

# **Countryside Stewardship: Capital Grants** manual (from 8 February 2022)

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# Countryside Stewardship: Capital Grants manual (from 8 February 2022)

This manual explains what you need to do to apply for Countryside Stewardship Capital Grants.

It's a two-year grant for capital works in three areas: boundaries, trees and orchards; water quality; and air quality to deliver specific environmental benefits.

## Are you and your land registered?

Not registered: call us on 03000 200 301 and we can help you.

If you are registered: sign in now and check your information is up to date. Make sure that all the land parcels you want to include in your application are registered in the Rural Payments service and linked to your Single Business Identifier (SBI).

# **1** Introduction

This manual explains the rules and application process for the Capital Grants scheme which is part of Countryside Stewardship.

Countryside Stewardship is administered by the Rural Payments Agency (RPA) on behalf of the Department for Environment, Food and Rural Affairs (Defra). Natural England (NE) and the Forestry Commission (FC) provides technical advice in support of the scheme.

Applications for Countryside Stewardship Capital Grants open on 8 February 2022. This manual explains what you need to do to apply for a grant. If you are a Sustainable Farming Incentive pilot (SFI pilot) participant, you also need to read the <u>Countryside Stewardship</u> <u>Capital Grants manual (SFI pilot) supplement</u>.

## The Countryside Stewardship scheme overview

The main priority for Countryside Stewardship is to protect and enhance the natural environment, in particular:

- increased biodiversity, improved habitat and expanded woodland areas
- improved air quality
- improved water quality.

Countryside Stewardship (CS) supports Defra's Strategic Objective of 'a cleaner, healthier environment, benefiting people and the economy'. It also supports Defra's 25 year environment plan 'for our country to be the healthiest, most beautiful place in the world to live, work and bring up a family'.

Countryside Stewardship gives incentives for land managers to look after their environment and is made up of the following elements:

- Higher Tier agreements for land that requires more complex management tailored to the individual site, and now includes woodland maintenance
- Mid Tier agreements that provide a range of options and capital items that together help to deliver a broad range of environmental benefits
- the 4 Wildlife Offers provide a simpler set of options to help improve the wildlife on farms

- the Capital Grant offer provides grants for boundaries, trees and orchards, water quality and air quality
- the Countryside Stewardship Protection and Infrastructure offer provides grants for woodland infrastructure
- the Woodland Management Plan grant to help create a UK Forestry Standard (UKFS) compliant 10 year woodland management plan
- the Woodland Tree Health grant to help restore and improve tree health
- the Facilitation Fund supports individuals who bring together groups of farmers, foresters, and land managers in collaboration, to improve the environmental outcomes in their area

Woodland Tree Health and Woodland Management Plan grants are not competitive.

If you are eligible for the grant and there is sufficient budget, RPA (supported by a Forestry Commission Woodland Officer) will approve your application.

## More information

Read more about the different elements of <u>Countryside Stewardship</u> and use <u>Countryside</u> <u>Stewardship forms</u> on GOV.UK to help with your application.

This manual explains what you need to do to apply for a Capital Grant and any additional requirements and processes which you must follow.

If you are a farmer or other land manager, you can apply for Countryside Stewardship Capital Grants and access a range of capital items. There are capital items designed to help restore existing farm boundaries and those that aim to improve water and air quality, either directly or indirectly.

Choosing the right capital items to reduce losses of key water pollutants and ammonia from your farm will help you to improve the quality of water and air on your farm. This manual explains what you need to do to apply for the CS Capital Grants element of Countryside Stewardship ('the Scheme'). It also explains the additional requirements and processes that you must follow if your application is successful.

## **Agricultural Transition**

We will continue to offer Countryside Stewardship agreements starting in 2023 and 2024. This includes capital grants.

Countryside Stewardship will eventually be replaced with three new schemes that reward the delivery of environmental benefits: The Sustainable Farming Incentive, Local Nature Recovery and Landscape Recovery. This will follow piloting involving farmers and land managers. By 2025, we will have fully introduced our three new environmental land management schemes.

Signing up to a Countryside Stewardship agreement now will put you in the best possible position to join future schemes. What's more, it will give you a viable, long-term source of income for providing environmental benefits as Basic Payment Scheme payments are reduced. Anyone in a multi annual Countryside Stewardship agreement that started on or after 1 January 2021 will be able to end their agreement early, at agreed points, where they have secured an agreement in one of our environmental land management schemes.

For more information see section 3.3 which explains about land receiving other funding, or under other agreements or obligations.

## Important information and changes to the scheme

- Scheme application period the CS capital offer will not have a closing date unless the budget allocation is exceeded. We will publicise this date giving you 6 weeks' notice on GOV.UK.
- RP6 specification change to allow alternatives to concrete for use in woodlands.
- TE4 specification update to allow the use of plastic tree spirals to be optional where other measures to prevent damage are in place (for example fencing).
- FG1 and FG2 specification change to upgrade wood preservative requirements and fence posts.

## Accessibility improvements

We have made a number of changes to improve accessibility as part of our ongoing aim to provide a high quality service to all our customers. You will see some small changes in the Countryside Stewardship application annexes and forms as a result, but the way they work, and the data required remain the same.

## **Countryside Stewardship Agreement**

A Countryside Stewardship agreement comprises of:

1. the scheme Terms and Conditions

- 2. an Agreement Document (which sets out Agreement Holder specific details)
- 3. the supplementary documents referred to in the Agreement Document.

The Terms and Conditions refer to the mandatory elements of this manual that Agreement Holders must comply with and can be found at Annex 1.

Countryside Stewardship Capital Grants are for a maximum of 2 years. The Agreement will usually start from the first day of the following calendar month after you have accepted the agreement offer. The exact start date of the agreement will be set out in the Agreement Document.

## Mandatory parts of the CS Capital Grants manual

#### The mandatory elements of this manual are:

- Section 2: How the Capital Grants work
- Section 3: Who can apply and what land is eligible
- Section 6: Scheme requirements and procedures
- Section 7: Agreement Management.

## Be aware of fraud

How to avoid fraud and what to do if you suspect an attempted fraud.

Fraudsters may target farmers who receive subsidy payments and we're aware that in the past some customers have received emails, texts and telephone calls claiming to be from the Rural Payments Agency (RPA) or the Department for Environment, Food and Rural Affairs (Defra). Links to a fake website designed to look like an authentic RPA or Defra online service are sometimes included in the message.

We do not send emails or text messages with links to websites asking you to confirm your personal details or payment information. We strongly advise anyone who receives such a request not to open the link and delete the item. As fraudsters may target farmers who receive subsidy payments, remember:

- never discuss your bank account details with someone you do not know
- we will not ask you to make a payment over the phone

- delete any emails or texts you do not believe are genuine, and do not open any links our main email addresses are:
  - ruralpayments@defra.gov.uk
  - rpa@notifications.service.gov.uk
  - FETFEnquiries@rpa.gov.uk
  - FETFClaims@rpa.gov.uk
  - FTF@rpa.gov.uk
- be cautious about what information you share externally, particularly on social media.

If you suspect an attempted fraud or feel you have been the subject of fraud, you can contact:

- RPA's Fraud Referral Team on 0800 347 347 or FraudInConfidence@rpa.gov.uk
- Action Fraud (the UK's national reporting centre for fraud and cyber-crime) on 0300 123 2040.

## 2 How the Capital Grants work

This section provides information about the main elements of CS Capital Grants.

You must read and meet the requirements detailed in this section as these are mandatory for CS Capital Grants agreement holders.

These grants are stand alone capital grants available under Countryside Stewardship which can be used to support and complement the Wildlife Offers. They are split into three groups - Boundaries, Trees and Orchards (including the former Hedgerows and Boundaries Grant items), Water Quality, and Air Quality.

You can submit an application from 8 February 2022. Applications will remain open until the funding is allocated. If we are oversubscribed, we will publicise this, including on GOV.UK, giving you 6 weeks' notice. You can submit an application for each Single Business Identifier (SBI) that you manage. Please note, new agreements cannot contain parcels that already have capital works which are incomplete or have not received their final payment.

The maximum grant available for any application is  $\pounds 60,000$ . However, there is a limit of  $\pounds 20,000$  within each item grouping as shown below.

Group	Limit
Boundaries, Trees and Orchards	£20,000 maximum
Water Quality	£20,000 maximum
Air Quality	£20,000 maximum

If your application is successful, we will make an agreement offer to you. If you accept the offer, you will enter into an agreement with RPA.

## 2.1 Capital items available

There are 67 capital items available which are listed in section 5. They are split into three groups: Boundaries, Trees and Orchards; Water Quality; and Air Quality.

Use the <u>Countryside Stewardship online grant finder</u> which gives a description and essential requirements for each capital item. This includes eligibility and evidence requirements.

You can find the payment rates and details about using supplements in Section 5.

Some capital items require Catchment Sensitive Farming (CSF) approval. These are

listed in Annex 2. If you apply for these and do not have approval, we will remove them from your application.

For more information about CSF approval go to Annex 1.

## 2.2 Agreement period

Agreements are for a maximum of 2 years. Agreements will start from the first of the month after we've completed our checks. The exact start date of the agreement will be set out in the Agreement Document.

You must keep all capital items funded through this scheme in the condition and to the specification set out in your agreement for 5 years from the start date of your agreement.

Section 7.3 explains this 'durability requirement'. You can make one (or more) claims for payment during the 2 years of the agreement and can make a final claim within 3 months of the end of the agreement (read section 7.3 for more information).

Once you have completed your original capital works in your Mid Tier agreement, and you have submitted your final claim for these works, you will be able to apply for a separate Capital Grant application, before waiting for your Mid Tier agreement to end. You can apply for one Capital Grant agreement per calendar year, per SBI agreement.

## 2.3 How applications are assessed

The scheme is competitive and your application could be subject to assessment of its environmental value. If the scheme is oversubscribed, we will prioritise applications based on their environmental benefits.

## 2.4 What the grant does not cover

The CS Capital Grants do not cover:

- any capital works done (or materials ordered) before the agreement starts
- planning application fees or other transactional fees
- agent fees or other advisory fees
- meeting legal requirements, including planning conditions and tenancy agreements
- any works on a Site of Special Scientific Interest or Scheduled Monument (including any works on the boundary of these sites)
- any works on common land
- any works undertaken as part of another grant or obligation (read section 3.3).

# 3 Who can apply

You must read and meet the requirements detailed in this section as these are mandatory for all CS Capital Grants Agreement Holders.

## 3.1 Eligible land

#### 3.1.1 What land you can enter into the scheme:

- land parcels must be entirely within England
- land parcels that are already in a Countryside Stewardship, Mid Tier or Higher Tier agreement, Environmental Stewardship agreement and land parcels subject to an English Woodland Grant Scheme (EWGS) agreement may be eligible for the scheme, however read section 3.3 for some exceptions
- you must have management control of the relevant parcels for 5 years from the agreement start date or have your application countersigned by the landlord (read section 3.2.1)
- land parcels included in a Countryside Stewardship Implementation Plan agreement (PA1), Feasibility Study Agreement (PA2), Woodland Management Plan (PA3) or Woodland Tree Health grant agreement may be eligible (provided all other eligibility criteria are met).

#### 3.1.2 Land parcels and boundaries which are not eligible

The following are not eligible for the scheme:

- common land and shared grazing
- land not entirely within England
- land where you do not have management control for 5 years from the agreement start date and are not able to have your application countersigned by the landlord (read section 3.2.1)

- land that is already included in certain other schemes or under obligation (read section 3.3)
- land in a Site of Special Scientific Interest or Scheduled Monument (including the boundaries of these sites).
- land parcels already in an existing Countryside Stewardship agreement where there would be conflict in the aims and requirements, are also not eligible for the scheme.

## 3.2 Management Control: eligibility and scheme rules

You must have management control of all the land and all the activities, needed to meet the requirements of the capital items you select, for 5 years from the Countryside Stewardship agreement start date, including the durability requirement (read section 2.2).

If you do not have full control of the land and all such activities, you must get the written consent of all other parties who have management control of the land and activities, for 5 years from the start of the agreement. Read below for more details on how to do this.

#### 3.2.1 Tenants

If you occupy land under a tenancy, including the Agricultural Holdings Act 1986, the Agricultural Tenancies Act 1995 (a Farm Business Tenancy) or equivalent, and you are applying for an agreement in your own name, this land is eligible if you have:

- security of tenure for at least 2 years from the start of the CS Capital Grants agreement. The land is not eligible if you do not have this minimum period of tenure
- management control of the land for 5 years from the start of the CS Capital Grants agreement. If you do not have management control for 5 years from the start date of the agreement, you must get the counter signature of your landlord as part of your application
- control of all the activities over the land to meet the scheme requirements for the chosen capital items
- the agreement of your landlord before you apply.

It is your responsibility to check that by joining Countryside Stewardship you do not breach the terms of your tenancy agreement. If the landlord takes over a Countryside Stewardship agreement from you once your tenancy has ended, they must be eligible to do so. For example, they must not be an ineligible public body.

#### 3.2.2 Landlords

If you are a landlord and can show that you have enough management control over the land and activities, you can apply for an agreement on land that has been let to a tenant.

As the Agreement Holder, you must give your tenant a copy of the Countryside Stewardship agreement. You may need to provide evidence, if requested, that you have given your tenant a copy of the agreement. It is your responsibility to make sure that your tenant does not breach the terms of the agreement.

#### 3.2.3 Partnerships

If you are in a business partnership, you can apply for Countryside Stewardship. The person signing the application must have the appropriate permission levels in the <u>Rural</u> <u>Payments service</u>.

#### 3.2.4 Licensors

If you are a licensor, you can apply for a Countryside Stewardship agreement on the land entered into a licence arrangement. It is your responsibility to make sure that the licensee does not breach the terms of the Countryside Stewardship agreement.

#### 3.2.5 Licensees

If you only have access to land under a licence arrangement, that land will not usually be eligible for Countryside Stewardship. This is because a licensee will not have sufficient management control of the land and will be ineligible. However, if in practice, your agreement with the landowner gives you wider land management responsibilities, this may mean you are a tenant not a licensee and you may be eligible. If this is the case, you will need to show that you have sufficient management control of the land and activities to be able to apply.

