



Animal &  
Plant Health  
Agency

# United Kingdom Variety Lists / Plant Breeder's Rights Technical Protocol for Official Examination of Distinctness, Uniformity and Stability (DUS)

Swede

*Brassica napus* L.var. *napobrassica* (L.) Rchb

December 2022

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# Section A – General Information

## 1 Purpose

1.1 This Protocol sets out the procedures for conducting tests and assessments in relation to official examinations of DUS, maintenance of reference stocks and verification of Value for Cultivation and Use (VCU) submissions of varieties of Swede entered for Variety Listing (VL) Trials and/or Plant Breeders' Rights (PBR).

## 2 Scope

2.1 These procedures apply to all varieties of Swede (*Brassica napus* L.var. *napobrassica* (L.) Rchb.). Special procedures and responsibilities for Genetically Modified (GM) varieties are set out in Sections A5 and A6.

2.2 Except where specified in this protocol or authorised by the Plant Variety Rights Office for the UK, Animal and Plant Health Agency (APHA); only Variety List candidates, Plant Breeders' Rights candidates, candidates for Foreign Authorities and the reference varieties may be incorporated in the DUS tests.

## 3 Responsibilities

3.1 The growing tests and assessments in this protocol are carried out under the responsibility of the Secretary of State for Environment, Food and Rural Affairs, Scottish Ministers, the Welsh Ministers and the Minister for Agriculture, Environment and Rural Affairs in Northern Ireland (the National Authorities).

3.2 They are supervised, on behalf of the National Authorities, by officials of the Testing Authorities: APHA; the Scottish Government (SG); the Department of Agriculture, Environment and Rural Affairs (DAERA); and the Welsh Government (WG).

3.3 This protocol is authorised by the Plant Variety and Seeds Committee (PVSC). It cannot be amended without its approval. Requests and suggestions for amendment of the protocol should be put in writing to APHA or the Test Centre.

3.4 The procedures are administered by:

Plant Variety Rights Office for the UK  
Animal and Plant Health Agency  
Eastbrook  
Shaftesbury Road  
Cambridge  
CB2 8DR

Email: [pvs.helpdesk@apha.gov.uk](mailto:pvs.helpdesk@apha.gov.uk)

### 3.5 Test Centre

The DUS growing tests and assessments in this protocol are co-ordinated and carried out by:

Vegetable DUS Test Centre		
SASA		
Roddinglaw Road		
Edinburgh	Tel No	0131-244 8890
EH12 9FJ	Fax No	0131-244 8940

3.6 The Test Centre is responsible for providing the appropriate facilities.

## 4 Non-Compliance with the Protocol

4.1 Where the protocol uses the word “must” for any action then failure to carry out this action will result in non-compliance. Where non-compliance occurs or there are concerns regarding the validity of any data or tests this must be reported to APHA. Where this protocol uses the word “should” for any action this is the method to be followed unless there are clear reasons which can be justified by the Test Centre as technically sound.

## 5 Responsibility for GM Releases

5.1 GM Release Consent Holders are responsible for GM releases. All parties involved in DUS work operating under a GM Release Consent must adhere to the instructions of the Release Consent where necessary, to comply with the relevant consent conditions. Where DUS protocol non-compliance occurs, this must be reported to the consent holder and the Test Centre who will notify APHA.

## 6 Procedures for GM Varieties

6.1 Applicants intending to enter GM candidates must consult APHA, well in advance of their application, about specific requirements under GM regulations.

6.2 The Test Centre must ensure that no test or trial sites are planted with GM candidates and/or varieties until APHA has given the specific clearances.

## 7 Associated Documents

7.1 The following documents are associated with this protocol

Reference	Title
<b>UPOV TG/1/3</b>	General Introduction to the Examination of Distinctness, Uniformity and Stability and the Development of Harmonised Descriptions of New Varieties of Plants (19.04.2002).
<b>UPOV TGP/8/4</b>	Trial Design and Techniques Used in the Examination of Distinctness, Uniformity and Stability (01.11.2019).
<b>UPOV TGP/9/2</b>	Examining Distinctness (29.10.2015).
<b>UPOV TGP/10/2</b>	Examining Uniformity (01.11.2019).
<b>UPOV TG/89/6 Rev.</b>	Guidelines for the Conduct of Tests for Distinctness, Uniformity and Stability, Swede ( <i>Brassica napus</i> L. var. <i>napobrassica</i> (L.). Rchb.). 04.04.2001 Revised 04.01.2009.
<b>UPOV TWC/26/14</b>	An Adjustment to the COYD Method When Varieties are Grouped Within the DUS Trial. 01.08.2008.
<b>Minor Crops VCU Protocol August 2020</b>	United Kingdom Variety List Trials: Protocol for Official Examination of Value for Cultivation and Use (VCU) Harvest 2021.
<b>Swede VCU procedures July 2020</b>	United Kingdom Variety Lists Trials: Trial Procedure for Official Examination of Value for Cultivation and Use (VCU) Harvest 2020
<b>GB and NI Variety Lists</b>	The Seeds (National Lists of Varieties) Regulations 2001 (as amended) and The Seeds (Variety Lists) Regulations (Northern Ireland) 2020
<b>Plant Varieties Act 1997</b>	Plant Breeders' Rights Regulations 1998 and Plant Varieties Act 1997
<b>Plant Breeders' Rights 2019</b>	The Plant Breeders' Rights (Amendment etc.) (EU Exit) Regulations 2019 as amended by The Animal Health, Invasive Alien Species, Plant Breeders' Rights and Seeds (Amendment etc.) (EU Exit) Regulations 2019 and The Plant Breeders' Rights (Amendment) (EU Exit) Regulations 2020

