



Government
Internal Audit
Agency



The Government Internal Audit Agency

Counter Fraud and Investigation

2023

Who?

A TEAM OF COUNTER FRAUD PROFESSIONALS BASED ACROSS THE UK.

We are a specialist counter fraud and investigation team who are members of the Government Counter Fraud Profession. We have a wide breadth of skills, knowledge and years of experience of working across Government. All members of the team have security clearance to at least 'SC' level, enabling us to handle the most sensitive issues. We align seniority and experience to ensure we most effectively reflect the nature, scope and complexity of each commission.

What?

“To be recognised as the trusted provider of independent, professional and cost effective counter fraud services across UK Government.”

We are an award winning organisation and have already supported over 80 organisations across Government since 2016. We are passionate about, and committed to improving the counter fraud response across the public sector. Our unique position at the centre of government enables us to offer a truly cross government perspective on the threats organisations face.

How?

WE PROVIDE A RANGE OF COUNTER FRAUD SERVICES, DELIVERED TO THE HIGHEST STANDARDS, ON TIME AND TO BUDGET.

All our people are adept at quickly understanding the business environment, building trust and remaining independent and objective. Good communication is paramount and we are committed to keeping our customers engaged and informed throughout, delivering our services in an open and transparent way. By accessing many years of counter fraud and investigation skills, knowledge and experience, customers can be assured of engaging a team capable and committed to addressing issues in the most effective way

Why?

FRAUD PRESENTS SIGNIFICANT LOSSES TO PUBLIC SECTOR FUNDS

Fraud is recognised as one of the most prevalent and evolving crimes in the UK. It has never been more important to focus on where and how the risks of fraud can be tackled successfully.

- Where prevention is not a feasible solution, it is important to have effective strategies in place to detect and professionally investigate suspicions of fraud.
- We are committed to protecting public money by supporting government organisations in understanding and managing the risks of fraud presented by staff and suppliers.
- Internal fraud by staff or suppliers of government services is not just about financial loss. The risks to an organisation's reputation can also be very damaging.
- GIAA provides a range of high quality and specialist counter fraud and investigation services that can inform, coordinate and support counter fraud strategies.
- Effective collaboration with customers and other government functions including Internal Audit, Security and HR, together with an extensive range and depth of experience, skill and expertise ensures the most effective, flexible response, delivered to the highest standards.

Objectives



Drive up quality and standards in tackling fraud across government

Increase consistency in response to fraud threats

Support organisations with their counter fraud response

Improve value for the public purse, through effective utilisation of skills and experience across organisations.

Our values and behaviours

Our values and behaviours are visible in all our interactions with customers and colleagues, helping us to provide better insights and achieve better outcomes. Our values align with and complement the wider Civil Service values of integrity, honesty, objectivity and impartiality laid down in the Civil Service Code.



1

Professionalism

We take pride from a quality job well done and strive to exceed expectations.

2

Trustworthy

We can be relied upon to act with honesty and integrity, enabling our people to be confident in their abilities and decisions.

3

Collaborative

We are inclusive and team-focused, exploiting knowledge and skills from across the Agency and beyond.

4

Principled

We act in the public interest, role-modelling the best of our behaviours to make a valuable contribution to our colleagues, customers, communities and the wider public.

5

Respectful

We treat everyone fairly and as we wish to be treated ourselves, celebrating our diversity and our successes so everyone can give their best.

Our Commissioning Process

OUR COMMISSIONING PROCESS IS CRITICAL TO OUR SUCCESS.

We consider each commission consistently, however diverse or complex. Our process remains resilient but flexible to customer requests regardless of the nature of service required. Our collaborative approach ensures all commissions are fully assessed and resourced appropriately.

Request Assistance

We determine whether GIAA is best placed to provide the support.

