



HM Prison &
Probation Service



Action Plan Submitted: 04 August 2022

Updated Action Plan Submitted: 11 August 2023

[A Response to: Twenty years on, is MAPPA achieving its objectives? A joint thematic inspection of Multi-Agency Public Protection Arrangements](#)

Report Published: 14 July 2022

INTRODUCTION

HM Inspectorate of Prisons (HMIP) and HM Inspectorate of Probation for England and Wales are independent inspectorates which provide scrutiny of the conditions for, and treatment of prisoners and offenders. They report their findings for prisons, Young Offender Institutions, and effectiveness of the work of probation, and youth offending services across England and Wales to Ministry of Justice (MoJ) and His Majesty's Prison and Probation Service (HMPPS). In response to the report HMPPS / MoJ are required to draft a robust and timely action plan to address the recommendations. The action plan confirms whether recommendations are agreed, partly agreed, or not agreed (see categorisations below). Where a recommendation is agreed or partly agreed, the action plans provide specific steps and actions to address these. Actions are clear, measurable, achievable, and relevant with the owner and timescale of each step clearly identified. Action plans are sent to HMIP and published on the GOV.UK website. Progress against the implementation and delivery of the action plans will also be monitored and reported on.

Term	Definition	Additional comment
Agreed	All of the recommendation is agreed with, can be achieved and is affordable.	The response should clearly explain how the recommendation will be achieved along with timescales. Actions should be as SMART (Specific, Measurable, Achievable, Realistic and Time-bound) as possible. Actions should be specific enough to be tracked for progress.
Partly Agreed	Only part of the recommendation is agreed with, is achievable, affordable and will be implemented. This might be because we cannot implement the whole recommendation because of commissioning, policy, operational or affordability reasons.	The response must state clearly which part of the recommendation will be implemented along with SMART actions and tracked for progress. There must be an explanation of why we cannot fully agree the recommendation - this must state clearly whether this is due to commissioning, policy, operational or affordability reasons.
Not Agreed	The recommendation is not agreed and will not be implemented. This might be because of commissioning, policy, operational or affordability reasons.	The response must clearly state the reasons why we have chosen this option. There must be an explanation of why we cannot agree the recommendation - this must state clearly whether this is due to commissioning, policy, operational or affordability reasons.



ACTION PLAN: Twenty years on, is MAPPA achieving its objectives? A joint thematic inspection of Multi-Agency Public Protection Arrangements.

1. Rec No	2. Recommendation	3. Agreed/ Partly Agreed/ Not Agreed	4. Response Action Taken/Planned	5. Responsible Owner	6. Target Date
	Key concerns and recommendations to be addressed within twelve months				
	His Majesty's Prison and Probation Service Public Protection Group should:				
1	Amend guidance to require that all MAPPA nominals have a record created in the nominated shared multi-agency database (currently ViSOR, being replaced by MAPPS, multi-agency public protection system).	Agreed	<p>The National MAPPA Team will publish a revised ViSOR Policy Framework with the expectation HMPPS will create a ViSOR record for all MAPPA nominal cases. In the longer term this will be built into the design and development of MAPPS (Multi-Agency Public Protection System).</p> <p>The National MAPPA Team will review and update the ViSOR chapter in the MAPPA Guidance to reflect the requirement for all MAPPA nominals to have a record in ViSOR.</p>	Deputy Director, Public Protection Group	<p>October 2022</p> <p>March 2023</p>
<p><u>Progress against commitments (August 2023)</u></p>					
			The revised ViSOR Policy Framework was published in January 2023, requiring a ViSOR record to be created for all MAPPA nominal cases once sufficient staff in	Deputy Director, Public	Complete



			<p>each MAPPA area have completed the necessary vetting and training (see <i>recommendation 13</i>). A promotional video summarising the ViSOR Policy Framework was launched via two live all staff events and attended by over 1100 participants. The recording has been made available to all staff which is available for future training purposes and remains accessible on the MAPPA website.</p> <p>The ViSOR Chapter within the MAPPA Guidance has been reviewed and updated and subject to ministerial approval is scheduled to be published by September 2023 to coincide with planned updates to other parts of the Guidance.</p>	Protection Group	September 2023
2	Review the MAPPA meeting agenda aide-memoire to include a prompt to hear contributions from the individual who is the subject of the MAPPA meeting.	Agreed	<p>In May 2022 the MAPPA Meetings chapter of the MAPPA Guidance was updated to require the views of the individual being managed to be actively sought and fed into the meeting. This was supported by a revised MAPPA Agenda/Chair's Aide Memoire providing a further prompt for representations from the individual concerned to be heard and recorded.</p>	Deputy Director, Public Protection Group	Complete
			<p><u>Progress against commitments (August 2023)</u></p> <p>A recent survey undertaken with MAPPA Co-ordinators showed the majority of those who responded are using the MAPPA Agenda/Chair's Aide Memoire and the revised document set.</p>		
3	Collate audit and quality assurance information from strategic management boards and provide national analysis reports to highlight inconsistency and	Agreed	<p>The National MAPPA Team will review and refresh the current Quality Assurance tool in consultation with local Strategic Management Boards (SMBs) to ensure that it continues to drive effective MAPPA practice.</p>	Deputy Director, Public Protection Group	March 2023
			<p>The National MAPPA Team will consult with SMBs on how to best report audit and quality assurance activity centrally and consider ways to share trends, encouraging learning and sharing of good practice.</p>		March 2023