# Section B – Application Requirements

## 1 Purpose

1.1 The purpose of this section is to identify the specific requirements for Variety Listing and/or Plant Breeders' Rights applications, as appropriate.

## 2 Scope

2.1 These procedures apply to all applications.

## 3 Responsibilities

3.1 The applicants are responsible for ensuring that these procedures are complied with.

## 4 Receipt of Applications

4.1 The latest date for receipt of applications Variety Listing and/or for Plant Breeders' Rights is stated on the GOV website <https://www.gov.uk/national-lists-of-agricultural-and-vegetable-crops>

4.2 The procedures for the submission of Variety Listing and Plant Breeders' Rights applications, Technical Questionnaires (TQs) and for payment of administration fees can be obtained from APHA at the address shown in Section A or on the GOV.UK website (<https://www.gov.uk/national-lists-of-agricultural-and-vegetable-crops>).

4.3 Applicants should note in the TQ, submitted with the application, any additional characteristics which may require examinations that are listed in the DUS characteristics section D, 5.2 or 5.3 (an additional fee may be required).

## 5 Receipt of Seed

5.1 The latest date for receipt of seed is stated in the Seed Gazette. In the absence of exceptional circumstances, seed submissions received after this date will be refused. Instructions for the delivery of seed will be made available to applicants by APHA (<https://www.gov.uk/national-lists-of-agricultural-and-vegetable-crops>).



## 6 Seed Quality Requirements

6.1 The seed must satisfy the certification requirements for Basic Seed as laid down in the seed marketing legislation of the Devolved Administrations.

6.2 The seed must not be chemically treated. Seed treatment, if required, will be undertaken by the Test Centre. The chemicals applied and rates of application will be determined by the Test Centre.

## 7 Seed Quantity

### 7.1 First Test Cycle

Hybrid and Open-pollinated material	300g or 25g
Parent lines	100g or 25g
Parent maintainer lines	30g

The DUS and VCU seed of hybrids and open-pollinated varieties must be supplied as one lot. Applicants should refer to the VCU Swede protocol for VCU seed requirements.

### 7.2 Second Test cycle

If 25g were provided in year 1	
Hybrid and Open-pollinated material	275g
Parent lines	75g

If 300g were provided in year 1, no further seed is required for DUS tests.

### 7.3 Shortfall in Seed Quantities

Where insufficient seed is available in the first instance a further stock must be supplied in the following year which will be authenticated against the original submission. An additional charge may be applied. This must be agreed in advance with APHA and the test centre.

7.4 A sample of 25g will be drawn from the Swede VCU submission for authentication against the original submission. Applicants should refer to the Trial Procedures for Official Examination of Value for Cultivation and Use (VCU) for Swede seed requirements.

## 8 Labelling Requirements, Including Provisions for GM Varieties

8.1 Applicants must clearly label their seed, inside and outside the bag, with the following information:

- Applicant
- AFP number (if known)
- Breeder's Reference number or name
- Type of Seed (DUS Only/Combined submission of DUS and VCU for 1<sup>st</sup> Test cycles)
- Quantity of seed
- Whether it is a parental line

8.2 All packages of GM material must be labelled clearly as "GMO" or "Genetically Modified Organism".

# Section C – Growing Test Procedures

## 1 Purpose

1.1 The purpose of this section is to provide details of the procedures used in the growing tests for DUS analysis.

## 2 Scope

2.1 These procedures apply to all varieties of Swede (*Brassica napus* L.var. *napobrassica* (L.) Rchb.).

## 3 Responsibilities

3.1 The Test Centre is responsible for conducting these procedures.

3.2 The Test Centre will be responsible for ensuring that no material supplied to them is used for any other purpose than the conduct of these procedures or the release of reference samples for authorised purposes. (See Section E7).

## 4 Reference Varieties

4.1 The principles governing the selection of reference varieties are set out in Appendix 1.

4.2 Seed of reference varieties will be supplied by the Test Centre.

## 5 Design of Tests

5.1 The DUS Test Centre is responsible for selecting a suitable site which should be on ground that has normally not had a Cruciferous crop in the previous five years but may be less where it has been determined the risk is negligible.

