

Annual implementation report for the Investment for growth and jobs goal
PART A

IDENTIFICATION OF THE ANNUAL IMPLEMENTATION REPORT

CCI	2014UK16RFOP001
Title	United Kingdom -ERDF England
Version	2021.1
Date of approval of the report by the monitoring committee	

IDENTIFICATION OF THE ANNUAL IMPLEMENTATION REPORT	1
2. OVERVIEW OF THE IMPLEMENTATION OF THE OPERATIONAL PROGRAMME (Article 50(2) and 111(3)(a) of Regulation (EU) No 1303/2013).....	8
2.1. Key information on the implementation of the operational programme for the year concerned, including on financial instruments, with relation to the financial and indicator data.....	8
3. IMPLEMENTATION OF THE PRIORITY AXIS (Article 50(2) of Regulation (EU) No 1303/2013).....	10
3.1. Overview of the implementation	10
3.2. Common and programme specific indicators (Article 50(2) of Regulation (EU) No 1303/2013).....	16
Table 3A: Common and programme specific output indicators for the ERDF, the ERDF REACT-EU and the Cohesion Fund (by priority axis, investment priority, broken down by category of region for the ERDF) - 1 / 1a	16
Table 3A: Common and programme specific output indicators for the ERDF, the ERDF REACT-EU and the Cohesion Fund (by priority axis, investment priority, broken down by category of region for the ERDF) - 1 / 1b	18
Table 3A: Common and programme specific output indicators for the ERDF, the ERDF REACT-EU and the Cohesion Fund (by priority axis, investment priority, broken down by category of region for the ERDF) - 10 / 8b	24
Table 3A: Common and programme specific output indicators for the ERDF, the ERDF REACT-EU and the Cohesion Fund (by priority axis, investment priority, broken down by category of region for the ERDF) - 2 / 2a	26
Table 3A: Common and programme specific output indicators for the ERDF, the ERDF REACT-EU and the Cohesion Fund (by priority axis, investment priority, broken down by category of region for the ERDF) - 2 / 2b	29
Table 3A: Common and programme specific output indicators for the ERDF, the ERDF REACT-EU and the Cohesion Fund (by priority axis, investment priority, broken down by category of region for the ERDF) - 3 / 3a	32
Table 3A: Common and programme specific output indicators for the ERDF, the ERDF REACT-EU and the Cohesion Fund (by priority axis, investment priority, broken down by category of region for the ERDF) - 3 / 3c	36
Table 3A: Common and programme specific output indicators for the ERDF, the ERDF REACT-EU and the Cohesion Fund (by priority axis, investment priority, broken down by category of region for the ERDF) - 3 / 3d	40
Table 3A: Common and programme specific output indicators for the ERDF, the ERDF REACT-EU and the Cohesion Fund (by priority axis, investment priority, broken down by category of region for the ERDF) - 4 / 4a	44
Table 3A: Common and programme specific output indicators for the ERDF, the ERDF REACT-EU and the Cohesion Fund (by priority axis, investment priority, broken down by category of region for the ERDF) - 4 / 4b	47
Table 3A: Common and programme specific output indicators for the ERDF, the ERDF REACT-EU and the Cohesion Fund (by priority axis, investment priority, broken down by category of region for the ERDF) - 4 / 4c	49
Table 3A: Common and programme specific output indicators for the ERDF, the ERDF REACT-EU and the Cohesion Fund (by priority axis, investment priority, broken down by category of region for the ERDF) - 4 / 4e	52
Table 3A: Common and programme specific output indicators for the ERDF, the ERDF REACT-EU and the Cohesion Fund (by priority axis, investment priority, broken down by category of region for the ERDF) - 4 / 4f.....	54
Table 3A: Common and programme specific output indicators for the ERDF, the ERDF REACT-EU and the Cohesion Fund (by priority axis, investment priority, broken down by category of region for the ERDF) - 5 / 5b	57
Table 3A: Common and programme specific output indicators for the ERDF, the ERDF REACT-EU and the Cohesion Fund (by priority axis, investment priority, broken down by category of region for the ERDF) - 6 / 6d	59
Table 3A: Common and programme specific output indicators for the ERDF, the ERDF REACT-EU and the Cohesion Fund (by priority axis, investment priority, broken down by category of region for the ERDF) - 6 / 6f.....	61
Table 3A: Common and programme specific output indicators for the ERDF, the ERDF REACT-EU and the Cohesion Fund (by priority axis, investment priority, broken down by category of region for the ERDF) - 7 / 7a	64
Table 3A: Common and programme specific output indicators for the ERDF, the ERDF REACT-EU and the Cohesion Fund (by priority axis, investment priority, broken down by category of region for the ERDF) - 7 / 7b	66
Table 3A: Common and programme specific output indicators for the ERDF, the ERDF REACT-EU and the Cohesion Fund (by priority axis, investment priority, broken down by category of region for the ERDF) - 7 / 7c	68
Table 3A: Common and programme specific output indicators for the ERDF, the ERDF REACT-EU and the Cohesion Fund (by priority axis, investment priority, broken down by category of region for the ERDF) - 8 / 9d	71
Table 3A: Common and programme specific output indicators for the ERDF, the ERDF REACT-EU and the Cohesion Fund (by priority axis, investment priority, broken down by category of region for the ERDF) - 9	74
Table 1: Result indicators for the ERDF, the ERDF REACT-EU and the Cohesion Fund (by priority axis and specific objective); applies also to Technical Assistance priority axis - 9 / 9.1	76
Table 1: Result indicators for the ERDF, the ERDF REACT-EU and the Cohesion Fund (by priority axis and specific objective); applies also to Technical Assistance priority axis - 9 / 9.2	77
Table 3B: Number of enterprises supported by the operational programme net of multiple support to the same enterprises	78
Table 5: Information on the milestones and targets defined in the performance framework	79
3.4 Financial data (Article 50(2) of Regulation (EU) No 1303/2013)	85
Table 6: Financial information at priority axis and programme level.....	85

Table 7: Breakdown of the cumulative financial data by category of intervention for the ERDF, the ERDF REACT-EU, the ESF, the ESF REACT-EU and the Cohesion Fund (Article 112(1) and (2) of Regulation (EU) No 1303/2013 and Article 5 of Regulation (EU) No 1304/2013).....	87
Table 8: The use made of cross-financing	103
Table 9: Cost of operations implemented outside the programme area (the ERDF, the ERDF REACT-EU and the Cohesion Fund under the Investment for growth and jobs goal)	105
Table 10: Expenditure incurred outside the Union (ESF and ESF REACT-EU).....	106
4. SYNTHESIS OF THE EVALUATIONS	107
6 ISSUES AFFECTING THE PERFORMANCE OF THE PROGRAMME AND MEASURES TAKEN (Article 50(2) of Regulation (EU) No 1303/2013)	109
7. CITIZEN'S SUMMARY	115
8. REPORT ON THE IMPLEMENTATION OF FINANCIAL INSTRUMENTS.....	116
Cheshire & Warrington Urban Development Fund PA1	116
Cheshire & Warrington UDF PA1	119
<i>Cheshire & Warrington UDF PA1 Loan Fund</i>	<i>121</i>
Cheshire & Warrington Urban Development Fund PA3	123
Cheshire & Warrington UDF PA3	126
<i>Cheshire & Warrington UDF PA3 Loan Fund</i>	<i>128</i>
Cheshire & Warrington Urban Development Fund PA4	131
Cheshire & Warrington UDF PA4	134
<i>Cheshire & Warrington UDF PA4 Loan Fund</i>	<i>136</i>
Cornwall & Isles of Scilly - PA1	139
Cornwall & Isles of Scilly Fund - PA1	142
<i>CIoS PA1 - Equity Fund</i>	<i>144</i>
<i>CIoS PA1 - Loan Fund</i>	<i>145</i>
Cornwall & Isles of Scilly - PA3	149
Cornwall & Isles of Scilly Fund - PA3	153
<i>CIoS PA3 - Loan Fund</i>	<i>155</i>
<i>CIoS PA3 - Equity Fund</i>	<i>157</i>
Greater London Investment Fund - PA3	160
Greater London Investment Fund PA3	163
<i>Loan Fund</i>	<i>165</i>
<i>Mezzanine Fund</i>	<i>166</i>
<i>Venture Fund</i>	<i>167</i>
Greater Manchester UDF - North West Evergreen Fund 2 - PA1	170
Greater Manchester UDF - North West Evergreen Fund 2 - PA1	173
<i>North West Evergreen Fund</i>	<i>175</i>
Greater Manchester UDF - PA4	178
Greater Manchester UDF - Low Carbon Fund - PA4	181
<i>Loan</i>	<i>183</i>
Greater Manchester UDF - North West Evergreen Fund 2 - PA4	185
<i>Loan</i>	<i>187</i>
Lancashire Urban Development Fund	190
Lancashire Urban Development Fund PA3	193
<i>Loan Fund</i>	<i>195</i>
Liverpool City Region Urban Development Fund PA1	197
Liverpool City Region UDF PA1	200
<i>Liverpool City Region UDF PA1 Loan Fund</i>	<i>202</i>
Liverpool City Region Urban Development Fund PA3	204
Liverpool City Region UDF PA3	207
<i>Liverpool City Region UDF PA3 Loan</i>	<i>209</i>
Liverpool City Region Urban Development Fund PA4	212
Liverpool City Region UDF PA4	214
<i>Liverpool City Region UDF PA4 Loan</i>	<i>216</i>
London Mayoral Energy Efficiency Fund - PA4	219
London Mayoral Energy Efficiency Fund PA4	222
<i>London Mayoral Fund</i>	<i>223</i>
Low Carbon Innovation Fund 2	227
Low Carbon Innovation Loan Fund 2	229
<i>Equity Fund</i>	<i>231</i>

Loan Fund	232
Midlands Engine Investment Fund - PA1	235
Midlands Engine - Debt Fund - West Midlands - PA1	239
Debt Fund - West Midlands - PA1	241
Midlands Engine - Proof of Concept - PA1	244
Proof of Concept	246
Midlands Engine - Small Business Loans - East Midlands - PA1	249
Small Business Loans - East Midlands	251
Midlands Engine - Small Business Loans - West Midlands - PA1	254
Small Business Loans - West Midlands	256
Midlands Engine Debt Fund - East Midlands - PA1	259
Debt Fund - East Midlands - PA1	260
Midlands Engine Equity Fund - East Midlands - PA1	263
Equity Fund - East Midlands - PA1	265
Midlands Engine Equity Fund - West Midlands - PA1.....	268
Equity Fund - WM - PA1	270
Midlands Engine – Debt Fund – East Midlands (FSE) – PA1	273
Debt Fund East Midlands - FSE	275
Midlands Engine Investment Fund - PA3	279
Midlands Engine - Debt Fund - East Midlands - PA3	282
Debt Fund - East Midlands - PA3	284
Midlands Engine - Debt Fund - West Midlands - PA3	288
Debt Fund - West Midlands - PA3	290
Midlands Engine - Equity Fund - East Midlands - PA3.....	293
Equity Fund - East Midlands - PA3	295
Midlands Engine - Equity Fund - West Midlands - PA3	298
Equity Fund - West Midlands - PA3	300
Midlands Engine - Proof of Concept - PA3	303
Proof of Concept	305
Midlands Engine - Small Business Loans - East Midlands - PA3	309
Small Business loans - East Midlands - PA3	311
Midlands Engine - Small Business Loans - West Midlands - PA3	314
Small Business Loans - West Midlands - PA3	316
Midlands Engine – Debt Fund – East Midlands (FSE) – PA3	319
Debt Fund East Midlands - FSE PA3	321
Midlands Engine Investment Fund - PA4	325
Midlands Engine - Debt Fund - East Midlands - PA4	328
Debt Fund - East Midlands - PA4	330
Midlands Engine - Debt Fund - West Midlands - PA4	333
Debt Fund - West Midlands - PA4	335
Midlands Engine - Equity - East Midlands - PA4.....	337
Equity Fund - East Midlands - PA4	339
Midlands Engine - Equity Fund - West Midlands - PA4	342
Equity Fund - West Midlands - PA4	344
Midlands Engine - Proof of Concept - PA4	346
Proof of Concept	348
Midlands Engine - Small Business Loans - East Midlands - PA4	351
Small Business Loans - PA4	353
Midlands Engine - Small Business Loans - West Midlands - PA4.....	355
Small Loans - West Midlands - PA4	357
Midlands Engine – Debt Fund – East Midlands (FSE) – PA4.....	360
Debt Fund East Midlands - FSE PA4	362
The North East Fund PA3	365
North East Development Fund.....	368
Development Equity Fund	370
Development Quasi Equity Fund	371
Development Loan Fund	372
North East Growth Fund	375
Growth Equity Fund	377
Growth Quasi Equity Fund	378
Growth Loan Fund	379
North East Innovation Fund.....	381
Innovation Equity	383
Innovation Loan	384

North East Micro Loan Fund	388
<i>North East Micro Loan Fund</i>	390
<i>North East Micro Loan Fund - Micro Loans</i>	391
North East Venture Fund	394
<i>Venture Loans</i>	396
<i>Venture Quasi-Equity</i>	397
<i>Venture Equity</i>	398
The North East Fund PA4	401
North East Development Fund	405
<i>Development Equity - PA4</i>	407
<i>Development Loan - PA4</i>	408
<i>Development Quasi Equity - PA4</i>	409
North East Growth Fund	411
<i>Growth Quasi Equity - PA4</i>	413
<i>Growth Loan - PA4</i>	414
<i>Growth Equity - PA4</i>	415
North East Innovation Fund	417
<i>Innovation Loans - PA4</i>	419
<i>Innovation Equity - PA4</i>	420
North East Micro Loan	422
<i>Micro Loans - Loans PA4</i>	424
<i>Micro Loans - Micro Loans - PA4</i>	425
North East Venture Fund	427
<i>Venture Loan - PA4</i>	429
<i>Venture Equity - PA4</i>	431
<i>Venture Quasi Equity - PA4</i>	431
The Northern Powerhouse Investment Fund - PA1	434
The Northern Powerhouse - Debt Fund - Tees Valley & Cumbria - PA1	437
<i>Debt</i>	439
The Northern Powerhouse - Debt Fund - The North West Excluding Cumbria - PA1	443
<i>Debt Fund - PA1</i>	445
The Northern Powerhouse - Debt Fund - Yorks & Humber - PA1	448
<i>Debt</i>	450
The Northern Powerhouse - Equity Fund - YH & TV - PA1	453
<i>Equity - PA1</i>	455
The Northern Powerhouse - Equity Fund North West - PA1	458
<i>Equity Fund - PA1</i>	460
The Northern Powerhouse - Micro Loan Fund Yorks & Humber & Tees Valley - PA1	463
<i>Micro Loan</i>	465
The Northern Powerhouse - Micro loan Fund North West - PA1	468
<i>Micro Loan</i>	470
The Northern Powerhouse Investment Fund - PA3	474
The Northern Powerhouse - Debt Fund - North West Excluding Cumbria - PA3	477
<i>Debt Fund - PA3</i>	479
The Northern Powerhouse - Debt Fund - Tees Valley & Cumbria - PA3	482
<i>Debt Fund - PA3</i>	484
The Northern Powerhouse - Debt Fund - Yorks & Humber - PA3	487
<i>Debt Fund - PA3</i>	489
The Northern Powerhouse - Equity Fund North West - PA3	493
<i>Equity Fund PA3</i>	494
The Northern Powerhouse - Micro Loan Fund - North West - PA3	498
<i>Micro Loan Fund - PA3</i>	500
The Northern Powerhouse - Micro Loan Fund - York & Humber & Tees Valley	503
<i>Micro Loan Fund - PA3</i>	505
The Northern Powerhouse - Equity Fund Yorks & Tees Valley - PA3	508
<i>Equity Fund - PA3</i>	510
The Northern Powerhouse Investment Fund - PA4	513
The Northern Powerhouse - Debt Fund - North West Excluding Cumbria	517
<i>Debt Fund - PA4</i>	519
The Northern Powerhouse - Debt Fund - Tees Valley & Cumbria	521
<i>Debit Fund - PA4</i>	523
The Northern Powerhouse - Debt Fund - Yorks & Humber - PA4	526
<i>Debt Fund - PA4</i>	528
The Northern Powerhouse - Equity Fund - North West - PA4	530

<i>Equity Fund - PA4</i>	532
The Northern Powerhouse - Equity Fund - Yorks & Humber & Tees Valley	535
<i>Equity Fund - Yorks - PA4</i>	537
The Northern Powerhouse - Micro Loan Fund - North West - PA4	539
<i>Micro Loan Fund - PA4</i>	541
The Northern Powerhouse - Micro Loan Fund - Yorks & Humber & Tees Valley	544
<i>Micro Loan Fund - PA4</i>	546
9. Optional for the report to be submitted in 2016, not applicable to other light reports: ACTIONS TAKEN TO FULFILL EX-ANTE CONDITIONALITIES	549
10. PROGRESS IN PREPARATION AND IMPLEMENTATION OF MAJOR PROJECTS AND JOINT ACTION PLANS (Article 101(h) and 111(3) of Regulation (EU) No 1303/2013)	551
10.1. Major projects.....	551
10.2. Joint action plans	554
11. ASSESSMENT OF THE IMPLEMENTATION OF THE OPERATIONAL PROGRAMME (Articles 50(4) and 111(4) of Regulation (EU) No 1303/2013)	557
11.1. Information in Part A and achieving objectives of the programme (Article 50(4) of Regulation (EU) No 1303/2013)	557
11.2. Specific actions taken to promote equality between men and women and to prevent discrimination, in particular accessibility for persons with disabilities, and the arrangements implemented to ensure the integration of the gender perspective in the operational programme and operations (Articles 50(4) and 111(4), second subparagraph, (e) of Regulation (EU) No 1303/2013)	558
11.3. Sustainable development (Articles 50(4) and 111(4), second subparagraph, (f) of Regulation (EU) No 1303/2013)	558
11.4. Reporting on support used for climate change objectives (Article 50(4) of Regulation (EU) No 1303/2013) ..	558
11.5. Role of partners in the implementation of the programme	558
12. OBLIGATORY INFORMATION AND ASSESSMENT ACCORDING TO ARTICLE 111(4), FIRST SUBPARAGRAPH, (a) AND (b), OF REGULATION (EU) No 1303/2013	559
12.1. Progress in implementation of the evaluation plan and the follow-up given to the findings of evaluations.....	559
12.2. The results of the information and publicity measures of the Funds carried out under the communication strategy	561
13. ACTIONS TAKEN TO FULFILL EX-ANTE CONDITIONALITIES (Article 50(4) of Regulation (EU) No 1303/2013) (May be included in report to be submitted in 2016 (see point 9 above). Required in report submitted in 2017) Option: progress report	562
14. ADDITIONAL INFORMATION WHICH MAY BE ADDED DEPENDING ON THE CONTENT AND OBJECTIVES OF THE OPERATIONAL PROGRAMME (Article 111(4), second subparagraph, (a), (b), (c), (d), (g) and (h), of Regulation (EU) No 1303/2013)	563
14.1. Progress in the implementation of the integrated approach to territorial development, including development of regions facing demographic challenges and permanent or natural handicaps, integrated territorial investments, sustainable urban development, and community led local development under the operational programme	563
14.2. Progress in the implementation of actions to reinforce the capacity of Member State authorities and beneficiaries to administer and use the Funds	563
14.3. Progress in the implementation of any interregional and transnational actions	563
14.4. Where appropriate, the contribution to macro-regional and sea basin strategies	563
14.5. Progress in the implementation of actions in the field of social innovation, where appropriate	564
14.6. Progress in the implementation of measures to address the specific needs of geographical areas most affected by poverty or of target groups at highest risk of poverty discrimination or social exclusion, with special regard to marginalised communities and persons with disabilities, long term unemployment and young people not in employment including, where appropriate, the financial resources used	564
15. FINANCIAL INFORMATION AT PRIORITY AXIS AND PROGRAMME LEVEL (Articles 21(2) and 22(7) of Regulation (EU) No 1303/2013)	565
16. SMART, SUSTAINABLE AND INCLUSIVE GROWTH (option progress report)	566
17. ISSUES AFFECTING THE PERFORMANCE OF THE PROGRAMME AND MEASURES TAKEN — PERFORMANCE FRAMEWORK (Article 50(2) of Regulation (EU) No 1303/2013)	567
Documents	568

2. OVERVIEW OF THE IMPLEMENTATION OF THE OPERATIONAL PROGRAMME (ARTICLE 50(2) AND 111(3)(A) OF REGULATION (EU) NO 1303/2013)

2.1. Key information on the implementation of the operational programme for the year concerned, including on financial instruments, with relation to the financial and indicator data.

Exchange rate in the AIR narratives

Sterling values in the AIR tables were converted using a FOREX rate €1=£0.8860, except performance framework table 5 where the euro values are actuals.

Contracting Projects

As of December 2021 **1,088** projects (inc.11 financial instruments (FIs)) with ERDF of **£3,023bn** (€3,412bn) have been contracted, excluding PA9 balance remaining. This represents 94% of the programme budget.

As of December 2021 there remain 57 pipeline applications requesting ERDF of £71m (€80.14m). Adding contracted projects with those in the pipeline, represents 96% of the current programme budget.

N+3 2022 Target: Achieved well ahead of scheduled. Cumulative ECPAs to end December 2021 were valued at €2,383bn against the 2022 target of €2,134bn.

2023 Performance Framework (PF) Targets - Expenditure /Outputs: Steady progress is being made. All expenditure targets are *contracted* above the minimum threshold (65%). For PF outputs, all regions have contracted sufficient to achieve the minimum threshold: however:

- PA8 - All categories of region. Limited expenditure incurred and with low outputs achieved to date. Projects are being reprofiled and funding will be recycled.
- PA5 - MDR output contracted target (businesses & properties with reduced flood risk) will not be achieved. This has been flagged to the EC through the AIR.

Financial Instruments

The programme has 11 financial instruments which as of the end of 2021 have commitments worth £531.4m (€600m) ERDF

These comprise of **6 access to finance fund** of funds:

Northern Powerhouse Investment Fund, a £402m (€454m) project, £140m (€158m) ERDF;

Midlands Engine Investment Fund, a £266m (€294.5m) project, £78.5m (€88.6m) ERDF;

North East Fund, a £140m (€158m) project, £58.5m (€66m) ERDF;

Cornwall & Isles of Scilly Fund a £40m (€45m) project, £32m (€36m) ERDF;

London SME Fund a £100m (€113m) project, £35m (€39m) ERDF;

Low Carbon Innovation Fund II, a £22.56m (€25.59m) project, £11.2m (€12.6m) ERDF;

and **5 urban development funds:**

Greater Manchester Fund of Funds, a £120m (€135m) project, £60m (€68m) ERDF;

Mayor of London Energy Efficiency Fund a £102.4m (€115.6m) project, £51.2m (€57.8m) ERDF;

Liverpool UDF, a £43.2m (€48.7m) project, £25m (€28m) ERDF;

Cheshire & Warrington UDF, a £40m (€45m) project, £20m (€22m) ERDF;

Lancashire UDF, a £33m (€37m) project, £20m (€22m) ERDF.

Overall, by the end of December 2021, £389.9m (€440m) ERDF had been drawn down by financial instruments.

Eclaims

The E-CLAIMS system has been used internally by the MA since 2017, with the build formally ending in May 2018. The MA, CA and GIAA regularly use the system to support their individual business activities with circa 1,700 external ERDF users using the system for submitting claims for payment, progress reports and project change requests.

Overall Payment Applications

The Certifying Authority submitted 4 payment applications in 2021. Overall, since 2015 the total eligible expenditure submitted to the EC for reimbursement cumulative up to 31st December 2021 is 53% (€3,496m) and the ERDF drawn down cumulative is 65% (€2,383m) of the ERDF programme value.

3. IMPLEMENTATION OF THE PRIORITY AXIS (ARTICLE 50(2) OF REGULATION (EU) NO 1303/2013)

3.1. Overview of the implementation

ID	Priority axis	Key information on the implementation of the priority axis with reference to key developments, significant problems and steps taken to address these problems
1	Promoting Research and Innovation	<p>At the end of 2021, 292 projects had been legally committed, with a total eligible expenditure of £1,278.89m (€1,443.45m). This equates to 107.11% of the Less Developed, 107.38% of the Transition, and 93.97% of the More Developed Regions' total allocations.</p> <p>In the Less Developed region, paid expenditure was £67.48m (€77.92m) in 17 projects. Cumulatively this equates to 66.88% of the 2023 financial PF target, and 104.5% of the 2023 non-financial PF target.</p> <p>In the Transition regions, paid expenditure was £237.13m (€273.82m) in 121 projects. Cumulatively this equates to 68.91% of the 2023 financial PF target, and 77.4% of the 2023 non-financial PF target.</p> <p>In More Developed areas the paid expenditure was £447.25m (€516.43m) in 193 projects. Cumulatively this equates to 52.54% of the 2023 financial PF target, and 104.4% of the 2023 non-financial PF target.</p> <p>There was 1 new call in 2021 for £3m. At the start of the year there were 57 applications in appraisal and at the end of the year there were 2 in appraisal which include performing projects requesting additional funds.</p> <p>When accounting for the full value of applications in appraisal, overall the programme is expected to result in 107.11% of the Less Developed, 107.38% of the Transition and 94.44% of the More Developed Regions' notional allocations being committed (Total Eligible). These commitments will support the achievement of the vast majority of the 2023 non-financial PF targets (output targets) subject to areas of concern detailed in Section 6a.</p>
10	Supporting the implementation of Local Economic CV-19 Recovery Action Plans	<p>At the end of 2021, 1 project had been legally committed, with a total eligible expenditure of £107.88m (€121.76m).</p> <p>As per the Operational Programme including match funding as per the SFC this equates to 189.5% of the Less Developed, 137.38% of the Transition, and 103.54% of the More Developed Regions' total allocations.</p> <p>2 claims to date have been paid for £735k as at the end Dec 2021. No outputs have been claimed to date (0% of the 2023 non-financial PF target)</p>

ID	Priority axis	Key information on the implementation of the priority axis with reference to key developments, significant problems and steps taken to address these problems
2	Enhancing access to, and use and quality of, ICT	<p>At the end of 2021, 42 projects had been legally committed, with a total eligible expenditure of £162.06m (€182.91m). This equates to 99.22% of the Less Developed, 91.84% of the Transition, and 89.78% of the More Developed Regions' total allocations.</p> <p>In the Less Developed region, paid expenditure was £17.23m (€19.89m) in 4 projects. Cumulatively this equates to 81.71% of the 2023 financial PF target, and 103.9% of the 2023 non-financial PF target.</p> <p>In the Transition regions, paid expenditure was £12.85m (€14.83m) in 11 projects. Cumulatively this equates to 40.66% of the 2023 financial PF target, and 52.9% of the 2023 non-financial PF target.</p> <p>In More Developed areas the paid expenditure was £61.36m (€70.85m) in 23 projects. Cumulatively this equates to 49.13% of the 2023 financial PF target, and 53.8% of the 2023 non-financial PF target.</p> <p>There were no new calls in 2021 and is as expected given the maturity of the Programme. At the start of the year there were 13 applications in appraisal and at the end of the year there was 1 in appraisal.</p> <p>When accounting for the full value of applications in appraisal, overall the programme is expected to result in 99.22% of the Less Developed, 91.84% of the Transition and 90.88% of the More Developed Regions' notional allocations being committed (Total Eligible).</p>
3	Enhancing the Competitiveness of SMEs	<p>At the end of 2021, 427 projects had been legally committed, with a total eligible expenditure of £2,811.91m (€3173.71m). This equates to 103.64% of the Less Developed, 117.89% of the Transition, and 117.20% of the More Developed Regions' total allocations.</p> <p>In the Less Developed region, paid expenditure was £134.5m (€155.3m) in 43 projects. Cumulatively this equates to 70.5% of the 2023 financial PF target, and 311.5 % of the 2023 non-financial PF target.</p> <p>In the Transition regions, paid expenditure was £608.2m (€702.3m) in 174 projects. Cumulatively this equates to 79.2% of the 2023 financial PF target, and 59.5% of the 2023 non-financial PF target.</p> <p>In More Developed areas the paid expenditure was £1199.2m (€1,384.8m) in 269 projects. Cumulatively this equates to 82.2% of the 2023 financial PF target, and 111.3% of the 2023 non-financial PF target.</p>

ID	Priority axis	Key information on the implementation of the priority axis with reference to key developments, significant problems and steps taken to address these problems
		<p>There were no new calls in 2021 and is as expected given the maturity of the Programme. At the start of the year there were 110 applications in appraisal and at the end of the year there were 33 in appraisal which include performing projects requesting additional funds.</p> <p>When accounting for the full value of applications in appraisal, overall the programme is expected to result in 103.64% of the Less Developed, 120.32% of the Transition and 120.73% of the More Developed Regions' notional allocations being committed (Total Eligible). These commitments will support the achievement of the vast majority of the 2023 non-financial PF targets (output targets) subject to areas of concern detailed in Section 6a.</p>
4	Supporting the Shift Towards a Low Carbon Economy in all Sectors	<p>At the end of 2021, 165 projects had been legally committed, with a total eligible expenditure of £1141.49m (€1,288.36m). This equates to 123.09% of the Less Developed, 93.97% of the Transition, and 89.77% of the More Developed Regions' total allocations.</p> <p>In the Less Developed region, paid expenditure was £79.2m (€91.4m) in 8 projects. Cumulatively this equates to 94% of the 2023 financial PF target, and 42.2% of the 2023 non-financial PF target.</p> <p>In the Transition regions, paid expenditure was £138.7m (€160.2m) in 53 projects. Cumulatively this equates to 49.6% of the 2023 financial PF target, and 32.3% of the 2023 non-financial PF target.</p> <p>In More Developed areas the paid expenditure was £405.9m (€468.7m) in 99 projects. Cumulatively this equates to 47% of the 2023 financial PF target, and 43.9% of the 2023 non-financial PF target.</p> <p>There were no new calls in 2021 and is as expected given the maturity of the Programme. At the start of the year there were 56 applications in appraisal and at the end of the year there were 14 in appraisal which include performing projects requesting additional funds.</p> <p>When accounting for the full value of applications in appraisal, overall the programme is expected to result in 123.09% of the Less Developed, 99.37% of the Transition and 94.86% of the More Developed Regions' notional allocations being committed (Total Eligible). These commitments will support the achievement of the vast majority of the 2023 non-financial PF targets (output targets) subject to areas of concern detailed in Section 6a.</p>

ID	Priority axis	Key information on the implementation of the priority axis with reference to key developments, significant problems and steps taken to address these problems
5	Promoting Climate Change Adaptation, Risk Prevention and Management	<p>At the end of 2021, 28 projects had been legally committed, with a total eligible expenditure of £157.18m (€177.4m). This equates to 156.55% of the Less Developed, 123.93% of the Transition, and 124.85% of the More Developed Regions' total allocations.</p> <p>In the Less Developed region, paid expenditure was £6.8m (€7.9m) in 3 projects. Cumulatively this equates to 49.6% of the 2023 financial PF target, and 0% of the 2023 non-financial PF target.</p> <p>In the Transition regions, paid expenditure was £36.3m (€41.9m) in 10 projects. Cumulatively this equates to 55% of the 2023 financial PF target, and 9.2% of the 2023 non-financial PF target.</p> <p>In More Developed areas the paid expenditure was £23.2m (€26.8m) in 7 projects. Cumulatively this equates to 54% of the 2023 financial PF target, and 5.1% of the 2023 non-financial PF target.</p> <p>There were no new calls in 2021. At the start of the year there were 7 applications in appraisal and at the end of the year there were 0 in appraisal.</p> <p>As there are no new applications in appraisal the overall programme is as per the legally committed total eligible expenditure. These commitments will support the achievement of the vast majority of the 2023 non-financial PF targets (output targets) subject to areas of concern detailed in Section 6a.</p>
6	Preserving and Protecting the Environment and Promoting Resource Efficiency	<p>At the end of 2021, 51 projects had been legally committed, with a total eligible expenditure of £108.71m (€122.7m). This equates to 104% of the Less Developed, 84.74% of the Transition, and 71.72% of the More Developed Regions' total allocations.</p> <p>In the Less Developed region, paid expenditure was £11.4m (€13.12m) in 5 projects. Cumulatively this equates to 79.86% of the 2023 financial PF target, and 119.6% of the 2023 non-financial PF target.</p> <p>In the Transition regions, paid expenditure was £14.1m (€16.24m) in 17 projects. Cumulatively this equates to 44.6% of the 2023 financial PF target, and 47.1% of the 2023 non-financial PF target.</p> <p>In More Developed areas the paid expenditure was £31.5m (€36.4m) in 23 projects. Cumulatively this equates to 33.65% of the 2023 financial PF target, and 46.3% of the 2023 non-financial PF target.</p> <p>There were no new calls in 2021 and is as expected given the maturity of the Programme. At the start of the year</p>

ID	Priority axis	Key information on the implementation of the priority axis with reference to key developments, significant problems and steps taken to address these problems
		<p>there were 12 applications in appraisal and at the end of the year there were 7 in appraisal which include performing projects requesting additional funds.</p> <p>When accounting for the full value of applications in appraisal, overall the programme is expected to result in 104% of the Less Developed, 93.3% of the Transition and 89.06% of the More Developed Regions' notional allocations being committed (Total Eligible). These commitments will support the achievement of the vast majority of the 2023 non-financial PF targets (output targets)</p>
7	Sustainable Transport in Cornwall and the Isles of Scilly	<p>At the end of 2021, 10 projects had been legally committed, with a total eligible expenditure of £297.92m (€336.25m). This equates to 464.39% of the Less Developed Regions' total allocation and 96.58% ERDF.</p> <p>In the Less Developed region, paid expenditure was £42m (€48.5m) in 6 projects. Cumulatively this equates to 65.1% of the 2023 financial PF target, and the 2023 non-financial PF target is and 100% for P7: Length of railway with new or enhanced signalling installation and 0% C14a: Length of track reconstructed or upgraded roads (this will be achieved at completion).</p> <p>There were no new calls in 2021. As there are no new applications in appraisal the overall programme is as per the legally committed total eligible expenditure.</p>
8	Promoting social inclusion and combating poverty and any discrimination	<p>At the end of 2021, 43 projects had been legally committed, with a total eligible expenditure of £46m (€51.7m). This equates to 101.86% of the Less Developed, 95.3% of the Transition, and 98.8% of the More Developed Regions' total allocations.</p> <p>In the Less Developed region, paid expenditure was £3.32m (€3.83m) in 5 projects. Cumulatively this equates to 29.9% of the 2023 financial PF target, and 42.9% of the 2023 non-financial PF target.</p> <p>In the Transition regions, paid expenditure was £2.49m (€2.87m) in 11 projects. Cumulatively this equates to 30.23% of the 2023 financial PF target, and 1.5% of the 2023 non-financial PF target.</p> <p>In More Developed areas the paid expenditure was £7.67m (€8.86m) in 29 projects. Cumulatively this equates to 28.43% of the 2023 financial PF target, and 6.8% of the 2023 non-financial PF target.</p>

ID	Priority axis	Key information on the implementation of the priority axis with reference to key developments, significant problems and steps taken to address these problems
		There were no new calls in 2021. As there are no new applications in appraisal the overall programme is as per the legally committed total eligible expenditure.
9	Technical Assistance	<p>The MA TA drawdown moved to the 4% methodology in December 2020 with the approach reflected against the December 2020 and May 2021 ECPA's.</p> <p>In total there are 48 TA projects 26 of those projects are now closed with the remaining 22 projects due to close between now and the end of December 2023.</p>

3.2. Common and programme specific indicators (Article 50(2) of Regulation (EU) No 1303/2013)

Priority axes other than technical assistance

Priority axis	1 - Promoting Research and Innovation
Investment Priority	1a - Enhancing research and innovation (R&I) infrastructure and capacities to develop R&I excellence, and promoting centres of competence, in particular those of European interest

Table 3A: Common and programme specific output indicators for the ERDF, the ERDF REACT-EU and the Cohesion Fund (by priority axis, investment priority, broken down by category of region for the ERDF) - 1 / 1a

(1)	ID	Indicator	Measurement unit	Category of region	Target value (2023) total	Target value (2023) men	Target value (2023) women	2021 Total	2021 Men	2021 Women	Observations
F	CO25	Research, Innovation: Number of researchers working in improved research infrastructure facilities	Full time equivalents		876.00			369.00			
S	CO25	Research, Innovation: Number of researchers working in improved research infrastructure facilities	Full time equivalents		876.00			704.00			
F	P2	Public or commercial buildings built or renovated	Square metres		31,514.00			32,996.00			
S	P2	Public or commercial buildings built or renovated	Square metres		31,514.00			204,437.00			

(1) S=Cumulative value — outputs to be delivered by selected operations [forecast provided by beneficiaries], F=Cumulative value — outputs delivered by operations [actual achievement]

(1)	ID	Indicator	2020 Total	2020 Men	2020 Women	2019 Total	2019 Men	2019 Women	2018 Total	2018 Men	2018 Women
F	CO25	Research, Innovation: Number of researchers working in improved research infrastructure facilities	187.00			59.00			18.68	0.00	0.00
S	CO25	Research, Innovation: Number of researchers working in improved research infrastructure facilities	608.00			525.00			490.00		
F	P2	Public or commercial buildings built or renovated	14,377.00			9,107.00			5,842.20		
S	P2	Public or commercial buildings built or renovated	191,724.00			48,572.00			76,192.00		

(1)	ID	Indicator	2017 Total	2017 Men	2017 Women	2016 Total	2016 Men	2016 Women	2015 Total	2015 Men	2015 Women
F	CO25	Research, Innovation: Number of researchers working in improved research infrastructure facilities	0.00			0.00			0.00		
S	CO25	Research, Innovation: Number of researchers working in improved research infrastructure facilities	346.00			0.00			0.00		
F	P2	Public or commercial buildings built or renovated	2,494.00								
S	P2	Public or commercial buildings built or renovated	65,933.00								

(1)	ID	Indicator	2014 Total	2014 Men	2014 Women
F	CO25	Research, Innovation: Number of researchers working in improved research infrastructure facilities	0.00		
S	CO25	Research, Innovation: Number of researchers working in improved research infrastructure facilities	0.00		
F	P2	Public or commercial buildings built or renovated			
S	P2	Public or commercial buildings built or renovated			

Priority axis	1 - Promoting Research and Innovation
Investment Priority	1a - Enhancing research and innovation (R&I) infrastructure and capacities to develop R&I excellence, and promoting centres of competence, in particular those of European interest
Specific objective	1.1 - increase investment in research and innovation infrastructure that catalyses collaboration with the research community especially in sectors identified through smart specialisation

Table 1: Result indicators for the ERDF, the ERDF REACT-EU and the Cohesion Fund (by priority axis and specific objective); applies also to Technical Assistance priority axis

ID	Indicator	Measurement unit	Category of region	Baseline value	Baseline year	Target value 2023	2021 Total	2021 Qualitative	Observations
1.1	Proportion of enterprises having cooperation agreements with research institutions	Percentage		22.9	2013	Increase		28.3	

ID	Indicator	2020 Total	2020 Qualitative	2019 Total	2019 Qualitative	2018 Total	2018 Qualitative	2017 Total	2017 Qualitative
1.1	Proportion of enterprises having cooperation agreements with research institutions				28.3				40.7

ID	Indicator	2016 Total	2016 Qualitative	2015 Total	2015 Qualitative	2014 Total	2014 Qualitative
1.1	Proportion of enterprises having cooperation agreements with research institutions				23.1		

Priority axis	1 - Promoting Research and Innovation
Investment Priority	1b - Promoting business investment in R&I, developing links and synergies between enterprises, research and development centres and the higher education sector, in particular promoting investment in product and service development, technology transfer, social innovation, eco-innovation, public service applications, demand stimulation, networking, clusters and open innovation through smart specialisation, and supporting technological and applied research, pilot lines, early product validation actions, advanced manufacturing capabilities and first production, in particular in key enabling technologies and diffusion of general purpose technologies, as well as fostering investment necessary for strengthening the crisis response capacities in health services

Table 3A: Common and programme specific output indicators for the ERDF, the ERDF REACT-EU and the Cohesion Fund (by priority axis, investment priority, broken down by category of region for the ERDF) - 1 / 1b

(1)	ID	Indicator	Measurement unit	Category of region	Target value (2023) total	Target value (2023) men	Target value (2023) women	2021 Total	2021 Men	2021 Women	Observations
F	CO01	Productive investment: Number of enterprises receiving support	Enterprises		20,729.00			20,046.00			
S	CO01	Productive investment: Number of enterprises receiving support	Enterprises		20,729.00			35,080.00			
F	CO02	Productive investment: Number of enterprises receiving grants	Enterprises		13,819.00			3,702.00			
S	CO02	Productive investment: Number of enterprises receiving grants	Enterprises		13,819.00			6,690.00			
F	CO03	Productive investment: Number of enterprises receiving financial support other than grants	Enterprises		706.00			30.00			
S	CO03	Productive investment: Number of enterprises receiving financial support other than grants	Enterprises		706.00			217.00			
F	CO04	Productive investment: Number of enterprises receiving non-financial support	Enterprises		6,204.00			17,297.00			
S	CO04	Productive investment: Number of enterprises receiving non-financial support	Enterprises		6,204.00			28,828.00			
F	CO05	Productive investment: Number of new enterprises supported	Enterprises		1,766.00			2,821.00			
S	CO05	Productive investment: Number of new enterprises supported	Enterprises		1,766.00			4,374.00			
F	CO06	Productive investment: Private investment matching public support to enterprises (grants)	EUR		174,878,894.00			54,701,342.00			
S	CO06	Productive investment: Private investment matching public support to enterprises (grants)	EUR		174,878,894.00			117,216,480.00			
F	CO07	Productive investment: Private investment matching public support to enterprises (non-grants)	EUR		28,650,820.00			12,779,343.00			
S	CO07	Productive investment: Private investment matching public support to enterprises (non-grants)	EUR		28,650,820.00			77,600,667.00			
F	CO08	Productive investment: Employment increase in supported enterprises	Full time equivalents		12,313.00			3,305.00			
S	CO08	Productive investment: Employment increase in supported enterprises	Full time equivalents		12,313.00			8,641.00			
F	CO26	Research, Innovation: Number of enterprises cooperating with research institutions	Enterprises		11,258.00			5,208.00			
S	CO26	Research, Innovation: Number of enterprises cooperating with research institutions	Enterprises		11,258.00			11,230.00			
F	CO28	Research, Innovation: Number of enterprises supported to introduce new to the market products	Enterprises		3,243.00			3,465.00			

(1)	ID	Indicator	Measurement unit	Category of region	Target value (2023) total	Target value (2023) men	Target value (2023) women	2021 Total	2021 Men	2021 Women	Observations
S	CO28	Research, Innovation: Number of enterprises supported to introduce new to the market products	Enterprises		3,243.00			5,441.00			
F	CO29	Research, Innovation: Number of enterprises supported to introduce new to the firm products	Enterprises		3,140.00			5,361.00			
S	CO29	Research, Innovation: Number of enterprises supported to introduce new to the firm products	Enterprises		3,140.00			9,356.00			
F	P2	Public or commercial buildings built or renovated	Square metres		8,917.00			4,286.00			
S	P2	Public or commercial buildings built or renovated	Square metres		8,917.00			57,846.00			

(1) S=Cumulative value — outputs to be delivered by selected operations [forecast provided by beneficiaries], F=Cumulative value — outputs delivered by operations [actual achievement]

(1)	ID	Indicator	2020 Total	2020 Men	2020 Women	2019 Total	2019 Men	2019 Women	2018 Total	2018 Men	2018 Women
F	CO01	Productive investment: Number of enterprises receiving support	15,588.00			11,497.00			5,117.00		
S	CO01	Productive investment: Number of enterprises receiving support	31,100.00			21,163.00			21,697.00		
F	CO02	Productive investment: Number of enterprises receiving grants	2,508.00			1,907.00			2,973.00		
S	CO02	Productive investment: Number of enterprises receiving grants	6,058.00			3,976.00			4,210.00		
F	CO03	Productive investment: Number of enterprises receiving financial support other than grants	30.00			0.00			0.00		
S	CO03	Productive investment: Number of enterprises receiving financial support other than grants	222.00			120.00			75.00		
F	CO04	Productive investment: Number of enterprises receiving non-financial support	13,442.00			8,964.00			4,376.00		
S	CO04	Productive investment: Number of enterprises receiving non-financial support	24,941.00			16,260.00			16,104.00		
F	CO05	Productive investment: Number of new enterprises supported	2,224.00			1,182.00			1,041.00		
S	CO05	Productive investment: Number of new enterprises supported	3,899.00			2,535.00			5,644.00		
F	CO06	Productive investment: Private investment matching public support to enterprises (grants)	40,971,320.00			25,864,637.44			10,298,500.00		
S	CO06	Productive investment: Private investment matching public support to enterprises (grants)	107,691,731.00			54,254,676.00			64,934,086.00		
F	CO07	Productive investment: Private investment matching public support to enterprises (non-grants)	12,534,593.00			238,017.27			44,282.00		
S	CO07	Productive investment: Private investment matching public support to enterprises (non-grants)	77,996,452.00			22,112,128.00			134,154,362.00		
F	CO08	Productive investment: Employment increase in supported enterprises	2,508.00			1,394.00			938.53		
S	CO08	Productive investment: Employment increase in supported enterprises	7,996.00			4,607.50			9,869.00		
F	CO26	Research, Innovation: Number of enterprises cooperating with research institutions	3,695.00			2,286.00			1,468.00		
S	CO26	Research, Innovation: Number of enterprises cooperating with research institutions	9,185.00			5,908.00			10,699.00		
F	CO28	Research, Innovation: Number of enterprises supported to introduce new to the market products	2,500.00			1,437.00			869.00		
S	CO28	Research, Innovation: Number of enterprises supported to introduce new to the market products	4,738.00			3,139.00			3,243.00		
F	CO29	Research, Innovation: Number of enterprises supported to introduce new to the firm products	3,769.00			2,183.00			1,411.00		
S	CO29	Research, Innovation: Number of enterprises supported to introduce new to the firm products	8,052.00			5,309.00			5,472.00		
F	P2	Public or commercial buildings built or renovated	2,199.00			2,034.00			933.00		
S	P2	Public or commercial buildings built or renovated	54,249.00			10,685.00			21,490.00		

(1)	ID	Indicator	2017 Total	2017 Men	2017 Women	2016 Total	2016 Men	2016 Women	2015 Total	2015 Men	2015 Women
F	CO01	Productive investment: Number of enterprises receiving support	2,443.00			89.00					
S	CO01	Productive investment: Number of enterprises receiving support	17,526.00			13,918.00					

(1)	ID	Indicator	2017 Total	2017 Men	2017 Women	2016 Total	2016 Men	2016 Women	2015 Total	2015 Men	2015 Women
F	CO02	Productive investment: Number of enterprises receiving grants	2,059.00			58.00					
S	CO02	Productive investment: Number of enterprises receiving grants	4,000.00								
F	CO03	Productive investment: Number of enterprises receiving financial support other than grants	0.00			0.00			0.00		
S	CO03	Productive investment: Number of enterprises receiving financial support other than grants	141.00			0.00			0.00		
F	CO04	Productive investment: Number of enterprises receiving non-financial support	2,241.00			26.00					
S	CO04	Productive investment: Number of enterprises receiving non-financial support	12,533.00			4,175.00					
F	CO05	Productive investment: Number of new enterprises supported	328.00			3.00					
S	CO05	Productive investment: Number of new enterprises supported	3,088.00			1,113.00					
F	CO06	Productive investment: Private investment matching public support to enterprises (grants)	3,310,473.00								
S	CO06	Productive investment: Private investment matching public support to enterprises (grants)	69,490,252.00								
F	CO07	Productive investment: Private investment matching public support to enterprises (non-grants)	0.00			0.00			0.00		
S	CO07	Productive investment: Private investment matching public support to enterprises (non-grants)	131,784,582.00			0.00			0.00		
F	CO08	Productive investment: Employment increase in supported enterprises	133.00								
S	CO08	Productive investment: Employment increase in supported enterprises	4,353.00								
F	CO26	Research, Innovation: Number of enterprises cooperating with research institutions	415.00								
S	CO26	Research, Innovation: Number of enterprises cooperating with research institutions	4,420.00			11.00					
F	CO28	Research, Innovation: Number of enterprises supported to introduce new to the market products	169.00								
S	CO28	Research, Innovation: Number of enterprises supported to introduce new to the market products	2,656.00			13.00					
F	CO29	Research, Innovation: Number of enterprises supported to introduce new to the firm products	286.00								
S	CO29	Research, Innovation: Number of enterprises supported to introduce new to the firm products	4,545.00			13.00					
F	P2	Public or commercial buildings built or renovated	0.00			0.00			0.00		
S	P2	Public or commercial buildings built or renovated	18,597.00			0.00			0.00		

(1)	ID	Indicator	2014 Total	2014 Men	2014 Women
F	CO01	Productive investment: Number of enterprises receiving support			
S	CO01	Productive investment: Number of enterprises receiving support			
F	CO02	Productive investment: Number of enterprises receiving grants			
S	CO02	Productive investment: Number of enterprises receiving grants			
F	CO03	Productive investment: Number of enterprises receiving financial support other than grants	0.00		
S	CO03	Productive investment: Number of enterprises receiving financial support other than grants	0.00		
F	CO04	Productive investment: Number of enterprises receiving non-financial support			
S	CO04	Productive investment: Number of enterprises receiving non-financial support			
F	CO05	Productive investment: Number of new enterprises supported			
S	CO05	Productive investment: Number of new enterprises supported			
F	CO06	Productive investment: Private investment matching public support to enterprises (grants)			
S	CO06	Productive investment: Private investment matching public support to enterprises (grants)			
F	CO07	Productive investment: Private investment matching public support to enterprises (non-grants)	0.00		
S	CO07	Productive investment: Private investment matching public support to enterprises (non-grants)	0.00		
F	CO08	Productive investment: Employment increase in supported enterprises			
S	CO08	Productive investment: Employment increase in supported enterprises			
F	CO26	Research, Innovation: Number of enterprises cooperating with research institutions			
S	CO26	Research, Innovation: Number of enterprises cooperating with research institutions			
F	CO28	Research, Innovation: Number of enterprises supported to introduce new to the market products			
S	CO28	Research, Innovation: Number of enterprises supported to introduce new to the market products			
F	CO29	Research, Innovation: Number of enterprises supported to introduce new to the firm products			
S	CO29	Research, Innovation: Number of enterprises supported to introduce new to the firm products			
F	P2	Public or commercial buildings built or renovated	0.00		
S	P2	Public or commercial buildings built or renovated	0.00		

Priority axis	1 - Promoting Research and Innovation
Investment Priority	1b - Promoting business investment in R&I, developing links and synergies between enterprises, research and development centres and the higher education sector, in particular promoting investment in product and service development, technology transfer, social innovation, eco-innovation, public service applications, demand stimulation, networking, clusters and open innovation through smart specialisation, and supporting technological and applied research, pilot lines, early product validation actions, advanced manufacturing capabilities and first production, in particular in key enabling technologies and diffusion of general purpose technologies, as well as fostering investment necessary for strengthening the crisis response capacities in health services
Specific objective	1.2 - Increase investment in research and innovation by small and medium enterprises in sectors and technologies identified through smart specialisation.

Table 1: Result indicators for the ERDF, the ERDF REACT-EU and the Cohesion Fund (by priority axis and specific objective); applies also to Technical Assistance priority axis

ID	Indicator	Measurement unit	Category of region	Baseline value	Baseline year	Target value 2023	2021 Total	2021 Qualitative	Observations
1.2	Proportion of small and medium sized enterprises that are innovation active	Percentage		48.1	2013	Increase		37.2	
1.3	Research and development tax credit by number of claims under the SME scheme	Enterprises		13,140	2013	Increase in UK		76225	
1.4	Research and development tax credit by value of claims under the SME scheme	GPB		600,000,000	2013	Increase in UK			

ID	Indicator	2020 Total	2020 Qualitative	2019 Total	2019 Qualitative	2018 Total	2018 Qualitative	2017 Total	2017 Qualitative
1.2	Proportion of small and medium sized enterprises that are innovation active		37.2		37.2				53.3
1.3	Research and development tax credit by number of claims under the SME scheme				52,160		54,005		54,005
1.4	Research and development tax credit by value of claims under the SME scheme		4,370,000		3,505,000		2,265,000,000		2,215,000,000

ID	Indicator	2016 Total	2016 Qualitative	2015 Total	2015 Qualitative	2014 Total	2014 Qualitative
1.2	Proportion of small and medium sized enterprises that are innovation active				54.8		
1.3	Research and development tax credit by number of claims under the SME scheme		45,440		37,105		15,585
1.4	Research and development tax credit by value of claims under the SME scheme		1,760,000,000		1,315,000,000		705,000,000

Priority axis	1 - Promoting Research and Innovation
Investment Priority	1b - Promoting business investment in R&I, developing links and synergies between enterprises, research and development centres and the higher education sector, in particular promoting investment in product and service development, technology transfer, social innovation, eco-innovation, public service applications, demand stimulation, networking, clusters and open innovation through smart specialisation, and supporting technological and applied research, pilot lines, early product validation actions, advanced manufacturing capabilities and first production, in particular in key enabling technologies and diffusion of general purpose technologies, as well as fostering investment necessary for strengthening the crisis response capacities in health services
Specific objective	1.3 - Increase the number of small and medium sized enterprises engaged in knowledge exchange, collaborative and contract research and innovation with research institutions, public institutions or large enterprises in order to help them bring new products and processes to market.

Table 1: Result indicators for the ERDF, the ERDF REACT-EU and the Cohesion Fund (by priority axis and specific objective); applies also to Technical Assistance priority axis

ID	Indicator	Measurement unit	Category of region	Baseline value	Baseline year	Target value 2023	2021 Total	2021 Qualitative	Observations
1.5	Value of services provided to small and medium enterprises by higher education institutions	GBP		139,761,000	2013	Increase			
1.6	Number of contracts or interactions with small and medium enterprises	Number		50,728	2013	Increase in England			

ID	Indicator	2020 Total	2020 Qualitative	2019 Total	2019 Qualitative	2018 Total	2018 Qualitative	2017 Total	2017 Qualitative
1.5	Value of services provided to small and medium enterprises by higher education institutions		234,430		262,193,000		232,772,000		207,513,000
1.6	Number of contracts or interactions with small and medium enterprises				71,758		63,082		52,891

ID	Indicator	2016 Total	2016 Qualitative	2015 Total	2015 Qualitative	2014 Total	2014 Qualitative
1.5	Value of services provided to small and medium enterprises by higher education institutions		193,480,000		174,359,000		159,220,000
1.6	Number of contracts or interactions with small and medium enterprises		67,127		62,981		65,345

Priority axis	10 - Supporting the implementation of Local Economic CV-19 Recovery Action Plans
Investment Priority	8b - Supporting employment-friendly growth through the development of endogenous potential as part of a territorial strategy for specific areas, including the conversion of declining industrial regions and enhancement of accessibility to, and development of, specific natural and cultural resources

Table 3A: Common and programme specific output indicators for the ERDF, the ERDF REACT-EU and the Cohesion Fund (by priority axis, investment priority, broken down by category of region for the ERDF) - 10 / 8b

(1)	ID	Indicator	Measurement unit	Category of region	Target value (2023) total	Target value (2023) men	Target value (2023) women	2021 Total	2021 Men	2021 Women	Observations
F	PCV33	Number of entities supported in combating or counteracting the effects of the COVID-19 pandemic	Number		250.00			0.00			
S	PCV33	Number of entities supported in combating or counteracting the effects of the COVID-19 pandemic	Number		250.00			250.00			

(1) S=Cumulative value — outputs to be delivered by selected operations [forecast provided by beneficiaries], F=Cumulative value — outputs delivered by operations [actual achievement]

(1)	ID	Indicator	2020 Total	2020 Men	2020 Women	2019 Total	2019 Men	2019 Women	2018 Total	2018 Men	2018 Women
F	PCV33	Number of entities supported in combating or counteracting the effects of the COVID-19 pandemic	0.00			0.00			0.00		
S	PCV33	Number of entities supported in combating or counteracting the effects of the COVID-19 pandemic	250.00			0.00			0.00		

(1)	ID	Indicator	2017 Total	2017 Men	2017 Women	2016 Total	2016 Men	2016 Women	2015 Total	2015 Men	2015 Women
F	PCV33	Number of entities supported in combating or counteracting the effects of the COVID-19 pandemic	0.00			0.00			0.00		
S	PCV33	Number of entities supported in combating or counteracting the effects of the COVID-19 pandemic	0.00			0.00			0.00		

(1)	ID	Indicator	2014 Total	2014 Men	2014 Women
F	PCV33	Number of entities supported in combating or counteracting the effects of the COVID-19 pandemic	0.00		
S	PCV33	Number of entities supported in combating or counteracting the effects of the COVID-19 pandemic	0.00		

Priority axis	10 - Supporting the implementation of Local Economic CV-19 Recovery Action Plans
Investment Priority	8b - Supporting employment-friendly growth through the development of endogenous potential as part of a territorial strategy for specific areas, including the conversion of declining industrial regions and enhancement of accessibility to, and development of, specific natural and cultural resources
Specific objective	10.1 - Minimise enterprise deaths through the implementation of local economic COVID-19 recovery action plans

Table 1: Result indicators for the ERDF, the ERDF REACT-EU and the Cohesion Fund (by priority axis and specific objective); applies also to Technical Assistance priority axis

ID	Indicator	Measurement unit	Category of region	Baseline value	Baseline year	Target value 2023	2021 Total	2021 Qualitative	Observations
11.1	Number of enterprises deaths per year	Number		27,765.00	2018	27,765.00			

ID	Indicator	2020 Total	2020 Qualitative	2019 Total	2019 Qualitative	2018 Total	2018 Qualitative	2017 Total	2017 Qualitative
11.1	Number of enterprises deaths per year	28,720.00		22,230.00		30,365.00			

ID	Indicator	2016 Total	2016 Qualitative	2015 Total	2015 Qualitative	2014 Total	2014 Qualitative
11.1	Number of enterprises deaths per year						

Priority axis	2 - Enhancing access to, and use and quality of, ICT
Investment Priority	2a - Extending broadband deployment and the roll-out of high-speed networks and supporting the adoption of emerging technologies and networks for the digital economy

Table 3A: Common and programme specific output indicators for the ERDF, the ERDF REACT-EU and the Cohesion Fund (by priority axis, investment priority, broken down by category of region for the ERDF) - 2 / 2a

(1)	ID	Indicator	Measurement unit	Category of region	Target value (2023) total	Target value (2023) men	Target value (2023) women	2021 Total	2021 Men	2021 Women	Observations
F	CO01	Productive investment: Number of enterprises receiving support	Enterprises		2,798.00			176.00			N/A
S	CO01	Productive investment: Number of enterprises receiving support	Enterprises		2,798.00			2,412.00			
F	CO05	Productive investment: Number of new enterprises supported	Enterprises		604.00			46.00			N/A
S	CO05	Productive investment: Number of new enterprises supported	Enterprises		604.00			102.00			
F	P3	Additional businesses with broadband access of at least 30mbps	Enterprises		11,326.00			6,115.00			N/A
S	P3	Additional businesses with broadband access of at least 30mbps	Enterprises		11,326.00			11,789.00			

(1) S=Cumulative value — outputs to be delivered by selected operations [forecast provided by beneficiaries], F=Cumulative value — outputs delivered by operations [actual achievement]

(1)	ID	Indicator	2020 Total	2020 Men	2020 Women	2019 Total	2019 Men	2019 Women	2018 Total	2018 Men	2018 Women
F	CO01	Productive investment: Number of enterprises receiving support	151.00			67.00			21.00		
S	CO01	Productive investment: Number of enterprises receiving support	1,980.00			1,353.00			2,761.00		
F	CO05	Productive investment: Number of new enterprises supported	0.00			0.00			0.00		
S	CO05	Productive investment: Number of new enterprises supported	82.00			53.00			441.00		
F	P3	Additional businesses with broadband access of at least 30mbps	5,207.00			3,793.00			710.00		
S	P3	Additional businesses with broadband access of at least 30mbps	11,310.00			9,141.00			19,631.00		

(1)	ID	Indicator	2017 Total	2017 Men	2017 Women	2016 Total	2016 Men	2016 Women	2015 Total	2015 Men	2015 Women
F	CO01	Productive investment: Number of enterprises receiving support	2.00								
S	CO01	Productive investment: Number of enterprises receiving support	1,245.00								
F	CO05	Productive investment: Number of new enterprises supported	0.00			0.00			0.00		
S	CO05	Productive investment: Number of new enterprises supported	6.00			0.00			0.00		
F	P3	Additional businesses with broadband access of at least 30mbps	0.00			0.00			0.00		
S	P3	Additional businesses with broadband access of at least 30mbps	10,341.00			6,673.00			0.00		

(1)	ID	Indicator	2014 Total	2014 Men	2014 Women
F	CO01	Productive investment: Number of enterprises receiving support			
S	CO01	Productive investment: Number of enterprises receiving support			

(1)	ID	Indicator	2014 Total	2014 Men	2014 Women
F	CO05	Productive investment: Number of new enterprises supported	0.00		
S	CO05	Productive investment: Number of new enterprises supported	0.00		
F	P3	Additional businesses with broadband access of at least 30mbps	0.00		
S	P3	Additional businesses with broadband access of at least 30mbps	0.00		

Priority axis	2 - Enhancing access to, and use and quality of, ICT
Investment Priority	2a - Extending broadband deployment and the roll-out of high-speed networks and supporting the adoption of emerging technologies and networks for the digital economy
Specific objective	2.1 - Increase the coverage and take up of superfast Broadband in areas where the market is failing, particularly where this is creating a barrier to SME growth, in line with State Aid rules.

Table 1: Result indicators for the ERDF, the ERDF REACT-EU and the Cohesion Fund (by priority axis and specific objective); applies also to Technical Assistance priority axis

ID	Indicator	Measurement unit	Category of region	Baseline value	Baseline year	Target value 2023	2021 Total	2021 Qualitative	Observations
2.1	Coverage of superfast (>30Mbps) broadband across England	Percentage		90.00	2016	100.00	96.00		
2.2	Percentage of businesses which have taken up broadband with speeds of at least 30Mbps	Percentage		15.90	2012	50.00			

ID	Indicator	2020 Total	2020 Qualitative	2019 Total	2019 Qualitative	2018 Total	2018 Qualitative	2017 Total	2017 Qualitative
2.1	Coverage of superfast (>30Mbps) broadband across England	96.00		95.00		94.00		92.00	
2.2	Percentage of businesses which have taken up broadband with speeds of at least 30Mbps			64.10		54.00		44.40	

ID	Indicator	2016 Total	2016 Qualitative	2015 Total	2015 Qualitative	2014 Total	2014 Qualitative
2.1	Coverage of superfast (>30Mbps) broadband across England	90.00		90.00		90.00	
2.2	Percentage of businesses which have taken up broadband with speeds of at least 30Mbps	41.50		50.00		50.00	

Priority axis	2 - Enhancing access to, and use and quality of, ICT
Investment Priority	2b - Developing ICT products and services, e-commerce and enhancing demand for ICT

Table 3A: Common and programme specific output indicators for the ERDF, the ERDF REACT-EU and the Cohesion Fund (by priority axis, investment priority, broken down by category of region for the ERDF) - 2 / 2b

(1)	ID	Indicator	Measurement unit	Category of region	Target value (2023) total	Target value (2023) men	Target value (2023) women	2021 Total	2021 Men	2021 Women	Observations
F	CO01	Productive investment: Number of enterprises receiving support	Enterprises		11,123.00			5,903.00			N/A
S	CO01	Productive investment: Number of enterprises receiving support	Enterprises		11,123.00			9,587.00			N/A
F	CO05	Productive investment: Number of new enterprises supported	Enterprises		7,794.00			529.00			N/A
S	CO05	Productive investment: Number of new enterprises supported	Enterprises		7,794.00			1,313.00			N/A
F	CO29	Research, Innovation: Number of enterprises supported to introduce new to the firm products	Enterprises		1,780.00			721.00			N/A
S	CO29	Research, Innovation: Number of enterprises supported to introduce new to the firm products	Enterprises		1,780.00			2,348.00			
F	P4	Additional businesses taking up broadband with speeds of at least 30Mbps	enterprises		5,663.00			1,551.00			N/A
S	P4	Additional businesses taking up broadband with speeds of at least 30Mbps	enterprises		5,663.00			3,797.00			

(1) S=Cumulative value — outputs to be delivered by selected operations [forecast provided by beneficiaries], F=Cumulative value — outputs delivered by operations [actual achievement]

(1)	ID	Indicator	2020 Total	2020 Men	2020 Women	2019 Total	2019 Men	2019 Women	2018 Total	2018 Men	2018 Women
F	CO01	Productive investment: Number of enterprises receiving support	4,143.00			3,108.00			1,860.00		
S	CO01	Productive investment: Number of enterprises receiving support	7,871.00			5,412.00			3,660.00		
F	CO05	Productive investment: Number of new enterprises supported	333.00			188.00			138.00		
S	CO05	Productive investment: Number of new enterprises supported	1,063.00			703.00			72.00		
F	CO29	Research, Innovation: Number of enterprises supported to introduce new to the firm products	472.00			370.00			278.00		
S	CO29	Research, Innovation: Number of enterprises supported to introduce new to the firm products	1,963.00			1,330.00			3,211.00		
F	P4	Additional businesses taking up broadband with speeds of at least 30Mbps	1,269.00			797.00			599.00		
S	P4	Additional businesses taking up broadband with speeds of at least 30Mbps	3,322.00			2,445.00			6,459.00		

(1)	ID	Indicator	2017 Total	2017 Men	2017 Women	2016 Total	2016 Men	2016 Women	2015 Total	2015 Men	2015 Women
F	CO01	Productive investment: Number of enterprises receiving support	479.00								
S	CO01	Productive investment: Number of enterprises receiving support	1,376.00								
F	CO05	Productive investment: Number of new enterprises supported	32.00								
S	CO05	Productive investment: Number of new enterprises supported	0.00								
F	CO29	Research, Innovation: Number of enterprises supported to introduce new to the firm products	24.00								
S	CO29	Research, Innovation: Number of enterprises supported to introduce new to the firm products	1,621.00								
F	P4	Additional businesses taking up broadband with speeds of at least 30Mbps	514.00								
S	P4	Additional businesses taking up broadband with speeds of at least 30Mbps	3,622.00								

(1)	ID	Indicator	2014 Total	2014 Men	2014 Women
F	CO01	Productive investment: Number of enterprises receiving support			
S	CO01	Productive investment: Number of enterprises receiving support			
F	CO05	Productive investment: Number of new enterprises supported			
S	CO05	Productive investment: Number of new enterprises supported			
F	CO29	Research, Innovation: Number of enterprises supported to introduce new to the firm products			
S	CO29	Research, Innovation: Number of enterprises supported to introduce new to the firm products			
F	P4	Additional businesses taking up broadband with speeds of at least 30Mbps			
S	P4	Additional businesses taking up broadband with speeds of at least 30Mbps			

Priority axis	2 - Enhancing access to, and use and quality of, ICT
Investment Priority	2b - Developing ICT products and services, e-commerce and enhancing demand for ICT
Specific objective	2.2 - Increase the number of small and medium sized enterprises making productive use of digital technologies.

Table 1: Result indicators for the ERDF, the ERDF REACT-EU and the Cohesion Fund (by priority axis and specific objective); applies also to Technical Assistance priority axis

ID	Indicator	Measurement unit	Category of region	Baseline value	Baseline year	Target value 2023	2021 Total	2021 Qualitative	Observations
2.3	Ranking for selling online by SMes in EU Digital Scoreboard	Number		8	2015	Increase UK ranking by one place			

ID	Indicator	2020 Total	2020 Qualitative	2019 Total	2019 Qualitative	2018 Total	2018 Qualitative	2017 Total	2017 Qualitative
2.3	Ranking for selling online by SMes in EU Digital Scoreboard		8		6		9		10

ID	Indicator	2016 Total	2016 Qualitative	2015 Total	2015 Qualitative	2014 Total	2014 Qualitative
2.3	Ranking for selling online by SMes in EU Digital Scoreboard		7				

Priority axis	3 - Enhancing the Competitiveness of SMEs
Investment Priority	3a - Promoting entrepreneurship, in particular by facilitating the economic exploitation of new ideas and fostering the creation of new firms, including through business incubators

Table 3A: Common and programme specific output indicators for the ERDF, the ERDF REACT-EU and the Cohesion Fund (by priority axis, investment priority, broken down by category of region for the ERDF) - 3 / 3a

(1)	ID	Indicator	Measurement unit	Category of region	Target value (2023) total	Target value (2023) men	Target value (2023) women	2021 Total	2021 Men	2021 Women	Observations
F	CO01	Productive investment: Number of enterprises receiving support	Enterprises		18,886.00			21,301.00			N/A
S	CO01	Productive investment: Number of enterprises receiving support	Enterprises		18,886.00			28,640.00			
F	CO02	Productive investment: Number of enterprises receiving grants	Enterprises		12,591.00			3,692.00			N/A
S	CO02	Productive investment: Number of enterprises receiving grants	Enterprises		12,591.00			9,964.00			N/A
F	CO03	Productive investment: Number of enterprises receiving financial support other than grants	Enterprises		1,390.00			106.00			N/A
S	CO03	Productive investment: Number of enterprises receiving financial support other than grants	Enterprises		1,390.00			702.00			
F	CO04	Productive investment: Number of enterprises receiving non-financial support	Enterprises		4,906.00			16,577.00			N/A
S	CO04	Productive investment: Number of enterprises receiving non-financial support	Enterprises		4,906.00			18,184.00			
F	CO05	Productive investment: Number of new enterprises supported	Enterprises		15,109.00			10,951.00			N/A
S	CO05	Productive investment: Number of new enterprises supported	Enterprises		15,109.00			10,702.00			N/A
F	CO06	Productive investment: Private investment matching public support to enterprises (grants)	EUR		54,260,475.00			33,131,451.00			N/A
S	CO06	Productive investment: Private investment matching public support to enterprises (grants)	EUR		54,260,475.00			124,000,975.00			N/A
F	CO07	Productive investment: Private investment matching public support to enterprises (non-grants)	EUR		55,624,111.00			5,573.00			
S	CO07	Productive investment: Private investment matching public support to enterprises (non-grants)	EUR		55,624,111.00			96,597,532.00			
F	CO08	Productive investment: Employment increase in supported enterprises	Full time equivalents		7,852.26			8,636.00			
S	CO08	Productive investment: Employment increase in supported enterprises	Full time equivalents		7,852.26			18,072.00			
F	CO28	Research, Innovation: Number of enterprises supported to introduce new to the market products	Enterprises		1,511.00			954.00			
S	CO28	Research, Innovation: Number of enterprises supported to introduce new to the market products	Enterprises		1,511.00			1,853.00			
F	P11	Number of potential entrepreneurs assisted to be enterprise ready	Persons		60,436.00			40,842.00			
S	P11	Number of potential entrepreneurs assisted to be enterprise ready	Persons		60,436.00			62,190.00			
F	P2	Public or commercial buildings built or renovated	Square metres		2,336.00			3,555.00			

(1)	ID	Indicator	Measurement unit	Category of region	Target value (2023) total	Target value (2023) men	Target value (2023) women	2021 Total	2021 Men	2021 Women	Observations
S	P2	Public or commercial buildings built or renovated	Square metres		2,336.00			47,813.00			

(1) S=Cumulative value — outputs to be delivered by selected operations [forecast provided by beneficiaries], F=Cumulative value — outputs delivered by operations [actual achievement]

(1)	ID	Indicator	2020 Total	2020 Men	2020 Women	2019 Total	2019 Men	2019 Women	2018 Total	2018 Men	2018 Women
F	CO01	Productive investment: Number of enterprises receiving support	15,708.00			11,177.00			4,871.00		
S	CO01	Productive investment: Number of enterprises receiving support	27,020.00			16,771.00			28,333.00		
F	CO02	Productive investment: Number of enterprises receiving grants	2,492.00			1,791.00			1,256.00		
S	CO02	Productive investment: Number of enterprises receiving grants	9,128.00			4,924.00			4,314.00		
F	CO03	Productive investment: Number of enterprises receiving financial support other than grants	27.00			0.00			0.00		
S	CO03	Productive investment: Number of enterprises receiving financial support other than grants	684.00			336.00			1,434.00		
F	CO04	Productive investment: Number of enterprises receiving non-financial support	12,444.00			9,005.00			6,999.00		
S	CO04	Productive investment: Number of enterprises receiving non-financial support	16,908.00			11,504.00			14,258.00		
F	CO05	Productive investment: Number of new enterprises supported	8,574.00			6,089.00			5,010.00		
S	CO05	Productive investment: Number of new enterprises supported	10,577.00			7,116.00			6,451.00		
F	CO06	Productive investment: Private investment matching public support to enterprises (grants)	24,241,429.00			16,518,938.34			14,583,405.00		
S	CO06	Productive investment: Private investment matching public support to enterprises (grants)	112,506,730.00			58,272,738.00			51,361,561.00		
F	CO07	Productive investment: Private investment matching public support to enterprises (non-grants)	5,467.00			0.00			145,005.00		
S	CO07	Productive investment: Private investment matching public support to enterprises (non-grants)	88,807,707.00			42,176,229.00			186,270,861.00		
F	CO08	Productive investment: Employment increase in supported enterprises	6,841.00			4,672.00			4,676.00		
S	CO08	Productive investment: Employment increase in supported enterprises	17,790.00			11,413.00			11,985.00		
F	CO28	Research, Innovation: Number of enterprises supported to introduce new to the market products	658.00			443.00			209.00		
S	CO28	Research, Innovation: Number of enterprises supported to introduce new to the market products	1,986.00			1,366.00			1,843.00		
F	P11	Number of potential entrepreneurs assisted to be enterprise ready	33,888.00			20,436.00			16,257.00		
S	P11	Number of potential entrepreneurs assisted to be enterprise ready	58,683.00			38,003.00			35,843.00		
F	P2	Public or commercial buildings built or renovated	2,513.00			0.00			638.00		
S	P2	Public or commercial buildings built or renovated	41,709.00			22,726.00			23,415.00		

(1)	ID	Indicator	2017 Total	2017 Men	2017 Women	2016 Total	2016 Men	2016 Women	2015 Total	2015 Men	2015 Women
F	CO01	Productive investment: Number of enterprises receiving support	2,960.00			240.00					
S	CO01	Productive investment: Number of enterprises receiving support	14,026.00			12,522.00			0.00		
F	CO02	Productive investment: Number of enterprises receiving grants	537.00			158.00					
S	CO02	Productive investment: Number of enterprises receiving grants	3,962.00								
F	CO03	Productive investment: Number of enterprises receiving financial support other than grants	0.00			0.00			0.00		
S	CO03	Productive investment: Number of enterprises receiving financial support other than grants	0.00			0.00			0.00		
F	CO04	Productive investment: Number of enterprises receiving non-financial support	2,086.00								
S	CO04	Productive investment: Number of enterprises receiving non-financial support	11,605.00			3,130.00					
F	CO05	Productive investment: Number of new enterprises supported	2,007.00			192.00					
S	CO05	Productive investment: Number of new enterprises supported	2,831.00			0.00					
F	CO06	Productive investment: Private investment matching public support to enterprises (grants)	4,337,863.00								
S	CO06	Productive investment: Private investment matching public support to enterprises (grants)	41,732,956.29			89,120.00					
F	CO07	Productive investment: Private investment matching public support to enterprises (non-grants)	0.00			0.00			0.00		

(1)	ID	Indicator	2017 Total	2017 Men	2017 Women	2016 Total	2016 Men	2016 Women	2015 Total	2015 Men	2015 Women
S	CO07	Productive investment: Private investment matching public support to enterprises (non-grants)	176,104,490.00			0.00			0.00		
F	CO08	Productive investment: Employment increase in supported enterprises	893.00								
S	CO08	Productive investment: Employment increase in supported enterprises	10,650.00			4.00					
F	CO28	Research, Innovation: Number of enterprises supported to introduce new to the market products	61.00								
S	CO28	Research, Innovation: Number of enterprises supported to introduce new to the market products	1,573.00								
F	P11	Number of potential entrepreneurs assisted to be enterprise ready	9,832.00								
S	P11	Number of potential entrepreneurs assisted to be enterprise ready	29,508.00			1,187.00					
F	P2	Public or commercial buildings built or renovated	213.00								
S	P2	Public or commercial buildings built or renovated	2,295.00								

(1)	ID	Indicator	2014 Total	2014 Men	2014 Women
F	CO01	Productive investment: Number of enterprises receiving support	0.00		
S	CO01	Productive investment: Number of enterprises receiving support	0.00		
F	CO02	Productive investment: Number of enterprises receiving grants			
S	CO02	Productive investment: Number of enterprises receiving grants			
F	CO03	Productive investment: Number of enterprises receiving financial support other than grants	0.00		
S	CO03	Productive investment: Number of enterprises receiving financial support other than grants	0.00		
F	CO04	Productive investment: Number of enterprises receiving non-financial support			
S	CO04	Productive investment: Number of enterprises receiving non-financial support			
F	CO05	Productive investment: Number of new enterprises supported			
S	CO05	Productive investment: Number of new enterprises supported			
F	CO06	Productive investment: Private investment matching public support to enterprises (grants)			
S	CO06	Productive investment: Private investment matching public support to enterprises (grants)			
F	CO07	Productive investment: Private investment matching public support to enterprises (non-grants)	0.00	0.00	0.00
S	CO07	Productive investment: Private investment matching public support to enterprises (non-grants)	0.00		
F	CO08	Productive investment: Employment increase in supported enterprises			
S	CO08	Productive investment: Employment increase in supported enterprises			
F	CO28	Research, Innovation: Number of enterprises supported to introduce new to the market products			
S	CO28	Research, Innovation: Number of enterprises supported to introduce new to the market products			
F	P11	Number of potential entrepreneurs assisted to be enterprise ready			
S	P11	Number of potential entrepreneurs assisted to be enterprise ready			
F	P2	Public or commercial buildings built or renovated			
S	P2	Public or commercial buildings built or renovated			

Priority axis	3 - Enhancing the Competitiveness of SMEs
Investment Priority	3a - Promoting entrepreneurship, in particular by facilitating the economic exploitation of new ideas and fostering the creation of new firms, including through business incubators
Specific objective	3.1 - Increase entrepreneurship, particularly in areas with low levels of enterprise activity and amongst under-represented groups

Table 1: Result indicators for the ERDF, the ERDF REACT-EU and the Cohesion Fund (by priority axis and specific objective); applies also to Technical Assistance priority axis

ID	Indicator	Measurement unit	Category of region	Baseline value	Baseline year	Target value 2023	2021 Total	2021 Qualitative	Observations
3.1	Total early stage entrepreneurial activity, represented by proportion of adults age (18-64) in the process of starting a business or running a business less than 42 months old	Percentage		7.1	2013	Increase in the UK TEA rate to be higher than the average for innovation driven economies			

ID	Indicator	2020 Total	2020 Qualitative	2019 Total	2019 Qualitative	2018 Total	2018 Qualitative	2017 Total	2017 Qualitative
3.1	Total early stage entrepreneurial activity, represented by proportion of adults age (18-64) in the process of starting a business or running a business less than 42 months old		7.8		9.34		8.2		8.4

ID	Indicator	2016 Total	2016 Qualitative	2015 Total	2015 Qualitative	2014 Total	2014 Qualitative
3.1	Total early stage entrepreneurial activity, represented by proportion of adults age (18-64) in the process of starting a business or running a business less than 42 months old		8.8		6.9		10.7

Priority axis	3 - Enhancing the Competitiveness of SMEs
Investment Priority	3c - Supporting the creation and the extension of advanced capacities for product and service development

Table 3A: Common and programme specific output indicators for the ERDF, the ERDF REACT-EU and the Cohesion Fund (by priority axis, investment priority, broken down by category of region for the ERDF) - 3 / 3c

(1)	ID	Indicator	Measurement unit	Category of region	Target value (2023) total	Target value (2023) men	Target value (2023) women	2021 Total	2021 Men	2021 Women	Observations
F	CO01	Productive investment: Number of enterprises receiving support	Enterprises		47,216.00			33,346.00			N/A
S	CO01	Productive investment: Number of enterprises receiving support	Enterprises		47,216.00			71,601.00			N/A
F	CO02	Productive investment: Number of enterprises receiving grants	Enterprises		31,477.00			12,584.00			N/A
S	CO02	Productive investment: Number of enterprises receiving grants	Enterprises		31,477.00			24,911.00			
F	CO03	Productive investment: Number of enterprises receiving financial support other than grants	Enterprises		3,475.00			977.00			N/A
S	CO03	Productive investment: Number of enterprises receiving financial support other than grants	Enterprises		3,475.00			1,755.00			N/A
F	CO04	Productive investment: Number of enterprises receiving non-financial support	Enterprises		12,264.00			21,025.00			N/A
S	CO04	Productive investment: Number of enterprises receiving non-financial support	Enterprises		12,264.00			45,455.00			N/A
F	CO05	Productive investment: Number of new enterprises supported	Enterprises		15,011.00			2,861.00			N/A
S	CO05	Productive investment: Number of new enterprises supported	Enterprises		15,011.00			10,633.00			N/A
F	CO06	Productive investment: Private investment matching public support to enterprises (grants)	EUR		135,651,188.00			105,882,826.00			N/A
S	CO06	Productive investment: Private investment matching public support to enterprises (grants)	EUR		135,651,188.00			310,002,439.00			N/A
F	CO07	Productive investment: Private investment matching public support to enterprises (non-grants)	EUR		465,677,153.00			184,608,311.00			N/A
S	CO07	Productive investment: Private investment matching public support to enterprises (non-grants)	EUR		465,677,153.00			808,700,819.00			
F	CO08	Productive investment: Employment increase in supported enterprises	Full time equivalents		19,631.00			18,567.00			N/A
S	CO08	Productive investment: Employment increase in supported enterprises	Full time equivalents		19,631.00			45,182.00			
F	CO29	Research, Innovation: Number of enterprises supported to introduce new to the firm products	Enterprises		7,554.00			4,971.00			N/A
S	CO29	Research, Innovation: Number of enterprises supported to introduce new to the firm products	Enterprises		7,554.00			7,038.00			N/A
F	P13	Number of enterprises receiving information, diagnostic and brokerage	Enterprises		4,693.00			18,263.00			N/A
S	P13	Number of enterprises receiving information, diagnostic and brokerage	Enterprises		4,693.00			36,566.00			

(1)	ID	Indicator	Measurement unit	Category region of	Target value (2023) total	Target value (2023) men	Target value (2023) women	2021 Total	2021 Men	2021 Women	Observations
F	P2	Public or commercial buildings built or renovated	Square metres		5,840.00			58,996.00			N/A
S	P2	Public or commercial buildings built or renovated	Square metres		5,840.00			119,533.00			

(1) S=Cumulative value — outputs to be delivered by selected operations [forecast provided by beneficiaries], F=Cumulative value — outputs delivered by operations [actual achievement]

(1)	ID	Indicator	2020 Total	2020 Men	2020 Women	2019 Total	2019 Men	2019 Women	2018 Total	2018 Men	2018 Women
F	CO01	Productive investment: Number of enterprises receiving support	22,492.00			14,003.00			7,850.00		
S	CO01	Productive investment: Number of enterprises receiving support	67,550.00			41,928.00			39,526.00		
F	CO02	Productive investment: Number of enterprises receiving grants	6,826.00			4,231.00			3,322.00		
S	CO02	Productive investment: Number of enterprises receiving grants	22,820.00			12,309.00			10,784.00		
F	CO03	Productive investment: Number of enterprises receiving financial support other than grants	791.00			0.00			0.00		
S	CO03	Productive investment: Number of enterprises receiving financial support other than grants	1,710.00			841.00			3,584.00		
F	CO04	Productive investment: Number of enterprises receiving non-financial support	15,040.00			8,774.00			6,935.00		
S	CO04	Productive investment: Number of enterprises receiving non-financial support	42,267.00			28,759.00			12,672.00		
F	CO05	Productive investment: Number of new enterprises supported	2,116.00			1,160.00			665.00		
S	CO05	Productive investment: Number of new enterprises supported	10,508.00			6,933.00			6,373.00		
F	CO06	Productive investment: Private investment matching public support to enterprises (grants)	74,518,451.00			49,087,623.16			40,071,327.00		
S	CO06	Productive investment: Private investment matching public support to enterprises (grants)	281,266,826.00			145,681,844.00			128,403,902.00		
F	CO07	Productive investment: Private investment matching public support to enterprises (non-grants)	184,015,237.00			97,016.50			0.00		
S	CO07	Productive investment: Private investment matching public support to enterprises (non-grants)	743,485,505.00			356,154,821.00			465,677,153.00		
F	CO08	Productive investment: Employment increase in supported enterprises	13,791.00			7,530.00			6,323.00	0.00	0.00
S	CO08	Productive investment: Employment increase in supported enterprises	44,475.00			28,532.00			29,962.00		
F	CO29	Research, Innovation: Number of enterprises supported to introduce new to the firm products	3,496.00			1,993.00			1,228.00		
S	CO29	Research, Innovation: Number of enterprises supported to introduce new to the firm products	6,808.00			4,617.00			3,842.00		
F	P13	Number of enterprises receiving information, diagnostic and brokerage	14,010.00			9,926.00			8,157.00		
S	P13	Number of enterprises receiving information, diagnostic and brokerage	34,338.00			22,383.00			24,412.00		
F	P2	Public or commercial buildings built or renovated	44,036.00			30,567.00			9,695.00		
S	P2	Public or commercial buildings built or renovated	104,273.00			56,816.00			64,274.00		

(1)	ID	Indicator	2017 Total	2017 Men	2017 Women	2016 Total	2016 Men	2016 Women	2015 Total	2015 Men	2015 Women
F	CO01	Productive investment: Number of enterprises receiving support	4,512.00			407.00			0.00		
S	CO01	Productive investment: Number of enterprises receiving support	35,066.00			31,305.00					
F	CO02	Productive investment: Number of enterprises receiving grants	1,342.00			268.00					
S	CO02	Productive investment: Number of enterprises receiving grants	9,904.00								
F	CO03	Productive investment: Number of enterprises receiving financial support other than grants	0.00			0.00			0.00		
S	CO03	Productive investment: Number of enterprises receiving financial support other than grants	2,191.00			2,066.00			0.00		
F	CO04	Productive investment: Number of enterprises receiving non-financial support	3,106.00			101.00					
S	CO04	Productive investment: Number of enterprises receiving non-financial support	21,189.00			7,826.00					
F	CO05	Productive investment: Number of new enterprises supported	219.00			65.00					
S	CO05	Productive investment: Number of new enterprises supported	7,086.00			4,849.00					

(1)	ID	Indicator	2017 Total	2017 Men	2017 Women	2016 Total	2016 Men	2016 Women	2015 Total	2015 Men	2015 Women
F	CO06	Productive investment: Private investment matching public support to enterprises (grants)	17,776,036.00								
S	CO06	Productive investment: Private investment matching public support to enterprises (grants)	104,332,390.00			107,512.00					
F	CO07	Productive investment: Private investment matching public support to enterprises (non-grants)	0.00			0.00			0.00		
S	CO07	Productive investment: Private investment matching public support to enterprises (non-grants)	440,261,223.00			0.00			0.00		
F	CO08	Productive investment: Employment increase in supported enterprises	2,233.00	377.00	179.00						
S	CO08	Productive investment: Employment increase in supported enterprises	26,167.00			22.00					
F	CO29	Research, Innovation: Number of enterprises supported to introduce new to the firm products	318.00								
S	CO29	Research, Innovation: Number of enterprises supported to introduce new to the firm products	3,533.00			0.00					
F	P13	Number of enterprises receiving information, diagnostic and brokerage	2,411.00								
S	P13	Number of enterprises receiving information, diagnostic and brokerage	21,934.00								
F	P2	Public or commercial buildings built or renovated	0.00			0.00			0.00		
S	P2	Public or commercial buildings built or renovated	57,378.00			0.00			0.00		

(1)	ID	Indicator	2014 Total	2014 Men	2014 Women
F	CO01	Productive investment: Number of enterprises receiving support			
S	CO01	Productive investment: Number of enterprises receiving support			
F	CO02	Productive investment: Number of enterprises receiving grants			
S	CO02	Productive investment: Number of enterprises receiving grants			
F	CO03	Productive investment: Number of enterprises receiving financial support other than grants	0.00		
S	CO03	Productive investment: Number of enterprises receiving financial support other than grants	0.00		
F	CO04	Productive investment: Number of enterprises receiving non-financial support			
S	CO04	Productive investment: Number of enterprises receiving non-financial support			
F	CO05	Productive investment: Number of new enterprises supported			
S	CO05	Productive investment: Number of new enterprises supported			
F	CO06	Productive investment: Private investment matching public support to enterprises (grants)			
S	CO06	Productive investment: Private investment matching public support to enterprises (grants)			
F	CO07	Productive investment: Private investment matching public support to enterprises (non-grants)	0.00		
S	CO07	Productive investment: Private investment matching public support to enterprises (non-grants)	0.00		
F	CO08	Productive investment: Employment increase in supported enterprises			
S	CO08	Productive investment: Employment increase in supported enterprises			
F	CO29	Research, Innovation: Number of enterprises supported to introduce new to the firm products			
S	CO29	Research, Innovation: Number of enterprises supported to introduce new to the firm products			
F	P13	Number of enterprises receiving information, diagnostic and brokerage			
S	P13	Number of enterprises receiving information, diagnostic and brokerage			
F	P2	Public or commercial buildings built or renovated	0.00		
S	P2	Public or commercial buildings built or renovated	0.00		

Priority axis	3 - Enhancing the Competitiveness of SMEs
Investment Priority	3c - Supporting the creation and the extension of advanced capacities for product and service development
Specific objective	3.2 - Increase growth capacity of SMEs

Table 1: Result indicators for the ERDF, the ERDF REACT-EU and the Cohesion Fund (by priority axis and specific objective); applies also to Technical Assistance priority axis

ID	Indicator	Measurement unit	Category of region	Baseline value	Baseline year	Target value 2023	2021 Total	2021 Qualitative	Observations
3.2	Number of jobs in small and medium sized enterprises	Full time equivalents		15,163,000	2014	Increase in line with job creation in the UK economy		4,911,000	
3.3	Gap in productivity between SMEs and large companies measured in terms of gross value added per employee	percentage		0.7	2014	Reduction in gap			

ID	Indicator	2020 Total	2020 Qualitative	2019 Total	2019 Qualitative	2018 Total	2018 Qualitative	2017 Total	2017 Qualitative
3.2	Number of jobs in small and medium sized enterprises		5,246,500		5,180,700		4,998,200		5,001,200
3.3	Gap in productivity between SMEs and large companies measured in terms of gross value added per employee						19		18

ID	Indicator	2016 Total	2016 Qualitative	2015 Total	2015 Qualitative	2014 Total	2014 Qualitative
3.2	Number of jobs in small and medium sized enterprises		4,820,700		4,724,000		4,582,700
3.3	Gap in productivity between SMEs and large companies measured in terms of gross value added per employee		23		14		

Priority axis	3 - Enhancing the Competitiveness of SMEs
Investment Priority	3d - Supporting the capacity of SMEs to grow in regional, national and international markets, and to engage in innovation processes

Table 3A: Common and programme specific output indicators for the ERDF, the ERDF REACT-EU and the Cohesion Fund (by priority axis, investment priority, broken down by category of region for the ERDF) - 3 / 3d

(1)	ID	Indicator	Measurement unit	Category of region	Target value (2023) total	Target value (2023) men	Target value (2023) women	2021 Total	2021 Men	2021 Women	Observations
F	CO01	Productive investment: Number of enterprises receiving support	Enterprises		28,329.00			33,667.00			N/A
S	CO01	Productive investment: Number of enterprises receiving support	Enterprises		28,329.00			42,960.00			N/A
F	CO02	Productive investment: Number of enterprises receiving grants	Enterprises		18,886.00			12,395.00			N/A
S	CO02	Productive investment: Number of enterprises receiving grants	Enterprises		18,886.00			14,946.00			N/A
F	CO03	Productive investment: Number of enterprises receiving financial support other than grants	Enterprises		2,084.00			124.00			N/A
S	CO03	Productive investment: Number of enterprises receiving financial support other than grants	Enterprises		2,084.00			1,052.00			
F	CO04	Productive investment: Number of enterprises receiving non-financial support	Enterprises		7,359.00			21,057.00			N/A
S	CO04	Productive investment: Number of enterprises receiving non-financial support	Enterprises		7,359.00			27,275.00			N/A
F	CO05	Productive investment: Number of new enterprises supported	Enterprises		9,007.00			2,666.00			N/A
S	CO05	Productive investment: Number of new enterprises supported	Enterprises		9,007.00			6,380.00			N/A
F	CO06	Productive investment: Private investment matching public support to enterprises (grants)	EUR		81,390,713.00			311,483,851.00			N/A
S	CO06	Productive investment: Private investment matching public support to enterprises (grants)	EUR		81,390,713.00			186,001,463.00			N/A
F	CO07	Productive investment: Private investment matching public support to enterprises (non-grants)	EUR		83,436,167.00			119,175,595.00			N/A
S	CO07	Productive investment: Private investment matching public support to enterprises (non-grants)	EUR		83,436,167.00			144,896,300.00			
F	CO08	Productive investment: Employment increase in supported enterprises	Full time equivalents		11,777.00			17,014.00			N/A
S	CO08	Productive investment: Employment increase in supported enterprises	Full time equivalents		11,777.00			27,105.00			
F	CO29	Research, Innovation: Number of enterprises supported to introduce new to the firm products	Enterprises		4,533.00			1,718.00			N/A
S	CO29	Research, Innovation: Number of enterprises supported to introduce new to the firm products	Enterprises		4,533.00			4,223.00			N/A
F	P13	Number of enterprises receiving information, diagnostic and brokerage	Enterprises		2,816.00			20,142.00			
S	P13	Number of enterprises receiving information, diagnostic and brokerage	Enterprises		2,816.00			21,941.00			
F	P2	Public or commercial buildings built or renovated	Square metres		3,504.00			23,168.00			N/A
S	P2	Public or commercial buildings built or renovated	Square metres		3,504.00			71,720.00			

(1) S=Cumulative value — outputs to be delivered by selected operations [forecast provided by beneficiaries], F=Cumulative value — outputs delivered by operations [actual achievement]

(1)	ID	Indicator	2020 Total	2020 Men	2020 Women	2019 Total	2019 Men	2019 Women	2018 Total	2018 Men	2018 Women
F	CO01	Productive investment: Number of enterprises receiving support	25,534.00			17,924.00			8,784.00		
S	CO01	Productive investment: Number of enterprises receiving support	40,529.00			25,156.00			23,716.00		
F	CO02	Productive investment: Number of enterprises receiving grants	7,766.00			5,454.00			3,859.00		
S	CO02	Productive investment: Number of enterprises receiving grants	13,692.00			7,386.00			6,471.00		
F	CO03	Productive investment: Number of enterprises receiving financial support other than grants	48.00			10.00			11.00		
S	CO03	Productive investment: Number of enterprises receiving financial support other than grants	1,025.00			505.00			2,151.00		
F	CO04	Productive investment: Number of enterprises receiving non-financial support	16,612.00			12,062.00			8,091.00		
S	CO04	Productive investment: Number of enterprises receiving non-financial support	25,362.00			17,255.00			7,604.00		
F	CO05	Productive investment: Number of new enterprises supported	2,405.00			1,602.00			1,021.00		
S	CO05	Productive investment: Number of new enterprises supported	6,305.00			4,196.00			3,858.00		
F	CO06	Productive investment: Private investment matching public support to enterprises (grants)	278,003,686.00			216,642,212.00			118,473,220.00		
S	CO06	Productive investment: Private investment matching public support to enterprises (grants)	168,760,096.00			87,409,106.00			77,042,341.00		
F	CO07	Productive investment: Private investment matching public support to enterprises (non-grants)	55,753,766.00			34,536,787.33			0.00		
S	CO07	Productive investment: Private investment matching public support to enterprises (non-grants)	133,211,562.00			70,293,714.00			279,406,291.00		
F	CO08	Productive investment: Employment increase in supported enterprises	13,242.00			9,777.00			6,251.00	772.00	341.00
S	CO08	Productive investment: Employment increase in supported enterprises	26,681.00			17,118.00			17,977.00		
F	CO29	Research, Innovation: Number of enterprises supported to introduce new to the firm products	1,129.00			700.00			647.00		
S	CO29	Research, Innovation: Number of enterprises supported to introduce new to the firm products	4,805.00			2,829.00			2,257.00		
F	P13	Number of enterprises receiving information, diagnostic and brokerage	14,038.00			9,720.00			10,209.50		
S	P13	Number of enterprises receiving information, diagnostic and brokerage	20,605.00			13,719.00			14,337.00		
F	P2	Public or commercial buildings built or renovated	22,125.00			21,462.00			12,436.00		
S	P2	Public or commercial buildings built or renovated	62,564.00			34,089.00			38,565.00		

(1)	ID	Indicator	2017 Total	2017 Men	2017 Women	2016 Total	2016 Men	2016 Women	2015 Total	2015 Men	2015 Women
F	CO01	Productive investment: Number of enterprises receiving support	4,882.00			299.00			0.00		
S	CO01	Productive investment: Number of enterprises receiving support	21,040.00			18,874.00					
F	CO02	Productive investment: Number of enterprises receiving grants	909.00			197.00					
S	CO02	Productive investment: Number of enterprises receiving grants	5,943.00								
F	CO03	Productive investment: Number of enterprises receiving financial support other than grants	0.00			0.00			0.00		
S	CO03	Productive investment: Number of enterprises receiving financial support other than grants	1,321.00			0.00			0.00		
F	CO04	Productive investment: Number of enterprises receiving non-financial support	986.00			74.00					
S	CO04	Productive investment: Number of enterprises receiving non-financial support	12,713.00			5,284.00					
F	CO05	Productive investment: Number of new enterprises supported	682.00			47.00					
S	CO05	Productive investment: Number of new enterprises supported	4,247.00			3,020.00					
F	CO06	Productive investment: Private investment matching public support to enterprises (grants)	11,657,249.00								
S	CO06	Productive investment: Private investment matching public support to enterprises (grants)	62,599,434.00			719,374.00					
F	CO07	Productive investment: Private investment matching public support to enterprises (non-grants)	0.00			0.00			0.00		
S	CO07	Productive investment: Private investment matching public support to enterprises (non-grants)	264,156,733.00			0.00			0.00		

(1)	ID	Indicator	2017 Total	2017 Men	2017 Women	2016 Total	2016 Men	2016 Women	2015 Total	2015 Men	2015 Women
F	CO08	Productive investment: Employment increase in supported enterprises	1,340.00	169.00	107.00						
S	CO08	Productive investment: Employment increase in supported enterprises	15,700.00			5.00					
F	CO29	Research, Innovation: Number of enterprises supported to introduce new to the firm products	247.00								
S	CO29	Research, Innovation: Number of enterprises supported to introduce new to the firm products	2,073.00								
F	P13	Number of enterprises receiving information, diagnostic and brokerage	9,089.00								
S	P13	Number of enterprises receiving information, diagnostic and brokerage	12,882.00								
F	P2	Public or commercial buildings built or renovated	152.00			0.00			0.00		
S	P2	Public or commercial buildings built or renovated	34,227.00			0.00			0.00		

(1)	ID	Indicator	2014 Total	2014 Men	2014 Women
F	CO01	Productive investment: Number of enterprises receiving support			
S	CO01	Productive investment: Number of enterprises receiving support			
F	CO02	Productive investment: Number of enterprises receiving grants			
S	CO02	Productive investment: Number of enterprises receiving grants			
F	CO03	Productive investment: Number of enterprises receiving financial support other than grants	0.00		
S	CO03	Productive investment: Number of enterprises receiving financial support other than grants	0.00		
F	CO04	Productive investment: Number of enterprises receiving non-financial support			
S	CO04	Productive investment: Number of enterprises receiving non-financial support			
F	CO05	Productive investment: Number of new enterprises supported			
S	CO05	Productive investment: Number of new enterprises supported			
F	CO06	Productive investment: Private investment matching public support to enterprises (grants)			
S	CO06	Productive investment: Private investment matching public support to enterprises (grants)			
F	CO07	Productive investment: Private investment matching public support to enterprises (non-grants)	0.00		
S	CO07	Productive investment: Private investment matching public support to enterprises (non-grants)	0.00		
F	CO08	Productive investment: Employment increase in supported enterprises			
S	CO08	Productive investment: Employment increase in supported enterprises			
F	CO29	Research, Innovation: Number of enterprises supported to introduce new to the firm products			
S	CO29	Research, Innovation: Number of enterprises supported to introduce new to the firm products			
F	P13	Number of enterprises receiving information, diagnostic and brokerage			
S	P13	Number of enterprises receiving information, diagnostic and brokerage			
F	P2	Public or commercial buildings built or renovated	0.00		
S	P2	Public or commercial buildings built or renovated	0.00		

Priority axis	3 - Enhancing the Competitiveness of SMEs
Investment Priority	3d - Supporting the capacity of SMEs to grow in regional, national and international markets, and to engage in innovation processes
Specific objective	3.3 - Increase growth capability of SMEs

Table 1: Result indicators for the ERDF, the ERDF REACT-EU and the Cohesion Fund (by priority axis and specific objective); applies also to Technical Assistance priority axis

ID	Indicator	Measurement unit	Category of region	Baseline value	Baseline year	Target value 2023	2021 Total	2021 Qualitative	Observations
3.4	Number of small and medium sized jobs created	Full time equivalents		713,000	2014	2000000 jobs created in uk over the period of the programme		-335,500	
3.5	Gap in productivity between SMEs and large companies productivity measured in terms of gross value added per employee	GPB		475	2014	Reduction in gap			

ID	Indicator	2020 Total	2020 Qualitative	2019 Total	2019 Qualitative	2018 Total	2018 Qualitative	2017 Total	2017 Qualitative
3.4	Number of small and medium sized jobs created		65,800		182,500				179,500
3.5	Gap in productivity between SMEs and large companies productivity measured in terms of gross value added per employee						13,200		12,800

ID	Indicator	2016 Total	2016 Qualitative	2015 Total	2015 Qualitative	2014 Total	2014 Qualitative
3.4	Number of small and medium sized jobs created		96,000		136,900		303,100
3.5	Gap in productivity between SMEs and large companies productivity measured in terms of gross value added per employee		15,825		9,864		

Priority axis	4 - Supporting the Shift Towards a Low Carbon Economy in all Sectors
Investment Priority	4a - Promoting the production and distribution of energy derived from renewable sources

Table 3A: Common and programme specific output indicators for the ERDF, the ERDF REACT-EU and the Cohesion Fund (by priority axis, investment priority, broken down by category of region for the ERDF) - 4 / 4a

(1)	ID	Indicator	Measurement unit	Category region	of	Target value (2023) total	Target value (2023) men	Target value (2023) women	2021 Total	2021 Men	2021 Women	Observations
F	CO01	Productive investment: Number of enterprises receiving support	Enterprises			939.00			533.00			N/A
S	CO01	Productive investment: Number of enterprises receiving support	Enterprises			939.00			821.00			
F	CO05	Productive investment: Number of new enterprises supported	Enterprises			188.00			35.00			N/A
S	CO05	Productive investment: Number of new enterprises supported	Enterprises			188.00			286.00			N/A
F	CO30	Renewables: Additional capacity of renewable energy production	MW			121.00			19.00			N/A
S	CO30	Renewables: Additional capacity of renewable energy production	MW			121.00			428.00			N/A
F	CO34	GHG reduction: Estimated annual decrease of GHG	Tonnes of CO2eq			74,525.00			11,751.00			
S	CO34	GHG reduction: Estimated annual decrease of GHG	Tonnes of CO2eq			74,525.00			205,067.00			

(1) S=Cumulative value — outputs to be delivered by selected operations [forecast provided by beneficiaries], F=Cumulative value — outputs delivered by operations [actual achievement]

(1)	ID	Indicator	2020 Total	2020 Men	2020 Women	2019 Total	2019 Men	2019 Women	2018 Total	2018 Men	2018 Women
F	CO01	Productive investment: Number of enterprises receiving support	401.00			279.00			168.00		
S	CO01	Productive investment: Number of enterprises receiving support	665.00			427.00			429.00		
F	CO05	Productive investment: Number of new enterprises supported	35.00			24.00			15.00		
S	CO05	Productive investment: Number of new enterprises supported	226.00			104.00			147.00		
F	CO30	Renewables: Additional capacity of renewable energy production	12.00			0.00			329.00		
S	CO30	Renewables: Additional capacity of renewable energy production	73.00			0.00			86.00		
F	CO34	GHG reduction: Estimated annual decrease of GHG	605.00			264.00			362.30		
S	CO34	GHG reduction: Estimated annual decrease of GHG	71,686.00			35,574.00			168,823.00		

(1)	ID	Indicator	2017 Total	2017 Men	2017 Women	2016 Total	2016 Men	2016 Women	2015 Total	2015 Men	2015 Women
F	CO01	Productive investment: Number of enterprises receiving support	48.00								
S	CO01	Productive investment: Number of enterprises receiving support	390.00								
F	CO05	Productive investment: Number of new enterprises supported	0.00			0.00			0.00		
S	CO05	Productive investment: Number of new enterprises supported	127.00			0.00			0.00		
F	CO30	Renewables: Additional capacity of renewable energy production	25.00								
S	CO30	Renewables: Additional capacity of renewable energy production	13.76								
F	CO34	GHG reduction: Estimated annual decrease of GHG	8.00			0.00			0.00		
S	CO34	GHG reduction: Estimated annual decrease of GHG	83,824.00			29,142.00			0.00		

(1)	ID	Indicator	2014 Total	2014 Men	2014 Women
F	CO01	Productive investment: Number of enterprises receiving support			
S	CO01	Productive investment: Number of enterprises receiving support			
F	CO05	Productive investment: Number of new enterprises supported	0.00		
S	CO05	Productive investment: Number of new enterprises supported	0.00		
F	CO30	Renewables: Additional capacity of renewable energy production			
S	CO30	Renewables: Additional capacity of renewable energy production			
F	CO34	GHG reduction: Estimated annual decrease of GHG	0.00		
S	CO34	GHG reduction: Estimated annual decrease of GHG	0.00		

Priority axis	4 - Supporting the Shift Towards a Low Carbon Economy in all Sectors
Investment Priority	4a - Promoting the production and distribution of energy derived from renewable sources
Specific objective	4.1 - Increase the number of small scale renewable energy schemes in England

Table 1: Result indicators for the ERDF, the ERDF REACT-EU and the Cohesion Fund (by priority axis and specific objective); applies also to Technical Assistance priority axis

ID	Indicator	Measurement unit	Category of region	Baseline value	Baseline year	Target value 2023	2021 Total	2021 Qualitative	Observations
4.1	Number of sites generating electricity from renewable sources (excluding PV)	Number		4,095	2013	20-40 additional sites in England		5,736	

ID	Indicator	2020 Total	2020 Qualitative	2019 Total	2019 Qualitative	2018 Total	2018 Qualitative	2017 Total	2017 Qualitative
4.1	Number of sites generating electricity from renewable sources (excluding PV)		5,775				5,706		5,643

ID	Indicator	2016 Total	2016 Qualitative	2015 Total	2015 Qualitative	2014 Total	2014 Qualitative
4.1	Number of sites generating electricity from renewable sources (excluding PV)		5,490		5,244		4,742

Priority axis	4 - Supporting the Shift Towards a Low Carbon Economy in all Sectors
Investment Priority	4b - Promoting energy efficiency and renewable energy use in enterprises

Table 3A: Common and programme specific output indicators for the ERDF, the ERDF REACT-EU and the Cohesion Fund (by priority axis, investment priority, broken down by category of region for the ERDF) - 4 / 4b

(1)	ID	Indicator	Measurement unit	Category of region	Target value (2023) total	Target value (2023) men	Target value (2023) women	2021 Total	2021 Men	2021 Women	Observations
F	CO01	Productive investment: Number of enterprises receiving support	Enterprises		11,248.00			5,759.00			
S	CO01	Productive investment: Number of enterprises receiving support	Enterprises		11,248.00			9,829.00			N/A
F	CO34	GHG reduction: Estimated annual decrease of GHG	Tonnes of CO2eq		60,975.00			46,307.00			
S	CO34	GHG reduction: Estimated annual decrease of GHG	Tonnes of CO2eq		60,975.00			167,782.00			

(1) S=Cumulative value — outputs to be delivered by selected operations [forecast provided by beneficiaries], F=Cumulative value — outputs delivered by operations [actual achievement]

(1)	ID	Indicator	2020 Total	2020 Men	2020 Women	2019 Total	2019 Men	2019 Women	2018 Total	2018 Men	2018 Women
F	CO01	Productive investment: Number of enterprises receiving support	4,480.00			2,809.00			1,739.00		
S	CO01	Productive investment: Number of enterprises receiving support	7,965.00			5,015.00			5,042.00		
F	CO34	GHG reduction: Estimated annual decrease of GHG	29,497.00			21,462.00			132,596.73		
S	CO34	GHG reduction: Estimated annual decrease of GHG	58,652.00			29,106.00			59,088.00		

(1)	ID	Indicator	2017 Total	2017 Men	2017 Women	2016 Total	2016 Men	2016 Women	2015 Total	2015 Men	2015 Women
F	CO01	Productive investment: Number of enterprises receiving support	400.00			0.00					
S	CO01	Productive investment: Number of enterprises receiving support	4,585.00								
F	CO34	GHG reduction: Estimated annual decrease of GHG	1,352.00								
S	CO34	GHG reduction: Estimated annual decrease of GHG	33,846.00			23,844.00					

(1)	ID	Indicator	2014 Total	2014 Men	2014 Women
F	CO01	Productive investment: Number of enterprises receiving support			
S	CO01	Productive investment: Number of enterprises receiving support			
F	CO34	GHG reduction: Estimated annual decrease of GHG			
S	CO34	GHG reduction: Estimated annual decrease of GHG			

Priority axis	4 - Supporting the Shift Towards a Low Carbon Economy in all Sectors
Investment Priority	4b - Promoting energy efficiency and renewable energy use in enterprises
Specific objective	4.2 - Increase energy efficiency in particular in SMEs, including through the implementation of low carbon technologies

Table 1: Result indicators for the ERDF, the ERDF REACT-EU and the Cohesion Fund (by priority axis and specific objective); applies also to Technical Assistance priority axis

ID	Indicator	Measurement unit	Category of region	Baseline value	Baseline year	Target value 2023	2021 Total	2021 Qualitative	Observations
4.2	SMEs that have no methods to measure energy efficiency	Percentage		23	2013	0			

ID	Indicator	2020 Total	2020 Qualitative	2019 Total	2019 Qualitative	2018 Total	2018 Qualitative	2017 Total	2017 Qualitative
4.2	SMEs that have no methods to measure energy efficiency								0

ID	Indicator	2016 Total	2016 Qualitative	2015 Total	2015 Qualitative	2014 Total	2014 Qualitative
4.2	SMEs that have no methods to measure energy efficiency						

Priority axis	4 - Supporting the Shift Towards a Low Carbon Economy in all Sectors
Investment Priority	4c - Supporting energy efficiency, smart energy management and renewable energy use in public infrastructure, including in public buildings, and in the housing sector

Table 3A: Common and programme specific output indicators for the ERDF, the ERDF REACT-EU and the Cohesion Fund (by priority axis, investment priority, broken down by category of region for the ERDF) - 4 / 4c

(1)	ID	Indicator	Measurement unit	Category of region	Target value (2023) total	Target value (2023) men	Target value (2023) women	2021 Total	2021 Men	2021 Women	Observations
F	CO31	Energy efficiency: Number of households with improved energy consumption classification	Households		5,340.00			1,814.00			N/A
S	CO31	Energy efficiency: Number of households with improved energy consumption classification	Households		5,340.00			9,912.00			N/A
F	CO32	Energy efficiency: Decrease of annual primary energy consumption of public buildings	kWh/year		5,153,972.00			57,133,179.00			N/A
S	CO32	Energy efficiency: Decrease of annual primary energy consumption of public buildings	kWh/year		5,153,972.00			171,494,936.00			N/A
F	CO34	GHG reduction: Estimated annual decrease of GHG	Tonnes of CO2eq		84,688.00			32,629.00			N/A
S	CO34	GHG reduction: Estimated annual decrease of GHG	Tonnes of CO2eq		84,688.00			233,033.00			Reduction on 2018 achievement as a result of a data cleansing exercise

(1) S=Cumulative value — outputs to be delivered by selected operations [forecast provided by beneficiaries], F=Cumulative value — outputs delivered by operations [actual achievement]

(1)	ID	Indicator	2020 Total	2020 Men	2020 Women	2019 Total	2019 Men	2019 Women	2018 Total	2018 Men	2018 Women
F	CO31	Energy efficiency: Number of households with improved energy consumption classification	1,376.00			189.00			0.00		
S	CO31	Energy efficiency: Number of households with improved energy consumption classification	8,006.00			4,868.00			4,844.00		
F	CO32	Energy efficiency: Decrease of annual primary energy consumption of public buildings	53,289,488.00			24,010,865.00			48,697.00		
S	CO32	Energy efficiency: Decrease of annual primary energy consumption of public buildings	164,797,468.00			84,309,080.00			82,027,325.00		
F	CO34	GHG reduction: Estimated annual decrease of GHG	30,258.00			10,103.00			40.59		
S	CO34	GHG reduction: Estimated annual decrease of GHG	81,462.00			40,425.00			80,191.00		

(1)	ID	Indicator	2017 Total	2017 Men	2017 Women	2016 Total	2016 Men	2016 Women	2015 Total	2015 Men	2015 Women
F	CO31	Energy efficiency: Number of households with improved energy consumption classification	0.00			0.00			0.00		
S	CO31	Energy efficiency: Number of households with improved energy consumption classification	2,401.00			0.00			0.00		
F	CO32	Energy efficiency: Decrease of annual primary energy consumption of public buildings	0.00			0.00			0.00		
S	CO32	Energy efficiency: Decrease of annual primary energy consumption of public buildings	72,517,812.00			0.00			0.00		
F	CO34	GHG reduction: Estimated annual decrease of GHG	0.00			0.00			0.00		
S	CO34	GHG reduction: Estimated annual decrease of GHG	95,255.00			33,116.00			0.00		

(1)	ID	Indicator	2014 Total	2014 Men	2014 Women
F	CO31	Energy efficiency: Number of households with improved energy consumption classification	0.00		

(1)	ID	Indicator	2014 Total	2014 Men	2014 Women
S	CO31	Energy efficiency: Number of households with improved energy consumption classification	0.00		
F	CO32	Energy efficiency: Decrease of annual primary energy consumption of public buildings	0.00		
S	CO32	Energy efficiency: Decrease of annual primary energy consumption of public buildings	0.00		
F	CO34	GHG reduction: Estimated annual decrease of GHG	0.00		
S	CO34	GHG reduction: Estimated annual decrease of GHG	0.00		

Priority axis	4 - Supporting the Shift Towards a Low Carbon Economy in all Sectors
Investment Priority	4c - Supporting energy efficiency, smart energy management and renewable energy use in public infrastructure, including in public buildings, and in the housing sector
Specific objective	4.3 - Increase energy efficiency in homes and public buildings, including through the implementation of low carbon technologies

Table 1: Result indicators for the ERDF, the ERDF REACT-EU and the Cohesion Fund (by priority axis and specific objective); applies also to Technical Assistance priority axis

ID	Indicator	Measurement unit	Category of region	Baseline value	Baseline year	Target value 2023	2021 Total	2021 Qualitative	Observations
4.3	Index of Domestic energy consumption per household (1990 =100)	Number		105.2	2012	Average reduction of 2 points each year in UK, taking account of service demand			

ID	Indicator	2020 Total	2020 Qualitative	2019 Total	2019 Qualitative	2018 Total	2018 Qualitative	2017 Total	2017 Qualitative
4.3	Index of Domestic energy consumption per household (1990 =100)		73.6		77.3		78.6		76.2

ID	Indicator	2016 Total	2016 Qualitative	2015 Total	2015 Qualitative	2014 Total	2014 Qualitative
4.3	Index of Domestic energy consumption per household (1990 =100)		79		77.6		75.3

Priority axis	4 - Supporting the Shift Towards a Low Carbon Economy in all Sectors
Investment Priority	4e - Promoting low-carbon strategies for all types of territories, in particular for urban areas, including the promotion of sustainable multimodal urban mobility and mitigation-relevant adaptation measures

Table 3A: Common and programme specific output indicators for the ERDF, the ERDF REACT-EU and the Cohesion Fund (by priority axis, investment priority, broken down by category of region for the ERDF) - 4 / 4e

(1)	ID	Indicator	Measurement unit	Category of region	Target value (2023) total	Target value (2023) men	Target value (2023) women	2021 Total	2021 Men	2021 Women	Observations
F	CO01	Productive investment: Number of enterprises receiving support	Enterprises		9,219.00			4.00			N/A
S	CO01	Productive investment: Number of enterprises receiving support	Enterprises		9,219.00			8,056.00			
F	CO34	GHG reduction: Estimated annual decrease of GHG	Tonnes of CO2eq		84,688.00			363.00			N/A
S	CO34	GHG reduction: Estimated annual decrease of GHG	Tonnes of CO2eq		84,688.00			233,033.00			

(1) S=Cumulative value — outputs to be delivered by selected operations [forecast provided by beneficiaries], F=Cumulative value — outputs delivered by operations [actual achievement]

(1)	ID	Indicator	2020 Total	2020 Men	2020 Women	2019 Total	2019 Men	2019 Women	2018 Total	2018 Men	2018 Women
F	CO01	Productive investment: Number of enterprises receiving support	3.00			0.00			0.00		
S	CO01	Productive investment: Number of enterprises receiving support	6,528.00			4,161.00			4,183.00		
F	CO34	GHG reduction: Estimated annual decrease of GHG	255.00			0.00			0.00		
S	CO34	GHG reduction: Estimated annual decrease of GHG	81,462.00			40,425.00			80,191.00		

(1)	ID	Indicator	2017 Total	2017 Men	2017 Women	2016 Total	2016 Men	2016 Women	2015 Total	2015 Men	2015 Women
F	CO01	Productive investment: Number of enterprises receiving support	0.00			0.00			0.00		
S	CO01	Productive investment: Number of enterprises receiving support	3,804.00			0.00			0.00		
F	CO34	GHG reduction: Estimated annual decrease of GHG	0.00			0.00			0.00		
S	CO34	GHG reduction: Estimated annual decrease of GHG	92,255.00			33,116.00			0.00		

(1)	ID	Indicator	2014 Total	2014 Men	2014 Women
F	CO01	Productive investment: Number of enterprises receiving support	0.00		
S	CO01	Productive investment: Number of enterprises receiving support	0.00		
F	CO34	GHG reduction: Estimated annual decrease of GHG	0.00		
S	CO34	GHG reduction: Estimated annual decrease of GHG	0.00		

Priority axis	4 - Supporting the Shift Towards a Low Carbon Economy in all Sectors
Investment Priority	4e - Promoting low-carbon strategies for all types of territories, in particular for urban areas, including the promotion of sustainable multimodal urban mobility and mitigation-relevant adaptation measures
Specific objective	4.4 - Increase implementation of whole place low carbon solutions and decentralised energy measures.