promote more consistent level setting.	<p>The National MAPPA Team will revise and refresh the recently published Thresholding document, to promote improved consistency in MAPPA Level setting.</p>		October 2022
	<p>The National MAPPA Team will update the MAPPA Levels chapter of the MAPPA Guidance, taking account of recent policy developments (<i>see recommendation 11</i>).</p>		December 2022
	<p><u>Progress against commitments (August 2023)</u></p>		
	<p>Following consultation with SMBs the Quality Assurance Tool was reviewed and a revised Quality Improvement tool published on the MAPPA Website to support SMBs to record information and inform local activity. The National MAPPA Team will analyse this data to feed back to SMBs and inform future national business planning</p> <p>The National MAPPA team updated the Thresholding document to incorporate the new Category 4 and strengthen Domestic Abuse (DA) information to improve consistency of MAPPA Level setting. The revised Quality Improvement Tool and new Self-Assessment Tool, which are both available on the MAPPA website, enable SMBs to undertake quality assurance work which includes MAPPA Level setting. SMBs have been asked to provide their first self-assessment, which will be informed by local Quality Assurance activity by October 2023 to coincide with the next round of national business planning.</p> <p>The Levels chapter of the MAPPA Guidance was revised and published in March 2023.</p>	Deputy Director, Public Protection Group	Complete
			Complete
			Complete



	The MAPPA Responsible Authority National Steering Group should:				
4	Develop a self-assessment that strategic management boards can use to review their arrangements to promote consistency and best practice, and ensure that sufficient resources are available to carry out necessary MAPPA work.	Agreed	<p>The National MAPPA Team in consultation with SMBs, will develop a self-assessment tool which can be used to ascertain the effectiveness of the local approach to delivering MAPPA.</p> <p>The National MAPPA Team in consultation with SMBs, will collate data, recording local practice to shape the future guidance and work of the National MAPPA Team. Briefings will be held with SMB Chairs and MAPPA Coordinators to share themes and good practice.</p>	Deputy Director, Public Protection Group / Chief Constable, National Police Chiefs Councils (NPCC) Lead	<p>March 2023</p> <p>March 2023</p>
<u>Progress against commitments (August 2023)</u>					
			<p>The new Self-Assessment Tool, which was published in April 2023 on the MAPPA Website, will now be used locally to assess effectiveness, and inform SMBs' considerations regarding resources and inform any changes that may be required to the statutory MAPPA Guidance.</p> <p>The revised Quality Improvement Tool and new Self-Assessment Tool enable SMBs to record, assess and share data to inform oversight of the arrangements. The National MAPPA Team will use the data to inform any national action that may be required to support SMBs. SMBs have been asked to provide their first return by October 2023.</p>	Deputy Director, Public Protection Group / Chief Constable, National Police Chiefs Councils (NPCC) Lead	<p>Complete</p> <p>Complete</p>



5	Review the MAPPA guidance to extend the range of permitted rank of police SMB chairs.	Agreed	As part of a wider review of the statutory guidance in relation to SMBs the National MAPPA Team will amend the MAPPA Guidance to reflect this recommendation, whilst ensuring that SMB Chairs have sufficient authority from an executive level within the Police force to ensure effective leadership and organisational engagement with MAPPA.	Deputy Director, Public Protection Group / Chief Constable, NPCC Lead	July 2023
			<p><u>Progress against commitments (August 2023)</u></p> <p>The MAPPA Guidance has been reviewed and updated and subject to ministerial approval is now scheduled to be published by September 2023 to coincide with planned updates to other parts of the Guidance.</p>		
	Strategic Management Boards should:		<p>As SMBs are independent it is not possible to fully accept recommendations on their behalf. SMBs will be asked to produce their own action plans by November 2022 and report on progress to the Responsible Authority National Steering Group (RANSNG) by March 2023. The National MAPPA Team will undertake the following activities to support the implementation of these recommendations.</p> <p><i>Of the SMBs who reported the majority accepted the recommendations and are actively taking steps to implement them.</i></p>		
6	Convene task-and-finish groups to review the resources available for MAPPA in their area to ensure sufficient staffing is available to	Partly Agreed	The National MAPPA Team will devise a self-assessment tool (see <i>recommendation 4</i>) to enable SMBs to establish their current baseline, which in turn, will help them to develop any required improvements.	Deputy Director, Public Protection Group / Chief Constable, NPCC Lead	March 2023



