Form AR21

Trade Union and Labour Relations (Consolidation) Act 1992

Annual Return for a Trade Union

Name of Trade Union:	National Union of Mineworkers
Year ended:	31 December 2022
List no:	199T
Head or Main Office address:	Miners' Offices
	2 Huddersfield Road
	Barnsley
	South Yorkshire
Postcode	S70 2LS
Website address (if available)	www.num.org.uk
Has the address changed during the year to which the return relates?	Yes No X ('X' in appropriate box)
General Secretary:	Christian James Ronald Kitchen
Telephone Number:	01226 215555
Contact name for queries regarding the completion of this return	Christian James Ronald Kitchen
Telephone Number:	01226 215555
E-mail:	chris.kitchen@num.org.uk

Please follow the guidance notes in the completion of this return Any difficulties or problems in the completion of this return should be directed to the Certification Officer as below or by telephone to: 0330 109 3602

You should send the annual return to the following email address stating the name of the union in subject:

For Unions based in England and Wales: return

returns@certoffice.org

For Unions based in Scotland:

ymw@tcyoung.co.uk

Contents

Trade Union's details	1
Return of members	2
Change of officers	2
Officers in post	2a
General fund	3
Analysis of income from federation and other bodies and other income	4
Analysis of benefit expenditure shown at general fund	5
Accounts other than the revenue account/general fund	6-8a
Political fund account	9-9vii
Analysis of administrative expenses	10
Analysis of officials' salararies and benefits	11
Analysis of investment income	12
Balance sheet as at	13
Fixed assets account	14
Analysis of investments	15
Analysis of investment income (controlling interests)	16
Summary Sheet	17
Summary sheet (Only for Incorporated Bodies)	17a
Information on Industrial action ballots	18-19
Information on Industrial action	20-21
Notes to the accounts	22
Accounting policies	23
Signatures to the annual return	23
Checklist	23
Checklist for auditor's report	24
Auditor's report (continued)	25
Membership audit certificate	i-iii
Guidance on completion	26

Return of Members

(see notes 10 and 11)

	Number of members at the end of the year					
	Great Britain	Northern Ireland	Irish Republic	Elsewhere Abroad (including Channel Islands)	Totals	
Male	189					189
Female	5					5
Other						
Total	194				A ć	194

Number of members at end of year contributing to the General Fund

Number of members included in totals box 'A' above for whom no home or authorised address is held:

91
5

Change of Officers

Please complete the following to record any changes of officers during the twelve months covered by this return

Position Held	Name of Officer ceasing to hold Office	Name of Officer Appointed	Date of change

State whether the union is:

a. A branch of another trade union?

If yes, state the name of that other union:

b. A federation of trade unions?

If yes, state the number of affiliated unions:

and names:

Yes	No X
Yes	No X

Officers in post (see note 12)

Please complete list of all officers in post at the end of the year to which this return relates.

Name of Officer	Position held
Nicolas Garson Wilson	President & NEC Member
Christian James Ronald Kitchen	Secretary & NEC Member
Wayne Thomas	Member, National Executive Committee (NEC)
Dennis Howard	Member, National Executive Committee (NEC)
Carl Parkinson	Member, National Executive Committee (NEC)
Alan Spencer	Member, National Executive Committee (NEC)
Alan Mardghum	Member, National Executive Committee (NEC)
lan Lavery M.P.	Member, National Executive Committee (non voting)
Sir David Hamilton	Trustee
Nigel Kemp	Trustee
Kenneth Robert Hollingsworth MBE	Trustee & NEC Member

General Fund

(see notes 13 to 18)

	£	£
ncome		
From Members: Contributions and Subscriptions		8,018
From Members: Other income from members (specify)		
Total other income from members		
Total of all income from members		8,018
Investment income (as at page 12)		269,267
Other Income		
Income from Federations and other bodies (as at page 4)		
Income from any other sources (as at page 4)	1,958,289	
Total of other income (as at page 4)		1,958,289
Total income		2,235,574
Interfund Transfers IN		
Expenditure		
Benefits to members (as at page 5)		35,658
Administrative expenses (as at page 10)		296,185
Federation and other bodies (specify)		
TUC		246
Other affiliations and grant & donations		1,322
Total expenditure Federation and other bodies		1,568
Taxation		
Total expenditure		333,411
Interfund Transfers OUT		56,656
		1,902,163
Surplus (deficit) for year		1,002,100
Surplus (deficit) for year Amount of general fund at beginning of year		2,901,643

Analysis of income from federation and other bodies and other income (see notes 19 and 20)

Description	£
Federation and other bodies	
Total federation and other bodies	
Any Other Sources	
Sale of memorabilia	65
NUM Superannuation Fund administration charge	25,000
Royal London Asset Management rebate	907
Court order for attachment of earnings	4,029
Donations received from other trade unions to support Ukraine truck donation NUM North Wales - receipts arising from Hull City irredeemable stock	4,600 28
Supply of Rule Book	8
Surplus on revaluation of investment properties	1,923,652
Total other sources	1,958,289
Total of all other income	1,958,289

Analysis of benefit expenditure shown at the General Fund

(see notes 21 to 23)

		£
Representation –	brought forward	
Employment Related Issues	Advisory Services	
	Member benefits & surgeries	41
Representation –	Other Cash Payments	
Non Employment Related Issues		
NUM Superannuation Fund advice		
	Education and Training services	
Communications		
	Negotiated Discount Services	
Dispute Benefits		
	Other Benefits and Grants (specify)	
	Superannuation benefits	10,949
	Fuel benefits	24,668
carried forward	Total (should agree with figure in General Fund)	35,658

Fund 2	2		Fund Account
Name:	NUM Nottingham Area	£	£
Income			
	From members		396
	Investment income (as at page 12)		
	Other income (specify)		
	Donations and sale of memorabilia		12
	Contributions to rent of office		4,500
	Government grant including furlough payments		
	Total other in	come as specified	4,512
		Total Income	,
	In	erfund Transfers IN	24,300
Expenditure			
	Benefits to members		3,001
	Administrative expenses and other expenditure (as at page 10)		26,532
		Total Expenditure	29,533
	Inter	fund Transfers OUT	
	Surplus (Deficit) for the year	-24,625
	Amount of fund a	beginning of year	23,008
	Amount of fund at the end of year	as Balance Sheet)	22,683
	Number of members contribution	ting at end of year	3

Fund 3 Fund Account				
Name:	NUM South Derbyshire Area	£	£	
Income				
	From members			
	Investment income (as at page 12)		9	
	Other income (specify)			
		come as specified		
		Total Income		
Even an ditura	Inte	erfund Transfers IN		
Expenditure	Benefits to members			
	Administrative expenses and other expenditure (as at page 10)		1,300	
		L Total Expenditure		
		und Transfers OUT		
	Surplus (D	eficit) for the year	-1,291	
	Amount of fund at			
	Amount of fund at the end of year (a			
	Number of members contribut	ing at end of year		

(See notes 21 and 23)

Fund	4		Fund Account
Name:	NUM Yorkshire Area	£	£
Income			
	From members		1,116
	Investment income (as at page 12)		
	Other income (specify)		
	Recharge of admistration costs to YAMHS		4,000
	Grant from Yorkshire Area Trust Fund		323,852
	Government grants including furlough payments		
	Total other	income as specified	327,852
		Total Income	328,968
		Interfund Transfers IN	
Expenditure			
	Benefits to members		21,421
	Administrative expenses and other expenditure (as at page 10)		307,547
		Total Expenditure	328,968
	In	erfund Transfers OUT	
	Surplus	(Deficit) for the year	
	Amount of fund	at beginning of year	
	Amount of fund at the end of yea	r (as Balance Sheet)	
			<u> </u>
	Number of members contri	buting at end of year	14

Fund 5			Fund Account	
Name:	NUM Lancashire Area	£	£	
Income				
	From members		673	
	Investment income (as at page 12)			
	Other income (specify)			
	Total other	income as specified		
	Total Income			
	Interfund Transfers IN			
Expenditure				
	Benefits to members			
	Administrative expenses and other expenditure (as at page 10)		673	
		Total Expenditure	673	
	Inte	erfund Transfers OUT		
		r		
		(Deficit) for the year		
	Amount of fund	at beginning of year		
	Amount of fund at the end of yea	r (as Balance Sheet)		
		-		
	Number of members contrib	outing at end of year	7	

Fund	6		Fund Account	
Name:	NUM North East Area	£	£	
Income				
	From members		582	
	Investment income (as at page 12)			
	Other income (specify)			
	Transfer in		155	
	Total other	income as specified	155	
	Total Income			
	I	nterfund Transfers IN		
Expenditure				
	Benefits to members			
	Administrative expenses and other expenditure (as at page 10)		570	
		Total Expenditure	570	
	Inte	erfund Transfers OUT		
	Surplus	(Deficit) for the year	167	
	Amount of fund	at beginning of year	1,026	
	Amount of fund at the end of yea	r (as Balance Sheet)	1,193	
	Number of members contrib	outing at end of year	7	

