

CORPORATE REPORT

Business Impact Target 2020 to 2021

Non-qualifying Regulatory Provisions summary

ofqual

Regulator: Ofqual (Office of Qualifications and Examinations Regulation)

Business Impact Target Reporting Period Covered: 17 Dec 2020 to 16 Dec 2021

Excluded Category	Summary of measures, including any impact data where available
<p>Measures certified as being below <i>de minimis</i> (measures with an EANDCB below +/- £5 million)</p>	<p>Following consultations, Ofqual introduced regulatory provisions relating to 2021 qualification delivery:</p> <ul style="list-style-type: none"> • regulatory arrangements for the awarding of general, vocational and technical and other general qualifications in 2020-2021 • autumn assessment opportunities for vocational and technical and other general qualifications <p>Following consultations, Ofqual introduced regulatory provisions relating to 2022 qualification delivery; arrangements for:</p> <ul style="list-style-type: none"> • the assessment and awarding of vocational, technical and other general qualifications: 2021 to 2022 • non-exam assessment for qualifications in 2022 <p>Ofqual amended the following regulatory provision after undertaking a consultation:</p> <ul style="list-style-type: none"> • guidance on malpractice and maladministration <p>Ofqual ceased the following regulatory provisions relating to 2020 qualification delivery (for almost all purposes except in specific circumstances relating to individual learners):</p> <ul style="list-style-type: none"> • extraordinary regulatory framework: vocational and technical qualifications, COVID-19 conditions and requirements • extended extraordinary regulatory framework: vocational and technical qualifications, COVID-19 conditions and requirements
<p><i>EU regulations, decisions and directives and other international obligations, including the implementation of the EU Withdrawal Bill and EU Withdrawal Agreement</i></p>	<p>Following consideration of the exclusion category there are no measures for the reporting period that qualify for the exclusion.</p>
<p><i>Measures certified as concerning EU Withdrawal Bill operability measures</i></p>	<p>Following consideration of the exclusion category there are no measures for the reporting period that qualify for the exclusion.</p>

Excluded Category	Summary of measures, including any impact data where available
Pro-competition	Following a consultation, Ofqual updated its General Condition F to support price transparency in the market, and require all awarding organisations to publish fee information on their websites.
Systemic financial risk	Following consideration of the exclusion category there are no measures for the reporting period that qualify for the exclusion.
Civil emergencies	Following consideration of the exclusion category there are no measures for the reporting period that qualify for the exclusion.
Fines and penalties	Following consideration of the exclusion category there are no measures for the reporting period that qualify for the exclusion.
Misuse of drugs	Following consideration of the exclusion category there are no measures for the reporting period that qualify for the exclusion.
Measures certified as relating to the safety of tenants, residents and occupants in response to the Grenfell tragedy	Following consideration of the exclusion category there are no measures for the reporting period that qualify for the exclusion.
Casework	<p>Ofqual undertook the following enforcement activity:</p> <ul style="list-style-type: none"> • undertakings with two awarding organisations to secure ongoing compliance with the Conditions of Recognition • a monetary penalty of £50,000 and a notice for costs recovery of £8,558, for one awarding organisation • special conditions imposed on 12 awarding organisations (9 of these were imposed at recognition and one was an entry and inspection condition)
Education, communications and promotion	Ofqual communicates regularly with awarding organisations to keep them informed about existing and proposed regulatory requirements. Communication and education events arranged by Ofqual are optional for awarding organisations to attend.
Activity related to policy development	<p>Ofqual undertook the following activity relating to policy development:</p> <ul style="list-style-type: none"> • a consultation on revised GCSE qualifications in modern foreign languages • a consultation on guidance on designing and developing accessible assessments • a consultation about changes to Ofqual's regulatory action policy • announced policy approach and issued a consultation on regulating digital functional skills qualifications

Excluded Category	Summary of measures, including any impact data where available
Changes to management of regulator	<p>Ian Bauckham CBE was appointed as interim Chair of Ofqual from January 2021 (replacing Roger Taylor).</p> <p>Dr Jo Saxton was appointed Chief Regulator of Ofqual from September 2021, replacing Simon Lebus (Interim Chief Regulator January 2021 to September 2021). Simon Lebus replaced Dame Glenys Stacey (Interim Chief Regulator August 2020 to December 2020).</p>



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