	screen referrals, plan and chair meetings, and deliver accurate meeting minutes promptly.		<u>Progress against commitments (August 2023)</u>		
			The new Self-Assessment Tool incorporates questions on whether SMBs assess whether individuals are referred at the right MAPPA Level and that there are sufficient resources to deliver effective MAPPA within their area. SMBs have been asked to provide their first return by October 2023.	Deputy Director, Public Protection Group / Chief Constable, NPCC Lead	Complete
7	Analyse local referral data at least twice yearly to ensure that all appropriate cases are referred into MAPPA, and that there is a focus on diversity and disproportionality within the MAPPA cohort.	Partly Agreed	The National MAPPA Team will update the Quality Assurance Tool to support local SMBs in conducting regular audits and will explore ways of sharing good practice and opportunities for further learning.	Deputy Director, Public Protection Group / Chief Constable, NPCC Lead	March 2023
			<u>Progress against commitments (August 2023)</u>		
			The Quality Improvement Tool has been reviewed to support SMBs to record and collate information and inform local activity. There is now a specific section in the tool with questions about equality and diversity. The National MAPPA Team will scrutinise this data to inform future national business planning. The next round of business planning begins in October 2023, to begin implementation in April 2024.	Deputy Director, Public Protection Group / Chief Constable, NPCC Lead	Complete



8	Ensure that screening practice in their area accepts that multi-agency oversight of complex cases is a valid and necessary reason for cases to be adopted at Levels 2 and 3.	Partly Agreed	<p>The National MAPPA Team has updated the referral document and guidance to include senior oversight as a valid reason for management at Level 2/3.</p> <p>The National MAPPA Team will revise the Levels of Management chapter of the MAPPA Guidance to align with the above document set.</p> <p>The National MAPPA Team will hold briefings with SMB Chairs and MAPPA Co-ordinators to embed this revised guidance.</p>	Deputy Director, Public Protection Group / Chief Constable, NPCC Lead	<p>Complete</p> <p>December 2022</p> <p>March 2023</p>
<u>Progress against commitments (August 2023)</u>					
			<p>Following additional consultation with HMI Probation and agreement of changes to the wording, the Levels chapter of the MAPPA Guidance was revised and published in March 2023 and includes revisions to the referral document and clarity that oversight of complex cases is a valid and necessary reason for cases to be adopted at Levels 2/3. The majority of MAPPA Co-ordinators who responded to a recent survey reported to using the new referral document and guidance.</p> <p>The National MAPPA Team held two events to introduce the revised MAPPA Guidance and document set. Future revisions of the MAPPA Guidance and document set will be publicised at regular SMB and MAPPA Co-ordinator meetings.</p>	Deputy Director, Public Protection Group / Chief Constable, NPCC Lead	<p>Complete</p> <p>Complete</p>
9	Implement a standing panel for MAPPA Level 2 and 3 meetings with, as a minimum, appropriate representation from responsible authority	Partly Agreed	In May 2022 the MAPPA Meetings chapter of the MAPPA Guidance was strengthened to better encourage the use of a standing membership from key agencies for Level 2/3 meetings, identifying this process as good practice.	Deputy Director, Public Protection Group / Chief Constable, NPCC Lead	Complete



	agencies, children's social care services, mental health services and local authority housing services to ensure there is appropriate knowledge and expertise at each meeting to support risk management.		<p><u>Progress against commitments (August 2023)</u></p> <p>In a recent survey conducted by the National MAPPA Team, the majority of SMBs who responded reported adopting a standing panel approach for MAPPA Level 2/3 meetings.</p>	Deputy Director, Public Protection Group / Chief Constable, NPCC Lead	Complete
10	Provide twice yearly quality assurance and audit of MAPPA cases, including Level 1 cases and rejected referrals to Level 2 and 3, to drive good practice, ensure that all appropriate cases are referred, and develop the skills of MAPPA chairs.	Partly Agreed	<p>The National MAPPA Team will update the Quality Assurance Tool to support local SMBs in conducting regular audits and will explore ways of sharing good practice and opportunities for further learning.</p> <p>The National MAPPA Team will review the MAPPA Q to make it a more robust screening tool for Level 1 cases.</p>	Deputy Director, Public Protection Group / Chief Constable, NPCC Lead	<p>August 2023</p> <p>March 2023</p>
			<p><u>Progress against commitments (August 2023)</u></p> <p>The revised Quality Improvement Tool and new Self-Assessment Tool are now hosted on the MAPPA website where SMBs and the National MAPPA Team record, share and collate data to inform future local and national delivery. The majority of SMBs have accepted the recommendation and are actively implementing the quality assurance and audit activity using the new tools.</p> <p>The National MAPPA Team have reviewed the MAPPA Q and are in the process of developing a revised document. Consultation has taken place and a further exercise is underway with forensic services who have expressed an interest in using the form which is scheduled to be published in October 2023.</p>	Deputy Director, Public Protection Group / Chief Constable, NPCC Lead	<p>Complete</p> <p>October 2023</p>



