

Operator Compliance Risk Score Operator Licence Number: OT12345678

Score Date: 01/01/2022

This is a sample report with explanations of the key areas. It does not contain all the data used to calculate the example scores or contain any personal data.

Date for the 3 year period which the report has been run

**Operator Name** 

EXAMPLE LOGISTICS & TRANSPORT LTD

## **Summary Information**

Type of OCRS Score	Number of OCRS Events	Total Event Ponts	Total Prosecution Points	Event Points Plus Prosecution Points	Calculated Base Score	Calculated OCRS Band	Final OCRS Band	
Combined	1662	8832.50	50	8882.50	5.34	Green	Green	
Roadworthiness	1576	6143.75	0	6143.75	3.90	Green	Green	
Traffic	96	2688.75	50	2318.75	29.96	Amber	Green	
Number of DVSA Roadworthiness and Traffic inspections, Annu Tests, Desk Base and Fleet	awarded offences defects for roadside test and	for and ound at or annual ctory desk d fleet	Total number of points awarded for both driver and operator prosecutions	Total of event and prosecution	Base score is calculated by the total of the Points and Prosecution F by the number events. In this example the Roadworthine Score is 3.90	dividing e Event  Points er of	Base OCRS Band	OCRS band calculated from combined score

## **Prosecution Points Summary**

Type of OCRS Score		Number of Driver Cases			Total Prosecution Points
Combined	0	0	0	0	0
Roadworthiness	0	0	0	0	0
Traffic	0	1	0	50	50

Total of operator and driver prosecution points

## **OCRS Band Threshold Values**

Type of OCRS Score	Amber Threshold Value	Red Threshold Value
Combined	10	25
Roadworthiness	10	25
Traffic	5	30

Values for each of the OCRS bands at the time the report was run

# Roadside Encounters, Annual Test, Desk Based Assessments, Fleet Assessments and DVSA Prosecution details that have an adverse effect on the OCRS base score.

Negative Events \_

Type of OCRS Score		Date of Event	Location	VRM	Driver Name	Defect or Offence ID	Defect Description	3 3	Points	3	Weighted Points
Traffic	PLS Traffic	20/12/2021	BRISTOL	AB00 CDE		4-25	Fail to use Tachograph record sheets or driver card (driver): Fine Level 5	Driver	50	1.00	50.00
Traffic	Roadside Traffic	19/03/2021	GLOUCESTER	AB00 FGH		3.14F	Registration Mark Obscured	2	50	1.00	50.00
Roadworthiness	Roadside Enforcement	15/03/2020	MANCHESTER	AB00 IJK		59	Brake actuator travel is excessive	3	50	0.50	25.00
Roadworthiness	Testing	12/06/2019	ELT LTD ATF	AB00 LMN		8	Condition of Tyres	3	50	0.25	12.50
Roadworthiness	REO DBA – Roadworthiness	20/02/2019					Unsatisfactory		25	0.50	12.50
Roadworthiness	Fleet Assessment – Roadworthiness	20/10/2020				7	Unsatisfactory		50	0.75	37.50

Data Source descriptions are:

PLS Traffic - DVSA Prosecution

Roadside Traffic - Roadside Traffic Inspection

Roadside Enforcement – Roadside Roadworthiness Inspection

Testing - Annual Roadworthiness Test

REO DBA Roadworthiness/Traffic – Roadworthiness/Traffic Desk Based Assessment

Fleet Assessment Roadworthiness – Roadworthiness Fleet Assessment

Defect ID and descriptions are taken from the categorisation of Defects. Offence ID and descriptions are taken from the Enforcment Sanctions Policy OCRS Point values are based upon the prohibition category/test failure item or offence band Weighting values are applied to all defects and offences with the more recent events having a higher weighting value Weighting points are the OCRS points multiplied by the Weighting Value. It is these points that are applied to the events score.

Roadside Encounters, Annual Test, Desk Based Assessments, Fleet Assessments and DVSA Prosecution details that have a positive effect on the OCRS base score.

#### **Positive Events**

Type of OCRS Score	Source of Data	Date of Event	Location	VRM	Driver Name
Traffic	Roadside Traffic	14/12/2021	TORMARTON WEIGHBRIDGE	AB00OPQ	
Roadworthiness	Roadside Enforcement	10/10/2021	TOTTENHAM COURT ROAD	AB00RST	
Roadworthiness	Testing	15/08/2021	READING TEST STATION	AB00UVW	
Traffic	REO DBA – Drivers Hours	18/10/2020			

Details of events that have been removed from OCRS in the last 30 days. Removing negative events will always result in an improvement to the OCRS base score. Removing positive events can in some circumstance have the effect of increasing the OCRS base

