifier used to uniquely identify real world objects by the Ordnance Survey ®.

**Valuation Office Agency (VOA) data:** The <u>Valuation Office Agency</u> (VOA) is responsible for banding properties for Council Tax and it is a statutory requirement of the VOA to maintain accurate valuation lists for Council Tax. The <u>VOA's Property Details dataset</u> contains information on the main features and attributes of a property including the address/postcode and number of dwellings.

**Unique Property Reference Number (UPRN):** The UPRN is a unique identifier available for each address recorded within the AddressBase ® data.

# **Revisions policy**

This policy has been developed in accordance with the UK Statistics Authority's Code of Practice for Statistics and the Department for Levelling Up, Housing and Communities Revisions Policy and can be found at

https://www.gov.uk/government/publications/statistical-notice-dclg-revisions-policy

It covers two types of revisions that the policy covers, as follow:

## Non-Scheduled Revisions

Where a substantial error has occurred as a result of the compilation, imputation or dissemination process, the statistical release, live tables and other accompanying releases will be updated with a correction notice as soon as is practical.

### **Scheduled Revisions**

Where new information becomes available post publication, this is incorporated into the next scheduled Data Release, data tables and any other accompanying documents.

## Other information

### Uses of the data

The data in this release is used to help the Building Safety Programme make buildings safe and to make people feel safe from the risk of fire, now and in the future. Ministers and officials in the Department for Levelling Up, Housing and Communities use this information to understand progress with the remediation of buildings with ACM cladding systems unlikely to meet Building Regulations and to consider possible policy responses.

### User engagement

Users are encouraged to provide feedback on how these statistics are used and how well they meet user needs. Comments on any issues relating to this statistical release are welcomed and encouraged. Responses should be addressed to BuildingSafetyData2@levellingup.gov.uk

The Department's engagement strategy to meet the needs of statistics users is published here: <u>https://www.gov.uk/government/publications/engagement-strategy-to-meet-the-needs-of-statistics-users</u>

## Voluntary compliance with the Code of Practice for Statistics

<u>The Code of Practice for Statistics</u> was published in February 2018 to set standards for organisations in producing and publishing official statistics and ensure that statistics serve the public good.

Whilst the statistics in DLUHC's Building Safety Programme Data Release have been designated as management information rather than 'official statistics', the principles of transparency of high-quality analytical outputs to inform decision making and the public underpin this data release. In October 2020, the Building Safety Programme Data Release was awarded the Office for Statistics Regulation's (OSR) <u>first Voluntary Application of the</u>

Code Award.

Code Award.	
Trustworthiness:	Honesty and integrity (T1): The Building Safety Programme Data Release is
trusted people, processes and analysis	managed by professional analysts in DLUHC – this involves design of data collection tools, checking of provided data, and analysis. Waking Watch Relief Fund analysis is overseen and quality assured by professionally qualified analysts. Building Safety Fund analysis is overseen and quality assured by professionally qualified analysts. All other work is undertaken by professionally qualified and experienced data analysts - professional members of the Government Statistical Service, Government Operational Research Service or Government Social Research profession, where all staff have Personal Development Plans focussed on their long-term professional development ( <b>Professional capability –</b> T5).
	<b>Independent decision making and leadership</b> (T2): The work is governed by the Analysis and Data Directorate in DLUHC, accountable to DLUHC's Chief Analyst and the Head of Profession for Statistics.
	<b>Orderly release</b> (T3): DLUHC pre-announces the publication date for this data release. As part of our continuous improvement, the data cut-off date for data releases aligns to the end of the calendar month or for BSF the last appropriate Thursday.
	<b>Transparent processes and management</b> (T4): DLUHC has robust, transparent, data-management processes.
	All ACM remediation data are provided by local authorities, housing associations, building owners / developers / managing agents, the DHSC, DfE and the BRE. Responsibility for the data lies with the data provider - as such only data either provided by BRE following testing or data verified by local authorities, housing associations, the DHSC or DfE are published.
	All BSF remediation data are provided by building owners/developers/managing agents along with delivery partners (GLA, HE and Social Sector). Responsibility for the data lies with the data provider – as such only data provided by delivery partners is published.
	<b>Data Governance</b> (T6): DLUHC uses robust data collection and release processes to ensure data confidentiality. For data on ACM remediation <u>a published privacy notice</u> clearly sets out why data are collected, data sharing, and the legal basis for processing data. For Waking Watch Relief Fund data the <u>DLUHC personal</u> <u>information charter and privacy notice</u> clearly sets out to WWRF applicants how and why DLUHC use personal information and their rights and responsibilities. This is consistent with the General Data Protection Regulation.
	For data on BSF remediation <u>a published privacy notice</u> clearly sets out why data are collected, data sharing and the legal basis for processing data.
High quality: robust data,	<b>Suitable data sources</b> (Q1): Data originates from a number of sources outside the control of DLUHC: local authorities, local Fire and Rescue Services, housing associations, building owners / developers / managing agents, DHSC, DfE, and BRE.