	The Probation Service				
11	MAPPA level setting for custody and community cases is timely, taking into consideration the earliest possible date of release and any temporary releases, and be fully informed by information from all relevant agencies in all cases.	Partly Agreed	<p>This recommendation is partly agreed for policy and operational reasons due to the inclusion of temporary release. MAPPA Level setting is focused on the management of the individual post release. The MAPPA Guidance requires the MAPPA Level to be set at least six months prior to release but does not require the MAPPA Level to be set as part of the process for agreeing Release on Temporary Licence (ROTL).</p> <p>However, in May 2022 the Custody chapter of the MAPPA Guidance was updated to outline that the MAPPA Level setting process may need to start earlier in certain cases due to the complexity and seriousness of the case, including those who are allocated early to the Community Offender Manager (COM) under the Early Allocation Process. Furthermore, the ROTL assessment process requires the home probation area to comment on ROTL applications for all MAPPA Nominals, and to consult police and victim liaison officers when doing so. The Offender Management in Custody (OMiC) team revised and shared operational guidance via the Senior Leaders Bulletin in July 2022 outlining these changes to both prison and probation staff.</p> <p>The National MAPPA Team has updated the HMPPS practice guidance via the Excellence and Quality in Process (EQuIP) online system to streamline the level setting processes, stating clear timescales.</p> <p>The Effective Practice and Service Improvement Group (EPSIG) will work with the National MAPPA Team to promote and embed the <u>MAPPA Level 1 Policy Framework</u> to ensure that probation practitioners are clear about what is expected in relation to timely level setting drawing on information from other agencies. Regional Probation Directors will ensure their local quality assurance approach supports compliance with MAPPA Level setting in accordance with guidance and practice.</p>	<p>Deputy Director, Public Protection Group / Senior Responsible Owner, OMiC</p> <p>Deputy Director, Public Protection Group</p> <p>Deputy Director, Public Protection Group / Deputy Director, EPSIG Regional Probation</p>	<p>Complete</p> <p>Complete</p> <p>December 2022</p>



			<p>The Operational and System Assurance Group (OSAG) will assure the accuracy and recording of MAPPA Level setting through the annual Sentence Management assurance programme.</p>	<p>Director with Performance and Quality Lead</p> <p>Deputy Director, OSAG</p>	<p>Commence September 2022</p>
<p><u>Progress against commitments (August 2023)</u></p>					
			<p>Over 1100 participants attended two live events to launch a promotional video summarising the Level 1 Policy Framework. The recording is available to all staff for future training purposes and remains accessible on the MAPPA website for individuals to access.</p>	<p>Deputy Director, Public Protection Group / Deputy Director, EPSIG</p>	<p>Complete</p>
			<p>Probation Regions use a range of assurance and oversight approaches to ensure compliance with MAPPA Level setting in accordance with the MAPPA Guidance and practice. These include the management oversight through the Touch Points Model (<i>subject to review – see recommendation 12</i>) and practitioner dashboard, governance boards to monitor compliance, MAPPA Co-ordinators providing additional oversight, and dip sample audits. The various approaches adopted in the Regions will continue to be reviewed and, in some areas, to be enhanced to ensure consistent compliance with MAPPA Guidance.</p>	<p>Regional Probation Director with Performance and Quality Lead</p>	<p>Complete - ongoing</p>
			<p>OSAG have now completed the annual Sentence Management audit, where the accuracy of MAPPA Level and Category is assessed. The findings indicate further work is needed to fully embed strengthened policy and guidance and improve consistency of practice in line with MAPPA Guidance. OSAG will continue to assure MAPPA Level and Category recording within the Sentence Management audit annually.</p>	<p>Deputy Director, OSAG</p>	<p>Complete - ongoing</p>