5.2 Crop husbandry should follow best practice for all operations and particularly as regards cultivation, drilling, fertiliser and spray application, use of irrigation and control of weeds, pests and diseases.

5.3 The minimum duration of tests should normally be two independent growing cycles. Additional growing cycles may be approved by the National List and Seeds Committee (NLSC).

5.4 In the case of hybrids, components (including the maintainer line) have to be tested and assessed as any other variety.

5.5 From information given in the Technical Questionnaire the candidate variety may be grown in plots and compared with varieties which are in the same classification for the following characters:

UPOV characteristics that could be used for grouping:

Leaf: type (characteristic 3)

Root: anthocyanin coloration of skin above soil (characteristic 13)

Root: intensity of anthocyanin coloration of skin above soil (characteristics 14.1 and 14.2) Pseudo stem: anthocyanin coloration between leaf scars (characteristic 20)

Root: colour of flesh (characteristic 21)

5.6 Varieties known to be clearly distinct from the candidate on any other discontinuous or continuous characteristic may be excluded from the trial. If this exclusion is based on a characteristic which is not listed in section D 5.2 approval by the NLSC must be sought. See section F for further information on additional characters.

5.7 The tests are carried out using a grouped design, with a plot of each candidate and close control variety present in each replicate as follows:

Number of replications	3
Number of rows per plot	2
Spacing between plot rows	0.8m (approx.)
Plot length	10 m
Number of seeds sown per replicate	500
Number of plants per replicate	100
Hence, number of plants per variety	300
Plant spacing	0.2m (approx.)

Groups are randomised and varieties are randomised within groups.

5.8 Seed is sown by direct drilling in the field between mid-April and late May according to a plan produced by the Test Centre and thinned to a stand to achieve the plant number per plot as indicated in C 5.6. Varieties are coded by the Test Centre.

5.9 Any candidate with distinctness problems in the first test cycle may be grown side by side with their close controls in the second or third test cycles.

5.10 Recordings are taken on each trial approximately 10 weeks after sowing until harvest maturity stage. Characters recorded are listed in Section D.

## 6 Records and Recording

6.1 All records and plot data should be in a form determined and validated by the Test Centre.

6.2 Characters, recording details and instructions are given in Section D. Any variant and abnormal plant or plants resulting from an adverse reaction to husbandry practice are recorded but excluded from analysis.

6.3 In the first recording cycle, characters, as indicated in Section D, are recorded on all candidates and their close controls. The data for measured characters are analysed and used to determine the most similar reference varieties and assess the uniformity of the candidate. (For details see Section G).

6.4 In the second test cycle, characters, as indicated in Section D, are assessed on all varieties in test and the data analysed and, together with those from the first test cycle, used to assess distinctness and uniformity of the candidate varieties. (For details see Section G).

6.5 If a third test cycle is necessary, characters, as indicated in Section D, are recorded on all candidates and their close controls. The data for measured characters are analysed and, together with those from the first and second test cycles, are used to determine the most similar reference varieties and assess the uniformity of the candidate. (For details see Section G).

6.6 If the Test Centre notices unusual or novel characters in a candidate, a note may be made of these at any time and a photographic record made.

## 7 Communications with the Applicant

7.1 The Test Centre will notify the applicant or the agent of any DUS problems at the earliest practical opportunity as they arise during the test. All such notifications must be copied to APHA.

7.2 In the case of distinctness problems, if confidentiality considerations allow, the applicant should be informed which variety is not distinct and be invited to submit any information which may help to distinguish them.

7.3 If DUS problems arise, applicants will be invited to visit the DUS tests by arrangement so that the material can be examined (if appropriate), and discussions held with the Test Centre.

7.4 After each test cycle the results are summarised and reported to the applicant and APHA by the Test Centre.

# Section D – Summary of DUS Characteristics to be Assessed, Method of Assessment and Standards Applied

## 1 Purpose

1.1 The purpose of this section is to summarise the characteristics to be assessed.

## 2 Scope

2.1 This section summarises characteristics, states of expression, method of observation and standards required for DUS assessment.

## 3 Responsibilities

3.1 The Test Centre is responsible for co-ordinating the procedures in this summary of characteristics.

## 4 Organisation

4.1 The minimum duration of tests to assess characteristics is normally two independent growing cycles. Shorter durations may be applied for assessment of additional characteristics. Proposed changes to the number of growing cycles must be approved by the NLSC.

## 5 DUS Characteristics to be Assessed

### 5.1 Routine Characteristics

The following table summarises the DUS characteristics to be routinely examined.

Note:

- \* a characteristic which must be examined according to the UPOV Guidelines.
- G a grouping characteristic.

