



Rural Payments
Agency

ET1: The trader's guide to importing and exporting certain agricultural goods

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Chapter 1 – Introduction and general information

This chapter gives information about the different types of import and export licences available and how to apply.

The Rural Payments Agency (RPA) and the trader

RPA is an executive agency of the Department for Environment, Food and Rural Affairs (Defra) and is the agency responsible for the administration of import and export licencing for the UK.

We work closely with HM Revenue & Customs (HMRC) to help traders in the UK import and export certain agricultural products

We publish [Notices to Traders](#) to tell you about changes to rules and regulations, and how they might affect you. We also publish Notice to Traders in advance of Tariff Rate Quota application windows opening and to tell you the quantities which are available to apply for. You can register with us if you want to receive notification via email that a notice has been published.

Contact RPA

Our address is:

Rural Payments Agency
Trader Team
Lancaster House
Hampshire Court
Newcastle upon Tyne
NE4 7YH.
Telephone: 03300 416500.

What Customs do

Customs control the physical import and export of food and agricultural goods. This includes:

- collecting import duties
- checking shipments are correctly described
- taking samples for analysis to check the description of the goods

- physically controlling imports and exports
- inspecting traders' records

However this is not an exhaustive list.

Animal and public health

To protect animal and public health, all products of animal origin may have veterinary conditions or restrictions. You can call the Animal and Plant Health Agency Carlisle on 01228 403600 for advice on whether and under what conditions imports are allowed.

Licensing for the import and export of certain agricultural goods

Some agricultural goods require a licence to import or export to or from the UK.

Import and export licences are issued electronically.

The licence will detail:

- the licence holder as the person entitled to use the licence
- the product you are importing or exporting
- the quantity you are importing or exporting
- the validity period of the licence
- the security, if applicable
- any special rules which apply to the licence

Types of licence available

Import licences (full duty)

An import licence is required for the products listed in Annex I Part A. The licence allows you to import a quantity of goods within a set period.

Any import duty you have to pay will depend on the rates in force on the day that you clear the goods through Customs. You can check duty rates on GOV.UK or by contacting Customs on 0300 200 3700.

Export licences

An export licence is required for rice products listed in Annex I Part B. The licence allows you to export a quantity of goods within a set period.

Import Tariff Rate Quotas (TRQs)

TRQ licences are issued to allow certain quantities of goods to be imported at a reduced rate of Customs duty. Quotas are managed in one of the following ways:

- on a first come, first served basis until the quantity is exhausted – administered by Customs
- by the issue of import licences – administered by RPA

Registering with RPA

You must register with RPA and get a Trader Registration Number (TRN) before you can submit a licence application. You can apply for a TRN by contacting our Customer Service Centre on 03000 200 301, or you can [register online](#)

Applying for an import or export licence

You must complete an application form.

There are separate application forms for [import licences](#) and [export licences](#).

Applications and licences must not contain any erasures or over-writing. If an error is made when completing the form, a fresh application or licence must be made out.

Where an application form contains a minor error of no factual significance, the licence is to be issued with the minor error corrected.

Applicants need to complete only Sections 4, 7, 8, 11, 14, 15, 16, 17, 18 and 20 of the licence application form.

In Sections 7 and 8 of the form, 'yes' or 'no' must be indicated, as appropriate.

Section 7 - The exporting country means the country from which the product is despatched to the UK.

Section 8 - The country of origin will be established in accordance with relevant quota rules.

Section 14 - Products are to be described by their usual product names (for example, sugar), and not by their trademark.

Section 16 - As a general rule, the licence shall be applied for and issued for all the products falling within one Commodity code (8-digit code).

However, in special cases provided for in UK rules, the licence shall be applied for and issued, as appropriate, either:

- for products falling within more than one CN code, or
- for only some of the products falling within one CN code.

If there is insufficient space for entering more than one Commodity code in Section 16, all the Commodity codes shall be entered in Section 15, preceded by an asterisk corresponding to one placed in Section 16.

The Commodity code should be specified to 8 digits, preceded by 'ex' where appropriate.

Sections 17 and 18 - Where a licence is issued for a quantity less than that for which the application was made, RPA will indicate:

- a) in Sections 17 and 18, the quantity for which the licence is issued;
- b) in Section 11, the amount of the corresponding security.

Sections 20 and 24 - The unused space must be blocked out to avoid the risk of unauthorised entries. This should be done as follows:

- a) In Sections where no special conditions apply, fill up the first line with 'X's, for example: 24 Special conditions:
XX
- b) Where special conditions are inserted, fill up the line on which the insertion ends with 'X's and insert a row of 'X's along the full length of the following line, for example: 24 Special conditions: High quality beef
XX
XX

Submitting your licence application by post

Licence application forms, together with any required supporting documentation, should be sent to:

Rural Payments Agency
Trader Team
Room 151
Lancaster House
Hampshire Court
Newcastle upon Tyne
NE4 7YH

Submitting your licence application by email

Use of email for the submission of licence applications is subject to prior approval of applicants by RPA and is conditional on agreement by the applicant to abide by the following terms and conditions.

1. Use of the system will be restricted solely to applications lodged from registered email addresses. A maximum of two addresses may be registered for each RPA registration number. If these addresses change RPA's Trader Scheme Operations Team must be informed prior to submitting further applications. To amend an email address you must submit a new form.
2. Responsibility for ensuring a licence application is delivered to the Agency is that of the applicant. RPA cannot take responsibility for any delay, however caused. You should be aware that RPA cannot be held liable for non-delivery or any delays incurred as the result of any failure by the applicant's e-mail service provider(s).
3. Time of application receipt will be that of successful delivery to RPA's Internet Service Provider (ISP) and not time of submission or delivery to the applicant's ISP.
4. Applications must only be submitted once to the following email address: trader@rpa.gov.uk. Applications to any other RPA email address will not be accepted.
5. Applications submitted by email must be made using the correct application form.
6. It is the applicant's responsibility to have in place adequate safeguards to ensure only authorised personnel submit licence applications.

7. All documentation submitted in support of an email application must be fully cross-referenced to the application and received within regulatory time limits.
8. RPA may make any further enquiries deemed appropriate in respect of the applicant's use of this system or any applications submitted under it.
9. Use of this system will be kept under review and RPA reserves the right to withdraw approval to use the facility should it deem this appropriate.