Fund 7			Fund Account		
Name:	NUM Scotland Area	£	£		
Income					
	From members		256		
	Investment income (as at page 12)				
	Other income (specify)				
	Reduction in amount received from branch				
	Total other in	come as specified			
	Total Income				
	Interfund Transfers IN				
Expenditure					
	Benefits to members		1,000		
	Administrative expenses and other expenditure (as at page 10)		33,218		
		Total Expenditure	34,218		
	Interf	und Transfers OUT			
	Surplus (D	eficit) for the year	-33,962		
	Amount of fund at	beginning of year	33,159		
	Amount of fund at the end of year (as Balance Sheet)	31,553		
	Number of members contribut	ting at end of year	7		

Fund 8 Fund Ad			
Name:	NUM Pension Reserve - NUM Superannuation Fund	£	
Income			
	From members		
	Investment income (as at page 12)		
	Other income (specify)		
	Interest on pension scheme assets		482,000
	Change in impact on asset ceiling		462,000
	Total oth	er income as specified	944,000
	Total Inco		
		Interfund Transfers IN	
Expenditure			
	Benefits to members		
	Administrative expenses and other expenditure (as at page 10)		944,000
		Total Expenditure	944,000
		Interfund Transfers OUT	
	Surpl	us (Deficit) for the year	
	Amount of fu	nd at beginning of year	
	Amount of fund at the end of y	/ear (as Balance Sheet)	
	Number of members con	tributing at end of year	

Fund 9 Fund Accou			Fund Account	
Name:	NUM COSA & NUM Cokemans	£	£	
Income				
	From members		2,138	
	Investment income (as at page 12)			
	Other income (specify)			
			643	
	Total other i	ncome as specified	643	
	Total Income			
	Ir	iterfund Transfers IN	35,844	
Expenditure				
	Benefits to members			
	Administrative expenses and other expenditure (as at page 10)		526	
		Total Expenditure	526	
	Inte	rfund Transfers OUT		
	Surplus (Deficit) for the year	2,255	
	Amount of fund a	t beginning of year		
	Amount of fund at the end of year	(as Balance Sheet)	38,099	
	Number of members contrib	uting at end of year	8	

Political fund account

		(see notes 24 to 33)	£	£
Political fu	nd account 1 To be co	mpleted by trade unions which maintain their	own political fund	
	Income	Members contributions and levies		1,366
		Investment income (as at page 12)		
	Other income (specify)			
		Total ot	her income as specified	
			Total income	1,366
		ion and Labour Relations (Consolidation) Ac he political funds exceeds £2,000 during the		out in section (72)
		Expenditure A (as at page i)		
		Expenditure B (as at page ii)		
		Expenditure C (as at page iii)		
		Expenditure D (as at page iv)		
		Expenditure E (as at page v)		
		Expenditure F (as at page vi)		
		Non-political expenditure (as at page vii)		3,102
			Total expenditure	3,102
			Surplus (deficit) for year	-1,736
			und at beginning of year	18,351
		Amount of political fund at the end of y	/ear (as <u>Balance Sheet</u>)	16,615
		Number of members at end of year contribution	iting to the political fund	91
		mber of members at end of the year not contribu		103
Nurr	ber of members at end of year who ha	ve completed an exemption notice and do not cont	ribute to the political fund	0
Political fu	nd account 2 To be completed	I by trade unions which act as components o	f a central trade union	
Income	Contributions and levies collected fro	om members on behalf of central political fund		
	Funds received back from central po	litical fund		
	Other income (specify)			
			Total other income a	as specified
			T	otal income
Expenditure				
	Expenditure under section 82 of the	Trade Union and Labour Relations		
	(Consolidation) Act 1992 (specify)			
		1		
	Administration expenses in	connection with political objects(specify)		
	Non-political expenditure			
			Total expenditure	
			Surplus (deficit) for year	
		Amount held on behalf of trade union political	fund at beginning of year	
		Amount re	emitted to central political	
		Amount held on behalf of central po	olitical fund at end of year	
		Number of members at end of year contrib	outing to the political fund	
		Number of members at end of the year not contrib	outing to the political fund	
Number of me	mbers at end of year who have comple	eted an exemption notice and do not therefore cont	ribute to the political fund	

The following pages 9i to 9vii relate to the Political Fund Account Expenditure

Political fund account expenditure (a)

Expenditure under section 72 (1) (a) of the Trade Union and Labour Relations (consolidation) Act.

To be completed where total expenditure from the political fund exceeds £2,000 during the period to which return relates.

Contribution to the funds of, or on the payment of expenses incurred directly or indirectly by a political party		
Name of political party in relation to which money was expended	Total amount spent during the period \pounds	
Total		

Political fund account expenditure (b)

Expenditure under section 72 (1) (a) of the Trade Union and Labour Relations (consolidation) Act.

г

To be completed where total expenditure from the political fund exceeds £2,000 during the period to which return relates

Expenditure of money on the provision of any services or property for use by or on behalf of any political party		
Name of political party to which payment was made	Total amount paid during the period	
	£	
Total		

Political fund account expenditure (c)

Expenditure under section 72 (1) (a) of the Trade Union and Labour Relations (consolidation) Act.

To be completed where total expenditure from the political fund exceeds £2,000 during the period to which return relates.

Expenditure in connection with the registration of electors, the candidature of any person, the selection of any candidate or the
nolding of any ballot by the union in connection with any election to a political office

Title and Date of election	Name of political party/organisation	Name of candidate, organisation or political party (see 33(iii))	£
		Total	

Political fund account expenditure (d)

Expenditure under section 72 (1) (a) of the Trade Union and Labour Relations (consolidation) Act.

To be completed where total expenditure from the political fund exceeds £2,000 during the period to which return relates.

Expenditure on the maintaince of any holder of political office			
Name of office holder	£		
Total			

Political fund account expenditure (e)

Expenditure under section 72 (1) (a) of the Trade Union and Labour Relations (consolidation) Act.

To be completed where total expenditure from the political fund exceeds £2,000 during the period to which return relates.

The expenditure of money on the holding of any conference or meeting by or on behalf of a political party or of any other meeting the main purpose of which is the transaction of business in connection with a political party

Name of political party	£
Total	

Political fund account expenditure (f)

Expenditure under section 72 (1) (a) of the Trade Union and Labour Relations (consolidation) Act.

To be completed where total expenditure from the political fund exceeds £2,000 during the period to which return relates

On the production, publication or distribution of any literature, document, film, sound recording or advertisement the main purpose of which is to pursuade people to vote for a political party or candidate or to persuade them not to vote for a political party or candidate

Name of organisation or political party	£
	Total

Expenditure from the political fund not falling within section 72 (1) of the trade union & labour relations (consolidation) act 1992

For expenditure not falling within section 72 (1) the required information is-

(a) the nature of each cause or campaign for which money was expended, and the total amount expended in relation to each one		£
Campaign for Nuclear Disarmament		250
Justice for Colombia		250
Cuba Solidarity Campaign		100
Labour Party Yorkshire & Humber		100
Labour Party Kircaldy		200
Labour Party application fee		136
Other donations less than £100 each		151
	1	
Total exper	nditure	1,187
(b) the name of each organisation to which money was paid (otherwise than for a particular cause of campaign), and the total amount paid to each one		£
Labour Party - Affiilation Fee]	778
Scottish Labour Party - Affiliation Fee		650
Welsh Labour Party - Affiliation Fee		400
	-	
	_	
	-	
	J	
		·
Total exper	nditure	1,828
(c) the total amount of all other money expended		£
Bank charges	1	87
		07
	1	
	1	
	1	
	1	
	1	
	J	
Total exper	nditure	87

Total of all expenditures

3,102

Analysis of administrative expenses and other outgoings excluding amounts charged to political fund accounts

(see notes 34 and 35)