Type of observation of characteristics:

MG Single measurement of a group of plants or parts of plants

MS Measurement of a number of individual plants or parts of plants

VG Visual assessment by a single observation of a group of plants or parts of plants

VS Visual assessment by observation of individual plants or parts of plants

Number of plants or sample size for assessment: Sample size of 60



## 5.2 Swede Characteristics Routinely Recorded in DUS Tests

UK	UPOV TG/89/6 Rev.	Character	Material examined	Method of assessment and recording	States of expression	D Method and Minimum Distance required	U Method: standard applied
20	1	Leaf: green colour	DUS plot	QN VG	3 = light 5 = medium 7 = dark	Clear or Consistent visual difference or COYD @5% for both 2 and 3 year tests	Off-type Standard and Uniformity Score>5
23	2	Leaf: intensity of waxiness	DUS plot	QN VG	3 = weak 5 = medium 7 = strong	Clear or Consistent visual difference or COYD @5% for both 2 and 3 year tests	Off-type Standard and Uniformity Score>5
1	3	Leaf: type (G)	DUS plot	QL VG	1 = entire 2 = lobed	Clear visual difference 1 state	If there is evidence of a mixture, Uniformity will be assessed on a sample of single plants. Off-type Standard
16	4	<u>Only lobed-leaf varieties:</u> Leaf: number of major lobes	DUS plot	QN VG/MS	3 = few 5 = medium 7 = many	Clear or Consistent visual difference or COYD @5% for both 2 and 3 year tests	Off-type Standard and Uniformity Score>5 or COYU@0.1% for both 2 and 3 year tests

UK	UPOV TG/89/6 Rev.	Character	Material examined	Method of assessment and recording	States of expression	D Method and Minimum Distance required	U Method: standard applied
12	5	<u>Only lobed-leaf varieties:</u> Leaf: length of terminal lobe	DUS plot	QN VG/MS	3 = short 5 = medium 7 = long	Clear or Consistent visual difference or COYD @5% for both 2 and 3 year tests	Off-type Standard and Uniformity Score>5 or COYU@0.1% for both 2 and 3 year tests
13	6	<u>Only lobed-leaf varieties:</u> Leaf: width of terminal lobe	DUS plot	QN VG/MS	3 = narrow 5 = medium 7 = broad	Clear or Consistent visual difference or COYD @5% for both 2 and 3 year tests	Off-type Standard and Uniformity Score>5 or COYU@0.1% for both 2 and 3 year tests
11	7	Leaf: length	DUS plot	QN VG/MS	3 = short 5 = medium 7 = long	Clear or Consistent visual difference or COYD @5% for both 2 and 3 year tests	Off-type Standard and Uniformity Score>5 or COYU@0.1% for both 2 and 3 year tests

UK	UPOV TG/89/6 Rev.	Character	Material examined	Method of assessment and recording	States of expression	D Method and Minimum Distance required	U Method: standard applied
17	8	Leaf: width	DUS plot	QN VG/MS	3 = narrow 5 = medium 7 = broad	Clear or Consistent visual difference or COYD @5% for both 2 and 3 year tests	Off-type Standard and Uniformity Score>5 or COYU@0.1% for
24	9	Leaf: undulation of margin	DUS plot	QN VG	1 = absent or very weak 3 = weak 5 = medium 7 = strong 9 = very strong	Clear or Consistent visual difference or COYD @5% for both 2 and 3 year tests	Off-type Standard and Uniformity Score>5
29	10	Petiole: attitude	DUS plot	QN VG	1 = erect 3 = semi-erect 5 = horizontal	Clear or Consistent visual difference or COYD @5% for both 2 and 3 year tests	Off-type Standard and Uniformity Score>5
30	11	Petiole: thickness	DUS plot	QN VG	3 = thin 5 = medium 7 = thick	Clear or Consistent visual difference or COYD @5% for both 2 and 3 year tests	Off-type Standard and Uniformity Score>5
6	12	Root: predominant colour of skin above soil	DUS plot	PQ VG	1 = green 2 = bronze 3 = reddish purple	Clear visual difference  1 state	If there is evidence of a mixture, Uniformity will be assessed on a sample of single plants. Off-type Standard

UK	UPOV TG/89/6 Rev.	Character	Material examined	Method of assessment and recording	States of expression	D Method and Minimum Distance required	U Method: standard applied
2	13	Root: anthocyanin coloration of skin above soil (G)	DUS plot	QL VG	1 = absent 9 = present	Clear visual difference 1 state	If there is evidence of a mixture, Uniformity will be assessed on a sample of single plants. Off-type Standard
59	14.1	Only varieties with green or bronze skin colour: Root: intensity of anthocyanin coloration of skin above soil (G)	DUS plot	QN VG	3 = weak 5 = medium 7 = strong	Clear or Consistent visual difference or COYD @5% for both 2 and 3 year tests	Off-type Standard and Uniformity Score>5
59	14.2	Only varieties with reddish purple skin colour: Root: intensity of anthocyanin coloration of skin above soil (G)	DUS plot	QN VG	3 = weak 5 = medium 7 = strong	Clear or Consistent visual difference or COYD @5% for both 2 and 3 year tests	Off-type Standard and Uniformity Score>5
51	15	Root: predominant colour of skin below soil level	DUS plot	PQ VG	1 = white 2 = yellow 3 = orange-pink 4 = reddish	Clear visual difference 1 state	If there is evidence of a mixture, Uniformity will be assessed on a sample of single plants. Off-type Standard
44	16	Root: shape in longitudinal section	DUS plot	PQ VG	1 = transverse elliptic 2 = circular 3 = obovate 4 = square 5 = oblong	Clear visual difference 1 state	If there is evidence of a mixture, Uniformity will be assessed on a sample of single plants. Off-type Standard

