



Homes
England

Date: 9 August 2022

Our Ref: RFI3976

Tel: 0300 1234 500

Email: infogov@homesengland.gov.uk

Making homes happen

██████████
By Email Only

Information Governance Team
Homes England
Windsor House – 6th Floor
50 Victoria Street
London
SW1H 0TL

Dear ██████████

RE: Request for Information – RFI3976

Thank you for your request for information which was processed in accordance with the Freedom of Information Act 2000 (FOIA) and the Environmental Information Regulations 2004 (EIR).

You requested the following information:

please could Homes England provide details of the measures that are in place in relation to the Forward Funding HIF of £227m for the proposed relocation of Cambridge Waste Water Treatment Plant, to 'protect the public purse and ensure that any funding release is current, appropriate and represents value for money'.

Please include any 'contract milestones and conditions that must be met before expenditure can be drawn down by the Local Authority, along with timing and requirements for the scheme annual reviews.

Response

We can confirm that we do hold information in scope of your request. We will address each point in turn.

Details of the measures in place:

Section 21 – Information available to applicant by other means

We can inform you that we do hold the information that you have requested. However, we rely on section 21, exemption where information is available to the applicant elsewhere.

The full text of the legislation can be found on the following link:

<https://www.legislation.gov.uk/ukpga/2000/36/section/21>.

Advice and Assistance

We have a duty to provide advice and assistance in accordance with Section 16 of the FOIA. We can confirm that the information in scope of your request is set out in the 'Assurance Framework' for Forward Funding. This document has been published in response to previous requests for information and is available on our disclosure log on the following link:

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[https://assets.publishing.service.gov.uk/government/uploads/system/uploads/attachment_data/file/1014408/RFI3495 - HIF Cambridge City Council.pdf](https://assets.publishing.service.gov.uk/government/uploads/system/uploads/attachment_data/file/1014408/RFI3495_-_HIF_Cambridge_City_Council.pdf). For ease, the document is on page 125 of the publication and titled 'Annex B'.

We can further advise that (as set out in the Assurance Framework), the Housing Infrastructure Fund (HIF) programme has an entire life (end to end) governance & assurance system and every project will need to follow this. There are two key points for the focus:

- Pre Contract - the project gets assessed at various points for things such as deliverability/ value for money and also goes through phases of due diligence to assess the submission from the local authority. The project is recommended for approval through a cross departmental panel and signed off by ministers.
- Post Contract – every contract has a set of standardised and unique to the project milestones & conditions. These will typically cover things like planning, procurement, programme and other project specific matters. These will need to be met throughout the project to provide assurance and before payments can be made. Project managers will also usually meet with the local authority project teams monthly to assess performance as well as have annual performance meetings usually after financial year end.

Contract milestones and conditions:

We can confirm that the specific milestones and conditions in relation to the Cambridge HIF funding are set out in the Grant Determination Agreement (GDA) for the scheme. This information is exempt from disclosure under Section 43(2), Section 41 and Section 21 FOIA.

Section 43 - Commercial interests

Information contained within the Grant Determination Agreement (GDA) engages section 43(2) of the FOIA as it is commercial in nature and its release would be likely to prejudice the commercial interests of Homes England and other interested parties to the information.

Homes England has identified that the information requested, if released, would be likely to prejudice the effective operation of the project and the Housing Infrastructure Fund (HIF) funding programme.

Section 43 is a qualified exemption. This means that once we have decided that the exemption is engaged, Homes England must carry out a public interest test to assess whether or not it is in the wider public interest for the information to be disclosed.

Arguments in favour of disclosure:

- Homes England acknowledges there is a general public interest in promoting accountability, transparency, public understanding and involvement in how Homes England undertakes its work and how it spends public money; and
- Homes England acknowledges there is an interest in the terms of the funding it delivers and the arrangements between the organisation and our partners.



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Arguments in favour of withholding:

- The GDA between Homes England and the Local Authority sets out performance criteria in relation to ongoing milestones and targets, delivery and operational obligations, performance criteria, and information relating to payments/repayments. To release the detail of these ongoing contractual obligations between the parties would be likely to prejudice their ability to fulfil the requirements agreed between the parties in the GDA. It is imperative that the local authority be able to work to achieve the obligations set out in the contract without undue influence from third parties that could disrupt the development, contracting process, or prejudice other funding sources that could put the overall scheme at risk. This would affect value for public money and prejudice new homes which would not be in line with the strategic objectives set out by government that Homes England is tasked with achieving as per our strategic plan;
- The GDA sets out requirements on the Local Authority and furthermore failure to meet the agreed deadlines could result in a material breach of contract. This would have significant cost implications on both the Local Authority and Homes England. This would directly nullify public funds already spent and would be likely to inflate the cost of future spend on the site, which would not be in the public interest as it would directly affect the public purse;
- The GDA contains confidentiality provisions in relation to the Local Authority's obligations and release of the information would breach these undertakings. This would be likely to cause significant detriment to Homes England in our relationship with a partner. As the government's housing accelerator Homes England has to support relationships with partners in order to achieve our strategic objectives and support home delivery with best value for public money. If partners felt that Homes England would reveal confidential commercial information in relation to projects where we are collaborating it would be likely that future partners would be unwilling to work with us or be wary of being open and transparent. This would cause significant risk in Homes England being able to invest public money and resources in the widest possible net of partners in order to achieve best value for money. It is imperative that Homes England are able to attract competitive partners and are respected in the market as a positive force;
- The requested information relates to a current and ongoing project where all opportunities/proposals have not yet been determined or concluded. If other potential or confirmed sources of funding became aware of the terms of the HIF funding and the obligations placed on the Local Authority regarding this funding as contained in the GDA, it would be likely to prejudice the ability of the Local Authority to negotiate for and secure other sources of funding. This would result in Homes England having to pay a higher grant than would have otherwise be the case, meaning greater cost to the public purse which would not be in the public interest;
- Releasing the information would be likely to negatively impact future funding processes and proposals to our funding schemes as potential partners may be deterred from applying to Homes England for grant funding if they felt information relating to their commercial and ongoing funding commitments would then be released to the public domain. This would be likely to result in a substantial impact on potential financial outcomes and delivery of the HIF. Furthermore, this would impact the ability of Government officials to make effective, informed decisions regarding allocation of public funds. This would not be in the public interest as public funds could be allocated in a way that would distort regional need for development;
- The consequences of releasing data at a time when negotiations/proposals are ongoing would significantly prejudice the council's ability to deliver the program. The program is a key scheme and failure to deliver could lead to significant planning harm to the local area and affect the Local Authority's ability to achieve the targets set out in their Local Plan. Therefore release would be likely to put potential homes in jeopardy



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and affect Homes England's ability to deliver against its objectives in our strategic plan, which is not in the public interest; and

- Homes England has been unable to identify a wider public interest in disclosing the information requested.

Having considered the arguments for and against disclosure of the information, we have concluded that at this time, the balance of the public interest favours non-disclosure.

The full text of the legislation can be found on the following link;

<https://www.legislation.gov.uk/ukpga/2000/36/section/43>

Section 41 – Information provided in confidence

Under section 41(1)(b) of the FOIA Homes England is not obliged to disclose information to the public if it would constitute a breach of confidence.

Given that the Grant Determination Agreement (GDA) is subject to confidentiality provisions, section 41 of the FOIA is engaged. Information contained within the GDA includes information for the HIF funding scheme that has been provided to Homes England in confidence and with the expectation that it will not be publicly disclosed. We rely on this exemption to withhold from disclosure the financial and technical information contained in the GDA only.

Although section 41 of the FOIA is an absolute exemption and there is no requirement to conduct a full public interest test, we can confirm that we have considered the balance of the public interest, as a breach of confidence may not be actionable if there is an overriding public interest in disclosure. In this case, we feel that there is no overriding public interest in favour of disclosure.

The full text of the legislation can be found on the following link;

<https://www.legislation.gov.uk/ukpga/2000/36/section/41>

Section 21 - Information accessible to applicant by other means

Subject to the exemptions above, we can advise that some of the information requested is available elsewhere, and therefore section 21 FOIA also applies to this part of your request.

The full text of the legislation can be found on the following link:

<https://www.legislation.gov.uk/ukpga/2000/36/section/21>

Advice and Assistance

We have a duty to provide advice and assistance in accordance with Section 16 of the FOIA. Therefore, we can advise that the template Grant Determination Agreement for Forward Funding has been published in response to previous FOI requests and is available on our disclosure log via the following link:

[https://assets.publishing.service.gov.uk/government/uploads/system/uploads/attachment_data/file/941667/RFI3100 - HIF Grant Determination Agreements.pdf](https://assets.publishing.service.gov.uk/government/uploads/system/uploads/attachment_data/file/941667/RFI3100_-_HIF_Grant_Determination_Agreements.pdf).



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Right to Appeal

If you are not happy with the information that has been provided or the way in which your request has been handled, you may request an internal review. You can request an internal review by writing to Homes England via the details below, quoting the reference number at the top of this letter.

Email: infogov@homesengland.gov.uk

The Information Governance Team
Homes England – 6th Floor
Windsor House
50 Victoria Street
London
SW1H 0TL

Your request for review must be made in writing, explain why you wish to appeal, and be received within 40 working days of the date of this response. Failure to meet this criteria may lead to your request being refused.

Upon receipt, your request for review will be passed to an independent party not involved in your original request. We aim to issue a response within 20 working days.

You may also complain to the Information Commissioner's Office (ICO) however, the Information Commissioner does usually expect the internal review procedure to be exhausted in the first instance.

The Information Commissioner's details can be found via the following link:

<https://ico.org.uk/>

Please note that the contents of your request and this response are also subject to the Freedom of Information Act 2000. Homes England may be required to disclose your request and our response accordingly.

Yours sincerely,

The Information Governance Team
For Homes England

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