#### 3.2.6 Land owned by public bodies

Land owned or run by a public body would in general, not be eligible for Countryside Stewardship. If you are a tenant of a public body, you will need to check with your landlord if the land is eligible for Countryside Stewardship. Countryside Stewardship cannot pay for any environmental management that is already required through:

- payment from Exchequer funds
- grant aid from any other public body
- any other form of legally binding obligation including tenancies.

This means that Crown bodies and Non-Departmental Public Bodies (NDPBs) are not eligible for the scheme. This includes those that are Trading Funds or those that do not receive funding direct from the Exchequer. Crown bodies include all government departments and their executive agencies, for example:

- Ministry of Defence
- Forestry Commission.

NDPBs are public bodies that have a role in the processes of national government but are not a government department and are not part of one. These include:

- Environment Agency
- Natural England
- Historic England
- National Forest Company.

Parish councils and former college farms are not considered to be public bodies and so are eligible to apply for Countryside Stewardship.

The following table provides more detailed eligibility criteria for public bodies.

Body/Organisation	Eligibility		Details
Government departments, executive agencies and NDPBs (for example, Ministry of Defence, Forestry Commission)	Ineligible		Not applicable
Other public bodies (for example, local authorities, National Park authorities and public corporations)	Eligible		Provided the work does not form part of their obligations as a public body
Parish Councils and former college farms	Eligible		Not applicable
Tenants of eligible public bodies		Eligible	Ineligible where the work is already a requirement of the tenancy agreement. The public body must countersign the application if the tenant does not have security of tenure

Body/Organisation	Eligibility		Details
Tenants of ineligible public bodies		Eligible	Ineligible where the work is already a requirement of the tenancy agreement. Tenants must have security of tenure for the full term of the agreement, including the durability requirement, as the public body cannot countersign the application.

# 3.3 Land receiving other funding or under other agreements or obligations

You cannot use a grant for capital works which you are required to carry out under other legally binding obligations or for which you are receiving or have received funding from other sources. We will carry out checks to make sure that capital works are not funded twice from public money.

You must make sure that any work proposed for this grant does not breach the conditions of any other agreement. The most common examples are listed below.

#### 3.3.1 Applying for Countryside Stewardship where someone else is claiming Basic Payment Scheme (BPS) on the same land ('dual use')

In certain circumstances, it is possible for a land parcel to be used by one Single Business Identifier (SBI) to claim BPS and that same land parcel to also be included in a Countryside Stewardship application submitted by someone else under their SBI. This is known as 'dual use'.

If you are in a dual use situation you must be able to demonstrate that you are meeting the rules and eligibility requirements of the scheme you are claiming for and you must have a written record.

If you are the Countryside Stewardship applicant, you have to meet the Countryside Stewardship eligibility rules, including having 'management control' of the land included in your Countryside Stewardship application. The BPS applicant must show that they have the same land 'at their disposal' under the BPS rules (and meet BPS eligibility rules). If you have an agreement with another person who uses the land to apply for BPS, this does not mean that person has the land at their disposal. It is the rights and responsibilities held in relation to the land, and how they operate in practice, which determine this.

An example of 'dual use' is where a landlord is able to have management control of the land for Countryside Stewardship while the tenant has the same land at their disposal to claim BPS.

If you are the Countryside Stewardship applicant, make sure you have a written record before the BPS application deadline of 16 May 2022 that is signed and dated by both parties. This written record could be a tenancy agreement, a letter, or both, which shows:

- the rights and responsibilities you and the BPS applicant in the 'dual use' situation each have for the land
- you have management control of the land and the BPS applicant has the land 'at their disposal'
- you have given a copy of the Countryside Stewardship Agreement Document and the Terms and Conditions (once you receive them) to the other party and that they must meet the Terms and Conditions (unless you can show that you are carrying out the required activities).

You must also make sure that the 'dual use' land parcels are linked to both SBIs in the digital maps in the Rural Payments service. The BPS applicant may need to transfer the land parcels to you using an electronic or paper RLE1 form. Read about how to do this in the <u>RLE1 guidance</u> on GOV.UK

You may want to get independent professional advice, especially if you previously had a verbal agreement (rather than a written agreement) with the other party.

#### 3.3.2 Environmental Stewardship

Land parcels in Higher Level Stewardship (HLS) are eligible, providing there is no conflict in the requirements for each agreement.

#### 3.3.3 Countryside Stewardship

You can enter land parcels in a Countryside Stewardship Mid Tier or Higher Tier agreement into the CS Capital Grants application, once you have completed your original capital works in your Mid Tier agreement, and you have submitted your final claim for these works, you will be able to apply for a separate Capital Grant application, before waiting for your Mid Tier agreement to end.

#### **3.3.4 Environmental Land Management schemes**

#### **Sustainable Farming Incentive pilot**

You cannot apply for this CS Capital Grants offer on land parcels included in an SFI pilot standards agreement. If you have other land parcels not included in your SFI pilot standards agreement, you can apply for CS Capital Grants offer on those land parcels.

If you are an SFI pilot participant, you can apply for a CS Capital Grants (SFI pilot) agreement to support delivery of SFI pilot standards. Please see the <u>CS Capital Grants</u> manual (SFI pilot) supplement for more information.

#### Sustainable Farming Incentive early rollout

We will launch the first round of the SFI in 2022. When it opens, farmers who are eligible for the Basic Payment Scheme (BPS) will be eligible for SFI. Land parcels already in a CS Capital Grants agreement will be eligible for SFI in 2022 provided they meet the respective scheme eligibility requirements. You can also apply for CS Capital Grants on land entered into SFI in 2022.

#### Local Nature Recovery

We plan to make an early version of Local Nature Recovery available to a limited number of people in 2023 and expand this as we roll out the scheme more widely by the end of 2024. We will publish more information about the scheme, including how CS agreement holders can take part, later this year.

#### Landscape Recovery

Customers with a Countryside Stewardship Capital Grants offer can also apply for a Landscape Recovery agreement. Defra will work with Landscape Recovery applicants during the project development phase on how to transition CS agreement holders fully into Landscape Recovery and avoid double funding.

#### 3.3.5 English Woodland Schemes

You can apply for Countryside Stewardship items on land parcels covered by an EWGS Management Planning Grant and certain capital grants (for example, Woodland Improvement Grant - WIG) as long as this does not result in you being paid twice for the same items or activities and the CS items do not conflict with any ongoing EWGS requirements.

You cannot apply for Countryside Stewardship items on land parcels covered by a multiannual English Woodland Grant Scheme (EWGS) agreement, England Woodland Creation Offer (EWCO), Farm Woodland Payment (FWP), Farm Woodland Premium Scheme (FWPS) or Farm Woodland Scheme (FWS).

#### 3.3.6 Farming Recovery Fund

Land parcels covered by the Farming Recovery Fund are not eligible at present.

#### 3.3.7 Inheritance tax or Capital Gains exemption

Countryside Stewardship options and capital items may be available on land designated by HM Revenue & Customs (HMRC) as conditionally exempt from Inheritance Tax or as the object of a Maintenance Fund, depending on the specific undertakings and proposed options or capital items.

Read <u>Inheritance tax and capital gains exemption: Countryside Stewardship</u> to find out whether capital items available under the CS Capital Grants are always eligible, never eligible, or need checking.

#### 3.3.8 Capital Grants under Countryside Productivity, Growth Programme, LEADER, Water Environment Grant, Farming in Protected Landscapes, Farming Investment Fund, Woodland Carbon Fund and HS2 Woodland Fund.

Countryside Stewardship cannot fund works that form part of these, or similar schemes or grants.

## **3.4 Business Viability Test**

We will check all applicants against an insolvency register. If we assess your application as not financially viable, we may not offer you an agreement.

For applications including capital expenditure of over £50,000 in total, you must submit a statement from a registered accountant (for example, a chartered accountant or certified accountant). This is to confirm that the business or SBI has the resources from trading profits, reserves or loans to undertake the work in the proposed agreement schedule.

Where confirmation from an accountant is needed, the accountant will need to provide a letter on headed paper which confirms at least the following:

- they are a registered accountant
- they act as the accountant for the applicant or have been contracted to act on behalf of the applicant
- they can confirm that you as the applicant have sufficient finances to complete the capital works in your application and how these funds will be sourced (for example, savings, loan etc)
- their understanding of the total value of the capital works in the application.

# 4 How to apply

This section gives the information you need to apply for CS Capital Grants.

# 4.1 CS Capital Grants application route: Step by step guide

#### Step 1 – Before you apply

- Register on the Rural Payments service, if you have not already done so read section 4.2
- Make sure that you and the land you wish to enter into the grant are eligible read section 3
- If you prefer to use an agent, you will need to authorise them to act for you read section 4.3
- Make sure you have Catchment Sensitive Farming (CSF) approval for the relevant Water and Air Quality capital items. You must contact your CSF at least 10 weeks before you intend to submit your application, or your request will not be considered. Read section 4.6.2.
- For parcels to be included they must be registered on the Rural Payments service. If you need new land parcel numbers, fill in an RLE1 form and send to us with sketch maps.

#### Step 2 – Start to fill in your application form

- Start your online application (there is guidance available to help you read section 4.4.1) or
- If you are unable to submit an online application, then you can start an email application by downloading the application form from GOV.UK and read the guidance at section 4.4.2
- If you are unable to email your application, start a postal application by downloading the application form from GOV.UK (there is guidance to help you read section 4.4.2)

- Choose which capital items to apply for read section 5
- Request approval, consents and permissions (if applicable) read section 4.6.

#### **Step 3 – Gather your evidence and supporting documents**

- Prepare a map to send with your application read section 4.5
- Get any documents you need to support your application read sections 4.6 and 6.3
- Keep photographic evidence to support your application as we may ask to see it read sections 6.3, 6.4 and 6.5
- Make sure you clearly label all photographic evidence read section 6.6
- We'll only accept supporting documents such as photos or forms, if they are either printed and sent by post or scanned and attached to an email. The maximum email size that we can accept is 32MB. For security reasons, we cannot accept discs, USB pen drives or other external storage media.

#### **Step 4 – Fill in and submit the application**

- Fill in the remaining sections of your application form. Use the guidance to help you and read section 4.7
- Check your application is complete before you submit it read section 4.7.1
- Submit your application online, or if you are unable to apply online, by email or by post read section 4.7.2
- If you submit by email please put 'Application CS Capital Grants' in the email subject heading and send it to us at <u>ruralpayments@defra.gov.uk</u>.
- The maximum email size we can accept is 32MB. You must also put your SBI number in your email and check it is on everything you send to us. You can email your completed application without having signed it. Please make sure that you have the correct permission level and that the email address for your business is registered in the Rural Payments service, or the email will be rejected. If you are unable to email your application and need to return a paper application, please sign your application form and send it with any supporting documents to: Rural Payments Agency (CS), PO Box 324, Worksop S95 1DF

• Please put your SBI number on everything you send to us. If you submit by post, you must submit the original form that was sent to you as we cannot accept a copy.

## **4.2 Register with Rural Payments**

You must be registered on the <u>Rural Payments service</u> before you can apply for this grant. Once you're registered, you will receive a Customer Registration Number (CRN) and a Single Business Identifier (SBI). You can find more information on <u>How to register and</u> <u>update your details on the Rural Payments service</u> on GOV.UK.

All land parcels listed on your application must be registered on the Rural Payments service.

## 4.3 Authorise an agent

You can authorise an agent to fill in and submit your application for you. This also applies to payment claim forms for Agreement Holders.

For an agent to act for you, you must give them the appropriate permission levels in the <u>Rural Payments service</u> on GOV.UK. This applies even if you have previously authorised the agent using the paper agent authorisation form.

Read '<u>Give someone else permission to act on your behalf</u>' on GOV.UK for more information on the different levels of permission. You should also read the information in the Permission levels screen in the Rural Payments service. This lists what is permitted at each level. You are responsible for ensuring that permissions assigned on the Rural Payments service are made correctly and that contact details are all correct.

## 4.4 Application methods

We would encourage you to submit your application online if you can. If you are unable to do so, you can apply by email or by post.

#### 4.4.1 Online

You can apply online using the <u>Rural Payments service</u>. The service includes a 'Help' link on many of the screens that will take you through each process step by step.

If you apply online, you do not need to fill in a Countryside Stewardship Capital Grants application form. However, you must send us a map and any supporting documents by email or post.

After you've submitted your online application we must receive your supporting documents and any evidence that needs to be submitted within 30 days of the 'Submit Date' in the initial Countryside Stewardship applications screen. If you cannot submit them to reach us within the 30 days, you must tell us within the 30 days, why you cannot submit them. If we do not receive either, we'll need to reject your application. You may need to keep some evidence until you're asked for it. To check what evidence is needed read <u>Countryside</u> <u>Stewardship grants</u>

#### 4.4.2 By email or post

You can email or post your application using the <u>Countryside Stewardship Capital Grants</u> application form.

To help you fill in the application form read the <u>Countryside Stewardship: How to apply for</u> <u>Capital Grants Guidance</u>

If you submit by email, please put 'Application – CS – CS Capital Grants' in the email subject heading. You must also put your SBI number in your email and check it is on everything you send to us. You need to make sure that you have the appropriate permission levels and that the email address for your business is registered in the Rural Payments service.

If you are unable to email your application and need to return a paper application, please sign your application form and send it with any supporting documents to:

Rural Payments Agency (CS) PO Box 324 Worksop S95 1DF

Please put your SBI number on everything you send to us.

We recommend that you get proof of postage for any documents you send to us by post. You should keep a copy of your completed application form.

## 4.5 Prepare a map to accompany your application

You must complete your map to a required standard. Please read the Countryside Stewardship: Capital grants – <u>How to complete maps for your application guide</u>

## 4.6 Getting consent

You must check each capital item you are applying for to see if any consents are needed.

You are responsible for arranging all relevant consents, permissions, exemptions and written advice needed for your application. You will not be paid for any work undertaken without all the necessary consents and permissions being in place before you begin any work.

In some cases, you will not be offered an agreement if these are not in place.