Complaints and appeals

If you are unhappy with a decision made by RPA regarding import/export licences, you should firstly contact the Trader team with details of your complaint.

If you are unhappy with the response, you can then make a formal complaint by completing a [complaint form CA4](#)

If you are not satisfied with the outcome of your formal complaint, you can appeal by completing a [CA1 form](#).

Chapter 2 - General (full duty) licences

This chapter details the requirements for general (full duty) licences including how to apply and use your licence.

Issue of import and export licences

Products which require a general (full duty) licence can be found in Annex I, Part A (imports) and Part B (exports).

Your import or export licence will be issued electronically within 5 working days of receiving your valid application and we will send you an email with details of your licence. Customs will also be notified and your licence will be automatically attributed when the goods have been imported or exported. Customs will forward the attribution details to us and this will act as proof of import or export.

When you get confirmation that your licence has been issued, you should check that the details on it are correct.

If we have made a mistake, let us know straightaway.

If we receive your licence application by midday, that will be regarded as the date of application for your licence. Applications received after midday will be deemed to have been made on the next working day.

Cancelling or altering an application for a licence

We can only cancel or amend licence applications if the request to do so is received before midday on the original day of application.

Licence security

When you apply for a licence you may also need to provide a security which can be one of the following:

- a guarantee from a bank or insurance company
- bank transfer

This security is to guarantee that you will comply with the obligations on the licence and you should provide the security with your licence application.

We will release your security when both of the following have been completed:

- your goods have been imported or exported
- we have received details from Customs that the licence has been fully used.

Where the security is £500 or less, you may not need to deposit a security. To be eligible for this provision you will need to submit an undertaking that you will pay any invoices that are issued by us when the conditions of a licence are not met.

Please complete the declaration below on company letter headed paper and send to RPA at the following address:

Guarantees Section
Rural Payments Agency
PO Box 69
Reading
RG1 3YD

Application for waiver

I / We wish to apply for the waiver of all securities less than £500 where this facility is offered by the Rural Payments Agency (RPA)

I / we understand that RPA may withdraw this facility at any time. In consideration of this facility being granted

I / we undertake to pay on demand all sums arising from failure to meet obligations (sums equal to those which would have been forfeit if a security had been taken).

I / we also understand that payment on demand means payment by the due date shown on the invoice, but that this does not affect the company's right to query any sum which has been invoiced and to withhold payment until the query has been investigated by RPA and a decision given.

I / we have the authority to give this undertaking.

RPA Registered Number

Signed

Date

Position in company

If a partnership all partners are to sign as above and indicate their status in the partnership.

You will not need to lodge a security where the amount of the security comes to £100 or less.

All securities must be in £ sterling.

The amount of security required for general (full duty) licences can be found in Annex I.

Using your licence

Your licence is considered fully used when you have imported or exported 95% of the quantity specified within the validity period of the licence.

You can use your licence to import or export 105% of the quantity specified.

If you do not comply with any of the obligations on the licence, we will keep all or part of the security you deposited with us.

Transferring your licence

You may be able to transfer the rights of all or part of your licence to another trader. However, the responsibility and obligations of the licence remains with the original licence holder.

The transferee may not further transfer the rights but may transfer them back to the original licence holder.

Force majeure

If you cannot comply with the details on your licence because something happened that was outside your control, you can ask us to consider a plea of force majeure.

Force majeure does not apply if:

- you make a mistake or someone acting for you makes a mistake
- you do not know the rules which apply to the goods you are trading
- goods are lost in transit
- you encounter a problem which we consider a 'normal trading risk'.

If you think 'force majeure' applies, you should write to us and:

- give us full details of what has happened
- send any supporting documentation which can help to show what happened.

Authority

The legislation which provides for general import and export licences in the UK is detailed in [Statutory Instrument 2019 No. 1409. The Import and Export Licences \(Amendment\) \(EU Exit\) Regulations 2019](#)

Chapter 3 – Tariff Rate Quota licences

This chapter tells you which tariff rate quotas are available to UK operators and the different rules when applying for and using your licence.

Import Tariff Rate Quotas (TRQs) available to UK operators

A list of import TRQs available to UK operators can be found in Annex II. This includes the following requirements for each quota.

- Order number
- Amount of security
- Reference quantity
- Proof of origin
- Proof of trade
- Certificate of authenticity
- IMA1 certificate
- Declaration of independence
- Export certificate
- Sub periods

Quota volumes and duty rates can be found in part C of the [quota table](#)

A TRQ licence will allow you to import a certain quantity of goods at a reduced rate of Customs duty. The reduced duty rate will apply to the quantity specified on the licence.

Applying for a licence

To apply for a TRQ licence in the UK, you must be an eligible operator, who is:

- registered under the Value Added Tax Act 1994 (a)
- has a GB EORI number
- established in the United Kingdom, which means-
 - resident in the UK, in the case of an individual

- in any other case, where the person has either of the following:
 - a registered office in the UK
 - a permanent place in the UK from which they carry out business activities

We will issue a [Notice to Traders \(NTT\)](#) in advance of a quota period or sub period, detailing the quantity available to apply for.

You can submit your quota application during the first 7 days of the month before the beginning of the quota period and, importantly, we must receive your application by no later than 17:00 hrs on the last working day of this 7-day period.

If there is a quota quantity remaining, we will publish this on GOV.UK and you can submit an application during the first 7 days of the subsequent months. Your application must be received no later than 17:00 hours on the last working day of each 7-day period.

For quotas starting in January, your application must be received during the 7 days starting 17 November and ending at 17:00 hrs on the last working day of this period.

Working days do not include Saturdays, Sundays, Bank Holidays, Good Friday or Christmas Day.

You can only submit one application per quota order number per month. However you may submit more than one application if the application is for different commodity codes, countries of origin, or quota duty rates within an order number, and they will be treated as one application if they are made at the same time.

Licence applications for which a Certificate of Authenticity or an Inward Monitoring Arrangement certificate is required can be submitted at any time.

Issue of import licences

Your import licence will be issued electronically by the end of the month in which the application was received except for licences valid from 1 January which will be issued by 16 December of the preceding year. We will notify you by email when your licence becomes available. Customs will also be notified, and your licence will be attributed when the goods have

been imported. Customs will forward the attribution details to us and this will act as proof of import.

