Recommendation(s) Status: Track worker struck by train near Roade

This report is based on information provided to the RAIB by the relevant safety authority or public body.

The status of implementation of the recommendations, as reported to us, has been divided into eight categories:

Key to Recommendation Status

| Implemented: | All actions to deliver the recommendation have been completed. | |
|-----------------------------------|--|--|
| | | |
| Implemented by alternative means: | The intent of the recommendation has been satisfied in a way that was not identified by the RAIB during the investigation. | |
| | | |
| Implementation ongoing: | going: Work to deliver the intent of the recommendation has been agreed and is in the process of being delive | |
| Insufficient response: | The end implementer has failed to provide a response; or has provided a response that does not adequately satisfy ORR that sufficient action is being taken to properly consider and address a recommendation. | |
| | | |
| Progressing: | The relevant safety authority has yet to be satisfied that an appropriate plan, with timescales, is in place to implement the recommendation; and work is in progress to provide this. | |
| | | |
| Non-implementation: | Regulation 12(2)(b)(iii) = recommendation considered and no implementation action to be taken. | |
| Closed - carry forward: | ORR intends to take no further action as it has been superseded by another recommendation. | |
| Awaiting response: | Awaiting initial report from the relevant safety authority or public body on the status of the | |
| | recommendation. | |

RAIB concerns on actions taken by organisations in response to recommendations are reflected in this report and are indicated by one of the following:

Red – RAIB has concerns that no actions have been taken in response to a recommendation.

Blue – The blue triangle shows recommendations where the RAIB has concerns that the actions taken, or proposed, are inappropriate or insufficient to address the risk identified during the investigation.

White – The white triangle shows recommendations where the RAIB notes substantive actions have been reported, but the RAIB still has concerns.

Recommendation Status Report



| Report Title | Track worker struck by train near Roade | | |
|------------------|---|--|--|
| Report Number | 03/2021 | | |
| Date of Incident | 08/04/2020 | | |

| Rec No. | Status | RAIB Concern | Recommendation | RAIB Summary of current status |
|------------|-------------|---------------------|--|---|
| 03/2021/01 | Implemented | None | The intent of this recommendation is for all AmcoGiffen staff to maintain sufficient levels of competence. | ORR has reported that Network Rail has reported that it has completed actions taken in |
| | | | AmcoGiffen should develop and implement formal performance monitoring and appraisal arrangements for identifying and developing the ongoing safety performance and competence of its work force, at all grades. The procedure should include elements of proactive monitoring of staff performance and competence, identify areas of concern, define development needs and monitor their implementation. Suitable information about staff should be made available to all relevant | response to this recommendation. ORR proposes to take no further action unless they become aware that the information provided becomes inaccurate. |
| 03/2021/02 | Implemented | None | managers across the business (paragraph 106). The intent of this recommendation is to ensure that AmcoGiffen assures itself that new projects and sites are operated in a safe and compliant manner. | ORR has reported that Network Rail has reported that it has completed actions taken in response to this |
| | | | AmcoGiffen should review the management arrangements and resources that are intended to ensure that work is planned, undertaken and reviewed in compliance with its safety management systems, particularly in the early stages of establishing new projects and sites of work. It should implement any changes identified as being necessary to provide adequate assurance of compliance (paragraph 97). | recommendation. ORR proposes to take no further action unless they become aware that the information provided becomes inaccurate. |
| 03/2021/03 | Implemented | White | The intent of this recommendation is to minimise the need for personnel to access the track. | ORR has reported that Network Rail has reported that it has completed actions taken in response to this |

Recommendation Status Report



| Network Rail should review and amend the Electrical Safety Delivery programme to confirm that it takes account of the learning from the Roade investigation. In particular, it should consider ways of minimising the need for personnel to access the track, such as remotely operated | recommendation. ORR proposes to take no further action unless they become aware that the information provided becomes |
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| earthing devices, and improved co-ordination and visibility of key | inaccurate. |
| information when planning and taking isolations of electrical traction | |
| supply and contact systems (paragraph 60). | |