	The Probation Service and prisons				
12	All Level 1 cases have sufficient management oversight and there is an appropriate focus on information exchange with other agencies to inform risk assessment and review.	Agreed	<p>The MAPPA Level 1 Policy Framework sets expectations for the management oversight of Level 1 cases with a focus on the highest risk and most complex cases and linking in with other management oversight mechanisms. The Policy Framework requires probation practitioners to gather all necessary information from agencies to inform the initial level setting and future Level 1 reviews.</p> <p>EPSIG will work with the National MAPPA Team to promote and embed the MAPPA Level 1 Policy Framework.</p> <p>In May 2022 the Custody chapter of the MAPPA Guidance was updated to include how prisons should contribute to Level 1 MAPPA management.</p> <p>The Probation Workforce Programme have commissioned EPSIG to review the Touch Points Model (expectations of caseload management oversight for sentence management teams in the community). Feedback will be sought from strategic stakeholders including the National MAPPA Team. An options paper will be presented to the Probation Senior Leadership Team outlining any proposed changes to the model.</p> <p>Regional Probation Directors will ensure their local quality assurance approach supports compliance with the MAPPA Level 1 Policy Framework and the revised Touch Points Model.</p>	<p>Deputy Director, Public Protection Group / Deputy Director, EPSIG</p> <p>Deputy Director, Public Protection Group</p> <p>Deputy Director, EPSIG / Deputy Director, Probation Workforce Programme</p> <p>Regional Probation Director with Performance</p>	<p>December 2022</p> <p>Complete</p> <p>December 2022</p> <p>Commence January 2023</p>



			and Quality Lead	
<u>Progress against commitments (August 2023)</u>				
		Over 1100 participants attended two live events to launch a promotional video summarising the Level 1 Policy Framework. The recording is available to all staff for future training purposes and remains accessible on the MAPPA website for individuals to access.	Deputy Director, Public Protection Group / Deputy Director, EPSIG	Complete
		The majority of prisons have established a Public Protection Steering Group with an associated assurance tool which will improve senior oversight of activity to support information exchange such as attendance at MAPPA meetings and good quality MAPPA reports.	Deputy Director, Public Protection Group	Complete
		A formal review of the Touch Points Model was finalised in March 2023 and a report on findings and next step recommendations shared with the Probation Senior Leadership Team. Having agreed to the review findings and recommendations, formal scoping activity is now underway to ensure alignment with wider leadership development offer and to update the existing Touch Point Model.	Deputy Director, Probation Workforce Programme	November 2023
		Regions have different management oversight in place to ensure information exchange with other agencies to inform risk assessment in line with policy and practice expectations. These include the management oversight through the Touch Points Model (<i>subject to review</i>) and practitioner dashboard, governance boards to monitor compliance, and dip sample audits. The various approaches adopted in the Regions will continue to be reviewed and, in some areas, to be enhanced to ensure practice expectations are met.	Regional Probation Director with Performance and Quality Lead	Complete - ongoing



13	The ViSOR records for all MAPPA nominals are updated to provide a complete picture of all relevant risk information.	Agreed	<p>The National MAPPA Team will publish a revised ViSOR Policy Framework setting out clear expectations in relation to HMPPS' use of ViSOR.</p>	Deputy Director, Public Protection Group	October 2022
			<p>Over 13,500 Probation staff will be vetted, trained and provided access to ViSOR, to support ViSOR becoming a business as usual IT system for sentence management.</p>	Regional Probation Director with Learning and Development Lead	August 2023
			<p>The Probation Service have developed a ViSOR quality assurance tool. An application will be made to the Change Gateway to allow the agency, through Business, Strategy and Change Division, to decide the best time to test and deliver the ViSOR quality assurance tool.</p>	Head of Operational, Implementation & Support Group	September 2022
			<p>Through a dedicated project the Prison Service will improve the use of ViSOR across all establishments by:</p> <ul style="list-style-type: none"> Identifying a ViSOR Lead within every establishment who is appropriately vetted and trained. Vetting and training staff in line with the new ViSOR Policy Framework. 		June 2023
			<p>The ViSOR Lead in each establishment will provide monthly data returns to the Project Board to monitor local training, vetting and ViSOR use.</p>		June 2023
<u>Progress against commitments (August 2023)</u>					
			<p>The revised ViSOR Policy Framework was published in January 2023. A promotional video summarising the Visor Policy Framework was launched along with the MAPPA Level 1 Policy Framework at two live all staff events attended by over 1100 participants. The recording has been made available to all staff which is available for future training purposes and remains accessible on the MAPPA website.</p>	Deputy Director, Public Protection Group	Complete



			and the Central Point of Contact (CPC) for the Region. Performance is reported to Prison Group Directors, Governors, ViSOR Leads and CPCs.		
	The Probation Service, police forces, and prisons				