Table 1: Result indicators for the ERDF, the ERDF REACT-EU and the Cohesion Fund (by priority axis and specific objective); applies also to Technical Assistance priority axis

ID	Indicator	Measurement unit	Category of region	Baseline value	Baseline year	Target value 2023	2021 Total	2021 Qualitative	Observations
4.4	Reduction in carbon emissions in areas with low carbon strategies	Tonnes		To be established in each low carbon strategy	2012	Decrease			There has been no expenditure on the programme in the reporting period and therefore no impact on the result measures

ID	Indicator	2020 Total	2020 Qualitative	2019 Total	2019 Qualitative	2018 Total	2018 Qualitative	2017 Total	2017 Qualitative
4.4	Reduction in carbon emissions in areas with low carbon strategies								0

ID	Indicator	2016 Total	2016 Qualitative	2015 Total	2015 Qualitative	2014 Total	2014 Qualitative
4.4	Reduction in carbon emissions in areas with low carbon strategies						

Priority axis	4 - Supporting the Shift Towards a Low Carbon Economy in all Sectors
Investment Priority	4f - Promoting research and innovation in, and adoption of, low-carbon technologies

Table 3A: Common and programme specific output indicators for the ERDF, the ERDF REACT-EU and the Cohesion Fund (by priority axis, investment priority, broken down by category of region for the ERDF) - 4 / 4f

(1)	ID	Indicator	Measurement unit	Category of region	Target value (2023) total	Target value (2023) men	Target value (2023) women	2021 Total	2021 Men	2021 Women	Observations
F	CO01	Productive investment: Number of enterprises receiving support	Enterprises		2,261.00			2,759.00			N/A
S	CO01	Productive investment: Number of enterprises receiving support	Enterprises		2,261.00			1,976.00			
F	CO05	Productive investment: Number of new enterprises supported	Enterprises		452.00			335.00			N/A
S	CO05	Productive investment: Number of new enterprises supported	Enterprises		452.00			687.00			
F	CO26	Research, Innovation: Number of enterprises cooperating with research institutions	Enterprises		219.00			905.00			N/A
S	CO26	Research, Innovation: Number of enterprises cooperating with research institutions	Enterprises		219.00			2,099.00			N/A
F	CO29	Research, Innovation: Number of enterprises supported to introduce new to the firm products	Enterprises		361.00			429.00			N/A
S	CO29	Research, Innovation: Number of enterprises supported to introduce new to the firm products	Enterprises		361.00			1,576.00			
F	CO34	GHG reduction: Estimated annual decrease of GHG	Tonnes of CO2eq		33,875.00			48,367.00			N/A
S	CO34	GHG reduction: Estimated annual decrease of GHG	Tonnes of CO2eq		33,875.00			93,212.00			Reduction on 2018 achievement as a result of a data cleansing exercise

(1) S=Cumulative value — outputs to be delivered by selected operations [forecast provided by beneficiaries], F=Cumulative value — outputs delivered by operations [actual achievement]

(1)	ID	Indicator	2020 Total	2020 Men	2020 Women	2019 Total	2019 Men	2019 Women	2018 Total	2018 Men	2018 Women
F	CO01	Productive investment: Number of enterprises receiving support	2,096.00			1,124.00			537.00		
S	CO01	Productive investment: Number of enterprises receiving support	1,601.00			1,067.00			1,073.00		
F	CO05	Productive investment: Number of new enterprises supported	285.00			156.00			116.00		
S	CO05	Productive investment: Number of new enterprises supported	545.00			254.00			342.00		
F	CO26	Research, Innovation: Number of enterprises cooperating with research institutions	631.00			401.00			351.00		
S	CO26	Research, Innovation: Number of enterprises cooperating with research institutions	1,622.00			920.00			4,433.00		
F	CO29	Research, Innovation: Number of enterprises supported to introduce new to the firm products	274.00			144.00			98.00		
S	CO29	Research, Innovation: Number of enterprises supported to introduce new to the firm products	1,163.00			661.00			700.00		
F	CO34	GHG reduction: Estimated annual decrease of GHG	19,559.00			16,193.00			15,972.00		
S	CO34	GHG reduction: Estimated annual decrease of GHG	32,585.00			16,171.00			35,451.00		

(1)	ID	Indicator	2017 Total	2017 Men	2017 Women	2016 Total	2016 Men	2016 Women	2015 Total	2015 Men	2015 Women
-----	----	-----------	------------	----------	------------	------------	----------	------------	------------	----------	------------

(1)	ID	Indicator	2017 Total	2017 Men	2017 Women	2016 Total	2016 Men	2016 Women	2015 Total	2015 Men	2015 Women
F	CO01	Productive investment: Number of enterprises receiving support	143.00			0.00					
S	CO01	Productive investment: Number of enterprises receiving support	975.00								
F	CO05	Productive investment: Number of new enterprises supported	18.00								
S	CO05	Productive investment: Number of new enterprises supported	296.00								
F	CO26	Research, Innovation: Number of enterprises cooperating with research institutions	68.00								
S	CO26	Research, Innovation: Number of enterprises cooperating with research institutions	919.00								
F	CO29	Research, Innovation: Number of enterprises supported to introduce new to the firm products	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
S	CO29	Research, Innovation: Number of enterprises supported to introduce new to the firm products	618.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
F	CO34	GHG reduction: Estimated annual decrease of GHG	497.00								
S	CO34	GHG reduction: Estimated annual decrease of GHG	38,102.00			13,247.00					

(1)	ID	Indicator	2014 Total	2014 Men	2014 Women
F	CO01	Productive investment: Number of enterprises receiving support			
S	CO01	Productive investment: Number of enterprises receiving support			
F	CO05	Productive investment: Number of new enterprises supported			
S	CO05	Productive investment: Number of new enterprises supported			
F	CO26	Research, Innovation: Number of enterprises cooperating with research institutions			
S	CO26	Research, Innovation: Number of enterprises cooperating with research institutions			
F	CO29	Research, Innovation: Number of enterprises supported to introduce new to the firm products	0.00	0.00	0.00
S	CO29	Research, Innovation: Number of enterprises supported to introduce new to the firm products	0.00		0.00
F	CO34	GHG reduction: Estimated annual decrease of GHG			
S	CO34	GHG reduction: Estimated annual decrease of GHG			

Priority axis	4 - Supporting the Shift Towards a Low Carbon Economy in all Sectors
Investment Priority	4f - Promoting research and innovation in, and adoption of, low-carbon technologies
Specific objective	4.5 - Increase innovation in, and adoption of, low carbon technologies

Table 1: Result indicators for the ERDF, the ERDF REACT-EU and the Cohesion Fund (by priority axis and specific objective); applies also to Technical Assistance priority axis

ID	Indicator	Measurement unit	Category of region	Baseline value	Baseline year	Target value 2023	2021 Total	2021 Qualitative	Observations
4.5	Increase the percentage of firms in low carbon sectors who are innovation active.	Percentage		41.5	2013	Increase			

ID	Indicator	2020 Total	2020 Qualitative	2019 Total	2019 Qualitative	2018 Total	2018 Qualitative	2017 Total	2017 Qualitative
4.5	Increase the percentage of firms in low carbon sectors who are innovation active.				31.7				42.8

ID	Indicator	2016 Total	2016 Qualitative	2015 Total	2015 Qualitative	2014 Total	2014 Qualitative
4.5	Increase the percentage of firms in low carbon sectors who are innovation active.				46.8		

Priority axis	5 - Promoting Climate Change Adaptation, Risk Prevention and Management
Investment Priority	5b - Promoting investment to address specific risks, ensuring disaster resilience and developing disaster management systems

Table 3A: Common and programme specific output indicators for the ERDF, the ERDF REACT-EU and the Cohesion Fund (by priority axis, investment priority, broken down by category of region for the ERDF) - 5 / 5b

(1)	ID	Indicator	Measurement unit	Category of region	Target value (2023) total	Target value (2023) men	Target value (2023) women	2021 Total	2021 Men	2021 Women	Observations
F	CO23	Nature and biodiversity: Surface area of habitats supported to attain a better conservation status	Hectares		23.00			11.00			N/A
S	CO23	Nature and biodiversity: Surface area of habitats supported to attain a better conservation status	Hectares		23.00			175.00			N/A
F	P6	Businesses and properties with reduced flood risk	Number		7,766.00			576.00			N/A
S	P6	Businesses and properties with reduced flood risk	Number		7,766.00			9,278.00			N/A

(1) S=Cumulative value — outputs to be delivered by selected operations [forecast provided by beneficiaries], F=Cumulative value — outputs delivered by operations [actual achievement]

(1)	ID	Indicator	2020 Total	2020 Men	2020 Women	2019 Total	2019 Men	2019 Women	2018 Total	2018 Men	2018 Women
F	CO23	Nature and biodiversity: Surface area of habitats supported to attain a better conservation status	10.00			10.00			0.00		
S	CO23	Nature and biodiversity: Surface area of habitats supported to attain a better conservation status	283.00			73.00			40.00		
F	P6	Businesses and properties with reduced flood risk	376.00			351.00			0.00		
S	P6	Businesses and properties with reduced flood risk	8,878.00			6,706.00			5,623.00		

(1)	ID	Indicator	2017 Total	2017 Men	2017 Women	2016 Total	2016 Men	2016 Women	2015 Total	2015 Men	2015 Women
F	CO23	Nature and biodiversity: Surface area of habitats supported to attain a better conservation status	0.00			0.00			0.00		
S	CO23	Nature and biodiversity: Surface area of habitats supported to attain a better conservation status	10.00			0.00			0.00		
F	P6	Businesses and properties with reduced flood risk	0.00			0.00			0.00		
S	P6	Businesses and properties with reduced flood risk	4,325.00			2,065.00			0.00		

(1)	ID	Indicator	2014 Total	2014 Men	2014 Women
F	CO23	Nature and biodiversity: Surface area of habitats supported to attain a better conservation status	0.00		
S	CO23	Nature and biodiversity: Surface area of habitats supported to attain a better conservation status	0.00		
F	P6	Businesses and properties with reduced flood risk	0.00		
S	P6	Businesses and properties with reduced flood risk	0.00		

Priority axis	5 - Promoting Climate Change Adaptation, Risk Prevention and Management
Investment Priority	5b - Promoting investment to address specific risks, ensuring disaster resilience and developing disaster management systems
Specific objective	5.1 - Enabling and protecting economic development potential through investment in flood and coastal flooding management where there is demonstrable market failure

Table 1: Result indicators for the ERDF, the ERDF REACT-EU and the Cohesion Fund (by priority axis and specific objective); applies also to Technical Assistance priority axis

ID	Indicator	Measurement unit	Category of region	Baseline value	Baseline year	Target value 2023	2021 Total	2021 Qualitative	Observations
5.1	Number of non-residential properties better protected from flood and coastal risks	Number		0.00	2014	7,000.00			

ID	Indicator	2020 Total	2020 Qualitative	2019 Total	2019 Qualitative	2018 Total	2018 Qualitative	2017 Total	2017 Qualitative
5.1	Number of non-residential properties better protected from flood and coastal risks							0.00	

ID	Indicator	2016 Total	2016 Qualitative	2015 Total	2015 Qualitative	2014 Total	2014 Qualitative
5.1	Number of non-residential properties better protected from flood and coastal risks	0.00		0.00		0.00	

Priority axis	6 - Preserving and Protecting the Environment and Promoting Resource Efficiency
Investment Priority	6d - Protecting and restoring biodiversity and soil and promoting ecosystem services, including through Natura 2000, and green infrastructure

Table 3A: Common and programme specific output indicators for the ERDF, the ERDF REACT-EU and the Cohesion Fund (by priority axis, investment priority, broken down by category of region for the ERDF) - 6 / 6d

(1)	ID	Indicator	Measurement unit	Category of region	Target value (2023) total	Target value (2023) men	Target value (2023) women	2021 Total	2021 Men	2021 Women	Observations
F	CO22	Land rehabilitation: Total surface area of rehabilitated land	Hectares		24.00			31.00			N/A
S	CO22	Land rehabilitation: Total surface area of rehabilitated land	Hectares		24.00			95.16			N/A
F	CO23	Nature and biodiversity: Surface area of habitats supported to attain a better conservation status	Hectares		1,396.00			741.00			N/A
S	CO23	Nature and biodiversity: Surface area of habitats supported to attain a better conservation status	Hectares		1,396.00			3,672.00			N/A

(1) S=Cumulative value — outputs to be delivered by selected operations [forecast provided by beneficiaries], F=Cumulative value — outputs delivered by operations [actual achievement]

(1)	ID	Indicator	2020 Total	2020 Men	2020 Women	2019 Total	2019 Men	2019 Women	2018 Total	2018 Men	2018 Women
F	CO22	Land rehabilitation: Total surface area of rehabilitated land	28.00			23.00			8.40		
S	CO22	Land rehabilitation: Total surface area of rehabilitated land	62.00			0.00			113.86		
F	CO23	Nature and biodiversity: Surface area of habitats supported to attain a better conservation status	450.00			308.00			202.06		
S	CO23	Nature and biodiversity: Surface area of habitats supported to attain a better conservation status	2,938.00			1,908.06			1,693.00		

(1)	ID	Indicator	2017 Total	2017 Men	2017 Women	2016 Total	2016 Men	2016 Women	2015 Total	2015 Men	2015 Women
F	CO22	Land rehabilitation: Total surface area of rehabilitated land	0.00			0.00			0.00		
S	CO22	Land rehabilitation: Total surface area of rehabilitated land	10.00			0.00			0.00		
F	CO23	Nature and biodiversity: Surface area of habitats supported to attain a better conservation status	20.00								
S	CO23	Nature and biodiversity: Surface area of habitats supported to attain a better conservation status	621.00			249.00					

(1)	ID	Indicator	2014 Total	2014 Men	2014 Women
F	CO22	Land rehabilitation: Total surface area of rehabilitated land	0.00		
S	CO22	Land rehabilitation: Total surface area of rehabilitated land	0.00		
F	CO23	Nature and biodiversity: Surface area of habitats supported to attain a better conservation status			
S	CO23	Nature and biodiversity: Surface area of habitats supported to attain a better conservation status			

Priority axis	6 - Preserving and Protecting the Environment and Promoting Resource Efficiency
Investment Priority	6d - Protecting and restoring biodiversity and soil and promoting ecosystem services, including through Natura 2000, and green infrastructure
Specific objective	6.1 - Investments in Green and Blue infrastructure and actions that support the provision of ecosystem services on which businesses and communities depend to increase local natural capital and support sustainable economic growth

Table 1: Result indicators for the ERDF, the ERDF REACT-EU and the Cohesion Fund (by priority axis and specific objective); applies also to Technical Assistance priority axis

ID	Indicator	Measurement unit	Category of region	Baseline value	Baseline year	Target value 2023	2021 Total	2021 Qualitative	Observations
6.1	Increase of the area of green and blue infrastructure	Hectare		6,700 ha	2016	Increase of 1500			There has been no expenditure on the programme in the reporting period and therefore no impact on the result measures

ID	Indicator	2020 Total	2020 Qualitative	2019 Total	2019 Qualitative	2018 Total	2018 Qualitative	2017 Total	2017 Qualitative
6.1	Increase of the area of green and blue infrastructure								0

ID	Indicator	2016 Total	2016 Qualitative	2015 Total	2015 Qualitative	2014 Total	2014 Qualitative
6.1	Increase of the area of green and blue infrastructure						

Priority axis	6 - Preserving and Protecting the Environment and Promoting Resource Efficiency
Investment Priority	6f - Promoting innovative technologies to improve environmental protection and resource efficiency in the waste sector, water sector and with regard to soil, or to reduce air pollution

Table 3A: Common and programme specific output indicators for the ERDF, the ERDF REACT-EU and the Cohesion Fund (by priority axis, investment priority, broken down by category of region for the ERDF) - 6 / 6f

(1)	ID	Indicator	Measurement unit	Category of region	Target value (2023) total	Target value (2023) men	Target value (2023) women	2021 Total	2021 Men	2021 Women	Observations
F	CO01	Productive investment: Number of enterprises receiving support	Enterprises		1,548.00			562.00			N/A
S	CO01	Productive investment: Number of enterprises receiving support	Enterprises		1,548.00			955.00			
F	CO05	Productive investment: Number of new enterprises supported	Enterprises		310.00			77.00			N/A
S	CO05	Productive investment: Number of new enterprises supported	Enterprises		310.00			92.00			
F	CO29	Research, Innovation: Number of enterprises supported to introduce new to the firm products	Enterprises		248.00			127.00			N/A
S	CO29	Research, Innovation: Number of enterprises supported to introduce new to the firm products	Enterprises		248.00			205.00			

(1) S=Cumulative value — outputs to be delivered by selected operations [forecast provided by beneficiaries], F=Cumulative value — outputs delivered by operations [actual achievement]

(1)	ID	Indicator	2020 Total	2020 Men	2020 Women	2019 Total	2019 Men	2019 Women	2018 Total	2018 Men	2018 Women
F	CO01	Productive investment: Number of enterprises receiving support	456.00			178.00			81.00		
S	CO01	Productive investment: Number of enterprises receiving support	882.00			379.00			494.00		
F	CO05	Productive investment: Number of new enterprises supported	56.00			14.00			9.00		
S	CO05	Productive investment: Number of new enterprises supported	85.00			53.00			66.00		
F	CO29	Research, Innovation: Number of enterprises supported to introduce new to the firm products	94.00			19.00			12.00		
S	CO29	Research, Innovation: Number of enterprises supported to introduce new to the firm products	188.00			57.00			312.00		

(1)	ID	Indicator	2017 Total	2017 Men	2017 Women	2016 Total	2016 Men	2016 Women	2015 Total	2015 Men	2015 Women
F	CO01	Productive investment: Number of enterprises receiving support	1.00								
S	CO01	Productive investment: Number of enterprises receiving support	494.00								
F	CO05	Productive investment: Number of new enterprises supported	0.00			0.00			0.00		
S	CO05	Productive investment: Number of new enterprises supported	66.00			0.00			0.00		
F	CO29	Research, Innovation: Number of enterprises supported to introduce new to the firm products	0.00			0.00			0.00		
S	CO29	Research, Innovation: Number of enterprises supported to introduce new to the firm products	72.00			0.00			0.00		

(1)	ID	Indicator	2014 Total	2014 Men	2014 Women
F	CO01	Productive investment: Number of enterprises receiving support			
S	CO01	Productive investment: Number of enterprises receiving support			
F	CO05	Productive investment: Number of new enterprises supported	0.00		
S	CO05	Productive investment: Number of new enterprises supported	0.00		
F	CO29	Research, Innovation: Number of enterprises supported to introduce new to the firm products	0.00		
S	CO29	Research, Innovation: Number of enterprises supported to introduce new to the firm products	0.00		

Priority axis	6 - Preserving and Protecting the Environment and Promoting Resource Efficiency
Investment Priority	6f - Promoting innovative technologies to improve environmental protection and resource efficiency in the waste sector, water sector and with regard to soil, or to reduce air pollution
Specific objective	6.2 - Investment to promote the development and uptake of innovative technologies, in particular in resource efficiency, in order to increase the resilience and environmental and economic performance of businesses and communities.

Table 1: Result indicators for the ERDF, the ERDF REACT-EU and the Cohesion Fund (by priority axis and specific objective); applies also to Technical Assistance priority axis

ID	Indicator	Measurement unit	Category of region	Baseline value	Baseline year	Target value 2023	2021 Total	2021 Qualitative	Observations
6.2	Natural resource productivity of enterprises supported based on raw material consumption of construction and non-construction materials, using a GDP index	Number		150	2011	Increase of 81%			

ID	Indicator	2020 Total	2020 Qualitative	2019 Total	2019 Qualitative	2018 Total	2018 Qualitative	2017 Total	2017 Qualitative
6.2	Natural resource productivity of enterprises supported based on raw material consumption of construction and non-construction materials, using a GDP index				188.8		188.1		179.2

ID	Indicator	2016 Total	2016 Qualitative	2015 Total	2015 Qualitative	2014 Total	2014 Qualitative
6.2	Natural resource productivity of enterprises supported based on raw material consumption of construction and non-construction materials, using a GDP index		175.7		170.8		159.3

Priority axis	7 - Sustainable Transport in Cornwall and the Isles of Scilly
Investment Priority	7a - Supporting a multimodal Single European Transport Area by investing in the TEN-T

Table 3A: Common and programme specific output indicators for the ERDF, the ERDF REACT-EU and the Cohesion Fund (by priority axis, investment priority, broken down by category of region for the ERDF) - 7 / 7a

(1)	ID	Indicator	Measurement unit	Category of region	Target value (2023) total	Target value (2023) men	Target value (2023) women	2021 Total	2021 Men	2021 Women	Observations
F	P7	Length of railway with new or enhanced signalling installation	kilometre	Less developed	43.00			43.00			N/A
S	P7	Length of railway with new or enhanced signalling installation	kilometre	Less developed	43.00			43.00			N/A

(1) S=Cumulative value — outputs to be delivered by selected operations [forecast provided by beneficiaries], F=Cumulative value — outputs delivered by operations [actual achievement]

(1)	ID	Indicator	2020 Total	2020 Men	2020 Women	2019 Total	2019 Men	2019 Women	2018 Total	2018 Men	2018 Women
F	P7	Length of railway with new or enhanced signalling installation	43.00			43.00			43.00		
S	P7	Length of railway with new or enhanced signalling installation	43.00			43.00			43.00		

(1)	ID	Indicator	2017 Total	2017 Men	2017 Women	2016 Total	2016 Men	2016 Women	2015 Total	2015 Men	2015 Women
F	P7	Length of railway with new or enhanced signalling installation	0.00			0.00			0.00		
S	P7	Length of railway with new or enhanced signalling installation	43.00			43.00			0.00		

(1)	ID	Indicator	2014 Total	2014 Men	2014 Women
F	P7	Length of railway with new or enhanced signalling installation	0.00		
S	P7	Length of railway with new or enhanced signalling installation	0.00		

Priority axis	7 - Sustainable Transport in Cornwall and the Isles of Scilly
Investment Priority	7a - Supporting a multimodal Single European Transport Area by investing in the TEN-T
Specific objective	7.1 - Improve the accessibility of Cornwall and the Isles of Scilly by enhancing integration with the TEN-T rail network

Table 1: Result indicators for the ERDF, the ERDF REACT-EU and the Cohesion Fund (by priority axis and specific objective); applies also to Technical Assistance priority axis

ID	Indicator	Measurement unit	Category of region	Baseline value	Baseline year	Target value 2023	2021 Total	2021 Qualitative	Observations
7.3	Improved service frequency	Number of services each day in each direction	Less developed	23.00	2014	32.00	32.00		

ID	Indicator	2020 Total	2020 Qualitative	2019 Total	2019 Qualitative	2018 Total	2018 Qualitative	2017 Total	2017 Qualitative
7.3	Improved service frequency	22.00		23.00		23.00		23.00	

ID	Indicator	2016 Total	2016 Qualitative	2015 Total	2015 Qualitative	2014 Total	2014 Qualitative
7.3	Improved service frequency	23.00		23.00		23.00	

Priority axis	7 - Sustainable Transport in Cornwall and the Isles of Scilly
Investment Priority	7b - Enhancing regional mobility by connecting secondary and tertiary nodes to TEN-T infrastructure, including multimodal nodes

Table 3A: Common and programme specific output indicators for the ERDF, the ERDF REACT-EU and the Cohesion Fund (by priority axis, investment priority, broken down by category of region for the ERDF) - 7 / 7b

(1)	ID	Indicator	Measurement unit	Category of region	Target value (2023) total	Target value (2023) men	Target value (2023) women	2021 Total	2021 Men	2021 Women	Observations
F	CO14	Roads: Total length of reconstructed or upgraded roads	km	Less developed	14.00			0.00			
S	CO14	Roads: Total length of reconstructed or upgraded roads	km	Less developed	14.00			14.00			

(1) S=Cumulative value — outputs to be delivered by selected operations [forecast provided by beneficiaries], F=Cumulative value — outputs delivered by operations [actual achievement]

(1)	ID	Indicator	2020 Total	2020 Men	2020 Women	2019 Total	2019 Men	2019 Women	2018 Total	2018 Men	2018 Women
F	CO14	Roads: Total length of reconstructed or upgraded roads	0.00			0.00			0.00		
S	CO14	Roads: Total length of reconstructed or upgraded roads	0.00			0.00			0.00		

(1)	ID	Indicator	2017 Total	2017 Men	2017 Women	2016 Total	2016 Men	2016 Women	2015 Total	2015 Men	2015 Women
F	CO14	Roads: Total length of reconstructed or upgraded roads	0.00			0.00			0.00		
S	CO14	Roads: Total length of reconstructed or upgraded roads	0.00			0.00			0.00		

(1)	ID	Indicator	2014 Total	2014 Men	2014 Women
F	CO14	Roads: Total length of reconstructed or upgraded roads	0.00		
S	CO14	Roads: Total length of reconstructed or upgraded roads	0.00		

Priority axis	7 - Sustainable Transport in Cornwall and the Isles of Scilly
Investment Priority	7b - Enhancing regional mobility by connecting secondary and tertiary nodes to TEN-T infrastructure, including multimodal nodes
Specific objective	7.3 - Improve the accessibility of Cornwall and the Isles of Scilly by enhancing integration with connection to the TEN-T network

Table 1: Result indicators for the ERDF, the ERDF REACT-EU and the Cohesion Fund (by priority axis and specific objective); applies also to Technical Assistance priority axis

ID	Indicator	Measurement unit	Category of region	Baseline value	Baseline year	Target value 2023	2021 Total	2021 Qualitative	Observations
7.1	All year average vehicle journey time (eastbound)	Minutes/seconds	Less developed	10 minutes 19 seconds	2014	15% reduction		15	
7.2	All year average vehicle journey time (westbound)	minutes/seconds	Less developed	11 minutes 12 seconds	2014	18% reduction		16	

ID	Indicator	2020 Total	2020 Qualitative	2019 Total	2019 Qualitative	2018 Total	2018 Qualitative	2017 Total	2017 Qualitative
7.1	All year average vehicle journey time (eastbound)		15		15		12		
7.2	All year average vehicle journey time (westbound)				17		12		

ID	Indicator	2016 Total	2016 Qualitative	2015 Total	2015 Qualitative	2014 Total	2014 Qualitative
7.1	All year average vehicle journey time (eastbound)						
7.2	All year average vehicle journey time (westbound)						

Priority axis	7 - Sustainable Transport in Cornwall and the Isles of Scilly
Investment Priority	7c - Developing and improving environmentally-friendly (including low noise) and low-carbon transport systems, including inland waterways and maritime transport, ports, multimodal links and airport infrastructure, in order to promote sustainable regional and local mobility

Table 3A: Common and programme specific output indicators for the ERDF, the ERDF REACT-EU and the Cohesion Fund (by priority axis, investment priority, broken down by category of region for the ERDF) - 7 / 7c

(1)	ID	Indicator	Measurement unit	Category of region	Target value (2023) total	Target value (2023) men	Target value (2023) women	2021 Total	2021 Men	2021 Women	Observations
F	P10	Number of multi-modal transport hubs	number	Less developed	1.00			1.00			N/A
S	P10	Number of multi-modal transport hubs	number	Less developed	1.00			2.00			N/A
F	P8	Alternative fuel charging/re-fuelling points	number	Less developed	66.00			12.00			N/A
S	P8	Alternative fuel charging/re-fuelling points	number	Less developed	66.00			197.00			N/A
F	P9	improved multi-modal connection points	number	Less developed	2.00			0.00			N/A
S	P9	improved multi-modal connection points	number	Less developed	2.00			6.00			N/A

(1) S=Cumulative value — outputs to be delivered by selected operations [forecast provided by beneficiaries], F=Cumulative value — outputs delivered by operations [actual achievement]

(1)	ID	Indicator	2020 Total	2020 Men	2020 Women	2019 Total	2019 Men	2019 Women	2018 Total	2018 Men	2018 Women
F	P10	Number of multi-modal transport hubs	0.00			0.00			0.00		
S	P10	Number of multi-modal transport hubs	18.00			2.00			0.00		
F	P8	Alternative fuel charging/re-fuelling points	0.00			2.00			0.00		
S	P8	Alternative fuel charging/re-fuelling points	345.00			41.00			0.00		
F	P9	improved multi-modal connection points	0.00			0.00			0.00		
S	P9	improved multi-modal connection points	4.00			4.00			0.00		

(1)	ID	Indicator	2017 Total	2017 Men	2017 Women	2016 Total	2016 Men	2016 Women	2015 Total	2015 Men	2015 Women
F	P10	Number of multi-modal transport hubs	0.00			0.00			0.00		
S	P10	Number of multi-modal transport hubs	1.00			0.00			0.00		
F	P8	Alternative fuel charging/re-fuelling points	0.00			0.00			0.00		
S	P8	Alternative fuel charging/re-fuelling points	0.00			0.00			0.00		
F	P9	improved multi-modal connection points	0.00			0.00			0.00		
S	P9	improved multi-modal connection points	0.00			0.00			0.00		

(1)	ID	Indicator	2014 Total	2014 Men	2014 Women
F	P10	Number of multi-modal transport hubs	0.00		
S	P10	Number of multi-modal transport hubs	0.00		
F	P8	Alternative fuel charging/re-fuelling points	0.00		
S	P8	Alternative fuel charging/re-fuelling points	0.00		
F	P9	improved multi-modal connection points	0.00		
S	P9	improved multi-modal connection points	0.00		

Priority axis	7 - Sustainable Transport in Cornwall and the Isles of Scilly
Investment Priority	7c - Developing and improving environmentally-friendly (including low noise) and low-carbon transport systems, including inland waterways and maritime transport, ports, multimodal links and airport infrastructure, in order to promote sustainable regional and local mobility
Specific objective	7.2 - Improve accessibility and connectivity within Cornwall and the Isles of Scilly through developing sustainable means of transport

Table 1: Result indicators for the ERDF, the ERDF REACT-EU and the Cohesion Fund (by priority axis and specific objective); applies also to Technical Assistance priority axis

ID	Indicator	Measurement unit	Category of region	Baseline value	Baseline year	Target value 2023	2021 Total	2021 Qualitative	Observations
7.4	No. of low carbon vehicles registered in C&IoS	vehicles	Less developed	238.00	2013	3,000.00	2,917.00		

ID	Indicator	2020 Total	2020 Qualitative	2019 Total	2019 Qualitative	2018 Total	2018 Qualitative	2017 Total	2017 Qualitative
7.4	No. of low carbon vehicles registered in C&IoS	1,723.00		1,274.00		969.00		731.00	

ID	Indicator	2016 Total	2016 Qualitative	2015 Total	2015 Qualitative	2014 Total	2014 Qualitative
7.4	No. of low carbon vehicles registered in C&IoS	573.00		440.00		302.00	

Priority axis	8 - Promoting social inclusion and combating poverty and any discrimination
Investment Priority	9d - Undertaking investment in the context of community-led local development strategies

Table 3A: Common and programme specific output indicators for the ERDF, the ERDF REACT-EU and the Cohesion Fund (by priority axis, investment priority, broken down by category of region for the ERDF) - 8 / 9d

(1)	ID	Indicator	Measurement unit	Category of region	Target value (2023) total	Target value (2023) men	Target value (2023) women	2021 Total	2021 Men	2021 Women	Observations
F	CO01	Productive investment: Number of enterprises receiving support	Enterprises		1,681.00			188.00			N/A
S	CO01	Productive investment: Number of enterprises receiving support	Enterprises		1,681.00			2,124.00			Reduction on 2018 achievement as a result of a data cleansing exercise
F	CO05	Productive investment: Number of new enterprises supported	Enterprises		1,177.00			39.00			N/A
S	CO05	Productive investment: Number of new enterprises supported	Enterprises		1,177.00			1,165.00			Reduction on 2018 achievement as a result of a data cleansing exercise
F	CO08	Productive investment: Employment increase in supported enterprises	Full time equivalents		1,261.00			31.00			N/A
S	CO08	Productive investment: Employment increase in supported enterprises	Full time equivalents		1,261.00			1,276.00			
F	P11	Number of potential entrepreneurs assisted to be enterprise ready	Persons		3,530.00			228.00			N/A
S	P11	Number of potential entrepreneurs assisted to be enterprise ready	Persons		3,530.00			3,205.00			N/A
F	P12	Square metres public or commercial building built or renovated in targeted areas	Square metres		1,513.00			672.00			N/A
S	P12	Square metres public or commercial building built or renovated in targeted areas	Square metres		1,513.00			6,770.00			

(1) S=Cumulative value — outputs to be delivered by selected operations [forecast provided by beneficiaries], F=Cumulative value — outputs delivered by operations [actual achievement]

(1)	ID	Indicator	2020 Total	2020 Men	2020 Women	2019 Total	2019 Men	2019 Women	2018 Total	2018 Men	2018 Women
F	CO01	Productive investment: Number of enterprises receiving support	75.00			16.00			0.00		
S	CO01	Productive investment: Number of enterprises receiving support	2,282.00			1,745.00			2,352.00		
F	CO05	Productive investment: Number of new enterprises supported	16.00			2.00			0.00		
S	CO05	Productive investment: Number of new enterprises supported	1,305.00			1,004.00			1,148.00		
F	CO08	Productive investment: Employment increase in supported enterprises	11.00			1.00			0.00		
S	CO08	Productive investment: Employment increase in supported enterprises	1,424.00			1,097.00			1,475.00		
F	P11	Number of potential entrepreneurs assisted to be enterprise ready	107.00			14.00			0.00		
S	P11	Number of potential entrepreneurs assisted to be enterprise ready	3,653.00			2,807.00			2,466.00		
F	P12	Square metres public or commercial building built or renovated in targeted areas	146.00			0.00			0.00		
S	P12	Square metres public or commercial building built or renovated in targeted areas	4,293.00			2,348.00			3,508.00		

(1)	ID	Indicator	2017 Total	2017 Men	2017 Women	2016 Total	2016 Men	2016 Women	2015 Total	2015 Men	2015 Women
-----	----	-----------	------------	----------	------------	------------	----------	------------	------------	----------	------------

(1)	ID	Indicator	2017 Total	2017 Men	2017 Women	2016 Total	2016 Men	2016 Women	2015 Total	2015 Men	2015 Women
F	CO01	Productive investment: Number of enterprises receiving support	0.00			0.00			0.00		
S	CO01	Productive investment: Number of enterprises receiving support	1,552.00			7.00			0.00		
F	CO05	Productive investment: Number of new enterprises supported	0.00			0.00			0.00		
S	CO05	Productive investment: Number of new enterprises supported	770.00			5.00			0.00		
F	CO08	Productive investment: Employment increase in supported enterprises	0.00			0.00			0.00		
S	CO08	Productive investment: Employment increase in supported enterprises	918.00			0.00			0.00		
F	P11	Number of potential entrepreneurs assisted to be enterprise ready	0.00			0.00			0.00		
S	P11	Number of potential entrepreneurs assisted to be enterprise ready	2,677.00			0.00			0.00		
F	P12	Square metres public or commercial building built or renovated in targeted areas	0.00			0.00			0.00		
S	P12	Square metres public or commercial building built or renovated in targeted areas	3,508.00			0.00			0.00		

(1)	ID	Indicator	2014 Total	2014 Men	2014 Women
F	CO01	Productive investment: Number of enterprises receiving support	0.00		
S	CO01	Productive investment: Number of enterprises receiving support	0.00		
F	CO05	Productive investment: Number of new enterprises supported	0.00		
S	CO05	Productive investment: Number of new enterprises supported	0.00		
F	CO08	Productive investment: Employment increase in supported enterprises	0.00		
S	CO08	Productive investment: Employment increase in supported enterprises	0.00		
F	P11	Number of potential entrepreneurs assisted to be enterprise ready	0.00		
S	P11	Number of potential entrepreneurs assisted to be enterprise ready	0.00		
F	P12	Square metres public or commercial building built or renovated in targeted areas	0.00		
S	P12	Square metres public or commercial building built or renovated in targeted areas	0.00		

Priority axis	8 - Promoting social inclusion and combating poverty and any discrimination
Investment Priority	9d - Undertaking investment in the context of community-led local development strategies
Specific objective	8.1 - To build capacity within communities as a foundation for economic growth in deprived areas

Table 1: Result indicators for the ERDF, the ERDF REACT-EU and the Cohesion Fund (by priority axis and specific objective); applies also to Technical Assistance priority axis

ID	Indicator	Measurement unit	Category of region	Baseline value	Baseline year	Target value 2023	2021 Total	2021 Qualitative	Observations
8.1	Number of new enterprises within targeted areas	Enterprises		0.00	2016	1,629.00			There has been minimal expenditure on the programme in the reporting period and therefore no impact on the result measures
8.2	Employment increase	Full time equivalents		0.00	2016	1,745.00			There has been minimal expenditure on the programme in the reporting period and therefore no impact on the result measures

ID	Indicator	2020 Total	2020 Qualitative	2019 Total	2019 Qualitative	2018 Total	2018 Qualitative	2017 Total	2017 Qualitative
8.1	Number of new enterprises within targeted areas							0.00	
8.2	Employment increase							0.00	

ID	Indicator	2016 Total	2016 Qualitative	2015 Total	2015 Qualitative	2014 Total	2014 Qualitative
8.1	Number of new enterprises within targeted areas	0.00		0.00		0.00	
8.2	Employment increase	0.00		0.00		0.00	

Priority axes for technical assistance

Table 3A: Common and programme specific output indicators for the ERDF, the ERDF REACT-EU and the Cohesion Fund (by priority axis, investment priority, broken down by category of region for the ERDF) - 9

Priority axis				9 - Technical Assistance							
(1)	ID	Indicator	Measurement unit	Category of region	Target value (2023) total	Target value (2023) men	Target value (2023) women	2021 Total	2021 Men	2021 Women	Observations
F	P14	Training events held by the Managing Authority and partners	Number		200.00			143.00			N/A
S	P14	Training events held by the Managing Authority and partners	Number		200.00			236.00			N/A
F	P15	Dissemination events held	Number		70.00			195.00			Reduction on 2018 achievement as a result of a data cleansing exercise
S	P15	Dissemination events held	Number		70.00			579.00			N/A
F	P16	PMC and sub-committee meetings supported	Number		2,000.00			22.00			N/A
S	P16	PMC and sub-committee meetings supported	Number		2,000.00			50.00			Reduction on 2018 achievement as a result of a data cleansing exercise
F	P17	Evaluations carried out	Number		5.00			5.00			Reduction on 2018 achievement as a result of a data cleansing exercise
S	P17	Evaluations carried out	Number		5.00			97.00			Reduction on 2018 achievement as a result of a data cleansing exercise
F	P18	Expenditure checked by management controls and verifications, proportionate to the amount of public support to the operation in line with Article 125(5)	Percentage					0.00			Reduction on 2018 achievement as a result of a data cleansing exercise
S	P18	Expenditure checked by management controls and verifications, proportionate to the amount of public support to the operation in line with Article 125(5)	Percentage					0.00			Reduction on 2018 achievement as a result of a data cleansing exercise
F	P19	Employees whose salaries are co-financed by technical assistance	Full time equivalents					0.00			Reduction on 2018 achievement as a result of a data cleansing exercise
S	P19	Employees whose salaries are co-financed by technical assistance	Full time equivalents					0.00			Reduction on 2018 achievement as a result of a data cleansing exercise

(1) S=Cumulative value — outputs to be delivered by selected operations [forecast provided by beneficiaries], F=Cumulative value — outputs delivered by operations [actual achievement]

(1)	ID	Indicator	2020 Total	2020 Men	2020 Women	2019 Total	2019 Men	2019 Women	2018 Total	2018 Men	2018 Women
F	P14	Training events held by the Managing Authority and partners	28.00			577.00			162.50		
S	P14	Training events held by the Managing Authority and partners	264.00			260.00			212.00		
F	P15	Dissemination events held	65.00			298.00			408.00		
S	P15	Dissemination events held	624.00			450.00			447.00		
F	P16	PMC and sub-committee meetings supported	0.00			38.00			38.00		
S	P16	PMC and sub-committee meetings supported	33.00			28.00			40.00		
F	P17	Evaluations carried out	0.00			2.00			0.00		
S	P17	Evaluations carried out	101.00			0.00			93.00		
F	P18	Expenditure checked by management controls and verifications, proportionate to the amount of public support to the operation in line with Article 125(5)	0.00			0.00			0.00		

(1)	ID	Indicator	2020 Total	2020 Men	2020 Women	2019 Total	2019 Men	2019 Women	2018 Total	2018 Men	2018 Women
S	P18	Expenditure checked by management controls and verifications, proportionate to the amount of public support to the operation in line with Article 125(5)	25.00			0.00			30.00		
F	P19	Employees whose salaries are co-financed by technical assistance	0.00			0.00			29.00		
S	P19	Employees whose salaries are co-financed by technical assistance	293.00			6.00			20.00		

(1)	ID	Indicator	2017 Total	2017 Men	2017 Women	2016 Total	2016 Men	2016 Women	2015 Total	2015 Men	2015 Women
F	P14	Training events held by the Managing Authority and partners	146.00								
S	P14	Training events held by the Managing Authority and partners	223.00								
F	P15	Dissemination events held	263.00								
S	P15	Dissemination events held	431.00			103.00					
F	P16	PMC and sub-committee meetings supported	14.00								
S	P16	PMC and sub-committee meetings supported	22.00								
F	P17	Evaluations carried out	0.00			0.00			0.00		
S	P17	Evaluations carried out	1.00			0.00			0.00		
F	P18	Expenditure checked by management controls and verifications, proportionate to the amount of public support to the operation in line with Article 125(5)	0.00			0.00			0.00		
S	P18	Expenditure checked by management controls and verifications, proportionate to the amount of public support to the operation in line with Article 125(5)	1.00			0.00			0.00		
F	P19	Employees whose salaries are co-financed by technical assistance	0.00			0.00			0.00		
S	P19	Employees whose salaries are co-financed by technical assistance	0.00			0.00			0.00		

(1)	ID	Indicator	2014 Total	2014 Men	2014 Women
F	P14	Training events held by the Managing Authority and partners			
S	P14	Training events held by the Managing Authority and partners			
F	P15	Dissemination events held			
S	P15	Dissemination events held			
F	P16	PMC and sub-committee meetings supported			
S	P16	PMC and sub-committee meetings supported			
F	P17	Evaluations carried out	0.00		
S	P17	Evaluations carried out	0.00		
F	P18	Expenditure checked by management controls and verifications, proportionate to the amount of public support to the operation in line with Article 125(5)	0.00		
S	P18	Expenditure checked by management controls and verifications, proportionate to the amount of public support to the operation in line with Article 125(5)	0.00		
F	P19	Employees whose salaries are co-financed by technical assistance	0.00		
S	P19	Employees whose salaries are co-financed by technical assistance	0.00		

Priority axis	9 - Technical Assistance
Specific objective	9.1 - To ensure that the activities which fall within the scope of the programme are delivered efficiently and effectively in line with applicable law.

Table 1: Result indicators for the ERDF, the ERDF REACT-EU and the Cohesion Fund (by priority axis and specific objective); applies also to Technical Assistance priority axis - 9 / 9.1

ID	Indicator	Measurement unit	Category of region	Baseline value	Baseline year	Target value 2023	2021 Total	2021 Qualitative	Observations
9.1	Error rate	Percentage		2.414	2014	Below 2		1.35	

ID	Indicator	2020 Total	2020 Qualitative	2019 Total	2019 Qualitative	2018 Total	2018 Qualitative	2017 Total	2017 Qualitative
9.1	Error rate		1.19		1.95		0.93		0.070

ID	Indicator	2016 Total	2016 Qualitative	2015 Total	2015 Qualitative	2014 Total	2014 Qualitative
9.1	Error rate		0		0		0

Priority axis	9 - Technical Assistance
Specific objective	9.2 - To facilitate access to the programme and communicate the impact that the European Structural and Investment Funds have, including by providing robust governance support to the PMC and sub-committees and high quality advice and information to partners involved in the design and delivery of projects.

Table 1: Result indicators for the ERDF, the ERDF REACT-EU and the Cohesion Fund (by priority axis and specific objective); applies also to Technical Assistance priority axis - 9 / 9.2

ID	Indicator	Measurement unit	Category of region	Baseline value	Baseline year	Target value 2023	2021 Total	2021 Qualitative	Observations
9.2	Partner engagement	Percentage		31.00	2015	41.00			2.11 we don't have the data as we don't need to record the information. 2.48 The figures are correct as of end of 2017 and this is what we've achieved

ID	Indicator	2020 Total	2020 Qualitative	2019 Total	2019 Qualitative	2018 Total	2018 Qualitative	2017 Total	2017 Qualitative
9.2	Partner engagement	37.10		45.60		39.20		27.00	

ID	Indicator	2016 Total	2016 Qualitative	2015 Total	2015 Qualitative	2014 Total	2014 Qualitative
9.2	Partner engagement	29.00		36.00		36.00	

Table 3B: Number of enterprises supported by the operational programme net of multiple support to the same enterprises

Indicator	Number of enterprises supported by OP net of multiple support
CO01 - Productive investment: Number of enterprises receiving support	124,244
CO02 - Productive investment: Number of enterprises receiving grants	32,372
CO03 - Productive investment: Number of enterprises receiving financial support other than grants	1,237
CO04 - Productive investment: Number of enterprises receiving non-financial support	75,956
CO05 - Productive investment: Number of new enterprises supported	20,359

Table 5: Information on the milestones and targets defined in the performance framework

Priority axis	Ind type	ID	Indicator	Measurement unit	Fund	Category of region	2021 Cum total	2021 Cum men	2021 Cum women	2021 Annual total	2021 Annual total men	2021 Annual total women
1	O	CO01	Productive investment: Number of enterprises receiving support	Enterprises	ERDF	Less developed	584.00					
1	O	CO01	Productive investment: Number of enterprises receiving support	Enterprises	ERDF	Transition	4,601.00					
1	O	CO01	Productive investment: Number of enterprises receiving support	Enterprises	ERDF	More developed	14,861.00					
1	F	F1	Expenditure	Euros	ERDF	Less developed	68,415,083.65					
1	F	F1	Expenditure	Euros	ERDF	Transition	237,021,188.52					
1	F	F1	Expenditure	Euros	ERDF	More developed	405,312,871.02					
1	I	I1	Number of enterprises receiving support that has been achieved by partially or fully completed operations	Number	ERDF	Less developed	584.00					
1	I	I1	Number of enterprises receiving support that has been achieved by partially or fully completed operations	Number	ERDF	Transition	4,601.00					
1	I	I1	Number of enterprises receiving support that has been achieved by partially or fully completed operations	Number	ERDF	More developed	14,861.00					
10	F	F1	Expenditure	Euros	ERDF	Less developed	0.00					
10	F	F1	Expenditure	Euros	ERDF	Transition	0.00					
10	F	F1	Expenditure	Euros	ERDF	More developed	0.00					
10	O	PCV33	Number of entities supported in combating or counteracting the effects of the COVID-19 pandemic	Number	ERDF	Less developed	0.00					
10	O	PCV33	Number of entities supported in combating or counteracting the effects of the COVID-19 pandemic	Number	ERDF	Transition	0.00					
10	O	PCV33	Number of entities supported in combating or counteracting the effects of the COVID-19 pandemic	Number	ERDF	More developed	0.00					
2	O	CO01	Productive investment: Number of enterprises receiving support	Enterprises	ERDF	Transition	1,010.00					
2	O	CO01	Productive investment: Number of enterprises receiving support	Enterprises	ERDF	More developed	4,680.00					
2	F	F1	Expenditure	Euros	ERDF	Less developed	19,219,761.29					
2	F	F1	Expenditure	Euros	ERDF	Transition	11,632,379.25					
2	F	F1	Expenditure	Euros	ERDF	More developed	60,319,256.43					
2	I	I7	Additional businesses with broadband access of at least 30Mbps that has been achieved by partially or fully completed operations	Enterprises	ERDF	Less developed	2,184.00					
2	I	I1	Number of enterprises receiving support that has been achieved by partially or fully completed operations	Number	ERDF	Transition	1,010.00					
2	I	I1	Number of enterprises receiving support that has been achieved by partially or fully completed operations	Number	ERDF	More developed	4,680.00					
2	O	P3	Additional businesses with broadband access of at least 30Mbps	Enterprises	ERDF	Less developed	2,184.00					
3	O	CO01	Productive investment: Number of enterprises receiving support	Enterprises	ERDF	Less developed	6,603.00					
3	O	CO01	Productive investment: Number of enterprises receiving support	Enterprises	ERDF	Transition	24,176.00					
3	O	CO01	Productive investment: Number of enterprises receiving support	Enterprises	ERDF	More developed	57,535.00					
3	F	F1	Expenditure	Euros	ERDF	Less developed	134,805,457.66					
3	F	F1	Expenditure	Euros	ERDF	Transition	548,224,470.14					
3	F	F1	Expenditure	Euros	ERDF	More developed	1,102,854,010.63					
3	I	I1	Number of enterprises receiving support that has been achieved by partially or fully completed operations	Number	ERDF	Less developed	6,603.00					
3	I	I1	Number of enterprises receiving support that has been achieved by partially or fully completed operations	Number	ERDF	Transition	24,176.00					
3	I	I1	Number of enterprises receiving support that has been achieved by partially or fully completed operations	Number	ERDF	More developed	57,535.00					
4	O	CO34	GHG reduction: Estimated annual decrease of GHG	Tonnes of CO2eq	ERDF	Less developed	9,816.00					
4	O	CO34	GHG reduction: Estimated annual decrease of GHG	Tonnes of CO2eq	ERDF	Transition	24,919.00					
4	O	CO34	GHG reduction: Estimated annual decrease of GHG	Tonnes of CO2eq	ERDF	More developed	104,681.00					
4	F	F1	Expenditure	Euros	ERDF	Less developed	80,398,904.46					
4	F	F1	Expenditure	Euros	ERDF	Transition	120,500,722.83					
4	F	F1	Expenditure	Euros	ERDF	More developed	400,916,119.85					
4	I	I1	Number of enterprises receiving support that has been achieved by partially or fully completed operations	Number	ERDF	Less developed	532.00					
4	I	I1	Number of enterprises receiving support that has been achieved by partially or fully completed operations	Number	ERDF	Transition	2,504.00					
4	I	I1	Number of enterprises receiving support that has been achieved by partially or fully completed operations	Number	ERDF	More developed	6,019.00					
5	F	F1	Expenditure	Euros	ERDF	Less developed	3,624,971.21					
5	F	F1	Expenditure	Euros	ERDF	Transition	38,819,859.80					
5	F	F1	Expenditure	Euros	ERDF	More developed	23,239,318.64					
5	I	I4	Percentage of schemes in place	Percentage	ERDF	Less developed	100.00					
5	I	I4	Percentage of schemes in place	Percentage	ERDF	Transition	100.00					
5	I	I4	Percentage of schemes in place	Percentage	ERDF	More developed	100.00					
5	O	P6	Businesses and properties with reduced flood risk	Number	ERDF	Less developed	0.00					
5	O	P6	Businesses and properties with reduced flood risk	Number	ERDF	Transition	424.00					
5	O	P6	Businesses and properties with reduced flood risk	Number	ERDF	More developed	152.00					
6	O	CO23	Nature and biodiversity: Surface area of habitats supported to attain a better conservation status	Hectares	ERDF	Less developed	151.00					
6	O	CO23	Nature and biodiversity: Surface area of habitats supported to attain a better conservation status	Hectares	ERDF	Transition	137.00					
6	O	CO23	Nature and biodiversity: Surface area of habitats supported to attain a better conservation status	Hectares	ERDF	More developed	454.00					
6	F	F1	Expenditure	Euros	ERDF	Less developed	9,236,537.27					
6	F	F1	Expenditure	Euros	ERDF	Transition	12,754,752.12					
6	F	F1	Expenditure	Euros	ERDF	More developed	32,025,903.22					
6	I	I3	Surface area of habitats supported in order to attain a better conservation status that has been achieved by partially or fully completed operations	hectare	ERDF	Less developed	151.00					
6	I	I3	Surface area of habitats supported in order to attain a better conservation status that has been achieved by partially or fully completed operations	hectare	ERDF	Transition	137.00					

Priority axis	Ind type	ID	Indicator	Measurement unit	Fund	Category of region	2021 Cum total	2021 Cum men	2021 Cum women	2021 Annual total	2021 Annual total men	2021 Annual total women
			completed operations									
6	I	I3	Surface area of habitats supported in order to attain a better conservation status that has been achieved by partially or fully completed operations	hectare	ERDF	More developed	454.00					
7	O	CO14	Roads: Total length of reconstructed or upgraded roads	km	ERDF	Less developed	0.00					
7	F	F1	Expenditure	Euros	ERDF	Less developed	44,265,087.47					
7	I	I2	Completion of planning processes and necessary clearances, including SEA for road	percentage	ERDF	Less developed	100.00					
7	I	I5	Percentage of final target where signalling improved (although this will still be subject to final safety sign-off which cannot be done until end of whole project)	Percentage	ERDF	Less developed	50.00					
7	O	P7	Length of railway with new or enhanced signalling installation	kilometre	ERDF	Less developed	43.00					
8	O	CO01	Productive investment: Number of enterprises receiving support	Enterprises	ERDF	Less developed	109.00					
8	O	CO01	Productive investment: Number of enterprises receiving support	Enterprises	ERDF	Transition	5.00					
8	O	CO01	Productive investment: Number of enterprises receiving support	Enterprises	ERDF	More developed	74.00					
8	F	F1	Expenditure	Euros	ERDF	Less developed	2,988,949.07					
8	F	F1	Expenditure	Euros	ERDF	Transition	1,477,549.24					
8	F	F1	Expenditure	Euros	ERDF	More developed	4,496,530.60					
8	I	I6	Number of local development strategies agreed	Number	ERDF	Less developed	4.00					
8	I	I6	Number of local development strategies agreed	Number	ERDF	Transition	4.50					
8	I	I6	Number of local development strategies agreed	Number	ERDF	More developed	12.50					

Priority axis	Ind type	ID	Indicator	Measurement unit	Fund	Category of region	2020 Cum total	2019 Cum total	2018 Cum total	Observations
1	O	CO01	Productive investment: Number of enterprises receiving support	Enterprises	ERDF	Less developed	308.00	259.00	96.00	
1	O	CO01	Productive investment: Number of enterprises receiving support	Enterprises	ERDF	Transition	3,725.00	2,783.00	1,116.00	
1	O	CO01	Productive investment: Number of enterprises receiving support	Enterprises	ERDF	More developed	11,555.00	8,455.00	3,905.00	
1	F	F1	Expenditure	Euros	ERDF	Less developed	46,248,578.15	25,951,883.96	18,881,095.68	
1	F	F1	Expenditure	Euros	ERDF	Transition	188,968,158.02	148,738,517.59	96,696,066.19	
1	F	F1	Expenditure	Euros	ERDF	More developed	328,903,127.96	240,417,629.90	191,242,903.22	
1	I	I1	Number of enterprises receiving support that has been achieved by partially or fully completed operations	Number	ERDF	Less developed	308.00	259.00	96.00	
1	I	I1	Number of enterprises receiving support that has been achieved by partially or fully completed operations	Number	ERDF	Transition	3,725.00	2,783.00	1,116.00	
1	I	I1	Number of enterprises receiving support that has been achieved by partially or fully completed operations	Number	ERDF	More developed	11,555.00	8,455.00	3,905.00	
10	F	F1	Expenditure	Euros	ERDF	Less developed	0.00	0.00	0.00	
10	F	F1	Expenditure	Euros	ERDF	Transition	0.00	0.00	0.00	
10	F	F1	Expenditure	Euros	ERDF	More developed	0.00	0.00	0.00	
10	O	PCV33	Number of entities supported in combating or counteracting the effects of the COVID-19 pandemic	Number	ERDF	Less developed	0.00	0.00	0.00	
10	O	PCV33	Number of entities supported in combating or counteracting the effects of the COVID-19 pandemic	Number	ERDF	Transition	0.00	0.00	0.00	
10	O	PCV33	Number of entities supported in combating or counteracting the effects of the COVID-19 pandemic	Number	ERDF	More developed	0.00	0.00	0.00	
2	O	CO01	Productive investment: Number of enterprises receiving support	Enterprises	ERDF	Transition	403.00	289.00	229.00	
2	O	CO01	Productive investment: Number of enterprises receiving support	Enterprises	ERDF	More developed	3,526.00	2,521.00	1,652.00	
2	F	F1	Expenditure	Euros	ERDF	Less developed	16,965,266.30	8,006,912.45	7,536,486.34	
2	F	F1	Expenditure	Euros	ERDF	Transition	8,564,525.32	6,776,711.03	5,210,328.69	
2	F	F1	Expenditure	Euros	ERDF	More developed	48,694,375.44	37,497,218.28	27,047,865.66	
2	I	I7	Additional businesses with broadband access of at least 30Mbps that has been achieved by partially or fully completed operations	Enterprises	ERDF	Less developed	1,824.00	710.00	710.00	
2	I	I1	Number of enterprises receiving support that has been achieved by partially or fully completed operations	Number	ERDF	Transition	403.00	289.00	229.00	
2	I	I1	Number of enterprises receiving support that has been achieved by partially or fully completed operations	Number	ERDF	More developed	3,526.00	2,521.00	1,652.00	
2	O	P3	Additional businesses with broadband access of at least 30mbps	Enterprises	ERDF	Less developed	1,824.00	710.00	710.00	
3	O	CO01	Productive investment: Number of enterprises receiving support	Enterprises	ERDF	Less developed	3,440.00	2,661.00	563.00	
3	O	CO01	Productive investment: Number of enterprises receiving support	Enterprises	ERDF	Transition	17,045.00	11,195.00	5,407.00	
3	O	CO01	Productive investment: Number of enterprises receiving support	Enterprises	ERDF	More developed	43,249.00	29,248.00	15,535.00	
3	F	F1	Expenditure	Euros	ERDF	Less developed	109,312,292.35	90,978,231.40	73,200,786.65	
3	F	F1	Expenditure	Euros	ERDF	Transition	424,797,056.60	313,802,284.65	240,790,253.35	
3	F	F1	Expenditure	Euros	ERDF	More developed	844,431,493.66	680,979,205.82	501,908,159.38	
3	I	I1	Number of enterprises receiving support that has been achieved by partially or fully completed operations	Number	ERDF	Less developed	3,440.00	2,661.00	563.00	
3	I	I1	Number of enterprises receiving support that has been achieved by partially or fully completed operations	Number	ERDF	Transition	17,045.00	11,195.00	5,407.00	
3	I	I1	Number of enterprises receiving support that has been achieved by partially or fully completed operations	Number	ERDF	More developed	43,249.00	29,248.00	15,535.00	
4	O	CO34	GHG reduction: Estimated annual decrease of GHG	Tonnes of CO2eq	ERDF	Less developed	160.00	159.00	123.70	
4	O	CO34	GHG reduction: Estimated annual decrease of GHG	Tonnes of CO2eq	ERDF	Transition	21,053.00	13,206.00	11,155.79	
4	O	CO34	GHG reduction: Estimated annual decrease of GHG	Tonnes of CO2eq	ERDF	More developed	58,960.00	34,657.00	137,692.13	
4	F	F1	Expenditure	Euros	ERDF	Less developed	63,956,916.17	50,122,191.87	34,652,399.32	
4	F	F1	Expenditure	Euros	ERDF	Transition	75,683,709.85	47,794,029.25	36,548,173.28	
4	F	F1	Expenditure	Euros	ERDF	More developed	319,869,281.32	194,364,834.22	136,506,321.92	
4	I	I1	Number of enterprises receiving support that has been achieved by partially or fully completed operations	Number	ERDF	Less developed	526.00	389.00	240.00	
4	I	I1	Number of enterprises receiving support that has been achieved by partially or fully completed operations	Number	ERDF	Transition	1,851.00	1,088.00	504.00	
4	I	I1	Number of enterprises receiving support that has been achieved by partially or fully completed operations	Number	ERDF	More developed	4,603.00	2,735.00	1,700.00	
5	F	F1	Expenditure	Euros	ERDF	Less developed	1,363,438.75	125,742.80	334,686.24	

Priority axis	Ind type	ID	Indicator	Measurement unit	Fund	Category of region	2020 Cum total	2019 Cum total	2018 Cum total	Observations
5	F	F1	Expenditure	Euros	ERDF	Transition	10,249,234.68	5,672,034.16	3,157,371.61	
5	F	F1	Expenditure	Euros	ERDF	More developed	20,551,459.21	5,179,397.10	2,953,219.22	
5	I	I4	Percentage of schemes in place	Percentage	ERDF	Less developed	100.00	8.00	8.00	
5	I	I4	Percentage of schemes in place	Percentage	ERDF	Transition	100.00	11.00	11.00	
5	I	I4	Percentage of schemes in place	Percentage	ERDF	More developed	100.00	33.00	33.00	
5	O	P6	Businesses and properties with reduced flood risk	Number	ERDF	Less developed	0.00	0.00	0.00	
5	O	P6	Businesses and properties with reduced flood risk	Number	ERDF	Transition	288.00	263.00	0.00	
5	O	P6	Businesses and properties with reduced flood risk	Number	ERDF	More developed	88.00	88.00	0.00	
6	O	CO23	Nature and biodiversity: Surface area of habitats supported to attain a better conservation status	Hectares	ERDF	Less developed	106.00	61.00	37.76	
6	O	CO23	Nature and biodiversity: Surface area of habitats supported to attain a better conservation status	Hectares	ERDF	Transition	61.00	19.00	42.90	
6	O	CO23	Nature and biodiversity: Surface area of habitats supported to attain a better conservation status	Hectares	ERDF	More developed	283.00	228.00	121.40	
6	F	F1	Expenditure	Euros	ERDF	Less developed	6,036,082.38	4,178,277.21	2,418,388.91	
6	F	F1	Expenditure	Euros	ERDF	Transition	7,945,674.18	5,375,188.98	4,208,085.31	
6	F	F1	Expenditure	Euros	ERDF	More developed	18,481,913.25	11,308,338.09	5,767,345.75	
6	I	I3	Surface area of habitats supported in order to attain a better conservation status that has been achieved by partially or fully completed operations	hectare	ERDF	Less developed	106.00	61.00	37.76	
6	I	I3	Surface area of habitats supported in order to attain a better conservation status that has been achieved by partially or fully completed operations	hectare	ERDF	Transition	61.00	19.00	42.90	
6	I	I3	Surface area of habitats supported in order to attain a better conservation status that has been achieved by partially or fully completed operations	hectare	ERDF	More developed	283.00	228.00	121.40	
7	O	CO14	Roads: Total length of reconstructed or upgraded roads	km	ERDF	Less developed	0.00	0.00	0.00	
7	F	F1	Expenditure	Euros	ERDF	Less developed	42,211,788.83	35,878,576.72	31,665,290.36	
7	I	I2	Completion of planning processes and necessary clearances, including SEA for road	percentage	ERDF	Less developed	100.00	100.00	100.00	
7	I	I5	Percentage of final target where signalling improved (although this will still be subject to final safety sign-off which cannot be done until end of whole project)	Percentage	ERDF	Less developed	100.00	100.00	100.00	
7	O	P7	Length of railway with new or enhanced signalling installation	kilometre	ERDF	Less developed	43.00	43.00	43.00	
8	O	CO01	Productive investment: Number of enterprises receiving support	Enterprises	ERDF	Less developed	64.00	14.00	0.00	
8	O	CO01	Productive investment: Number of enterprises receiving support	Enterprises	ERDF	Transition	0.00	0.00	0.00	
8	O	CO01	Productive investment: Number of enterprises receiving support	Enterprises	ERDF	More developed	11.00	2.00	0.00	
8	F	F1	Expenditure	Euros	ERDF	Less developed	1,266,547.33	730,536.81	443,613.77	
8	F	F1	Expenditure	Euros	ERDF	Transition	383,463.19	234,306.86	234,306.86	
8	F	F1	Expenditure	Euros	ERDF	More developed	2,033,910.55	760,812.81	633,128.87	
8	I	I6	Number of local development strategies agreed	Number	ERDF	Less developed	4.00	4.00	4.00	
8	I	I6	Number of local development strategies agreed	Number	ERDF	Transition	4.50	4.50	4.50	
8	I	I6	Number of local development strategies agreed	Number	ERDF	More developed	12.50	12.50	12.50	

Priority axis	Ind type	ID	Indicator	Measurement unit	Fund	Category of region	2017 Cum total	2016 Cum total	2015 Cum total	2014 Cum total
1	O	CO01	Productive investment: Number of enterprises receiving support	Enterprises	ERDF	Less developed	6.00	0.00	0.00	0.00
1	O	CO01	Productive investment: Number of enterprises receiving support	Enterprises	ERDF	Transition	429.00	186.00	0.00	0.00
1	O	CO01	Productive investment: Number of enterprises receiving support	Enterprises	ERDF	More developed	1,074.00	467.00	0.00	0.00
1	F	F1	Expenditure	Euros	ERDF	Less developed	2,971,009.46	0.00	0.00	0.00
1	F	F1	Expenditure	Euros	ERDF	Transition	31,643,356.86	13,422,011.91	0.00	0.00
1	F	F1	Expenditure	Euros	ERDF	More developed	60,960,851.33	7,584,632.72	0.00	0.00
1	I	I1	Number of enterprises receiving support that has been achieved by partially or fully completed operations	Number	ERDF	Less developed	6.00	0.00	0.00	0.00
1	I	I1	Number of enterprises receiving support that has been achieved by partially or fully completed operations	Number	ERDF	Transition	429.00	186.00	0.00	0.00
1	I	I1	Number of enterprises receiving support that has been achieved by partially or fully completed operations	Number	ERDF	More developed	1,074.00	467.00	0.00	0.00
10	F	F1	Expenditure	Euros	ERDF	Less developed	0.00	0.00	0.00	0.00
10	F	F1	Expenditure	Euros	ERDF	Transition	0.00	0.00	0.00	0.00
10	F	F1	Expenditure	Euros	ERDF	More developed	0.00	0.00	0.00	0.00
10	O	PCV33	Number of entities supported in combating or counteracting the effects of the COVID-19 pandemic	Number	ERDF	Less developed	0.00	0.00	0.00	0.00
10	O	PCV33	Number of entities supported in combating or counteracting the effects of the COVID-19 pandemic	Number	ERDF	Transition	0.00	0.00	0.00	0.00
10	O	PCV33	Number of entities supported in combating or counteracting the effects of the COVID-19 pandemic	Number	ERDF	More developed	0.00	0.00	0.00	0.00
2	O	CO01	Productive investment: Number of enterprises receiving support	Enterprises	ERDF	Transition	58.00	0.00	0.00	0.00
2	O	CO01	Productive investment: Number of enterprises receiving support	Enterprises	ERDF	More developed	280.00	0.00	0.00	0.00
2	F	F1	Expenditure	Euros	ERDF	Less developed	1,186,579.94	397,354.64	0.00	0.00
2	F	F1	Expenditure	Euros	ERDF	Transition	670,092.12	0.00	0.00	0.00
2	F	F1	Expenditure	Euros	ERDF	More developed	1,924,458.82	0.00	0.00	0.00
2	I	I7	Additional businesses with broadband access of at least 30Mbps that has been achieved by partially or fully completed operations	Enterprises	ERDF	Less developed	0.00	0.00	0.00	0.00
2	I	I1	Number of enterprises receiving support that has been achieved by partially or fully completed operations	Number	ERDF	Transition	58.00	0.00	0.00	0.00
2	I	I1	Number of enterprises receiving support that has been achieved by partially or fully completed operations	Number	ERDF	More developed	280.00	0.00	0.00	0.00
2	O	P3	Additional businesses with broadband access of at least 30Mbps	Enterprises	ERDF	Less developed	0.00	0.00	0.00	0.00
3	O	CO01	Productive investment: Number of enterprises receiving support	Enterprises	ERDF	Less developed	755.00	423.00	0.00	0.00
3	O	CO01	Productive investment: Number of enterprises receiving support	Enterprises	ERDF	Transition	2,133.00	1,125.00	0.00	0.00
3	O	CO01	Productive investment: Number of enterprises receiving support	Enterprises	ERDF	More developed	4,960.00	2,637.00	0.00	0.00
3	F	F1	Expenditure	Euros	ERDF	Less developed	13,365,171.71	1,224,107.07	0.00	0.00
3	F	F1	Expenditure	Euros	ERDF	Transition	111,700,588.61	73,750,674.69	0.00	0.00

Priority axis	Ind type	ID	Indicator	Measurement unit	Fund	Category of region	2017 Cum total	2016 Cum total	2015 Cum total	2014 Cum total
3	F	F1	Expenditure	Euros	ERDF	More developed	167,257,911.49	112,120,546.12	0.00	0.00
3	I	I1	Number of enterprises receiving support that has been achieved by partially or fully completed operations	Number	ERDF	Less developed	755.00	423.00	0.00	0.00
3	I	I1	Number of enterprises receiving support that has been achieved by partially or fully completed operations	Number	ERDF	Transition	2,133.00	1,125.00	7.00	0.00
3	I	I1	Number of enterprises receiving support that has been achieved by partially or fully completed operations	Number	ERDF	More developed	4,960.00	2,637.00	74.00	0.00
4	O	CO34	GHG reduction: Estimated annual decrease of GHG	Tonnes of CO2eq	ERDF	Less developed	0.00	0.00	0.00	0.00
4	O	CO34	GHG reduction: Estimated annual decrease of GHG	Tonnes of CO2eq	ERDF	Transition	0.00	0.00	0.00	0.00
4	O	CO34	GHG reduction: Estimated annual decrease of GHG	Tonnes of CO2eq	ERDF	More developed	0.00	0.00	0.00	0.00
4	F	F1	Expenditure	Euros	ERDF	Less developed	2,559,594.96	0.00	0.00	0.00
4	F	F1	Expenditure	Euros	ERDF	Transition	7,786,104.52	6,199,463.02	0.00	0.00
4	F	F1	Expenditure	Euros	ERDF	More developed	36,056,635.40	10,107,965.09	0.00	0.00
4	I	I1	Number of enterprises receiving support that has been achieved by partially or fully completed operations	Number	ERDF	Less developed	471.00	1.00	0.00	0.00
4	I	I1	Number of enterprises receiving support that has been achieved by partially or fully completed operations	Number	ERDF	Transition	98.00	46.00	0.00	0.00
4	I	I1	Number of enterprises receiving support that has been achieved by partially or fully completed operations	Number	ERDF	More developed	7,025.00	89.00	0.00	0.00
5	F	F1	Expenditure	Euros	ERDF	Less developed	0.00	0.00	0.00	0.00
5	F	F1	Expenditure	Euros	ERDF	Transition	0.00	0.00	0.00	0.00
5	F	F1	Expenditure	Euros	ERDF	More developed	0.00	0.00	0.00	0.00
5	I	I4	Percentage of schemes in place	Percentage	ERDF	Less developed	0.00	0.00	0.00	0.00
5	I	I4	Percentage of schemes in place	Percentage	ERDF	Transition	0.00	0.00	0.00	0.00
5	I	I4	Percentage of schemes in place	Percentage	ERDF	More developed	0.00	0.00	0.00	0.00
5	O	P6	Businesses and properties with reduced flood risk	Number	ERDF	Less developed	0.00	0.00	0.00	0.00
5	O	P6	Businesses and properties with reduced flood risk	Number	ERDF	Transition	0.00			
5	O	P6	Businesses and properties with reduced flood risk	Number	ERDF	More developed	0.00			
6	O	CO23	Nature and biodiversity: Surface area of habitats supported to attain a better conservation status	Hectares	ERDF	Less developed	20.00			
6	O	CO23	Nature and biodiversity: Surface area of habitats supported to attain a better conservation status	Hectares	ERDF	Transition	0.00			
6	O	CO23	Nature and biodiversity: Surface area of habitats supported to attain a better conservation status	Hectares	ERDF	More developed	10.00			
6	F	F1	Expenditure	Euros	ERDF	Less developed	142,748.33			
6	F	F1	Expenditure	Euros	ERDF	Transition	98,473.78			
6	F	F1	Expenditure	Euros	ERDF	More developed	109,671.72			
6	I	I3	Surface area of habitats supported in order to attain a better conservation status that has been achieved by partially or fully completed operations	hectare	ERDF	Less developed	20.00	0.00	0.00	0.00
6	I	I3	Surface area of habitats supported in order to attain a better conservation status that has been achieved by partially or fully completed operations	hectare	ERDF	Transition	0.00	0.00	0.00	0.00
6	I	I3	Surface area of habitats supported in order to attain a better conservation status that has been achieved by partially or fully completed operations	hectare	ERDF	More developed	10.00	0.00	0.00	0.00
7	O	CO14	Roads: Total length of reconstructed or upgraded roads	km	ERDF	Less developed	0.00	0.00	0.00	0.00
7	F	F1	Expenditure	Euros	ERDF	Less developed	1,130,213.29	0.00	0.00	0.00
7	I	I2	Completion of planning processes and necessary clearances, including SEA for road	percentage	ERDF	Less developed	0.00			
7	I	I5	Percentage of final target where signalling improved (although this will still be subject to final safety sign-off which cannot be done until end of whole project)	Percentage	ERDF	Less developed	0.00			
7	O	P7	Length of railway with new or enhanced signalling installation	kilometre	ERDF	Less developed	0.00			
8	O	CO01	Productive investment: Number of enterprises receiving support	Enterprises	ERDF	Less developed	0.00			
8	O	CO01	Productive investment: Number of enterprises receiving support	Enterprises	ERDF	Transition	0.00			
8	O	CO01	Productive investment: Number of enterprises receiving support	Enterprises	ERDF	More developed	0.00			
8	F	F1	Expenditure	Euros	ERDF	Less developed	72,235.05			
8	F	F1	Expenditure	Euros	ERDF	Transition	49,333.84			
8	F	F1	Expenditure	Euros	ERDF	More developed	242,645.01			
8	I	I6	Number of local development strategies agreed	Number	ERDF	Less developed	0.00	0.00	0.00	0.00
8	I	I6	Number of local development strategies agreed	Number	ERDF	Transition	0.00	0.00	0.00	0.00
8	I	I6	Number of local development strategies agreed	Number	ERDF	More developed	0.00	0.00	0.00	0.00

Priority axis	Ind type	ID	Indicator	Measurement unit	Fund	Category of region	Milestone for 2018 total	Milestone for 2018 men	Milestone for 2018 women	Final target (2023) total	Final target (2023) men	Final target (2023) women
1	O	CO01	Productive investment: Number of enterprises receiving support	Enterprises	ERDF	Less developed	0			559.00		
1	O	CO01	Productive investment: Number of enterprises receiving support	Enterprises	ERDF	Transition	0			5,941.00		
1	O	CO01	Productive investment: Number of enterprises receiving support	Enterprises	ERDF	More developed	0			14,229.00		
1	F	F1	Expenditure	Euros	ERDF	Less developed	17,857,860			113,875,263.00		
1	F	F1	Expenditure	Euros	ERDF	Transition	58,331,594			388,358,977.00		
1	F	F1	Expenditure	Euros	ERDF	More developed	150,229,827			962,418,868.00		
1	I	I1	Number of enterprises receiving support that has been achieved by partially or fully completed operations	Number	ERDF	Less developed	61			61.00		
1	I	I1	Number of enterprises receiving support that has been achieved by partially or fully completed operations	Number	ERDF	Transition	625			625.00		
1	I	I1	Number of enterprises receiving support that has been achieved by partially or fully completed operations	Number	ERDF	More developed	1,554			1,554.00		
10	F	F1	Expenditure	Euros	ERDF	Less developed				620,756.00		
10	F	F1	Expenditure	Euros	ERDF	Transition				10,857,594.00		
10	F	F1	Expenditure	Euros	ERDF	More developed				46,082,698.00		
10	O	PCV33	Number of entities supported in combating or counteracting the effects of the COVID-19 pandemic	Number	ERDF	Less developed				2.00		
10	O	PCV33	Number of entities supported in combating or counteracting the effects of the COVID-19 pandemic	Number	ERDF	Transition				47.00		

Priority axis	Ind type	ID	Indicator	Measurement unit	Fund	Category of region	Milestone for 2018 total	Milestone for 2018 men	Milestone for 2018 women	Final target (2023) total	Final target (2023) men	Final target (2023) women
10	O	PCV33	Number of entities supported in combating or counteracting the effects of the COVID-19 pandemic	Number	ERDF	More developed				201.00		
2	O	CO01	Productive investment: Number of enterprises receiving support	Enterprises	ERDF	Transition	0			1,909.00		
2	O	CO01	Productive investment: Number of enterprises receiving support	Enterprises	ERDF	More developed	0			8,699.00		
2	F	F1	Expenditure	Euros	ERDF	Less developed	3,731,835			23,797,010.00		
2	F	F1	Expenditure	Euros	ERDF	Transition	5,574,377			35,657,164.00		
2	F	F1	Expenditure	Euros	ERDF	More developed	22,104,167			140,952,940.00		
2	I	I7	Additional businesses with broadband access of at least 30Mbps that has been achieved by partially or fully completed operations	Enterprises	ERDF	Less developed	185			185.00		
2	I	I1	Number of enterprises receiving support that has been achieved by partially or fully completed operations	Number	ERDF	Transition	209			209.00		
2	I	I1	Number of enterprises receiving support that has been achieved by partially or fully completed operations	Number	ERDF	More developed	955			955.00		
2	O	P3	Additional businesses with broadband access of at least 30mbps	Enterprises	ERDF	Less developed	0			2,102.00		
3	O	CO01	Productive investment: Number of enterprises receiving support	Enterprises	ERDF	Less developed	0			2,120.00		
3	O	CO01	Productive investment: Number of enterprises receiving support	Enterprises	ERDF	Transition	0			40,632.00		
3	O	CO01	Productive investment: Number of enterprises receiving support	Enterprises	ERDF	More developed	0			51,679.00		
3	F	F1	Expenditure	Euros	ERDF	Less developed	33,779,094			215,401,132.00		
3	F	F1	Expenditure	Euros	ERDF	Transition	135,917,108			866,710,605.00		
3	F	F1	Expenditure	Euros	ERDF	More developed	258,073,380			1,645,671,678.00		
3	I	I1	Number of enterprises receiving support that has been achieved by partially or fully completed operations	Number	ERDF	Less developed	232			232.00		
3	I	I1	Number of enterprises receiving support that has been achieved by partially or fully completed operations	Number	ERDF	Transition	4,461			4,461.00		
3	I	I1	Number of enterprises receiving support that has been achieved by partially or fully completed operations	Number	ERDF	More developed	5,673			5,673.00		
4	O	CO34	GHG reduction: Estimated annual decrease of GHG	Tonnes of CO2eq	ERDF	Less developed	0			23,235.00		
4	O	CO34	GHG reduction: Estimated annual decrease of GHG	Tonnes of CO2eq	ERDF	Transition	0			77,211.00		
4	O	CO34	GHG reduction: Estimated annual decrease of GHG	Tonnes of CO2eq	ERDF	More developed	0			238,306.00		
4	F	F1	Expenditure	Euros	ERDF	Less developed	14,758,103			95,007,897.00		
4	F	F1	Expenditure	Euros	ERDF	Transition	52,670,435			315,714,657.00		
4	F	F1	Expenditure	Euros	ERDF	More developed	152,603,502			974,432,508.00		
4	I	I1	Number of enterprises receiving support that has been achieved by partially or fully completed operations	Number	ERDF	Less developed	41			41.00		
4	I	I1	Number of enterprises receiving support that has been achieved by partially or fully completed operations	Number	ERDF	Transition	556			556.00		
4	I	I1	Number of enterprises receiving support that has been achieved by partially or fully completed operations	Number	ERDF	More developed	1,592			1,592.00		
5	F	F1	Expenditure	Euros	ERDF	Less developed	2,600,433			15,587,392.00		
5	F	F1	Expenditure	Euros	ERDF	Transition	12,417,954			74,435,121.00		
5	F	F1	Expenditure	Euros	ERDF	More developed	8,118,091			48,661,082.00		
5	I	I4	Percentage of schemes in place	Percentage	ERDF	Less developed	100			100.00		
5	I	I4	Percentage of schemes in place	Percentage	ERDF	Transition	100			100.00		
5	I	I4	Percentage of schemes in place	Percentage	ERDF	More developed	100			100.00		
5	O	P6	Businesses and properties with reduced flood risk	Number	ERDF	Less developed	0			174.00		
5	O	P6	Businesses and properties with reduced flood risk	Number	ERDF	Transition	0			4,591.00		
5	O	P6	Businesses and properties with reduced flood risk	Number	ERDF	More developed	0			3,001.00		
6	O	CO23	Nature and biodiversity: Surface area of habitats supported to attain a better conservation status	Hectares	ERDF	Less developed	0			126.00		
6	O	CO23	Nature and biodiversity: Surface area of habitats supported to attain a better conservation status	Hectares	ERDF	Transition	0			290.00		
6	O	CO23	Nature and biodiversity: Surface area of habitats supported to attain a better conservation status	Hectares	ERDF	More developed	0			980.00		
6	F	F1	Expenditure	Euros	ERDF	Less developed	2,518,416			16,059,333.00		
6	F	F1	Expenditure	Euros	ERDF	Transition	5,581,769			35,593,592.00		
6	F	F1	Expenditure	Euros	ERDF	More developed	17,638,686			105,728,986.00		
6	I	I3	Surface area of habitats supported in order to attain a better conservation status that has been achieved by partially or fully completed operations	hectare	ERDF	Less developed	14			14.00		
6	I	I3	Surface area of habitats supported in order to attain a better conservation status that has been achieved by partially or fully completed operations	hectare	ERDF	Transition	32			32.00		
6	I	I3	Surface area of habitats supported in order to attain a better conservation status that has been achieved by partially or fully completed operations	hectare	ERDF	More developed	114			114.00		
7	O	CO14	Roads: Total length of reconstructed or upgraded roads	km	ERDF	Less developed	0			14.00		
7	F	F1	Expenditure	Euros	ERDF	Less developed	11,354,818			72,406,934.00		
7	I	I2	Completion of planning processes and necessary clearances, including SEA for road	percentage	ERDF	Less developed	100			100.00		
7	I	I5	Percentage of final target where signalling improved (although this will still be subject to final safety sign-off which cannot be done until end of whole project)	Percentage	ERDF	Less developed	50			50.00		
7	O	P7	Length of railway with new or enhanced signalling installation	kilometre	ERDF	Less developed	0			43.00		
8	O	CO01	Productive investment: Number of enterprises receiving support	Enterprises	ERDF	Less developed	0			254.00		
8	O	CO01	Productive investment: Number of enterprises receiving support	Enterprises	ERDF	Transition	0			334.00		
8	O	CO01	Productive investment: Number of enterprises receiving support	Enterprises	ERDF	More developed	0			1,093.00		
8	F	F1	Expenditure	Euros	ERDF	Less developed	2,088,693			12,519,944.00		
8	F	F1	Expenditure	Euros	ERDF	Transition	1,549,981			9,290,821.00		
8	F	F1	Expenditure	Euros	ERDF	More developed	5,080,383			30,452,589.00		
8	I	I6	Number of local development strategies agreed	Number	ERDF	Less developed	4			4.00		
8	I	I6	Number of local development strategies agreed	Number	ERDF	Transition	4.5			4.50		

Priority axis	Ind type	ID	Indicator	Measurement unit	Fund	Category of region	Milestone for 2018 total	Milestone for 2018 men	Milestone for 2018 women	Final target (2023) total	Final target (2023) men	Final target (2023) women
8	1	16	Number of local development strategies agreed	Number	ERDF	More developed	12.5			12.50		

3.4 Financial data (Article 50(2) of Regulation (EU) No 1303/2013)

Table 6: Financial information at priority axis and programme level

(as set out in Table 1 of Annex II to Commission Implementing Regulation (EU) No 1011/2014 (Model for the transmission of financial data))

Priority axis	Fund	Category of region	Calculation basis	Total fund	Co-financing rate	Total eligible cost of operations selected for support	Proportion of the total allocation covered with selected operations	Public eligible cost of operations selected for support	Total eligible expenditure declared by beneficiaries to the managing authority	Proportion of the total allocation covered by eligible expenditure declared by beneficiaries	Number of operations selected
1	ERDF	Less developed	Total	113,875,263.00	80.00%	121,976,157.00	107.11%	112,409,507.00	78,278,585.00	68.74%	18
1	ERDF	Transition	Total	388,358,977.00	60.00%	417,036,094.00	107.38%	377,270,561.00	279,052,104.00	71.85%	133
1	ERDF	More developed	Total	962,418,868.00	50.00%	904,432,960.00	93.97%	717,306,145.00	540,517,072.00	56.16%	210
10	ERDF	Less developed	Total	775,945.00	80.00%	1,470,454.00	189.50%	1,470,454.00	39,643.00	5.11%	1
10	ERDF	Transition	Total	18,095,990.00	60.00%	24,859,992.00	137.38%	24,859,992.00	980,353.00	5.42%	1
10	ERDF	More developed	Total	92,165,396.00	50.00%	95,425,236.00	103.54%	95,425,236.00	4,027,319.00	4.37%	1
2	ERDF	Less developed	Total	23,797,010.00	80.00%	23,612,935.00	99.23%	21,656,845.00	19,556,233.00	82.18%	4
2	ERDF	Transition	Total	35,657,164.00	60.00%	32,746,436.00	91.84%	27,407,824.00	14,618,311.00	41.00%	13
2	ERDF	More developed	Total	140,952,940.00	50.00%	126,547,917.00	89.78%	99,137,702.00	78,660,067.00	55.81%	28
3	ERDF	Less developed	Total	215,401,132.00	80.00%	223,255,933.00	103.65%	179,872,462.00	157,139,513.00	72.95%	46
3	ERDF	Transition	Total	866,710,606.00	60.00%	1,021,759,811.00	117.89%	649,305,406.00	705,672,530.00	81.42%	181
3	ERDF	More developed	Total	1,645,671,678.00	50.00%	1,928,693,709.00	117.20%	1,194,255,481.00	1,401,186,863.00	85.14%	286
4	ERDF	Less developed	Total	95,007,897.00	80.00%	116,945,465.00	123.09%	84,742,949.00	93,826,369.00	98.76%	11
4	ERDF	Transition	Total	315,714,657.00	60.00%	296,680,286.00	93.97%	253,535,915.00	163,013,849.00	51.63%	58
4	ERDF	More developed	Total	974,432,508.00	50.00%	874,737,433.00	89.77%	609,033,471.00	474,575,898.00	48.70%	116
5	ERDF	Less developed	Total	15,587,392.00	80.00%	24,402,133.00	156.55%	23,862,630.00	9,232,495.00	59.23%	3
5	ERDF	Transition	Total	74,435,121.00	60.00%	92,247,190.00	123.93%	91,941,863.00	40,984,405.00	55.06%	13
5	ERDF	More developed	Total	48,661,082.00	50.00%	60,754,966.00	124.85%	60,754,966.00	27,143,282.00	55.78%	12
6	ERDF	Less developed	Total	16,059,333.00	80.00%	16,700,131.00	103.99%	16,224,477.00	13,223,850.00	82.34%	5
6	ERDF	Transition	Total	35,593,592.00	60.00%	30,163,414.00	84.74%	27,269,965.00	17,894,253.00	50.27%	18
6	ERDF	More developed	Total	105,728,986.00	50.00%	75,834,670.00	71.73%	71,641,019.00	39,579,855.00	37.44%	32
7	ERDF	Less developed	Total	72,406,934.00	80.00%	336,254,928.00	464.40%	333,428,868.00	47,671,218.00	65.84%	10
8	ERDF	Less developed	Total	12,519,945.00	90.00%	12,753,134.00	101.86%	10,575,933.00	4,118,895.00	32.90%	5
8	ERDF	Transition	Total	9,290,821.00	70.00%	8,854,157.00	95.30%	7,675,830.00	3,892,847.00	41.90%	11
8	ERDF	More developed	Total	30,452,589.00	60.00%	30,088,937.00	98.81%	25,500,832.00	9,967,416.00	32.73%	29
9	ERDF	Less	Total	22,785,038.00	80.00%	22,649,224.00	99.40%	22,649,224.00	19,959,980.00	87.60%	5

		developed									
9	ERDF	Transition	Total	71,323,782.00	60.00%	52,914,750.00	74.19%	52,800,381.00	28,286,496.00	39.66%	17
9	ERDF	More developed	Total	168,216,224.00	50.00%	115,340,393.00	68.57%	114,255,484.00	53,716,498.00	31.93%	39
Total	ERDF	Less developed		588,215,889.00	80.21%	900,020,494.00	153.01%	806,893,349.00	443,046,781.00	75.32%	108
Total	ERDF	Transition		1,815,180,710.00	60.05%	1,977,262,130.00	108.93%	1,512,067,737.00	1,254,395,148.00	69.11%	445
Total	ERDF	More developed		4,168,700,271.00	50.07%	4,211,856,221.00	101.04%	2,987,310,336.00	2,629,374,270.00	63.07%	753
Grand total				6,572,096,870.00	55.53%	7,089,138,845.00	107.87%	5,306,271,422.00	4,326,816,199.00	65.84%	1,306

Table 7: Breakdown of the cumulative financial data by category of intervention for the ERDF, the ERDF REACT-EU, the ESF, the ESF REACT-EU and the Cohesion Fund (Article 112(1) and (2) of Regulation (EU) No 1303/2013 and Article 5 of Regulation (EU) No 1304/2013)

Priority axis	Characteristics of expenditure		Categorisation dimensions								Financial data			
	Fund	Category of region	Intervention field	Form of finance	Territorial dimension	Territorial delivery mechanism	Thematic objective dimension	ESF secondary theme	Economic dimension	Location dimension	Total eligible cost of operations selected for support	Public eligible cost of operations selected for support	Total eligible expenditure declared by beneficiaries to the managing authority	Number of operations selected
1	ERDF	Less developed	056	01	07	03	01		24	UKK	880,361.00	831,663.00	107,783.00	1
1	ERDF	Less developed	056	04	07	03	01		24	UKK	22,573,363.00	20,880,361.00	11,286,681.00	1
1	ERDF	Less developed	058	01	07	03	01		08	UKK	23,586,370.00	23,586,370.00	17,248,484.00	1
1	ERDF	Less developed	062	01	07	03	01		24	UKK	2,738,484.00	2,648,597.00	1,875,683.00	1
1	ERDF	Less developed	064	01	07	03	01		01	UKK	13,370,099.00	12,820,035.00	12,969,785.00	1
1	ERDF	Less developed	064	01	07	03	01		20	UKK	8,135,395.00	7,893,084.00	5,508,813.00	2
1	ERDF	Less developed	064	01	07	03	01		24	UKK	31,150,966.00	25,834,435.00	23,651,312.00	5
1	ERDF	Less developed	065	01	07	03	01		13	UKK	4,675,171.00	4,264,148.00	638,907.00	1
1	ERDF	Less developed	065	01	07	03	01		20	UKK	6,163,304.00	5,571,900.00	2,880,441.00	2
1	ERDF	Less developed	065	01	07	03	01		22	UKK	1,539,854.00	1,185,950.00	1,266,350.00	1
1	ERDF	Less developed	065	01	07	03	01		24	UKK	7,162,790.00	6,892,964.00	844,346.00	2
1	ERDF	Transition	056	01	07	07	01		07	UKE	1,658,848.00	878,094.00	1,317,482.00	1
1	ERDF	Transition	056	01	07	07	01		20	UKD	18,452,736.00	18,452,736.00	15,930,615.00	1
1	ERDF	Transition	056	01	07	07	01		21	UKE	1,712,025.00	144,499.00	144,499.00	1
1	ERDF	Transition	056	01	07	07	01		24	UKC	423,250.00	280,935.00	307,395.00	1
1	ERDF	Transition	056	01	07	07	01		24	UKE	12,675,756.00	11,154,434.00	10,239,196.00	2
1	ERDF	Transition	056	01	07	07	01		24	UKK	6,710,176.00	6,687,603.00	5,892,774.00	2
1	ERDF	Transition	058	01	07	07	01		20	UKG	2,455,446.00	2,455,446.00	1,535,456.00	1
1	ERDF	Transition	058	01	07	07	01		22	UKC	13,035,230.00	13,035,230.00	0.00	1
1	ERDF	Transition	058	01	07	07	01		22	UKE	3,824,068.00	3,720,178.00	1,634,464.00	1
1	ERDF	Transition	058	01	07	07	01		24	UKC	17,693,797.00	17,693,797.00	17,656,733.00	3
1	ERDF	Transition	058	01	07	07	01		24	UKD	22,728,297.00	20,470,961.00	22,724,305.00	2
1	ERDF	Transition	058	01	07	07	01		24	UKE	38,348,797.00	38,348,797.00	26,315,338.00	6
1	ERDF	Transition	058	01	07	07	01		24	UKF	11,916,997.00	11,464,175.00	10,927,437.00	2
1	ERDF	Transition	058	01	07	07	01		24	UKK	1,934,779.00	1,934,779.00	1,934,779.00	1
1	ERDF	Transition	059	01	07	07	01		20	UKC	1,596,660.00	1,596,660.00	1,589,944.00	1
1	ERDF	Transition	059	01	07	07	01		24	UKG	19,243,639.00	19,243,639.00	15,412,696.00	1
1	ERDF	Transition	060	01	07	07	01		07	UKC	1,708,503.00	986,727.00	986,727.00	1
1	ERDF	Transition	060	01	07	07	01		07	UKF	7,064,279.00	6,379,363.00	1,221,022.00	1
1	ERDF	Transition	060	01	07	07	01		18	UKK	1,956,095.00	1,956,095.00	552,014.00	1
1	ERDF	Transition	060	01	07	07	01		24	UKC	5,617,272.00	4,563,992.00	3,060,533.00	2
1	ERDF	Transition	060	01	07	07	01		24	UKE	3,080,633.00	2,783,528.00	2,783,528.00	3
1	ERDF	Transition	060	01	07	07	01		24	UKK	5,372,067.00	5,372,067.00	5,141,618.00	1
1	ERDF	Transition	061	01	07	07	01		07	UKC	4,623,584.00	4,623,584.00	3,690,759.00	1
1	ERDF	Transition	061	01	07	07	01		24	UKC	7,802,743.00	6,184,900.00	3,380,034.00	1
1	ERDF	Transition	062	01	07	01	01		12	UKG	86,483.00	86,483.00	43,580.00	1
1	ERDF	Transition	062	01	07	07	01		03	UKF	4,398,800.00	2,639,276.00	4,398,005.00	1
1	ERDF	Transition	062	01	07	07	01		03	UKG	3,825,697.00	3,825,697.00	1,979,812.00	1
1	ERDF	Transition	062	01	07	07	01		07	UKC	2,390,659.00	2,390,659.00	1,614,257.00	2
1	ERDF	Transition	062	01	07	07	01		07	UKD	6,395,297.00	5,718,097.00	5,924,360.00	1
1	ERDF	Transition	062	01	07	07	01		07	UKG	5,613,270.00	4,096,864.00	2,373,390.00	4

Priority axis	Characteristics of expenditure		Categorisation dimensions								Financial data			
	Fund	Category of region	Intervention field	Form of finance	Territorial dimension	Territorial delivery mechanism	Thematic objective dimension	ESF secondary theme	Economic dimension	Location dimension	Total eligible cost of operations selected for support	Public eligible cost of operations selected for support	Total eligible expenditure declared by beneficiaries to the managing authority	Number of operations selected
1	ERDF	Transition	062	01	07	07	01		10	UKG	1,239,381.00	1,239,381.00	328,445.00	1
1	ERDF	Transition	062	01	07	07	01		24	UKC	765,773.00	684,033.00	388,272.00	1
1	ERDF	Transition	062	01	07	07	01		24	UKD	20,252,153.00	18,636,104.00	4,510,282.00	4
1	ERDF	Transition	062	01	07	07	01		24	UKE	1,832,465.00	1,832,465.00	231,378.00	1
1	ERDF	Transition	062	01	07	07	01		24	UKG	6,200,386.00	6,200,386.00	2,752,666.00	3
1	ERDF	Transition	063	01	07	07	01		24	UKC	826,152.00	524,844.00	491,812.00	2
1	ERDF	Transition	063	01	07	07	01		24	UKD	2,179,372.00	2,179,372.00	0.00	1
1	ERDF	Transition	064	01	07	07	01		06	UKG	1,422,230.00	1,422,230.00	588,728.00	1
1	ERDF	Transition	064	01	07	07	01		07	UKF	3,454,752.00	2,913,305.00	3,380,504.00	1
1	ERDF	Transition	064	01	07	07	01		07	UKG	862,424.00	736,840.00	657,931.00	4
1	ERDF	Transition	064	01	07	07	01		20	UKG	3,054,044.00	3,054,044.00	2,100,656.00	2
1	ERDF	Transition	064	01	07	07	01		20	UKK	1,237,234.00	882,867.00	1,026,109.00	1
1	ERDF	Transition	064	01	07	07	01		24	UKC	18,553,052.00	15,564,886.00	18,037,995.00	14
1	ERDF	Transition	064	01	07	07	01		24	UKD	3,539,784.00	3,539,784.00	1,923,105.00	2
1	ERDF	Transition	064	01	07	07	01		24	UKE	8,382,944.00	6,970,413.00	3,606,099.00	4
1	ERDF	Transition	064	01	07	07	01		24	UKF	750,620.00	750,620.00	41,374.00	1
1	ERDF	Transition	064	01	07	07	01		24	UKG	11,013,327.00	9,213,189.00	5,051,999.00	10
1	ERDF	Transition	064	01	07	07	01		24	UKK	790,013.00	790,013.00	793,216.00	1
1	ERDF	Transition	064	07	07	07	01		07	UKG	192,187.00	192,187.00	149,922.00	1
1	ERDF	Transition	065	01	07	01	01		13	UKG	2,427,214.00	2,427,214.00	1,061,676.00	1
1	ERDF	Transition	065	01	07	07	01		07	UKG	5,855,781.00	5,653,275.00	3,915,062.00	5
1	ERDF	Transition	065	01	07	07	01		24	UKC	292,570.00	267,360.00	292,570.00	1
1	ERDF	Transition	065	01	07	07	01		24	UKD	20,371,459.00	19,170,786.00	13,062,964.00	4
1	ERDF	Transition	065	01	07	07	01		24	UKE	2,377,167.00	1,188,583.00	0.00	1
1	ERDF	Transition	065	01	07	07	01		24	UKK	9,119,016.00	8,545,049.00	6,072,468.00	1
1	ERDF	Transition	065	07	07	07	01		07	UKG	843,308.00	810,084.00	601,473.00	1
1	ERDF	Transition	067	01	07	07	01		07	UKG	117,580.00	117,580.00	0.00	1
1	ERDF	Transition	067	01	07	07	01		24	UKC	8,490,060.00	6,725,945.00	2,342,672.00	7
1	ERDF	Transition	067	01	07	07	01		24	UKD	16,237,474.00	16,047,119.00	13,875,977.00	4
1	ERDF	Transition	067	01	07	07	01		24	UKG	6,922,522.00	4,153,668.00	4,779,202.00	1
1	ERDF	Transition	067	01	07	07	01		24	UKK	865,765.00	414,063.00	631,746.00	1
1	ERDF	Transition	067	03	07	07	01		24	UKD	13,445,479.00	7,303,739.00	13,448,919.00	1
1	ERDF	Transition	067	04	07	07	01		24	UKD	8,776,523.00	5,363,431.00	2,194,130.00	1
1	ERDF	More developed	056	01	07	07	01		07	UKE	16,385,942.00	8,655,918.00	9,040,627.00	2
1	ERDF	More developed	056	01	07	07	01		20	UKC	14,330,427.00	9,555,677.00	14,186,064.00	1
1	ERDF	More developed	056	01	07	07	01		24	UKC	6,475,676.00	5,941,994.00	6,446,393.00	2
1	ERDF	More developed	056	01	07	07	01		24	UKD	13,806,487.00	13,792,243.00	13,994,043.00	2
1	ERDF	More developed	056	01	07	07	01		24	UKE	3,336,048.00	1,808,048.00	982,315.00	1
1	ERDF	More developed	056	01	07	07	01		24	UKH	5,605,909.00	2,802,954.00	832,312.00	1
1	ERDF	More developed	058	01	07	01	01		24	UKI	6,620,767.00	6,620,767.00	356,646.00	1
1	ERDF	More developed	058	01	07	07	01		03	UKH	12,901,871.00	12,883,341.00	0.00	1
1	ERDF	More developed	058	01	07	07	01		04	UKE	1,989,230.00	1,989,230.00	1,842,979.00	1
1	ERDF	More developed	058	01	07	07	01		07	UKC	9,265,471.00	9,265,471.00	9,265,471.00	1
1	ERDF	More developed	058	01	07	07	01		07	UKK	8,306,781.00	8,306,781.00	8,013,327.00	1
1	ERDF	More developed	058	01	07	07	01		12	UKE	1,993,484.00	1,993,484.00	0.00	1

Priority axis	Characteristics of expenditure		Categorisation dimensions								Financial data			
	Fund	Category of region	Intervention field	Form of finance	Territorial dimension	Territorial delivery mechanism	Thematic objective dimension	ESF secondary theme	Economic dimension	Location dimension	Total eligible cost of operations selected for support	Public eligible cost of operations selected for support	Total eligible expenditure declared by beneficiaries to the managing authority	Number of operations selected
1	ERDF	More developed	058	01	07	07	01		13	UKK	4,102,066.00	4,102,066.00	0.00	1
1	ERDF	More developed	058	01	07	07	01		20	UKK	13,498,833.00	13,498,833.00	7,665,255.00	2
1	ERDF	More developed	058	01	07	07	01		23	UKE	11,418,945.00	5,899,209.00	2,647,334.00	2
1	ERDF	More developed	058	01	07	07	01		24	UKC	493,758.00	493,758.00	493,758.00	1
1	ERDF	More developed	058	01	07	07	01		24	UKD	14,538,672.00	14,538,672.00	939,915.00	1
1	ERDF	More developed	058	07	07	07	01		05	UKG	13,834,028.00	13,269,694.00	5,334,129.00	1
1	ERDF	More developed	059	01	07	07	01		24	UKH	5,618,488.00	4,829,420.00	0.00	1
1	ERDF	More developed	060	01	07	07	01		07	UKC	2,669,718.00	2,669,718.00	637,783.00	1
1	ERDF	More developed	060	01	07	07	01		07	UKG	1,584,477.00	1,584,477.00	1,334,910.00	1
1	ERDF	More developed	060	01	07	07	01		08	UKG	4,907,200.00	4,907,200.00	3,769,447.00	1
1	ERDF	More developed	060	01	07	07	01		20	UKK	5,172,902.00	4,896,944.00	5,173,199.00	1
1	ERDF	More developed	060	01	07	07	01		24	UKC	10,139,560.00	6,789,495.00	4,893,608.00	2
1	ERDF	More developed	060	01	07	07	01		24	UKE	10,785,909.00	10,785,909.00	7,535,122.00	4
1	ERDF	More developed	060	01	07	07	01		24	UKF	3,656,326.00	2,288,007.00	1,217,753.00	1
1	ERDF	More developed	060	01	07	07	01		24	UKJ	1,362,334.00	1,362,334.00	79,840.00	1
1	ERDF	More developed	061	01	07	07	01		07	UKC	1,836,243.00	1,836,243.00	1,776,680.00	1
1	ERDF	More developed	061	01	07	07	01		07	UKE	2,932,419.00	2,932,419.00	1,358,151.00	1
1	ERDF	More developed	061	01	07	07	01		24	UKD	2,276,135.00	1,138,067.00	1,357,105.00	1
1	ERDF	More developed	061	01	07	07	01		24	UKH	16,954,654.00	15,546,557.00	14,528,207.00	3
1	ERDF	More developed	061	01	07	07	01		24	UKJ	1,390,521.00	1,277,654.00	119,083.00	1
1	ERDF	More developed	062	01	07	01	01		03	UKI	5,000,576.00	5,000,576.00	2,529,848.00	1
1	ERDF	More developed	062	01	07	01	01		12	UKG	4,663,602.00	4,663,602.00	3,228,599.00	1
1	ERDF	More developed	062	01	07	01	01		13	UKI	5,079,006.00	4,337,099.00	5,062,897.00	1
1	ERDF	More developed	062	01	07	01	01		20	UKI	17,520,800.00	14,014,018.00	11,351,719.00	3
1	ERDF	More developed	062	01	07	01	01		24	UKI	3,560,112.00	3,560,112.00	2,442,113.00	2
1	ERDF	More developed	062	01	07	07	01		03	UKG	490,980.00	490,980.00	457,005.00	1
1	ERDF	More developed	062	01	07	07	01		05	UKF	3,053,994.00	2,136,618.00	2,644,206.00	1
1	ERDF	More developed	062	01	07	07	01		07	UKC	5,635,034.00	5,635,034.00	3,321,457.00	1
1	ERDF	More developed	062	01	07	07	01		07	UKD	12,499,642.00	12,499,642.00	3,311,922.00	3
1	ERDF	More developed	062	01	07	07	01		07	UKG	15,976,776.00	13,021,084.00	13,247,634.00	4
1	ERDF	More developed	062	01	07	07	01		08	UKG	1,608,932.00	1,608,932.00	1,070,568.00	1
1	ERDF	More developed	062	01	07	07	01		10	UKG	2,600,324.00	2,600,324.00	2,267,389.00	1

Priority axis	Characteristics of expenditure		Categorisation dimensions								Financial data			
	Fund	Category of region	Intervention field	Form of finance	Territorial dimension	Territorial delivery mechanism	Thematic objective dimension	ESF secondary theme	Economic dimension	Location dimension	Total eligible cost of operations selected for support	Public eligible cost of operations selected for support	Total eligible expenditure declared by beneficiaries to the managing authority	Number of operations selected
1	ERDF	More developed	062	01	07	07	01		13	UKF	5,469,993.00	2,735,001.00	5,168,496.00	1
1	ERDF	More developed	062	01	07	07	01		20	UKF	17,109,000.00	10,405,338.00	11,465,896.00	4
1	ERDF	More developed	062	01	07	07	01		24	UKC	3,063,092.00	2,736,135.00	1,808,175.00	1
1	ERDF	More developed	062	01	07	07	01		24	UKD	28,024,439.00	26,669,538.00	11,406,836.00	6
1	ERDF	More developed	062	01	07	07	01		24	UKE	209,553.00	209,553.00	15,880.00	1
1	ERDF	More developed	062	01	07	07	01		24	UKF	25,210,467.00	19,804,597.00	23,306,479.00	2
1	ERDF	More developed	062	01	07	07	01		24	UKG	997,866.00	997,866.00	440,965.00	1
1	ERDF	More developed	062	01	07	07	01		24	UKH	4,388,956.00	4,281,450.00	3,144,058.00	2
1	ERDF	More developed	062	01	07	07	01		24	UKI	4,168,446.00	2,084,223.00	797,469.00	1
1	ERDF	More developed	062	01	07	07	01		24	UKJ	32,389,095.00	31,742,513.00	17,225,559.00	4
1	ERDF	More developed	062	01	07	07	01		24	UKK	2,054,509.00	1,786,758.00	1,202,496.00	1
1	ERDF	More developed	063	01	07	01	01		24	UKI	8,395,796.00	5,901,923.00	5,321,936.00	1
1	ERDF	More developed	063	01	07	07	01		24	UKC	4,681,487.00	2,547,235.00	2,697,141.00	2
1	ERDF	More developed	064	01	07	01	01		24	UKC	1,946,604.00	1,778,531.00	447,439.00	1
1	ERDF	More developed	064	01	07	01	01		24	UKI	1,128,668.00	1,128,668.00	1,110,957.00	1
1	ERDF	More developed	064	01	07	07	01		06	UKG	11,030,074.00	11,030,074.00	5,674,584.00	1
1	ERDF	More developed	064	01	07	07	01		07	UKF	7,642,939.00	4,762,459.00	4,973,363.00	3
1	ERDF	More developed	064	01	07	07	01		07	UKG	40,817,472.00	28,634,305.00	29,736,718.00	10
1	ERDF	More developed	064	01	07	07	01		13	UKF	597,383.00	542,049.00	553,608.00	1
1	ERDF	More developed	064	01	07	07	01		20	UKK	2,565,319.00	1,351,174.00	2,248,480.00	2
1	ERDF	More developed	064	01	07	07	01		22	UKH	2,421,752.00	1,210,875.00	2,047,870.00	1
1	ERDF	More developed	064	01	07	07	01		24	UKC	10,946,685.00	8,619,967.00	9,985,178.00	10
1	ERDF	More developed	064	01	07	07	01		24	UKE	21,367,592.00	15,184,776.00	12,277,095.00	9
1	ERDF	More developed	064	01	07	07	01		24	UKF	11,850,887.00	9,813,927.00	6,736,896.00	4
1	ERDF	More developed	064	01	07	07	01		24	UKG	52,699,695.00	37,501,476.00	21,323,368.00	12
1	ERDF	More developed	064	01	07	07	01		24	UKH	14,853,695.00	8,882,295.00	12,014,194.00	3
1	ERDF	More developed	064	01	07	07	01		24	UKJ	22,681,188.00	13,120,328.00	17,317,425.00	4
1	ERDF	More developed	064	01	07	07	01		24	UKK	21,680,883.00	13,339,362.00	13,145,935.00	10
1	ERDF	More developed	064	07	07	07	01		07	UKG	3,010,925.00	3,010,925.00	2,522,163.00	1
1	ERDF	More developed	065	01	07	01	01		20	UKI	2,594,841.00	2,594,841.00	1,442,062.00	1
1	ERDF	More developed	065	01	07	01	01		24	UKI	5,605,970.00	5,571,279.00	1,277,613.00	2
1	ERDF	More developed	065	01	07	07	01		01	UKK	1,740,875.00	1,707,015.00	210,665.00	1

Priority axis	Characteristics of expenditure		Categorisation dimensions								Financial data			
	Fund	Category of region	Intervention field	Form of finance	Territorial dimension	Territorial delivery mechanism	Thematic objective dimension	ESF secondary theme	Economic dimension	Location dimension	Total eligible cost of operations selected for support	Public eligible cost of operations selected for support	Total eligible expenditure declared by beneficiaries to the managing authority	Number of operations selected
1	ERDF	More developed	065	01	07	07	01		06	UKG	4,380,033.00	3,069,362.00	2,160,958.00	1
1	ERDF	More developed	065	01	07	07	01		07	UKF	8,058,690.00	5,769,670.00	3,807,330.00	1
1	ERDF	More developed	065	01	07	07	01		07	UKG	18,953,500.00	17,565,393.00	12,389,306.00	4
1	ERDF	More developed	065	01	07	07	01		24	UKC	484,455.00	442,709.00	484,455.00	1
1	ERDF	More developed	065	01	07	07	01		24	UKD	2,360,385.00	2,317,691.00	2,524,126.00	2
1	ERDF	More developed	065	01	07	07	01		24	UKE	178,925.00	89,462.00	0.00	1
1	ERDF	More developed	065	01	07	07	01		24	UKH	8,261,493.00	6,446,957.00	1,871,819.00	2
1	ERDF	More developed	065	07	07	07	01		07	UKF	5,203,829.00	2,601,914.00	2,801,982.00	1
1	ERDF	More developed	065	07	07	07	01		07	UKG	2,168,509.00	2,062,906.00	683,207.00	1
1	ERDF	More developed	065	07	07	07	01		24	UKF	1,690,279.00	857,548.00	306,591.00	1
1	ERDF	More developed	067	01	07	01	01		24	UKI	5,540,101.00	5,540,101.00	3,269,355.00	2
1	ERDF	More developed	067	01	07	07	01		07	UKD	4,993,486.00	4,785,196.00	348,394.00	1
1	ERDF	More developed	067	01	07	07	01		07	UKG	3,801,758.00	3,801,758.00	1,475,409.00	1
1	ERDF	More developed	067	01	07	07	01		24	UKC	9,052,963.00	7,598,505.00	4,433,911.00	4
1	ERDF	More developed	067	01	07	07	01		24	UKD	21,673,292.00	20,802,909.00	18,522,475.00	6
1	ERDF	More developed	067	01	07	07	01		24	UKG	4,223,847.00	4,223,847.00	2,835,929.00	2
1	ERDF	More developed	067	01	07	07	01		24	UKH	2,192,532.00	2,192,532.00	2,192,676.00	1
1	ERDF	More developed	067	01	07	07	01		24	UKI	1,628,869.00	1,628,869.00	933,958.00	1
1	ERDF	More developed	067	01	07	07	01		24	UKJ	3,357,730.00	3,199,166.00	3,174,519.00	2
1	ERDF	More developed	067	01	07	07	01		24	UKK	6,361,472.00	5,211,940.00	2,656,930.00	3
1	ERDF	More developed	067	03	07	07	01		24	UKD	17,259,340.00	9,375,472.00	17,263,370.00	1
1	ERDF	More developed	067	04	07	07	01		24	UKD	84,496,613.00	54,489,840.00	43,697,516.00	3
1	ERDF	More developed	067	04	07	07	01		24	UKF	6,883,654.00	3,715,337.00	6,879,044.00	1
10	ERDF	Less developed	088	01	07	07	08		24	UK	1,470,454.00	1,470,454.00	39,643.00	1
10	ERDF	Transition	088	01	07	07	08		24	UK	24,859,992.00	24,859,992.00	980,353.00	1
10	ERDF	More developed	088	01	07	07	08		24	UK	95,425,236.00	95,425,236.00	4,027,319.00	1
2	ERDF	Less developed	046	01	07	03	02		13	UKK	19,317,122.00	18,220,194.00	15,260,420.00	3
2	ERDF	Less developed	082	01	07	03	02		13	UKK	4,295,813.00	3,436,651.00	4,295,813.00	1
2	ERDF	Transition	046	01	07	07	02		13	UKE	3,712,859.00	2,427,306.00	3,556,321.00	2
2	ERDF	Transition	046	01	07	07	02		13	UKG	1,706,710.00	1,706,710.00	1,153,323.00	1
2	ERDF	Transition	046	01	07	07	02		24	UKF	5,304,742.00	5,304,742.00	0.00	1
2	ERDF	Transition	046	01	07	07	02		24	UKK	6,772,014.00	6,772,014.00	3,091,275.00	1
2	ERDF	Transition	082	01	07	07	02		13	UKD	942,437.00	942,437.00	497,518.00	1
2	ERDF	Transition	082	01	07	07	02		13	UKG	763,574.00	715,041.00	158,796.00	1
2	ERDF	Transition	082	01	07	07	02		24	UKC	247,756.00	108,007.00	263,823.00	1

Priority axis	Characteristics of expenditure		Categorisation dimensions								Financial data			
	Fund	Category of region	Intervention field	Form of finance	Territorial dimension	Territorial delivery mechanism	Thematic objective dimension	ESF secondary theme	Economic dimension	Location dimension	Total eligible cost of operations selected for support	Public eligible cost of operations selected for support	Total eligible expenditure declared by beneficiaries to the managing authority	Number of operations selected
2	ERDF	Transition	082	01	07	07	02		24	UKD	2,762,940.00	2,762,940.00	1,295,233.00	1
2	ERDF	Transition	082	01	07	07	02		24	UKE	8,751,686.00	5,289,497.00	3,514,088.00	2
2	ERDF	Transition	082	01	07	07	02		24	UKF	1,781,718.00	1,379,130.00	1,087,934.00	2
2	ERDF	More developed	046	01	07	01	02		13	UKH	1,307,553.00	1,307,553.00	1,284,234.00	1
2	ERDF	More developed	046	01	07	01	02		24	UKD	6,707,449.00	6,707,449.00	232,556.00	1
2	ERDF	More developed	046	01	07	07	02		13	UKE	22,210,053.00	22,168,618.00	21,896,153.00	3
2	ERDF	More developed	046	01	07	07	02		13	UKG	14,101,140.00	13,359,451.00	8,397,258.00	3
2	ERDF	More developed	046	01	07	07	02		13	UKH	3,811,421.00	3,517,967.00	2,202,086.00	2
2	ERDF	More developed	046	01	07	07	02		24	UKG	2,544,958.00	2,544,958.00	2,544,958.00	1
2	ERDF	More developed	046	01	07	07	02		24	UKK	2,313,769.00	2,313,769.00	0.00	1
2	ERDF	More developed	082	01	07	01	02		13	UKI	3,160,343.00	3,160,343.00	2,439,368.00	1
2	ERDF	More developed	082	01	07	01	02		24	UKI	1,292,549.00	1,292,549.00	211,598.00	1
2	ERDF	More developed	082	01	07	07	02		13	UKF	22,859,164.00	13,470,212.00	17,808,566.00	5
2	ERDF	More developed	082	01	07	07	02		13	UKG	1,985,215.00	1,546,728.00	708,174.00	2
2	ERDF	More developed	082	01	07	07	02		24	UKD	1,242,663.00	1,242,663.00	1,242,390.00	1
2	ERDF	More developed	082	01	07	07	02		24	UKE	33,173,217.00	18,956,810.00	17,597,650.00	2
2	ERDF	More developed	082	01	07	07	02		24	UKF	5,544,600.00	3,279,188.00	1,861,127.00	3
2	ERDF	More developed	082	01	07	07	02		24	UKG	4,293,823.00	4,269,444.00	233,949.00	1
3	ERDF	Less developed	001	01	07	03	03		07	UKK	706,896.00	565,516.00	250,156.00	1
3	ERDF	Less developed	001	01	07	03	03		08	UKK	12,394,949.00	12,394,949.00	1,067,967.00	2
3	ERDF	Less developed	001	01	07	03	03		23	UKK	3,692,071.00	3,463,515.00	1,999,323.00	1
3	ERDF	Less developed	001	01	07	03	03		24	UKK	4,733,522.00	4,304,629.00	2,552,282.00	2
3	ERDF	Less developed	056	01	07	03	03		08	UKK	6,496,390.00	6,496,390.00	670,329.00	1
3	ERDF	Less developed	056	01	07	03	03		24	UKK	7,270,763.00	7,270,763.00	5,836,406.00	2
3	ERDF	Less developed	056	04	07	03	03		24	UKK	22,573,363.00	20,880,361.00	11,286,681.00	1
3	ERDF	Less developed	066	01	07	03	03		07	UKK	1,054,264.00	814,958.00	1,054,261.00	1
3	ERDF	Less developed	066	01	07	03	03		24	UKK	17,987,517.00	16,351,817.00	16,409,649.00	6
3	ERDF	Less developed	067	01	07	03	03		07	UKK	159,453.00	127,562.00	209,458.00	1
3	ERDF	Less developed	067	01	07	03	03		23	UKK	2,797,098.00	2,599,581.00	2,797,098.00	1
3	ERDF	Less developed	067	01	07	03	03		24	UKK	79,076,275.00	61,710,244.00	63,849,081.00	17
3	ERDF	Less developed	072	01	07	03	03		08	UKK	33,140,522.00	23,423,233.00	32,467,444.00	6
3	ERDF	Less developed	072	01	07	03	03		24	UKK	22,198,012.00	14,840,858.00	14,154,319.00	3
3	ERDF	Less developed	073	01	07	03	03		24	UKK	8,974,838.00	4,628,086.00	2,535,059.00	1

Priority axis	Characteristics of expenditure		Categorisation dimensions								Financial data			
	Fund	Category of region	Intervention field	Form of finance	Territorial dimension	Territorial delivery mechanism	Thematic objective dimension	ESF secondary theme	Economic dimension	Location dimension	Total eligible cost of operations selected for support	Public eligible cost of operations selected for support	Total eligible expenditure declared by beneficiaries to the managing authority	Number of operations selected
3	ERDF	Transition	001	01	07	07	03		07	UKF	4,805,270.00	2,883,162.00	4,358,657.00	1
3	ERDF	Transition	001	01	07	07	03		07	UKG	31,163,711.00	14,727,132.00	11,294,367.00	3
3	ERDF	Transition	001	01	07	07	03		15	UKG	1,010,136.00	1,010,136.00	1,509,365.00	1
3	ERDF	Transition	001	01	07	07	03		24	UKC	22,872,790.00	20,888,660.00	6,174,588.00	8
3	ERDF	Transition	001	01	07	07	03		24	UKD	10,896,570.00	10,474,493.00	7,870,974.00	2
3	ERDF	Transition	001	01	07	07	03		24	UKE	22,883,303.00	15,106,649.00	4,471,885.00	4
3	ERDF	Transition	001	01	07	07	03		24	UKF	21,122,272.00	16,364,069.00	15,697,646.00	6
3	ERDF	Transition	001	01	07	07	03		24	UKG	57,571,144.00	29,398,028.00	38,942,079.00	15
3	ERDF	Transition	001	01	07	07	03		24	UKH	1,504,890.00	934,922.00	22,558.00	1
3	ERDF	Transition	001	01	07	07	03		24	UKK	3,036,803.00	2,744,910.00	1,279,413.00	4
3	ERDF	Transition	001	04	07	07	03		24	UKF	73,425,647.00	39,630,262.00	72,338,586.00	1
3	ERDF	Transition	001	07	07	07	03		24	UKG	416,505.00	208,252.00	382,174.00	1
3	ERDF	Transition	056	01	07	07	03		24	UKD	7,310,151.00	5,226,875.00	3,474,058.00	2
3	ERDF	Transition	056	01	07	07	03		24	UKG	15,989,332.00	15,989,332.00	2,399,523.00	1
3	ERDF	Transition	063	01	07	07	03		12	UKF	1,483,449.00	889,030.00	325,045.00	1
3	ERDF	Transition	063	01	07	07	03		24	UKE	564,937.00	564,937.00	524,308.00	1
3	ERDF	Transition	066	01	07	07	03		13	UKD	950,902.00	570,541.00	100,315.00	1
3	ERDF	Transition	066	01	07	07	03		24	UKC	14,139,435.00	9,887,814.00	9,358,471.00	4
3	ERDF	Transition	066	01	07	07	03		24	UKD	27,203,100.00	12,756,148.00	2,396,786.00	3
3	ERDF	Transition	066	01	07	07	03		24	UKE	7,677,560.00	6,524,770.00	7,482,129.00	2
3	ERDF	Transition	066	01	07	07	03		24	UKF	3,591,843.00	2,154,863.00	2,212,421.00	1
3	ERDF	Transition	066	01	07	07	03		24	UKG	373,121.00	373,121.00	378,560.00	2
3	ERDF	Transition	066	01	07	07	03		24	UKK	4,959,768.00	4,124,064.00	3,477,686.00	2
3	ERDF	Transition	067	01	07	01	03		07	UKG	123,525.00	115,061.00	30,394.00	1
3	ERDF	Transition	067	01	07	07	03		05	UKC	290,134.00	215,909.00	276,331.00	1
3	ERDF	Transition	067	01	07	07	03		07	UKC	162,187.00	97,312.00	162,732.00	1
3	ERDF	Transition	067	01	07	07	03		07	UKF	15,951,446.00	5,499,941.00	12,110,384.00	1
3	ERDF	Transition	067	01	07	07	03		07	UKG	1,553,896.00	1,553,896.00	1,458,866.00	1
3	ERDF	Transition	067	01	07	07	03		07	UKK	1,988,101.00	1,192,860.00	1,619,421.00	2
3	ERDF	Transition	067	01	07	07	03		13	UKC	261,768.00	233,405.00	195,656.00	1
3	ERDF	Transition	067	01	07	07	03		20	UKD	2,050,490.00	2,050,490.00	41,213.00	1
3	ERDF	Transition	067	01	07	07	03		23	UKC	2,059,869.00	1,977,224.00	809,165.00	3
3	ERDF	Transition	067	01	07	07	03		24	UKC	108,980,527.00	57,643,483.00	72,686,882.00	21
3	ERDF	Transition	067	01	07	07	03		24	UKD	125,745,783.00	98,013,597.00	94,678,848.00	28
3	ERDF	Transition	067	01	07	07	03		24	UKE	48,326,007.00	37,097,353.00	22,643,081.00	12
3	ERDF	Transition	067	01	07	07	03		24	UKF	4,856,402.00	3,007,041.00	4,447,151.00	3
3	ERDF	Transition	067	01	07	07	03		24	UKG	8,209,169.00	7,101,221.00	6,225,014.00	4
3	ERDF	Transition	067	01	07	07	03		24	UKK	7,958,238.00	6,340,494.00	4,234,474.00	6
3	ERDF	Transition	067	03	07	07	03		24	UKC	31,791,786.00	18,166,735.00	23,843,841.00	1
3	ERDF	Transition	067	03	07	07	03		24	UKD	169,627,898.00	92,143,829.00	169,610,488.00	1
3	ERDF	Transition	067	04	07	07	03		24	UKD	55,175,319.00	33,300,225.00	13,793,829.00	2
3	ERDF	Transition	067	07	07	07	03		24	UKF	1,068,196.00	640,917.00	627,043.00	1
3	ERDF	Transition	072	01	07	07	03		08	UKE	9,634,910.00	7,014,270.00	6,302,340.00	2
3	ERDF	Transition	072	01	07	07	03		08	UKK	6,384,812.00	6,384,812.00	5,958,388.00	1
3	ERDF	Transition	072	01	07	07	03		17	UKE	5,575,468.00	5,575,468.00	3,185,139.00	1
3	ERDF	Transition	072	01	07	07	03		17	UKK	5,677,872.00	5,677,872.00	5,569,511.00	1
3	ERDF	Transition	072	01	07	07	03		18	UKK	1,746,068.00	1,723,495.00	1,762,805.00	1
3	ERDF	Transition	072	01	07	07	03		24	UKC	3,576,058.00	3,576,058.00	3,218,452.00	1
3	ERDF	Transition	072	01	07	07	03		24	UKD	13,837,550.00	6,989,985.00	13,837,547.00	3
3	ERDF	Transition	072	01	07	07	03		24	UKE	25,484,646.00	10,019,562.00	22,885,071.00	5
3	ERDF	Transition	072	01	07	07	03		24	UKF	3,367,801.00	1,683,899.00	2,787,661.00	1
3	ERDF	Transition	072	01	07	07	03		24	UKG	9,385,823.00	7,146,533.00	4,535,102.00	3
3	ERDF	Transition	072	01	07	07	03		24	UKK	5,116,142.00	5,069,641.00	4,262,155.00	1

Priority axis	Characteristics of expenditure		Categorisation dimensions								Financial data			
	Fund	Category of region	Intervention field	Form of finance	Territorial dimension	Territorial delivery mechanism	Thematic objective dimension	ESF secondary theme	Economic dimension	Location dimension	Total eligible cost of operations selected for support	Public eligible cost of operations selected for support	Total eligible expenditure declared by beneficiaries to the managing authority	Number of operations selected
3	ERDF	Transition	073	01	07	07	03		24	UKD	5,292,693.00	5,292,693.00	3,487,425.00	1
3	ERDF	Transition	073	01	07	07	03		24	UKE	5,546,588.00	3,327,955.00	1,914,527.00	2
3	ERDF	More developed	001	01	07	07	03		01	UKG	1,692,364.00	1,421,483.00	743,184.00	1
3	ERDF	More developed	001	01	07	07	03		03	UKF	12,000,511.00	8,011,275.00	9,389,238.00	2
3	ERDF	More developed	001	01	07	07	03		05	UKF	1,437,395.00	1,437,395.00	1,167,795.00	1
3	ERDF	More developed	001	01	07	07	03		07	UKF	17,849,917.00	8,924,958.00	17,338,458.00	1
3	ERDF	More developed	001	01	07	07	03		07	UKG	65,288,783.00	31,839,136.00	35,465,998.00	4
3	ERDF	More developed	001	01	07	07	03		15	UKF	2,847,646.00	1,760,390.00	2,433,604.00	1
3	ERDF	More developed	001	01	07	07	03		18	UKG	16,001,834.00	9,351,197.00	7,528,669.00	3
3	ERDF	More developed	001	01	07	07	03		23	UKE	1,012,992.00	947,472.00	65,519.00	1
3	ERDF	More developed	001	01	07	07	03		23	UKF	9,951,433.00	6,321,613.00	7,469,098.00	1
3	ERDF	More developed	001	01	07	07	03		24	UKC	51,211,508.00	20,373,410.00	8,664,185.00	5
3	ERDF	More developed	001	01	07	07	03		24	UKD	6,100,632.00	5,561,868.00	5,838,577.00	2
3	ERDF	More developed	001	01	07	07	03		24	UKE	31,987,876.00	21,512,834.00	6,567,131.00	6
3	ERDF	More developed	001	01	07	07	03		24	UKF	91,367,242.00	64,352,048.00	70,783,140.00	16
3	ERDF	More developed	001	01	07	07	03		24	UKG	76,320,181.00	43,394,492.00	62,659,044.00	20
3	ERDF	More developed	001	01	07	07	03		24	UKH	40,885,132.00	21,293,922.00	3,919,470.00	3
3	ERDF	More developed	001	01	07	07	03		24	UKJ	3,676,983.00	2,667,331.00	139,368.00	2
3	ERDF	More developed	001	01	07	07	03		24	UKK	12,923,444.00	11,912,158.00	3,980,695.00	10
3	ERDF	More developed	001	04	07	07	03		24	UKF	204,788,722.00	110,531,278.00	205,886,327.00	1
3	ERDF	More developed	001	07	07	07	03		24	UKG	885,072.00	442,536.00	808,963.00	1
3	ERDF	More developed	056	01	07	07	03		07	UKF	2,454,343.00	1,153,123.00	2,347,744.00	1
3	ERDF	More developed	056	01	07	07	03		24	UKD	5,354,527.00	5,354,527.00	5,310,429.00	1
3	ERDF	More developed	056	01	07	07	03		24	UKE	3,214,901.00	2,103,782.00	3,189,344.00	1
3	ERDF	More developed	056	01	07	07	03		24	UKH	15,028,898.00	15,028,898.00	12,873,613.00	1
3	ERDF	More developed	056	01	07	07	03		24	UKJ	166,962.00	166,962.00	166,962.00	1
3	ERDF	More developed	063	01	07	07	03		24	UKK	1,638,604.00	1,638,604.00	775,257.00	1
3	ERDF	More developed	066	01	07	01	03		13	UKI	8,304,632.00	4,582,579.00	8,304,122.00	1
3	ERDF	More developed	066	01	07	01	03		23	UKI	37,595,073.00	24,131,032.00	31,343,308.00	7
3	ERDF	More developed	066	01	07	01	03		24	UKI	34,681,955.00	26,276,861.00	28,300,388.00	5
3	ERDF	More developed	066	01	07	07	03		07	UKE	5,891,821.00	4,660,444.00	2,609,833.00	1
3	ERDF	More developed	066	01	07	07	03		07	UKK	1,127,200.00	563,600.00	836,153.00	1
3	ERDF	More	066	01	07	07	03		18	UKG	7,971,107.00	7,971,107.00	7,423,970.00	1

Priority axis	Characteristics of expenditure		Categorisation dimensions								Financial data			
	Fund	Category of region	Intervention field	Form of finance	Territorial dimension	Territorial delivery mechanism	Thematic objective dimension	ESF secondary theme	Economic dimension	Location dimension	Total eligible cost of operations selected for support	Public eligible cost of operations selected for support	Total eligible expenditure declared by beneficiaries to the managing authority	Number of operations selected
		developed												
3	ERDF	More developed	066	01	07	07	03		23	UKH	5,821,609.00	3,590,058.00	5,665,687.00	1
3	ERDF	More developed	066	01	07	07	03		24	UKD	3,183,905.00	2,445,831.00	1,372,073.00	3
3	ERDF	More developed	066	01	07	07	03		24	UKE	9,310,536.00	7,498,387.00	8,938,948.00	1
3	ERDF	More developed	066	01	07	07	03		24	UKF	3,784,781.00	2,326,266.00	2,763,620.00	2
3	ERDF	More developed	066	01	07	07	03		24	UKG	3,961,541.00	3,954,160.00	3,331,310.00	3
3	ERDF	More developed	066	01	07	07	03		24	UKH	42,489,265.00	27,868,780.00	27,505,006.00	5
3	ERDF	More developed	066	01	07	07	03		24	UKJ	12,331,966.00	7,023,091.00	11,105,037.00	4
3	ERDF	More developed	066	01	07	07	03		24	UKK	13,771,486.00	13,191,351.00	13,763,219.00	2
3	ERDF	More developed	067	01	07	01	03		07	UKG	1,111,730.00	1,035,544.00	661,800.00	1
3	ERDF	More developed	067	01	07	01	03		24	UKI	20,021,542.00	13,157,395.00	15,761,911.00	8
3	ERDF	More developed	067	01	07	07	03		05	UKC	1,020,483.00	694,146.00	992,304.00	1
3	ERDF	More developed	067	01	07	07	03		07	UKC	679,513.00	339,756.00	640,952.00	1
3	ERDF	More developed	067	01	07	07	03		07	UKE	2,864,769.00	2,053,257.00	350,388.00	1
3	ERDF	More developed	067	01	07	07	03		07	UKK	6,358,595.00	3,179,297.00	5,383,471.00	3
3	ERDF	More developed	067	01	07	07	03		13	UKC	2,355,941.00	2,082,933.00	2,308,725.00	1
3	ERDF	More developed	067	01	07	07	03		13	UKE	3,244,126.00	2,226,852.00	1,684,453.00	1
3	ERDF	More developed	067	01	07	07	03		18	UKK	1,936,832.00	1,513,581.00	772,652.00	1
3	ERDF	More developed	067	01	07	07	03		23	UKC	2,422,849.00	2,130,262.00	2,384,565.00	2
3	ERDF	More developed	067	01	07	07	03		23	UKF	1,502,528.00	1,308,123.00	0.00	1
3	ERDF	More developed	067	01	07	07	03		23	UKK	1,128,668.00	1,128,668.00	1,133,370.00	1
3	ERDF	More developed	067	01	07	07	03		24	UKC	52,208,061.00	34,676,032.00	41,390,611.00	17
3	ERDF	More developed	067	01	07	07	03		24	UKD	83,736,464.00	73,954,789.00	68,726,885.00	22
3	ERDF	More developed	067	01	07	07	03		24	UKE	39,961,344.00	29,647,877.00	26,209,879.00	10
3	ERDF	More developed	067	01	07	07	03		24	UKF	20,613,075.00	11,227,800.00	17,612,203.00	5
3	ERDF	More developed	067	01	07	07	03		24	UKG	77,050,028.00	36,687,844.00	51,087,747.00	8
3	ERDF	More developed	067	01	07	07	03		24	UKH	112,529,166.00	69,475,508.00	72,182,400.00	20
3	ERDF	More developed	067	01	07	07	03		24	UKI	14,185,512.00	7,263,881.00	4,908,822.00	2
3	ERDF	More developed	067	01	07	07	03		24	UKJ	32,234,025.00	21,882,129.00	11,623,648.00	10
3	ERDF	More developed	067	01	07	07	03		24	UKK	53,210,035.00	40,954,001.00	41,906,262.00	18
3	ERDF	More developed	067	03	07	07	03		24	UKC	112,716,339.00	64,409,336.00	84,537,253.00	1
3	ERDF	More developed	067	03	07	07	03		24	UKD	206,457,665.00	112,150,182.00	206,466,188.00	1
3	ERDF	More	067	03	07	07	03		24	UKI	112,866,817.00	56,433,408.00	56,433,408.00	1