	,	
Administrative Expenses		£
Remuneration and expenses of staff		328,913
Salaries and Wages included in above	281,365	,
Auditors' fees		19,800
Legal and Professional fees		59,005
Occupancy costs		11,636
Stationery, printing, postage, telephone, etc.		36,360
Expenses of Executive Committee (Head Office)		5,111
Expenses of conferences		20,071
Other administrative expenses (specify)		20,011
Official duties		32,356
Deputations & travel		8,473
Miners strike anniversary, memlss & memorabilia		9,601
Bank charges and sundry expenses		8,603
Accountancy		9,160
Other affiliation fee		9,100 690
		690
Other Outgoings		
Depreciation - furniture & equipment		1,347
		,
Outgoings on land and buildings (specify)		
Depreciation - land & buildings		
Other outgoings (specify)		
Grants, donations & affiliations		45,937
Utilities, light, heat & power		13,201
Rates and insurance		31,829
Pension Reserve - FRS102 costs (various)		944,000
Loss on sale of investments		24,458
	Total	1,610,551
Charged to:	General Fund (Page 3)	296,185
	NUM Nottingham Area	26,532
	NUM South Derbyshire Area	1,300
	NUM Yorkshire Area	307,547
	NUM Lancashire Area	673
	NUM North East Area	570
	NUM Scotland Area	33,218
NUM Pension	Reserve - NUM Superannuation Fund	944,000
	NUM COSA & NUM Cokemans	526
	Total	1,610,551

Analysis of officials' salaries and benefits (see notes 36 to 46 below)

Office held	Gross Salary	Employers N.I. contributions	Benefits		Total	
			Pension Contributions	Other Benefits		
				Description	Value	
	£	£	£		£	£
N G Wilson - President						
C J R Kitchen - Secretary	40,127	5,413	4,815	Travel, fuel, phone	1,811	52,166
W Thomas - NUM South Wales ^						
K Hollingsworth - NUM COSA						
A Spencer - NUM Nottingham Area						
D Howard - NEC Member						
C Parkinson - NEC Member						
A Mardghum NUM North East						
^ paid by NUM Area Fund; refer to Area AR21						

Analysis of investment income (see notes 47 and 48)

	(see notes 47 and 48)	
	Political Fund £	Other Fund(s) £
Rent from land and buildings		
Dividends (gross) from:		
Equities (e.g. shares)		25,604
Interest (gross) from:		363
Government securities (Gilts)		
Mortgages		
Local Authority Bonds		
Bank and Building Societies		9
Other investment income (specify)		
Rents receivable		243,300
		,
		269,276
	Total investment income	269,276
	Credited to:	269,267
	General Fund (Page 3) NUM Nottingham Area	209,207
	NUM South Derbyshire Area	9
	NUM Yorkshire Area	
	NUM Lancashire Area	
	NUM North East Area	
	NUM Scotland Area	
	NUM Pension Reserve - NUM Superannuation Fund	
	NUM COSA & NUM Cokemans	
	Political Fund	
	Total Investment Funds	000.070
	i otai investment Funds	269,276