### 4.6.1 Planning Consent

Your local planning authority can give you informal advice on whether a proposal needs planning consent. There is also <u>general guidance on planning permission for</u> <u>farms</u> available.

You do not need to provide evidence of whether planning permission or consent is needed with your application. However, if required, you will need to have permission or consent in place before you carry out any work, and you will need to submit this evidence when you make a claim for this work.

### 4.6.2 Catchment Sensitive Farming (CSF) approval

If you are intending to apply for certain Water and/or Air Quality capital items you must contact CSF at least 10 weeks before you intend to submit your application, or your request will not be considered. Complete the Request for approval from Catchment Sensitive Farming form and send it to CSF. Contact details can be found on GOV.UK. CSF will only assess a request for approval if it addresses recommendations made by, or on behalf of CSF.

You can find Countryside Stewardship High and Medium Priority Area for Water on GOV.UK.

There is further information on the items that require CSF approval in Annex 2.

#### 4.6.3 Other consents

You may need to apply for other consents or licences even if you do not need planning consent. Examples of areas where a consent or licence is likely to be needed includes where the work affects:

- protected species (as defined by the Wildlife & Countryside Act 1981)
- a registered parkland

- a registered battlefield
- a watercourse or highway
- areas subject to a Tree Preservation Order a licence may be needed for any changes to trees and hedges.

#### 4.6.4 Work on trees and hedges

You may need permission for work on trees that are under a Tree Preservation Order (TPO). If this applies to you, contact your Local Authority or National Park Authority. Read more information on Tree Preservation Orders and trees in conservation areas on GOV.UK.

You may need a Forestry Commission Felling Licence to remove trees or manage hedges. This applies whether they are in woodland or not.

You can fell up to 5m3 and sell up to 2m3 of timber without a felling licence each calendar quarter. If you plan to fell or sell more, you must get a felling licence before your agreement offer can be issued. Read more information about tree felling licences on GOV.UK.

You do not need to provide evidence of any TPO permission or a felling licence with your application. If required, you will need to have the consent before you carry out any work. You will need to submit this when you make a claim for this work.

#### 4.6.5 Other considerations

When you carry out work under the agreement, remember that you must not breach any other rules or laws, such as:

- break byelaws
- obstruct public rights of way
- block or restrict access to 'open access' land
- affect oil or gas pipelines
- breach your cross compliance requirements in relation to any other existing commitments you hold, where applicable, for example if you claim BPS payments.

## 4.7 Complete and submit your application

You can submit your application by following the online application process, or by filling in an application form and sending it to us by email or post.

You also need to send us:

- the application map as at section 4.5
- a Countryside Stewardship land ownership and control form, if applicable
- any other supporting documents we ask for.

You do not need to send the following with your application, but if required, you will need to have permission or consent in place before you carry out any work and you will need to submit this evidence when you make a claim for this work:

- Any relevant consents, permissions, exemptions or any written advice (read section 6.3.2)
- Any evidence you need to support your application (read sections 6.3 to 6.5), including photographs and any other evidence required for each capital item as described on the <u>Countryside Stewardship grant finder</u>.

#### 4.7.1 Before you submit your application

Read this Countryside Stewardship manual and the Terms and Conditions.

#### If you apply online:

- double check the details in your online application summary are correct
- tick the box to confirm you agree to the terms of the declaration
- press Submit within the 'Make/Manage your application' section.

#### If you apply by email:

• double check the details in your application form are correct

- read the declaration, undertakings and warning carefully
- enter your name in block letters, your capacity (for example, sole trader, company director, agent and so on) and the date.

#### If you apply by post:

- double check the details in your application form are correct
- read the declaration, undertakings and warning carefully
- sign and enter your name in block letters, your capacity (for example, sole trader, company director, agent and so on) and the date of your signature.

The party/parties who sign the paper application or submit the online application must have the authority to act on behalf of the applicant and have the appropriate permission levels in the Rural Payments service.

Make sure any counter signatories' (for example, landlords') declarations, undertakings and signature(s) are provided (if applicable).

## 4.7.2 Submitting your application

If you apply online, your application is not complete until we have received all the supporting information.

Send your email application to us at <a href="mailto:ruralpayments@defra.gov.uk">ruralpayments@defra.gov.uk</a>

Send your postal applications (and supporting information if you applied online) to:

Rural Payments Agency (CS), PO Box 324, Worksop, S95 1DF.

We recommend that you:

- get proof of postage for these and any other documents you send to us
- keep a copy of your completed application form and map.

## 4.7.3 After you apply

Once we receive your application, we will check it to confirm that:

- it meets the eligibility requirements in Section 3
- you have filled in all the necessary details on the application form
- you have completed the map.

If you are successful, we will write to you to make you an agreement offer which cannot be amended. If you want to accept the agreement offer you must sign and return the declaration by post within 20 working days. If you do not accept your offer in time, it will be withdrawn.

If your application is unsuccessful, we will let you know why it was rejected. You will then have the right to appeal to us, as set out in section 7.7.

You can withdraw an application at any point after submission, prior to an agreement offer being made. Once an agreement offer has been made, you cannot withdraw your application, but you can reject your agreement offer.

You can find more information about entering into an agreement at section 6.1.

# **5 Choosing capital items**

A description and brief requirements for the capital items under the CS Capital Grants are provided in this section.

More detailed information on (and the specific requirements for) these items are available on the <u>Countryside Stewardship online grant finder</u>.

You can select multiple items from the three different groups. The maximum grant available is  $\pounds 60,000$ . However, there is a limit of  $\pounds 20,000$  within each item group.

## **Boundaries, Trees and Orchards item group**

Code	ltem	Payment Rate	Item Group classification	Supplement?	CSF approval required?
BN1	Stone- faced bank repair	£31/m	Boundaries Trees and Orchards	N/A	Ν
BN2	Stone- faced bank restoration	£86/m	Boundaries Trees and Orchards	N/A	Ν
BN3	Earth bank creation	£13.50/m	Boundaries Trees and Orchards	N/A	Ν

ltem	Payment Rate	Item Group classification	Supplement?	CSF approval required?
Earth bank restoration	£7/m	Boundaries Trees and Orchards	N/A	N
Hedgerow laying	£9.40/m	Boundaries Trees and Orchards	N/A	Ν
Hedgerow coppicing	£4/m	Boundaries Trees and Orchards	N/A	N
ltem	Payment Rate	Item Group classification	Supplement?	CSF approval required?
Hedgerow gapping-up	£9.50/m	Boundaries Trees and Orchards	N/A	N
Hedgerow supplement - casting up	£3/m	Boundaries Trees and Orchards	Yes, only use with BN5 and BN6	N
	Earth bank restoration Hedgerow coppicing Item Hedgerow gapping-up	RateEarth bank restoration£7/mHedgerow laying£9.40/mHedgerow coppicing£4/mItemPayment RateHedgerow gapping-up£9.50/mHedgerow supplement£3/m	RateclassificationEarth bank restoration£7/mBoundaries Trees and OrchardsHedgerow laying£9.40/mBoundaries Trees and OrchardsHedgerow coppicing£4/mBoundaries Trees and OrchardsItemPayment RateItem Group classificationHedgerow gapping-up£9.50/mBoundaries Trees and OrchardsHedgerow gapping-up£9.50/mBoundaries Trees and OrchardsHedgerow gapping-up£9.50/mBoundaries Trees and Orchards	RateclassificationEarth bank restoration£7/mBoundaries Trees and OrchardsN/AHedgerow laying£9.40/mBoundaries Trees and OrchardsN/AHedgerow coppicing£4/mBoundaries Trees and OrchardsN/AItemPayment RateItem Group classificationSupplement?Hedgerow gapping-up£9.50/mBoundaries Trees and OrchardsN/AHedgerow gapping-up£3/mBoundaries Trees and OrchardsN/A

Code	Item	Payment Rate	Item Group classification	Supplement?	CSF approval required?
BN10	Hedgerow supplement - top binding and staking	£3.40/m	Boundaries Trees and Orchards	Yes, only use with BN5	Ν
BN11	Planting new hedges	£11.60/m	Boundaries Trees and Orchards	N/A	N
BN12	Stone wall restoration	£25/m	Boundaries Trees and Orchards	N/A	Ν
BN13	Top wiring - stone wall	£3.60/m	Boundaries Trees and Orchards	Yes, only use with BN12	Ν
BN14	Stone wall supplement - stone from quarry	£44/m	Boundaries Trees and Orchards	Yes, only use with BN12	N

Code	ltem	Payment Rate	Item Group classification	Supplement?	CSF approval required?
FG12	Wooden field gate	£390/gate	Boundaries Trees and Orchards	N/A	Ν
FG14	Badger gate	£135/gate	Boundaries Trees and Orchards	N/A	Ν
TE1	Planting standard hedgerow tree	£8.80/tree	Boundaries Trees and Orchards	N/A	Ν
Code	ltem	Payment Rate	Item Group classification	Supplement?	CSF approval required?
TE10	Coppicing bankside trees	£52/tree	Boundaries Trees and Orchards	N/A	N

Code	ltem	Payment Rate	Item Group classification	Supplement?	CSF approval required?
TE11	Tree surgery	£96.50/tree when cutting limbs up to and incl. 20cm diameter £200/tree when cutting limbs over 20cm diameter	Boundaries Trees and Orchards	N/A	Ν
WB1	Small wildlife box	£28.50/box	Boundaries Trees and Orchards	N/A	N
WB2	Medium wildlife box	£39/box	Boundaries Trees and Orchards	N/A	N
WB3	Large wildlife box	£100/box	Boundaries Trees and Orchards	N/A	N

You can only apply for a supplement with its associated capital item, as follows:

• BN8 – can only be used on BN5 and BN6

- BN10 can only be used with BN5
- BN13 can only be used with BN12
- BN14 can only be used with BN12.
- Both BN13 and BN14 can be used with BN12

## Water quality items

Code	Item	Payment Rate	Item Group classification	Supplement?	CSF approval required?
FG1	Fencing	£4/m	Water Quality	N/A	Ν
FG2	Sheep netting	£4.90/m	Water Quality	N/A	Ν
FG3	Permanent electric fencing	£4.90/m	Water Quality	N/A	Ν
FG4	Rabbit fencing supplement	£2.50/m	Water Quality	Yes (only use with FG1, FG2, FG3)	N
FG15	Water gates	£240/gate	Water Quality	N/A	N

Code	ltem	Payment Rate	Item Group classification	Supplement?	CSF approval required?
LV3	Hard bases for livestock drinkers	£110/base	Water Quality	N/A	N
LV4	Hard bases for livestock feeders	£170/base	Water Quality	N/A	Ν
LV5	Pasture pumps and associated pipework	£220/pump	Water Quality	N/A	Ν
LV6	Ram pumps and associated pipework	£1,480/pump	Water Quality	N/A	N
LV7	Livestock troughs	£110/trough	Water Quality	N/A	N

Code	Item	Payment Rate	Item Group classification	Supplement?	CSF approval required?
LV8	Pipework associated with livestock troughs	£2.65/m	Water Quality	N/A	Ν
RP1	Resurfacing of gateways	£92/gateway	Water Quality	N/A	N
Code	ltem	Payment Rate	Item Group classification	Supplement?	CSF approval required?
RP2	Gateway relocation	£340/gateway	Water Quality	N/A	N
RP3	Watercourse crossings	£300/crossing	Water Quality	N/A	N
RP4	Livestock and machinery hardcore tracks	£33/m	Water Quality	N/A	Y

Code	Item	Payment Rate	Item Group classification	Supplement?	CSF approval required?
RP5	Cross drains	£245/drain	Water Quality	N/A	N
RP6	Installation of piped culverts in ditches	£340/culvert	Water Quality	N/A	Ν
RP7	Sediment ponds and traps	£10/m2	Water Quality	N/A	Ν
RP9	Earth banks and soil bunds	£155/unit	Water Quality	N/A	Ν
RP10	Silt filtration dams or seepage barriers	£75/unit	Water Quality	N/A	Ν
RP11	Swales	£5.95/m2	Water Quality	N/A	N

Code	Item	Payment Rate	Item Group classification	Supplement?	CSF approval required?
RP12	Check dams	£42 for each dam	Water Quality	N/A	N
RP13	Yard - underground drainage pipework	£5.50/m	Water Quality	N/A	Y
RP14	Yard inspection pit	£200/unit	Water Quality	N/A	Y
RP15	Concrete Yard Renewal	£27.14/m2	Water Quality	N/A	Y
RP16	Rainwater goods	£11.40/m	Water Quality	N/A	N

Code	Item	Payment Rate	Item Group classification	Supplement?	CSF approval required?
RP17	Storage tanks underground	£350/m3	Water Quality	N/A	Y
RP18	Above ground tanks	£100/m3	Water Quality	N/A	Y
RP19	First flush rainwater diverters and downpipe filters	£125/unit	Water Quality	N/A	Y
RP20	Relocation of sheep dips and pens	£3,675/unit	Water Quality	N/A	Y
RP21	Relocation of sheep pens only	£1,830/unit	Water Quality	N/A	Y
RP22	Sheep dip drainage	£18.25/m2	Water Quality	N/A	Y

Code	ltem	Payment Rate	Item Group classification	Supplement?	CSF approval required?
	aprons and sumps				
RP23	Installation of livestock drinking troughs (in draining pens for freshly dipped sheep)	£68/unit	Water Quality	N/A	Υ
RP24	Lined biobed plus pesticide loading and washdown area	£118/m2	Water Quality	N/A	Υ
RP25	Lined biobed with existing washdown area	£77/m2	Water Quality	N/A	Y
RP26	Biofilters	£990/unit	Water Quality	N/A	Ν

Code	Item	Payment Rate	Item Group classification	Supplement?	CSF approval required?
RP27	Sprayer or applicator load and washdown area	£40/m2	Water Quality	N/A	Y
Code	ltem	Payment Rate	Item Group classification	Supplement?	CSF approval required?
RP28	Roofing (sprayer washdown area, manure storage area, livestock gathering area, slurry stores, silage stores)	£62/m2	Water Quality	N/A	Y
WN5	Pond management (100 square metres or less)	£270/pond	Water Quality	N/A	Ν

Code	Item	Payment Rate	Item Group classification	Supplement?	CSF approval required?
WN6	Pond management (more than 100 square metres)	£170/100m2	Water Quality	N/A	Ν

## Air quality items

Code	Items	Payment Rate	Item Group classification	Supplement?	CSF approval required ?
AQ1	Automatic slurry scraper	£2,760 per passageway/ channel	Air Quality	N/A	Υ
AQ2	Low ammonia emission flooring for livestock buildings	£72/m2	Air Quality	N/A	Y

Code	Items	Payment Rate	Item Group classification	Supplement?		CSF approval required ?
RP29	Self- supporting covers for slurry and anaerobic digestate stores	£30.50/m2	Water Quality		N / A	Υ
RP30	Floating covers for slurry and anaerobic digestate stores and lagoons	£5.60/m2	Water Quality		N / A	Υ
TE4	Supply and plant a tree	£1.28/tree	Air Quality	N/A		Y
TE5	Supplement for use of individual tree-shelters	£1.60/unit	Air Quality	Yes, only use with TE4		Υ

For further information on Water and Air Quality items that require Catchment Sensitive Farming approval, go to Annex 2.