Priority axis	Characteristics of expenditure		Categorisation dimensions								Financial data			
	Fund	Category of region	Intervention field	Form of finance	Territorial dimension	Territorial delivery mechanism	Thematic objective dimension	ESF secondary theme	Economic dimension	Location dimension	Total eligible cost of operations selected for support	Public eligible cost of operations selected for support	Total eligible expenditure declared by beneficiaries to the managing authority	Number of operations selected
		developed												
3	ERDF	More developed	067	04	07	07	03		24	UKD	13,237,019.00	6,835,213.00	3,309,254.00	2
3	ERDF	More developed	067	07	07	07	03		24	UKF	3,903,090.00	1,951,545.00	2,576,720.00	1
3	ERDF	More developed	067	07	07	07	03		24	UKG	4,142,185.00	3,690,390.00	1,913,311.00	1
3	ERDF	More developed	072	01	07	07	03		07	UKD	1,579,625.00	1,579,625.00	848,775.00	1
3	ERDF	More developed	072	01	07	07	03		17	UKE	10,871,387.00	5,261,504.00	6,531,354.00	2
3	ERDF	More developed	072	01	07	07	03		23	UKD	7,716,919.00	7,303,423.00	1,800,120.00	1
3	ERDF	More developed	072	01	07	07	03		23	UKF	12,787,810.00	9,398,267.00	10,579,841.00	1
3	ERDF	More developed	072	01	07	07	03		23	UKH	3,093,760.00	2,607,145.00	0.00	1
3	ERDF	More developed	072	01	07	07	03		24	UKD	4,429,819.00	1,579,995.00	4,163,739.00	1
3	ERDF	More developed	072	01	07	07	03		24	UKE	14,793,215.00	9,852,911.00	8,722,442.00	5
3	ERDF	More developed	072	01	07	07	03		24	UKF	14,015,846.00	13,094,853.00	9,135,064.00	3
3	ERDF	More developed	072	01	07	07	03		24	UKG	1,459,006.00	702,180.00	1,383,091.00	1
3	ERDF	More developed	072	01	07	07	03		24	UKK	1,760,654.00	1,760,654.00	1,396,938.00	1
3	ERDF	More developed	073	01	07	07	03		24	UKE	679,886.00	339,939.00	362,720.00	2
3	ERDF	More developed	073	01	07	07	03		24	UKG	3,769,356.00	3,769,356.00	2,807,099.00	1
3	ERDF	More developed	073	01	07	07	03		24	UKK	1,723,246.00	1,329,641.00	1,722,012.00	1
4	ERDF	Less developed	009	01	07	03	04		07	UKK	6,825,089.00	6,678,537.00	503,018.00	1
4	ERDF	Less developed	012	01	07	03	04		08	UKK	5,127,522.00	3,931,134.00	5,127,522.00	1
4	ERDF	Less developed	012	01	07	03	04		10	UKK	25,449,117.00	19,065,003.00	19,452,793.00	2
4	ERDF	Less developed	012	01	07	03	04		24	UKK	35,440,180.00	21,331,828.00	34,141,949.00	1
4	ERDF	Less developed	013	01	07	03	04		01	UKK	968,647.00	810,159.00	0.00	1
4	ERDF	Less developed	013	01	07	03	04		24	UKK	12,729,019.00	10,322,249.00	12,670,186.00	2
4	ERDF	Less developed	014	01	07	03	04		10	UKK	8,577,882.00	7,050,630.00	59,976.00	1
4	ERDF	Less developed	015	01	07	03	04		22	UKK	18,813,278.00	13,092,731.00	18,856,194.00	1
4	ERDF	Less developed	068	01	07	03	04		24	UKK	3,014,731.00	2,460,678.00	3,014,731.00	1
4	ERDF	Transition	009	01	07	07	04		24	UKD	731,241.00	428,013.00	731,241.00	1
4	ERDF	Transition	010	01	07	01	04		24	UKD	2,656,522.00	2,656,522.00	215,337.00	1
4	ERDF	Transition	010	01	07	07	04		10	UKG	1,560,861.00	1,075,985.00	511,567.00	1
4	ERDF	Transition	010	01	07	07	04		18	UKC	12,164,162.00	12,164,162.00	1,131,930.00	2
4	ERDF	Transition	010	01	07	07	04		24	UKE	2,831,954.00	2,831,954.00	1,256,860.00	1
4	ERDF	Transition	010	07	07	07	04		24	UKC	2,079,252.00	1,247,549.00	1,956,833.00	1
4	ERDF	Transition	012	01	07	07	04		10	UKC	13,581.00	10,946.00	11,846.00	1
4	ERDF	Transition	012	01	07	07	04		24	UKC	1,981,280.00	1,981,280.00	991,625.00	1
4	ERDF	Transition	012	01	07	07	04		24	UKD	2,314,955.00	2,314,955.00	2,109,070.00	1
4	ERDF	Transition	012	01	07	07	04		24	UKE	33,153,796.00	33,018,356.00	11,353,142.00	2
4	ERDF	Transition	013	01	07	01	04		24	UKD	1,238,948.00	1,238,948.00	203,184.00	1

Priority axis	Characteristics of expenditure		Categorisation dimensions								Financial data			
	Fund	Category of region	Intervention field	Form of finance	Territorial dimension	Territorial delivery mechanism	Thematic objective dimension	ESF secondary theme	Economic dimension	Location dimension	Total eligible cost of operations selected for support	Public eligible cost of operations selected for support	Total eligible expenditure declared by beneficiaries to the managing authority	Number of operations selected
4	ERDF	Transition	013	01	07	07	04		10	UKF	9,430,211.00	7,716,560.00	9,433,243.00	1
4	ERDF	Transition	013	01	07	07	04		22	UKG	3,784,566.00	3,732,433.00	2,577,502.00	2
4	ERDF	Transition	013	01	07	07	04		24	UKD	4,215,293.00	3,953,097.00	2,054,909.00	2
4	ERDF	Transition	013	01	07	07	04		24	UKK	4,012,415.00	4,012,415.00	514,326.00	1
4	ERDF	Transition	014	01	07	01	04		24	UKD	2,787,031.00	2,787,031.00	0.00	1
4	ERDF	Transition	014	01	07	07	04		18	UKC	2,833,752.00	2,833,752.00	2,833,752.00	1
4	ERDF	Transition	014	01	07	07	04		24	UKD	5,645,522.00	5,645,522.00	4,600,242.00	1
4	ERDF	Transition	014	01	07	07	04		24	UKK	2,816,888.00	2,346,960.00	2,129,031.00	1
4	ERDF	Transition	015	01	07	07	04		10	UKG	18,771,409.00	18,771,409.00	15,847,651.00	1
4	ERDF	Transition	043	01	07	01	04		12	UKD	4,915,392.00	4,915,392.00	3,809.00	1
4	ERDF	Transition	043	01	07	07	04		22	UKK	1,538,205.00	1,538,205.00	90,480.00	1
4	ERDF	Transition	065	01	07	07	04		07	UKE	14,544,092.00	14,544,092.00	9,745,268.00	2
4	ERDF	Transition	065	01	07	07	04		07	UKG	4,727,075.00	3,745,784.00	3,162,078.00	2
4	ERDF	Transition	065	01	07	07	04		10	UKG	1,495,919.00	1,495,919.00	292,601.00	1
4	ERDF	Transition	065	01	07	07	04		22	UKK	2,949,667.00	2,949,667.00	1,552,287.00	1
4	ERDF	Transition	065	01	07	07	04		24	UKD	14,105,495.00	14,105,495.00	9,986,519.00	2
4	ERDF	Transition	065	01	07	07	04		24	UKE	3,045,810.00	1,912,467.00	802,280.00	1
4	ERDF	Transition	065	01	07	07	04		24	UKG	870,115.00	503,151.00	64,951.00	1
4	ERDF	Transition	065	03	07	07	04		24	UKD	35,966,048.00	19,537,172.00	35,966,751.00	1
4	ERDF	Transition	068	01	07	07	04		10	UKC	111,647.00	111,647.00	105,180.00	1
4	ERDF	Transition	068	01	07	07	04		22	UKG	3,062,695.00	906,153.00	234,408.00	1
4	ERDF	Transition	068	01	07	07	04		24	UKC	6,144,827.00	4,022,144.00	3,075,217.00	4
4	ERDF	Transition	068	01	07	07	04		24	UKD	23,117,263.00	20,167,562.00	12,405,347.00	3
4	ERDF	Transition	068	01	07	07	04		24	UKE	5,987,226.00	3,623,832.00	426,629.00	2
4	ERDF	Transition	068	01	07	07	04		24	UKG	3,739,880.00	2,003,402.00	2,246,679.00	4
4	ERDF	Transition	069	01	07	07	04		24	UKD	3,407,420.00	3,017,364.00	1,784,846.00	1
4	ERDF	Transition	069	04	07	07	04		24	UKD	17,553,047.00	10,726,862.00	4,388,261.00	1
4	ERDF	Transition	085	01	07	01	04		24	UKD	16,505,231.00	15,804,623.00	4,063,371.00	1
4	ERDF	Transition	090	01	07	07	04		22	UKD	14,141,921.00	13,409,461.00	8,767,229.00	2
4	ERDF	Transition	090	01	07	07	04		24	UKE	3,727,672.00	3,727,672.00	3,386,367.00	1
4	ERDF	More developed	009	01	07	07	04		24	UKD	443,966.00	259,864.00	443,966.00	1
4	ERDF	More developed	010	01	07	01	04		24	UKD	19,450,841.00	19,450,841.00	264,205.00	1
4	ERDF	More developed	010	01	07	07	04		10	UKG	1,025,525.00	627,305.00	854,228.00	1
4	ERDF	More developed	010	01	07	07	04		22	UKH	4,530,107.00	4,530,107.00	0.00	1
4	ERDF	More developed	010	01	07	07	04		24	UKC	8,696,862.00	6,061,315.00	385,794.00	3
4	ERDF	More developed	010	01	07	07	04		24	UKD	1,564,205.00	1,564,205.00	1,564,205.00	1
4	ERDF	More developed	010	01	07	07	04		24	UKG	1,411,955.00	1,411,955.00	39,807.00	1
4	ERDF	More developed	010	01	07	07	04		24	UKJ	2,487,009.00	1,143,103.00	0.00	1
4	ERDF	More developed	010	01	07	07	04		24	UKK	9,124,884.00	2,869,527.00	0.00	1
4	ERDF	More developed	012	01	07	07	04		10	UKC	36,381,874.00	20,680,396.00	27,688,437.00	3
4	ERDF	More developed	012	01	07	07	04		12	UKE	18,444,050.00	18,444,050.00	15,583,705.00	1
4	ERDF	More developed	012	01	07	07	04		18	UKC	11,022,031.00	9,909,873.00	1,246,072.00	1
4	ERDF	More developed	012	01	07	07	04		22	UKK	2,603,925.00	1,301,962.00	111,187.00	1
4	ERDF	More developed	012	01	07	07	04		24	UKD	6,439,044.00	4,930,630.00	5,590,438.00	2

Priority axis	Characteristics of expenditure		Categorisation dimensions								Financial data			
	Fund	Category of region	Intervention field	Form of finance	Territorial dimension	Territorial delivery mechanism	Thematic objective dimension	ESF secondary theme	Economic dimension	Location dimension	Total eligible cost of operations selected for support	Public eligible cost of operations selected for support	Total eligible expenditure declared by beneficiaries to the managing authority	Number of operations selected
4	ERDF	More developed	012	01	07	07	04		24	UKE	7,357,056.00	7,357,056.00	2,155,522.00	2
4	ERDF	More developed	013	01	07	01	04		22	UKI	4,061,735.00	4,061,735.00	1,776,024.00	1
4	ERDF	More developed	013	01	07	01	04		24	UKI	8,952,534.00	8,952,534.00	5,360,070.00	1
4	ERDF	More developed	013	01	07	07	04		10	UKC	2,618,465.00	2,618,465.00	2,357,476.00	1
4	ERDF	More developed	013	01	07	07	04		18	UKC	3,655,474.00	3,655,474.00	336,450.00	1
4	ERDF	More developed	013	01	07	07	04		22	UKF	14,739,064.00	14,739,064.00	0.00	1
4	ERDF	More developed	013	01	07	07	04		22	UKG	2,480,061.00	2,480,061.00	494,025.00	2
4	ERDF	More developed	013	01	07	07	04		24	UKC	10,283,281.00	10,130,911.00	3,724,498.00	2
4	ERDF	More developed	013	01	07	07	04		24	UKE	1,349,093.00	1,249,601.00	80,926.00	1
4	ERDF	More developed	013	01	07	07	04		24	UKG	6,614,523.00	6,614,523.00	3,663,212.00	1
4	ERDF	More developed	013	04	07	01	04		16	UKI	115,575,620.00	57,787,810.00	115,575,620.00	1
4	ERDF	More developed	014	01	07	01	04		24	UKD	5,546,282.00	3,337,475.00	1,046,346.00	2
4	ERDF	More developed	014	01	07	07	04		08	UKF	3,358,340.00	3,358,340.00	3,357,807.00	1
4	ERDF	More developed	014	01	07	07	04		08	UKG	1,088,049.00	435,219.00	468,584.00	1
4	ERDF	More developed	014	01	07	07	04		10	UKC	11,887,233.00	11,876,278.00	11,887,233.00	1
4	ERDF	More developed	014	01	07	07	04		22	UKF	12,567,705.00	12,567,705.00	4,879,253.00	1
4	ERDF	More developed	014	01	07	07	04		22	UKG	1,176,249.00	1,176,249.00	0.00	1
4	ERDF	More developed	014	01	07	07	04		24	UKC	4,638,590.00	4,638,590.00	0.00	1
4	ERDF	More developed	014	01	07	07	04		24	UKD	15,650,108.00	14,097,492.00	8,648,221.00	1
4	ERDF	More developed	014	01	07	07	04		24	UKE	18,266,058.00	15,066,112.00	3,747.00	2
4	ERDF	More developed	014	01	07	07	04		24	UKG	5,651,336.00	5,651,336.00	2,135,872.00	1
4	ERDF	More developed	036	01	07	07	04		22	UKC	595,297.00	595,297.00	16,018.00	1
4	ERDF	More developed	043	01	07	07	04		12	UKF	11,540,541.00	11,484,515.00	6,921,929.00	1
4	ERDF	More developed	043	01	07	07	04		24	UKE	7,723,484.00	7,723,484.00	6,454,518.00	1
4	ERDF	More developed	043	01	07	07	04		24	UKH	2,296,406.00	857,001.00	32,036.00	1
4	ERDF	More developed	065	01	07	01	04		11	UKE	1,331,717.00	1,331,717.00	171,101.00	1
4	ERDF	More developed	065	01	07	01	04		22	UKI	2,579,593.00	2,579,593.00	1,378,994.00	1
4	ERDF	More developed	065	01	07	01	04		24	UKI	4,808,611.00	3,370,579.00	3,510,466.00	1
4	ERDF	More developed	065	01	07	07	04		07	UKE	537,045.00	537,045.00	434,828.00	1
4	ERDF	More developed	065	01	07	07	04		07	UKG	18,792,180.00	10,105,268.00	15,625,847.00	3
4	ERDF	More developed	065	01	07	07	04		10	UKG	1,828,346.00	1,828,346.00	1,296,190.00	1
4	ERDF	More developed	065	01	07	07	04		24	UKD	24,184,833.00	24,184,833.00	21,896,344.00	3

Priority axis	Characteristics of expenditure		Categorisation dimensions								Financial data			
	Fund	Category of region	Intervention field	Form of finance	Territorial dimension	Territorial delivery mechanism	Thematic objective dimension	ESF secondary theme	Economic dimension	Location dimension	Total eligible cost of operations selected for support	Public eligible cost of operations selected for support	Total eligible expenditure declared by beneficiaries to the managing authority	Number of operations selected
4	ERDF	More developed	065	01	07	07	04		24	UKE	2,779,490.00	1,486,683.00	287,126.00	1
4	ERDF	More developed	065	01	07	07	04		24	UKF	1,969,146.00	1,562,826.00	0.00	1
4	ERDF	More developed	065	01	07	07	04		24	UKG	6,696,694.00	3,430,062.00	3,675,085.00	3
4	ERDF	More developed	065	01	07	07	04		24	UKK	1,588,739.00	1,583,751.00	1,521,193.00	1
4	ERDF	More developed	065	03	07	07	04		24	UKC	13,505,417.00	7,717,381.00	10,129,063.00	1
4	ERDF	More developed	065	03	07	07	04		24	UKD	10,896,590.00	5,919,153.00	10,895,485.00	1
4	ERDF	More developed	068	01	07	01	04		22	UKF	1,826,749.00	913,374.00	114,988.00	1
4	ERDF	More developed	068	01	07	01	04		24	UKF	4,505,620.00	2,551,851.00	311,800.00	1
4	ERDF	More developed	068	01	07	01	04		24	UKI	12,479,116.00	12,064,331.00	4,797,094.00	2
4	ERDF	More developed	068	01	07	07	04		07	UKF	4,499,025.00	2,672,490.00	2,131,968.00	1
4	ERDF	More developed	068	01	07	07	04		10	UKC	3,296,686.00	3,296,686.00	3,087,657.00	1
4	ERDF	More developed	068	01	07	07	04		22	UKG	9,365,753.00	6,709,937.00	3,131,270.00	2
4	ERDF	More developed	068	01	07	07	04		22	UKH	72,732,246.00	38,514,133.00	33,210,002.00	6
4	ERDF	More developed	068	01	07	07	04		22	UKJ	18,566,152.00	9,922,056.00	12,573,361.00	3
4	ERDF	More developed	068	01	07	07	04		22	UKK	5,255,609.00	2,645,704.00	470,142.00	1
4	ERDF	More developed	068	01	07	07	04		24	UKC	1,513,995.00	1,011,173.00	1,102,211.00	1
4	ERDF	More developed	068	01	07	07	04		24	UKD	5,042,295.00	4,976,774.00	1,066,034.00	1
4	ERDF	More developed	068	01	07	07	04		24	UKE	5,520,375.00	3,373,470.00	1,535,941.00	1
4	ERDF	More developed	068	01	07	07	04		24	UKF	19,642,002.00	15,447,270.00	12,781,077.00	4
4	ERDF	More developed	068	01	07	07	04		24	UKG	12,023,641.00	7,352,577.00	8,022,988.00	4
4	ERDF	More developed	068	01	07	07	04		24	UKH	18,755,463.00	11,305,735.00	1,556,347.00	2
4	ERDF	More developed	068	01	07	07	04		24	UKJ	8,456,800.00	4,861,623.00	1,370,182.00	4
4	ERDF	More developed	068	01	07	07	04		24	UKK	28,044,960.00	17,262,874.00	13,268,426.00	4
4	ERDF	More developed	068	04	07	07	04		24	UKD	67,720,090.00	45,993,227.00	28,216,704.00	1
4	ERDF	More developed	068	04	07	07	04		24	UKF	15,297,009.00	8,256,304.00	15,290,107.00	1
4	ERDF	More developed	069	01	07	01	04		18	UKG	4,636,871.00	4,636,871.00	164,170.00	1
4	ERDF	More developed	069	01	07	07	04		08	UKG	1,618,704.00	1,618,704.00	273,955.00	1
4	ERDF	More developed	069	01	07	07	04		24	UKG	12,090,717.00	7,430,163.00	5,387,814.00	1
4	ERDF	More developed	069	03	07	07	04		24	UKH	25,475,742.00	13,161,160.00	12,737,858.00	1
4	ERDF	More developed	069	04	07	07	04		24	UKD	20,009,028.00	10,221,218.00	5,002,256.00	2
4	ERDF	More developed	085	01	07	01	04		24	UKD	1,565,522.00	1,499,069.00	1,002,393.00	1
5	ERDF	Less developed	087	01	07	03	05		22	UKK	24,402,133.00	23,862,630.00	9,232,495.00	3

Priority axis	Characteristics of expenditure		Categorisation dimensions								Financial data			
	Fund	Category of region	Intervention field	Form of finance	Territorial dimension	Territorial delivery mechanism	Thematic objective dimension	ESF secondary theme	Economic dimension	Location dimension	Total eligible cost of operations selected for support	Public eligible cost of operations selected for support	Total eligible expenditure declared by beneficiaries to the managing authority	Number of operations selected
5	ERDF	Transition	087	01	07	07	05		22	UKC	5,277,413.00	5,277,413.00	3,446,863.00	1
5	ERDF	Transition	087	01	07	07	05		22	UKD	52,790,696.00	52,485,369.00	16,483,869.00	5
5	ERDF	Transition	087	01	07	07	05		22	UKE	13,308,319.00	13,308,319.00	11,364,136.00	2
5	ERDF	Transition	087	01	07	07	05		22	UKF	1,532,731.00	1,532,731.00	1,520,206.00	1
5	ERDF	Transition	087	01	07	07	05		24	UKE	16,685,421.00	16,685,421.00	8,169,331.00	3
5	ERDF	Transition	087	01	07	07	05		24	UKG	2,652,610.00	2,652,610.00	0.00	1
5	ERDF	More developed	087	01	07	07	05		11	UKE	8,607,776.00	8,607,776.00	7,741,717.00	1
5	ERDF	More developed	087	01	07	07	05		22	UKC	1,742,173.00	1,742,173.00	12,977.00	1
5	ERDF	More developed	087	01	07	07	05		22	UKE	23,647,219.00	23,647,219.00	14,752,199.00	5
5	ERDF	More developed	087	01	07	07	05		22	UKF	10,407,718.00	10,407,718.00	4,522,758.00	1
5	ERDF	More developed	087	01	07	07	05		24	UKE	16,350,080.00	16,350,080.00	113,631.00	4
6	ERDF	Less developed	069	01	07	03	06		22	UKK	4,929,351.00	4,726,808.00	3,628,870.00	1
6	ERDF	Less developed	085	01	07	03	06		22	UKK	11,770,780.00	11,497,669.00	9,594,980.00	4
6	ERDF	Transition	069	01	07	07	06		07	UKG	1,028,154.00	1,028,154.00	142,671.00	1
6	ERDF	Transition	069	01	07	07	06		24	UKG	522,060.00	366,610.00	391,551.00	1
6	ERDF	Transition	085	01	07	01	06		22	UKD	4,996,846.00	4,420,728.00	2,646,376.00	3
6	ERDF	Transition	085	01	07	01	06		24	UKD	1,386,095.00	1,386,095.00	87,065.00	1
6	ERDF	Transition	085	01	07	07	06		22	UKD	2,177,981.00	1,706,562.00	747,810.00	2
6	ERDF	Transition	085	01	07	07	06		22	UKE	8,939,005.00	8,939,005.00	8,459,381.00	4
6	ERDF	Transition	085	01	07	07	06		22	UKF	1,398,722.00	1,380,115.00	112,847.00	1
6	ERDF	Transition	085	01	07	07	06		22	UKG	8,642,532.00	6,970,677.00	5,047,814.00	4
6	ERDF	Transition	089	01	07	07	06		24	UKD	1,072,019.00	1,072,019.00	258,738.00	1
6	ERDF	More developed	069	01	07	07	06		07	UKG	1,028,154.00	1,028,154.00	230,144.00	1
6	ERDF	More developed	069	01	07	07	06		24	UKG	4,340,386.00	3,232,999.00	3,403,330.00	2
6	ERDF	More developed	085	01	07	01	06		22	UKF	2,371,562.00	2,371,562.00	97,042.00	1
6	ERDF	More developed	085	01	07	01	06		24	UKD	65,706.00	65,706.00	28,922.00	1
6	ERDF	More developed	085	01	07	07	06		01	UKK	1,794,038.00	1,794,038.00	161,483.00	2
6	ERDF	More developed	085	01	07	07	06		15	UKF	1,319,932.00	1,319,932.00	1,319,932.00	1
6	ERDF	More developed	085	01	07	07	06		18	UKG	2,337,039.00	2,300,908.00	1,640,538.00	1
6	ERDF	More developed	085	01	07	07	06		22	UKE	6,044,435.00	6,044,435.00	35,288.00	3
6	ERDF	More developed	085	01	07	07	06		22	UKF	8,792,545.00	8,792,545.00	8,412,737.00	1
6	ERDF	More developed	085	01	07	07	06		22	UKG	24,392,831.00	23,918,720.00	14,788,881.00	5
6	ERDF	More developed	085	01	07	07	06		22	UKK	3,764,092.00	2,598,114.00	1,445,410.00	2
6	ERDF	More developed	085	01	07	07	06		24	UKE	3,765,317.00	3,380,193.00	156,863.00	3
6	ERDF	More developed	085	01	07	07	06		24	UKG	5,476,876.00	4,742,538.00	1,762,172.00	2
6	ERDF	More developed	085	01	07	07	06		24	UKJ	564,334.00	564,334.00	0.00	1
6	ERDF	More developed	085	01	07	07	06		24	UKK	1,646,196.00	1,418,819.00	985,302.00	1
6	ERDF	More developed	089	01	07	07	06		22	UKE	3,105,545.00	3,042,340.00	2,415,146.00	2

Priority axis	Characteristics of expenditure		Categorisation dimensions								Financial data			
	Fund	Category of region	Intervention field	Form of finance	Territorial dimension	Territorial delivery mechanism	Thematic objective dimension	ESF secondary theme	Economic dimension	Location dimension	Total eligible cost of operations selected for support	Public eligible cost of operations selected for support	Total eligible expenditure declared by beneficiaries to the managing authority	Number of operations selected
6	ERDF	More developed	089	01	07	07	06		22	UKF	2,162,981.00	2,162,981.00	0.00	1
6	ERDF	More developed	089	01	07	07	06		22	UKG	2,862,701.00	2,862,701.00	2,696,665.00	2
7	ERDF	Less developed	025	01	07	03	07		24	UKK	17,042,889.00	17,042,889.00	17,042,889.00	1
7	ERDF	Less developed	033	01	07	03	07		12	UKK	274,371,909.00	274,371,909.00	0.00	1
7	ERDF	Less developed	033	01	07	03	07		24	UKK	11,286,681.00	11,286,681.00	11,286,681.00	1
7	ERDF	Less developed	036	01	07	03	07		12	UKK	14,248,435.00	11,490,546.00	4,864,001.00	3
7	ERDF	Less developed	036	01	07	03	07		24	UKK	11,393,905.00	11,393,905.00	11,393,905.00	1
7	ERDF	Less developed	043	01	07	03	07		12	UKK	4,432,280.00	4,364,109.00	340,858.00	2
7	ERDF	Less developed	043	01	07	03	07		22	UKK	3,478,829.00	3,478,829.00	2,742,884.00	1
8	ERDF	Less developed	097	01	07	06	09		21	UKK	17,953.00	17,953.00	17,953.00	1
8	ERDF	Less developed	097	01	07	06	09		24	UKK	12,681,151.00	10,503,950.00	4,046,912.00	1
8	ERDF	Less developed	097	06	07	06	09		21	UKK	54,030.00	54,030.00	54,030.00	3
8	ERDF	Transition	097	01	07	06	09		24	UKC	2,814,482.00	2,739,181.00	282,332.00	4
8	ERDF	Transition	097	01	07	06	09		24	UKE	6,039,675.00	4,936,649.00	3,610,515.00	7
8	ERDF	More developed	097	01	07	06	09		24	UKC	5,001,030.00	4,692,904.00	1,955,381.00	8
8	ERDF	More developed	097	01	07	06	09		24	UKE	16,181,913.00	13,423,379.00	5,924,475.00	15
8	ERDF	More developed	097	01	07	06	09		24	UKH	8,905,994.00	7,384,549.00	2,087,560.00	6
9	ERDF	Less developed	123	01	07	07			24	UK	20,819,557.00	20,819,557.00	18,248,095.00	2
9	ERDF	Less developed	123	01	07	07			24	UKK	1,829,667.00	1,829,667.00	1,711,885.00	3
9	ERDF	Transition	121	01	07	07			24	UKC	117,025.00	117,025.00	18,906.00	1
9	ERDF	Transition	121	01	07	07			24	UKD	140,212.00	140,212.00	99,340.00	1
9	ERDF	Transition	123	01	07	07			24	UK	46,579,263.00	46,579,263.00	23,205,224.00	2
9	ERDF	Transition	123	01	07	07			24	UKC	416,008.00	416,008.00	377,628.00	2
9	ERDF	Transition	123	01	07	07			24	UKD	1,055,001.00	952,992.00	812,546.00	3
9	ERDF	Transition	123	01	07	07			24	UKE	2,418,654.00	2,408,568.00	1,774,122.00	3
9	ERDF	Transition	123	01	07	07			24	UKF	563,504.00	563,504.00	492,477.00	1
9	ERDF	Transition	123	01	07	07			24	UKG	1,587,097.00	1,584,823.00	1,482,732.00	3
9	ERDF	Transition	123	01	07	07			24	UKK	37,986.00	37,986.00	23,521.00	1
9	ERDF	More developed	121	01	07	07			24	UKC	138,008.00	138,008.00	149,550.00	1
9	ERDF	More developed	123	01	07	07			18	UKH	2,181,359.00	1,759,600.00	1,487,108.00	4
9	ERDF	More developed	123	01	07	07			18	UKJ	1,017,061.00	1,017,061.00	878,853.00	4
9	ERDF	More developed	123	01	07	07			24	UK	92,844,532.00	92,844,532.00	36,884,914.00	2
9	ERDF	More developed	123	01	07	07			24	UKC	1,050,288.00	1,050,288.00	974,200.00	1
9	ERDF	More developed	123	01	07	07			24	UKD	1,397,100.00	1,337,783.00	1,070,928.00	4
9	ERDF	More developed	123	01	07	07			24	UKE	786,868.00	771,605.00	357,550.00	4
9	ERDF	More developed	123	01	07	07			24	UKF	2,143,282.00	1,780,418.00	1,791,449.00	6

Priority axis	Characteristics of expenditure		Categorisation dimensions								Financial data			
	Fund	Category of region	Intervention field	Form of finance	Territorial dimension	Territorial delivery mechanism	Thematic objective dimension	ESF secondary theme	Economic dimension	Location dimension	Total eligible cost of operations selected for support	Public eligible cost of operations selected for support	Total eligible expenditure declared by beneficiaries to the managing authority	Number of operations selected
9	ERDF	More developed	123	01	07	07			24	UKG	3,657,183.00	3,431,477.00	3,070,729.00	6
9	ERDF	More developed	123	01	07	07			24	UKI	9,116,956.00	9,116,956.00	6,088,430.00	1
9	ERDF	More developed	123	01	07	07			24	UKK	1,007,756.00	1,007,756.00	962,787.00	6

Table 8: The use made of cross-financing

1	2	3	4	5	6
Use of cross-financing	Priority axis	The amount of EU support envisaged to be used for cross financing based on selected operations (EUR)	As a share of the EU support to the priority axis (%) (3/EU support to priority axis*100)	The amount of EU support used under cross financing based on eligible expenditure declared by the beneficiary to the managing authority (EUR)	As a share of the EU support to the priority axis (%) (5/EU support to priority axis*100)
Costs eligible for support under the ESF, but supported from the ERDF	1	0.00		0.00	
Costs eligible for support under the ESF, but supported from the ERDF	10	0.00		0.00	
Costs eligible for support under the ESF, but supported from the ERDF	2	0.00		0.00	
Costs eligible for support under the ESF, but supported from the ERDF	3	0.00		0.00	
Costs eligible for support under the ESF, but supported from the ERDF	4	0.00		0.00	
Costs eligible for support under the ESF, but supported from the ERDF	5	0.00		0.00	
Costs eligible for support under the ESF, but supported	6	0.00		0.00	

from the ERDF					
Costs eligible for support under the ESF, but supported from the ERDF	7	0.00		0.00	
Costs eligible for support under the ESF, but supported from the ERDF	8	0.00		0.00	
Costs eligible for support under the ESF, but supported from the ERDF	9	0.00		0.00	

Table 9: Cost of operations implemented outside the programme area (the ERDF, the ERDF REACT-EU and the Cohesion Fund under the Investment for growth and jobs goal)

1	2	3	4	5
Priority axis	The amount of EU support envisaged to be used for operations implemented outside the programme area based on selected operations (EUR)	As a share of the EU support to the priority axis at the time of adoption of the programme (%) (2/EU support to priority axis at the time of adoption of the programme*100)	The amount of EU support in operations implemented outside the programme area based on eligible expenditure declared by the beneficiary to the managing authority (EUR)	As a share of the EU support to the priority axis at the time of adoption of the programme (%) (4/EU support to priority axis at the time of adoption of the programme*100)
1	0.00		0.00	
10	0.00		0.00	
2	0.00		0.00	
3	0.00		0.00	
4	0.00		0.00	
5	0.00		0.00	
6	0.00		0.00	
7	0.00		0.00	
8	0.00		0.00	
9	0.00		0.00	

Table 10: Expenditure incurred outside the Union (ESF and ESF REACT-EU)

The amount of expenditure envisaged to be incurred outside the Union under thematic objectives 8 and 10 based on selected operations (EUR)	Share of the total financial allocation (Union and national contribution) to the ESF programme or the ESF part of a multi-fund programme (%) (1/total financial allocation (Union and national contribution) to the ESF programme or the ESF part of a multi-fund programme*100)	Eligible expenditure incurred outside the Union declared by the beneficiary to the managing authority (EUR)	Share of the total financial allocation (Union and national contribution) to the ESF programme or the ESF part of a multi-fund programme (%) (3/total financial allocation (Union and national contribution) to the ESF programme or the ESF part of a multi-fund programme*100)

4. SYNTHESIS OF THE EVALUATIONS

Synthesis of the findings of all evaluations of the programme that have become available during the previous financial year, with reference of name and reference period of the evaluation reports used

No evaluations of the Programme have been undertaken or published in the period covered by this report. Phase 3 of the National Evaluation was delayed due to the pandemic and contractual issues. Late 2021 and early 2022 were focused on re-procuring the phase 3 element of the contract.

We expect the Process Evaluation and Interim Impact Evaluation to be published in the first half of 2022. The full Impact and Economic Evaluation, Phase 3 of the National Evaluation, will begin in Q2 of 2022 also.

In 2021, projects continued to undertake summative assessments and submit them to the MA upon closure, and live projects continued to submit summative assessment monitoring data with their claims. To streamline the process, and reduce the burden on Grant Recipients during the pandemic, the MA amended the required frequency of summative assessment monitoring data from quarterly to annually.

The MA has been supportive of projects that have sought extra time to deliver contracted outputs due to the challenges of the pandemic. This has meant that there will be less summative assessment data available for Phase 3 of the national evaluation as the project level evaluations will not be submitted in time to be analysed; although the numbers of end beneficiaries available to interview is broadly aligned with the estimates indicated in the Phase 3 Method Statement.

Name	Fund	From month	From year	To month	To year	Type of evaluation	Thematic objective	Topic	Findings
------	------	------------	-----------	----------	---------	--------------------	--------------------	-------	----------

6 ISSUES AFFECTING THE PERFORMANCE OF THE PROGRAMME AND MEASURES TAKEN (Article 50(2) of Regulation (EU) No 1303/2013)

(a) Issues which affect the performance of the programme and the measures taken

PA1

The final applications were appraised in 2021. The MA continued to adhere to the guiding principles for the selection of projects, including checking for sufficient regard to Smart Specialisation, and the criteria for investment in incubators, providing further support and guidance if required.

The continuing Covid-19 (C-19) restrictions had an adverse impact on projects, particularly delegated grant schemes where potential SME beneficiaries were more focused on survival than embarking on Research and Development activity. Some projects found it difficult to attract SMEs for innovation grants, or to work face to face with SMEs, resulting in slippage to their spend profiles. This activity is expected to recover during 2022.

PA2

The MA estimates that PF expenditure targets achievement will be 99% in Less Developed and 90% in More Developed and Transition. No further calls are planned in PA2; the reduction in PA2 investment will be reflected in a future programme modification.

The MA anticipates that all CoR PF output targets will be met if there are no further economic shocks to the economy.

PA3

The majority of pipeline applications have been appraised, the remaining will be completed - in 2022. Projects have reprofiled and/or adjusted expenditure and outputs, to account for further C-19 restrictions and the impact to the economy and SMEs. There are strong indications that the economy is in recovery and projects continue to resume to business-as-usual.

Using -EC CRII / CRII+'s flexibilities, the C-19 Response & Recovery Grants (£30m) rolled out in August 2020 and concluded in June 2021. It has been successful in supporting 8,170 SMEs - in adapting.

PA4

The Programmes' Financial Instrument are a key contributor to the Low Carbon Economy investment portfolio. These funds are making a significant contribution to helping places achieve their ambitions to

become carbon neutral; helping decarbonise their economies, increase levels of renewable energy and improve carbon capture.

In terms of renewable energy, the programme is supporting 2 innovative geothermal projects in Cornwall; the United Downs Deep Geothermal Power Project (UDDGP) and the other at the Eden Project. The UDDGP aims to produce power and heat from the hot granite rocks beneath Cornwall at the United Downs Industrial Site near Redruth and provide the first geothermal power plant in the UK. The first phase of Eden Project aims to produce enough heat for Eden's Biomes, offices and nursery greenhouses. Its success will then pave the way for a second well and electricity plant, so that by 2025 Eden should be exporting enough renewable electricity and heat to wipe out its carbon footprint.

PA5

ERDF has been instrumental in unlocking a number of flooding prevention and amelioration projects that are not considered viable when considered by the standard business case process operated by the Environment Agency, which is more focused on housing supply and protection.

In Kendal, Cumbria, the flood defences will reduce the impact of the River Kent flooding, while phase two, not funded by ERDF, will deliver environmental solutions upstream and will provide significant resilience to water management in this area.

Within More Developed activity has occurred along the River Calder and Aire in Leeds City Region. These river valleys are highly prone to extreme flooding and ERDF has been instrumental in delivering flood protection measures.

Within Cornwall the allocation has been maximised with the final project on the Isles of Scilly undertaking dune defence work to help protect coastal surges which are an increasing threat on the islands. The scheme will also safeguard the island's increasingly vulnerable fresh water supplies.

PA6

Our largest live financial investment in PA6 is the Solihull Greening the Grey Project which will open up and enhance existing routes and carry out verge/habitat improvements across the existing cycle network (69km) and new shared paths (23km), delivering 16 hectares of enhanced habitat. It will also deliver 23 individual enhancement schemes - ten woodlands, three wetlands and ten grassland projects within parks and open spaces, improving the habitat and value of a further 55 hectares of council-owned land.

PA7

The PF expenditure target against the contracted projects are on schedule. The Major Project (A30 Carland Cross to Chiverton Cross (Construction Phase) project) contracted at the beginning of 2021 is on-site and making good progress to deliver the spend and output targets required by the programme in 2023.

PA8

CLLD projects have been heavily affected by C-19 which has resulted in significant challenges for the delivery of CLLD activity. Many local project delivery organisations immediately amended their activities to deal with C-19 related community needs which meant that CLLD activity was either paused or unable to continue. It is also proving difficult for delivery momentum to be rebuilt.

CLLD grant recipients reported at the end of 2021 that around 67% of grant allocations have been contracted to local projects.

All CLLD Projects provided joint MA (ERDF and ESF) Delivery Plans in 2021 resulting in projects requesting changes to their expenditure and output profiles and requests to extend their projects to assist with further time to deliver their activities. CLLD projects continue to explore options to assist with performance recovery, including having open calls for projects, seeking and utilising alternative match funding streams and providing grant schemes to meet demand.

Achievement of performance framework targets is being closely monitored and actions are in place to address those where progress has been slower than expected.

PA 9

The MA moved to the new TA 4 % claiming methodology at the end of 2021, the MA does not anticipate any underspend on its TA budget.

PA10

A programme modification for a new PA 10 was approved by the EC to reflect new flexibilities made available as a result of C-19. The only project – approved in October 2020 - under this PA is a £51m Re-opening High Street Fund (RHSSF) managed by, DLUHC. After further Covid-restriction measures, the project was further extended in October 2021 by another nine months until June 2022 and an extra £57m, which brought the total value of the project to c.£107.9m ERDF. The project title was changed to ‘Welcome Back Fund’ (WBF) to better reflect the nature of activities.

Funding is being distributed to circa 300 local authorities (LAs) across England.

At the end of 2021, 99% of local authorities in England targeted by the programme are participating in the RHSSF/ WBF. So far, the LAs have submitted circa £58m worth of claims to the WBF project. Full spend is expected to be achieved in 2022

(b) An assessment of whether progress made towards targets is sufficient to ensure their fulfilment, indicating any remedial actions taken or planned, where appropriate.

PA2

- Non-PF outputs C5 (new enterprises supported) and P4 (additional businesses taking up superfast broadband) 2023 targets will not be achieved across all regions. The assumption that 70% of enterprises supported would be new enterprises is not reflected in demand across all Regions. The MA will continue to work with operations to maximise returns.

PA3

- A more cautious approach has been noted in terms of projects' proposed outputs. As a result, there is a dip in both contracted outputs and performance for the following non-PF targets: P11, C2, C5, C28 & C29, due to a reduced appetite to start a business at the time and SMEs continuing to focus on survival and safeguarding measures. Except for P11 & C28, it is estimated that these non-PF OP targets will not be met. The MA will continue to work with operations to maximise returns.

PA4

As reported previously, a number of projects in the pipeline have not progressed impacting on our ability to achieve 2023 PF financial targets. Many of the low carbon projects such as housing retrofit deliver long term carbon savings, not high-level annual outputs as required under C34 impacting on attainment of 2023 PF output targets. Whilst we are looking to recycle funding to well performing projects the short fall will need to be considered as part of our 2022 operational programme modification.

PA5

- The number SME's benefiting from this enhanced protection is limited, as a result it is unlikely the output target will be delivered for the More Developed Region. The MA is considering how best to manage this shortfall.

PA6

- The investments in the priority axis are making a significant contribution to 2023 PF non-financial targets but due to a number of SUD applications having to be withdrawn means there are short falls in 2023 PF financial targets particularly in the more developed region.

PA8

- Achievement of the 2023 financial/non-financial PF target in the More Developed and Transition areas may not be met, in some CLLD areas delivery momentum is proving extremely difficult to

rebuild. The MA will continue to work with projects to maximise returns.

PA10

- A programme modification for a new PA 10 has been approved by the EC to reflect new flexibilities made available as a result of Covid-19. The associated output is: CV33 - Entities supported in combating COVID-19 pandemic.

99% of local authorities in England targeted by the programme are participating in the RHSSF/ WBF project (the only project approved under this PA) and it is therefore anticipated that the PA10 specific target will be met.

7. CITIZEN'S SUMMARY

A citizen's summary of the contents of the annual and the final implementation reports shall be made public and uploaded as a separate file in the form of annex to the annual and the final implementation report

You can upload/find the Citizen's summary under General > Documents in the SFC2014 application

8. REPORT ON THE IMPLEMENTATION OF FINANCIAL INSTRUMENTS

I. Identification of the programme and priority or measure from which support from the ESI Funds is provided (Article 46(2)(a) of Regulation (EU) No 1303/2013)	
1. Priority axes or measures supporting the financial instrument, including fund of funds, under the ESI Fund programme.	
1.1. Priority axis supporting the financial instrument under the ESI Fund programme	1 - Promoting Research and Innovation
2. Name of ESI Fund(s) supporting the financial instrument under the priority axis or measure	ERDF
3. Thematic objective(s) referred to in the first paragraph of Article 9 of Regulation (EU) No 1303/2013 supported by the financial instrument	01 - Strengthening research, technological development and innovation
3.1. Amount of ESI Funds committed in funding agreements from the individual thematic objective chosen in field 3 (optional)	7,749,363.00
4. other ESI Fund programmes providing contribution to the financial instrument	
4.1. CCI number of each other ESI Fund programme providing contributions to the financial instrument	
30. Date of completion of the ex ante assessment	19-Mar-2019
31. Selection of bodies implementing financial instrument	
31.1. Has selection or designation process already been launched	Yes
II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)	
5. Name of the financial instrument	Cheshire & Warrington Urban Development Fund PA1
6. Official address/place of business of the financial instrument (name of the country and city)	Cheshire East Borough Council, Middlewich Road, Sandbach, Cheshire, CW11 1HZ
7. Implementation arrangements	
7.1. Financial instruments set up at Union level, managed directly or indirectly by the Commission referred to in Article 38(1)(a) of Regulation (EU) No 1303/2013, supported from ESI Fund programme contributions	No
7.1.1. Name of the Union-level financial instrument	
7.2. Financial instrument set up at national, regional, transnational or cross-border level, managed by or under the responsibility of the managing authority referred to in Article 38(1)(b), supported from ESI Fund programme contributions under point (a), (b), (c) and d) of Article 38(4) of Regulation (EU) No 1303/2013	Entrustment of implementation tasks to another body governed by public or private law
7.3. Financial instrument combining financial contribution from MA with EIB financial products under European Fund for Strategic Investment in accordance with Article 39a, referred to in Article 38(1)(c)	
8. Type of the financial instrument	Fund of funds
10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial	Fiduciary account

instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial institution	
III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)	
11. Body implementing the financial instrument	
11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European Investment Bank; the European Investment Fund; international financial institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only)	Body governed by public or private law
11.1.1. Name of the body implementing the financial instrument	Cheshire and Warrington Development Limited Partnership
11.1.2. Official address/place of business (country and town name) of the body implementing the financial instrument	Cheshire East Borough Council, Middlewich Road, Sandbach, Cheshire, CW11 1HZ
12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure	Interadministrative cooperation
12.1. Description of the other procedure of selecting the body implementing the financial instrument	
13. Date of signature of the funding agreement with the body implementing the financial instrument	10-Apr-2019
IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)	
14. Total amount of programme contributions committed in the funding agreement (in EUR)	15,498,726.00
14.1. out of which ESI Funds contributions (in EUR)	7,749,363.00
14.1.1. out of which ERDF (in EUR) (optional)	7,749,363.00
14.1.2. out of which Cohesion Fund (in EUR) (optional)	
14.1.3. out of which ESF (in EUR) (optional)	
14.1.4. out of which EAFRD (in EUR) (optional)	
14.1.5. out of which EMFF (in EUR) (optional)	
15. Total amount of programme contributions paid to the financial instrument (in EUR)	3,913,140.00
15.1. out of which amount of ESI Funds contributions (in EUR)	3,913,140.00
15.1.1. out of which ERDF (in EUR)	3,913,140.00
15.1.2. out of which Cohesion Fund (in EUR)	
15.1.3. out of which ESF (in EUR)	
15.1.4. out of which EAFRD (in EUR)	
15.1.5. out of which EMFF (in EUR)	

15.2. out of which total amount of national co-financing (in EUR)	
15.2.1. out of which total amount of national public funding (in EUR)	
15.2.2. out of which total amount of national private funding (in EUR)	
16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR)	
17. Total amount of management costs and fees paid out of programme contributions (in EUR)	0.00
17.1. out of which base remuneration (in EUR)	
17.2. out of which performance-based remuneration (in EUR)	
21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)	
32. Information whether the financial instrument was still operational at the end of the reporting year	Yes
32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up	
VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)	
35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR)	2,146.00
37. Amounts of resources attributable to ESI Funds used in accordance with Article 44 and 43a	
37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR)	
37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR)	
37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the financial instrument resulting from negative interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in EUR)	
VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR)	
38.1A. Contributions under EIB financial product committed in the funding agreement with the body implementing the financial instrument	

(only for the instruments under Article 38(1)(c)) (in EUR)	
38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR)	
38.2.1. out of which public contributions (EUR)	
38.2.2. out of which private contributions (EUR)	
38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR)	

II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)	
5. Name of the financial instrument	Cheshire & Warrington UDF PA1
6. Official address/place of business of the financial instrument (name of the country and city)	
8. Type of the financial instrument	Fund of funds specific fund
8.2. Related Fund of Funds	Cheshire & Warrington Urban Development Fund PA1
8.1. Tailor-made or financial instruments complying with standard terms and conditions i.e. "off-the-shelf instruments"	Tailor-made
9. Type of products provided by financial instrument: loans, micro-loans, guarantees, equity, or quasi-equity investments, other financial product or other support combined within the financial instrument pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
9.0.1. Loans (\geq EUR 25,000)	Yes
9.0.2. Micro-loans ($<$ EUR 25,000 and provided to micro-enterprises) according to SEC/2011/1134 final	No
9.0.3. Guarantees	No
9.0.4. Equity	No
9.0.5. Quasi-equity	No
9.0.6. Other financial products	No
9.0.7. Other support combined with a financial instrument	No
9.1. Description of the other financial product	
9.2. Other support combined within the financial instrument: grant, interest rate subsidy, guarantee fee subsidy pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial institution	Fiduciary account
III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)	
11. Body implementing the financial instrument	
11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European	Body governed by public or private law

Investment Bank; the European Investment Fund; international financial institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only)	
11.1.1. Name of the body implementing the financial instrument	Cheshire and Warrington Development Limited Partnership
11.1.2. Official address/place of business (country and town name) of the body implementing the financial instrument	Cheshire East Borough Council, Middlewich Road, Sandbach, Cheshire, CW11 1HZ
12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure	Interadministrative cooperation
12.1. Description of the other procedure of selecting the body implementing the financial instrument	
13. Date of signature of the funding agreement with the body implementing the financial instrument	10-Apr-2020
IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)	
14. Total amount of programme contributions committed in the funding agreement (in EUR)	15,498,726.00
14.1. out of which ESI Funds contributions (in EUR)	7,749,363.00
14.1.1. out of which ERDF (in EUR) (optional)	
14.1.2. out of which Cohesion Fund (in EUR) (optional)	
14.1.3. out of which ESF (in EUR) (optional)	
14.1.4. out of which EAFRD (in EUR) (optional)	
14.1.5. out of which EMFF (in EUR) (optional)	
15. Total amount of programme contributions paid to the financial instrument (in EUR)	3,913,140.00
15.1. out of which amount of ESI Funds contributions (in EUR)	1,956,570.00
15.1.1. out of which ERDF (in EUR)	1,956,570.00
15.1.2. out of which Cohesion Fund (in EUR)	
15.1.3. out of which ESF (in EUR)	
15.1.4. out of which EAFRD (in EUR)	
15.1.5. out of which EMFF (in EUR)	
15.2. out of which total amount of national co-financing (in EUR)	1,956,570.00
15.2.1. out of which total amount of national public funding (in EUR)	
15.2.2. out of which total amount of national private funding (in EUR)	1,956,570.00
16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR)	
17. Total amount of management costs and fees paid out of programme contributions (in EUR)	0.00
17.1. out of which base remuneration (in EUR)	
17.2. out of which performance-based remuneration (in EUR)	
18. Capitalised management costs or fees pursuant to Article 42(2) of Regulation (EU) No 1303/2013 (relevant only for final report) (in EUR)	

19. Capitalised interest rate subsidies or guarantee fee subsidies pursuant to Article 42(1)(c) of Regulation (EU) No 1303/2013 (relevant to final report only) (in EUR)	
20. Amount of programme contributions for follow-on investments in final recipients pursuant to Article 42(3) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
V. Total amount of support paid to final recipients, or to the benefit of final recipients, or committed in guarantee contracts by the financial instrument for investments in final recipients, by ESI Funds programme and priority or measure (Article 46(2)(e) of Regulation (EU) No 1303/2013)	
22. <i>Name of financial product offered by the financial instrument</i>	<i>Cheshire & Warrington UDF PA1 Loan Fund</i>
22.1. Type of financial product offered by the financial instrument	Loan
24. Total amount of programme contributions committed in this loan, guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR)	
24.1. out of which total amount of ESI Funds contributions (in EUR)	
25. Total amount of programme contributions paid to final recipients through this loan, micro-loans, equity or other products, or, in the case of guarantee, committed for loans paid to final recipients, by product (in EUR)	
25.1. out of which total amount of ESI Funds contributions (in EUR)	
25.1.1. out of which ERDF (in EUR)	
25.1.2. out of which Cohesion Fund (in EUR)	
25.1.3. out of which ESF (in EUR)	
25.1.4. out of which EAFRD (in EUR)	
25.1.5. out of which EMFF (in EUR)	
25.2. out of which total amount of national public co-financing (in EUR)	
25.3. out of which total amount of national private co-financing (in EUR)	
27. Number of loan/guarantee/equity or quasi-equity/other financial product contracts signed with final recipients, by product	
28. Number of loan/guarantee/equity or quasi-equity/other financial product investments made in final recipients, by product	
29. Number of financial recipients supported by the financial product	
29.1. out of which large enterprises	
29.2. out of which SMEs	
29.2.1. out of which microenterprises	
29.3. out of which individuals/natural persons	
29.4. out of which other type of final recipients supported	
29.4.1. description of other type of final recipients supported	
33. Total number of disbursed loans defaulted or total number of guarantees provided and called due to the loan default	
34. Total amount of disbursed loans defaulted (in EUR) or total amount committed for guarantees provided and called due to loan default (in EUR)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	

38.3. Total amount of other contributions, outside the ESI Funds mobilised at the level of final recipients (in EUR)	
38.3.1. out of which public contributions (EUR)	
38.3.2. out of which private contributions (EUR)	
38.3A. Contribution under EIB financial product mobilised at the level of final recipient (only for the instruments under Article 38(1)(c)) (in EUR)	
39. Expected and achieved leverage effect, by reference to the funding agreement	
39.1. Expected leverage effect for loan/guarantee/equity or quasi-equity investment/other financial product, by reference to the funding agreement, by product	0.00
39.2. Achieved leverage effect at the end of reporting year for loan/guarantee/equity or quasi-equity investment/other financial product, by product	
39.3. Investment mobilised through ESIF financial instruments for loan/guarantee/equity and quasi-equity investment, by product (Optional)	
VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)	
32. Information whether the financial instrument was still operational at the end of the reporting year	Yes
32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up	
VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)	
35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR)	3,001.00
36. Amounts repaid to the financial instrument attributable to support from ESI Funds by the end of the reporting year (in EUR)	
36.1. out of which capital repayments (in EUR)	
36.2. out of which gains, other earnings and yields (in EUR)	
37. Amounts of resources attributable to ESI Funds used in accordance with Article 44 and 43a	
37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR)	
37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR)	
37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the financial instrument resulting from negative interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in EUR)	
VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)	

38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR)	
38.1A. Contributions under EIB financial product committed in the funding agreement with the body implementing the financial instrument (only for the instruments under Article 38(1)(c)) (in EUR)	
38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR)	
38.2.1. out of which public contributions (EUR)	
38.2.2. out of which private contributions (EUR)	
38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR)	
IX. Contribution of the financial instrument to the achievement of the indicators of the priority or measure concerned (Article 46(2)(j) of Regulation (EU) No 1303/2013)	
41. Output indicator (code number and name) to which the financial instrument contributes	P2 - Public or commercial buildings built or renovated
41.1. Target value of the output indicator	11,666.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	0.00

I. Identification of the programme and priority or measure from which support from the ESI Funds is provided (Article 46(2)(a) of Regulation (EU) No 1303/2013)	
1. Priority axes or measures supporting the financial instrument, including fund of funds, under the ESI Fund programme.	
1.1. Priority axis supporting the financial instrument under the ESI Fund programme	3 - Enhancing the Competitiveness of SMEs
2. Name of ESI Fund(s) supporting the financial instrument under the priority axis or measure	ERDF
3. Thematic objective(s) referred to in the first paragraph of Article 9 of Regulation (EU) No 1303/2013 supported by the financial instrument	03 - Enhancing the competitiveness of small and medium-sized enterprises, the agricultural sector (for the EAFRD) and the fisheries and aquaculture sector (for the EMFF)
3.1. Amount of ESI Funds committed in funding agreements from the individual thematic objective chosen in field 3 (optional)	5,535,259.00
4. other ESI Fund programmes providing contribution to the financial instrument	
4.1. CCI number of each other ESI Fund programme providing contributions to the financial instrument	
30. Date of completion of the ex ante assessment	19-Mar-2019
31. Selection of bodies implementing financial instrument	
31.1. Has selection or designation process already been launched	Yes
II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)	
5. Name of the financial instrument	Cheshire & Warrington Urban

	Development Fund PA3
6. Official address/place of business of the financial instrument (name of the country and city)	Cheshire East Borough Council, Middlewich Road, Sandbach, Cheshire, CW11 1HZ
7. Implementation arrangements	
7.1. Financial instruments set up at Union level, managed directly or indirectly by the Commission referred to in Article 38(1)(a) of Regulation (EU) No 1303/2013, supported from ESI Fund programme contributions	No
7.1.1. Name of the Union-level financial instrument	
7.2. Financial instrument set up at national, regional, transnational or cross-border level, managed by or under the responsibility of the managing authority referred to in Article 38(1)(b), supported from ESI Fund programme contributions under point (a), (b), (c) and d) of Article 38(4) of Regulation (EU) No 1303/2013	Entrustment of implementation tasks to another body governed by public or private law
7.3. Financial instrument combining financial contribution from MA with EIB financial products under European Fund for Strategic Investment in accordance with Article 39a, referred to in Article 38(1)(c)	
8. Type of the financial instrument	Fund of funds
10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial institution	Fiduciary account
III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)	
11. Body implementing the financial instrument	
11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European Investment Bank; the European Investment Fund; international financial institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only)	Body governed by public or private law
11.1.1. Name of the body implementing the financial instrument	Cheshire and Warrington Development Limited Partnership
11.1.2. Official address/place of business (country and town name) of the body implementing the financial instrument	Cheshire East Borough Council, Middlewich Road, Sandbach, Cheshire, CW11 1HZ
12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other	Interadministrative cooperation

procedure	
12.1. Description of the other procedure of selecting the body implementing the financial instrument	
13. Date of signature of the funding agreement with the body implementing the financial instrument	10-Apr-2019
IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)	
14. Total amount of programme contributions committed in the funding agreement (in EUR)	11,070,519.00
14.1. out of which ESI Funds contributions (in EUR)	5,535,259.00
14.1.1. out of which ERDF (in EUR) (optional)	5,535,259.00
14.1.2. out of which Cohesion Fund (in EUR) (optional)	
14.1.3. out of which ESF (in EUR) (optional)	
14.1.4. out of which EAFRD (in EUR) (optional)	
14.1.5. out of which EMFF (in EUR) (optional)	
15. Total amount of programme contributions paid to the financial instrument (in EUR)	2,795,100.00
15.1. out of which amount of ESI Funds contributions (in EUR)	1,397,550.00
15.1.1. out of which ERDF (in EUR)	1,397,550.00
15.1.2. out of which Cohesion Fund (in EUR)	
15.1.3. out of which ESF (in EUR)	
15.1.4. out of which EAFRD (in EUR)	
15.1.5. out of which EMFF (in EUR)	
15.2. out of which total amount of national co-financing (in EUR)	1,397,550.00
15.2.1. out of which total amount of national public funding (in EUR)	
15.2.2. out of which total amount of national private funding (in EUR)	1,397,550.00
16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR)	
17. Total amount of management costs and fees paid out of programme contributions (in EUR)	0.00
17.1. out of which base remuneration (in EUR)	
17.2. out of which performance-based remuneration (in EUR)	
21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)	
32. Information whether the financial instrument was still operational at the end of the reporting year	Yes
32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up	
VII. Interest and other gains generated by support from the ESI Funds to the financial instrument,	

programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)	
35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR)	22,034.00
37. Amounts of resources attributable to ESI Funds used in accordance with Article 44 and 43a	
37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR)	
37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR)	
37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the financial instrument resulting from negative interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in EUR)	
VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR)	
38.1A. Contributions under EIB financial product committed in the funding agreement with the body implementing the financial instrument (only for the instruments under Article 38(1)(c)) (in EUR)	
38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR)	
38.2.1. out of which public contributions (EUR)	
38.2.2. out of which private contributions (EUR)	
38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR)	

II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)	
5. Name of the financial instrument	Cheshire & Warrington UDF PA3
6. Official address/place of business of the financial instrument (name of the country and city)	Cheshire & Warrington UDF PA3
8. Type of the financial instrument	Fund of funds specific fund
8.2. Related Fund of Funds	Cheshire & Warrington Urban Development Fund

	PA3
8.1. Tailor-made or financial instruments complying with standard terms and conditions i.e. "off-the-shelf instruments"	Tailor-made
9. Type of products provided by financial instrument: loans, micro-loans, guarantees, equity, or quasi-equity investments, other financial product or other support combined within the financial instrument pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
9.0.1. Loans (\geq EUR 25,000)	Yes
9.0.2. Micro-loans ($<$ EUR 25,000 and provided to micro-enterprises) according to SEC/2011/1134 final	No
9.0.3. Guarantees	No
9.0.4. Equity	No
9.0.5. Quasi-equity	No
9.0.6. Other financial products	No
9.0.7. Other support combined with a financial instrument	No
9.1. Description of the other financial product	
9.2. Other support combined within the financial instrument: grant, interest rate subsidy, guarantee fee subsidy pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial institution	Fiduciary account
III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)	
11. Body implementing the financial instrument	
11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European Investment Bank; the European Investment Fund; international financial institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only)	Body governed by public or private law
11.1.1. Name of the body implementing the financial instrument	Cheshire and Warrington Development Limited Partnership
11.1.2. Official address/place of business (country and town name) of the body implementing the financial instrument	Cheshire East Borough Council, Middlewich Road, Sandbach, Cheshire, CW11 1HZ
12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure	Interadministrative cooperation
12.1. Description of the other procedure of selecting the body implementing the financial instrument	
13. Date of signature of the funding agreement with the body	10-Apr-2019

implementing the financial instrument	
IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)	
14. Total amount of programme contributions committed in the funding agreement (in EUR)	11,070,519.00
14.1. out of which ESI Funds contributions (in EUR)	5,535,259.00
14.1.1. out of which ERDF (in EUR) (optional)	5,535,259.00
14.1.2. out of which Cohesion Fund (in EUR) (optional)	
14.1.3. out of which ESF (in EUR) (optional)	
14.1.4. out of which EAFRD (in EUR) (optional)	
14.1.5. out of which EMFF (in EUR) (optional)	
15. Total amount of programme contributions paid to the financial instrument (in EUR)	2,795,100.00
15.1. out of which amount of ESI Funds contributions (in EUR)	1,397,550.00
15.1.1. out of which ERDF (in EUR)	1,397,550.00
15.1.2. out of which Cohesion Fund (in EUR)	
15.1.3. out of which ESF (in EUR)	
15.1.4. out of which EAFRD (in EUR)	
15.1.5. out of which EMFF (in EUR)	
15.2. out of which total amount of national co-financing (in EUR)	1,397,550.00
15.2.1. out of which total amount of national public funding (in EUR)	
15.2.2. out of which total amount of national private funding (in EUR)	1,397,550.00
16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR)	
17. Total amount of management costs and fees paid out of programme contributions (in EUR)	0.00
17.1. out of which base remuneration (in EUR)	
17.2. out of which performance-based remuneration (in EUR)	
18. Capitalised management costs or fees pursuant to Article 42(2) of Regulation (EU) No 1303/2013 (relevant only for final report) (in EUR)	
19. Capitalised interest rate subsidies or guarantee fee subsidies pursuant to Article 42(1)(c) of Regulation (EU) No 1303/2013 (relevant to final report only) (in EUR)	
20. Amount of programme contributions for follow-on investments in final recipients pursuant to Article 42(3) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
V. Total amount of support paid to final recipients, or to the benefit of final recipients, or committed in guarantee contracts by the financial instrument for investments in final recipients, by ESI Funds programme and priority or measure (Article 46(2)(e) of Regulation (EU) No 1303/2013)	
22. Name of financial product offered by the financial instrument	Cheshire & Warrington UDF PA3 Loan Fund
22.1. Type of financial product offered by the financial instrument	Loan
24. Total amount of programme contributions committed in this loan, guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR)	3,653,271.00

24.1. out of which total amount of ESI Funds contributions (in EUR)	1,826,636.00
25. Total amount of programme contributions paid to final recipients through this loan, micro-loans, equity or other products, or, in the case of guarantee, committed for loans paid to final recipients, by product (in EUR)	3,447,840.00
25.1. out of which total amount of ESI Funds contributions (in EUR)	1,723,920.00
25.1.1. out of which ERDF (in EUR)	1,723,920.00
25.1.2. out of which Cohesion Fund (in EUR)	
25.1.3. out of which ESF (in EUR)	
25.1.4. out of which EAFRD (in EUR)	
25.1.5. out of which EMFF (in EUR)	
25.2. out of which total amount of national public co-financing (in EUR)	
25.3. out of which total amount of national private co-financing (in EUR)	1,723,920.00
27. Number of loan/guarantee/equity or quasi-equity/other financial product contracts signed with final recipients, by product	1
28. Number of loan/guarantee/equity or quasi-equity/other financial product investments made in final recipients, by product	1
29. Number of financial recipients supported by the financial product	1
29.1. out of which large enterprises	
29.2. out of which SMEs	1
29.2.1. out of which microenterprises	
29.3. out of which individuals/natural persons	
29.4. out of which other type of final recipients supported	
29.4.1. description of other type of final recipients supported	
33. Total number of disbursed loans defaulted or total number of guarantees provided and called due to the loan default	
34. Total amount of disbursed loans defaulted (in EUR) or total amount committed for guarantees provided and called due to loan default (in EUR)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.3. Total amount of other contributions, outside the ESI Funds mobilised at the level of final recipients (in EUR)	1,826,636.00
38.3.1. out of which public contributions (EUR)	1,826,636.00
38.3.2. out of which private contributions (EUR)	
38.3A. Contribution under EIB financial product mobilised at the level of final recipient (only for the instruments under Article 38(1)(c)) (in EUR)	
39. Expected and achieved leverage effect, by reference to the funding agreement	
39.1. Expected leverage effect for loan/guarantee/equity or quasi-equity investment/other financial product, by reference to the funding agreement, by product	0.00
39.2. Achieved leverage effect at the end of reporting year for loan/guarantee/equity or quasi-equity investment/other financial product, by product	2.06
39.3. Investment mobilised through ESIF financial instruments for loan/guarantee/equity and quasi-equity investment, by product (Optional)	
VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)	

32. Information whether the financial instrument was still operational at the end of the reporting year	Yes
32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up	
VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)	
35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR)	22,034.00
36. Amounts repaid to the financial instrument attributable to support from ESI Funds by the end of the reporting year (in EUR)	
36.1. out of which capital repayments (in EUR)	
36.2. out of which gains, other earnings and yields (in EUR)	
37. Amounts of resources attributable to ESI Funds used in accordance with Article 44 and 43a	
37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR)	
37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR)	
37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the financial instrument resulting from negative interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in EUR)	
VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR)	
38.1A. Contributions under EIB financial product committed in the funding agreement with the body implementing the financial instrument (only for the instruments under Article 38(1)(c)) (in EUR)	
38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR)	
38.2.1. out of which public contributions (EUR)	
38.2.2. out of which private contributions (EUR)	
38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR)	
IX. Contribution of the financial instrument to the achievement of the indicators of the priority or measure concerned (Article 46(2)(j) of Regulation (EU) No 1303/2013)	
41. Output indicator (code number and name) to which the financial instrument contributes	P2 - Public or commercial buildings built or renovated

41.1. Target value of the output indicator	8,333.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	5,028.00

I. Identification of the programme and priority or measure from which support from the ESI Funds is provided (Article 46(2)(a) of Regulation (EU) No 1303/2013)	
1. Priority axes or measures supporting the financial instrument, including fund of funds, under the ESI Fund programme.	
1.1. Priority axis supporting the financial instrument under the ESI Fund programme	4 - Supporting the Shift Towards a Low Carbon Economy in all Sectors
2. Name of ESI Fund(s) supporting the financial instrument under the priority axis or measure	ERDF
3. Thematic objective(s) referred to in the first paragraph of Article 9 of Regulation (EU) No 1303/2013 supported by the financial instrument	04 - Supporting the shift towards a low-carbon economy in all sectors
3.1. Amount of ESI Funds committed in funding agreements from the individual thematic objective chosen in field 3 (optional)	8,856,415.00
4. other ESI Fund programmes providing contribution to the financial instrument	
4.1. CCI number of each other ESI Fund programme providing contributions to the financial instrument	
30. Date of completion of the ex ante assessment	19-Mar-2019
31. Selection of bodies implementing financial instrument	
31.1. Has selection or designation process already been launched	Yes
II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)	
5. Name of the financial instrument	Cheshire & Warrington Urban Development Fund PA4
6. Official address/place of business of the financial instrument (name of the country and city)	Cheshire East Borough Council, Middlewich Road, Sandbach, Cheshire, CW11 1HZ
7. Implementation arrangements	
7.1. Financial instruments set up at Union level, managed directly or indirectly by the Commission referred to in Article 38(1)(a) of Regulation (EU) No 1303/2013, supported from ESI Fund programme contributions	No
7.1.1. Name of the Union-level financial instrument	
7.2. Financial instrument set up at national, regional, transnational or cross-border level, managed by or under the responsibility of the managing authority referred to in Article 38(1)(b), supported from ESI Fund programme contributions under point (a), (b), (c) and d) of Article 38(4) of Regulation (EU) No 1303/2013	Entrustment of implementation tasks to another body governed by public or private law
7.3. Financial instrument combining financial contribution from MA with EIB financial products under European Fund for Strategic Investment in accordance with Article 39a, referred to in Article 38(1)(c)	

8. Type of the financial instrument	Fund of funds
10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial institution	Fiduciary account
III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)	
11. Body implementing the financial instrument	
11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European Investment Bank; the European Investment Fund; international financial institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only)	Body governed by public or private law
11.1.1. Name of the body implementing the financial instrument	Cheshire & Warrington Urban Development Fund
11.1.2. Official address/place of business (country and town name) of the body implementing the financial instrument	Cheshire East Borough Council, Middlewich Road, Sandbach, Cheshire, CW11 1HZ
12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure	Interadministrative cooperation
12.1. Description of the other procedure of selecting the body implementing the financial instrument	
13. Date of signature of the funding agreement with the body implementing the financial instrument	10-Apr-2019
IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)	
14. Total amount of programme contributions committed in the funding agreement (in EUR)	17,712,830.00
14.1. out of which ESI Funds contributions (in EUR)	8,856,415.00
14.1.1. out of which ERDF (in EUR) (optional)	8,856,415.00
14.1.2. out of which Cohesion Fund (in EUR) (optional)	
14.1.3. out of which ESF (in EUR) (optional)	
14.1.4. out of which EAFRD (in EUR) (optional)	
14.1.5. out of which EMFF (in EUR) (optional)	
15. Total amount of programme contributions paid to the financial instrument (in EUR)	4,472,160.00
15.1. out of which amount of ESI Funds contributions (in EUR)	2,236,080.00
15.1.1. out of which ERDF (in EUR)	2,236,080.00
15.1.2. out of which Cohesion Fund (in EUR)	
15.1.3. out of which ESF (in EUR)	

15.1.4. out of which EAFRD (in EUR)	
15.1.5. out of which EMFF (in EUR)	
15.2. out of which total amount of national co-financing (in EUR)	2,236,080.00
15.2.1. out of which total amount of national public funding (in EUR)	
15.2.2. out of which total amount of national private funding (in EUR)	2,236,080.00
16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR)	
17. Total amount of management costs and fees paid out of programme contributions (in EUR)	0.00
17.1. out of which base remuneration (in EUR)	
17.2. out of which performance-based remuneration (in EUR)	
21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)	
32. Information whether the financial instrument was still operational at the end of the reporting year	Yes
32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up	
VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)	
35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR)	76,178.00
37. Amounts of resources attributable to ESI Funds used in accordance with Article 44 and 43a	
37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR)	
37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR)	
37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the financial instrument resulting from negative interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in EUR)	
VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR)	

38.1A. Contributions under EIB financial product committed in the funding agreement with the body implementing the financial instrument (only for the instruments under Article 38(1)(c)) (in EUR)	
38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR)	
38.2.1. out of which public contributions (EUR)	
38.2.2. out of which private contributions (EUR)	
38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR)	

II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)	
5. Name of the financial instrument	Cheshire & Warrington UDF PA4
6. Official address/place of business of the financial instrument (name of the country and city)	Cheshire East Borough Council, Middlewich Road, Sandbach, Cheshire, CW11 1HZ
8. Type of the financial instrument	Fund of funds specific fund
8.2. Related Fund of Funds	Cheshire & Warrington Urban Development Fund PA4
8.1. Tailor-made or financial instruments complying with standard terms and conditions i.e. "off-the-shelf instruments"	Tailor-made
9. Type of products provided by financial instrument: loans, micro-loans, guarantees, equity, or quasi-equity investments, other financial product or other support combined within the financial instrument pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
9.0.1. Loans (\geq EUR 25,000)	Yes
9.0.2. Micro-loans ($<$ EUR 25,000 and provided to micro-enterprises) according to SEC/2011/1134 final	No
9.0.3. Guarantees	No
9.0.4. Equity	No
9.0.5. Quasi-equity	No
9.0.6. Other financial products	No
9.0.7. Other support combined with a financial instrument	No
9.1. Description of the other financial product	
9.2. Other support combined within the financial instrument: grant, interest rate subsidy, guarantee fee subsidy pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial institution	Fiduciary account
III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)	

11. Body implementing the financial instrument	
11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European Investment Bank; the European Investment Fund; international financial institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only)	Body governed by public or private law
11.1.1. Name of the body implementing the financial instrument	Cheshire and Warrington Development Limited Partnership
11.1.2. Official address/place of business (country and town name) of the body implementing the financial instrument	Cheshire East Borough Council, Middlewich Road, Sandbach, Cheshire, CW11 1HZ
12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure	Interadministrative cooperation
12.1. Description of the other procedure of selecting the body implementing the financial instrument	
13. Date of signature of the funding agreement with the body implementing the financial instrument	10-Apr-2019
IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)	
14. Total amount of programme contributions committed in the funding agreement (in EUR)	17,712,830.00
14.1. out of which ESI Funds contributions (in EUR)	8,856,415.00
14.1.1. out of which ERDF (in EUR) (optional)	8,856,415.00
14.1.2. out of which Cohesion Fund (in EUR) (optional)	
14.1.3. out of which ESF (in EUR) (optional)	
14.1.4. out of which EAFRD (in EUR) (optional)	
14.1.5. out of which EMFF (in EUR) (optional)	
15. Total amount of programme contributions paid to the financial instrument (in EUR)	4,472,160.00
15.1. out of which amount of ESI Funds contributions (in EUR)	2,236,080.00
15.1.1. out of which ERDF (in EUR)	2,236,080.00
15.1.2. out of which Cohesion Fund (in EUR)	
15.1.3. out of which ESF (in EUR)	
15.1.4. out of which EAFRD (in EUR)	
15.1.5. out of which EMFF (in EUR)	
15.2. out of which total amount of national co-financing (in EUR)	2,236,080.00
15.2.1. out of which total amount of national public funding (in EUR)	
15.2.2. out of which total amount of national private funding (in EUR)	2,236,080.00
16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR)	
17. Total amount of management costs and fees paid out of programme contributions (in EUR)	0.00

17.1. out of which base remuneration (in EUR)	
17.2. out of which performance-based remuneration (in EUR)	
18. Capitalised management costs or fees pursuant to Article 42(2) of Regulation (EU) No 1303/2013 (relevant only for final report) (in EUR)	
19. Capitalised interest rate subsidies or guarantee fee subsidies pursuant to Article 42(1)(c) of Regulation (EU) No 1303/2013 (relevant to final report only) (in EUR)	
20. Amount of programme contributions for follow-on investments in final recipients pursuant to Article 42(3) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
V. Total amount of support paid to final recipients, or to the benefit of final recipients, or committed in guarantee contracts by the financial instrument for investments in final recipients, by ESI Funds programme and priority or measure (Article 46(2)(e) of Regulation (EU) No 1303/2013)	
22. Name of financial product offered by the financial instrument	Cheshire & Warrington UDF PA4 Loan Fund
22.1. Type of financial product offered by the financial instrument	Loan
24. Total amount of programme contributions committed in this loan, guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR)	8,036,117.00
24.1. out of which total amount of ESI Funds contributions (in EUR)	4,018,058.00
25. Total amount of programme contributions paid to final recipients through this loan, micro-loans, equity or other products, or, in the case of guarantee, committed for loans paid to final recipients, by product (in EUR)	4,472,160.00
25.1. out of which total amount of ESI Funds contributions (in EUR)	2,236,080.00
25.1.1. out of which ERDF (in EUR)	2,236,080.00
25.1.2. out of which Cohesion Fund (in EUR)	
25.1.3. out of which ESF (in EUR)	
25.1.4. out of which EAFRD (in EUR)	
25.1.5. out of which EMFF (in EUR)	
25.2. out of which total amount of national public co-financing (in EUR)	
25.3. out of which total amount of national private co-financing (in EUR)	2,236,080.00
27. Number of loan/guarantee/equity or quasi-equity/other financial product contracts signed with final recipients, by product	1
28. Number of loan/guarantee/equity or quasi-equity/other financial product investments made in final recipients, by product	1
29. Number of financial recipients supported by the financial product	1
29.1. out of which large enterprises	
29.2. out of which SMEs	1
29.2.1. out of which microenterprises	
29.3. out of which individuals/natural persons	
29.4. out of which other type of final recipients supported	
29.4.1. description of other type of final recipients supported	
33. Total number of disbursed loans defaulted or total number of guarantees provided and called due to the loan default	
34. Total amount of disbursed loans defaulted (in EUR) or total amount	

committed for guarantees provided and called due to loan default (in EUR)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.3. Total amount of other contributions, outside the ESI Funds mobilised at the level of final recipients (in EUR)	
38.3.1. out of which public contributions (EUR)	
38.3.2. out of which private contributions (EUR)	
38.3A. Contribution under EIB financial product mobilised at the level of final recipient (only for the instruments under Article 38(1)(c)) (in EUR)	
39. Expected and achieved leverage effect, by reference to the funding agreement	
39.1. Expected leverage effect for loan/guarantee/equity or quasi-equity investment/other financial product, by reference to the funding agreement, by product	0.00
39.2. Achieved leverage effect at the end of reporting year for loan/guarantee/equity or quasi-equity investment/other financial product, by product	1.00
39.3. Investment mobilised through ESIF financial instruments for loan/guarantee/equity and quasi-equity investment, by product (Optional)	
VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)	
32. Information whether the financial instrument was still operational at the end of the reporting year	Yes
32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up	
VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)	
35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR)	76,178.00
36. Amounts repaid to the financial instrument attributable to support from ESI Funds by the end of the reporting year (in EUR)	
36.1. out of which capital repayments (in EUR)	
36.2. out of which gains, other earnings and yields (in EUR)	
37. Amounts of resources attributable to ESI Funds used in accordance with Article 44 and 43a	
37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR)	
37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR)	
37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the financial instrument resulting from negative interest, if such losses occur despite active treasury management by the	

bodies implementing financial instruments (in EUR)	
VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR)	
38.1A. Contributions under EIB financial product committed in the funding agreement with the body implementing the financial instrument (only for the instruments under Article 38(1)(c)) (in EUR)	
38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR)	
38.2.1. out of which public contributions (EUR)	
38.2.2. out of which private contributions (EUR)	
38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR)	
IX. Contribution of the financial instrument to the achievement of the indicators of the priority or measure concerned (Article 46(2)(j) of Regulation (EU) No 1303/2013)	
41. Output indicator (code number and name) to which the financial instrument contributes	CO34 - GHG reduction: Estimated annual decrease of GHG
41.1. Target value of the output indicator	6,045.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	0.00

I. Identification of the programme and priority or measure from which support from the ESI Funds is provided (Article 46(2)(a) of Regulation (EU) No 1303/2013)	
1. Priority axes or measures supporting the financial instrument, including fund of funds, under the ESI Fund programme.	
1.1. Priority axis supporting the financial instrument under the ESI Fund programme	1 - Promoting Research and Innovation
2. Name of ESI Fund(s) supporting the financial instrument under the priority axis or measure	ERDF
3. Thematic objective(s) referred to in the first paragraph of Article 9 of Regulation (EU) No 1303/2013 supported by the financial instrument	01 - Strengthening research, technological development and innovation
3.1. Amount of ESI Funds committed in funding agreements from the individual thematic objective chosen in field 3 (optional)	17,772,000.00
4. other ESI Fund programmes providing contribution to the financial instrument	
4.1. CCI number of each other ESI Fund programme providing contributions to the financial instrument	
30. Date of completion of the ex ante assessment	22-Dec-2017
31. Selection of bodies implementing financial instrument	
31.1. Has selection or designation process already been launched	Yes

II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)	
5. Name of the financial instrument	Cornwall & Isles of Scilly - PA1
6. Official address/place of business of the financial instrument (name of the country and city)	Steel City House, West Street, Sheffield, S1 2GQ
7. Implementation arrangements	
7.1. Financial instruments set up at Union level, managed directly or indirectly by the Commission referred to in Article 38(1)(a) of Regulation (EU) No 1303/2013, supported from ESI Fund programme contributions	
7.1.1. Name of the Union-level financial instrument	
7.2. Financial instrument set up at national, regional, transnational or cross-border level, managed by or under the responsibility of the managing authority referred to in Article 38(1)(b), supported from ESI Fund programme contributions under point (a), (b), (c) and d) of Article 38(4) of Regulation (EU) No 1303/2013	Entrustment of implementation tasks to another body governed by public or private law
7.3. Financial instrument combining financial contribution from MA with EIB financial products under European Fund for Strategic Investment in accordance with Article 39a, referred to in Article 38(1)(c)	
8. Type of the financial instrument	Fund of funds
10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial institution	Fiduciary account
III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)	
11. Body implementing the financial instrument	
11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European Investment Bank; the European Investment Fund; international financial institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only)	Body governed by public or private law
11.1.1. Name of the body implementing the financial	Cornwall & the Isles of Scilly Investment Fund

instrument	
11.1.2. Official address/place of business (country and town name) of the body implementing the financial instrument	Steel City House, West Street, Sheffield, S1 2GQ
12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure	Others
12.1. Description of the other procedure of selecting the body implementing the financial instrument	Entrustment of a publicly owned company, via inter-administrative co-operation between the managing authority and The Secretary of State for Business, Energy and Industrial Strategy (BEIS) in accordance with Article 38 (1)(b) & 38 4(b) (iii) of the CPR, and s3.6.2 of Commission Notice 2016/C 276/01
13. Date of signature of the funding agreement with the body implementing the financial instrument	21-Feb-2018
IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)	
14. Total amount of programme contributions committed in the funding agreement (in EUR)	22,573,363.00
14.1. out of which ESI Funds contributions (in EUR)	17,772,000.00
14.1.1. out of which ERDF (in EUR) (optional)	17,772,000.00
14.1.2. out of which Cohesion Fund (in EUR) (optional)	
14.1.3. out of which ESF (in EUR) (optional)	
14.1.4. out of which EAFRD (in EUR) (optional)	
14.1.5. out of which EMFF (in EUR) (optional)	
15. Total amount of programme contributions paid to the financial instrument (in EUR)	11,440,500.00
15.1. out of which amount of ESI Funds contributions (in EUR)	9,152,400.00
15.1.1. out of which ERDF (in EUR)	9,152,400.00
15.1.2. out of which Cohesion Fund (in EUR)	
15.1.3. out of which ESF (in EUR)	
15.1.4. out of which EAFRD (in EUR)	
15.1.5. out of which EMFF (in EUR)	
15.2. out of which total amount of national co-financing (in EUR)	2,288,100.00
15.2.1. out of which total amount of national public funding (in EUR)	1,430,062.00
15.2.2. out of which total amount of national private funding (in EUR)	858,038.00
16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR)	
17. Total amount of management costs and fees paid out of programme contributions (in EUR)	0.00
17.1. out of which base remuneration (in EUR)	

17.2. out of which performance-based remuneration (in EUR)	
21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)	
32. Information whether the financial instrument was still operational at the end of the reporting year	Yes
32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up	
VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)	
35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR)	19,463.00
37. Amounts of resources attributable to ESI Funds used in accordance with Article 44 and 43a	
37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR)	
37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR)	
37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the financial instrument resulting from negative interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in EUR)	
VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR)	9,152,400.00
38.1A. Contributions under EIB financial product committed in the funding agreement with the body	

implementing the financial instrument (only for the instruments under Article 38(1)(c)) (in EUR)	
38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR)	2,288,100.00
38.2.1. out of which public contributions (EUR)	1,430,062.00
38.2.2. out of which private contributions (EUR)	858,038.00
38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR)	

II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)	
5. Name of the financial instrument	Cornwall & Isles of Scilly Fund - PA1
6. Official address/place of business of the financial instrument (name of the country and city)	Lowena House Glenthorne Court Truro Business Park Threemilestone Truro, TR4 9NY
8. Type of the financial instrument	Fund of funds specific fund
8.2. Related Fund of Funds	Cornwall & Isles of Scilly - PA1
8.1. Tailor-made or financial instruments complying with standard terms and conditions i.e. "off-the-shelf instruments"	Tailor-made
9. Type of products provided by financial instrument: loans, micro-loans, guarantees, equity, or quasi-equity investments, other financial product or other support combined within the financial instrument pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
9.0.1. Loans (\geq EUR 25,000)	Yes
9.0.2. Micro-loans ($<$ EUR 25,000 and provided to micro-enterprises) according to SEC/2011/1134 final	No
9.0.3. Guarantees	No
9.0.4. Equity	Yes
9.0.5. Quasi-equity	No
9.0.6. Other financial products	No
9.0.7. Other support combined with a financial instrument	No
9.1. Description of the other financial product	
9.2. Other support combined within the financial instrument: grant, interest rate subsidy, guarantee fee subsidy pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial institution	Fiduciary account
III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)	
11. Body implementing the financial instrument	

11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European Investment Bank; the European Investment Fund; international financial institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only)	Body governed by public or private law
11.1.1. Name of the body implementing the financial instrument	FSE Group
11.1.2. Official address/place of business (country and town name) of the body implementing the financial instrument	Lowena House Glenthorne Court Truro Business Park Threemilestone Truro, TR4 9NY
12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure	Others
12.1. Description of the other procedure of selecting the body implementing the financial instrument	Entrustment of a publicly owned company, via inter-administrative co-operation between the managing authority and The Secretary of State for Business, Energy and Industrial Strategy (BEIS) in accordance with Article 38 (1)(b) & 38 4(b) (iii) of the CPR, and s3.6.2 of Commission Notice 2016/C 276/01
13. Date of signature of the funding agreement with the body implementing the financial instrument	21-Feb-2018
IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)	
14. Total amount of programme contributions committed in the funding agreement (in EUR)	22,573,363.00
14.1. out of which ESI Funds contributions (in EUR)	17,772,000.00
14.1.1. out of which ERDF (in EUR) (optional)	
14.1.2. out of which Cohesion Fund (in EUR) (optional)	
14.1.3. out of which ESF (in EUR) (optional)	
14.1.4. out of which EAFRD (in EUR) (optional)	
14.1.5. out of which EMFF (in EUR) (optional)	
15. Total amount of programme contributions paid to the financial instrument (in EUR)	11,440,500.00
15.1. out of which amount of ESI Funds contributions (in EUR)	9,152,400.00
15.1.1. out of which ERDF (in EUR)	9,152,400.00
15.1.2. out of which Cohesion Fund (in EUR)	
15.1.3. out of which ESF (in EUR)	
15.1.4. out of which EAFRD (in EUR)	

15.1.5. out of which EMFF (in EUR)	
15.2. out of which total amount of national co-financing (in EUR)	2,288,100.00
15.2.1. out of which total amount of national public funding (in EUR)	1,430,062.00
15.2.2. out of which total amount of national private funding (in EUR)	858,038.00
16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR)	
17. Total amount of management costs and fees paid out of programme contributions (in EUR)	0.00
17.1. out of which base remuneration (in EUR)	
17.2. out of which performance-based remuneration (in EUR)	
18. Capitalised management costs or fees pursuant to Article 42(2) of Regulation (EU) No 1303/2013 (relevant only for final report) (in EUR)	
19. Capitalised interest rate subsidies or guarantee fee subsidies pursuant to Article 42(1)(c) of Regulation (EU) No 1303/2013 (relevant to final report only) (in EUR)	
20. Amount of programme contributions for follow-on investments in final recipients pursuant to Article 42(3) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
V. Total amount of support paid to final recipients, or to the benefit of final recipients, or committed in guarantee contracts by the financial instrument for investments in final recipients, by ESI Funds programme and priority or measure (Article 46(2)(e) of Regulation (EU) No 1303/2013)	
22. Name of financial product offered by the financial instrument	<i>CIoS PAI - Equity Fund</i>
22.1. Type of financial product offered by the financial instrument	Equity
24. Total amount of programme contributions committed in this loan, guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR)	3,321,371.00
24.1. out of which total amount of ESI Funds contributions (in EUR)	2,657,096.42
25. Total amount of programme contributions paid to final recipients through this loan, micro-loans, equity or other products, or, in the case of guarantee, committed for loans paid to final recipients, by product (in EUR)	3,321,371.00
25.1. out of which total amount of ESI Funds contributions (in EUR)	2,657,096.42

25.1.1. out of which ERDF (in EUR)	2,657,096.42
25.1.2. out of which Cohesion Fund (in EUR)	
25.1.3. out of which ESF (in EUR)	
25.1.4. out of which EAFRD (in EUR)	
25.1.5. out of which EMFF (in EUR)	
25.2. out of which total amount of national public co-financing (in EUR)	415,172.38
25.3. out of which total amount of national private co-financing (in EUR)	249,102.20
27. Number of loan/guarantee/equity or quasi-equity/other financial product contracts signed with final recipients, by product	3
28. Number of loan/guarantee/equity or quasi-equity/other financial product investments made in final recipients, by product	3
29. Number of financial recipients supported by the financial product	3
29.1. out of which large enterprises	
29.2. out of which SMEs	3
29.2.1. out of which microenterprises	
29.3. out of which individuals/natural persons	
29.4. out of which other type of final recipients supported	
29.4.1. description of other type of final recipients supported	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.3. Total amount of other contributions, outside the ESI Funds mobilised at the level of final recipients (in EUR)	
38.3.1. out of which public contributions (EUR)	
38.3.2. out of which private contributions (EUR)	
38.3A. Contribution under EIB financial product mobilised at the level of final recipient (only for the instruments under Article 38(1)(c)) (in EUR)	
39. Expected and achieved leverage effect, by reference to the funding agreement	
39.1. Expected leverage effect for loan/guarantee/equity or quasi-equity investment/other financial product, by reference to the funding agreement, by product	1.25
39.2. Achieved leverage effect at the end of reporting year for loan/guarantee/equity or quasi-equity investment/other financial product, by product	1.00
39.3. Investment mobilised through ESIF financial instruments for loan/guarantee/equity and quasi-equity investment, by product (Optional)	0.00
40. Value of investments and participations in equity (in EUR)	3,321,371.00
22. Name of financial product offered by the financial instrument	<i>CIoS PA1 - Loan Fund</i>

22.1. Type of financial product offered by the financial instrument	Loan
24. Total amount of programme contributions committed in this loan, guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR)	282,167.04
24.1. out of which total amount of ESI Funds contributions (in EUR)	225,733.60
25. Total amount of programme contributions paid to final recipients through this loan, micro-loans, equity or other products, or, in the case of guarantee, committed for loans paid to final recipients, by product (in EUR)	282,167.04
25.1. out of which total amount of ESI Funds contributions (in EUR)	225,733.60
25.1.1. out of which ERDF (in EUR)	225,733.60
25.1.2. out of which Cohesion Fund (in EUR)	
25.1.3. out of which ESF (in EUR)	
25.1.4. out of which EAFRD (in EUR)	
25.1.5. out of which EMFF (in EUR)	
25.2. out of which total amount of national public co-financing (in EUR)	
25.3. out of which total amount of national private co-financing (in EUR)	56,433.44
27. Number of loan/guarantee/equity or quasi-equity/other financial product contracts signed with final recipients, by product	1
28. Number of loan/guarantee/equity or quasi-equity/other financial product investments made in final recipients, by product	1
29. Number of financial recipients supported by the financial product	1
29.1. out of which large enterprises	
29.2. out of which SMEs	1
29.2.1. out of which microenterprises	
29.3. out of which individuals/natural persons	
29.4. out of which other type of final recipients supported	
29.4.1. description of other type of final recipients supported	
33. Total number of disbursed loans defaulted or total number of guarantees provided and called due to the loan default	
34. Total amount of disbursed loans defaulted (in EUR) or total amount committed for guarantees provided and called due to loan default (in EUR)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.3. Total amount of other contributions, outside the ESI Funds mobilised at the level of final recipients (in	

EUR)	
38.3.1. out of which public contributions (EUR)	
38.3.2. out of which private contributions (EUR)	
38.3A. Contribution under EIB financial product mobilised at the level of final recipient (only for the instruments under Article 38(1)(c)) (in EUR)	
39. Expected and achieved leverage effect, by reference to the funding agreement	
39.1. Expected leverage effect for loan/guarantee/equity or quasi-equity investment/other financial product, by reference to the funding agreement, by product	1.25
39.2. Achieved leverage effect at the end of reporting year for loan/guarantee/equity or quasi-equity investment/other financial product, by product	1.00
39.3. Investment mobilised through ESIF financial instruments for loan/guarantee/equity and quasi-equity investment, by product (Optional)	282,167.04
VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)	
32. Information whether the financial instrument was still operational at the end of the reporting year	Yes
32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up	
VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)	
35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR)	
36. Amounts repaid to the financial instrument attributable to support from ESI Funds by the end of the reporting year (in EUR)	
36.1. out of which capital repayments (in EUR)	
36.2. out of which gains, other earnings and yields (in EUR)	
37. Amounts of resources attributable to ESI Funds used in accordance with Article 44 and 43a	
37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR)	
37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR)	
37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the	

financial instrument resulting from negative interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in EUR)	
VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR)	9,152,400.00
38.1A. Contributions under EIB financial product committed in the funding agreement with the body implementing the financial instrument (only for the instruments under Article 38(1)(c)) (in EUR)	
38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR)	2,288,100.00
38.2.1. out of which public contributions (EUR)	1,430,062.00
38.2.2. out of which private contributions (EUR)	858,038.00
38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR)	
IX. Contribution of the financial instrument to the achievement of the indicators of the priority or measure concerned (Article 46(2)(j) of Regulation (EU) No 1303/2013)	
41. Output indicator (code number and name) to which the financial instrument contributes	CO04 - Productive investment: Number of enterprises receiving non-financial support
41.1. Target value of the output indicator	5.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	0.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO29 - Research, Innovation: Number of enterprises supported to introduce new to the firm products
41.1. Target value of the output indicator	9.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	1.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO01 - Productive investment: Number of enterprises receiving support
41.1. Target value of the output indicator	97.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	7.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO03 - Productive investment: Number of enterprises receiving financial support other than grants
41.1. Target value of the output indicator	92.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	7.00
41. Output indicator (code number and name) to	CO28 - Research, Innovation: Number of

<i>which the financial instrument contributes</i>	<i>enterprises supported to introduce new to the market products</i>
41.1. Target value of the output indicator	2.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	1.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO07 - Productive investment: Private investment matching public support to enterprises (non-grants)
41.1. Target value of the output indicator	17,941,616.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	3,106,728.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO05 - Productive investment: Number of new enterprises supported
41.1. Target value of the output indicator	3.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	2.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO08 - Productive investment: Employment increase in supported enterprises
41.1. Target value of the output indicator	157.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	15.00

I. Identification of the programme and priority or measure from which support from the ESI Funds is provided (Article 46(2)(a) of Regulation (EU) No 1303/2013)	
1. Priority axes or measures supporting the financial instrument, including fund of funds, under the ESI Fund programme.	
1.1. Priority axis supporting the financial instrument under the ESI Fund programme	3 - Enhancing the Competitiveness of SMEs
2. Name of ESI Fund(s) supporting the financial instrument under the priority axis or measure	ERDF
3. Thematic objective(s) referred to in the first paragraph of Article 9 of Regulation (EU) No 1303/2013 supported by the financial instrument	03 - Enhancing the competitiveness of small and medium-sized enterprises, the agricultural sector (for the EAFRD) and the fisheries and aquaculture sector (for the EMFF)
3.1. Amount of ESI Funds committed in funding agreements from the individual thematic objective chosen in field 3 (optional)	17,772,000.00
4. other ESI Fund programmes providing contribution to the financial instrument	
4.1. CCI number of each other ESI Fund programme providing contributions to the financial instrument	
30. Date of completion of the ex ante assessment	22-Dec-2017
31. Selection of bodies implementing financial instrument	
31.1. Has selection or designation process already been launched	Yes
II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)	
5. Name of the financial instrument	Cornwall & Isles of Scilly - PA3
6. Official address/place of business of the financial	Steel City House, West Street, Sheffield, S1 2GQ

instrument (name of the country and city)	
7. Implementation arrangements	
7.1. Financial instruments set up at Union level, managed directly or indirectly by the Commission referred to in Article 38(1)(a) of Regulation (EU) No 1303/2013, supported from ESI Fund programme contributions	No
7.1.1. Name of the Union-level financial instrument	
7.2. Financial instrument set up at national, regional, transnational or cross-border level, managed by or under the responsibility of the managing authority referred to in Article 38(1)(b), supported from ESI Fund programme contributions under point (a), (b), (c) and d) of Article 38(4) of Regulation (EU) No 1303/2013	Entrustment of implementation tasks, through the direct award of a contract
7.3. Financial instrument combining financial contribution from MA with EIB financial products under European Fund for Strategic Investment in accordance with Article 39a, referred to in Article 38(1)(c)	
8. Type of the financial instrument	Fund of funds
10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial institution	Fiduciary account
III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)	
11. Body implementing the financial instrument	
11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European Investment Bank; the European Investment Fund; international financial institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only)	Body governed by public or private law
11.1.1. Name of the body implementing the financial instrument	Cornwall & the Isles of Scilly Investment Fund
11.1.2. Official address/place of business (country and town name) of the body implementing the	Steel City House, West Street, Sheffield, S1 2GQ

financial instrument	
12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure	Others
12.1. Description of the other procedure of selecting the body implementing the financial instrument	Entrustment of a publicly owned company, via inter-administrative co-operation between the managing authority and The Secretary of State for Business, Energy and Industrial Strategy (BEIS) in accordance with Article 38 (1)(b) & 38 4(b) (iii) of the CPR, and s3.6.2 of Commission Notice 2016/C 276/01
13. Date of signature of the funding agreement with the body implementing the financial instrument	21-Feb-2018
IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)	
14. Total amount of programme contributions committed in the funding agreement (in EUR)	22,573,363.00
14.1. out of which ESI Funds contributions (in EUR)	17,772,000.00
14.1.1. out of which ERDF (in EUR) (optional)	
14.1.2. out of which Cohesion Fund (in EUR) (optional)	
14.1.3. out of which ESF (in EUR) (optional)	
14.1.4. out of which EAFRD (in EUR) (optional)	
14.1.5. out of which EMFF (in EUR) (optional)	
15. Total amount of programme contributions paid to the financial instrument (in EUR)	11,440,500.00
15.1. out of which amount of ESI Funds contributions (in EUR)	9,152,400.00
15.1.1. out of which ERDF (in EUR)	9,152,400.00
15.1.2. out of which Cohesion Fund (in EUR)	
15.1.3. out of which ESF (in EUR)	
15.1.4. out of which EAFRD (in EUR)	
15.1.5. out of which EMFF (in EUR)	
15.2. out of which total amount of national co-financing (in EUR)	2,288,100.00
15.2.1. out of which total amount of national public funding (in EUR)	1,430,062.00
15.2.2. out of which total amount of national private funding (in EUR)	858,038.00
16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR)	
17. Total amount of management costs and fees paid out of programme contributions (in EUR)	0.00
17.1. out of which base remuneration (in EUR)	
17.2. out of which performance-based remuneration (in EUR)	
21. Contributions of land and/or real estate in the	

financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)	
32. Information whether the financial instrument was still operational at the end of the reporting year	Yes
32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up	
VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)	
35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR)	19,463.00
37. Amounts of resources attributable to ESI Funds used in accordance with Article 44 and 43a	
37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR)	
37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR)	
37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the financial instrument resulting from negative interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in EUR)	
VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR)	9,152,400.00
38.1A. Contributions under EIB financial product committed in the funding agreement with the body implementing the financial instrument (only for the instruments under Article 38(1)(c)) (in EUR)	
38.2. Total amount of other contributions, outside	2,288,100.00

the ESI Funds paid to the financial instrument (in EUR)	
38.2.1. out of which public contributions (EUR)	1,430,062.00
38.2.2. out of which private contributions (EUR)	858,038.00
38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR)	

II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)

5. Name of the financial instrument	Cornwall & Isles of Scilly Fund - PA3
6. Official address/place of business of the financial instrument (name of the country and city)	Lowena House Glenthorne Court Truro Business Park Threemilestone Truro, TR4 9NY
8. Type of the financial instrument	Fund of funds specific fund
8.2. Related Fund of Funds	Cornwall & Isles of Scilly - PA3
8.1. Tailor-made or financial instruments complying with standard terms and conditions i.e. "off-the-shelf instruments"	Tailor-made

9. Type of products provided by financial instrument: loans, micro-loans, guarantees, equity, or quasi-equity investments, other financial product or other support combined within the financial instrument pursuant to Article 37(7) of Regulation (EU) No 1303/2013

9.0.1. Loans (\geq EUR 25,000)	Yes
9.0.2. Micro-loans ($<$ EUR 25,000 and provided to micro-enterprises) according to SEC/2011/1134 final	No
9.0.3. Guarantees	No
9.0.4. Equity	Yes
9.0.5. Quasi-equity	No
9.0.6. Other financial products	No
9.0.7. Other support combined with a financial instrument	No

9.1. Description of the other financial product	
9.2. Other support combined within the financial instrument: grant, interest rate subsidy, guarantee fee subsidy pursuant to Article 37(7) of Regulation (EU) No 1303/2013	

10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial institution	Fiduciary account
---	-------------------

III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)

11. Body implementing the financial instrument	
11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No	Body governed by public or private law

1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European Investment Bank; the European Investment Fund; international financial institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only)	
11.1.1. Name of the body implementing the financial instrument	FSE Group
11.1.2. Official address/place of business (country and town name) of the body implementing the financial instrument	Lowena House Glenthorne Court Truro Business Park Threemilestone Truro, TR4 9NY
12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure	Others
12.1. Description of the other procedure of selecting the body implementing the financial instrument	Entrustment of a publicly owned company, via inter-administrative co-operation between the managing authority and The Secretary of State for Business, Energy and Industrial Strategy (BEIS) in accordance with Article 38 (1)(b) & 38 4(b) (iii) of the CPR, and s3.6.2 of Commission Notice 2016/C 276/01
13. Date of signature of the funding agreement with the body implementing the financial instrument	21-Feb-2018
IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)	
14. Total amount of programme contributions committed in the funding agreement (in EUR)	22,573,363.00
14.1. out of which ESI Funds contributions (in EUR)	17,772,000.00
14.1.1. out of which ERDF (in EUR) (optional)	
14.1.2. out of which Cohesion Fund (in EUR) (optional)	
14.1.3. out of which ESF (in EUR) (optional)	
14.1.4. out of which EAFRD (in EUR) (optional)	
14.1.5. out of which EMFF (in EUR) (optional)	
15. Total amount of programme contributions paid to the financial instrument (in EUR)	11,440,500.00
15.1. out of which amount of ESI Funds contributions (in EUR)	9,152,400.00
15.1.1. out of which ERDF (in EUR)	9,152,400.00
15.1.2. out of which Cohesion Fund (in EUR)	
15.1.3. out of which ESF (in EUR)	
15.1.4. out of which EAFRD (in EUR)	
15.1.5. out of which EMFF (in EUR)	
15.2. out of which total amount of national co-financing	2,288,100.00

(in EUR)	
15.2.1. out of which total amount of national public funding (in EUR)	1,430,062.00
15.2.2. out of which total amount of national private funding (in EUR)	858,038.00
16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR)	
17. Total amount of management costs and fees paid out of programme contributions (in EUR)	0.00
17.1. out of which base remuneration (in EUR)	
17.2. out of which performance-based remuneration (in EUR)	
18. Capitalised management costs or fees pursuant to Article 42(2) of Regulation (EU) No 1303/2013 (relevant only for final report) (in EUR)	
19. Capitalised interest rate subsidies or guarantee fee subsidies pursuant to Article 42(1)(c) of Regulation (EU) No 1303/2013 (relevant to final report only) (in EUR)	
20. Amount of programme contributions for follow-on investments in final recipients pursuant to Article 42(3) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
V. Total amount of support paid to final recipients, or to the benefit of final recipients, or committed in guarantee contracts by the financial instrument for investments in final recipients, by ESI Funds programme and priority or measure (Article 46(2)(e) of Regulation (EU) No 1303/2013)	
22. Name of financial product offered by the financial instrument	<i>CIoS PA3 - Loan Fund</i>
22.1. Type of financial product offered by the financial instrument	Loan
24. Total amount of programme contributions committed in this loan, guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR)	3,009,527.12
24.1. out of which total amount of ESI Funds contributions (in EUR)	2,407,621.70
25. Total amount of programme contributions paid to final recipients through this loan, micro-loans, equity or other products, or, in the case of guarantee, committed for loans paid to final recipients, by product (in EUR)	3,009,527.12
25.1. out of which total amount of ESI Funds contributions (in EUR)	2,407,621.70
25.1.1. out of which ERDF (in EUR)	2,407,621.70
25.1.2. out of which Cohesion Fund (in EUR)	

25.1.3. out of which ESF (in EUR)	
25.1.4. out of which EAFRD (in EUR)	
25.1.5. out of which EMFF (in EUR)	
25.2. out of which total amount of national public co-financing (in EUR)	376,190.89
25.3. out of which total amount of national private co-financing (in EUR)	225,714.53
27. Number of loan/guarantee/equity or quasi-equity/other financial product contracts signed with final recipients, by product	23
28. Number of loan/guarantee/equity or quasi-equity/other financial product investments made in final recipients, by product	23
29. Number of financial recipients supported by the financial product	23
29.1. out of which large enterprises	
29.2. out of which SMEs	23
29.2.1. out of which microenterprises	
29.3. out of which individuals/natural persons	
29.4. out of which other type of final recipients supported	
29.4.1. description of other type of final recipients supported	
33. Total number of disbursed loans defaulted or total number of guarantees provided and called due to the loan default	
34. Total amount of disbursed loans defaulted (in EUR) or total amount committed for guarantees provided and called due to loan default (in EUR)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.3. Total amount of other contributions, outside the ESI Funds mobilised at the level of final recipients (in EUR)	225,714.53
38.3.1. out of which public contributions (EUR)	
38.3.2. out of which private contributions (EUR)	225,714.53
38.3A. Contribution under EIB financial product mobilised at the level of final recipient (only for the instruments under Article 38(1)(c)) (in EUR)	
39. Expected and achieved leverage effect, by reference to the funding agreement	
39.1. Expected leverage effect for loan/guarantee/equity or quasi-equity investment/other financial product, by reference to the funding agreement, by product	1.25
39.2. Achieved leverage effect at the end of reporting year for loan/guarantee/equity or quasi-equity investment/other financial product, by product	1.09
39.3. Investment mobilised through ESIF financial instruments for loan/guarantee/equity and quasi-equity investment, by product (Optional)	0.00

22. Name of financial product offered by the financial instrument	CIoS PA3 - Equity Fund
22.1. Type of financial product offered by the financial instrument	Equity
24. Total amount of programme contributions committed in this loan, guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR)	4,951,009.12
24.1. out of which total amount of ESI Funds contributions (in EUR)	3,960,807.30
25. Total amount of programme contributions paid to final recipients through this loan, micro-loans, equity or other products, or, in the case of guarantee, committed for loans paid to final recipients, by product (in EUR)	4,951,009.12
25.1. out of which total amount of ESI Funds contributions (in EUR)	3,960,807.30
25.1.1. out of which ERDF (in EUR)	3,960,807.30
25.1.2. out of which Cohesion Fund (in EUR)	
25.1.3. out of which ESF (in EUR)	
25.1.4. out of which EAFRD (in EUR)	
25.1.5. out of which EMFF (in EUR)	
25.2. out of which total amount of national public co-financing (in EUR)	618,876.14
25.3. out of which total amount of national private co-financing (in EUR)	371,325.68
27. Number of loan/guarantee/equity or quasi-equity/other financial product contracts signed with final recipients, by product	9
28. Number of loan/guarantee/equity or quasi-equity/other financial product investments made in final recipients, by product	9
29. Number of financial recipients supported by the financial product	9
29.1. out of which large enterprises	
29.2. out of which SMEs	9
29.2.1. out of which microenterprises	
29.3. out of which individuals/natural persons	
29.4. out of which other type of final recipients supported	
29.4.1. description of other type of final recipients supported	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.3. Total amount of other contributions, outside the ESI Funds mobilised at the level of final recipients (in EUR)	990,201.82
38.3.1. out of which public contributions (EUR)	618,876.14
38.3.2. out of which private contributions (EUR)	371,325.68
38.3A. Contribution under EIB financial product	

mobilised at the level of final recipient (only for the instruments under Article 38(1)(c)) (in EUR)	
39. Expected and achieved leverage effect, by reference to the funding agreement	
39.1. Expected leverage effect for loan/guarantee/equity or quasi-equity investment/other financial product, by reference to the funding agreement, by product	1.25
39.2. Achieved leverage effect at the end of reporting year for loan/guarantee/equity or quasi-equity investment/other financial product, by product	1.25
39.3. Investment mobilised through ESIF financial instruments for loan/guarantee/equity and quasi-equity investment, by product (Optional)	0.00
40. Value of investments and participations in equity (in EUR)	4,951,009.12
VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)	
32. Information whether the financial instrument was still operational at the end of the reporting year	Yes
32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up	
VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)	
35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR)	
36. Amounts repaid to the financial instrument attributable to support from ESI Funds by the end of the reporting year (in EUR)	
36.1. out of which capital repayments (in EUR)	
36.2. out of which gains, other earnings and yields (in EUR)	
37. Amounts of resources attributable to ESI Funds used in accordance with Article 44 and 43a	
37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR)	
37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR)	
37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the financial instrument resulting from negative interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in	

EUR)	
VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR)	9,152,400.00
38.1A. Contributions under EIB financial product committed in the funding agreement with the body implementing the financial instrument (only for the instruments under Article 38(1)(c)) (in EUR)	
38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR)	2,288,100.00
38.2.1. out of which public contributions (EUR)	1,430,062.00
38.2.2. out of which private contributions (EUR)	858,038.00
38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR)	
IX. Contribution of the financial instrument to the achievement of the indicators of the priority or measure concerned (Article 46(2)(j) of Regulation (EU) No 1303/2013)	
41. Output indicator (code number and name) to which the financial instrument contributes	CO03 - Productive investment: Number of enterprises receiving financial support other than grants
41.1. Target value of the output indicator	92.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	31.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO04 - Productive investment: Number of enterprises receiving non-financial support
41.1. Target value of the output indicator	5.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	0.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO05 - Productive investment: Number of new enterprises supported
41.1. Target value of the output indicator	3.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	4.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO07 - Productive investment: Private investment matching public support to enterprises (non-grants)
41.1. Target value of the output indicator	17,941,616.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	426,398.87
41. Output indicator (code number and name) to which the financial instrument contributes	CO28 - Research, Innovation: Number of enterprises supported to introduce new to the market products
41.1. Target value of the output indicator	2.00

41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	1.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO29 - Research, Innovation: Number of enterprises supported to introduce new to the firm products
41.1. Target value of the output indicator	10.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	1.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO01 - Productive investment: Number of enterprises receiving support
41.1. Target value of the output indicator	97.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	31.00

I. Identification of the programme and priority or measure from which support from the ESI Funds is provided (Article 46(2)(a) of Regulation (EU) No 1303/2013)	
1. Priority axes or measures supporting the financial instrument, including fund of funds, under the ESI Fund programme.	
1.1. Priority axis supporting the financial instrument under the ESI Fund programme	3 - Enhancing the Competitiveness of SMEs
2. Name of ESI Fund(s) supporting the financial instrument under the priority axis or measure	ERDF
3. Thematic objective(s) referred to in the first paragraph of Article 9 of Regulation (EU) No 1303/2013 supported by the financial instrument	03 - Enhancing the competitiveness of small and medium-sized enterprises, the agricultural sector (for the EAFRD) and the fisheries and aquaculture sector (for the EMFF)
3.1. Amount of ESI Funds committed in funding agreements from the individual thematic objective chosen in field 3 (optional)	39,503,386.00
4. other ESI Fund programmes providing contribution to the financial instrument	
4.1. CCI number of each other ESI Fund programme providing contributions to the financial instrument	
30. Date of completion of the ex ante assessment	04-Oct-2017
31. Selection of bodies implementing financial instrument	
31.1. Has selection or designation process already been launched	Yes
II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)	
5. Name of the financial instrument	Greater London Investment Fund - PA3
6. Official address/place of business of the financial instrument (name of the country and city)	Fourth Floor Aldwych House 71-91 Aldwych London WC2B 4HN
7. Implementation arrangements	
7.1. Financial instruments set up at Union level, managed directly or indirectly by the Commission referred to in Article 38(1)(a) of Regulation (EU) No 1303/2013, supported from ESI Fund programme contributions	No

7.1.1. Name of the Union-level financial instrument	
7.2. Financial instrument set up at national, regional, transnational or cross-border level, managed by or under the responsibility of the managing authority referred to in Article 38(1)(b), supported from ESI Fund programme contributions under point (a), (b), (c) and d) of Article 38(4) of Regulation (EU) No 1303/2013	Entrustment of implementation tasks to another body governed by public or private law
7.3. Financial instrument combining financial contribution from MA with EIB financial products under European Fund for Strategic Investment in accordance with Article 39a, referred to in Article 38(1)(c)	
8. Type of the financial instrument	Fund of funds
10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial institution	Fiduciary account
III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)	
11. Body implementing the financial instrument	
11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European Investment Bank; the European Investment Fund; international financial institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only)	Body governed by public or private law
11.1.1. Name of the body implementing the financial instrument	Funding London
11.1.2. Official address/place of business (country and town name) of the body implementing the financial instrument	First Floor Aldwych House 71-91 Aldwych London WC2B 4HN
12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure	Others
12.1. Description of the other procedure of selecting the body implementing the financial instrument	In house contract award
13. Date of signature of the funding agreement with the body implementing the financial instrument	31-Jul-2018
IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)	
14. Total amount of programme contributions committed in the funding agreement (in EUR)	112,866,817.00
14.1. out of which ESI Funds contributions (in EUR)	39,503,386.00
14.1.1. out of which ERDF (in EUR) (optional)	39,503,386.00
14.1.2. out of which Cohesion Fund (in EUR) (optional)	

14.1.3. out of which ESF (in EUR) (optional)	
14.1.4. out of which EAFRD (in EUR) (optional)	
14.1.5. out of which EMFF (in EUR) (optional)	
15. Total amount of programme contributions paid to the financial instrument (in EUR)	56,459,250.00
15.1. out of which amount of ESI Funds contributions (in EUR)	19,760,737.00
15.1.1. out of which ERDF (in EUR)	19,760,737.00
15.1.2. out of which Cohesion Fund (in EUR)	
15.1.3. out of which ESF (in EUR)	
15.1.4. out of which EAFRD (in EUR)	
15.1.5. out of which EMFF (in EUR)	
15.2. out of which total amount of national co-financing (in EUR)	36,698,513.00
15.2.1. out of which total amount of national public funding (in EUR)	9,725,106.00
15.2.2. out of which total amount of national private funding (in EUR)	26,973,407.00
16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR)	
17. Total amount of management costs and fees paid out of programme contributions (in EUR)	0.00
17.1. out of which base remuneration (in EUR)	
17.2. out of which performance-based remuneration (in EUR)	
21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)	
32. Information whether the financial instrument was still operational at the end of the reporting year	Yes
32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up	
VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)	
35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR)	2,927,416.00
37. Amounts of resources attributable to ESI Funds used in accordance with Article 44 and 43a	
37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR)	

37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR)	
37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the financial instrument resulting from negative interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in EUR)	
VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR)	85,517,950.00
38.1A. Contributions under EIB financial product committed in the funding agreement with the body implementing the financial instrument (only for the instruments under Article 38(1)(c)) (in EUR)	
38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR)	36,698,513.00
38.2.1. out of which public contributions (EUR)	9,725,106.00
38.2.2. out of which private contributions (EUR)	26,973,407.00
38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR)	

II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)	
5. Name of the financial instrument	Greater London Investment Fund PA3
6. Official address/place of business of the financial instrument (name of the country and city)	Fourth Floor Aldwych House 71-91 Aldwych London WC2B 4HN
8. Type of the financial instrument	Fund of funds specific fund
8.2. Related Fund of Funds	Greater London Investment Fund - PA3
8.1. Tailor-made or financial instruments complying with standard terms and conditions i.e. "off-the-shelf instruments"	Tailor-made
9. Type of products provided by financial instrument: loans, micro-loans, guarantees, equity, or quasi-equity investments, other financial product or other support combined within the financial instrument pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
9.0.1. Loans (\geq EUR 25,000)	Yes
9.0.2. Micro-loans ($<$ EUR 25,000 and provided to micro-enterprises) according to SEC/2011/1134 final	No
9.0.3. Guarantees	No
9.0.4. Equity	Yes
9.0.5. Quasi-equity	No

9.0.6. Other financial products	No
9.0.7. Other support combined with a financial instrument	No
9.1. Description of the other financial product	
9.2. Other support combined within the financial instrument: grant, interest rate subsidy, guarantee fee subsidy pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial institution	Fiduciary account
III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)	
11. Body implementing the financial instrument	
11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European Investment Bank; the European Investment Fund; international financial institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only)	Existing or newly created legal entity
11.1.1. Name of the body implementing the financial instrument	Funding London
11.1.2. Official address/place of business (country and town name) of the body implementing the financial instrument	First Floor Aldwych House 71-91 Aldwych London WC2B 4HN
12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure	Others
12.1. Description of the other procedure of selecting the body implementing the financial instrument	In house award
13. Date of signature of the funding agreement with the body implementing the financial instrument	31-Jul-2018
IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)	
14. Total amount of programme contributions committed in the funding agreement (in EUR)	112,866,817.00
14.1. out of which ESI Funds contributions (in EUR)	39,503,386.00
14.1.1. out of which ERDF (in EUR) (optional)	39,503,386.00
14.1.2. out of which Cohesion Fund (in EUR) (optional)	
14.1.3. out of which ESF (in EUR) (optional)	
14.1.4. out of which EAFRD (in EUR) (optional)	
14.1.5. out of which EMFF (in EUR) (optional)	
15. Total amount of programme contributions paid to the financial instrument (in EUR)	56,459,250.00
15.1. out of which amount of ESI Funds contributions (in EUR)	19,760,737.00

15.1.1. out of which ERDF (in EUR)	19,760,737.00
15.1.2. out of which Cohesion Fund (in EUR)	
15.1.3. out of which ESF (in EUR)	
15.1.4. out of which EAFRD (in EUR)	
15.1.5. out of which EMFF (in EUR)	
15.2. out of which total amount of national co-financing (in EUR)	36,698,513.00
15.2.1. out of which total amount of national public funding (in EUR)	9,725,106.00
15.2.2. out of which total amount of national private funding (in EUR)	26,973,407.00
16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR)	
17. Total amount of management costs and fees paid out of programme contributions (in EUR)	0.00
17.1. out of which base remuneration (in EUR)	
17.2. out of which performance-based remuneration (in EUR)	
18. Capitalised management costs or fees pursuant to Article 42(2) of Regulation (EU) No 1303/2013 (relevant only for final report) (in EUR)	
19. Capitalised interest rate subsidies or guarantee fee subsidies pursuant to Article 42(1)(c) of Regulation (EU) No 1303/2013 (relevant to final report only) (in EUR)	
20. Amount of programme contributions for follow-on investments in final recipients pursuant to Article 42(3) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
V. Total amount of support paid to final recipients, or to the benefit of final recipients, or committed in guarantee contracts by the financial instrument for investments in final recipients, by ESI Funds programme and priority or measure (Article 46(2)(e) of Regulation (EU) No 1303/2013)	
22. Name of financial product offered by the financial instrument	Loan Fund
22.1. Type of financial product offered by the financial instrument	Loan
24. Total amount of programme contributions committed in this loan, guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR)	
24.1. out of which total amount of ESI Funds contributions (in EUR)	
25. Total amount of programme contributions paid to final recipients through this loan, micro-loans, equity or other products, or, in the case of guarantee, committed for loans paid to final recipients, by product (in EUR)	
25.1. out of which total amount of ESI Funds contributions (in EUR)	
25.1.1. out of which ERDF (in EUR)	
25.1.2. out of which Cohesion Fund (in EUR)	
25.1.3. out of which ESF (in EUR)	
25.1.4. out of which EAFRD (in EUR)	
25.1.5. out of which EMFF (in EUR)	
25.2. out of which total amount of national public co-financing (in EUR)	
25.3. out of which total amount of national private co-financing (in EUR)	
27. Number of loan/guarantee/equity or quasi-equity/other financial product contracts signed with final recipients, by product	
28. Number of loan/guarantee/equity or quasi-equity/other financial	

product investments made in final recipients, by product	
29. Number of financial recipients supported by the financial product	
29.1. out of which large enterprises	
29.2. out of which SMEs	
29.2.1. out of which microenterprises	
29.3. out of which individuals/natural persons	
29.4. out of which other type of final recipients supported	
29.4.1. description of other type of final recipients supported	
33. Total number of disbursed loans defaulted or total number of guarantees provided and called due to the loan default	
34. Total amount of disbursed loans defaulted (in EUR) or total amount committed for guarantees provided and called due to loan default (in EUR)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.3. Total amount of other contributions, outside the ESI Funds mobilised at the level of final recipients (in EUR)	
38.3.1. out of which public contributions (EUR)	
38.3.2. out of which private contributions (EUR)	
38.3A. Contribution under EIB financial product mobilised at the level of final recipient (only for the instruments under Article 38(1)(c)) (in EUR)	
39. Expected and achieved leverage effect, by reference to the funding agreement	
39.1. Expected leverage effect for loan/guarantee/equity or quasi-equity investment/other financial product, by reference to the funding agreement, by product	0.00
39.2. Achieved leverage effect at the end of reporting year for loan/guarantee/equity or quasi-equity investment/other financial product, by product	
39.3. Investment mobilised through ESIF financial instruments for loan/guarantee/equity and quasi-equity investment, by product (Optional)	0.00
22. Name of financial product offered by the financial instrument	Mezzanine Fund
22.1. Type of financial product offered by the financial instrument	Loan
24. Total amount of programme contributions committed in this loan, guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR)	43,950,338.00
24.1. out of which total amount of ESI Funds contributions (in EUR)	43,950,338.00
25. Total amount of programme contributions paid to final recipients through this loan, micro-loans, equity or other products, or, in the case of guarantee, committed for loans paid to final recipients, by product (in EUR)	17,405,448.00
25.1. out of which total amount of ESI Funds contributions (in EUR)	17,405,448.00
25.1.1. out of which ERDF (in EUR)	17,405,448.00
25.1.2. out of which Cohesion Fund (in EUR)	
25.1.3. out of which ESF (in EUR)	
25.1.4. out of which EAFRD (in EUR)	
25.1.5. out of which EMFF (in EUR)	
25.2. out of which total amount of national public co-financing (in EUR)	
25.3. out of which total amount of national private co-financing (in EUR)	
27. Number of loan/guarantee/equity or quasi-equity/other financial	55

product contracts signed with final recipients, by product	
28. Number of loan/guarantee/equity or quasi-equity/other financial product investments made in final recipients, by product	
29. Number of financial recipients supported by the financial product	55
29.1. out of which large enterprises	
29.2. out of which SMEs	55
29.2.1. out of which microenterprises	
29.3. out of which individuals/natural persons	
29.4. out of which other type of final recipients supported	
29.4.1. description of other type of final recipients supported	
33. Total number of disbursed loans defaulted or total number of guarantees provided and called due to the loan default	1
34. Total amount of disbursed loans defaulted (in EUR) or total amount committed for guarantees provided and called due to loan default (in EUR)	294,716.00
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.3. Total amount of other contributions, outside the ESI Funds mobilised at the level of final recipients (in EUR)	
38.3.1. out of which public contributions (EUR)	
38.3.2. out of which private contributions (EUR)	
38.3A. Contribution under EIB financial product mobilised at the level of final recipient (only for the instruments under Article 38(1)(c)) (in EUR)	
39. Expected and achieved leverage effect, by reference to the funding agreement	
39.1. Expected leverage effect for loan/guarantee/equity or quasi-equity investment/other financial product, by reference to the funding agreement, by product	1.00
39.2. Achieved leverage effect at the end of reporting year for loan/guarantee/equity or quasi-equity investment/other financial product, by product	1.00
39.3. Investment mobilised through ESIF financial instruments for loan/guarantee/equity and quasi-equity investment, by product (Optional)	0.00
22. Name of financial product offered by the financial instrument	<i>Venture Fund</i>
22.1. Type of financial product offered by the financial instrument	Equity
24. Total amount of programme contributions committed in this loan, guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR)	
24.1. out of which total amount of ESI Funds contributions (in EUR)	
25. Total amount of programme contributions paid to final recipients through this loan, micro-loans, equity or other products, or, in the case of guarantee, committed for loans paid to final recipients, by product (in EUR)	
25.1. out of which total amount of ESI Funds contributions (in EUR)	
25.1.1. out of which ERDF (in EUR)	
25.1.2. out of which Cohesion Fund (in EUR)	
25.1.3. out of which ESF (in EUR)	
25.1.4. out of which EAFRD (in EUR)	
25.1.5. out of which EMFF (in EUR)	
25.2. out of which total amount of national public co-financing (in EUR)	