Balance sheet as at

31 December 2022

trevious Year E E 1,905,439 Fixed Assets (at page 14) (Investments (as per analysis on page 15) 3.828 10,997 Quoted (Market value £ (9,351) 9 763,400 Unquoted 740 243,442 Sundry debtors 749 243,442 Sundry debtors 749 Cher Assets 749 Other Assets 749 Cash to other trade unions 749 Sundry debtors 749 Cher Assets 749 Cher Assets 749 Cher Assets 749 Cash to other trade unions 749 Stocks of goods 763 Others (specify) Pension Fund Asset Current account with National Union of Mineworkers 161 Total of other assets 455 2,501,643 General fund (page 3) 2,501,643 General fund (page 3) 2,501,643 MUM Notingham Area 2,501,643 NUM Yorkshine Area 1,026 NUM Note Asset 1,026 NUM OSA & NUM Cocke		(see notes 49 to 52)		
10.997 Quoted (Market value £ (9,351) 9 763,400 Total Investments 740 Other Assets 740 261,422 Sundry debtors 31 242,821 Cash at bank and in hand 54 Consort to be recovered 51 54 Stocks of goods 00her assets 455 Current account with National Union of Mineworkers 161 010rds (specify) 161 23,006 NUM Notingham Area 255 242,812 General fund (page 3) 47,47 23,006 NUM Notingham Area 255 10,026 NUM Pension Reserve - NUM Superannuation Fund 31 110,026 NUM Pension Reserve - NUM Superannuation Fund 36 110,026 NUM Pension Reserve - NUM Superannuation Fund 36 110,026 NUM Pension Reserve - NUM Superannuation Fun	revious Year		£	£
10.997 Quoted (Markst value £ (9,351) 9 763,400 Unquoted 744 744 Total Investments 749 Other Assets 31 364 261,442 Sundry debiors 36 242,821 Cash at bank and in hand 64 Icones tax to be recovered 36 Stocks of goods Other sessets 455 Other account with National Union of Mineworkers 161 Other sessets 5.044 2,901,643 General fund (page 3) 4,747 2,001,643 General fund (page 3) 4,747 1,026 NUM North Set Area 25 NUM Pension Reserve - NUM Suparannuation Fund 31 31 18,351 Political Fund Accourt 16 Individe on behalf of central trade union political fund 151	1,905,439	Fixed Assets (at page 14)		3,828,95
10.997 Quoted (Market value £ (9,351) 740 763,400 Unquoted Total Investments 740 Other Assets 740 740 Quested (Market value £ (9,351) 740 740 Other Assets 740 740 Quested (Market value £ (9,351) 740 740 Quested (Market value £ (9,351) 740 740 Quested (Market value £ (9,351) 740 740 Quested (Market value £ (9,351) 740 740 Quested (Market value £ (9,351) 740 740 Quested (Market value £ (9,351) 751 751 Quested (Market value £ (9,051) 751 751 Nil Persion Fund Asset 755 7504 Quested (Market value £ (9,01) 761 do other assets 755 7504 Quested (Market value £ (9,01) NUM Notifigham Area 22 774 NUM Constant Area 1 740 740 740 NUM Persion Reserve - NUM Superannuation Fund 740 740 740 NUM Costi				
763,400 Unquoted Total Investments 740 764 Total Investments 749 764 Assets 31 261,442 Sundry debtors 33 242,821 Cash at bank and in hand 208 Income tax to be recovered Stocks of goods 200 Others (specify) 0 161 Nil Pension Fund Asset 455 Current account with National Union of Mineworkers 161 2.001.643 General fund (page 3) NUM Nottingham Area 2.300.643 General fund (page 3) 4.747 2.000 NUM Nottingham Area 225 2.301.643 General fund (page 3) 4.747 2.000 NUM Nottingham Area 225 2.001 NUM North East Area 1 1.026 NUM Pension Reserve - NUM Superannuation Fund 31 1.026 NUM Pension Reserve - NUM Superannuation Fund 38 1.027 NUM Pension Reserve - NUM Superannuation Fund 38 1.028 NUM Pension Reserve - NUM Superannuation Fund 38 1.031fities Amount held on behalf of central trade union political fund 151 2.17.738 Sundry creditors 151	10,997			9,35
Total Investments 749 Other Assets 31 261,422 Sundry debtors 31 242,821 Cash at bank and in hand 56 Income tax to be recovered 30 Stocks of goods Other (specify) Pension Fund Asset 455 Current account with National Union of Mineworkers 161 Total of other assets 455 2,901,643 General fund (page 3) 4,747 2,000 NUM Nottingham Area 22 2,177 NUM South Detryshire Area 25 1,026 NUM North East Area 14 1,026 NUM Cocka & NUM Cockemans 38 18.351 Political Fund Account 16 Liabilities Anount held on behalf of central trade union political fund 151 218,7738 Anount held on behalf of central trade union political fund 151				740,58
261,442 Sundry debtors 31 242,821 Cash at bank and in hand 42 Income tax to be recovered Stocks of goods 208 Nil Pension Fund Asset 161 Others (specify) 161 455 2,2,01643 General fund (page 3) 4,747 2,0006 NUM Notingham Area 225 2,7,174 NUM South Dethyshire Area 225 10,026 NUM Notingham Area 225 10,026 NUM Notingham Area 31 10,026 NUM Notingham Area 31 10,026 NUM Note BestArea 1 11,026 NUM Pension Reserve - NUM Superannuation Fund 33 11,035 Political Fund Account 36 11,035 Others (specify) 38 11,036 NUM Pension Reserve - NUM Superannuation Fund 38 118,351 Political Fund Account 36 118,351 Sundry creditors 151	·			749,93
261,442 Sundry debtors 64 242,821 Cash at bank and in hand 1 Income tax to be recovered 300 Nil Pension Fund Asset 455 Current account with National Union of Mineworkers 455 23,006 NUM Nottingham Area 4,747 23,006 NUM Nottingham Area 4,747 23,006 NUM Nottingham Area 4,747 1,026 NUM Nottingham Area 22 1,026 NUM Nottingham Area 4,747 1,026 NUM North Fast Area 4,747 1,026 NUM North Superanulation Farea 4,747 1,026 NUM North Superanulation Farea 4,747 1,026 NUM North East Area 1 1,026 NUM North East Area 1 1,026 NUM Pension Reserve • NUM Superanulation Fund 38 18,351 Political Fund Account 16 118,351 Areaunt held on behalf of central trade union political fund 151 12,7738 Sundry creditors 151		Other Assets		
242,821 Cash at bank and in hand 208 Income tax to be recovered 100000 tax Others (specify) Pension Fund Asset 161 Current account with National Union of Mineworkers 161 2,901,643 General fund (page 3) 4,747 23,008 NUM Notitinghan Area 25 27,174 NUM South Derbyshire Area 25 1,026 NUM Noti Constant Area 31 1,026 NUM Notich Serve - NUM Superannuation Fund 31 1,026 NUM Pension Reserve - NUM Superannuation Fund 31 1,026 NUM Pension Reserve - NUM Superannuation Fund 31 1,026 NUM Pension Reserve - NUM Superannuation Fund 31 1,026 NUM Pension Reserve - NUM Superannuation Fund 31 1,026 NUM Pension Reserve - NUM Superannuation Fund 31 1,026 NUM Colemans 38 1,027 Amount held on behalf of central trade union political Fund 31 1,028 Sundry creditors 151				31,11
Income tax to be recovered Stocks of goods Others (specify) Pension Fund Asset Current account with National Union of Mineworkers 161 Total of other assets 455 2.901,643 General fund (page 3) VUM South Dehyshire Area NUM South Area 1,026 NUM Northis Fara 1,026 NUM Pension Reserve - NUM Superannuation Fund NUM COSA & NUM Cokemans 18,351 Political Fund Account 16 Image: Sundry creditors 171 Liabilities Anount held on behalf of central trade union political fund 151 Liabilities Anount held on behalf of central trade union political fund 151	261,442			54,80
Stocks of goods Others (specify) Pension Fund Asset 161 Current account with National Union of Mineworkers 161 2,901,643 General fund (page 3) 4,747 23,008 NUM Notitinghan Area 22 27,174 NUM South Derbyshire Area 22 1,026 NUM Yorkshire Area 23 1,026 NUM Notitinghan Area 31 1,026 NUM Pension Reserve - NUM Superannuation Fund 33 18,351 Political Fund Account 16 Liabilities Amount held on behalf of central trade union political fund 151 £179,738 Sundy creditors 151	242,821	Cash at bank and in hand		208,3
Nil Others (specify) Pension Fund Asset 161 Current account with National Union of Mineworkers 161 2,901,643 General fund (page 3) 4,747 2,000 NUM Nottingham Area 22 27,174 NUM South Derbyshire Area 25 NUM South Derbyshire Area 25 NUM South Derbyshire Area 25 NUM Nottingham Area 25 NUM South Derbyshire Area 25 NUM Nork East Area 1 NUM Pension Reserve - NUM Superannuation Fund 38 18,351 NUM Pension Reserve - NUM Superannuation Fund 38 18,351 NUM Pension Reserve - NUM Superannuation Fund 38 18,351 Political Fund Account 16 Liabilities Amount held on behalf of central trade union political fund 151 \$undry creditors 151		Income tax to be recovered		
Nil Pension Fund Asset Current account with National Union of Mineworkers 161 Total of other assets 455 Total assets 456 2,901,643 General fund (page 3) 2,006 NUM Nottingham Area NUM South Derbyshire Area NUM Vorkshire Area NUM Scotland Area 4,747 1,026 NUM Yorkshire Area NUM Scotland Area 122 33,159 NUM Pension Reserve - NUM Superanuaution Fund NUM Cocka & NUM Cockemans 38 18,351 Political Fund Account 161 Liabilities Amount held on behalf of central trade union political fund 151 £179,738 Sundry creditors 151		Stocks of goods		
Current account with National Union of Mineworkers 161 Total of other assets 455 Total assets 5,034 23,008 NUM Notingham Area NUM Notingham Area NUM Yorkshire Area NUM Yorkshire Area NUM Yorkshire Area NUM Sociland Area NUM Costa a NUM Cokemans 1,026 1,026 NUM Pension Reserve - NUM Superannuation Fund NUM COSA & NUM Cokemans 13 18,351 Political Fund Account 161 41,33,159 Amount held on behalf of central trade union political fund 151 £179,738 Sundry creditors 151		Others (specify)		
Total of other assets 455 7,001,643 General fund (page 3) 4,747 23,008 NUM Notingham Area 22 27,174 NUM South Derbyshire Area 22 1,026 NUM Vorkshire Area 12 1,026 NUM Notingham Area 13 1,026 NUM Note South Area 31 1,026 NUM Note South Area 31 1,026 NUM South Cockemans 33 10,026 NUM COSA & NUM Cokemans 33 18,351 Political Fund Account 16 11,026 Amount held on behalf of central trade union political fund 151 £179,738 Sundry oreditors 151	Nil	Pension Fund Asset		1
Total assets 5,034 2,901,643 General fund (page 3) 4,747 23,008 NUM Nottingham Area 22 27,174 NUM South Derbyshire Area 25 1,026 NUM Vorkshire Area 25 1,026 NUM North East Area 1 33,159 NUM Pension Reserve - NUM Superannuation Fund 33 18,351 Political Fund Account 16 18,351 Political Fund Account 16 Amount held on behalf of central trade union political fund 151 £179,738 Sundry creditors 151		Current account with National Union of Mineworkers		161,1
Total assets 5,034 2,901,643 General fund (page 3) 4,747 23,008 NUM Nottingham Area 22 27,174 NUM South Derbyshire Area 25 1,026 NUM Yorkshire Area 25 1,026 NUM North East Area 1 33,159 NUM Pension Reserve - NUM Superannuation Fund 33 18,351 Political Fund Account 16 18,351 Political Fund Account 16 18,351 Political Fund Account 16 18,351 Sundry creditors 16				
2,901.643 General fund (page 3) 4,747 23,008 NUM Nottingham Area 22 27,174 NUM South Derbyshire Area 25 NUM Yorkshire Area 125 1,026 NUM North East Area 1 33,159 NUM Pension Reserve - NUM Superannuation Fund 33 18,351 Political Fund Account 16 18,351 Political Fund Account 16 11,026 MUM COSA & NUM Cokemans 38 18,351 Political Fund Account 16 18,351 Sundry creditors 151		Total of other assets		455,42
23,008 NUM Nottingham Area 22 27,174 NUM South Derbyshire Area 25 NUM Vorkshire Area 1026 NUM Lancashire Area 1 1,026 NUM North East Area 1 33,159 NUM Pension Reserve - NUM Superannuation Fund 38 18,351 Political Fund Account 16 18,351 Political Fund Account 16 18,351 Sundry creditors 151			Total assets	5,034,32
27,174 NUM South Derbyshire Area 25 NUM Yorkshire Area NUM North East Area 1 1,026 NUM Scottand Area 31 33,159 NUM Pension Reserve - NUM Superannuation Fund 38 18,351 Political Fund Account 16 Liabilities Amount held on behalf of central trade union political fund 151 £179,738 Sundry creditors 151	2,901,643	General fund (page 3)		4,747,15
NUM Yorkshire Area 1 NUM North East Area 1 33,159 NUM Pension Reserve - NUM Superannuation Fund 31 18,351 Political Fund Account 16 Liabilities Amount held on behalf of central trade union political fund 151 £179,738 Sundry creditors 151	23,008	NUM Nottingham Area		22,68
NUM Lancashire Area 1 NUM North East Area 1 33,159 NUM Pension Reserve - NUM Superannuation Fund NUM COSA & NUM Cokemans 38 18,351 Political Fund Account Image: State of the state of t	27,174	NUM South Derbyshire Area		25,88
1,026 NUM North East Area 1 33,159 NUM Pension Reserve - NUM Superannuation Fund 38 18,351 Political Fund Account 16		NUM Yorkshire Area		
33,159 NUM Scotland Area 31 NUM Pension Reserve - NUM Superannuation Fund 38 18,351 Political Fund Account 16 Liabilities 16 Amount held on behalf of central trade union political fund 151		NUM Lancashire Area		
NUM Pension Reserve - NUM Superannuation Fund 38 18,351 Political Fund Account Image: Second Seco	1,026	NUM North East Area		1,19
NUM Pension Reserve - NUM Superannuation Fund 38 18,351 Political Fund Account 16	33,159	NUM Scotland Area		31,5
NUM COSA & NUM Cokemans 38 18,351 Political Fund Account Image: Second state of the second state				
18,351 Political Fund Account 16 Image: Second state of the second state of				38,09
Amount held on behalf of central trade union political fund 151 £179,738 Sundry creditors	18,351	Political Fund Account		16,61
Amount held on behalf of central trade union political fund 151 £179,738 Sundry creditors				
Amount held on behalf of central trade union political fund 151 £179,738 Sundry creditors				
Amount held on behalf of central trade union political fund 151 £179,738 Sundry creditors				
Amount held on behalf of central trade union political fund 151 £179,738 Sundry creditors				
Amount held on behalf of central trade union political fund 151 £179,738 Sundry creditors				
Amount held on behalf of central trade union political fund 151 £179,738 Sundry creditors				
£179,738 Sundry creditors 151				
	C1 70 720			151 1
f 179 738	£1/9,/38	Sundry creators		151,14
f 179 738 Total liabilities 151				
f179 738 Total liabilities 151				
f179 738 Total liabilities 151				
f179 738 Total liabilities 151				
f179 738 Total liabilities 151				
f179 738 Total liabilities 151				
f179 738 Total liabilities 151				
f179 738 Total liabilities 151				
f179 738 Total liabilities 151				
f179 738 Total liabilities 151				
f179 738 Total liabilities 151				
f179 738 Total liabilities 151				
t L/9 /38 L LOTAL MADUITIESI 151	64.70.700		Total lick little	454.4
				151,14 5,034,32