For parcels to be included they must be registered on the Rural Payments service. If you need to register new land parcels, fill in an RLE1 form and send to us with sketch maps.

## **6** Scheme requirements and procedures

You must read and meet the requirements detailed in this section as these are mandatory for all CS Capital Grants Agreement Holders.

## 6.1 Entering into an agreement

If your application is successful, we will send you an agreement offer. If you want to accept, you must return the signed acceptance declaration to us within 20 working days of the date on the offer. If you do not accept your offer in time, we will withdraw it. Once an agreement offer has been made, you can reject it.

The agreement start date will be shown in the agreement offer.

Once you have accepted the offer and entered into an agreement, you can only modify, extend or amend the agreement with RPA's consent.

## 6.2 Record keeping

You must keep all records relevant to the expenditure of the grant for at least 7 years from the end of the agreement.

# 6.3 Evidence: Record keeping and site visit requirements

You must obtain and keep evidence to show that you have carried out all the requirements of your agreement to support your claim or to support a site visit. You must also keep evidence that you are eligible for the scheme.

Your evidence must show that:

- you are eligible for the scheme
- the activities funded under your agreement are appropriate
- the funded activity is taking or has taken place.

We need you to do this so that we can demonstrate that public money is being spent effectively and is delivering the intended results.

Record keeping is an important part of an effective farm, or woodland, management system. You can use some existing farm records to meet scheme requirements, but you may also need to keep other records specifically about the management being funded.

The record keeping requirements for each capital item are published in the <u>Countryside</u> <u>Stewardship online grant finder</u>.

#### 6.3.1 When is evidence required?

#### Evidence to support your application

You must keep evidence to show that you, your business, your land or capital items are eligible as you may have to show this if you are chosen for a site visit.

#### During the agreement and durability periods

You may need to provide evidence to show that you have carried out the required actions. Evidence may be needed:

- to support a claim. More information is set out in the following sections and where relevant further information will be sent with the claim form covering letter
- during or after an administrative check, a site visit, or other checks as described in section 7.4.

#### After the agreement has ended

The Terms and Conditions explain you must keep all invoices, receipts, accounts and any other relevant documentation relating to the expenditure of the grant for at least 7 years from the end of the agreement.

# 6.3.2 General evidence requirements for Applicants and Agreement Holders, Consents and Permissions

It is your responsibility to get all consents, approvals or permissions that you may need due to your specific circumstances and to carry out the particular capital item. These consents and permissions must remain effective, and records kept, for the duration of the agreement and durability period.

## 6.4 Photographic evidence

For some capital items you need to keep dated photographic evidence. You must have this evidence available on request as we may ask to see it. You can use photographs with an automatic date stamp, or write the date the photograph was taken on the reverse.

The need for dated photographic evidence depends on the particular capital item, but the following general principles apply. Check the capital item requirements using <u>Countryside</u> <u>Stewardship grants</u> (known as the grant finder) on GOV.UK. For photographic evidence the following general principles apply.

#### Before work stage

For some capital items you need to take dated photographs and keep them to show where work or management will be undertaken on a particular feature or site. Check the capital item requirements using <u>Countryside Stewardship grants</u> (known as the grant finder) on GOV.UK to see if this is the case.

#### **During work stage**

For the capital works listed below, you will need to keep and submit contracts, invoices, or other documents, confirming the technical specification for the completed works have been met. If you are unable to provide this, you will need to keep and submit dated photographs of the site during the different stages of the construction or build to show that the minimum specification has been met.

Code Capital Item

LV3 Hard bases for livestock drinkers

LV4 Hard bases for livestock feeders

Code	Capital Item
LV5	Pasture pumps and associated pipework
LV6	Ram pumps and associated pipework
LV8	Pipework associated with livestock troughs
RP3	Watercourse crossings
RP4	Livestock and machinery hardcore tracks
RP6	Installation of piped culverts in ditches
RP7	Sediment ponds and traps
RP9	Earth banks and soil bunds
RP12	Check dams

#### Code Capital Item

PR13	Yard - underground drainage pipework
RP14	Yard inspection pit
RP15	Concrete Yard Renewal
RP24	Lined biobed plus pesticide loading and washdown area
RP25	Lined biobed with existing washdown area
RP26	Biofilter
RP27	Sprayer or applicator load and washdown area
WN5	Pond management (100 square metres or less)
WN6	Pond management (more than 100 square metres)

AQ1 Automatic slurry scraper

AQ2 Low ammonia emission flooring for livestock buildings

#### Claim stage

For a partial or full claim, you must take a dated photograph after the works have been completed and send it with your payment claim. This must show the 'works

completed condition'. The 'before work' and 'works completed' photographs must be taken from the same position.

You can post or email the information to us using the contact details at the end of this guide, we cannot accept USB/CDs for security reasons as outlined in step 3 in section 4.

## 6.5 Photographic evidence quality

All photographs must meet the following standards. Requirements apply equally to digital photographs or those supplied as paper photographs.

- Quality photographs must be in focus and clearly show the relevant capital item or environmental feature. If you send your images by email, please send as JPEG files. Digital images should not be smaller than 600 x 400 pixels and ideally the image file size no larger than 400 KB. Printed photographs must be no smaller than 15 cm x 10 cm. Photographs can be in either portrait or landscape.
- Photograph to identify the environmental feature or capital item(s) concerned it is your responsibility to have sufficient evidence that the investment or required management has taken place. For example, more than one photograph may be needed where the feature or capital item exceeds the frame or is not clearly evident from a single photograph.
- Where possible, include a significant feature to provide authenticity, for example, ditch, fence, farm building, road, telegraph poles.

- Where possible, mark the photographed feature location, and direction from which the photograph has been taken, with an 'X' and an arrow on a copy of a map (or map extract) of the agreement area.
- Where scale or continuity is important, include a feature, or introduce one, for example a quad bike, vehicle or use a sighting pole (2m high with 50cm intervals marked in red and white). Take pictures consistently from the same spot for 'before and after' photographs of the capital item.

## 6.6 Clearly label photographs

Use the Ordnance Survey (OS) map sheet reference and National Grid reference for the field parcel, followed by the relevant proposed or implemented capital item code and, if more than one image is required, the image number.

The OS map sheet reference and National Grid reference should relate to the field parcel adjacent to the boundary and used in the application. For example, if we ask you to send 'before and after' photographs to show evidence that works have taken place for Hedgerow gapping up (BN7) these images should be labelled as OS Ref Capital item code\_Date\_Name\_SBI\_Image number.

Save digital images under the label outlined above. Clearly write the label on the reverse of printed photographs detailing the OS map sheet reference and National Grid reference for the field parcel, the implemented capital item code, date, Agreement Holder name and SBI.

## 6.7 Publicity requirements

The Terms and Conditions require you to comply with all instructions and guidance relating to acknowledging and publicising the support provided. This includes using any materials or templates which are provided for this purpose.

# 6.8 How RPA will use and share Agreement Holder's information

The Department for Environment, Food and Rural Affairs (Defra) is the data controller for personal data you give to the Rural Payments Agency (RPA). For information on how we handle personal data search for Rural Payments Agency <u>Personal Information Charter</u> on GOV.UK.

## 6.9 Good reasons for a breach

You may be unable to meet your requirements under the agreement because of exceptional circumstances. If this happens, you must write to tell us within 8 weeks from the date on which you (or any person authorised to act for you) are able to do so. You will need to provide evidence in writing to show:

- what has happened
- how the event meant you were unable to meet the scheme rules.

#### Good reasons for a breach may include, but are not limited to:

- the death of the Agreement Holder
- serious illness
- a severe weather event
- the accidental destruction of capital items connected to your agreement
- damage caused by criminality
- supply chain issues
- an epizootic or a plant disease affecting part or all of your crops, trees or livestock

We will consider the facts to decide whether or not the Agreement Holder is relieved of all or part of their obligations under the agreement, and whether all or part of the grant should be withheld or repaid.

If you are aware of the issue when entering into your agreement then it is unlikely to be considered a good reason for a breach.

## 7 Agreement Management

You must read and meet the requirements detailed in this section as these are mandatory for all CS Capital Grants Agreement Holders.

You must only start work (this includes ordering and paying for materials) on or after the agreement start date because we will not pay for materials and work before this date. You must keep invoices, receipts, accounts and all other relevant financial documentation, so we can check them, for at least 7 years from the end date of the agreement. These must be dated on or after the agreement start date.

## Important information

Don't start work until on, or after your CS Capital Grants agreement start date and once you have accepted the offer.

You must complete all capital works within 2 years of the agreement start date.

We must receive all claims for payment no later than 3 months after the agreement end date. We will not accept claims after this date.

When you have finished the works and you have been charged or invoiced by the contractor or supplier for the items or activities, you can submit your claim for payment.

Part claims are allowed for some but not all items. See section 7.3

## 7.1 Change in circumstances

You must notify us as soon as you can if there is a change in your circumstances that might:

- affect the amount of funding you have been or will be paid
- prevent you from complying with the conditions of your agreement
- prevent you from carrying out the work set out in your agreement, including
  preventing you from carrying out the work to the agreed standard or in the agreed
  timeframe

• affect your continued entitlement to agreement funding, for example if you no longer have management control of the land parcels included in your agreement.

## 7.2 Amendments

You should be able to carry out the capital items under your agreement without difficulty. However, should an exceptional situation arise where you need to change the items or their time schedule, you can ask us to amend your agreement. We will only agree to changes that are necessary to achieve the objectives of the scheme.

You need written permission from us before you can amend or reschedule approved capital items. You should contact us if you would like to discuss an amendment to your agreement, before the end of the original agreement period, to complete the capital items. We must agree to the request before you make any changes to the item, its location, or timing, and you may need to repay all or part of previous payments that you have received.

We will write to confirm if your request is successful. The amendment will not be valid until you have received written confirmation from us agreeing to the amendment and advising you of the date from which it will take effect.

# 7.3 Requirements when using own labour or contractors for capital works

#### 7.3.1 Using own labour to undertake capital works

You can use your own labour or a farm employee's labour to carry out capital works. If you do so, you will need to prepare time sheets signed by the employee and employer showing all of the following:

- the hourly rate for your labour or a farm employee's labour
- what work was carried out
- the date the work was done.

You must keep these records in either paper or electronic form, for the full period of the agreement and for at least 7 years after your agreement has ended. You must also produce these records if we ask you to.

You must tell the employee(s) about the agreement and its requirements. It is your responsibility to make sure that work carried out using your own labour or a farm

employee's labour, does not breach the terms of your agreement. If the employee commits any breaches, you will be responsible for any payment reductions.

#### 7.3.2 Using contractors to carry out capital works

You can employ contractors to carry out the work needed for the capital items.

You must tell the contractor about the agreement and its requirements. It is your responsibility to make sure that work carried out by contractors does not breach the terms of your agreement. If the contractor commits any breaches, you will be responsible for any payment reductions.

You must keep records of the work carried out by contractors in either paper or electronic form, for the full period of the agreement and for at least 7 years after your agreement has ended. You must also produce these records if we ask you to.

## 7.4 Making a claim for payment

CS Capital Grants agreements include a two year programme of capital works. You must complete all capital works within 2 years of the agreement start date. We must receive all claims for payment no later than 3 months after the agreement end date. We will not accept claims after this date.

We will not accept late claims under any circumstances.

When you have finished the works and you have been charged or invoiced by the contractor or supplier for the items or activities, you can submit your claim for payment. The minimum claim value is £500. You can submit a claim for payment at any time of the year. We will pay valid claims within 2 months of receiving them.

If you are making interim (multiple) claims, the minimum claim value of £500 does not apply to your final claim.

We cannot accept part claims for some water and air quality capital items. These are listed in the table below.

Capital item	Name
RP4	Livestock and machinery hard tracks
RP7	Sediment ponds and traps
RP8	Construction of wetland for the treatment of pollution
RP11	Swales
RP13	Yard – underground drainage pipework
RP15	Concrete Yard Renewal
RP17	Storage tanks underground
RP18	Above ground tanks
RP22	Sheep dip drainage aprons and sumps

Capital item	Name
RP24	Lined biobed plus pesticide loading and washdown area
RP25	Lined biobed with existing washdown area
RP27	Sprayer or applicator load and wash-down area
RP28	Roofing (sprayer washdown area, manure storage area, livestock gathering area, slurry stores, silage stores)
RP29	Self-supporting covers for slurry and anaerobic digestate stores
RP30	Floating covers for slurry and anaerobic digestate stores and lagoons
AQ1	Automatic slurry scraper
AQ2	Low ammonia emission flooring for livestock housing.

### **Submitting claims**

Once the capital works are finished and you have been charged or invoiced by the contractor or supplier, you can sign into the Rural Payments service and submit a claim online.

You can read more about How to submit a capital claim online.

If you cannot make a claim online contact us to ask for a claim form – go to Annex 3 for our contact details.

You must keep evidence to show that the work is finished. Section 6.4 explains the photographic evidence you need and what evidence is needed for individual capital items.

You, as the Agreement Holder, must keep the completed items to the condition and specification for which the aid was granted, for 5 years from the start date of the agreement (read Section 2.2). This is a requirement of the agreement.

