



Department for
Business, Energy
& Industrial Strategy

BUSINESS IMPACT TARGET: SUMMARY

Non-qualifying Regulatory Provisions
(NQRPs) summary



| Excluded Category | Summary of measure(s), including any impact data where available |
|--|---|
| <p>Measures certified as being below de minimis (measures with an EANDCB below +/- £5 million)</p> | <p>1. 2021 OISC Guidance on Competence</p> <p>30 June 2021 marked the end of the ‘grace period’ where aspects of EU Free Movement law continued to apply. As a result the OISC Guidance on Competence was updated to reflect these changes and it came into effect on 1 July 2021.</p> <p>2. Guidance for OISC Advisers on the provision of advice and services related to the EU Settlement Scheme</p> <p>This guidance document provides advice for OISC regulated organisations regarding work permitted at each Level in relation to the EU Settlement Scheme post 30 June 2021.</p> <p>3. Introduction of on-line OISC Competence Assessments</p> <p>An organisation was found that provided an on-line platform to host the competence assessments together with the capability to provide a sophisticated proctoring facility to ensure that, despite sitting at their own PC, candidates remained subject to invigilated exam conditions.</p> <p>This new process has proved to be so successful that the OISC will continue to use on-line assessments in the future and no longer hold them in person.</p> <p>4. DBS applications accepted on-line only</p> <p>In order to be able to process mandatory Disclosure and Barring Service (DBS) applications on behalf of applicant advisers, the OISC made the decision to use the services of an umbrella body to carry out the checks on our behalf.</p> <p>5. Remote Auditing</p> <p>Remote auditing was trialled during the Covid 19 pandemic and has been shown to work successfully. Premises audits will be re-introduced when it is safe to do so, but the OISC has made the decision to continue with remote auditing in certain situations. Thematic audits and an adviser self-assessment are also being piloted in this business year as possible audit processes to be adopted in the future</p> <p>The impact to business of these regulatory provisions is less than the £5m qualifying target.</p> |
| <p>EU Regulations, Decisions and Directives and other international obligations,</p> | <p>following consideration of the exclusion category there are no measures for the reporting period that qualify for the exclusion</p> |

| Excluded Category | Summary of measure(s), including any impact data where available |
|--|--|
| including the implementation of the EU Withdrawal Bill and EU Withdrawal Agreement | |
| Measures certified as concerning EU Withdrawal Bill operability measures | following consideration of the exclusion category there are no measures for the reporting period that qualify for the exclusion |
| Pro-competition | following consideration of the exclusion category there are no measures for the reporting period that qualify for the exclusion |
| Systemic Financial Risk | following consideration of the exclusion category there are no measures for the reporting period that qualify for the exclusion |
| Civil Emergencies | following consideration of the exclusion category there are no measures for the reporting period that qualify for the exclusion |
| Fines and Penalties | following consideration of the exclusion category there are no measures for the reporting period that qualify for the exclusion |
| Misuse of Drugs | following consideration of the exclusion category there are no measures for the reporting period that qualify for the exclusion |
| Measures certified as relating to the safety of tenants, residents and occupants in response to the Grenfell tragedy | following consideration of the exclusion category there are no measures for the reporting period that qualify for the exclusion |
| Casework | <p>During the business year 1 April 2020 – 31 March 2021 there were:-</p> <ul style="list-style-type: none"> ➤ 236 premises audits; 8 prosecutions awaiting trial; 8 convictions; 8 tribunal appeals; 46 appealable decisions <p>No activities listed in this section represent a change in the burden of regulation placed on business, except where these result from a separate qualifying regulatory provision that has been assessed</p> |
| Education, communications and promotion | <p>During the BIT reporting period 17 December 2020 – 16 December 2021 there have been:-</p> <ul style="list-style-type: none"> ➤ 9 x guidance documents updated or produced ➤ 1 x adviser conference and 4 x OISC Newsletters published ➤ 2 x social media campaigns focusing on the OISC Adviser Finder and OISC complaints process; series of posters, in multiple languages, aimed at advice seekers; 1 x Facebook advert promoting OISC EUSS video <p>No activities listed in this section represent a change in the burden of regulation placed on business, except where these result from a separate qualifying regulatory provision that has been assessed</p> |
| Activity related to policy development | Changes were made to the OISC Guidance on Competence and this is covered in the section 'Measures certified as being below de minimis' |
| Changes to management of regulator | following consideration of the exclusion category there are no measures for the reporting period that qualify for the exclusion |
| All other excluded categories | following consideration of the exclusion category there are no measures for the reporting period that qualify for the exclusion |