When you get confirmation that your licence has been issued, you should check that the details on it are correct.

If we have made a mistake, let us know straightaway.

Cancelling your licence application

You can withdraw your import licence application at any time before 17:00 on the last working day of the TRQ application period.

Suspension of licence applications

A notification will be published on GOV.UK suspending applications for licences for any quota if the available quantity for the relevant period has been fully allocated.

If any quantity subsequently becomes available before the end of the relevant quota period, a further notification will be published cancelling the suspension of applications for that quota.

Licence security

When you apply for a licence you will need to provide a security which can be one of the following:

- a guarantee from a bank or insurance company
- bank transfer

This security is to guarantee that you will comply with the obligations on the licence. You can find the amount of security required for each quota in Annex II.

The security must be received within the same time limit as licence applications.

All securities must be in £ sterling.

Return and forfeit of security

We will release your security when both of the following have been completed.

- Your goods have been imported
- We have received details from Customs that the licence has been fully used.

Your licence is considered fully used when you import at least 95% of the licence quantity within the validity period of the licence.

If you are unable to use all or part of your licence, the security will be forfeited in proportion to the quantity unused.

If you import less than 5% of the quantity on your licence, the entire security will be forfeit.

If you cannot comply with the details on your licence due to abnormal and unforeseeable circumstances beyond your control, you can ask us to consider the following:

- return of licence security
- where a licence is issued using a certificate of authenticity or an IMA1 certificate, extend the validity of the licence to enable the import to take place. However, the licence validity cannot be extended beyond the end of the quota period.

If you think this applies, you should write to us within 45 days of the end of the licence validity and:

- give us full details of what has happened
- send any supporting documentation which can help to show what happened.

However, if your licence is issued using a CoA or IMA1 and you would like RPA to consider extending the licence validity, you must contact us as soon as possible with the relevant details before your licence expires.

Licence validity

Your licence will be valid from one of the following.

- The first day of the quota period or sub-period for which the application relates to if the application was submitted prior to the start of the quota period or sub-period
- The first day of the month following the month the application was received, if the application was submitted during the quota period or sub-period
- 1 January of the following year if the application was submitted within the seven days beginning on 17 November
- day of issue for quantities transferred from a licence

Licences will be valid until the end of the quota period for which it relates to regardless of whether the quota period is split into sub periods.

Import licences which require a Certificate of Authenticity will be valid for 3 months from the date of issue of the licence but not beyond the last day of the quota year concerned.

Import licences which required an Inward Monitoring Arrangement certificate will be valid from the date of issue and for the same period as that stated on the certificate but not beyond the end of a quota period.

Proof of trade

Where proof of trade is required in Annex II, you will need to send us evidence with your licence application to show that you have imported into the UK under a GB EORI number at least 25 tonnes

- Of goods of the same description as those specified on the licence application for those quotas which require a reference quantity and/or declaration of independence (see details in Annex II)
- In any other case of goods of a description falling within the same sector of the Proof of trade table as those specified on the licence application.

The proof of trade table can be found at Annex V

Products must be imported into the UK, however transitional measures as detailed in Annex IV allow products imported into the EU before the end of the transition period on 31 December 2020, to be eligible as proof of trade. Imports must take place under a GB EORI number.

Proof of trade must cover both of the following.

- The 12-month period ending two months before the first application can be submitted for the quota period,
- The 12-month period immediately prior to that.

Example:

Quota start date – 1 June 2021

Date of application – 1 May 2021

First 12-month period – 1 March 2020 to 28 February 2021

Second 12-month period – 1 March 2019 to 28 February 2020

However, for the quota order number 05.4003 (frozen beef) proof of trade is only required to cover the 12 month period ending 2 months before the first application can be submitted for the quota period.

Proof of trade may include either of the following.

- Customs documentation (endorsed C88)
- A used import licence (endorsed by Customs or the electronic equivalent)

Reference quantity

Licence applications for some quotas listed in Annex II will be subject to a reference quantity. The quantity you apply for must not exceed your reference quantity or, if it concerns a sub-period, must not exceed the proportion of the reference quantity corresponding with the sub-period.

Example:

Reference quantity – 1000kg

Sub period split – 30% /30% /20% /20%

Reference quantity split – 300kg /300kg /200kg/ 200kg

The reference quantity is the average annual quantity of goods imported during the 2 consecutive 12-month periods ending 2 months before the first application can be submitted for the quota period.

Goods must be imported into the UK, however transitional measures are provided for in Regulation 44 of SI 2020 No.1432 and are detailed in Annex IV.

The reference quantity can only be made up of goods imported where the description, as specified in the Goods classification table, is the same as the goods subject to the quota concerned.

For quota order number 05.4003 (frozen beef) the reference quantity is the quantity of goods imported during the 12-month period ending 2 months before the first application can be submitted for the quota period. It can also include commodity codes 0201 0000, 0202 0000, 0206 1095 and 0206 2991.

The requirement for a reference quantity may be suspended if, by the end of the ninth month of the quota period, the total quantity applied for under any of the tariff quotas listed in Annex II is less than the quantity still available. The requirement for a reference quantity may be suspended at any point during the quota period where there are unforeseeable and exceptional circumstances which threaten to cause under-utilisation of that tariff quota.

The period of suspension may not exceed the end of the quota period. We will issue a Notice to Traders if the requirement for reference quantity is suspended.

Merged companies

If you wish to merge your companies, you can combine the reference quantities of the companies forming the merger. You will need to provide satisfactory evidence that the merger has taken place.

Evidence of a merger

As different arrangements can apply to mergers it is not possible to provide prescriptive guidance so you may wish to take specialist legal advice. You should make a commercial decision regarding when the merger should take place, based on the circumstances of your company.

Evidence may be in the form of a solicitor's letter confirming the merger and effective date, including details of:

- signed special resolution
- Deed of sale including transfer of assets and liabilities.

After the effective date of the merger, the merged companies must not submit applications for quota licences. Following the merger, it is expected that the merged companies will be formally dissolved and evidence to that effect must be provided to RPA.

Transfer of licences following a company merger

You will need to make a written request to RPA for any extant licences in the name of the merged companies to be transferred into the name of the ongoing company.