This also applies to landlords where management of the agreement land reverts from the tenant Agreement Holder to the countersigning landlord during the durability period (read Section 3.2.1).

## 7.5 Scheme checks and site visits

We are required to make sure that Countryside Stewardship is properly controlled, to protect public money. Site visits are carried out to monitor Agreement Holder compliance with the rules governing their agreements (and cross compliance on the whole holding) and the success of Countryside Stewardship overall. They focus on assessing how the environmental aims are being delivered.

We will check the advice and guidance have been followed and if we think there is an issue that can be resolved, we will offer further advice and guidance, or we may deal with any agreement breaches or non-compliances we find in line with Section 7.2 of this manual and the Terms and Conditions.

We carry out a number of checks on claims:

- administrative record checks
- rapid field visits
- agreement monitoring visits
- physical or virtual site visits.

You must allow any UK public authority (or their authorised representatives or auditors) to access your land or premises to carry out site visits.

We will seek to agree a date and time for a site visit where possible. If not, you will be notified at least 48 hours in advance of the site visit unless we have reasons to suspect that you are in breach of your agreement.

You must help and co-operate with any person authorised to carry out any site visits (including controls and spot-checks) and shall provide access to any land, premises, plant, equipment or documents which may be required. Any refusal to do so, or obstruction, will be treated as a breach of the Countryside Stewardship Terms and Conditions and you may face recovery, suspension or termination of your agreement. We may also refuse support for other Defra grant schemes for up to 2 years.

#### 7.5.1 Administrative record checks

We will check all stages of your application and claims, including your application form, claim forms and the nature and quality of any supporting evidence we ask you to send us, such as receipts and farm records. This is to make sure that you meet eligibility requirements at the application stage, and that various forms and records match up during the whole agreement period.

If you do not provide records when asked, or there are discrepancies, we will treat this as a breach of your agreement.

#### 7.5.2 Rapid field visits (in situ visits)

As part of our administrative checks, we may make rapid and focused visual checks of your holding, targeted at specific capital items. These visits may include record checks.

#### 7.5.3 Agreement monitoring visits

Advisers may visit sites to monitor environmental progress, discuss environmental outcome reports, or if you ask us to visit.

#### 7.5.4 Site visits

Each year we will carry out site visits on a sample of agreements to make sure scheme requirements have been met. If we find a breach of the rules, we may apply reductions (read Section 7.5).

### 7.5.5 Refusal or withdrawal of support

In certain cases, we may refuse, or withdraw in full, the support claimed and terminate your existing agreement. We will do this if we think any of the following has happened.

- you have committed a serious non-compliance.
- you have provided false evidence.
- you have negligently failed to provide the necessary information (for instance, where we have asked for it repeatedly and there is no good reason why you have not provided it).

If we have to withdraw support for these reasons, we will terminate the existing agreement and you will not be permitted to re-apply for the scheme for 2 years. We may also refuse support for other Defra grant schemes for up to 2 years. If this is the case, we will tell you and you will have the right to appeal against this decision.

#### 7.5.6 Cross compliance

If you receive payments for the Basic Payment Scheme (BPS), a CS Mid Tier or Higher Tier scheme agreement, or an Environmental Stewardship scheme agreement, you must follow the cross compliance rules. If you do not, your payments may be reduced. Payments for a CS Capital Grant are not however impacted by breaches in cross compliance rules.

If you do not receive BPS payments or annual revenue payments through any other agreement for Countryside Stewardship, Environmental Stewardship, or Farm Woodland Payments under the English Woodland Grant scheme, then you do not need to follow the cross compliance rules.

These requirements are updated annually and apply to that calendar year, regardless of when a Countryside Stewardship agreement started.

You can find full details about cross compliance requirements in the current version of the '<u>The Guide to Cross Compliance in England</u>' on GOV.UK.

## 7.6 Payment reductions

### 7.6.1 Breaches of Agreement

If you do not meet the terms of your agreement, we may reduce or withhold your payment or ask you to repay any monies we have already paid to you.

If we find a breach, we will write to you and tell you. You'll have the opportunity to appeal if you do not agree with our findings. If a breach is confirmed, we'll work out the most appropriate action we need to take and let you know. We may apply more than one course of action depending on the breach found. We'll assess the level of breach in a fair and consistent manner, on a case-by-case basis, using the following set of criteria:

- to what extent the breach can be rectified
- the circumstances, nature and consequences surrounding the breach
- any failure to cooperate with site visits, or further investigations
- any steps taken to report a change in circumstances
- whether it is an isolated or a repeat occurrence
- whether it was intentional
- whether it was because of reckless or negligent action.

If there's a breach of your agreement or the regulations, we may:

- ask you to correct the breach
- issue a letter explaining that we've assessed the breach and what you have to do to amend your agreement.

For more serious breaches, we may:

- reduce the payments you get, or withhold part of them
- reduce or withhold money from other schemes
- recover money we've already paid.

In the most extreme cases, we may:

- end your agreement
- stop you receiving financial assistance (other than BPS) under any other scheme for up to 2 years.

In exceptional circumstances where there is reasonable suspicion of a serious breach or fraud, then we may access land and your premises without notice, using powers of entry. In these circumstances, for example as part of a fraud investigation, we may access any computer that's been used in connection with the evidence or these records.

If we find breaches during administrative checks or any site visits, we will write to tell you and you will have the opportunity to make written representations if you feel that our findings are incorrect.

In these cases, we will work out the level of reduction we need to apply, by looking at the severity of the breach and whether it is an isolated or a repeat occurrence. We may apply a reduction to your claims, unless you can demonstrate you were compliant.

A brief explanation of how breaches are assessed is set out below.

#### Severity

We will assess what has happened due to the breach/non-compliance and consider the objectives of the agreement or specifications that were not met. For example, constructing an item in a way that does not deliver what the item was designed for would be classed as a severe breach. As part of this assessment, we will also take into account whether the breach will have short or long-term impacts.

#### **Re-occurrence**

The assessment will depend on a number of factors, for example whether a similar event of non- compliance has been found on previous claims and whether the re-occurrence concerns the same or a similar type of work.

If we consider that a breach is so serious that it cannot be rectified, we may have to cancel the agreement. In serious circumstances, you may be forbidden from entering another agri-environment scheme for up to 2 years.

### 7.6.2 Over-declaration of expenditure

If you submit a claim for more than the value of the costs which are eligible to be claimed, we will reduce the payment to the correct amount.

## 7.7 Change of ownership

You cannot transfer your agreement during the 2 year agreement period. This rule applies only during the 2 year period from the start of your agreement (not the 5 year requirement to keep capital items funded through the scheme).

If you sell or let, all or part of the land under your CS Capital Grants agreement to another party, we will end the agreement on those parcels. You may need to repay all or part of the grant payments you have already received.

If, after completing your CS Capital Grants agreement, you sell or let all or part of the land previously under your agreement to another party during the 5year durability period, you may need to repay part of the grant payments you have already received.

## 7.8 Disputes, appeals and complaints

If you're unhappy with a decision we have taken about your application or agreement, you can appeal.

If you're unhappy with a decision we've taken or service you've had from us, you can ask us to reconsider. If you're still unhappy with the result of our decision, you can appeal.

You can email or write to us or call us. See Annex 3 for our contact details

The full guidance about how to complain is on GOV.UK. This also includes information on how to request a reconsideration or submit an appeal.

# Annex 1: Countryside Stewardship Terms and Conditions

The terms and conditions of Countryside Stewardship

## **Parties**

- 1. The Secretary of State for the Department of Environment, Food and Rural Affairs of Seacole Building, 2 Marsham Street, London, SW1P 4DF, acting through the Rural Payments Agency, whose principal address is at North Gate House, 21-23 Valpy Street, Reading, RG1 1AF (the Authority).
- 2. The Agreement Holder identified in the Agreement Document (the Agreement Holder)

## Background

(A) The Authority, which is the delivery body for the Countryside Stewardship scheme, has agreed to pay the Grant to the Agreement Holder in accordance with the terms and conditions set out below and in the Agreement Document.

**(B)** The Authority is responsible for managing Countryside Stewardship, the scheme under which the Grant is paid. The Secretary of State for Environment, Food and Rural Affairs has overall responsibility for the Countryside Stewardship scheme and may directly enforce any terms of the Agreement against the Agreement Holder in accordance with clause 28.2. Countryside Stewardship will be delivered in accordance with Chapter 1, of Part 1 of The Agriculture Act 2020 c.21.

**(C)** Grants made under Countryside Stewardship pay for Capital Items and/or Multi-Year Options (as defined below). Details of the individual Agreement Holder's Capital Items and/ or Multi-Year Options are set out in the Agreement Document.

**(D)** These terms and conditions apply to grant agreements made under the Countryside Stewardship scheme and should be read in conjunction with the details of the Grant set out in the Agreement Document, which are individual to the Agreement Holder. These terms and conditions and the Agreement Document (including the supporting documents specified therein) together form the agreement between the Authority and the Agreement Holder ("the **Agreement**").

(E) Additional Scheme requirements and more detailed information and guidance are set out in the Countryside Stewardship Manual and supplements available on www.gov.uk. The Agreement Holder must familiarise themselves with this document and ensure

compliance with all mandatory elements of the relevant Countryside Stewardship Manual and supplements as a condition of receiving the Grant.

(F) In the event of any conflict between these terms and conditions and the documents referred to in paragraphs (D) and (E) above, these terms and conditions shall prevail, followed by the Agreement Document, the supporting documents referred to in the Agreement Document, and then the Countryside Stewardship Manual.

## **1** Definitions and interpretation

In the Agreement the following terms shall have the following meanings:

Definition	Interpretation
2020 Act	Agriculture Act 2020 c.21 as amended from time to time
Agreement	Has the meaning given to it in paragraph (D) of the Background to these terms and conditions
Agreement Document:	the document accompanying these terms and conditions, which describes the Grant to be paid to the Agreement Holder and the Capital Items and/or Multi-Year Options to be undertaken.
Agreement End Date:	the date on which the Agreement comes to an end, as set out in the Agreement Document.

Definition	Interpretation
Agreement Land:	the land parcels described in the Agreement Document and identified on the Agreement Map(s), and any land parcels where rotational options are active in a particular year.
Agreement Map(s):	the map(s) accompanying the Agreement Document (or otherwise provided to the Agreement Holder by the Authority), showing the Agreement Land and the agreed location of any Multi-Year Options or Capital Items.
Agreement Start Date:	the date on which the Agreement commences, as set out in the Agreement Document.
Authority	Has the meaning given to it in paragraph (1) of the Parties to these terms and conditions
Break Point Date:	the fifth (5th), tenth (10th) or fifteenth (15th) anniversary of the Agreement Start Date, where applicable.
Capital Item(s):	the capital activities the Agreement Holder is required to carry out, as set out in the Agreement Document (and shown for

Definition	Interpretation
	illustrative purposes on the Agreement Map).
Controller:	has the meaning given in Data Protection Legislation
Countryside Stewardship or the Scheme:	a scheme run by the Authority on behalf of the Managing Authority in accordance with the Governing Regulations and the 2020 Act.
Countryside Stewardship Manual:	document (including any relevant supplements) which sets out additional Scheme requirements, rules that farmers and land managers must follow on the land and further information and guidance for Agreement Holders, which is available on <u>www.gov.uk</u> and as further described in clause 5
Cross Compliance Requirements:	rules that farmers and land managers must follow on their land, as referred to in the Countryside Stewardship Manual and as set out in 'The guide to cross compliance in England' (both as may be re-issued, updated or amended from time to time). We will publish any changes to the requirements and any replacement for cross compliance on www.gov.uk.

Definition	Interpretation
Data Protection Legislation:	i) the UK GDPR and any applicable national implementing Laws as amended from time to time;
	<li>ii) the Data Protection Act 2018 to the extent that it relates to Processing of personal data and privacy;</li>
	iii) all applicable Law about the Processing of personal data and privacy
Forestry Commission:	a government department responsible for advising the Managing Authority on the protection, expansion and promotion of the sustainable management of woodlands, and providing technical advice to the Authority in support of the Scheme.
GOV.UK	The UK government website at <u>www.gov.uk</u> which contains information about the Countryside Stewardship scheme
Governing Regulations	means The Agriculture (Financial Assistance) Regulations 2021
Grant:	the sum to be paid to the Agreement Holder under the Agreement, which may include payment to reimburse expenditure on Capital Items and/or payment in

Definition	Interpretation	
	respect of income foregone and additional costs under Multi-Year Options.	
Holding:	all units of land managed by the Agreement Holder (including the Agreement Land) which are situated in England and (a) used for agricultural activities or (b) forestry land and other non-agricultural land for which rural development payments are claimed.	
Intellectual Property Rights:	all patents, copyrights and design rights (whether registered or not) and all applications for any of the foregoing and all rights of confidence and know-how however arising for their full term and any renewals and extensions.	
Joint Controllers:	where two or more Controllers jointly determine the purposes and means of processing.	
Law	is any law, statute, subordinate legislation with the meaning of Section 21(1) of the Interpretation Act 1978, byelaw, right within the meaning of Section 4(1) EU Withdrawal Act 2018 as amended by EU (Withdrawal Agreement) Act 2020, regulation, order, regulatory policy, mandatory guidance or code of practice, judgement of a relevant court of law, or directives or requirements of any	

Definition	Interpretation
	regulatory body with which the Authority or Agreement Holder is bound to comply
Managing Authority:	the Department for Environment, Food and Rural Affairs (DEFRA), which has overall responsibility for the Countryside Stewardship scheme.
Multi-Year Option(s):	the land management the Agreement Holder is required to undertake, as set out in the Agreement Document (and shown for illustrative purposes on the Agreement Map).
Natural England:	a non-departmental public body, established under the Natural Environment and Rural Communities Act 2006, which has responsibility for advising the Managing Authority on the protection of the natural environment in England, and providing technical advice to the Authority in support of the Scheme.
Option End Date:	the date on which the relevant Multi-Year Option comes to an end, as set out in the Agreement Document.