25.3. out of which total amount of national private co-financing (in EUR)	
27. Number of loan/guarantee/equity or quasi-equity/other financial product contracts signed with final recipients, by product	
28. Number of loan/guarantee/equity or quasi-equity/other financial product investments made in final recipients, by product	
29. Number of financial recipients supported by the financial product	
29.1. out of which large enterprises	
29.2. out of which SMEs	
29.2.1. out of which microenterprises	
29.3. out of which individuals/natural persons	
29.4. out of which other type of final recipients supported	
29.4.1. description of other type of final recipients supported	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.3. Total amount of other contributions, outside the ESI Funds mobilised at the level of final recipients (in EUR)	
38.3.1. out of which public contributions (EUR)	
38.3.2. out of which private contributions (EUR)	
38.3A. Contribution under EIB financial product mobilised at the level of final recipient (only for the instruments under Article 38(1)(c)) (in EUR)	
39. Expected and achieved leverage effect, by reference to the funding agreement	
39.1. Expected leverage effect for loan/guarantee/equity or quasi-equity investment/other financial product, by reference to the funding agreement, by product	0.00
39.2. Achieved leverage effect at the end of reporting year for loan/guarantee/equity or quasi-equity investment/other financial product, by product	
39.3. Investment mobilised through ESIF financial instruments for loan/guarantee/equity and quasi-equity investment, by product (Optional)	0.00
40. Value of investments and participations in equity (in EUR)	
VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)	
32. Information whether the financial instrument was still operational at the end of the reporting year	
32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up	
VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)	
35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR)	
36. Amounts repaid to the financial instrument attributable to support from ESI Funds by the end of the reporting year (in EUR)	
36.1. out of which capital repayments (in EUR)	
36.2. out of which gains, other earnings and yields (in EUR)	
37. Amounts of resources attributable to ESI Funds used in accordance	

with Article 44 and 43a	
37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR)	
37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR)	
37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the financial instrument resulting from negative interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in EUR)	
VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR)	85,517,950.00
38.1A. Contributions under EIB financial product committed in the funding agreement with the body implementing the financial instrument (only for the instruments under Article 38(1)(c)) (in EUR)	0.00
38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR)	36,698,513.00
38.2.1. out of which public contributions (EUR)	9,725,106.00
38.2.2. out of which private contributions (EUR)	26,973,407.00
38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR)	0.00
IX. Contribution of the financial instrument to the achievement of the indicators of the priority or measure concerned (Article 46(2)(j) of Regulation (EU) No 1303/2013)	
41. Output indicator (code number and name) to which the financial instrument contributes	CO01 - Productive investment: Number of enterprises receiving support
41.1. Target value of the output indicator	170.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	88.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO07 - Productive investment: Private investment matching public support to enterprises (non-grants)
41.1. Target value of the output indicator	223,797,000.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	232,601,607.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO08 - Productive investment: Employment increase in supported enterprises

41.1. Target value of the output indicator	1,804.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	890.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO03 - Productive investment: Number of enterprises receiving financial support other than grants
41.1. Target value of the output indicator	170.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	88.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO05 - Productive investment: Number of new enterprises supported
41.1. Target value of the output indicator	21.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	21.00

I. Identification of the programme and priority or measure from which support from the ESI Funds is provided (Article 46(2)(a) of Regulation (EU) No 1303/2013)	
1. Priority axes or measures supporting the financial instrument, including fund of funds, under the ESI Fund programme.	
1.1. Priority axis supporting the financial instrument under the ESI Fund programme	1 - Promoting Research and Innovation
2. Name of ESI Fund(s) supporting the financial instrument under the priority axis or measure	ERDF
3. Thematic objective(s) referred to in the first paragraph of Article 9 of Regulation (EU) No 1303/2013 supported by the financial instrument	01 - Strengthening research, technological development and innovation
3.1. Amount of ESI Funds committed in funding agreements from the individual thematic objective chosen in field 3 (optional)	33,211,558.00
4. other ESI Fund programmes providing contribution to the financial instrument	
4.1. CCI number of each other ESI Fund programme providing contributions to the financial instrument	
30. Date of completion of the ex ante assessment	18-Nov-2016
31. Selection of bodies implementing financial instrument	
31.1. Has selection or designation process already been launched	Yes
II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)	
5. Name of the financial instrument	Greater Manchester UDF - North West Evergreen Fund 2 - PA1
6. Official address/place of business of the financial instrument (name of the country and city)	Greater Manchester Combined Authority, Churchgate House, Oxford Street, Manchester, M1 6EU
7. Implementation arrangements	
7.1. Financial instruments set up at Union level, managed directly or	No

indirectly by the Commission referred to in Article 38(1)(a) of Regulation (EU) No 1303/2013, supported from ESI Fund programme contributions	
7.1.1. Name of the Union-level financial instrument	
7.2. Financial instrument set up at national, regional, transnational or cross-border level, managed by or under the responsibility of the managing authority referred to in Article 38(1)(b), supported from ESI Fund programme contributions under point (a), (b), (c) and d) of Article 38(4) of Regulation (EU) No 1303/2013	Entrustment of implementation tasks, through the direct award of a contract
7.3. Financial instrument combining financial contribution from MA with EIB financial products under European Fund for Strategic Investment in accordance with Article 39a, referred to in Article 38(1)(c)	
8. Type of the financial instrument	Fund of funds
10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial institution	Fiduciary account
III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)	
11. Body implementing the financial instrument	
11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European Investment Bank; the European Investment Fund; international financial institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only)	Body governed by public or private law
11.1.1. Name of the body implementing the financial instrument	Greater Manchester FoF Limited Partnership
11.1.2. Official address/place of business (country and town name) of the body implementing the financial instrument	Churchgate House, Oxford Street, Manchester, M1 6EU
12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure	Interadministrative cooperation
12.1. Description of the other procedure of selecting the body implementing the financial instrument	
13. Date of signature of the funding agreement with the body implementing the financial instrument	22-Nov-2016
IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)	
14. Total amount of programme contributions committed in the funding agreement (in EUR)	66,423,116.00
14.1. out of which ESI Funds contributions (in EUR)	33,211,558.00

14.1.1. out of which ERDF (in EUR) (optional)	33,211,558.00
14.1.2. out of which Cohesion Fund (in EUR) (optional)	
14.1.3. out of which ESF (in EUR) (optional)	
14.1.4. out of which EAFRD (in EUR) (optional)	
14.1.5. out of which EMFF (in EUR) (optional)	
15. Total amount of programme contributions paid to the financial instrument (in EUR)	39,343,250.00
15.1. out of which amount of ESI Funds contributions (in EUR)	16,605,778.00
15.1.1. out of which ERDF (in EUR)	16,605,778.00
15.1.2. out of which Cohesion Fund (in EUR)	
15.1.3. out of which ESF (in EUR)	
15.1.4. out of which EAFRD (in EUR)	
15.1.5. out of which EMFF (in EUR)	
15.2. out of which total amount of national co-financing (in EUR)	22,737,472.00
15.2.1. out of which total amount of national public funding (in EUR)	22,737,472.00
15.2.2. out of which total amount of national private funding (in EUR)	
16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR)	
17. Total amount of management costs and fees paid out of programme contributions (in EUR)	87,684.00
17.1. out of which base remuneration (in EUR)	87,684.00
17.2. out of which performance-based remuneration (in EUR)	
21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)	
32. Information whether the financial instrument was still operational at the end of the reporting year	Yes
32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up	
VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)	
35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR)	55,432.00
37. Amounts of resources attributable to ESI Funds used in accordance with Article 44 and 43a	
37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR)	
37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR)	

37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the financial instrument resulting from negative interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in EUR)	
VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR)	33,211,558.00
38.1A. Contributions under EIB financial product committed in the funding agreement with the body implementing the financial instrument (only for the instruments under Article 38(1)(c)) (in EUR)	
38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR)	22,737,472.00
38.2.1. out of which public contributions (EUR)	22,737,472.00
38.2.2. out of which private contributions (EUR)	
38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR)	

II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)	
5. Name of the financial instrument	Greater Manchester UDF - North West Evergreen Fund 2 - PA1
6. Official address/place of business of the financial instrument (name of the country and city)	CBRE Indirect Investment Services Limited, St Martin's Court, 10 Paternoster Row, London, EC4M 7HP
8. Type of the financial instrument	Fund of funds specific fund
8.2. Related Fund of Funds	Greater Manchester UDF - North West Evergreen Fund 2 - PA1
8.1. Tailor-made or financial instruments complying with standard terms and conditions i.e. "off-the-shelf instruments"	Tailor-made
9. Type of products provided by financial instrument: loans, micro-loans, guarantees, equity, or quasi-equity investments, other financial product or other support combined within the financial instrument pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
9.0.1. Loans (\geq EUR 25,000)	Yes
9.0.2. Micro-loans ($<$ EUR 25,000 and provided to micro-enterprises) according to SEC/2011/1134 final	No
9.0.3. Guarantees	No
9.0.4. Equity	No
9.0.5. Quasi-equity	No
9.0.6. Other financial products	No
9.0.7. Other support combined with a financial instrument	No

9.1. Description of the other financial product	
9.2. Other support combined within the financial instrument: grant, interest rate subsidy, guarantee fee subsidy pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial institution	Fiduciary account
III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)	
11. Body implementing the financial instrument	
11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European Investment Bank; the European Investment Fund; international financial institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only)	Body governed by public or private law
11.1.1. Name of the body implementing the financial instrument	CBRE Indirect Investment Services Limited
11.1.2. Official address/place of business (country and town name) of the body implementing the financial instrument	St Martin's Court, 10 Paternoster Row, London, EC4M 7HP
12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure	Selection in accordance with the provisions of the public procurement directive
12.1. Description of the other procedure of selecting the body implementing the financial instrument	
13. Date of signature of the funding agreement with the body implementing the financial instrument	12-Jul-2017
IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)	
14. Total amount of programme contributions committed in the funding agreement (in EUR)	66,423,115.00
14.1. out of which ESI Funds contributions (in EUR)	33,211,558.00
14.1.1. out of which ERDF (in EUR) (optional)	
14.1.2. out of which Cohesion Fund (in EUR) (optional)	
14.1.3. out of which ESF (in EUR) (optional)	
14.1.4. out of which EAFRD (in EUR) (optional)	
14.1.5. out of which EMFF (in EUR) (optional)	
15. Total amount of programme contributions paid to the financial instrument (in EUR)	10,034,001.00
15.1. out of which amount of ESI Funds contributions (in EUR)	9,936,186.00

15.1.1. out of which ERDF (in EUR)	9,936,186.00
15.1.2. out of which Cohesion Fund (in EUR)	
15.1.3. out of which ESF (in EUR)	
15.1.4. out of which EAFRD (in EUR)	
15.1.5. out of which EMFF (in EUR)	
15.2. out of which total amount of national co-financing (in EUR)	97,815.00
15.2.1. out of which total amount of national public funding (in EUR)	97,815.00
15.2.2. out of which total amount of national private funding (in EUR)	
16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR)	
17. Total amount of management costs and fees paid out of programme contributions (in EUR)	195,632.00
17.1. out of which base remuneration (in EUR)	195,632.00
17.2. out of which performance-based remuneration (in EUR)	
18. Capitalised management costs or fees pursuant to Article 42(2) of Regulation (EU) No 1303/2013 (relevant only for final report) (in EUR)	
19. Capitalised interest rate subsidies or guarantee fee subsidies pursuant to Article 42(1)(c) of Regulation (EU) No 1303/2013 (relevant to final report only) (in EUR)	
20. Amount of programme contributions for follow-on investments in final recipients pursuant to Article 42(3) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
V. Total amount of support paid to final recipients, or to the benefit of final recipients, or committed in guarantee contracts by the financial instrument for investments in final recipients, by ESI Funds programme and priority or measure (Article 46(2)(e) of Regulation (EU) No 1303/2013)	
22. Name of financial product offered by the financial instrument	North West Evergreen Fund
22.1. Type of financial product offered by the financial instrument	Loan
24. Total amount of programme contributions committed in this loan, guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR)	41,215,543.00
24.1. out of which total amount of ESI Funds contributions (in EUR)	20,607,771.00
25. Total amount of programme contributions paid to final recipients through this loan, micro-loans, equity or other products, or, in the case of guarantee, committed for loans paid to final recipients, by product (in EUR)	9,838,370.00
25.1. out of which total amount of ESI Funds contributions (in EUR)	9,838,370.00
25.1.1. out of which ERDF (in EUR)	9,838,370.00
25.1.2. out of which Cohesion Fund (in EUR)	
25.1.3. out of which ESF (in EUR)	
25.1.4. out of which EAFRD (in EUR)	
25.1.5. out of which EMFF (in EUR)	

25.2. out of which total amount of national public co-financing (in EUR)	
25.3. out of which total amount of national private co-financing (in EUR)	
27. Number of loan/guarantee/equity or quasi-equity/other financial product contracts signed with final recipients, by product	3
28. Number of loan/guarantee/equity or quasi-equity/other financial product investments made in final recipients, by product	3
29. Number of financial recipients supported by the financial product	3
29.1. out of which large enterprises	3
29.2. out of which SMEs	0
29.2.1. out of which microenterprises	
29.3. out of which individuals/natural persons	
29.4. out of which other type of final recipients supported	
29.4.1. description of other type of final recipients supported	
33. Total number of disbursed loans defaulted or total number of guarantees provided and called due to the loan default	
34. Total amount of disbursed loans defaulted (in EUR) or total amount committed for guarantees provided and called due to loan default (in EUR)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.3. Total amount of other contributions, outside the ESI Funds mobilised at the level of final recipients (in EUR)	9,838,370.00
38.3.1. out of which public contributions (EUR)	1,605,225.00
38.3.2. out of which private contributions (EUR)	8,233,145.00
38.3A. Contribution under EIB financial product mobilised at the level of final recipient (only for the instruments under Article 38(1)(c)) (in EUR)	
39. Expected and achieved leverage effect, by reference to the funding agreement	
39.1. Expected leverage effect for loan/guarantee/equity or quasi-equity investment/other financial product, by reference to the funding agreement, by product	0.00
39.2. Achieved leverage effect at the end of reporting year for loan/guarantee/equity or quasi-equity investment/other financial product, by product	1.98
39.3. Investment mobilised through ESIF financial instruments for loan/guarantee/equity and quasi-equity investment, by product (Optional)	
VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)	
32. Information whether the financial instrument was still operational at the end of the reporting year	Yes
32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up	
VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles	

43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)	
35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR)	477,609.00
36. Amounts repaid to the financial instrument attributable to support from ESI Funds by the end of the reporting year (in EUR)	1,702,211.00
36.1. out of which capital repayments (in EUR)	1,605,255.00
36.2. out of which gains, other earnings and yields (in EUR)	96,956.00
37. Amounts of resources attributable to ESI Funds used in accordance with Article 44 and 43a	
37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR)	
37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR)	
37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the financial instrument resulting from negative interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in EUR)	
VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR)	33,211,558.00
38.1A. Contributions under EIB financial product committed in the funding agreement with the body implementing the financial instrument (only for the instruments under Article 38(1)(c)) (in EUR)	
38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR)	97,815.00
38.2.1. out of which public contributions (EUR)	97,815.00
38.2.2. out of which private contributions (EUR)	
38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR)	
IX. Contribution of the financial instrument to the achievement of the indicators of the priority or measure concerned (Article 46(2)(j) of Regulation (EU) No 1303/2013)	
41. Output indicator (code number and name) to which the financial instrument contributes	P2 - Public or commercial buildings built or renovated
41.1. Target value of the output indicator	55,000.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	10,376.00

I. Identification of the programme and priority or measure from which support from the ESI Funds is provided (Article 46(2)(a) of Regulation (EU) No 1303/2013)	
1. Priority axes or measures supporting the financial instrument, including fund of funds, under the ESI Fund programme.	
1.1. Priority axis supporting the financial instrument under the ESI Fund programme	4 - Supporting the Shift Towards a Low Carbon Economy in all Sectors
2. Name of ESI Fund(s) supporting the financial instrument under the priority axis or measure	ERDF
3. Thematic objective(s) referred to in the first paragraph of Article 9 of Regulation (EU) No 1303/2013 supported by the financial instrument	04 - Supporting the shift towards a low-carbon economy in all sectors
3.1. Amount of ESI Funds committed in funding agreements from the individual thematic objective chosen in field 3 (optional)	33,211,558.00
4. other ESI Fund programmes providing contribution to the financial instrument	
4.1. CCI number of each other ESI Fund programme providing contributions to the financial instrument	
30. Date of completion of the ex ante assessment	15-Nov-2016
31. Selection of bodies implementing financial instrument	
31.1. Has selection or designation process already been launched	Yes
II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)	
5. Name of the financial instrument	Greater Manchester UDF - PA4
6. Official address/place of business of the financial instrument (name of the country and city)	Churchgate House, Manchester, M1 6EU
7. Implementation arrangements	
7.1. Financial instruments set up at Union level, managed directly or indirectly by the Commission referred to in Article 38(1)(a) of Regulation (EU) No 1303/2013, supported from ESI Fund programme contributions	
7.1.1. Name of the Union-level financial instrument	
7.2. Financial instrument set up at national, regional, transnational or cross-border level, managed by or under the responsibility of the managing authority referred to in Article 38(1)(b), supported from ESI Fund programme contributions under point (a), (b), (c) and d) of Article 38(4) of Regulation (EU) No 1303/2013	Entrustment of implementation tasks, through the direct award of a contract
7.3. Financial instrument combining financial contribution from MA with EIB financial products under European Fund for Strategic Investment in accordance with Article 39a, referred to in Article 38(1)(c)	
8. Type of the financial instrument	Fund of funds
10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial institution	Fiduciary account
III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of	

Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)	
11. Body implementing the financial instrument	
11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European Investment Bank; the European Investment Fund; international financial institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only)	Body governed by public or private law
11.1.1. Name of the body implementing the financial instrument	Greater Manchester FoF Limited Partnership
11.1.2. Official address/place of business (country and town name) of the body implementing the financial instrument	Churchgate House, Manchester, M1 6EU
12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure	Interadministrative cooperation
12.1. Description of the other procedure of selecting the body implementing the financial instrument	
13. Date of signature of the funding agreement with the body implementing the financial instrument	22-Nov-2016
IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)	
14. Total amount of programme contributions committed in the funding agreement (in EUR)	66,423,116.00
14.1. out of which ESI Funds contributions (in EUR)	33,211,558.00
14.1.1. out of which ERDF (in EUR) (optional)	33,211,558.00
14.1.2. out of which Cohesion Fund (in EUR) (optional)	
14.1.3. out of which ESF (in EUR) (optional)	
14.1.4. out of which EAFRD (in EUR) (optional)	
14.1.5. out of which EMFF (in EUR) (optional)	
15. Total amount of programme contributions paid to the financial instrument (in EUR)	28,166,050.00
15.1. out of which amount of ESI Funds contributions (in EUR)	16,605,778.00
15.1.1. out of which ERDF (in EUR)	16,605,778.00
15.1.2. out of which Cohesion Fund (in EUR)	
15.1.3. out of which ESF (in EUR)	
15.1.4. out of which EAFRD (in EUR)	
15.1.5. out of which EMFF (in EUR)	
15.2. out of which total amount of national co-financing (in EUR)	11,560,272.00
15.2.1. out of which total amount of national public funding (in EUR)	11,560,272.00
15.2.2. out of which total amount of national private funding (in EUR)	
16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR)	
17. Total amount of management costs and fees paid out of programme contributions (in EUR)	87,684.00
17.1. out of which base remuneration (in EUR)	87,684.00
17.2. out of which performance-based remuneration (in EUR)	

21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)	
32. Information whether the financial instrument was still operational at the end of the reporting year	Yes
32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up	
VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)	
35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR)	68,273.00
37. Amounts of resources attributable to ESI Funds used in accordance with Article 44 and 43a	
37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR)	
37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR)	
37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the financial instrument resulting from negative interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in EUR)	
VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR)	33,211,558.00
38.1A. Contributions under EIB financial product committed in the funding agreement with the body implementing the financial instrument (only for the instruments under Article 38(1)(c)) (in EUR)	
38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR)	11,560,272.00
38.2.1. out of which public contributions (EUR)	11,560,272.00
38.2.2. out of which private contributions (EUR)	
38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR)	

II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of

Regulation (EU) No 1303/2013)	
5. Name of the financial instrument	Greater Manchester UDF - Low Carbon Fund - PA4
6. Official address/place of business of the financial instrument (name of the country and city)	GVA Grimley Limited, 3 Brindley Place, Birmingham, B1 2JB
8. Type of the financial instrument	Fund of funds specific fund
8.2. Related Fund of Funds	Greater Manchester UDF - PA4
8.1. Tailor-made or financial instruments complying with standard terms and conditions i.e. "off-the-shelf instruments"	Tailor-made
9. Type of products provided by financial instrument: loans, micro-loans, guarantees, equity, or quasi-equity investments, other financial product or other support combined within the financial instrument pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
9.0.1. Loans (\geq EUR 25,000)	Yes
9.0.2. Micro-loans ($<$ EUR 25,000 and provided to micro-enterprises) according to SEC/2011/1134 final	No
9.0.3. Guarantees	No
9.0.4. Equity	No
9.0.5. Quasi-equity	No
9.0.6. Other financial products	No
9.0.7. Other support combined with a financial instrument	No
9.1. Description of the other financial product	
9.2. Other support combined within the financial instrument: grant, interest rate subsidy, guarantee fee subsidy pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial institution	Fiduciary account
III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)	
11. Body implementing the financial instrument	
11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European Investment Bank; the European Investment Fund; international financial institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only)	Body governed by public or private law
11.1.1. Name of the body implementing the financial instrument	CBRE Indirect Investment Services Limited
11.1.2. Official address/place of business (country and town name) of the	St Martin's Court, 10

body implementing the financial instrument	Paternoster Row, London, EC4M 7HP
12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure	Selection in accordance with the provisions of the public procurement directive
12.1. Description of the other procedure of selecting the body implementing the financial instrument	
13. Date of signature of the funding agreement with the body implementing the financial instrument	12-Jul-2017
IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)	
14. Total amount of programme contributions committed in the funding agreement (in EUR)	33,211,558.00
14.1. out of which ESI Funds contributions (in EUR)	16,605,778.00
14.1.1. out of which ERDF (in EUR) (optional)	
14.1.2. out of which Cohesion Fund (in EUR) (optional)	
14.1.3. out of which ESF (in EUR) (optional)	
14.1.4. out of which EAFRD (in EUR) (optional)	
14.1.5. out of which EMFF (in EUR) (optional)	
15. Total amount of programme contributions paid to the financial instrument (in EUR)	495,846.00
15.1. out of which amount of ESI Funds contributions (in EUR)	247,923.00
15.1.1. out of which ERDF (in EUR)	247,923.00
15.1.2. out of which Cohesion Fund (in EUR)	
15.1.3. out of which ESF (in EUR)	
15.1.4. out of which EAFRD (in EUR)	
15.1.5. out of which EMFF (in EUR)	
15.2. out of which total amount of national co-financing (in EUR)	247,923.00
15.2.1. out of which total amount of national public funding (in EUR)	247,923.00
15.2.2. out of which total amount of national private funding (in EUR)	
16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR)	
17. Total amount of management costs and fees paid out of programme contributions (in EUR)	495,846.00
17.1. out of which base remuneration (in EUR)	495,846.00
17.2. out of which performance-based remuneration (in EUR)	
18. Capitalised management costs or fees pursuant to Article 42(2) of Regulation (EU) No 1303/2013 (relevant only for final report) (in EUR)	
19. Capitalised interest rate subsidies or guarantee fee subsidies pursuant to Article 42(1)(c) of Regulation (EU) No 1303/2013 (relevant to final report only) (in EUR)	
20. Amount of programme contributions for follow-on investments in final recipients pursuant to Article 42(3) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	

V. Total amount of support paid to final recipients, or to the benefit of final recipients, or committed in guarantee contracts by the financial instrument for investments in final recipients, by ESI Funds programme and priority or measure (Article 46(2)(e) of Regulation (EU) No 1303/2013)	
22. Name of financial product offered by the financial instrument	Loan
22.1. Type of financial product offered by the financial instrument	Loan
24. Total amount of programme contributions committed in this loan, guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR)	0.00
24.1. out of which total amount of ESI Funds contributions (in EUR)	0.00
25. Total amount of programme contributions paid to final recipients through this loan, micro-loans, equity or other products, or, in the case of guarantee, committed for loans paid to final recipients, by product (in EUR)	0.00
25.1. out of which total amount of ESI Funds contributions (in EUR)	0.00
25.1.1. out of which ERDF (in EUR)	0.00
25.1.2. out of which Cohesion Fund (in EUR)	
25.1.3. out of which ESF (in EUR)	
25.1.4. out of which EAFRD (in EUR)	
25.1.5. out of which EMFF (in EUR)	
25.2. out of which total amount of national public co-financing (in EUR)	0.00
25.3. out of which total amount of national private co-financing (in EUR)	
27. Number of loan/guarantee/equity or quasi-equity/other financial product contracts signed with final recipients, by product	0
28. Number of loan/guarantee/equity or quasi-equity/other financial product investments made in final recipients, by product	0
29. Number of financial recipients supported by the financial product	0
29.1. out of which large enterprises	
29.2. out of which SMEs	0
29.2.1. out of which microenterprises	
29.3. out of which individuals/natural persons	
29.4. out of which other type of final recipients supported	
29.4.1. description of other type of final recipients supported	
33. Total number of disbursed loans defaulted or total number of guarantees provided and called due to the loan default	
34. Total amount of disbursed loans defaulted (in EUR) or total amount committed for guarantees provided and called due to loan default (in EUR)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.3. Total amount of other contributions, outside the ESI Funds mobilised at the level of final recipients (in EUR)	0.00
38.3.1. out of which public contributions (EUR)	0.00
38.3.2. out of which private contributions (EUR)	
38.3A. Contribution under EIB financial product mobilised at the level of final recipient (only for the instruments under Article 38(1)(c)) (in EUR)	
39. Expected and achieved leverage effect, by reference to the funding agreement	
39.1. Expected leverage effect for loan/guarantee/equity or quasi-equity investment/other financial product, by reference to the funding agreement, by product	2.66
39.2. Achieved leverage effect at the end of reporting year for loan/guarantee/equity or quasi-equity investment/other financial product, by	

product	
39.3. Investment mobilised through ESIF financial instruments for loan/guarantee/equity and quasi-equity investment, by product (Optional)	
VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)	
32. Information whether the financial instrument was still operational at the end of the reporting year	Yes
32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up	
VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)	
35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR)	
36. Amounts repaid to the financial instrument attributable to support from ESI Funds by the end of the reporting year (in EUR)	
36.1. out of which capital repayments (in EUR)	
36.2. out of which gains, other earnings and yields (in EUR)	
37. Amounts of resources attributable to ESI Funds used in accordance with Article 44 and 43a	
37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR)	
37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR)	
37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the financial instrument resulting from negative interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in EUR)	
VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR)	16,605,780.00
38.1A. Contributions under EIB financial product committed in the funding agreement with the body implementing the financial instrument (only for the instruments under Article 38(1)(c)) (in EUR)	
38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR)	247,923.00
38.2.1. out of which public contributions (EUR)	247,923.00
38.2.2. out of which private contributions (EUR)	

38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR)	
IX. Contribution of the financial instrument to the achievement of the indicators of the priority or measure concerned (Article 46(2)(j) of Regulation (EU) No 1303/2013)	
41. Output indicator (code number and name) to which the financial instrument contributes	CO34 - GHG reduction: Estimated annual decrease of GHG
41.1. Target value of the output indicator	5,000.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	0.00

II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)	
5. Name of the financial instrument	Greater Manchester UDF - North West Evergreen Fund 2 - PA4
6. Official address/place of business of the financial instrument (name of the country and city)	CBRE Indirect Investment Services Limited, St Martin's Court, 10 Paternoster Row, London, EC4M 7HP
8. Type of the financial instrument	Fund of funds specific fund
8.2. Related Fund of Funds	Greater Manchester UDF - PA4
8.1. Tailor-made or financial instruments complying with standard terms and conditions i.e. "off-the-shelf instruments"	Tailor-made
9. Type of products provided by financial instrument: loans, micro-loans, guarantees, equity, or quasi-equity investments, other financial product or other support combined within the financial instrument pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
9.0.1. Loans (\geq EUR 25,000)	Yes
9.0.2. Micro-loans ($<$ EUR 25,000 and provided to micro-enterprises) according to SEC/2011/1134 final	No
9.0.3. Guarantees	No
9.0.4. Equity	No
9.0.5. Quasi-equity	No
9.0.6. Other financial products	No
9.0.7. Other support combined with a financial instrument	No
9.1. Description of the other financial product	
9.2. Other support combined within the financial instrument: grant, interest rate subsidy, guarantee fee subsidy pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial institution	Fiduciary account
III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)	

11. Body implementing the financial instrument	
11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European Investment Bank; the European Investment Fund; international financial institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only)	Body governed by public or private law
11.1.1. Name of the body implementing the financial instrument	CBRE Indirect Services Limited
11.1.2. Official address/place of business (country and town name) of the body implementing the financial instrument	St Martin's Court, 10 Paternoster Row, London, EC4M 7HP
12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure	Selection in accordance with the provisions of the public procurement directive
12.1. Description of the other procedure of selecting the body implementing the financial instrument	
13. Date of signature of the funding agreement with the body implementing the financial instrument	12-Jun-2017
IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)	
14. Total amount of programme contributions committed in the funding agreement (in EUR)	33,211,558.00
14.1. out of which ESI Funds contributions (in EUR)	16,605,778.00
14.1.1. out of which ERDF (in EUR) (optional)	
14.1.2. out of which Cohesion Fund (in EUR) (optional)	
14.1.3. out of which ESF (in EUR) (optional)	
14.1.4. out of which EAFRD (in EUR) (optional)	
14.1.5. out of which EMFF (in EUR) (optional)	
15. Total amount of programme contributions paid to the financial instrument (in EUR)	6,385,576.00
15.1. out of which amount of ESI Funds contributions (in EUR)	6,333,998.00
15.1.1. out of which ERDF (in EUR)	6,333,998.00
15.1.2. out of which Cohesion Fund (in EUR)	
15.1.3. out of which ESF (in EUR)	
15.1.4. out of which EAFRD (in EUR)	
15.1.5. out of which EMFF (in EUR)	
15.2. out of which total amount of national co-financing (in EUR)	51,578.00
15.2.1. out of which total amount of national public funding (in EUR)	51,578.00
15.2.2. out of which total amount of national private funding (in EUR)	
16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR)	
17. Total amount of management costs and fees paid out of programme contributions (in EUR)	103,157.00

17.1. out of which base remuneration (in EUR)	103,157.00
17.2. out of which performance-based remuneration (in EUR)	
18. Capitalised management costs or fees pursuant to Article 42(2) of Regulation (EU) No 1303/2013 (relevant only for final report) (in EUR)	
19. Capitalised interest rate subsidies or guarantee fee subsidies pursuant to Article 42(1)(c) of Regulation (EU) No 1303/2013 (relevant to final report only) (in EUR)	
20. Amount of programme contributions for follow-on investments in final recipients pursuant to Article 42(3) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
V. Total amount of support paid to final recipients, or to the benefit of final recipients, or committed in guarantee contracts by the financial instrument for investments in final recipients, by ESI Funds programme and priority or measure (Article 46(2)(e) of Regulation (EU) No 1303/2013)	
22. Name of financial product offered by the financial instrument	Loan
22.1. Type of financial product offered by the financial instrument	Loan
24. Total amount of programme contributions committed in this loan, guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR)	30,277,870.00
24.1. out of which total amount of ESI Funds contributions (in EUR)	15,138,935.00
25. Total amount of programme contributions paid to final recipients through this loan, micro-loans, equity or other products, or, in the case of guarantee, committed for loans paid to final recipients, by product (in EUR)	6,282,519.00
25.1. out of which total amount of ESI Funds contributions (in EUR)	6,282,519.00
25.1.1. out of which ERDF (in EUR)	6,282,519.00
25.1.2. out of which Cohesion Fund (in EUR)	
25.1.3. out of which ESF (in EUR)	
25.1.4. out of which EAFRD (in EUR)	
25.1.5. out of which EMFF (in EUR)	
25.2. out of which total amount of national public co-financing (in EUR)	
25.3. out of which total amount of national private co-financing (in EUR)	
27. Number of loan/guarantee/equity or quasi-equity/other financial product contracts signed with final recipients, by product	2
28. Number of loan/guarantee/equity or quasi-equity/other financial product investments made in final recipients, by product	
29. Number of financial recipients supported by the financial product	2
29.1. out of which large enterprises	
29.2. out of which SMEs	2
29.2.1. out of which microenterprises	
29.3. out of which individuals/natural persons	

29.4. out of which other type of final recipients supported	
29.4.1. description of other type of final recipients supported	
33. Total number of disbursed loans defaulted or total number of guarantees provided and called due to the loan default	
34. Total amount of disbursed loans defaulted (in EUR) or total amount committed for guarantees provided and called due to loan default (in EUR)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.3. Total amount of other contributions, outside the ESI Funds mobilised at the level of final recipients (in EUR)	6,282,519.00
38.3.1. out of which public contributions (EUR)	6,282,519.00
38.3.2. out of which private contributions (EUR)	
38.3A. Contribution under EIB financial product mobilised at the level of final recipient (only for the instruments under Article 38(1)(c)) (in EUR)	
39. Expected and achieved leverage effect, by reference to the funding agreement	
39.1. Expected leverage effect for loan/guarantee/equity or quasi-equity investment/other financial product, by reference to the funding agreement, by product	2.66
39.2. Achieved leverage effect at the end of reporting year for loan/guarantee/equity or quasi-equity investment/other financial product, by product	1.98
39.3. Investment mobilised through ESIF financial instruments for loan/guarantee/equity and quasi-equity investment, by product (Optional)	
VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)	
32. Information whether the financial instrument was still operational at the end of the reporting year	Yes
32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up	
VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)	
35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR)	372,466.00
36. Amounts repaid to the financial instrument attributable to support from ESI Funds by the end of the reporting year (in EUR)	6,654,985.00
36.1. out of which capital repayments (in EUR)	6,282,519.00
36.2. out of which gains, other earnings and yields (in EUR)	372,466.00
37. Amounts of resources attributable to ESI Funds used in accordance with Article 44 and 43a	
37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to	

the financial instrument or who co-invest at the level of final recipient (in EUR)	
37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR)	
37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the financial instrument resulting from negative interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in EUR)	
VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR)	16,605,780.00
38.1A. Contributions under EIB financial product committed in the funding agreement with the body implementing the financial instrument (only for the instruments under Article 38(1)(c)) (in EUR)	
38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR)	138,381.00
38.2.1. out of which public contributions (EUR)	138,381.00
38.2.2. out of which private contributions (EUR)	
38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR)	
IX. Contribution of the financial instrument to the achievement of the indicators of the priority or measure concerned (Article 46(2)(j) of Regulation (EU) No 1303/2013)	
41. Output indicator (code number and name) to which the financial instrument contributes	CO34 - GHG reduction: Estimated annual decrease of GHG
41.1. Target value of the output indicator	5,000.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	400.00

I. Identification of the programme and priority or measure from which support from the ESI Funds is provided (Article 46(2)(a) of Regulation (EU) No 1303/2013)	
1. Priority axes or measures supporting the financial instrument, including fund of funds, under the ESI Fund programme.	
1.1. Priority axis supporting the financial instrument under the ESI Fund programme	3 - Enhancing the Competitiveness of SMEs
2. Name of ESI Fund(s) supporting the financial instrument under the priority axis or measure	ERDF
3. Thematic objective(s) referred to in the first paragraph of Article 9 of Regulation (EU) No 1303/2013 supported by the financial instrument	03 - Enhancing the competitiveness of small and medium-sized enterprises, the agricultural sector (for the EAFRD) and the fisheries and aquaculture sector (for the EMFF)

3.1. Amount of ESI Funds committed in funding agreements from the individual thematic objective chosen in field 3 (optional)	22,573,363.00
4. other ESI Fund programmes providing contribution to the financial instrument	
4.1. CCI number of each other ESI Fund programme providing contributions to the financial instrument	
30. Date of completion of the ex ante assessment	16-Jan-2019
31. Selection of bodies implementing financial instrument	
31.1. Has selection or designation process already been launched	Yes
II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)	
5. Name of the financial instrument	Lancashire Urban Development Fund
6. Official address/place of business of the financial instrument (name of the country and city)	County Hall, Lancashire County Council, Preston, Lancashire, PR1 0LD
7. Implementation arrangements	
7.1. Financial instruments set up at Union level, managed directly or indirectly by the Commission referred to in Article 38(1)(a) of Regulation (EU) No 1303/2013, supported from ESI Fund programme contributions	No
7.1.1. Name of the Union-level financial instrument	
7.2. Financial instrument set up at national, regional, transnational or cross-border level, managed by or under the responsibility of the managing authority referred to in Article 38(1)(b), supported from ESI Fund programme contributions under point (a), (b), (c) and d) of Article 38(4) of Regulation (EU) No 1303/2013	Entrustment of implementation tasks to another body governed by public or private law
7.3. Financial instrument combining financial contribution from MA with EIB financial products under European Fund for Strategic Investment in accordance with Article 39a, referred to in Article 38(1)(c)	
8. Type of the financial instrument	Fund of funds
10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial institution	Fiduciary account
III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)	
11. Body implementing the financial instrument	
11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European Investment Bank; the European Investment Fund; international financial institution in which a Member State is a shareholder; a	Body governed by public or private law

publicly-owned bank or institution, established as a legal entity carrying out financial activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only)	
11.1.1. Name of the body implementing the financial instrument	Lancashire UDF Limited Partnership
11.1.2. Official address/place of business (country and town name) of the body implementing the financial instrument	County Hall, Lancashire County Council, Preston, Lancashire, PR1 0LD
12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure	Interadministrative cooperation
12.1. Description of the other procedure of selecting the body implementing the financial instrument	
13. Date of signature of the funding agreement with the body implementing the financial instrument	29-Oct-2019
IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)	
14. Total amount of programme contributions committed in the funding agreement (in EUR)	37,622,272.00
14.1. out of which ESI Funds contributions (in EUR)	22,573,363.00
14.1.1. out of which ERDF (in EUR) (optional)	22,573,363.00
14.1.2. out of which Cohesion Fund (in EUR) (optional)	
14.1.3. out of which ESF (in EUR) (optional)	
14.1.4. out of which EAFRD (in EUR) (optional)	
14.1.5. out of which EMFF (in EUR) (optional)	
15. Total amount of programme contributions paid to the financial instrument (in EUR)	9,718,416.00
15.1. out of which amount of ESI Funds contributions (in EUR)	5,831,049.00
15.1.1. out of which ERDF (in EUR)	5,831,049.00
15.1.2. out of which Cohesion Fund (in EUR)	
15.1.3. out of which ESF (in EUR)	
15.1.4. out of which EAFRD (in EUR)	
15.1.5. out of which EMFF (in EUR)	
15.2. out of which total amount of national co-financing (in EUR)	3,887,367.00
15.2.1. out of which total amount of national public funding (in EUR)	3,887,367.00
15.2.2. out of which total amount of national private funding (in EUR)	
16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR)	
17. Total amount of management costs and fees paid out of programme contributions (in EUR)	0.00
17.1. out of which base remuneration (in EUR)	
17.2. out of which performance-based remuneration (in EUR)	

21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)	
32. Information whether the financial instrument was still operational at the end of the reporting year	Yes
32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up	
VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)	
35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR)	
37. Amounts of resources attributable to ESI Funds used in accordance with Article 44 and 43a	
37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR)	
37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR)	
37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the financial instrument resulting from negative interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in EUR)	
VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR)	22,573,363.00
38.1A. Contributions under EIB financial product committed in the funding agreement with the body implementing the financial instrument (only for the instruments under Article 38(1)(c)) (in EUR)	
38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR)	3,887,367.00
38.2.1. out of which public contributions (EUR)	
38.2.2. out of which private contributions (EUR)	3,887,367.00
38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR)	

II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)	
5. Name of the financial instrument	Lancashire Urban Development Fund PA3
6. Official address/place of business of the financial instrument (name of the country and city)	County Hall, Lancashire County Council, Preston, Lancashire, PR1 0LD
8. Type of the financial instrument	Fund of funds specific fund
8.2. Related Fund of Funds	Lancashire Urban Development Fund
8.1. Tailor-made or financial instruments complying with standard terms and conditions i.e. "off-the-shelf instruments"	Tailor-made
9. Type of products provided by financial instrument: loans, micro-loans, guarantees, equity, or quasi-equity investments, other financial product or other support combined within the financial instrument pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
9.0.1. Loans (\geq EUR 25,000)	Yes
9.0.2. Micro-loans ($<$ EUR 25,000 and provided to micro-enterprises) according to SEC/2011/1134 final	No
9.0.3. Guarantees	No
9.0.4. Equity	No
9.0.5. Quasi-equity	No
9.0.6. Other financial products	No
9.0.7. Other support combined with a financial instrument	No
9.1. Description of the other financial product	
9.2. Other support combined within the financial instrument: grant, interest rate subsidy, guarantee fee subsidy pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial institution	Fiduciary account
III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)	
11. Body implementing the financial instrument	
11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European Investment Bank; the European Investment Fund; international financial institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only)	Body governed by public or private law
11.1.1. Name of the body implementing the financial instrument	Lancashire Urban Development Fund

11.1.2. Official address/place of business (country and town name) of the body implementing the financial instrument	County Hall, Lancashire County Council, Preston, Lancashire, PR1 0LD
12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure	Interadministrative cooperation
12.1. Description of the other procedure of selecting the body implementing the financial instrument	
13. Date of signature of the funding agreement with the body implementing the financial instrument	29-Oct-2019
IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)	
14. Total amount of programme contributions committed in the funding agreement (in EUR)	37,622,272.00
14.1. out of which ESI Funds contributions (in EUR)	22,573,363.00
14.1.1. out of which ERDF (in EUR) (optional)	
14.1.2. out of which Cohesion Fund (in EUR) (optional)	
14.1.3. out of which ESF (in EUR) (optional)	
14.1.4. out of which EAFRD (in EUR) (optional)	
14.1.5. out of which EMFF (in EUR) (optional)	
15. Total amount of programme contributions paid to the financial instrument (in EUR)	9,718,416.00
15.1. out of which amount of ESI Funds contributions (in EUR)	5,831,049.00
15.1.1. out of which ERDF (in EUR)	5,831,049.00
15.1.2. out of which Cohesion Fund (in EUR)	
15.1.3. out of which ESF (in EUR)	
15.1.4. out of which EAFRD (in EUR)	
15.1.5. out of which EMFF (in EUR)	
15.2. out of which total amount of national co-financing (in EUR)	3,887,367.00
15.2.1. out of which total amount of national public funding (in EUR)	
15.2.2. out of which total amount of national private funding (in EUR)	3,887,367.00
16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR)	
17. Total amount of management costs and fees paid out of programme contributions (in EUR)	0.00
17.1. out of which base remuneration (in EUR)	
17.2. out of which performance-based remuneration (in EUR)	
18. Capitalised management costs or fees pursuant to Article 42(2) of Regulation (EU) No 1303/2013 (relevant only for final report) (in EUR)	
19. Capitalised interest rate subsidies or guarantee fee subsidies pursuant to Article 42(1)(c) of Regulation (EU) No 1303/2013 (relevant to final report only) (in EUR)	
20. Amount of programme contributions for follow-on investments in final recipients pursuant to Article 42(3) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
V. Total amount of support paid to final recipients, or to the benefit of final recipients, or	

committed in guarantee contracts by the financial instrument for investments in final recipients, by ESI Funds programme and priority or measure (Article 46(2)(e) of Regulation (EU) No 1303/2013)	
22. Name of financial product offered by the financial instrument	Loan Fund
22.1. Type of financial product offered by the financial instrument	Loan
24. Total amount of programme contributions committed in this loan, guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR)	
24.1. out of which total amount of ESI Funds contributions (in EUR)	
25. Total amount of programme contributions paid to final recipients through this loan, micro-loans, equity or other products, or, in the case of guarantee, committed for loans paid to final recipients, by product (in EUR)	
25.1. out of which total amount of ESI Funds contributions (in EUR)	
25.1.1. out of which ERDF (in EUR)	
25.1.2. out of which Cohesion Fund (in EUR)	
25.1.3. out of which ESF (in EUR)	
25.1.4. out of which EAFRD (in EUR)	
25.1.5. out of which EMFF (in EUR)	
25.2. out of which total amount of national public co-financing (in EUR)	
25.3. out of which total amount of national private co-financing (in EUR)	
27. Number of loan/guarantee/equity or quasi-equity/other financial product contracts signed with final recipients, by product	
28. Number of loan/guarantee/equity or quasi-equity/other financial product investments made in final recipients, by product	
29. Number of financial recipients supported by the financial product	
29.1. out of which large enterprises	
29.2. out of which SMEs	
29.2.1. out of which microenterprises	
29.3. out of which individuals/natural persons	
29.4. out of which other type of final recipients supported	
29.4.1. description of other type of final recipients supported	
33. Total number of disbursed loans defaulted or total number of guarantees provided and called due to the loan default	
34. Total amount of disbursed loans defaulted (in EUR) or total amount committed for guarantees provided and called due to loan default (in EUR)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.3. Total amount of other contributions, outside the ESI Funds mobilised at the level of final recipients (in EUR)	
38.3.1. out of which public contributions (EUR)	
38.3.2. out of which private contributions (EUR)	
38.3A. Contribution under EIB financial product mobilised at the level of final recipient (only for the instruments under Article 38(1)(c)) (in EUR)	
39. Expected and achieved leverage effect, by reference to the funding agreement	
39.1. Expected leverage effect for loan/guarantee/equity or quasi-equity investment/other financial product, by reference to the funding agreement, by product	0.00
39.2. Achieved leverage effect at the end of reporting year for loan/guarantee/equity or quasi-equity investment/other financial product, by product	

39.3. Investment mobilised through ESIF financial instruments for loan/guarantee/equity and quasi-equity investment, by product (Optional)	
VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)	
32. Information whether the financial instrument was still operational at the end of the reporting year	Yes
32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up	
VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)	
35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR)	
36. Amounts repaid to the financial instrument attributable to support from ESI Funds by the end of the reporting year (in EUR)	
36.1. out of which capital repayments (in EUR)	
36.2. out of which gains, other earnings and yields (in EUR)	
37. Amounts of resources attributable to ESI Funds used in accordance with Article 44 and 43a	
37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR)	
37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR)	
37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the financial instrument resulting from negative interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in EUR)	
VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR)	22,573,363.00
38.1A. Contributions under EIB financial product committed in the funding agreement with the body implementing the financial instrument (only for the instruments under Article 38(1)(c)) (in EUR)	
38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR)	3,887,367.00
38.2.1. out of which public contributions (EUR)	
38.2.2. out of which private contributions (EUR)	3,887,367.00
38.2A. Contribution under EIB financial product paid to FI (only for the	

instruments under Article 38(1)(c)) (in EUR)	
IX. Contribution of the financial instrument to the achievement of the indicators of the priority or measure concerned (Article 46(2)(j) of Regulation (EU) No 1303/2013)	
41. Output indicator (code number and name) to which the financial instrument contributes	P2 - Public or commercial buildings built or renovated
41.1. Target value of the output indicator	6,000.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	0.00

I. Identification of the programme and priority or measure from which support from the ESI Funds is provided (Article 46(2)(a) of Regulation (EU) No 1303/2013)	
1. Priority axes or measures supporting the financial instrument, including fund of funds, under the ESI Fund programme.	
1.1. Priority axis supporting the financial instrument under the ESI Fund programme	1 - Promoting Research and Innovation
2. Name of ESI Fund(s) supporting the financial instrument under the priority axis or measure	ERDF
3. Thematic objective(s) referred to in the first paragraph of Article 9 of Regulation (EU) No 1303/2013 supported by the financial instrument	01 - Strengthening research, technological development and innovation
3.1. Amount of ESI Funds committed in funding agreements from the individual thematic objective chosen in field 3 (optional)	5,535,259.00
4. other ESI Fund programmes providing contribution to the financial instrument	
4.1. CCI number of each other ESI Fund programme providing contributions to the financial instrument	
30. Date of completion of the ex ante assessment	23-Mar-2018
31. Selection of bodies implementing financial instrument	
31.1. Has selection or designation process already been launched	Yes
II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)	
5. Name of the financial instrument	Liverpool City Region Urban Development Fund PA1
6. Official address/place of business of the financial instrument (name of the country and city)	No 1 Mann Island, Liverpool, L3 1BP
7. Implementation arrangements	
7.1. Financial instruments set up at Union level, managed directly or indirectly by the Commission referred to in Article 38(1)(a) of Regulation (EU) No 1303/2013, supported from ESI Fund programme contributions	No
7.1.1. Name of the Union-level financial instrument	
7.2. Financial instrument set up at national, regional, transnational or cross-border level, managed by or under the responsibility of the managing authority referred to in Article 38(1)(b), supported from ESI Fund programme contributions under point (a), (b), (c) and d) of Article 38(4) of Regulation (EU) No 1303/2013	Entrustment of implementation tasks to another body governed by public or private law
7.3. Financial instrument combining financial contribution from MA with EIB financial products under European Fund for Strategic Investment in accordance with Article 39a, referred to in Article	

38(1)(c)	
8. Type of the financial instrument	Fund of funds
10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial institution	Fiduciary account
III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)	
11. Body implementing the financial instrument	
11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European Investment Bank; the European Investment Fund; international financial institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only)	Body governed by public or private law
11.1.1. Name of the body implementing the financial instrument	LCR UDF Limited Partnership
11.1.2. Official address/place of business (country and town name) of the body implementing the financial instrument	No 1 Mann Island, Liverpool, L3 1BP
12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure	Interadministrative cooperation
12.1. Description of the other procedure of selecting the body implementing the financial instrument	
13. Date of signature of the funding agreement with the body implementing the financial instrument	27-Mar-2019
IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)	
14. Total amount of programme contributions committed in the funding agreement (in EUR)	9,564,928.00
14.1. out of which ESI Funds contributions (in EUR)	5,535,259.00
14.1.1. out of which ERDF (in EUR) (optional)	
14.1.2. out of which Cohesion Fund (in EUR) (optional)	
14.1.3. out of which ESF (in EUR) (optional)	
14.1.4. out of which EAFRD (in EUR) (optional)	
14.1.5. out of which EMFF (in EUR) (optional)	
15. Total amount of programme contributions paid to the financial instrument (in EUR)	2,472,011.00
15.1. out of which amount of ESI Funds contributions (in EUR)	2,394,518.00
15.1.1. out of which ERDF (in EUR)	2,394,518.00
15.1.2. out of which Cohesion Fund (in EUR)	
15.1.3. out of which ESF (in EUR)	
15.1.4. out of which EAFRD (in EUR)	
15.1.5. out of which EMFF (in EUR)	

15.2. out of which total amount of national co-financing (in EUR)	77,493.00
15.2.1. out of which total amount of national public funding (in EUR)	77,493.00
15.2.2. out of which total amount of national private funding (in EUR)	
16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR)	
17. Total amount of management costs and fees paid out of programme contributions (in EUR)	71,137.00
17.1. out of which base remuneration (in EUR)	62,834.00
17.2. out of which performance-based remuneration (in EUR)	8,303.00
21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)	
32. Information whether the financial instrument was still operational at the end of the reporting year	Yes
32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up	
VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)	
35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR)	5,535.00
37. Amounts of resources attributable to ESI Funds used in accordance with Article 44 and 43a	
37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR)	
37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR)	
37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the financial instrument resulting from negative interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in EUR)	
VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR)	4,029,669.00
38.1A. Contributions under EIB financial product committed in the funding agreement with the body implementing the financial instrument	

(only for the instruments under Article 38(1)(c)) (in EUR)	
38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR)	77,493.00
38.2.1. out of which public contributions (EUR)	77,493.00
38.2.2. out of which private contributions (EUR)	
38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR)	

II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)

5. Name of the financial instrument	Liverpool City Region UDF PA1
6. Official address/place of business of the financial instrument (name of the country and city)	No 1 Mann Island, Liverpool, L3 1BP
8. Type of the financial instrument	Fund of funds specific fund
8.2. Related Fund of Funds	Liverpool City Region Urban Development Fund PA1
8.1. Tailor-made or financial instruments complying with standard terms and conditions i.e. "off-the-shelf instruments"	Tailor-made

9. Type of products provided by financial instrument: loans, micro-loans, guarantees, equity, or quasi-equity investments, other financial product or other support combined within the financial instrument pursuant to Article 37(7) of Regulation (EU) No 1303/2013

9.0.1. Loans (\geq EUR 25,000)	Yes
9.0.2. Micro-loans ($<$ EUR 25,000 and provided to micro-enterprises) according to SEC/2011/1134 final	No
9.0.3. Guarantees	No
9.0.4. Equity	No
9.0.5. Quasi-equity	No
9.0.6. Other financial products	No
9.0.7. Other support combined with a financial instrument	No
9.1. Description of the other financial product	
9.2. Other support combined within the financial instrument: grant, interest rate subsidy, guarantee fee subsidy pursuant to Article 37(7) of Regulation (EU) No 1303/2013	

10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial institution	Fiduciary account
---	-------------------

III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)

11. Body implementing the financial instrument	
11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European Investment	Body governed by public or private law

Bank; the European Investment Fund; international financial institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only)	
11.1.1. Name of the body implementing the financial instrument	LCR UDF Limited Partnership
11.1.2. Official address/place of business (country and town name) of the body implementing the financial instrument	No 1 Mann Island, Liverpool, L3 1BP
12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure	Interadministrative cooperation
12.1. Description of the other procedure of selecting the body implementing the financial instrument	
13. Date of signature of the funding agreement with the body implementing the financial instrument	27-Mar-2019
IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)	
14. Total amount of programme contributions committed in the funding agreement (in EUR)	9,564,928.00
14.1. out of which ESI Funds contributions (in EUR)	5,535,259.00
14.1.1. out of which ERDF (in EUR) (optional)	
14.1.2. out of which Cohesion Fund (in EUR) (optional)	
14.1.3. out of which ESF (in EUR) (optional)	
14.1.4. out of which EAFRD (in EUR) (optional)	
14.1.5. out of which EMFF (in EUR) (optional)	
15. Total amount of programme contributions paid to the financial instrument (in EUR)	2,472,011.00
15.1. out of which amount of ESI Funds contributions (in EUR)	2,394,518.00
15.1.1. out of which ERDF (in EUR)	2,394,518.00
15.1.2. out of which Cohesion Fund (in EUR)	
15.1.3. out of which ESF (in EUR)	
15.1.4. out of which EAFRD (in EUR)	
15.1.5. out of which EMFF (in EUR)	
15.2. out of which total amount of national co-financing (in EUR)	77,493.00
15.2.1. out of which total amount of national public funding (in EUR)	77,493.00
15.2.2. out of which total amount of national private funding (in EUR)	
16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR)	
17. Total amount of management costs and fees paid out of programme contributions (in EUR)	71,137.00
17.1. out of which base remuneration (in EUR)	62,834.00
17.2. out of which performance-based remuneration (in EUR)	8,303.00
18. Capitalised management costs or fees pursuant to Article 42(2) of Regulation (EU) No 1303/2013 (relevant only for final report) (in EUR)	
19. Capitalised interest rate subsidies or guarantee fee subsidies pursuant to Article 42(1)(c) of Regulation (EU) No 1303/2013 (relevant to final report only) (in EUR)	
20. Amount of programme contributions for follow-on investments in final	

recipients pursuant to Article 42(3) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
V. Total amount of support paid to final recipients, or to the benefit of final recipients, or committed in guarantee contracts by the financial instrument for investments in final recipients, by ESI Funds programme and priority or measure (Article 46(2)(e) of Regulation (EU) No 1303/2013)	
22. Name of financial product offered by the financial instrument	Liverpool City Region UDF PA1 Loan Fund
22.1. Type of financial product offered by the financial instrument	Loan
24. Total amount of programme contributions committed in this loan, guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR)	954,493.00
24.1. out of which total amount of ESI Funds contributions (in EUR)	553,256.00
25. Total amount of programme contributions paid to final recipients through this loan, micro-loans, equity or other products, or, in the case of guarantee, committed for loans paid to final recipients, by product (in EUR)	
25.1. out of which total amount of ESI Funds contributions (in EUR)	
25.1.1. out of which ERDF (in EUR)	
25.1.2. out of which Cohesion Fund (in EUR)	
25.1.3. out of which ESF (in EUR)	
25.1.4. out of which EAFRD (in EUR)	
25.1.5. out of which EMFF (in EUR)	
25.2. out of which total amount of national public co-financing (in EUR)	
25.3. out of which total amount of national private co-financing (in EUR)	
27. Number of loan/guarantee/equity or quasi-equity/other financial product contracts signed with final recipients, by product	1
28. Number of loan/guarantee/equity or quasi-equity/other financial product investments made in final recipients, by product	1
29. Number of financial recipients supported by the financial product	1
29.1. out of which large enterprises	
29.2. out of which SMEs	1
29.2.1. out of which microenterprises	
29.3. out of which individuals/natural persons	
29.4. out of which other type of final recipients supported	
29.4.1. description of other type of final recipients supported	
33. Total number of disbursed loans defaulted or total number of guarantees provided and called due to the loan default	
34. Total amount of disbursed loans defaulted (in EUR) or total amount committed for guarantees provided and called due to loan default (in EUR)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.3. Total amount of other contributions, outside the ESI Funds mobilised at the level of final recipients (in EUR)	402,966.00
38.3.1. out of which public contributions (EUR)	
38.3.2. out of which private contributions (EUR)	402,966.00
38.3A. Contribution under EIB financial product mobilised at the level of final recipient (only for the instruments under Article 38(1)(c)) (in EUR)	

39. Expected and achieved leverage effect, by reference to the funding agreement	
39.1. Expected leverage effect for loan/guarantee/equity or quasi-equity investment/other financial product, by reference to the funding agreement, by product	0.00
39.2. Achieved leverage effect at the end of reporting year for loan/guarantee/equity or quasi-equity investment/other financial product, by product	
39.3. Investment mobilised through ESIF financial instruments for loan/guarantee/equity and quasi-equity investment, by product (Optional)	
VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)	
32. Information whether the financial instrument was still operational at the end of the reporting year	Yes
32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up	
VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)	
35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR)	5,535.00
36. Amounts repaid to the financial instrument attributable to support from ESI Funds by the end of the reporting year (in EUR)	
36.1. out of which capital repayments (in EUR)	
36.2. out of which gains, other earnings and yields (in EUR)	
37. Amounts of resources attributable to ESI Funds used in accordance with Article 44 and 43a	
37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR)	
37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR)	
37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the financial instrument resulting from negative interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in EUR)	
VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR)	4,029,669.00
38.1A. Contributions under EIB financial product committed in the funding	

agreement with the body implementing the financial instrument (only for the instruments under Article 38(1)(c)) (in EUR)	
38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR)	77,493.00
38.2.1. out of which public contributions (EUR)	77,493.00
38.2.2. out of which private contributions (EUR)	
38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR)	
IX. Contribution of the financial instrument to the achievement of the indicators of the priority or measure concerned (Article 46(2)(j) of Regulation (EU) No 1303/2013)	
41. Output indicator (code number and name) to which the financial instrument contributes	P2 - Public or commercial buildings built or renovated
41.1. Target value of the output indicator	3,574.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	0.00

I. Identification of the programme and priority or measure from which support from the ESI Funds is provided (Article 46(2)(a) of Regulation (EU) No 1303/2013)	
1. Priority axes or measures supporting the financial instrument, including fund of funds, under the ESI Fund programme.	
1.1. Priority axis supporting the financial instrument under the ESI Fund programme	3 - Enhancing the Competitiveness of SMEs
2. Name of ESI Fund(s) supporting the financial instrument under the priority axis or measure	ERDF
3. Thematic objective(s) referred to in the first paragraph of Article 9 of Regulation (EU) No 1303/2013 supported by the financial instrument	03 - Enhancing the competitiveness of small and medium-sized enterprises, the agricultural sector (for the EAFRD) and the fisheries and aquaculture sector (for the EMFF)
3.1. Amount of ESI Funds committed in funding agreements from the individual thematic objective chosen in field 3 (optional)	11,070,519.00
4. other ESI Fund programmes providing contribution to the financial instrument	
4.1. CCI number of each other ESI Fund programme providing contributions to the financial instrument	
30. Date of completion of the ex ante assessment	23-Mar-2018
31. Selection of bodies implementing financial instrument	
31.1. Has selection or designation process already been launched	Yes
II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)	
5. Name of the financial instrument	Liverpool City Region Urban Development Fund PA3
6. Official address/place of business of the financial instrument (name of the country and city)	No 1 Mann Island, Liverpool, L3 1BP
7. Implementation arrangements	
7.1. Financial instruments set up at Union level, managed	No

directly or indirectly by the Commission referred to in Article 38(1)(a) of Regulation (EU) No 1303/2013, supported from ESI Fund programme contributions	
7.1.1. Name of the Union-level financial instrument	
7.2. Financial instrument set up at national, regional, transnational or cross-border level, managed by or under the responsibility of the managing authority referred to in Article 38(1)(b), supported from ESI Fund programme contributions under point (a), (b), (c) and d) of Article 38(4) of Regulation (EU) No 1303/2013	Entrustment of implementation tasks to another body governed by public or private law
7.3. Financial instrument combining financial contribution from MA with EIB financial products under European Fund for Strategic Investment in accordance with Article 39a, referred to in Article 38(1)(c)	
8. Type of the financial instrument	Fund of funds
10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial institution	Fiduciary account
III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)	
11. Body implementing the financial instrument	
11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European Investment Bank; the European Investment Fund; international financial institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only)	Body governed by public or private law
11.1.1. Name of the body implementing the financial instrument	LCR UDF Limited Partnership
11.1.2. Official address/place of business (country and town name) of the body implementing the financial instrument	No 1 Mann Island, Liverpool, L3 1BP
12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure	Interadministrative cooperation
12.1. Description of the other procedure of selecting the body implementing the financial instrument	
13. Date of signature of the funding agreement with the body implementing the financial instrument	27-Mar-2019
IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of	

Regulation (EU) No 1303/2013)	
14. Total amount of programme contributions committed in the funding agreement (in EUR)	19,129,857.00
14.1. out of which ESI Funds contributions (in EUR)	11,070,519.00
14.1.1. out of which ERDF (in EUR) (optional)	
14.1.2. out of which Cohesion Fund (in EUR) (optional)	
14.1.3. out of which ESF (in EUR) (optional)	
14.1.4. out of which EAFRD (in EUR) (optional)	
14.1.5. out of which EMFF (in EUR) (optional)	
15. Total amount of programme contributions paid to the financial instrument (in EUR)	4,944,023.00
15.1. out of which amount of ESI Funds contributions (in EUR)	4,789,036.00
15.1.1. out of which ERDF (in EUR)	4,789,036.00
15.1.2. out of which Cohesion Fund (in EUR)	
15.1.3. out of which ESF (in EUR)	
15.1.4. out of which EAFRD (in EUR)	
15.1.5. out of which EMFF (in EUR)	
15.2. out of which total amount of national co-financing (in EUR)	154,987.00
15.2.1. out of which total amount of national public funding (in EUR)	154,987.00
15.2.2. out of which total amount of national private funding (in EUR)	
16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR)	
17. Total amount of management costs and fees paid out of programme contributions (in EUR)	142,275.00
17.1. out of which base remuneration (in EUR)	125,669.00
17.2. out of which performance-based remuneration (in EUR)	16,606.00
21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)	
32. Information whether the financial instrument was still operational at the end of the reporting year	Yes
32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up	
VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)	
35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR)	11,070.00
37. Amounts of resources attributable to ESI Funds used in	

accordance with Article 44 and 43a	
37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR)	
37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR)	
37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the financial instrument resulting from negative interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in EUR)	
VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR)	8,059,338.00
38.1A. Contributions under EIB financial product committed in the funding agreement with the body implementing the financial instrument (only for the instruments under Article 38(1)(c)) (in EUR)	
38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR)	154,987.00
38.2.1. out of which public contributions (EUR)	154,987.00
38.2.2. out of which private contributions (EUR)	
38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR)	

II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)	
5. Name of the financial instrument	Liverpool City Region UDF PA3
6. Official address/place of business of the financial instrument (name of the country and city)	No 1 Mann Island, Liverpool, L3 1BP
8. Type of the financial instrument	Fund of funds specific fund
8.2. Related Fund of Funds	Liverpool City Region Urban Development Fund PA3
8.1. Tailor-made or financial instruments complying with standard terms and conditions i.e. "off-the-shelf instruments"	Tailor-made
9. Type of products provided by financial instrument: loans, micro-loans, guarantees, equity, or quasi-equity investments, other financial product or other support combined within the financial instrument pursuant to Article 37(7) of Regulation (EU) No 1303/2013	

9.0.1. Loans (\geq EUR 25,000)	Yes
9.0.2. Micro-loans ($<$ EUR 25,000 and provided to micro-enterprises) according to SEC/2011/1134 final	No
9.0.3. Guarantees	No
9.0.4. Equity	No
9.0.5. Quasi-equity	No
9.0.6. Other financial products	No
9.0.7. Other support combined with a financial instrument	No
9.1. Description of the other financial product	
9.2. Other support combined within the financial instrument: grant, interest rate subsidy, guarantee fee subsidy pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial institution	Fiduciary account
III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)	
11. Body implementing the financial instrument	
11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European Investment Bank; the European Investment Fund; international financial institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only)	Body governed by public or private law
11.1.1. Name of the body implementing the financial instrument	LCR UDF Limited Partnership
11.1.2. Official address/place of business (country and town name) of the body implementing the financial instrument	No 1 Mann Island, Liverpool, L3 1BP
12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure	Interadministrative cooperation
12.1. Description of the other procedure of selecting the body implementing the financial instrument	
13. Date of signature of the funding agreement with the body implementing the financial instrument	27-Mar-2019
IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)	
14. Total amount of programme contributions committed in the funding agreement (in EUR)	19,129,857.00
14.1. out of which ESI Funds contributions (in EUR)	11,070,519.00
14.1.1. out of which ERDF (in EUR) (optional)	
14.1.2. out of which Cohesion Fund (in EUR) (optional)	
14.1.3. out of which ESF (in EUR) (optional)	
14.1.4. out of which EAFRD (in EUR) (optional)	

14.1.5. out of which EMFF (in EUR) (optional)	
15. Total amount of programme contributions paid to the financial instrument (in EUR)	4,944,023.00
15.1. out of which amount of ESI Funds contributions (in EUR)	4,789,036.00
15.1.1. out of which ERDF (in EUR)	4,789,036.00
15.1.2. out of which Cohesion Fund (in EUR)	
15.1.3. out of which ESF (in EUR)	
15.1.4. out of which EAFRD (in EUR)	
15.1.5. out of which EMFF (in EUR)	
15.2. out of which total amount of national co-financing (in EUR)	154,987.00
15.2.1. out of which total amount of national public funding (in EUR)	154,987.00
15.2.2. out of which total amount of national private funding (in EUR)	
16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR)	
17. Total amount of management costs and fees paid out of programme contributions (in EUR)	142,275.00
17.1. out of which base remuneration (in EUR)	125,669.00
17.2. out of which performance-based remuneration (in EUR)	16,606.00
18. Capitalised management costs or fees pursuant to Article 42(2) of Regulation (EU) No 1303/2013 (relevant only for final report) (in EUR)	
19. Capitalised interest rate subsidies or guarantee fee subsidies pursuant to Article 42(1)(c) of Regulation (EU) No 1303/2013 (relevant to final report only) (in EUR)	
20. Amount of programme contributions for follow-on investments in final recipients pursuant to Article 42(3) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
V. Total amount of support paid to final recipients, or to the benefit of final recipients, or committed in guarantee contracts by the financial instrument for investments in final recipients, by ESI Funds programme and priority or measure (Article 46(2)(e) of Regulation (EU) No 1303/2013)	
22. Name of financial product offered by the financial instrument	<i>Liverpool City Region UDF PA3 Loan</i>
22.1. Type of financial product offered by the financial instrument	Loan
24. Total amount of programme contributions committed in this loan, guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR)	1,912,985.00
24.1. out of which total amount of ESI Funds contributions (in EUR)	1,107,052.00
25. Total amount of programme contributions paid to final recipients through this loan, micro-loans, equity or other products, or, in the case of guarantee, committed for loans paid to final recipients, by product (in EUR)	
25.1. out of which total amount of ESI Funds contributions (in EUR)	
25.1.1. out of which ERDF (in EUR)	
25.1.2. out of which Cohesion Fund (in EUR)	
25.1.3. out of which ESF (in EUR)	
25.1.4. out of which EAFRD (in EUR)	
25.1.5. out of which EMFF (in EUR)	
25.2. out of which total amount of national public co-financing (in EUR)	

25.3. out of which total amount of national private co-financing (in EUR)	
27. Number of loan/guarantee/equity or quasi-equity/other financial product contracts signed with final recipients, by product	1
28. Number of loan/guarantee/equity or quasi-equity/other financial product investments made in final recipients, by product	1
29. Number of financial recipients supported by the financial product	1
29.1. out of which large enterprises	
29.2. out of which SMEs	1
29.2.1. out of which microenterprises	
29.3. out of which individuals/natural persons	
29.4. out of which other type of final recipients supported	
29.4.1. description of other type of final recipients supported	
33. Total number of disbursed loans defaulted or total number of guarantees provided and called due to the loan default	
34. Total amount of disbursed loans defaulted (in EUR) or total amount committed for guarantees provided and called due to loan default (in EUR)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.3. Total amount of other contributions, outside the ESI Funds mobilised at the level of final recipients (in EUR)	805,933.00
38.3.1. out of which public contributions (EUR)	
38.3.2. out of which private contributions (EUR)	805,933.00
38.3A. Contribution under EIB financial product mobilised at the level of final recipient (only for the instruments under Article 38(1)(c)) (in EUR)	
39. Expected and achieved leverage effect, by reference to the funding agreement	
39.1. Expected leverage effect for loan/guarantee/equity or quasi-equity investment/other financial product, by reference to the funding agreement, by product	0.00
39.2. Achieved leverage effect at the end of reporting year for loan/guarantee/equity or quasi-equity investment/other financial product, by product	
39.3. Investment mobilised through ESIF financial instruments for loan/guarantee/equity and quasi-equity investment, by product (Optional)	
VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)	
32. Information whether the financial instrument was still operational at the end of the reporting year	Yes
32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up	
VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)	
35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR)	11,070.00
36. Amounts repaid to the financial instrument attributable to support from ESI Funds by the end of the reporting year (in EUR)	

36.1. out of which capital repayments (in EUR)	
36.2. out of which gains, other earnings and yields (in EUR)	
37. Amounts of resources attributable to ESI Funds used in accordance with Article 44 and 43a	
37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR)	
37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR)	
37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the financial instrument resulting from negative interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in EUR)	
VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR)	8,059,338.00
38.1A. Contributions under EIB financial product committed in the funding agreement with the body implementing the financial instrument (only for the instruments under Article 38(1)(c)) (in EUR)	
38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR)	154,987.00
38.2.1. out of which public contributions (EUR)	154,987.00
38.2.2. out of which private contributions (EUR)	
38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR)	
IX. Contribution of the financial instrument to the achievement of the indicators of the priority or measure concerned (Article 46(2)(j) of Regulation (EU) No 1303/2013)	
41. Output indicator (code number and name) to which the financial instrument contributes	P2 - Public or commercial buildings built or renovated
41.1. Target value of the output indicator	7,148.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	0.00

I. Identification of the programme and priority or measure from which support from the ESI Funds is provided (Article 46(2)(a) of Regulation (EU) No 1303/2013)	
1. Priority axes or measures supporting the financial instrument, including fund of funds, under the ESI Fund programme.	
1.1. Priority axis supporting the financial instrument under the ESI Fund programme	4 - Supporting the Shift Towards a Low Carbon Economy in all Sectors

2. Name of ESI Fund(s) supporting the financial instrument under the priority axis or measure	ERDF
3. Thematic objective(s) referred to in the first paragraph of Article 9 of Regulation (EU) No 1303/2013 supported by the financial instrument	04 - Supporting the shift towards a low-carbon economy in all sectors
3.1. Amount of ESI Funds committed in funding agreements from the individual thematic objective chosen in field 3 (optional)	11,070,519.00
4. other ESI Fund programmes providing contribution to the financial instrument	
4.1. CCI number of each other ESI Fund programme providing contributions to the financial instrument	
30. Date of completion of the ex ante assessment	23-Mar-2018
31. Selection of bodies implementing financial instrument	
31.1. Has selection or designation process already been launched	Yes
II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)	
5. Name of the financial instrument	Liverpool City Region Urban Development Fund PA4
6. Official address/place of business of the financial instrument (name of the country and city)	No 1 Mann Island, Liverpool, L3 1BP
7. Implementation arrangements	
7.1. Financial instruments set up at Union level, managed directly or indirectly by the Commission referred to in Article 38(1)(a) of Regulation (EU) No 1303/2013, supported from ESI Fund programme contributions	No
7.1.1. Name of the Union-level financial instrument	
7.2. Financial instrument set up at national, regional, transnational or cross-border level, managed by or under the responsibility of the managing authority referred to in Article 38(1)(b), supported from ESI Fund programme contributions under point (a), (b), (c) and d) of Article 38(4) of Regulation (EU) No 1303/2013	Entrustment of implementation tasks to another body governed by public or private law
7.3. Financial instrument combining financial contribution from MA with EIB financial products under European Fund for Strategic Investment in accordance with Article 39a, referred to in Article 38(1)(c)	
8. Type of the financial instrument	Fund of funds
10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial institution	Fiduciary account
III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)	
11. Body implementing the financial instrument	
11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European Investment Bank; the European Investment Fund;	Body governed by public or private law

international financial institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only)	
11.1.1. Name of the body implementing the financial instrument	LCR UDF Limited Partnership
11.1.2. Official address/place of business (country and town name) of the body implementing the financial instrument	No 1 Mann Island, Liverpool, L3 1BP
12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure	Interadministrative cooperation
12.1. Description of the other procedure of selecting the body implementing the financial instrument	
13. Date of signature of the funding agreement with the body implementing the financial instrument	27-Mar-2019
IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)	
14. Total amount of programme contributions committed in the funding agreement (in EUR)	19,219,857.00
14.1. out of which ESI Funds contributions (in EUR)	11,070,519.00
14.1.1. out of which ERDF (in EUR) (optional)	
14.1.2. out of which Cohesion Fund (in EUR) (optional)	
14.1.3. out of which ESF (in EUR) (optional)	
14.1.4. out of which EAFRD (in EUR) (optional)	
14.1.5. out of which EMFF (in EUR) (optional)	
15. Total amount of programme contributions paid to the financial instrument (in EUR)	4,944,023.00
15.1. out of which amount of ESI Funds contributions (in EUR)	4,789,036.00
15.1.1. out of which ERDF (in EUR)	4,789,036.00
15.1.2. out of which Cohesion Fund (in EUR)	
15.1.3. out of which ESF (in EUR)	
15.1.4. out of which EAFRD (in EUR)	
15.1.5. out of which EMFF (in EUR)	
15.2. out of which total amount of national co-financing (in EUR)	154,987.00
15.2.1. out of which total amount of national public funding (in EUR)	154,987.00
15.2.2. out of which total amount of national private funding (in EUR)	
16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR)	
17. Total amount of management costs and fees paid out of programme contributions (in EUR)	142,275.00
17.1. out of which base remuneration (in EUR)	125,669.00
17.2. out of which performance-based remuneration (in EUR)	16,606.00
21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)	
32. Information whether the financial instrument was still operational at	Yes

the end of the reporting year	
32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up	
VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)	
35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR)	
37. Amounts of resources attributable to ESI Funds used in accordance with Article 44 and 43a	
37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR)	
37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR)	
37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the financial instrument resulting from negative interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in EUR)	
VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR)	8,149,338.00
38.1A. Contributions under EIB financial product committed in the funding agreement with the body implementing the financial instrument (only for the instruments under Article 38(1)(c)) (in EUR)	
38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR)	154,987.00
38.2.1. out of which public contributions (EUR)	154,987.00
38.2.2. out of which private contributions (EUR)	
38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR)	

II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)	
5. Name of the financial instrument	Liverpool City Region UDF PA4
6. Official address/place of business of the financial instrument (name of the country and city)	
8. Type of the financial instrument	Fund of funds specific fund

8.2. Related Fund of Funds	Liverpool City Region Urban Development Fund PA4
8.1. Tailor-made or financial instruments complying with standard terms and conditions i.e. "off-the-shelf instruments"	Tailor-made
9. Type of products provided by financial instrument: loans, micro-loans, guarantees, equity, or quasi-equity investments, other financial product or other support combined within the financial instrument pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
9.0.1. Loans (\geq EUR 25,000)	Yes
9.0.2. Micro-loans ($<$ EUR 25,000 and provided to micro-enterprises) according to SEC/2011/1134 final	No
9.0.3. Guarantees	No
9.0.4. Equity	No
9.0.5. Quasi-equity	No
9.0.6. Other financial products	No
9.0.7. Other support combined with a financial instrument	No
9.1. Description of the other financial product	
9.2. Other support combined within the financial instrument: grant, interest rate subsidy, guarantee fee subsidy pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial institution	Fiduciary account
III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)	
11. Body implementing the financial instrument	
11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European Investment Bank; the European Investment Fund; international financial institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only)	Body governed by public or private law
11.1.1. Name of the body implementing the financial instrument	LCR UDF Limited Partnership
11.1.2. Official address/place of business (country and town name) of the body implementing the financial instrument	No 1 Mann Island, Liverpool, L3 1BP
12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure	Interadministrative cooperation
12.1. Description of the other procedure of selecting the body implementing the financial instrument	
13. Date of signature of the funding agreement with the body implementing the financial instrument	27-Mar-2019
IV. Total amount of programme contributions, by priority or measure, paid to the financial	

instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)	
14. Total amount of programme contributions committed in the funding agreement (in EUR)	19,129,857.00
14.1. out of which ESI Funds contributions (in EUR)	11,070,519.00
14.1.1. out of which ERDF (in EUR) (optional)	
14.1.2. out of which Cohesion Fund (in EUR) (optional)	
14.1.3. out of which ESF (in EUR) (optional)	
14.1.4. out of which EAFRD (in EUR) (optional)	
14.1.5. out of which EMFF (in EUR) (optional)	
15. Total amount of programme contributions paid to the financial instrument (in EUR)	4,944,023.00
15.1. out of which amount of ESI Funds contributions (in EUR)	4,789,036.00
15.1.1. out of which ERDF (in EUR)	4,789,036.00
15.1.2. out of which Cohesion Fund (in EUR)	
15.1.3. out of which ESF (in EUR)	
15.1.4. out of which EAFRD (in EUR)	
15.1.5. out of which EMFF (in EUR)	
15.2. out of which total amount of national co-financing (in EUR)	154,987.00
15.2.1. out of which total amount of national public funding (in EUR)	154,987.00
15.2.2. out of which total amount of national private funding (in EUR)	
16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR)	
17. Total amount of management costs and fees paid out of programme contributions (in EUR)	142,275.00
17.1. out of which base remuneration (in EUR)	125,669.00
17.2. out of which performance-based remuneration (in EUR)	16,606.00
18. Capitalised management costs or fees pursuant to Article 42(2) of Regulation (EU) No 1303/2013 (relevant only for final report) (in EUR)	
19. Capitalised interest rate subsidies or guarantee fee subsidies pursuant to Article 42(1)(c) of Regulation (EU) No 1303/2013 (relevant to final report only) (in EUR)	
20. Amount of programme contributions for follow-on investments in final recipients pursuant to Article 42(3) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
V. Total amount of support paid to final recipients, or to the benefit of final recipients, or committed in guarantee contracts by the financial instrument for investments in final recipients, by ESI Funds programme and priority or measure (Article 46(2)(e) of Regulation (EU) No 1303/2013)	
22. Name of financial product offered by the financial instrument	Liverpool City Region UDF PA4 Loan
22.1. Type of financial product offered by the financial instrument	Loan
24. Total amount of programme contributions committed in this loan, guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR)	1,912,985.00
24.1. out of which total amount of ESI Funds contributions (in EUR)	1,107,052.00
25. Total amount of programme contributions paid to final recipients through	

this loan, micro-loans, equity or other products, or, in the case of guarantee, committed for loans paid to final recipients, by product (in EUR)	
25.1. out of which total amount of ESI Funds contributions (in EUR)	
25.1.1. out of which ERDF (in EUR)	
25.1.2. out of which Cohesion Fund (in EUR)	
25.1.3. out of which ESF (in EUR)	
25.1.4. out of which EAFRD (in EUR)	
25.1.5. out of which EMFF (in EUR)	
25.2. out of which total amount of national public co-financing (in EUR)	
25.3. out of which total amount of national private co-financing (in EUR)	
27. Number of loan/guarantee/equity or quasi-equity/other financial product contracts signed with final recipients, by product	1
28. Number of loan/guarantee/equity or quasi-equity/other financial product investments made in final recipients, by product	1
29. Number of financial recipients supported by the financial product	1
29.1. out of which large enterprises	
29.2. out of which SMEs	1
29.2.1. out of which microenterprises	
29.3. out of which individuals/natural persons	
29.4. out of which other type of final recipients supported	
29.4.1. description of other type of final recipients supported	
33. Total number of disbursed loans defaulted or total number of guarantees provided and called due to the loan default	
34. Total amount of disbursed loans defaulted (in EUR) or total amount committed for guarantees provided and called due to loan default (in EUR)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.3. Total amount of other contributions, outside the ESI Funds mobilised at the level of final recipients (in EUR)	805,933.00
38.3.1. out of which public contributions (EUR)	
38.3.2. out of which private contributions (EUR)	805,933.00
38.3A. Contribution under EIB financial product mobilised at the level of final recipient (only for the instruments under Article 38(1)(c)) (in EUR)	
39. Expected and achieved leverage effect, by reference to the funding agreement	
39.1. Expected leverage effect for loan/guarantee/equity or quasi-equity investment/other financial product, by reference to the funding agreement, by product	0.00
39.2. Achieved leverage effect at the end of reporting year for loan/guarantee/equity or quasi-equity investment/other financial product, by product	
39.3. Investment mobilised through ESIF financial instruments for loan/guarantee/equity and quasi-equity investment, by product (Optional)	
VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)	
32. Information whether the financial instrument was still operational at the end of the reporting year	Yes
32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up	

VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)	
35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR)	11,070.00
36. Amounts repaid to the financial instrument attributable to support from ESI Funds by the end of the reporting year (in EUR)	
36.1. out of which capital repayments (in EUR)	
36.2. out of which gains, other earnings and yields (in EUR)	
37. Amounts of resources attributable to ESI Funds used in accordance with Article 44 and 43a	
37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR)	
37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR)	
37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the financial instrument resulting from negative interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in EUR)	
VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR)	8,059,338.00
38.1A. Contributions under EIB financial product committed in the funding agreement with the body implementing the financial instrument (only for the instruments under Article 38(1)(c)) (in EUR)	
38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR)	154,987.00
38.2.1. out of which public contributions (EUR)	154,987.00
38.2.2. out of which private contributions (EUR)	
38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR)	
IX. Contribution of the financial instrument to the achievement of the indicators of the priority or measure concerned (Article 46(2)(j) of Regulation (EU) No 1303/2013)	
41. Output indicator (code number and name) to which the financial instrument contributes	CO34 - GHG reduction: Estimated annual decrease of GHG
41.1. Target value of the output indicator	15,792.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	0.00

I. Identification of the programme and priority or measure from which support from the ESI Funds is provided (Article 46(2)(a) of Regulation (EU) No 1303/2013)	
1. Priority axes or measures supporting the financial instrument, including fund of funds, under the ESI Fund programme.	
1.1. Priority axis supporting the financial instrument under the ESI Fund programme	4 - Supporting the Shift Towards a Low Carbon Economy in all Sectors
2. Name of ESI Fund(s) supporting the financial instrument under the priority axis or measure	ERDF
3. Thematic objective(s) referred to in the first paragraph of Article 9 of Regulation (EU) No 1303/2013 supported by the financial instrument	04 - Supporting the shift towards a low-carbon economy in all sectors
3.1. Amount of ESI Funds committed in funding agreements from the individual thematic objective chosen in field 3 (optional)	57,787,810.00
4. other ESI Fund programmes providing contribution to the financial instrument	
4.1. CCI number of each other ESI Fund programme providing contributions to the financial instrument	
30. Date of completion of the ex ante assessment	31-Oct-2017
31. Selection of bodies implementing financial instrument	
31.1. Has selection or designation process already been launched	Yes
II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)	
5. Name of the financial instrument	London Mayoral Energy Efficiency Fund - PA4
6. Official address/place of business of the financial instrument (name of the country and city)	3 More London Riverside London SE1 2AQ
7. Implementation arrangements	
7.1. Financial instruments set up at Union level, managed directly or indirectly by the Commission referred to in Article 38(1)(a) of Regulation (EU) No 1303/2013, supported from ESI Fund programme contributions	No
7.1.1. Name of the Union-level financial instrument	
7.2. Financial instrument set up at national, regional, transnational or cross-border level, managed by or under the responsibility of the managing authority referred to in Article 38(1)(b), supported from ESI Fund programme contributions under point (a), (b), (c) and d) of Article 38(4) of Regulation (EU) No 1303/2013	Entrustment of implementation tasks to another body governed by public or private law
7.3. Financial instrument combining financial contribution from MA with EIB financial products under European Fund for Strategic Investment in accordance with Article 39a, referred to in Article 38(1)(c)	
8. Type of the financial instrument	Fund of funds
10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial institution	Fiduciary account
III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of	

Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)	
11. Body implementing the financial instrument	
11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European Investment Bank; the European Investment Fund; international financial institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only)	Body governed by public or private law
11.1.1. Name of the body implementing the financial instrument	Amber Infrastructure Limited
11.1.2. Official address/place of business (country and town name) of the body implementing the financial instrument	3 More London Riverside London SE1 2AQ
12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure	Others
12.1. Description of the other procedure of selecting the body implementing the financial instrument	Award of a public contract
13. Date of signature of the funding agreement with the body implementing the financial instrument	29-Jun-2018
IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)	
14. Total amount of programme contributions committed in the funding agreement (in EUR)	115,575,620.00
14.1. out of which ESI Funds contributions (in EUR)	57,787,810.00
14.1.1. out of which ERDF (in EUR) (optional)	57,787,810.00
14.1.2. out of which Cohesion Fund (in EUR) (optional)	
14.1.3. out of which ESF (in EUR) (optional)	
14.1.4. out of which EAFRD (in EUR) (optional)	
14.1.5. out of which EMFF (in EUR) (optional)	
15. Total amount of programme contributions paid to the financial instrument (in EUR)	114,896,734.00
15.1. out of which amount of ESI Funds contributions (in EUR)	57,448,367.00
15.1.1. out of which ERDF (in EUR)	57,448,367.00
15.1.2. out of which Cohesion Fund (in EUR)	
15.1.3. out of which ESF (in EUR)	
15.1.4. out of which EAFRD (in EUR)	
15.1.5. out of which EMFF (in EUR)	
15.2. out of which total amount of national co-financing (in EUR)	57,448,367.00
15.2.1. out of which total amount of national public funding (in EUR)	
15.2.2. out of which total amount of national private funding (in EUR)	57,448,367.00
16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR)	
17. Total amount of management costs and fees paid out of programme contributions (in EUR)	2,370,203.00
17.1. out of which base remuneration (in EUR)	
17.2. out of which performance-based remuneration (in EUR)	

21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)	
32. Information whether the financial instrument was still operational at the end of the reporting year	Yes
32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up	
VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)	
35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR)	702,913.00
37. Amounts of resources attributable to ESI Funds used in accordance with Article 44 and 43a	
37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR)	
37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR)	
37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the financial instrument resulting from negative interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in EUR)	
VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR)	57,787,810.00
38.1A. Contributions under EIB financial product committed in the funding agreement with the body implementing the financial instrument (only for the instruments under Article 38(1)(c)) (in EUR)	
38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR)	57,448,367.00
38.2.1. out of which public contributions (EUR)	
38.2.2. out of which private contributions (EUR)	57,448,367.00
38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR)	

II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of

Regulation (EU) No 1303/2013)	
5. Name of the financial instrument	London Mayoral Energy Efficiency Fund PA4
6. Official address/place of business of the financial instrument (name of the country and city)	Amber Infrastructure Limited
8. Type of the financial instrument	Fund of funds specific fund
8.2. Related Fund of Funds	London Mayoral Energy Efficiency Fund - PA4
8.1. Tailor-made or financial instruments complying with standard terms and conditions i.e. "off-the-shelf instruments"	Tailor-made
9. Type of products provided by financial instrument: loans, micro-loans, guarantees, equity, or quasi-equity investments, other financial product or other support combined within the financial instrument pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
9.0.1. Loans (\geq EUR 25,000)	Yes
9.0.2. Micro-loans ($<$ EUR 25,000 and provided to micro-enterprises) according to SEC/2011/1134 final	No
9.0.3. Guarantees	No
9.0.4. Equity	Yes
9.0.5. Quasi-equity	No
9.0.6. Other financial products	No
9.0.7. Other support combined with a financial instrument	No
9.1. Description of the other financial product	
9.2. Other support combined within the financial instrument: grant, interest rate subsidy, guarantee fee subsidy pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial institution	Fiduciary account
III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)	
11. Body implementing the financial instrument	
11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European Investment Bank; the European Investment Fund; international financial institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only)	Existing or newly created legal entity
11.1.1. Name of the body implementing the financial instrument	Amber Infrastructure Limited
11.1.2. Official address/place of business (country and town name) of the body implementing the financial instrument	3 More London Riverside London SE1 2AQ
12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure	Others

12.1. Description of the other procedure of selecting the body implementing the financial instrument	Award of a public contract
13. Date of signature of the funding agreement with the body implementing the financial instrument	29-Jun-2018
IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)	
14. Total amount of programme contributions committed in the funding agreement (in EUR)	115,575,620.00
14.1. out of which ESI Funds contributions (in EUR)	57,787,810.00
14.1.1. out of which ERDF (in EUR) (optional)	57,787,810.00
14.1.2. out of which Cohesion Fund (in EUR) (optional)	
14.1.3. out of which ESF (in EUR) (optional)	
14.1.4. out of which EAFRD (in EUR) (optional)	
14.1.5. out of which EMFF (in EUR) (optional)	
15. Total amount of programme contributions paid to the financial instrument (in EUR)	114,896,734.00
15.1. out of which amount of ESI Funds contributions (in EUR)	57,448,367.00
15.1.1. out of which ERDF (in EUR)	57,448,367.00
15.1.2. out of which Cohesion Fund (in EUR)	
15.1.3. out of which ESF (in EUR)	
15.1.4. out of which EAFRD (in EUR)	
15.1.5. out of which EMFF (in EUR)	
15.2. out of which total amount of national co-financing (in EUR)	57,448,367.00
15.2.1. out of which total amount of national public funding (in EUR)	
15.2.2. out of which total amount of national private funding (in EUR)	57,448,367.00
16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR)	
17. Total amount of management costs and fees paid out of programme contributions (in EUR)	2,370,203.00
17.1. out of which base remuneration (in EUR)	
17.2. out of which performance-based remuneration (in EUR)	
18. Capitalised management costs or fees pursuant to Article 42(2) of Regulation (EU) No 1303/2013 (relevant only for final report) (in EUR)	
19. Capitalised interest rate subsidies or guarantee fee subsidies pursuant to Article 42(1)(c) of Regulation (EU) No 1303/2013 (relevant to final report only) (in EUR)	
20. Amount of programme contributions for follow-on investments in final recipients pursuant to Article 42(3) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
V. Total amount of support paid to final recipients, or to the benefit of final recipients, or committed in guarantee contracts by the financial instrument for investments in final recipients, by ESI Funds programme and priority or measure (Article 46(2)(e) of Regulation (EU) No 1303/2013)	
22. Name of financial product offered by the financial instrument	London Mayoral Fund
22.1. Type of financial product offered by the financial instrument	Loan
24. Total amount of programme contributions committed in this loan,	102,708,804.00

guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR)	
24.1. out of which total amount of ESI Funds contributions (in EUR)	51,354,402.00
25. Total amount of programme contributions paid to final recipients through this loan, micro-loans, equity or other products, or, in the case of guarantee, committed for loans paid to final recipients, by product (in EUR)	102,708,804.00
25.1. out of which total amount of ESI Funds contributions (in EUR)	51,354,402.00
25.1.1. out of which ERDF (in EUR)	51,354,402.00
25.1.2. out of which Cohesion Fund (in EUR)	
25.1.3. out of which ESF (in EUR)	
25.1.4. out of which EAFRD (in EUR)	
25.1.5. out of which EMFF (in EUR)	
25.2. out of which total amount of national public co-financing (in EUR)	
25.3. out of which total amount of national private co-financing (in EUR)	51,354,402.00
27. Number of loan/guarantee/equity or quasi-equity/other financial product contracts signed with final recipients, by product	12
28. Number of loan/guarantee/equity or quasi-equity/other financial product investments made in final recipients, by product	
29. Number of financial recipients supported by the financial product	9
29.1. out of which large enterprises	9
29.2. out of which SMEs	
29.2.1. out of which microenterprises	
29.3. out of which individuals/natural persons	
29.4. out of which other type of final recipients supported	
29.4.1. description of other type of final recipients supported	
33. Total number of disbursed loans defaulted or total number of guarantees provided and called due to the loan default	
34. Total amount of disbursed loans defaulted (in EUR) or total amount committed for guarantees provided and called due to loan default (in EUR)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.3. Total amount of other contributions, outside the ESI Funds mobilised at the level of final recipients (in EUR)	51,354,402.00
38.3.1. out of which public contributions (EUR)	
38.3.2. out of which private contributions (EUR)	51,354,402.00
38.3A. Contribution under EIB financial product mobilised at the level of final recipient (only for the instruments under Article 38(1)(c)) (in EUR)	
39. Expected and achieved leverage effect, by reference to the funding agreement	
39.1. Expected leverage effect for loan/guarantee/equity or quasi-equity investment/other financial product, by reference to the funding agreement, by product	2.00
39.2. Achieved leverage effect at the end of reporting year for loan/guarantee/equity or quasi-equity investment/other financial product, by product	1.92
39.3. Investment mobilised through ESIF financial instruments for loan/guarantee/equity and quasi-equity investment, by product (Optional)	0.00
VI. The performance of the financial instrument, including progress in its set-up and in selection of	

bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)	
32. Information whether the financial instrument was still operational at the end of the reporting year	Yes
32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up	
VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)	
35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR)	702,913.00
36. Amounts repaid to the financial instrument attributable to support from ESI Funds by the end of the reporting year (in EUR)	
36.1. out of which capital repayments (in EUR)	
36.2. out of which gains, other earnings and yields (in EUR)	
37. Amounts of resources attributable to ESI Funds used in accordance with Article 44 and 43a	
37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR)	
37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR)	
37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the financial instrument resulting from negative interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in EUR)	
VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR)	57,787,810.00
38.1A. Contributions under EIB financial product committed in the funding agreement with the body implementing the financial instrument (only for the instruments under Article 38(1)(c)) (in EUR)	
38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR)	57,448,367.00
38.2.1. out of which public contributions (EUR)	
38.2.2. out of which private contributions (EUR)	57,448,367.00
38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR)	
IX. Contribution of the financial instrument to the achievement of the indicators of the priority or measure concerned (Article 46(2)(j) of Regulation (EU) No 1303/2013)	

41. Output indicator (code number and name) to which the financial instrument contributes	CO01 - Productive investment: Number of enterprises receiving support
41.1. Target value of the output indicator	10.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	8.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO31 - Energy efficiency: Number of households with improved energy consumption classification
41.1. Target value of the output indicator	922.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	2,175.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO32 - Energy efficiency: Decrease of annual primary energy consumption of public buildings
41.1. Target value of the output indicator	13,754,871.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	39,282,902.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO34 - GHG reduction: Estimated annual decrease of GHG
41.1. Target value of the output indicator	43,753.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	15,650.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO30 - Renewables: Additional capacity of renewable energy production
41.1. Target value of the output indicator	35.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	28.20

I. Identification of the programme and priority or measure from which support from the ESI Funds is provided (Article 46(2)(a) of Regulation (EU) No 1303/2013)	
1. Priority axes or measures supporting the financial instrument, including fund of funds, under the ESI Fund programme.	
1.1. Priority axis supporting the financial instrument under the ESI Fund programme	4 - Supporting the Shift Towards a Low Carbon Economy in all Sectors
2. Name of ESI Fund(s) supporting the financial instrument under the priority axis or measure	ERDF
3. Thematic objective(s) referred to in the first paragraph of Article 9 of Regulation (EU) No 1303/2013 supported by the financial instrument	04 - Supporting the shift towards a low-carbon economy in all sectors
3.1. Amount of ESI Funds committed in funding agreements from the individual thematic objective chosen in field 3 (optional)	12,737,871.00
4. other ESI Fund programmes providing contribution to the financial instrument	

4.1. CCI number of each other ESI Fund programme providing contributions to the financial instrument	
30. Date of completion of the ex ante assessment	26-Mar-2019
31. Selection of bodies implementing financial instrument	
31.1. Has selection or designation process already been launched	Yes
II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)	
5. Name of the financial instrument	Low Carbon Innovation Fund 2
6. Official address/place of business of the financial instrument (name of the country and city)	Norfolk County Council, County Hall, Martineau Lane, Norwich, Norfolk, NR1 2DH
7. Implementation arrangements	
7.1. Financial instruments set up at Union level, managed directly or indirectly by the Commission referred to in Article 38(1)(a) of Regulation (EU) No 1303/2013, supported from ESI Fund programme contributions	No
7.1.1. Name of the Union-level financial instrument	
7.2. Financial instrument set up at national, regional, transnational or cross-border level, managed by or under the responsibility of the managing authority referred to in Article 38(1)(b), supported from ESI Fund programme contributions under point (a), (b), (c) and d) of Article 38(4) of Regulation (EU) No 1303/2013	Entrustment of implementation tasks to another body governed by public or private law
7.3. Financial instrument combining financial contribution from MA with EIB financial products under European Fund for Strategic Investment in accordance with Article 39a, referred to in Article 38(1)(c)	
8. Type of the financial instrument	Fund of funds
10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial institution	Fiduciary account
III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)	
11. Body implementing the financial instrument	
11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European Investment Bank; the European Investment Fund; international financial institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only)	Body governed by public or private law
11.1.1. Name of the body implementing the financial instrument	Norfolk County Council
11.1.2. Official address/place of business (country and town name) of	County Hall, Martineau Lane,

the body implementing the financial instrument	Norwich, Norfolk, NR1 2DH
12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure	Interadministrative cooperation
12.1. Description of the other procedure of selecting the body implementing the financial instrument	
13. Date of signature of the funding agreement with the body implementing the financial instrument	10-Apr-2019
IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)	
14. Total amount of programme contributions committed in the funding agreement (in EUR)	25,475,742.00
14.1. out of which ESI Funds contributions (in EUR)	12,737,871.00
14.1.1. out of which ERDF (in EUR) (optional)	12,737,871.00
14.1.2. out of which Cohesion Fund (in EUR) (optional)	0.00
14.1.3. out of which ESF (in EUR) (optional)	0.00
14.1.4. out of which EAFRD (in EUR) (optional)	0.00
14.1.5. out of which EMFF (in EUR) (optional)	0.00
15. Total amount of programme contributions paid to the financial instrument (in EUR)	6,307,156.00
15.1. out of which amount of ESI Funds contributions (in EUR)	2,944,180.00
15.1.1. out of which ERDF (in EUR)	2,944,180.00
15.1.2. out of which Cohesion Fund (in EUR)	
15.1.3. out of which ESF (in EUR)	
15.1.4. out of which EAFRD (in EUR)	
15.1.5. out of which EMFF (in EUR)	
15.2. out of which total amount of national co-financing (in EUR)	3,362,976.00
15.2.1. out of which total amount of national public funding (in EUR)	207,407.00
15.2.2. out of which total amount of national private funding (in EUR)	3,155,569.00
16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR)	
17. Total amount of management costs and fees paid out of programme contributions (in EUR)	261,761.00
17.1. out of which base remuneration (in EUR)	261,761.00
17.2. out of which performance-based remuneration (in EUR)	
21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)	
32. Information whether the financial instrument was still operational at the end of the reporting year	Yes
32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up	
VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No	

1303/2013)	
35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR)	
37. Amounts of resources attributable to ESI Funds used in accordance with Article 44 and 43a	
37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR)	
37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR)	
37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the financial instrument resulting from negative interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in EUR)	
VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR)	12,737,871.00
38.1A. Contributions under EIB financial product committed in the funding agreement with the body implementing the financial instrument (only for the instruments under Article 38(1)(c)) (in EUR)	
38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR)	3,362,976.00
38.2.1. out of which public contributions (EUR)	207,407.00
38.2.2. out of which private contributions (EUR)	3,155,569.00
38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR)	

II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)	
5. Name of the financial instrument	Low Carbon Innovation Loan Fund 2
6. Official address/place of business of the financial instrument (name of the country and city)	County Hall, Martineau Lane, Norwich, Norfolk, NR1 2DH
8. Type of the financial instrument	Fund of funds specific fund
8.2. Related Fund of Funds	Low Carbon Innovation Fund 2
8.1. Tailor-made or financial instruments complying with standard terms and conditions i.e. "off-the-shelf instruments"	Tailor-made
9. Type of products provided by financial instrument: loans, micro-loans, guarantees, equity, or quasi-equity investments, other financial product or other support combined within the financial	

instrument pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
9.0.1. Loans (\geq EUR 25,000)	Yes
9.0.2. Micro-loans ($<$ EUR 25,000 and provided to micro-enterprises) according to SEC/2011/1134 final	No
9.0.3. Guarantees	No
9.0.4. Equity	Yes
9.0.5. Quasi-equity	No
9.0.6. Other financial products	No
9.0.7. Other support combined with a financial instrument	No
9.1. Description of the other financial product	
9.2. Other support combined within the financial instrument: grant, interest rate subsidy, guarantee fee subsidy pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial institution	Fiduciary account
III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)	
11. Body implementing the financial instrument	
11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European Investment Bank; the European Investment Fund; international financial institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only)	Body governed by public or private law
11.1.1. Name of the body implementing the financial instrument	Turquoise International Limited
11.1.2. Official address/place of business (country and town name) of the body implementing the financial instrument	2 Lambeth Hill London EC4V 4GG
12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure	Selection in accordance with the provisions of the public procurement directive
12.1. Description of the other procedure of selecting the body implementing the financial instrument	
13. Date of signature of the funding agreement with the body implementing the financial instrument	10-Apr-2019
IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)	
14. Total amount of programme contributions committed in the funding agreement (in EUR)	25,475,742.00
14.1. out of which ESI Funds contributions (in EUR)	12,737,871.00

14.1.1. out of which ERDF (in EUR) (optional)	12,737,871.00
14.1.2. out of which Cohesion Fund (in EUR) (optional)	0.00
14.1.3. out of which ESF (in EUR) (optional)	
14.1.4. out of which EAFRD (in EUR) (optional)	
14.1.5. out of which EMFF (in EUR) (optional)	
15. Total amount of programme contributions paid to the financial instrument (in EUR)	6,307,156.00
15.1. out of which amount of ESI Funds contributions (in EUR)	2,944,180.00
15.1.1. out of which ERDF (in EUR)	2,944,180.00
15.1.2. out of which Cohesion Fund (in EUR)	
15.1.3. out of which ESF (in EUR)	
15.1.4. out of which EAFRD (in EUR)	
15.1.5. out of which EMFF (in EUR)	
15.2. out of which total amount of national co-financing (in EUR)	3,362,976.00
15.2.1. out of which total amount of national public funding (in EUR)	207,407.00
15.2.2. out of which total amount of national private funding (in EUR)	3,155,569.00
16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR)	
17. Total amount of management costs and fees paid out of programme contributions (in EUR)	261,761.00
17.1. out of which base remuneration (in EUR)	261,761.00
17.2. out of which performance-based remuneration (in EUR)	
18. Capitalised management costs or fees pursuant to Article 42(2) of Regulation (EU) No 1303/2013 (relevant only for final report) (in EUR)	
19. Capitalised interest rate subsidies or guarantee fee subsidies pursuant to Article 42(1)(c) of Regulation (EU) No 1303/2013 (relevant to final report only) (in EUR)	
20. Amount of programme contributions for follow-on investments in final recipients pursuant to Article 42(3) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
V. Total amount of support paid to final recipients, or to the benefit of final recipients, or committed in guarantee contracts by the financial instrument for investments in final recipients, by ESI Funds programme and priority or measure (Article 46(2)(e) of Regulation (EU) No 1303/2013)	
22. Name of financial product offered by the financial instrument	Equity Fund
22.1. Type of financial product offered by the financial instrument	Equity
24. Total amount of programme contributions committed in this loan, guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR)	6,694,570.00
24.1. out of which total amount of ESI Funds contributions (in EUR)	3,347,285.00
25. Total amount of programme contributions paid to final recipients through this loan, micro-loans, equity or other products, or, in the case of guarantee, committed for loans paid to final recipients, by product (in EUR)	6,694,570.00
25.1. out of which total amount of ESI Funds contributions (in EUR)	3,347,285.00
25.1.1. out of which ERDF (in EUR)	3,347,285.00
25.1.2. out of which Cohesion Fund (in EUR)	

25.1.3. out of which ESF (in EUR)	
25.1.4. out of which EAFRD (in EUR)	
25.1.5. out of which EMFF (in EUR)	
25.2. out of which total amount of national public co-financing (in EUR)	
25.3. out of which total amount of national private co-financing (in EUR)	3,347,285.00
27. Number of loan/guarantee/equity or quasi-equity/other financial product contracts signed with final recipients, by product	12
28. Number of loan/guarantee/equity or quasi-equity/other financial product investments made in final recipients, by product	12
29. Number of financial recipients supported by the financial product	12
29.1. out of which large enterprises	
29.2. out of which SMEs	12
29.2.1. out of which microenterprises	
29.3. out of which individuals/natural persons	
29.4. out of which other type of final recipients supported	
29.4.1. description of other type of final recipients supported	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.3. Total amount of other contributions, outside the ESI Funds mobilised at the level of final recipients (in EUR)	3,347,285.00
38.3.1. out of which public contributions (EUR)	
38.3.2. out of which private contributions (EUR)	3,347,285.00
38.3A. Contribution under EIB financial product mobilised at the level of final recipient (only for the instruments under Article 38(1)(c)) (in EUR)	
39. Expected and achieved leverage effect, by reference to the funding agreement	
39.1. Expected leverage effect for loan/guarantee/equity or quasi-equity investment/other financial product, by reference to the funding agreement, by product	0.00
39.2. Achieved leverage effect at the end of reporting year for loan/guarantee/equity or quasi-equity investment/other financial product, by product	1.86
39.3. Investment mobilised through ESIF financial instruments for loan/guarantee/equity and quasi-equity investment, by product (Optional)	6,694,570.00
40. Value of investments and participations in equity (in EUR)	18,593,768.00
22. Name of financial product offered by the financial instrument	Loan Fund
22.1. Type of financial product offered by the financial instrument	Loan
24. Total amount of programme contributions committed in this loan, guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR)	1,455,982.00
24.1. out of which total amount of ESI Funds contributions (in EUR)	727,991.00
25. Total amount of programme contributions paid to final recipients through this loan, micro-loans, equity or other products, or, in the case of guarantee, committed for loans paid to final recipients, by product (in EUR)	1,455,982.00
25.1. out of which total amount of ESI Funds contributions (in EUR)	727,991.00
25.1.1. out of which ERDF (in EUR)	727,991.00
25.1.2. out of which Cohesion Fund (in EUR)	
25.1.3. out of which ESF (in EUR)	
25.1.4. out of which EAFRD (in EUR)	

25.1.5. out of which EMFF (in EUR)	
25.2. out of which total amount of national public co-financing (in EUR)	0.00
25.3. out of which total amount of national private co-financing (in EUR)	727,991.00
27. Number of loan/guarantee/equity or quasi-equity/other financial product contracts signed with final recipients, by product	2
28. Number of loan/guarantee/equity or quasi-equity/other financial product investments made in final recipients, by product	2
29. Number of financial recipients supported by the financial product	2
29.1. out of which large enterprises	
29.2. out of which SMEs	2
29.2.1. out of which microenterprises	
29.3. out of which individuals/natural persons	
29.4. out of which other type of final recipients supported	
29.4.1. description of other type of final recipients supported	
33. Total number of disbursed loans defaulted or total number of guarantees provided and called due to the loan default	
34. Total amount of disbursed loans defaulted (in EUR) or total amount committed for guarantees provided and called due to loan default (in EUR)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.3. Total amount of other contributions, outside the ESI Funds mobilised at the level of final recipients (in EUR)	727,991.00
38.3.1. out of which public contributions (EUR)	
38.3.2. out of which private contributions (EUR)	727,991.00
38.3A. Contribution under EIB financial product mobilised at the level of final recipient (only for the instruments under Article 38(1)(c)) (in EUR)	
39. Expected and achieved leverage effect, by reference to the funding agreement	
39.1. Expected leverage effect for loan/guarantee/equity or quasi-equity investment/other financial product, by reference to the funding agreement, by product	0.00
39.2. Achieved leverage effect at the end of reporting year for loan/guarantee/equity or quasi-equity investment/other financial product, by product	1.89
39.3. Investment mobilised through ESIF financial instruments for loan/guarantee/equity and quasi-equity investment, by product (Optional)	3,583,522.00
VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)	
32. Information whether the financial instrument was still operational at the end of the reporting year	Yes
32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up	
VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)	
35. Interest and other gains generated by payments from ESI Funds to the	

financial instrument (in EUR)	
36. Amounts repaid to the financial instrument attributable to support from ESI Funds by the end of the reporting year (in EUR)	
36.1. out of which capital repayments (in EUR)	
36.2. out of which gains, other earnings and yields (in EUR)	
37. Amounts of resources attributable to ESI Funds used in accordance with Article 44 and 43a	
37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR)	
37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR)	
37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the financial instrument resulting from negative interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in EUR)	
VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR)	12,737,871.00
38.1A. Contributions under EIB financial product committed in the funding agreement with the body implementing the financial instrument (only for the instruments under Article 38(1)(c)) (in EUR)	
38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR)	3,362,976.00
38.2.1. out of which public contributions (EUR)	207,407.00
38.2.2. out of which private contributions (EUR)	3,155,569.00
38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR)	
IX. Contribution of the financial instrument to the achievement of the indicators of the priority or measure concerned (Article 46(2)(j) of Regulation (EU) No 1303/2013)	
41. Output indicator (code number and name) to which the financial instrument contributes	CO01 - Productive investment: Number of enterprises receiving support
41.1. Target value of the output indicator	48.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	34.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO29 - Research, Innovation: Number of enterprises supported to introduce new to the firm products
41.1. Target value of the output indicator	20.00

41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	0.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO05 - Productive investment: Number of new enterprises supported
41.1. Target value of the output indicator	10.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	1.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO26 - Research, Innovation: Number of enterprises cooperating with research institutions
41.1. Target value of the output indicator	3.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	0.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO34 - GHG reduction: Estimated annual decrease of GHG
41.1. Target value of the output indicator	10,000.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	0.00

I. Identification of the programme and priority or measure from which support from the ESI Funds is provided (Article 46(2)(a) of Regulation (EU) No 1303/2013)	
1. Priority axes or measures supporting the financial instrument, including fund of funds, under the ESI Fund programme.	
1.1. Priority axis supporting the financial instrument under the ESI Fund programme	1 - Promoting Research and Innovation
2. Name of ESI Fund(s) supporting the financial instrument under the priority axis or measure	ERDF
3. Thematic objective(s) referred to in the first paragraph of Article 9 of Regulation (EU) No 1303/2013 supported by the financial instrument	01 - Strengthening research, technological development and innovation
3.1. Amount of ESI Funds committed in funding agreements from the individual thematic objective chosen in field 3 (optional)	1,992,462.00
4. other ESI Fund programmes providing contribution to the financial instrument	
4.1. CCI number of each other ESI Fund programme providing contributions to the financial instrument	
30. Date of completion of the ex ante assessment	11-Nov-2016
31. Selection of bodies implementing financial instrument	
31.1. Has selection or designation process already been launched	Yes
II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)	
5. Name of the financial instrument	Midlands Engine Investment Fund - PA1
6. Official address/place of business of the financial instrument (name of the country and city)	Steel City House, West Street, Sheffield, S1 2GQ

7. Implementation arrangements	
7.1. Financial instruments set up at Union level, managed directly or indirectly by the Commission referred to in Article 38(1)(a) of Regulation (EU) No 1303/2013, supported from ESI Fund programme contributions	
7.1.1. Name of the Union-level financial instrument	
7.2. Financial instrument set up at national, regional, transnational or cross-border level, managed by or under the responsibility of the managing authority referred to in Article 38(1)(b), supported from ESI Fund programme contributions under point (a), (b), (c) and d) of Article 38(4) of Regulation (EU) No 1303/2013	Entrustment of implementation tasks, through the direct award of a contract
7.3. Financial instrument combining financial contribution from MA with EIB financial products under European Fund for Strategic Investment in accordance with Article 39a, referred to in Article 38(1)(c)	
8. Type of the financial instrument	Fund of funds
10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial institution	Fiduciary account
III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)	
11. Body implementing the financial instrument	
11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European Investment Bank; the European Investment Fund; international financial institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only)	Body governed by public or private law
11.1.1. Name of the body implementing the financial instrument	
11.1.2. Official address/place of business (country and town name) of the body implementing the financial instrument	Foundry House, 3 Millsands, Sheffield, S3 8NH
12. Procedure of selecting the body implementing	Others

the financial instrument: award of a public contract; other procedure	
12.1. Description of the other procedure of selecting the body implementing the financial instrument	Entrustment of a publicly owned company, via inter-administrative co-operation between the managing authority and The Secretary of State for Business, Energy and Industrial Strategy (BEIS) in accordance with Article 38 (1)(b) & 38 4(b) (iii) of the CPR, and s3.6.2 of Commission Notice 2016/C 276/01
13. Date of signature of the funding agreement with the body implementing the financial instrument	21-Nov-2016
IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)	
14. Total amount of programme contributions committed in the funding agreement (in EUR)	6,751,819.00
14.1. out of which ESI Funds contributions (in EUR)	1,992,462.00
14.1.1. out of which ERDF (in EUR) (optional)	1,992,462.00
14.1.2. out of which Cohesion Fund (in EUR) (optional)	
14.1.3. out of which ESF (in EUR) (optional)	
14.1.4. out of which EAFRD (in EUR) (optional)	
14.1.5. out of which EMFF (in EUR) (optional)	
15. Total amount of programme contributions paid to the financial instrument (in EUR)	5,346,342.00
15.1. out of which amount of ESI Funds contributions (in EUR)	1,578,240.00
15.1.1. out of which ERDF (in EUR)	1,578,240.00
15.1.2. out of which Cohesion Fund (in EUR)	
15.1.3. out of which ESF (in EUR)	
15.1.4. out of which EAFRD (in EUR)	
15.1.5. out of which EMFF (in EUR)	
15.2. out of which total amount of national co-financing (in EUR)	3,768,102.00
15.2.1. out of which total amount of national public funding (in EUR)	1,307,181.00
15.2.2. out of which total amount of national private funding (in EUR)	2,460,921.00
16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR)	
17. Total amount of management costs and fees paid out of programme contributions (in EUR)	379,354.00
17.1. out of which base remuneration (in EUR)	
17.2. out of which performance-based remuneration (in EUR)	
21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final	

report only) (in EUR)	
VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)	
32. Information whether the financial instrument was still operational at the end of the reporting year	Yes
32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up	
VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)	
35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR)	
37. Amounts of resources attributable to ESI Funds used in accordance with Article 44 and 43a	
37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR)	
37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR)	
37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the financial instrument resulting from negative interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in EUR)	
VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR)	4,759,357.00
38.1A. Contributions under EIB financial product committed in the funding agreement with the body implementing the financial instrument (only for the instruments under Article 38(1)(c)) (in EUR)	
38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR)	3,768,102.00
38.2.1. out of which public contributions (EUR)	1,307,181.00

38.2.2. out of which private contributions (EUR)	2,460,921.00
38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR)	

II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)	
5. Name of the financial instrument	Midlands Engine - Debt Fund - West Midlands - PA1
6. Official address/place of business of the financial instrument (name of the country and city)	City Gate, East Toll House Hill, Nottingham, NG1 5FS
8. Type of the financial instrument	Fund of funds specific fund
8.2. Related Fund of Funds	Midlands Engine Investment Fund - PA1
8.1. Tailor-made or financial instruments complying with standard terms and conditions i.e. "off-the-shelf instruments"	Tailor-made
9. Type of products provided by financial instrument: loans, micro-loans, guarantees, equity, or quasi-equity investments, other financial product or other support combined within the financial instrument pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
9.0.1. Loans (\geq EUR 25,000)	Yes
9.0.2. Micro-loans ($<$ EUR 25,000 and provided to micro-enterprises) according to SEC/2011/1134 final	No
9.0.3. Guarantees	No
9.0.4. Equity	No
9.0.5. Quasi-equity	No
9.0.6. Other financial products	No
9.0.7. Other support combined with a financial instrument	No
9.1. Description of the other financial product	
9.2. Other support combined within the financial instrument: grant, interest rate subsidy, guarantee fee subsidy pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial institution	Fiduciary account
III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)	
11. Body implementing the financial instrument	
11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European Investment Bank; the European Investment Fund; international financial institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial	Body governed by public or private law

activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only)	
11.1.1. Name of the body implementing the financial instrument	Maven
11.1.2. Official address/place of business (country and town name) of the body implementing the financial instrument	City Gate, East Toll House Hill, Nottingham, NG1 5FS
12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure	Selection in accordance with the provisions of the public procurement directive
12.1. Description of the other procedure of selecting the body implementing the financial instrument	
13. Date of signature of the funding agreement with the body implementing the financial instrument	22-Aug-2017
IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)	
14. Total amount of programme contributions committed in the funding agreement (in EUR)	940,994.00
14.1. out of which ESI Funds contributions (in EUR)	277,686.00
14.1.1. out of which ERDF (in EUR) (optional)	277,686.00
14.1.2. out of which Cohesion Fund (in EUR) (optional)	
14.1.3. out of which ESF (in EUR) (optional)	
14.1.4. out of which EAFRD (in EUR) (optional)	
14.1.5. out of which EMFF (in EUR) (optional)	
15. Total amount of programme contributions paid to the financial instrument (in EUR)	612,641.00
15.1. out of which amount of ESI Funds contributions (in EUR)	180,852.00
15.1.1. out of which ERDF (in EUR)	180,852.00
15.1.2. out of which Cohesion Fund (in EUR)	
15.1.3. out of which ESF (in EUR)	
15.1.4. out of which EAFRD (in EUR)	
15.1.5. out of which EMFF (in EUR)	
15.2. out of which total amount of national co-financing (in EUR)	431,789.00
15.2.1. out of which total amount of national public funding (in EUR)	149,791.00
15.2.2. out of which total amount of national private funding (in EUR)	281,998.00
16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR)	
17. Total amount of management costs and fees paid out of programme contributions (in EUR)	46,903.00
17.1. out of which base remuneration (in EUR)	
17.2. out of which performance-based remuneration (in EUR)	
18. Capitalised management costs or fees pursuant to Article 42(2) of Regulation (EU) No 1303/2013 (relevant only for final report) (in EUR)	
19. Capitalised interest rate subsidies or guarantee fee subsidies pursuant to Article 42(1)(c) of Regulation (EU) No 1303/2013 (relevant to final report only) (in EUR)	
20. Amount of programme contributions for follow-on investments in final recipients pursuant to Article 42(3) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	

21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
V. Total amount of support paid to final recipients, or to the benefit of final recipients, or committed in guarantee contracts by the financial instrument for investments in final recipients, by ESI Funds programme and priority or measure (Article 46(2)(e) of Regulation (EU) No 1303/2013)	
22. Name of financial product offered by the financial instrument	Debt Fund - West Midlands - PAI
22.1. Type of financial product offered by the financial instrument	Loan
24. Total amount of programme contributions committed in this loan, guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR)	612,641.00
24.1. out of which total amount of ESI Funds contributions (in EUR)	180,852.00
25. Total amount of programme contributions paid to final recipients through this loan, micro-loans, equity or other products, or, in the case of guarantee, committed for loans paid to final recipients, by product (in EUR)	612,641.00
25.1. out of which total amount of ESI Funds contributions (in EUR)	180,852.00
25.1.1. out of which ERDF (in EUR)	180,852.00
25.1.2. out of which Cohesion Fund (in EUR)	
25.1.3. out of which ESF (in EUR)	
25.1.4. out of which EAFRD (in EUR)	
25.1.5. out of which EMFF (in EUR)	
25.2. out of which total amount of national public co-financing (in EUR)	149,791.00
25.3. out of which total amount of national private co-financing (in EUR)	281,998.00
27. Number of loan/guarantee/equity or quasi-equity/other financial product contracts signed with final recipients, by product	4
28. Number of loan/guarantee/equity or quasi-equity/other financial product investments made in final recipients, by product	4
29. Number of financial recipients supported by the financial product	4
29.1. out of which large enterprises	
29.2. out of which SMEs	4
29.2.1. out of which microenterprises	
29.3. out of which individuals/natural persons	
29.4. out of which other type of final recipients supported	
29.4.1. description of other type of final recipients supported	
33. Total number of disbursed loans defaulted or total number of guarantees provided and called due to the loan default	
34. Total amount of disbursed loans defaulted (in EUR) or total amount committed for guarantees provided and called due to loan default (in EUR)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.3. Total amount of other contributions, outside the ESI Funds mobilised at the level of final recipients (in EUR)	
38.3.1. out of which public contributions (EUR)	
38.3.2. out of which private contributions (EUR)	
38.3A. Contribution under EIB financial product mobilised at the level of final recipient (only for the instruments under Article 38(1)(c)) (in EUR)	
39. Expected and achieved leverage effect, by reference to the funding agreement	

39.1. Expected leverage effect for loan/guarantee/equity or quasi-equity investment/other financial product, by reference to the funding agreement, by product	3.28
39.2. Achieved leverage effect at the end of reporting year for loan/guarantee/equity or quasi-equity investment/other financial product, by product	0.87
39.3. Investment mobilised through ESIF financial instruments for loan/guarantee/equity and quasi-equity investment, by product (Optional)	
VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)	
32. Information whether the financial instrument was still operational at the end of the reporting year	Yes
32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up	
VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)	
35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR)	
36. Amounts repaid to the financial instrument attributable to support from ESI Funds by the end of the reporting year (in EUR)	
36.1. out of which capital repayments (in EUR)	
36.2. out of which gains, other earnings and yields (in EUR)	
37. Amounts of resources attributable to ESI Funds used in accordance with Article 44 and 43a	
37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR)	
37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR)	
37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the financial instrument resulting from negative interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in EUR)	
VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR)	663,308.00
38.1A. Contributions under EIB financial product committed in the funding agreement with the body implementing the financial instrument	

(only for the instruments under Article 38(1)(c)) (in EUR)	
38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR)	431,789.00
38.2.1. out of which public contributions (EUR)	149,791.00
38.2.2. out of which private contributions (EUR)	281,998.00
38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR)	
IX. Contribution of the financial instrument to the achievement of the indicators of the priority or measure concerned (Article 46(2)(j) of Regulation (EU) No 1303/2013)	
41. Output indicator (code number and name) to which the financial instrument contributes	CO28 - Research, Innovation: Number of enterprises supported to introduce new to the market products
41.1. Target value of the output indicator	1.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	2.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO05 - Productive investment: Number of new enterprises supported
41.1. Target value of the output indicator	2.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	0.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO04 - Productive investment: Number of enterprises receiving non-financial support
41.1. Target value of the output indicator	2.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	0.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO07 - Productive investment: Private investment matching public support to enterprises (non-grants)
41.1. Target value of the output indicator	746,105.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	0.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO03 - Productive investment: Number of enterprises receiving financial support other than grants
41.1. Target value of the output indicator	7.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	4.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO01 - Productive investment: Number of enterprises receiving

	<i>support</i>
41.1. Target value of the output indicator	7.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	4.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO08 - Productive investment: Employment increase in supported enterprises
41.1. Target value of the output indicator	12.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	0.00

II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)	
5. Name of the financial instrument	Midlands Engine - Proof of Concept - PA1
6. Official address/place of business of the financial instrument (name of the country and city)	Forward House, 17 High Street, Henley in Arden, B95 5AA
8. Type of the financial instrument	Fund of funds specific fund
8.2. Related Fund of Funds	Midlands Engine Investment Fund - PA1
8.1. Tailor-made or financial instruments complying with standard terms and conditions i.e. "off-the-shelf instruments"	Tailor-made
9. Type of products provided by financial instrument: loans, micro-loans, guarantees, equity, or quasi-equity investments, other financial product or other support combined within the financial instrument pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
9.0.1. Loans (\geq EUR 25,000)	No
9.0.2. Micro-loans ($<$ EUR 25,000 and provided to micro-enterprises) according to SEC/2011/1134 final	No
9.0.3. Guarantees	No
9.0.4. Equity	Yes
9.0.5. Quasi-equity	No
9.0.6. Other financial products	No
9.0.7. Other support combined with a financial instrument	No
9.1. Description of the other financial product	
9.2. Other support combined within the financial instrument: grant, interest rate subsidy, guarantee fee subsidy pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial institution	Fiduciary account
III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)	

11. Body implementing the financial instrument	
11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European Investment Bank; the European Investment Fund; international financial institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only)	Body governed by public or private law
11.1.1. Name of the body implementing the financial instrument	Mercia
11.1.2. Official address/place of business (country and town name) of the body implementing the financial instrument	Forward House, 17 High Street, Henley in Arden, B95 5AA
12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure	Selection in accordance with the provisions of the public procurement directive
12.1. Description of the other procedure of selecting the body implementing the financial instrument	
13. Date of signature of the funding agreement with the body implementing the financial instrument	22-Aug-2017
IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)	
14. Total amount of programme contributions committed in the funding agreement (in EUR)	2,767,630.00
14.1. out of which ESI Funds contributions (in EUR)	816,727.00
14.1.1. out of which ERDF (in EUR) (optional)	816,727.00
14.1.2. out of which Cohesion Fund (in EUR) (optional)	
14.1.3. out of which ESF (in EUR) (optional)	
14.1.4. out of which EAFRD (in EUR) (optional)	
14.1.5. out of which EMFF (in EUR) (optional)	
15. Total amount of programme contributions paid to the financial instrument (in EUR)	2,317,626.00
15.1. out of which amount of ESI Funds contributions (in EUR)	684,163.00
15.1.1. out of which ERDF (in EUR)	684,163.00
15.1.2. out of which Cohesion Fund (in EUR)	
15.1.3. out of which ESF (in EUR)	
15.1.4. out of which EAFRD (in EUR)	
15.1.5. out of which EMFF (in EUR)	
15.2. out of which total amount of national co-financing (in EUR)	1,633,463.00
15.2.1. out of which total amount of national public funding (in EUR)	566,660.00
15.2.2. out of which total amount of national private funding (in EUR)	1,066,803.00
16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR)	
17. Total amount of management costs and fees paid out of programme contributions (in EUR)	172,953.00
17.1. out of which base remuneration (in EUR)	
17.2. out of which performance-based remuneration (in EUR)	