Fixed assets account

Not used for Furniture Motor Land and Buildings Freehold Leasehold and Vehicles union Total Equipment business £ £ £ £ £ £ Cost or Valuation 2,630,804 At start of year 78,034 2,552,770 Additions 1,209 1,209 Disposals -5,748 -5,748 Revaluation/Transfers 1,272,230 1,272,230 At end of year 73,495 3,825,000 3,898,495 Accumulated Depreciation At start of year 73,943 651,422 725,365 Charges for year 1,347 1,347 Disposals -5,748 -5,748 Revaluation/Transfers -651,422 -651,422 69,542 69,542 At end of year Net book value at 3,953 3,825,000 3,828,953 end of year Net book value at 4,091 1,901,348 1,905,439 end of previous year

(see notes 53 to 57)

Analysis of investments (see notes 58 and 59)

	(see notes 58 and 59)		
Quoted		All Funds Except Political Funds	Political Fund
		£	£
	Equities (e.g. Shares) Barclays Bank plc	9,351	
	Government Securities (Gilts)		
	Other quoted securities (to be specified)		
	Total quoted (as Balance Sheet)	9,351	
	Market Value of Quoted Investment	9,351	
Unquoted	Equities		
	Government Securities (Gilts)		
	Mortgages		
	Bank and Building Societies		
	Unity Trust Bank (valued at cost)	632,000	
	Other unquoted investments (to be specified) Royal London Asset Management	108,588	
	Total unquoted (as Balance Sheet)	740,588	
	Market Value of Unquoted Investments	Not known	

Analysis of investment income (controlling interests)

(see notes 60 and 61)

Г

Does the union, or any constituent part of the union, have a controlling interest in any limited company?	Yes No X
If YES name the relevant companies:	
Company name	Company registration number (if not registered in England & Wales, state where registered)
Are the shares which are controlled by the union registered in the names of the union's trustees? If NO, state the names of the persons in whom the shares controlled by the union are registered.	Yes No
Company name	Names of shareholders

Summary sheet (see notes 62 to 73)

	(see notes 62 to 73)		
	All funds except Political Funds	Political Funds £	Total Funds £
Income			
From Members	13,179	1,366	14,545
From Investments	269,276		269,276
Other Income (including increases by revaluation of assets)	3,235,451		3,235,451
Total Income	3,517,906	1,366	3,519,272
Expenditure (including decreases by revaluation of assets)			
Total Expenditure	1,673,199	3,102	1,676,301
Funds at beginning of year (including reserves) Funds at end of year (including reserves)	2,986,010 4,866,561	18,351 16,615	3,004,361 4,883,176
Assets			
	Fixed Assets		3,828,953
	Investment Assets		749,939
	Other Assets		455,428
		Total Assets	5,034,320
Liabilities		Total Liabilities	151,144
Net Assets (Total Assets less Total Liab	pilities)		4,883,176
		l	

Summary sheet (see notes 62 to 73)

		/	
	All funds except Political Funds £	Political Funds £	Total Funds £
Income			
From Members			
From Investments			
Other Income (including increases by revaluation of assets)			
Total Income			
Expenditure (including decreases by revaluation of assets)			
Total Expenditure			
Funds at beginning of year (including reserves) Funds at end of year (including reserves)			
Assets			
	Fixed Assets		
	Investment Assets		
	Other Assets		
		Total Assets	
Liabilities		Total Liabilities	
Net Assets (Total Assets less Total Lial	pilities)		

Ballots & Industrial Action- If you have 6 or more entries for either of these, please complete the Excel Spreadsheet

(see notes 74 to 80)					
Did the union hold any ballots in respect of industrial action during the return period?	No				
If Yes How many ballots were held:					
For each ballot held please complete the information below:					
Ballot 1					
Number of individual who were entitled to vote in the ballot					
Number of votes cast in the ballot					
Number of Individuals answering "Yes" to the question					
Number of individuals answering "No" to the question					
Number of invalid or otherwise spoiled voting papers returned					
1-3 should tot	al "Number of	votes cast			
		10103 0031			
Were the number of votes cast in the ballot at least 50% of the number of individuals who were entitled to vote in the ballot					
Does section 226(2B) of the 1992 Act apply in relation to this ballot (see notes 76-80)?	Ē				
If yes, were the number of individuals answering "Yes" to the question (or each question) at least 40% of the nu entitled to vote in the ballot	umber of individ	uals who were			
	7				
Ballot 2					
Number of individual who were entitled to vote in the ballot Number of votes cast in the ballot					
Number of Individuals answering "Yes" to the question					
Number of individuals answering "No" to the question					
Number of invalid or otherwise spoiled voting papers returned					
1-3 should tot	al "Number of	votes cast			
Were the number of votes cast in the ballot at least 50% of the number of individuals who were entitled to vote in the ballot					
Does section 226(2B) of the 1992 Act apply in relation to this ballot (see notes 76-80)?	Ħ				
If yes, were the number of individuals answering "Yes" to the question (or each question) at least 40% of the nu entitled to vote in the ballot	umber of individ	uals who were			
Ballot 3					
Number of individual who were entitled to vote in the ballot					
Number of votes cast in the ballot					
Number of Individuals answering "Yes" to the question					
Number of individuals answering "No" to the question					
Number of invalid or otherwise spoiled voting papers returned 3					
1-3 should tot	al "Number of	votes cast			
Were the number of votes cast in the ballot at least 50% of the number of individuals	٦				
who were entitled to vote in the ballot					
Does section 226(2B) of the 1992 Act apply in relation to this ballot (see notes 76-80)?					
If yes, were the number of individuals answering "Yes" to the question (or each question) at least 40% of the nu entitled to vote in the ballot	JUDER OF INDIVID	uais who were			

Ballots & Industrial Action: If you have 6 or more entries for either of these, please complete the Excel Spreadsheet