UK	UPOV TG/89/6 Rev.	Character	Material examined	Method of assessment and recording	States of expression	D Method and Minimum Distance required	U Method: standard applied
40	17	Root: length	DUS plot	QN VG/MS	3 = short 5 = medium 7 = long	Clear or Consistent visual difference or COYD @5% for both 2 and 3 year tests	Off-type Standard and Uniformity Score>5 or COYU@0.1% for both 2 and 3 year tests
41	18	Root: diameter	DUS plot	QN VG/MS	3 = small 5 = medium 7 = large	Clear or Consistent visual difference or COYD @5% for both 2 and 3 year tests	Off-type Standard and Uniformity Score>5 or COYU@0.1% for both 2 and 3 year tests
42	19	Pseudostem: length	DUS plot	QN VG/MS	3 = short 5 = medium 7 = long	Clear or Consistent visual difference or COYD @5% for both 2 and 3 year tests	Off-type Standard and Uniformity Score>5 or COYU@0.1% for both 2 and 3 year tests
5	20	Pseudostem: anthocyanin coloration between leaf scars (G)	DUS plot	QL VG	1 = absent or partial 2 = solid	Clear visual difference 1 state	If there is evidence of a mixture, Uniformity will be assessed on a sample of single plants. Off-type Standard

UK	UPOV TG/89/6 Rev.	Character	Material examined	Method of assessment and recording	States of expression	D Method and Minimum Distance required	U Method: standard applied
3	21	Root: colour of flesh (G)	DUS plot	PG VG	1 = white 2 = yellow	Clear visual difference 1 state	If there is evidence of a mixture, Uniformity will be assessed on a sample of single plants. Off-type Standard
49	22	Root: intensity of yellow colour of flesh	DUS plot	QN VG	3 = light 5 = medium 7 = dark	Clear or Consistent visual difference or COYD @5% for both 2 and 3 year tests	Off-type Standard and Uniformity Score>5
100	23	Flower: production of pollen	DUS plot	QL VG	1 = absent 9 = present	Clear visual difference 1 state	If there is evidence of a mixture, Uniformity will be assessed on a sample of single plants. Off-type Standard

### 5.3 Previously Approved Characteristics Not Routinely Recorded in DUS Tests

The following table summarises characteristics no longer used which have been approved by the NLSC and can be examined at the request of the applicant where necessary to establish Distinctness. A fee may be charged for examination of these characteristics as advised by APHA, Plant Varieties and Seeds.

UPOV	UK	Character	Material examined	Method of assessment and recording	States of expression	D Method and Minimum distance required	U Method and Standard applied
N/A	18	<b>Leaf: widest point to base</b>	DUS plot	QN VG/MS	3 = short 5 = medium 7 = long	Clear or Consistent visual difference or COYD @5% for both 2 and 3 year tests	Off-type Standard and Uniformity Score>5 Or COYU @ 0.1% for both 2 and 3 year tests
N/A	46	<b>Root: cork</b>	DUS plot	QN VG	3 = weak 5 = medium 7 = strong	Clear or Consistent visual difference or COYD @5% for both 2 and 3 year tests	Off-type Standard and Uniformity Score>5
N/A	55	<b>Root: widest point to base</b>	DUS plot	QN VG/MS	3 = short 5 = medium 7 = long	Clear or Consistent visual difference or COYD @5% for both 2 and 3 year tests	Off-type Standard and Uniformity Score>5 Or COYU @ 0.1% for both 2 and 3 year tests
N/A	99	<b>Leaf: powdery mildew resistance</b>	DUS plot	QN VG	3 = weak 5 = medium 7 = strong	Clear or Consistent visual difference or COYD @5% for both 2 and 3 year tests	Off-type Standard and Uniformity Score>5
N/A	45	<b>Root: shape of base</b>	DUS plot	QN VG	3 = low 5 = medium 7 = high	Clear or Consistent visual difference or COYD @5% for both 2 and 3 year tests	Off-type Standard and Uniformity Score>5

UPOV	UK	Character	Material examined	Method of assessment and recording	States of expression	D Method and Minimum distance required	U Method and Standard applied
N/A	52	Root: shape of top	DUS plot	PQ VG	1 = indented 2 = level 3 = raised 4 = very raised	Clear visual difference  1 state	If there is evidence of a mixture, Uniformity will be assessed on a sample of single plants. Off-type Standard
N/A	69	Root: dry matter content	DUS plot	QN MS	3 = low 5 = medium 7 = high	COYD @5% for both 2 and 3 year tests	COYU @ 0.1% for both 2 and 3 year tests
N/A	80	Flower: colour of petal	DUS plot	PG VG	1 = lemon yellow 2 = orange yellow	Clear visual difference  1 state	If there is evidence of a mixture, Uniformity will be assessed on a sample of single plants. Off-type Standard

New Additional DUS Characteristics: Applicants can suggest new characters on the TQ for testing DUS or after notification by the DUS Test Centre of distinctness problems (for procedures see Section F).