14	<p>Category 3 referrals are made to manage individuals who present a high risk of domestic abuse where formal multi-agency management and oversight through MAPPA would add value to the risk management plan.</p>	Agreed	<p>In December 2021 the Domestic Abuse (DA) and Stalking chapter of the MAPPA Guidance was updated to clarify a referral to MAPPA Category 3 should be considered for those who present a high risk of DA in instances where the individual is not already eligible for management under MAPPA. To further embed the guidance the National MAPPA Team will:</p> <ul style="list-style-type: none"> • review the Thresholding document to strengthen MAPPA practice. • produce a resource pack for SMBs with information on organisations with specialist knowledge on DA. • organise a MAPPA Improvement Group focusing on MAPPA and DA. • work with DA specialist organisations to strengthen the link between Multi-Agency Risk Assessment Conferences (MARAC) and MAPPA. <p>The <u>Domestic Abuse Policy Framework</u> sets out the requirement for staff to consider all high risk and serial DA perpetrators for MAPPA Level 2 or 3 management, under Category 3 management where necessary. An updated version of the Policy Framework will be promoted through the National Domestic Abuse Reference Group.</p> <p>The Public Protection Group have produced a Risk Management Plan Aide Memoire for DA which provides an accessible guide to assist practitioners highlighting the need to consider referrals to MAPPA Category 3 where an individual presents a high risk of DA. A new stalking Equip map encourages practitioners to utilise MAPPA Category 3 management where appropriate in high risk or serial stalking cases.</p>	Deputy Director, Public Protection Group / Chief Constable, NPCC Lead	<p>December 2022</p> <p>Complete</p> <p>Complete</p> <p>Commence – September 2022</p> <p>September 2022</p> <p>Complete</p>
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			<p>The national OMiC team revised the Early Allocation Guidance to encourage practitioners to consider referring prisoners who present a high DA risk as part of the Early Allocation Process. The revised guidance was shared to all Governors and Heads of Offender Management Delivery via the July 2022 Senior Leadership Bulletin.</p> <p>The National Domestic Abuse Reference Group, attended by the Heads of Public Protection for all Regions and Chaired by the Domestic Abuse Regional Probation Director Lead, will monitor numbers of Category 3 referrals for DA and stalking and report progress via Regional Probation Directors.</p> <p>OSAG will provide analysis of the management of Category 3 referrals that are DA cases through the annual Sentence Management assurance programme.</p>	<p>Senior Responsible Owner, OMiC Recovery Programme</p> <p>Regional Probation Director with Domestic Abuse / Performance and Quality Lead Deputy Director, OSAG</p>	<p>Complete</p> <p>Complete - Ongoing</p> <p>Commence September 2022</p>
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Progress against commitments (August 2023)			
	<p>The National MAPPA team updated the Thresholding document to incorporate the new Category 4 and strengthen DA information to improve consistency of MAPPA Level setting.</p>	<p>Deputy Director, Public Protection Group / Chief Constable, NPCC Lead</p>	<p>Complete</p>
	<p>A Resource Pack was published in October 2022 to provide SMBs with information on organisations with specialist knowledge on DA.</p>		<p>Complete</p>
	<p>A MAPPA Improvement Group with a specific focus on DA took place in November 2022.</p>		<p>Complete</p>
	<p>The MARAC Quick Guide for HMPPS staff was published on EQuIP in September 2022 and has been accessed by 1100 staff to date.</p>		<p>Complete</p>
	<p>The Domestic Abuse Policy Framework was published in October 2022, with accompanying promotional material (including a trailer and a 'walk through' video), promoted via the National Domestic Abuse Reference Group in October 2022.</p>		<p>Complete</p>
	<p>As reported by the Inspectorate within the recent A thematic inspection of work undertaken, and progress made, by the Probation Service to reduce the incidence of domestic abuse and protect victims, following the National MAPPA Teams consultation with MAPPA Co-ordinators, the majority indicated that they had seen an increase in Category 3 referrals since the guidance was updated. The new specific DA and stalking Chapter had also improved clarification of Category 3 use, namely that all automatic MAPPA cases where DA or stalking maybe a factor should be identified and considered for Level 2 management , and, secondly, that all other instances in which DA or stalking may be a factor should be considered for referral to MAPPA Category 3 by the lead agency, especially where an offence of coercive control has been committed or breach of a civil order (such as a restraining order) has taken place.</p>		<p>Complete</p>