Declaration of independence (DoI)

Some quotas listed in Annex II will require you to submit a Declaration of independence, to inform us of either of the following.

- That the applicant is not linked with any other person applying for the same tariff quota;
- If the applicant is linked with another person applying for the same tariff quota, a declaration that identifies that linked person and evidence that the applicant regularly engages in substantial economic activities with other third parties.

A DoI template can be found at Annex III. You will need to submit this with your first licence application of the quota period.

An applicant is linked with another person if the applicant has any of the following:

- a) close business links with that person
- b) family ties with that person
- c) an important business relationship with that person.

A person (A) has close business links with another person (B) in any of the following situations.

- i. B is a parent undertaking of A
- ii. B is a subsidiary undertaking of A
- iii. B is a parent undertaking of a subsidiary undertaking of A
- iv. B is a subsidiary undertaking of a parent undertaking of A
- v. B owns or controls 25% or more of the voting rights or capital of A
- vi. A own or controls 25% or more of the voting rights or capital of B

For the purposes of the above, 'subsidiary undertaking' and 'parent undertaking' have the meanings given in section 1162 of the Companies Act 2006(a), read with Schedule 7 to that Act.

Having 'family ties' with another applicant means either of the following.

- i. The applicants are spouses or civil partners, or are living together as spouses or as if they were civil partners.
- ii. The applicant is the brother, sister, parent, child or grandchild of another applicant.

'Important business relationship' includes a relationship where:

- i. the applicants are employer and employee.
- ii. the applicants are partners in a partnership, or officers or directors in the same undertaking.

'Substantial economic activities' means activities carried out by the applicant relating to the production, distribution or consumption of goods and services, which are not carried out for the sole purpose of applying for quotas.

Certificate of authenticity (CoA)

Some quotas listed in Annex II require a CoA to be submitted with your licence application. You will need to send us the original and a copy of the certificate.

The certificate must be valid on the date the licence application is submitted.

Once a CoA has been submitted with a licence application, it cannot be used with any other import licence application.

We will keep the original certificate. The licence number and the quantity of goods to be imported will be noted on the copy certificate, and this will be returned to you.

Inward monitoring arrangement certificate (IMA1)

Some quotas listed in Annex II require an IMA1 to be submitted with your licence application. You will need to send us the original and a copy of the certificate.

The certificate must be valid on the date the licence application is submitted.

Once an IMA1 certificate has been submitted with a licence application, it cannot be used with any other import licence application.

We will keep the original certificate. The licence number and the quantity of goods to be imported will be noted on the copy certificate, and this will be returned to you.

Export certificates

Some quotas listed in Annex II require an export certificate to be presented with the licence application. You will need to send us the original and a copy of the certificate.

The quantity applied for in the licence application must not exceed the quantity of goods stated on the export certificate.

RPA may issue a notice to waive the requirement for an export certificate to be submitted, where this has been agreed with the Third Country.

Allocation of quota

If the quantity applied for by UK operators is greater than the quantity available for the quota period or sub period, we will publish an allocation coefficient on GOV.UK.

Transfer of licence quantity

If you wish to transfer your licence or a partial quantity from your licence to another UK operator, they must meet proof of trade and declaration of independence requirements, where applicable, as detailed in this guidance.

Once the transferred licence has been used, the quantity imported can count towards the transferee's proof of trade and reference quantity.

End use requirements for sugar

The following quota order numbers are subject to the end use procedure for refining.

05.4317

05.4318

05.4320

Checks on the end use procedure will be carried out by Customs under customs legislation and procedures.

Authority

The legislation which provides for tariff rate quotas in the UK is detailed in Statutory Instrument 2020 No. 1432 The Customs (Tariff Quotas) (EU Exit) Regulations 2020.

ANNEX I

List of products which require a general (full duty) licence for import and export

Licensing obligation – for imports

Rice

Licence not required for quantities of 1000kg or less.

CN code	Description	Amount of the security	Period of validity
1006 20	Husked (brown) rice	£26/t	until the end of the second month following the month of the day of issue of the licence
1006 30	Semi-milled or wholly milled rice	£26/t	until the end of the second month following the month of the day of issue of the licence
1006 40 00	Broken rice	£1/t	until the end of the second month following the month of the day of issue of the licence

Seeds

CN code	Description	Amount of the security	Period of validity
ex 1207 99 20	Seeds of varieties of hemp, for sowing	No security required	until the end of the sixth month following the month of the day of issue of the licence

Flax and hemp

CN code	Description	Amount of the security	Period of validity
5302 10 00	True hemp, raw or retted	No security required	until the end of the sixth month following the month of the day of issue of the licence

Other products

CN code	Description	Amount of the security	Period of validity
1207 99 91	Hemp seeds other than for sowing	No security required	until the end of the sixth month following the month of the day of issue

Ethyl alcohol of agricultural origin

Licence not required for quantities of 100 hectolitres or less.

CN code	Description	Amount of the security	Period of validity
ex 2207 10 00	Undenatured ethyl alcohol of an alcoholic strength by volume of 80 % vol. or higher, obtained from the agricultural products	£1 per hectolitre	until the end of the fourth month following the month of the day of issue of the licence
ex 2207 20 00	Ethyl alcohol and other spirits, denatured, of any strength, obtained from the agricultural products	£1 per hectolitre	until the end of the fourth month following the month of the day of issue of the licence
ex 2208 90 91	Undenatured ethyl alcohol of alcoholic strength by volume of less than 80 % vol., obtained from the agricultural products	£1 per hectolitre	until the end of the fourth month following the month of the day of issue of the licence
ex 2208 90 99	Undenatured ethyl alcohol of alcoholic strength by volume of less than 80 % vol., obtained from the agricultural products	£1 per hectolitre	until the end of the fourth month following the month of the day of issue of the licence

Licensing obligation – for exports

Rice

Licence not required for quantities of 500kg or less.