18. Capitalised management costs or fees pursuant to Article 42(2) of Regulation (EU) No 1303/2013 (relevant only for final report) (in EUR)	
19. Capitalised interest rate subsidies or guarantee fee subsidies pursuant to Article 42(1)(c) of Regulation (EU) No 1303/2013 (relevant to final report only) (in EUR)	
20. Amount of programme contributions for follow-on investments in final recipients pursuant to Article 42(3) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
V. Total amount of support paid to final recipients, or to the benefit of final recipients, or committed in guarantee contracts by the financial instrument for investments in final recipients, by ESI Funds programme and priority or measure (Article 46(2)(e) of Regulation (EU) No 1303/2013)	
22. Name of financial product offered by the financial instrument	Proof of Concept
22.1. Type of financial product offered by the financial instrument	Equity
24. Total amount of programme contributions committed in this loan, guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR)	2,317,626.00
24.1. out of which total amount of ESI Funds contributions (in EUR)	684,163.00
25. Total amount of programme contributions paid to final recipients through this loan, micro-loans, equity or other products, or, in the case of guarantee, committed for loans paid to final recipients, by product (in EUR)	2,317,626.00
25.1. out of which total amount of ESI Funds contributions (in EUR)	684,163.00
25.1.1. out of which ERDF (in EUR)	684,163.00
25.1.2. out of which Cohesion Fund (in EUR)	
25.1.3. out of which ESF (in EUR)	
25.1.4. out of which EAFRD (in EUR)	
25.1.5. out of which EMFF (in EUR)	
25.2. out of which total amount of national public co-financing (in EUR)	566,660.00
25.3. out of which total amount of national private co-financing (in EUR)	1,066,803.00
27. Number of loan/guarantee/equity or quasi-equity/other financial product contracts signed with final recipients, by product	13
28. Number of loan/guarantee/equity or quasi-equity/other financial product investments made in final recipients, by product	13
29. Number of financial recipients supported by the financial product	4
29.1. out of which large enterprises	
29.2. out of which SMEs	4
29.2.1. out of which microenterprises	
29.3. out of which individuals/natural persons	
29.4. out of which other type of final recipients supported	
29.4.1. description of other type of final recipients supported	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.3. Total amount of other contributions, outside the ESI Funds mobilised at the level of final recipients (in EUR)	1,633,463.00
38.3.1. out of which public contributions (EUR)	566,660.00
38.3.2. out of which private contributions (EUR)	1,066,803.00

38.3A. Contribution under EIB financial product mobilised at the level of final recipient (only for the instruments under Article 38(1)(c)) (in EUR)	
39. Expected and achieved leverage effect, by reference to the funding agreement	
39.1. Expected leverage effect for loan/guarantee/equity or quasi-equity investment/other financial product, by reference to the funding agreement, by product	5.29
39.2. Achieved leverage effect at the end of reporting year for loan/guarantee/equity or quasi-equity investment/other financial product, by product	2.96
39.3. Investment mobilised through ESIF financial instruments for loan/guarantee/equity and quasi-equity investment, by product (Optional)	
40. Value of investments and participations in equity (in EUR)	2,300,359.00
VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)	
32. Information whether the financial instrument was still operational at the end of the reporting year	Yes
32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up	
VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)	
35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR)	
36. Amounts repaid to the financial instrument attributable to support from ESI Funds by the end of the reporting year (in EUR)	
36.1. out of which capital repayments (in EUR)	
36.2. out of which gains, other earnings and yields (in EUR)	
37. Amounts of resources attributable to ESI Funds used in accordance with Article 44 and 43a	
37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR)	
37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR)	
37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the financial instrument resulting from negative interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in EUR)	
VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.1. Total amount of other contributions, outside the ESI Funds	1,950,903.00

committed in the funding agreement with the body implementing the financial instrument (in EUR)	
38.1A. Contributions under EIB financial product committed in the funding agreement with the body implementing the financial instrument (only for the instruments under Article 38(1)(c)) (in EUR)	
38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR)	1,633,463.00
38.2.1. out of which public contributions (EUR)	566,660.00
38.2.2. out of which private contributions (EUR)	1,066,803.00
38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR)	
IX. Contribution of the financial instrument to the achievement of the indicators of the priority or measure concerned (Article 46(2)(j) of Regulation (EU) No 1303/2013)	
41. Output indicator (code number and name) to which the financial instrument contributes	CO05 - Productive investment: Number of new enterprises supported
41.1. Target value of the output indicator	1.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	1.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO04 - Productive investment: Number of enterprises receiving non-financial support
41.1. Target value of the output indicator	1.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	0.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO07 - Productive investment: Private investment matching public support to enterprises (non-grants)
41.1. Target value of the output indicator	341,965.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	18,616,285.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO08 - Productive investment: Employment increase in supported enterprises
41.1. Target value of the output indicator	5.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	16.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO01 - Productive investment: Number of enterprises receiving support
41.1. Target value of the output indicator	3.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	4.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO03 - Productive investment: Number of

	<i>enterprises receiving financial support other than grants</i>
41.1. Target value of the output indicator	2.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	4.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO29 - Research, Innovation: Number of enterprises supported to introduce new to the firm products
41.1. Target value of the output indicator	3.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	2.00

II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)	
5. Name of the financial instrument	Midlands Engine - Small Business Loans - East Midlands - PA1
6. Official address/place of business of the financial instrument (name of the country and city)	Sherwood Rise, Nottingham, NG7 6JF
8. Type of the financial instrument	Fund of funds specific fund
8.2. Related Fund of Funds	Midlands Engine Investment Fund - PA1
8.1. Tailor-made or financial instruments complying with standard terms and conditions i.e. "off-the-shelf instruments"	Tailor-made
9. Type of products provided by financial instrument: loans, micro-loans, guarantees, equity, or quasi-equity investments, other financial product or other support combined within the financial instrument pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
9.0.1. Loans (\geq EUR 25,000)	Yes
9.0.2. Micro-loans ($<$ EUR 25,000 and provided to micro-enterprises) according to SEC/2011/1134 final	No
9.0.3. Guarantees	No
9.0.4. Equity	No
9.0.5. Quasi-equity	No
9.0.6. Other financial products	No
9.0.7. Other support combined with a financial instrument	No
9.1. Description of the other financial product	
9.2. Other support combined within the financial instrument: grant, interest rate subsidy, guarantee fee subsidy pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial institution	Fiduciary account

III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)	
11. Body implementing the financial instrument	
11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European Investment Bank; the European Investment Fund; international financial institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only)	Body governed by public or private law
11.1.1. Name of the body implementing the financial instrument	ELEM
11.1.2. Official address/place of business (country and town name) of the body implementing the financial instrument	Sherwood Rise, Nottingham, NG7 6JF
12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure	Selection in accordance with the provisions of the public procurement directive
12.1. Description of the other procedure of selecting the body implementing the financial instrument	
13. Date of signature of the funding agreement with the body implementing the financial instrument	22-Aug-2017
IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)	
14. Total amount of programme contributions committed in the funding agreement (in EUR)	1.00
14.1. out of which ESI Funds contributions (in EUR)	1.00
14.1.1. out of which ERDF (in EUR) (optional)	1.00
14.1.2. out of which Cohesion Fund (in EUR) (optional)	
14.1.3. out of which ESF (in EUR) (optional)	
14.1.4. out of which EAFRD (in EUR) (optional)	
14.1.5. out of which EMFF (in EUR) (optional)	
15. Total amount of programme contributions paid to the financial instrument (in EUR)	
15.1. out of which amount of ESI Funds contributions (in EUR)	
15.1.1. out of which ERDF (in EUR)	
15.1.2. out of which Cohesion Fund (in EUR)	
15.1.3. out of which ESF (in EUR)	
15.1.4. out of which EAFRD (in EUR)	
15.1.5. out of which EMFF (in EUR)	
15.2. out of which total amount of national co-financing (in EUR)	
15.2.1. out of which total amount of national public funding (in EUR)	
15.2.2. out of which total amount of national private funding (in EUR)	
16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR)	
17. Total amount of management costs and fees paid out of programme contributions (in EUR)	0.00

17.1. out of which base remuneration (in EUR)	
17.2. out of which performance-based remuneration (in EUR)	
18. Capitalised management costs or fees pursuant to Article 42(2) of Regulation (EU) No 1303/2013 (relevant only for final report) (in EUR)	
19. Capitalised interest rate subsidies or guarantee fee subsidies pursuant to Article 42(1)(c) of Regulation (EU) No 1303/2013 (relevant to final report only) (in EUR)	
20. Amount of programme contributions for follow-on investments in final recipients pursuant to Article 42(3) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
V. Total amount of support paid to final recipients, or to the benefit of final recipients, or committed in guarantee contracts by the financial instrument for investments in final recipients, by ESI Funds programme and priority or measure (Article 46(2)(e) of Regulation (EU) No 1303/2013)	
22. Name of financial product offered by the financial instrument	<i>Small Business Loans - East Midlands</i>
22.1. Type of financial product offered by the financial instrument	Loan
24. Total amount of programme contributions committed in this loan, guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR)	
24.1. out of which total amount of ESI Funds contributions (in EUR)	
25. Total amount of programme contributions paid to final recipients through this loan, micro-loans, equity or other products, or, in the case of guarantee, committed for loans paid to final recipients, by product (in EUR)	
25.1. out of which total amount of ESI Funds contributions (in EUR)	
25.1.1. out of which ERDF (in EUR)	
25.1.2. out of which Cohesion Fund (in EUR)	
25.1.3. out of which ESF (in EUR)	
25.1.4. out of which EAFRD (in EUR)	
25.1.5. out of which EMFF (in EUR)	
25.2. out of which total amount of national public co-financing (in EUR)	
25.3. out of which total amount of national private co-financing (in EUR)	
27. Number of loan/guarantee/equity or quasi-equity/other financial product contracts signed with final recipients, by product	
28. Number of loan/guarantee/equity or quasi-equity/other financial product investments made in final recipients, by product	
29. Number of financial recipients supported by the financial product	
29.1. out of which large enterprises	
29.2. out of which SMEs	
29.2.1. out of which microenterprises	
29.3. out of which individuals/natural persons	
29.4. out of which other type of final recipients supported	
29.4.1. description of other type of final recipients supported	
33. Total number of disbursed loans defaulted or total number of guarantees provided and called due to the loan default	
34. Total amount of disbursed loans defaulted (in EUR) or total amount	

committed for guarantees provided and called due to loan default (in EUR)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.3. Total amount of other contributions, outside the ESI Funds mobilised at the level of final recipients (in EUR)	
38.3.1. out of which public contributions (EUR)	
38.3.2. out of which private contributions (EUR)	
38.3A. Contribution under EIB financial product mobilised at the level of final recipient (only for the instruments under Article 38(1)(c)) (in EUR)	
39. Expected and achieved leverage effect, by reference to the funding agreement	
39.1. Expected leverage effect for loan/guarantee/equity or quasi-equity investment/other financial product, by reference to the funding agreement, by product	6.55
39.2. Achieved leverage effect at the end of reporting year for loan/guarantee/equity or quasi-equity investment/other financial product, by product	
39.3. Investment mobilised through ESIF financial instruments for loan/guarantee/equity and quasi-equity investment, by product (Optional)	
VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)	
32. Information whether the financial instrument was still operational at the end of the reporting year	Yes
32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up	
VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)	
35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR)	
36. Amounts repaid to the financial instrument attributable to support from ESI Funds by the end of the reporting year (in EUR)	
36.1. out of which capital repayments (in EUR)	
36.2. out of which gains, other earnings and yields (in EUR)	
37. Amounts of resources attributable to ESI Funds used in accordance with Article 44 and 43a	
37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR)	
37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR)	
37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the financial instrument resulting from negative interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in EUR)	

VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR)	1.00
38.1A. Contributions under EIB financial product committed in the funding agreement with the body implementing the financial instrument (only for the instruments under Article 38(1)(c)) (in EUR)	
38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR)	
38.2.1. out of which public contributions (EUR)	
38.2.2. out of which private contributions (EUR)	
38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR)	
IX. Contribution of the financial instrument to the achievement of the indicators of the priority or measure concerned (Article 46(2)(j) of Regulation (EU) No 1303/2013)	
41. Output indicator (code number and name) to which the financial instrument contributes	CO07 - Productive investment: Private investment matching public support to enterprises (non-grants)
41.1. Target value of the output indicator	186,526.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	0.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO03 - Productive investment: Number of enterprises receiving financial support other than grants
41.1. Target value of the output indicator	1.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	0.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO08 - Productive investment: Employment increase in supported enterprises
41.1. Target value of the output indicator	3.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	0.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO01 - Productive investment: Number of enterprises receiving support
41.1. Target value of the output indicator	2.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	0.00

II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)	
5. Name of the financial instrument	Midlands Engine - Small Business Loans - West Midlands - PA1
6. Official address/place of business of the financial instrument (name of the country and city)	Wolverhampton Science Park, Technology Centre, Glaisher Drive, Wolverhampton, WV10 9RU
8. Type of the financial instrument	Fund of funds specific fund
8.2. Related Fund of Funds	Midlands Engine Investment Fund - PA1
8.1. Tailor-made or financial instruments complying with standard terms and conditions i.e. "off-the-shelf instruments"	Tailor-made
9. Type of products provided by financial instrument: loans, micro-loans, guarantees, equity, or quasi-equity investments, other financial product or other support combined within the financial instrument pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
9.0.1. Loans (\geq EUR 25,000)	Yes
9.0.2. Micro-loans ($<$ EUR 25,000 and provided to micro-enterprises) according to SEC/2011/1134 final	No
9.0.3. Guarantees	No
9.0.4. Equity	No
9.0.5. Quasi-equity	No
9.0.6. Other financial products	No
9.0.7. Other support combined with a financial instrument	No
9.1. Description of the other financial product	
9.2. Other support combined within the financial instrument: grant, interest rate subsidy, guarantee fee subsidy pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial institution	Fiduciary account
III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)	
11. Body implementing the financial instrument	
11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European Investment Bank; the European Investment Fund; international financial institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only)	Body governed by public or private law

11.1.1. Name of the body implementing the financial instrument	BCRS
11.1.2. Official address/place of business (country and town name) of the body implementing the financial instrument	Wolverhampton Science Park, Technology Centre, Glaisher Drive, Wolverhampton, WV10 9RU
12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure	Selection in accordance with the provisions of the public procurement directive
12.1. Description of the other procedure of selecting the body implementing the financial instrument	
13. Date of signature of the funding agreement with the body implementing the financial instrument	22-Aug-2017
IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)	
14. Total amount of programme contributions committed in the funding agreement (in EUR)	1.00
14.1. out of which ESI Funds contributions (in EUR)	1.00
14.1.1. out of which ERDF (in EUR) (optional)	1.00
14.1.2. out of which Cohesion Fund (in EUR) (optional)	
14.1.3. out of which ESF (in EUR) (optional)	
14.1.4. out of which EAFRD (in EUR) (optional)	
14.1.5. out of which EMFF (in EUR) (optional)	
15. Total amount of programme contributions paid to the financial instrument (in EUR)	
15.1. out of which amount of ESI Funds contributions (in EUR)	
15.1.1. out of which ERDF (in EUR)	
15.1.2. out of which Cohesion Fund (in EUR)	
15.1.3. out of which ESF (in EUR)	
15.1.4. out of which EAFRD (in EUR)	
15.1.5. out of which EMFF (in EUR)	
15.2. out of which total amount of national co-financing (in EUR)	
15.2.1. out of which total amount of national public funding (in EUR)	
15.2.2. out of which total amount of national private funding (in EUR)	
16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR)	
17. Total amount of management costs and fees paid out of programme contributions (in EUR)	0.00
17.1. out of which base remuneration (in EUR)	
17.2. out of which performance-based remuneration (in EUR)	
18. Capitalised management costs or fees pursuant to Article 42(2) of Regulation (EU) No 1303/2013 (relevant only for final report) (in EUR)	
19. Capitalised interest rate subsidies or guarantee fee subsidies pursuant to Article 42(1)(c) of Regulation (EU) No 1303/2013 (relevant to final report only) (in EUR)	
20. Amount of programme contributions for follow-on investments in final recipients pursuant to Article 42(3) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
21. Contributions of land and/or real estate in the financial instrument	

pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
V. Total amount of support paid to final recipients, or to the benefit of final recipients, or committed in guarantee contracts by the financial instrument for investments in final recipients, by ESI Funds programme and priority or measure (Article 46(2)(e) of Regulation (EU) No 1303/2013)	
22. Name of financial product offered by the financial instrument	<i>Small Business Loans - West Midlands</i>
22.1. Type of financial product offered by the financial instrument	Loan
24. Total amount of programme contributions committed in this loan, guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR)	
24.1. out of which total amount of ESI Funds contributions (in EUR)	
25. Total amount of programme contributions paid to final recipients through this loan, micro-loans, equity or other products, or, in the case of guarantee, committed for loans paid to final recipients, by product (in EUR)	
25.1. out of which total amount of ESI Funds contributions (in EUR)	
25.1.1. out of which ERDF (in EUR)	
25.1.2. out of which Cohesion Fund (in EUR)	
25.1.3. out of which ESF (in EUR)	
25.1.4. out of which EAFRD (in EUR)	
25.1.5. out of which EMFF (in EUR)	
25.2. out of which total amount of national public co-financing (in EUR)	
25.3. out of which total amount of national private co-financing (in EUR)	
27. Number of loan/guarantee/equity or quasi-equity/other financial product contracts signed with final recipients, by product	
28. Number of loan/guarantee/equity or quasi-equity/other financial product investments made in final recipients, by product	
29. Number of financial recipients supported by the financial product	
29.1. out of which large enterprises	
29.2. out of which SMEs	
29.2.1. out of which microenterprises	
29.3. out of which individuals/natural persons	
29.4. out of which other type of final recipients supported	
29.4.1. description of other type of final recipients supported	
33. Total number of disbursed loans defaulted or total number of guarantees provided and called due to the loan default	
34. Total amount of disbursed loans defaulted (in EUR) or total amount committed for guarantees provided and called due to loan default (in EUR)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.3. Total amount of other contributions, outside the ESI Funds mobilised at the level of final recipients (in EUR)	
38.3.1. out of which public contributions (EUR)	
38.3.2. out of which private contributions (EUR)	
38.3A. Contribution under EIB financial product mobilised at the level of final recipient (only for the instruments under Article 38(1)(c)) (in EUR)	

EUR)	
39. Expected and achieved leverage effect, by reference to the funding agreement	
39.1. Expected leverage effect for loan/guarantee/equity or quasi-equity investment/other financial product, by reference to the funding agreement, by product	6.55
39.2. Achieved leverage effect at the end of reporting year for loan/guarantee/equity or quasi-equity investment/other financial product, by product	
39.3. Investment mobilised through ESIF financial instruments for loan/guarantee/equity and quasi-equity investment, by product (Optional)	
VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)	
32. Information whether the financial instrument was still operational at the end of the reporting year	Yes
32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up	
VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)	
35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR)	
36. Amounts repaid to the financial instrument attributable to support from ESI Funds by the end of the reporting year (in EUR)	
36.1. out of which capital repayments (in EUR)	
36.2. out of which gains, other earnings and yields (in EUR)	
37. Amounts of resources attributable to ESI Funds used in accordance with Article 44 and 43a	
37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR)	
37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR)	
37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the financial instrument resulting from negative interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in EUR)	
VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the	1.00

financial instrument (in EUR)	
38.1A. Contributions under EIB financial product committed in the funding agreement with the body implementing the financial instrument (only for the instruments under Article 38(1)(c)) (in EUR)	
38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR)	
38.2.1. out of which public contributions (EUR)	
38.2.2. out of which private contributions (EUR)	
38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR)	
IX. Contribution of the financial instrument to the achievement of the indicators of the priority or measure concerned (Article 46(2)(j) of Regulation (EU) No 1303/2013)	
41. Output indicator (code number and name) to which the financial instrument contributes	CO07 - Productive investment: Private investment matching public support to enterprises (non-grants)
41.1. Target value of the output indicator	248,702.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	0.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO08 - Productive investment: Employment increase in supported enterprises
41.1. Target value of the output indicator	4.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	0.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO05 - Productive investment: Number of new enterprises supported
41.1. Target value of the output indicator	1.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	0.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO03 - Productive investment: Number of enterprises receiving financial support other than grants
41.1. Target value of the output indicator	1.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	0.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO01 - Productive investment: Number of enterprises receiving support
41.1. Target value of the output indicator	3.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	0.00

II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)

5. Name of the financial instrument	Midlands Engine Debt Fund - East Midlands - PA1
6. Official address/place of business of the financial instrument (name of the country and city)	City Gate, East Toll House Hill, Nottingham, NG1 5FS
8. Type of the financial instrument	Fund of funds specific fund
8.2. Related Fund of Funds	Midlands Engine Investment Fund - PA1
8.1. Tailor-made or financial instruments complying with standard terms and conditions i.e. "off-the-shelf instruments"	Tailor-made
9. Type of products provided by financial instrument: loans, micro-loans, guarantees, equity, or quasi-equity investments, other financial product or other support combined within the financial instrument pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
9.0.1. Loans (\geq EUR 25,000)	Yes
9.0.2. Micro-loans ($<$ EUR 25,000 and provided to micro-enterprises) according to SEC/2011/1134 final	No
9.0.3. Guarantees	No
9.0.4. Equity	No
9.0.5. Quasi-equity	No
9.0.6. Other financial products	No
9.0.7. Other support combined with a financial instrument	No
9.1. Description of the other financial product	
9.2. Other support combined within the financial instrument: grant, interest rate subsidy, guarantee fee subsidy pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial institution	Fiduciary account
III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)	
11. Body implementing the financial instrument	
11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European Investment Bank; the European Investment Fund; international financial institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only)	Body governed by public or private law
11.1.1. Name of the body implementing the financial instrument	Maven
11.1.2. Official address/place of business (country and town name) of the body implementing the financial instrument	City Gate, East Toll House Hill, Nottingham, NG1 5FS
12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure	Selection in accordance with the provisions of the public

	procurement directive
12.1. Description of the other procedure of selecting the body implementing the financial instrument	
13. Date of signature of the funding agreement with the body implementing the financial instrument	22-Aug-2017
IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)	
14. Total amount of programme contributions committed in the funding agreement (in EUR)	1.00
14.1. out of which ESI Funds contributions (in EUR)	1.00
14.1.1. out of which ERDF (in EUR) (optional)	1.00
14.1.2. out of which Cohesion Fund (in EUR) (optional)	
14.1.3. out of which ESF (in EUR) (optional)	
14.1.4. out of which EAFRD (in EUR) (optional)	
14.1.5. out of which EMFF (in EUR) (optional)	
15. Total amount of programme contributions paid to the financial instrument (in EUR)	
15.1. out of which amount of ESI Funds contributions (in EUR)	
15.1.1. out of which ERDF (in EUR)	
15.1.2. out of which Cohesion Fund (in EUR)	
15.1.3. out of which ESF (in EUR)	
15.1.4. out of which EAFRD (in EUR)	
15.1.5. out of which EMFF (in EUR)	
15.2. out of which total amount of national co-financing (in EUR)	
15.2.1. out of which total amount of national public funding (in EUR)	
15.2.2. out of which total amount of national private funding (in EUR)	
16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR)	
17. Total amount of management costs and fees paid out of programme contributions (in EUR)	0.00
17.1. out of which base remuneration (in EUR)	
17.2. out of which performance-based remuneration (in EUR)	
18. Capitalised management costs or fees pursuant to Article 42(2) of Regulation (EU) No 1303/2013 (relevant only for final report) (in EUR)	
19. Capitalised interest rate subsidies or guarantee fee subsidies pursuant to Article 42(1)(c) of Regulation (EU) No 1303/2013 (relevant to final report only) (in EUR)	
20. Amount of programme contributions for follow-on investments in final recipients pursuant to Article 42(3) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
V. Total amount of support paid to final recipients, or to the benefit of final recipients, or committed in guarantee contracts by the financial instrument for investments in final recipients, by ESI Funds programme and priority or measure (Article 46(2)(e) of Regulation (EU) No 1303/2013)	
22. Name of financial product offered by the financial instrument	Debt Fund - East Midlands - PA1

22.1. Type of financial product offered by the financial instrument	Loan
24. Total amount of programme contributions committed in this loan, guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR)	
24.1. out of which total amount of ESI Funds contributions (in EUR)	
25. Total amount of programme contributions paid to final recipients through this loan, micro-loans, equity or other products, or, in the case of guarantee, committed for loans paid to final recipients, by product (in EUR)	
25.1. out of which total amount of ESI Funds contributions (in EUR)	
25.1.1. out of which ERDF (in EUR)	
25.1.2. out of which Cohesion Fund (in EUR)	
25.1.3. out of which ESF (in EUR)	
25.1.4. out of which EAFRD (in EUR)	
25.1.5. out of which EMFF (in EUR)	
25.2. out of which total amount of national public co-financing (in EUR)	
25.3. out of which total amount of national private co-financing (in EUR)	
27. Number of loan/guarantee/equity or quasi-equity/other financial product contracts signed with final recipients, by product	
28. Number of loan/guarantee/equity or quasi-equity/other financial product investments made in final recipients, by product	
29. Number of financial recipients supported by the financial product	
29.1. out of which large enterprises	
29.2. out of which SMEs	
29.2.1. out of which microenterprises	
29.3. out of which individuals/natural persons	
29.4. out of which other type of final recipients supported	
29.4.1. description of other type of final recipients supported	
33. Total number of disbursed loans defaulted or total number of guarantees provided and called due to the loan default	
34. Total amount of disbursed loans defaulted (in EUR) or total amount committed for guarantees provided and called due to loan default (in EUR)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.3. Total amount of other contributions, outside the ESI Funds mobilised at the level of final recipients (in EUR)	
38.3.1. out of which public contributions (EUR)	
38.3.2. out of which private contributions (EUR)	
38.3A. Contribution under EIB financial product mobilised at the level of final recipient (only for the instruments under Article 38(1)(c)) (in EUR)	
39. Expected and achieved leverage effect, by reference to the funding agreement	
39.1. Expected leverage effect for loan/guarantee/equity or quasi-equity investment/other financial product, by reference to the funding agreement, by product	6.55
39.2. Achieved leverage effect at the end of reporting year for loan/guarantee/equity or quasi-equity investment/other financial product, by product	
39.3. Investment mobilised through ESIF financial instruments for loan/guarantee/equity and quasi-equity investment, by product (Optional)	

VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)	
32. Information whether the financial instrument was still operational at the end of the reporting year	Yes
32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up	
VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)	
35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR)	
36. Amounts repaid to the financial instrument attributable to support from ESI Funds by the end of the reporting year (in EUR)	
36.1. out of which capital repayments (in EUR)	
36.2. out of which gains, other earnings and yields (in EUR)	
37. Amounts of resources attributable to ESI Funds used in accordance with Article 44 and 43a	
37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR)	
37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR)	
37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the financial instrument resulting from negative interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in EUR)	
VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR)	1.00
38.1A. Contributions under EIB financial product committed in the funding agreement with the body implementing the financial instrument (only for the instruments under Article 38(1)(c)) (in EUR)	
38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR)	
38.2.1. out of which public contributions (EUR)	
38.2.2. out of which private contributions (EUR)	
38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR)	
IX. Contribution of the financial instrument to the achievement of the indicators of the priority or	

measure concerned (Article 46(2)(j) of Regulation (EU) No 1303/2013)	
41. Output indicator (code number and name) to which the financial instrument contributes	CO03 - Productive investment: Number of enterprises receiving financial support other than grants
41.1. Target value of the output indicator	4.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	0.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO08 - Productive investment: Employment increase in supported enterprises
41.1. Target value of the output indicator	9.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	0.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO05 - Productive investment: Number of new enterprises supported
41.1. Target value of the output indicator	1.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	0.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO07 - Productive investment: Private investment matching public support to enterprises (non-grants)
41.1. Target value of the output indicator	590,667.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	0.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO04 - Productive investment: Number of enterprises receiving non-financial support
41.1. Target value of the output indicator	2.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	0.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO01 - Productive investment: Number of enterprises receiving support
41.1. Target value of the output indicator	6.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	0.00

II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)	
5. Name of the financial instrument	Midlands Engine Equity

	Fund - East Midlands - PA1
6. Official address/place of business of the financial instrument (name of the country and city)	14 Park Row, Nottingham, NG1 6GR
8. Type of the financial instrument	Fund of funds specific fund
8.2. Related Fund of Funds	Midlands Engine Investment Fund - PA1
8.1. Tailor-made or financial instruments complying with standard terms and conditions i.e. "off-the-shelf instruments"	Tailor-made
9. Type of products provided by financial instrument: loans, micro-loans, guarantees, equity, or quasi-equity investments, other financial product or other support combined within the financial instrument pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
9.0.1. Loans (\geq EUR 25,000)	No
9.0.2. Micro-loans ($<$ EUR 25,000 and provided to micro-enterprises) according to SEC/2011/1134 final	No
9.0.3. Guarantees	No
9.0.4. Equity	Yes
9.0.5. Quasi-equity	Yes
9.0.6. Other financial products	No
9.0.7. Other support combined with a financial instrument	No
9.1. Description of the other financial product	
9.2. Other support combined within the financial instrument: grant, interest rate subsidy, guarantee fee subsidy pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial institution	Fiduciary account
III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)	
11. Body implementing the financial instrument	
11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European Investment Bank; the European Investment Fund; international financial institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only)	Body governed by public or private law
11.1.1. Name of the body implementing the financial instrument	Foresight
11.1.2. Official address/place of business (country and town name) of the body implementing the financial instrument	14 Park Row, Nottingham, NG1 6GR
12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure	Selection in accordance with the provisions of the public procurement directive

12.1. Description of the other procedure of selecting the body implementing the financial instrument	
13. Date of signature of the funding agreement with the body implementing the financial instrument	22-Aug-2017
IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)	
14. Total amount of programme contributions committed in the funding agreement (in EUR)	1.00
14.1. out of which ESI Funds contributions (in EUR)	1.00
14.1.1. out of which ERDF (in EUR) (optional)	1.00
14.1.2. out of which Cohesion Fund (in EUR) (optional)	
14.1.3. out of which ESF (in EUR) (optional)	
14.1.4. out of which EAFRD (in EUR) (optional)	
14.1.5. out of which EMFF (in EUR) (optional)	
15. Total amount of programme contributions paid to the financial instrument (in EUR)	
15.1. out of which amount of ESI Funds contributions (in EUR)	
15.1.1. out of which ERDF (in EUR)	
15.1.2. out of which Cohesion Fund (in EUR)	
15.1.3. out of which ESF (in EUR)	
15.1.4. out of which EAFRD (in EUR)	
15.1.5. out of which EMFF (in EUR)	
15.2. out of which total amount of national co-financing (in EUR)	
15.2.1. out of which total amount of national public funding (in EUR)	
15.2.2. out of which total amount of national private funding (in EUR)	
16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR)	
17. Total amount of management costs and fees paid out of programme contributions (in EUR)	0.00
17.1. out of which base remuneration (in EUR)	
17.2. out of which performance-based remuneration (in EUR)	
18. Capitalised management costs or fees pursuant to Article 42(2) of Regulation (EU) No 1303/2013 (relevant only for final report) (in EUR)	
19. Capitalised interest rate subsidies or guarantee fee subsidies pursuant to Article 42(1)(c) of Regulation (EU) No 1303/2013 (relevant to final report only) (in EUR)	
20. Amount of programme contributions for follow-on investments in final recipients pursuant to Article 42(3) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
V. Total amount of support paid to final recipients, or to the benefit of final recipients, or committed in guarantee contracts by the financial instrument for investments in final recipients, by ESI Funds programme and priority or measure (Article 46(2)(e) of Regulation (EU) No 1303/2013)	
22. Name of financial product offered by the financial instrument	<i>Equity Fund - East Midlands - PA1</i>
22.1. Type of financial product offered by the financial instrument	Equity

24. Total amount of programme contributions committed in this loan, guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR)	
24.1. out of which total amount of ESI Funds contributions (in EUR)	
25. Total amount of programme contributions paid to final recipients through this loan, micro-loans, equity or other products, or, in the case of guarantee, committed for loans paid to final recipients, by product (in EUR)	
25.1. out of which total amount of ESI Funds contributions (in EUR)	
25.1.1. out of which ERDF (in EUR)	
25.1.2. out of which Cohesion Fund (in EUR)	
25.1.3. out of which ESF (in EUR)	
25.1.4. out of which EAFRD (in EUR)	
25.1.5. out of which EMFF (in EUR)	
25.2. out of which total amount of national public co-financing (in EUR)	
25.3. out of which total amount of national private co-financing (in EUR)	
27. Number of loan/guarantee/equity or quasi-equity/other financial product contracts signed with final recipients, by product	
28. Number of loan/guarantee/equity or quasi-equity/other financial product investments made in final recipients, by product	
29. Number of financial recipients supported by the financial product	
29.1. out of which large enterprises	
29.2. out of which SMEs	
29.2.1. out of which microenterprises	
29.3. out of which individuals/natural persons	
29.4. out of which other type of final recipients supported	
29.4.1. description of other type of final recipients supported	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.3. Total amount of other contributions, outside the ESI Funds mobilised at the level of final recipients (in EUR)	
38.3.1. out of which public contributions (EUR)	
38.3.2. out of which private contributions (EUR)	
38.3A. Contribution under EIB financial product mobilised at the level of final recipient (only for the instruments under Article 38(1)(c)) (in EUR)	
39. Expected and achieved leverage effect, by reference to the funding agreement	
39.1. Expected leverage effect for loan/guarantee/equity or quasi-equity investment/other financial product, by reference to the funding agreement, by product	6.55
39.2. Achieved leverage effect at the end of reporting year for loan/guarantee/equity or quasi-equity investment/other financial product, by product	
39.3. Investment mobilised through ESIF financial instruments for loan/guarantee/equity and quasi-equity investment, by product (Optional)	
40. Value of investments and participations in equity (in EUR)	
VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)	
32. Information whether the financial instrument was still operational at	Yes

the end of the reporting year	
32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up	
VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)	
35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR)	
36. Amounts repaid to the financial instrument attributable to support from ESI Funds by the end of the reporting year (in EUR)	
36.1. out of which capital repayments (in EUR)	
36.2. out of which gains, other earnings and yields (in EUR)	
37. Amounts of resources attributable to ESI Funds used in accordance with Article 44 and 43a	
37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR)	
37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR)	
37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the financial instrument resulting from negative interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in EUR)	
VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR)	1.00
38.1A. Contributions under EIB financial product committed in the funding agreement with the body implementing the financial instrument (only for the instruments under Article 38(1)(c)) (in EUR)	
38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR)	
38.2.1. out of which public contributions (EUR)	
38.2.2. out of which private contributions (EUR)	
38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR)	
IX. Contribution of the financial instrument to the achievement of the indicators of the priority or measure concerned (Article 46(2)(j) of Regulation (EU) No 1303/2013)	
<i>41. Output indicator (code number and name) to which the financial instrument contributes</i>	<i>CO03 - Productive investment: Number of enterprises receiving</i>

	<i>financial support other than grants</i>
41.1. Target value of the output indicator	3.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	0.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO01 - Productive investment: Number of enterprises receiving support
41.1. Target value of the output indicator	5.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	0.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO05 - Productive investment: Number of new enterprises supported
41.1. Target value of the output indicator	1.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	0.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO07 - Productive investment: Private investment matching public support to enterprises (non-grants)
41.1. Target value of the output indicator	497,403.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	0.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO04 - Productive investment: Number of enterprises receiving non-financial support
41.1. Target value of the output indicator	2.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	0.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO08 - Productive investment: Employment increase in supported enterprises
41.1. Target value of the output indicator	7.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	0.00

II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)	
5. Name of the financial instrument	Midlands Engine Equity Fund - West Midlands - PA1
6. Official address/place of business of the financial instrument (name of the country and city)	41 Waterloo Street, Birmingham, B2 5PP

8. Type of the financial instrument	Fund of funds specific fund
8.2. Related Fund of Funds	Midlands Engine Investment Fund - PA1
8.1. Tailor-made or financial instruments complying with standard terms and conditions i.e. "off-the-shelf instruments"	Tailor-made
9. Type of products provided by financial instrument: loans, micro-loans, guarantees, equity, or quasi-equity investments, other financial product or other support combined within the financial instrument pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
9.0.1. Loans (\geq EUR 25,000)	No
9.0.2. Micro-loans ($<$ EUR 25,000 and provided to micro-enterprises) according to SEC/2011/1134 final	No
9.0.3. Guarantees	No
9.0.4. Equity	Yes
9.0.5. Quasi-equity	Yes
9.0.6. Other financial products	No
9.0.7. Other support combined with a financial instrument	No
9.1. Description of the other financial product	
9.2. Other support combined within the financial instrument: grant, interest rate subsidy, guarantee fee subsidy pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial institution	Fiduciary account
III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)	
11. Body implementing the financial instrument	
11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European Investment Bank; the European Investment Fund; international financial institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only)	Body governed by public or private law
11.1.1. Name of the body implementing the financial instrument	Midven
11.1.2. Official address/place of business (country and town name) of the body implementing the financial instrument	41 Waterloo Street, Birmingham, B2 5PP
12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure	Selection in accordance with the provisions of the public procurement directive
12.1. Description of the other procedure of selecting the body implementing the financial instrument	
13. Date of signature of the funding agreement with the body implementing the financial instrument	22-Aug-2017

IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)	
14. Total amount of programme contributions committed in the funding agreement (in EUR)	2,214,104.00
14.1. out of which ESI Funds contributions (in EUR)	653,381.00
14.1.1. out of which ERDF (in EUR) (optional)	653,381.00
14.1.2. out of which Cohesion Fund (in EUR) (optional)	
14.1.3. out of which ESF (in EUR) (optional)	
14.1.4. out of which EAFRD (in EUR) (optional)	
14.1.5. out of which EMFF (in EUR) (optional)	
15. Total amount of programme contributions paid to the financial instrument (in EUR)	1,851,741.00
15.1. out of which amount of ESI Funds contributions (in EUR)	546,634.00
15.1.1. out of which ERDF (in EUR)	546,634.00
15.1.2. out of which Cohesion Fund (in EUR)	
15.1.3. out of which ESF (in EUR)	
15.1.4. out of which EAFRD (in EUR)	
15.1.5. out of which EMFF (in EUR)	
15.2. out of which total amount of national co-financing (in EUR)	1,305,107.00
15.2.1. out of which total amount of national public funding (in EUR)	452,751.00
15.2.2. out of which total amount of national private funding (in EUR)	852,356.00
16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR)	
17. Total amount of management costs and fees paid out of programme contributions (in EUR)	121,564.00
17.1. out of which base remuneration (in EUR)	
17.2. out of which performance-based remuneration (in EUR)	
18. Capitalised management costs or fees pursuant to Article 42(2) of Regulation (EU) No 1303/2013 (relevant only for final report) (in EUR)	
19. Capitalised interest rate subsidies or guarantee fee subsidies pursuant to Article 42(1)(c) of Regulation (EU) No 1303/2013 (relevant to final report only) (in EUR)	
20. Amount of programme contributions for follow-on investments in final recipients pursuant to Article 42(3) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
V. Total amount of support paid to final recipients, or to the benefit of final recipients, or committed in guarantee contracts by the financial instrument for investments in final recipients, by ESI Funds programme and priority or measure (Article 46(2)(e) of Regulation (EU) No 1303/2013)	
22. Name of financial product offered by the financial instrument	Equity Fund - WM - PA1
22.1. Type of financial product offered by the financial instrument	Equity
24. Total amount of programme contributions committed in this loan, guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR)	1,851,741.00
24.1. out of which total amount of ESI Funds contributions (in EUR)	546,634.00
25. Total amount of programme contributions paid to final recipients	1,851,741.00

through this loan, micro-loans, equity or other products, or, in the case of guarantee, committed for loans paid to final recipients, by product (in EUR)	
25.1. out of which total amount of ESI Funds contributions (in EUR)	546,634.00
25.1.1. out of which ERDF (in EUR)	546,634.00
25.1.2. out of which Cohesion Fund (in EUR)	
25.1.3. out of which ESF (in EUR)	
25.1.4. out of which EAFRD (in EUR)	
25.1.5. out of which EMFF (in EUR)	
25.2. out of which total amount of national public co-financing (in EUR)	452,751.00
25.3. out of which total amount of national private co-financing (in EUR)	852,356.00
27. Number of loan/guarantee/equity or quasi-equity/other financial product contracts signed with final recipients, by product	7
28. Number of loan/guarantee/equity or quasi-equity/other financial product investments made in final recipients, by product	7
29. Number of financial recipients supported by the financial product	4
29.1. out of which large enterprises	
29.2. out of which SMEs	4
29.2.1. out of which microenterprises	
29.3. out of which individuals/natural persons	
29.4. out of which other type of final recipients supported	
29.4.1. description of other type of final recipients supported	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.3. Total amount of other contributions, outside the ESI Funds mobilised at the level of final recipients (in EUR)	1,305,107.00
38.3.1. out of which public contributions (EUR)	452,751.00
38.3.2. out of which private contributions (EUR)	852,356.00
38.3A. Contribution under EIB financial product mobilised at the level of final recipient (only for the instruments under Article 38(1)(c)) (in EUR)	
39. Expected and achieved leverage effect, by reference to the funding agreement	
39.1. Expected leverage effect for loan/guarantee/equity or quasi-equity investment/other financial product, by reference to the funding agreement, by product	6.55
39.2. Achieved leverage effect at the end of reporting year for loan/guarantee/equity or quasi-equity investment/other financial product, by product	2.98
39.3. Investment mobilised through ESIF financial instruments for loan/guarantee/equity and quasi-equity investment, by product (Optional)	
40. Value of investments and participations in equity (in EUR)	1,784,571.00
VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)	
32. Information whether the financial instrument was still operational at the end of the reporting year	Yes
32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up	
VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles	

43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)	
35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR)	
36. Amounts repaid to the financial instrument attributable to support from ESI Funds by the end of the reporting year (in EUR)	
36.1. out of which capital repayments (in EUR)	
36.2. out of which gains, other earnings and yields (in EUR)	
37. Amounts of resources attributable to ESI Funds used in accordance with Article 44 and 43a	
37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR)	
37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR)	
37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the financial instrument resulting from negative interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in EUR)	
VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR)	1,560,723.00
38.1A. Contributions under EIB financial product committed in the funding agreement with the body implementing the financial instrument (only for the instruments under Article 38(1)(c)) (in EUR)	
38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR)	1,305,107.00
38.2.1. out of which public contributions (EUR)	452,751.00
38.2.2. out of which private contributions (EUR)	852,356.00
38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR)	
IX. Contribution of the financial instrument to the achievement of the indicators of the priority or measure concerned (Article 46(2)(j) of Regulation (EU) No 1303/2013)	
41. Output indicator (code number and name) to which the financial instrument contributes	CO08 - Productive investment: Employment increase in supported enterprises
41.1. Target value of the output indicator	7.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	10.00
41. Output indicator (code number and name) to which the financial	CO04 - Productive

<i>instrument contributes</i>	<i>investment: Number of enterprises receiving non-financial support</i>
41.1. Target value of the output indicator	2.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	4.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO07 - Productive investment: Private investment matching public support to enterprises (non-grants)
41.1. Target value of the output indicator	497,403.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	4,617,500.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO01 - Productive investment: Number of enterprises receiving support
41.1. Target value of the output indicator	5.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	8.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO05 - Productive investment: Number of new enterprises supported
41.1. Target value of the output indicator	1.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	2.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO03 - Productive investment: Number of enterprises receiving financial support other than grants
41.1. Target value of the output indicator	4.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	4.00

II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)	
5. Name of the financial instrument	Midlands Engine – Debt Fund – East Midlands (FSE) – PA1
6. Official address/place of business of the financial instrument (name of the country and city)	City Gate, East Toll House Hill, Nottingham, NG1 5FS
8. Type of the financial instrument	Fund of funds specific fund
8.2. Related Fund of Funds	Midlands Engine Investment Fund - PA1
8.1. Tailor-made or financial instruments complying with standard terms and conditions i.e. "off-the-shelf instruments"	Tailor-made

9. Type of products provided by financial instrument: loans, micro-loans, guarantees, equity, or quasi-equity investments, other financial product or other support combined within the financial instrument pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
9.0.1. Loans (\geq EUR 25,000)	Yes
9.0.2. Micro-loans ($<$ EUR 25,000 and provided to micro-enterprises) according to SEC/2011/1134 final	No
9.0.3. Guarantees	No
9.0.4. Equity	No
9.0.5. Quasi-equity	No
9.0.6. Other financial products	No
9.0.7. Other support combined with a financial instrument	No
9.1. Description of the other financial product	
9.2. Other support combined within the financial instrument: grant, interest rate subsidy, guarantee fee subsidy pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial institution	Fiduciary account
III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)	
11. Body implementing the financial instrument	
11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European Investment Bank; the European Investment Fund; international financial institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only)	Body governed by public or private law
11.1.1. Name of the body implementing the financial instrument	FSE Fund Managers Limited
11.1.2. Official address/place of business (country and town name) of the body implementing the financial instrument	The Lewis Building , Bull Street, Birmingham, B4 6EQ
12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure	Selection in accordance with the provisions of the public procurement directive
12.1. Description of the other procedure of selecting the body implementing the financial instrument	
13. Date of signature of the funding agreement with the body implementing the financial instrument	22-Jan-2020
IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)	
14. Total amount of programme contributions committed in the funding agreement (in EUR)	829,091.00

14.1. out of which ESI Funds contributions (in EUR)	244,664.00
14.1.1. out of which ERDF (in EUR) (optional)	244,664.00
14.1.2. out of which Cohesion Fund (in EUR) (optional)	
14.1.3. out of which ESF (in EUR) (optional)	
14.1.4. out of which EAFRD (in EUR) (optional)	
14.1.5. out of which EMFF (in EUR) (optional)	
15. Total amount of programme contributions paid to the financial instrument (in EUR)	564,334.00
15.1. out of which amount of ESI Funds contributions (in EUR)	166,591.00
15.1.1. out of which ERDF (in EUR)	166,591.00
15.1.2. out of which Cohesion Fund (in EUR)	
15.1.3. out of which ESF (in EUR)	
15.1.4. out of which EAFRD (in EUR)	
15.1.5. out of which EMFF (in EUR)	
15.2. out of which total amount of national co-financing (in EUR)	397,743.00
15.2.1. out of which total amount of national public funding (in EUR)	137,980.00
15.2.2. out of which total amount of national private funding (in EUR)	259,763.00
16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR)	
17. Total amount of management costs and fees paid out of programme contributions (in EUR)	37,935.00
17.1. out of which base remuneration (in EUR)	
17.2. out of which performance-based remuneration (in EUR)	
18. Capitalised management costs or fees pursuant to Article 42(2) of Regulation (EU) No 1303/2013 (relevant only for final report) (in EUR)	
19. Capitalised interest rate subsidies or guarantee fee subsidies pursuant to Article 42(1)(c) of Regulation (EU) No 1303/2013 (relevant to final report only) (in EUR)	
20. Amount of programme contributions for follow-on investments in final recipients pursuant to Article 42(3) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
V. Total amount of support paid to final recipients, or to the benefit of final recipients, or committed in guarantee contracts by the financial instrument for investments in final recipients, by ESI Funds programme and priority or measure (Article 46(2)(e) of Regulation (EU) No 1303/2013)	
22. Name of financial product offered by the financial instrument	Debt Fund East Midlands - FSE
22.1. Type of financial product offered by the financial instrument	Loan
24. Total amount of programme contributions committed in this loan, guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR)	564,334.00
24.1. out of which total amount of ESI Funds contributions (in EUR)	166,591.00
25. Total amount of programme contributions paid to final recipients through this loan, micro-loans, equity or other products, or, in the case of guarantee, committed for loans paid to final recipients, by product (in EUR)	564,334.00
25.1. out of which total amount of ESI Funds contributions (in EUR)	166,591.00

25.1.1. out of which ERDF (in EUR)	166,591.00
25.1.2. out of which Cohesion Fund (in EUR)	
25.1.3. out of which ESF (in EUR)	
25.1.4. out of which EAFRD (in EUR)	
25.1.5. out of which EMFF (in EUR)	
25.2. out of which total amount of national public co-financing (in EUR)	137,980.00
25.3. out of which total amount of national private co-financing (in EUR)	259,763.00
27. Number of loan/guarantee/equity or quasi-equity/other financial product contracts signed with final recipients, by product	1
28. Number of loan/guarantee/equity or quasi-equity/other financial product investments made in final recipients, by product	1
29. Number of financial recipients supported by the financial product	1
29.1. out of which large enterprises	
29.2. out of which SMEs	1
29.2.1. out of which microenterprises	
29.3. out of which individuals/natural persons	
29.4. out of which other type of final recipients supported	
29.4.1. description of other type of final recipients supported	
33. Total number of disbursed loans defaulted or total number of guarantees provided and called due to the loan default	
34. Total amount of disbursed loans defaulted (in EUR) or total amount committed for guarantees provided and called due to loan default (in EUR)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.3. Total amount of other contributions, outside the ESI Funds mobilised at the level of final recipients (in EUR)	397,743.00
38.3.1. out of which public contributions (EUR)	137,980.00
38.3.2. out of which private contributions (EUR)	259,763.00
38.3A. Contribution under EIB financial product mobilised at the level of final recipient (only for the instruments under Article 38(1)(c)) (in EUR)	
39. Expected and achieved leverage effect, by reference to the funding agreement	
39.1. Expected leverage effect for loan/guarantee/equity or quasi-equity investment/other financial product, by reference to the funding agreement, by product	0.00
39.2. Achieved leverage effect at the end of reporting year for loan/guarantee/equity or quasi-equity investment/other financial product, by product	2.98
39.3. Investment mobilised through ESIF financial instruments for loan/guarantee/equity and quasi-equity investment, by product (Optional)	
VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)	
32. Information whether the financial instrument was still operational at the end of the reporting year	Yes
32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up	
VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles	

43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)	
35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR)	
36. Amounts repaid to the financial instrument attributable to support from ESI Funds by the end of the reporting year (in EUR)	
36.1. out of which capital repayments (in EUR)	
36.2. out of which gains, other earnings and yields (in EUR)	
37. Amounts of resources attributable to ESI Funds used in accordance with Article 44 and 43a	
37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR)	
37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR)	
37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the financial instrument resulting from negative interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in EUR)	
VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR)	584,427.00
38.1A. Contributions under EIB financial product committed in the funding agreement with the body implementing the financial instrument (only for the instruments under Article 38(1)(c)) (in EUR)	
38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR)	397,743.00
38.2.1. out of which public contributions (EUR)	137,980.00
38.2.2. out of which private contributions (EUR)	259,763.00
38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR)	
IX. Contribution of the financial instrument to the achievement of the indicators of the priority or measure concerned (Article 46(2)(j) of Regulation (EU) No 1303/2013)	
41. Output indicator (code number and name) to which the financial instrument contributes	CO05 - Productive investment: Number of new enterprises supported
41.1. Target value of the output indicator	0.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	0.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO08 - Productive investment: Employment

	<i>increase in supported enterprises</i>
41.1. Target value of the output indicator	1.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	10.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO28 - Research, Innovation: Number of enterprises supported to introduce new to the market products
41.1. Target value of the output indicator	0.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	0.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO03 - Productive investment: Number of enterprises receiving financial support other than grants
41.1. Target value of the output indicator	1.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	1.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO07 - Productive investment: Private investment matching public support to enterprises (non-grants)
41.1. Target value of the output indicator	0.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	0.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO29 - Research, Innovation: Number of enterprises supported to introduce new to the firm products
41.1. Target value of the output indicator	0.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	0.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO04 - Productive investment: Number of enterprises receiving non-financial support
41.1. Target value of the output indicator	0.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	0.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO01 - Productive investment: Number of enterprises receiving support
41.1. Target value of the output indicator	1.00

41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	1.00
--	------

I. Identification of the programme and priority or measure from which support from the ESI Funds is provided (Article 46(2)(a) of Regulation (EU) No 1303/2013)	
1. Priority axes or measures supporting the financial instrument, including fund of funds, under the ESI Fund programme.	
1.1. Priority axis supporting the financial instrument under the ESI Fund programme	3 - Enhancing the Competitiveness of SMEs
2. Name of ESI Fund(s) supporting the financial instrument under the priority axis or measure	ERDF
3. Thematic objective(s) referred to in the first paragraph of Article 9 of Regulation (EU) No 1303/2013 supported by the financial instrument	03 - Enhancing the competitiveness of small and medium-sized enterprises, the agricultural sector (for the EAFRD) and the fisheries and aquaculture sector (for the EMFF)
3.1. Amount of ESI Funds committed in funding agreements from the individual thematic objective chosen in field 3 (optional)	80,528,661.00
4. other ESI Fund programmes providing contribution to the financial instrument	
4.1. CCI number of each other ESI Fund programme providing contributions to the financial instrument	
30. Date of completion of the ex ante assessment	11-Nov-2016
31. Selection of bodies implementing financial instrument	
31.1. Has selection or designation process already been launched	Yes
II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)	
5. Name of the financial instrument	Midlands Engine Investment Fund - PA3
6. Official address/place of business of the financial instrument (name of the country and city)	Foundry House, 3 Millsands, Sheffield, S3 8NH
7. Implementation arrangements	
7.1. Financial instruments set up at Union level, managed directly or indirectly by the Commission referred to in Article 38(1)(a) of Regulation (EU) No 1303/2013, supported from ESI Fund programme contributions	
7.1.1. Name of the Union-level financial instrument	
7.2. Financial instrument set up at national, regional, transnational or cross-border level, managed by or under the responsibility of the managing authority referred to in Article 38(1)(b), supported from ESI Fund programme contributions under point (a), (b), (c) and d) of Article 38(4) of Regulation (EU) No 1303/2013	Entrustment of implementation tasks, through the direct award of a contract
7.3. Financial instrument combining financial contribution from MA with EIB financial products under European Fund for Strategic Investment in accordance with Article 39a, referred to in Article 38(1)(c)	

8. Type of the financial instrument	Fund of funds
10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial institution	Fiduciary account
III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)	
11. Body implementing the financial instrument	
11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European Investment Bank; the European Investment Fund; international financial institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only)	Body governed by public or private law
11.1.1. Name of the body implementing the financial instrument	Midlands Engine Investments Limited
11.1.2. Official address/place of business (country and town name) of the body implementing the financial instrument	Steel City House, West Street, Sheffield, S1 2GQ
12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure	Others
12.1. Description of the other procedure of selecting the body implementing the financial instrument	Entrustment of a publicly owned company, via inter-administrative co-operation between the managing authority and The Secretary of State for Business, Energy and Industrial Strategy (BEIS) in accordance with Article 38 (1)(b) & 38 4(b) (iii) of the CPR, and s3.6.2 of Commission Notice 2016/C 276/01
13. Date of signature of the funding agreement with the body implementing the financial instrument	21-Nov-2016
IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)	
14. Total amount of programme contributions committed in the funding agreement (in EUR)	272,886,009.00
14.1. out of which ESI Funds contributions (in EUR)	80,528,661.00
14.1.1. out of which ERDF (in EUR) (optional)	

14.1.2. out of which Cohesion Fund (in EUR) (optional)	
14.1.3. out of which ESF (in EUR) (optional)	
14.1.4. out of which EAFRD (in EUR) (optional)	
14.1.5. out of which EMFF (in EUR) (optional)	
15. Total amount of programme contributions paid to the financial instrument (in EUR)	216,235,084.00
15.1. out of which amount of ESI Funds contributions (in EUR)	63,832,597.00
15.1.1. out of which ERDF (in EUR)	63,832,597.00
15.1.2. out of which Cohesion Fund (in EUR)	
15.1.3. out of which ESF (in EUR)	
15.1.4. out of which EAFRD (in EUR)	
15.1.5. out of which EMFF (in EUR)	
15.2. out of which total amount of national co-financing (in EUR)	152,402,487.00
15.2.1. out of which total amount of national public funding (in EUR)	52,869,478.00
15.2.2. out of which total amount of national private funding (in EUR)	99,533,009.00
16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR)	
17. Total amount of management costs and fees paid out of programme contributions (in EUR)	15,697,527.00
17.1. out of which base remuneration (in EUR)	
17.2. out of which performance-based remuneration (in EUR)	
21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)	
32. Information whether the financial instrument was still operational at the end of the reporting year	Yes
32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up	
VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)	
35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR)	
37. Amounts of resources attributable to ESI Funds used in accordance with Article 44 and 43a	

37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR)	
37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR)	
37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the financial instrument resulting from negative interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in EUR)	
VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR)	192,357,349.00
38.1A. Contributions under EIB financial product committed in the funding agreement with the body implementing the financial instrument (only for the instruments under Article 38(1)(c)) (in EUR)	
38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR)	152,402,487.00
38.2.1. out of which public contributions (EUR)	52,869,478.00
38.2.2. out of which private contributions (EUR)	99,533,009.00
38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR)	

II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)	
5. Name of the financial instrument	Midlands Engine - Debt Fund - East Midlands - PA3
6. Official address/place of business of the financial instrument (name of the country and city)	City Gate, East Toll House Hill, Nottingham, NG1 5FS
8. Type of the financial instrument	Fund of funds specific fund
8.2. Related Fund of Funds	Midlands Engine Investment Fund - PA3
8.1. Tailor-made or financial instruments complying with standard terms and conditions i.e. "off-the-shelf instruments"	Tailor-made

9. Type of products provided by financial instrument: loans, micro-loans, guarantees, equity, or quasi-equity investments, other financial product or other support combined within the financial instrument pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
9.0.1. Loans (\geq EUR 25,000)	Yes
9.0.2. Micro-loans ($<$ EUR 25,000 and provided to micro-enterprises) according to SEC/2011/1134 final	No
9.0.3. Guarantees	No
9.0.4. Equity	No
9.0.5. Quasi-equity	No
9.0.6. Other financial products	No
9.0.7. Other support combined with a financial instrument	No
9.1. Description of the other financial product	
9.2. Other support combined within the financial instrument: grant, interest rate subsidy, guarantee fee subsidy pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial institution	Fiduciary account
III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)	
11. Body implementing the financial instrument	
11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European Investment Bank; the European Investment Fund; international financial institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only)	Body governed by public or private law
11.1.1. Name of the body implementing the financial instrument	Maven
11.1.2. Official address/place of business (country and town name) of the body implementing the financial instrument	City Gate, East Toll House Hill, Nottingham, NG1 5FS
12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure	Selection in accordance with the provisions of the public procurement directive
12.1. Description of the other procedure of selecting the body implementing the financial instrument	
13. Date of signature of the funding agreement with the body implementing the financial instrument	22-Aug-2017
IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)	
14. Total amount of programme contributions committed in the funding agreement (in EUR)	41,424,200.00

14.1. out of which ESI Funds contributions (in EUR)	12,224,281.00
14.1.1. out of which ERDF (in EUR) (optional)	
14.1.2. out of which Cohesion Fund (in EUR) (optional)	
14.1.3. out of which ESF (in EUR) (optional)	
14.1.4. out of which EAFRD (in EUR) (optional)	
14.1.5. out of which EMFF (in EUR) (optional)	
15. Total amount of programme contributions paid to the financial instrument (in EUR)	27,951,467.00
15.1. out of which amount of ESI Funds contributions (in EUR)	8,251,273.00
15.1.1. out of which ERDF (in EUR)	8,251,273.00
15.1.2. out of which Cohesion Fund (in EUR)	
15.1.3. out of which ESF (in EUR)	
15.1.4. out of which EAFRD (in EUR)	
15.1.5. out of which EMFF (in EUR)	
15.2. out of which total amount of national co-financing (in EUR)	19,700,194.00
15.2.1. out of which total amount of national public funding (in EUR)	6,834,134.00
15.2.2. out of which total amount of national private funding (in EUR)	12,866,060.00
16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR)	
17. Total amount of management costs and fees paid out of programme contributions (in EUR)	2,982,530.00
17.1. out of which base remuneration (in EUR)	
17.2. out of which performance-based remuneration (in EUR)	
18. Capitalised management costs or fees pursuant to Article 42(2) of Regulation (EU) No 1303/2013 (relevant only for final report) (in EUR)	
19. Capitalised interest rate subsidies or guarantee fee subsidies pursuant to Article 42(1)(c) of Regulation (EU) No 1303/2013 (relevant to final report only) (in EUR)	
20. Amount of programme contributions for follow-on investments in final recipients pursuant to Article 42(3) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
V. Total amount of support paid to final recipients, or to the benefit of final recipients, or committed in guarantee contracts by the financial instrument for investments in final recipients, by ESI Funds programme and priority or measure (Article 46(2)(e) of Regulation (EU) No 1303/2013)	
22. Name of financial product offered by the financial instrument	Debt Fund - East Midlands - PA3
22.1. Type of financial product offered by the financial instrument	Loan
24. Total amount of programme contributions committed in this loan, guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR)	27,951,467.00
24.1. out of which total amount of ESI Funds contributions (in EUR)	8,251,273.00
25. Total amount of programme contributions paid to final recipients through this loan, micro-loans, equity or other products, or, in the case of guarantee, committed for loans paid to final recipients, by product (in EUR)	27,951,467.00
25.1. out of which total amount of ESI Funds contributions (in EUR)	8,251,273.00

25.1.1. out of which ERDF (in EUR)	8,251,273.00
25.1.2. out of which Cohesion Fund (in EUR)	
25.1.3. out of which ESF (in EUR)	
25.1.4. out of which EAFRD (in EUR)	
25.1.5. out of which EMFF (in EUR)	
25.2. out of which total amount of national public co-financing (in EUR)	6,834,134.00
25.3. out of which total amount of national private co-financing (in EUR)	12,866,060.00
27. Number of loan/guarantee/equity or quasi-equity/other financial product contracts signed with final recipients, by product	71
28. Number of loan/guarantee/equity or quasi-equity/other financial product investments made in final recipients, by product	71
29. Number of financial recipients supported by the financial product	59
29.1. out of which large enterprises	
29.2. out of which SMEs	59
29.2.1. out of which microenterprises	
29.3. out of which individuals/natural persons	
29.4. out of which other type of final recipients supported	
29.4.1. description of other type of final recipients supported	
33. Total number of disbursed loans defaulted or total number of guarantees provided and called due to the loan default	
34. Total amount of disbursed loans defaulted (in EUR) or total amount committed for guarantees provided and called due to loan default (in EUR)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.3. Total amount of other contributions, outside the ESI Funds mobilised at the level of final recipients (in EUR)	19,700,194.00
38.3.1. out of which public contributions (EUR)	6,834,134.00
38.3.2. out of which private contributions (EUR)	12,866,060.00
38.3A. Contribution under EIB financial product mobilised at the level of final recipient (only for the instruments under Article 38(1)(c)) (in EUR)	
39. Expected and achieved leverage effect, by reference to the funding agreement	
39.1. Expected leverage effect for loan/guarantee/equity or quasi-equity investment/other financial product, by reference to the funding agreement, by product	6.55
39.2. Achieved leverage effect at the end of reporting year for loan/guarantee/equity or quasi-equity investment/other financial product, by product	2.87
39.3. Investment mobilised through ESIF financial instruments for loan/guarantee/equity and quasi-equity investment, by product (Optional)	
VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)	
32. Information whether the financial instrument was still operational at the end of the reporting year	Yes
32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up	
VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles	

43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)	
35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR)	
36. Amounts repaid to the financial instrument attributable to support from ESI Funds by the end of the reporting year (in EUR)	
36.1. out of which capital repayments (in EUR)	
36.2. out of which gains, other earnings and yields (in EUR)	
37. Amounts of resources attributable to ESI Funds used in accordance with Article 44 and 43a	
37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR)	
37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR)	
37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the financial instrument resulting from negative interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in EUR)	
VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR)	29,199,919.00
38.1A. Contributions under EIB financial product committed in the funding agreement with the body implementing the financial instrument (only for the instruments under Article 38(1)(c)) (in EUR)	
38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR)	19,700,194.00
38.2.1. out of which public contributions (EUR)	6,834,134.00
38.2.2. out of which private contributions (EUR)	12,866,060.00
38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR)	
IX. Contribution of the financial instrument to the achievement of the indicators of the priority or measure concerned (Article 46(2)(j) of Regulation (EU) No 1303/2013)	
41. Output indicator (code number and name) to which the financial instrument contributes	CO03 - Productive investment: Number of enterprises receiving financial support other than grants
41.1. Target value of the output indicator	260.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	59.00

41. Output indicator (code number and name) to which the financial instrument contributes	CO08 - Productive investment: Employment increase in supported enterprises
41.1. Target value of the output indicator	711.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	217.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO01 - Productive investment: Number of enterprises receiving support
41.1. Target value of the output indicator	402.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	85.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO07 - Productive investment: Private investment matching public support to enterprises (non-grants)
41.1. Target value of the output indicator	46,470,797.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	17,336,704.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO29 - Research, Innovation: Number of enterprises supported to introduce new to the firm products
41.1. Target value of the output indicator	21.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	12.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO04 - Productive investment: Number of enterprises receiving non-financial support
41.1. Target value of the output indicator	142.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	26.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO05 - Productive investment: Number of new enterprises supported
41.1. Target value of the output indicator	109.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	3.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO28 - Research, Innovation: Number of enterprises supported to introduce new to the market products
41.1. Target value of the output indicator	3.00
41.2. Value achieved by the financial instrument in relation to the target	8.00

value of the output indicator	
-------------------------------	--

II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)	
5. Name of the financial instrument	Midlands Engine - Debt Fund - West Midlands - PA3
6. Official address/place of business of the financial instrument (name of the country and city)	City Gate, East Toll House Hill, Nottingham, NG1 5FS
8. Type of the financial instrument	Fund of funds specific fund
8.2. Related Fund of Funds	Midlands Engine Investment Fund - PA3
8.1. Tailor-made or financial instruments complying with standard terms and conditions i.e. "off-the-shelf instruments"	Tailor-made
9. Type of products provided by financial instrument: loans, micro-loans, guarantees, equity, or quasi-equity investments, other financial product or other support combined within the financial instrument pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
9.0.1. Loans (\geq EUR 25,000)	Yes
9.0.2. Micro-loans ($<$ EUR 25,000 and provided to micro-enterprises) according to SEC/2011/1134 final	No
9.0.3. Guarantees	No
9.0.4. Equity	No
9.0.5. Quasi-equity	No
9.0.6. Other financial products	No
9.0.7. Other support combined with a financial instrument	No
9.1. Description of the other financial product	
9.2. Other support combined within the financial instrument: grant, interest rate subsidy, guarantee fee subsidy pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial institution	Fiduciary account
III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)	
11. Body implementing the financial instrument	
11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European Investment Bank; the European Investment Fund; international financial institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only)	Body governed by public or private law

11.1.1. Name of the body implementing the financial instrument	Maven
11.1.2. Official address/place of business (country and town name) of the body implementing the financial instrument	City Gate, East Toll House Hill, Nottingham, NG1 5FS
12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure	Selection in accordance with the provisions of the public procurement directive
12.1. Description of the other procedure of selecting the body implementing the financial instrument	
13. Date of signature of the funding agreement with the body implementing the financial instrument	22-Aug-2017
IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)	
14. Total amount of programme contributions committed in the funding agreement (in EUR)	51,790,504.00
14.1. out of which ESI Funds contributions (in EUR)	15,283,378.00
14.1.1. out of which ERDF (in EUR) (optional)	
14.1.2. out of which Cohesion Fund (in EUR) (optional)	
14.1.3. out of which ESF (in EUR) (optional)	
14.1.4. out of which EAFRD (in EUR) (optional)	
14.1.5. out of which EMFF (in EUR) (optional)	
15. Total amount of programme contributions paid to the financial instrument (in EUR)	30,556,433.00
15.1. out of which amount of ESI Funds contributions (in EUR)	9,020,259.00
15.1.1. out of which ERDF (in EUR)	9,020,259.00
15.1.2. out of which Cohesion Fund (in EUR)	
15.1.3. out of which ESF (in EUR)	
15.1.4. out of which EAFRD (in EUR)	
15.1.5. out of which EMFF (in EUR)	
15.2. out of which total amount of national co-financing (in EUR)	21,536,174.00
15.2.1. out of which total amount of national public funding (in EUR)	7,471,048.00
15.2.2. out of which total amount of national private funding (in EUR)	14,065,126.00
16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR)	
17. Total amount of management costs and fees paid out of programme contributions (in EUR)	3,296,481.00
17.1. out of which base remuneration (in EUR)	
17.2. out of which performance-based remuneration (in EUR)	
18. Capitalised management costs or fees pursuant to Article 42(2) of Regulation (EU) No 1303/2013 (relevant only for final report) (in EUR)	
19. Capitalised interest rate subsidies or guarantee fee subsidies pursuant to Article 42(1)(c) of Regulation (EU) No 1303/2013 (relevant to final report only) (in EUR)	
20. Amount of programme contributions for follow-on investments in final recipients pursuant to Article 42(3) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	

V. Total amount of support paid to final recipients, or to the benefit of final recipients, or committed in guarantee contracts by the financial instrument for investments in final recipients, by ESI Funds programme and priority or measure (Article 46(2)(e) of Regulation (EU) No 1303/2013)	
22. Name of financial product offered by the financial instrument	Debt Fund - West Midlands - PA3
22.1. Type of financial product offered by the financial instrument	Loan
24. Total amount of programme contributions committed in this loan, guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR)	30,556,433.00
24.1. out of which total amount of ESI Funds contributions (in EUR)	9,020,259.00
25. Total amount of programme contributions paid to final recipients through this loan, micro-loans, equity or other products, or, in the case of guarantee, committed for loans paid to final recipients, by product (in EUR)	30,556,433.00
25.1. out of which total amount of ESI Funds contributions (in EUR)	9,020,259.00
25.1.1. out of which ERDF (in EUR)	9,020,259.00
25.1.2. out of which Cohesion Fund (in EUR)	
25.1.3. out of which ESF (in EUR)	
25.1.4. out of which EAFRD (in EUR)	
25.1.5. out of which EMFF (in EUR)	
25.2. out of which total amount of national public co-financing (in EUR)	7,471,048.00
25.3. out of which total amount of national private co-financing (in EUR)	14,065,126.00
27. Number of loan/guarantee/equity or quasi-equity/other financial product contracts signed with final recipients, by product	66
28. Number of loan/guarantee/equity or quasi-equity/other financial product investments made in final recipients, by product	66
29. Number of financial recipients supported by the financial product	43
29.1. out of which large enterprises	
29.2. out of which SMEs	43
29.2.1. out of which microenterprises	
29.3. out of which individuals/natural persons	
29.4. out of which other type of final recipients supported	
29.4.1. description of other type of final recipients supported	
33. Total number of disbursed loans defaulted or total number of guarantees provided and called due to the loan default	
34. Total amount of disbursed loans defaulted (in EUR) or total amount committed for guarantees provided and called due to loan default (in EUR)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.3. Total amount of other contributions, outside the ESI Funds mobilised at the level of final recipients (in EUR)	21,536,174.00
38.3.1. out of which public contributions (EUR)	7,471,048.00
38.3.2. out of which private contributions (EUR)	14,065,126.00
38.3A. Contribution under EIB financial product mobilised at the level of final recipient (only for the instruments under Article 38(1)(c)) (in EUR)	
39. Expected and achieved leverage effect, by reference to the funding agreement	
39.1. Expected leverage effect for loan/guarantee/equity or quasi-equity investment/other financial product, by reference to the funding	6.55

agreement, by product	
39.2. Achieved leverage effect at the end of reporting year for loan/guarantee/equity or quasi-equity investment/other financial product, by product	2.87
39.3. Investment mobilised through ESIF financial instruments for loan/guarantee/equity and quasi-equity investment, by product (Optional)	
VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)	
32. Information whether the financial instrument was still operational at the end of the reporting year	Yes
32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up	
VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)	
35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR)	
36. Amounts repaid to the financial instrument attributable to support from ESI Funds by the end of the reporting year (in EUR)	
36.1. out of which capital repayments (in EUR)	
36.2. out of which gains, other earnings and yields (in EUR)	
37. Amounts of resources attributable to ESI Funds used in accordance with Article 44 and 43a	
37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR)	
37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR)	
37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the financial instrument resulting from negative interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in EUR)	
VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR)	36,507,126.00
38.1A. Contributions under EIB financial product committed in the funding agreement with the body implementing the financial instrument (only for the instruments under Article 38(1)(c)) (in EUR)	
38.2. Total amount of other contributions, outside the ESI Funds paid to	21,536,174.00

the financial instrument (in EUR)	
38.2.1. out of which public contributions (EUR)	7,471,048.00
38.2.2. out of which private contributions (EUR)	14,065,126.00
38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR)	
IX. Contribution of the financial instrument to the achievement of the indicators of the priority or measure concerned (Article 46(2)(j) of Regulation (EU) No 1303/2013)	
41. Output indicator (code number and name) to which the financial instrument contributes	CO03 - Productive investment: Number of enterprises receiving financial support other than grants
41.1. Target value of the output indicator	329.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	43.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO01 - Productive investment: Number of enterprises receiving support
41.1. Target value of the output indicator	508.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	52.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO08 - Productive investment: Employment increase in supported enterprises
41.1. Target value of the output indicator	898.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	134.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO07 - Productive investment: Private investment matching public support to enterprises (non-grants)
41.1. Target value of the output indicator	58,699,954.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	27,703,274.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO05 - Productive investment: Number of new enterprises supported
41.1. Target value of the output indicator	138.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	2.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO29 - Research, Innovation: Number of enterprises supported to introduce new to the firm products
41.1. Target value of the output indicator	26.00
41.2. Value achieved by the financial instrument in relation to the target	13.00

value of the output indicator	
41. Output indicator (code number and name) to which the financial instrument contributes	CO04 - Productive investment: Number of enterprises receiving non-financial support
41.1. Target value of the output indicator	180.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	9.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO28 - Research, Innovation: Number of enterprises supported to introduce new to the market products
41.1. Target value of the output indicator	4.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	9.00

II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)	
5. Name of the financial instrument	Midlands Engine - Equity Fund - East Midlands - PA3
6. Official address/place of business of the financial instrument (name of the country and city)	14 Park Row, Nottingham, NG1 6GR
8. Type of the financial instrument	Fund of funds specific fund
8.2. Related Fund of Funds	Midlands Engine Investment Fund - PA3
8.1. Tailor-made or financial instruments complying with standard terms and conditions i.e. "off-the-shelf instruments"	Tailor-made
9. Type of products provided by financial instrument: loans, micro-loans, guarantees, equity, or quasi-equity investments, other financial product or other support combined within the financial instrument pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
9.0.1. Loans (\geq EUR 25,000)	No
9.0.2. Micro-loans ($<$ EUR 25,000 and provided to micro-enterprises) according to SEC/2011/1134 final	No
9.0.3. Guarantees	No
9.0.4. Equity	Yes
9.0.5. Quasi-equity	Yes
9.0.6. Other financial products	No
9.0.7. Other support combined with a financial instrument	No
9.1. Description of the other financial product	
9.2. Other support combined within the financial instrument: grant, interest rate subsidy, guarantee fee subsidy pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial	Fiduciary account

institution	
III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)	
11. Body implementing the financial instrument	
11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European Investment Bank; the European Investment Fund; international financial institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only)	Body governed by public or private law
11.1.1. Name of the body implementing the financial instrument	Foresight
11.1.2. Official address/place of business (country and town name) of the body implementing the financial instrument	14 Park Row, Nottingham, NG1 6GR
12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure	Selection in accordance with the provisions of the public procurement directive
12.1. Description of the other procedure of selecting the body implementing the financial instrument	
13. Date of signature of the funding agreement with the body implementing the financial instrument	22-Aug-2017
IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)	
14. Total amount of programme contributions committed in the funding agreement (in EUR)	38,091,808.00
14.1. out of which ESI Funds contributions (in EUR)	11,240,892.00
14.1.1. out of which ERDF (in EUR) (optional)	
14.1.2. out of which Cohesion Fund (in EUR) (optional)	
14.1.3. out of which ESF (in EUR) (optional)	
14.1.4. out of which EAFRD (in EUR) (optional)	
14.1.5. out of which EMFF (in EUR) (optional)	
15. Total amount of programme contributions paid to the financial instrument (in EUR)	19,566,802.00
15.1. out of which amount of ESI Funds contributions (in EUR)	5,776,120.00
15.1.1. out of which ERDF (in EUR)	5,776,120.00
15.1.2. out of which Cohesion Fund (in EUR)	
15.1.3. out of which ESF (in EUR)	
15.1.4. out of which EAFRD (in EUR)	
15.1.5. out of which EMFF (in EUR)	
15.2. out of which total amount of national co-financing (in EUR)	13,790,682.00
15.2.1. out of which total amount of national public funding (in EUR)	4,784,083.00
15.2.2. out of which total amount of national private funding (in EUR)	9,006,599.00
16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR)	
17. Total amount of management costs and fees paid out of programme	2,040,679.00

contributions (in EUR)	
17.1. out of which base remuneration (in EUR)	
17.2. out of which performance-based remuneration (in EUR)	
18. Capitalised management costs or fees pursuant to Article 42(2) of Regulation (EU) No 1303/2013 (relevant only for final report) (in EUR)	
19. Capitalised interest rate subsidies or guarantee fee subsidies pursuant to Article 42(1)(c) of Regulation (EU) No 1303/2013 (relevant to final report only) (in EUR)	
20. Amount of programme contributions for follow-on investments in final recipients pursuant to Article 42(3) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
V. Total amount of support paid to final recipients, or to the benefit of final recipients, or committed in guarantee contracts by the financial instrument for investments in final recipients, by ESI Funds programme and priority or measure (Article 46(2)(e) of Regulation (EU) No 1303/2013)	
22. Name of financial product offered by the financial instrument	Equity Fund - East Midlands - PA3
22.1. Type of financial product offered by the financial instrument	Equity
24. Total amount of programme contributions committed in this loan, guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR)	19,566,802.00
24.1. out of which total amount of ESI Funds contributions (in EUR)	5,776,120.00
25. Total amount of programme contributions paid to final recipients through this loan, micro-loans, equity or other products, or, in the case of guarantee, committed for loans paid to final recipients, by product (in EUR)	19,566,802.00
25.1. out of which total amount of ESI Funds contributions (in EUR)	5,776,120.00
25.1.1. out of which ERDF (in EUR)	5,776,120.00
25.1.2. out of which Cohesion Fund (in EUR)	
25.1.3. out of which ESF (in EUR)	
25.1.4. out of which EAFRD (in EUR)	
25.1.5. out of which EMFF (in EUR)	
25.2. out of which total amount of national public co-financing (in EUR)	4,784,083.00
25.3. out of which total amount of national private co-financing (in EUR)	9,006,599.00
27. Number of loan/guarantee/equity or quasi-equity/other financial product contracts signed with final recipients, by product	39
28. Number of loan/guarantee/equity or quasi-equity/other financial product investments made in final recipients, by product	39
29. Number of financial recipients supported by the financial product	23
29.1. out of which large enterprises	
29.2. out of which SMEs	23
29.2.1. out of which microenterprises	
29.3. out of which individuals/natural persons	
29.4. out of which other type of final recipients supported	
29.4.1. description of other type of final recipients supported	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	

38.3. Total amount of other contributions, outside the ESI Funds mobilised at the level of final recipients (in EUR)	13,790,682.00
38.3.1. out of which public contributions (EUR)	4,784,083.00
38.3.2. out of which private contributions (EUR)	9,006,599.00
38.3A. Contribution under EIB financial product mobilised at the level of final recipient (only for the instruments under Article 38(1)(c)) (in EUR)	
39. Expected and achieved leverage effect, by reference to the funding agreement	
39.1. Expected leverage effect for loan/guarantee/equity or quasi-equity investment/other financial product, by reference to the funding agreement, by product	6.55
39.2. Achieved leverage effect at the end of reporting year for loan/guarantee/equity or quasi-equity investment/other financial product, by product	2.88
39.3. Investment mobilised through ESIF financial instruments for loan/guarantee/equity and quasi-equity investment, by product (Optional)	
40. Value of investments and participations in equity (in EUR)	12,781,136.00
VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)	
32. Information whether the financial instrument was still operational at the end of the reporting year	Yes
32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up	
VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)	
35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR)	
36. Amounts repaid to the financial instrument attributable to support from ESI Funds by the end of the reporting year (in EUR)	
36.1. out of which capital repayments (in EUR)	
36.2. out of which gains, other earnings and yields (in EUR)	
37. Amounts of resources attributable to ESI Funds used in accordance with Article 44 and 43a	
37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR)	
37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR)	
37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the financial instrument resulting from negative interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in EUR)	
VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No	

1303/2013)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR)	26,850,916.00
38.1A. Contributions under EIB financial product committed in the funding agreement with the body implementing the financial instrument (only for the instruments under Article 38(1)(c)) (in EUR)	
38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR)	13,790,682.00
38.2.1. out of which public contributions (EUR)	4,784,083.00
38.2.2. out of which private contributions (EUR)	9,006,599.00
38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR)	
IX. Contribution of the financial instrument to the achievement of the indicators of the priority or measure concerned (Article 46(2)(j) of Regulation (EU) No 1303/2013)	
41. Output indicator (code number and name) to which the financial instrument contributes	CO05 - Productive investment: Number of new enterprises supported
41.1. Target value of the output indicator	92.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	8.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO28 - Research, Innovation: Number of enterprises supported to introduce new to the market products
41.1. Target value of the output indicator	2.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	2.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO03 - Productive investment: Number of enterprises receiving financial support other than grants
41.1. Target value of the output indicator	219.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	23.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO01 - Productive investment: Number of enterprises receiving support
41.1. Target value of the output indicator	339.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	56.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO29 - Research, Innovation: Number of enterprises supported to introduce new to the firm

	<i>products</i>
41.1. Target value of the output indicator	17.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	5.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO08 - Productive investment: Employment increase in supported enterprises
41.1. Target value of the output indicator	598.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	198.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO04 - Productive investment: Number of enterprises receiving non-financial support
41.1. Target value of the output indicator	120.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	33.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO07 - Productive investment: Private investment matching public support to enterprises (non-grants)
41.1. Target value of the output indicator	39,176,503.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	8,451,160.00

II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)	
5. Name of the financial instrument	Midlands Engine - Equity Fund - West Midlands - PA3
6. Official address/place of business of the financial instrument (name of the country and city)	41 Waterloo Street, Birmingham, B2 5PP
8. Type of the financial instrument	Fund of funds specific fund
8.2. Related Fund of Funds	Midlands Engine Investment Fund - PA3
8.1. Tailor-made or financial instruments complying with standard terms and conditions i.e. "off-the-shelf instruments"	Tailor-made
9. Type of products provided by financial instrument: loans, micro-loans, guarantees, equity, or quasi-equity investments, other financial product or other support combined within the financial instrument pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
9.0.1. Loans (\geq EUR 25,000)	No
9.0.2. Micro-loans ($<$ EUR 25,000 and provided to micro-enterprises) according to SEC/2011/1134 final	No
9.0.3. Guarantees	No
9.0.4. Equity	Yes
9.0.5. Quasi-equity	Yes

9.0.6. Other financial products	No
9.0.7. Other support combined with a financial instrument	No
9.1. Description of the other financial product	
9.2. Other support combined within the financial instrument: grant, interest rate subsidy, guarantee fee subsidy pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial institution	Fiduciary account
III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)	
11. Body implementing the financial instrument	
11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European Investment Bank; the European Investment Fund; international financial institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only)	Body governed by public or private law
11.1.1. Name of the body implementing the financial instrument	Midven
11.1.2. Official address/place of business (country and town name) of the body implementing the financial instrument	41 Waterloo Street, Birmingham, B2 5PP
12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure	Selection in accordance with the provisions of the public procurement directive
12.1. Description of the other procedure of selecting the body implementing the financial instrument	
13. Date of signature of the funding agreement with the body implementing the financial instrument	22-Aug-2017
IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)	
14. Total amount of programme contributions committed in the funding agreement (in EUR)	38,091,808.00
14.1. out of which ESI Funds contributions (in EUR)	11,240,892.00
14.1.1. out of which ERDF (in EUR) (optional)	
14.1.2. out of which Cohesion Fund (in EUR) (optional)	
14.1.3. out of which ESF (in EUR) (optional)	
14.1.4. out of which EAFRD (in EUR) (optional)	
14.1.5. out of which EMFF (in EUR) (optional)	
15. Total amount of programme contributions paid to the financial instrument (in EUR)	22,432,418.00
15.1. out of which amount of ESI Funds contributions (in EUR)	6,622,050.00

15.1.1. out of which ERDF (in EUR)	6,622,050.00
15.1.2. out of which Cohesion Fund (in EUR)	
15.1.3. out of which ESF (in EUR)	
15.1.4. out of which EAFRD (in EUR)	
15.1.5. out of which EMFF (in EUR)	
15.2. out of which total amount of national co-financing (in EUR)	15,810,368.00
15.2.1. out of which total amount of national public funding (in EUR)	5,484,726.00
15.2.2. out of which total amount of national private funding (in EUR)	10,325,642.00
16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR)	
17. Total amount of management costs and fees paid out of programme contributions (in EUR)	2,354,629.00
17.1. out of which base remuneration (in EUR)	
17.2. out of which performance-based remuneration (in EUR)	
18. Capitalised management costs or fees pursuant to Article 42(2) of Regulation (EU) No 1303/2013 (relevant only for final report) (in EUR)	
19. Capitalised interest rate subsidies or guarantee fee subsidies pursuant to Article 42(1)(c) of Regulation (EU) No 1303/2013 (relevant to final report only) (in EUR)	
20. Amount of programme contributions for follow-on investments in final recipients pursuant to Article 42(3) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
V. Total amount of support paid to final recipients, or to the benefit of final recipients, or committed in guarantee contracts by the financial instrument for investments in final recipients, by ESI Funds programme and priority or measure (Article 46(2)(e) of Regulation (EU) No 1303/2013)	
22. Name of financial product offered by the financial instrument	Equity Fund - West Midlands - PA3
22.1. Type of financial product offered by the financial instrument	Equity
24. Total amount of programme contributions committed in this loan, guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR)	22,432,418.00
24.1. out of which total amount of ESI Funds contributions (in EUR)	6,622,050.00
25. Total amount of programme contributions paid to final recipients through this loan, micro-loans, equity or other products, or, in the case of guarantee, committed for loans paid to final recipients, by product (in EUR)	22,432,418.00
25.1. out of which total amount of ESI Funds contributions (in EUR)	6,622,050.00
25.1.1. out of which ERDF (in EUR)	6,622,050.00
25.1.2. out of which Cohesion Fund (in EUR)	
25.1.3. out of which ESF (in EUR)	
25.1.4. out of which EAFRD (in EUR)	
25.1.5. out of which EMFF (in EUR)	
25.2. out of which total amount of national public co-financing (in EUR)	5,484,726.00
25.3. out of which total amount of national private co-financing (in EUR)	10,325,642.00
27. Number of loan/guarantee/equity or quasi-equity/other financial product contracts signed with final recipients, by product	51

28. Number of loan/guarantee/equity or quasi-equity/other financial product investments made in final recipients, by product	51
29. Number of financial recipients supported by the financial product	27
29.1. out of which large enterprises	
29.2. out of which SMEs	27
29.2.1. out of which microenterprises	
29.3. out of which individuals/natural persons	
29.4. out of which other type of final recipients supported	
29.4.1. description of other type of final recipients supported	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.3. Total amount of other contributions, outside the ESI Funds mobilised at the level of final recipients (in EUR)	15,810,368.00
38.3.1. out of which public contributions (EUR)	5,484,726.00
38.3.2. out of which private contributions (EUR)	10,325,642.00
38.3A. Contribution under EIB financial product mobilised at the level of final recipient (only for the instruments under Article 38(1)(c)) (in EUR)	
39. Expected and achieved leverage effect, by reference to the funding agreement	
39.1. Expected leverage effect for loan/guarantee/equity or quasi-equity investment/other financial product, by reference to the funding agreement, by product	6.55
39.2. Achieved leverage effect at the end of reporting year for loan/guarantee/equity or quasi-equity investment/other financial product, by product	2.88
39.3. Investment mobilised through ESIF financial instruments for loan/guarantee/equity and quasi-equity investment, by product (Optional)	
40. Value of investments and participations in equity (in EUR)	11,384,317.00
VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)	
32. Information whether the financial instrument was still operational at the end of the reporting year	Yes
32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up	
VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)	
35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR)	
36. Amounts repaid to the financial instrument attributable to support from ESI Funds by the end of the reporting year (in EUR)	
36.1. out of which capital repayments (in EUR)	
36.2. out of which gains, other earnings and yields (in EUR)	
37. Amounts of resources attributable to ESI Funds used in accordance with Article 44 and 43a	
37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart	

resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR)	
37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR)	
37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the financial instrument resulting from negative interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in EUR)	
VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR)	26,850,916.00
38.1A. Contributions under EIB financial product committed in the funding agreement with the body implementing the financial instrument (only for the instruments under Article 38(1)(c)) (in EUR)	
38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR)	15,810,368.00
38.2.1. out of which public contributions (EUR)	5,484,726.00
38.2.2. out of which private contributions (EUR)	10,325,642.00
38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR)	
IX. Contribution of the financial instrument to the achievement of the indicators of the priority or measure concerned (Article 46(2)(j) of Regulation (EU) No 1303/2013)	
41. Output indicator (code number and name) to which the financial instrument contributes	CO03 - Productive investment: Number of enterprises receiving financial support other than grants
41.1. Target value of the output indicator	219.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	27.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO07 - Productive investment: Private investment matching public support to enterprises (non-grants)
41.1. Target value of the output indicator	39,176,503.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	9,485,950.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO04 - Productive investment: Number of enterprises receiving non-financial support
41.1. Target value of the output indicator	120.00

41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	54.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO28 - Research, Innovation: Number of enterprises supported to introduce new to the market products
41.1. Target value of the output indicator	2.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	4.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO01 - Productive investment: Number of enterprises receiving support
41.1. Target value of the output indicator	339.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	81.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO05 - Productive investment: Number of new enterprises supported
41.1. Target value of the output indicator	92.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	13.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO29 - Research, Innovation: Number of enterprises supported to introduce new to the firm products
41.1. Target value of the output indicator	17.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	6.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO08 - Productive investment: Employment increase in supported enterprises
41.1. Target value of the output indicator	598.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	245.00

II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)	
5. Name of the financial instrument	Midlands Engine - Proof of Concept - PA3
6. Official address/place of business of the financial instrument (name of the country and city)	Forward House, 17 High Street, Henly in Arden, B95 5AA
8. Type of the financial instrument	Fund of funds specific fund
8.2. Related Fund of Funds	Midlands Engine Investment Fund - PA3

8.1. Tailor-made or financial instruments complying with standard terms and conditions i.e. "off-the-shelf instruments"	Tailor-made
9. Type of products provided by financial instrument: loans, micro-loans, guarantees, equity, or quasi-equity investments, other financial product or other support combined within the financial instrument pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
9.0.1. Loans (\geq EUR 25,000)	No
9.0.2. Micro-loans ($<$ EUR 25,000 and provided to micro-enterprises) according to SEC/2011/1134 final	No
9.0.3. Guarantees	No
9.0.4. Equity	Yes
9.0.5. Quasi-equity	No
9.0.6. Other financial products	No
9.0.7. Other support combined with a financial instrument	No
9.1. Description of the other financial product	
9.2. Other support combined within the financial instrument: grant, interest rate subsidy, guarantee fee subsidy pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial institution	Fiduciary account
III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)	
11. Body implementing the financial instrument	
11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European Investment Bank; the European Investment Fund; international financial institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only)	Body governed by public or private law
11.1.1. Name of the body implementing the financial instrument	Mercia
11.1.2. Official address/place of business (country and town name) of the body implementing the financial instrument	Forward House, 17 High Street, Henly in Arden, B95 5AA
12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure	Selection in accordance with the provisions of the public procurement directive
12.1. Description of the other procedure of selecting the body implementing the financial instrument	
13. Date of signature of the funding agreement with the body implementing the financial instrument	22-Aug-2017
IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of	

Regulation (EU) No 1303/2013)	
14. Total amount of programme contributions committed in the funding agreement (in EUR)	29,119,982.00
14.1. out of which ESI Funds contributions (in EUR)	8,593,307.00
14.1.1. out of which ERDF (in EUR) (optional)	
14.1.2. out of which Cohesion Fund (in EUR) (optional)	
14.1.3. out of which ESF (in EUR) (optional)	
14.1.4. out of which EAFRD (in EUR) (optional)	
14.1.5. out of which EMFF (in EUR) (optional)	
15. Total amount of programme contributions paid to the financial instrument (in EUR)	13,029,260.00
15.1. out of which amount of ESI Funds contributions (in EUR)	3,846,238.00
15.1.1. out of which ERDF (in EUR)	3,846,238.00
15.1.2. out of which Cohesion Fund (in EUR)	
15.1.3. out of which ESF (in EUR)	
15.1.4. out of which EAFRD (in EUR)	
15.1.5. out of which EMFF (in EUR)	
15.2. out of which total amount of national co-financing (in EUR)	9,183,022.00
15.2.1. out of which total amount of national public funding (in EUR)	3,185,654.00
15.2.2. out of which total amount of national private funding (in EUR)	5,997,368.00
16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR)	
17. Total amount of management costs and fees paid out of programme contributions (in EUR)	1,412,777.00
17.1. out of which base remuneration (in EUR)	
17.2. out of which performance-based remuneration (in EUR)	
18. Capitalised management costs or fees pursuant to Article 42(2) of Regulation (EU) No 1303/2013 (relevant only for final report) (in EUR)	
19. Capitalised interest rate subsidies or guarantee fee subsidies pursuant to Article 42(1)(c) of Regulation (EU) No 1303/2013 (relevant to final report only) (in EUR)	
20. Amount of programme contributions for follow-on investments in final recipients pursuant to Article 42(3) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
V. Total amount of support paid to final recipients, or to the benefit of final recipients, or committed in guarantee contracts by the financial instrument for investments in final recipients, by ESI Funds programme and priority or measure (Article 46(2)(e) of Regulation (EU) No 1303/2013)	
22. Name of financial product offered by the financial instrument	Proof of Concept
22.1. Type of financial product offered by the financial instrument	Equity
24. Total amount of programme contributions committed in this loan, guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR)	13,029,260.00
24.1. out of which total amount of ESI Funds contributions (in EUR)	3,846,238.00
25. Total amount of programme contributions paid to final recipients through this loan, micro-loans, equity or other products, or, in the case of guarantee, committed for loans paid to final recipients, by product (in	13,029,260.00

EUR)	
25.1. out of which total amount of ESI Funds contributions (in EUR)	3,846,238.00
25.1.1. out of which ERDF (in EUR)	3,846,238.00
25.1.2. out of which Cohesion Fund (in EUR)	
25.1.3. out of which ESF (in EUR)	
25.1.4. out of which EAFRD (in EUR)	
25.1.5. out of which EMFF (in EUR)	
25.2. out of which total amount of national public co-financing (in EUR)	3,185,654.00
25.3. out of which total amount of national private co-financing (in EUR)	5,997,368.00
27. Number of loan/guarantee/equity or quasi-equity/other financial product contracts signed with final recipients, by product	46
28. Number of loan/guarantee/equity or quasi-equity/other financial product investments made in final recipients, by product	46
29. Number of financial recipients supported by the financial product	22
29.1. out of which large enterprises	
29.2. out of which SMEs	22
29.2.1. out of which microenterprises	
29.3. out of which individuals/natural persons	
29.4. out of which other type of final recipients supported	
29.4.1. description of other type of final recipients supported	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.3. Total amount of other contributions, outside the ESI Funds mobilised at the level of final recipients (in EUR)	9,183,022.00
38.3.1. out of which public contributions (EUR)	3,185,654.00
38.3.2. out of which private contributions (EUR)	5,997,368.00
38.3A. Contribution under EIB financial product mobilised at the level of final recipient (only for the instruments under Article 38(1)(c)) (in EUR)	
39. Expected and achieved leverage effect, by reference to the funding agreement	
39.1. Expected leverage effect for loan/guarantee/equity or quasi-equity investment/other financial product, by reference to the funding agreement, by product	6.55
39.2. Achieved leverage effect at the end of reporting year for loan/guarantee/equity or quasi-equity investment/other financial product, by product	2.87
39.3. Investment mobilised through ESIF financial instruments for loan/guarantee/equity and quasi-equity investment, by product (Optional)	
40. Value of investments and participations in equity (in EUR)	10,240,277.00
VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)	
32. Information whether the financial instrument was still operational at the end of the reporting year	Yes
32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up	
VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No	

1303/2013)	
35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR)	
36. Amounts repaid to the financial instrument attributable to support from ESI Funds by the end of the reporting year (in EUR)	
36.1. out of which capital repayments (in EUR)	
36.2. out of which gains, other earnings and yields (in EUR)	
37. Amounts of resources attributable to ESI Funds used in accordance with Article 44 and 43a	
37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR)	
37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR)	
37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the financial instrument resulting from negative interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in EUR)	
VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR)	20,526,675.00
38.1A. Contributions under EIB financial product committed in the funding agreement with the body implementing the financial instrument (only for the instruments under Article 38(1)(c)) (in EUR)	
38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR)	9,183,022.00
38.2.1. out of which public contributions (EUR)	3,185,654.00
38.2.2. out of which private contributions (EUR)	5,997,368.00
38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR)	
IX. Contribution of the financial instrument to the achievement of the indicators of the priority or measure concerned (Article 46(2)(j) of Regulation (EU) No 1303/2013)	
41. Output indicator (code number and name) to which the financial instrument contributes	CO03 - Productive investment: Number of enterprises receiving financial support other than grants
41.1. Target value of the output indicator	151.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	22.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO29 - Research, Innovation: Number of

	<i>enterprises supported to introduce new to the firm products</i>
41.1. Target value of the output indicator	12.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	11.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO04 - Productive investment: Number of enterprises receiving non-financial support
41.1. Target value of the output indicator	82.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	39.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO05 - Productive investment: Number of new enterprises supported
41.1. Target value of the output indicator	63.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	7.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO08 - Productive investment: Employment increase in supported enterprises
41.1. Target value of the output indicator	411.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	199.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO07 - Productive investment: Private investment matching public support to enterprises (non-grants)
41.1. Target value of the output indicator	26,904,146.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	25,883,384.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO01 - Productive investment: Number of enterprises receiving support
41.1. Target value of the output indicator	233.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	61.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO28 - Research, Innovation: Number of enterprises supported to introduce new to the market products
41.1. Target value of the output indicator	2.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	14.00

II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)	
5. Name of the financial instrument	Midlands Engine - Small Business Loans - East Midlands - PA3
6. Official address/place of business of the financial instrument (name of the country and city)	Sherwood Rise, Nottingham, NG7 6JF
8. Type of the financial instrument	Fund of funds specific fund
8.2. Related Fund of Funds	Midlands Engine Investment Fund - PA3
8.1. Tailor-made or financial instruments complying with standard terms and conditions i.e. "off-the-shelf instruments"	Tailor-made
9. Type of products provided by financial instrument: loans, micro-loans, guarantees, equity, or quasi-equity investments, other financial product or other support combined within the financial instrument pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
9.0.1. Loans (\geq EUR 25,000)	Yes
9.0.2. Micro-loans ($<$ EUR 25,000 and provided to micro-enterprises) according to SEC/2011/1134 final	No
9.0.3. Guarantees	No
9.0.4. Equity	No
9.0.5. Quasi-equity	No
9.0.6. Other financial products	No
9.0.7. Other support combined with a financial instrument	No
9.1. Description of the other financial product	
9.2. Other support combined within the financial instrument: grant, interest rate subsidy, guarantee fee subsidy pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial institution	Fiduciary account
III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)	
11. Body implementing the financial instrument	
11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European Investment Bank; the European Investment Fund; international financial institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only)	Body governed by public or private law
11.1.1. Name of the body implementing the financial instrument	ELEM
11.1.2. Official address/place of business (country and town name) of the body implementing the financial instrument	Sherwood Rise, Nottingham, NG7 6JF

12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure	Selection in accordance with the provisions of the public procurement directive
12.1. Description of the other procedure of selecting the body implementing the financial instrument	
13. Date of signature of the funding agreement with the body implementing the financial instrument	22-Aug-2017
IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)	
14. Total amount of programme contributions committed in the funding agreement (in EUR)	13,871,969.00
14.1. out of which ESI Funds contributions (in EUR)	4,093,618.00
14.1.1. out of which ERDF (in EUR) (optional)	
14.1.2. out of which Cohesion Fund (in EUR) (optional)	
14.1.3. out of which ESF (in EUR) (optional)	
14.1.4. out of which EAFRD (in EUR) (optional)	
14.1.5. out of which EMFF (in EUR) (optional)	
15. Total amount of programme contributions paid to the financial instrument (in EUR)	10,210,328.00
15.1. out of which amount of ESI Funds contributions (in EUR)	3,014,089.00
15.1.1. out of which ERDF (in EUR)	3,014,089.00
15.1.2. out of which Cohesion Fund (in EUR)	
15.1.3. out of which ESF (in EUR)	
15.1.4. out of which EAFRD (in EUR)	
15.1.5. out of which EMFF (in EUR)	
15.2. out of which total amount of national co-financing (in EUR)	7,196,239.00
15.2.1. out of which total amount of national public funding (in EUR)	2,496,425.00
15.2.2. out of which total amount of national private funding (in EUR)	4,699,814.00
16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR)	
17. Total amount of management costs and fees paid out of programme contributions (in EUR)	1,098,827.00
17.1. out of which base remuneration (in EUR)	
17.2. out of which performance-based remuneration (in EUR)	
18. Capitalised management costs or fees pursuant to Article 42(2) of Regulation (EU) No 1303/2013 (relevant only for final report) (in EUR)	
19. Capitalised interest rate subsidies or guarantee fee subsidies pursuant to Article 42(1)(c) of Regulation (EU) No 1303/2013 (relevant to final report only) (in EUR)	
20. Amount of programme contributions for follow-on investments in final recipients pursuant to Article 42(3) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
V. Total amount of support paid to final recipients, or to the benefit of final recipients, or committed in guarantee contracts by the financial instrument for investments in final recipients, by ESI Funds programme and priority or measure (Article 46(2)(e) of Regulation (EU) No 1303/2013)	

22. Name of financial product offered by the financial instrument	Small Business loans - East Midlands - PA3
22.1. Type of financial product offered by the financial instrument	Loan
24. Total amount of programme contributions committed in this loan, guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR)	10,210,328.00
24.1. out of which total amount of ESI Funds contributions (in EUR)	3,014,089.00
25. Total amount of programme contributions paid to final recipients through this loan, micro-loans, equity or other products, or, in the case of guarantee, committed for loans paid to final recipients, by product (in EUR)	10,210,328.00
25.1. out of which total amount of ESI Funds contributions (in EUR)	3,014,089.00
25.1.1. out of which ERDF (in EUR)	3,014,089.00
25.1.2. out of which Cohesion Fund (in EUR)	
25.1.3. out of which ESF (in EUR)	
25.1.4. out of which EAFRD (in EUR)	
25.1.5. out of which EMFF (in EUR)	
25.2. out of which total amount of national public co-financing (in EUR)	2,496,425.00
25.3. out of which total amount of national private co-financing (in EUR)	4,699,814.00
27. Number of loan/guarantee/equity or quasi-equity/other financial product contracts signed with final recipients, by product	116
28. Number of loan/guarantee/equity or quasi-equity/other financial product investments made in final recipients, by product	116
29. Number of financial recipients supported by the financial product	97
29.1. out of which large enterprises	
29.2. out of which SMEs	97
29.2.1. out of which microenterprises	
29.3. out of which individuals/natural persons	
29.4. out of which other type of final recipients supported	
29.4.1. description of other type of final recipients supported	
33. Total number of disbursed loans defaulted or total number of guarantees provided and called due to the loan default	
34. Total amount of disbursed loans defaulted (in EUR) or total amount committed for guarantees provided and called due to loan default (in EUR)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.3. Total amount of other contributions, outside the ESI Funds mobilised at the level of final recipients (in EUR)	7,196,239.00
38.3.1. out of which public contributions (EUR)	2,496,425.00
38.3.2. out of which private contributions (EUR)	4,699,814.00
38.3A. Contribution under EIB financial product mobilised at the level of final recipient (only for the instruments under Article 38(1)(c)) (in EUR)	
39. Expected and achieved leverage effect, by reference to the funding agreement	
39.1. Expected leverage effect for loan/guarantee/equity or quasi-equity investment/other financial product, by reference to the funding agreement, by product	6.55
39.2. Achieved leverage effect at the end of reporting year for loan/guarantee/equity or quasi-equity investment/other financial product,	2.87

by product	
39.3. Investment mobilised through ESIF financial instruments for loan/guarantee/equity and quasi-equity investment, by product (Optional)	
VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)	
32. Information whether the financial instrument was still operational at the end of the reporting year	Yes
32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up	
VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)	
35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR)	
36. Amounts repaid to the financial instrument attributable to support from ESI Funds by the end of the reporting year (in EUR)	
36.1. out of which capital repayments (in EUR)	
36.2. out of which gains, other earnings and yields (in EUR)	
37. Amounts of resources attributable to ESI Funds used in accordance with Article 44 and 43a	
37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR)	
37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR)	
37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the financial instrument resulting from negative interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in EUR)	
VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR)	9,783,351.00
38.1A. Contributions under EIB financial product committed in the funding agreement with the body implementing the financial instrument (only for the instruments under Article 38(1)(c)) (in EUR)	
38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR)	7,196,239.00
38.2.1. out of which public contributions (EUR)	2,496,425.00
38.2.2. out of which private contributions (EUR)	4,699,814.00

38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR)	
IX. Contribution of the financial instrument to the achievement of the indicators of the priority or measure concerned (Article 46(2)(j) of Regulation (EU) No 1303/2013)	
41. Output indicator (code number and name) to which the financial instrument contributes	CO29 - Research, Innovation: Number of enterprises supported to introduce new to the firm products
41.1. Target value of the output indicator	6.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	14.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO01 - Productive investment: Number of enterprises receiving support
41.1. Target value of the output indicator	127.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	108.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO05 - Productive investment: Number of new enterprises supported
41.1. Target value of the output indicator	34.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	12.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO03 - Productive investment: Number of enterprises receiving financial support other than grants
41.1. Target value of the output indicator	82.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	97.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO28 - Research, Innovation: Number of enterprises supported to introduce new to the market products
41.1. Target value of the output indicator	1.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	12.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO07 - Productive investment: Private investment matching public support to enterprises (non-grants)
41.1. Target value of the output indicator	14,674,989.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	1,169,990.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO08 - Productive

<i>instrument contributes</i>	<i>investment: Employment increase in supported enterprises</i>
41.1. Target value of the output indicator	224.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	123.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO04 - Productive investment: Number of enterprises receiving non-financial support
41.1. Target value of the output indicator	45.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	11.00

II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)	
5. Name of the financial instrument	Midlands Engine - Small Business Loans - West Midlands - PA3
6. Official address/place of business of the financial instrument (name of the country and city)	Wolverhampton Science Park, Technology Centre, Glaisher Dr, Wolverhampton WV10 9RU
8. Type of the financial instrument	Fund of funds specific fund
8.2. Related Fund of Funds	Midlands Engine Investment Fund - PA3
8.1. Tailor-made or financial instruments complying with standard terms and conditions i.e. "off-the-shelf instruments"	Tailor-made
9. Type of products provided by financial instrument: loans, micro-loans, guarantees, equity, or quasi-equity investments, other financial product or other support combined within the financial instrument pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
9.0.1. Loans (\geq EUR 25,000)	Yes
9.0.2. Micro-loans ($<$ EUR 25,000 and provided to micro-enterprises) according to SEC/2011/1134 final	No
9.0.3. Guarantees	No
9.0.4. Equity	No
9.0.5. Quasi-equity	No
9.0.6. Other financial products	No
9.0.7. Other support combined with a financial instrument	No
9.1. Description of the other financial product	
9.2. Other support combined within the financial instrument: grant, interest rate subsidy, guarantee fee subsidy pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial	Fiduciary account

institution	
III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)	
11. Body implementing the financial instrument	
11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European Investment Bank; the European Investment Fund; international financial institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only)	Body governed by public or private law
11.1.1. Name of the body implementing the financial instrument	BCRS
11.1.2. Official address/place of business (country and town name) of the body implementing the financial instrument	Wolverhampton Science Park, Technology Centre, Glaisher Dr, Wolverhampton WV10 9RU
12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure	Selection in accordance with the provisions of the public procurement directive
12.1. Description of the other procedure of selecting the body implementing the financial instrument	
13. Date of signature of the funding agreement with the body implementing the financial instrument	22-Aug-2017
IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)	
14. Total amount of programme contributions committed in the funding agreement (in EUR)	17,430,975.00
14.1. out of which ESI Funds contributions (in EUR)	5,143,881.00
14.1.1. out of which ERDF (in EUR) (optional)	
14.1.2. out of which Cohesion Fund (in EUR) (optional)	
14.1.3. out of which ESF (in EUR) (optional)	
14.1.4. out of which EAFRD (in EUR) (optional)	
14.1.5. out of which EMFF (in EUR) (optional)	
15. Total amount of programme contributions paid to the financial instrument (in EUR)	13,830,139.00
15.1. out of which amount of ESI Funds contributions (in EUR)	4,082,657.00
15.1.1. out of which ERDF (in EUR)	4,082,657.00
15.1.2. out of which Cohesion Fund (in EUR)	
15.1.3. out of which ESF (in EUR)	
15.1.4. out of which EAFRD (in EUR)	
15.1.5. out of which EMFF (in EUR)	
15.2. out of which total amount of national co-financing (in EUR)	9,747,482.00
15.2.1. out of which total amount of national public funding (in EUR)	3,381,469.00
15.2.2. out of which total amount of national private funding (in EUR)	6,366,013.00
16. Total amount of programme contributions paid to the financial	

instrument under Youth Employment Initiative (YEI) (in EUR)	
17. Total amount of management costs and fees paid out of programme contributions (in EUR)	1,412,777.00
17.1. out of which base remuneration (in EUR)	
17.2. out of which performance-based remuneration (in EUR)	
18. Capitalised management costs or fees pursuant to Article 42(2) of Regulation (EU) No 1303/2013 (relevant only for final report) (in EUR)	
19. Capitalised interest rate subsidies or guarantee fee subsidies pursuant to Article 42(1)(c) of Regulation (EU) No 1303/2013 (relevant to final report only) (in EUR)	
20. Amount of programme contributions for follow-on investments in final recipients pursuant to Article 42(3) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
V. Total amount of support paid to final recipients, or to the benefit of final recipients, or committed in guarantee contracts by the financial instrument for investments in final recipients, by ESI Funds programme and priority or measure (Article 46(2)(e) of Regulation (EU) No 1303/2013)	
22. Name of financial product offered by the financial instrument	<i>Small Business Loans - West Midlands - PA3</i>
22.1. Type of financial product offered by the financial instrument	Loan
24. Total amount of programme contributions committed in this loan, guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR)	13,830,139.00
24.1. out of which total amount of ESI Funds contributions (in EUR)	4,082,657.00
25. Total amount of programme contributions paid to final recipients through this loan, micro-loans, equity or other products, or, in the case of guarantee, committed for loans paid to final recipients, by product (in EUR)	13,830,139.00
25.1. out of which total amount of ESI Funds contributions (in EUR)	4,082,657.00
25.1.1. out of which ERDF (in EUR)	4,082,657.00
25.1.2. out of which Cohesion Fund (in EUR)	
25.1.3. out of which ESF (in EUR)	
25.1.4. out of which EAFRD (in EUR)	
25.1.5. out of which EMFF (in EUR)	
25.2. out of which total amount of national public co-financing (in EUR)	3,381,469.00
25.3. out of which total amount of national private co-financing (in EUR)	6,366,013.00
27. Number of loan/guarantee/equity or quasi-equity/other financial product contracts signed with final recipients, by product	202
28. Number of loan/guarantee/equity or quasi-equity/other financial product investments made in final recipients, by product	202
29. Number of financial recipients supported by the financial product	178
29.1. out of which large enterprises	
29.2. out of which SMEs	178
29.2.1. out of which microenterprises	
29.3. out of which individuals/natural persons	
29.4. out of which other type of final recipients supported	

29.4.1. description of other type of final recipients supported	
33. Total number of disbursed loans defaulted or total number of guarantees provided and called due to the loan default	
34. Total amount of disbursed loans defaulted (in EUR) or total amount committed for guarantees provided and called due to loan default (in EUR)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.3. Total amount of other contributions, outside the ESI Funds mobilised at the level of final recipients (in EUR)	9,747,482.00
38.3.1. out of which public contributions (EUR)	3,381,469.00
38.3.2. out of which private contributions (EUR)	6,366,013.00
38.3A. Contribution under EIB financial product mobilised at the level of final recipient (only for the instruments under Article 38(1)(c)) (in EUR)	
39. Expected and achieved leverage effect, by reference to the funding agreement	
39.1. Expected leverage effect for loan/guarantee/equity or quasi-equity investment/other financial product, by reference to the funding agreement, by product	6.55
39.2. Achieved leverage effect at the end of reporting year for loan/guarantee/equity or quasi-equity investment/other financial product, by product	2.88
39.3. Investment mobilised through ESIF financial instruments for loan/guarantee/equity and quasi-equity investment, by product (Optional)	
VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)	
32. Information whether the financial instrument was still operational at the end of the reporting year	Yes
32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up	
VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)	
35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR)	
36. Amounts repaid to the financial instrument attributable to support from ESI Funds by the end of the reporting year (in EUR)	
36.1. out of which capital repayments (in EUR)	
36.2. out of which gains, other earnings and yields (in EUR)	
37. Amounts of resources attributable to ESI Funds used in accordance with Article 44 and 43a	
37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR)	

37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR)	
37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the financial instrument resulting from negative interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in EUR)	
VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR)	12,287,094.00
38.1A. Contributions under EIB financial product committed in the funding agreement with the body implementing the financial instrument (only for the instruments under Article 38(1)(c)) (in EUR)	
38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR)	9,747,482.00
38.2.1. out of which public contributions (EUR)	3,381,469.00
38.2.2. out of which private contributions (EUR)	6,366,013.00
38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR)	
IX. Contribution of the financial instrument to the achievement of the indicators of the priority or measure concerned (Article 46(2)(j) of Regulation (EU) No 1303/2013)	
41. Output indicator (code number and name) to which the financial instrument contributes	CO05 - Productive investment: Number of new enterprises supported
41.1. Target value of the output indicator	46.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	23.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO07 - Productive investment: Private investment matching public support to enterprises (non-grants)
41.1. Target value of the output indicator	19,566,651.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	3,621,906.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO28 - Research, Innovation: Number of enterprises supported to introduce new to the market products
41.1. Target value of the output indicator	1.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	2.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO04 - Productive investment: Number of

	<i>enterprises receiving non-financial support</i>
41.1. Target value of the output indicator	60.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	1.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO08 - Productive investment: Employment increase in supported enterprises
41.1. Target value of the output indicator	299.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	114.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO29 - Research, Innovation: Number of enterprises supported to introduce new to the firm products
41.1. Target value of the output indicator	9.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	2.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO01 - Productive investment: Number of enterprises receiving support
41.1. Target value of the output indicator	169.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	179.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO03 - Productive investment: Number of enterprises receiving financial support other than grants
41.1. Target value of the output indicator	110.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	178.00

II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)	
5. Name of the financial instrument	Midlands Engine – Debt Fund – East Midlands (FSE) – PA3
6. Official address/place of business of the financial instrument (name of the country and city)	City Gate, East Toll House Hill, Nottingham, NG1 5FS
8. Type of the financial instrument	Fund of funds specific fund
8.2. Related Fund of Funds	Midlands Engine Investment Fund - PA3
8.1. Tailor-made or financial instruments complying with standard terms and conditions i.e. "off-the-shelf instruments"	Tailor-made
9. Type of products provided by financial instrument: loans, micro-loans, guarantees, equity, or quasi-equity investments, other financial product or other support combined within the financial instrument pursuant to Article 37(7) of Regulation (EU) No 1303/2013	

9.0.1. Loans (\geq EUR 25,000)	Yes
9.0.2. Micro-loans ($<$ EUR 25,000 and provided to micro-enterprises) according to SEC/2011/1134 final	No
9.0.3. Guarantees	No
9.0.4. Equity	No
9.0.5. Quasi-equity	No
9.0.6. Other financial products	No
9.0.7. Other support combined with a financial instrument	No
9.1. Description of the other financial product	
9.2. Other support combined within the financial instrument: grant, interest rate subsidy, guarantee fee subsidy pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial institution	Fiduciary account
III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)	
11. Body implementing the financial instrument	
11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European Investment Bank; the European Investment Fund; international financial institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only)	Body governed by public or private law
11.1.1. Name of the body implementing the financial instrument	FSE Fund Managers Limited
11.1.2. Official address/place of business (country and town name) of the body implementing the financial instrument	The Lewis Building , Bull Street, Birmingham, B4 6EQ
12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure	Selection in accordance with the provisions of the public procurement directive
12.1. Description of the other procedure of selecting the body implementing the financial instrument	
13. Date of signature of the funding agreement with the body implementing the financial instrument	22-Jan-2020
IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)	
14. Total amount of programme contributions committed in the funding agreement (in EUR)	43,064,763.00
14.1. out of which ESI Funds contributions (in EUR)	12,708,411.00
14.1.1. out of which ERDF (in EUR) (optional)	
14.1.2. out of which Cohesion Fund (in EUR) (optional)	

14.1.3. out of which ESF (in EUR) (optional)	
14.1.4. out of which EAFRD (in EUR) (optional)	
14.1.5. out of which EMFF (in EUR) (optional)	
15. Total amount of programme contributions paid to the financial instrument (in EUR)	10,626,410.00
15.1. out of which amount of ESI Funds contributions (in EUR)	3,136,916.00
15.1.1. out of which ERDF (in EUR)	3,136,916.00
15.1.2. out of which Cohesion Fund (in EUR)	
15.1.3. out of which ESF (in EUR)	
15.1.4. out of which EAFRD (in EUR)	
15.1.5. out of which EMFF (in EUR)	
15.2. out of which total amount of national co-financing (in EUR)	7,489,494.00
15.2.1. out of which total amount of national public funding (in EUR)	2,598,157.00
15.2.2. out of which total amount of national private funding (in EUR)	4,891,337.00
16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR)	
17. Total amount of management costs and fees paid out of programme contributions (in EUR)	1,098,827.00
17.1. out of which base remuneration (in EUR)	
17.2. out of which performance-based remuneration (in EUR)	
18. Capitalised management costs or fees pursuant to Article 42(2) of Regulation (EU) No 1303/2013 (relevant only for final report) (in EUR)	
19. Capitalised interest rate subsidies or guarantee fee subsidies pursuant to Article 42(1)(c) of Regulation (EU) No 1303/2013 (relevant to final report only) (in EUR)	
20. Amount of programme contributions for follow-on investments in final recipients pursuant to Article 42(3) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
V. Total amount of support paid to final recipients, or to the benefit of final recipients, or committed in guarantee contracts by the financial instrument for investments in final recipients, by ESI Funds programme and priority or measure (Article 46(2)(e) of Regulation (EU) No 1303/2013)	
22. Name of financial product offered by the financial instrument	Debt Fund East Midlands - FSE PA3
22.1. Type of financial product offered by the financial instrument	Loan
24. Total amount of programme contributions committed in this loan, guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR)	10,626,410.00
24.1. out of which total amount of ESI Funds contributions (in EUR)	3,136,916.00
25. Total amount of programme contributions paid to final recipients through this loan, micro-loans, equity or other products, or, in the case of guarantee, committed for loans paid to final recipients, by product (in EUR)	10,626,410.00
25.1. out of which total amount of ESI Funds contributions (in EUR)	3,136,916.00
25.1.1. out of which ERDF (in EUR)	3,136,916.00
25.1.2. out of which Cohesion Fund (in EUR)	
25.1.3. out of which ESF (in EUR)	

25.1.4. out of which EAFRD (in EUR)	
25.1.5. out of which EMFF (in EUR)	
25.2. out of which total amount of national public co-financing (in EUR)	2,598,157.00
25.3. out of which total amount of national private co-financing (in EUR)	4,891,337.00
27. Number of loan/guarantee/equity or quasi-equity/other financial product contracts signed with final recipients, by product	40
28. Number of loan/guarantee/equity or quasi-equity/other financial product investments made in final recipients, by product	40
29. Number of financial recipients supported by the financial product	35
29.1. out of which large enterprises	
29.2. out of which SMEs	35
29.2.1. out of which microenterprises	
29.3. out of which individuals/natural persons	
29.4. out of which other type of final recipients supported	
29.4.1. description of other type of final recipients supported	
33. Total number of disbursed loans defaulted or total number of guarantees provided and called due to the loan default	
34. Total amount of disbursed loans defaulted (in EUR) or total amount committed for guarantees provided and called due to loan default (in EUR)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.3. Total amount of other contributions, outside the ESI Funds mobilised at the level of final recipients (in EUR)	7,489,494.00
38.3.1. out of which public contributions (EUR)	2,598,157.00
38.3.2. out of which private contributions (EUR)	4,891,337.00
38.3A. Contribution under EIB financial product mobilised at the level of final recipient (only for the instruments under Article 38(1)(c)) (in EUR)	
39. Expected and achieved leverage effect, by reference to the funding agreement	
39.1. Expected leverage effect for loan/guarantee/equity or quasi-equity investment/other financial product, by reference to the funding agreement, by product	0.00
39.2. Achieved leverage effect at the end of reporting year for loan/guarantee/equity or quasi-equity investment/other financial product, by product	2.88
39.3. Investment mobilised through ESIF financial instruments for loan/guarantee/equity and quasi-equity investment, by product (Optional)	
VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)	
32. Information whether the financial instrument was still operational at the end of the reporting year	Yes
32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up	
VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)	

35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR)	
36. Amounts repaid to the financial instrument attributable to support from ESI Funds by the end of the reporting year (in EUR)	
36.1. out of which capital repayments (in EUR)	
36.2. out of which gains, other earnings and yields (in EUR)	
37. Amounts of resources attributable to ESI Funds used in accordance with Article 44 and 43a	
37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR)	
37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR)	
37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the financial instrument resulting from negative interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in EUR)	
VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR)	30,356,352.00
38.1A. Contributions under EIB financial product committed in the funding agreement with the body implementing the financial instrument (only for the instruments under Article 38(1)(c)) (in EUR)	
38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR)	7,489,494.00
38.2.1. out of which public contributions (EUR)	2,598,157.00
38.2.2. out of which private contributions (EUR)	4,891,337.00
38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR)	
IX. Contribution of the financial instrument to the achievement of the indicators of the priority or measure concerned (Article 46(2)(j) of Regulation (EU) No 1303/2013)	
41. Output indicator (code number and name) to which the financial instrument contributes	CO05 - Productive investment: Number of new enterprises supported
41.1. Target value of the output indicator	1.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	1.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO07 - Productive investment: Private investment matching public support to enterprises (non-grants)

41.1. Target value of the output indicator	166,058.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	4,436,965.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO01 - Productive investment: Number of enterprises receiving support
41.1. Target value of the output indicator	1.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	35.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO04 - Productive investment: Number of enterprises receiving non-financial support
41.1. Target value of the output indicator	0.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	0.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO03 - Productive investment: Number of enterprises receiving financial support other than grants
41.1. Target value of the output indicator	6.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	35.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO08 - Productive investment: Employment increase in supported enterprises
41.1. Target value of the output indicator	7.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	180.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO29 - Research, Innovation: Number of enterprises supported to introduce new to the firm products
41.1. Target value of the output indicator	2.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	3.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO28 - Research, Innovation: Number of enterprises supported to introduce new to the market products
41.1. Target value of the output indicator	2.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	3.00

I. Identification of the programme and priority or measure from which support from the ESI Funds is provided (Article 46(2)(a) of Regulation (EU) No 1303/2013)	
1. Priority axes or measures supporting the financial instrument, including fund of funds, under the ESI Fund programme.	
1.1. Priority axis supporting the financial instrument under the ESI Fund programme	4 - Supporting the Shift Towards a Low Carbon Economy in all Sectors
2. Name of ESI Fund(s) supporting the financial instrument under the priority axis or measure	ERDF
3. Thematic objective(s) referred to in the first paragraph of Article 9 of Regulation (EU) No 1303/2013 supported by the financial instrument	04 - Supporting the shift towards a low-carbon economy in all sectors
3.1. Amount of ESI Funds committed in funding agreements from the individual thematic objective chosen in field 3 (optional)	4,351,771.00
4. other ESI Fund programmes providing contribution to the financial instrument	
4.1. CCI number of each other ESI Fund programme providing contributions to the financial instrument	
30. Date of completion of the ex ante assessment	11-Nov-2016
31. Selection of bodies implementing financial instrument	
31.1. Has selection or designation process already been launched	Yes
II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)	
5. Name of the financial instrument	Midlands Engine Investment Fund - PA4
6. Official address/place of business of the financial instrument (name of the country and city)	Steel City House, West Street, Sheffield, S1 2GQ
7. Implementation arrangements	
7.1. Financial instruments set up at Union level, managed directly or indirectly by the Commission referred to in Article 38(1)(a) of Regulation (EU) No 1303/2013, supported from ESI Fund programme contributions	
7.1.1. Name of the Union-level financial instrument	
7.2. Financial instrument set up at national, regional, transnational or cross-border level, managed by or under the responsibility of the managing authority referred to in Article 38(1)(b), supported from ESI Fund programme contributions under point (a), (b), (c) and d) of Article 38(4) of Regulation (EU) No 1303/2013	Entrustment of implementation tasks, through the direct award of a contract
7.3. Financial instrument combining financial contribution from MA with EIB financial products under European Fund for Strategic Investment in accordance with Article 39a, referred to in Article 38(1)(c)	
8. Type of the financial instrument	Fund of funds
10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments	Fiduciary account

referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial institution	
III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)	
11. Body implementing the financial instrument	
11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European Investment Bank; the European Investment Fund; international financial institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only)	Body governed by public or private law
11.1.1. Name of the body implementing the financial instrument	The Midlands Engine Investment Fund
11.1.2. Official address/place of business (country and town name) of the body implementing the financial instrument	Foundry House, 3 Millsands, Sheffield, Sheffield, S3 8NH
12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure	Others
12.1. Description of the other procedure of selecting the body implementing the financial instrument	Entrustment of a publicly owned company, via inter-administrative co-operation between the managing authority and The Secretary of State for Business, Energy and Industrial Strategy (BEIS) in accordance with Article 38 (1)(b) & 38 4(b) (iii) of the CPR, and s3.6.2 of Commission Notice 2016/C 276/01
13. Date of signature of the funding agreement with the body implementing the financial instrument	21-Nov-2016
IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)	
14. Total amount of programme contributions committed in the funding agreement (in EUR)	14,741,773.00
14.1. out of which ESI Funds contributions (in EUR)	4,351,771.00
14.1.1. out of which ERDF (in EUR) (optional)	
14.1.2. out of which Cohesion Fund (in EUR) (optional)	
14.1.3. out of which ESF (in EUR) (optional)	
14.1.4. out of which EAFRD (in EUR) (optional)	
14.1.5. out of which EMFF (in EUR) (optional)	