			<p>The number of Category 3 managed nominals has increased for the third year in succession having risen by 35 per cent between 2017 and 2022 as reported in the MAPPA Annual Report 2022.</p> <p>The newly developed Probation Domestic Abuse Dashboard captures data on Category 3 referrals and management. The Dashboard will now be presented at each National Domestic Abuse Reference Group at which all Regions are represented to enhance the scrutiny and accountability of DA MAPPA Category 3 referrals and management or serial stalking cases.</p> <p>Category 3 DA cases are now assured as part of the annual Sentence Management audit. OSAG have also provided an analysis of all DA cases indicating the need for improvement in the risk assessment and management of this cohort of cases. These results have been shared with the Regional Probation Director Lead for DA and the Chief Probation Officer in the Sentence Management Senior Leaders pack.</p>	<p>Regional Probation Director with Domestic Abuse / Performance and Quality Lead</p> <p>Deputy Director, OSAG</p>	<p>Complete - ongoing</p> <p>Complete - ongoing</p>
15	There is a comprehensive training strategy for all staff involved in the MAPPA process that fully utilises existing training packages and makes sure they can enable staff in all roles to prepare for and present	Agreed	<p>The MAPPA e-learning package, accessible by staff across all three Responsible Authorities via the on online learning portal 'My Learning', is currently being reviewed. Practitioner feedback and development in policy and guidance, including engagement with other multi-agency forums, will be considered and amendments made to meet the needs of delegates.</p> <p>Following research and consultation by the National MAPPA Team a training strategy has been devised and funding agreed to provide learning resources which can be used locally. The commissioning process has commenced, with learning resources expected to be in place by March 2023.</p>	<p>Deputy Director, Probation Workforce Programme</p> <p>Deputy Director, Public Protection Group</p>	<p>October 2022</p> <p>March 2023</p>



	<p>or contribute to a case in a multi-agency forum and understand how MAPPA fits with other multi-agency forums, such as Integrated Offender Management and Multi-Agency Risk Assessment Conferences (MARACs).</p>		<p><u>Progress against commitments (August 2023)</u></p>		
			<p>The refreshed MAPPA e-learning package has been reviewed at three and six month periods, along with learner feedback, in liaison with subject matter experts both within the Probation Service and from external agencies. Following consultative forums and incorporating changes in policy and guidance the MAPPA e-learning package has been revised and is now accessible to all staff via the online learning portal 'MyLearning'. The learning package clearly covers other multi-agency forums and their relationship to MAPPA for example MARAC</p> <p>A comprehensive package of learning resources for MAPPA Chairs was launched in April 2023 with a planned twelve month follow up evaluation exercise.</p>	<p>Deputy Director, Probation Workforce Programme</p> <p>Deputy Director, Public Protection Group</p>	<p>Complete</p> <p>Complete</p>
	<p>Prisons should ensure that:</p>				
<p>16</p>	<p>The application of public protection processes in prison, including the monitoring of communications, should be robust to enable defensible decisions to be made about the management of prisoners using MAPPA.</p>	<p>Agreed</p>	<p>A Public Protection Assurance Toolkit has been launched and promoted by Prison Group Directors. Each establishment will be required to establish a Public Protection Steering Group which will be chaired by the Governor or Deputy Governor to oversee all areas of public protection policy, procedures and compliance.</p> <p>A new MAPPA F template was published in May 2022 to improve information sharing between prison and community. A launch event was held in June 2022 to promote the new template.</p> <p>The Custody chapter of the MAPPA Guidance has been revised and includes further guidance on the Interdepartmental Risk Management Meeting. Model Terms of Reference are now included in the Public Protection Assurance Toolkit.</p>	<p>Prison Group Director with Public Protection Lead</p> <p>Deputy Director, Public Protection Group</p> <p>Deputy Director, Public Protection Group</p>	<p>September 2022</p> <p>Complete</p> <p>Complete</p>



		<p>Prison attendance is now mandated for all pre-release MAPPA Level 2 or 3 meetings and will be monitored via a new MAPPA Key Performance Indicator.</p> <p>The new Authorised Communications Controls and Interception Policy Framework (ACCIPF) will subsume PSI 04/2016 – The Interception of Prisoner Communication and Security Measures. The ACCIPF will provide robust, streamlined instructions and content regarding the use of interception powers, and will ensure that monitoring and restriction activity is undertaken in a manner that is necessary, proportionate and compliant with legislation and policy. The review has addressed key themes that impact on the risk of non-compliance and the ability for establishments to manage operational and strategic needs within the staff resource currently available.</p>	<p>Prison Group Director with Public Protection Lead</p> <p>Deputy Director, Security Directorate</p>	<p>December 2022</p> <p>September 2022</p>
<u>Progress against commitments (August 2023)</u>				
		<p>The Prison Public Protection Policy Lead held a briefing with Governors in January 2023 to review the implementation of the Public Protection Steering Group. It has been positively received and most prisons now have a Public Protection Steering Group in place, with the majority Chaired by the Governor or Deputy Governor. This will support the implementation of public protection processes.</p> <p>A recent survey shared with MAPPA Co-ordinators showed the majority are now receiving contributions from prisons on the new MAPPA F template and report an improvement in the quality of information received from prisons because of the new template.</p> <p>Following the amendment of the Key Performance Indicator for measuring prison attendance at MAPPA Level 2/3 meetings the Probation Performance hub recorded a 93 per cent compliance rate.</p>	<p>Prison Group Director with Public Protection Lead</p> <p>Deputy Director, Public Protection Group</p> <p>Prison Group Director with</p>	<p>Complete</p> <p>Complete</p> <p>Complete</p>