Definition	Interpretation
Option Start Date:	the date on which the relevant Multi-Year Option commences, as set out in the Agreement Document.
Payment Claim:	a claim submitted by the Agreement Holder for payment of all or part the Grant where applicable.
Personal Data:	has the meaning given to it in the Data Protection Legislation
Processor	has the meaning given to it in the Data Protection Legislation
UK GDPR	means the retained EU law version of the General Data Protection Regulation (Regulation (EU) 2016/679), as transposed into UK Law by the Data Protection, Privacy and Electronic Communications (Amendments etc) (EU Exit) Regulations 2019 (SI 419/2019)
Working Day:	any day other than a Saturday, a Sunday or a public holiday in England

**1.1** References to clauses are to the clauses of these terms and conditions. Clause headings shall not affect the interpretation of these terms and conditions.

**1.2** A person includes a natural person, corporate or unincorporated body (whether or not having separate legal personality).

**1.3** Unless the context otherwise requires, words in the singular shall include the plural and in the plural shall include the singular and a reference to one gender shall include a reference to the other gender.

**1.4** A reference to a statute or statutory provision is a reference to it as amended, extended or re-enacted from time to time and shall include all subordinate legislation made from time to time under that statute or statutory provision.

**1.5** A reference to a public organisation includes a reference to any successor to that public organisation.

**1.6** Any words following the terms 'including', 'include', 'in particular' or 'for example' or any similar phrase shall be construed as illustrative and shall not limit the generality of the related general words.

#### 2 Agreement Holder's declarations

**2.1** The Agreement Holder confirms that:

(a) the declarations made in its application for the Grant remain true and accurate to the best of its knowledge and belief;

(b) it has full capacity and authority to enter into the Agreement;

(c) it is not aware of any circumstances which would render it ineligible for the Grant or otherwise prevent it from fulfilling its obligations under the Agreement;

(d) if there are any changes to the Agreement Holder's circumstances which could affect its eligibility or suitability for the Grant or its ability to fulfil its obligations under the Agreement, it will notify the Authority in writing without delay;

(e) it has read and understood and will comply with these terms and conditions, the Agreement Document and all mandatory elements of the Countryside Stewardship Manual;

(f) it has not received and will not receive any duplicate funding or allowances from other public sources in respect of the same obligations it is required to undertake under the Agreement;

(g) its obligations under the Agreement do not duplicate and will not duplicate any other legal obligations it would otherwise be required to undertake;

(h) its obligations under the Agreement do not and will not conflict in whole or in part with any other legal or contractual obligations on the Agreement Holder;

(i) it will at all times comply with all relevant legislation in the performance of its obligations under the Agreement;

(j) all information provided by the Agreement Holder to the Authority in connection with the Agreement is and will remain true and accurate; and

(k) it has disclosed to the Authority all information which would or might reasonably be thought to influence the Authority in making the Grant offer.

**2.2** The Agreement Holder confirms that it has obtained and will maintain and comply with any permits, licences, permissions, consents, approvals, certificates and authorisations (whether statutory or otherwise) which are required for the performance of its obligations under the Agreement, including those set out at paragraph 13 of the Agreement Document. The Agreement Holder understands that the Agreement does not operate as a Site of Special Scientific Interest (SSSI) consent and that if such consent is required it must apply separately in accordance with any instructions provided by Natural England.

**2.3** The Agreement Holder understands that the giving of any approval, consent or acknowledgement, or the review of any document or course of action by or on behalf of the Authority does not relieve the Agreement Holder of any of its obligations under the Agreement unless expressly permitted in writing by the Authority.

**2.4** The Agreement Holder understands that it shall be deemed to be in breach of the conditions of the Agreement if it dishonestly, knowingly or recklessly provides false or misleading information or intentionally obstructs or fails to assist the Authority or any person carrying out any public functions or exercising any rights or powers in connection with the Agreement and such conduct by the Agreement Holder may result in the Grant being delayed, reduced, recovered or withheld and/or this Agreement being terminated in accordance with clause 19.

**2.5** The Agreement Holder understands that it is an offence to knowingly or recklessly provide false or misleading information and that such conduct by the Agreement Holder may attract criminal penalties.

**2.6** The Agreement Holder shall make its own enquiries as to the accuracy and adequacy of any information on which it relies in connection with the Agreement.

## **3 Agreement Holder obligations**

**3.1** In applying for and receiving the Grant, the Agreement Holder agrees to comply with these terms and conditions and the mandatory elements of the Countryside Stewardship Manual.

**3.2** The Agreement Holder shall deliver the Capital Item(s) and/or the Multi-Year Option(s) in accordance with the standards and requirements set out in the Agreement Document and Countryside Stewardship Manual (including any relevant time limits) and in the agreed location(s) as identified on the Agreement Map(s).

**3.3** The Agreement Holder shall comply with any requirements set out in the Countryside Stewardship Manual to maintain the Capital Item(s) for a minimum duration.

**3.4** The Agreement Holder shall ensure compliance with the Cross Compliance Requirements where applicable on its Holding for the duration of the Agreement. Any failure by the Agreement Holder to ensure compliance with the Cross Compliance Requirements may result in the Grant being delayed, reduced, recovered or withheld and/or this Agreement being terminated in accordance with clause 19 and if applicable enforcement action being taken by the relevant body responsible for those Cross Compliance Requirements.

**3.5** Where applicable, the Agreement Holder shall declare all parcels of land within its Holding. Any failure to do so may result in a reduction being applied to certain payments due to the Agreement Holder. Further details of the requirements (including the procedure for declaring parcels of land) are set out in the Countryside Stewardship Manual.

**3.6** The Agreement Holder shall:

(a) Provide any information requested by the Authority in relation to the Agreement;

(b) Submit Claims to the Authority in accordance with clause 8 of these terms and conditions;

(c) Comply with and be subject to all applicable domestic law, including the requirements of the Governing Regulations and any relevant provisions of the 2020 Act; and

(d) Comply with and be subject to all other applicable statute, bye-law, regulations, orders, regulatory policy, guidance or industry code, rule of court or directives or requirements of any regulatory body, delegated or subordinate legislation.

#### 4 Term

**4.1** The Agreement shall commence on the Agreement Start Date and, subject to any extension in accordance with clause 4.3 or earlier termination in accordance with clause 19, it shall continue in force until the Agreement End Date.

**4.2** Where the Agreement Document specifies Multi-Year Options of varying lengths, the terms of the Agreement shall apply in respect of the relevant Multi-Year Option from the Option Start Date until the Option End Date. Subject to any extension in accordance with clause 7, the Multi-Year Option will expire after the Option End Date and the relevant

parcel of land shall no longer form part of the Agreement Land (although it will still remain part of the Holding).

**4.3** Where the term of the Agreement is 5 years and includes Multi-Year Options, the parties may agree to extend the Agreement. The party requesting the extension must make their request in writing, no less than one month before the expiry of the Agreement. Neither party shall be under any obligation to agree to an extension requested by the other party. The extension shall take effect once it has been confirmed in writing by the Authority.

**4.4** For the avoidance of doubt, expiry or termination of the Agreement shall not affect the parties' continuing obligations in accordance with Clause 20 (Consequences of expiry or termination).

## **5 The Countryside Stewardship Manual**

**5.1** The Agreement Holder shall comply with the applicable mandatory elements of the Countryside Stewardship Manual as a condition of receiving the Grant.

**5.2** The Authority may produce new versions of or amend the Countryside Stewardship Manual throughout the lifetime of the Scheme. However, subject to clause 5.3, the version applicable to the Agreement Holder shall be the version which was current at the Agreement Start Date.

**5.3** In some circumstances the Authority may consider it necessary to amend or update the current or previous versions of the Countryside Stewardship Manual. The Authority will notify the Agreement Holder in writing of any amendments to the version which is applicable to the Agreement and the date on which such amendment shall take effect.

## 6 Transfers or acquisitions of land

**6.1** The Agreement Holder must notify the Authority in writing within 90 days of the date of transfer if there is a change in management control affecting any part of its Holding, including (without limitation) sale or transfer to a new owner, changes to any lease or tenancy, permanent boundary changes or acquisition of any new land.

**6.2** The Agreement Holder acknowledges and accepts that any change in management control affecting its Holding may have consequences for the Agreement. In some circumstances the Authority may be required to recover all or part of the Grant. Further details are set out in the Countryside Stewardship Manual.

# 7 Amendments

**7.1** No amendments to the Capital Items or Multi-Year Options to be undertaken by the Agreement Holder shall be permitted unless expressly agreed in writing by the Authority.

**7.2** Further details of the circumstances in which amendments may be permitted and the process to be followed are set out in the Countryside Stewardship Manual.

#### **8 Payment Claims**

**8.1** The Agreement Holder shall submit Payment Claims and supporting documents to the Authority in accordance with the instructions provided in the Agreement Document, the Countryside Stewardship Manual and on the claim form.

**8.2** The Grant will be paid directly to the Agreement Holder's nominated business bank account via BACS transfer by the Authority, subject to the necessary funds being available when the payment falls due. The Agreement Holder agrees and accepts that payment of the Grant can only be made to the extent that the funds are available.

**8.3** Any failure by the Agreement Holder to submit a Payment Claim in accordance with the instructions and by the specified deadline (including the provision of any supporting documents necessary to enable the claim to be processed) may result in payment of the Grant being delayed, reduced, recovered or withheld.

**8.4** If the Agreement Holder fails to submit a valid Payment Claim by the specified deadline, the Grant may be subject to a reduction. In the case of severe delays, the Payment Claim may be rejected in its entirety. Further details of when reductions may be applied and how they are calculated are set out in the Countryside Stewardship Manual.

**8.5** All Payment Claims will be checked and verified before any sum is paid. If there is any discrepancy between the amount claimed by the Agreement Holder and the amount the Agreement Holder is entitled to claim, the Grant may be delayed, reduced or withheld. In the most severe cases, the Payment Claim may be rejected in its entirety. Further details are set out in the Countryside Stewardship Manual.

**8.6** The Authority reserves the right to adjust the payment rate for Multi-Year Options where necessary to prevent the Agreement Holder from receiving double-funding for the same activity on the Agreement Land.

**8.7** The amount of the Grant shall not be increased in the event of any overspend by the Agreement Holder in the delivery of its obligations under the Agreement.

**8.8** Unless otherwise explicitly permitted in writing by the Authority, the Grant may not be used to reimburse any expenditure incurred by the Agreement Holder prior to the Agreement Start Date.

**8.9** The Agreement Holder must notify the Authority, without delay, of any anticipated or actual changes to the Agreement Holder's nominated bank or building society account. Where the Authority has been notified of an actual or anticipated change to the Account Holder's nominated business bank or building society account, the Authority may withhold payments until such time as the Authority is satisfied that the changes have been checked and verified.

**8.10** Any undue payments or overpayments received by the Agreement Holder must be repaid, including those paid as a result of an administrative error by the Authority.

**8.11** The Agreement Holder shall check any Grant it receives and notify the Authority immediately if it has reason to believe that any error has occurred.

**8.12** If the Agreement Holder breaches the terms of the Agreement the Authority shall be entitled to take such of the steps as they consider appropriate under Regulation 24 of the Governing Regulations, including but not limited to suspending Grant payments or recovering any Grant paid from the Agreement Holder, and charge interest.

**8.13** The Agreement Holder must notify the Authority, as soon as reasonably practicable, of any change in circumstances which might reasonably be expected to affect—

(a) its continued entitlement to the Grant;

(b) its compliance with conditions subject to which the Grant is given;

(c) the ability of the Agreement Holder to achieve the purpose for which the Grant is given in accordance with any agreed standards or timescales; or

(d) the amount of Grant awarded or due to be awarded.

**8.14** The Agreement Holder acknowledges and accepts that a notifiable change of circumstances may have consequences for the Agreement, and the Authority may at its absolute discretion take action in response in accordance with Regulation 11, of the Governing Regulations, for example to reduce the amount of grant, terminate the Agreement and/or recover all or part of the Grant.

# 9 Breach and Repayment

#### Breach

**9.1** The Authority reserves the right to delay, reduce, recover or withhold payment or require repayment of the Grant in whole or in part, to withhold the whole or part of any financial assistance payable under any financial assistance scheme to which the Agreement Holder is entitled, to terminate this Agreement in accordance with clause 19, or to prohibit the Agreement Holder from receiving financial assistance from other schemes under the 2020 Act for a period of up to two years if it determines, after carrying out a proportionate investigation, that any of the following circumstances applies :

(i) the Agreement Holder has, at any time, given false or misleading information to the Authority;

(ii) the Agreement Holder is in breach of the terms or conditions of the Agreement;

(iii) the Agreement Holder receives or uses Grant money otherwise than in accordance with the Agreement;

(iv) the Agreement Holder incurs expenditure using the Grant on activities that breach any Law;

(v) the Agreement Holder is in breach of any requirement to which they are subject under the Governing Regulations

(vi) the whole or any part of the sum paid or payable in relation to the Agreement duplicates assistance provided or to be provided out of the monies made available by—

- the European Union,
- Parliament, or
- a body exercising public functions within the United Kingdom;

(vii) the activity for which the sum was paid or is payable is required to be carried out under another legally binding obligation;

(viiii) there has been a material change in the nature, scale, costs or timing of any Capital Item and/or Multi-Year Option under the Agreement;

(ix) any Capital Item and/or Multi-Year Option under the Agreement has been or is being delayed or is unlikely to be completed.

Further details are set out in the Countryside Stewardship Manual.

#### Repayment

**9.2** If the Agreement Holder receives any overpayment or any payment to which it is not entitled (including in the event of an administrative error), the undue amount must be repaid. It is the Agreement Holder's responsibility to check all payments it receives from the Authority and notify the Authority immediately if it has any reason to believe that an error has occurred.

**9.3** If any sum becomes repayable under the Agreement, it shall be treated as a debt owing by the Agreement Holder to the Authority until such time as the outstanding amount is repaid. A recovery order will be issued to the Agreement Holder specifying the amount to be repaid and the date by which repayment must be made.