# **Section E – Reference Seed Stock Maintenance and VCU Seed Stock Authentication Procedures, where applicable**

## **1 Purpose**

1.1 This section sets out the procedures for reference seed stock maintenance and VCU seed stock authentication (if applicable).

## **2 Scope**

2.1 These procedures apply to all reference collection varieties and VCU seed submissions where the VCU seed has not been taken from the same bulk as the seed used for the DUS test.

## **3 Responsibilities**

3.1 The Test Centre is responsible for conducting these procedures.

## **4 Procedures for Reference Seed Stock Maintenance**

4.1 The seed sample submitted with the successful or pending application is considered to be the definitive stock of the variety. Subject to meeting the required certification standards, a small portion of the seed is sown for observation and measurement. The remainder is stored under controlled and monitored storage conditions as part of the official reference collection.

4.2 If, during the normal tests, there is any evidence that a seed stock is deteriorating in storage, or that stocks have low quantity, a request will be made to the maintainer asking for a replacement stock of the variety. This replacement stock must be authenticated by comparing plots established from the replacement seed with those of the definitive seed, over a maximum of two recording cycles.

4.3 If the replacement seed sample cannot be visually distinguished from the definitive reference stock, it will be accepted as representing the variety. If there are visual differences, the new sample will be recorded, and will be accepted as representing the variety if there are no significant ( $P=0.02$ ) differences in the first recording cycle, or no significant ( $P=0.02$ ) differences over two recording cycles in a COYD analysis (see associated document UPOV TGP/8/1 for details). It may then be accepted as definitive and substituted for the existing definitive stock in the reference collection. These procedures may be modified where, in the opinion of the technical officer, differences are the result of environmental or cultural factors.

4.4 A replacement sample or an additional replacement sample will be considered sufficiently uniform after one recording cycle, if the level of off-types is the same or less than the number at 1% population standard and 95% acceptance probability, and the

standard deviations of the measured characters are not significantly greater at the 0.1% ( $P=0.001$ ) significance level than that of the mean standard deviations of the control varieties. Over 2 years the additional replacement sample will be considered sufficiently uniform if the Combined Over Years Uniformity (COYU) is not significantly greater at the 0.1% ( $P=0.001$ ) significance level than that of the reference varieties. These procedures may be modified where, in the opinion of the technical officer, differences are the result of environmental or cultural factors.

4.5 In the event of the replacement sample not meeting the required acceptance standards, an additional replacement sample is requested. Plots will be established from any additional replacement seed sample and compared over a maximum of two recording cycles. If the additional replacement sample does not meet the acceptance criteria set out in 4.3, the variety will be deleted from the reference collection and the Variety Lists will be reviewed.

## **5 Procedures for VCU Seed Stock Authentication**

5.1 Evidence will be requested from the applicant of the relationship between the VCU seed sample and the definitive DUS seed sample. Plots will be established from any VCU seed sample to be authenticated and compared visually with the definitive stock over the recording season.

5.2 The plots must be examined from establishment to root maturity.

5.3 If the new seed sample cannot be visually distinguished from the reference stock it will be accepted as representing the variety.

5.4 If the VCU seed sample is visually clearly different from the definitive stock in the authentication plots, then it will not be accepted as representing the candidate variety. This procedure may be modified where, in the opinion of the technical officer, differences are the result of environmental or cultural factors.

## **6 Procedures for the Inclusion of New Common Knowledge Varieties into the Reference Collection**

6.1 When a new variety enters into common knowledge, it must be included in the reference collection if seed is available. A request for seed will be sent by the Test Centre to the maintainer of the variety and an official description will be requested from the Testing Authority which registered the variety. If an official description is provided, seed received will be assumed to be definitive if the seed conforms to the official description. Small differences in the expression of quantitative characters are likely to be the result of recording in a different environment and will be considered as conforming to the description. If no official description is available, seed will be assumed to be definitive.

6.2 If the seed does not conform to the official description, a request for definitive seed will be sent to the Testing Authority that added the variety to its Variety List or granted Plant Breeders' Rights. This seed will then be used to validate the sample of seed from the

maintainer. The standards for this validation will be as for VCU seed stock authentication of replacement seed (see E5).