			<p>The new ACCIPF Policy Framework was published in September 2022. The Prison Public Protection Lead in collaboration with the National Intelligence Unit held briefings with OMU managers and attended a MAPPA Improvement Group to launch the new Policy Framework.</p>	<p>Public Protection Lead</p> <p>Deputy Director, Security Directorate</p>	<p>Complete</p>
17	<p>The offender management unit should be fully resourced and trained to deliver effective MAPPA work in prisons.</p>	<p>Agreed</p>	<p>Whilst this recommendation is agreed, there are significant staffing pressures across HMPPS in this business year and projected into the next. However, there is an ambition to fully resource offender management units by the end of March 2024. National recruitment campaigns aimed to increase the number of prison officers and trainee probation officers (PQiPs) are underway to help to resolve this situation. In addition, alongside these recruitment campaigns, there are retention activities taking place.</p> <p>The OMiC programme will publish a workload management strategy to help support those establishments with critical staffing deficits. Collaboration will take place between Heads of Operations and their Heads of Probation Delivery Units with Governors; and through collaboration between Regional Probation Directors and Prison Group Directors via the Senior Leadership Forums, monitoring the staffing constraints.</p> <p>To support effective delivery of MAPPA work in prisons the national OMiC team shared the MAPPA e-learning package (<i>see Recommendation 15</i>) and publicised the MAPPA Website to all Governors and Heads of Offender Management Delivery via the July 2022 Senior Leadership Bulletin.</p> <p>As part of the Managing the Custodial Sentence Policy Framework review, Heads of Offender Management Delivery will be responsible for ensuring that Prison Offender Managers (POMs) are adequately trained in MAPPA.</p>	<p>Senior Responsible Owner, OMiC / Prison Group Director with OMiC Lead / Regional Probation Director with OMiC Lead</p>	<p>October 2022</p> <p>Complete</p> <p>December 2022</p>



			<u>Progress against commitments (August 2023)</u>		
			<p>In December 2022 the OMiC Case Management Workload Management Strategy was launched which gives prisons options for task prioritisation where there are prolonged POM recruitment problems.</p> <p>The review of the Manage the Custodial Sentence Policy has been paused until March 2024 due to ongoing reviews of the OMiC key work and sentence management models. However, the published role profile for Heads of Offender Management stipulates that they must <i>“ensure all Prison Offender Managers are trained and competent to perform duties in line with their role, ensuring professional development is maintained”</i>.</p>	Senior Responsible Owner, OMiC / Prison Group Director with OMiC Lead / Regional Probation Director with OMiC Lead	Complete March 2024
	Police forces should ensure that:				
18	All MAPPA nominals managed at Levels 2 and 3 are allocated to a suitably trained police offender manager.	Partly Agreed	This recommendation is partly agreed as individual police forces are responsible for their own resources. However, the preference for Level 2 and 3 management by qualified police offender managers will be progressed through the Management of Violent and Sexual Offenders National Working Group business plan and engagement with individual police forces.	Chief Constable, NPCC Lead	April 2023
			<u>Progress against commitments (August 2023)</u>		
			If a nominal has a ViSOR record, they will have an allocated Police staff member linked to the record as per ViSOR standards. Training is dependent on the nature of the offence and offending and is deemed suitable if in accordance with the college of policing Approved Professional Practice for that cohort of offenders.	Chief Constable, NPCC Lead	Complete



19	Workloads for staff managing sexual offenders are reviewed against national expectations and, where found to be excessive, take steps for mitigation and communicate this to affected staff.	Partly Agreed	This recommendation is partly agreed as individual police forces are responsible for their own resources. However, the Police Sexual Offender Management review will consider the workloads of police offender managers. Expectations will be shared via the Management of Sexual and Violent Offenders National Working Group business plan. Discussion will take place with the College of Policing on strengthening Approved Professional Practice.	Chief Constable, NPCC Lead	April 2023
			<u>Progress against commitments (August 2023)</u>		
			The Police Sex Offender Management review is complete, and findings have been shared with the Home Office Sex Offender Management Board, who are responsible for setting the strategic direction for workload management.	Chief Constable, NPCC Lead	Complete

Recommendations	
Agreed	11
Partly Agreed	8
Not Agreed	0
Total	19

