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|  | SUPERVISOR STANDARD and DECLARATION FORM   * Use for **Community Care** only * Please refer to **Guidance on Civil Supervisor Requirements (March 2021)** for advice on how to complete this form. |
| 1. Details of organisation/Supervisor applying | |
| Organisation’s name:  Supervisor’s name:  Continuously qualified as a Supervisor since (date):  Account number(s) of office(s) supervised:  Postcode(s) of office(s) supervised (if no Account number): | |

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| 2. Generic Supervisor Requirements |
| The Supervisor meets the supervisory standards by having:  (i) Supervised in the Community Care Category of Law and/or relevant Class of Work at least one full-time Caseworker (or equivalent) for at least one year in the five year period prior to completing this form. ; or  (ii) Completed an approved training course covering key supervisory skills no earlier than 12 months prior to the completion of this form. ; or  (iii) Completed the Level 3 or higher National Vocational Qualification (NVQ) standard (or any replacement from time to time) in supervising in the previous five year period. |

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| 3. Legal Competence Standard for Supervisors | | | | |
| i) | Areas of Knowledge – cases covered in the previous 12 months | **File name/ref** | **Area of knowledge** | **Date closed/ worked on** |
| a) | **Assessment and Care Plans** – 3 examples of providing advice and assistance in relation to issues regarding assessments and care plans, including:  1. Failure to assess or review assessments;  2. Disputed assessments;  3. Disputed care plans.  \* This advice could arise from advising on adequacy of assessments or care plans within Court of Protection cases. | 1.  2.  3. | 1.  2.  3. | 1.  2.  3. |
| b) | **3 examples from the following:**  1. Charging for services;  2. NHS and other health service provision;  3. Services for asylum seekers;  4. Services for children;  5. Unsuitable/inappropriate housing;  6. Hospital discharge;  7. Capacity and best interests issues;  8. Deprivation of liberty;  9. Closure/reorganisation;  10. Service Provision and eligibility criteria;  11. Carers rights and needs;  12. Direct payments and personal budgets;  13. Disabled Facilities Grants. | 1.  2.  3. | 1.  2.  3. | 1.  2.  3. |

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| ii) | Skills/Procedure/Knowledge – examples from the last 12 months. | File name/ref | **Date closed/ worked on** |
| a) | 1 example demonstrating the ability to recognise the appropriateness of Judicial Review and to act upon it (referral may be accepted as appropriate action for non-solicitor organisations). This may be illustrated by the issue of proceedings or by other suitable advice regarding judicial review as a possible remedy without proceedings, for example by compliance with the pre-action protocol or other advice to the client. |  |  |
| b) | 2 examples of substantive advice to the client on the appropriate remedy in their case, one of which is Judicial Review and/or Court of Protection proceedings and the other is an alternative remedy (i.e. complaints or other dispute resolution, ombudsman/commissioner, mediation). | 1.  2. | 1.  2. |
| c) | 1 example of the ability to recognise a possible contravention of the rights and freedoms expressed in the European Convention on Human Rights 1950, as given effect in the Human Rights Act 1998. |  |  |

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| 4. | Community Care Case Involvement  Supervisors that work full time must demonstrate case involvement in the category of law (350 hours each year) over the past 3 years (36 months). Please give details in the first three columns below.  Supervisors that work part-time you must demonstrate case involvement in the category of law (1050 hours in total) over the past 5 years (60 months). Please give details in all five columns below. | | | | | | |
| Type of involvement | | Minimum/Maximum hours allowed per year (Refer to guidance regarding part-time Supervisors) | Hours in past 12 months | Hours in months 13 to 24 | Hours in months 25 to 36 | Hours in months 37 to 48 | Hours in months 49 to 60 |
| All Supervisors | | | Part-time Supervisors only | |
| a)  Personal casework and  Direct (documented) supervision | | Total minimum 235 hours comprising: |  |  |  |  |  |
| i) Personal casework (minimum 115 hours). |  |  |  |  |  |
| ii) Direct supervision |  |  |  |  |  |
| b)  File Review (inc. face-to-face) | | Maximum 60 hours (i.e. approx. 50% of 115 hours) |  |  |  |  |  |
| c)  Delivery of external training (meeting any professional development requirements of your Relevant Professional Body) | | Maximum 115 hours |  |  |  |  |  |
| d)  Documented research / production of publications | | Maximum 115 hours |  |  |  |  |  |
| e)  Other supervision | | Maximum 115 hours |  |  |  |  |  |
| **TOTAL** | | **Minimum 350 hours** |  |  |  |  |  |

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| 5. Declaration |
| **This Supervisor is either a sole principal, an employee, a director, a partner in or a member of the organisation named at 1 above as at the date of completion of this form.**  Tick box to confirm  **I confirm that I am either the Compliance Officer for Legal Practice, the Head of Legal Practice, the Compliance Manager or (where the organisation is not regulated) a member of key personnel who either (i) has decision and / or veto rights over decisions relating to the running of the organisation, or (ii) has the right to exercise, or actually exercises, significant influence or control over the organisation, and I confirm that the information provided in this form is accurate.**  Name:  Role:  Dated: |