



Department  
for Education

## Data Operations - request for change form for CBDS

### RFC 1239

### School Capacity (SCAP) new data items and valid values

#### Type of change

Amendment to School Capacity (SCAP) data items and code sets

#### Name and team/company of RFC Originator:

Nicky Berryman, DOT

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#### Date RFC raised

24<sup>th</sup> January 2023

#### Date change required

As soon as possible

#### Priority

2

#### Priority ratings

**1 = Top** - Ministerial or legislative requirement

**2 = High** - Senior official customer requirement or clear net benefit / efficiency saving to EDD, department or MIS suppliers

**3 = Medium** - Customer requirement, marginal net benefit

**4 = Low** - Nice to have, net cost, does not affect functionality, cosmetic change

## Section 1 – details of change

### Data item / rule number

- 1 New data item – Special school indicator
- 2 New data item – SEND Unit capacity
- 3 New data item – RP Unit capacity
- 4 New data item – Primary SEND unit capacity
- 5 New data item – Primary RP capacity
- 6 New data item – Secondary SEND capacity
- 7 New data item – Secondary RP capacity
- 8 Valid values amendment - Governance code set

### Description of change

<b>New data item 1</b>	
Other Information	
Data item name	Special school indicator
Description	Records whether or not the establishment is a special school
Type and format	A(3)
Code set/valid values	Yes/No (defaulted to No)
Item level validation	Rule 9126 checks that the capacity provided for special school is a valid value for existing schools. Rule 9146 does the same thing for new schools
XML tag	<SpecialSchoolIndicator>
Status	Active
History notes	C
Multiplicity Notes	S

<b>New data item 2</b>	
Other Information	
Data item name	SEND unit capacity
Description	Records the total capacity of a special unit within a school
Type and format	9999
Code set/valid values	N/A
Item level validation	N/A
XML tag	<SENDUnitCapacity>
Status	Active
History notes	C
Multiplicity Notes	S

<b>New data item 3</b>	
Other Information	
Data item name	RP Capacity
Description	Records the total capacity of resourced provision for the school
Type and format	9999
Code set/valid values	N/A
Item level validation	N/A
XML tag	<RPCapacity>
Status	Active
History notes	C
Multiplicity Notes	S

<b>New data item 4</b>	
Other Information	
Data item name	Primary SEND unit capacity
Description	Records the primary SEND unit capacity for the school
Type and format	9999
Code set/valid values	N/A
Item level validation	N/A
XML tag	<PrimarySENDUnitCapacity>
Status	Active
History notes	C
Multiplicity Notes	S

<b>New data item 5</b>	
Other Information	
Data item name	Primary RP capacity
Description	Records the resourced provision capacity for the school.
Type and format	9999
Code set/valid values	N/A
Item level validation	N/A
XML tag	<PrimaryRPCapacity>
Status	Active
History notes	C
Multiplicity Notes	S

<b>New data item 6</b>	
Other Information	
Data item name	Secondary SEND unit capacity
Description	Records the secondary SEND unit capacity for the school
Type and format	9999
Code set/valid values	N/A
Item level validation	N/A
XML tag	<SecondarySENDUnitCapacity>

Status	Active
History notes	C
Multiplicity Notes	S

<b>New data item 7</b>	
Other Information	
Data item name	Secondary RP capacity
Description	Records the secondary resourced provision for the school
Type and format	9999
Code set/valid values	N/A
Item level validation	N/A
XML tag	<SecondaryRPCapacity>
Status	Active
History notes	C
Multiplicity Notes	S

8 Valid values amendment – Governance; CBDS reference N00748; code set CS026.

Request to amend notes associated with this code set as follows:

CO – add a note: “Includes special schools for SCAP from 2023 onwards.

FO – add a note: “Includes special schools for SCAP from 2023 onwards

CA – add a note: “Includes special schools for SCAP from 2023 onwards

NM – remove not saying this code is not valid for SCAP

(NB – the note saying that IN is not valid for SCAP should remain).

### **Reason for change (including benefits)**

SCAP does not currently collect capacity data for specialist places and institutions, or associated pupil forecasts. The absence of this data means that:

- i. The department’s Pupil Place Planning (PPP) Advisers and SEND Advisers are constrained in their ability to support and challenge local authorities on the sufficiency of local state provision.
- ii. DfE and LAs are collectively unable to quantify the overall level of specialist capacity in the system, including demand for additional places. This makes it difficult for LAs to quantify and articulate to DfE the place pressures they are facing, current levels of overcrowding and their forecasts of future pressures, hindering their ability to receive appropriate support and/or funding. The DfE is in turn constrained in its ability to articulate and provide evidence to the strategic centre of government as to the level of need for additional investment.
- iii. When delivering existing capital funding streams, we must rely on proxy metrics rather than local data on need. If capital investment is not targeted appropriately this may undermine our collective efforts to control wider system costs.

Improved data would also support a wide range of other critical departmental policy and operational functions, including the assessment and delivery of central capital programmes (such as free schools); high needs interventions (the ‘safety valve’ and Delivering Better Value); and broader SEN/AP policy.

Furthermore, instituting the collection of existing SEND capacity and associated forecasts for specialist placements via SCAP would be a powerful driver for desired wider behavioural change, encouraging LAs to further develop their capability for producing robust data on SEND demand (which can then be acted on by their local policy and strategy teams).

The amendment to the Governance code set is to reflect the changes described above.

### **Impact of not doing the change**

The opportunity to deliver the benefits described above would be lost.

### **Impact assessment to be undertaken by**

DfE working group (Multiple)

### **Date consulted**

24<sup>th</sup> January 2023

### **Response requested by**

31<sup>st</sup> January 2023

## **Section 2 – impact analysis**

### **Software suppliers' summary of impact assessment**

### **DfE internal colleagues' summary of impact assessment**

PPP – Changes are crucial to the departments collection of SEN capacity and SEN forecasts and these changes allow that collection and subsequent analysis to happen.

DOT - Accepted – impact to amend the spec is within resources.

### **Alternative Solutions / Workarounds (if appropriate)**

N/A

### **Impact Assessed by (name)**

Alan Brooks; DOT; 24/01/2023

### **Date**

## **Section 3 - outcome / decision**

**Date of review meeting**

**Attendees**

**Brief Summary of Discussion**

**Accept / Reject**

**If accept, provide details**

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