CN code	Description	Amount of the security	Period of validity
1006 20	Husked (brown) rice	£3 /t	until the end of the fourth month following the month of the day of issue of the licence
1006 30	Semi-milled or wholly milled rice	£3 /t	until the end of the fourth month following the month of the day of issue

ANNEX II

Licensing tables

Part A – World Trade Organisation (WTO) quotas

Quota number	Amount of security	Ref. quantity	Proof of origin	Proof of trade	CA See note 1	IMA1 See note 2	Dol See note 3	Export cert.	Sub-Periods
05.4450	£6 per 100kg	N/A	Yes	N/A	Yes See note 4	N/A	N/A	N/A	N/A
05.4451	£6 per 100kg	N/A	Yes	N/A	Yes See note 5	N/A	N/A	N/A	N/A
05.4452	£6 per 100kg	N/A	Yes	N/A	Yes See note 6	N/A	N/A	N/A	N/A
05.4002	£6 per 100kg	N/A	Yes	N/A	N/A	N/A	N/A	N/A	N/A
05.4454	£6 per 100kg	N/A	Yes	N/A	Yes See note 7	N/A	N/A	N/A	N/A
05.4453	£6per 100kg	N/A	Yes	N/A	Yes See note 8	N/A	N/A	N/A	N/A

Quota number	Amount of security	Ref. quantity	Proof of origin	Proof of trade	CA See note 1	IMA1 See note 2	Dol See note 3	Export cert.	Sub-Periods
05.4003 BV1 CF1 See note 9	£6 per 100kg boneless	Yes	Yes	Yes	N/A	N/A	N/A	N/A	N/A
05.4001	£6 per 100kg	N/A	Yes	N/A	Yes See note 5	N/A	N/A	N/A	N/A
05.4038	£20 per 100kg	N/A	Yes	Yes	N/A	N/A	N/A	N/A	Quarterly
05.4170	£20 per 100kg	N/A	Yes	Yes	N/A	N/A	N/A	N/A	Quarterly
05.4067 PoT1	£20 per 100kg	Yes	Yes	Yes	N/A	N/A	Yes	N/A	Quarterly
05.4068 PoT1	£20 per 100kg	Yes	Yes	Yes	N/A	N/A	Yes	N/A	Quarterly
05.4069 PoT1	£20 per 100kg	Yes	Yes	Yes	N/A	N/A	Yes	N/A	Quarterly
05.4410	£50 per 100kg	Yes	Yes	Yes	N/A	N/A	Yes	N/A	Quarterly
05.4411	£50 per 100kg	Yes	Yes	Yes	N/A	N/A	Yes	N/A	N/A

Quota number	Amount of security	Ref. quantity	Proof of origin	Proof of trade	CA See note 1	IMA1 See note 2	Dol See note 3	Export cert.	Sub-Periods
05.4412	£50 per 100kg	Yes	Yes	Yes	N/A	N/A	Yes	N/A	N/A
05.4420	£50 per 100kg	Yes	Yes	Yes	N/A	N/A	Yes	N/A	N/A
05.4422	£50 per 100kg	Yes	Yes	Yes	N/A	N/A	Yes	N/A	Quarterly
05.4211 PoT1	£10 per 100kg	Yes	Yes	Yes	N/A	N/A	Yes	N/A	Quarterly See note 10
05.4212 PoT1	£50 per 100kg	Yes	Yes	Yes	N/A	N/A	Yes	N/A	Quarterly See note 10
05.4213 PoT1	£50 per 100kg	Yes	Yes	Yes	N/A	N/A	Yes	N/A	N/A
05.4195	£35 per 100kg	N/A	Yes	Yes	N/A	Yes See note 11	N/A	N/A	N/A
05.4515	£35 per 100kg	N/A	Yes	Yes	N/A	Yes See note 11	N/A	N/A	Annual See note 12
05.4595	£35 per 100kg	N/A	Yes	Yes	N/A	N/A	N/A	N/A	N/A

Quota number	Amount of security	Ref. quantity	Proof of origin	Proof of trade	CA See note 1	IMA1 See note 2	DoI See note 3	Export cert.	Sub-Periods
05.4514	£35 per 100kg	N/A	Yes	Yes	N/A	Yes See note 11	N/A	N/A	Annual See note 12
05.4513	£10 per 100kg	N/A	Yes	Yes	N/A	Yes See note 13	N/A	N/A	Annual See note 12
05.4105 PoT3	£6 per 100kg	Yes	Yes	Yes	N/A	N/A	Yes	N/A	Quarterly
05.4106 PoT3	£6 per 100kg	N/A	Yes	Yes	N/A	N/A	N/A	N/A	N/A
05.4123	£3 per 100kg	N/A	Yes	Yes	N/A	N/A	N/A	N/A	N/A
05.4124	£3 per 100kg	N/A	Yes	Yes	N/A	N/A	N/A	N/A	N/A
05.4125	£3 per 100kg	N/A	Yes	Yes	N/A	N/A	N/A	N/A	N/A
05.4131	£3 per 100kg	N/A	Yes	Yes	N/A	N/A	N/A	N/A	N/A
05.4148	£4 per 100kg	N/A	Yes	Yes	N/A	N/A	N/A	N/A	N/A

Quota number	Amount of security	Ref. quantity	Proof of origin	Proof of trade	CA See note 1	IMA1 See note 2	Dol See note 3	Export cert.	Sub-Periods
05.4127	£4 per 100kg	N/A	Yes	Yes	N/A	N/A	N/A	Yes See note 14	Quarterly
05.4128	£4 per 100kg	N/A	Yes	Yes	N/A	N/A	N/A	Yes See note 15	Quarterly
05.4129	£4 per 100kg	N/A	Yes	Yes	N/A	N/A	N/A	Yes See note 16	N/A
05.4130	£4 per 100kg	N/A	Yes	Yes	N/A	N/A	N/A	N/A	N/A
05.4112	£4 per 100kg	N/A	Yes	Yes	N/A	N/A	N/A	N/A	N/A
05.4116	£4 per 100kg	N/A	Yes	Yes	N/A	N/A	N/A	N/A	N/A
05.4117	£4 per 100kg	N/A	Yes	Yes	N/A	N/A	N/A	N/A	N/A
05.4118	£4 per 100kg	N/A	Yes	Yes	N/A	N/A	N/A	N/A	N/A
05.4119	£4 per 100kg	N/A	Yes	Yes	N/A	N/A	N/A	N/A	N/A