Data are triangulated, where possible, and data are always verified by these bodies – who are ultimately responsible for the quality of their data. Where the quality of data is unclear, it is either not published or quality issues are highlighted.
All data collections which have contributed to the analysis of buildings between 11 metres and 18 metres and buildings at least seven storeys but under 18 metres tall have been provided by the Ordnance Survey ® (OS ®) and Energy Performance Certificates (EPC). Responsibility for the data lies with the data provider.
<b>Sound methods</b> (Q2): Data collection tools and processes are robustly designed and tested prior to use, learning lessons from previous Building Safety Programme data collections and best practice from across the government analytical community.
Assured Quality (Q3): All data are quality-assured prior to publication.
As the quality of data improves, it is our intention to publish further data on the safety of high-rise and complex buildings. Information to date on data improvements and new content added to the data release is outlined in the Data Collection section of the Technical Note.
For transparency, we published the Building Safety data tables for the first time in the November 2018 data release.
A revisions policy is in place to ensure that any revisions are addressed quickly and systematically.
<b>Relevance to users</b> (V1): The nature of building safety means this data release is of high value to the public, to residents of high-rise buildings and building owners/developers. However, the data release balances disclosure control (risks of disclosing individual buildings) with informing the public and keeping people safe.
Periodically, new data are added to the data release once we are content with the quality. Data are also removed if they do not add to understanding of building safety or are superseded by other data in the data release. Where a proposal is made to remove data, this is flagged in the data release with a period of one month given for users to express an interest. User views are then taken into account before final decisions are taken on removing data.
<b>Accessibility</b> (V2): Given the immediate nature of building-safety issues, and the need to develop interim solutions and longer-term remediation, data are shared with Fire and Rescue Services and Local Authorities once DLUHC are aware of issues.
Officials and Ministers also use the data prior to publication to monitor progress and develop timely interventions. This enables immediate action to be taken. Therefore, the data may be used for operational purposes before publication in this data release.
To assist with public accessibility the data tables underpinning this data release are published as HTML, as well as being available as .csv files. Since the November 2020 release, the data release and Technical Note have been published using an improved accessible template.
<b>Clarity and Insight</b> (V3): Complex data are clearly explained in the data release and accompanying Technical Note. Where insight and interpretation are offered, these have been verified with local authorities, BRE and other knowledgeable bodies.
<b>Innovation and improvement</b> (V4): This data release series started in December 2017. As the quality of data improves, it is our intention to publish further data on the safety of high-rise and complex buildings. Information to date on data improvements and new content added to the data release is outlined in the Data Collection section of the Technical Note.
<b>Efficiency and proportionality</b> (V5): Burdens on data providers have been considered, and DLUHC has worked to minimise the burden. Given the nature of building safety, DLUHC feels the current burden on data providers is appropriate.
Given issues of public safety, only aggregate level data are published. Hence, further analysis of primary data is not possible.