15. Total amount of programme contributions paid to the financial instrument (in EUR)	11,883,357.00
15.1. out of which amount of ESI Funds contributions (in EUR)	3,507,967.00
15.1.1. out of which ERDF (in EUR)	3,507,967.00
15.1.2. out of which Cohesion Fund (in EUR)	
15.1.3. out of which ESF (in EUR)	
15.1.4. out of which EAFRD (in EUR)	
15.1.5. out of which EMFF (in EUR)	
15.2. out of which total amount of national co-financing (in EUR)	8,375,390.00
15.2.1. out of which total amount of national public funding (in EUR)	2,905,481.00
15.2.2. out of which total amount of national private funding (in EUR)	5,469,909.00
16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR)	
17. Total amount of management costs and fees paid out of programme contributions (in EUR)	747,071.00
17.1. out of which base remuneration (in EUR)	
17.2. out of which performance-based remuneration (in EUR)	
21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)	
32. Information whether the financial instrument was still operational at the end of the reporting year	Yes
32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up	
VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)	
35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR)	
37. Amounts of resources attributable to ESI Funds used in accordance with Article 44 and 43a	
37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of	

final recipient (in EUR)	
37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR)	
37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the financial instrument resulting from negative interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in EUR)	
VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR)	14,741,771.00
38.1A. Contributions under EIB financial product committed in the funding agreement with the body implementing the financial instrument (only for the instruments under Article 38(1)(c)) (in EUR)	
38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR)	8,375,390.00
38.2.1. out of which public contributions (EUR)	2,905,481.00
38.2.2. out of which private contributions (EUR)	5,469,909.00
38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR)	

II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)	
5. Name of the financial instrument	Midlands Engine - Debt Fund - East Midlands - PA4
6. Official address/place of business of the financial instrument (name of the country and city)	City Gate East Toll House Hill, Nottingham, NG1 5FS
8. Type of the financial instrument	Fund of funds specific fund
8.2. Related Fund of Funds	Midlands Engine Investment Fund - PA4
8.1. Tailor-made or financial instruments complying with standard terms and conditions i.e. "off-the-shelf instruments"	Tailor-made
9. Type of products provided by financial instrument: loans, micro-loans, guarantees, equity, or quasi-equity investments, other financial product or other support combined within the financial instrument pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
9.0.1. Loans (\geq EUR 25,000)	Yes
9.0.2. Micro-loans ($<$ EUR 25,000 and provided to micro-enterprises)	No

according to SEC/2011/1134 final	
9.0.3. Guarantees	No
9.0.4. Equity	No
9.0.5. Quasi-equity	No
9.0.6. Other financial products	No
9.0.7. Other support combined with a financial instrument	No
9.1. Description of the other financial product	
9.2. Other support combined within the financial instrument: grant, interest rate subsidy, guarantee fee subsidy pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial institution	Fiduciary account
III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)	
11. Body implementing the financial instrument	
11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European Investment Bank; the European Investment Fund; international financial institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only)	Body governed by public or private law
11.1.1. Name of the body implementing the financial instrument	Maven
11.1.2. Official address/place of business (country and town name) of the body implementing the financial instrument	City Gate East Toll House Hill, Nottingham, NG1 5FS
12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure	Selection in accordance with the provisions of the public procurement directive
12.1. Description of the other procedure of selecting the body implementing the financial instrument	
13. Date of signature of the funding agreement with the body implementing the financial instrument	22-Aug-2017
IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)	
14. Total amount of programme contributions committed in the funding agreement (in EUR)	1,107,052.00
14.1. out of which ESI Funds contributions (in EUR)	326,802.00
14.1.1. out of which ERDF (in EUR) (optional)	
14.1.2. out of which Cohesion Fund (in EUR) (optional)	
14.1.3. out of which ESF (in EUR) (optional)	
14.1.4. out of which EAFRD (in EUR) (optional)	

14.1.5. out of which EMFF (in EUR) (optional)	
15. Total amount of programme contributions paid to the financial instrument (in EUR)	885,642.00
15.1. out of which amount of ESI Funds contributions (in EUR)	261,442.00
15.1.1. out of which ERDF (in EUR)	261,442.00
15.1.2. out of which Cohesion Fund (in EUR)	
15.1.3. out of which ESF (in EUR)	
15.1.4. out of which EAFRD (in EUR)	
15.1.5. out of which EMFF (in EUR)	
15.2. out of which total amount of national co-financing (in EUR)	624,200.00
15.2.1. out of which total amount of national public funding (in EUR)	216,539.00
15.2.2. out of which total amount of national private funding (in EUR)	407,661.00
16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR)	
17. Total amount of management costs and fees paid out of programme contributions (in EUR)	39,879.00
17.1. out of which base remuneration (in EUR)	
17.2. out of which performance-based remuneration (in EUR)	
18. Capitalised management costs or fees pursuant to Article 42(2) of Regulation (EU) No 1303/2013 (relevant only for final report) (in EUR)	
19. Capitalised interest rate subsidies or guarantee fee subsidies pursuant to Article 42(1)(c) of Regulation (EU) No 1303/2013 (relevant to final report only) (in EUR)	
20. Amount of programme contributions for follow-on investments in final recipients pursuant to Article 42(3) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
V. Total amount of support paid to final recipients, or to the benefit of final recipients, or committed in guarantee contracts by the financial instrument for investments in final recipients, by ESI Funds programme and priority or measure (Article 46(2)(e) of Regulation (EU) No 1303/2013)	
22. Name of financial product offered by the financial instrument	Debt Fund - East Midlands - PA4
22.1. Type of financial product offered by the financial instrument	Loan
24. Total amount of programme contributions committed in this loan, guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR)	885,642.00
24.1. out of which total amount of ESI Funds contributions (in EUR)	261,442.00
25. Total amount of programme contributions paid to final recipients through this loan, micro-loans, equity or other products, or, in the case of guarantee, committed for loans paid to final recipients, by product (in EUR)	885,642.00
25.1. out of which total amount of ESI Funds contributions (in EUR)	261,442.00
25.1.1. out of which ERDF (in EUR)	261,442.00
25.1.2. out of which Cohesion Fund (in EUR)	
25.1.3. out of which ESF (in EUR)	
25.1.4. out of which EAFRD (in EUR)	
25.1.5. out of which EMFF (in EUR)	

25.2. out of which total amount of national public co-financing (in EUR)	216,539.00
25.3. out of which total amount of national private co-financing (in EUR)	407,661.00
27. Number of loan/guarantee/equity or quasi-equity/other financial product contracts signed with final recipients, by product	7
28. Number of loan/guarantee/equity or quasi-equity/other financial product investments made in final recipients, by product	7
29. Number of financial recipients supported by the financial product	5
29.1. out of which large enterprises	
29.2. out of which SMEs	5
29.2.1. out of which microenterprises	
29.3. out of which individuals/natural persons	
29.4. out of which other type of final recipients supported	
29.4.1. description of other type of final recipients supported	
33. Total number of disbursed loans defaulted or total number of guarantees provided and called due to the loan default	
34. Total amount of disbursed loans defaulted (in EUR) or total amount committed for guarantees provided and called due to loan default (in EUR)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.3. Total amount of other contributions, outside the ESI Funds mobilised at the level of final recipients (in EUR)	624,200.00
38.3.1. out of which public contributions (EUR)	216,539.00
38.3.2. out of which private contributions (EUR)	407,661.00
38.3A. Contribution under EIB financial product mobilised at the level of final recipient (only for the instruments under Article 38(1)(c)) (in EUR)	
39. Expected and achieved leverage effect, by reference to the funding agreement	
39.1. Expected leverage effect for loan/guarantee/equity or quasi-equity investment/other financial product, by reference to the funding agreement, by product	6.55
39.2. Achieved leverage effect at the end of reporting year for loan/guarantee/equity or quasi-equity investment/other financial product, by product	3.06
39.3. Investment mobilised through ESIF financial instruments for loan/guarantee/equity and quasi-equity investment, by product (Optional)	
VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)	
32. Information whether the financial instrument was still operational at the end of the reporting year	
32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up	
VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)	
35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR)	
36. Amounts repaid to the financial instrument attributable to support from	

ESI Funds by the end of the reporting year (in EUR)	
36.1. out of which capital repayments (in EUR)	
36.2. out of which gains, other earnings and yields (in EUR)	
37. Amounts of resources attributable to ESI Funds used in accordance with Article 44 and 43a	
37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR)	
37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR)	
37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the financial instrument resulting from negative interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in EUR)	
VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR)	780,250.00
38.1A. Contributions under EIB financial product committed in the funding agreement with the body implementing the financial instrument (only for the instruments under Article 38(1)(c)) (in EUR)	
38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR)	624,200.00
38.2.1. out of which public contributions (EUR)	216,539.00
38.2.2. out of which private contributions (EUR)	407,661.00
38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR)	
IX. Contribution of the financial instrument to the achievement of the indicators of the priority or measure concerned (Article 46(2)(j) of Regulation (EU) No 1303/2013)	
41. Output indicator (code number and name) to which the financial instrument contributes	CO29 - Research, Innovation: Number of enterprises supported to introduce new to the firm products
41.1. Target value of the output indicator	1.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	0.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO01 - Productive investment: Number of enterprises receiving support
41.1. Target value of the output indicator	22.00
41.2. Value achieved by the financial instrument in relation to the target	5.00

value of the output indicator	
41. Output indicator (code number and name) to which the financial instrument contributes	CO05 - Productive investment: Number of new enterprises supported
41.1. Target value of the output indicator	6.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	0.00

II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)

5. Name of the financial instrument	Midlands Engine - Debt Fund - West Midlands - PA4
6. Official address/place of business of the financial instrument (name of the country and city)	City Gate East Toll House Hill, Nottingham, NG1 5FS
8. Type of the financial instrument	Fund of funds specific fund
8.2. Related Fund of Funds	Midlands Engine Investment Fund - PA4
8.1. Tailor-made or financial instruments complying with standard terms and conditions i.e. "off-the-shelf instruments"	Tailor-made
9. Type of products provided by financial instrument: loans, micro-loans, guarantees, equity, or quasi-equity investments, other financial product or other support combined within the financial instrument pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
9.0.1. Loans (\geq EUR 25,000)	Yes
9.0.2. Micro-loans ($<$ EUR 25,000 and provided to micro-enterprises) according to SEC/2011/1134 final	No
9.0.3. Guarantees	No
9.0.4. Equity	No
9.0.5. Quasi-equity	No
9.0.6. Other financial products	No
9.0.7. Other support combined with a financial instrument	No
9.1. Description of the other financial product	
9.2. Other support combined within the financial instrument: grant, interest rate subsidy, guarantee fee subsidy pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial institution	Fiduciary account

III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)

11. Body implementing the financial instrument	
11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European	Body governed by public or private law

Investment Bank; the European Investment Fund; international financial institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only)	
11.1.1. Name of the body implementing the financial instrument	Maven
11.1.2. Official address/place of business (country and town name) of the body implementing the financial instrument	City Gate East Toll House Hill, Nottingham, NG1 5FS
12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure	Selection in accordance with the provisions of the public procurement directive
12.1. Description of the other procedure of selecting the body implementing the financial instrument	
13. Date of signature of the funding agreement with the body implementing the financial instrument	22-Aug-2017
IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)	
14. Total amount of programme contributions committed in the funding agreement (in EUR)	7,749,363.00
14.1. out of which ESI Funds contributions (in EUR)	2,287,612.00
14.1.1. out of which ERDF (in EUR) (optional)	
14.1.2. out of which Cohesion Fund (in EUR) (optional)	
14.1.3. out of which ESF (in EUR) (optional)	
14.1.4. out of which EAFRD (in EUR) (optional)	
14.1.5. out of which EMFF (in EUR) (optional)	
15. Total amount of programme contributions paid to the financial instrument (in EUR)	6,365,561.00
15.1. out of which amount of ESI Funds contributions (in EUR)	1,879,114.00
15.1.1. out of which ERDF (in EUR)	1,879,114.00
15.1.2. out of which Cohesion Fund (in EUR)	
15.1.3. out of which ESF (in EUR)	
15.1.4. out of which EAFRD (in EUR)	
15.1.5. out of which EMFF (in EUR)	
15.2. out of which total amount of national co-financing (in EUR)	4,486,447.00
15.2.1. out of which total amount of national public funding (in EUR)	1,556,380.00
15.2.2. out of which total amount of national private funding (in EUR)	2,930,067.00
16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR)	
17. Total amount of management costs and fees paid out of programme contributions (in EUR)	182,520.00
17.1. out of which base remuneration (in EUR)	
17.2. out of which performance-based remuneration (in EUR)	
18. Capitalised management costs or fees pursuant to Article 42(2) of Regulation (EU) No 1303/2013 (relevant only for final report) (in EUR)	
19. Capitalised interest rate subsidies or guarantee fee subsidies pursuant to Article 42(1)(c) of Regulation (EU) No 1303/2013 (relevant to final report only) (in EUR)	

20. Amount of programme contributions for follow-on investments in final recipients pursuant to Article 42(3) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
V. Total amount of support paid to final recipients, or to the benefit of final recipients, or committed in guarantee contracts by the financial instrument for investments in final recipients, by ESI Funds programme and priority or measure (Article 46(2)(e) of Regulation (EU) No 1303/2013)	
22. Name of financial product offered by the financial instrument	Debt Fund - West Midlands - PA4
22.1. Type of financial product offered by the financial instrument	Loan
24. Total amount of programme contributions committed in this loan, guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR)	6,365,561.00
24.1. out of which total amount of ESI Funds contributions (in EUR)	1,879,114.00
25. Total amount of programme contributions paid to final recipients through this loan, micro-loans, equity or other products, or, in the case of guarantee, committed for loans paid to final recipients, by product (in EUR)	6,365,561.00
25.1. out of which total amount of ESI Funds contributions (in EUR)	1,879,114.00
25.1.1. out of which ERDF (in EUR)	1,879,114.00
25.1.2. out of which Cohesion Fund (in EUR)	
25.1.3. out of which ESF (in EUR)	
25.1.4. out of which EAFRD (in EUR)	
25.1.5. out of which EMFF (in EUR)	
25.2. out of which total amount of national public co-financing (in EUR)	1,556,380.00
25.3. out of which total amount of national private co-financing (in EUR)	2,930,067.00
27. Number of loan/guarantee/equity or quasi-equity/other financial product contracts signed with final recipients, by product	13
28. Number of loan/guarantee/equity or quasi-equity/other financial product investments made in final recipients, by product	13
29. Number of financial recipients supported by the financial product	7
29.1. out of which large enterprises	
29.2. out of which SMEs	7
29.2.1. out of which microenterprises	
29.3. out of which individuals/natural persons	
29.4. out of which other type of final recipients supported	
29.4.1. description of other type of final recipients supported	
33. Total number of disbursed loans defaulted or total number of guarantees provided and called due to the loan default	
34. Total amount of disbursed loans defaulted (in EUR) or total amount committed for guarantees provided and called due to loan default (in EUR)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.3. Total amount of other contributions, outside the ESI Funds mobilised at the level of final recipients (in EUR)	4,486,447.00
38.3.1. out of which public contributions (EUR)	1,556,380.00
38.3.2. out of which private contributions (EUR)	2,930,067.00

38.3A. Contribution under EIB financial product mobilised at the level of final recipient (only for the instruments under Article 38(1)(c)) (in EUR)	
39. Expected and achieved leverage effect, by reference to the funding agreement	
39.1. Expected leverage effect for loan/guarantee/equity or quasi-equity investment/other financial product, by reference to the funding agreement, by product	6.55
39.2. Achieved leverage effect at the end of reporting year for loan/guarantee/equity or quasi-equity investment/other financial product, by product	3.10
39.3. Investment mobilised through ESIF financial instruments for loan/guarantee/equity and quasi-equity investment, by product (Optional)	
VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)	
32. Information whether the financial instrument was still operational at the end of the reporting year	Yes
32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up	
VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)	
35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR)	
36. Amounts repaid to the financial instrument attributable to support from ESI Funds by the end of the reporting year (in EUR)	
36.1. out of which capital repayments (in EUR)	
36.2. out of which gains, other earnings and yields (in EUR)	
37. Amounts of resources attributable to ESI Funds used in accordance with Article 44 and 43a	
37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR)	
37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR)	
37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the financial instrument resulting from negative interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in EUR)	
VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the	5,461,751.00

financial instrument (in EUR)	
38.1A. Contributions under EIB financial product committed in the funding agreement with the body implementing the financial instrument (only for the instruments under Article 38(1)(c)) (in EUR)	
38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR)	4,486,447.00
38.2.1. out of which public contributions (EUR)	1,556,380.00
38.2.2. out of which private contributions (EUR)	2,930,067.00
38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR)	
IX. Contribution of the financial instrument to the achievement of the indicators of the priority or measure concerned (Article 46(2)(j) of Regulation (EU) No 1303/2013)	
41. Output indicator (code number and name) to which the financial instrument contributes	CO29 - Research, Innovation: Number of enterprises supported to introduce new to the firm products
41.1. Target value of the output indicator	1.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	2.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO05 - Productive investment: Number of new enterprises supported
41.1. Target value of the output indicator	8.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	3.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO01 - Productive investment: Number of enterprises receiving support
41.1. Target value of the output indicator	28.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	7.00

II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)	
5. Name of the financial instrument	Midlands Engine - Equity - East Midlands - PA4
6. Official address/place of business of the financial instrument (name of the country and city)	14 Park Row Nottingham NG1 6GR
8. Type of the financial instrument	Fund of funds specific fund
8.2. Related Fund of Funds	Midlands Engine Investment Fund - PA4
8.1. Tailor-made or financial instruments complying with standard terms and conditions i.e. "off-the-shelf instruments"	Tailor-made
9. Type of products provided by financial instrument: loans, micro-loans, guarantees, equity, or quasi-equity investments, other financial product or other support combined within the financial instrument pursuant to Article 37(7) of Regulation (EU) No 1303/2013	

9.0.1. Loans (\geq EUR 25,000)	No
9.0.2. Micro-loans ($<$ EUR 25,000 and provided to micro-enterprises) according to SEC/2011/1134 final	No
9.0.3. Guarantees	No
9.0.4. Equity	Yes
9.0.5. Quasi-equity	Yes
9.0.6. Other financial products	No
9.0.7. Other support combined with a financial instrument	No
9.1. Description of the other financial product	
9.2. Other support combined within the financial instrument: grant, interest rate subsidy, guarantee fee subsidy pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial institution	Fiduciary account
III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)	
11. Body implementing the financial instrument	
11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European Investment Bank; the European Investment Fund; international financial institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only)	Body governed by public or private law
11.1.1. Name of the body implementing the financial instrument	Foresight
11.1.2. Official address/place of business (country and town name) of the body implementing the financial instrument	14 Park Row Nottingham NG1 6GR
12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure	Selection in accordance with the provisions of the public procurement directive
12.1. Description of the other procedure of selecting the body implementing the financial instrument	
13. Date of signature of the funding agreement with the body implementing the financial instrument	22-Aug-2017
IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)	
14. Total amount of programme contributions committed in the funding agreement (in EUR)	4,556,894.00
14.1. out of which ESI Funds contributions (in EUR)	1,345,195.00
14.1.1. out of which ERDF (in EUR) (optional)	
14.1.2. out of which Cohesion Fund (in EUR) (optional)	

14.1.3. out of which ESF (in EUR) (optional)	
14.1.4. out of which EAFRD (in EUR) (optional)	
14.1.5. out of which EMFF (in EUR) (optional)	
15. Total amount of programme contributions paid to the financial instrument (in EUR)	3,909,753.00
15.1. out of which amount of ESI Funds contributions (in EUR)	1,154,159.00
15.1.1. out of which ERDF (in EUR)	1,154,159.00
15.1.2. out of which Cohesion Fund (in EUR)	
15.1.3. out of which ESF (in EUR)	
15.1.4. out of which EAFRD (in EUR)	
15.1.5. out of which EMFF (in EUR)	
15.2. out of which total amount of national co-financing (in EUR)	2,755,594.00
15.2.1. out of which total amount of national public funding (in EUR)	955,935.00
15.2.2. out of which total amount of national private funding (in EUR)	1,799,659.00
16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR)	
17. Total amount of management costs and fees paid out of programme contributions (in EUR)	424,860.00
17.1. out of which base remuneration (in EUR)	
17.2. out of which performance-based remuneration (in EUR)	
18. Capitalised management costs or fees pursuant to Article 42(2) of Regulation (EU) No 1303/2013 (relevant only for final report) (in EUR)	
19. Capitalised interest rate subsidies or guarantee fee subsidies pursuant to Article 42(1)(c) of Regulation (EU) No 1303/2013 (relevant to final report only) (in EUR)	
20. Amount of programme contributions for follow-on investments in final recipients pursuant to Article 42(3) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
V. Total amount of support paid to final recipients, or to the benefit of final recipients, or committed in guarantee contracts by the financial instrument for investments in final recipients, by ESI Funds programme and priority or measure (Article 46(2)(e) of Regulation (EU) No 1303/2013)	
22. Name of financial product offered by the financial instrument	<i>Equity Fund - East Midlands - PA4</i>
22.1. Type of financial product offered by the financial instrument	Equity
24. Total amount of programme contributions committed in this loan, guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR)	3,909,753.00
24.1. out of which total amount of ESI Funds contributions (in EUR)	1,154,159.00
25. Total amount of programme contributions paid to final recipients through this loan, micro-loans, equity or other products, or, in the case of guarantee, committed for loans paid to final recipients, by product (in EUR)	3,909,753.00
25.1. out of which total amount of ESI Funds contributions (in EUR)	1,154,159.00
25.1.1. out of which ERDF (in EUR)	1,154,159.00
25.1.2. out of which Cohesion Fund (in EUR)	
25.1.3. out of which ESF (in EUR)	

25.1.4. out of which EAFRD (in EUR)	
25.1.5. out of which EMFF (in EUR)	
25.2. out of which total amount of national public co-financing (in EUR)	955,935.00
25.3. out of which total amount of national private co-financing (in EUR)	1,799,659.00
27. Number of loan/guarantee/equity or quasi-equity/other financial product contracts signed with final recipients, by product	10
28. Number of loan/guarantee/equity or quasi-equity/other financial product investments made in final recipients, by product	10
29. Number of financial recipients supported by the financial product	3
29.1. out of which large enterprises	
29.2. out of which SMEs	3
29.2.1. out of which microenterprises	
29.3. out of which individuals/natural persons	
29.4. out of which other type of final recipients supported	
29.4.1. description of other type of final recipients supported	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.3. Total amount of other contributions, outside the ESI Funds mobilised at the level of final recipients (in EUR)	2,755,594.00
38.3.1. out of which public contributions (EUR)	955,935.00
38.3.2. out of which private contributions (EUR)	1,799,659.00
38.3A. Contribution under EIB financial product mobilised at the level of final recipient (only for the instruments under Article 38(1)(c)) (in EUR)	
39. Expected and achieved leverage effect, by reference to the funding agreement	
39.1. Expected leverage effect for loan/guarantee/equity or quasi-equity investment/other financial product, by reference to the funding agreement, by product	4.85
39.2. Achieved leverage effect at the end of reporting year for loan/guarantee/equity or quasi-equity investment/other financial product, by product	2.89
39.3. Investment mobilised through ESIF financial instruments for loan/guarantee/equity and quasi-equity investment, by product (Optional)	
40. Value of investments and participations in equity (in EUR)	3,396,765.00
VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)	
32. Information whether the financial instrument was still operational at the end of the reporting year	Yes
32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up	
VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)	
35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR)	
36. Amounts repaid to the financial instrument attributable to support from ESI Funds by the end of the reporting year (in EUR)	

36.1. out of which capital repayments (in EUR)	
36.2. out of which gains, other earnings and yields (in EUR)	
37. Amounts of resources attributable to ESI Funds used in accordance with Article 44 and 43a	
37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR)	
37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR)	
37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the financial instrument resulting from negative interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in EUR)	
VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR)	3,211,699.00
38.1A. Contributions under EIB financial product committed in the funding agreement with the body implementing the financial instrument (only for the instruments under Article 38(1)(c)) (in EUR)	
38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR)	2,755,594.00
38.2.1. out of which public contributions (EUR)	955,935.00
38.2.2. out of which private contributions (EUR)	1,799,659.00
38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR)	
IX. Contribution of the financial instrument to the achievement of the indicators of the priority or measure concerned (Article 46(2)(j) of Regulation (EU) No 1303/2013)	
41. Output indicator (code number and name) to which the financial instrument contributes	CO29 - Research, Innovation: Number of enterprises supported to introduce new to the firm products
41.1. Target value of the output indicator	1.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	2.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO05 - Productive investment: Number of new enterprises supported
41.1. Target value of the output indicator	5.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	0.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO01 - Productive investment: Number of enterprises supported

<i>instrument contributes</i>	<i>investment: Number of enterprises receiving support</i>
41.1. Target value of the output indicator	19.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	3.00

II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)	
5. Name of the financial instrument	Midlands Engine - Equity Fund - West Midlands - PA4
6. Official address/place of business of the financial instrument (name of the country and city)	41 Waterloo St, Birmingham B2 5PP
8. Type of the financial instrument	Fund of funds specific fund
8.2. Related Fund of Funds	Midlands Engine Investment Fund - PA4
8.1. Tailor-made or financial instruments complying with standard terms and conditions i.e. "off-the-shelf instruments"	Tailor-made
9. Type of products provided by financial instrument: loans, micro-loans, guarantees, equity, or quasi-equity investments, other financial product or other support combined within the financial instrument pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
9.0.1. Loans (\geq EUR 25,000)	No
9.0.2. Micro-loans ($<$ EUR 25,000 and provided to micro-enterprises) according to SEC/2011/1134 final	No
9.0.3. Guarantees	No
9.0.4. Equity	Yes
9.0.5. Quasi-equity	Yes
9.0.6. Other financial products	No
9.0.7. Other support combined with a financial instrument	No
9.1. Description of the other financial product	
9.2. Other support combined within the financial instrument: grant, interest rate subsidy, guarantee fee subsidy pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial institution	Fiduciary account
III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)	
11. Body implementing the financial instrument	
11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European Investment Bank; the European Investment Fund; international financial	Body governed by public or private law

institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only)	
11.1.1. Name of the body implementing the financial instrument	Midven
11.1.2. Official address/place of business (country and town name) of the body implementing the financial instrument	41 Waterloo St, Birmingham B2 5PP
12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure	Selection in accordance with the provisions of the public procurement directive
12.1. Description of the other procedure of selecting the body implementing the financial instrument	
13. Date of signature of the funding agreement with the body implementing the financial instrument	22-Aug-2017
IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)	
14. Total amount of programme contributions committed in the funding agreement (in EUR)	1.00
14.1. out of which ESI Funds contributions (in EUR)	
14.1.1. out of which ERDF (in EUR) (optional)	
14.1.2. out of which Cohesion Fund (in EUR) (optional)	
14.1.3. out of which ESF (in EUR) (optional)	
14.1.4. out of which EAFRD (in EUR) (optional)	
14.1.5. out of which EMFF (in EUR) (optional)	
15. Total amount of programme contributions paid to the financial instrument (in EUR)	
15.1. out of which amount of ESI Funds contributions (in EUR)	
15.1.1. out of which ERDF (in EUR)	
15.1.2. out of which Cohesion Fund (in EUR)	
15.1.3. out of which ESF (in EUR)	
15.1.4. out of which EAFRD (in EUR)	
15.1.5. out of which EMFF (in EUR)	
15.2. out of which total amount of national co-financing (in EUR)	
15.2.1. out of which total amount of national public funding (in EUR)	
15.2.2. out of which total amount of national private funding (in EUR)	
16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR)	
17. Total amount of management costs and fees paid out of programme contributions (in EUR)	0.00
17.1. out of which base remuneration (in EUR)	
17.2. out of which performance-based remuneration (in EUR)	
18. Capitalised management costs or fees pursuant to Article 42(2) of Regulation (EU) No 1303/2013 (relevant only for final report) (in EUR)	
19. Capitalised interest rate subsidies or guarantee fee subsidies pursuant to Article 42(1)(c) of Regulation (EU) No 1303/2013 (relevant to final report only) (in EUR)	
20. Amount of programme contributions for follow-on investments in final	

recipients pursuant to Article 42(3) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
V. Total amount of support paid to final recipients, or to the benefit of final recipients, or committed in guarantee contracts by the financial instrument for investments in final recipients, by ESI Funds programme and priority or measure (Article 46(2)(e) of Regulation (EU) No 1303/2013)	
22. Name of financial product offered by the financial instrument	<i>Equity Fund - West Midlands - PA4</i>
22.1. Type of financial product offered by the financial instrument	Equity
24. Total amount of programme contributions committed in this loan, guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR)	
24.1. out of which total amount of ESI Funds contributions (in EUR)	
25. Total amount of programme contributions paid to final recipients through this loan, micro-loans, equity or other products, or, in the case of guarantee, committed for loans paid to final recipients, by product (in EUR)	
25.1. out of which total amount of ESI Funds contributions (in EUR)	
25.1.1. out of which ERDF (in EUR)	
25.1.2. out of which Cohesion Fund (in EUR)	
25.1.3. out of which ESF (in EUR)	
25.1.4. out of which EAFRD (in EUR)	
25.1.5. out of which EMFF (in EUR)	
25.2. out of which total amount of national public co-financing (in EUR)	
25.3. out of which total amount of national private co-financing (in EUR)	
27. Number of loan/guarantee/equity or quasi-equity/other financial product contracts signed with final recipients, by product	
28. Number of loan/guarantee/equity or quasi-equity/other financial product investments made in final recipients, by product	
29. Number of financial recipients supported by the financial product	
29.1. out of which large enterprises	
29.2. out of which SMEs	
29.2.1. out of which microenterprises	
29.3. out of which individuals/natural persons	
29.4. out of which other type of final recipients supported	
29.4.1. description of other type of final recipients supported	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.3. Total amount of other contributions, outside the ESI Funds mobilised at the level of final recipients (in EUR)	
38.3.1. out of which public contributions (EUR)	
38.3.2. out of which private contributions (EUR)	
38.3A. Contribution under EIB financial product mobilised at the level of final recipient (only for the instruments under Article 38(1)(c)) (in EUR)	
39. Expected and achieved leverage effect, by reference to the funding agreement	
39.1. Expected leverage effect for loan/guarantee/equity or quasi-equity investment/other financial product, by reference to the funding agreement,	6.55

by product	
39.2. Achieved leverage effect at the end of reporting year for loan/guarantee/equity or quasi-equity investment/other financial product, by product	
39.3. Investment mobilised through ESIF financial instruments for loan/guarantee/equity and quasi-equity investment, by product (Optional)	
40. Value of investments and participations in equity (in EUR)	
VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)	
32. Information whether the financial instrument was still operational at the end of the reporting year	
32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up	
VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)	
35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR)	
36. Amounts repaid to the financial instrument attributable to support from ESI Funds by the end of the reporting year (in EUR)	
36.1. out of which capital repayments (in EUR)	
36.2. out of which gains, other earnings and yields (in EUR)	
37. Amounts of resources attributable to ESI Funds used in accordance with Article 44 and 43a	
37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR)	
37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR)	
37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the financial instrument resulting from negative interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in EUR)	
VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR)	1.00
38.1A. Contributions under EIB financial product committed in the funding agreement with the body implementing the financial instrument (only for the instruments under Article 38(1)(c)) (in EUR)	

38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR)	
38.2.1. out of which public contributions (EUR)	
38.2.2. out of which private contributions (EUR)	
38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR)	
IX. Contribution of the financial instrument to the achievement of the indicators of the priority or measure concerned (Article 46(2)(j) of Regulation (EU) No 1303/2013)	
41. Output indicator (code number and name) to which the financial instrument contributes	CO05 - Productive investment: Number of new enterprises supported
41.1. Target value of the output indicator	5.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	0.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO01 - Productive investment: Number of enterprises receiving support
41.1. Target value of the output indicator	19.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	0.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO29 - Research, Innovation: Number of enterprises supported to introduce new to the firm products
41.1. Target value of the output indicator	1.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	0.00

II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)	
5. Name of the financial instrument	Midlands Engine - Proof of Concept - PA4
6. Official address/place of business of the financial instrument (name of the country and city)	Forward House, 17 High Street, Henley in Arden B95 5AA
8. Type of the financial instrument	Fund of funds specific fund
8.2. Related Fund of Funds	Midlands Engine Investment Fund - PA4
8.1. Tailor-made or financial instruments complying with standard terms and conditions i.e. "off-the-shelf instruments"	Tailor-made
9. Type of products provided by financial instrument: loans, micro-loans, guarantees, equity, or quasi-equity investments, other financial product or other support combined within the financial instrument pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
9.0.1. Loans (\geq EUR 25,000)	No
9.0.2. Micro-loans ($<$ EUR 25,000 and provided to micro-enterprises) according to SEC/2011/1134 final	No

9.0.3. Guarantees	No
9.0.4. Equity	Yes
9.0.5. Quasi-equity	No
9.0.6. Other financial products	No
9.0.7. Other support combined with a financial instrument	No
9.1. Description of the other financial product	
9.2. Other support combined within the financial instrument: grant, interest rate subsidy, guarantee fee subsidy pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial institution	Fiduciary account
III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)	
11. Body implementing the financial instrument	
11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European Investment Bank; the European Investment Fund; international financial institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only)	Body governed by public or private law
11.1.1. Name of the body implementing the financial instrument	Mercia
11.1.2. Official address/place of business (country and town name) of the body implementing the financial instrument	Forward House, 17 High Street, Henley in Arden B95 5AA
12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure	Selection in accordance with the provisions of the public procurement directive
12.1. Description of the other procedure of selecting the body implementing the financial instrument	
13. Date of signature of the funding agreement with the body implementing the financial instrument	22-Aug-2017
IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)	
14. Total amount of programme contributions committed in the funding agreement (in EUR)	553,526.00
14.1. out of which ESI Funds contributions (in EUR)	163,401.00
14.1.1. out of which ERDF (in EUR) (optional)	
14.1.2. out of which Cohesion Fund (in EUR) (optional)	
14.1.3. out of which ESF (in EUR) (optional)	
14.1.4. out of which EAFRD (in EUR) (optional)	

14.1.5. out of which EMFF (in EUR) (optional)	
15. Total amount of programme contributions paid to the financial instrument (in EUR)	276,763.00
15.1. out of which amount of ESI Funds contributions (in EUR)	81,700.00
15.1.1. out of which ERDF (in EUR)	81,700.00
15.1.2. out of which Cohesion Fund (in EUR)	
15.1.3. out of which ESF (in EUR)	
15.1.4. out of which EAFRD (in EUR)	
15.1.5. out of which EMFF (in EUR)	
15.2. out of which total amount of national co-financing (in EUR)	195,063.00
15.2.1. out of which total amount of national public funding (in EUR)	67,669.00
15.2.2. out of which total amount of national private funding (in EUR)	127,394.00
16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR)	
17. Total amount of management costs and fees paid out of programme contributions (in EUR)	34,615.00
17.1. out of which base remuneration (in EUR)	
17.2. out of which performance-based remuneration (in EUR)	
18. Capitalised management costs or fees pursuant to Article 42(2) of Regulation (EU) No 1303/2013 (relevant only for final report) (in EUR)	
19. Capitalised interest rate subsidies or guarantee fee subsidies pursuant to Article 42(1)(c) of Regulation (EU) No 1303/2013 (relevant to final report only) (in EUR)	
20. Amount of programme contributions for follow-on investments in final recipients pursuant to Article 42(3) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
V. Total amount of support paid to final recipients, or to the benefit of final recipients, or committed in guarantee contracts by the financial instrument for investments in final recipients, by ESI Funds programme and priority or measure (Article 46(2)(e) of Regulation (EU) No 1303/2013)	
22. Name of financial product offered by the financial instrument	Proof of Concept
22.1. Type of financial product offered by the financial instrument	Equity
24. Total amount of programme contributions committed in this loan, guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR)	276,763.00
24.1. out of which total amount of ESI Funds contributions (in EUR)	81,700.00
25. Total amount of programme contributions paid to final recipients through this loan, micro-loans, equity or other products, or, in the case of guarantee, committed for loans paid to final recipients, by product (in EUR)	276,763.00
25.1. out of which total amount of ESI Funds contributions (in EUR)	81,700.00
25.1.1. out of which ERDF (in EUR)	81,700.00
25.1.2. out of which Cohesion Fund (in EUR)	
25.1.3. out of which ESF (in EUR)	
25.1.4. out of which EAFRD (in EUR)	
25.1.5. out of which EMFF (in EUR)	
25.2. out of which total amount of national public co-financing (in EUR)	67,669.00

25.3. out of which total amount of national private co-financing (in EUR)	127,394.00
27. Number of loan/guarantee/equity or quasi-equity/other financial product contracts signed with final recipients, by product	9
28. Number of loan/guarantee/equity or quasi-equity/other financial product investments made in final recipients, by product	9
29. Number of financial recipients supported by the financial product	3
29.1. out of which large enterprises	
29.2. out of which SMEs	3
29.2.1. out of which microenterprises	
29.3. out of which individuals/natural persons	
29.4. out of which other type of final recipients supported	
29.4.1. description of other type of final recipients supported	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.3. Total amount of other contributions, outside the ESI Funds mobilised at the level of final recipients (in EUR)	195,063.00
38.3.1. out of which public contributions (EUR)	67,669.00
38.3.2. out of which private contributions (EUR)	127,394.00
38.3A. Contribution under EIB financial product mobilised at the level of final recipient (only for the instruments under Article 38(1)(c)) (in EUR)	
39. Expected and achieved leverage effect, by reference to the funding agreement	
39.1. Expected leverage effect for loan/guarantee/equity or quasi-equity investment/other financial product, by reference to the funding agreement, by product	6.55
39.2. Achieved leverage effect at the end of reporting year for loan/guarantee/equity or quasi-equity investment/other financial product, by product	2.85
39.3. Investment mobilised through ESIF financial instruments for loan/guarantee/equity and quasi-equity investment, by product (Optional)	
40. Value of investments and participations in equity (in EUR)	166,058.00
VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)	
32. Information whether the financial instrument was still operational at the end of the reporting year	Yes
32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up	
VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)	
35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR)	
36. Amounts repaid to the financial instrument attributable to support from ESI Funds by the end of the reporting year (in EUR)	
36.1. out of which capital repayments (in EUR)	
36.2. out of which gains, other earnings and yields (in EUR)	
37. Amounts of resources attributable to ESI Funds used in accordance	

with Article 44 and 43a	
37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR)	
37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR)	
37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the financial instrument resulting from negative interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in EUR)	
VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR)	390,125.00
38.1A. Contributions under EIB financial product committed in the funding agreement with the body implementing the financial instrument (only for the instruments under Article 38(1)(c)) (in EUR)	
38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR)	195,063.00
38.2.1. out of which public contributions (EUR)	67,669.00
38.2.2. out of which private contributions (EUR)	127,394.00
38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR)	
IX. Contribution of the financial instrument to the achievement of the indicators of the priority or measure concerned (Article 46(2)(j) of Regulation (EU) No 1303/2013)	
41. Output indicator (code number and name) to which the financial instrument contributes	CO01 - Productive investment: Number of enterprises receiving support
41.1. Target value of the output indicator	13.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	5.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO05 - Productive investment: Number of new enterprises supported
41.1. Target value of the output indicator	4.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	0.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO29 - Research, Innovation: Number of enterprises supported to introduce new to the firm products

41.1. Target value of the output indicator	1.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	4.00

II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)	
5. Name of the financial instrument	Midlands Engine - Small Business Loans - East Midlands - PA4
6. Official address/place of business of the financial instrument (name of the country and city)	Sherwood Rise, Nottingham, NG7 6JF
8. Type of the financial instrument	Fund of funds specific fund
8.2. Related Fund of Funds	Midlands Engine Investment Fund - PA4
8.1. Tailor-made or financial instruments complying with standard terms and conditions i.e. "off-the-shelf instruments"	Tailor-made
9. Type of products provided by financial instrument: loans, micro-loans, guarantees, equity, or quasi-equity investments, other financial product or other support combined within the financial instrument pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
9.0.1. Loans (\geq EUR 25,000)	Yes
9.0.2. Micro-loans ($<$ EUR 25,000 and provided to micro-enterprises) according to SEC/2011/1134 final	No
9.0.3. Guarantees	No
9.0.4. Equity	No
9.0.5. Quasi-equity	No
9.0.6. Other financial products	No
9.0.7. Other support combined with a financial instrument	No
9.1. Description of the other financial product	
9.2. Other support combined within the financial instrument: grant, interest rate subsidy, guarantee fee subsidy pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial institution	Fiduciary account
III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)	
11. Body implementing the financial instrument	
11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European Investment Bank; the European Investment Fund; international financial institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial activities on a professional basis; a body governed by public or private law;	Body governed by public or private law

managing authority undertaking implementation tasks directly (for loans or guarantees only)	
11.1.1. Name of the body implementing the financial instrument	ELEM
11.1.2. Official address/place of business (country and town name) of the body implementing the financial instrument	Sherwood Rise, Nottingham, NG7 6JF
12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure	Selection in accordance with the provisions of the public procurement directive
12.1. Description of the other procedure of selecting the body implementing the financial instrument	
13. Date of signature of the funding agreement with the body implementing the financial instrument	22-Aug-2017
IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)	
14. Total amount of programme contributions committed in the funding agreement (in EUR)	221,410.00
14.1. out of which ESI Funds contributions (in EUR)	65,360.00
14.1.1. out of which ERDF (in EUR) (optional)	
14.1.2. out of which Cohesion Fund (in EUR) (optional)	
14.1.3. out of which ESF (in EUR) (optional)	
14.1.4. out of which EAFRD (in EUR) (optional)	
14.1.5. out of which EMFF (in EUR) (optional)	
15. Total amount of programme contributions paid to the financial instrument (in EUR)	106,058.00
15.1. out of which amount of ESI Funds contributions (in EUR)	31,308.00
15.1.1. out of which ERDF (in EUR)	31,308.00
15.1.2. out of which Cohesion Fund (in EUR)	
15.1.3. out of which ESF (in EUR)	
15.1.4. out of which EAFRD (in EUR)	
15.1.5. out of which EMFF (in EUR)	
15.2. out of which total amount of national co-financing (in EUR)	74,750.00
15.2.1. out of which total amount of national public funding (in EUR)	25,932.00
15.2.2. out of which total amount of national private funding (in EUR)	48,818.00
16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR)	
17. Total amount of management costs and fees paid out of programme contributions (in EUR)	20,798.00
17.1. out of which base remuneration (in EUR)	
17.2. out of which performance-based remuneration (in EUR)	
18. Capitalised management costs or fees pursuant to Article 42(2) of Regulation (EU) No 1303/2013 (relevant only for final report) (in EUR)	
19. Capitalised interest rate subsidies or guarantee fee subsidies pursuant to Article 42(1)(c) of Regulation (EU) No 1303/2013 (relevant to final report only) (in EUR)	
20. Amount of programme contributions for follow-on investments in final recipients pursuant to Article 42(3) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
21. Contributions of land and/or real estate in the financial instrument	

pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
V. Total amount of support paid to final recipients, or to the benefit of final recipients, or committed in guarantee contracts by the financial instrument for investments in final recipients, by ESI Funds programme and priority or measure (Article 46(2)(e) of Regulation (EU) No 1303/2013)	
22. Name of financial product offered by the financial instrument	Small Business Loans - PA4
22.1. Type of financial product offered by the financial instrument	Loan
24. Total amount of programme contributions committed in this loan, guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR)	106,058.00
24.1. out of which total amount of ESI Funds contributions (in EUR)	31,308.00
25. Total amount of programme contributions paid to final recipients through this loan, micro-loans, equity or other products, or, in the case of guarantee, committed for loans paid to final recipients, by product (in EUR)	106,058.00
25.1. out of which total amount of ESI Funds contributions (in EUR)	31,308.00
25.1.1. out of which ERDF (in EUR)	31,308.00
25.1.2. out of which Cohesion Fund (in EUR)	
25.1.3. out of which ESF (in EUR)	
25.1.4. out of which EAFRD (in EUR)	
25.1.5. out of which EMFF (in EUR)	
25.2. out of which total amount of national public co-financing (in EUR)	25,932.00
25.3. out of which total amount of national private co-financing (in EUR)	48,818.00
27. Number of loan/guarantee/equity or quasi-equity/other financial product contracts signed with final recipients, by product	2
28. Number of loan/guarantee/equity or quasi-equity/other financial product investments made in final recipients, by product	2
29. Number of financial recipients supported by the financial product	2
29.1. out of which large enterprises	
29.2. out of which SMEs	2
29.2.1. out of which microenterprises	
29.3. out of which individuals/natural persons	
29.4. out of which other type of final recipients supported	
29.4.1. description of other type of final recipients supported	
33. Total number of disbursed loans defaulted or total number of guarantees provided and called due to the loan default	
34. Total amount of disbursed loans defaulted (in EUR) or total amount committed for guarantees provided and called due to loan default (in EUR)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.3. Total amount of other contributions, outside the ESI Funds mobilised at the level of final recipients (in EUR)	74,750.00
38.3.1. out of which public contributions (EUR)	25,932.00
38.3.2. out of which private contributions (EUR)	48,818.00
38.3A. Contribution under EIB financial product mobilised at the level of final recipient (only for the instruments under Article 38(1)(c)) (in EUR)	
39. Expected and achieved leverage effect, by reference to the funding agreement	
39.1. Expected leverage effect for loan/guarantee/equity or quasi-equity	6.55

investment/other financial product, by reference to the funding agreement, by product	
39.2. Achieved leverage effect at the end of reporting year for loan/guarantee/equity or quasi-equity investment/other financial product, by product	2.69
39.3. Investment mobilised through ESIF financial instruments for loan/guarantee/equity and quasi-equity investment, by product (Optional)	
VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)	
32. Information whether the financial instrument was still operational at the end of the reporting year	Yes
32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up	
VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)	
35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR)	
36. Amounts repaid to the financial instrument attributable to support from ESI Funds by the end of the reporting year (in EUR)	
36.1. out of which capital repayments (in EUR)	
36.2. out of which gains, other earnings and yields (in EUR)	
37. Amounts of resources attributable to ESI Funds used in accordance with Article 44 and 43a	
37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR)	
37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR)	
37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the financial instrument resulting from negative interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in EUR)	
VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR)	156,050.00
38.1A. Contributions under EIB financial product committed in the funding agreement with the body implementing the financial instrument (only for the instruments under Article 38(1)(c)) (in EUR)	

38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR)	74,750.00
38.2.1. out of which public contributions (EUR)	25,932.00
38.2.2. out of which private contributions (EUR)	48,818.00
38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR)	
IX. Contribution of the financial instrument to the achievement of the indicators of the priority or measure concerned (Article 46(2)(j) of Regulation (EU) No 1303/2013)	
41. Output indicator (code number and name) to which the financial instrument contributes	CO05 - Productive investment: Number of new enterprises supported
41.1. Target value of the output indicator	2.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	0.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO29 - Research, Innovation: Number of enterprises supported to introduce new to the firm products
41.1. Target value of the output indicator	1.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	0.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO01 - Productive investment: Number of enterprises receiving support
41.1. Target value of the output indicator	7.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	2.00

II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)	
5. Name of the financial instrument	Midlands Engine - Small Business Loans - West Midlands - PA4
6. Official address/place of business of the financial instrument (name of the country and city)	Wolverhampton Science Park, Technology Centre, Glaisher Dr, Wolverhampton WV10 9RU
8. Type of the financial instrument	Fund of funds specific fund
8.2. Related Fund of Funds	Midlands Engine Investment Fund - PA4
8.1. Tailor-made or financial instruments complying with standard terms and conditions i.e. "off-the-shelf instruments"	Tailor-made
9. Type of products provided by financial instrument: loans, micro-loans, guarantees, equity, or quasi-equity investments, other financial product or other support combined within the financial instrument pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
9.0.1. Loans (\geq EUR 25,000)	Yes

9.0.2. Micro-loans (< EUR 25,000 and provided to micro-enterprises) according to SEC/2011/1134 final	No
9.0.3. Guarantees	No
9.0.4. Equity	No
9.0.5. Quasi-equity	No
9.0.6. Other financial products	No
9.0.7. Other support combined with a financial instrument	No
9.1. Description of the other financial product	
9.2. Other support combined within the financial instrument: grant, interest rate subsidy, guarantee fee subsidy pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial institution	Fiduciary account
III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)	
11. Body implementing the financial instrument	
11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European Investment Bank; the European Investment Fund; international financial institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only)	Body governed by public or private law
11.1.1. Name of the body implementing the financial instrument	BCRS
11.1.2. Official address/place of business (country and town name) of the body implementing the financial instrument	Wolverhampton Science Park, Technology Centre, Glaisher Dr, Wolverhampton WV10 9RU
12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure	Selection in accordance with the provisions of the public procurement directive
12.1. Description of the other procedure of selecting the body implementing the financial instrument	
13. Date of signature of the funding agreement with the body implementing the financial instrument	22-Aug-2017
IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)	
14. Total amount of programme contributions committed in the funding agreement (in EUR)	1.00
14.1. out of which ESI Funds contributions (in EUR)	0.00
14.1.1. out of which ERDF (in EUR) (optional)	0.00

14.1.2. out of which Cohesion Fund (in EUR) (optional)	
14.1.3. out of which ESF (in EUR) (optional)	
14.1.4. out of which EAFRD (in EUR) (optional)	
14.1.5. out of which EMFF (in EUR) (optional)	
15. Total amount of programme contributions paid to the financial instrument (in EUR)	
15.1. out of which amount of ESI Funds contributions (in EUR)	
15.1.1. out of which ERDF (in EUR)	
15.1.2. out of which Cohesion Fund (in EUR)	
15.1.3. out of which ESF (in EUR)	
15.1.4. out of which EAFRD (in EUR)	
15.1.5. out of which EMFF (in EUR)	
15.2. out of which total amount of national co-financing (in EUR)	
15.2.1. out of which total amount of national public funding (in EUR)	
15.2.2. out of which total amount of national private funding (in EUR)	
16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR)	
17. Total amount of management costs and fees paid out of programme contributions (in EUR)	0.00
17.1. out of which base remuneration (in EUR)	
17.2. out of which performance-based remuneration (in EUR)	
18. Capitalised management costs or fees pursuant to Article 42(2) of Regulation (EU) No 1303/2013 (relevant only for final report) (in EUR)	
19. Capitalised interest rate subsidies or guarantee fee subsidies pursuant to Article 42(1)(c) of Regulation (EU) No 1303/2013 (relevant to final report only) (in EUR)	
20. Amount of programme contributions for follow-on investments in final recipients pursuant to Article 42(3) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
V. Total amount of support paid to final recipients, or to the benefit of final recipients, or committed in guarantee contracts by the financial instrument for investments in final recipients, by ESI Funds programme and priority or measure (Article 46(2)(e) of Regulation (EU) No 1303/2013)	
22. Name of financial product offered by the financial instrument	<i>Small Loans - West Midlands - PA4</i>
22.1. Type of financial product offered by the financial instrument	Loan
24. Total amount of programme contributions committed in this loan, guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR)	
24.1. out of which total amount of ESI Funds contributions (in EUR)	
25. Total amount of programme contributions paid to final recipients through this loan, micro-loans, equity or other products, or, in the case of guarantee, committed for loans paid to final recipients, by product (in EUR)	
25.1. out of which total amount of ESI Funds contributions (in EUR)	
25.1.1. out of which ERDF (in EUR)	
25.1.2. out of which Cohesion Fund (in EUR)	

25.1.3. out of which ESF (in EUR)	
25.1.4. out of which EAFRD (in EUR)	
25.1.5. out of which EMFF (in EUR)	
25.2. out of which total amount of national public co-financing (in EUR)	
25.3. out of which total amount of national private co-financing (in EUR)	
27. Number of loan/guarantee/equity or quasi-equity/other financial product contracts signed with final recipients, by product	
28. Number of loan/guarantee/equity or quasi-equity/other financial product investments made in final recipients, by product	
29. Number of financial recipients supported by the financial product	
29.1. out of which large enterprises	
29.2. out of which SMEs	
29.2.1. out of which microenterprises	
29.3. out of which individuals/natural persons	
29.4. out of which other type of final recipients supported	
29.4.1. description of other type of final recipients supported	
33. Total number of disbursed loans defaulted or total number of guarantees provided and called due to the loan default	
34. Total amount of disbursed loans defaulted (in EUR) or total amount committed for guarantees provided and called due to loan default (in EUR)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.3. Total amount of other contributions, outside the ESI Funds mobilised at the level of final recipients (in EUR)	
38.3.1. out of which public contributions (EUR)	
38.3.2. out of which private contributions (EUR)	
38.3A. Contribution under EIB financial product mobilised at the level of final recipient (only for the instruments under Article 38(1)(c)) (in EUR)	
39. Expected and achieved leverage effect, by reference to the funding agreement	
39.1. Expected leverage effect for loan/guarantee/equity or quasi-equity investment/other financial product, by reference to the funding agreement, by product	0.00
39.2. Achieved leverage effect at the end of reporting year for loan/guarantee/equity or quasi-equity investment/other financial product, by product	
39.3. Investment mobilised through ESIF financial instruments for loan/guarantee/equity and quasi-equity investment, by product (Optional)	
VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)	
32. Information whether the financial instrument was still operational at the end of the reporting year	
32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up	
VII. Interest and other gains generated by support from the ESI Funds to the financial instrument,	

programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)	
35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR)	
36. Amounts repaid to the financial instrument attributable to support from ESI Funds by the end of the reporting year (in EUR)	
36.1. out of which capital repayments (in EUR)	
36.2. out of which gains, other earnings and yields (in EUR)	
37. Amounts of resources attributable to ESI Funds used in accordance with Article 44 and 43a	
37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR)	
37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR)	
37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the financial instrument resulting from negative interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in EUR)	
VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR)	1.00
38.1A. Contributions under EIB financial product committed in the funding agreement with the body implementing the financial instrument (only for the instruments under Article 38(1)(c)) (in EUR)	
38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR)	
38.2.1. out of which public contributions (EUR)	
38.2.2. out of which private contributions (EUR)	
38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR)	
IX. Contribution of the financial instrument to the achievement of the indicators of the priority or measure concerned (Article 46(2)(j) of Regulation (EU) No 1303/2013)	
41. Output indicator (code number and name) to which the financial instrument contributes	CO05 - Productive investment: Number of new enterprises supported
41.1. Target value of the output indicator	0.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	0.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO29 - Research, Innovation:

<i>instrument contributes</i>	<i>Number of enterprises supported to introduce new to the firm products</i>
41.1. Target value of the output indicator	0.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	0.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO01 - Productive investment: Number of enterprises receiving support
41.1. Target value of the output indicator	0.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	0.00

II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)	
5. Name of the financial instrument	Midlands Engine – Debt Fund – East Midlands (FSE) – PA4
6. Official address/place of business of the financial instrument (name of the country and city)	City Gate, East Toll House Hill, Nottingham, NG1 5FS
8. Type of the financial instrument	Fund of funds specific fund
8.2. Related Fund of Funds	Midlands Engine Investment Fund - PA4
8.1. Tailor-made or financial instruments complying with standard terms and conditions i.e. "off-the-shelf instruments"	Tailor-made
9. Type of products provided by financial instrument: loans, micro-loans, guarantees, equity, or quasi-equity investments, other financial product or other support combined within the financial instrument pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
9.0.1. Loans (\geq EUR 25,000)	Yes
9.0.2. Micro-loans ($<$ EUR 25,000 and provided to micro-enterprises) according to SEC/2011/1134 final	No
9.0.3. Guarantees	No
9.0.4. Equity	No
9.0.5. Quasi-equity	No
9.0.6. Other financial products	No
9.0.7. Other support combined with a financial instrument	No
9.1. Description of the other financial product	
9.2. Other support combined within the financial instrument: grant, interest rate subsidy, guarantee fee subsidy pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial institution	Fiduciary account
III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of	

Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)	
11. Body implementing the financial instrument	
11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European Investment Bank; the European Investment Fund; international financial institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only)	Body governed by public or private law
11.1.1. Name of the body implementing the financial instrument	FSE Fund Managers Limited
11.1.2. Official address/place of business (country and town name) of the body implementing the financial instrument	The Lewis Building , Bull Street, Birmingham, B4 6EQ
12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure	Selection in accordance with the provisions of the public procurement directive
12.1. Description of the other procedure of selecting the body implementing the financial instrument	
13. Date of signature of the funding agreement with the body implementing the financial instrument	22-Jan-2020
IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)	
14. Total amount of programme contributions committed in the funding agreement (in EUR)	553,526.00
14.1. out of which ESI Funds contributions (in EUR)	163,401.00
14.1.1. out of which ERDF (in EUR) (optional)	
14.1.2. out of which Cohesion Fund (in EUR) (optional)	
14.1.3. out of which ESF (in EUR) (optional)	
14.1.4. out of which EAFRD (in EUR) (optional)	
14.1.5. out of which EMFF (in EUR) (optional)	
15. Total amount of programme contributions paid to the financial instrument (in EUR)	339,580.00
15.1. out of which amount of ESI Funds contributions (in EUR)	100,244.00
15.1.1. out of which ERDF (in EUR)	100,244.00
15.1.2. out of which Cohesion Fund (in EUR)	
15.1.3. out of which ESF (in EUR)	
15.1.4. out of which EAFRD (in EUR)	
15.1.5. out of which EMFF (in EUR)	
15.2. out of which total amount of national co-financing (in EUR)	239,336.00
15.2.1. out of which total amount of national public funding (in EUR)	83,027.00
15.2.2. out of which total amount of national private funding (in EUR)	156,309.00
16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR)	
17. Total amount of management costs and fees paid out of programme contributions (in EUR)	44,399.00
17.1. out of which base remuneration (in EUR)	
17.2. out of which performance-based remuneration (in EUR)	

18. Capitalised management costs or fees pursuant to Article 42(2) of Regulation (EU) No 1303/2013 (relevant only for final report) (in EUR)	
19. Capitalised interest rate subsidies or guarantee fee subsidies pursuant to Article 42(1)(c) of Regulation (EU) No 1303/2013 (relevant to final report only) (in EUR)	
20. Amount of programme contributions for follow-on investments in final recipients pursuant to Article 42(3) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
V. Total amount of support paid to final recipients, or to the benefit of final recipients, or committed in guarantee contracts by the financial instrument for investments in final recipients, by ESI Funds programme and priority or measure (Article 46(2)(e) of Regulation (EU) No 1303/2013)	
22. Name of financial product offered by the financial instrument	Debt Fund East Midlands - FSE PA4
22.1. Type of financial product offered by the financial instrument	Loan
24. Total amount of programme contributions committed in this loan, guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR)	339,580.00
24.1. out of which total amount of ESI Funds contributions (in EUR)	100,244.00
25. Total amount of programme contributions paid to final recipients through this loan, micro-loans, equity or other products, or, in the case of guarantee, committed for loans paid to final recipients, by product (in EUR)	339,580.00
25.1. out of which total amount of ESI Funds contributions (in EUR)	100,244.00
25.1.1. out of which ERDF (in EUR)	100,244.00
25.1.2. out of which Cohesion Fund (in EUR)	
25.1.3. out of which ESF (in EUR)	
25.1.4. out of which EAFRD (in EUR)	
25.1.5. out of which EMFF (in EUR)	
25.2. out of which total amount of national public co-financing (in EUR)	83,027.00
25.3. out of which total amount of national private co-financing (in EUR)	156,309.00
27. Number of loan/guarantee/equity or quasi-equity/other financial product contracts signed with final recipients, by product	5
28. Number of loan/guarantee/equity or quasi-equity/other financial product investments made in final recipients, by product	5
29. Number of financial recipients supported by the financial product	3
29.1. out of which large enterprises	
29.2. out of which SMEs	3
29.2.1. out of which microenterprises	
29.3. out of which individuals/natural persons	
29.4. out of which other type of final recipients supported	
29.4.1. description of other type of final recipients supported	
33. Total number of disbursed loans defaulted or total number of guarantees provided and called due to the loan default	
34. Total amount of disbursed loans defaulted (in EUR) or total amount committed for guarantees provided and called due to loan default (in EUR)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument	

(EUR)	
38.3. Total amount of other contributions, outside the ESI Funds mobilised at the level of final recipients (in EUR)	239,336.00
38.3.1. out of which public contributions (EUR)	83,027.00
38.3.2. out of which private contributions (EUR)	156,309.00
38.3A. Contribution under EIB financial product mobilised at the level of final recipient (only for the instruments under Article 38(1)(c)) (in EUR)	
39. Expected and achieved leverage effect, by reference to the funding agreement	
39.1. Expected leverage effect for loan/guarantee/equity or quasi-equity investment/other financial product, by reference to the funding agreement, by product	0.00
39.2. Achieved leverage effect at the end of reporting year for loan/guarantee/equity or quasi-equity investment/other financial product, by product	2.84
39.3. Investment mobilised through ESIF financial instruments for loan/guarantee/equity and quasi-equity investment, by product (Optional)	
VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)	
32. Information whether the financial instrument was still operational at the end of the reporting year	Yes
32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up	
VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)	
35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR)	
36. Amounts repaid to the financial instrument attributable to support from ESI Funds by the end of the reporting year (in EUR)	
36.1. out of which capital repayments (in EUR)	
36.2. out of which gains, other earnings and yields (in EUR)	
37. Amounts of resources attributable to ESI Funds used in accordance with Article 44 and 43a	
37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR)	
37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR)	
37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the financial instrument resulting from negative interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in EUR)	
VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No	

1303/2013)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR)	390,125.00
38.1A. Contributions under EIB financial product committed in the funding agreement with the body implementing the financial instrument (only for the instruments under Article 38(1)(c)) (in EUR)	
38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR)	239,336.00
38.2.1. out of which public contributions (EUR)	83,027.00
38.2.2. out of which private contributions (EUR)	156,309.00
38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR)	
IX. Contribution of the financial instrument to the achievement of the indicators of the priority or measure concerned (Article 46(2)(j) of Regulation (EU) No 1303/2013)	
41. Output indicator (code number and name) to which the financial instrument contributes	CO01 - Productive investment: Number of enterprises receiving support
41.1. Target value of the output indicator	3.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	3.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO05 - Productive investment: Number of new enterprises supported
41.1. Target value of the output indicator	1.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	1.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO29 - Research, Innovation: Number of enterprises supported to introduce new to the firm products
41.1. Target value of the output indicator	0.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	0.00

I. Identification of the programme and priority or measure from which support from the ESI Funds is provided (Article 46(2)(a) of Regulation (EU) No 1303/2013)	
1. Priority axes or measures supporting the financial instrument, including fund of funds, under the ESI Fund programme.	
1.1. Priority axis supporting the financial instrument under the ESI Fund programme	3 - Enhancing the Competitiveness of SMEs
2. Name of ESI Fund(s) supporting the financial instrument under the priority axis or measure	ERDF
3. Thematic objective(s) referred to in the first	03 - Enhancing the competitiveness of small and

<i>paragraph of Article 9 of Regulation (EU) No 1303/2013 supported by the financial instrument</i>	<i>medium-sized enterprises, the agricultural sector (for the EAFRD) and the fisheries and aquaculture sector (for the EMFF)</i>
3.1. Amount of ESI Funds committed in funding agreements from the individual thematic objective chosen in field 3 (optional)	66,027,088.00
4. other ESI Fund programmes providing contribution to the financial instrument	
4.1. CCI number of each other ESI Fund programme providing contributions to the financial instrument	
30. Date of completion of the ex ante assessment	14-Nov-2016
31. Selection of bodies implementing financial instrument	
31.1. Has selection or designation process already been launched	Yes
II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)	
5. Name of the financial instrument	The North East Fund PA3
6. Official address/place of business of the financial instrument (name of the country and city)	Fifth Floor, West One, Forth Banks, Newcastle Upon Tyne, NE1 3PA,
7. Implementation arrangements	
7.1. Financial instruments set up at Union level, managed directly or indirectly by the Commission referred to in Article 38(1)(a) of Regulation (EU) No 1303/2013, supported from ESI Fund programme contributions	
7.1.1. Name of the Union-level financial instrument	
7.2. Financial instrument set up at national, regional, transnational or cross-border level, managed by or under the responsibility of the managing authority referred to in Article 38(1)(b), supported from ESI Fund programme contributions under point (a), (b), (c) and d) of Article 38(4) of Regulation (EU) No 1303/2013	Entrustment of implementation tasks, through the direct award of a contract
7.3. Financial instrument combining financial contribution from MA with EIB financial products under European Fund for Strategic Investment in accordance with Article 39a, referred to in Article 38(1)(c)	
8. Type of the financial instrument	Fund of funds
10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial institution	Fiduciary account
III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)	
11. Body implementing the financial instrument	

11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European Investment Bank; the European Investment Fund; international financial institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only)	Body governed by public or private law
11.1.1. Name of the body implementing the financial instrument	The North East Fund
11.1.2. Official address/place of business (country and town name) of the body implementing the financial instrument	Fifth Floor, West One, Forth Banks, Newcastle Upon Tyne, NE1 3PA,
12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure	Others
12.1. Description of the other procedure of selecting the body implementing the financial instrument	Entrustment of a publicly owned company, via inter-administrative co-operation between the managing authority and local authorities in the North East Local Enterprise Partnership area, in accordance with Article 38 4(b) (iii) of the CPR, and s3.6.2 of Commission Notice 2016/C 276/01
13. Date of signature of the funding agreement with the body implementing the financial instrument	15-Nov-2016
IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)	
14. Total amount of programme contributions committed in the funding agreement (in EUR)	158,013,544.00
14.1. out of which ESI Funds contributions (in EUR)	66,027,088.00
14.1.1. out of which ERDF (in EUR) (optional)	66,027,088.00
14.1.2. out of which Cohesion Fund (in EUR) (optional)	
14.1.3. out of which ESF (in EUR) (optional)	
14.1.4. out of which EAFRD (in EUR) (optional)	
14.1.5. out of which EMFF (in EUR) (optional)	
15. Total amount of programme contributions paid to the financial instrument (in EUR)	71,017,369.00
15.1. out of which amount of ESI Funds contributions (in EUR)	30,026,144.00
15.1.1. out of which ERDF (in EUR)	30,026,144.00
15.1.2. out of which Cohesion Fund (in EUR)	
15.1.3. out of which ESF (in EUR)	
15.1.4. out of which EAFRD (in EUR)	
15.1.5. out of which EMFF (in EUR)	

15.2. out of which total amount of national co-financing (in EUR)	40,991,225.00
15.2.1. out of which total amount of national public funding (in EUR)	15,353,955.00
15.2.2. out of which total amount of national private funding (in EUR)	25,637,270.00
16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR)	
17. Total amount of management costs and fees paid out of programme contributions (in EUR)	12,048,094.00
17.1. out of which base remuneration (in EUR)	11,720,345.00
17.2. out of which performance-based remuneration (in EUR)	327,749.00
21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)	
32. Information whether the financial instrument was still operational at the end of the reporting year	Yes
32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up	
VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)	
35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR)	192,516.00
37. Amounts of resources attributable to ESI Funds used in accordance with Article 44 and 43a	
37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR)	
37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR)	
37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the financial instrument resulting from negative interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in EUR)	

VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR)	91,986,456.00
38.1A. Contributions under EIB financial product committed in the funding agreement with the body implementing the financial instrument (only for the instruments under Article 38(1)(c)) (in EUR)	
38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR)	40,991,225.00
38.2.1. out of which public contributions (EUR)	15,353,955.00
38.2.2. out of which private contributions (EUR)	25,637,270.00
38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR)	

II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)	
5. Name of the financial instrument	North East Development Fund
6. Official address/place of business of the financial instrument (name of the country and city)	Mavern Capital Partners, 5th Floor, 1-2 Royal Exchange Buildings, London, England, EC3V 3LF
8. Type of the financial instrument	Fund of funds specific fund
8.2. Related Fund of Funds	The North East Fund PA3
8.1. Tailor-made or financial instruments complying with standard terms and conditions i.e. "off-the-shelf instruments"	Tailor-made
9. Type of products provided by financial instrument: loans, micro-loans, guarantees, equity, or quasi-equity investments, other financial product or other support combined within the financial instrument pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
9.0.1. Loans (\geq EUR 25,000)	Yes
9.0.2. Micro-loans ($<$ EUR 25,000 and provided to micro-enterprises) according to SEC/2011/1134 final	No
9.0.3. Guarantees	No
9.0.4. Equity	Yes
9.0.5. Quasi-equity	Yes
9.0.6. Other financial products	No
9.0.7. Other support combined with a financial instrument	No
9.1. Description of the other financial product	
9.2. Other support combined within the financial instrument: grant, interest rate subsidy, guarantee fee subsidy pursuant to Article 37(7) of Regulation (EU) No 1303/2013	

10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial institution	Fiduciary account
III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)	
11. Body implementing the financial instrument	
11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European Investment Bank; the European Investment Fund; international financial institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only)	Body governed by public or private law
11.1.1. Name of the body implementing the financial instrument	Maven Capital Partners
11.1.2. Official address/place of business (country and town name) of the body implementing the financial instrument	5th Floor, 1-2 Royal Exchange Buildings, London, England, EC3V 3LF
12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure	Selection in accordance with the provisions of the public procurement directive
12.1. Description of the other procedure of selecting the body implementing the financial instrument	
13. Date of signature of the funding agreement with the body implementing the financial instrument	13-Apr-2018
IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)	
14. Total amount of programme contributions committed in the funding agreement (in EUR)	30,778,781.00
14.1. out of which ESI Funds contributions (in EUR)	12,861,133.00
14.1.1. out of which ERDF (in EUR) (optional)	12,861,133.00
14.1.2. out of which Cohesion Fund (in EUR) (optional)	
14.1.3. out of which ESF (in EUR) (optional)	
14.1.4. out of which EAFRD (in EUR) (optional)	
14.1.5. out of which EMFF (in EUR) (optional)	
15. Total amount of programme contributions paid to the financial instrument (in EUR)	14,407,720.00
15.1. out of which amount of ESI Funds contributions (in EUR)	6,005,229.00
15.1.1. out of which ERDF (in EUR)	6,005,229.00
15.1.2. out of which Cohesion Fund (in EUR)	
15.1.3. out of which ESF (in EUR)	
15.1.4. out of which EAFRD (in EUR)	
15.1.5. out of which EMFF (in EUR)	

15.2. out of which total amount of national co-financing (in EUR)	8,402,491.00
15.2.1. out of which total amount of national public funding (in EUR)	3,531,410.00
15.2.2. out of which total amount of national private funding (in EUR)	4,871,081.00
16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR)	
17. Total amount of management costs and fees paid out of programme contributions (in EUR)	2,395,517.00
17.1. out of which base remuneration (in EUR)	2,295,948.00
17.2. out of which performance-based remuneration (in EUR)	99,569.00
18. Capitalised management costs or fees pursuant to Article 42(2) of Regulation (EU) No 1303/2013 (relevant only for final report) (in EUR)	
19. Capitalised interest rate subsidies or guarantee fee subsidies pursuant to Article 42(1)(c) of Regulation (EU) No 1303/2013 (relevant to final report only) (in EUR)	
20. Amount of programme contributions for follow-on investments in final recipients pursuant to Article 42(3) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
V. Total amount of support paid to final recipients, or to the benefit of final recipients, or committed in guarantee contracts by the financial instrument for investments in final recipients, by ESI Funds programme and priority or measure (Article 46(2)(e) of Regulation (EU) No 1303/2013)	
22. Name of financial product offered by the financial instrument	Development Equity Fund
22.1. Type of financial product offered by the financial instrument	Equity
24. Total amount of programme contributions committed in this loan, guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR)	9,233,634.00
24.1. out of which total amount of ESI Funds contributions (in EUR)	3,360,555.00
25. Total amount of programme contributions paid to final recipients through this loan, micro-loans, equity or other products, or, in the case of guarantee, committed for loans paid to final recipients, by product (in EUR)	3,360,555.00
25.1. out of which total amount of ESI Funds contributions (in EUR)	1,404,232.00
25.1.1. out of which ERDF (in EUR)	1,404,232.00
25.1.2. out of which Cohesion Fund (in EUR)	
25.1.3. out of which ESF (in EUR)	
25.1.4. out of which EAFRD (in EUR)	
25.1.5. out of which EMFF (in EUR)	
25.2. out of which total amount of national public co-financing (in EUR)	276,047.00
25.3. out of which total amount of national private co-financing (in EUR)	1,680,276.00
27. Number of loan/guarantee/equity or quasi-equity/other financial product contracts signed with final recipients, by product	10
28. Number of loan/guarantee/equity or quasi-equity/other financial product investments made in final recipients, by product	10
29. Number of financial recipients supported by the financial product	6
29.1. out of which large enterprises	
29.2. out of which SMEs	6
29.2.1. out of which microenterprises	

29.3. out of which individuals/natural persons	
29.4. out of which other type of final recipients supported	
29.4.1. description of other type of final recipients supported	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.3. Total amount of other contributions, outside the ESI Funds mobilised at the level of final recipients (in EUR)	1,956,323.00
38.3.1. out of which public contributions (EUR)	276,047.00
38.3.2. out of which private contributions (EUR)	1,680,276.00
38.3A. Contribution under EIB financial product mobilised at the level of final recipient (only for the instruments under Article 38(1)(c)) (in EUR)	
39. Expected and achieved leverage effect, by reference to the funding agreement	
39.1. Expected leverage effect for loan/guarantee/equity or quasi-equity investment/other financial product, by reference to the funding agreement, by product	2.39
39.2. Achieved leverage effect at the end of reporting year for loan/guarantee/equity or quasi-equity investment/other financial product, by product	1.79
39.3. Investment mobilised through ESIF financial instruments for loan/guarantee/equity and quasi-equity investment, by product (Optional)	16,854,868.00
40. Value of investments and participations in equity (in EUR)	3,211,794.28
22. Name of financial product offered by the financial instrument	Development Quasi Equity Fund
22.1. Type of financial product offered by the financial instrument	Equity
24. Total amount of programme contributions committed in this loan, guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR)	0.00
24.1. out of which total amount of ESI Funds contributions (in EUR)	0.00
25. Total amount of programme contributions paid to final recipients through this loan, micro-loans, equity or other products, or, in the case of guarantee, committed for loans paid to final recipients, by product (in EUR)	
25.1. out of which total amount of ESI Funds contributions (in EUR)	
25.1.1. out of which ERDF (in EUR)	
25.1.2. out of which Cohesion Fund (in EUR)	
25.1.3. out of which ESF (in EUR)	
25.1.4. out of which EAFRD (in EUR)	
25.1.5. out of which EMFF (in EUR)	
25.2. out of which total amount of national public co-financing (in EUR)	
25.3. out of which total amount of national private co-financing (in EUR)	
27. Number of loan/guarantee/equity or quasi-equity/other financial product contracts signed with final recipients, by product	
28. Number of loan/guarantee/equity or quasi-equity/other financial product investments made in final recipients, by product	
29. Number of financial recipients supported by the financial product	
29.1. out of which large enterprises	
29.2. out of which SMEs	
29.2.1. out of which microenterprises	
29.3. out of which individuals/natural persons	

29.4. out of which other type of final recipients supported	
29.4.1. description of other type of final recipients supported	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.3. Total amount of other contributions, outside the ESI Funds mobilised at the level of final recipients (in EUR)	
38.3.1. out of which public contributions (EUR)	
38.3.2. out of which private contributions (EUR)	
38.3A. Contribution under EIB financial product mobilised at the level of final recipient (only for the instruments under Article 38(1)(c)) (in EUR)	
39. Expected and achieved leverage effect, by reference to the funding agreement	
39.1. Expected leverage effect for loan/guarantee/equity or quasi-equity investment/other financial product, by reference to the funding agreement, by product	2.39
39.2. Achieved leverage effect at the end of reporting year for loan/guarantee/equity or quasi-equity investment/other financial product, by product	
39.3. Investment mobilised through ESIF financial instruments for loan/guarantee/equity and quasi-equity investment, by product (Optional)	0.00
40. Value of investments and participations in equity (in EUR)	
22. Name of financial product offered by the financial instrument	Development Loan Fund
22.1. Type of financial product offered by the financial instrument	Loan
24. Total amount of programme contributions committed in this loan, guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR)	21,545,147.00
24.1. out of which total amount of ESI Funds contributions (in EUR)	9,002,794.00
25. Total amount of programme contributions paid to final recipients through this loan, micro-loans, equity or other products, or, in the case of guarantee, committed for loans paid to final recipients, by product (in EUR)	12,533,765.00
25.1. out of which total amount of ESI Funds contributions (in EUR)	5,237,323.00
25.1.1. out of which ERDF (in EUR)	5,237,323.00
25.1.2. out of which Cohesion Fund (in EUR)	
25.1.3. out of which ESF (in EUR)	
25.1.4. out of which EAFRD (in EUR)	
25.1.5. out of which EMFF (in EUR)	
25.2. out of which total amount of national public co-financing (in EUR)	1,029,565.00
25.3. out of which total amount of national private co-financing (in EUR)	6,266,877.00
27. Number of loan/guarantee/equity or quasi-equity/other financial product contracts signed with final recipients, by product	24
28. Number of loan/guarantee/equity or quasi-equity/other financial product investments made in final recipients, by product	24
29. Number of financial recipients supported by the financial product	16
29.1. out of which large enterprises	
29.2. out of which SMEs	16
29.2.1. out of which microenterprises	
29.3. out of which individuals/natural persons	
29.4. out of which other type of final recipients supported	
29.4.1. description of other type of final recipients supported	

33. Total number of disbursed loans defaulted or total number of guarantees provided and called due to the loan default	1
34. Total amount of disbursed loans defaulted (in EUR) or total amount committed for guarantees provided and called due to loan default (in EUR)	1,833,596.00
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.3. Total amount of other contributions, outside the ESI Funds mobilised at the level of final recipients (in EUR)	7,296,442.00
38.3.1. out of which public contributions (EUR)	1,029,565.00
38.3.2. out of which private contributions (EUR)	6,266,877.00
38.3A. Contribution under EIB financial product mobilised at the level of final recipient (only for the instruments under Article 38(1)(c)) (in EUR)	
39. Expected and achieved leverage effect, by reference to the funding agreement	
39.1. Expected leverage effect for loan/guarantee/equity or quasi-equity investment/other financial product, by reference to the funding agreement, by product	2.39
39.2. Achieved leverage effect at the end of reporting year for loan/guarantee/equity or quasi-equity investment/other financial product, by product	1.79
39.3. Investment mobilised through ESIF financial instruments for loan/guarantee/equity and quasi-equity investment, by product (Optional)	40,990,128.00
VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)	
32. Information whether the financial instrument was still operational at the end of the reporting year	Yes
32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up	
VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)	
35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR)	
36. Amounts repaid to the financial instrument attributable to support from ESI Funds by the end of the reporting year (in EUR)	
36.1. out of which capital repayments (in EUR)	
36.2. out of which gains, other earnings and yields (in EUR)	
37. Amounts of resources attributable to ESI Funds used in accordance with Article 44 and 43a	
37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR)	
37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR)	

37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the financial instrument resulting from negative interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in EUR)	
VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR)	17,917,648.00
38.1A. Contributions under EIB financial product committed in the funding agreement with the body implementing the financial instrument (only for the instruments under Article 38(1)(c)) (in EUR)	
38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR)	8,402,491.00
38.2.1. out of which public contributions (EUR)	3,531,410.00
38.2.2. out of which private contributions (EUR)	4,871,081.00
38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR)	
IX. Contribution of the financial instrument to the achievement of the indicators of the priority or measure concerned (Article 46(2)(j) of Regulation (EU) No 1303/2013)	
41. Output indicator (code number and name) to which the financial instrument contributes	CO03 - Productive investment: Number of enterprises receiving financial support other than grants
41.1. Target value of the output indicator	40.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	15.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO01 - Productive investment: Number of enterprises receiving support
41.1. Target value of the output indicator	65.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	50.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO08 - Productive investment: Employment increase in supported enterprises
41.1. Target value of the output indicator	650.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	13.00
41. Output indicator (code number and name) to which the financial instrument contributes	P13 - Number of enterprises receiving information, diagnostic and brokerage
41.1. Target value of the output indicator	120.00

41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	102.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO04 - Productive investment: Number of enterprises receiving non-financial support
41.1. Target value of the output indicator	50.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	52.00

II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)	
5. Name of the financial instrument	North East Growth Fund
6. Official address/place of business of the financial instrument (name of the country and city)	NEL Fund Managers, 4 Enterprise House, Kingsway, Team Valley, NE11 0SR
8. Type of the financial instrument	Fund of funds specific fund
8.2. Related Fund of Funds	The North East Fund PA3
8.1. Tailor-made or financial instruments complying with standard terms and conditions i.e. "off-the-shelf instruments"	Tailor-made
9. Type of products provided by financial instrument: loans, micro-loans, guarantees, equity, or quasi-equity investments, other financial product or other support combined within the financial instrument pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
9.0.1. Loans (\geq EUR 25,000)	Yes
9.0.2. Micro-loans ($<$ EUR 25,000 and provided to micro-enterprises) according to SEC/2011/1134 final	No
9.0.3. Guarantees	No
9.0.4. Equity	Yes
9.0.5. Quasi-equity	Yes
9.0.6. Other financial products	No
9.0.7. Other support combined with a financial instrument	No
9.1. Description of the other financial product	
9.2. Other support combined within the financial instrument: grant, interest rate subsidy, guarantee fee subsidy pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial institution	Fiduciary account
III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)	
11. Body implementing the financial instrument	
11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European	Body governed by public or private law

Investment Bank; the European Investment Fund; international financial institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only)	
11.1.1. Name of the body implementing the financial instrument	NEL Fund Managers
11.1.2. Official address/place of business (country and town name) of the body implementing the financial instrument	4 Enterprise House, Kingsway, Team Valley, NE11 0SR
12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure	Selection in accordance with the provisions of the public procurement directive
12.1. Description of the other procedure of selecting the body implementing the financial instrument	
13. Date of signature of the funding agreement with the body implementing the financial instrument	10-Apr-2018
IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)	
14. Total amount of programme contributions committed in the funding agreement (in EUR)	20,417,607.00
14.1. out of which ESI Funds contributions (in EUR)	8,531,643.00
14.1.1. out of which ERDF (in EUR) (optional)	8,531,643.00
14.1.2. out of which Cohesion Fund (in EUR) (optional)	
14.1.3. out of which ESF (in EUR) (optional)	
14.1.4. out of which EAFRD (in EUR) (optional)	
14.1.5. out of which EMFF (in EUR) (optional)	
15. Total amount of programme contributions paid to the financial instrument (in EUR)	11,413,486.00
15.1. out of which amount of ESI Funds contributions (in EUR)	4,804,183.00
15.1.1. out of which ERDF (in EUR)	4,804,183.00
15.1.2. out of which Cohesion Fund (in EUR)	
15.1.3. out of which ESF (in EUR)	
15.1.4. out of which EAFRD (in EUR)	
15.1.5. out of which EMFF (in EUR)	
15.2. out of which total amount of national co-financing (in EUR)	6,609,303.00
15.2.1. out of which total amount of national public funding (in EUR)	2,763,712.00
15.2.2. out of which total amount of national private funding (in EUR)	3,845,591.00
16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR)	
17. Total amount of management costs and fees paid out of programme contributions (in EUR)	2,472,816.00
17.1. out of which base remuneration (in EUR)	2,349,561.00
17.2. out of which performance-based remuneration (in EUR)	123,255.00
18. Capitalised management costs or fees pursuant to Article 42(2) of Regulation (EU) No 1303/2013 (relevant only for final report) (in EUR)	
19. Capitalised interest rate subsidies or guarantee fee subsidies pursuant to Article 42(1)(c) of Regulation (EU) No 1303/2013 (relevant to final	

report only) (in EUR)	
20. Amount of programme contributions for follow-on investments in final recipients pursuant to Article 42(3) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
V. Total amount of support paid to final recipients, or to the benefit of final recipients, or committed in guarantee contracts by the financial instrument for investments in final recipients, by ESI Funds programme and priority or measure (Article 46(2)(e) of Regulation (EU) No 1303/2013)	
22. Name of financial product offered by the financial instrument	Growth Equity Fund
22.1. Type of financial product offered by the financial instrument	Equity
24. Total amount of programme contributions committed in this loan, guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR)	0.00
24.1. out of which total amount of ESI Funds contributions (in EUR)	0.00
25. Total amount of programme contributions paid to final recipients through this loan, micro-loans, equity or other products, or, in the case of guarantee, committed for loans paid to final recipients, by product (in EUR)	
25.1. out of which total amount of ESI Funds contributions (in EUR)	
25.1.1. out of which ERDF (in EUR)	
25.1.2. out of which Cohesion Fund (in EUR)	
25.1.3. out of which ESF (in EUR)	
25.1.4. out of which EAFRD (in EUR)	
25.1.5. out of which EMFF (in EUR)	
25.2. out of which total amount of national public co-financing (in EUR)	
25.3. out of which total amount of national private co-financing (in EUR)	
27. Number of loan/guarantee/equity or quasi-equity/other financial product contracts signed with final recipients, by product	
28. Number of loan/guarantee/equity or quasi-equity/other financial product investments made in final recipients, by product	
29. Number of financial recipients supported by the financial product	
29.1. out of which large enterprises	
29.2. out of which SMEs	
29.2.1. out of which microenterprises	
29.3. out of which individuals/natural persons	
29.4. out of which other type of final recipients supported	
29.4.1. description of other type of final recipients supported	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.3. Total amount of other contributions, outside the ESI Funds mobilised at the level of final recipients (in EUR)	
38.3.1. out of which public contributions (EUR)	
38.3.2. out of which private contributions (EUR)	
38.3A. Contribution under EIB financial product mobilised at the level of final recipient (only for the instruments under Article 38(1)(c)) (in EUR)	
39. Expected and achieved leverage effect, by reference to the funding agreement	
39.1. Expected leverage effect for loan/guarantee/equity or quasi-equity	2.39

investment/other financial product, by reference to the funding agreement, by product	
39.2. Achieved leverage effect at the end of reporting year for loan/guarantee/equity or quasi-equity investment/other financial product, by product	
39.3. Investment mobilised through ESIF financial instruments for loan/guarantee/equity and quasi-equity investment, by product (Optional)	0.00
40. Value of investments and participations in equity (in EUR)	
22. Name of financial product offered by the financial instrument	Growth Quasi Equity Fund
22.1. Type of financial product offered by the financial instrument	Equity
24. Total amount of programme contributions committed in this loan, guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR)	0.00
24.1. out of which total amount of ESI Funds contributions (in EUR)	0.00
25. Total amount of programme contributions paid to final recipients through this loan, micro-loans, equity or other products, or, in the case of guarantee, committed for loans paid to final recipients, by product (in EUR)	
25.1. out of which total amount of ESI Funds contributions (in EUR)	
25.1.1. out of which ERDF (in EUR)	
25.1.2. out of which Cohesion Fund (in EUR)	
25.1.3. out of which ESF (in EUR)	
25.1.4. out of which EAFRD (in EUR)	
25.1.5. out of which EMFF (in EUR)	
25.2. out of which total amount of national public co-financing (in EUR)	
25.3. out of which total amount of national private co-financing (in EUR)	
27. Number of loan/guarantee/equity or quasi-equity/other financial product contracts signed with final recipients, by product	
28. Number of loan/guarantee/equity or quasi-equity/other financial product investments made in final recipients, by product	
29. Number of financial recipients supported by the financial product	
29.1. out of which large enterprises	
29.2. out of which SMEs	
29.2.1. out of which microenterprises	
29.3. out of which individuals/natural persons	
29.4. out of which other type of final recipients supported	
29.4.1. description of other type of final recipients supported	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.3. Total amount of other contributions, outside the ESI Funds mobilised at the level of final recipients (in EUR)	
38.3.1. out of which public contributions (EUR)	
38.3.2. out of which private contributions (EUR)	
38.3A. Contribution under EIB financial product mobilised at the level of final recipient (only for the instruments under Article 38(1)(c)) (in EUR)	
39. Expected and achieved leverage effect, by reference to the funding agreement	
39.1. Expected leverage effect for loan/guarantee/equity or quasi-equity investment/other financial product, by reference to the funding agreement, by product	2.39

39.2. Achieved leverage effect at the end of reporting year for loan/guarantee/equity or quasi-equity investment/other financial product, by product	
39.3. Investment mobilised through ESIF financial instruments for loan/guarantee/equity and quasi-equity investment, by product (Optional)	0.00
40. Value of investments and participations in equity (in EUR)	
22. Name of financial product offered by the financial instrument	Growth Loan Fund
22.1. Type of financial product offered by the financial instrument	Loan
24. Total amount of programme contributions committed in this loan, guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR)	17,354,966.00
24.1. out of which total amount of ESI Funds contributions (in EUR)	7,251,896.00
25. Total amount of programme contributions paid to final recipients through this loan, micro-loans, equity or other products, or, in the case of guarantee, committed for loans paid to final recipients, by product (in EUR)	11,988,290.00
25.1. out of which total amount of ESI Funds contributions (in EUR)	5,009,393.00
25.1.1. out of which ERDF (in EUR)	5,009,393.00
25.1.2. out of which Cohesion Fund (in EUR)	
25.1.3. out of which ESF (in EUR)	
25.1.4. out of which EAFRD (in EUR)	
25.1.5. out of which EMFF (in EUR)	
25.2. out of which total amount of national public co-financing (in EUR)	984,752.00
25.3. out of which total amount of national private co-financing (in EUR)	5,994,145.00
27. Number of loan/guarantee/equity or quasi-equity/other financial product contracts signed with final recipients, by product	47
28. Number of loan/guarantee/equity or quasi-equity/other financial product investments made in final recipients, by product	47
29. Number of financial recipients supported by the financial product	40
29.1. out of which large enterprises	
29.2. out of which SMEs	40
29.2.1. out of which microenterprises	
29.3. out of which individuals/natural persons	
29.4. out of which other type of final recipients supported	
29.4.1. description of other type of final recipients supported	
33. Total number of disbursed loans defaulted or total number of guarantees provided and called due to the loan default	5
34. Total amount of disbursed loans defaulted (in EUR) or total amount committed for guarantees provided and called due to loan default (in EUR)	1,273,852.00
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.3. Total amount of other contributions, outside the ESI Funds mobilised at the level of final recipients (in EUR)	6,978,897.00
38.3.1. out of which public contributions (EUR)	984,752.00
38.3.2. out of which private contributions (EUR)	5,994,145.00
38.3A. Contribution under EIB financial product mobilised at the level of final recipient (only for the instruments under Article 38(1)(c)) (in EUR)	
39. Expected and achieved leverage effect, by reference to the funding agreement	

39.1. Expected leverage effect for loan/guarantee/equity or quasi-equity investment/other financial product, by reference to the funding agreement, by product	2.39
39.2. Achieved leverage effect at the end of reporting year for loan/guarantee/equity or quasi-equity investment/other financial product, by product	1.73
39.3. Investment mobilised through ESIF financial instruments for loan/guarantee/equity and quasi-equity investment, by product (Optional)	23,470,986.00
VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)	
32. Information whether the financial instrument was still operational at the end of the reporting year	Yes
32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up	
VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)	
35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR)	
36. Amounts repaid to the financial instrument attributable to support from ESI Funds by the end of the reporting year (in EUR)	
36.1. out of which capital repayments (in EUR)	
36.2. out of which gains, other earnings and yields (in EUR)	
37. Amounts of resources attributable to ESI Funds used in accordance with Article 44 and 43a	
37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR)	
37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR)	
37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the financial instrument resulting from negative interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in EUR)	
VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR)	11,885,964.00
38.1A. Contributions under EIB financial product committed in the funding agreement with the body implementing the financial instrument	

(only for the instruments under Article 38(1)(c)) (in EUR)	
38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR)	6,609,303.00
38.2.1. out of which public contributions (EUR)	2,763,712.00
38.2.2. out of which private contributions (EUR)	3,845,591.00
38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR)	
IX. Contribution of the financial instrument to the achievement of the indicators of the priority or measure concerned (Article 46(2)(j) of Regulation (EU) No 1303/2013)	
41. Output indicator (code number and name) to which the financial instrument contributes	CO04 - Productive investment: Number of enterprises receiving non-financial support
41.1. Target value of the output indicator	80.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	70.00
41. Output indicator (code number and name) to which the financial instrument contributes	P13 - Number of enterprises receiving information, diagnostic and brokerage
41.1. Target value of the output indicator	210.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	171.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO01 - Productive investment: Number of enterprises receiving support
41.1. Target value of the output indicator	125.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	97.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO08 - Productive investment: Employment increase in supported enterprises
41.1. Target value of the output indicator	790.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	79.60
41. Output indicator (code number and name) to which the financial instrument contributes	CO03 - Productive investment: Number of enterprises receiving financial support other than grants
41.1. Target value of the output indicator	70.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	33.00

II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)	
5. Name of the financial instrument	North East Innovation Fund

6. Official address/place of business of the financial instrument (name of the country and city)	North Star Ventures, 5th Floor Maybrook House, 27-35 Grainger Street, Newcastle, NE1 5JE
8. Type of the financial instrument	Fund of funds specific fund
8.2. Related Fund of Funds	The North East Fund PA3
8.1. Tailor-made or financial instruments complying with standard terms and conditions i.e. "off-the-shelf instruments"	Tailor-made
9. Type of products provided by financial instrument: loans, micro-loans, guarantees, equity, or quasi-equity investments, other financial product or other support combined within the financial instrument pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
9.0.1. Loans (\geq EUR 25,000)	Yes
9.0.2. Micro-loans ($<$ EUR 25,000 and provided to micro-enterprises) according to SEC/2011/1134 final	No
9.0.3. Guarantees	No
9.0.4. Equity	Yes
9.0.5. Quasi-equity	No
9.0.6. Other financial products	No
9.0.7. Other support combined with a financial instrument	No
9.1. Description of the other financial product	
9.2. Other support combined within the financial instrument: grant, interest rate subsidy, guarantee fee subsidy pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial institution	Fiduciary account
III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)	
11. Body implementing the financial instrument	
11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European Investment Bank; the European Investment Fund; international financial institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only)	Body undertaking implementation task directly
11.1.1. Name of the body implementing the financial instrument	North Star Ventures
11.1.2. Official address/place of business (country and town name) of the body implementing the financial instrument	5th Floor Maybrook House, 27-35 Grainger Street, Newcastle, NE1 5JE
12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure	Selection in accordance with the provisions of the public procurement directive

12.1. Description of the other procedure of selecting the body implementing the financial instrument	
13. Date of signature of the funding agreement with the body implementing the financial instrument	09-Apr-2018
IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)	
14. Total amount of programme contributions committed in the funding agreement (in EUR)	30,702,596.00
14.1. out of which ESI Funds contributions (in EUR)	12,829,299.00
14.1.1. out of which ERDF (in EUR) (optional)	12,829,299.00
14.1.2. out of which Cohesion Fund (in EUR) (optional)	
14.1.3. out of which ESF (in EUR) (optional)	
14.1.4. out of which EAFRD (in EUR) (optional)	
14.1.5. out of which EMFF (in EUR) (optional)	
15. Total amount of programme contributions paid to the financial instrument (in EUR)	20,795,306.00
15.1. out of which amount of ESI Funds contributions (in EUR)	9,007,843.00
15.1.1. out of which ERDF (in EUR)	9,007,843.00
15.1.2. out of which Cohesion Fund (in EUR)	
15.1.3. out of which ESF (in EUR)	
15.1.4. out of which EAFRD (in EUR)	
15.1.5. out of which EMFF (in EUR)	
15.2. out of which total amount of national co-financing (in EUR)	11,787,463.00
15.2.1. out of which total amount of national public funding (in EUR)	3,070,791.00
15.2.2. out of which total amount of national private funding (in EUR)	8,716,672.00
16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR)	
17. Total amount of management costs and fees paid out of programme contributions (in EUR)	3,262,267.00
17.1. out of which base remuneration (in EUR)	3,220,784.00
17.2. out of which performance-based remuneration (in EUR)	41,483.00
18. Capitalised management costs or fees pursuant to Article 42(2) of Regulation (EU) No 1303/2013 (relevant only for final report) (in EUR)	
19. Capitalised interest rate subsidies or guarantee fee subsidies pursuant to Article 42(1)(c) of Regulation (EU) No 1303/2013 (relevant to final report only) (in EUR)	
20. Amount of programme contributions for follow-on investments in final recipients pursuant to Article 42(3) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
V. Total amount of support paid to final recipients, or to the benefit of final recipients, or committed in guarantee contracts by the financial instrument for investments in final recipients, by ESI Funds programme and priority or measure (Article 46(2)(e) of Regulation (EU) No 1303/2013)	
22. Name of financial product offered by the financial instrument	Innovation Equity
22.1. Type of financial product offered by the financial instrument	Equity
24. Total amount of programme contributions committed in this loan,	21,491,817.00

guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR)	
24.1. out of which total amount of ESI Funds contributions (in EUR)	8,980,509.00
25. Total amount of programme contributions paid to final recipients through this loan, micro-loans, equity or other products, or, in the case of guarantee, committed for loans paid to final recipients, by product (in EUR)	14,592,954.00
25.1. out of which total amount of ESI Funds contributions (in EUR)	6,097,770.00
25.1.1. out of which ERDF (in EUR)	6,097,770.00
25.1.2. out of which Cohesion Fund (in EUR)	
25.1.3. out of which ESF (in EUR)	
25.1.4. out of which EAFRD (in EUR)	
25.1.5. out of which EMFF (in EUR)	
25.2. out of which total amount of national public co-financing (in EUR)	1,198,707.00
25.3. out of which total amount of national private co-financing (in EUR)	7,296,477.00
27. Number of loan/guarantee/equity or quasi-equity/other financial product contracts signed with final recipients, by product	128
28. Number of loan/guarantee/equity or quasi-equity/other financial product investments made in final recipients, by product	128
29. Number of financial recipients supported by the financial product	106
29.1. out of which large enterprises	
29.2. out of which SMEs	106
29.2.1. out of which microenterprises	
29.3. out of which individuals/natural persons	
29.4. out of which other type of final recipients supported	
29.4.1. description of other type of final recipients supported	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.3. Total amount of other contributions, outside the ESI Funds mobilised at the level of final recipients (in EUR)	40,878,444.00
38.3.1. out of which public contributions (EUR)	6,673,630.00
38.3.2. out of which private contributions (EUR)	34,204,814.00
38.3A. Contribution under EIB financial product mobilised at the level of final recipient (only for the instruments under Article 38(1)(c)) (in EUR)	
39. Expected and achieved leverage effect, by reference to the funding agreement	
39.1. Expected leverage effect for loan/guarantee/equity or quasi-equity investment/other financial product, by reference to the funding agreement, by product	2.39
39.2. Achieved leverage effect at the end of reporting year for loan/guarantee/equity or quasi-equity investment/other financial product, by product	6.22
39.3. Investment mobilised through ESIF financial instruments for loan/guarantee/equity and quasi-equity investment, by product (Optional)	0.00
40. Value of investments and participations in equity (in EUR)	13,880,851.00
22. Name of financial product offered by the financial instrument	Innovation Loan
22.1. Type of financial product offered by the financial instrument	Loan

24. Total amount of programme contributions committed in this loan, guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR)	9,210,779.00
24.1. out of which total amount of ESI Funds contributions (in EUR)	3,848,790.00
25. Total amount of programme contributions paid to final recipients through this loan, micro-loans, equity or other products, or, in the case of guarantee, committed for loans paid to final recipients, by product (in EUR)	5,557,319.00
25.1. out of which total amount of ESI Funds contributions (in EUR)	2,322,165.00
25.1.1. out of which ERDF (in EUR)	2,322,165.00
25.1.2. out of which Cohesion Fund (in EUR)	
25.1.3. out of which ESF (in EUR)	
25.1.4. out of which EAFRD (in EUR)	
25.1.5. out of which EMFF (in EUR)	
25.2. out of which total amount of national public co-financing (in EUR)	456,494.00
25.3. out of which total amount of national private co-financing (in EUR)	2,778,660.00
27. Number of loan/guarantee/equity or quasi-equity/other financial product contracts signed with final recipients, by product	22
28. Number of loan/guarantee/equity or quasi-equity/other financial product investments made in final recipients, by product	22
29. Number of financial recipients supported by the financial product	10
29.1. out of which large enterprises	
29.2. out of which SMEs	10
29.2.1. out of which microenterprises	
29.3. out of which individuals/natural persons	
29.4. out of which other type of final recipients supported	
29.4.1. description of other type of final recipients supported	
33. Total number of disbursed loans defaulted or total number of guarantees provided and called due to the loan default	33
34. Total amount of disbursed loans defaulted (in EUR) or total amount committed for guarantees provided and called due to loan default (in EUR)	1,101,239.00
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.3. Total amount of other contributions, outside the ESI Funds mobilised at the level of final recipients (in EUR)	28,392,060.00
38.3.1. out of which public contributions (EUR)	9,306,082.00
38.3.2. out of which private contributions (EUR)	19,085,978.00
38.3A. Contribution under EIB financial product mobilised at the level of final recipient (only for the instruments under Article 38(1)(c)) (in EUR)	
39. Expected and achieved leverage effect, by reference to the funding agreement	
39.1. Expected leverage effect for loan/guarantee/equity or quasi-equity investment/other financial product, by reference to the funding agreement, by product	2.39
39.2. Achieved leverage effect at the end of reporting year for loan/guarantee/equity or quasi-equity investment/other financial product, by product	9.97

39.3. Investment mobilised through ESIF financial instruments for loan/guarantee/equity and quasi-equity investment, by product (Optional)	0.00
VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)	
32. Information whether the financial instrument was still operational at the end of the reporting year	Yes
32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up	
VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)	
35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR)	
36. Amounts repaid to the financial instrument attributable to support from ESI Funds by the end of the reporting year (in EUR)	
36.1. out of which capital repayments (in EUR)	
36.2. out of which gains, other earnings and yields (in EUR)	
37. Amounts of resources attributable to ESI Funds used in accordance with Article 44 and 43a	
37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR)	
37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR)	
37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the financial instrument resulting from negative interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in EUR)	
VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR)	30,702,596.00
38.1A. Contributions under EIB financial product committed in the funding agreement with the body implementing the financial instrument (only for the instruments under Article 38(1)(c)) (in EUR)	
38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR)	11,787,463.00
38.2.1. out of which public contributions (EUR)	3,070,791.00
38.2.2. out of which private contributions (EUR)	8,716,672.00

38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR)	
IX. Contribution of the financial instrument to the achievement of the indicators of the priority or measure concerned (Article 46(2)(j) of Regulation (EU) No 1303/2013)	
41. Output indicator (code number and name) to which the financial instrument contributes	CO01 - Productive investment: Number of enterprises receiving support
41.1. Target value of the output indicator	295.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	152.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO29 - Research, Innovation: Number of enterprises supported to introduce new to the firm products
41.1. Target value of the output indicator	80.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	24.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO05 - Productive investment: Number of new enterprises supported
41.1. Target value of the output indicator	87.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	64.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO28 - Research, Innovation: Number of enterprises supported to introduce new to the market products
41.1. Target value of the output indicator	30.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	30.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO03 - Productive investment: Number of enterprises receiving financial support other than grants
41.1. Target value of the output indicator	145.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	125.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO04 - Productive investment: Number of enterprises receiving non-financial support
41.1. Target value of the output indicator	150.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	33.00
41. Output indicator (code number and name) to which the financial instrument contributes	P13 - Number of enterprises receiving information, diagnostic and brokerage
41.1. Target value of the output indicator	510.00

41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	107.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO08 - Productive investment: Employment increase in supported enterprises
41.1. Target value of the output indicator	600.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	207.92

II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)	
5. Name of the financial instrument	North East Micro Loan Fund
6. Official address/place of business of the financial instrument (name of the country and city)	NEL Fund Managers, 4 Enterprise House, Kingsway, Team Valley, NE11 0SR
8. Type of the financial instrument	Fund of funds specific fund
8.2. Related Fund of Funds	The North East Fund PA3
8.1. Tailor-made or financial instruments complying with standard terms and conditions i.e. "off-the-shelf instruments"	Tailor-made
9. Type of products provided by financial instrument: loans, micro-loans, guarantees, equity, or quasi-equity investments, other financial product or other support combined within the financial instrument pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
9.0.1. Loans (\geq EUR 25,000)	Yes
9.0.2. Micro-loans ($<$ EUR 25,000 and provided to micro-enterprises) according to SEC/2011/1134 final	Yes
9.0.3. Guarantees	No
9.0.4. Equity	No
9.0.5. Quasi-equity	No
9.0.6. Other financial products	No
9.0.7. Other support combined with a financial instrument	No
9.1. Description of the other financial product	
9.2. Other support combined within the financial instrument: grant, interest rate subsidy, guarantee fee subsidy pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial institution	Fiduciary account
III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)	
11. Body implementing the financial instrument	
11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal	Body governed by public or private law

entity dedicated to implementing financial instruments; the European Investment Bank; the European Investment Fund; international financial institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only)	
11.1.1. Name of the body implementing the financial instrument	NEL Fund Managers
11.1.2. Official address/place of business (country and town name) of the body implementing the financial instrument	4 Enterprise House, Kingsway, Team Valley, NE11 0SR
12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure	Selection in accordance with the provisions of the public procurement directive
12.1. Description of the other procedure of selecting the body implementing the financial instrument	
13. Date of signature of the funding agreement with the body implementing the financial instrument	10-Apr-2018
IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)	
14. Total amount of programme contributions committed in the funding agreement (in EUR)	10,158,014.00
14.1. out of which ESI Funds contributions (in EUR)	4,244,599.00
14.1.1. out of which ERDF (in EUR) (optional)	4,244,599.00
14.1.2. out of which Cohesion Fund (in EUR) (optional)	
14.1.3. out of which ESF (in EUR) (optional)	
14.1.4. out of which EAFRD (in EUR) (optional)	
14.1.5. out of which EMFF (in EUR) (optional)	
15. Total amount of programme contributions paid to the financial instrument (in EUR)	6,698,641.00
15.1. out of which amount of ESI Funds contributions (in EUR)	2,702,352.00
15.1.1. out of which ERDF (in EUR)	2,702,352.00
15.1.2. out of which Cohesion Fund (in EUR)	
15.1.3. out of which ESF (in EUR)	
15.1.4. out of which EAFRD (in EUR)	
15.1.5. out of which EMFF (in EUR)	
15.2. out of which total amount of national co-financing (in EUR)	3,996,289.00
15.2.1. out of which total amount of national public funding (in EUR)	1,688,935.00
15.2.2. out of which total amount of national private funding (in EUR)	2,307,354.00
16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR)	
17. Total amount of management costs and fees paid out of programme contributions (in EUR)	1,340,379.00
17.1. out of which base remuneration (in EUR)	1,276,936.00
17.2. out of which performance-based remuneration (in EUR)	63,443.00
18. Capitalised management costs or fees pursuant to Article 42(2) of Regulation (EU) No 1303/2013 (relevant only for final report) (in EUR)	
19. Capitalised interest rate subsidies or guarantee fee subsidies pursuant	

to Article 42(1)(c) of Regulation (EU) No 1303/2013 (relevant to final report only) (in EUR)	
20. Amount of programme contributions for follow-on investments in final recipients pursuant to Article 42(3) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
V. Total amount of support paid to final recipients, or to the benefit of final recipients, or committed in guarantee contracts by the financial instrument for investments in final recipients, by ESI Funds programme and priority or measure (Article 46(2)(e) of Regulation (EU) No 1303/2013)	
22. Name of financial product offered by the financial instrument	North East Micro Loan Fund
22.1. Type of financial product offered by the financial instrument	Loan
24. Total amount of programme contributions committed in this loan, guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR)	8,126,411.00
24.1. out of which total amount of ESI Funds contributions (in EUR)	3,395,679.00
25. Total amount of programme contributions paid to final recipients through this loan, micro-loans, equity or other products, or, in the case of guarantee, committed for loans paid to final recipients, by product (in EUR)	5,812,641.00
25.1. out of which total amount of ESI Funds contributions (in EUR)	2,428,854.00
25.1.1. out of which ERDF (in EUR)	2,428,854.00
25.1.2. out of which Cohesion Fund (in EUR)	
25.1.3. out of which ESF (in EUR)	
25.1.4. out of which EAFRD (in EUR)	
25.1.5. out of which EMFF (in EUR)	
25.2. out of which total amount of national public co-financing (in EUR)	477,467.00
25.3. out of which total amount of national private co-financing (in EUR)	2,906,320.00
27. Number of loan/guarantee/equity or quasi-equity/other financial product contracts signed with final recipients, by product	94
28. Number of loan/guarantee/equity or quasi-equity/other financial product investments made in final recipients, by product	94
29. Number of financial recipients supported by the financial product	92
29.1. out of which large enterprises	
29.2. out of which SMEs	92
29.2.1. out of which microenterprises	
29.3. out of which individuals/natural persons	
29.4. out of which other type of final recipients supported	
29.4.1. description of other type of final recipients supported	
33. Total number of disbursed loans defaulted or total number of guarantees provided and called due to the loan default	17
34. Total amount of disbursed loans defaulted (in EUR) or total amount committed for guarantees provided and called due to loan default (in EUR)	491,393.00
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.3. Total amount of other contributions, outside the ESI Funds	3,383,787.00

mobilised at the level of final recipients (in EUR)	
38.3.1. out of which public contributions (EUR)	477,467.00
38.3.2. out of which private contributions (EUR)	2,906,320.00
38.3A. Contribution under EIB financial product mobilised at the level of final recipient (only for the instruments under Article 38(1)(c)) (in EUR)	
39. Expected and achieved leverage effect, by reference to the funding agreement	
39.1. Expected leverage effect for loan/guarantee/equity or quasi-equity investment/other financial product, by reference to the funding agreement, by product	2.39
39.2. Achieved leverage effect at the end of reporting year for loan/guarantee/equity or quasi-equity investment/other financial product, by product	1.75
39.3. Investment mobilised through ESIF financial instruments for loan/guarantee/equity and quasi-equity investment, by product (Optional)	8,064,450.00
22. Name of financial product offered by the financial instrument	North East Micro Loan Fund - Micro Loans
22.1. Type of financial product offered by the financial instrument	Loan
24. Total amount of programme contributions committed in this loan, guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR)	2,031,603.00
24.1. out of which total amount of ESI Funds contributions (in EUR)	848,920.00
25. Total amount of programme contributions paid to final recipients through this loan, micro-loans, equity or other products, or, in the case of guarantee, committed for loans paid to final recipients, by product (in EUR)	1,000,564.00
25.1. out of which total amount of ESI Funds contributions (in EUR)	418,093.00
25.1.1. out of which ERDF (in EUR)	418,093.00
25.1.2. out of which Cohesion Fund (in EUR)	
25.1.3. out of which ESF (in EUR)	
25.1.4. out of which EAFRD (in EUR)	
25.1.5. out of which EMFF (in EUR)	
25.2. out of which total amount of national public co-financing (in EUR)	82,189.00
25.3. out of which total amount of national private co-financing (in EUR)	500,282.00
27. Number of loan/guarantee/equity or quasi-equity/other financial product contracts signed with final recipients, by product	53
28. Number of loan/guarantee/equity or quasi-equity/other financial product investments made in final recipients, by product	53
29. Number of financial recipients supported by the financial product	47
29.1. out of which large enterprises	
29.2. out of which SMEs	47
29.2.1. out of which microenterprises	
29.3. out of which individuals/natural persons	
29.4. out of which other type of final recipients supported	
29.4.1. description of other type of final recipients supported	
33. Total number of disbursed loans defaulted or total number of guarantees provided and called due to the loan default	
34. Total amount of disbursed loans defaulted (in EUR) or total amount committed for guarantees provided and called due to loan default (in EUR)	

38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.3. Total amount of other contributions, outside the ESI Funds mobilised at the level of final recipients (in EUR)	582,471.00
38.3.1. out of which public contributions (EUR)	82,189.00
38.3.2. out of which private contributions (EUR)	500,282.00
38.3A. Contribution under EIB financial product mobilised at the level of final recipient (only for the instruments under Article 38(1)(c)) (in EUR)	
39. Expected and achieved leverage effect, by reference to the funding agreement	
39.1. Expected leverage effect for loan/guarantee/equity or quasi-equity investment/other financial product, by reference to the funding agreement, by product	2.39
39.2. Achieved leverage effect at the end of reporting year for loan/guarantee/equity or quasi-equity investment/other financial product, by product	1.75
39.3. Investment mobilised through ESIF financial instruments for loan/guarantee/equity and quasi-equity investment, by product (Optional)	1,022,874.00
VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)	
32. Information whether the financial instrument was still operational at the end of the reporting year	Yes
32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up	
VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)	
35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR)	
36. Amounts repaid to the financial instrument attributable to support from ESI Funds by the end of the reporting year (in EUR)	
36.1. out of which capital repayments (in EUR)	
36.2. out of which gains, other earnings and yields (in EUR)	
37. Amounts of resources attributable to ESI Funds used in accordance with Article 44 and 43a	
37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR)	
37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR)	
37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the financial instrument resulting from negative interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in EUR)	
VIII. Progress in achieving the expected leverage effect of investments made by the financial	

instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR)	5,913,415.00
38.1A. Contributions under EIB financial product committed in the funding agreement with the body implementing the financial instrument (only for the instruments under Article 38(1)(c)) (in EUR)	
38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR)	3,996,289.00
38.2.1. out of which public contributions (EUR)	1,688,935.00
38.2.2. out of which private contributions (EUR)	2,307,354.00
38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR)	
IX. Contribution of the financial instrument to the achievement of the indicators of the priority or measure concerned (Article 46(2)(j) of Regulation (EU) No 1303/2013)	
41. Output indicator (code number and name) to which the financial instrument contributes	CO01 - Productive investment: Number of enterprises receiving support
41.1. Target value of the output indicator	545.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	314.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO08 - Productive investment: Employment increase in supported enterprises
41.1. Target value of the output indicator	1,200.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	158.64
41. Output indicator (code number and name) to which the financial instrument contributes	CO04 - Productive investment: Number of enterprises receiving non-financial support
41.1. Target value of the output indicator	250.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	186.00
41. Output indicator (code number and name) to which the financial instrument contributes	P13 - Number of enterprises receiving information, diagnostic and brokerage
41.1. Target value of the output indicator	640.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	306.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO29 - Research, Innovation: Number of enterprises supported to introduce new to the firm products

41.1. Target value of the output indicator	10.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	17.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO03 - Productive investment: Number of enterprises receiving financial support other than grants
41.1. Target value of the output indicator	320.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	138.00

II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)	
5. Name of the financial instrument	North East Venture Fund
6. Official address/place of business of the financial instrument (name of the country and city)	Enterprise Ventures, Preston Technology Management Centre, Marsh Lane, Preston, PR1 8UQ
8. Type of the financial instrument	Fund of funds specific fund
8.2. Related Fund of Funds	The North East Fund PA3
8.1. Tailor-made or financial instruments complying with standard terms and conditions i.e. "off-the-shelf instruments"	Tailor-made
9. Type of products provided by financial instrument: loans, micro-loans, guarantees, equity, or quasi-equity investments, other financial product or other support combined within the financial instrument pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
9.0.1. Loans (\geq EUR 25,000)	Yes
9.0.2. Micro-loans ($<$ EUR 25,000 and provided to micro-enterprises) according to SEC/2011/1134 final	No
9.0.3. Guarantees	No
9.0.4. Equity	Yes
9.0.5. Quasi-equity	Yes
9.0.6. Other financial products	No
9.0.7. Other support combined with a financial instrument	No
9.1. Description of the other financial product	
9.2. Other support combined within the financial instrument: grant, interest rate subsidy, guarantee fee subsidy pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial institution	Fiduciary account
III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)	
11. Body implementing the financial instrument	

11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European Investment Bank; the European Investment Fund; international financial institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only)	Body governed by public or private law
11.1.1. Name of the body implementing the financial instrument	Enterprises Ventures
11.1.2. Official address/place of business (country and town name) of the body implementing the financial instrument	Preston Technology Management, Centre Marsh Lane, Preston, Lancashire, PR1 8UQ
12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure	Selection in accordance with the provisions of the public procurement directive
12.1. Description of the other procedure of selecting the body implementing the financial instrument	
13. Date of signature of the funding agreement with the body implementing the financial instrument	17-Apr-2018
IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)	
14. Total amount of programme contributions committed in the funding agreement (in EUR)	31,009,892.00
14.1. out of which ESI Funds contributions (in EUR)	12,957,705.00
14.1.1. out of which ERDF (in EUR) (optional)	12,957,705.00
14.1.2. out of which Cohesion Fund (in EUR) (optional)	
14.1.3. out of which ESF (in EUR) (optional)	
14.1.4. out of which EAFRD (in EUR) (optional)	
14.1.5. out of which EMFF (in EUR) (optional)	
15. Total amount of programme contributions paid to the financial instrument (in EUR)	17,702,216.00
15.1. out of which amount of ESI Funds contributions (in EUR)	7,506,537.00
15.1.1. out of which ERDF (in EUR)	7,506,537.00
15.1.2. out of which Cohesion Fund (in EUR)	
15.1.3. out of which ESF (in EUR)	
15.1.4. out of which EAFRD (in EUR)	
15.1.5. out of which EMFF (in EUR)	
15.2. out of which total amount of national co-financing (in EUR)	10,195,679.00
15.2.1. out of which total amount of national public funding (in EUR)	4,299,107.00
15.2.2. out of which total amount of national private funding (in EUR)	5,896,572.00
16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR)	
17. Total amount of management costs and fees paid out of programme contributions (in EUR)	2,577,117.00
17.1. out of which base remuneration (in EUR)	2,577,117.00
17.2. out of which performance-based remuneration (in EUR)	

18. Capitalised management costs or fees pursuant to Article 42(2) of Regulation (EU) No 1303/2013 (relevant only for final report) (in EUR)	
19. Capitalised interest rate subsidies or guarantee fee subsidies pursuant to Article 42(1)(c) of Regulation (EU) No 1303/2013 (relevant to final report only) (in EUR)	
20. Amount of programme contributions for follow-on investments in final recipients pursuant to Article 42(3) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
V. Total amount of support paid to final recipients, or to the benefit of final recipients, or committed in guarantee contracts by the financial instrument for investments in final recipients, by ESI Funds programme and priority or measure (Article 46(2)(e) of Regulation (EU) No 1303/2013)	
22. Name of financial product offered by the financial instrument	Venture Loans
22.1. Type of financial product offered by the financial instrument	Loan
24. Total amount of programme contributions committed in this loan, guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR)	9,302,968.00
24.1. out of which total amount of ESI Funds contributions (in EUR)	3,887,312.00
25. Total amount of programme contributions paid to final recipients through this loan, micro-loans, equity or other products, or, in the case of guarantee, committed for loans paid to final recipients, by product (in EUR)	7,220,663.00
25.1. out of which total amount of ESI Funds contributions (in EUR)	3,017,206.00
25.1.1. out of which ERDF (in EUR)	3,017,206.00
25.1.2. out of which Cohesion Fund (in EUR)	
25.1.3. out of which ESF (in EUR)	
25.1.4. out of which EAFRD (in EUR)	
25.1.5. out of which EMFF (in EUR)	
25.2. out of which total amount of national public co-financing (in EUR)	593,126.00
25.3. out of which total amount of national private co-financing (in EUR)	3,610,331.00
27. Number of loan/guarantee/equity or quasi-equity/other financial product contracts signed with final recipients, by product	18
28. Number of loan/guarantee/equity or quasi-equity/other financial product investments made in final recipients, by product	18
29. Number of financial recipients supported by the financial product	5
29.1. out of which large enterprises	
29.2. out of which SMEs	5
29.2.1. out of which microenterprises	
29.3. out of which individuals/natural persons	
29.4. out of which other type of final recipients supported	
29.4.1. description of other type of final recipients supported	
33. Total number of disbursed loans defaulted or total number of guarantees provided and called due to the loan default	1
34. Total amount of disbursed loans defaulted (in EUR) or total amount committed for guarantees provided and called due to loan default (in EUR)	554,548.00
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument	

(EUR)	
38.3. Total amount of other contributions, outside the ESI Funds mobilised at the level of final recipients (in EUR)	4,203,457.00
38.3.1. out of which public contributions (EUR)	593,126.00
38.3.2. out of which private contributions (EUR)	3,610,331.00
38.3A. Contribution under EIB financial product mobilised at the level of final recipient (only for the instruments under Article 38(1)(c)) (in EUR)	
39. Expected and achieved leverage effect, by reference to the funding agreement	
39.1. Expected leverage effect for loan/guarantee/equity or quasi-equity investment/other financial product, by reference to the funding agreement, by product	2.39
39.2. Achieved leverage effect at the end of reporting year for loan/guarantee/equity or quasi-equity investment/other financial product, by product	1.82
39.3. Investment mobilised through ESIF financial instruments for loan/guarantee/equity and quasi-equity investment, by product (Optional)	
22. Name of financial product offered by the financial instrument	<i>Venture Quasi-Equity</i>
22.1. Type of financial product offered by the financial instrument	Equity
24. Total amount of programme contributions committed in this loan, guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR)	0.00
24.1. out of which total amount of ESI Funds contributions (in EUR)	0.00
25. Total amount of programme contributions paid to final recipients through this loan, micro-loans, equity or other products, or, in the case of guarantee, committed for loans paid to final recipients, by product (in EUR)	
25.1. out of which total amount of ESI Funds contributions (in EUR)	
25.1.1. out of which ERDF (in EUR)	
25.1.2. out of which Cohesion Fund (in EUR)	
25.1.3. out of which ESF (in EUR)	
25.1.4. out of which EAFRD (in EUR)	
25.1.5. out of which EMFF (in EUR)	
25.2. out of which total amount of national public co-financing (in EUR)	
25.3. out of which total amount of national private co-financing (in EUR)	
27. Number of loan/guarantee/equity or quasi-equity/other financial product contracts signed with final recipients, by product	
28. Number of loan/guarantee/equity or quasi-equity/other financial product investments made in final recipients, by product	
29. Number of financial recipients supported by the financial product	
29.1. out of which large enterprises	
29.2. out of which SMEs	
29.2.1. out of which microenterprises	
29.3. out of which individuals/natural persons	
29.4. out of which other type of final recipients supported	
29.4.1. description of other type of final recipients supported	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.3. Total amount of other contributions, outside the ESI Funds mobilised at the level of final recipients (in EUR)	

38.3.1. out of which public contributions (EUR)	
38.3.2. out of which private contributions (EUR)	
38.3A. Contribution under EIB financial product mobilised at the level of final recipient (only for the instruments under Article 38(1)(c)) (in EUR)	
39. Expected and achieved leverage effect, by reference to the funding agreement	
39.1. Expected leverage effect for loan/guarantee/equity or quasi-equity investment/other financial product, by reference to the funding agreement, by product	2.39
39.2. Achieved leverage effect at the end of reporting year for loan/guarantee/equity or quasi-equity investment/other financial product, by product	
39.3. Investment mobilised through ESIF financial instruments for loan/guarantee/equity and quasi-equity investment, by product (Optional)	0.00
40. Value of investments and participations in equity (in EUR)	
22. Name of financial product offered by the financial instrument	Venture Equity
22.1. Type of financial product offered by the financial instrument	Equity
24. Total amount of programme contributions committed in this loan, guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR)	21,706,924.00
24.1. out of which total amount of ESI Funds contributions (in EUR)	9,070,393.00
25. Total amount of programme contributions paid to final recipients through this loan, micro-loans, equity or other products, or, in the case of guarantee, committed for loans paid to final recipients, by product (in EUR)	11,892,263.00
25.1. out of which total amount of ESI Funds contributions (in EUR)	4,969,267.00
25.1.1. out of which ERDF (in EUR)	4,969,267.00
25.1.2. out of which Cohesion Fund (in EUR)	
25.1.3. out of which ESF (in EUR)	
25.1.4. out of which EAFRD (in EUR)	
25.1.5. out of which EMFF (in EUR)	
25.2. out of which total amount of national public co-financing (in EUR)	976,864.00
25.3. out of which total amount of national private co-financing (in EUR)	5,946,132.00
27. Number of loan/guarantee/equity or quasi-equity/other financial product contracts signed with final recipients, by product	30
28. Number of loan/guarantee/equity or quasi-equity/other financial product investments made in final recipients, by product	30
29. Number of financial recipients supported by the financial product	22
29.1. out of which large enterprises	
29.2. out of which SMEs	22
29.2.1. out of which microenterprises	
29.3. out of which individuals/natural persons	
29.4. out of which other type of final recipients supported	
29.4.1. description of other type of final recipients supported	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.3. Total amount of other contributions, outside the ESI Funds mobilised at the level of final recipients (in EUR)	29,299,866.00
38.3.1. out of which public contributions (EUR)	1,315,464.00
38.3.2. out of which private contributions (EUR)	27,984,402.00

38.3A. Contribution under EIB financial product mobilised at the level of final recipient (only for the instruments under Article 38(1)(c)) (in EUR)	
39. Expected and achieved leverage effect, by reference to the funding agreement	
39.1. Expected leverage effect for loan/guarantee/equity or quasi-equity investment/other financial product, by reference to the funding agreement, by product	2.39
39.2. Achieved leverage effect at the end of reporting year for loan/guarantee/equity or quasi-equity investment/other financial product, by product	5.57
39.3. Investment mobilised through ESIF financial instruments for loan/guarantee/equity and quasi-equity investment, by product (Optional)	0.00
40. Value of investments and participations in equity (in EUR)	14,883,336.00
VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)	
32. Information whether the financial instrument was still operational at the end of the reporting year	Yes
32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up	
VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)	
35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR)	
36. Amounts repaid to the financial instrument attributable to support from ESI Funds by the end of the reporting year (in EUR)	
36.1. out of which capital repayments (in EUR)	
36.2. out of which gains, other earnings and yields (in EUR)	
37. Amounts of resources attributable to ESI Funds used in accordance with Article 44 and 43a	
37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR)	
37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR)	
37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the financial instrument resulting from negative interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in EUR)	
VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.1. Total amount of other contributions, outside the ESI Funds	31,009,892.00

committed in the funding agreement with the body implementing the financial instrument (in EUR)	
38.1A. Contributions under EIB financial product committed in the funding agreement with the body implementing the financial instrument (only for the instruments under Article 38(1)(c)) (in EUR)	
38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR)	10,195,679.00
38.2.1. out of which public contributions (EUR)	4,299,107.00
38.2.2. out of which private contributions (EUR)	5,896,572.00
38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR)	
IX. Contribution of the financial instrument to the achievement of the indicators of the priority or measure concerned (Article 46(2)(j) of Regulation (EU) No 1303/2013)	
41. Output indicator (code number and name) to which the financial instrument contributes	CO03 - Productive investment: Number of enterprises receiving financial support other than grants
41.1. Target value of the output indicator	60.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	24.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO29 - Research, Innovation: Number of enterprises supported to introduce new to the firm products
41.1. Target value of the output indicator	30.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	8.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO08 - Productive investment: Employment increase in supported enterprises
41.1. Target value of the output indicator	450.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	92.49
41. Output indicator (code number and name) to which the financial instrument contributes	CO05 - Productive investment: Number of new enterprises supported
41.1. Target value of the output indicator	19.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	18.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO01 - Productive investment: Number of enterprises receiving support
41.1. Target value of the output indicator	105.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	62.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO28 - Research,

<i>instrument contributes</i>	<i>Innovation: Number of enterprises supported to introduce new to the market products</i>
41.1. Target value of the output indicator	20.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	1.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO04 - Productive investment: Number of enterprises receiving non-financial support
41.1. Target value of the output indicator	70.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	55.00
41. Output indicator (code number and name) to which the financial instrument contributes	P13 - Number of enterprises receiving information, diagnostic and brokerage
41.1. Target value of the output indicator	220.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	91.00