Quota number	Amount of security	Ref. quantity	Proof of origin	Proof of trade	CA See note 1	IMA1 See note 2	DoI See note 3	Export cert.	Sub-Periods
05.4166	£4 per 100kg	N/A	Yes	Yes	N/A	N/A	N/A	N/A	N/A
05.4168	£5 per 1000kg	N/A	Yes	Yes	N/A	N/A	N/A	N/A	N/A
05.4149	£5 per 1000kg	N/A	Yes	Yes	N/A	N/A	N/A	N/A	N/A
05.4150	£5 per 1000kg	N/A	Yes	Yes	N/A	N/A	N/A	N/A	N/A
05.4152	£5 per 1000kg	N/A	Yes	Yes	N/A	N/A	N/A	N/A	N/A
05.4153	£5 per 1000kg	N/A	Yes	Yes	N/A	N/A	N/A	N/A	N/A
05.4154	£5 per 1000kg	N/A	Yes	Yes	N/A	N/A	N/A	N/A	N/A
05.4217 PoT1	£10 per 100kg	N/A	Yes	Yes	N/A	N/A	N/A	N/A	Quarterly See note 10
05.4218 PoT1	£50 per 100kg	N/A	Yes	Yes	N/A	N/A	N/A	N/A	Quarterly See note 10
05.4251 PoT1	£10 per 100kg	Yes	Yes	Yes	N/A	N/A	Yes	N/A	Quarterly See note 10

Quota number	Amount of security	Ref. quantity	Proof of origin	Proof of trade	CA See note 1	IMA1 See note 2	Dol See note 3	Export cert.	Sub-Periods
05.4214 PoT1	£10 per 100kg	Yes	Yes	Yes	N/A	N/A	Yes	N/A	Quarterly See note 10
05.4215 PoT2	£35 per 100kg	Yes	Yes	Yes	N/A	N/A	Yes	N/A	Quarterly See note 10
05.4216 PoT1	£50 per 100kg	Yes	Yes	Yes	N/A	N/A	Yes	N/A	Quarterly See note 10
05.4252 PoT1	£10 per 100kg	N/A	Yes	Yes	N/A	N/A	N/A	N/A	Quarterly See note 10
05.4254 PoT2	£35 per 100kg	Yes	Yes	Yes	N/A	N/A	Yes	N/A	Quarterly See note 10
05.4260 PoT1	£50 per 100kg	Yes	Yes	Yes	N/A	N/A	Yes	N/A	Quarterly See note 10
05.4253 PoT1	£10 per 100kg	N/A	Yes	Yes	N/A	N/A	N/A	N/A	N/A
05.4255 PoT2	£35 per 100kg	Yes	Yes	Yes	N/A	N/A	Yes	N/A	Quarterly See note 10
05.4256 PoT2	£35 per 100kg	N/A	Yes	Yes	N/A	N/A	N/A	N/A	Quarterly See note 10

Quota number	Amount of security	Ref. quantity	Proof of origin	Proof of trade	CA See note 1	IMA1 See note 2	Dol See note 3	Export cert.	Sub-Periods
05.4263 PoT1	£50 per 100kg	Yes	Yes	Yes	N/A	N/A	Yes	N/A	N/A
05.4258 PoT2	£35 per 100kg	N/A	Yes	Yes	N/A	N/A	N/A	N/A	N/A
05.4264 PoT1	£50 per 100kg	N/A	Yes	Yes	N/A	N/A	N/A	N/A	N/A
05.4259 PoT2	£35 per 100kg	N/A	Yes	Yes	N/A	N/A	N/A	N/A	N/A
05.4265 PoT1	£50 per 100kg	N/A	Yes	Yes	N/A	N/A	N/A	N/A	N/A
05.4317	£2 per 100kg	N/A	Yes	Yes	N/A	N/A	N/A	N/A	N/A
05.4318	£2 per 100kg	N/A	Yes	Yes	N/A	N/A	N/A	N/A	N/A
05.4320	£2 per 100kg	N/A	Yes	Yes	N/A	N/A	N/A	N/A	N/A
05.4321 CF2 See note 17	£20 per 1000kg	N/A	Yes	Yes	N/A	N/A	N/A	N/A	N/A

Notes

- 1) Certificate of authenticity.
- 2) Inward Monitoring Arrangement certificate.

- 3) Declaration of independence.
- 4) Ministerio de Agricultura, Ganadería y Pesca, Argentina.
- 5) Department of Agriculture, Fisheries and Forestry, Australia.
- 6) Instituto Nacional de Carnes, Uruguay.
- 7) New Zealand Meat Board, New Zealand.
- 8) Departamento Nacional de Inspeccao de Productos de Origen Animal, Brazil.
- 9) The conversion factor is: 100kg frozen beef bone in = 77kg boneless.
- 10) 30% 1 July-30 September; 30% 1 October-31 December; 20% 1 January-31 March; 20% 1 April-30 June.
- 11) Final 3 months open if any volume remaining.
- 12) Ministry for Primary Industries, New Zealand.
- 13) Second 6 months and final 3 months reopen for any remaining volume.
- 14) Canadian Dairy Commission.
- 15) Association for the Administration of Rice Quotas INC, USA.
- 16) Department of Foreign Trade, Thailand.
- 17) Department of Primary Industries and Energy, Australia.
- 18) The conversion factor is: 100kg raw sugar = 94kg of white sugar.

Part B - Preferential quotas

Quota number	Amount of security	Ref. quantity	Proof of origin	Proof of trade	CA See note 1	IMA1 See note 2	DoI See note 3	Export cert.	Sub-Periods
05.4155	£29 per 100kg	N/A	Yes	Yes	N/A	N/A	N/A	N/A	Biannual
05.4202	£10 per 100kg	N/A	Yes	N/A	Yes See note 4	N/A	N/A	N/A	N/A
05.4181	£5 per 100kg	N/A	Yes	N/A	Yes See	N/A	N/A	N/A	N/A

Quota number	Amount of security	Ref. quantity	Proof of origin	Proof of trade	CA See note 1	IMA1 See note 2	DoI See note 3	Export cert.	Sub-Periods
					note 5				
05.4092	£17 per 100kg	N/A	Yes	Yes	N/A	N/A	N/A	N/A	Quarterly
05.4032	£17 per 100kg	N/A	Yes	N/A	N/A	N/A	N/A	N/A	N/A
05.4200	£10 per 100kg	N/A	Yes	N/A	Yes See note 6	N/A	N/A	N/A	N/A
05.4270	£10 per 100kg	N/A	Yes	Yes	N/A	N/A	N/A	N/A	Quarterly
05.4271	£42 per 100kg	N/A	Yes	Yes	N/A	N/A	N/A	N/A	Quarterly
05.4272	£42 per 100kg	N/A	Yes	Yes	N/A	N/A	N/A	N/A	Quarterly
05.4273	£63 per 100kg	Yes	Yes	Yes	N/A	N/A	Yes	N/A	Quarterly

