

# Department UK Seafood Fund: Infrastructure for Environment Calification Questions Fool & Aural Atlais

# Lead applicant information

This application needs to be completed in conjunction with and in addition to the application form within the UK Fisheries Support system. Once you have completed it in full, please upload it as a supporting document on the supporting information page.

Applicant first name:

Applicant last name:

Application reference number: Role in organisation:

Name of lead partner organisation:

Registered office address:

Registered website address (if applicable):

Registration date on Companies House:

Charity registration number (if applicable):

DUNS number (if applicable):







# **Application model**

Application type:

Are you applying as the lead contact for the consortium:

If yes please provide details listed in this section. If no, please proceed to the next section.

Name of consortium (if applicable):

Legal structure:



# Application model (contined)

Consortium members:

#### Group

Is the lead applicant part of a wider group:

If you have indicated above that the lead applicant is part of a wider group, please provide further details below:



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# Group (continued)

Name of parent organisation:

Relationship to the applicant completing these questions:

Are you able to provide parent company accounts if requested to a later staged?

#### **Grounds for exclusion**

The detailed grounds for mandatory exclusion of an organisation can be accessed through the link below, which should be referred to before completing these questions.

You must answer on behalf of your (the lead applicant's) organisation, and any consortium partners if applicable.

Please indicate if, within the past 5 years you, your organisation or any other person who has powers of representation, decision or control in the organisation been convicted anywhere in the world of any of the offences within the summary below and listed <u>here</u>.

Conviction for participation in a criminal organisation:

Conviction of corruption:

Conviction of fraud:

Conviction for terrorist offences linked to terrorist activities:

Conviction for money laundering or terrorist financing:





# Grounds for exclusion (continued)

Conviction for child labour and other forms of trafficking in human beings:

If you have answered Yes to any of the exclusion questions above, have measures been taken to demonstrate the reliability of the organisation despite the existence of a relevant ground for exclusion?

Has your organisation been in breach of obligations related to the payment of tax or social security contributions? This can be in relation to a judicial or administrative decision having final and binding effect in the accordance with the legal provisions of any part of the United Kingdom or the legal provisions of the country in which the organisation was established (if outside the UK).

If you have answered Yes to the above question, please provide further details. Please also confirm you have paid, or have entered into a binding arrangement with a view to paying, the outstanding sum including where applicable any accrued interest and/or fines.

Please note: The Authority reserves the right to use its discretion to exclude a potential recipient where it can demonstrate by any appropriate means that the potential recipient is in breach of its obligations relating to the non-payment of taxes or social security contributions



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# Grounds for exclusion (continued)

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The detailed grounds for discretionary exclusion of an organisation are set out <u>here</u>, which should be referred to before completing these questions.

You must answer on behalf of your (the lead applicant's) organisation, and any consortium partners if applicable.

Please indicate if, within the past 3 years and anywhere in the world, any of the following situations have applied to you, your organisation or any other person who has powers of representation, decision or control in the organisation.

Breach of environmental obligations?

Breach of social obligations?

Breach of labour law obligations?

Bankrupt or is the subject of insolvency or winding-up proceedings, where the organisation's assets are being administered by a liquidator or by the court, where it is in an arrangement with creditors, where its business activities are suspended, or it is in any analogous situation arising from a similar procedure under the laws and regulations of any state?

Guilty of grave professional misconduct?

Been involved in the development and design of this grant opportunity?

Have you had a grant or contract from the UK government department terminated in the past 5 years owing to poor performance?

If you have answered Yes to any of the exclusion questions above, explain what measures have been taken to demonstrate the reliability of the organisation despite the existence of a relevant ground for exclusion?



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# Economic and financial standing

Please indicate which of the following you have provided to demonstrate your economic and financial standing. Please also upload any documentation alongside this application form to the UK Fisheries Support System.

(a) a copy of your audited accounts for the last 2 years.

(b) statement of the turnover, Profit and Loss Account and/or Income Statement, Balance Sheet Statement of Financial Position and Statement of Cash Flow for the most recent year of trading for this organisation.

(c) a statement of the cash flow forecast for the current year and a bank letter outlining the current cash and credit position.

(d) alternative means of demonstrating financial status if any of the above are not available (e.g. forecast of turnover for the current year and a statement of funding provided by the owners and/or the bank, charity accruals accounts or an alternative means of demonstrating financial status)

(e) none of the above, please explain why below.



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# Economic and financial standing (continued)

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Please state the total amount of grant funding you are requesting in this application.

We are seeking applications for projects requesting funding between £150k and £5 million. Projects that fall outside of these thresholds will not be considered.

Please confirm the requested grant amount as a percentage of your organisation's total revenue for your last full financial year.

For example, if you are bidding for a £5,000,000 grant, and your total revenue for your last full financial year was £50,000,000. Then the total percentage of grant funding in relation to revenue would be 10%.

#### **General declarations**

Please answer the following two questions:

Please confirm that if successful, the applicant(s) accepts that the Authority will reserve the right to determine if and how results should be disseminated.

Will your organisations have the resources in place for work to start and conclude by the dates given in your application? Please refer expected project timescales in the guidance of the Section 2: Statement of Requirements in the ITA.





# **Conflict of interest**

You must answer on behalf of your (the lead applicant's) organisation, and any consortium partners if applicable.