## **7 Release of Reference Samples for Authorised Purposes**

7.1 Seed of reference samples can be supplied by the Test Centre, on request, to UK and UPOV DUS Testing Authorities and UK and OECD Seed Certification Agencies, provided the recipient is notified in writing that this material, or any material derived from it, must not be supplied to a third party or used for any other purpose than as a reference for official DUS testing or seed certification.

7.2 Provision of reference samples, other than in 7.1, to any other parties must be authorised by APHA.

# Section F – Procedures for Assessment of New Additional DUS Characters

## 1 Purpose

1.1 This section sets out the procedures for assessment of new additional DUS characters for varieties of Swede entered for Variety Listing and/or PBR trials.

## 2 Scope

2.1 These procedures apply to applications where additional DUS characteristics which have not been previously approved by the NLSC, are requested for use in the examination of DUS.

## 3 Responsibilities

3.1 The Test Centre is responsible for liaising with the applicant to produce a proposed procedure for the conduct of new tests. This procedure must ensure that Distinctness, Uniformity and Stability requirements will be met.

3.2 All new additional characteristics must be authorised by the NLSC in consultation with the PVSC.

## 4 Reference Varieties

4.1 The reference varieties will include only those varieties from which the candidate variety is not distinct, as well as other varieties for control purposes.

4.2 Seed of reference varieties will be supplied by the Test Centre.

## 5 Procedures

5.1 Details of the proposed special test or assessments will be submitted to the NLSC.

5.2 The NLSC may commission a test or trial to further investigate a proposal. The applicant will be advised by APHA of arrangements and costs.

5.3 Where the test for a character is approved by the NLSC it should be subsequently listed in Section D 5.2 or 5.3 as appropriate.

# Section G – Procedures for DUS Decisions

## 1 Purpose

1.1 This section sets out the standards used to assess distinctness, uniformity, and stability of varieties of Swede.

## 2 Scope

2.1 These procedures apply to all varieties of Swede entered for Variety Listing and/or Plant Breeders' Rights tests and those being tested on behalf of Foreign Authorities.

## 3 Responsibilities

3.1 The Test Centre is responsible for applying the criteria for DUS, set out in this procedure.

3.2 The Test Centre is responsible for producing the DUS report in accordance with these procedures and for ensuring that they are in accordance with UPOV guidelines.

## 4 Reference Varieties

4.1 Appendix 1 sets out which varieties are considered as reference varieties for these procedures.

## 5 Distinctness

5.1 In accordance with associated document UPOV TG/1/3, varieties can be considered distinct where they have a different expression in a grouping character.

5.2 Distinctness is normally assessed in two independent test cycles, but a candidate variety could be considered distinct after one test cycle if there are no other similar varieties. A third independent test cycle may be undertaken if distinctness is not established after two test cycles.

5.3 The distinctness standard applied for qualitative characters is a difference of one state, unless otherwise indicated in Section D. For pseudo-qualitative characters the distinctness standard is a difference of 1, 2 or 3 states depending on the characteristic.

5.4 If a candidate is clearly different in a visually observed quantitative character, it is considered to be distinct without the need for a repeated observation.

5.5 Where varieties are grown in close proximity under the same conditions, and a direct comparison can be made, a candidate is considered to be distinct if a clear visual difference is observed in a quantitative character.

5.6 Where varieties are not grown in close proximity, a candidate is considered to be distinct if a difference of two states (see table in section D 5.1) is recorded in a visually observed quantitative character.

## 5.7 Hybrids

Hybrids will be assessed for distinctness against other similar hybrid varieties using the principles stated above.

Distinctness follows the principle of “hybrid first”. If the final hybrid is not distinct at COYD @ 5% from other hybrids in test, then distinctness may be examined by testing the parent lines. Either the CMS (female parent) or the fertile pollen donor male parent must be distinct from the respective male or female parent of the non-distinct hybrid variety at COYD @ 5%. This is called the parental formula. Hybridity will be used as a grouping character based on the TQ declaration made by the applicant.

All parental/maintainer lines must satisfy the requirements of uniformity and stability. If the parental/maintainer lines are not uniform or stable, the hybrid fails to satisfy the requirements of DUS.

## 5.8 Distinctness of parent lines and maintainer lines

Where parent lines or maintainer lines are, or have been, registered varieties or have been tested as part of previous hybrid applications, an authentication test will be undertaken in the first year only. If a line cannot be authenticated due to clear differences in the first year, a full DUS test shall be carried out.

Where parent lines or maintainer lines are not, or have not been, registered varieties or have not been tested as part of previous hybrid applications, a full DUS test shall be carried out.

5.9 It is the responsibility of the applicant to provide the correct parental formula and to provide seed of the correct parents. If the hybrid is declared to be distinct on the basis of a false formula or incorrect seed submissions this would invalidate NL and PBR.