Ballot 4
Number of individual who were entitled to vote in the ballot
Number of votes cast in the ballot
Number of Individuals answering "Yes" to the question
Number of individuals answering "No" to the question
Number of invalid or otherwise spoiled voting papers returned
1-3 should total "Number of votes cast"
Were the number of votes cast in the ballot at least 50% of the number of individuals who were entitled to vote in the ballot
Does section 226(2B) of the 1992 Act apply in relation to this ballot (see notes 76-80)?
If yes, were the number of individuals answering "Yes" to the question (or each question) at least 40% of the number of individuals who were entitled to vote in the ballot
Ballot 5 Number of individual who were entitled to vote in the ballot
Number of votes cast in the ballot
Number of Individuals answering "Yes" to the question
Number of individuals answering "No" to the question
Number of invalid or otherwise spoiled voting papers returned
1-3 should total "Number of votes cast"
1-3 should total "Number of votes cast" Were the number of votes cast in the ballot at least 50% of the number of individuals who were entitled to vote in the ballot
Were the number of votes cast in the ballot at least 50% of the number of
Were the number of votes cast in the ballot at least 50% of the number of individuals who were entitled to vote in the ballot
Were the number of votes cast in the ballot at least 50% of the number of individuals who were entitled to vote in the ballot Does section 226(2B) of the 1992 Act apply in relation to this ballot (see notes 76-80)? If yes, were the number of individuals answering "Yes" to the question (or each question) at least 40% of the number of
Were the number of votes cast in the ballot at least 50% of the number of individuals who were entitled to vote in the ballot Does section 226(2B) of the 1992 Act apply in relation to this ballot (see notes 76-80)? If yes, were the number of individuals answering "Yes" to the question (or each question) at least 40% of the number of
Were the number of votes cast in the ballot at least 50% of the number of individuals who were entitled to vote in the ballot Does section 226(2B) of the 1992 Act apply in relation to this ballot (see notes 76-80)? If yes, were the number of individuals answering "Yes" to the question (or each question) at least 40% of the number of
Were the number of votes cast in the ballot at least 50% of the number of individuals who were entitled to vote in the ballot Does section 226(2B) of the 1992 Act apply in relation to this ballot (see notes 76-80)? If yes, were the number of individuals answering "Yes" to the question (or each question) at least 40% of the number of individuals who were entitled to vote in the ballot
Were the number of votes cast in the ballot at least 50% of the number of individuals who were entitled to vote in the ballot Does section 226(2B) of the 1992 Act apply in relation to this ballot (see notes 76-80)? If yes, were the number of individuals answering "Yes" to the question (or each question) at least 40% of the number of individuals who were entitled to vote in the ballot Ballot 6
Were the number of votes cast in the ballot at least 50% of the number of individuals who were entitled to vote in the ballot Does section 226(2B) of the 1992 Act apply in relation to this ballot (see notes 76-80)? If yes, were the number of individuals answering "Yes" to the question (or each question) at least 40% of the number of individuals who were entitled to vote in the ballot Ballot 6 Number of individual who were entitled to vote in the ballot
Were the number of votes cast in the ballot at least 50% of the number of individuals who were entitled to vote in the ballot Does section 226(2B) of the 1992 Act apply in relation to this ballot (see notes 76-80)? If yes, were the number of individuals answering "Yes" to the question (or each question) at least 40% of the number of individuals who were entitled to vote in the ballot Ballot 6 Number of individual who were entitled to vote in the ballot Mumber of votes cast in the ballot
Were the number of votes cast in the ballot at least 50% of the number of individuals who were entitled to vote in the ballot Does section 226(2B) of the 1992 Act apply in relation to this ballot (see notes 76-80)? If yes, were the number of individuals answering "Yes" to the question (or each question) at least 40% of the number of individuals who were entitled to vote in the ballot Ballot 6 Number of individual who were entitled to vote in the ballot Number of Individuals answering "Yes" to the question Number of Individuals answering "Yes" to the question
Were the number of votes cast in the ballot at least 50% of the number of individuals who were entitled to vote in the ballot Does section 226(2B) of the 1992 Act apply in relation to this ballot (see notes 76-80)? If yes, were the number of individuals answering "Yes" to the question (or each question) at least 40% of the number of individuals who were entitled to vote in the ballot Ballot 6 Number of individual who were entitled to vote in the ballot Number of votes cast in the ballot Number of Individuals answering "Yes" to the question Number of individuals answering "No" to the question Number of invalid or otherwise spoiled voting papers returned
Were the number of votes cast in the ballot at least 50% of the number of individuals who were entitled to vote in the ballot Does section 226(2B) of the 1992 Act apply in relation to this ballot (see notes 76-80)? If yes, were the number of individuals answering "Yes" to the question (or each question) at least 40% of the number of individuals who were entitled to vote in the ballot Ballot 6 Number of individual who were entitled to vote in the ballot Number of Individuals answering "Yes" to the question Number of Individuals answering "Yes" to the question
Were the number of votes cast in the ballot at least 50% of the number of individuals who were entitled to vote in the ballot Does section 226(2B) of the 1992 Act apply in relation to this ballot (see notes 76-80)? If yes, were the number of individuals answering "Yes" to the question (or each question) at least 40% of the number of individuals who were entitled to vote in the ballot Ballot 6 Number of individual who were entitled to vote in the ballot Number of votes cast in the ballot Number of Individuals answering "Yes" to the question Number of individuals answering "No" to the question Number of invalid or otherwise spoiled voting papers returned
Were the number of votes cast in the ballot at least 50% of the number of individuals who were entitled to vote in the ballot Does section 226(2B) of the 1992 Act apply in relation to this ballot (see notes 76-80)? If yes, were the number of individuals answering "Yes" to the question (or each question) at least 40% of the number of individuals who were entitled to vote in the ballot Ballot 6 Number of individual who were entitled to vote in the ballot Number of Individuals answering "Yes" to the question Number of Individuals answering "Yes" to the question 1 Sumber of individuals answering "Yes" to the question 1 Sumber of individuals answering "Yes" to the question 1 Sumber of individuals answering "No" to the question 2 Sumber of invalid or otherwise spoiled voting papers returned 3 Sumber of invalid or otherwise spoiled voting papers returned 3 Sumber of votes cast in the ballot at least 50% of the number of
Were the number of votes cast in the ballot at least 50% of the number of individuals who were entitled to vote in the ballot Does section 226(2B) of the 1992 Act apply in relation to this ballot (see notes 76-80)? If yes, were the number of individuals answering "Yes" to the question (or each question) at least 40% of the number of individuals who were entitled to vote in the ballot Ballot 6 Number of individuals answering "Yes" to the question Number of Individuals answering "Yes" to the question Number of Individuals answering "Yes" to the question Number of Individuals answering "No" to the question Number of individuals answering "No" to the question Number of invalid or otherwise spoiled voting papers returned 1-3 should total "Number of votes cast Were the number of votes cast in the ballot at least 50% of the number of individuals who were entitled to vote in the ballot

Ballots and Industrial Action: If you have 6 or more entries for either of these, please complete the Excel Spreadsheet

Ballots & Industrial Action: If you have 6 or more entries for either of these, please complete the Excel Spreadsheet			
(see note 81)			
*Categories of Nature of Trade Dispute			
A: terms and conditions of employment, or the physical conditions in which any workers require to work;			
B: engagement or non-engagement, or termination or suspension of employment or the duties of employment, of one or more workers;			
C: allocation of work or the duties of employment between workers or groups of workers;			
D: matters of discipline;			
E: a worker's membership or non-membership of a trade union;			
F: facilities for officials of trade unions;			
G: machinery for negotiation or consulation, and other procedures, relating to any of the above matters, including the recognition by employers or employers' associations of theright of a trade union to represent workers in such negotiation or consulation or in the carrying out of such procedures			
Did Union members take industrial action during the return period in response to any inducement on the part of the Union? YES/NO			
No			
If YES , for each industrial action taken please complete the information below:			
Industrial Action 1			
1. please tick the nature of the trade dispute for which industrial action was taken using the categories* below:			
2. Dates of the industrial action taken: to			
3. Number of days of industrial action:			
4. Nature of industrial action.			
Industrial Action 2			
1. please tick the nature of the trade dispute for which industrial action was taken using the categories* below:			
2. Dates of the industrial action taken: to			
3. Number of days of industrial action:			
4. Nature of industrial action.			
Industrial Action 3			
1. please tick the nature of the trade dispute for which industrial action was taken using the categories* below:			
2. Dates of the industrial action taken:			
2. Dates of the industrial action taken: to 3. Number of days of industrial action:			
4. Nature of industrial action.			

use a continuation page if necessary

	Industrial Action 4
	1. please tick the nature of the trade dispute for which industrial action was taken using the categories* below:
A	B C D E F G
	2. Dates of the industrial action taken: to
	3. Number of days of industrial action:
	4. Nature of industrial action.
	Industrial Action 5
	1. please tick the nature of the trade dispute for which industrial action was taken using the categories* below:
A	B C D E F G
	2. Dates of the industrial action taken: to
	3. Number of days of industrial action:
	4. Nature of industrial action.
	Industrial Action 6
	1. please tick the nature of the trade dispute for which industrial action was taken using the categories* below:
А	B C D E F G
	2. Dates of the industrial action taken: to
	3. Number of days of industrial action:
	4. Nature of industrial action.
	Industrial Action 7
	1. please tick the nature of the trade dispute for which industrial action was taken using the categories* below:
А	B C D E F G
	2. Dates of the industrial action taken: to
	3. Number of days of industrial action:
	4. Nature of industrial action.
	Industrial Action 8
	1. please tick the nature of the trade dispute for which industrial action was taken using the categories* below:
А	B C D E F G
	2. Dates of the industrial action taken: to
	3. Number of days of industrial action:
	4. Nature of industrial action.

Ballots & Industrial Action- If you have 6 or more entries for either of these, please complete the Excel Spreadsheet

Notes to the accounts

(see notes 82 and 83)

All notes to the accounts must be entered on or attached to this part of the return.