**9.4** Where the Authority requires any part or all of the Grant to be repaid in accordance with this clause 9 the Agreement Holder shall repay this amount no later than 60 days beginning on the date on which the notification is given. If the Agreement Holder fails to repay the amount of the Grant required by the Authority within 60 days of a demand (subject to any appeal process) from the Authority for payment, the sum may be withheld from any financial assistance under section 1 of the 2020 Act, and in the alternative will be recoverable summarily as a civil debt, together with interest on that amount calculated in accordance with Regulation 28 of the Governing Regulations.

**9.5** In addition to the Authority's rights pursuant to clause 9.1, the Authority may at its discretion take other actions available to the Authority pursuant to Regulation 24 of the Governing Regulations upon a determination that any of the circumstances as set out in clause 9.1(i) - 9.1(ix) has occurred. This shall include but is not limited to:

(a) issuing a warning letter to the Agreement Holder;

(b) amending, removing or replacing a condition subject to which financial assistance is given either temporarily or permanently;

(c) amending, removing or replacing any agreed standards or timescales either temporarily or permanently; and

(d) permitting the Agreement Holder to rectify the breach within a specified period

.6 The Authority may exercise any of its rights or remedies without prejudice to and expressly reserving any and all other rights and remedies of the Authority as contained in the 2020 Act and the Governing Regulations and within the Agreement

# **10 Access to documents and information**

The Agreement Holder shall, upon request, supply any documents, information, data, reports or written or verbal explanations which may be required by any public authority (or their authorised representatives or auditors) in connection with the Agreement or the Scheme.

# 11 Site visits

**11.1** The Agreement Holder shall allow the Authority or the Managing Authority (or their authorised representatives or auditors) to access its land and/or premises in connection with the Agreement. Where the Authority has reasonable suspicion of a breach of any of the conditions of the Agreement or any other breaches under regulation 17(1)(a) of the Governing Regulations, a site visit may be conducted without notice. Otherwise, site visits and inspections, whether virtual or physical, will be undertaken with a minimum of 48 hours' notice, unless with the prior agreement of the Agreement Holder. The Agreement Holder agrees to assist and co-operate with any person authorised to carry out any site visits, whether virtual or physical, (including controls and spot-checks) and shall provide access to any land, premises, plant, equipment or documents which may be required.

**11.2** The Agreement Holder understands that if they intentionally obstruct or fail to assist or provide information to any person exercising their rights in accordance with clause 11.1 and performing other tasks in connection with the Agreement this may result in the Grant being delayed, reduced, recovered or withheld and/or the Agreement terminated in accordance with clause 19.

## 12 Maintenance of accounts and records

**12.1** The Agreement Holder shall keep accurate and up-to-date accounts and records of the receipt and expenditure of the Grant monies received by it and evidence of its compliance with its obligations under the Agreement, which shall comply with any applicable standards and requirements set out in the Agreement Document, the Countryside Stewardship Manual and in any separate written instructions issued to the Agreement Holder by the Authority or its authorised representative.

**12.2** The Agreement Holder shall keep all invoices, receipts, and accounts and any other relevant documents relating to the expenditure of the Grant for a period of at least 7 years from termination or expiry of the Agreement. The Authority shall have the right to review the Agreement Holder's accounts and records relating to the Grant and shall have the right to take copies of such accounts and records.

**12.3** The Agreement Holder shall comply with and facilitate the Authority's compliance with all statutory requirements as regards accounts, audit or examination of accounts, annual reports and annual returns applicable to itself and the Authority.

**12.4** In addition to its obligations to provide information to the Authority, the Agreement Holder shall provide any of the information referred to in this clause to any other public authority (or their authorised representatives or auditors) upon request.

**12.5** The Agreement Holder shall monitor the delivery and success of the Capital Items and/or Multi-Year Options to ensure that the aims and objectives of the Agreement are being met and that the Agreement is being adhered to.

#### **13 Evaluation**

**13.1** The Agreement Holder acknowledges that as a condition of receiving the Grant funding it may be required to participate in a Scheme evaluation, which may take place during the Agreement or after its expiry or termination.

**13.2** The Agreement Holder understands that its contact details may be disclosed to third parties for evaluation purposes and agrees to assist and cooperate with any person authorised by any public authority to carry out such an evaluation.

# 14 Acknowledgement and publicity

**14.1** The Agreement Holder shall comply with all instructions and guidance from the Authority in relation to acknowledgement and publicity of the Grant, including using any materials or templates which are provided by the Authority for this purpose.

**14.2** Where the Agreement Holder uses the name and logo of the Authority or Managing Authority, or any other organisation in its publicity, it shall comply with all reasonable branding guidelines or instructions it is given in relation to the use of such name or logo.

**14.3** The Agreement Holder agrees to participate in and co-operate with promotional activities relating to the Scheme if required to do so by the Authority or Managing Authority.

**14.4** The Authority or Managing Authority may acknowledge the Agreement Holder's involvement in the Scheme as appropriate without prior notice.

**14.5** The Agreement Holder shall comply with all reasonable requests from the Authority or Managing Authority to facilitate visits, provide reports, statistics, photographs and case studies that will assist the Authority or Managing Authority in its promotional and publicity activities.

**14.6** The Agreement Holder agrees that, for each financial year, the Authority shall publish the following information in relation to the Agreement:

a. The full name of the Agreement Holder

**b.** The post town, post code area and district where the Agreement Holder is resident or located.

c. The total Grant payments received by the Agreement Holder in that financial year; and

**d.** A description of the activities financed by the relevant payments.

**14.7** Information published under clause 14 shall be published on a searchable database on www.gov.uk and shall remain there for three years from initial publication.

**14.8** The Agreement Holder agrees that the Authority or Managing Authority may publish such information about the Agreement Holder's business and the grant as is necessary to comply with domestic, European and international Law on subsidy control.

**14.9** The Agreement Holder shall comply with all instructions and guidance from the Authority in relation to acknowledgement and publicity of the Grant and the Scheme, including using any materials or templates which are provided to it for this purpose. Such acknowledgement and publicity may include, where appropriate, a statement on any website operated by the Agreement Holder for business purposes, and/or a poster, plaque or billboard displayed on the Agreement Holder's land or premises.

#### **15 Intellectual property rights**

**15.1** The Authority and the Agreement Holder agree that all rights, title and interest in, or to any information, data, reports, documents, procedures, forecasts, technology, knowhow and any other Intellectual Property Rights whatsoever, owned by or licensed to either the Authority or the Agreement Holder before the Agreement Start Date or developed by either party under the Agreement, shall remain the property of that party.

**15.2** Where the Authority has allowed the Agreement Holder to use any of its Intellectual Property Rights in connection with the Agreement (including without limitation its name and logo), the Agreement Holder shall, on termination of the Agreement, cease to use such Intellectual Property Rights immediately (subject to any ongoing requirement to use Intellectual Property Rights in compliance with the publicity requirements in clause 14).

**15.3** The Authority shall have a perpetual, non-exclusive, royalty-free, sub-licensable licence to use any Intellectual Property Rights created by the Agreement Holder in connection with the Agreement.

#### **16 Data protection and information**

**16.1** The Agreement Holder and the Authority shall comply at all times with their respective obligations under Data Protection Legislation.

**16.2** The Managing Authority is the Controller of any Personal Data the Agreement Holder gives to the Authority. For information on how the Authority handles personal data go to GOV.UK and search for '<u>Rural Payments Agency Personal Information Charter</u>'.

**16.3** For the purposes of this clause 16 the terms Personal Data, Processor, Controller, Joint Controllers and Data Subjects have the meaning given to them in the UK GDPR.

**16.4** Each party shall, with respect to its processing of Personal Data as independent Controller, implement and maintain appropriate technical and organisational measures to ensure a level of security appropriate to the risk, that shall, at a minimum, comply with the requirements of the Data Protection Legislation.

**16.5** The parties acknowledge and agree that this Agreement does not require either party to act as a Processor of the other. In the event that there is any change which requires either party to act as a Processor the parties agree, at their own cost, to enter into the standard data protection clauses set out in the Crown Commercial Services Procurement Policy Note 02/18 (as amended or replaced from time to time).

**16.6** The Agreement Holder agrees the Authority may use Personal Data which they provide about their staff and partners involved in the Capital Items and/or Multi-Year Options to exercise the Authority's rights under this Agreement and or to administer the Grant or associated activities.

**16.7** The parties agree to take account of any guidance issued by the Information Commissioner's Office. The Authority may, on not less than 30 working days' notice to the Agreement Holder, amend this Agreement to ensure that it complies with Data Protection Legislation and any guidance issued by the Information Commissioner's Office. Each party will, at its own cost, implement any measures required for it to comply with requirements or recommendations of guidance issued by the Information Commissioner's Office and with the terms of this Agreement.

**16.8** The Agreement Holder acknowledges that Managing Authority and the Authority is subject to the requirements of the Freedom of Information Act 2000 ("FOIA") and the Environmental Information Regulations 2004 ("EIRs").

**16.9** The Agreement Holder shall provide all necessary assistance and cooperation which is reasonably requested by the Authority or Managing Authority for the purposes of complying with their obligations under FOIA and EIRs. If they require the Agreement Holder to supply information pursuant to a FOIA/EIRs request, the Agreement Holder shall supply all such information which is within its possession or control within 5 Working Days from the date of the request by the Authority or Managing Authority (or such other period as the Authority may reasonably require).

**16.10** If the Agreement Holder receives a FOIA/EIR request from a member of the public, the Agreement Holder shall not respond to the request but shall forward the request to the Authority within 2 Working Days of receipt.

**16.11** The Authority shall determine in its absolute discretion and in accordance with its obligations under Data Protection Legislation, whether any information is exempt from disclosure in accordance with the provisions of FOIA and/or the EIRs.

#### **17 Limitation of liability**

**17.1** Neither party excludes or limits its liability for death or personal injury caused by its negligence, fraud or fraudulent misrepresentation, or any other liability which cannot be limited or excluded by law.

**17.2** The Authority accepts no liability for any consequences, whether direct or indirect, arising from the Agreement, the use of the Grant by the Agreement Holder or the Authority exercising its rights under the Agreement.

**17.3** Subject to clause 17.1 and 17.2, the Authority's total aggregate liability in connection with the Agreement shall not exceed the amount of the Grant.

**17.4** The Agreement Holder shall indemnify the Authority and any persons acting on the Authority's behalf against all claims, demands, actions, costs, expenses, losses, damages and all other liabilities arising as a result of the actions or omissions of the Agreement Holder in connection with the Agreement.

**17.5** The Agreement Holder acknowledges and accepts that if it suffers any losses which prevent it from fulfilling its obligations under the Agreement, the Authority may require the Grant to be repaid or the losses to be made good at the Agreement Holder's own expense, regardless of whether the Agreement Holder is insured against such losses.

#### **18 Good Reasons for Breach**

**18.1** If the Authority determines that the Agreement Holder has breached the Agreement, and the Agreement Holder (or any person authorised to act on the Agreement Holder's behalf) has informed the Authority of good reasons for the breach, and submitted evidence in support of those reasons, within 8 weeks from the date on which the Agreement Holder is in a position to act, the Authority may decide that no enforcement action is required.

**18.2** Such good reasons may include:

(a) the death or long-term professional incapacity of the Agreement Holder;

(b) a severe natural disaster gravely affecting the Holding;

(c) the accidental destruction of livestock buildings on the Holding;

(d) an epizootic or a plant disease affecting part or all of the Agreement Holder's crops, trees or livestock; or

(e) expropriation of all or a large part of the Holding (provided that the expropriation could not have been anticipated at the time the application for funding was made).

**18.3** The Authority will consider the facts on a case-by-case basis in deciding whether or not the Agreement Holder is relieved of all or part of its obligations under the Agreement and whether all or part of the Grant should be delayed, reduced, recovered or withheld.

## **19 Termination**

**19.1** The Authority reserves the right to terminate the Agreement on written notice to the Agreement Holder without compensation and with immediate effect if:

(a) the Agreement Holder has breached the terms of the Agreement or there is a change in circumstances affecting its eligibility to receive the Grant (whether or not the Authority has taken steps to recover the Grant in accordance with clause 9);

(b) the Agreement Holder has failed to repay any sum which has become recoverable by the Authority in accordance with clause 9.

**19.2** If the Authority terminates the Agreement under clause 19.1 above, it reserves the right to prohibit the Agreement Holder from entering into a new agreement under any financial assistance scheme(s) under section 1 of the 2020 Act for up to two years from the day after the date of termination

**19.3** In addition to its right to terminate under clause 19.1 above, the Authority may terminate the Agreement and any future Grant payments on giving the Agreement Holder 6 months' written notice at any time. Provided that the Agreement Holder is not in breach of the Agreement, Grant payments already paid will not be recoverable.

**19.4** Subject to clause 19.5 below, where the term of the Agreement is 10 years or more, either party may terminate the Agreement at the Break Point Date by giving not less than one month's prior written notice to the other party. If notice is validly served under this clause, the Agreement will terminate on the Break Point Date, and subject to the Authority's other rights and remedies under the Agreement, Grant payments already paid at the Break Point Date will not be recoverable.

**19.5** Where the Agreement includes the Multi-Year Option WD1 (Woodland Creation-Maintenance Payments), the Agreement may not be terminated pursuant to clause 19.4 above, before the Option End Date for the WD1 option.

**19.6** The Agreement Holder may terminate the Agreement at any time by giving written notice to the Authority. The Agreement Holder understands that in such circumstances it may be required to repay all or part of the Grant and that its obligations under the Agreement shall not cease until such repayment has been made. Further details of when an Agreement Holder may be required to repay all or part of the Grant pursuant to termination under this clause 19.6 are set out in the Countryside Stewardship Manual.

**19.7** If a third party acquires management control of any part of the Agreement Land and is not able or willing to take on the Agreement Holder's obligations under the Agreement, the Authority may terminate the Agreement. In such circumstances the Agreement Holder may not be required to repay the Grant and its obligations under the Agreement will cease as at the date of termination. Further details are set out in the Countryside Stewardship Manual.

#### 20 Consequences of expiry or termination

**20.1** Expiry or termination of the Agreement shall not affect any rights, remedies, obligations or liabilities of the parties that have accrued up to the date of expiry or termination which existed at or before the date of expiry or termination.