5.10 The standard for measured or counted quantitative characters, is, at least, a 5% ( $P=0.05$ ) significant difference in one character over two, or three growing cycles in a Combined Over Years Distinctness (COYD) analysis. Please see associated document UPOV TGP/8/1 for details.

5.11 Where COYD cannot be applied, alternative methods should be considered.

5.11.1 When the number of varieties grown does not provide sufficient degrees of freedom for use of the standard COYD analysis, alternative methods should be adopted. If there is sufficient historical data (at least 5 years and sufficient degrees of freedom) then the long-term LSD is applied. This LSD is calculated using up to 10 years of the most recent data. If there is insufficient historical data, the 2 x 1% method should be used.

5.11.2 Where the candidate has a full complement of data for two test cycles, but there is only data for control varieties for one test cycle, the use of FITC (Fitted Constant program

in DUST) may be applied. This situation may arise due to the loss of plant material within plots in any one year or where suitable control varieties were not grown in both test cycles. The standard applied for Distinctness in such cases is  $P=0.01$ .

## 6 Uniformity

6.1 Uniformity is assessed for all characteristics used to establish distinctness.

### Uniformity based on the assessment of 'Off-types'

6.2 The assessment of Off-types is undertaken in both test cycles and the total number of 'off-types' combined should not exceed the number allowed using the population standards.

6.3 Off-type plants in the glasshouse or field are identified and marked for exclusion from recording.

6.4 For cross-pollinated varieties relative uniformity standards are applied; the total number of off-type plants recorded in the test should not exceed that of similar varieties.

6.5 In single cross hybrids and self-pollinated varieties (inbred lines) the total number of off-type plants should not exceed that indicated in UPOV TGP/8/1 using a population standard of 1% and a 95% acceptance probability. In a population of 300 plants, 6 off-types are allowed.

6.6 Where the number of off-types in the first test cycle exceeds 6 but is less than 11, the applicant may submit a new seed sample (Resubmission) in the second test cycle with the aim of meeting the off-type standard. Distinctness will be assessed on data from the original seed submitted in the first test cycle and on data from the resubmitted seed will be authenticated against the original seed in side-by-side plots.

6.7 In addition, the number of aneuploid or inbred plants allowed in F1 hybrids should not exceed the numbers indicated in UPOV TGP/8/1 for a 3% population standard and a 95% acceptance probability.

6.8 After the variants have been excluded, the characteristics listed in Section D5 are used to assess the uniformity of the remaining plants according to the methods described.

### Uniformity based on the assessment of general variation where no measurements are recorded:

6.9 Uniformity of continuous variation is assessed visually according to the following scale:

Score	Description
1-5	unacceptable (1 is worst)
6-9	acceptable (9 is best)

A candidate with a visual uniformity score of 6 or more is satisfactory.

Uniformity based on the assessment of general variation where measurements are recorded:

6.10 Provided a variety meets the off-type standard, it can be considered sufficiently uniform after two, or three test cycles if, for all measured characters necessary for distinctness, the Combined Over Years Uniformity (COYU) is not significantly greater than that of the reference varieties at the 0.1% ( $P=0.001$ ) significance level. In all cases an examination of data from individual years is carried out to investigate the COYU result should this reveal potential uniformity problems.

6.11 Provided a variety meets the off-type standard, it can be considered sufficiently uniform after three years of tests when, for all measured characters required for distinctness, the combined over years uniformity (COYU) is not significantly greater than that of the reference varieties at the 0.1% ( $P=0.001$ ) significance level. In all cases an examination of the data from individual years is carried out to investigate the COYU result should this reveal potential uniformity problems.

## 7 Stability

7.1 A variety is considered sufficiently stable when there is no evidence to indicate that it lacks uniformity or fails to conform to the essential characteristics of its description in different submissions or in different tests.

## 8 DUS Report and Variety Description

8.1 Upon completion of the DUS examination the DUS Summary report will be submitted to APHA and will be discussed at the relevant DUS Test Centre Meeting. This report will specify all non-routine characteristics for establishing distinctness.

8.2 The final DUS report, including the full variety description for positive reports, will be submitted to APHA. The characteristics to be used in the description are identified in Section D.



# Appendix 1 – Reference Collection Varieties

## 1 National Listing and Plant Breeders Rights

1.1 The DUS reference collection, for any given category of plant variety comprises the following at the time when the application for the candidate is made:

1.1.1 All other candidate varieties already in DUS test in the UK or entering testing at the same time as the candidate.

1.1.2 All varieties with UK PBR.

1.1.3 All varieties on the OECD variety list that are listed by countries with comparable climatic conditions to the UK.

1.1.4 All varieties protected under National PBR (UPOV contracting parties) with comparable climatic conditions to the UK

1.1.5 Any varieties nominated by the applicant as being comparable i.e., known to be similar.

1.1.6 Any other varieties considered to be comparable i.e., known to be similar by the appropriate Test Centre or DUS Centre Group.

1.1.7 Other available comparable varieties in common knowledge.



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