Quota number	Amount of security	Ref. quantity	Proof of origin	Proof of trade	CA See note 1	IMA1 See note 2	Dol See note 3	Export cert.	Sub-Periods
05.4274	£63 per 100kg	Yes	Yes	Yes	N/A	N/A	Yes	N/A	Quarterly
05.4275	£17 per 100kg	N/A	Yes	N/A	N/A	N/A	N/A	N/A	Quarterly
05.4276	£17 per 100kg	N/A	Yes	N/A	N/A	N/A	N/A	N/A	Quarterly
05.4306	£25 per 100kg	N/A	Yes	Yes	N/A	N/A	N/A	N/A	N/A
05.4307	£25 per 100kg	N/A	Yes	Yes	N/A	N/A	N/A	N/A	N/A
05.4308	£25 per 100kg	N/A	Yes	Yes	N/A	N/A	N/A	N/A	N/A
05.4600	£30 per 100kg	N/A	Yes	Yes	N/A	N/A	N/A	N/A	Biannual
05.4601	£30 per 100kg	N/A	Yes	Yes	N/A	N/A	N/A	N/A	Biannual

Quota number	Amount of security	Ref. quantity	Proof of origin	Proof of trade	CA See note 1	IMA1 See note 2	Dol See note 3	Export cert.	Sub-Periods
05.4602	£30 per 100kg	N/A	Yes	Yes	N/A	N/A	N/A	N/A	Biannual
05.4505	£10 per 100kg	N/A	Yes	N/A	Yes See note 7	N/A	N/A	N/A	N/A
05.4327	£17 per 1000kg	N/A	Yes	Yes	N/A	N/A	N/A	Yes	N/A
05.4729	£25 per 1000kg	N/A	Yes	Yes	N/A	N/A	N/A	N/A	N/A
05.4730	£25 per 1000kg	N/A	Yes	Yes	N/A	N/A	N/A	N/A	N/A
05.4731	£25 per 1000kg	N/A	Yes	Yes	N/A	N/A	N/A	N/A	N/A
05.4324	£17 per 1000kg	N/A	Yes	Yes	N/A	N/A	N/A	Yes	N/A
05.4198	£10 per 100kg	N/A	Yes	N/A	Yes See	N/A	N/A	N/A	N/A

Quota number	Amount of security	Ref. quantity	Proof of origin	Proof of trade	CA See note 1	IMA1 See note 2	Dol See note 3	Export cert.	Sub-Periods
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note 8

05.4326	£20 per 1000kg	N/A	Yes	Yes	N/A	N/A	N/A	Yes	N/A
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Notes

- 1) Certificate of authenticity.
- 2) Inward Monitoring Arrangement certificate.
- 3) Declaration of independence.
- 4) Office fédéral de l'agriculture/Bundesamt für Landwirtschaft/Ufficio federale dell'agricoltura, Switzerland.
- 5) Asociación Gremial de Plantas Faenadoras Frigoríficas de Carnes de Chile, Chile.
- 6) Kosovo.
- 7) Univerzitet Sv. Kiril I Metodij, Institut za hrana, Fakultet za veterinarna medicina, 'Lazar PopTrajkov 5-7', 1000 Skopje, North Macedonia.
- 8) Institute for Meat Hygiene and Technology, Kacaskog 13, Belgrade, Serbia

ANNEX III

Declaration of Independence

Tariff quota concerned

TRQ order number

CN code(s)

Origin of product (if mandatory)

Independence of operator

The applicant for the tariff quota order number listed above declares:

The applicant is not linked, as set out in regulation 32 of SI 2020 No.1432, with any other person applying to the Secretary of State in respect of the same quota.¹

The applicant is linked, as set out in regulation 32 of SI 2020 No.1432, with another person applying to the Secretary of State in respect of the same quota.²

Details of the linked person, as set out in regulation 32 of SI 2020 No.1432. Evidence must be provided that the applicant regularly engages in substantial economic activities with other third parties.

Operator's details

Name

EORI number Date

Signature

Role in the company of the signatory

¹ Delete as appropriate

² Delete as appropriate

ANNEX IV

Transitional measures for the administration of Tariff Rate Quotas (TRQ) licences after 1 January 2021

The following measures will apply to provide continuity during the transition to new UK legislation, in particular for quotas which are part way through the quota year.

Licences issued for quotas listed in part A of the licensing table which are still valid on 1 January 2021, can be used to import goods into the UK only after this date. However, this does not apply where the licence, or partial quantity of the licence has been transferred before 1 January 2021, to a person who does not meet the criteria as an operator.

If you have a licence which is valid at 1 January 2021 and you do not wish to use it, you can return the licence to RPA within one month from 1 January 2021 and request that your security is released without forfeit.

Licences issued for the following quotas which are still valid at 1 January 2021 will have their validity extended until 30 June 2021:

05.4215
05.4254
05.4255
05.4256
05.4258
05.4259
05.4003

If you have import rights for any of the above order numbers, you must apply to convert these into a licence before 1 January 2021. Any import rights not converted into a licence by this date will become invalid.

However, an import licence will automatically be issued for import rights allocated for sub period 3 for order numbers 05.4215, 05.4254, 05.4255 and 05.4256 with a licence validity of 1 January 2021 until 30 June 2021.

Where a quota is part way through the quota year, RPA will publish a notice, at the latest, within 10 working days from 1 January 2021 to notify you of the quantities available for the remaining sub period.

Proof of Trade

Evidence of proof of trade may include documentation relating to goods released for free circulation in the EU during the relevant qualifying period, up to 31 December 2020.

For quotas marked PoT 1 in annex II, for the remaining sub periods in the current quota year, proof of trade does not need to meet the GB EORI element. Proof of trade requirements will be 50 tonnes of goods within the same sector of the proof of trade table, released for free circulation in the period of 12 months ending before the first licence application can be submitted, and the 12 months immediately before that period.