Accounting policies

(see notes 84 and 85)

Signatures to the annual return

(see notes 86 & 87)

Including the accounts and balance sheet contained in the return. Please copy and paste your electronic signature here

	9			
Secretary's Signature:		President's Signature:	(((((((((((((((((((
			(or other official whose position should be stated)	
Name:	Christian James Ronald Kitchen	Name:	Nicolas Garson Wilson	
Date:	31 May 2023	Date:	31 May 2023	

Checklist

(see notes 88 to 89)

(please tick as appropriate)

Has the return of change of officers been completed? (see Page 2 and Note 12)	Yes	x	No	
Has the list of officers in post been completed? (see Page 2 and Note 12)	Yes	x	No	
Has the return been signed? (see Pages 23 and 25 and Notes 86 and 95)	Yes	x	No	
Has the auditor's report been completed? (see Pages 20 and 21 and Notes 2 and 77)	Yes	Х	No	
Is a rule book enclosed? (see Notes 8 and 88)	Yes		No	х
A member statement is: (see Note 80)	Enclosed	x	To follow	
Has the summary sheet been completed? (see Page 17 and Notes 7 and 62)	Yes	x	To follow	
Has the membership audit certificate been completed? (see Page i to iii and Notes 97 and 103)	Yes	Χ	No	

General Fund Notes (forming part of the financial statements) for the year ended 31 December 2022

1 Statutory information

The National Union of Mineworkers is a trade union registered with the Certification Office under reference number 199T. The principal address is Miners' Offices, 2 Huddersfield Road, Barnsley, South Yorkshire, S70 2LS.

The financial statements are presented in sterling which is the functional currency of the Union and rounded to the nearest pound.

2 (a) Accounting policies

The following accounting policies have been applied consistently in dealing with items which are considered material in relation to the Union's financial statements.

(b) Basis of preparation

The financial statements have been prepared in accordance with the provisions of Section 1A "small entities" of Financial Reporting Standard 102 "The Financial Reporting Standard applicable in the UK and Republic of Ireland" and the Trade Union and Labour Relations (Consolidation) Act 1992. The financial statements have been prepared under the historical cost convention, with the exception of investment properties and investments which are carried at fair value.

The financial statements summarise the transactions and net assets of the Union.

(c) Significant Judgements and Estimates

The significant judgements and estimates used in the preparation of the financial statements are:

- i) The National Union of Mineworkers Officials' and Permanent Employees' Superannuation Fund is included in the financial statements at a valuation determine by an independent actuary. The assumptions used are detailed in note 24 of the financial statements
- ii) The NEC considers that the current carrying value of investment property in the financial statements is a reasonable representation of its actual market value. An independent valuation was obtained during the financial year. The accounting policy for this I detailed in note 2 (i)

(d) Area income and expenditure

With the exception of Nottingham, South Derbyshire, Yorkshire, Lancashire, North East and Scotland, the financial statements do not include the funds and the income and expenditure of the Constituent Associations, as they are separately constituted Unions and prepare their own financial statements. Nottingham, South Derbyshire, Yorkshire, Lancashire, North East and Scotland are no longer Constituent Associations and are a direct part of the National Union. The net assets of these Areas are therefore incorporated in these financial statements.

General Fund Notes (forming part of the financial statements) for the year ended 31 December 2022

(e) Contributions

In accordance with the Union's rules, Areas deduct an agreed amount before paying the remaining net contributions for the full 52 weeks to the General Fund. In accordance with Rule 31, the General Fund pays to the Political Fund the equivalent of four weeks contributions. The Political Fund in turn repays the contributions of those members who are exempt from the Political Fund.

(f) Investment income

Income from investments is included in the Income and Expenditure Account in the year in which it is receivable.

(g) Government grants including furlough payments

Grants which are received for compensation for expenses or losses which have already been incurred are recognisable in income when the grant is received or receivable.

(h) Fixed assets

Tangible fixed assets are stated at cost or valuation less accumulated depreciation and accumulated impairment losses. Cost includes costs directly attributable to making the asset capable of operating as intended.

Depreciation is provided on all tangible fixed assets, at rates calculated to write off the cost, less estimated residual value, of each asset on a systematic basis over its expected useful life as follows:

Office furniture and equipment-10% per annum on diminishing valueComputer and other electrical equipment-25% per annum on diminishing value

The current headquarters of the National Union of Mineworkers are based in Barnsley and are owned by the National Union of Mineworkers Yorkshire Area Trust Fund.

(i) Investment Property

Investment properties are included in the financial statements at the most recent valuation by Independent Chartered Surveyors. Any aggregate surplus or deficit arising from changes in market value is transferred to the consolidated statement of comprehensive income.

General Fund Notes (continued) for the year ended 31 December 2022

(j) Investments

Investments are shown in the financial statements at market value, with the exception of the Unity Trust Bank shares, which are held at cost.

(k) Deferred taxation

Deferred tax is recognised in respect of all timing differences that have originated but not reversed at the balance sheet date where transactions or events have occurred at that date that will result in an obligation to pay more, or a right to pay less or to receive more, tax.

Deferred tax is measured on an undiscounted basis at the tax rates that are expected to apply in the periods in which timing differences reverse, based on tax rates and laws enacted or substantively enacted at the balance sheet date.

(I) Pension costs

Defined Benefit Pension Scheme

The cost of funding pensions is charged to the income and expenditure account on a systematic and rational basis designed to spread the cost over the useful service lives of employees.

The Union, together with associated areas, operates a funded defined benefit pension scheme for the benefit of its employees. The assets of the scheme are administered by the Trustees in a fund independent from the Union. Service costs and gains and other pension scheme finance costs and income are charged or credited to the income and expenditure account. Actuarial gains and losses are credited or charged to the statement of total recognised gains and losses in the year in which they arise.

The pension scheme figures included in the financial statements of the Union under the above policy relate to the total scheme as the National Union of Mineworkers is the principal employer and it is considered impractical and onerous to separate other individual area employer amounts. Accordingly, the pension scheme asset included in these financial statements incorporates the assets and liabilities of the other area employers.

Defined Contribution Pension Scheme

The Union also made contributions to Employees' Private Pension Schemes. The annual contributions payable are charged to the income and expenditure account.

(m) VAT

Income and expenditure are stated gross of value added tax.

Checklist for auditor's report

(see notes 90 and 96)

The checklist below is for guidance. A report is still required either set out overleaf or by way of an attached auditor's report that covers the 1992 Act requirements.

1. In the opinion of the auditors or auditor do the accounts they have audited and which are contained in this return give a true and fair view of the matters to which they related? (See section 36(1) and (2) of the 1992 Act and notes 92 and 93)

Please explain in your report overleaf or attached.

2. Are the auditors or auditor of the opinion that the union has complied with section 28 of the 1992 Act and has:

a. kept proper accounting records with respect to its transactions and its assets and liabilities; and

b. established and maintained a satisfactory system of control of its accounting records, its cash holding and all its receipts and remittances. (See section 36(4) of the 1992 Act set out in note 92)

Please explain in your report overleaf or attached

3. Your auditors or auditor must include in their report the following wording:

In our opinion the financial statements:

• give a true and fair view of the matters to which they relate to.

• have been prepared in accordance with the requirements of the sections 28, 32 and 36 of the Trade Union and Labour Relations (consolidation) Act 1992.

the second s				
and the second second second				
The second second second				
Signature(s) of auditor or auditors:	Gille D to LL 1. 2			
	Gibson Boot United			
Name(s):	Gibson Booth Limited			
Profession(s) or Calling(s):	Chartered Accountants			
				1.0
Address(es):	12 Victoria Road		-	
	Barnsley			
	Danisicy		-	
		1		
Postcode	S70 2BB			
Date	31 May 2023			
Contact name for inquiries and telephone	Scott Mell			
number:	01226 213131			

N.B. When notes to the account are referred to in the auditor's report a copy of those notes must accompany this return.

Year ended 31 December 2022

Independent Auditors' Report to the members of National Union of Mineworkers

Opinion

We have audited the financial statements of National Union of Mineworkers (the 'Union) for the year ended 31 December 2022 which comprise specify the titles of the primary statements and notes to the financial statements, including significant accounting policies. The financial reporting framework that has been applied in their preparation is applicable law and United Kingdom Accounting Standards, including Financial Reporting Standard 102 *The Financial Reporting Standard applicable in the UK and Republic of Ireland* (United Kingdom Generally Accepted Accounting Practice).

In our opinion the financial statements:

- give a true and fair view of the state of the Union's affairs as at 31 December 2022, and of its
 incoming resources and application of resources, including its income and expenditure, for the year
 then ended;
- have been properly prepared in accordance with United Kingdom Generally Accepted Accounting Practice; and
- have been prepared in accordance with the requirements of the sections 28, 32 and 36 of the Trade Union and Labour Relations (Consolidation) Act 1992.

Basis for opinion

We conducted our audit in accordance with International Standards on Auditing (UK) (ISAs (UK)) and applicable law. Our responsibilities under those standards are further described in the Auditor's responsibilities for the audit of the financial statements section of our report. We are independent of the Union in accordance with the ethical requirements that are relevant to our audit of the financial statements in the UK, including the FRC's Ethical Standard, and we have fulfilled our other ethical responsibilities in accordance with these requirements. We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our opinion.