## Events removed from the rolling calculation in the last 4 weeks

Type of OCRS Score		Date of Event	Location	VRM		Description	Prohibition Category or Offence Band	Points	Weighting Value to be Applied	Points
Roadworthiness	Traffic	24/12/2019	GLOUCESTER	ABOOFGH		Condition of Tyres	3	50	0.25	12.50
Roadworthiness	Testing	11/12/2019	READING TEST STATION	AB00OPQ				0	0.00	0.00

This allows changes to OCRS over the last 90 days to be tracked. The date show is the Monday of each commencing week

# **OCRS Score History for the last 90 days**

<b>Score Date</b>	Source Type	OCRS Band
27/12/2021	Roadworthiness	Green
27/12/2021	Traffic	Green
20/12/2021	Roadworthiness	Green
20/12/2021	Traffic	Green
13/12/2021	Roadworthiness	Green
13/12/2021	Traffic	Green
06/12/2021	Roadworthiness	Green
06/12/2021	Traffic	Green
29/11/2021	Roadworthiness	Green
29/11/2021	Traffic	Green
25/11/2021	Roadworthiness	Green
25/11/2021	Traffic	Green

#### **Explanation of Report Content**

- 1. Operator Name This is the operator name associated with this licence number.
- 2. Type of OCRS Score Indicates if the summary line or event is relating to the Roadworthiness OCRS score category or the Traffic OCRS score category.
- 3. Number of OCRS Events The total number of events recorded in OCRS for the calculation period, by score type.
- 4. Total Event Points The total number of OCRS points allocated for offences or defects over the calculation period, by score type.
- 5. Total Prosecution Points The total number of extra OCRS points allocated for operator and driver prosecution cases.
- 6. Event Points plus Prosecution Points The final OCRS points total (defect/offence and prosecution points) over the calculation period, by score type.
- 7. OCRS Calculated Score Calculated by dividing the number of OCRS points total by the number of OCRS events for each score type
- 8. Calculated OCRS Band The base OCRS band, calculated by comparing the base score to the Amber and Red threshold bands for each score type.
- 9. Final OCRS Band Indicates the final OCRS band, by score type, once any triggers have been taken into account. For Earned Recognition operators this will show as Blue
- 10. Number of Operator Cases The number of successful prosecution cases relating to an operator during the calculation period.
- 11. Number of Driver Cases The number of successful prosecution cases relating to a driver during the calculation period.
- 12. Operator Case Points The number of extra OCRS points allocated for operator prosecution cases.
- 13. Driver Case Points The number of extra OCRS points allocated for driver prosecution cases.
- 14. Total Prosecution Points Calculated as a sum of the points per operator and points per driver, by score type.
- 15. Weighted Points The final OCRS points to be applied (i.e. the OCRS points multiplied by the weighting value).
- 16. Amber Threshold Value The Amber threshold band at the time that the score was calculated, by score type.
- 17. Red Threshold Value The Red threshold band at the time that the score was calculated, by score type.
- 18. OCRS Points Value The points allocated to the visit outcome, by score type.
- 19. Source of Data The type of event that occurred (i.e. a roadside enforcement or traffic check, a test or a prosecution).
- 20. Date of Event The date that the event in question took place.
- 21. Location The location that the event took place (please note that for prosecutions this will be the location of the court).
- 22. VRM The Vehicle Registration Mark for the vehicle involved in the event.
- 23. Driver Name The name of the driver who was driving at the time of the event (please note that this is not always captured and will be blank for prosecutions).
- 24. Defect or Offence ID The defect or offence number relating to a defect or offence that was found during the event.
- 25. Defect Description A description of the defect or offence that was found.
- 26. Prohibition Category or Offence Band The severity band of the defect or offence that was found (defect category 1-4 or 1S-4S for S mark defects, offence band 0-5 for roadside or prosecution offences).

- 27. OCRS Points Value The points allocated to that defect or offence band within OCRS.
- 28. Weighing Value to be Applied The time weighting to be applied to those points based on the elapsed time since the defect or offence occurred.
- 29. Weighed Points The final OCRS points to be applied (i.e. the OCRS points multiplied by the weighting value).
- 30. Type of Event This indicates if the Event was positive (i.e. no defects/offences were recorded) or negative (offences/defects were recorded).
- 31. Score Date This shows the date that the OCRS score was calculated (please note that this will be the day before the score becomes active).
- 32. Combined Band This shows the Combined OCRS band at the time of the score calculation.
- 33. Roadworthiness Band This shows the Roadworthiness OCRS band at the time of the score calculation.
- 34. Traffic Band This shows the Traffic OCRS band at the time of the score calculation.

Further Information on OCRS can be obtained by searching for 'OCRS' at <a href="http://www.gov.uk">http://www.gov.uk</a>