Counter Fraud

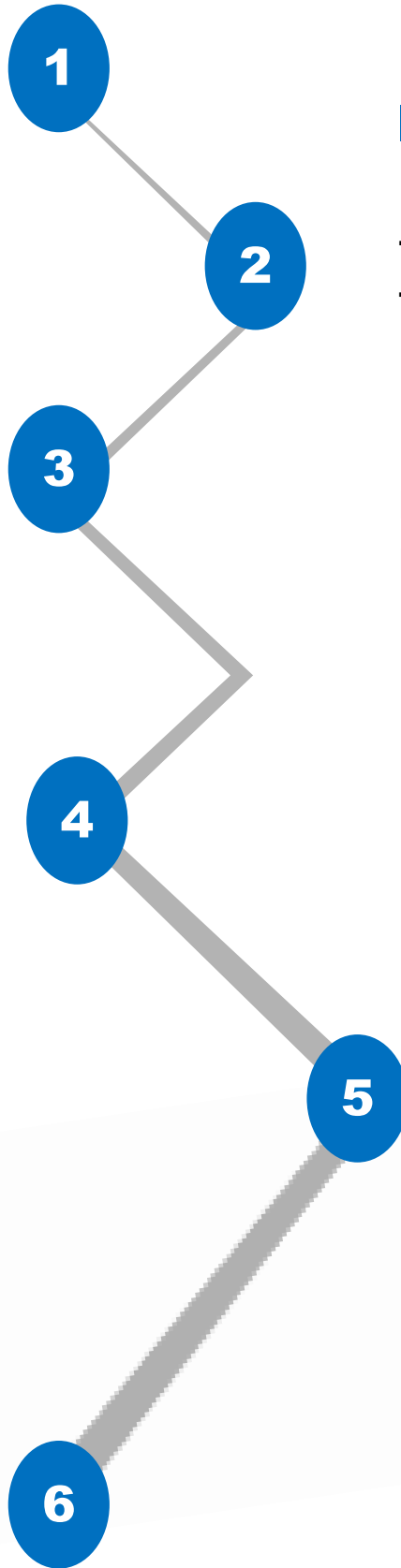
Counter Fraud Activity - determine if we are best placed to provide the support.

Agree Costs

The charging model is to simply recover our costs. Our premise is one of Government serving Government. For many customers, Counter Fraud requirements are estimated in annual Audit Plans.

Delivery

We ensure regular engagement throughout the commission with progress updates, and appropriate oversight by senior management.



Initial Assessment

Investigation - is the nature of the alleged abuse appropriate for investigation by our team i.e. does it warrant and is proportionate for the investigation to be conducted by a specialist investigative resource?

Agree Terms Of Reference

The Terms of Reference for the commission including planned approach, estimated duration and checkpoints.

GIAA Counter Fraud & Investigation

Our 5 Service Lines

Investigation

**Fraud Risk
Assessment**

**Tailored
Counter Fraud
Support**

**Whistleblowing
/ Speak Up**

**GovS 013
Functional
Standards**

Our 5 Service Lines

Investigation

Professionally accredited, security cleared investigators with extensive experience of conducting investigations across government with a proven track record for delivering flexibly, at pace and to criminal prosecution standard.

Fraud Risk Assessment

Professionally trained fraud risk specialists with extensive experience in enabling organisations to identify, understand, assess and categorise their fraud risks.

Tailored Counter Fraud Support

Recognised as a Centre of Excellence across Government, we can utilise our experience to provide comprehensive services to support organisations develop their counter fraud response

Whistleblowing / Raising a Concern / Speak Up

Expert advice and guidance on the development and application of strategies and processes, including reporting routeways and triage services. .
Development of campaigns to improve transparency and awareness.

GovS 013 Functional Standards

Supporting organisations in implementing the requirements of government functional standard GovS 013: counter fraud, bribery and corruption. Through expert support we can, for example, help organisations develop fraud awareness programmes, understand the prevailing fraud landscape and understand and respond to potential system, process or control vulnerabilities

Government Counter Fraud Profession

GIAA CF&I PLAYED A KEY ROLE THROUGHOUT THE DEVELOPMENT OF THE GOVERNMENT COUNTER FRAUD PROFESSION.



From participation in embryonic discussions with Cabinet Office, and through each subsequent stage, culminating in the successful launch of the Government Counter Fraud Profession (GCFP) in October 2018.

In collaboration with others across government, we have successfully:

- established a counter fraud community by strengthening links with organisations and providing access to the Counter Fraud Standards;
- empowered individuals by creating access to a career routeway and inclusive tools to enable counter fraud professionals to assess their skills and identify how they can progress in their current role or move to an alternative counter fraud role; and
- recognised the importance of the counter fraud professional role and having consistent standards to deliver this role and the Government Counter Fraud Function.

We have actively contributed to professionalise the counter fraud culture and response across government. We are passionate about, and committed to developing the Profession and supporting others to professionalise their counter fraud measures.



Our contribution to the development of the GCFP was recognised by the Outstanding Contribution of the GCFP Award at the Government Counter Fraud Awards 2019.

Government Internal Audit Agency

THE GOVERNMENT INTERNAL AUDIT AGENCY (GIAA) IS AN EXECUTIVE AGENCY OF HM TREASURY.

Our purpose is to help government departments to manage public money effectively by developing better governance, risk management and internal controls. The Agency offers high quality professional internal audit and counter fraud services bringing a unique depth of experience gained in a wide range of government departments and related bodies, cross-government insight and a strong understanding of our customers' business.

Having internal audit and counter specialists enables GIAA blend the respective skills to respond to fraud, identify vulnerabilities and make recommendations to avoid future recurrence.

Notes



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