I. Identification of the programme and priority or measure from which support from the ESI Funds is provided (Article 46(2)(a) of Regulation (EU) No 1303/2013)	
1. Priority axes or measures supporting the financial instrument, including fund of funds, under the ESI Fund programme.	
1.1. Priority axis supporting the financial instrument under the ESI Fund programme	4 - Supporting the Shift Towards a Low Carbon Economy in all Sectors
2. Name of ESI Fund(s) supporting the financial instrument under the priority axis or measure	ERDF
3. Thematic objective(s) referred to in the first paragraph of Article 9 of Regulation (EU) No 1303/2013 supported by the financial instrument	04 - Supporting the shift towards a low-carbon economy in all sectors
3.1. Amount of ESI Funds committed in funding agreements from the individual thematic objective chosen in field 3 (optional)	5,128,780.00
4. other ESI Fund programmes providing contribution to the financial instrument	
4.1. CCI number of each other ESI Fund programme providing contributions to the financial instrument	
30. Date of completion of the ex ante assessment	15-Nov-2016
31. Selection of bodies implementing financial instrument	
31.1. Has selection or designation process already been launched	Yes
II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)	
5. Name of the financial instrument	The North East Fund PA4
6. Official address/place of business of the financial instrument (name of the country and city)	West One, Forth Banks, Newcastle upon Tyne, NE1 3PA
7. Implementation arrangements	

7.1. Financial instruments set up at Union level, managed directly or indirectly by the Commission referred to in Article 38(1)(a) of Regulation (EU) No 1303/2013, supported from ESI Fund programme contributions	
7.1.1. Name of the Union-level financial instrument	
7.2. Financial instrument set up at national, regional, transnational or cross-border level, managed by or under the responsibility of the managing authority referred to in Article 38(1)(b), supported from ESI Fund programme contributions under point (a), (b), (c) and d) of Article 38(4) of Regulation (EU) No 1303/2013	Entrustment of implementation tasks, through the direct award of a contract
7.3. Financial instrument combining financial contribution from MA with EIB financial products under European Fund for Strategic Investment in accordance with Article 39a, referred to in Article 38(1)(c)	
8. Type of the financial instrument	Fund of funds
10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial institution	Fiduciary account
III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)	
11. Body implementing the financial instrument	
11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European Investment Bank; the European Investment Fund; international financial institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only)	Body governed by public or private law
11.1.1. Name of the body implementing the financial instrument	The North East Fund Limited
11.1.2. Official address/place of business (country and town name) of the body implementing the financial instrument	West One, Forth Banks, Newcastle upon Tyne, NE1 3PA
12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure	Others

12.1. Description of the other procedure of selecting the body implementing the financial instrument	Entrustment of a publicly owned company, via inter-administrative co-operation between the managing authority and local authorities in the North East Local Enterprise Partnership area, in accordance with Article 38 4(b) (iii) of the CPR, and s3.6.2 of Commission Notice 2016/C 276/01
13. Date of signature of the funding agreement with the body implementing the financial instrument	15-Nov-2016
IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)	
14. Total amount of programme contributions committed in the funding agreement (in EUR)	11,076,020.00
14.1. out of which ESI Funds contributions (in EUR)	5,128,780.00
14.1.1. out of which ERDF (in EUR) (optional)	5,128,780.00
14.1.2. out of which Cohesion Fund (in EUR) (optional)	
14.1.3. out of which ESF (in EUR) (optional)	
14.1.4. out of which EAFRD (in EUR) (optional)	
14.1.5. out of which EMFF (in EUR) (optional)	
15. Total amount of programme contributions paid to the financial instrument (in EUR)	6,637,130.00
15.1. out of which amount of ESI Funds contributions (in EUR)	2,803,524.00
15.1.1. out of which ERDF (in EUR)	2,803,524.00
15.1.2. out of which Cohesion Fund (in EUR)	
15.1.3. out of which ESF (in EUR)	
15.1.4. out of which EAFRD (in EUR)	
15.1.5. out of which EMFF (in EUR)	
15.2. out of which total amount of national co-financing (in EUR)	3,833,606.00
15.2.1. out of which total amount of national public funding (in EUR)	1,437,602.00
15.2.2. out of which total amount of national private funding (in EUR)	2,396,004.00
16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR)	
17. Total amount of management costs and fees paid out of programme contributions (in EUR)	0.00
17.1. out of which base remuneration (in EUR)	
17.2. out of which performance-based remuneration (in EUR)	
21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds)	

(Article 46(2)(f) of Regulation (EU) No 1303/2013)	
32. Information whether the financial instrument was still operational at the end of the reporting year	Yes
32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up	
VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)	
35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR)	40,962.00
37. Amounts of resources attributable to ESI Funds used in accordance with Article 44 and 43a	
37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR)	
37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR)	
37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the financial instrument resulting from negative interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in EUR)	
VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR)	6,447,826.00
38.1A. Contributions under EIB financial product committed in the funding agreement with the body implementing the financial instrument (only for the instruments under Article 38(1)(c)) (in EUR)	
38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR)	3,833,606.00
38.2.1. out of which public contributions (EUR)	1,437,602.00
38.2.2. out of which private contributions (EUR)	2,396,004.00
38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR)	

II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)	
5. Name of the financial instrument	North East Development Fund
6. Official address/place of business of the financial instrument (name of the country and city)	Maven Capital Partners, 5th Floor, 1-2 Royal Exchange Buildings, London, England, EC3V 3LF
8. Type of the financial instrument	Fund of funds specific fund
8.2. Related Fund of Funds	The North East Fund PA4
8.1. Tailor-made or financial instruments complying with standard terms and conditions i.e. "off-the-shelf instruments"	Tailor-made
9. Type of products provided by financial instrument: loans, micro-loans, guarantees, equity, or quasi-equity investments, other financial product or other support combined within the financial instrument pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
9.0.1. Loans (\geq EUR 25,000)	Yes
9.0.2. Micro-loans ($<$ EUR 25,000 and provided to micro-enterprises) according to SEC/2011/1134 final	No
9.0.3. Guarantees	No
9.0.4. Equity	Yes
9.0.5. Quasi-equity	Yes
9.0.6. Other financial products	No
9.0.7. Other support combined with a financial instrument	No
9.1. Description of the other financial product	
9.2. Other support combined within the financial instrument: grant, interest rate subsidy, guarantee fee subsidy pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial institution	Fiduciary account
III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)	
11. Body implementing the financial instrument	
11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European Investment Bank; the European Investment Fund; international financial institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only)	Body governed by public or private law
11.1.1. Name of the body implementing the financial instrument	Maven Capital Partners
11.1.2. Official address/place of business (country and town name) of the	5th Floor, 1-2 Royal

body implementing the financial instrument	Exchange Buildings, London, England, EC3V 3LF
12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure	Selection in accordance with the provisions of the public procurement directive
12.1. Description of the other procedure of selecting the body implementing the financial instrument	
13. Date of signature of the funding agreement with the body implementing the financial instrument	13-Apr-2018
IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)	
14. Total amount of programme contributions committed in the funding agreement (in EUR)	2,770,090.00
14.1. out of which ESI Funds contributions (in EUR)	1,157,502.00
14.1.1. out of which ERDF (in EUR) (optional)	1,157,502.00
14.1.2. out of which Cohesion Fund (in EUR) (optional)	
14.1.3. out of which ESF (in EUR) (optional)	
14.1.4. out of which EAFRD (in EUR) (optional)	
14.1.5. out of which EMFF (in EUR) (optional)	
15. Total amount of programme contributions paid to the financial instrument (in EUR)	1,924,768.00
15.1. out of which amount of ESI Funds contributions (in EUR)	813,022.00
15.1.1. out of which ERDF (in EUR)	813,022.00
15.1.2. out of which Cohesion Fund (in EUR)	
15.1.3. out of which ESF (in EUR)	
15.1.4. out of which EAFRD (in EUR)	
15.1.5. out of which EMFF (in EUR)	
15.2. out of which total amount of national co-financing (in EUR)	1,111,746.00
15.2.1. out of which total amount of national public funding (in EUR)	416,905.00
15.2.2. out of which total amount of national private funding (in EUR)	694,841.00
16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR)	
17. Total amount of management costs and fees paid out of programme contributions (in EUR)	0.00
17.1. out of which base remuneration (in EUR)	
17.2. out of which performance-based remuneration (in EUR)	
18. Capitalised management costs or fees pursuant to Article 42(2) of Regulation (EU) No 1303/2013 (relevant only for final report) (in EUR)	
19. Capitalised interest rate subsidies or guarantee fee subsidies pursuant to Article 42(1)(c) of Regulation (EU) No 1303/2013 (relevant to final report only) (in EUR)	
20. Amount of programme contributions for follow-on investments in final recipients pursuant to Article 42(3) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	

V. Total amount of support paid to final recipients, or to the benefit of final recipients, or committed in guarantee contracts by the financial instrument for investments in final recipients, by ESI Funds programme and priority or measure (Article 46(2)(e) of Regulation (EU) No 1303/2013)	
22. Name of financial product offered by the financial instrument	Development Equity - PA4
22.1. Type of financial product offered by the financial instrument	Equity
24. Total amount of programme contributions committed in this loan, guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR)	0.00
24.1. out of which total amount of ESI Funds contributions (in EUR)	0.00
25. Total amount of programme contributions paid to final recipients through this loan, micro-loans, equity or other products, or, in the case of guarantee, committed for loans paid to final recipients, by product (in EUR)	
25.1. out of which total amount of ESI Funds contributions (in EUR)	
25.1.1. out of which ERDF (in EUR)	
25.1.2. out of which Cohesion Fund (in EUR)	
25.1.3. out of which ESF (in EUR)	
25.1.4. out of which EAFRD (in EUR)	
25.1.5. out of which EMFF (in EUR)	
25.2. out of which total amount of national public co-financing (in EUR)	
25.3. out of which total amount of national private co-financing (in EUR)	
27. Number of loan/guarantee/equity or quasi-equity/other financial product contracts signed with final recipients, by product	
28. Number of loan/guarantee/equity or quasi-equity/other financial product investments made in final recipients, by product	
29. Number of financial recipients supported by the financial product	
29.1. out of which large enterprises	
29.2. out of which SMEs	
29.2.1. out of which microenterprises	
29.3. out of which individuals/natural persons	
29.4. out of which other type of final recipients supported	
29.4.1. description of other type of final recipients supported	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.3. Total amount of other contributions, outside the ESI Funds mobilised at the level of final recipients (in EUR)	
38.3.1. out of which public contributions (EUR)	
38.3.2. out of which private contributions (EUR)	
38.3A. Contribution under EIB financial product mobilised at the level of final recipient (only for the instruments under Article 38(1)(c)) (in EUR)	
39. Expected and achieved leverage effect, by reference to the funding agreement	
39.1. Expected leverage effect for loan/guarantee/equity or quasi-equity investment/other financial product, by reference to the funding agreement, by product	0.00
39.2. Achieved leverage effect at the end of reporting year for loan/guarantee/equity or quasi-equity investment/other financial product, by product	
39.3. Investment mobilised through ESIF financial instruments for loan/guarantee/equity and quasi-equity investment, by product (Optional)	0.00

40. Value of investments and participations in equity (in EUR)	
22. Name of financial product offered by the financial instrument	Development Loan - PA4
22.1. Type of financial product offered by the financial instrument	Loan
24. Total amount of programme contributions committed in this loan, guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR)	2,514,360.00
24.1. out of which total amount of ESI Funds contributions (in EUR)	1,050,643.00
25. Total amount of programme contributions paid to final recipients through this loan, micro-loans, equity or other products, or, in the case of guarantee, committed for loans paid to final recipients, by product (in EUR)	2,514,360.00
25.1. out of which total amount of ESI Funds contributions (in EUR)	1,050,643.00
25.1.1. out of which ERDF (in EUR)	1,050,643.00
25.1.2. out of which Cohesion Fund (in EUR)	
25.1.3. out of which ESF (in EUR)	
25.1.4. out of which EAFRD (in EUR)	
25.1.5. out of which EMFF (in EUR)	
25.2. out of which total amount of national public co-financing (in EUR)	206,537.00
25.3. out of which total amount of national private co-financing (in EUR)	1,257,180.00
27. Number of loan/guarantee/equity or quasi-equity/other financial product contracts signed with final recipients, by product	3
28. Number of loan/guarantee/equity or quasi-equity/other financial product investments made in final recipients, by product	3
29. Number of financial recipients supported by the financial product	2
29.1. out of which large enterprises	
29.2. out of which SMEs	2
29.2.1. out of which microenterprises	
29.3. out of which individuals/natural persons	
29.4. out of which other type of final recipients supported	
29.4.1. description of other type of final recipients supported	
33. Total number of disbursed loans defaulted or total number of guarantees provided and called due to the loan default	
34. Total amount of disbursed loans defaulted (in EUR) or total amount committed for guarantees provided and called due to loan default (in EUR)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.3. Total amount of other contributions, outside the ESI Funds mobilised at the level of final recipients (in EUR)	21,979,829.00
38.3.1. out of which public contributions (EUR)	206,537.00
38.3.2. out of which private contributions (EUR)	21,773,292.00
38.3A. Contribution under EIB financial product mobilised at the level of final recipient (only for the instruments under Article 38(1)(c)) (in EUR)	
39. Expected and achieved leverage effect, by reference to the funding agreement	
39.1. Expected leverage effect for loan/guarantee/equity or quasi-equity investment/other financial product, by reference to the funding agreement, by product	2.39
39.2. Achieved leverage effect at the end of reporting year for loan/guarantee/equity or quasi-equity investment/other financial product, by product	21.92

39.3. Investment mobilised through ESIF financial instruments for loan/guarantee/equity and quasi-equity investment, by product (Optional)	
22. Name of financial product offered by the financial instrument	Development Quasi Equity - PA4
22.1. Type of financial product offered by the financial instrument	Equity
24. Total amount of programme contributions committed in this loan, guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR)	0.00
24.1. out of which total amount of ESI Funds contributions (in EUR)	0.00
25. Total amount of programme contributions paid to final recipients through this loan, micro-loans, equity or other products, or, in the case of guarantee, committed for loans paid to final recipients, by product (in EUR)	
25.1. out of which total amount of ESI Funds contributions (in EUR)	
25.1.1. out of which ERDF (in EUR)	
25.1.2. out of which Cohesion Fund (in EUR)	
25.1.3. out of which ESF (in EUR)	
25.1.4. out of which EAFRD (in EUR)	
25.1.5. out of which EMFF (in EUR)	
25.2. out of which total amount of national public co-financing (in EUR)	
25.3. out of which total amount of national private co-financing (in EUR)	
27. Number of loan/guarantee/equity or quasi-equity/other financial product contracts signed with final recipients, by product	
28. Number of loan/guarantee/equity or quasi-equity/other financial product investments made in final recipients, by product	
29. Number of financial recipients supported by the financial product	
29.1. out of which large enterprises	
29.2. out of which SMEs	
29.2.1. out of which microenterprises	
29.3. out of which individuals/natural persons	
29.4. out of which other type of final recipients supported	
29.4.1. description of other type of final recipients supported	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.3. Total amount of other contributions, outside the ESI Funds mobilised at the level of final recipients (in EUR)	
38.3.1. out of which public contributions (EUR)	
38.3.2. out of which private contributions (EUR)	
38.3A. Contribution under EIB financial product mobilised at the level of final recipient (only for the instruments under Article 38(1)(c)) (in EUR)	
39. Expected and achieved leverage effect, by reference to the funding agreement	
39.1. Expected leverage effect for loan/guarantee/equity or quasi-equity investment/other financial product, by reference to the funding agreement, by product	0.00
39.2. Achieved leverage effect at the end of reporting year for loan/guarantee/equity or quasi-equity investment/other financial product, by product	
39.3. Investment mobilised through ESIF financial instruments for loan/guarantee/equity and quasi-equity investment, by product (Optional)	0.00

40. Value of investments and participations in equity (in EUR)	
VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)	
32. Information whether the financial instrument was still operational at the end of the reporting year	Yes
32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up	
VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)	
35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR)	
36. Amounts repaid to the financial instrument attributable to support from ESI Funds by the end of the reporting year (in EUR)	
36.1. out of which capital repayments (in EUR)	
36.2. out of which gains, other earnings and yields (in EUR)	
37. Amounts of resources attributable to ESI Funds used in accordance with Article 44 and 43a	
37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR)	
37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR)	
37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the financial instrument resulting from negative interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in EUR)	
VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR)	2,770,090.00
38.1A. Contributions under EIB financial product committed in the funding agreement with the body implementing the financial instrument (only for the instruments under Article 38(1)(c)) (in EUR)	
38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR)	1,111,746.00
38.2.1. out of which public contributions (EUR)	416,905.00
38.2.2. out of which private contributions (EUR)	694,841.00
38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR)	

IX. Contribution of the financial instrument to the achievement of the indicators of the priority or measure concerned (Article 46(2)(j) of Regulation (EU) No 1303/2013)	
41. Output indicator (code number and name) to which the financial instrument contributes	CO01 - Productive investment: Number of enterprises receiving support
41.1. Target value of the output indicator	7.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	2.00

II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)	
5. Name of the financial instrument	North East Growth Fund
6. Official address/place of business of the financial instrument (name of the country and city)	NEL Fund Managers, 4 Enterprise House, Kingsway, Team Valley, NE11 0SR
8. Type of the financial instrument	Fund of funds specific fund
8.2. Related Fund of Funds	The North East Fund PA4
8.1. Tailor-made or financial instruments complying with standard terms and conditions i.e. "off-the-shelf instruments"	Tailor-made
9. Type of products provided by financial instrument: loans, micro-loans, guarantees, equity, or quasi-equity investments, other financial product or other support combined within the financial instrument pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
9.0.1. Loans (\geq EUR 25,000)	Yes
9.0.2. Micro-loans ($<$ EUR 25,000 and provided to micro-enterprises) according to SEC/2011/1134 final	No
9.0.3. Guarantees	No
9.0.4. Equity	Yes
9.0.5. Quasi-equity	Yes
9.0.6. Other financial products	No
9.0.7. Other support combined with a financial instrument	No
9.1. Description of the other financial product	
9.2. Other support combined within the financial instrument: grant, interest rate subsidy, guarantee fee subsidy pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial institution	Fiduciary account
III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)	
11. Body implementing the financial instrument	

11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European Investment Bank; the European Investment Fund; international financial institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only)	Body governed by public or private law
11.1.1. Name of the body implementing the financial instrument	NEL Fund Managers
11.1.2. Official address/place of business (country and town name) of the body implementing the financial instrument	4 Enterprise House, Kingsway, Team Valley, NE11 0SR
12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure	Selection in accordance with the provisions of the public procurement directive
12.1. Description of the other procedure of selecting the body implementing the financial instrument	
13. Date of signature of the funding agreement with the body implementing the financial instrument	10-Apr-2018
IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)	
14. Total amount of programme contributions committed in the funding agreement (in EUR)	1,837,585.00
14.1. out of which ESI Funds contributions (in EUR)	767,848.00
14.1.1. out of which ERDF (in EUR) (optional)	767,848.00
14.1.2. out of which Cohesion Fund (in EUR) (optional)	
14.1.3. out of which ESF (in EUR) (optional)	
14.1.4. out of which EAFRD (in EUR) (optional)	
14.1.5. out of which EMFF (in EUR) (optional)	
15. Total amount of programme contributions paid to the financial instrument (in EUR)	1,393,797.00
15.1. out of which amount of ESI Funds contributions (in EUR)	588,740.00
15.1.1. out of which ERDF (in EUR)	588,740.00
15.1.2. out of which Cohesion Fund (in EUR)	
15.1.3. out of which ESF (in EUR)	
15.1.4. out of which EAFRD (in EUR)	
15.1.5. out of which EMFF (in EUR)	
15.2. out of which total amount of national co-financing (in EUR)	805,057.00
15.2.1. out of which total amount of national public funding (in EUR)	301,896.00
15.2.2. out of which total amount of national private funding (in EUR)	503,161.00
16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR)	
17. Total amount of management costs and fees paid out of programme contributions (in EUR)	0.00
17.1. out of which base remuneration (in EUR)	
17.2. out of which performance-based remuneration (in EUR)	
18. Capitalised management costs or fees pursuant to Article 42(2) of	

Regulation (EU) No 1303/2013 (relevant only for final report) (in EUR)	
19. Capitalised interest rate subsidies or guarantee fee subsidies pursuant to Article 42(1)(c) of Regulation (EU) No 1303/2013 (relevant to final report only) (in EUR)	
20. Amount of programme contributions for follow-on investments in final recipients pursuant to Article 42(3) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
V. Total amount of support paid to final recipients, or to the benefit of final recipients, or committed in guarantee contracts by the financial instrument for investments in final recipients, by ESI Funds programme and priority or measure (Article 46(2)(e) of Regulation (EU) No 1303/2013)	
22. Name of financial product offered by the financial instrument	Growth Quasi Equity - PA4
22.1. Type of financial product offered by the financial instrument	Equity
24. Total amount of programme contributions committed in this loan, guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR)	0.00
24.1. out of which total amount of ESI Funds contributions (in EUR)	0.00
25. Total amount of programme contributions paid to final recipients through this loan, micro-loans, equity or other products, or, in the case of guarantee, committed for loans paid to final recipients, by product (in EUR)	
25.1. out of which total amount of ESI Funds contributions (in EUR)	
25.1.1. out of which ERDF (in EUR)	
25.1.2. out of which Cohesion Fund (in EUR)	
25.1.3. out of which ESF (in EUR)	
25.1.4. out of which EAFRD (in EUR)	
25.1.5. out of which EMFF (in EUR)	
25.2. out of which total amount of national public co-financing (in EUR)	
25.3. out of which total amount of national private co-financing (in EUR)	
27. Number of loan/guarantee/equity or quasi-equity/other financial product contracts signed with final recipients, by product	
28. Number of loan/guarantee/equity or quasi-equity/other financial product investments made in final recipients, by product	
29. Number of financial recipients supported by the financial product	
29.1. out of which large enterprises	
29.2. out of which SMEs	
29.2.1. out of which microenterprises	
29.3. out of which individuals/natural persons	
29.4. out of which other type of final recipients supported	
29.4.1. description of other type of final recipients supported	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.3. Total amount of other contributions, outside the ESI Funds mobilised at the level of final recipients (in EUR)	
38.3.1. out of which public contributions (EUR)	
38.3.2. out of which private contributions (EUR)	
38.3A. Contribution under EIB financial product mobilised at the level of final	

recipient (only for the instruments under Article 38(1)(c)) (in EUR)	
39. Expected and achieved leverage effect, by reference to the funding agreement	
39.1. Expected leverage effect for loan/guarantee/equity or quasi-equity investment/other financial product, by reference to the funding agreement, by product	0.00
39.2. Achieved leverage effect at the end of reporting year for loan/guarantee/equity or quasi-equity investment/other financial product, by product	
39.3. Investment mobilised through ESIF financial instruments for loan/guarantee/equity and quasi-equity investment, by product (Optional)	0.00
40. Value of investments and participations in equity (in EUR)	
22. Name of financial product offered by the financial instrument	Growth Loan - PA4
22.1. Type of financial product offered by the financial instrument	Loan
24. Total amount of programme contributions committed in this loan, guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR)	1,796,840.00
24.1. out of which total amount of ESI Funds contributions (in EUR)	750,822.00
25. Total amount of programme contributions paid to final recipients through this loan, micro-loans, equity or other products, or, in the case of guarantee, committed for loans paid to final recipients, by product (in EUR)	1,796,840.00
25.1. out of which total amount of ESI Funds contributions (in EUR)	750,822.00
25.1.1. out of which ERDF (in EUR)	750,822.00
25.1.2. out of which Cohesion Fund (in EUR)	
25.1.3. out of which ESF (in EUR)	
25.1.4. out of which EAFRD (in EUR)	
25.1.5. out of which EMFF (in EUR)	
25.2. out of which total amount of national public co-financing (in EUR)	147,598.00
25.3. out of which total amount of national private co-financing (in EUR)	898,420.00
27. Number of loan/guarantee/equity or quasi-equity/other financial product contracts signed with final recipients, by product	5
28. Number of loan/guarantee/equity or quasi-equity/other financial product investments made in final recipients, by product	5
29. Number of financial recipients supported by the financial product	5
29.1. out of which large enterprises	
29.2. out of which SMEs	5
29.2.1. out of which microenterprises	
29.3. out of which individuals/natural persons	
29.4. out of which other type of final recipients supported	
29.4.1. description of other type of final recipients supported	
33. Total number of disbursed loans defaulted or total number of guarantees provided and called due to the loan default	1
34. Total amount of disbursed loans defaulted (in EUR) or total amount committed for guarantees provided and called due to loan default (in EUR)	354,222.00
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.3. Total amount of other contributions, outside the ESI Funds mobilised at the level of final recipients (in EUR)	1,046,018.00
38.3.1. out of which public contributions (EUR)	147,598.00
38.3.2. out of which private contributions (EUR)	898,420.00

38.3A. Contribution under EIB financial product mobilised at the level of final recipient (only for the instruments under Article 38(1)(c)) (in EUR)	
39. Expected and achieved leverage effect, by reference to the funding agreement	
39.1. Expected leverage effect for loan/guarantee/equity or quasi-equity investment/other financial product, by reference to the funding agreement, by product	2.39
39.2. Achieved leverage effect at the end of reporting year for loan/guarantee/equity or quasi-equity investment/other financial product, by product	2.39
39.3. Investment mobilised through ESIF financial instruments for loan/guarantee/equity and quasi-equity investment, by product (Optional)	
22. Name of financial product offered by the financial instrument	Growth Equity - PA4
22.1. Type of financial product offered by the financial instrument	Equity
24. Total amount of programme contributions committed in this loan, guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR)	0.00
24.1. out of which total amount of ESI Funds contributions (in EUR)	0.00
25. Total amount of programme contributions paid to final recipients through this loan, micro-loans, equity or other products, or, in the case of guarantee, committed for loans paid to final recipients, by product (in EUR)	
25.1. out of which total amount of ESI Funds contributions (in EUR)	
25.1.1. out of which ERDF (in EUR)	
25.1.2. out of which Cohesion Fund (in EUR)	
25.1.3. out of which ESF (in EUR)	
25.1.4. out of which EAFRD (in EUR)	
25.1.5. out of which EMFF (in EUR)	
25.2. out of which total amount of national public co-financing (in EUR)	
25.3. out of which total amount of national private co-financing (in EUR)	
27. Number of loan/guarantee/equity or quasi-equity/other financial product contracts signed with final recipients, by product	
28. Number of loan/guarantee/equity or quasi-equity/other financial product investments made in final recipients, by product	
29. Number of financial recipients supported by the financial product	
29.1. out of which large enterprises	
29.2. out of which SMEs	
29.2.1. out of which microenterprises	
29.3. out of which individuals/natural persons	
29.4. out of which other type of final recipients supported	
29.4.1. description of other type of final recipients supported	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.3. Total amount of other contributions, outside the ESI Funds mobilised at the level of final recipients (in EUR)	
38.3.1. out of which public contributions (EUR)	
38.3.2. out of which private contributions (EUR)	
38.3A. Contribution under EIB financial product mobilised at the level of final recipient (only for the instruments under Article 38(1)(c)) (in EUR)	
39. Expected and achieved leverage effect, by reference to the funding agreement	
39.1. Expected leverage effect for loan/guarantee/equity or quasi-equity	0.00

investment/other financial product, by reference to the funding agreement, by product	
39.2. Achieved leverage effect at the end of reporting year for loan/guarantee/equity or quasi-equity investment/other financial product, by product	
39.3. Investment mobilised through ESIF financial instruments for loan/guarantee/equity and quasi-equity investment, by product (Optional)	0.00
40. Value of investments and participations in equity (in EUR)	
VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)	
32. Information whether the financial instrument was still operational at the end of the reporting year	Yes
32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up	
VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)	
35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR)	
36. Amounts repaid to the financial instrument attributable to support from ESI Funds by the end of the reporting year (in EUR)	
36.1. out of which capital repayments (in EUR)	
36.2. out of which gains, other earnings and yields (in EUR)	
37. Amounts of resources attributable to ESI Funds used in accordance with Article 44 and 43a	
37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR)	
37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR)	
37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the financial instrument resulting from negative interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in EUR)	
VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR)	1,837,585.00
38.1A. Contributions under EIB financial product committed in the funding agreement with the body implementing the financial instrument (only for the	

instruments under Article 38(1)(c)) (in EUR)	
38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR)	805,057.00
38.2.1. out of which public contributions (EUR)	301,896.00
38.2.2. out of which private contributions (EUR)	503,161.00
38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR)	
IX. Contribution of the financial instrument to the achievement of the indicators of the priority or measure concerned (Article 46(2)(j) of Regulation (EU) No 1303/2013)	
41. Output indicator (code number and name) to which the financial instrument contributes	CO01 - Productive investment: Number of enterprises receiving support
41.1. Target value of the output indicator	13.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	5.00

II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)	
5. Name of the financial instrument	North East Innovation Fund
6. Official address/place of business of the financial instrument (name of the country and city)	North Star Ventures,
8. Type of the financial instrument	Fund of funds specific fund
8.2. Related Fund of Funds	The North East Fund PA4
8.1. Tailor-made or financial instruments complying with standard terms and conditions i.e. "off-the-shelf instruments"	Tailor-made
9. Type of products provided by financial instrument: loans, micro-loans, guarantees, equity, or quasi-equity investments, other financial product or other support combined within the financial instrument pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
9.0.1. Loans (\geq EUR 25,000)	Yes
9.0.2. Micro-loans ($<$ EUR 25,000 and provided to micro-enterprises) according to SEC/2011/1134 final	No
9.0.3. Guarantees	No
9.0.4. Equity	Yes
9.0.5. Quasi-equity	Yes
9.0.6. Other financial products	No
9.0.7. Other support combined with a financial instrument	No
9.1. Description of the other financial product	
9.2. Other support combined within the financial instrument: grant, interest rate subsidy, guarantee fee subsidy pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial institution	Fiduciary account

III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)	
11. Body implementing the financial instrument	
11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European Investment Bank; the European Investment Fund; international financial institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only)	Body governed by public or private law
11.1.1. Name of the body implementing the financial instrument	North Star Ventures Limited
11.1.2. Official address/place of business (country and town name) of the body implementing the financial instrument	5th Floor Maybrook House, 27-35 Grainger Street, Newcastle upon Tyne, NE1 5JE
12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure	Selection in accordance with the provisions of the public procurement directive
12.1. Description of the other procedure of selecting the body implementing the financial instrument	
13. Date of signature of the funding agreement with the body implementing the financial instrument	09-Apr-2018
IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)	
14. Total amount of programme contributions committed in the funding agreement (in EUR)	3,961,218.00
14.1. out of which ESI Funds contributions (in EUR)	1,655,223.00
14.1.1. out of which ERDF (in EUR) (optional)	1,655,223.00
14.1.2. out of which Cohesion Fund (in EUR) (optional)	
14.1.3. out of which ESF (in EUR) (optional)	
14.1.4. out of which EAFRD (in EUR) (optional)	
14.1.5. out of which EMFF (in EUR) (optional)	
15. Total amount of programme contributions paid to the financial instrument (in EUR)	3,053,080.00
15.1. out of which amount of ESI Funds contributions (in EUR)	1,289,621.00
15.1.1. out of which ERDF (in EUR)	1,289,621.00
15.1.2. out of which Cohesion Fund (in EUR)	
15.1.3. out of which ESF (in EUR)	
15.1.4. out of which EAFRD (in EUR)	
15.1.5. out of which EMFF (in EUR)	
15.2. out of which total amount of national co-financing (in EUR)	1,763,459.00
15.2.1. out of which total amount of national public funding (in EUR)	661,297.00
15.2.2. out of which total amount of national private funding (in EUR)	1,102,162.00
16. Total amount of programme contributions paid to the financial instrument	

under Youth Employment Initiative (YEI) (in EUR)	
17. Total amount of management costs and fees paid out of programme contributions (in EUR)	0.00
17.1. out of which base remuneration (in EUR)	
17.2. out of which performance-based remuneration (in EUR)	
18. Capitalised management costs or fees pursuant to Article 42(2) of Regulation (EU) No 1303/2013 (relevant only for final report) (in EUR)	
19. Capitalised interest rate subsidies or guarantee fee subsidies pursuant to Article 42(1)(c) of Regulation (EU) No 1303/2013 (relevant to final report only) (in EUR)	
20. Amount of programme contributions for follow-on investments in final recipients pursuant to Article 42(3) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
V. Total amount of support paid to final recipients, or to the benefit of final recipients, or committed in guarantee contracts by the financial instrument for investments in final recipients, by ESI Funds programme and priority or measure (Article 46(2)(e) of Regulation (EU) No 1303/2013)	
22. Name of financial product offered by the financial instrument	Innovation Loans - PA4
22.1. Type of financial product offered by the financial instrument	Loan
24. Total amount of programme contributions committed in this loan, guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR)	828,970.00
24.1. out of which total amount of ESI Funds contributions (in EUR)	346,391.00
25. Total amount of programme contributions paid to final recipients through this loan, micro-loans, equity or other products, or, in the case of guarantee, committed for loans paid to final recipients, by product (in EUR)	440,891.00
25.1. out of which total amount of ESI Funds contributions (in EUR)	184,229.00
25.1.1. out of which ERDF (in EUR)	184,229.00
25.1.2. out of which Cohesion Fund (in EUR)	
25.1.3. out of which ESF (in EUR)	
25.1.4. out of which EAFRD (in EUR)	
25.1.5. out of which EMFF (in EUR)	
25.2. out of which total amount of national public co-financing (in EUR)	36,216.00
25.3. out of which total amount of national private co-financing (in EUR)	220,446.00
27. Number of loan/guarantee/equity or quasi-equity/other financial product contracts signed with final recipients, by product	4
28. Number of loan/guarantee/equity or quasi-equity/other financial product investments made in final recipients, by product	4
29. Number of financial recipients supported by the financial product	2
29.1. out of which large enterprises	
29.2. out of which SMEs	2
29.2.1. out of which microenterprises	
29.3. out of which individuals/natural persons	
29.4. out of which other type of final recipients supported	
29.4.1. description of other type of final recipients supported	
33. Total number of disbursed loans defaulted or total number of guarantees provided and called due to the loan default	

34. Total amount of disbursed loans defaulted (in EUR) or total amount committed for guarantees provided and called due to loan default (in EUR)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.3. Total amount of other contributions, outside the ESI Funds mobilised at the level of final recipients (in EUR)	256,662.00
38.3.1. out of which public contributions (EUR)	36,216.00
38.3.2. out of which private contributions (EUR)	220,446.00
38.3A. Contribution under EIB financial product mobilised at the level of final recipient (only for the instruments under Article 38(1)(c)) (in EUR)	
39. Expected and achieved leverage effect, by reference to the funding agreement	
39.1. Expected leverage effect for loan/guarantee/equity or quasi-equity investment/other financial product, by reference to the funding agreement, by product	0.00
39.2. Achieved leverage effect at the end of reporting year for loan/guarantee/equity or quasi-equity investment/other financial product, by product	2.39
39.3. Investment mobilised through ESIF financial instruments for loan/guarantee/equity and quasi-equity investment, by product (Optional)	0.00
22. Name of financial product offered by the financial instrument	Innovation Equity - PA4
22.1. Type of financial product offered by the financial instrument	Equity
24. Total amount of programme contributions committed in this loan, guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR)	3,520,327.00
24.1. out of which total amount of ESI Funds contributions (in EUR)	1,470,994.00
25. Total amount of programme contributions paid to final recipients through this loan, micro-loans, equity or other products, or, in the case of guarantee, committed for loans paid to final recipients, by product (in EUR)	3,520,327.00
25.1. out of which total amount of ESI Funds contributions (in EUR)	1,470,994.00
25.1.1. out of which ERDF (in EUR)	1,470,994.00
25.1.2. out of which Cohesion Fund (in EUR)	
25.1.3. out of which ESF (in EUR)	
25.1.4. out of which EAFRD (in EUR)	
25.1.5. out of which EMFF (in EUR)	
25.2. out of which total amount of national public co-financing (in EUR)	289,170.00
25.3. out of which total amount of national private co-financing (in EUR)	1,760,163.00
27. Number of loan/guarantee/equity or quasi-equity/other financial product contracts signed with final recipients, by product	14
28. Number of loan/guarantee/equity or quasi-equity/other financial product investments made in final recipients, by product	14
29. Number of financial recipients supported by the financial product	8
29.1. out of which large enterprises	
29.2. out of which SMEs	8
29.2.1. out of which microenterprises	
29.3. out of which individuals/natural persons	
29.4. out of which other type of final recipients supported	
29.4.1. description of other type of final recipients supported	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	

38.3. Total amount of other contributions, outside the ESI Funds mobilised at the level of final recipients (in EUR)	2,049,333.00
38.3.1. out of which public contributions (EUR)	289,170.00
38.3.2. out of which private contributions (EUR)	1,760,163.00
38.3A. Contribution under EIB financial product mobilised at the level of final recipient (only for the instruments under Article 38(1)(c)) (in EUR)	
39. Expected and achieved leverage effect, by reference to the funding agreement	
39.1. Expected leverage effect for loan/guarantee/equity or quasi-equity investment/other financial product, by reference to the funding agreement, by product	2.39
39.2. Achieved leverage effect at the end of reporting year for loan/guarantee/equity or quasi-equity investment/other financial product, by product	2.39
39.3. Investment mobilised through ESIF financial instruments for loan/guarantee/equity and quasi-equity investment, by product (Optional)	
40. Value of investments and participations in equity (in EUR)	4,162,938.00
VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)	
32. Information whether the financial instrument was still operational at the end of the reporting year	Yes
32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up	
VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)	
35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR)	
36. Amounts repaid to the financial instrument attributable to support from ESI Funds by the end of the reporting year (in EUR)	
36.1. out of which capital repayments (in EUR)	
36.2. out of which gains, other earnings and yields (in EUR)	
37. Amounts of resources attributable to ESI Funds used in accordance with Article 44 and 43a	
37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR)	
37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR)	
37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the financial instrument resulting from negative interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in EUR)	
VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No	

1303/2013)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR)	3,961,218.00
38.1A. Contributions under EIB financial product committed in the funding agreement with the body implementing the financial instrument (only for the instruments under Article 38(1)(c)) (in EUR)	
38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR)	1,763,459.00
38.2.1. out of which public contributions (EUR)	661,297.00
38.2.2. out of which private contributions (EUR)	1,102,162.00
38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR)	
IX. Contribution of the financial instrument to the achievement of the indicators of the priority or measure concerned (Article 46(2)(j) of Regulation (EU) No 1303/2013)	
41. Output indicator (code number and name) to which the financial instrument contributes	CO01 - Productive investment: Number of enterprises receiving support
41.1. Target value of the output indicator	25.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	10.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO05 - Productive investment: Number of new enterprises supported
41.1. Target value of the output indicator	8.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	4.00

II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)	
5. Name of the financial instrument	North East Micro Loan
6. Official address/place of business of the financial instrument (name of the country and city)	NEL Fund Managers, 4 Enterprise House, Kingsway, Team Valley, NE11 0SR
8. Type of the financial instrument	Fund of funds specific fund
8.2. Related Fund of Funds	The North East Fund PA4
8.1. Tailor-made or financial instruments complying with standard terms and conditions i.e. "off-the-shelf instruments"	Tailor-made
9. Type of products provided by financial instrument: loans, micro-loans, guarantees, equity, or quasi-equity investments, other financial product or other support combined within the financial instrument pursuant to Article 37(7) of Regulation (EU) No 1303/2013	

9.0.1. Loans (\geq EUR 25,000)	Yes
9.0.2. Micro-loans ($<$ EUR 25,000 and provided to micro-enterprises) according to SEC/2011/1134 final	Yes
9.0.3. Guarantees	No
9.0.4. Equity	No
9.0.5. Quasi-equity	No
9.0.6. Other financial products	No
9.0.7. Other support combined with a financial instrument	No
9.1. Description of the other financial product	
9.2. Other support combined within the financial instrument: grant, interest rate subsidy, guarantee fee subsidy pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial institution	Fiduciary account
III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)	
11. Body implementing the financial instrument	
11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European Investment Bank; the European Investment Fund; international financial institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only)	Body governed by public or private law
11.1.1. Name of the body implementing the financial instrument	NEL Fund Managers
11.1.2. Official address/place of business (country and town name) of the body implementing the financial instrument	4 Enterprise House, Kingsway, Team Valley, NE11 0SR
12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure	Selection in accordance with the provisions of the public procurement directive
12.1. Description of the other procedure of selecting the body implementing the financial instrument	
13. Date of signature of the funding agreement with the body implementing the financial instrument	10-Apr-2018
IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)	
14. Total amount of programme contributions committed in the funding agreement (in EUR)	914,221.00
14.1. out of which ESI Funds contributions (in EUR)	382,014.00
14.1.1. out of which ERDF (in EUR) (optional)	382,014.00
14.1.2. out of which Cohesion Fund (in EUR) (optional)	

14.1.3. out of which ESF (in EUR) (optional)	
14.1.4. out of which EAFRD (in EUR) (optional)	
14.1.5. out of which EMFF (in EUR) (optional)	
15. Total amount of programme contributions paid to the financial instrument (in EUR)	265,485.00
15.1. out of which amount of ESI Funds contributions (in EUR)	112,141.00
15.1.1. out of which ERDF (in EUR)	112,141.00
15.1.2. out of which Cohesion Fund (in EUR)	
15.1.3. out of which ESF (in EUR)	
15.1.4. out of which EAFRD (in EUR)	
15.1.5. out of which EMFF (in EUR)	
15.2. out of which total amount of national co-financing (in EUR)	153,344.00
15.2.1. out of which total amount of national public funding (in EUR)	57,504.00
15.2.2. out of which total amount of national private funding (in EUR)	95,840.00
16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR)	
17. Total amount of management costs and fees paid out of programme contributions (in EUR)	0.00
17.1. out of which base remuneration (in EUR)	
17.2. out of which performance-based remuneration (in EUR)	
18. Capitalised management costs or fees pursuant to Article 42(2) of Regulation (EU) No 1303/2013 (relevant only for final report) (in EUR)	
19. Capitalised interest rate subsidies or guarantee fee subsidies pursuant to Article 42(1)(c) of Regulation (EU) No 1303/2013 (relevant to final report only) (in EUR)	
20. Amount of programme contributions for follow-on investments in final recipients pursuant to Article 42(3) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
V. Total amount of support paid to final recipients, or to the benefit of final recipients, or committed in guarantee contracts by the financial instrument for investments in final recipients, by ESI Funds programme and priority or measure (Article 46(2)(e) of Regulation (EU) No 1303/2013)	
22. Name of financial product offered by the financial instrument	Micro Loans - Loans PA4
22.1. Type of financial product offered by the financial instrument	Loan
24. Total amount of programme contributions committed in this loan, guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR)	868,510.00
24.1. out of which total amount of ESI Funds contributions (in EUR)	362,913.00
25. Total amount of programme contributions paid to final recipients through this loan, micro-loans, equity or other products, or, in the case of guarantee, committed for loans paid to final recipients, by product (in EUR)	389,391.00
25.1. out of which total amount of ESI Funds contributions (in EUR)	162,710.00
25.1.1. out of which ERDF (in EUR)	162,710.00
25.1.2. out of which Cohesion Fund (in EUR)	
25.1.3. out of which ESF (in EUR)	
25.1.4. out of which EAFRD (in EUR)	

25.1.5. out of which EMFF (in EUR)	
25.2. out of which total amount of national public co-financing (in EUR)	31,986.00
25.3. out of which total amount of national private co-financing (in EUR)	194,695.00
27. Number of loan/guarantee/equity or quasi-equity/other financial product contracts signed with final recipients, by product	4
28. Number of loan/guarantee/equity or quasi-equity/other financial product investments made in final recipients, by product	4
29. Number of financial recipients supported by the financial product	4
29.1. out of which large enterprises	
29.2. out of which SMEs	4
29.2.1. out of which microenterprises	
29.3. out of which individuals/natural persons	
29.4. out of which other type of final recipients supported	
29.4.1. description of other type of final recipients supported	
33. Total number of disbursed loans defaulted or total number of guarantees provided and called due to the loan default	
34. Total amount of disbursed loans defaulted (in EUR) or total amount committed for guarantees provided and called due to loan default (in EUR)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.3. Total amount of other contributions, outside the ESI Funds mobilised at the level of final recipients (in EUR)	226,681.00
38.3.1. out of which public contributions (EUR)	31,986.00
38.3.2. out of which private contributions (EUR)	194,695.00
38.3A. Contribution under EIB financial product mobilised at the level of final recipient (only for the instruments under Article 38(1)(c)) (in EUR)	0.00
39. Expected and achieved leverage effect, by reference to the funding agreement	
39.1. Expected leverage effect for loan/guarantee/equity or quasi-equity investment/other financial product, by reference to the funding agreement, by product	2.40
39.2. Achieved leverage effect at the end of reporting year for loan/guarantee/equity or quasi-equity investment/other financial product, by product	2.39
39.3. Investment mobilised through ESIF financial instruments for loan/guarantee/equity and quasi-equity investment, by product (Optional)	
22. Name of financial product offered by the financial instrument	Micro Loans - Micro Loans - PA4
22.1. Type of financial product offered by the financial instrument	Loan
24. Total amount of programme contributions committed in this loan, guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR)	45,711.00
24.1. out of which total amount of ESI Funds contributions (in EUR)	19,101.00
25. Total amount of programme contributions paid to final recipients through this loan, micro-loans, equity or other products, or, in the case of guarantee, committed for loans paid to final recipients, by product (in EUR)	0.00
25.1. out of which total amount of ESI Funds contributions (in EUR)	0.00
25.1.1. out of which ERDF (in EUR)	0.00
25.1.2. out of which Cohesion Fund (in EUR)	
25.1.3. out of which ESF (in EUR)	

25.1.4. out of which EAFRD (in EUR)	
25.1.5. out of which EMFF (in EUR)	
25.2. out of which total amount of national public co-financing (in EUR)	0.00
25.3. out of which total amount of national private co-financing (in EUR)	0.00
27. Number of loan/guarantee/equity or quasi-equity/other financial product contracts signed with final recipients, by product	0
28. Number of loan/guarantee/equity or quasi-equity/other financial product investments made in final recipients, by product	0
29. Number of financial recipients supported by the financial product	0
29.1. out of which large enterprises	
29.2. out of which SMEs	0
29.2.1. out of which microenterprises	
29.3. out of which individuals/natural persons	
29.4. out of which other type of final recipients supported	
29.4.1. description of other type of final recipients supported	
33. Total number of disbursed loans defaulted or total number of guarantees provided and called due to the loan default	
34. Total amount of disbursed loans defaulted (in EUR) or total amount committed for guarantees provided and called due to loan default (in EUR)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.3. Total amount of other contributions, outside the ESI Funds mobilised at the level of final recipients (in EUR)	0.00
38.3.1. out of which public contributions (EUR)	0.00
38.3.2. out of which private contributions (EUR)	0.00
38.3A. Contribution under EIB financial product mobilised at the level of final recipient (only for the instruments under Article 38(1)(c)) (in EUR)	
39. Expected and achieved leverage effect, by reference to the funding agreement	
39.1. Expected leverage effect for loan/guarantee/equity or quasi-equity investment/other financial product, by reference to the funding agreement, by product	3.79
39.2. Achieved leverage effect at the end of reporting year for loan/guarantee/equity or quasi-equity investment/other financial product, by product	
39.3. Investment mobilised through ESIF financial instruments for loan/guarantee/equity and quasi-equity investment, by product (Optional)	0.00
VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)	
32. Information whether the financial instrument was still operational at the end of the reporting year	Yes
32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up	
VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)	
35. Interest and other gains generated by payments from ESI Funds to the	

financial instrument (in EUR)	
36. Amounts repaid to the financial instrument attributable to support from ESI Funds by the end of the reporting year (in EUR)	
36.1. out of which capital repayments (in EUR)	
36.2. out of which gains, other earnings and yields (in EUR)	
37. Amounts of resources attributable to ESI Funds used in accordance with Article 44 and 43a	
37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR)	
37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR)	
37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the financial instrument resulting from negative interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in EUR)	
VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR)	914,221.00
38.1A. Contributions under EIB financial product committed in the funding agreement with the body implementing the financial instrument (only for the instruments under Article 38(1)(c)) (in EUR)	
38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR)	153,344.00
38.2.1. out of which public contributions (EUR)	57,504.00
38.2.2. out of which private contributions (EUR)	95,840.00
38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR)	
IX. Contribution of the financial instrument to the achievement of the indicators of the priority or measure concerned (Article 46(2)(j) of Regulation (EU) No 1303/2013)	
41. Output indicator (code number and name) to which the financial instrument contributes	CO01 - Productive investment: Number of enterprises receiving support
41.1. Target value of the output indicator	47.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	4.00

II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)	
5. Name of the financial instrument	North East Venture Fund

6. Official address/place of business of the financial instrument (name of the country and city)	Enterprise Ventures, Preston Technology Management, Centre Marsh Lane, Preston, Lancashire, PR1 8UQ
8. Type of the financial instrument	Fund of funds specific fund
8.2. Related Fund of Funds	The North East Fund PA4
8.1. Tailor-made or financial instruments complying with standard terms and conditions i.e. "off-the-shelf instruments"	Tailor-made
9. Type of products provided by financial instrument: loans, micro-loans, guarantees, equity, or quasi-equity investments, other financial product or other support combined within the financial instrument pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
9.0.1. Loans (\geq EUR 25,000)	Yes
9.0.2. Micro-loans ($<$ EUR 25,000 and provided to micro-enterprises) according to SEC/2011/1134 final	No
9.0.3. Guarantees	No
9.0.4. Equity	Yes
9.0.5. Quasi-equity	Yes
9.0.6. Other financial products	No
9.0.7. Other support combined with a financial instrument	No
9.1. Description of the other financial product	
9.2. Other support combined within the financial instrument: grant, interest rate subsidy, guarantee fee subsidy pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial institution	Fiduciary account
III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)	
11. Body implementing the financial instrument	
11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European Investment Bank; the European Investment Fund; international financial institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only)	Body governed by public or private law
11.1.1. Name of the body implementing the financial instrument	Enterprise Ventures
11.1.2. Official address/place of business (country and town name) of the body implementing the financial instrument	Preston Technology Management, Centre Marsh Lane, Preston, Lancashire, PR1 8UQ
12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure	Selection in accordance with the provisions of the public

	procurement directive
12.1. Description of the other procedure of selecting the body implementing the financial instrument	
13. Date of signature of the funding agreement with the body implementing the financial instrument	17-Apr-2018
IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)	
14. Total amount of programme contributions committed in the funding agreement (in EUR)	2,790,890.00
14.1. out of which ESI Funds contributions (in EUR)	1,166,193.00
14.1.1. out of which ERDF (in EUR) (optional)	1,166,193.00
14.1.2. out of which Cohesion Fund (in EUR) (optional)	
14.1.3. out of which ESF (in EUR) (optional)	
14.1.4. out of which EAFRD (in EUR) (optional)	
14.1.5. out of which EMFF (in EUR) (optional)	
15. Total amount of programme contributions paid to the financial instrument (in EUR)	
15.1. out of which amount of ESI Funds contributions (in EUR)	
15.1.1. out of which ERDF (in EUR)	
15.1.2. out of which Cohesion Fund (in EUR)	
15.1.3. out of which ESF (in EUR)	
15.1.4. out of which EAFRD (in EUR)	
15.1.5. out of which EMFF (in EUR)	
15.2. out of which total amount of national co-financing (in EUR)	
15.2.1. out of which total amount of national public funding (in EUR)	
15.2.2. out of which total amount of national private funding (in EUR)	
16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR)	
17. Total amount of management costs and fees paid out of programme contributions (in EUR)	0.00
17.1. out of which base remuneration (in EUR)	
17.2. out of which performance-based remuneration (in EUR)	
18. Capitalised management costs or fees pursuant to Article 42(2) of Regulation (EU) No 1303/2013 (relevant only for final report) (in EUR)	
19. Capitalised interest rate subsidies or guarantee fee subsidies pursuant to Article 42(1)(c) of Regulation (EU) No 1303/2013 (relevant to final report only) (in EUR)	
20. Amount of programme contributions for follow-on investments in final recipients pursuant to Article 42(3) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
V. Total amount of support paid to final recipients, or to the benefit of final recipients, or committed in guarantee contracts by the financial instrument for investments in final recipients, by ESI Funds programme and priority or measure (Article 46(2)(e) of Regulation (EU) No 1303/2013)	
22. Name of financial product offered by the financial instrument	Venture Loan - PA4
22.1. Type of financial product offered by the financial instrument	Loan

24. Total amount of programme contributions committed in this loan, guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR)	0.00
24.1. out of which total amount of ESI Funds contributions (in EUR)	0.00
25. Total amount of programme contributions paid to final recipients through this loan, micro-loans, equity or other products, or, in the case of guarantee, committed for loans paid to final recipients, by product (in EUR)	
25.1. out of which total amount of ESI Funds contributions (in EUR)	
25.1.1. out of which ERDF (in EUR)	
25.1.2. out of which Cohesion Fund (in EUR)	
25.1.3. out of which ESF (in EUR)	
25.1.4. out of which EAFRD (in EUR)	
25.1.5. out of which EMFF (in EUR)	
25.2. out of which total amount of national public co-financing (in EUR)	
25.3. out of which total amount of national private co-financing (in EUR)	
27. Number of loan/guarantee/equity or quasi-equity/other financial product contracts signed with final recipients, by product	
28. Number of loan/guarantee/equity or quasi-equity/other financial product investments made in final recipients, by product	
29. Number of financial recipients supported by the financial product	
29.1. out of which large enterprises	
29.2. out of which SMEs	
29.2.1. out of which microenterprises	
29.3. out of which individuals/natural persons	
29.4. out of which other type of final recipients supported	
29.4.1. description of other type of final recipients supported	
33. Total number of disbursed loans defaulted or total number of guarantees provided and called due to the loan default	
34. Total amount of disbursed loans defaulted (in EUR) or total amount committed for guarantees provided and called due to loan default (in EUR)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.3. Total amount of other contributions, outside the ESI Funds mobilised at the level of final recipients (in EUR)	
38.3.1. out of which public contributions (EUR)	
38.3.2. out of which private contributions (EUR)	
38.3A. Contribution under EIB financial product mobilised at the level of final recipient (only for the instruments under Article 38(1)(c)) (in EUR)	
39. Expected and achieved leverage effect, by reference to the funding agreement	
39.1. Expected leverage effect for loan/guarantee/equity or quasi-equity investment/other financial product, by reference to the funding agreement, by product	0.00
39.2. Achieved leverage effect at the end of reporting year for loan/guarantee/equity or quasi-equity investment/other financial product, by product	
39.3. Investment mobilised through ESIF financial instruments for loan/guarantee/equity and quasi-equity investment, by product (Optional)	0.00

22. Name of financial product offered by the financial instrument	Venture Equity - PA4
22.1. Type of financial product offered by the financial instrument	Equity
24. Total amount of programme contributions committed in this loan, guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR)	0.00
24.1. out of which total amount of ESI Funds contributions (in EUR)	0.00
25. Total amount of programme contributions paid to final recipients through this loan, micro-loans, equity or other products, or, in the case of guarantee, committed for loans paid to final recipients, by product (in EUR)	
25.1. out of which total amount of ESI Funds contributions (in EUR)	
25.1.1. out of which ERDF (in EUR)	
25.1.2. out of which Cohesion Fund (in EUR)	
25.1.3. out of which ESF (in EUR)	
25.1.4. out of which EAFRD (in EUR)	
25.1.5. out of which EMFF (in EUR)	
25.2. out of which total amount of national public co-financing (in EUR)	
25.3. out of which total amount of national private co-financing (in EUR)	
27. Number of loan/guarantee/equity or quasi-equity/other financial product contracts signed with final recipients, by product	
28. Number of loan/guarantee/equity or quasi-equity/other financial product investments made in final recipients, by product	
29. Number of financial recipients supported by the financial product	
29.1. out of which large enterprises	
29.2. out of which SMEs	
29.2.1. out of which microenterprises	
29.3. out of which individuals/natural persons	
29.4. out of which other type of final recipients supported	
29.4.1. description of other type of final recipients supported	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.3. Total amount of other contributions, outside the ESI Funds mobilised at the level of final recipients (in EUR)	
38.3.1. out of which public contributions (EUR)	
38.3.2. out of which private contributions (EUR)	
38.3A. Contribution under EIB financial product mobilised at the level of final recipient (only for the instruments under Article 38(1)(c)) (in EUR)	
39. Expected and achieved leverage effect, by reference to the funding agreement	
39.1. Expected leverage effect for loan/guarantee/equity or quasi-equity investment/other financial product, by reference to the funding agreement, by product	0.00
39.2. Achieved leverage effect at the end of reporting year for loan/guarantee/equity or quasi-equity investment/other financial product, by product	
39.3. Investment mobilised through ESIF financial instruments for loan/guarantee/equity and quasi-equity investment, by product (Optional)	0.00
40. Value of investments and participations in equity (in EUR)	
22. Name of financial product offered by the financial instrument	Venture Quasi Equity - PA4
22.1. Type of financial product offered by the financial instrument	Equity

24. Total amount of programme contributions committed in this loan, guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR)	0.00
24.1. out of which total amount of ESI Funds contributions (in EUR)	0.00
25. Total amount of programme contributions paid to final recipients through this loan, micro-loans, equity or other products, or, in the case of guarantee, committed for loans paid to final recipients, by product (in EUR)	
25.1. out of which total amount of ESI Funds contributions (in EUR)	
25.1.1. out of which ERDF (in EUR)	
25.1.2. out of which Cohesion Fund (in EUR)	
25.1.3. out of which ESF (in EUR)	
25.1.4. out of which EAFRD (in EUR)	
25.1.5. out of which EMFF (in EUR)	
25.2. out of which total amount of national public co-financing (in EUR)	
25.3. out of which total amount of national private co-financing (in EUR)	
27. Number of loan/guarantee/equity or quasi-equity/other financial product contracts signed with final recipients, by product	
28. Number of loan/guarantee/equity or quasi-equity/other financial product investments made in final recipients, by product	
29. Number of financial recipients supported by the financial product	
29.1. out of which large enterprises	
29.2. out of which SMEs	
29.2.1. out of which microenterprises	
29.3. out of which individuals/natural persons	
29.4. out of which other type of final recipients supported	
29.4.1. description of other type of final recipients supported	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.3. Total amount of other contributions, outside the ESI Funds mobilised at the level of final recipients (in EUR)	
38.3.1. out of which public contributions (EUR)	
38.3.2. out of which private contributions (EUR)	
38.3A. Contribution under EIB financial product mobilised at the level of final recipient (only for the instruments under Article 38(1)(c)) (in EUR)	
39. Expected and achieved leverage effect, by reference to the funding agreement	
39.1. Expected leverage effect for loan/guarantee/equity or quasi-equity investment/other financial product, by reference to the funding agreement, by product	0.00
39.2. Achieved leverage effect at the end of reporting year for loan/guarantee/equity or quasi-equity investment/other financial product, by product	
39.3. Investment mobilised through ESIF financial instruments for loan/guarantee/equity and quasi-equity investment, by product (Optional)	0.00
40. Value of investments and participations in equity (in EUR)	
VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)	
32. Information whether the financial instrument was still operational at	Yes

the end of the reporting year	
32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up	
VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)	
35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR)	
36. Amounts repaid to the financial instrument attributable to support from ESI Funds by the end of the reporting year (in EUR)	
36.1. out of which capital repayments (in EUR)	
36.2. out of which gains, other earnings and yields (in EUR)	
37. Amounts of resources attributable to ESI Funds used in accordance with Article 44 and 43a	
37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR)	
37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR)	
37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the financial instrument resulting from negative interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in EUR)	
VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR)	1,624,697.00
38.1A. Contributions under EIB financial product committed in the funding agreement with the body implementing the financial instrument (only for the instruments under Article 38(1)(c)) (in EUR)	
38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR)	
38.2.1. out of which public contributions (EUR)	
38.2.2. out of which private contributions (EUR)	
38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR)	
IX. Contribution of the financial instrument to the achievement of the indicators of the priority or measure concerned (Article 46(2)(j) of Regulation (EU) No 1303/2013)	
41. Output indicator (code number and name) to which the financial instrument contributes	CO01 - Productive investment: Number of enterprises receiving support

41.1. Target value of the output indicator	5.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	0.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO05 - Productive investment: Number of new enterprises supported
41.1. Target value of the output indicator	10.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	0.00

I. Identification of the programme and priority or measure from which support from the ESI Funds is provided (Article 46(2)(a) of Regulation (EU) No 1303/2013)	
1. Priority axes or measures supporting the financial instrument, including fund of funds, under the ESI Fund programme.	
1.1. Priority axis supporting the financial instrument under the ESI Fund programme	1 - Promoting Research and Innovation
2. Name of ESI Fund(s) supporting the financial instrument under the priority axis or measure	ERDF
3. Thematic objective(s) referred to in the first paragraph of Article 9 of Regulation (EU) No 1303/2013 supported by the financial instrument	01 - Strengthening research, technological development and innovation
3.1. Amount of ESI Funds committed in funding agreements from the individual thematic objective chosen in field 3 (optional)	10,517,042.00
4. other ESI Fund programmes providing contribution to the financial instrument	
4.1. CCI number of each other ESI Fund programme providing contributions to the financial instrument	
30. Date of completion of the ex ante assessment	15-Nov-2016
31. Selection of bodies implementing financial instrument	
31.1. Has selection or designation process already been launched	Yes
II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)	
5. Name of the financial instrument	The Northern Powerhouse Investment Fund - PA1
6. Official address/place of business of the financial instrument (name of the country and city)	Steel City House, West Street, Sheffield, S1 2GQ
7. Implementation arrangements	
7.1. Financial instruments set up at Union level, managed directly or indirectly by the Commission referred to in Article 38(1)(a) of Regulation (EU) No 1303/2013, supported from ESI Fund programme contributions	
7.1.1. Name of the Union-level financial instrument	
7.2. Financial instrument set up at national, regional, transnational or cross-border level, managed by or under the responsibility of the managing authority referred to in Article 38(1)(b), supported from ESI	Entrustment of implementation tasks, through the direct award of a contract

Fund programme contributions under point (a), (b), (c) and d) of Article 38(4) of Regulation (EU) No 1303/2013	
7.3. Financial instrument combining financial contribution from MA with EIB financial products under European Fund for Strategic Investment in accordance with Article 39a, referred to in Article 38(1)(c)	
8. Type of the financial instrument	Fund of funds
10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial institution	Fiduciary account
III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)	
11. Body implementing the financial instrument	
11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European Investment Bank; the European Investment Fund; international financial institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only)	Body governed by public or private law
11.1.1. Name of the body implementing the financial instrument	Northern Powerhouse Investments Limited (NPIL)
11.1.2. Official address/place of business (country and town name) of the body implementing the financial instrument	Steel House, West Street, Sheffield, S1 2GQ
12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure	Others
12.1. Description of the other procedure of selecting the body implementing the financial instrument	Entrustment of a publicly owned company, via inter-administrative co-operation between the managing authority and The Secretary of State for Business, Energy and Industrial Strategy (BEIS) in accordance with Article 38 (1)(b) & 38 4(b) (iii) of the CPR, and s3.6.2 of Commission Notice 2016/C 276/01
13. Date of signature of the funding agreement with the body implementing the financial instrument	21-Nov-2016

IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)	
14. Total amount of programme contributions committed in the funding agreement (in EUR)	30,116,762.00
14.1. out of which ESI Funds contributions (in EUR)	10,517,042.00
14.1.1. out of which ERDF (in EUR) (optional)	
14.1.2. out of which Cohesion Fund (in EUR) (optional)	
14.1.3. out of which ESF (in EUR) (optional)	
14.1.4. out of which EAFRD (in EUR) (optional)	
14.1.5. out of which EMFF (in EUR) (optional)	
15. Total amount of programme contributions paid to the financial instrument (in EUR)	23,212,606.00
15.1. out of which amount of ESI Funds contributions (in EUR)	8,105,842.00
15.1.1. out of which ERDF (in EUR)	8,105,842.00
15.1.2. out of which Cohesion Fund (in EUR)	
15.1.3. out of which ESF (in EUR)	
15.1.4. out of which EAFRD (in EUR)	
15.1.5. out of which EMFF (in EUR)	
15.2. out of which total amount of national co-financing (in EUR)	15,106,764.00
15.2.1. out of which total amount of national public funding (in EUR)	4,503,246.00
15.2.2. out of which total amount of national private funding (in EUR)	10,603,518.00
16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR)	
17. Total amount of management costs and fees paid out of programme contributions (in EUR)	1,109,327.00
17.1. out of which base remuneration (in EUR)	
17.2. out of which performance-based remuneration (in EUR)	
21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)	
32. Information whether the financial instrument was still operational at the end of the reporting year	Yes
32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up	
VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles	

43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)	
35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR)	
37. Amounts of resources attributable to ESI Funds used in accordance with Article 44 and 43a	
37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR)	
37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR)	
37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the financial instrument resulting from negative interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in EUR)	
VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR)	19,599,989.00
38.1A. Contributions under EIB financial product committed in the funding agreement with the body implementing the financial instrument (only for the instruments under Article 38(1)(c)) (in EUR)	
38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR)	15,106,764.00
38.2.1. out of which public contributions (EUR)	4,503,246.00
38.2.2. out of which private contributions (EUR)	10,603,518.00
38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR)	

II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)	
5. Name of the financial instrument	The Northern Powerhouse - Debt Fund - Tees Valley & Cumbria - PA1

6. Official address/place of business of the financial instrument (name of the country and city)	The Plaza, 100 Old Hall street, Liverpool, L3 9QJ
8. Type of the financial instrument	Fund of funds specific fund
8.2. Related Fund of Funds	The Northern Powerhouse Investment Fund - PA1
8.1. Tailor-made or financial instruments complying with standard terms and conditions i.e. "off-the-shelf instruments"	Tailor-made
9. Type of products provided by financial instrument: loans, micro-loans, guarantees, equity, or quasi-equity investments, other financial product or other support combined within the financial instrument pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
9.0.1. Loans (\geq EUR 25,000)	Yes
9.0.2. Micro-loans ($<$ EUR 25,000 and provided to micro-enterprises) according to SEC/2011/1134 final	No
9.0.3. Guarantees	No
9.0.4. Equity	No
9.0.5. Quasi-equity	No
9.0.6. Other financial products	No
9.0.7. Other support combined with a financial instrument	No
9.1. Description of the other financial product	
9.2. Other support combined within the financial instrument: grant, interest rate subsidy, guarantee fee subsidy pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial institution	Fiduciary account
III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)	
11. Body implementing the financial instrument	
11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European Investment Bank; the European Investment Fund; international financial institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only)	Body governed by public or private law
11.1.1. Name of the body implementing the financial instrument	FW Capital
11.1.2. Official address/place of business (country and town name) of the body implementing the financial instrument	The Plaza, 100 Old Hall Street, Liverpool, L1 9QJ
12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure	Selection in accordance with the provisions of the public procurement directive
12.1. Description of the other procedure of selecting the body implementing the financial instrument	

13. Date of signature of the funding agreement with the body implementing the financial instrument	21-Nov-2016
IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)	
14. Total amount of programme contributions committed in the funding agreement (in EUR)	1,767,630.00
14.1. out of which ESI Funds contributions (in EUR)	617,526.00
14.1.1. out of which ERDF (in EUR) (optional)	
14.1.2. out of which Cohesion Fund (in EUR) (optional)	
14.1.3. out of which ESF (in EUR) (optional)	
14.1.4. out of which EAFRD (in EUR) (optional)	
14.1.5. out of which EMFF (in EUR) (optional)	
15. Total amount of programme contributions paid to the financial instrument (in EUR)	
15.1. out of which amount of ESI Funds contributions (in EUR)	
15.1.1. out of which ERDF (in EUR)	
15.1.2. out of which Cohesion Fund (in EUR)	
15.1.3. out of which ESF (in EUR)	
15.1.4. out of which EAFRD (in EUR)	
15.1.5. out of which EMFF (in EUR)	
15.2. out of which total amount of national co-financing (in EUR)	
15.2.1. out of which total amount of national public funding (in EUR)	
15.2.2. out of which total amount of national private funding (in EUR)	
16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR)	
17. Total amount of management costs and fees paid out of programme contributions (in EUR)	0.00
17.1. out of which base remuneration (in EUR)	
17.2. out of which performance-based remuneration (in EUR)	
18. Capitalised management costs or fees pursuant to Article 42(2) of Regulation (EU) No 1303/2013 (relevant only for final report) (in EUR)	
19. Capitalised interest rate subsidies or guarantee fee subsidies pursuant to Article 42(1)(c) of Regulation (EU) No 1303/2013 (relevant to final report only) (in EUR)	
20. Amount of programme contributions for follow-on investments in final recipients pursuant to Article 42(3) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
V. Total amount of support paid to final recipients, or to the benefit of final recipients, or committed in guarantee contracts by the financial instrument for investments in final recipients, by ESI Funds programme and priority or measure (Article 46(2)(e) of Regulation (EU) No 1303/2013)	
22. Name of financial product offered by the financial instrument	Debt
22.1. Type of financial product offered by the financial instrument	Loan
24. Total amount of programme contributions committed in this loan, guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR)	

24.1. out of which total amount of ESI Funds contributions (in EUR)	
25. Total amount of programme contributions paid to final recipients through this loan, micro-loans, equity or other products, or, in the case of guarantee, committed for loans paid to final recipients, by product (in EUR)	
25.1. out of which total amount of ESI Funds contributions (in EUR)	
25.1.1. out of which ERDF (in EUR)	
25.1.2. out of which Cohesion Fund (in EUR)	
25.1.3. out of which ESF (in EUR)	
25.1.4. out of which EAFRD (in EUR)	
25.1.5. out of which EMFF (in EUR)	
25.2. out of which total amount of national public co-financing (in EUR)	
25.3. out of which total amount of national private co-financing (in EUR)	
27. Number of loan/guarantee/equity or quasi-equity/other financial product contracts signed with final recipients, by product	
28. Number of loan/guarantee/equity or quasi-equity/other financial product investments made in final recipients, by product	
29. Number of financial recipients supported by the financial product	
29.1. out of which large enterprises	
29.2. out of which SMEs	
29.2.1. out of which microenterprises	
29.3. out of which individuals/natural persons	
29.4. out of which other type of final recipients supported	
29.4.1. description of other type of final recipients supported	
33. Total number of disbursed loans defaulted or total number of guarantees provided and called due to the loan default	
34. Total amount of disbursed loans defaulted (in EUR) or total amount committed for guarantees provided and called due to loan default (in EUR)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.3. Total amount of other contributions, outside the ESI Funds mobilised at the level of final recipients (in EUR)	
38.3.1. out of which public contributions (EUR)	
38.3.2. out of which private contributions (EUR)	
38.3A. Contribution under EIB financial product mobilised at the level of final recipient (only for the instruments under Article 38(1)(c)) (in EUR)	
39. Expected and achieved leverage effect, by reference to the funding agreement	
39.1. Expected leverage effect for loan/guarantee/equity or quasi-equity investment/other financial product, by reference to the funding agreement, by product	5.51
39.2. Achieved leverage effect at the end of reporting year for loan/guarantee/equity or quasi-equity investment/other financial product, by product	
39.3. Investment mobilised through ESIF financial instruments for loan/guarantee/equity and quasi-equity investment, by product (Optional)	
VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)	
32. Information whether the financial instrument was still operational at	Yes

the end of the reporting year	
32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up	
VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)	
35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR)	
36. Amounts repaid to the financial instrument attributable to support from ESI Funds by the end of the reporting year (in EUR)	
36.1. out of which capital repayments (in EUR)	
36.2. out of which gains, other earnings and yields (in EUR)	
37. Amounts of resources attributable to ESI Funds used in accordance with Article 44 and 43a	
37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR)	
37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR)	
37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the financial instrument resulting from negative interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in EUR)	
VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR)	1,150,374.00
38.1A. Contributions under EIB financial product committed in the funding agreement with the body implementing the financial instrument (only for the instruments under Article 38(1)(c)) (in EUR)	
38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR)	
38.2.1. out of which public contributions (EUR)	
38.2.2. out of which private contributions (EUR)	
38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR)	
IX. Contribution of the financial instrument to the achievement of the indicators of the priority or measure concerned (Article 46(2)(j) of Regulation (EU) No 1303/2013)	
<i>41. Output indicator (code number and name) to which the financial instrument contributes</i>	<i>CO04 - Productive investment: Number of enterprises receiving non-</i>

	<i>financial support</i>
41.1. Target value of the output indicator	13.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	0.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO01 - Productive investment: Number of enterprises receiving support
41.1. Target value of the output indicator	29.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	0.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO03 - Productive investment: Number of enterprises receiving financial support other than grants
41.1. Target value of the output indicator	16.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	0.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO29 - Research, Innovation: Number of enterprises supported to introduce new to the firm products
41.1. Target value of the output indicator	2.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	0.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO05 - Productive investment: Number of new enterprises supported
41.1. Target value of the output indicator	5.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	0.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO07 - Productive investment: Private investment matching public support to enterprises (non-grants)
41.1. Target value of the output indicator	8,369,816.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	0.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO08 - Productive investment: Employment increase in supported enterprises
41.1. Target value of the output indicator	108.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	0.00

II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)	
5. Name of the financial instrument	The Northern Powerhouse - Debt Fund - The North West Excluding Cumbria - PA1
6. Official address/place of business of the financial instrument (name of the country and city)	The Plaza, 100 Old Hall Street, Liverpool, L3 9QJ
8. Type of the financial instrument	Fund of funds specific fund
8.2. Related Fund of Funds	The Northern Powerhouse Investment Fund - PA1
8.1. Tailor-made or financial instruments complying with standard terms and conditions i.e. "off-the-shelf instruments"	Tailor-made
9. Type of products provided by financial instrument: loans, micro-loans, guarantees, equity, or quasi-equity investments, other financial product or other support combined within the financial instrument pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
9.0.1. Loans (\geq EUR 25,000)	Yes
9.0.2. Micro-loans ($<$ EUR 25,000 and provided to micro-enterprises) according to SEC/2011/1134 final	No
9.0.3. Guarantees	No
9.0.4. Equity	No
9.0.5. Quasi-equity	No
9.0.6. Other financial products	No
9.0.7. Other support combined with a financial instrument	No
9.1. Description of the other financial product	
9.2. Other support combined within the financial instrument: grant, interest rate subsidy, guarantee fee subsidy pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial institution	Fiduciary account
III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)	
11. Body implementing the financial instrument	
11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European Investment Bank; the European Investment Fund; international financial institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only)	Body governed by public or private law
11.1.1. Name of the body implementing the financial instrument	FW Capital
11.1.2. Official address/place of business (country and town name) of the	The Plaza, 100 Old Hall

body implementing the financial instrument	Street, Liverpool, L3 9QJ
12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure	Selection in accordance with the provisions of the public procurement directive
12.1. Description of the other procedure of selecting the body implementing the financial instrument	
13. Date of signature of the funding agreement with the body implementing the financial instrument	21-Nov-2016
IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)	
14. Total amount of programme contributions committed in the funding agreement (in EUR)	1,622,132.00
14.1. out of which ESI Funds contributions (in EUR)	566,448.00
14.1.1. out of which ERDF (in EUR) (optional)	
14.1.2. out of which Cohesion Fund (in EUR) (optional)	
14.1.3. out of which ESF (in EUR) (optional)	
14.1.4. out of which EAFRD (in EUR) (optional)	
14.1.5. out of which EMFF (in EUR) (optional)	
15. Total amount of programme contributions paid to the financial instrument (in EUR)	
15.1. out of which amount of ESI Funds contributions (in EUR)	
15.1.1. out of which ERDF (in EUR)	
15.1.2. out of which Cohesion Fund (in EUR)	
15.1.3. out of which ESF (in EUR)	
15.1.4. out of which EAFRD (in EUR)	
15.1.5. out of which EMFF (in EUR)	
15.2. out of which total amount of national co-financing (in EUR)	
15.2.1. out of which total amount of national public funding (in EUR)	
15.2.2. out of which total amount of national private funding (in EUR)	
16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR)	
17. Total amount of management costs and fees paid out of programme contributions (in EUR)	0.00
17.1. out of which base remuneration (in EUR)	
17.2. out of which performance-based remuneration (in EUR)	
18. Capitalised management costs or fees pursuant to Article 42(2) of Regulation (EU) No 1303/2013 (relevant only for final report) (in EUR)	
19. Capitalised interest rate subsidies or guarantee fee subsidies pursuant to Article 42(1)(c) of Regulation (EU) No 1303/2013 (relevant to final report only) (in EUR)	
20. Amount of programme contributions for follow-on investments in final recipients pursuant to Article 42(3) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
V. Total amount of support paid to final recipients, or to the benefit of final recipients, or committed in guarantee contracts by the financial instrument for investments in final recipients, by	

ESI Funds programme and priority or measure (Article 46(2)(e) of Regulation (EU) No 1303/2013)	
22. Name of financial product offered by the financial instrument	Debt Fund - PA1
22.1. Type of financial product offered by the financial instrument	Loan
24. Total amount of programme contributions committed in this loan, guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR)	
24.1. out of which total amount of ESI Funds contributions (in EUR)	
25. Total amount of programme contributions paid to final recipients through this loan, micro-loans, equity or other products, or, in the case of guarantee, committed for loans paid to final recipients, by product (in EUR)	
25.1. out of which total amount of ESI Funds contributions (in EUR)	
25.1.1. out of which ERDF (in EUR)	
25.1.2. out of which Cohesion Fund (in EUR)	
25.1.3. out of which ESF (in EUR)	
25.1.4. out of which EAFRD (in EUR)	
25.1.5. out of which EMFF (in EUR)	
25.2. out of which total amount of national public co-financing (in EUR)	
25.3. out of which total amount of national private co-financing (in EUR)	
27. Number of loan/guarantee/equity or quasi-equity/other financial product contracts signed with final recipients, by product	
28. Number of loan/guarantee/equity or quasi-equity/other financial product investments made in final recipients, by product	
29. Number of financial recipients supported by the financial product	
29.1. out of which large enterprises	
29.2. out of which SMEs	
29.2.1. out of which microenterprises	
29.3. out of which individuals/natural persons	
29.4. out of which other type of final recipients supported	
29.4.1. description of other type of final recipients supported	
33. Total number of disbursed loans defaulted or total number of guarantees provided and called due to the loan default	
34. Total amount of disbursed loans defaulted (in EUR) or total amount committed for guarantees provided and called due to loan default (in EUR)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.3. Total amount of other contributions, outside the ESI Funds mobilised at the level of final recipients (in EUR)	
38.3.1. out of which public contributions (EUR)	
38.3.2. out of which private contributions (EUR)	
38.3A. Contribution under EIB financial product mobilised at the level of final recipient (only for the instruments under Article 38(1)(c)) (in EUR)	
39. Expected and achieved leverage effect, by reference to the funding agreement	
39.1. Expected leverage effect for loan/guarantee/equity or quasi-equity investment/other financial product, by reference to the funding agreement, by product	5.57
39.2. Achieved leverage effect at the end of reporting year for loan/guarantee/equity or quasi-equity investment/other financial product, by product	

39.3. Investment mobilised through ESIF financial instruments for loan/guarantee/equity and quasi-equity investment, by product (Optional)	
VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)	
32. Information whether the financial instrument was still operational at the end of the reporting year	Yes
32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up	
VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)	
35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR)	
36. Amounts repaid to the financial instrument attributable to support from ESI Funds by the end of the reporting year (in EUR)	
36.1. out of which capital repayments (in EUR)	
36.2. out of which gains, other earnings and yields (in EUR)	
37. Amounts of resources attributable to ESI Funds used in accordance with Article 44 and 43a	
37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR)	
37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR)	
37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the financial instrument resulting from negative interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in EUR)	
VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR)	1,055,684.00
38.1A. Contributions under EIB financial product committed in the funding agreement with the body implementing the financial instrument (only for the instruments under Article 38(1)(c)) (in EUR)	
38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR)	
38.2.1. out of which public contributions (EUR)	
38.2.2. out of which private contributions (EUR)	
38.2A. Contribution under EIB financial product paid to FI (only for the	

instruments under Article 38(1)(c)) (in EUR)	
IX. Contribution of the financial instrument to the achievement of the indicators of the priority or measure concerned (Article 46(2)(j) of Regulation (EU) No 1303/2013)	
41. Output indicator (code number and name) to which the financial instrument contributes	CO08 - Productive investment: Employment increase in supported enterprises
41.1. Target value of the output indicator	108.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	0.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO29 - Research, Innovation: Number of enterprises supported to introduce new to the firm products
41.1. Target value of the output indicator	2.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	0.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO04 - Productive investment: Number of enterprises receiving non-financial support
41.1. Target value of the output indicator	13.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	0.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO03 - Productive investment: Number of enterprises receiving financial support other than grants
41.1. Target value of the output indicator	9.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	0.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO01 - Productive investment: Number of enterprises receiving support
41.1. Target value of the output indicator	29.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	0.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO07 - Productive investment: Private investment matching public support to enterprises (non-grants)
41.1. Target value of the output indicator	8,369,816.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	0.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO05 - Productive investment: Number of enterprises receiving grants

<i>instrument contributes</i>	<i>investment: Number of new enterprises supported</i>
41.1. Target value of the output indicator	5.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	0.00

II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)	
5. Name of the financial instrument	The Northern Powerhouse - Debt Fund - Yorks & Humber - PA1
6. Official address/place of business of the financial instrument (name of the country and city)	The Pinnacle Building, 73/79, King Street, Manchester, M2 4NG
8. Type of the financial instrument	Fund of funds specific fund
8.2. Related Fund of Funds	The Northern Powerhouse Investment Fund - PA1
8.1. Tailor-made or financial instruments complying with standard terms and conditions i.e. "off-the-shelf instruments"	Tailor-made
9. Type of products provided by financial instrument: loans, micro-loans, guarantees, equity, or quasi-equity investments, other financial product or other support combined within the financial instrument pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
9.0.1. Loans (\geq EUR 25,000)	Yes
9.0.2. Micro-loans ($<$ EUR 25,000 and provided to micro-enterprises) according to SEC/2011/1134 final	No
9.0.3. Guarantees	No
9.0.4. Equity	No
9.0.5. Quasi-equity	No
9.0.6. Other financial products	No
9.0.7. Other support combined with a financial instrument	No
9.1. Description of the other financial product	
9.2. Other support combined within the financial instrument: grant, interest rate subsidy, guarantee fee subsidy pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial institution	Fiduciary account
III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)	
11. Body implementing the financial instrument	
11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European Investment Bank; the European Investment Fund; international financial	Body governed by public or private law

institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only)	
11.1.1. Name of the body implementing the financial instrument	Mercia
11.1.2. Official address/place of business (country and town name) of the body implementing the financial instrument	The Pinnacle Bulding, 73/79 King Street, Manchester, M2 4NG
12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure	Selection in accordance with the provisions of the public procurement directive
12.1. Description of the other procedure of selecting the body implementing the financial instrument	
13. Date of signature of the funding agreement with the body implementing the financial instrument	21-Nov-2016
IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)	
14. Total amount of programme contributions committed in the funding agreement (in EUR)	1,767,630.00
14.1. out of which ESI Funds contributions (in EUR)	617,256.00
14.1.1. out of which ERDF (in EUR) (optional)	
14.1.2. out of which Cohesion Fund (in EUR) (optional)	
14.1.3. out of which ESF (in EUR) (optional)	
14.1.4. out of which EAFRD (in EUR) (optional)	
14.1.5. out of which EMFF (in EUR) (optional)	
15. Total amount of programme contributions paid to the financial instrument (in EUR)	282,167.00
15.1. out of which amount of ESI Funds contributions (in EUR)	98,533.00
15.1.1. out of which ERDF (in EUR)	98,533.00
15.1.2. out of which Cohesion Fund (in EUR)	
15.1.3. out of which ESF (in EUR)	
15.1.4. out of which EAFRD (in EUR)	
15.1.5. out of which EMFF (in EUR)	
15.2. out of which total amount of national co-financing (in EUR)	183,634.00
15.2.1. out of which total amount of national public funding (in EUR)	54,740.00
15.2.2. out of which total amount of national private funding (in EUR)	128,894.00
16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR)	
17. Total amount of management costs and fees paid out of programme contributions (in EUR)	17,458.00
17.1. out of which base remuneration (in EUR)	
17.2. out of which performance-based remuneration (in EUR)	
18. Capitalised management costs or fees pursuant to Article 42(2) of Regulation (EU) No 1303/2013 (relevant only for final report) (in EUR)	
19. Capitalised interest rate subsidies or guarantee fee subsidies pursuant to Article 42(1)(c) of Regulation (EU) No 1303/2013 (relevant to final report only) (in EUR)	

20. Amount of programme contributions for follow-on investments in final recipients pursuant to Article 42(3) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
V. Total amount of support paid to final recipients, or to the benefit of final recipients, or committed in guarantee contracts by the financial instrument for investments in final recipients, by ESI Funds programme and priority or measure (Article 46(2)(e) of Regulation (EU) No 1303/2013)	
22. Name of financial product offered by the financial instrument	Debt
22.1. Type of financial product offered by the financial instrument	Loan
24. Total amount of programme contributions committed in this loan, guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR)	282,167.00
24.1. out of which total amount of ESI Funds contributions (in EUR)	98,533.00
25. Total amount of programme contributions paid to final recipients through this loan, micro-loans, equity or other products, or, in the case of guarantee, committed for loans paid to final recipients, by product (in EUR)	282,167.00
25.1. out of which total amount of ESI Funds contributions (in EUR)	98,533.00
25.1.1. out of which ERDF (in EUR)	98,533.00
25.1.2. out of which Cohesion Fund (in EUR)	
25.1.3. out of which ESF (in EUR)	
25.1.4. out of which EAFRD (in EUR)	
25.1.5. out of which EMFF (in EUR)	
25.2. out of which total amount of national public co-financing (in EUR)	54,740.00
25.3. out of which total amount of national private co-financing (in EUR)	128,894.00
27. Number of loan/guarantee/equity or quasi-equity/other financial product contracts signed with final recipients, by product	1
28. Number of loan/guarantee/equity or quasi-equity/other financial product investments made in final recipients, by product	1
29. Number of financial recipients supported by the financial product	1
29.1. out of which large enterprises	
29.2. out of which SMEs	1
29.2.1. out of which microenterprises	
29.3. out of which individuals/natural persons	
29.4. out of which other type of final recipients supported	
29.4.1. description of other type of final recipients supported	
33. Total number of disbursed loans defaulted or total number of guarantees provided and called due to the loan default	
34. Total amount of disbursed loans defaulted (in EUR) or total amount committed for guarantees provided and called due to loan default (in EUR)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.3. Total amount of other contributions, outside the ESI Funds mobilised at the level of final recipients (in EUR)	183,634.00
38.3.1. out of which public contributions (EUR)	54,740.00
38.3.2. out of which private contributions (EUR)	128,894.00
38.3A. Contribution under EIB financial product mobilised at the level of	

final recipient (only for the instruments under Article 38(1)(c)) (in EUR)	
39. Expected and achieved leverage effect, by reference to the funding agreement	
39.1. Expected leverage effect for loan/guarantee/equity or quasi-equity investment/other financial product, by reference to the funding agreement, by product	5.57
39.2. Achieved leverage effect at the end of reporting year for loan/guarantee/equity or quasi-equity investment/other financial product, by product	2.58
39.3. Investment mobilised through ESIF financial instruments for loan/guarantee/equity and quasi-equity investment, by product (Optional)	
VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)	
32. Information whether the financial instrument was still operational at the end of the reporting year	Yes
32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up	
VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)	
35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR)	
36. Amounts repaid to the financial instrument attributable to support from ESI Funds by the end of the reporting year (in EUR)	
36.1. out of which capital repayments (in EUR)	
36.2. out of which gains, other earnings and yields (in EUR)	
37. Amounts of resources attributable to ESI Funds used in accordance with Article 44 and 43a	
37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR)	
37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR)	
37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the financial instrument resulting from negative interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in EUR)	
VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR)	1,801,174.00

38.1A. Contributions under EIB financial product committed in the funding agreement with the body implementing the financial instrument (only for the instruments under Article 38(1)(c)) (in EUR)	
38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR)	183,634.00
38.2.1. out of which public contributions (EUR)	54,740.00
38.2.2. out of which private contributions (EUR)	128,894.00
38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR)	
IX. Contribution of the financial instrument to the achievement of the indicators of the priority or measure concerned (Article 46(2)(j) of Regulation (EU) No 1303/2013)	
41. Output indicator (code number and name) to which the financial instrument contributes	CO04 - Productive investment: Number of enterprises receiving non-financial support
41.1. Target value of the output indicator	13.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	0.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO01 - Productive investment: Number of enterprises receiving support
41.1. Target value of the output indicator	29.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	1.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO03 - Productive investment: Number of enterprises receiving financial support other than grants
41.1. Target value of the output indicator	16.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	1.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO08 - Productive investment: Employment increase in supported enterprises
41.1. Target value of the output indicator	108.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	0.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO07 - Productive investment: Private investment matching public support to enterprises (non-grants)
41.1. Target value of the output indicator	8,369,816.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	1,100,451.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO05 - Productive

<i>instrument contributes</i>	<i>investment: Number of new enterprises supported</i>
41.1. Target value of the output indicator	5.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	0.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO29 - Research, Innovation: Number of enterprises supported to introduce new to the firm products
41.1. Target value of the output indicator	2.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	0.00

II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)	
5. Name of the financial instrument	The Northern Powerhouse - Equity Fund - YH & TV - PA1
6. Official address/place of business of the financial instrument (name of the country and city)	The Pinnacle Building 73/79 King Street, Manchester, M2 4NG
8. Type of the financial instrument	Fund of funds specific fund
8.2. Related Fund of Funds	The Northern Powerhouse Investment Fund - PA1
8.1. Tailor-made or financial instruments complying with standard terms and conditions i.e. "off-the-shelf instruments"	Tailor-made
9. Type of products provided by financial instrument: loans, micro-loans, guarantees, equity, or quasi-equity investments, other financial product or other support combined within the financial instrument pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
9.0.1. Loans (\geq EUR 25,000)	No
9.0.2. Micro-loans ($<$ EUR 25,000 and provided to micro-enterprises) according to SEC/2011/1134 final	No
9.0.3. Guarantees	No
9.0.4. Equity	Yes
9.0.5. Quasi-equity	Yes
9.0.6. Other financial products	No
9.0.7. Other support combined with a financial instrument	No
9.1. Description of the other financial product	
9.2. Other support combined within the financial instrument: grant, interest rate subsidy, guarantee fee subsidy pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial institution	Fiduciary account

III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)	
11. Body implementing the financial instrument	
11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European Investment Bank; the European Investment Fund; international financial institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only)	Body governed by public or private law
11.1.1. Name of the body implementing the financial instrument	Mercia
11.1.2. Official address/place of business (country and town name) of the body implementing the financial instrument	The Pinnacle Building 73/79 King Street, Manchester, M2 4NG
12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure	Selection in accordance with the provisions of the public procurement directive
12.1. Description of the other procedure of selecting the body implementing the financial instrument	
13. Date of signature of the funding agreement with the body implementing the financial instrument	21-Nov-2016
IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)	
14. Total amount of programme contributions committed in the funding agreement (in EUR)	16,391,674.00
14.1. out of which ESI Funds contributions (in EUR)	5,723,973.00
14.1.1. out of which ERDF (in EUR) (optional)	
14.1.2. out of which Cohesion Fund (in EUR) (optional)	
14.1.3. out of which ESF (in EUR) (optional)	
14.1.4. out of which EAFRD (in EUR) (optional)	
14.1.5. out of which EMFF (in EUR) (optional)	
15. Total amount of programme contributions paid to the financial instrument (in EUR)	15,555,968.00
15.1. out of which amount of ESI Funds contributions (in EUR)	5,432,144.00
15.1.1. out of which ERDF (in EUR)	5,432,144.00
15.1.2. out of which Cohesion Fund (in EUR)	
15.1.3. out of which ESF (in EUR)	
15.1.4. out of which EAFRD (in EUR)	
15.1.5. out of which EMFF (in EUR)	
15.2. out of which total amount of national co-financing (in EUR)	10,123,824.00
15.2.1. out of which total amount of national public funding (in EUR)	3,017,858.00
15.2.2. out of which total amount of national private funding (in EUR)	7,105,966.00
16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR)	
17. Total amount of management costs and fees paid out of programme	759,071.00

contributions (in EUR)	
17.1. out of which base remuneration (in EUR)	
17.2. out of which performance-based remuneration (in EUR)	
18. Capitalised management costs or fees pursuant to Article 42(2) of Regulation (EU) No 1303/2013 (relevant only for final report) (in EUR)	
19. Capitalised interest rate subsidies or guarantee fee subsidies pursuant to Article 42(1)(c) of Regulation (EU) No 1303/2013 (relevant to final report only) (in EUR)	
20. Amount of programme contributions for follow-on investments in final recipients pursuant to Article 42(3) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
V. Total amount of support paid to final recipients, or to the benefit of final recipients, or committed in guarantee contracts by the financial instrument for investments in final recipients, by ESI Funds programme and priority or measure (Article 46(2)(e) of Regulation (EU) No 1303/2013)	
22. Name of financial product offered by the financial instrument	Equity - PA1
22.1. Type of financial product offered by the financial instrument	Equity
24. Total amount of programme contributions committed in this loan, guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR)	15,555,968.00
24.1. out of which total amount of ESI Funds contributions (in EUR)	5,432,144.00
25. Total amount of programme contributions paid to final recipients through this loan, micro-loans, equity or other products, or, in the case of guarantee, committed for loans paid to final recipients, by product (in EUR)	15,555,968.00
25.1. out of which total amount of ESI Funds contributions (in EUR)	5,432,144.00
25.1.1. out of which ERDF (in EUR)	5,432,144.00
25.1.2. out of which Cohesion Fund (in EUR)	
25.1.3. out of which ESF (in EUR)	
25.1.4. out of which EAFRD (in EUR)	
25.1.5. out of which EMFF (in EUR)	
25.2. out of which total amount of national public co-financing (in EUR)	3,017,858.00
25.3. out of which total amount of national private co-financing (in EUR)	7,105,966.00
27. Number of loan/guarantee/equity or quasi-equity/other financial product contracts signed with final recipients, by product	31
28. Number of loan/guarantee/equity or quasi-equity/other financial product investments made in final recipients, by product	31
29. Number of financial recipients supported by the financial product	13
29.1. out of which large enterprises	
29.2. out of which SMEs	13
29.2.1. out of which microenterprises	
29.3. out of which individuals/natural persons	
29.4. out of which other type of final recipients supported	
29.4.1. description of other type of final recipients supported	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.3. Total amount of other contributions, outside the ESI Funds	10,123,824.00

mobilised at the level of final recipients (in EUR)	
38.3.1. out of which public contributions (EUR)	3,017,858.00
38.3.2. out of which private contributions (EUR)	7,105,966.00
38.3A. Contribution under EIB financial product mobilised at the level of final recipient (only for the instruments under Article 38(1)(c)) (in EUR)	
39. Expected and achieved leverage effect, by reference to the funding agreement	
39.1. Expected leverage effect for loan/guarantee/equity or quasi-equity investment/other financial product, by reference to the funding agreement, by product	4.00
39.2. Achieved leverage effect at the end of reporting year for loan/guarantee/equity or quasi-equity investment/other financial product, by product	2.61
39.3. Investment mobilised through ESIF financial instruments for loan/guarantee/equity and quasi-equity investment, by product (Optional)	
40. Value of investments and participations in equity (in EUR)	13,407,530.00
VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)	
32. Information whether the financial instrument was still operational at the end of the reporting year	Yes
32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up	
VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)	
35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR)	
36. Amounts repaid to the financial instrument attributable to support from ESI Funds by the end of the reporting year (in EUR)	
36.1. out of which capital repayments (in EUR)	
36.2. out of which gains, other earnings and yields (in EUR)	
37. Amounts of resources attributable to ESI Funds used in accordance with Article 44 and 43a	
37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR)	
37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR)	
37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the financial instrument resulting from negative interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in EUR)	
VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)	

38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR)	10,667,701.00
38.1A. Contributions under EIB financial product committed in the funding agreement with the body implementing the financial instrument (only for the instruments under Article 38(1)(c)) (in EUR)	
38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR)	10,123,824.00
38.2.1. out of which public contributions (EUR)	3,017,858.00
38.2.2. out of which private contributions (EUR)	7,105,966.00
38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR)	
IX. Contribution of the financial instrument to the achievement of the indicators of the priority or measure concerned (Article 46(2)(j) of Regulation (EU) No 1303/2013)	
41. Output indicator (code number and name) to which the financial instrument contributes	CO05 - Productive investment: Number of new enterprises supported
41.1. Target value of the output indicator	6.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	5.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO04 - Productive investment: Number of enterprises receiving non-financial support
41.1. Target value of the output indicator	14.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	0.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO29 - Research, Innovation: Number of enterprises supported to introduce new to the firm products
41.1. Target value of the output indicator	3.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	11.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO07 - Productive investment: Private investment matching public support to enterprises (non-grants)
41.1. Target value of the output indicator	9,299,794.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	17,236,445.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO03 - Productive investment: Number of enterprises receiving financial support other than

	<i>grants</i>
41.1. Target value of the output indicator	18.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	13.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO08 - Productive investment: Employment increase in supported enterprises
41.1. Target value of the output indicator	120.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	75.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO01 - Productive investment: Number of enterprises receiving support
41.1. Target value of the output indicator	33.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	13.00

II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)	
5. Name of the financial instrument	The Northern Powerhouse - Equity Fund North West - PA1
6. Official address/place of business of the financial instrument (name of the country and city)	Clarence House, Clarence Street, Manchester, M2 4DW
8. Type of the financial instrument	Fund of funds specific fund
8.2. Related Fund of Funds	The Northern Powerhouse Investment Fund - PA1
8.1. Tailor-made or financial instruments complying with standard terms and conditions i.e. "off-the-shelf instruments"	Tailor-made
9. Type of products provided by financial instrument: loans, micro-loans, guarantees, equity, or quasi-equity investments, other financial product or other support combined within the financial instrument pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
9.0.1. Loans (\geq EUR 25,000)	No
9.0.2. Micro-loans ($<$ EUR 25,000 and provided to micro-enterprises) according to SEC/2011/1134 final	No
9.0.3. Guarantees	No
9.0.4. Equity	Yes
9.0.5. Quasi-equity	Yes
9.0.6. Other financial products	No
9.0.7. Other support combined with a financial instrument	No
9.1. Description of the other financial product	
9.2. Other support combined within the financial instrument: grant, interest rate subsidy, guarantee fee subsidy pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial	Fiduciary account

instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial institution	
III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)	
11. Body implementing the financial instrument	
11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European Investment Bank; the European Investment Fund; international financial institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only)	Body governed by public or private law
11.1.1. Name of the body implementing the financial instrument	Maven
11.1.2. Official address/place of business (country and town name) of the body implementing the financial instrument	Clarence House, Clarence Street, Manchester, M2 4DW
12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure	Selection in accordance with the provisions of the public procurement directive
12.1. Description of the other procedure of selecting the body implementing the financial instrument	
13. Date of signature of the funding agreement with the body implementing the financial instrument	21-Nov-2016
IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)	
14. Total amount of programme contributions committed in the funding agreement (in EUR)	7,084,246.00
14.1. out of which ESI Funds contributions (in EUR)	2,473,819.00
14.1.1. out of which ERDF (in EUR) (optional)	
14.1.2. out of which Cohesion Fund (in EUR) (optional)	
14.1.3. out of which ESF (in EUR) (optional)	
14.1.4. out of which EAFRD (in EUR) (optional)	
14.1.5. out of which EMFF (in EUR) (optional)	
15. Total amount of programme contributions paid to the financial instrument (in EUR)	6,417,041.00
15.1. out of which amount of ESI Funds contributions (in EUR)	2,240,831.00
15.1.1. out of which ERDF (in EUR)	2,240,831.00
15.1.2. out of which Cohesion Fund (in EUR)	
15.1.3. out of which ESF (in EUR)	
15.1.4. out of which EAFRD (in EUR)	
15.1.5. out of which EMFF (in EUR)	
15.2. out of which total amount of national co-financing (in EUR)	4,176,210.00
15.2.1. out of which total amount of national public funding (in EUR)	1,244,906.00

15.2.2. out of which total amount of national private funding (in EUR)	2,931,304.00
16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR)	
17. Total amount of management costs and fees paid out of programme contributions (in EUR)	310,612.00
17.1. out of which base remuneration (in EUR)	
17.2. out of which performance-based remuneration (in EUR)	
18. Capitalised management costs or fees pursuant to Article 42(2) of Regulation (EU) No 1303/2013 (relevant only for final report) (in EUR)	
19. Capitalised interest rate subsidies or guarantee fee subsidies pursuant to Article 42(1)(c) of Regulation (EU) No 1303/2013 (relevant to final report only) (in EUR)	
20. Amount of programme contributions for follow-on investments in final recipients pursuant to Article 42(3) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
V. Total amount of support paid to final recipients, or to the benefit of final recipients, or committed in guarantee contracts by the financial instrument for investments in final recipients, by ESI Funds programme and priority or measure (Article 46(2)(e) of Regulation (EU) No 1303/2013)	
22. Name of financial product offered by the financial instrument	Equity Fund - PAI
22.1. Type of financial product offered by the financial instrument	Equity
24. Total amount of programme contributions committed in this loan, guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR)	6,417,041.00
24.1. out of which total amount of ESI Funds contributions (in EUR)	2,240,831.00
25. Total amount of programme contributions paid to final recipients through this loan, micro-loans, equity or other products, or, in the case of guarantee, committed for loans paid to final recipients, by product (in EUR)	6,417,041.00
25.1. out of which total amount of ESI Funds contributions (in EUR)	2,240,831.00
25.1.1. out of which ERDF (in EUR)	2,240,831.00
25.1.2. out of which Cohesion Fund (in EUR)	
25.1.3. out of which ESF (in EUR)	
25.1.4. out of which EAFRD (in EUR)	
25.1.5. out of which EMFF (in EUR)	
25.2. out of which total amount of national public co-financing (in EUR)	1,244,906.00
25.3. out of which total amount of national private co-financing (in EUR)	2,931,304.00
27. Number of loan/guarantee/equity or quasi-equity/other financial product contracts signed with final recipients, by product	16
28. Number of loan/guarantee/equity or quasi-equity/other financial product investments made in final recipients, by product	16
29. Number of financial recipients supported by the financial product	8
29.1. out of which large enterprises	
29.2. out of which SMEs	8
29.2.1. out of which microenterprises	
29.3. out of which individuals/natural persons	
29.4. out of which other type of final recipients supported	

29.4.1. description of other type of final recipients supported	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.3. Total amount of other contributions, outside the ESI Funds mobilised at the level of final recipients (in EUR)	4,176,210.00
38.3.1. out of which public contributions (EUR)	1,244,906.00
38.3.2. out of which private contributions (EUR)	2,931,304.00
38.3A. Contribution under EIB financial product mobilised at the level of final recipient (only for the instruments under Article 38(1)(c)) (in EUR)	
39. Expected and achieved leverage effect, by reference to the funding agreement	
39.1. Expected leverage effect for loan/guarantee/equity or quasi-equity investment/other financial product, by reference to the funding agreement, by product	5.55
39.2. Achieved leverage effect at the end of reporting year for loan/guarantee/equity or quasi-equity investment/other financial product, by product	2.61
39.3. Investment mobilised through ESIF financial instruments for loan/guarantee/equity and quasi-equity investment, by product (Optional)	
40. Value of investments and participations in equity (in EUR)	4,650,644.00
VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)	
32. Information whether the financial instrument was still operational at the end of the reporting year	Yes
32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up	
VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)	
35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR)	
36. Amounts repaid to the financial instrument attributable to support from ESI Funds by the end of the reporting year (in EUR)	
36.1. out of which capital repayments (in EUR)	
36.2. out of which gains, other earnings and yields (in EUR)	
37. Amounts of resources attributable to ESI Funds used in accordance with Article 44 and 43a	
37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR)	
37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR)	
37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the financial instrument resulting from negative interest, if such losses occur despite active treasury management by the	

bodies implementing financial instruments (in EUR)	
VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR)	9,558,065.00
38.1A. Contributions under EIB financial product committed in the funding agreement with the body implementing the financial instrument (only for the instruments under Article 38(1)(c)) (in EUR)	
38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR)	4,176,210.00
38.2.1. out of which public contributions (EUR)	1,244,906.00
38.2.2. out of which private contributions (EUR)	2,931,304.00
38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR)	
IX. Contribution of the financial instrument to the achievement of the indicators of the priority or measure concerned (Article 46(2)(j) of Regulation (EU) No 1303/2013)	
41. Output indicator (code number and name) to which the financial instrument contributes	CO08 - Productive investment: Employment increase in supported enterprises
41.1. Target value of the output indicator	120.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	103.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO03 - Productive investment: Number of enterprises receiving financial support other than grants
41.1. Target value of the output indicator	20.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	8.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO04 - Productive investment: Number of enterprises receiving non-financial support
41.1. Target value of the output indicator	14.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	14.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO07 - Productive investment: Private investment matching public support to enterprises (non-grants)
41.1. Target value of the output indicator	9,299,796.00
41.2. Value achieved by the financial instrument in relation to the target	5,432,730.00

value of the output indicator	
41. Output indicator (code number and name) to which the financial instrument contributes	CO01 - Productive investment: Number of enterprises receiving support
41.1. Target value of the output indicator	33.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	22.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO29 - Research, Innovation: Number of enterprises supported to introduce new to the firm products
41.1. Target value of the output indicator	3.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	6.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO05 - Productive investment: Number of new enterprises supported
41.1. Target value of the output indicator	6.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	3.00

II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)	
5. Name of the financial instrument	The Northern Powerhouse - Micro Loan Fund Yorks & Humber & Tees Valley - PA1
6. Official address/place of business of the financial instrument (name of the country and city)	Devere House, Vicar Lane, Bradford, BD1 5AH
8. Type of the financial instrument	Fund of funds specific fund
8.2. Related Fund of Funds	The Northern Powerhouse Investment Fund - PA1
8.1. Tailor-made or financial instruments complying with standard terms and conditions i.e. "off-the-shelf instruments"	Tailor-made
9. Type of products provided by financial instrument: loans, micro-loans, guarantees, equity, or quasi-equity investments, other financial product or other support combined within the financial instrument pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
9.0.1. Loans (\geq EUR 25,000)	Yes
9.0.2. Micro-loans ($<$ EUR 25,000 and provided to micro-enterprises) according to SEC/2011/1134 final	No
9.0.3. Guarantees	No
9.0.4. Equity	No
9.0.5. Quasi-equity	No
9.0.6. Other financial products	No
9.0.7. Other support combined with a financial instrument	No
9.1. Description of the other financial product	

9.2. Other support combined within the financial instrument: grant, interest rate subsidy, guarantee fee subsidy pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial institution	Fiduciary account
III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)	
11. Body implementing the financial instrument	
11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European Investment Bank; the European Investment Fund; international financial institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only)	Body governed by public or private law
11.1.1. Name of the body implementing the financial instrument	BEF/FFE
11.1.2. Official address/place of business (country and town name) of the body implementing the financial instrument	Devere House, Vicar Lane, Bradford, BD1 5AH
12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure	Selection in accordance with the provisions of the public procurement directive
12.1. Description of the other procedure of selecting the body implementing the financial instrument	
13. Date of signature of the funding agreement with the body implementing the financial instrument	21-Nov-2016
IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)	
14. Total amount of programme contributions committed in the funding agreement (in EUR)	741,725.00
14.1. out of which ESI Funds contributions (in EUR)	259,010.00
14.1.1. out of which ERDF (in EUR) (optional)	
14.1.2. out of which Cohesion Fund (in EUR) (optional)	
14.1.3. out of which ESF (in EUR) (optional)	
14.1.4. out of which EAFRD (in EUR) (optional)	
14.1.5. out of which EMFF (in EUR) (optional)	
15. Total amount of programme contributions paid to the financial instrument (in EUR)	129,453.00
15.1. out of which amount of ESI Funds contributions (in EUR)	45,205.00
15.1.1. out of which ERDF (in EUR)	45,205.00
15.1.2. out of which Cohesion Fund (in EUR)	
15.1.3. out of which ESF (in EUR)	

15.1.4. out of which EAFRD (in EUR)	
15.1.5. out of which EMFF (in EUR)	
15.2. out of which total amount of national co-financing (in EUR)	84,248.00
15.2.1. out of which total amount of national public funding (in EUR)	25,114.00
15.2.2. out of which total amount of national private funding (in EUR)	59,134.00
16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR)	
17. Total amount of management costs and fees paid out of programme contributions (in EUR)	11,093.00
17.1. out of which base remuneration (in EUR)	
17.2. out of which performance-based remuneration (in EUR)	
18. Capitalised management costs or fees pursuant to Article 42(2) of Regulation (EU) No 1303/2013 (relevant only for final report) (in EUR)	
19. Capitalised interest rate subsidies or guarantee fee subsidies pursuant to Article 42(1)(c) of Regulation (EU) No 1303/2013 (relevant to final report only) (in EUR)	
20. Amount of programme contributions for follow-on investments in final recipients pursuant to Article 42(3) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
V. Total amount of support paid to final recipients, or to the benefit of final recipients, or committed in guarantee contracts by the financial instrument for investments in final recipients, by ESI Funds programme and priority or measure (Article 46(2)(e) of Regulation (EU) No 1303/2013)	
22. Name of financial product offered by the financial instrument	Micro Loan
22.1. Type of financial product offered by the financial instrument	Loan
24. Total amount of programme contributions committed in this loan, guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR)	129,453.00
24.1. out of which total amount of ESI Funds contributions (in EUR)	45,205.00
25. Total amount of programme contributions paid to final recipients through this loan, micro-loans, equity or other products, or, in the case of guarantee, committed for loans paid to final recipients, by product (in EUR)	129,453.00
25.1. out of which total amount of ESI Funds contributions (in EUR)	45,205.00
25.1.1. out of which ERDF (in EUR)	45,205.00
25.1.2. out of which Cohesion Fund (in EUR)	
25.1.3. out of which ESF (in EUR)	
25.1.4. out of which EAFRD (in EUR)	
25.1.5. out of which EMFF (in EUR)	
25.2. out of which total amount of national public co-financing (in EUR)	25,114.00
25.3. out of which total amount of national private co-financing (in EUR)	59,134.00
27. Number of loan/guarantee/equity or quasi-equity/other financial product contracts signed with final recipients, by product	3
28. Number of loan/guarantee/equity or quasi-equity/other financial product investments made in final recipients, by product	3
29. Number of financial recipients supported by the financial product	3
29.1. out of which large enterprises	

29.2. out of which SMEs	3
29.2.1. out of which microenterprises	
29.3. out of which individuals/natural persons	
29.4. out of which other type of final recipients supported	
29.4.1. description of other type of final recipients supported	
33. Total number of disbursed loans defaulted or total number of guarantees provided and called due to the loan default	
34. Total amount of disbursed loans defaulted (in EUR) or total amount committed for guarantees provided and called due to loan default (in EUR)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.3. Total amount of other contributions, outside the ESI Funds mobilised at the level of final recipients (in EUR)	84,248.00
38.3.1. out of which public contributions (EUR)	25,114.00
38.3.2. out of which private contributions (EUR)	59,134.00
38.3A. Contribution under EIB financial product mobilised at the level of final recipient (only for the instruments under Article 38(1)(c)) (in EUR)	
39. Expected and achieved leverage effect, by reference to the funding agreement	
39.1. Expected leverage effect for loan/guarantee/equity or quasi-equity investment/other financial product, by reference to the funding agreement, by product	4.92
39.2. Achieved leverage effect at the end of reporting year for loan/guarantee/equity or quasi-equity investment/other financial product, by product	2.53
39.3. Investment mobilised through ESIF financial instruments for loan/guarantee/equity and quasi-equity investment, by product (Optional)	
VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)	
32. Information whether the financial instrument was still operational at the end of the reporting year	Yes
32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up	
VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)	
35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR)	
36. Amounts repaid to the financial instrument attributable to support from ESI Funds by the end of the reporting year (in EUR)	
36.1. out of which capital repayments (in EUR)	
36.2. out of which gains, other earnings and yields (in EUR)	
37. Amounts of resources attributable to ESI Funds used in accordance with Article 44 and 43a	
37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or	

who co-invest at the level of final recipient (in EUR)	
37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR)	
37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the financial instrument resulting from negative interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in EUR)	
VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR)	482,715.00
38.1A. Contributions under EIB financial product committed in the funding agreement with the body implementing the financial instrument (only for the instruments under Article 38(1)(c)) (in EUR)	
38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR)	84,248.00
38.2.1. out of which public contributions (EUR)	25,114.00
38.2.2. out of which private contributions (EUR)	59,134.00
38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR)	
IX. Contribution of the financial instrument to the achievement of the indicators of the priority or measure concerned (Article 46(2)(j) of Regulation (EU) No 1303/2013)	
41. Output indicator (code number and name) to which the financial instrument contributes	CO01 - Productive investment: Number of enterprises receiving support
41.1. Target value of the output indicator	4.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	3.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO07 - Productive investment: Private investment matching public support to enterprises (non-grants)
41.1. Target value of the output indicator	1,394,969.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	98,758.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO08 - Productive investment: Employment increase in supported enterprises
41.1. Target value of the output indicator	18.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	1.00

41. Output indicator (code number and name) to which the financial instrument contributes	CO03 - Productive investment: Number of enterprises receiving financial support other than grants
41.1. Target value of the output indicator	2.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	3.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO05 - Productive investment: Number of new enterprises supported
41.1. Target value of the output indicator	1.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	0.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO29 - Research, Innovation: Number of enterprises supported to introduce new to the firm products
41.1. Target value of the output indicator	1.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	1.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO04 - Productive investment: Number of enterprises receiving non-financial support
41.1. Target value of the output indicator	2.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	0.00

II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)	
5. Name of the financial instrument	The Northern Powerhouse - Micro loan Fund North West - PA1
6. Official address/place of business of the financial instrument (name of the country and city)	Lee House, 90 Great Bridgewater Street, Manchester, M1 5JW
8. Type of the financial instrument	Fund of funds specific fund
8.2. Related Fund of Funds	The Northern Powerhouse Investment Fund - PA1
8.1. Tailor-made or financial instruments complying with standard terms and conditions i.e. "off-the-shelf instruments"	Tailor-made
9. Type of products provided by financial instrument: loans, micro-loans, guarantees, equity, or quasi-equity investments, other financial product or other support combined within the financial instrument pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
9.0.1. Loans (\geq EUR 25,000)	Yes
9.0.2. Micro-loans ($<$ EUR 25,000 and provided to micro-enterprises)	No

according to SEC/2011/1134 final	
9.0.3. Guarantees	No
9.0.4. Equity	No
9.0.5. Quasi-equity	No
9.0.6. Other financial products	No
9.0.7. Other support combined with a financial instrument	No
9.1. Description of the other financial product	
9.2. Other support combined within the financial instrument: grant, interest rate subsidy, guarantee fee subsidy pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial institution	Fiduciary account
III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)	
11. Body implementing the financial instrument	
11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European Investment Bank; the European Investment Fund; international financial institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only)	Body governed by public or private law
11.1.1. Name of the body implementing the financial instrument	BFS/MSIF
11.1.2. Official address/place of business (country and town name) of the body implementing the financial instrument	Lee House, 90 Great Bridgewater Street, Manchester, M1 5JW
12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure	Selection in accordance with the provisions of the public procurement directive
12.1. Description of the other procedure of selecting the body implementing the financial instrument	
13. Date of signature of the funding agreement with the body implementing the financial instrument	21-Nov-2016
IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)	
14. Total amount of programme contributions committed in the funding agreement (in EUR)	741,725.00
14.1. out of which ESI Funds contributions (in EUR)	259,010.00
14.1.1. out of which ERDF (in EUR) (optional)	
14.1.2. out of which Cohesion Fund (in EUR) (optional)	
14.1.3. out of which ESF (in EUR) (optional)	

14.1.4. out of which EAFRD (in EUR) (optional)	
14.1.5. out of which EMFF (in EUR) (optional)	
15. Total amount of programme contributions paid to the financial instrument (in EUR)	169,300.00
15.1. out of which amount of ESI Funds contributions (in EUR)	59,120.00
15.1.1. out of which ERDF (in EUR)	59,120.00
15.1.2. out of which Cohesion Fund (in EUR)	
15.1.3. out of which ESF (in EUR)	
15.1.4. out of which EAFRD (in EUR)	
15.1.5. out of which EMFF (in EUR)	
15.2. out of which total amount of national co-financing (in EUR)	110,180.00
15.2.1. out of which total amount of national public funding (in EUR)	32,844.00
15.2.2. out of which total amount of national private funding (in EUR)	77,336.00
16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR)	
17. Total amount of management costs and fees paid out of programme contributions (in EUR)	11,093.00
17.1. out of which base remuneration (in EUR)	
17.2. out of which performance-based remuneration (in EUR)	
18. Capitalised management costs or fees pursuant to Article 42(2) of Regulation (EU) No 1303/2013 (relevant only for final report) (in EUR)	
19. Capitalised interest rate subsidies or guarantee fee subsidies pursuant to Article 42(1)(c) of Regulation (EU) No 1303/2013 (relevant to final report only) (in EUR)	
20. Amount of programme contributions for follow-on investments in final recipients pursuant to Article 42(3) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
V. Total amount of support paid to final recipients, or to the benefit of final recipients, or committed in guarantee contracts by the financial instrument for investments in final recipients, by ESI Funds programme and priority or measure (Article 46(2)(e) of Regulation (EU) No 1303/2013)	
22. Name of financial product offered by the financial instrument	Micro Loan
22.1. Type of financial product offered by the financial instrument	Loan
24. Total amount of programme contributions committed in this loan, guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR)	169,300.00
24.1. out of which total amount of ESI Funds contributions (in EUR)	59,120.00
25. Total amount of programme contributions paid to final recipients through this loan, micro-loans, equity or other products, or, in the case of guarantee, committed for loans paid to final recipients, by product (in EUR)	169,300.00
25.1. out of which total amount of ESI Funds contributions (in EUR)	59,120.00
25.1.1. out of which ERDF (in EUR)	59,120.00
25.1.2. out of which Cohesion Fund (in EUR)	
25.1.3. out of which ESF (in EUR)	
25.1.4. out of which EAFRD (in EUR)	
25.1.5. out of which EMFF (in EUR)	

25.2. out of which total amount of national public co-financing (in EUR)	32,844.00
25.3. out of which total amount of national private co-financing (in EUR)	77,336.00
27. Number of loan/guarantee/equity or quasi-equity/other financial product contracts signed with final recipients, by product	2
28. Number of loan/guarantee/equity or quasi-equity/other financial product investments made in final recipients, by product	2
29. Number of financial recipients supported by the financial product	2
29.1. out of which large enterprises	
29.2. out of which SMEs	2
29.2.1. out of which microenterprises	
29.3. out of which individuals/natural persons	
29.4. out of which other type of final recipients supported	
29.4.1. description of other type of final recipients supported	
33. Total number of disbursed loans defaulted or total number of guarantees provided and called due to the loan default	
34. Total amount of disbursed loans defaulted (in EUR) or total amount committed for guarantees provided and called due to loan default (in EUR)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.3. Total amount of other contributions, outside the ESI Funds mobilised at the level of final recipients (in EUR)	110,180.00
38.3.1. out of which public contributions (EUR)	32,844.00
38.3.2. out of which private contributions (EUR)	77,336.00
38.3A. Contribution under EIB financial product mobilised at the level of final recipient (only for the instruments under Article 38(1)(c)) (in EUR)	
39. Expected and achieved leverage effect, by reference to the funding agreement	
39.1. Expected leverage effect for loan/guarantee/equity or quasi-equity investment/other financial product, by reference to the funding agreement, by product	3.57
39.2. Achieved leverage effect at the end of reporting year for loan/guarantee/equity or quasi-equity investment/other financial product, by product	2.57
39.3. Investment mobilised through ESIF financial instruments for loan/guarantee/equity and quasi-equity investment, by product (Optional)	
VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)	
32. Information whether the financial instrument was still operational at the end of the reporting year	Yes
32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up	
VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)	
35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR)	
36. Amounts repaid to the financial instrument attributable to support from	

ESI Funds by the end of the reporting year (in EUR)	
36.1. out of which capital repayments (in EUR)	
36.2. out of which gains, other earnings and yields (in EUR)	
37. Amounts of resources attributable to ESI Funds used in accordance with Article 44 and 43a	
37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR)	
37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR)	
37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the financial instrument resulting from negative interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in EUR)	
VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR)	482,715.00
38.1A. Contributions under EIB financial product committed in the funding agreement with the body implementing the financial instrument (only for the instruments under Article 38(1)(c)) (in EUR)	
38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR)	110,180.00
38.2.1. out of which public contributions (EUR)	32,844.00
38.2.2. out of which private contributions (EUR)	77,336.00
38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR)	
IX. Contribution of the financial instrument to the achievement of the indicators of the priority or measure concerned (Article 46(2)(j) of Regulation (EU) No 1303/2013)	
41. Output indicator (code number and name) to which the financial instrument contributes	CO07 - Productive investment: Private investment matching public support to enterprises (non-grants)
41.1. Target value of the output indicator	1,394,969.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	50,790.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO29 - Research, Innovation: Number of enterprises supported to introduce new to the firm products
41.1. Target value of the output indicator	1.00

41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	2.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO03 - Productive investment: Number of enterprises receiving financial support other than grants
41.1. Target value of the output indicator	2.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	2.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO04 - Productive investment: Number of enterprises receiving non-financial support
41.1. Target value of the output indicator	2.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	0.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO08 - Productive investment: Employment increase in supported enterprises
41.1. Target value of the output indicator	18.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	0.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO05 - Productive investment: Number of new enterprises supported
41.1. Target value of the output indicator	1.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	1.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO01 - Productive investment: Number of enterprises receiving support
41.1. Target value of the output indicator	4.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	2.00

I. Identification of the programme and priority or measure from which support from the ESI Funds is provided (Article 46(2)(a) of Regulation (EU) No 1303/2013)	
1. Priority axes or measures supporting the financial instrument, including fund of funds, under the ESI Fund programme.	
1.1. Priority axis supporting the financial instrument under the ESI Fund programme	3 - Enhancing the Competitiveness of SMEs
2. Name of ESI Fund(s) supporting the financial instrument under the priority axis or measure	ERDF
3. Thematic objective(s) referred to in the first paragraph of Article 9 of Regulation (EU) No	03 - Enhancing the competitiveness of small and medium-sized enterprises, the agricultural sector

1303/2013 supported by the financial instrument	(for the EAFRD) and the fisheries and aquaculture sector (for the EMFF)
3.1. Amount of ESI Funds committed in funding agreements from the individual thematic objective chosen in field 3 (optional)	128,813,865.00
4. other ESI Fund programmes providing contribution to the financial instrument	
4.1. CCI number of each other ESI Fund programme providing contributions to the financial instrument	
30. Date of completion of the ex ante assessment	15-Nov-2016
31. Selection of bodies implementing financial instrument	
31.1. Has selection or designation process already been launched	Yes
II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)	
5. Name of the financial instrument	The Northern Powerhouse Investment Fund - PA3
6. Official address/place of business of the financial instrument (name of the country and city)	Steel City House, West Street, Sheffield, S1 2GQ
7. Implementation arrangements	
7.1. Financial instruments set up at Union level, managed directly or indirectly by the Commission referred to in Article 38(1)(a) of Regulation (EU) No 1303/2013, supported from ESI Fund programme contributions	
7.1.1. Name of the Union-level financial instrument	
7.2. Financial instrument set up at national, regional, transnational or cross-border level, managed by or under the responsibility of the managing authority referred to in Article 38(1)(b), supported from ESI Fund programme contributions under point (a), (b), (c) and d) of Article 38(4) of Regulation (EU) No 1303/2013	Entrustment of implementation tasks, through the direct award of a contract
7.3. Financial instrument combining financial contribution from MA with EIB financial products under European Fund for Strategic Investment in accordance with Article 39a, referred to in Article 38(1)(c)	
8. Type of the financial instrument	Fund of funds
10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial institution	Fiduciary account
III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)	

11. Body implementing the financial instrument	
11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European Investment Bank; the European Investment Fund; international financial institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only)	Body governed by public or private law
11.1.1. Name of the body implementing the financial instrument	Northern Powerhouse Investments Limited (NPIL)
11.1.2. Official address/place of business (country and town name) of the body implementing the financial instrument	Steel City House, West Street, Sheffield, S1 2GQ
12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure	Others
12.1. Description of the other procedure of selecting the body implementing the financial instrument	Entrustment of a publicly owned company, via inter-administrative co-operation between the managing authority and The Secretary of State for Business, Energy and Industrial Strategy (BEIS) in accordance with Article 38 (1)(b) & 38 4(b) (iii) of the CPR, and s3.6.2 of Commission Notice 2016/C 276/01
13. Date of signature of the funding agreement with the body implementing the financial instrument	21-Nov-2016
IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)	
14. Total amount of programme contributions committed in the funding agreement (in EUR)	368,882,776.00
14.1. out of which ESI Funds contributions (in EUR)	128,813,865.00
14.1.1. out of which ERDF (in EUR) (optional)	
14.1.2. out of which Cohesion Fund (in EUR) (optional)	
14.1.3. out of which ESF (in EUR) (optional)	
14.1.4. out of which EAFRD (in EUR) (optional)	
14.1.5. out of which EMFF (in EUR) (optional)	
15. Total amount of programme contributions paid to the financial instrument (in EUR)	284,311,397.00
15.1. out of which amount of ESI Funds contributions (in EUR)	99,281,540.00
15.1.1. out of which ERDF (in EUR)	99,281,540.00
15.1.2. out of which Cohesion Fund (in EUR)	

15.1.3. out of which ESF (in EUR)	
15.1.4. out of which EAFRD (in EUR)	
15.1.5. out of which EMFF (in EUR)	
15.2. out of which total amount of national co-financing (in EUR)	185,029,857.00
15.2.1. out of which total amount of national public funding (in EUR)	55,156,411.00
15.2.2. out of which total amount of national private funding (in EUR)	129,873,446.00
16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR)	
17. Total amount of management costs and fees paid out of programme contributions (in EUR)	14,271,855.00
17.1. out of which base remuneration (in EUR)	
17.2. out of which performance-based remuneration (in EUR)	
21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)	
32. Information whether the financial instrument was still operational at the end of the reporting year	Yes
32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up	
VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)	
35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR)	
37. Amounts of resources attributable to ESI Funds used in accordance with Article 44 and 43a	
37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR)	
37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR)	
37.3. out of which amounts to cover losses in the	

nominal amount of the ESI Funds contribution to the financial instrument resulting from negative interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in EUR)	
VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR)	368,882,776.00
38.1A. Contributions under EIB financial product committed in the funding agreement with the body implementing the financial instrument (only for the instruments under Article 38(1)(c)) (in EUR)	
38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR)	185,029,857.00
38.2.1. out of which public contributions (EUR)	55,156,411.00
38.2.2. out of which private contributions (EUR)	129,873,446.00
38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR)	