Emphasis of matter – defined benefit pension scheme

In forming our opinion, which is not qualified, we have considered the adequacy of the disclosures within the Union's financial statements, and inclusion in the financial statements of the total defined benefit scheme activities and net pension asset, as the Union is principal employer. The financial statements incorporate assets and liabilities relating to the defined benefit pension scheme for the other area employers which have not been quantified due to the circumstances outlined in accounting policy note 2(I).

Conclusions relating to going concern

In auditing the financial statements, we have concluded that the officials' use of the going concern basis of accounting in the preparation of the financial statements is appropriate.

Based on the work we have performed, we have not identified any material uncertainties relating to events or conditions that, individually or collectively, may cast significant doubt on the Union's ability to continue as a going concern for a period of at least twelve months from when the financial statements are authorised for issue.

Our responsibilities and the responsibilities of the National Executive Committee with respect to going concern are described in the relevant sections of this report.

Year ended 31 December 2022

Independent Auditors' Report to the members of National Union of Mineworkers (continued)

Other information

The other information comprises the information included in the National Executive Committee's annual report, other than the financial statements and our auditor's report thereon. The officials are responsible for the other information contained within the annual report. Our opinion on the financial statements does not cover the other information and, except to the extent otherwise explicitly stated in our report, we do not express any form of assurance conclusion thereon. Our responsibility is to read the other information and, in doing so, consider whether the other information is materially inconsistent with the financial statements or our knowledge obtained in the course of the audit or otherwise appears to be materially misstated. If we identify such material inconsistencies or apparent material misstatements, we are required to determine whether this gives rise to a material misstatement in the financial statements themselves. If, based on the work we have performed, we conclude that there is a material misstatement of this other information, we are required to report that fact.

We have nothing to report in this regard.

Opinions on other matters prescribed by the Trade Union and Labour Relations (Consolidation) Act 1992

In our opinion, based on the work undertaken in the course of the audit:

- the information given in the National Executive Committees report (incorporating the strategic report and the secretary's report) for the financial year for which the financial statements are prepared is consistent with the financial statements; and
- the secretary's report have been prepared in accordance with applicable legal requirements.

Matters on which we are required to report by exception

In the light of the knowledge and understanding of the Union and its environment obtained in the course of the audit, we have not identified material misstatements in the National Executive Committees report.

We have nothing to report in respect of the following matters in relation to which the Trade Union and Labour Relations (Consolidation) Act 1992 requires us to report to you if, in our opinion:

- adequate accounting records have not been kept, or returns adequate for our audit have not been received from branches not visited by us; or
- the financial statements are not in agreement with the accounting records and returns; or
- certain disclosures of directors' remuneration specified by law are not made; or
- we have not received all the information and explanations we require for our audit; or
- the Union was not entitled to prepare the financial statements in accordance with United Kingdom Generally Accepted Accounting Practice.

Year ended 31 December 2022

Independent Auditors' Report to the members of National Union of Mineworkers (continued)

Responsibilities of Trustees

As explained more fully in the Trustees responsibilities statement set out on page 1, the Trustees are responsible for the preparation of the financial statements and for being satisfied that they give a true and fair view, and for such internal control as the officials determine is necessary to enable the preparation of financial statements that are free from material misstatement, whether due to fraud or error.

In preparing the financial statements, the officials are responsible for assessing the Union's ability to continue as a going concern, disclosing, as applicable, matters related to going concern and using the going concern basis of accounting unless the officials either intend to liquidate the Union or to cease operations, or have no realistic alternative but to do so.

Auditor's responsibilities for the audit of the financial statements

Our objectives are to obtain reasonable assurance about whether the financial statements as a whole are free from material misstatement, whether due to fraud or error, and to issue an auditor's report that includes our opinion. Reasonable assurance is a high level of assurance, but is not a guarantee that an audit conducted in accordance with ISAs (UK) will always detect a material misstatement when it exists. Misstatements can arise from fraud or error and are considered material if, individually or in the aggregate, they could reasonably be expected to influence the economic decisions of users taken on the basis of these financial statements.

Irregularities, including fraud, are instances of non-compliance with laws and regulations. We design procedures in line with our responsibilities, outlined above, to detect material misstatements in respect of irregularities, including fraud. The specific procedures for this engagement and the extent to which these are capable of detecting irregularities, including fraud is detailed below:

We gained an understanding of the legal and regulatory framework applicable to the Union and the industry in which it operates, and considered the risk of acts by the Union that were contrary to applicable laws and regulations, including fraud. We design audit procedures to respond to the risk, recognising that the risk of not detecting a material misstatement due to fraud is higher than the risk of not detecting one resulting from error, as fraud may involve deliberate concealment by, for example, forgery or intentional misrepresentations, or through collusion.

We focussed on laws and regulations which could give rise to a material misstatement in the financial statements, including, but not limited to the Trade Union and Labour Relations (Consolidation) Act 1992. Our tests included agreeing the financial statement disclosures to underlying supporting documentation and enquiries with management. There are inherent limitations in the audit procedures described above and, the further removed non-compliance with laws and regulations is from the events and transactions reflected in the financial statements, the less likely we would become aware of it. We did not identify any key audit matters relating to irregularities, including fraud. As in all our audits, we also addressed the risk of management override of internal controls, including testing journals and evaluating whether there was evidence of bias by the members that represented a risk of material misstatement due to fraud.

Year ended 31 December 2022

Independent Auditors' Report to the members of National Union of Mineworkers (continued)

Because of the inherent limitations of an audit, there is a risk that we will not detect all irregularities, including those leading to a material misstatement in the financial statements or non-compliance with regulation. This risk increases the more that compliance with a law or regulation is removed from the events and transactions reflected in the financial statements, as we will be less likely to become aware of instances of non-compliance. The risk is also greater regarding irregularities occurring due to fraud rather than error, as fraud involves intentional concealment, forgery, collusion, omission or misrepresentation.

A further description of our responsibilities is available on the Financial Reporting Council's website at: <u>https://www.frc.org.uk/Our-Work/Audit/Audit-and-assurance/Standards-and-guidance/Standards-and-guidance-for-auditors/Auditors-responsibilities-for-audit/Description-of-auditors-responsibilities-for-audit.aspx</u>. This description forms part of our auditor's report.

Use of our report

This report is made solely to the Union's members, as a body, in accordance with the Trade Union and Labour Relations (Consolidation) Act 1992. Our audit work has been undertaken so that we might state to the Union's members those matters we are required to state to them in an auditor's report and for no other purpose. To the fullest extent permitted by law, we do not accept or assume responsibility to anyone other than the Union and the members as a body, for our audit work, for this report, or for the opinions we have formed.

(orber Buoh Unike)

25 May 2023

Gibson Booth Limited *Chartered Accountants Statutory Auditor* 12 Victoria Road Barnsley South Yorkshire S70 2BB

Membership audit certificate

made in accordance with section 24ZD of the Trade Union and Labour Relations (Consolidation) Act 1992

(See notes 97 to 103)

At the end of the reportign period proceeding the one to which this audit relates was the total membership of the trade union greater than 10,000?

NO

If "YES" please complete SECTION ONE below or provide the equivalent information on a separate document to be submitted with the completed AR21

If "NO" please complete SECTION TWO below or provide the equivalent information on a separate document to be submitted with the completed AR21

Membership audit certificate

Section one

For a trade union with more than 10,000 members, required by section 24ZB of the 1992 Act to appoint an independent assurer

- 1 In the opinion of the assurer appointed by the trade union was the union's system for compiling and maintaining its register of the names and addresses of its members satisfactory to secure, so far as is reasonably practicable, that the entries in its register were accurate and up-to-date throughout the reporting period?
- 2 In the opinion of the assurer has he/she obtained the inforamation and explanations necessary for the performance of his/her functions?

YES

If the answer to either questions 1 or 2 above is "NO" the assurer must:

- (a) set out below the assurer's reasons for stating that
- (b) provide a description of the information or explanation requested or required which has not been obtained
- (c) state whether the assurer required that information or those explanations from the union's officers, or officers of any of its branches or sections under section 24ZE of the 1992 Act
- (d) send a copy of this certificate to the Certification Officer as soon as is reasonably practicable after it is provided to the union.

Signature of assurer	
Name	
Address	
Date	
Contact name and telephone number	

Section two

For a trade union with no this audit relates.	more than 10,000 members at the end of the reporting period preceding the one to which		
To the best of your knowledge and belief has the trade union during this reporting period complied with its duty to compile and maintain a register of the names and addresses of it members and secured, so far asis reasonably practicable, that the entries in the register are accurate and up-to-date?			
YES			
If "No" Please explain below:			
Signature	6 R Hithen		
Name	Christian James Ronald Kitchen		
Office held	General Secretary		
Date 31 May 2023			