For quotas marked PoT 2 in annex II, for the remaining sub periods in the current quota year, proof of trade does not need to meet the GB EORI element. Proof of trade requirements will be 250 tonnes of goods within the same sector of the proof of trade table, released for free circulation in the period of 12 months ending before the first licence application can be submitted, and the 12 months immediately before that period.

For quotas marked PoT 3 in annex II, for the remaining sub periods in the current quota year, proof of trade does not need to meet the GB EORI element. Proof of trade requirements will be 50 tonnes of fruit and vegetables released for free circulation, or 50 tonnes of garlic imported or exported, in the period of 12 months ending before the first licence application can be submitted, and the 12 months immediately before that period.

Reference quantity

Evidence of reference quantity may include documentation relating to goods released for free circulation in the EU during the relevant qualifying period, up to 31 December 2020.

Proof of reference quantity is only required for quota periods starting on or after 1 June 2021.

Declaration of Independence.

The declaration of independence is only required for quota periods starting on or after 1 June 2021.

ANNEX V

Proof of Trade Table

Sector	Commodity code
Cereals	0709 99 60, 0712 90 19, 1001 91 20, 1001 99, 1002, 1003, 1004, 1005 10 90, 1005 90, 1007 10 90, 1007 90, 1008, 1001 11, 1001 19, 1101, 1002 90 70, 1103 11, 1107, 0714, 1102 20, 1102 90 10, 1102 90 30, 1102 90 90, 1103 13, 1103 19 20, 1103 19 40, 1103 19 90, 1103 20 25, 1103 20 30, 1103 20 40, 1103 20 60, 1103 20 90, 1104 12, 1104 19 10, 1104 19 30, 1104 19 50, 1104 19 61, 1104 19 69, 1104 19 99, 1104 22, 1104 23, 1104 29, 1104 30, 1106 20, 1108 11, 1108 12, 1108 13, 1108 14, 1108 15, 1108 16, 1108 17, 1108 18, 1108 19 90, 1109, 1702 30 50, 1702 30 90, 1702 40 90, 1702 90 50, 1702 90 75, 1702 90 79, 2106 90 55, 2302 10, 2302 30, 2302 40, 2303 10, 2303 30, 2306 90 05, 2308 00 40, 2309 10 11, 2309 10 13, 2309 10 31, 2309 10 33, 2309 10 51, 2309 10 53, 2309 90 20, 2309 90 31, 2309 90 33, 2309 90 41, 2309 90 43, 2309 90 51 and 2309 90 53.
Rice	1006 10, 1006 20, 1006 30, 1006 40, 1102 90 50, 1103 19 50, 1103 20 50, 1104 19 91, 1104 19 99 and 1108 19 10.
Sugar	1212 91, 1212 93, 1701, 1702 20, 1702 60 95, 1702 90 71, 1702 90 95, 2106 90 59, 1702 30 10, 1702 40 10, 1702 60 10, 1702 90 30, 1702 60 80, 1702 90 80, 1703, 2106 90 30 and 2303 20.
Fruit and vegetables	0702, 0703, 0704, 0705, 0706, 0707, 0708, 0709 20, 0709 30, 0709 40, 0709 51, 0709 59, 0709 60 10, 0709 70, 0709 91, 0709 92 90, 0709 93, 0709 99 10, 0709 99 20, 0709 99 40, 0709 99 50, 0709 99 90, 0802 11, 0802 12, 0802 21, 0802 22, 0802 31, 0802 32, 0802 41, 0802 32, 0802 51, 0802 52, 0802 61, 0802 62, 0802 90, 0803 10 10, 0803 10 90, 0804 20 10, 0804 30, 0804 40, 0804 50, 0805, 0806 10 10, 0807, 0808, 0809, 0810, 0813 50 31, 0813 50 39, 0910 20, 0910 99, 1211 90 86 and 1212 92.

Sector**Commodity code**

Beef and veal 0102 29, 0102 39 10, 0102 90 91, 0201, 0202, 0206 10 95, 0206 29 91, 0210 20, 0210 99 51, 0210 99 90, 1602 50 10, 1602 90 61, 0102 21, 0102 31, 0102 90 20, 0206 10 98, 0206 21 00, 0206 22 00, 0206 29 99, 0210 99 59, 1502 10 90, 1602 50 31, 1602 50 95 and 1602 90 69.

Milk and milk products 0401, 0402, 0403 10 11, 0403 10 13, 0403 10 19, 0403 10 31, 0403 10 33, 0403 10 39, 0403 90 11, 0403 90 13, 0403 90 19, 0403 90 31, 0403 90 33, 0403 90 39, 0403 90 51, 0403 90 53, 0403 90 59, 0403 90 61, 0403 90 63, 0403 90 69, 0404, 0405 10, 0405 20 90, 4050 90, 0406, 1702 19, 2106 90 51, 2309 10 15, 2309 10 19, 2309 10 39, 2309 10 59, 2309 10 70, 2309 90 35, 2309 90 39, 2309 90 49, 2309 90 59 and 2309 90 70.

Pigmeat 0103 91, 0103 92, 0203 11 10, 0203 12 11, 0203 12 19, 0203 19 11, 0203 19 13, 0203 19 15, 0203 19 55, 0203 19 59, 0203 21 10, 0203 22 11, 0203 22 19, 0203 29 11, 0203 29 13, 0203 29 15, 0203 29 55, 0203 29 59, 0206 30 00 10, 0206 41 00 10, 0206 49, 0209 10, 0210 11 11, 0210 11 19, 0210 11 31, 0210 11 39, 0210 12 11, 0210 12 19, 0210 19 10, 0210 19 20, 0210 19 30, 0210 19 40, 0210 19 50, 0210 19 60, 0210 19 70, 0210 19 81, 0210 19 89, 0210 99 41, 0210 99 49, 1501 10, 1501 20, 1601, 1602 10, 1602 20 90, 1602 41 10, 1602 42 10, 1602 49 11, 1602 49 13, 1602 49 15, 1602 49 19, 1602 49 30, 1602 49 50, 1602 90 10, 1602 90 51 and 1902 20 30.

Poultrymeat 0105, 0207, 0210 99 71, 0210 99 39, 0210 99 79, 0209 90, 1501 90, 1602 20 10, 1602 31, 1602 32 and 1602 390.