II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)	
5. Name of the financial instrument	The Northern Powerhouse - Debt Fund - North West Excluding Cumbria - PA3
6. Official address/place of business of the financial instrument (name of the country and city)	The Plaza, 100 Old Hall Street, Liverpool, L3 9QJ
8. Type of the financial instrument	Fund of funds specific fund
8.2. Related Fund of Funds	The Northern Powerhouse Investment Fund - PA3
8.1. Tailor-made or financial instruments complying with standard terms and conditions i.e. "off-the-shelf instruments"	Tailor-made
9. Type of products provided by financial instrument: loans, micro-loans, guarantees, equity, or quasi-equity investments, other financial product or other support combined within the financial instrument pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
9.0.1. Loans (\geq EUR 25,000)	Yes
9.0.2. Micro-loans ($<$ EUR 25,000 and provided to micro-enterprises) according to SEC/2011/1134 final	No
9.0.3. Guarantees	No
9.0.4. Equity	No
9.0.5. Quasi-equity	No

9.0.6. Other financial products	No
9.0.7. Other support combined with a financial instrument	No
9.1. Description of the other financial product	
9.2. Other support combined within the financial instrument: grant, interest rate subsidy, guarantee fee subsidy pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial institution	Fiduciary account
III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)	
11. Body implementing the financial instrument	
11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European Investment Bank; the European Investment Fund; international financial institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only)	Body governed by public or private law
11.1.1. Name of the body implementing the financial instrument	FW Capital
11.1.2. Official address/place of business (country and town name) of the body implementing the financial instrument	The Plaza, 100 Old Hall Street, Liverpool, L3 9QJ
12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure	Selection in accordance with the provisions of the public procurement directive
12.1. Description of the other procedure of selecting the body implementing the financial instrument	
13. Date of signature of the funding agreement with the body implementing the financial instrument	21-Nov-2016
IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)	
14. Total amount of programme contributions committed in the funding agreement (in EUR)	80,000,000.00
14.1. out of which ESI Funds contributions (in EUR)	27,936,000.00
14.1.1. out of which ERDF (in EUR) (optional)	
14.1.2. out of which Cohesion Fund (in EUR) (optional)	
14.1.3. out of which ESF (in EUR) (optional)	
14.1.4. out of which EAFRD (in EUR) (optional)	
14.1.5. out of which EMFF (in EUR) (optional)	
15. Total amount of programme contributions paid to the financial instrument (in EUR)	70,889,613.00
15.1. out of which amount of ESI Funds contributions (in EUR)	24,754,653.00

15.1.1. out of which ERDF (in EUR)	24,754,653.00
15.1.2. out of which Cohesion Fund (in EUR)	
15.1.3. out of which ESF (in EUR)	
15.1.4. out of which EAFRD (in EUR)	
15.1.5. out of which EMFF (in EUR)	
15.2. out of which total amount of national co-financing (in EUR)	46,134,960.00
15.2.1. out of which total amount of national public funding (in EUR)	13,752,585.00
15.2.2. out of which total amount of national private funding (in EUR)	32,382,375.00
16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR)	
17. Total amount of management costs and fees paid out of programme contributions (in EUR)	2,422,531.00
17.1. out of which base remuneration (in EUR)	
17.2. out of which performance-based remuneration (in EUR)	
18. Capitalised management costs or fees pursuant to Article 42(2) of Regulation (EU) No 1303/2013 (relevant only for final report) (in EUR)	
19. Capitalised interest rate subsidies or guarantee fee subsidies pursuant to Article 42(1)(c) of Regulation (EU) No 1303/2013 (relevant to final report only) (in EUR)	
20. Amount of programme contributions for follow-on investments in final recipients pursuant to Article 42(3) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
V. Total amount of support paid to final recipients, or to the benefit of final recipients, or committed in guarantee contracts by the financial instrument for investments in final recipients, by ESI Funds programme and priority or measure (Article 46(2)(e) of Regulation (EU) No 1303/2013)	
22. Name of financial product offered by the financial instrument	Debt Fund - PA3
22.1. Type of financial product offered by the financial instrument	Loan
24. Total amount of programme contributions committed in this loan, guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR)	70,889,613.00
24.1. out of which total amount of ESI Funds contributions (in EUR)	24,754,653.00
25. Total amount of programme contributions paid to final recipients through this loan, micro-loans, equity or other products, or, in the case of guarantee, committed for loans paid to final recipients, by product (in EUR)	70,889,613.00
25.1. out of which total amount of ESI Funds contributions (in EUR)	24,754,653.00
25.1.1. out of which ERDF (in EUR)	24,754,653.00
25.1.2. out of which Cohesion Fund (in EUR)	
25.1.3. out of which ESF (in EUR)	
25.1.4. out of which EAFRD (in EUR)	
25.1.5. out of which EMFF (in EUR)	
25.2. out of which total amount of national public co-financing (in EUR)	13,752,585.00
25.3. out of which total amount of national private co-financing (in EUR)	32,382,375.00
27. Number of loan/guarantee/equity or quasi-equity/other financial product contracts signed with final recipients, by product	255
28. Number of loan/guarantee/equity or quasi-equity/other financial	255

product investments made in final recipients, by product	
29. Number of financial recipients supported by the financial product	214
29.1. out of which large enterprises	
29.2. out of which SMEs	214
29.2.1. out of which microenterprises	
29.3. out of which individuals/natural persons	
29.4. out of which other type of final recipients supported	
29.4.1. description of other type of final recipients supported	
33. Total number of disbursed loans defaulted or total number of guarantees provided and called due to the loan default	
34. Total amount of disbursed loans defaulted (in EUR) or total amount committed for guarantees provided and called due to loan default (in EUR)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.3. Total amount of other contributions, outside the ESI Funds mobilised at the level of final recipients (in EUR)	46,134,960.00
38.3.1. out of which public contributions (EUR)	13,752,585.00
38.3.2. out of which private contributions (EUR)	32,382,375.00
38.3A. Contribution under EIB financial product mobilised at the level of final recipient (only for the instruments under Article 38(1)(c)) (in EUR)	
39. Expected and achieved leverage effect, by reference to the funding agreement	
39.1. Expected leverage effect for loan/guarantee/equity or quasi-equity investment/other financial product, by reference to the funding agreement, by product	5.57
39.2. Achieved leverage effect at the end of reporting year for loan/guarantee/equity or quasi-equity investment/other financial product, by product	2.64
39.3. Investment mobilised through ESIF financial instruments for loan/guarantee/equity and quasi-equity investment, by product (Optional)	
VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)	
32. Information whether the financial instrument was still operational at the end of the reporting year	Yes
32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up	
VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)	
35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR)	
36. Amounts repaid to the financial instrument attributable to support from ESI Funds by the end of the reporting year (in EUR)	
36.1. out of which capital repayments (in EUR)	
36.2. out of which gains, other earnings and yields (in EUR)	
37. Amounts of resources attributable to ESI Funds used in accordance	

with Article 44 and 43a	
37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR)	
37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR)	
37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the financial instrument resulting from negative interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in EUR)	
VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR)	52,064,000.00
38.1A. Contributions under EIB financial product committed in the funding agreement with the body implementing the financial instrument (only for the instruments under Article 38(1)(c)) (in EUR)	
38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR)	46,134,960.00
38.2.1. out of which public contributions (EUR)	13,752,585.00
38.2.2. out of which private contributions (EUR)	32,382,375.00
38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR)	
IX. Contribution of the financial instrument to the achievement of the indicators of the priority or measure concerned (Article 46(2)(j) of Regulation (EU) No 1303/2013)	
41. Output indicator (code number and name) to which the financial instrument contributes	CO03 - Productive investment: Number of enterprises receiving financial support other than grants
41.1. Target value of the output indicator	208.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	214.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO07 - Productive investment: Private investment matching public support to enterprises (non-grants)
41.1. Target value of the output indicator	63,900,133.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	108,383,613.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO01 - Productive investment: Number of

	<i>enterprises receiving support</i>
41.1. Target value of the output indicator	378.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	268.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO05 - Productive investment: Number of new enterprises supported
41.1. Target value of the output indicator	74.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	5.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO29 - Research, Innovation: Number of enterprises supported to introduce new to the firm products
41.1. Target value of the output indicator	32.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	25.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO08 - Productive investment: Employment increase in supported enterprises
41.1. Target value of the output indicator	825.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	980.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO04 - Productive investment: Number of enterprises receiving non-financial support
41.1. Target value of the output indicator	169.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	54.00

II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)	
5. Name of the financial instrument	The Northern Powerhouse - Debt Fund - Tees Valley & Cumbria - PA3
6. Official address/place of business of the financial instrument (name of the country and city)	The Plaza, 100 Old Hall Street, Liverpool, L3 9QJ
8. Type of the financial instrument	Fund of funds specific fund
8.2. Related Fund of Funds	The Northern Powerhouse Investment Fund - PA3
8.1. Tailor-made or financial instruments complying with standard terms and conditions i.e. "off-the-shelf instruments"	Tailor-made
9. Type of products provided by financial instrument: loans, micro-loans, guarantees, equity, or quasi-equity investments, other financial product or other support combined within the financial instrument pursuant to Article 37(7) of Regulation (EU) No 1303/2013	

9.0.1. Loans (\geq EUR 25,000)	Yes
9.0.2. Micro-loans ($<$ EUR 25,000 and provided to micro-enterprises) according to SEC/2011/1134 final	No
9.0.3. Guarantees	No
9.0.4. Equity	No
9.0.5. Quasi-equity	No
9.0.6. Other financial products	No
9.0.7. Other support combined with a financial instrument	No
9.1. Description of the other financial product	
9.2. Other support combined within the financial instrument: grant, interest rate subsidy, guarantee fee subsidy pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial institution	Fiduciary account
III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)	
11. Body implementing the financial instrument	
11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European Investment Bank; the European Investment Fund; international financial institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only)	Body governed by public or private law
11.1.1. Name of the body implementing the financial instrument	FW Capital
11.1.2. Official address/place of business (country and town name) of the body implementing the financial instrument	The Plaza, 100 Old Hall Street, Liverpool, L3 9QJ
12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure	Selection in accordance with the provisions of the public procurement directive
12.1. Description of the other procedure of selecting the body implementing the financial instrument	
13. Date of signature of the funding agreement with the body implementing the financial instrument	21-Nov-2016
IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)	
14. Total amount of programme contributions committed in the funding agreement (in EUR)	51,704,017.00
14.1. out of which ESI Funds contributions (in EUR)	18,055,043.00
14.1.1. out of which ERDF (in EUR) (optional)	
14.1.2. out of which Cohesion Fund (in EUR) (optional)	

14.1.3. out of which ESF (in EUR) (optional)	
14.1.4. out of which EAFRD (in EUR) (optional)	
14.1.5. out of which EMFF (in EUR) (optional)	
15. Total amount of programme contributions paid to the financial instrument (in EUR)	44,803,047.00
15.1. out of which amount of ESI Funds contributions (in EUR)	15,645,224.00
15.1.1. out of which ERDF (in EUR)	15,645,224.00
15.1.2. out of which Cohesion Fund (in EUR)	
15.1.3. out of which ESF (in EUR)	
15.1.4. out of which EAFRD (in EUR)	
15.1.5. out of which EMFF (in EUR)	
15.2. out of which total amount of national co-financing (in EUR)	29,157,823.00
15.2.1. out of which total amount of national public funding (in EUR)	8,691,791.00
15.2.2. out of which total amount of national private funding (in EUR)	20,466,032.00
16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR)	
17. Total amount of management costs and fees paid out of programme contributions (in EUR)	1,690,872.00
17.1. out of which base remuneration (in EUR)	
17.2. out of which performance-based remuneration (in EUR)	
18. Capitalised management costs or fees pursuant to Article 42(2) of Regulation (EU) No 1303/2013 (relevant only for final report) (in EUR)	
19. Capitalised interest rate subsidies or guarantee fee subsidies pursuant to Article 42(1)(c) of Regulation (EU) No 1303/2013 (relevant to final report only) (in EUR)	
20. Amount of programme contributions for follow-on investments in final recipients pursuant to Article 42(3) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
V. Total amount of support paid to final recipients, or to the benefit of final recipients, or committed in guarantee contracts by the financial instrument for investments in final recipients, by ESI Funds programme and priority or measure (Article 46(2)(e) of Regulation (EU) No 1303/2013)	
22. Name of financial product offered by the financial instrument	Debt Fund - PA3
22.1. Type of financial product offered by the financial instrument	Loan
24. Total amount of programme contributions committed in this loan, guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR)	44,803,047.00
24.1. out of which total amount of ESI Funds contributions (in EUR)	15,645,224.00
25. Total amount of programme contributions paid to final recipients through this loan, micro-loans, equity or other products, or, in the case of guarantee, committed for loans paid to final recipients, by product (in EUR)	44,803,047.00
25.1. out of which total amount of ESI Funds contributions (in EUR)	15,645,224.00
25.1.1. out of which ERDF (in EUR)	15,645,224.00
25.1.2. out of which Cohesion Fund (in EUR)	
25.1.3. out of which ESF (in EUR)	
25.1.4. out of which EAFRD (in EUR)	

25.1.5. out of which EMFF (in EUR)	
25.2. out of which total amount of national public co-financing (in EUR)	8,691,791.00
25.3. out of which total amount of national private co-financing (in EUR)	20,466,032.00
27. Number of loan/guarantee/equity or quasi-equity/other financial product contracts signed with final recipients, by product	150
28. Number of loan/guarantee/equity or quasi-equity/other financial product investments made in final recipients, by product	150
29. Number of financial recipients supported by the financial product	108
29.1. out of which large enterprises	
29.2. out of which SMEs	108
29.2.1. out of which microenterprises	
29.3. out of which individuals/natural persons	
29.4. out of which other type of final recipients supported	
29.4.1. description of other type of final recipients supported	
33. Total number of disbursed loans defaulted or total number of guarantees provided and called due to the loan default	
34. Total amount of disbursed loans defaulted (in EUR) or total amount committed for guarantees provided and called due to loan default (in EUR)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.3. Total amount of other contributions, outside the ESI Funds mobilised at the level of final recipients (in EUR)	29,157,823.00
38.3.1. out of which public contributions (EUR)	8,691,791.00
38.3.2. out of which private contributions (EUR)	20,466,032.00
38.3A. Contribution under EIB financial product mobilised at the level of final recipient (only for the instruments under Article 38(1)(c)) (in EUR)	
39. Expected and achieved leverage effect, by reference to the funding agreement	
39.1. Expected leverage effect for loan/guarantee/equity or quasi-equity investment/other financial product, by reference to the funding agreement, by product	5.50
39.2. Achieved leverage effect at the end of reporting year for loan/guarantee/equity or quasi-equity investment/other financial product, by product	2.63
39.3. Investment mobilised through ESIF financial instruments for loan/guarantee/equity and quasi-equity investment, by product (Optional)	
VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)	
32. Information whether the financial instrument was still operational at the end of the reporting year	Yes
32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up	
VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)	
35. Interest and other gains generated by payments from ESI Funds to the	

financial instrument (in EUR)	
36. Amounts repaid to the financial instrument attributable to support from ESI Funds by the end of the reporting year (in EUR)	
36.1. out of which capital repayments (in EUR)	
36.2. out of which gains, other earnings and yields (in EUR)	
37. Amounts of resources attributable to ESI Funds used in accordance with Article 44 and 43a	
37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR)	
37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR)	
37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the financial instrument resulting from negative interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in EUR)	
VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR)	33,648,974.00
38.1A. Contributions under EIB financial product committed in the funding agreement with the body implementing the financial instrument (only for the instruments under Article 38(1)(c)) (in EUR)	
38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR)	29,157,823.00
38.2.1. out of which public contributions (EUR)	8,691,791.00
38.2.2. out of which private contributions (EUR)	20,466,032.00
38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR)	
IX. Contribution of the financial instrument to the achievement of the indicators of the priority or measure concerned (Article 46(2)(j) of Regulation (EU) No 1303/2013)	
41. Output indicator (code number and name) to which the financial instrument contributes	CO07 - Productive investment: Private investment matching public support to enterprises (non-grants)
41.1. Target value of the output indicator	63,900,133.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	67,224,874.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO05 - Productive investment: Number of new enterprises supported
41.1. Target value of the output indicator	74.00

41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	12.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO08 - Productive investment: Employment increase in supported enterprises
41.1. Target value of the output indicator	825.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	770.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO29 - Research, Innovation: Number of enterprises supported to introduce new to the firm products
41.1. Target value of the output indicator	32.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	22.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO01 - Productive investment: Number of enterprises receiving support
41.1. Target value of the output indicator	378.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	169.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO04 - Productive investment: Number of enterprises receiving non-financial support
41.1. Target value of the output indicator	169.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	61.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO03 - Productive investment: Number of enterprises receiving financial support other than grants
41.1. Target value of the output indicator	208.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	108.00

II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)	
5. Name of the financial instrument	The Northern Powerhouse - Debt Fund - Yorks & Humber - PA3
6. Official address/place of business of the financial instrument (name of the country and city)	The Pinnacle Vault, 73/79 King Street, Manchester, M2 4NG
8. Type of the financial instrument	Fund of funds specific fund

8.2. Related Fund of Funds	The Northern Powerhouse Investment Fund - PA3
8.1. Tailor-made or financial instruments complying with standard terms and conditions i.e. "off-the-shelf instruments"	Tailor-made
9. Type of products provided by financial instrument: loans, micro-loans, guarantees, equity, or quasi-equity investments, other financial product or other support combined within the financial instrument pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
9.0.1. Loans (\geq EUR 25,000)	Yes
9.0.2. Micro-loans ($<$ EUR 25,000 and provided to micro-enterprises) according to SEC/2011/1134 final	No
9.0.3. Guarantees	No
9.0.4. Equity	No
9.0.5. Quasi-equity	No
9.0.6. Other financial products	No
9.0.7. Other support combined with a financial instrument	No
9.1. Description of the other financial product	
9.2. Other support combined within the financial instrument: grant, interest rate subsidy, guarantee fee subsidy pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial institution	
III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)	
11. Body implementing the financial instrument	
11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European Investment Bank; the European Investment Fund; international financial institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only)	Body governed by public or private law
11.1.1. Name of the body implementing the financial instrument	Mercia
11.1.2. Official address/place of business (country and town name) of the body implementing the financial instrument	The Pinnacle Building, 73/79 King Street, Manchester, M2 4NG
12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure	Selection in accordance with the provisions of the public procurement directive
12.1. Description of the other procedure of selecting the body implementing the financial instrument	
13. Date of signature of the funding agreement with the body implementing the financial instrument	21-Nov-2016

IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)	
14. Total amount of programme contributions committed in the funding agreement (in EUR)	74,889,512.00
14.1. out of which ESI Funds contributions (in EUR)	26,151,418.00
14.1.1. out of which ERDF (in EUR) (optional)	
14.1.2. out of which Cohesion Fund (in EUR) (optional)	
14.1.3. out of which ESF (in EUR) (optional)	
14.1.4. out of which EAFRD (in EUR) (optional)	
14.1.5. out of which EMFF (in EUR) (optional)	
15. Total amount of programme contributions paid to the financial instrument (in EUR)	44,468,073.00
15.1. out of which amount of ESI Funds contributions (in EUR)	15,528,251.00
15.1.1. out of which ERDF (in EUR)	15,528,251.00
15.1.2. out of which Cohesion Fund (in EUR)	
15.1.3. out of which ESF (in EUR)	
15.1.4. out of which EAFRD (in EUR)	
15.1.5. out of which EMFF (in EUR)	
15.2. out of which total amount of national co-financing (in EUR)	28,939,822.00
15.2.1. out of which total amount of national public funding (in EUR)	8,626,806.00
15.2.2. out of which total amount of national private funding (in EUR)	20,313,016.00
16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR)	
17. Total amount of management costs and fees paid out of programme contributions (in EUR)	1,575,711.00
17.1. out of which base remuneration (in EUR)	
17.2. out of which performance-based remuneration (in EUR)	
18. Capitalised management costs or fees pursuant to Article 42(2) of Regulation (EU) No 1303/2013 (relevant only for final report) (in EUR)	
19. Capitalised interest rate subsidies or guarantee fee subsidies pursuant to Article 42(1)(c) of Regulation (EU) No 1303/2013 (relevant to final report only) (in EUR)	
20. Amount of programme contributions for follow-on investments in final recipients pursuant to Article 42(3) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
V. Total amount of support paid to final recipients, or to the benefit of final recipients, or committed in guarantee contracts by the financial instrument for investments in final recipients, by ESI Funds programme and priority or measure (Article 46(2)(e) of Regulation (EU) No 1303/2013)	
22. Name of financial product offered by the financial instrument	Debt Fund - PA3
22.1. Type of financial product offered by the financial instrument	Loan
24. Total amount of programme contributions committed in this loan, guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR)	44,468,073.00
24.1. out of which total amount of ESI Funds contributions (in EUR)	15,528,251.00
25. Total amount of programme contributions paid to final recipients	44,468,073.00

through this loan, micro-loans, equity or other products, or, in the case of guarantee, committed for loans paid to final recipients, by product (in EUR)	
25.1. out of which total amount of ESI Funds contributions (in EUR)	15,528,251.00
25.1.1. out of which ERDF (in EUR)	15,528,251.00
25.1.2. out of which Cohesion Fund (in EUR)	
25.1.3. out of which ESF (in EUR)	
25.1.4. out of which EAFRD (in EUR)	
25.1.5. out of which EMFF (in EUR)	
25.2. out of which total amount of national public co-financing (in EUR)	8,626,806.00
25.3. out of which total amount of national private co-financing (in EUR)	20,313,016.00
27. Number of loan/guarantee/equity or quasi-equity/other financial product contracts signed with final recipients, by product	228
28. Number of loan/guarantee/equity or quasi-equity/other financial product investments made in final recipients, by product	228
29. Number of financial recipients supported by the financial product	179
29.1. out of which large enterprises	
29.2. out of which SMEs	179
29.2.1. out of which microenterprises	
29.3. out of which individuals/natural persons	
29.4. out of which other type of final recipients supported	
29.4.1. description of other type of final recipients supported	
33. Total number of disbursed loans defaulted or total number of guarantees provided and called due to the loan default	
34. Total amount of disbursed loans defaulted (in EUR) or total amount committed for guarantees provided and called due to loan default (in EUR)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.3. Total amount of other contributions, outside the ESI Funds mobilised at the level of final recipients (in EUR)	28,939,822.00
38.3.1. out of which public contributions (EUR)	8,626,806.00
38.3.2. out of which private contributions (EUR)	20,313,016.00
38.3A. Contribution under EIB financial product mobilised at the level of final recipient (only for the instruments under Article 38(1)(c)) (in EUR)	
39. Expected and achieved leverage effect, by reference to the funding agreement	
39.1. Expected leverage effect for loan/guarantee/equity or quasi-equity investment/other financial product, by reference to the funding agreement, by product	5.57
39.2. Achieved leverage effect at the end of reporting year for loan/guarantee/equity or quasi-equity investment/other financial product, by product	2.64
39.3. Investment mobilised through ESIF financial instruments for loan/guarantee/equity and quasi-equity investment, by product (Optional)	
VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)	
32. Information whether the financial instrument was still operational at the end of the reporting year	Yes

32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up	
VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)	
35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR)	
36. Amounts repaid to the financial instrument attributable to support from ESI Funds by the end of the reporting year (in EUR)	
36.1. out of which capital repayments (in EUR)	
36.2. out of which gains, other earnings and yields (in EUR)	
37. Amounts of resources attributable to ESI Funds used in accordance with Article 44 and 43a	
37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR)	
37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR)	
37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the financial instrument resulting from negative interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in EUR)	
VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR)	48,738,094.00
38.1A. Contributions under EIB financial product committed in the funding agreement with the body implementing the financial instrument (only for the instruments under Article 38(1)(c)) (in EUR)	
38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR)	28,939,822.00
38.2.1. out of which public contributions (EUR)	8,626,806.00
38.2.2. out of which private contributions (EUR)	20,313,016.00
38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR)	
IX. Contribution of the financial instrument to the achievement of the indicators of the priority or measure concerned (Article 46(2)(j) of Regulation (EU) No 1303/2013)	
41. Output indicator (code number and name) to which the financial instrument contributes	CO01 - Productive investment: Number of enterprises receiving support
41.1. Target value of the output indicator	378.00

41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	188.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO29 - Research, Innovation: Number of enterprises supported to introduce new to the firm products
41.1. Target value of the output indicator	32.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	35.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO03 - Productive investment: Number of enterprises receiving financial support other than grants
41.1. Target value of the output indicator	208.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	179.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO08 - Productive investment: Employment increase in supported enterprises
41.1. Target value of the output indicator	825.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	938.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO07 - Productive investment: Private investment matching public support to enterprises (non-grants)
41.1. Target value of the output indicator	63,900,133.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	33,880,199.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO05 - Productive investment: Number of new enterprises supported
41.1. Target value of the output indicator	74.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	6.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO04 - Productive investment: Number of enterprises receiving non-financial support
41.1. Target value of the output indicator	169.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	9.00

II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)

5. Name of the financial instrument	The Northern Powerhouse - Equity Fund North West - PA3
6. Official address/place of business of the financial instrument (name of the country and city)	Clarence House, Clarence Street, Manchester, M2 4DW
8. Type of the financial instrument	Fund of funds specific fund
8.2. Related Fund of Funds	The Northern Powerhouse Investment Fund - PA3
8.1. Tailor-made or financial instruments complying with standard terms and conditions i.e. "off-the-shelf instruments"	Tailor-made
9. Type of products provided by financial instrument: loans, micro-loans, guarantees, equity, or quasi-equity investments, other financial product or other support combined within the financial instrument pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
9.0.1. Loans (\geq EUR 25,000)	No
9.0.2. Micro-loans ($<$ EUR 25,000 and provided to micro-enterprises) according to SEC/2011/1134 final	No
9.0.3. Guarantees	No
9.0.4. Equity	Yes
9.0.5. Quasi-equity	Yes
9.0.6. Other financial products	No
9.0.7. Other support combined with a financial instrument	No
9.1. Description of the other financial product	
9.2. Other support combined within the financial instrument: grant, interest rate subsidy, guarantee fee subsidy pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial institution	Fiduciary account
III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)	
11. Body implementing the financial instrument	
11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European Investment Bank; the European Investment Fund; international financial institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only)	Body governed by public or private law
11.1.1. Name of the body implementing the financial instrument	Maven
11.1.2. Official address/place of business (country and town name) of the body implementing the financial instrument	Clarence House, Clarence Street, Manchester, M2 4DW
12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure	Selection in accordance with the provisions of the public

	procurement directive
12.1. Description of the other procedure of selecting the body implementing the financial instrument	
13. Date of signature of the funding agreement with the body implementing the financial instrument	21-Nov-2016
IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)	
14. Total amount of programme contributions committed in the funding agreement (in EUR)	68,967,163.00
14.1. out of which ESI Funds contributions (in EUR)	24,083,333.00
14.1.1. out of which ERDF (in EUR) (optional)	
14.1.2. out of which Cohesion Fund (in EUR) (optional)	
14.1.3. out of which ESF (in EUR) (optional)	
14.1.4. out of which EAFRD (in EUR) (optional)	
14.1.5. out of which EMFF (in EUR) (optional)	
15. Total amount of programme contributions paid to the financial instrument (in EUR)	50,331,628.00
15.1. out of which amount of ESI Funds contributions (in EUR)	17,575,804.00
15.1.1. out of which ERDF (in EUR)	17,575,804.00
15.1.2. out of which Cohesion Fund (in EUR)	
15.1.3. out of which ESF (in EUR)	
15.1.4. out of which EAFRD (in EUR)	
15.1.5. out of which EMFF (in EUR)	
15.2. out of which total amount of national co-financing (in EUR)	32,755,824.00
15.2.1. out of which total amount of national public funding (in EUR)	9,764,336.00
15.2.2. out of which total amount of national private funding (in EUR)	22,991,488.00
16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR)	
17. Total amount of management costs and fees paid out of programme contributions (in EUR)	3,607,618.00
17.1. out of which base remuneration (in EUR)	
17.2. out of which performance-based remuneration (in EUR)	
18. Capitalised management costs or fees pursuant to Article 42(2) of Regulation (EU) No 1303/2013 (relevant only for final report) (in EUR)	
19. Capitalised interest rate subsidies or guarantee fee subsidies pursuant to Article 42(1)(c) of Regulation (EU) No 1303/2013 (relevant to final report only) (in EUR)	
20. Amount of programme contributions for follow-on investments in final recipients pursuant to Article 42(3) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
V. Total amount of support paid to final recipients, or to the benefit of final recipients, or committed in guarantee contracts by the financial instrument for investments in final recipients, by ESI Funds programme and priority or measure (Article 46(2)(e) of Regulation (EU) No 1303/2013)	
22. Name of financial product offered by the financial instrument	Equity Fund PA3
22.1. Type of financial product offered by the financial instrument	Equity

24. Total amount of programme contributions committed in this loan, guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR)	50,331,628.00
24.1. out of which total amount of ESI Funds contributions (in EUR)	17,575,804.00
25. Total amount of programme contributions paid to final recipients through this loan, micro-loans, equity or other products, or, in the case of guarantee, committed for loans paid to final recipients, by product (in EUR)	50,331,628.00
25.1. out of which total amount of ESI Funds contributions (in EUR)	17,575,804.00
25.1.1. out of which ERDF (in EUR)	17,575,804.00
25.1.2. out of which Cohesion Fund (in EUR)	
25.1.3. out of which ESF (in EUR)	
25.1.4. out of which EAFRD (in EUR)	
25.1.5. out of which EMFF (in EUR)	
25.2. out of which total amount of national public co-financing (in EUR)	9,764,336.00
25.3. out of which total amount of national private co-financing (in EUR)	22,991,488.00
27. Number of loan/guarantee/equity or quasi-equity/other financial product contracts signed with final recipients, by product	97
28. Number of loan/guarantee/equity or quasi-equity/other financial product investments made in final recipients, by product	97
29. Number of financial recipients supported by the financial product	54
29.1. out of which large enterprises	
29.2. out of which SMEs	54
29.2.1. out of which microenterprises	
29.3. out of which individuals/natural persons	
29.4. out of which other type of final recipients supported	
29.4.1. description of other type of final recipients supported	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.3. Total amount of other contributions, outside the ESI Funds mobilised at the level of final recipients (in EUR)	32,755,824.00
38.3.1. out of which public contributions (EUR)	9,764,336.00
38.3.2. out of which private contributions (EUR)	22,991,488.00
38.3A. Contribution under EIB financial product mobilised at the level of final recipient (only for the instruments under Article 38(1)(c)) (in EUR)	
39. Expected and achieved leverage effect, by reference to the funding agreement	
39.1. Expected leverage effect for loan/guarantee/equity or quasi-equity investment/other financial product, by reference to the funding agreement, by product	5.55
39.2. Achieved leverage effect at the end of reporting year for loan/guarantee/equity or quasi-equity investment/other financial product, by product	2.55
39.3. Investment mobilised through ESIF financial instruments for loan/guarantee/equity and quasi-equity investment, by product (Optional)	
40. Value of investments and participations in equity (in EUR)	35,217,024.00
VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)	
32. Information whether the financial instrument was still operational at	Yes

the end of the reporting year	
32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up	
VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)	
35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR)	
36. Amounts repaid to the financial instrument attributable to support from ESI Funds by the end of the reporting year (in EUR)	
36.1. out of which capital repayments (in EUR)	
36.2. out of which gains, other earnings and yields (in EUR)	
37. Amounts of resources attributable to ESI Funds used in accordance with Article 44 and 43a	
37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR)	
37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR)	
37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the financial instrument resulting from negative interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in EUR)	
VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR)	44,883,830.00
38.1A. Contributions under EIB financial product committed in the funding agreement with the body implementing the financial instrument (only for the instruments under Article 38(1)(c)) (in EUR)	
38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR)	32,755,824.00
38.2.1. out of which public contributions (EUR)	9,764,336.00
38.2.2. out of which private contributions (EUR)	22,991,488.00
38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR)	
IX. Contribution of the financial instrument to the achievement of the indicators of the priority or measure concerned (Article 46(2)(j) of Regulation (EU) No 1303/2013)	
<i>41. Output indicator (code number and name) to which the financial instrument contributes</i>	<i>CO29 - Research, Innovation: Number of enterprises supported to</i>

	<i>introduce new to the firm products</i>
41.1. Target value of the output indicator	36.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	20.00
<i>41. Output indicator (code number and name) to which the financial instrument contributes</i>	<i>CO01 - Productive investment: Number of enterprises receiving support</i>
41.1. Target value of the output indicator	419.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	156.00
<i>41. Output indicator (code number and name) to which the financial instrument contributes</i>	<i>CO05 - Productive investment: Number of new enterprises supported</i>
41.1. Target value of the output indicator	82.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	6.00
<i>41. Output indicator (code number and name) to which the financial instrument contributes</i>	<i>CO04 - Productive investment: Number of enterprises receiving non-financial support</i>
41.1. Target value of the output indicator	188.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	102.00
<i>41. Output indicator (code number and name) to which the financial instrument contributes</i>	<i>CO07 - Productive investment: Private investment matching public support to enterprises (non-grants)</i>
41.1. Target value of the output indicator	71,000,148.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	106,887,322.00
<i>41. Output indicator (code number and name) to which the financial instrument contributes</i>	<i>CO03 - Productive investment: Number of enterprises receiving financial support other than grants</i>
41.1. Target value of the output indicator	231.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	54.00
<i>41. Output indicator (code number and name) to which the financial instrument contributes</i>	<i>CO08 - Productive investment: Employment increase in supported enterprises</i>
41.1. Target value of the output indicator	916.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	727.00

II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)	
5. Name of the financial instrument	The Northern Powerhouse - Micro Loan Fund - North West - PA3
6. Official address/place of business of the financial instrument (name of the country and city)	Lee House, 90 Great Bridgewater Street, Manchester, M1 5JW
8. Type of the financial instrument	Fund of funds specific fund
8.2. Related Fund of Funds	The Northern Powerhouse Investment Fund - PA3
8.1. Tailor-made or financial instruments complying with standard terms and conditions i.e. "off-the-shelf instruments"	Tailor-made
9. Type of products provided by financial instrument: loans, micro-loans, guarantees, equity, or quasi-equity investments, other financial product or other support combined within the financial instrument pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
9.0.1. Loans (\geq EUR 25,000)	Yes
9.0.2. Micro-loans ($<$ EUR 25,000 and provided to micro-enterprises) according to SEC/2011/1134 final	No
9.0.3. Guarantees	No
9.0.4. Equity	No
9.0.5. Quasi-equity	No
9.0.6. Other financial products	No
9.0.7. Other support combined with a financial instrument	No
9.1. Description of the other financial product	
9.2. Other support combined within the financial instrument: grant, interest rate subsidy, guarantee fee subsidy pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial institution	Fiduciary account
III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)	
11. Body implementing the financial instrument	
11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European Investment Bank; the European Investment Fund; international financial institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only)	Body governed by public or private law
11.1.1. Name of the body implementing the financial instrument	BFS/MSIF
11.1.2. Official address/place of business (country and town name) of the	Lee House, 90 Great

body implementing the financial instrument	Bridgewater Street, Manchester, M1 5JW
12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure	Selection in accordance with the provisions of the public procurement directive
12.1. Description of the other procedure of selecting the body implementing the financial instrument	
13. Date of signature of the funding agreement with the body implementing the financial instrument	21-Nov-2016
IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)	
14. Total amount of programme contributions committed in the funding agreement (in EUR)	12,177,460.00
14.1. out of which ESI Funds contributions (in EUR)	4,252,369.00
14.1.1. out of which ERDF (in EUR) (optional)	
14.1.2. out of which Cohesion Fund (in EUR) (optional)	
14.1.3. out of which ESF (in EUR) (optional)	
14.1.4. out of which EAFRD (in EUR) (optional)	
14.1.5. out of which EMFF (in EUR) (optional)	
15. Total amount of programme contributions paid to the financial instrument (in EUR)	11,138,826.00
15.1. out of which amount of ESI Funds contributions (in EUR)	3,889,678.00
15.1.1. out of which ERDF (in EUR)	3,889,678.00
15.1.2. out of which Cohesion Fund (in EUR)	
15.1.3. out of which ESF (in EUR)	
15.1.4. out of which EAFRD (in EUR)	
15.1.5. out of which EMFF (in EUR)	
15.2. out of which total amount of national co-financing (in EUR)	7,249,148.00
15.2.1. out of which total amount of national public funding (in EUR)	2,160,932.00
15.2.2. out of which total amount of national private funding (in EUR)	5,088,216.00
16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR)	
17. Total amount of management costs and fees paid out of programme contributions (in EUR)	627,470.00
17.1. out of which base remuneration (in EUR)	
17.2. out of which performance-based remuneration (in EUR)	
18. Capitalised management costs or fees pursuant to Article 42(2) of Regulation (EU) No 1303/2013 (relevant only for final report) (in EUR)	
19. Capitalised interest rate subsidies or guarantee fee subsidies pursuant to Article 42(1)(c) of Regulation (EU) No 1303/2013 (relevant to final report only) (in EUR)	
20. Amount of programme contributions for follow-on investments in final recipients pursuant to Article 42(3) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
V. Total amount of support paid to final recipients, or to the benefit of final recipients, or	

committed in guarantee contracts by the financial instrument for investments in final recipients, by ESI Funds programme and priority or measure (Article 46(2)(e) of Regulation (EU) No 1303/2013)	
22. Name of financial product offered by the financial instrument	Micro Loan Fund - PA3
22.1. Type of financial product offered by the financial instrument	Loan
24. Total amount of programme contributions committed in this loan, guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR)	11,138,826.00
24.1. out of which total amount of ESI Funds contributions (in EUR)	3,889,678.00
25. Total amount of programme contributions paid to final recipients through this loan, micro-loans, equity or other products, or, in the case of guarantee, committed for loans paid to final recipients, by product (in EUR)	11,138,826.00
25.1. out of which total amount of ESI Funds contributions (in EUR)	3,889,678.00
25.1.1. out of which ERDF (in EUR)	3,889,678.00
25.1.2. out of which Cohesion Fund (in EUR)	
25.1.3. out of which ESF (in EUR)	
25.1.4. out of which EAFRD (in EUR)	
25.1.5. out of which EMFF (in EUR)	
25.2. out of which total amount of national public co-financing (in EUR)	2,160,932.00
25.3. out of which total amount of national private co-financing (in EUR)	5,088,216.00
27. Number of loan/guarantee/equity or quasi-equity/other financial product contracts signed with final recipients, by product	160
28. Number of loan/guarantee/equity or quasi-equity/other financial product investments made in final recipients, by product	160
29. Number of financial recipients supported by the financial product	150
29.1. out of which large enterprises	
29.2. out of which SMEs	150
29.2.1. out of which microenterprises	
29.3. out of which individuals/natural persons	
29.4. out of which other type of final recipients supported	
29.4.1. description of other type of final recipients supported	
33. Total number of disbursed loans defaulted or total number of guarantees provided and called due to the loan default	
34. Total amount of disbursed loans defaulted (in EUR) or total amount committed for guarantees provided and called due to loan default (in EUR)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.3. Total amount of other contributions, outside the ESI Funds mobilised at the level of final recipients (in EUR)	7,249,148.00
38.3.1. out of which public contributions (EUR)	2,160,932.00
38.3.2. out of which private contributions (EUR)	5,088,216.00
38.3A. Contribution under EIB financial product mobilised at the level of final recipient (only for the instruments under Article 38(1)(c)) (in EUR)	
39. Expected and achieved leverage effect, by reference to the funding agreement	
39.1. Expected leverage effect for loan/guarantee/equity or quasi-equity investment/other financial product, by reference to the funding agreement, by product	5.48
39.2. Achieved leverage effect at the end of reporting year for	2.59

loan/guarantee/equity or quasi-equity investment/other financial product, by product	
39.3. Investment mobilised through ESIF financial instruments for loan/guarantee/equity and quasi-equity investment, by product (Optional)	
VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)	
32. Information whether the financial instrument was still operational at the end of the reporting year	Yes
32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up	
VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)	
35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR)	
36. Amounts repaid to the financial instrument attributable to support from ESI Funds by the end of the reporting year (in EUR)	
36.1. out of which capital repayments (in EUR)	
36.2. out of which gains, other earnings and yields (in EUR)	
37. Amounts of resources attributable to ESI Funds used in accordance with Article 44 and 43a	
37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR)	
37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR)	
37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the financial instrument resulting from negative interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in EUR)	
VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR)	7,925,091.00
38.1A. Contributions under EIB financial product committed in the funding agreement with the body implementing the financial instrument (only for the instruments under Article 38(1)(c)) (in EUR)	
38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR)	7,249,148.00
38.2.1. out of which public contributions (EUR)	2,160,932.00

38.2.2. out of which private contributions (EUR)	5,088,216.00
38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR)	
IX. Contribution of the financial instrument to the achievement of the indicators of the priority or measure concerned (Article 46(2)(j) of Regulation (EU) No 1303/2013)	
41. Output indicator (code number and name) to which the financial instrument contributes	CO01 - Productive investment: Number of enterprises receiving support
41.1. Target value of the output indicator	63.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	277.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO07 - Productive investment: Private investment matching public support to enterprises (non-grants)
41.1. Target value of the output indicator	10,650,022.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	3,050,773.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO03 - Productive investment: Number of enterprises receiving financial support other than grants
41.1. Target value of the output indicator	34.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	150.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO08 - Productive investment: Employment increase in supported enterprises
41.1. Target value of the output indicator	137.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	150.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO04 - Productive investment: Number of enterprises receiving non-financial support
41.1. Target value of the output indicator	28.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	127.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO05 - Productive investment: Number of new enterprises supported
41.1. Target value of the output indicator	12.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	21.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO29 - Research, Innovation: Number of

	<i>enterprises supported to introduce new to the firm products</i>
41.1. Target value of the output indicator	5.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	18.00

II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)	
5. Name of the financial instrument	The Northern Powerhouse - Micro Loan Fund - York & Humber & Tees Valley
6. Official address/place of business of the financial instrument (name of the country and city)	Devere House, Vicar Lane, Bradford, BD1 5AH
8. Type of the financial instrument	Fund of funds specific fund
8.2. Related Fund of Funds	The Northern Powerhouse Investment Fund - PA3
8.1. Tailor-made or financial instruments complying with standard terms and conditions i.e. "off-the-shelf instruments"	Tailor-made
9. Type of products provided by financial instrument: loans, micro-loans, guarantees, equity, or quasi-equity investments, other financial product or other support combined within the financial instrument pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
9.0.1. Loans (\geq EUR 25,000)	Yes
9.0.2. Micro-loans ($<$ EUR 25,000 and provided to micro-enterprises) according to SEC/2011/1134 final	No
9.0.3. Guarantees	No
9.0.4. Equity	No
9.0.5. Quasi-equity	No
9.0.6. Other financial products	No
9.0.7. Other support combined with a financial instrument	No
9.1. Description of the other financial product	
9.2. Other support combined within the financial instrument: grant, interest rate subsidy, guarantee fee subsidy pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial institution	Fiduciary account
III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)	
11. Body implementing the financial instrument	
11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European Investment Bank; the European Investment Fund; international financial	Body governed by public or private law

institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only)	
11.1.1. Name of the body implementing the financial instrument	BEF/FFE
11.1.2. Official address/place of business (country and town name) of the body implementing the financial instrument	Devere House, Vicar Lane, Bradford, BD1 5AH
12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure	Selection in accordance with the provisions of the public procurement directive
12.1. Description of the other procedure of selecting the body implementing the financial instrument	
13. Date of signature of the funding agreement with the body implementing the financial instrument	21-Nov-2016
IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)	
14. Total amount of programme contributions committed in the funding agreement (in EUR)	12,177,460.00
14.1. out of which ESI Funds contributions (in EUR)	4,252,369.00
14.1.1. out of which ERDF (in EUR) (optional)	
14.1.2. out of which Cohesion Fund (in EUR) (optional)	
14.1.3. out of which ESF (in EUR) (optional)	
14.1.4. out of which EAFRD (in EUR) (optional)	
14.1.5. out of which EMFF (in EUR) (optional)	
15. Total amount of programme contributions paid to the financial instrument (in EUR)	8,565,974.00
15.1. out of which amount of ESI Funds contributions (in EUR)	2,991,238.00
15.1.1. out of which ERDF (in EUR)	2,991,238.00
15.1.2. out of which Cohesion Fund (in EUR)	
15.1.3. out of which ESF (in EUR)	
15.1.4. out of which EAFRD (in EUR)	
15.1.5. out of which EMFF (in EUR)	
15.2. out of which total amount of national co-financing (in EUR)	5,574,736.00
15.2.1. out of which total amount of national public funding (in EUR)	1,661,799.00
15.2.2. out of which total amount of national private funding (in EUR)	3,912,937.00
16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR)	
17. Total amount of management costs and fees paid out of programme contributions (in EUR)	585,078.00
17.1. out of which base remuneration (in EUR)	
17.2. out of which performance-based remuneration (in EUR)	
18. Capitalised management costs or fees pursuant to Article 42(2) of Regulation (EU) No 1303/2013 (relevant only for final report) (in EUR)	
19. Capitalised interest rate subsidies or guarantee fee subsidies pursuant to Article 42(1)(c) of Regulation (EU) No 1303/2013 (relevant to final report only) (in EUR)	
20. Amount of programme contributions for follow-on investments in	

final recipients pursuant to Article 42(3) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
V. Total amount of support paid to final recipients, or to the benefit of final recipients, or committed in guarantee contracts by the financial instrument for investments in final recipients, by ESI Funds programme and priority or measure (Article 46(2)(e) of Regulation (EU) No 1303/2013)	
22. Name of financial product offered by the financial instrument	Micro Loan Fund - PA3
22.1. Type of financial product offered by the financial instrument	Loan
24. Total amount of programme contributions committed in this loan, guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR)	8,565,974.00
24.1. out of which total amount of ESI Funds contributions (in EUR)	2,991,238.00
25. Total amount of programme contributions paid to final recipients through this loan, micro-loans, equity or other products, or, in the case of guarantee, committed for loans paid to final recipients, by product (in EUR)	8,565,974.00
25.1. out of which total amount of ESI Funds contributions (in EUR)	2,991,238.00
25.1.1. out of which ERDF (in EUR)	2,991,238.00
25.1.2. out of which Cohesion Fund (in EUR)	
25.1.3. out of which ESF (in EUR)	
25.1.4. out of which EAFRD (in EUR)	
25.1.5. out of which EMFF (in EUR)	
25.2. out of which total amount of national public co-financing (in EUR)	1,661,799.00
25.3. out of which total amount of national private co-financing (in EUR)	3,912,937.00
27. Number of loan/guarantee/equity or quasi-equity/other financial product contracts signed with final recipients, by product	206
28. Number of loan/guarantee/equity or quasi-equity/other financial product investments made in final recipients, by product	206
29. Number of financial recipients supported by the financial product	188
29.1. out of which large enterprises	
29.2. out of which SMEs	188
29.2.1. out of which microenterprises	
29.3. out of which individuals/natural persons	
29.4. out of which other type of final recipients supported	
29.4.1. description of other type of final recipients supported	
33. Total number of disbursed loans defaulted or total number of guarantees provided and called due to the loan default	
34. Total amount of disbursed loans defaulted (in EUR) or total amount committed for guarantees provided and called due to loan default (in EUR)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.3. Total amount of other contributions, outside the ESI Funds mobilised at the level of final recipients (in EUR)	5,574,736.00
38.3.1. out of which public contributions (EUR)	1,661,799.00
38.3.2. out of which private contributions (EUR)	3,912,937.00
38.3A. Contribution under EIB financial product mobilised at the level of	

final recipient (only for the instruments under Article 38(1)(c)) (in EUR)	
39. Expected and achieved leverage effect, by reference to the funding agreement	
39.1. Expected leverage effect for loan/guarantee/equity or quasi-equity investment/other financial product, by reference to the funding agreement, by product	5.48
39.2. Achieved leverage effect at the end of reporting year for loan/guarantee/equity or quasi-equity investment/other financial product, by product	2.56
39.3. Investment mobilised through ESIF financial instruments for loan/guarantee/equity and quasi-equity investment, by product (Optional)	
VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)	
32. Information whether the financial instrument was still operational at the end of the reporting year	Yes
32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up	
VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)	
35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR)	
36. Amounts repaid to the financial instrument attributable to support from ESI Funds by the end of the reporting year (in EUR)	
36.1. out of which capital repayments (in EUR)	
36.2. out of which gains, other earnings and yields (in EUR)	
37. Amounts of resources attributable to ESI Funds used in accordance with Article 44 and 43a	
37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR)	
37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR)	
37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the financial instrument resulting from negative interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in EUR)	
VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR)	7,925,091.00

38.1A. Contributions under EIB financial product committed in the funding agreement with the body implementing the financial instrument (only for the instruments under Article 38(1)(c)) (in EUR)	
38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR)	5,574,736.00
38.2.1. out of which public contributions (EUR)	1,661,799.00
38.2.2. out of which private contributions (EUR)	3,912,937.00
38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR)	
IX. Contribution of the financial instrument to the achievement of the indicators of the priority or measure concerned (Article 46(2)(j) of Regulation (EU) No 1303/2013)	
41. Output indicator (code number and name) to which the financial instrument contributes	CO05 - Productive investment: Number of new enterprises supported
41.1. Target value of the output indicator	12.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	11.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO29 - Research, Innovation: Number of enterprises supported to introduce new to the firm products
41.1. Target value of the output indicator	5.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	9.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO04 - Productive investment: Number of enterprises receiving non-financial support
41.1. Target value of the output indicator	28.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	92.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO08 - Productive investment: Employment increase in supported enterprises
41.1. Target value of the output indicator	137.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	1,588.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO07 - Productive investment: Private investment matching public support to enterprises (non-grants)
41.1. Target value of the output indicator	10,650,022.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	19,000,866.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO03 - Productive investment: Number of

	<i>enterprises receiving financial support other than grants</i>
41.1. Target value of the output indicator	34.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	188.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO01 - Productive investment: Number of enterprises receiving support
41.1. Target value of the output indicator	63.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	280.00

II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)	
5. Name of the financial instrument	The Nothern Powerhouse - Equity Fund Yorks & Tees Valley - PA3
6. Official address/place of business of the financial instrument (name of the country and city)	The Pinnacle Building, 73/79 King Street, Manchester, M2 4NG
8. Type of the financial instrument	Fund of funds specific fund
8.2. Related Fund of Funds	The Northern Powerhouse Investment Fund - PA3
8.1. Tailor-made or financial instruments complying with standard terms and conditions i.e. "off-the-shelf instruments"	Tailor-made
9. Type of products provided by financial instrument: loans, micro-loans, guarantees, equity, or quasi-equity investments, other financial product or other support combined within the financial instrument pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
9.0.1. Loans (\geq EUR 25,000)	No
9.0.2. Micro-loans ($<$ EUR 25,000 and provided to micro-enterprises) according to SEC/2011/1134 final	No
9.0.3. Guarantees	No
9.0.4. Equity	Yes
9.0.5. Quasi-equity	Yes
9.0.6. Other financial products	No
9.0.7. Other support combined with a financial instrument	No
9.1. Description of the other financial product	
9.2. Other support combined within the financial instrument: grant, interest rate subsidy, guarantee fee subsidy pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial institution	Fiduciary account
III. Identification of the body implementing the financial instrument, and the body implementing	

fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)	
11. Body implementing the financial instrument	
11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European Investment Bank; the European Investment Fund; international financial institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only)	Body governed by public or private law
11.1.1. Name of the body implementing the financial instrument	Mercia
11.1.2. Official address/place of business (country and town name) of the body implementing the financial instrument	The Pinnacle Building, 73/79 King Street, Manchester, M2 4DW
12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure	Selection in accordance with the provisions of the public procurement directive
12.1. Description of the other procedure of selecting the body implementing the financial instrument	
13. Date of signature of the funding agreement with the body implementing the financial instrument	21-Nov-2016
IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)	
14. Total amount of programme contributions committed in the funding agreement (in EUR)	68,967,163.00
14.1. out of which ESI Funds contributions (in EUR)	24,083,333.00
14.1.1. out of which ERDF (in EUR) (optional)	
14.1.2. out of which Cohesion Fund (in EUR) (optional)	
14.1.3. out of which ESF (in EUR) (optional)	
14.1.4. out of which EAFRD (in EUR) (optional)	
14.1.5. out of which EMFF (in EUR) (optional)	
15. Total amount of programme contributions paid to the financial instrument (in EUR)	54,114,237.00
15.1. out of which amount of ESI Funds contributions (in EUR)	18,896,692.00
15.1.1. out of which ERDF (in EUR)	18,896,692.00
15.1.2. out of which Cohesion Fund (in EUR)	
15.1.3. out of which ESF (in EUR)	
15.1.4. out of which EAFRD (in EUR)	
15.1.5. out of which EMFF (in EUR)	
15.2. out of which total amount of national co-financing (in EUR)	35,217,545.00
15.2.1. out of which total amount of national public funding (in EUR)	10,498,162.00
15.2.2. out of which total amount of national private funding (in EUR)	24,719,383.00
16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR)	
17. Total amount of management costs and fees paid out of programme contributions (in EUR)	3,762,575.00

17.1. out of which base remuneration (in EUR)	
17.2. out of which performance-based remuneration (in EUR)	
18. Capitalised management costs or fees pursuant to Article 42(2) of Regulation (EU) No 1303/2013 (relevant only for final report) (in EUR)	
19. Capitalised interest rate subsidies or guarantee fee subsidies pursuant to Article 42(1)(c) of Regulation (EU) No 1303/2013 (relevant to final report only) (in EUR)	
20. Amount of programme contributions for follow-on investments in final recipients pursuant to Article 42(3) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
V. Total amount of support paid to final recipients, or to the benefit of final recipients, or committed in guarantee contracts by the financial instrument for investments in final recipients, by ESI Funds programme and priority or measure (Article 46(2)(e) of Regulation (EU) No 1303/2013)	
22. Name of financial product offered by the financial instrument	Equity Fund - PA3
22.1. Type of financial product offered by the financial instrument	Equity
24. Total amount of programme contributions committed in this loan, guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR)	54,114,237.00
24.1. out of which total amount of ESI Funds contributions (in EUR)	18,896,692.00
25. Total amount of programme contributions paid to final recipients through this loan, micro-loans, equity or other products, or, in the case of guarantee, committed for loans paid to final recipients, by product (in EUR)	54,114,237.00
25.1. out of which total amount of ESI Funds contributions (in EUR)	18,896,692.00
25.1.1. out of which ERDF (in EUR)	18,896,692.00
25.1.2. out of which Cohesion Fund (in EUR)	
25.1.3. out of which ESF (in EUR)	
25.1.4. out of which EAFRD (in EUR)	
25.1.5. out of which EMFF (in EUR)	
25.2. out of which total amount of national public co-financing (in EUR)	10,498,162.00
25.3. out of which total amount of national private co-financing (in EUR)	24,719,383.00
27. Number of loan/guarantee/equity or quasi-equity/other financial product contracts signed with final recipients, by product	110
28. Number of loan/guarantee/equity or quasi-equity/other financial product investments made in final recipients, by product	110
29. Number of financial recipients supported by the financial product	55
29.1. out of which large enterprises	
29.2. out of which SMEs	55
29.2.1. out of which microenterprises	
29.3. out of which individuals/natural persons	
29.4. out of which other type of final recipients supported	
29.4.1. description of other type of final recipients supported	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.3. Total amount of other contributions, outside the ESI Funds mobilised at the level of final recipients (in EUR)	35,217,545.00

38.3.1. out of which public contributions (EUR)	10,498,162.00
38.3.2. out of which private contributions (EUR)	24,719,383.00
38.3A. Contribution under EIB financial product mobilised at the level of final recipient (only for the instruments under Article 38(1)(c)) (in EUR)	
39. Expected and achieved leverage effect, by reference to the funding agreement	
39.1. Expected leverage effect for loan/guarantee/equity or quasi-equity investment/other financial product, by reference to the funding agreement, by product	5.55
39.2. Achieved leverage effect at the end of reporting year for loan/guarantee/equity or quasi-equity investment/other financial product, by product	2.56
39.3. Investment mobilised through ESIF financial instruments for loan/guarantee/equity and quasi-equity investment, by product (Optional)	
40. Value of investments and participations in equity (in EUR)	39,557,079.00
VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)	
32. Information whether the financial instrument was still operational at the end of the reporting year	Yes
32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up	
VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)	
35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR)	
36. Amounts repaid to the financial instrument attributable to support from ESI Funds by the end of the reporting year (in EUR)	
36.1. out of which capital repayments (in EUR)	
36.2. out of which gains, other earnings and yields (in EUR)	
37. Amounts of resources attributable to ESI Funds used in accordance with Article 44 and 43a	
37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR)	
37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR)	
37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the financial instrument resulting from negative interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in EUR)	
VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument	

(EUR)	
38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR)	44,883,830.00
38.1A. Contributions under EIB financial product committed in the funding agreement with the body implementing the financial instrument (only for the instruments under Article 38(1)(c)) (in EUR)	
38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR)	35,217,545.00
38.2.1. out of which public contributions (EUR)	10,498,162.00
38.2.2. out of which private contributions (EUR)	24,719,383.00
38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR)	
IX. Contribution of the financial instrument to the achievement of the indicators of the priority or measure concerned (Article 46(2)(j) of Regulation (EU) No 1303/2013)	
41. Output indicator (code number and name) to which the financial instrument contributes	CO03 - Productive investment: Number of enterprises receiving financial support other than grants
41.1. Target value of the output indicator	231.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	55.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO29 - Research, Innovation: Number of enterprises supported to introduce new to the firm products
41.1. Target value of the output indicator	36.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	24.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO08 - Productive investment: Employment increase in supported enterprises
41.1. Target value of the output indicator	916.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	506.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO05 - Productive investment: Number of new enterprises supported
41.1. Target value of the output indicator	82.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	11.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO04 - Productive investment: Number of enterprises receiving non-financial support
41.1. Target value of the output indicator	188.00

41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	22.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO07 - Productive investment: Private investment matching public support to enterprises (non-grants)
41.1. Target value of the output indicator	71,000,148.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	84,420,884.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO01 - Productive investment: Number of enterprises receiving support
41.1. Target value of the output indicator	419.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	77.00

I. Identification of the programme and priority or measure from which support from the ESI Funds is provided (Article 46(2)(a) of Regulation (EU) No 1303/2013)	
1. Priority axes or measures supporting the financial instrument, including fund of funds, under the ESI Fund programme.	
1.1. Priority axis supporting the financial instrument under the ESI Fund programme	4 - Supporting the Shift Towards a Low Carbon Economy in all Sectors
2. Name of ESI Fund(s) supporting the financial instrument under the priority axis or measure	ERDF
3. Thematic objective(s) referred to in the first paragraph of Article 9 of Regulation (EU) No 1303/2013 supported by the financial instrument	04 - Supporting the shift towards a low-carbon economy in all sectors
3.1. Amount of ESI Funds committed in funding agreements from the individual thematic objective chosen in field 3 (optional)	16,051,022.00
4. other ESI Fund programmes providing contribution to the financial instrument	
4.1. CCI number of each other ESI Fund programme providing contributions to the financial instrument	
30. Date of completion of the ex ante assessment	15-Nov-2016
31. Selection of bodies implementing financial instrument	
31.1. Has selection or designation process already been launched	Yes
II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)	
5. Name of the financial instrument	The Northern Powerhouse Investment Fund - PA4
6. Official address/place of business of the financial instrument (name of the country and city)	Steel City House, West Street, Sheffield, S1 2GQ
7. Implementation arrangements	
7.1. Financial instruments set up at Union level, managed directly or indirectly by the Commission referred to in Article 38(1)(a) of Regulation (EU) No	

1303/2013, supported from ESI Fund programme contributions	
7.1.1. Name of the Union-level financial instrument	
7.2. Financial instrument set up at national, regional, transnational or cross-border level, managed by or under the responsibility of the managing authority referred to in Article 38(1)(b), supported from ESI Fund programme contributions under point (a), (b), (c) and d) of Article 38(4) of Regulation (EU) No 1303/2013	Entrustment of implementation tasks, through the direct award of a contract
7.3. Financial instrument combining financial contribution from MA with EIB financial products under European Fund for Strategic Investment in accordance with Article 39a, referred to in Article 38(1)(c)	
8. Type of the financial instrument	Fund of funds
10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial institution	Fiduciary account
III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)	
11. Body implementing the financial instrument	
11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European Investment Bank; the European Investment Fund; international financial institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only)	Body governed by public or private law
11.1.1. Name of the body implementing the financial instrument	Northern Powerhouse Investments Limited (NPIL)
11.1.2. Official address/place of business (country and town name) of the body implementing the financial instrument	Steel City House, West Street, Sheffield, S1 2GQ
12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure	Others
12.1. Description of the other procedure of selecting the body implementing the financial instrument	Entrustment of a publicly owned company, via inter-administrative co-operation between the

	managing authority and The Secretary of State for Business, Energy and Industrial Strategy (BEIS) in accordance with Article 38 (1)(b) & 38 4(b) (iii) of the CPR, and s3.6.2 of Commission Notice 2016/C 276/01
13. Date of signature of the funding agreement with the body implementing the financial instrument	21-Nov-2016
IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)	
14. Total amount of programme contributions committed in the funding agreement (in EUR)	45,965,127.00
14.1. out of which ESI Funds contributions (in EUR)	16,051,022.00
14.1.1. out of which ERDF (in EUR) (optional)	
14.1.2. out of which Cohesion Fund (in EUR) (optional)	
14.1.3. out of which ESF (in EUR) (optional)	
14.1.4. out of which EAFRD (in EUR) (optional)	
14.1.5. out of which EMFF (in EUR) (optional)	
15. Total amount of programme contributions paid to the financial instrument (in EUR)	35,290,810.00
15.1. out of which amount of ESI Funds contributions (in EUR)	12,323,551.00
15.1.1. out of which ERDF (in EUR)	12,323,551.00
15.1.2. out of which Cohesion Fund (in EUR)	
15.1.3. out of which ESF (in EUR)	
15.1.4. out of which EAFRD (in EUR)	
15.1.5. out of which EMFF (in EUR)	
15.2. out of which total amount of national co-financing (in EUR)	22,967,259.00
15.2.1. out of which total amount of national public funding (in EUR)	6,846,417.00
15.2.2. out of which total amount of national private funding (in EUR)	16,120,842.00
16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR)	
17. Total amount of management costs and fees paid out of programme contributions (in EUR)	533,005.00
17.1. out of which base remuneration (in EUR)	
17.2. out of which performance-based remuneration (in EUR)	
21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)	

32. Information whether the financial instrument was still operational at the end of the reporting year	Yes
32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up	
VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)	
35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR)	
37. Amounts of resources attributable to ESI Funds used in accordance with Article 44 and 43a	
37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR)	
37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR)	
37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the financial instrument resulting from negative interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in EUR)	
VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR)	29,914,105.00
38.1A. Contributions under EIB financial product committed in the funding agreement with the body implementing the financial instrument (only for the instruments under Article 38(1)(c)) (in EUR)	
38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR)	22,967,259.00
38.2.1. out of which public contributions (EUR)	6,846,417.00
38.2.2. out of which private contributions (EUR)	16,120,842.00
38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR)	

II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)	
5. Name of the financial instrument	The Northern Powerhouse - Debt Fund - North West Excluding Cumbria
6. Official address/place of business of the financial instrument (name of the country and city)	Clarence House, Clarence Street, Manchester, M2 4DW
8. Type of the financial instrument	Fund of funds specific fund
8.2. Related Fund of Funds	The Northern Powerhouse Investment Fund - PA4
8.1. Tailor-made or financial instruments complying with standard terms and conditions i.e. "off-the-shelf instruments"	Tailor-made
9. Type of products provided by financial instrument: loans, micro-loans, guarantees, equity, or quasi-equity investments, other financial product or other support combined within the financial instrument pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
9.0.1. Loans (\geq EUR 25,000)	Yes
9.0.2. Micro-loans ($<$ EUR 25,000 and provided to micro-enterprises) according to SEC/2011/1134 final	No
9.0.3. Guarantees	No
9.0.4. Equity	No
9.0.5. Quasi-equity	No
9.0.6. Other financial products	No
9.0.7. Other support combined with a financial instrument	No
9.1. Description of the other financial product	
9.2. Other support combined within the financial instrument: grant, interest rate subsidy, guarantee fee subsidy pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial institution	Fiduciary account
III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)	
11. Body implementing the financial instrument	
11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European Investment Bank; the European Investment Fund; international financial institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only)	Body governed by public or private law
11.1.1. Name of the body implementing the financial instrument	FW Capital

11.1.2. Official address/place of business (country and town name) of the body implementing the financial instrument	The Plaza, 100 Old Hall Street, Liverpool, L3 9QJ
12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure	Selection in accordance with the provisions of the public procurement directive
12.1. Description of the other procedure of selecting the body implementing the financial instrument	
13. Date of signature of the funding agreement with the body implementing the financial instrument	21-Nov-2016
IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)	
14. Total amount of programme contributions committed in the funding agreement (in EUR)	9,331,707.00
14.1. out of which ESI Funds contributions (in EUR)	3,258,632.00
14.1.1. out of which ERDF (in EUR) (optional)	
14.1.2. out of which Cohesion Fund (in EUR) (optional)	
14.1.3. out of which ESF (in EUR) (optional)	
14.1.4. out of which EAFRD (in EUR) (optional)	
14.1.5. out of which EMFF (in EUR) (optional)	
15. Total amount of programme contributions paid to the financial instrument (in EUR)	3,476,298.00
15.1. out of which amount of ESI Funds contributions (in EUR)	1,213,923.00
15.1.1. out of which ERDF (in EUR)	1,213,923.00
15.1.2. out of which Cohesion Fund (in EUR)	
15.1.3. out of which ESF (in EUR)	
15.1.4. out of which EAFRD (in EUR)	
15.1.5. out of which EMFF (in EUR)	
15.2. out of which total amount of national co-financing (in EUR)	2,262,375.00
15.2.1. out of which total amount of national public funding (in EUR)	674,402.00
15.2.2. out of which total amount of national private funding (in EUR)	1,587,973.00
16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR)	
17. Total amount of management costs and fees paid out of programme contributions (in EUR)	0.00
17.1. out of which base remuneration (in EUR)	
17.2. out of which performance-based remuneration (in EUR)	
18. Capitalised management costs or fees pursuant to Article 42(2) of Regulation (EU) No 1303/2013 (relevant only for final report) (in EUR)	
19. Capitalised interest rate subsidies or guarantee fee subsidies pursuant to Article 42(1)(c) of Regulation (EU) No 1303/2013 (relevant to final report only) (in EUR)	
20. Amount of programme contributions for follow-on investments in final recipients pursuant to Article 42(3) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
V. Total amount of support paid to final recipients, or to the benefit of final recipients, or	

committed in guarantee contracts by the financial instrument for investments in final recipients, by ESI Funds programme and priority or measure (Article 46(2)(e) of Regulation (EU) No 1303/2013)	
22. Name of financial product offered by the financial instrument	Debt Fund - PA4
22.1. Type of financial product offered by the financial instrument	Loan
24. Total amount of programme contributions committed in this loan, guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR)	3,476,298.00
24.1. out of which total amount of ESI Funds contributions (in EUR)	1,213,923.00
25. Total amount of programme contributions paid to final recipients through this loan, micro-loans, equity or other products, or, in the case of guarantee, committed for loans paid to final recipients, by product (in EUR)	3,476,298.00
25.1. out of which total amount of ESI Funds contributions (in EUR)	1,213,923.00
25.1.1. out of which ERDF (in EUR)	1,213,923.00
25.1.2. out of which Cohesion Fund (in EUR)	
25.1.3. out of which ESF (in EUR)	
25.1.4. out of which EAFRD (in EUR)	
25.1.5. out of which EMFF (in EUR)	
25.2. out of which total amount of national public co-financing (in EUR)	674,402.00
25.3. out of which total amount of national private co-financing (in EUR)	1,587,973.00
27. Number of loan/guarantee/equity or quasi-equity/other financial product contracts signed with final recipients, by product	14
28. Number of loan/guarantee/equity or quasi-equity/other financial product investments made in final recipients, by product	14
29. Number of financial recipients supported by the financial product	9
29.1. out of which large enterprises	
29.2. out of which SMEs	9
29.2.1. out of which microenterprises	
29.3. out of which individuals/natural persons	
29.4. out of which other type of final recipients supported	
29.4.1. description of other type of final recipients supported	
33. Total number of disbursed loans defaulted or total number of guarantees provided and called due to the loan default	
34. Total amount of disbursed loans defaulted (in EUR) or total amount committed for guarantees provided and called due to loan default (in EUR)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.3. Total amount of other contributions, outside the ESI Funds mobilised at the level of final recipients (in EUR)	2,262,375.00
38.3.1. out of which public contributions (EUR)	674,402.00
38.3.2. out of which private contributions (EUR)	1,587,973.00
38.3A. Contribution under EIB financial product mobilised at the level of final recipient (only for the instruments under Article 38(1)(c)) (in EUR)	
39. Expected and achieved leverage effect, by reference to the funding agreement	
39.1. Expected leverage effect for loan/guarantee/equity or quasi-equity investment/other financial product, by reference to the funding agreement, by product	5.57
39.2. Achieved leverage effect at the end of reporting year for loan/guarantee/equity or quasi-equity investment/other financial product,	2.82

by product	
39.3. Investment mobilised through ESIF financial instruments for loan/guarantee/equity and quasi-equity investment, by product (Optional)	
VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)	
32. Information whether the financial instrument was still operational at the end of the reporting year	Yes
32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up	
VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)	
35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR)	
36. Amounts repaid to the financial instrument attributable to support from ESI Funds by the end of the reporting year (in EUR)	
36.1. out of which capital repayments (in EUR)	
36.2. out of which gains, other earnings and yields (in EUR)	
37. Amounts of resources attributable to ESI Funds used in accordance with Article 44 and 43a	
37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR)	
37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR)	
37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the financial instrument resulting from negative interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in EUR)	
VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR)	6,073,075.00
38.1A. Contributions under EIB financial product committed in the funding agreement with the body implementing the financial instrument (only for the instruments under Article 38(1)(c)) (in EUR)	
38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR)	2,262,375.00
38.2.1. out of which public contributions (EUR)	674,402.00
38.2.2. out of which private contributions (EUR)	1,587,973.00

38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR)	
IX. Contribution of the financial instrument to the achievement of the indicators of the priority or measure concerned (Article 46(2)(j) of Regulation (EU) No 1303/2013)	
41. Output indicator (code number and name) to which the financial instrument contributes	CO01 - Productive investment: Number of enterprises receiving support
41.1. Target value of the output indicator	46.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	9.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO05 - Productive investment: Number of new enterprises supported
41.1. Target value of the output indicator	9.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	0.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO29 - Research, Innovation: Number of enterprises supported to introduce new to the firm products
41.1. Target value of the output indicator	4.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	1.00

II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)	
5. Name of the financial instrument	The Northern Powerhouse - Debt Fund - Tees Valley & Cumbria
6. Official address/place of business of the financial instrument (name of the country and city)	The Plaza, 100 Old Hall Street, Liverpool, L3 9QJ
8. Type of the financial instrument	Fund of funds specific fund
8.2. Related Fund of Funds	The Northern Powerhouse Investment Fund - PA4
8.1. Tailor-made or financial instruments complying with standard terms and conditions i.e. "off-the-shelf instruments"	Tailor-made
9. Type of products provided by financial instrument: loans, micro-loans, guarantees, equity, or quasi-equity investments, other financial product or other support combined within the financial instrument pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
9.0.1. Loans (\geq EUR 25,000)	Yes
9.0.2. Micro-loans ($<$ EUR 25,000 and provided to micro-enterprises) according to SEC/2011/1134 final	No
9.0.3. Guarantees	No
9.0.4. Equity	No
9.0.5. Quasi-equity	No
9.0.6. Other financial products	No

9.0.7. Other support combined with a financial instrument	No
9.1. Description of the other financial product	
9.2. Other support combined within the financial instrument: grant, interest rate subsidy, guarantee fee subsidy pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial institution	Fiduciary account
III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)	
11. Body implementing the financial instrument	
11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European Investment Bank; the European Investment Fund; international financial institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only)	Body governed by public or private law
11.1.1. Name of the body implementing the financial instrument	FW Capital
11.1.2. Official address/place of business (country and town name) of the body implementing the financial instrument	The Plaza, 100 Old Hall Street, Liverpool, L3 9QJ
12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure	Selection in accordance with the provisions of the public procurement directive
12.1. Description of the other procedure of selecting the body implementing the financial instrument	
13. Date of signature of the funding agreement with the body implementing the financial instrument	21-Nov-2016
IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)	
14. Total amount of programme contributions committed in the funding agreement (in EUR)	6,427,119.00
14.1. out of which ESI Funds contributions (in EUR)	2,244,350.00
14.1.1. out of which ERDF (in EUR) (optional)	
14.1.2. out of which Cohesion Fund (in EUR) (optional)	
14.1.3. out of which ESF (in EUR) (optional)	
14.1.4. out of which EAFRD (in EUR) (optional)	
14.1.5. out of which EMFF (in EUR) (optional)	
15. Total amount of programme contributions paid to the financial instrument (in EUR)	3,363,431.00
15.1. out of which amount of ESI Funds contributions (in EUR)	1,174,510.00
15.1.1. out of which ERDF (in EUR)	1,174,510.00

15.1.2. out of which Cohesion Fund (in EUR)	
15.1.3. out of which ESF (in EUR)	
15.1.4. out of which EAFRD (in EUR)	
15.1.5. out of which EMFF (in EUR)	
15.2. out of which total amount of national co-financing (in EUR)	2,188,921.00
15.2.1. out of which total amount of national public funding (in EUR)	652,506.00
15.2.2. out of which total amount of national private funding (in EUR)	1,536,415.00
16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR)	
17. Total amount of management costs and fees paid out of programme contributions (in EUR)	0.00
17.1. out of which base remuneration (in EUR)	
17.2. out of which performance-based remuneration (in EUR)	
18. Capitalised management costs or fees pursuant to Article 42(2) of Regulation (EU) No 1303/2013 (relevant only for final report) (in EUR)	
19. Capitalised interest rate subsidies or guarantee fee subsidies pursuant to Article 42(1)(c) of Regulation (EU) No 1303/2013 (relevant to final report only) (in EUR)	
20. Amount of programme contributions for follow-on investments in final recipients pursuant to Article 42(3) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
V. Total amount of support paid to final recipients, or to the benefit of final recipients, or committed in guarantee contracts by the financial instrument for investments in final recipients, by ESI Funds programme and priority or measure (Article 46(2)(e) of Regulation (EU) No 1303/2013)	
22. Name of financial product offered by the financial instrument	Debit Fund - PA4
22.1. Type of financial product offered by the financial instrument	Loan
24. Total amount of programme contributions committed in this loan, guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR)	3,363,431.00
24.1. out of which total amount of ESI Funds contributions (in EUR)	1,174,510.00
25. Total amount of programme contributions paid to final recipients through this loan, micro-loans, equity or other products, or, in the case of guarantee, committed for loans paid to final recipients, by product (in EUR)	3,363,431.00
25.1. out of which total amount of ESI Funds contributions (in EUR)	1,174,510.00
25.1.1. out of which ERDF (in EUR)	1,174,510.00
25.1.2. out of which Cohesion Fund (in EUR)	
25.1.3. out of which ESF (in EUR)	
25.1.4. out of which EAFRD (in EUR)	
25.1.5. out of which EMFF (in EUR)	
25.2. out of which total amount of national public co-financing (in EUR)	652,506.00
25.3. out of which total amount of national private co-financing (in EUR)	1,536,415.00
27. Number of loan/guarantee/equity or quasi-equity/other financial product contracts signed with final recipients, by product	8
28. Number of loan/guarantee/equity or quasi-equity/other financial product investments made in final recipients, by product	8

29. Number of financial recipients supported by the financial product	5
29.1. out of which large enterprises	
29.2. out of which SMEs	5
29.2.1. out of which microenterprises	
29.3. out of which individuals/natural persons	
29.4. out of which other type of final recipients supported	
29.4.1. description of other type of final recipients supported	
33. Total number of disbursed loans defaulted or total number of guarantees provided and called due to the loan default	
34. Total amount of disbursed loans defaulted (in EUR) or total amount committed for guarantees provided and called due to loan default (in EUR)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.3. Total amount of other contributions, outside the ESI Funds mobilised at the level of final recipients (in EUR)	2,188,921.00
38.3.1. out of which public contributions (EUR)	652,506.00
38.3.2. out of which private contributions (EUR)	1,536,415.00
38.3A. Contribution under EIB financial product mobilised at the level of final recipient (only for the instruments under Article 38(1)(c)) (in EUR)	
39. Expected and achieved leverage effect, by reference to the funding agreement	
39.1. Expected leverage effect for loan/guarantee/equity or quasi-equity investment/other financial product, by reference to the funding agreement, by product	5.50
39.2. Achieved leverage effect at the end of reporting year for loan/guarantee/equity or quasi-equity investment/other financial product, by product	2.82
39.3. Investment mobilised through ESIF financial instruments for loan/guarantee/equity and quasi-equity investment, by product (Optional)	
VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)	
32. Information whether the financial instrument was still operational at the end of the reporting year	Yes
32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up	
VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)	
35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR)	
36. Amounts repaid to the financial instrument attributable to support from ESI Funds by the end of the reporting year (in EUR)	
36.1. out of which capital repayments (in EUR)	
36.2. out of which gains, other earnings and yields (in EUR)	
37. Amounts of resources attributable to ESI Funds used in accordance with Article 44 and 43a	
37.1. out of which amounts paid for differentiated treatment of investors	

operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR)	
37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR)	
37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the financial instrument resulting from negative interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in EUR)	
VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR)	4,182,769.00
38.1A. Contributions under EIB financial product committed in the funding agreement with the body implementing the financial instrument (only for the instruments under Article 38(1)(c)) (in EUR)	
38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR)	2,188,921.00
38.2.1. out of which public contributions (EUR)	652,506.00
38.2.2. out of which private contributions (EUR)	1,536,415.00
38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR)	
IX. Contribution of the financial instrument to the achievement of the indicators of the priority or measure concerned (Article 46(2)(j) of Regulation (EU) No 1303/2013)	
41. Output indicator (code number and name) to which the financial instrument contributes	CO29 - Research, Innovation: Number of enterprises supported to introduce new to the firm products
41.1. Target value of the output indicator	4.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	2.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO01 - Productive investment: Number of enterprises receiving support
41.1. Target value of the output indicator	46.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	5.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO05 - Productive investment: Number of new enterprises supported
41.1. Target value of the output indicator	9.00
41.2. Value achieved by the financial instrument in relation to the target	1.00

value of the output indicator	
-------------------------------	--

II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)	
5. Name of the financial instrument	The Northern Powerhouse - Debt Fund - Yorks & Humber - PA4
6. Official address/place of business of the financial instrument (name of the country and city)	The Pinnacle House, 73/79 King Street, Manchester, M2 4NG
8. Type of the financial instrument	Fund of funds specific fund
8.2. Related Fund of Funds	The Northern Powerhouse Investment Fund - PA4
8.1. Tailor-made or financial instruments complying with standard terms and conditions i.e. "off-the-shelf instruments"	Tailor-made
9. Type of products provided by financial instrument: loans, micro-loans, guarantees, equity, or quasi-equity investments, other financial product or other support combined within the financial instrument pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
9.0.1. Loans (\geq EUR 25,000)	Yes
9.0.2. Micro-loans ($<$ EUR 25,000 and provided to micro-enterprises) according to SEC/2011/1134 final	No
9.0.3. Guarantees	No
9.0.4. Equity	No
9.0.5. Quasi-equity	No
9.0.6. Other financial products	No
9.0.7. Other support combined with a financial instrument	No
9.1. Description of the other financial product	
9.2. Other support combined within the financial instrument: grant, interest rate subsidy, guarantee fee subsidy pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial institution	Fiduciary account
III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)	
11. Body implementing the financial instrument	
11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European Investment Bank; the European Investment Fund; international financial institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for	Body governed by public or private law

loans or guarantees only)	
11.1.1. Name of the body implementing the financial instrument	Mercia
11.1.2. Official address/place of business (country and town name) of the body implementing the financial instrument	The Pinnacle House, 73/79 King Street, Manchester, M2 4NG
12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure	Selection in accordance with the provisions of the public procurement directive
12.1. Description of the other procedure of selecting the body implementing the financial instrument	
13. Date of signature of the funding agreement with the body implementing the financial instrument	21-Nov-2016
IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)	
14. Total amount of programme contributions committed in the funding agreement (in EUR)	9,331,707.00
14.1. out of which ESI Funds contributions (in EUR)	3,258,632.00
14.1.1. out of which ERDF (in EUR) (optional)	
14.1.2. out of which Cohesion Fund (in EUR) (optional)	
14.1.3. out of which ESF (in EUR) (optional)	
14.1.4. out of which EAFRD (in EUR) (optional)	
14.1.5. out of which EMFF (in EUR) (optional)	
15. Total amount of programme contributions paid to the financial instrument (in EUR)	
15.1. out of which amount of ESI Funds contributions (in EUR)	
15.1.1. out of which ERDF (in EUR)	
15.1.2. out of which Cohesion Fund (in EUR)	
15.1.3. out of which ESF (in EUR)	
15.1.4. out of which EAFRD (in EUR)	
15.1.5. out of which EMFF (in EUR)	
15.2. out of which total amount of national co-financing (in EUR)	
15.2.1. out of which total amount of national public funding (in EUR)	
15.2.2. out of which total amount of national private funding (in EUR)	
16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR)	
17. Total amount of management costs and fees paid out of programme contributions (in EUR)	0.00
17.1. out of which base remuneration (in EUR)	
17.2. out of which performance-based remuneration (in EUR)	
18. Capitalised management costs or fees pursuant to Article 42(2) of Regulation (EU) No 1303/2013 (relevant only for final report) (in EUR)	
19. Capitalised interest rate subsidies or guarantee fee subsidies pursuant to Article 42(1)(c) of Regulation (EU) No 1303/2013 (relevant to final report only) (in EUR)	
20. Amount of programme contributions for follow-on investments in final recipients pursuant to Article 42(3) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
21. Contributions of land and/or real estate in the financial instrument	

pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
V. Total amount of support paid to final recipients, or to the benefit of final recipients, or committed in guarantee contracts by the financial instrument for investments in final recipients, by ESI Funds programme and priority or measure (Article 46(2)(e) of Regulation (EU) No 1303/2013)	
22. Name of financial product offered by the financial instrument	Debt Fund - PA4
22.1. Type of financial product offered by the financial instrument	Loan
24. Total amount of programme contributions committed in this loan, guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR)	
24.1. out of which total amount of ESI Funds contributions (in EUR)	
25. Total amount of programme contributions paid to final recipients through this loan, micro-loans, equity or other products, or, in the case of guarantee, committed for loans paid to final recipients, by product (in EUR)	
25.1. out of which total amount of ESI Funds contributions (in EUR)	
25.1.1. out of which ERDF (in EUR)	
25.1.2. out of which Cohesion Fund (in EUR)	
25.1.3. out of which ESF (in EUR)	
25.1.4. out of which EAFRD (in EUR)	
25.1.5. out of which EMFF (in EUR)	
25.2. out of which total amount of national public co-financing (in EUR)	
25.3. out of which total amount of national private co-financing (in EUR)	
27. Number of loan/guarantee/equity or quasi-equity/other financial product contracts signed with final recipients, by product	
28. Number of loan/guarantee/equity or quasi-equity/other financial product investments made in final recipients, by product	
29. Number of financial recipients supported by the financial product	
29.1. out of which large enterprises	
29.2. out of which SMEs	
29.2.1. out of which microenterprises	
29.3. out of which individuals/natural persons	
29.4. out of which other type of final recipients supported	
29.4.1. description of other type of final recipients supported	
33. Total number of disbursed loans defaulted or total number of guarantees provided and called due to the loan default	
34. Total amount of disbursed loans defaulted (in EUR) or total amount committed for guarantees provided and called due to loan default (in EUR)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.3. Total amount of other contributions, outside the ESI Funds mobilised at the level of final recipients (in EUR)	
38.3.1. out of which public contributions (EUR)	
38.3.2. out of which private contributions (EUR)	
38.3A. Contribution under EIB financial product mobilised at the level of final recipient (only for the instruments under Article 38(1)(c)) (in EUR)	
39. Expected and achieved leverage effect, by reference to the funding agreement	
39.1. Expected leverage effect for loan/guarantee/equity or quasi-equity investment/other financial product, by reference to the funding agreement,	5.57

by product	
39.2. Achieved leverage effect at the end of reporting year for loan/guarantee/equity or quasi-equity investment/other financial product, by product	
39.3. Investment mobilised through ESIF financial instruments for loan/guarantee/equity and quasi-equity investment, by product (Optional)	
VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)	
32. Information whether the financial instrument was still operational at the end of the reporting year	Yes
32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up	
VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)	
35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR)	
36. Amounts repaid to the financial instrument attributable to support from ESI Funds by the end of the reporting year (in EUR)	
36.1. out of which capital repayments (in EUR)	
36.2. out of which gains, other earnings and yields (in EUR)	
37. Amounts of resources attributable to ESI Funds used in accordance with Article 44 and 43a	
37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR)	
37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR)	
37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the financial instrument resulting from negative interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in EUR)	
VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR)	6,073,075.00
38.1A. Contributions under EIB financial product committed in the funding agreement with the body implementing the financial instrument (only for the instruments under Article 38(1)(c)) (in EUR)	
38.2. Total amount of other contributions, outside the ESI Funds paid to	

the financial instrument (in EUR)	
38.2.1. out of which public contributions (EUR)	
38.2.2. out of which private contributions (EUR)	
38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR)	
IX. Contribution of the financial instrument to the achievement of the indicators of the priority or measure concerned (Article 46(2)(j) of Regulation (EU) No 1303/2013)	
41. Output indicator (code number and name) to which the financial instrument contributes	CO01 - Productive investment: Number of enterprises receiving support
41.1. Target value of the output indicator	46.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	0.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO05 - Productive investment: Number of new enterprises supported
41.1. Target value of the output indicator	9.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	0.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO29 - Research, Innovation: Number of enterprises supported to introduce new to the firm products
41.1. Target value of the output indicator	4.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	0.00

II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)	
5. Name of the financial instrument	The Northern Powerhouse - Equity Fund - North West - PA4
6. Official address/place of business of the financial instrument (name of the country and city)	Clarence House, Clarence Street, Manchester, M2 4DW
8. Type of the financial instrument	Fund of funds specific fund
8.2. Related Fund of Funds	The Northern Powerhouse Investment Fund - PA4
8.1. Tailor-made or financial instruments complying with standard terms and conditions i.e. "off-the-shelf instruments"	Tailor-made
9. Type of products provided by financial instrument: loans, micro-loans, guarantees, equity, or quasi-equity investments, other financial product or other support combined within the financial instrument pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
9.0.1. Loans (\geq EUR 25,000)	No
9.0.2. Micro-loans ($<$ EUR 25,000 and provided to micro-enterprises) according to SEC/2011/1134 final	No

9.0.3. Guarantees	No
9.0.4. Equity	Yes
9.0.5. Quasi-equity	Yes
9.0.6. Other financial products	No
9.0.7. Other support combined with a financial instrument	No
9.1. Description of the other financial product	
9.2. Other support combined within the financial instrument: grant, interest rate subsidy, guarantee fee subsidy pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial institution	Fiduciary account
III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)	
11. Body implementing the financial instrument	
11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European Investment Bank; the European Investment Fund; international financial institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only)	Body governed by public or private law
11.1.1. Name of the body implementing the financial instrument	Maven
11.1.2. Official address/place of business (country and town name) of the body implementing the financial instrument	Clarence House, Clarence Street, Manchester, M2 4DW
12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure	Selection in accordance with the provisions of the public procurement directive
12.1. Description of the other procedure of selecting the body implementing the financial instrument	
13. Date of signature of the funding agreement with the body implementing the financial instrument	21-Nov-2016
IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)	
14. Total amount of programme contributions committed in the funding agreement (in EUR)	9,285,963.00
14.1. out of which ESI Funds contributions (in EUR)	3,242,658.00
14.1.1. out of which ERDF (in EUR) (optional)	
14.1.2. out of which Cohesion Fund (in EUR) (optional)	
14.1.3. out of which ESF (in EUR) (optional)	
14.1.4. out of which EAFRD (in EUR) (optional)	

14.1.5. out of which EMFF (in EUR) (optional)	
15. Total amount of programme contributions paid to the financial instrument (in EUR)	4,373,284.00
15.1. out of which amount of ESI Funds contributions (in EUR)	1,527,151.00
15.1.1. out of which ERDF (in EUR)	1,527,151.00
15.1.2. out of which Cohesion Fund (in EUR)	
15.1.3. out of which ESF (in EUR)	
15.1.4. out of which EAFRD (in EUR)	
15.1.5. out of which EMFF (in EUR)	
15.2. out of which total amount of national co-financing (in EUR)	2,846,133.00
15.2.1. out of which total amount of national public funding (in EUR)	848,417.00
15.2.2. out of which total amount of national private funding (in EUR)	1,997,716.00
16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR)	
17. Total amount of management costs and fees paid out of programme contributions (in EUR)	249,659.00
17.1. out of which base remuneration (in EUR)	
17.2. out of which performance-based remuneration (in EUR)	
18. Capitalised management costs or fees pursuant to Article 42(2) of Regulation (EU) No 1303/2013 (relevant only for final report) (in EUR)	
19. Capitalised interest rate subsidies or guarantee fee subsidies pursuant to Article 42(1)(c) of Regulation (EU) No 1303/2013 (relevant to final report only) (in EUR)	
20. Amount of programme contributions for follow-on investments in final recipients pursuant to Article 42(3) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
V. Total amount of support paid to final recipients, or to the benefit of final recipients, or committed in guarantee contracts by the financial instrument for investments in final recipients, by ESI Funds programme and priority or measure (Article 46(2)(e) of Regulation (EU) No 1303/2013)	
22. Name of financial product offered by the financial instrument	Equity Fund - PA4
22.1. Type of financial product offered by the financial instrument	Equity
24. Total amount of programme contributions committed in this loan, guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR)	4,373,284.00
24.1. out of which total amount of ESI Funds contributions (in EUR)	1,527,151.00
25. Total amount of programme contributions paid to final recipients through this loan, micro-loans, equity or other products, or, in the case of guarantee, committed for loans paid to final recipients, by product (in EUR)	4,373,284.00
25.1. out of which total amount of ESI Funds contributions (in EUR)	1,527,151.00
25.1.1. out of which ERDF (in EUR)	1,527,151.00
25.1.2. out of which Cohesion Fund (in EUR)	
25.1.3. out of which ESF (in EUR)	
25.1.4. out of which EAFRD (in EUR)	
25.1.5. out of which EMFF (in EUR)	
25.2. out of which total amount of national public co-financing (in EUR)	848,417.00

25.3. out of which total amount of national private co-financing (in EUR)	1,997,716.00
27. Number of loan/guarantee/equity or quasi-equity/other financial product contracts signed with final recipients, by product	7
28. Number of loan/guarantee/equity or quasi-equity/other financial product investments made in final recipients, by product	7
29. Number of financial recipients supported by the financial product	5
29.1. out of which large enterprises	
29.2. out of which SMEs	5
29.2.1. out of which microenterprises	
29.3. out of which individuals/natural persons	
29.4. out of which other type of final recipients supported	
29.4.1. description of other type of final recipients supported	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.3. Total amount of other contributions, outside the ESI Funds mobilised at the level of final recipients (in EUR)	2,846,133.00
38.3.1. out of which public contributions (EUR)	848,417.00
38.3.2. out of which private contributions (EUR)	1,997,716.00
38.3A. Contribution under EIB financial product mobilised at the level of final recipient (only for the instruments under Article 38(1)(c)) (in EUR)	
39. Expected and achieved leverage effect, by reference to the funding agreement	
39.1. Expected leverage effect for loan/guarantee/equity or quasi-equity investment/other financial product, by reference to the funding agreement, by product	5.55
39.2. Achieved leverage effect at the end of reporting year for loan/guarantee/equity or quasi-equity investment/other financial product, by product	2.67
39.3. Investment mobilised through ESIF financial instruments for loan/guarantee/equity and quasi-equity investment, by product (Optional)	
40. Value of investments and participations in equity (in EUR)	3,182,476.00
VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)	
32. Information whether the financial instrument was still operational at the end of the reporting year	Yes
32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up	
VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)	
35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR)	
36. Amounts repaid to the financial instrument attributable to support from ESI Funds by the end of the reporting year (in EUR)	
36.1. out of which capital repayments (in EUR)	
36.2. out of which gains, other earnings and yields (in EUR)	
37. Amounts of resources attributable to ESI Funds used in accordance	

with Article 44 and 43a	
37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR)	
37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR)	
37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the financial instrument resulting from negative interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in EUR)	
VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR)	6,043,305.00
38.1A. Contributions under EIB financial product committed in the funding agreement with the body implementing the financial instrument (only for the instruments under Article 38(1)(c)) (in EUR)	
38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR)	2,846,133.00
38.2.1. out of which public contributions (EUR)	848,417.00
38.2.2. out of which private contributions (EUR)	1,997,716.00
38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR)	
IX. Contribution of the financial instrument to the achievement of the indicators of the priority or measure concerned (Article 46(2)(j) of Regulation (EU) No 1303/2013)	
41. Output indicator (code number and name) to which the financial instrument contributes	CO01 - Productive investment: Number of enterprises receiving support
41.1. Target value of the output indicator	51.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	5.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO05 - Productive investment: Number of new enterprises supported
41.1. Target value of the output indicator	10.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	0.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO29 - Research, Innovation: Number of enterprises supported to introduce new to the firm products

41.1. Target value of the output indicator	5.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	3.00

II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)	
5. Name of the financial instrument	The Northern Powerhouse - Equity Fund - Yorks & Humber & Tees Valley
6. Official address/place of business of the financial instrument (name of the country and city)	The Pinnacle Building, 73/79 King Street, Manchester, M2 4NG
8. Type of the financial instrument	Fund of funds specific fund
8.2. Related Fund of Funds	The Northern Powerhouse Investment Fund - PA4
8.1. Tailor-made or financial instruments complying with standard terms and conditions i.e. "off-the-shelf instruments"	Tailor-made
9. Type of products provided by financial instrument: loans, micro-loans, guarantees, equity, or quasi-equity investments, other financial product or other support combined within the financial instrument pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
9.0.1. Loans (\geq EUR 25,000)	No
9.0.2. Micro-loans ($<$ EUR 25,000 and provided to micro-enterprises) according to SEC/2011/1134 final	No
9.0.3. Guarantees	No
9.0.4. Equity	Yes
9.0.5. Quasi-equity	Yes
9.0.6. Other financial products	No
9.0.7. Other support combined with a financial instrument	No
9.1. Description of the other financial product	
9.2. Other support combined within the financial instrument: grant, interest rate subsidy, guarantee fee subsidy pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial institution	Fiduciary account
III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)	
11. Body implementing the financial instrument	
11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European Investment Bank; the European Investment Fund; international financial institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial	Body governed by public or private law

activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only)	
11.1.1. Name of the body implementing the financial instrument	Mercia
11.1.2. Official address/place of business (country and town name) of the body implementing the financial instrument	The Pinnacle Building, 73/79 King Street, Manchester, M2 4NG
12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure	Selection in accordance with the provisions of the public procurement directive
12.1. Description of the other procedure of selecting the body implementing the financial instrument	
13. Date of signature of the funding agreement with the body implementing the financial instrument	21-Nov-2016
IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)	
14. Total amount of programme contributions committed in the funding agreement (in EUR)	9,285,963.00
14.1. out of which ESI Funds contributions (in EUR)	3,242,658.00
14.1.1. out of which ERDF (in EUR) (optional)	
14.1.2. out of which Cohesion Fund (in EUR) (optional)	
14.1.3. out of which ESF (in EUR) (optional)	
14.1.4. out of which EAFRD (in EUR) (optional)	
14.1.5. out of which EMFF (in EUR) (optional)	
15. Total amount of programme contributions paid to the financial instrument (in EUR)	3,625,991.00
15.1. out of which amount of ESI Funds contributions (in EUR)	1,266,196.00
15.1.1. out of which ERDF (in EUR)	1,266,196.00
15.1.2. out of which Cohesion Fund (in EUR)	
15.1.3. out of which ESF (in EUR)	
15.1.4. out of which EAFRD (in EUR)	
15.1.5. out of which EMFF (in EUR)	
15.2. out of which total amount of national co-financing (in EUR)	2,359,795.00
15.2.1. out of which total amount of national public funding (in EUR)	703,442.00
15.2.2. out of which total amount of national private funding (in EUR)	1,656,353.00
16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR)	
17. Total amount of management costs and fees paid out of programme contributions (in EUR)	279,004.00
17.1. out of which base remuneration (in EUR)	
17.2. out of which performance-based remuneration (in EUR)	
18. Capitalised management costs or fees pursuant to Article 42(2) of Regulation (EU) No 1303/2013 (relevant only for final report) (in EUR)	
19. Capitalised interest rate subsidies or guarantee fee subsidies pursuant to Article 42(1)(c) of Regulation (EU) No 1303/2013 (relevant to final report only) (in EUR)	
20. Amount of programme contributions for follow-on investments in final recipients pursuant to Article 42(3) of Regulation (EU) No 1303/2013	

(relevant for final report only) (in EUR)	
21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
V. Total amount of support paid to final recipients, or to the benefit of final recipients, or committed in guarantee contracts by the financial instrument for investments in final recipients, by ESI Funds programme and priority or measure (Article 46(2)(e) of Regulation (EU) No 1303/2013)	
22. Name of financial product offered by the financial instrument	Equity Fund - Yorks - PA4
22.1. Type of financial product offered by the financial instrument	Equity
24. Total amount of programme contributions committed in this loan, guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR)	3,625,991.00
24.1. out of which total amount of ESI Funds contributions (in EUR)	1,266,196.00
25. Total amount of programme contributions paid to final recipients through this loan, micro-loans, equity or other products, or, in the case of guarantee, committed for loans paid to final recipients, by product (in EUR)	3,625,991.00
25.1. out of which total amount of ESI Funds contributions (in EUR)	1,266,196.00
25.1.1. out of which ERDF (in EUR)	1,266,196.00
25.1.2. out of which Cohesion Fund (in EUR)	
25.1.3. out of which ESF (in EUR)	
25.1.4. out of which EAFRD (in EUR)	
25.1.5. out of which EMFF (in EUR)	
25.2. out of which total amount of national public co-financing (in EUR)	703,442.00
25.3. out of which total amount of national private co-financing (in EUR)	1,656,353.00
27. Number of loan/guarantee/equity or quasi-equity/other financial product contracts signed with final recipients, by product	11
28. Number of loan/guarantee/equity or quasi-equity/other financial product investments made in final recipients, by product	11
29. Number of financial recipients supported by the financial product	4
29.1. out of which large enterprises	
29.2. out of which SMEs	4
29.2.1. out of which microenterprises	
29.3. out of which individuals/natural persons	
29.4. out of which other type of final recipients supported	
29.4.1. description of other type of final recipients supported	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.3. Total amount of other contributions, outside the ESI Funds mobilised at the level of final recipients (in EUR)	2,359,795.00
38.3.1. out of which public contributions (EUR)	703,442.00
38.3.2. out of which private contributions (EUR)	1,656,353.00
38.3A. Contribution under EIB financial product mobilised at the level of final recipient (only for the instruments under Article 38(1)(c)) (in EUR)	
39. Expected and achieved leverage effect, by reference to the funding agreement	
39.1. Expected leverage effect for loan/guarantee/equity or quasi-equity investment/other financial product, by reference to the funding agreement, by product	5.55
39.2. Achieved leverage effect at the end of reporting year for	2.62

loan/guarantee/equity or quasi-equity investment/other financial product, by product	
39.3. Investment mobilised through ESIF financial instruments for loan/guarantee/equity and quasi-equity investment, by product (Optional)	
40. Value of investments and participations in equity (in EUR)	3,556,547.00
VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)	
32. Information whether the financial instrument was still operational at the end of the reporting year	Yes
32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up	
VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)	
35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR)	
36. Amounts repaid to the financial instrument attributable to support from ESI Funds by the end of the reporting year (in EUR)	
36.1. out of which capital repayments (in EUR)	
36.2. out of which gains, other earnings and yields (in EUR)	
37. Amounts of resources attributable to ESI Funds used in accordance with Article 44 and 43a	
37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR)	
37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR)	
37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the financial instrument resulting from negative interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in EUR)	
VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR)	6,043,305.00
38.1A. Contributions under EIB financial product committed in the funding agreement with the body implementing the financial instrument (only for the instruments under Article 38(1)(c)) (in EUR)	
38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR)	2,359,795.00

38.2.1. out of which public contributions (EUR)	703,442.00
38.2.2. out of which private contributions (EUR)	1,656,353.00
38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR)	
IX. Contribution of the financial instrument to the achievement of the indicators of the priority or measure concerned (Article 46(2)(j) of Regulation (EU) No 1303/2013)	
<i>41. Output indicator (code number and name) to which the financial instrument contributes</i>	<i>CO29 - Research, Innovation: Number of enterprises supported to introduce new to the firm products</i>
41.1. Target value of the output indicator	5.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	3.00
<i>41. Output indicator (code number and name) to which the financial instrument contributes</i>	<i>CO01 - Productive investment: Number of enterprises receiving support</i>
41.1. Target value of the output indicator	51.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	4.00
<i>41. Output indicator (code number and name) to which the financial instrument contributes</i>	<i>CO05 - Productive investment: Number of new enterprises supported</i>
41.1. Target value of the output indicator	10.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	1.00

II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)	
5. Name of the financial instrument	The Northern Powerhouse - Micro Loan Fund - North West - PA4
6. Official address/place of business of the financial instrument (name of the country and city)	Lee House, 90 Great Bridgewater Street, Manchester, M
8. Type of the financial instrument	Fund of funds specific fund
8.2. Related Fund of Funds	The Northern Powerhouse Investment Fund - PA4
8.1. Tailor-made or financial instruments complying with standard terms and conditions i.e. "off-the-shelf instruments"	Tailor-made
9. Type of products provided by financial instrument: loans, micro-loans, guarantees, equity, or quasi-equity investments, other financial product or other support combined within the financial instrument pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
9.0.1. Loans (\geq EUR 25,000)	Yes
9.0.2. Micro-loans ($<$ EUR 25,000 and provided to micro-enterprises) according to SEC/2011/1134 final	No
9.0.3. Guarantees	No

9.0.4. Equity	No
9.0.5. Quasi-equity	No
9.0.6. Other financial products	No
9.0.7. Other support combined with a financial instrument	No
9.1. Description of the other financial product	
9.2. Other support combined within the financial instrument: grant, interest rate subsidy, guarantee fee subsidy pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial institution	Fiduciary account
III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)	
11. Body implementing the financial instrument	
11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European Investment Bank; the European Investment Fund; international financial institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only)	Body governed by public or private law
11.1.1. Name of the body implementing the financial instrument	BFS/MSIF
11.1.2. Official address/place of business (country and town name) of the body implementing the financial instrument	Lee House, 90 Great Bridgewater Street, Manchester, M1 5JW
12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure	Selection in accordance with the provisions of the public procurement directive
12.1. Description of the other procedure of selecting the body implementing the financial instrument	
13. Date of signature of the funding agreement with the body implementing the financial instrument	21-Nov-2016
IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)	
14. Total amount of programme contributions committed in the funding agreement (in EUR)	1,151,334.00
14.1. out of which ESI Funds contributions (in EUR)	402,046.00
14.1.1. out of which ERDF (in EUR) (optional)	
14.1.2. out of which Cohesion Fund (in EUR) (optional)	
14.1.3. out of which ESF (in EUR) (optional)	
14.1.4. out of which EAFRD (in EUR) (optional)	
14.1.5. out of which EMFF (in EUR) (optional)	

15. Total amount of programme contributions paid to the financial instrument (in EUR)	
15.1. out of which amount of ESI Funds contributions (in EUR)	
15.1.1. out of which ERDF (in EUR)	
15.1.2. out of which Cohesion Fund (in EUR)	
15.1.3. out of which ESF (in EUR)	
15.1.4. out of which EAFRD (in EUR)	
15.1.5. out of which EMFF (in EUR)	
15.2. out of which total amount of national co-financing (in EUR)	
15.2.1. out of which total amount of national public funding (in EUR)	
15.2.2. out of which total amount of national private funding (in EUR)	
16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR)	
17. Total amount of management costs and fees paid out of programme contributions (in EUR)	0.00
17.1. out of which base remuneration (in EUR)	
17.2. out of which performance-based remuneration (in EUR)	
18. Capitalised management costs or fees pursuant to Article 42(2) of Regulation (EU) No 1303/2013 (relevant only for final report) (in EUR)	
19. Capitalised interest rate subsidies or guarantee fee subsidies pursuant to Article 42(1)(c) of Regulation (EU) No 1303/2013 (relevant to final report only) (in EUR)	
20. Amount of programme contributions for follow-on investments in final recipients pursuant to Article 42(3) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
V. Total amount of support paid to final recipients, or to the benefit of final recipients, or committed in guarantee contracts by the financial instrument for investments in final recipients, by ESI Funds programme and priority or measure (Article 46(2)(e) of Regulation (EU) No 1303/2013)	
22. Name of financial product offered by the financial instrument	Micro Loan Fund - PA4
22.1. Type of financial product offered by the financial instrument	Loan
24. Total amount of programme contributions committed in this loan, guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR)	
24.1. out of which total amount of ESI Funds contributions (in EUR)	
25. Total amount of programme contributions paid to final recipients through this loan, micro-loans, equity or other products, or, in the case of guarantee, committed for loans paid to final recipients, by product (in EUR)	
25.1. out of which total amount of ESI Funds contributions (in EUR)	
25.1.1. out of which ERDF (in EUR)	
25.1.2. out of which Cohesion Fund (in EUR)	
25.1.3. out of which ESF (in EUR)	
25.1.4. out of which EAFRD (in EUR)	
25.1.5. out of which EMFF (in EUR)	
25.2. out of which total amount of national public co-financing (in EUR)	
25.3. out of which total amount of national private co-financing (in EUR)	

27. Number of loan/guarantee/equity or quasi-equity/other financial product contracts signed with final recipients, by product	
28. Number of loan/guarantee/equity or quasi-equity/other financial product investments made in final recipients, by product	
29. Number of financial recipients supported by the financial product	
29.1. out of which large enterprises	
29.2. out of which SMEs	
29.2.1. out of which microenterprises	
29.3. out of which individuals/natural persons	
29.4. out of which other type of final recipients supported	
29.4.1. description of other type of final recipients supported	
33. Total number of disbursed loans defaulted or total number of guarantees provided and called due to the loan default	
34. Total amount of disbursed loans defaulted (in EUR) or total amount committed for guarantees provided and called due to loan default (in EUR)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.3. Total amount of other contributions, outside the ESI Funds mobilised at the level of final recipients (in EUR)	
38.3.1. out of which public contributions (EUR)	
38.3.2. out of which private contributions (EUR)	
38.3A. Contribution under EIB financial product mobilised at the level of final recipient (only for the instruments under Article 38(1)(c)) (in EUR)	
39. Expected and achieved leverage effect, by reference to the funding agreement	
39.1. Expected leverage effect for loan/guarantee/equity or quasi-equity investment/other financial product, by reference to the funding agreement, by product	5.48
39.2. Achieved leverage effect at the end of reporting year for loan/guarantee/equity or quasi-equity investment/other financial product, by product	
39.3. Investment mobilised through ESIF financial instruments for loan/guarantee/equity and quasi-equity investment, by product (Optional)	
VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)	
32. Information whether the financial instrument was still operational at the end of the reporting year	Yes
32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up	
VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)	
35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR)	
36. Amounts repaid to the financial instrument attributable to support from ESI Funds by the end of the reporting year (in EUR)	
36.1. out of which capital repayments (in EUR)	

36.2. out of which gains, other earnings and yields (in EUR)	
37. Amounts of resources attributable to ESI Funds used in accordance with Article 44 and 43a	
37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR)	
37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR)	
37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the financial instrument resulting from negative interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in EUR)	
VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR)	749,288.00
38.1A. Contributions under EIB financial product committed in the funding agreement with the body implementing the financial instrument (only for the instruments under Article 38(1)(c)) (in EUR)	
38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR)	
38.2.1. out of which public contributions (EUR)	
38.2.2. out of which private contributions (EUR)	
38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR)	
IX. Contribution of the financial instrument to the achievement of the indicators of the priority or measure concerned (Article 46(2)(j) of Regulation (EU) No 1303/2013)	
41. Output indicator (code number and name) to which the financial instrument contributes	CO29 - Research, Innovation: Number of enterprises supported to introduce new to the firm products
41.1. Target value of the output indicator	1.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	0.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO05 - Productive investment: Number of new enterprises supported
41.1. Target value of the output indicator	2.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	0.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO01 - Productive investment: Number of

	<i>enterprises receiving support</i>
41.1. Target value of the output indicator	7.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	1.00

II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)

5. Name of the financial instrument	The Northern Powerhouse - Micro Loan Fund - Yorks & Humber & Tees Valley
6. Official address/place of business of the financial instrument (name of the country and city)	Devere House, Vicar Lane, Bradford, BD1 5AH
8. Type of the financial instrument	Fund of funds specific fund
8.2. Related Fund of Funds	The Northern Powerhouse Investment Fund - PA4
8.1. Tailor-made or financial instruments complying with standard terms and conditions i.e. "off-the-shelf instruments"	Tailor-made

9. Type of products provided by financial instrument: loans, micro-loans, guarantees, equity, or quasi-equity investments, other financial product or other support combined within the financial instrument pursuant to Article 37(7) of Regulation (EU) No 1303/2013

9.0.1. Loans (\geq EUR 25,000)	Yes
9.0.2. Micro-loans ($<$ EUR 25,000 and provided to micro-enterprises) according to SEC/2011/1134 final	No
9.0.3. Guarantees	No
9.0.4. Equity	No
9.0.5. Quasi-equity	No
9.0.6. Other financial products	No
9.0.7. Other support combined with a financial instrument	No

9.1. Description of the other financial product	
9.2. Other support combined within the financial instrument: grant, interest rate subsidy, guarantee fee subsidy pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial institution	Fiduciary account

III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)

11. Body implementing the financial instrument	
11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European Investment Bank; the European Investment Fund; international financial institution in which a Member State is a shareholder; a publicly-owned	Body governed by public or private law

bank or institution, established as a legal entity carrying out financial activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only)	
11.1.1. Name of the body implementing the financial instrument	BEF/FFE
11.1.2. Official address/place of business (country and town name) of the body implementing the financial instrument	Devere House, Vicar Lane, Bradford, BD1 5AH
12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure	Selection in accordance with the provisions of the public procurement directive
12.1. Description of the other procedure of selecting the body implementing the financial instrument	
13. Date of signature of the funding agreement with the body implementing the financial instrument	21-Nov-2016
IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)	
14. Total amount of programme contributions committed in the funding agreement (in EUR)	1,151,334.00
14.1. out of which ESI Funds contributions (in EUR)	402,046.00
14.1.1. out of which ERDF (in EUR) (optional)	
14.1.2. out of which Cohesion Fund (in EUR) (optional)	
14.1.3. out of which ESF (in EUR) (optional)	
14.1.4. out of which EAFRD (in EUR) (optional)	
14.1.5. out of which EMFF (in EUR) (optional)	
15. Total amount of programme contributions paid to the financial instrument (in EUR)	56,434.00
15.1. out of which amount of ESI Funds contributions (in EUR)	19,707.00
15.1.1. out of which ERDF (in EUR)	19,707.00
15.1.2. out of which Cohesion Fund (in EUR)	
15.1.3. out of which ESF (in EUR)	
15.1.4. out of which EAFRD (in EUR)	
15.1.5. out of which EMFF (in EUR)	
15.2. out of which total amount of national co-financing (in EUR)	36,727.00
15.2.1. out of which total amount of national public funding (in EUR)	10,948.00
15.2.2. out of which total amount of national private funding (in EUR)	25,779.00
16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR)	
17. Total amount of management costs and fees paid out of programme contributions (in EUR)	4,342.00
17.1. out of which base remuneration (in EUR)	
17.2. out of which performance-based remuneration (in EUR)	
18. Capitalised management costs or fees pursuant to Article 42(2) of Regulation (EU) No 1303/2013 (relevant only for final report) (in EUR)	
19. Capitalised interest rate subsidies or guarantee fee subsidies pursuant to Article 42(1)(c) of Regulation (EU) No 1303/2013 (relevant to final report only) (in EUR)	
20. Amount of programme contributions for follow-on investments in final recipients pursuant to Article 42(3) of Regulation (EU) No 1303/2013	

(relevant for final report only) (in EUR)	
21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
V. Total amount of support paid to final recipients, or to the benefit of final recipients, or committed in guarantee contracts by the financial instrument for investments in final recipients, by ESI Funds programme and priority or measure (Article 46(2)(e) of Regulation (EU) No 1303/2013)	
22. Name of financial product offered by the financial instrument	Micro Loan Fund - PA4
22.1. Type of financial product offered by the financial instrument	Loan
24. Total amount of programme contributions committed in this loan, guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR)	56,434.00
24.1. out of which total amount of ESI Funds contributions (in EUR)	19,707.00
25. Total amount of programme contributions paid to final recipients through this loan, micro-loans, equity or other products, or, in the case of guarantee, committed for loans paid to final recipients, by product (in EUR)	56,434.00
25.1. out of which total amount of ESI Funds contributions (in EUR)	19,707.00
25.1.1. out of which ERDF (in EUR)	19,707.00
25.1.2. out of which Cohesion Fund (in EUR)	
25.1.3. out of which ESF (in EUR)	
25.1.4. out of which EAFRD (in EUR)	
25.1.5. out of which EMFF (in EUR)	
25.2. out of which total amount of national public co-financing (in EUR)	10,948.00
25.3. out of which total amount of national private co-financing (in EUR)	25,779.00
27. Number of loan/guarantee/equity or quasi-equity/other financial product contracts signed with final recipients, by product	1
28. Number of loan/guarantee/equity or quasi-equity/other financial product investments made in final recipients, by product	1
29. Number of financial recipients supported by the financial product	1
29.1. out of which large enterprises	
29.2. out of which SMEs	1
29.2.1. out of which microenterprises	
29.3. out of which individuals/natural persons	
29.4. out of which other type of final recipients supported	
29.4.1. description of other type of final recipients supported	
33. Total number of disbursed loans defaulted or total number of guarantees provided and called due to the loan default	
34. Total amount of disbursed loans defaulted (in EUR) or total amount committed for guarantees provided and called due to loan default (in EUR)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.3. Total amount of other contributions, outside the ESI Funds mobilised at the level of final recipients (in EUR)	36,727.00
38.3.1. out of which public contributions (EUR)	10,948.00
38.3.2. out of which private contributions (EUR)	25,779.00
38.3A. Contribution under EIB financial product mobilised at the level of final recipient (only for the instruments under Article 38(1)(c)) (in EUR)	
39. Expected and achieved leverage effect, by reference to the funding agreement	

39.1. Expected leverage effect for loan/guarantee/equity or quasi-equity investment/other financial product, by reference to the funding agreement, by product	5.48
39.2. Achieved leverage effect at the end of reporting year for loan/guarantee/equity or quasi-equity investment/other financial product, by product	2.62
39.3. Investment mobilised through ESIF financial instruments for loan/guarantee/equity and quasi-equity investment, by product (Optional)	
VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)	
32. Information whether the financial instrument was still operational at the end of the reporting year	Yes
32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up	
VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)	
35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR)	
36. Amounts repaid to the financial instrument attributable to support from ESI Funds by the end of the reporting year (in EUR)	
36.1. out of which capital repayments (in EUR)	
36.2. out of which gains, other earnings and yields (in EUR)	
37. Amounts of resources attributable to ESI Funds used in accordance with Article 44 and 43a	
37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR)	
37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR)	
37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the financial instrument resulting from negative interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in EUR)	
VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR)	749,288.00
38.1A. Contributions under EIB financial product committed in the funding agreement with the body implementing the financial instrument	

(only for the instruments under Article 38(1)(c)) (in EUR)	
38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR)	36,727.00
38.2.1. out of which public contributions (EUR)	10,948.00
38.2.2. out of which private contributions (EUR)	25,779.00
38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR)	
IX. Contribution of the financial instrument to the achievement of the indicators of the priority or measure concerned (Article 46(2)(j) of Regulation (EU) No 1303/2013)	
41. Output indicator (code number and name) to which the financial instrument contributes	CO01 - Productive investment: Number of enterprises receiving support
41.1. Target value of the output indicator	7.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	1.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO29 - Research, Innovation: Number of enterprises supported to introduce new to the firm products
41.1. Target value of the output indicator	1.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	0.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO05 - Productive investment: Number of new enterprises supported
41.1. Target value of the output indicator	2.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	0.00

9. OPTIONAL FOR THE REPORT TO BE SUBMITTED IN 2016, NOT APPLICABLE TO OTHER LIGHT REPORTS: ACTIONS TAKEN TO FULFILL EX-ANTE CONDITIONALITIES

Table 14: Actions taken to fulfil applicable general ex-ante conditionalities

General ex-ante conditionality	Criteria not fulfilled	Actions taken	Deadline	Bodies responsible	Action completed by the deadline	Criteria fulfilled	Expected date for full implementation of remaining actions	Commentary
--------------------------------	------------------------	---------------	----------	--------------------	----------------------------------	--------------------	--	------------

Table 15: Actions taken to fulfil applicable thematic ex-ante conditionalities

General ex-ante conditionality	Criteria not fulfilled	Actions taken	Deadline	Bodies responsible	Action completed by the deadline	Criteria fulfilled	Expected date for full implementation of remaining actions	Commentary
--------------------------------	------------------------	---------------	----------	--------------------	----------------------------------	--------------------	--	------------

10. PROGRESS IN PREPARATION AND IMPLEMENTATION OF MAJOR PROJECTS AND JOINT ACTION PLANS (ARTICLE 101(H) AND 111(3) OF REGULATION (EU) No 1303/2013)

10.1. Major projects

Table 12: Major projects

Project	CCI	Status of MP	Total investments	Total eligible costs	Planned notification / submission date	Date of tacit / approval by Commission	Planned start of implementation (year, quarter)	Planned completion date	Priority Axis / Investment priorities	Current state of realisation — financial progress (% of expenditure certified to Commission compared to total eligible cost)	Current state of realisation — physical progress Main implementation stage of the project	Main outputs	Date of signature of first works contract	Observations
A30	2020UK16RFMP001	Approved	1.00	274,371,909.70	2017, Q4	18-Dec-2020	2019, Q1	2023, Q4	7 - 7b	0.00	Construction	14 KM Total length of reconstructed or upgraded roads	01-Jun-2020	During 2021, National Highways finalised the design, study and commenced major works on the A30 project. The construction of the 14Km road infrastructure will follow the alignment of the preferred route as announced through consultation and liaison events. The project works as reviewed with the Grant Recipient through the works programme are on target to complete the 14Km length of reconstructed or upgraded road by the end of Q4 2023. The MA has received claims for expenditure, but no expenditure has been certified.

Significant problems encountered in implementing major projects and measures taken to overcome them

--

Any change planned in the list of major projects in the operational programme

--

10.2. Joint action plans

Progress in the implementation of different stages of joint action plans

--

Table 13: Joint action plans (JAP)

Title of the JAP	CCI	Stage of implementation of JAP	Total eligible costs	Total public support	OP contribution to JAP	Priority axis	Type of JAP	[Planned] submission to the Commission	[Planned] start of implementation	[Planned] completion	Main outputs and results	Total eligible expenditure certified to the Commission	Observations
------------------	-----	--------------------------------	----------------------	----------------------	------------------------	---------------	-------------	--	-----------------------------------	----------------------	--------------------------	--	--------------

Significant problems encountered and measures taken to overcome them

--

PART B
REPORTING SUBMITTED IN YEARS 2017, 2019 AND FINAL IMPLEMENTATION REPORT
(Article 50(4), 111(3) and (4) of regulation (EU) No 1303/2013)
11. ASSESSMENT OF THE IMPLEMENTATION OF THE OPERATIONAL PROGRAMME
(ARTICLES 50(4) AND 111(4) OF REGULATION (EU) No 1303/2013)

11.1. Information in Part A and achieving objectives of the programme (Article 50(4) of Regulation (EU) No 1303/2013)

Priority axis	1 - Promoting Research and Innovation
Priority axis	10 - Supporting the implementation of Local Economic CV-19 Recovery Action Plans
Priority axis	2 - Enhancing access to, and use and quality of, ICT
Priority axis	3 - Enhancing the Competitiveness of SMEs
Priority axis	4 - Supporting the Shift Towards a Low Carbon Economy in all Sectors
Priority axis	5 - Promoting Climate Change Adaptation, Risk Prevention and Management
Priority axis	6 - Preserving and Protecting the Environment and Promoting Resource Efficiency
Priority axis	7 - Sustainable Transport in Cornwall and the Isles of Scilly
Priority axis	8 - Promoting social inclusion and combating poverty and any discrimination
Priority axis	9 - Technical Assistance

11.2. Specific actions taken to promote equality between men and women and to prevent discrimination, in particular accessibility for persons with disabilities, and the arrangements implemented to ensure the integration of the gender perspective in the operational programme and operations (Articles 50(4) and 111(4), second subparagraph, (e) of Regulation (EU) No 1303/2013)

--

11.3. Sustainable development (Articles 50(4) and 111(4), second subparagraph, (f) of Regulation (EU) No 1303/2013)

--

11.4. Reporting on support used for climate change objectives (Article 50(4) of Regulation (EU) No 1303/2013)

Priority axis	Amount of support to be used for climate change objectives (EUR)	Proportion of total allocation to the operational programme (%)
1	71,245,696.11	8.85%
4	666,856,619.39	88.60%
5	105,247,501.72	129.20%
6	25,866,665.09	29.71%
7	16,190,828.10	27.95%
Total	885,407,310.41	24.26%

--

11.5. Role of partners in the implementation of the programme

--

12. OBLIGATORY INFORMATION AND ASSESSMENT ACCORDING TO ARTICLE 111(4), FIRST SUBPARAGRAPH, (A) AND (B), OF REGULATION (EU) No 1303/2013

12.1. Progress in implementation of the evaluation plan and the follow-up given to the findings of evaluations

--

Status	Name	Fund	Year of finalizing evaluation	Type of evaluation	Thematic objective	Topic	Findings (in case of executed)	Follow up (in case of executed)
--------	------	------	-------------------------------	--------------------	--------------------	-------	--------------------------------	---------------------------------

12.2. The results of the information and publicity measures of the Funds carried out under the communication strategy

--

13. ACTIONS TAKEN TO FULFILL EX-ANTE CONDITIONALITIES (ARTICLE 50(4) OF REGULATION (EU) No 1303/2013) (MAY BE INCLUDED IN REPORT TO BE SUBMITTED IN 2016 (SEE POINT 9 ABOVE). REQUIRED IN REPORT SUBMITTED IN 2017) OPTION: PROGRESS REPORT

14. ADDITIONAL INFORMATION WHICH MAY BE ADDED DEPENDING ON THE CONTENT AND OBJECTIVES OF THE OPERATIONAL PROGRAMME (ARTICLE 111(4), SECOND SUBPARAGRAPH, (A), (B), (C), (D), (G) AND (H), OF REGULATION (EU) No 1303/2013)

14.1. Progress in the implementation of the integrated approach to territorial development, including development of regions facing demographic challenges and permanent or natural handicaps, integrated territorial investments, sustainable urban development, and community led local development under the operational programme

--

14.2. Progress in the implementation of actions to reinforce the capacity of Member State authorities and beneficiaries to administer and use the Funds

--

14.3. Progress in the implementation of any interregional and transnational actions

--

14.4. Where appropriate, the contribution to macro-regional and sea basin strategies

As stipulated by the Regulation (EU) No 1303/2013, article 27(3) on the "content of programmes", article 96(3)(e) on the "content, adoption and amendment of operational programmes under the Investment for growth and jobs goal", article 111(3), article 111(4)(d) on "implementation reports for the Investment for growth and jobs goal", and Annex 1, section 7.3 on "contribution of mainstream programmes to macro-regional and sea-basin strategies, this programme contributes to MRS(s) and/or SBS:

--

- ☐ EU Strategy for the Baltic Sea Region (EUSBSR)
- ☐ EU Strategy for the Danube Region (EUSDR)
- ☐ EU Strategy for the Adriatic and Ionian Region (EUSAIR)
- ☐ EU Strategy for the Alpine Region (EUSALP)
- ☐ Atlantic Sea Basin Strategy (ATLSBS)

14.5. Progress in the implementation of actions in the field of social innovation, where appropriate

--

14.6. Progress in the implementation of measures to address the specific needs of geographical areas most affected by poverty or of target groups at highest risk of poverty discrimination or social exclusion, with special regard to marginalised communities and persons with disabilities, long term unemployment and young people not in employment including, where appropriate, the financial resources used

--

**PART C REPORTING SUBMITTED IN YEAR 2019 AND FINAL IMPLEMENTATION
REPORT (Article 50(5) of Regulation (EU) No 1303/2013)**

**15. FINANCIAL INFORMATION AT PRIORITY AXIS AND PROGRAMME LEVEL
(ARTICLES 21(2) AND 22(7) OF REGULATION (EU) No 1303/2013)**

16. SMART, SUSTAINABLE AND INCLUSIVE GROWTH (OPTION PROGRESS REPORT)

Information on and assessment of the programme contribution to achieving the Union strategy for smart, sustainable and inclusive growth.

--

17. ISSUES AFFECTING THE PERFORMANCE OF THE PROGRAMME AND MEASURES TAKEN — PERFORMANCE FRAMEWORK (ARTICLE 50(2) OF REGULATION (EU) No 1303/2013)

Where the assessment of progress made with regard to the milestones and targets set out in the performance framework demonstrates that certain milestones and targets have not been achieved, Member States should outline the underlying reasons for failure to achieve these milestones in the report of 2019 (for milestones) and in the final implementation report (for targets).

--

DOCUMENTS

Document title	Document type	Document date	Local reference	Commission reference	Files	Sent date	Sent By
Citizen's Summary	Citizens' summary	06-Jul-2022	Citizen's Summary	Ares(2022)5765859	Citizen's Summary	16-Aug-2022	n002evb1