**20.2** Expiry or termination of the Agreement shall not affect the continuing rights and obligations of the parties under clauses 9 (Breaches and Repayment), 10 (Access to Documents and Information), 11 (Site Visits), 12 (Maintenance of Accounts and Records), 13 (Evaluation), 14 (Acknowledgement and Publicity), 15 (Intellectual Property Rights), 16 (Data Protection and Information), 17 (Limitation of Liability), 20 (Consequences of Expiry or Termination), 22 (Severability), 23 (Waiver), 24 (Notices), 25 (Dispute Resolution), 27 (Joint and Several Liability), 28 (Third Party Rights), 29 (Governing Law) or any other provision in the Agreement or mandatory requirement in the Countryside Stewardship Manual which is expressly stated to survive expiry or termination of the Agreement or which is required to give effect to such termination or expiry or the consequences of such termination or expiry.

# 21 Variation

The Authority reserves the right to vary these terms and conditions or the Agreement Document. Any variation will be effected in writing and notified to the Agreement Holder in advance. The Authority shall endeavour to give such notice as is reasonable and proportionate, having regard to the nature of the variation and its consequences for the Agreement Holder.

# 22 Severability

If any term, condition or provision of the Agreement is held to be invalid, unlawful or unenforceable to any extent, such term, condition or provision will not affect the validity,

legality and enforceability of the other terms, conditions or provisions in the Agreement or any other documents referred to in the Agreement.

# 23 Waiver

No failure or delay by either party to exercise any right or remedy under the Agreement shall be construed as a waiver of any other right or remedy.

# 24 Notices

**24.1** All notices in relation to the Agreement shall be in writing and shall be deemed to have been duly given if personally delivered, emailed, mailed (first class postage prepaid) or faxed using the contact details set out in the Agreement Document (or any updated address which is subsequently notified by one party to the other). It is the Agreement Holder's responsibility to notify the Authority of any change to its contact details.

**24.2** If personally delivered or if emailed all such notices shall be deemed to have been given when received (except that if received on a non-Working Day or after 5.00 pm on any Working Day they shall be deemed received on the next Working Day) and if mailed all such notices shall be deemed to have been given and received on the second Working Day following such mailing.

## **25 Dispute resolution**

Any dispute arising between the parties or any complaint or appeal by the Agreement Holder concerning the Authority's actions in connection with the Agreement shall be resolved according to the Authority's complaints procedure and in accordance with the procedure set out in the Countryside Stewardship Manual.

## 26 No partnership or agency

The Agreement shall not create any partnership or joint venture between the Authority and the Agreement Holder, nor any relationship of principal and agent, nor authorise any party to make or enter into any commitments for, or on behalf of, the other party.

# 27 Joint and several liability

Where the Agreement Holder is not a company nor an incorporated entity with a distinct legal personality of its own, the individuals who enter into the Agreement on behalf of the Agreement Holder shall be jointly and severally liable for the Agreement Holder's obligations and liabilities arising under the Agreement.

# 28 Third party rights

**28.1** Subject to clause 28.2 below, the Agreement does not and is not intended to confer any contractual benefit on any person who is not a party to the Agreement.

**28.2** The terms of the Agreement may be enforced, and recovery of any Grant may be sought by the Managing Authority, who shall be entitled to receive the benefit of the Agreement as if it was the Authority.

# 29 Governing law

The Agreement shall be governed by and construed in accordance with the law of England and the parties irrevocably submit to the exclusive jurisdiction of the English courts.

# Annex 2: Actions to address water and air quality issues

The table in this section shows a list of capital items that improve water quality or air quality.

You can use this table along with the other information below, to identify the relevant capital items to address water quality, and air quality priorities in your area. Choosing the right capital items to reduce losses of key water pollutants and ammonia from your farm, will help you to improve the quality of water and air on your farm, and in your local area.

Some capital items are only available in a Countryside Stewardship High and Medium Priority Areas for Water or Air Quality and with the support and approval of Catchment Sensitive Farming (CSF). These items are listed in the second table in this annex. To receive support and approval from CSF, the capital items you apply for must address the water or air pollution as affecting that catchment or area. You will need to clearly show how the pollutants are currently reaching a watercourse or underground aquifier. In the case of air, you will need to identify where the ammonia emissions are coming from, and with both water and air you will need to show how the work you propose to do, will prevent these losses.

CSF support and approval will be targeted at those farms where the largest improvements in water and air quality are likely to be achieved. The impact of a water pollutant or ammonia on a sensitive protected site will be considered as part of this.

You will need to show that you have included a suitable range of capital items to prevent the pollutant reaching a watercourse or the atmosphere, for example, rain water goods and drainage to accompany yard concreting. In some cases, work may be needed over a number of years, which means you may need to submit a number of applications. You will need to agree with CSF how this work is phased.

You may also need to think about other areas of the farm to make sure that you are addressing the pollutant. For example, if your work is aimed at reducing sediment you may need to think about reducing run off from fields. You may be able to do this by taking advice from CSF rather than, or as well as, using Countryside Stewardship options.

If you can show you meet these requirements and are able to offer clear improvements in water and air quality from using Countryside Stewardship water, and air quality capital items, you should contact <u>CSF</u> for support and approval.

Resources to help you chose the right item:

- You can find the water and air quality objectives for your local area in your local Statement of Priorities. If your application meets local water and air objectives you will receive a higher score.
- You can use the Countryside Stewardship on-line tool to help you identify the types
  of capital items you can use to address water and air quality priorities in the local
  area. You can access the Countryside Stewardship Online Tool by logging on to
  your Rural Payments account.
- You can use the MAGIC website to find water, and air quality information for your land. On the list in the Table of Contents, choose 'Countryside Stewardship Targeting & Scoring Layers', then 'Water, and then 'Countryside Stewardship Water Quality Priority Areas'. You can then make this layer transparent by using the slider under 'Countryside Stewardship Targeting & Scoring Layers'. You will need to navigate to your land and then use the 'Identify' tool in order to check the information specific to your land parcels.

# Capital items that address pollutant pressures affecting water and air quality and for flood and coastal risk

ltem	Code	Faecal Indicator Organisms – Bathing waters	Ground water priority areas- Nitrate	Ground water priority areas - Pesticides	Surface Water Pesticides	Surface water - Nitrate	Surface water (Phosphat e+ Sediment)	Flood and Coastal Risk Management and Physical Modification	Air Qualit <u>y</u> (ammonia
Automatic slurry scraper	AQ1								x
Low ammonia emission flooring for livestock buildings	AQ2								x

Item	Code	Faecal Indicator Organisms – Bathing waters	Ground water priority areas- Nitrate	Ground water priority areas - Pesticides	Surface Water Pesticides	Surface water - Nitrate	Surface water (Phosphat e+ Sediment)	Flood and Coastal Risk Management and Physical Modification	Air Quality (ammonia)
Hedgerow gapping-up	BN7						x	x	x
Planting new hedges	BN11						x	x	x
Planting standard hedgerow tree	TE1	x	x	x	x	x	x	x	x
Supply and plant a tree	TE4	x	x	x	x	x	x	x	x
Supplement for use of individual tree-shelters	TE5	x	x	x	x	x	x	x	x
Livestock and machinery hardcore tracks	RP4	x					x		
Livestock troughs	LV7	x					x	x	

ltem	Code	Faecal Indicator Organisms – Bathing waters	Ground water priority areas- Nitrate	Ground water priority areas - Pesticides	Surface Water Pesticides	Surface water - Nitrate	Surface water (Phosphat e+ Sediment)	Flood and Coastal Risk Management and Physical Modification	Air Quality (ammonia)
Above ground tanks	RP18	X	x	x	X	x	X	X	x
Bio filters	RP26			x	X				
Check dams	RP12	x					x	x	
Concrete yard renewal	RP15	X	x	x	X		x		x
Cross drains	RP5	x					x	x	
Earth banks and soil bunds	RP9	x					x	x	
Fencing	FG1	x	x	x	X	x	X	x	x
Sheep netting	FG2	x	x	x	x	x	x	x	x

ltem	Code	Faecal Indicator Organisms – Bathing waters	Ground water priority areas- Nitrate	Ground water priority areas - Pesticides	Surface Water Pesticides	Surface water - Nitrate	Surface water (Phosphat e+ Sediment)	Flood and Coastal Risk Management and Physical Modification	Air Quality (ammonia)
Rabbit fencing supplement	FG4	x	x	x	x	x	x	x	x
Wooden field gate	FG12	x	x	x	x	x	x	x	x
First flush rainwater diverters or downpipe filters	RP19	x	x	x	x	x	x	x	
Floating covers for slurry and anaerobic digestate stores and lagoons	RP30	X	x			x			x
Gateway relocation	RP2	X					X	X	
Hard bases for livestock drinkers	LV3	X					x	x	

ltem	Code	Faecal Indicator Organisms – Bathing waters	Ground water priority areas- Nitrate	Ground water priority areas - Pesticides	Surface Water Pesticides	Surface water - Nitrate	Surface water (Phosphat e+ Sediment)	Flood and Coastal Risk Management and Physical Modification	Air Quality (ammonia)
Hard bases for livestock feeders	LV4	x					x	x	
Installation of livestock drinking troughs (in draining pens for freshly dipped sheep)	RP23			x	x				
Installation of piped culverts in ditches	RP6	x					x		
Lined bio bed plus pesticide loading and wash down area	RP24			x	x				
Lined bio bed with existing wash down area	RP25			X	X				

ltem	Code	Faecal Indicator Organisms – Bathing waters	Ground water priority areas- Nitrate	Ground water priority areas - Pesticides	Surface Water Pesticides	Surface water - Nitrate	Surface water (Phosphat e+ Sediment)	Flood and Coastal Risk Management and Physical Modification	Air Quality (ammonia)
Pasture pumps and associated pipework	LV5	x					x		
Permanent electric fencing	FG3	x							
Pipework associated with livestock troughs	LV8	x					x		
Rainwater goods	RP16	x	x	x	x	x	x		
Ram pumps and associated pipework	LV6	x					x	x	
Relocation of sheep dips and pens	RP20			x	x				

ltem	Code	Faecal Indicator Organisms – Bathing waters	Ground water priority areas- Nitrate	Ground water priority areas - Pesticides	Surface Water Pesticides	Surface water - Nitrate	Surface water (Phosphat e+ Sediment)	Flood and Coastal Risk Management and Physical Modification	Air Qualit <u>ı</u> (ammonia
Relocation of sheep pens only	RP21			x	x				
Resurfacing of gateways	RP1						x		
Roofing (sprayer wash down area, manure storage area, livestock gathering area, slurry stores, silage stores)	RP28	X	X	X	X	X	X		
Sediment ponds and traps	RP7	x					x	x	
Self- supporting covers for slurry and anaerobic digestate stores	RP29	X	x			x			x

ltem	Code	Faecal Indicator Organisms – Bathing waters	Ground water priority areas- Nitrate	Ground water priority areas - Pesticides	Surface Water Pesticides	Surface water - Nitrate	Surface water (Phosphat e+ Sediment)	Flood and Coastal Risk Management and Physical Modification	Air Quality (ammonia)
Sheep dip drainage aprons and sumps	RP22			x	x				
Silt filtration dams or seepage barriers	RP10	X					x	x	
Sprayer or applicator load and wash down area	RP27			x	x				
Storage tanks underground	RP17	x	x			x	x	x	x
Swales	RP11	x					x	x	
Water gates	FG15	x	x	x	x	х	x	x	
Watercourse crossings	RP3	X					x		

ltem	Code	Faecal Indicator Organisms – Bathing waters	Ground water priority areas- Nitrate	Ground water priority areas - Pesticides	Surface Water Pesticides	Surface water - Nitrate	Surface water (Phosphat e+ Sediment)	Flood and Coastal Risk Management and Physical Modification	Air Quality (ammonia
Yard - underground drainage pipework	RP13	X	x	x	x	x	x		
Yard Inspection pit	RP14	x	X	x	x	x	x		

# Items requiring Catchment Sensitive Farming (CSF) approval

You must have approval from CSF to apply for certain capital items. These are listed in the table below. If you apply for these and do not have approval, we will remove them from your application.

 Code
 Item

 RP4
 Livestock and machinery hardcore tracks

 RP13
 Yard - underground drainage pipework

RP14 Yard inspection pit

Code	Item
RP15	Concrete Yard Renewal
RP17	Storage tanks underground
RP18	Above ground tanks
RP19	First flush rainwater diverters and downpipe filters
RP20	Relocation of sheep dips and pens
RP21	Relocation of sheep pens only
RP22	Sheep dip drainage aprons and sumps
RP23	Installation of livestock drinking troughs (in draining pens for freshly dipped sheep)
RP24	Lined biobed plus pesticide loading and washdown area

Code	Item
RP25	Lined biobed with existing washdown area
RP27	Sprayer or applicator load and washdown area
RP28	Roofing (sprayer washdown area, manure storage area, livestock gathering area, slurry stores, silage stores)
RP29	Self-supporting covers for slurry and anaerobic digestate stores
RP30	Floating covers for slurry and anaerobic digestate stores and lagoons
AQ1	Automatic slurry scraper
AQ2	Low ammonia emission flooring for livestock buildings
TE4	Supply and plant a tree

Code	Item
TE5	Supplement for use of individual tree-shelters
RP31	Equipment to disrupt tramlines in arable areas

# **Annex 3: Contact details**

Contact details for the Countryside Stewardship: Capital Grants

#### Visit our website

#### www.gov.uk/rpa

For more information about the Countryside Stewardship Capital Grants scheme, go to <u>www.gov.uk/rpa/cs</u> Look on our website for information about when the Rural Payments service may not be available.

#### Email

#### ruralpayments@defra.gov.uk

Please quote your single business identifier (SBI) and agreement number for all enquiries

#### Call us

03000 200 301 (Monday to Friday 8:30am to 5pm, except bank holidays)

#### Write to us

Rural Payments Agency (CS) PO Box 324 WORKSOP S95 1DF

# **Application forms**

If you are unable to complete an application online or download an application from GOV.UK you can call us on 03000 200 301.

#### **Completed Countryside Stewardship application forms**

Send your completed Countryside Stewardship application forms by email or post using the details above.