Is/Are the Applicant(s) aware of any actual or potential conflicts of interest which may, actually or apparently, compromise the conduct of your proposed project?

A conflict of interest shall at least cover any situation where staff members who have influence in the outcome of this opportunity, have, directly or indirectly, a financial, economic or other personal interest which might be perceived to compromise the impartiality and independence of the funding decisions of this grant procedure.

If the answer to the above question was Yes, please give brief details of these and any proposed mitigation.





#### Modern Slavery Act 2015

In the UK, it is a requirement for all companies to adhere to the <u>Modern Slavery Act 2015</u>. Does your organisation comply with the Modern Slavery Act 2015?

If you answered No to the above question, please explain why?



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#### Additional questions - Insurance

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You must answer on behalf of your (the lead applicant's) organisation, and any consortium partners if applicable.

Applicants who self-certify that they meet the requirements to these additional questions will be required to provide evidence of this if requested.

Please self-certify whether you already have, or can commit to obtain, prior to the commencement of the approved project, the levels of insurance cover required by the country you are based in and operating within to deliver your proposed project by law.

If you have answered No to the above question, please explain why?



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#### Mandatory requirements

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If the applicant answers No to any of the mandatory requirements questions marked with an asterix (\*) then it will constitute a fail and the application will be eliminated from the grant competition.

\*Please confirm that your organisation and consortium members has a Health and Safety Policy that complies with current legislative requirements and that the staff understand and adhere to.

Has your organisation or any of its Directors or Executive Officers been in receipt of enforcement and/or remedial orders in relation to the Health and Safety Executive (or equivalent body) in the last 3 years?

If your answer to this question was Yes, please provide details below of any enforcement and/or remedial orders served and give details of any remedial action or changes to procedures you have made as a result.

The Authority will exclude bidders that have been in receipt of enforcement/remedial action orders unless the bidders can demonstrate to the Authority's satisfaction that appropriate remedial action has been taken to prevent future occurrences or breaches.

Marine Management Organisation

# Department UK Seafood Fund: Infrastructure for Er Kormert Chalification Quertons Foce & Pura Alfars Chalification Quertons

## Mandatory requirements (continued)

\*Confirm that any risk assessments required will be undertaken prior to any commencement of the grant activity detailed in the specification.

\*Confirm that the lead applicant and consortium partners involved in this application have an Environmental Policy and/or is actively pursuing sustainability within its operations.

If you need to submit any additional information to complete your response to the above questions, please include it with this application form.

#### **Government Buyer Standards**

Please confirm that, if successful, the applicant(s) will ensure they meet the minimum mandatory Government Buying Standards (GBS) standards when buying goods and services to deliver the approved project. The GBS can be found at <u>Sustainable procurement: the Government Buying Standards (GBS) - GOV.UK (www.gov.uk)</u>.

While successful applicants will be required to meet the minimum mandatory GBS standards, we would also encourage them, wherever possible, to meet the best practice standards, which go further than the mandatory standards.

This requirement is mandatory, failure to answer Yes will result in your application being ineligible.

If there are any foreseeable reasons why you might not be able to meet any of the relevant GBS Minimum Standards, please details these below:

If you use sub-contractors, do you have processes in place to check whether any of the above circumstances apply to these other organisations:



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#### Other related grants and grant funding applications

Please provide details of any grants received or applications for grant funding made by the lead applicant or, if applicable, consortium members or the wider group in the last 5 years for projects that potentially or actually overlap or link to this funding application.

Please provide the name of the funding organisation, the application and/or award reference, the title of project, the amount applied for and/or awarded, the start and end date of funding and whether the work funded has been completed.

Please identify if there are any overlaps or links to this funding application.

This information will be provided to Devolved Administrations in order for them to perform checks for duplicate funding.

We may contact you for further information on any grants you have received or have applied for.



Department

Environment

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#### Permissions:

Please provide evidence that you have all the required permissions to undertake your project. These might include, permission from the land owner and approved planning application. Please attach any supporting documents with your application form.

## Form of Application

Please read the Form of Application on the UKSF: <u>Infrastructure scheme page - UK Seafood Fund:</u> <u>Infrastructure Scheme - GOV.UK (www.gov.uk)</u>

Do you accept the Form of Application and by submitting this application you are confirming agreement to it?

#### Declaration

I declare that, to the best of my knowledge, the answers submitted and information contained in this document are correct and accurate.

I declare that, upon request and without delay, I will provide the certificates or documentary evidence referred to in this document.

I understand that the information will be used in the selection process to assess my organisation's suitability to participate further in this grant scheme.

I understand that the Authority may reject this submission in its entirety if there is a failure to answer all the relevant questions fully, or if false/misleading information or content is provided in any section.

I am aware of the consequences of serious misrepresentation.

I warrant that I am authorised to submit this application and confirm that this applicant has complied with all the requirements of the terms and conditions - Please read the Terms and Conditions on the UKSF: Infrastructure scheme page - <u>UK Seafood Fund: Infrastructure Scheme - GOV.UK (www.gov.uk)</u>





#### **Privacy notice**

Please confirm your agreement to the following:

We agree that we have read the privacy statement covering the use of the e-tendering system.

#### Additional notes:

Please use this space for any additional notes you might want to include: